

1 Global Ocean Primary Production Trends
2 in the Modern Ocean Color Satellite Record (1998-2015)

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11 **Abstract**

12 Ocean primary production, representing the uptake of inorganic carbon through
13 photosynthesis, supports marine life and affects carbon exchange with the atmosphere. It is
14 difficult to ascertain its magnitude, variability, and trends due to our inability to measure it
15 directly at large scales. Yet it is paramount for understanding changes in marine health,
16 fisheries, and the global carbon cycle. Using assimilation of ocean color satellite data into an
17 ocean biogeochemical model, we estimate that global net ocean primary production has
18 experienced a small but significant decline -0.8 PgC y^{-1} (-2.1% decade⁻¹ ($P < 0.05$) in the 18-year
19 satellite record from 1998-2015. This decline is associated with shallowing surface mixed layer
20 depth (-2.4% decade⁻¹) and decreasing nitrate concentrations (-3.2% decade⁻¹). Relative
21 contributions to primary production by various types of ocean phytoplankton have changed, with
22 decreases in production by intermediate-sized phytoplankton represented by chlorophytes ($-$
23 14.3% decade⁻¹). This is partially compensated by increases from the unique, more nutrient-
24 efficient, coccolithophores (8.4% decade⁻¹). Geographically, the North and Equatorial Indian
25 Oceans are responsible for much of the decline in primary production, falling 0.16 and 0.69 PgC
26 y^{-1} decade⁻¹, respectively. Reduced production by large, fast-growing diatoms along with
27 chlorophytes characterizes the decline here. In contrast, increases in primary production are
28 found in the North and North Central Pacific. The increases here are led by chlorophytes in the
29 North Pacific and the small cyanobacteria in the North Central Pacific. These results suggest
30 that the multi-decadal satellite observational record, coupled with an underlying representation of
31 marine biodiversity in a model, can monitor the uptake of carbon by phytoplankton and that
32 changes, although small, are occurring in the global oceans.
33

34 **Introduction**

35 Understanding changes in global ocean primary production is one of the pressing issues in
36 ocean biogeochemistry. It represents the uptake of carbon via photosynthesis to support growth
37 of phytoplankton at the base of the food web. It is a process that affects the global carbon cycle
38 (Kwiatkowski *et al* 2017), fisheries (Stock *et al* 2018), and the state and variability of life in the
39 oceans.

40 Past, present, and future changes in primary production have been the subject of much effort in
41 oceanography. For the past, a 46-year coupled physical-biological model hindcast suggested a
42 decline of 6.5% (Laufkötter *et al* 2013), which corresponds to -1.4% decade⁻¹. For the future,
43 coupled physical-biological model intercomparison efforts showed disagreement in predicted
44 global ocean primary production in a changing climate. Bopp *et al* (2013) forecast a mean

1 decline of 8.6% by the end of the century ($\sim 1.1\%$ decade⁻¹), similar to Moore *et al* (2018) who
2 predicted a 24% decline by the year 2300 ($\sim 0.9\%$ decade⁻¹). Laufkötter *et al* (2015), however
3 reported that 4 of 9 coupled physical-biological models suggested no or positive change. For the
4 present, availability of global ocean color satellite observations can help immensely by providing
5 large-scale, routine observations of chlorophyll, the main pigment of the phytoplankton that are
6 responsible for most ocean primary production. Many algorithms have been developed to
7 estimate primary production from satellite-derived chlorophyll observations Carr *et al* (2006).

8 Although satellite observations are our best source of global phytoplankton chlorophyll,
9 translating these observations into primary production is non-trivial. Primary production is
10 complex, involving cellular physiology, the dynamical physical environment, the ambient
11 irradiance field, and the interactions among them. For example, it is difficult to derive
12 photoadaptation from ocean color satellite observations, which is an important factor for
13 estimating phytoplankton growth and production (Behrenfeld *et al* 2016). Also phytoplankton
14 growth is responsive to integrated spectral irradiance, rather than bulk estimates of
15 photosynthetically available radiation. Ocean color satellites are nominally global in their ocean
16 coverage, but they are limited by darkness in high latitudes, clouds, aerosols, and sun glint in
17 mid-to-low latitudes, and daily gaps in coverage due to orbital constraints. Finally, satellites do
18 not retrieve information beyond a limited depth and primary production is a vertical integral.

19 Coupled three-dimensional biological-physical models (Buitenhuis *et al* 2013) are capable of
20 synthesizing these complexities in physiologically mechanistic ways that are consistent with the
21 local environment. Especially important are changes with depth that are explicitly resolved.
22 Also included can be phytoplankton diversity, temperature, nutrient availability, ambient light
23 field, horizontal and vertical mixing (diffusion), advection, carbon-chlorophyll ratios that are
24 dependent on the ambient light field, and inorganic-organic carbon chemical conversions. The
25 disadvantage is that none of these processes and variables in a model is a faithful representation
26 of the natural environment.

27 By combining the strengths of models with estimates of surface chlorophyll concentrations
28 from satellites, we can potentially improve the representation of global primary production. By
29 assimilating the satellite data into models, we can explicitly maximize the strengths of each,
30 while recognizing that the entire complex assortment still holds errors and mischaracterizations.
31 Here we utilize satellite data from the latest NASA processing revisions and assimilate into a
32 model that contains sufficient complexity and heritage to estimate global open ocean (pelagic)
33 primary production and trends over the 18-year time series (1998-2015) of the satellite record.

34 **Methods**

35 **Satellite Mission Data Sets**

36 Ocean color satellite mission data sets from the Sea-viewing Wide Field-of-view Sensor
37 (SeaWiFS; launched in 1997), Moderate Resolution Imaging Spectroradiometer (MODIS)-Aqua
38 (launched in 2002), and Visible Infrared Imaging Radiometer Suite (VIIRS; launched in 2011)
39 produce global coverage of ocean chlorophyll for the period 1998-2015. The latest version
40 (R2014) substantially reduces inter-mission differences, by virtue of 1) correction for radiometric
41 drift of MODIS-Aqua data (Meister and Franz 2014), and 2) addition of a new chlorophyll
42 algorithm (Hu *et al* 2012). Some residual biases remain, which are corrected using in situ data
43 [the Empirical Satellite Radiance-In situ Data (ESRID) methodology (Gregg *et al* 2009)] for
44 chlorophyll values > 0.2 mg m⁻³ and additional regional bias-correction applications for the
45 SeaWiFS mission (Gregg *et al* 2017) in the northern high latitudes.

1 Global Three-Dimensional Model and Data Assimilation

2 Global ocean biogeochemical dynamics are simulated by the NASA Ocean Biogeochemical
3 Model (NOBM; Gregg *et al* 2009). Together with a circulation model, Poseidon (Schopf and
4 Loughe 1995), the model has 14 vertical isopycnal layers and spans -84° to 72° latitude at 1.25°
5 longitude by $2/3^\circ$ latitude spatial resolution. It resolves only open (pelagic) ocean areas, where
6 bottom depth $>200\text{m}$. It is forced with surface wind stress and longwave radiation, and relaxes to
7 sea surface temperature data, all from the Modern-Era Retrospective analysis for Research and
8 Applications (MERRA). Shortwave radiation forcing is from the Ocean-Atmosphere Spectral
9 Irradiance Model (OASIM, Gregg and Casey 2009).

10 NOBM contains four phytoplankton groups, diatoms, chlorophytes, cyanobacteria, and
11 coccolithophores, to represent the diversity in the global oceans. Diatoms in the model are high
12 growth, fast sinking, silicate-dependent phytoplankton that have high nutrient requirements.
13 Cyanobacteria are the functional opposite, with slow maximum growth rates, slow sinking, and
14 low nutrient requirements. They additionally have a capability for nitrogen fixation.
15 Coccolithophores are moderate growers that sink relatively quickly due to their calcium
16 carbonate coccoliths. They are efficient users of nitrogen, enabling them to flourish in low
17 nutrient regions (although not as efficient as cyanobacteria). Finally, chlorophytes represent the
18 diverse functionality associated with nanoplankton, with growth rates, sinking rates, and nutrient
19 requirements intermediate between the functional extremes and the more specialized
20 coccolithophores.

21 Phytoplankton growth is a function of scalar quantum irradiance, which is a measure of the
22 photons impacting phytoplankton cells from all directions, expressed as units of $\mu\text{mol photons m}^{-2}$
23 s^{-1} (Kirk 1992). Variable carbon to chlorophyll ratios are utilized, that depend on the light
24 history and photoadaptation, which is explicit in the model (Gregg and Casey 2007). The model
25 also contains four nutrients (nitrate, ammonium, silicate, and dissolved iron) and three detrital
26 components (particulate organic carbon, silicate, and iron).

27 Primary production (PP) is computed in the model as a function of growth rate multiplied by
28 the chlorophyll concentration and the carbon:chlorophyll ratio

$$29 \quad 30 \quad \text{PP} = \int_0^Z \sum_i \mu_i(N, T, I) C_i \Phi \, dz$$

31 (1)

32 where μ_i is the net growth rate (gross growth minus respiration, d^{-1}) of phytoplankton component
33 i as a function of nutrients (N), temperature (T), and quantum irradiance (I), C_i is the chlorophyll
34 concentration of phytoplankton component i , Φ is the carbon:chlorophyll ratio g g^{-1} , and the
35 product is integrated over depth. The term I (quantum irradiance) is the wavelength-integrated
36 irradiance in quanta ($\text{mol photons m}^{-2} \text{s}^{-1}$) available for phytoplankton growth at each model
37 vertical layer. The spectral irradiance at the surface and through the water column are derived
38 using OASIM for the period 1998-2015. This model computes spectral irradiance in 33 bands
39 for the domain 200 nm to $4 \mu\text{m}$, at the ocean surface as a function of atmospheric optical
40 properties, and then propagates the spectral irradiance downward and upward through the water
41 column as a function of ocean optical properties. OASIM utilizes 25nm spectral resolution in the
42 visible bands. OASIM spectral irradiance is the source for quantum irradiance in Eq. 1.
43 MODIS-Aqua and Terra cloud data needed for OASIM are not available for 1998-1999, so
44 climatologies are used for these years. All other atmospheric optical properties are available for
45

1 the duration of the time series. Only wavelengths between 300 and 700nm are reported here
2 because of their importance for primary production.

3 NOBM has been a participant in a comparison of global primary production algorithms/models
4 (Carr *et al* 2006). With a global estimate of 38 PgC y⁻¹, NOBM falls in the lower end of
5 intercomparisons. This difference is mostly related to the pelagic nature of the NOBM domain
6 and to the restriction to 72°N latitude. In a different comparison with one of the more popular
7 satellite algorithms (Behrenfeld and Falkowski 1997), NOBM was within about 6 PgC y⁻¹
8 (NOBM higher) over the same domain (Rousseaux and Gregg 2014). Additionally, a
9 phytoplankton-differentiated satellite algorithm (Uitz *et al* 2010) was evaluated and produced
10 global primary production 7 PgC y⁻¹ higher than NOBM (Rousseaux and Gregg 2014). NOBM
11 has also been a participant in other primary production intercomparisons, including the tropical
12 Pacific Ocean (Freidrichs *et al* 2009) and Bermuda Atlantic Time-Series and Hawaii Ocean
13 Time-Series (Saba *et al* 2010).

14 We integrate the model 120 years under climatological atmospheric forcing from MERRA and
15 assimilation of climatological MODIS-Aqua ocean chlorophyll data to obtain stability (defined
16 as near zero trend; Rousseaux and Gregg 2015). Then we run forward from September 1997
17 until 2015 using transient forcing from MERRA, and ocean color data assimilation, switching
18 from SeaWiFS to MODIS in January 2003 and from MODIS to VIIRS in 2012.

19 **Coupled Model/Data Assimilation/Bias Correction System and Error Characterization**

20 There are three main components in this investigation: 1) a global coupled-physical three
21 dimensional model of the oceans, 2) assimilation of global ocean color data from three different
22 satellite sensors, and 3) adaptation of satellite ocean color data for improved consistency across
23 multiple satellite and in situ data sets. All three have undergone comprehensive error
24 characterization.

25 1) Global coupled-physical three dimensional model of the oceans. This focuses on the NOBM,
26 the main features of which have been described above. It has been extensively validated against
27 globally distributed in situ data and satellite data when and where available (Gregg and Casey
28 2007). Three of the four phytoplankton groups exhibited statistically positive correlations with
29 in situ data (P<0.05) and low bias, as did nitrate, silicate, and dissolved iron. Total chlorophyll
30 was statistically positively correlated with in situ and satellite ocean color data, as well.
31 Chlorophytes were positively correlated but lacked statistical significance due to their model role
32 of representing the large diversity of intermediate phytoplankton in the oceans (Gregg and Casey
33 2007).

34 Of the 10 model variables relevant to the present work, all but three have been compared with
35 in situ and/or satellite data sets. These three are detritus, ammonium, and herbivores. There are
36 no global detritus, ammonium, or herbivore databases publicly available to our knowledge.

37 The main purpose of NOBM is to provide global, dynamic, depth-resolved biological
38 variables, with complete temporal representation. These aspects are essential for estimating
39 global primary production. As a model, NOBM is comprehensive but lacks fidelity with the
40 natural biogeochemical system, despite the statistical comparisons described above. Satellite
41 observations provide more realistic estimates of global chlorophyll concentrations and as such
42 are used to enhance the model results through data assimilation. The constant confrontation with
43 data in the assimilation process steers the model away from its inherent drift tendencies and
44 provides more realistic representations of global chlorophyll.

45 2) Assimilation of global ocean color data from satellite sensors. The capability of data
46 assimilation to improve the representation of global chlorophyll has also been extensively

1 evaluated. A rigorous comparison of chlorophyll from NOBM assimilation of SeaWiFS against
 2 independent in situ data over a 6-year time period from 1998 through 2003 indicated a bias in the
 3 assimilation model of 0.1% and an uncertainty of 33.4% for daily coincident, co-located data
 4 (Gregg 2008). SeaWiFS bias compared with in situ data was slightly higher at -1.3% with nearly
 5 identical uncertainty at 32.7%. Model bias and uncertainty without data assimilation were -
 6 1.4% and 61.8%, respectively.

7 Assimilation not only corrects the model, it also corrects sampling errors in the satellite data.
 8 Ocean color sensors only observe about 15% of the global oceans per day (Gregg *et al* 1998).
 9 Models provide complete daily coverage. The 15% of the oceans observed by satellite occur in
 10 the best places and times for phytoplankton growth: the highest solar elevations and the clearest
 11 skies. Persistent clouds in some regions obscure many regions to 3 or fewer observations per
 12 month. In the high latitudes, whole seasons are missing. This leads to important biases in
 13 satellite-based estimates of chlorophyll and primary production. These biases are primarily in
 14 the direction of overestimation. Our estimates of global ocean chlorophyll using data
 15 assimilation are typically about 25% lower than satellites. These biases migrate into satellite-
 16 derived primary production estimates.

17 3) Adaptation of satellite ocean color data for reduced bias and improved consistency across
 18 multiple satellite data sets. Satellite estimates of ocean chlorophyll have their own biases and
 19 uncertainties with respect to in situ data. We address this issue using the Empirical Satellite
 20 Radiance-In situ Data (ESRID) methodology (Gregg *et al* 2009). ESRID forces satellite
 21 radiances to agree with in situ data, which we take from the five major international in situ data
 22 archives (see Acknowledgements). ESRID is substituted for the NASA standard algorithm at
 23 chlorophyll concentrations $>0.2 \text{ mg m}^{-3}$ (Gregg *et al* 2017).

24 VIIRS requires a modification to ESRID because there is insufficient in situ data in the major
 25 in situ archives to apply ESRID. Instead we utilize ESRID-MODIS to derive empirical
 26 relationships with VIIRS satellite radiances (Gregg *et al* 2017). Statistics on comparisons with
 27 data of ESRID-SeaWiFS and ESRID MODIS with in situ data and ESRIDS-VIIRS show the bias
 28 and uncertainty in Table 1.

29
 30 Table 1. Bias and uncertainty (expressed as semi-interquartile range (Gregg *et al* 2009) as
 31 compared with in situ data from major international archives (see Acknowledgements) for
 32 ESRID-SeaWiFS, ESRID-MODIS. ESRIDS-VIIRS is compared with ESRID-MODIS.
 33

Ocean Color Sensor	Bias	Uncertainty	Correlation
SeaWiFS	15.8%	35.7%	0.870
ESRID-SeaWiFS	-5.1%	34.4%	0.868
MODIS-Aqua	17.2%	32.2%	0.854
ESRID-MODIS	-3.7%	32.3%	0.863
VIIRS	11.8%	27.9%	0.857
ESRIDS-VIIRS	1.4%	26.9%	0.864

34
 35
 36 The three approaches used here: global coupled physical-biological dynamical model to
 37 resolve vertical resolution necessary for estimating primary production and to provide complete
 38 daily representation of the global oceans, data assimilation to correct the model for biases, and in
 39 situ data to correct satellite data for their individual biases, produce a unique perspective to

1 evaluate global primary production trends in the modern ocean color record. Together they
2 provide a global representation of primary production with attention to minimizing the biases,
3 uncertainties, and sampling issues inherent in each.

4 5 **Statistical Treatment of Primary Production Trends**

6 We derive trends using linear regression analysis on global annual net primary production from
7 satellite data assimilation and associated biological variables by 1) integrating annual primary
8 production spatially at each model grid point by depth and day, 2) aggregating data over ocean
9 basins and globally, 3) correcting for end-point bias (Gregg and Rousseaux 2008), 4) deriving
10 best fit linear trends, and 5) evaluating statistical significance of the trends. A statistically
11 significant trend exceeds the 95% confidence level.

12 End-point bias correction is applied to prevent anomalous data at the beginning or end of a
13 time series from overly influencing the detection of a trend. In the case of our 18-year time
14 series, both the beginning (1998) and end years (2015) are associated with unusually strong El
15 Niño events. This is an unfortunate artifact of the launch of the first modern ocean color sensor,
16 and the end which marks the completion of a verified processing version. The 1998 El Niño
17 caused few issues in the time series trends, because higher surface ocean temperatures succeeded
18 it, and thus it is not the highest in the series. The 2015 event, since it occurred at the end of the
19 series and represented the highest surface temperature observed in the series, produced maxima
20 or minima of several of the reported variables in this analysis. Reporting a trend when in fact it
21 is an artifact of an unusual event occurring at the beginning or end, and when it produces a
22 significant trend that otherwise would not exist, is a classic manifestation of end-point bias.
23 Observation of a trend in these circumstances, increases the probability of a Type-1 error, i.e.,
24 finding a significant trend where one does not exist (Zar 1976). We remove the end point in
25 these cases and report lack of significance in the trend. This occurs for surface temperature,
26 diatoms, and cyanobacteria for global time series.

27 Although it is established that global sea surface temperature has increased over the past
28 several decades (Huang *et al* 2017; Hausfeather *et al* 2017; Lian *et al* 2018), our analysis shows
29 no significant trend (after end-point bias correction). This is because our observational period
30 1998-2015, which is defined by the availability of modern ocean color satellite data and
31 established data processing, unfortunately falls in a period bracketed by two major ENSO events,
32 as mentioned above. For 2000-2015 we find a significant positive trend of $0.108^{\circ} \text{ decade}^{-1}$,
33 which is similar to the trend reported by Huang *et al* (2017), $0.125^{\circ} \text{ decade}^{-1}$ for the **Extended**
34 **Reconstructed Sea Surface Temperature, Version 5**.

35 The trends reported here are not corrected for autocorrelation. The ultimate purpose of
36 autocorrelation evaluation is to understand the probability of a Type-1 error. Our use of annual
37 data reduces the possibility of Type-1 errors by low sample size and thus degrees of freedom
38 (df), which is $df=16$ for the 18-year time series. End-point bias correction further reduces the
39 risk. Additional use of autocorrelation noise correction in annual data with end-point bias
40 correction increases the probability of Type-2 error, i.e., not observing a trend where one exists.

41 42 **Results and Discussion**

43 Global ocean primary production shows a small ($-0.8 \text{ PgC y}^{-1} \text{ decade}^{-1}$) but statistically
44 significant decline ($r=-0.648$, $P<0.05$) in the 18-year time series from 1998 to 2015 (Figure 1;
45 Table 2). The trend represents a reduction of $2.1\% \text{ decade}^{-1}$ and suggests a weakening of the
46 biological pump. This is about twice the decline estimated using free-run (not assimilated)
47 models for the future (Bopp *et al* 2013). Chlorophyte global primary production also declines -

1 14.3% decade⁻¹ (r=-0.849, P<0.05), and is the main contributor to the global trend.
 2 Coccolithophores, conversely, increase their primary production (8.4% decade⁻¹, r=0.522,
 3 P<0.05; Table 1) over the time series. Production by the functional extreme phytoplankton,
 4 diatoms and cyanobacteria, exhibit no significant change. The global total primary production
 5 decline is associated with a shallowing of the surface mixed layer of 1.4 m decade⁻¹, or 2.4%
 6 decade⁻¹ (r=-0.719, P<0.05; Figure 2; Table 2). Shallowing mixed layers tend to reduce the
 7 supply of nutrients to the surface from the deep ocean, and inhibit primary production on annual
 8 scales. As a consequence, nitrate, one of the most important nutrients for phytoplankton growth
 9 and production, declines 0.18 μM decade⁻¹ (3.2% decade⁻¹; r=-0.503, P<0.05; Table 2).

10 These results suggest a consistent representation of recent trends in global ocean primary
 11 production: shallowing mixed layer restricting nutrient supply produces declining total primary
 12 production. The global production trend is small, however, and limited to chlorophytes, which
 13 have intermediate requirements for nutrients. Their reduction in primary production is partially
 14 compensated by increasing coccolithophore production. Coccolithophores are more efficient
 15 users of nutrients and thus have a competitive advantage in a lower nutrient environment. The
 16 changes in phytoplankton contributions are not enough to produce a community shift in
 17 contributions to primary production.

18 The global trends do not appear to be related to the El Niño-Southern Oscillation (ENSO)
 19 events that have occurred in the time series. There is no significant trend in the Equatorial
 20 Pacific and the global trend is statistically similar even if we begin the series as late as 2006.

21
 22 **Table 2.** Statistics on trends in global ocean primary production and related variables. NS
 23 indicates not significant at 95% confidence level. Trends for variables that are not significant are
 24 not shown. The 18-year time series has N=18, except when end-point bias is observed.

Global Variable	Correlation Coefficient	N	Trend
Total Primary Production	-0.648 P<0.05	N=17	-0.81 PgC y ⁻¹ decade ⁻¹ (2.1% decade ⁻¹)
Diatom Production	-0.472 NS	N=17	
Chlorophyte Production	-0.849 P<0.05	N=18	-0.98 PgC y ⁻¹ decade ⁻¹ (14.3% decade ⁻¹)
Cyanobacteria Production	0.377 NS	N=17	
Coccolithophore Production	0.522 P<0.05	N=17	0.60 PgC y ⁻¹ decade ⁻¹ (8.4% decade ⁻¹)
Nitrate	-0.503 P<0.05	N=17	-0.18 μM dec ⁻¹ (3.2% dec ⁻¹)
Ammonium	0.164 NS	N=18	
Silicate	-0.408 NS	N=18	
Chlorophyll	0.234 NS	N=18	
Mixed Layer Depth	-0.719 P<0.05	N=18	-1.43 m decade ⁻¹ (2.4% decade ⁻¹)
Surface Temperature	0.344 NS	N=17	

27
 28 Using the first global ocean color sensor, Coastal Zone Color Scanner (CZCS) for 1978-1986
 29 and the Sea-viewing Wide Field-of-view Sensor (SeaWiFS) for the period 1997-2002, a 6%

1 decline in global primary production was found (Gregg *et al* 2003). Both data sets were blended
2 with in situ data to remove biases and inconsistencies between them. In that effort, a satellite
3 chlorophyll algorithm (Behrenfeld and Falkowski 1997) was utilized. Assuming that the CZCS
4 record and the partial SeaWiFS record constitute a time span of approximately 20 years, this
5 suggests a decline of about 3% decade⁻¹. This is similar to the 2.1% decade⁻¹ reported here,
6 tentatively suggesting consistency in the nearly 40 years of global ocean color observations.

7 The North and Equatorial Indian Ocean basins (northward of 10°S) are major contributors to
8 the observed global decline (Figure 3). Here regional total production falls -0.16 PgC y⁻¹ (9.7%)
9 decade⁻¹ and -0.69 PgC y⁻¹ (16.2%) decade⁻¹, respectively, over the 18-year time series. The
10 change is most clearly observed in the Bay of Bengal (eastern) portion of the North Indian basin,
11 as well as the eastern portion of the Arabian Sea (Figure 3). In the Equatorial Indian there is an
12 overall decline throughout the basin rather than the more localized trends in the North Indian
13 (Figure 3).

14 In both basins the decline is driven by diatom and chlorophyte primary production. Diatom
15 production declines by an average of 15.4% decade⁻¹ for the 2 basins while chlorophyte
16 production declines by 24.8% decade⁻¹ (data not shown). In the Equatorial Indian, the reduction
17 in phytoplankton primary production is partially compensated by increases in production by the
18 smaller, more nutrient-efficient, cyanobacteria and coccolithophores. Here, cyanobacteria
19 production increases 16.7% decade⁻¹ in the record while coccolithophore contributions increase
20 nearly ten-fold. This results in a shift in relative contributions to total primary production in the
21 basin (Figure 4) and is consistent with the more efficient nutrient utilization capabilities of the
22 smaller phytoplankton, the cyanobacteria and coccolithophores.

23 Both Indian Ocean basins experience declines in nitrate and silicate (32.4% and 22.8% decade⁻¹
24 ¹, respectively). Silicate is critical for diatom growth and its decline is likely an important cause
25 for their decline. Nitrate is needed by all phytoplankton, which suggests a cause for the
26 reduction in chlorophyte production. At the same time, the smaller cyanobacteria and
27 coccolithophores increase production due to their higher ability to harvest nutrients at lower
28 concentrations, but not enough to overcome a basin-wide decline in total primary production.
29 The primary production declines are not associated with statistically significant changes of
30 mixed layer depth. The Equatorial Indian basin, but not the North Indian, also exhibits increasing
31 surface temperature (0.22° decade⁻¹, r=0.552).

32 Strong declines in chlorophyll have been reported in the sub-tropical Indian Ocean over the
33 past six decades (Roxy *et al* 2016), which suggested associated declines in primary production.
34 The results here support this and further document nutrient declines. There is also evidence of
35 sea level rise and associated weakening of the summer monsoon in the Equatorial Indian Ocean
36 in recent decades (Swapna *et al* 2017). Such weakening could reduce the upwelling of nutrients
37 and suppress ocean primary production as we find here.

38 A modeling effort by Kvale *et al* (2019) suggested increases of calcifier production in low
39 latitudes under a warming ocean scenario. This was attributed, as here specifically for the
40 calcifying phytoplankton coccolithophores, to their increased ability to utilize nutrients under
41 low availability. Cyanobacteria, which have even more efficient nutrient uptake capabilities,
42 also increase under these conditions of low nutrients, but not as much as coccolithophores. The
43 increases of these smaller phytoplankton comes at the expense of larger phytoplankton, like
44 diatoms, which is also supported by Kvale *et al* (2019).

45 In contrast to the global and Indian Ocean trends, total primary production in the North and
46 North Central Pacific basins increase over the 18-year time series (Figure 5). The increases are

1 0.05 (4.8%) and 0.2 (4.4%) PgC y⁻¹ decade⁻¹, respectively. The changes in phytoplankton
2 production in the two basins are different: the North Pacific increase is led by chlorophytes
3 primary production and the North Central Pacific is led by cyanobacteria production.
4 Chlorophyte production nearly triples in the North Pacific, although it still contributes only 13%
5 of the total, which is dominated by diatom production. In the North Central Pacific,
6 cyanobacteria production increases 6.4% decade⁻¹. Cyanobacteria predominate in abundance in
7 this basin but their primary production is next to last (chlorophyte production is lowest). Both
8 basins exhibit shallowing mixed layer depths (9.5% decade⁻¹ in the North Pacific and 6.8%
9 decade⁻¹ in the North Central Pacific). The North Pacific also shows an increase in surface layer
10 temperature (0.4° decade⁻¹, data not shown) while temperature in the North Central Pacific does
11 not significantly change. A summary of the results described here is provided in Figure 6.

12 The combination of a dynamical global biogeochemical model assimilated with bias-corrected
13 ocean color satellite data provides enhanced realism in the representation of recent trends in
14 global ocean primary production. However, the results are not unequivocal. Assessment of
15 changes in past, present, and future global primary production remains challenging. The time
16 series of global ocean color satellites is still too short to unequivocally distinguish natural
17 variability from long-term trends (Henson *et al* 2016), but this report provides a marker for the
18 observed intermediate-term trends in the record so far. As state-of-the-art methodologies
19 improve, as knowledge advances, and as the time series continues with new missions, the effort
20 here can stand as a baseline against which future observations can provide perspective.

21
22

23 **Acknowledgments and Data**

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28 Oceanographic Data Center, Atlantic Meridional Transect, Hawaii Ocean Time Series, and
29 Biological and Chemical Oceanography Data Management Office for in situ data. Assimilated
30 data for the period 1998-2015 are available at the NASA Giovanni web site.

31

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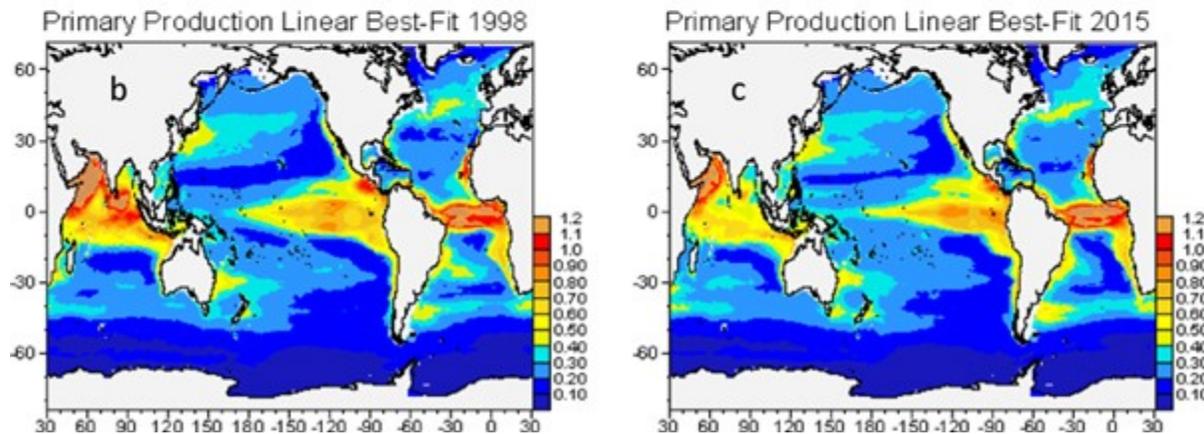
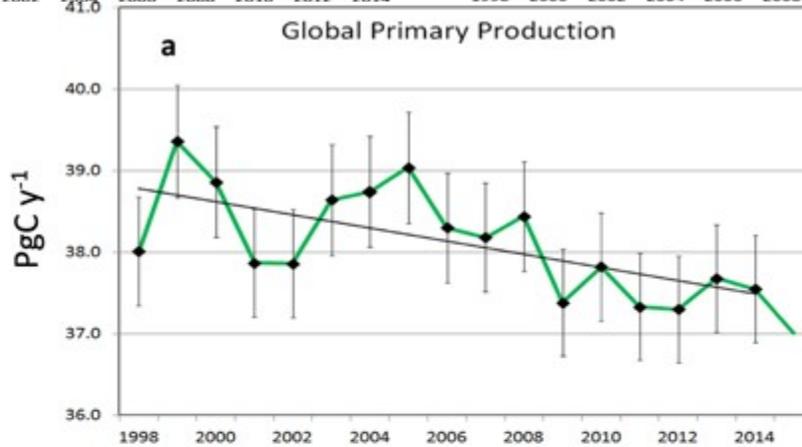
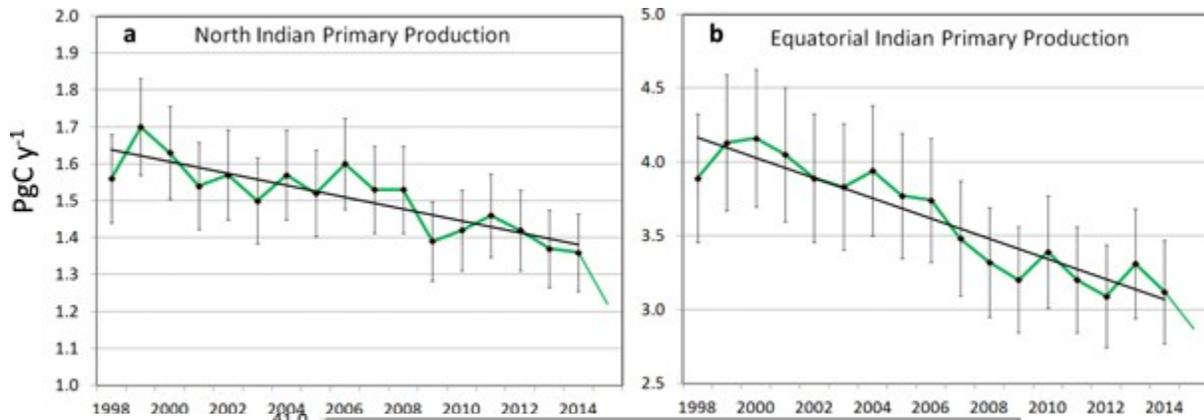
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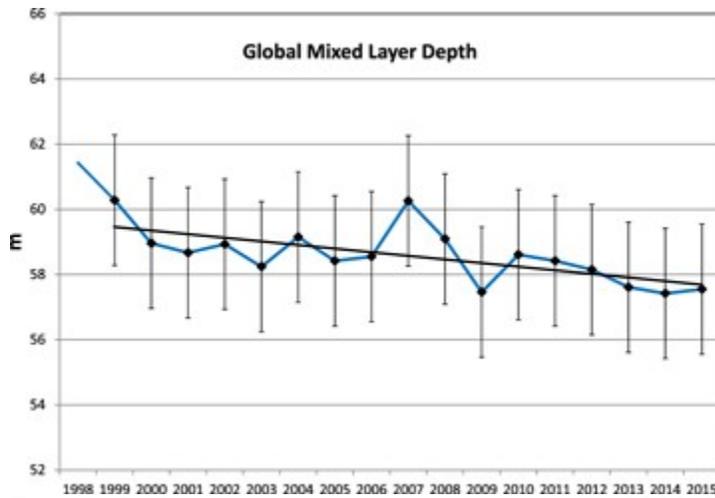
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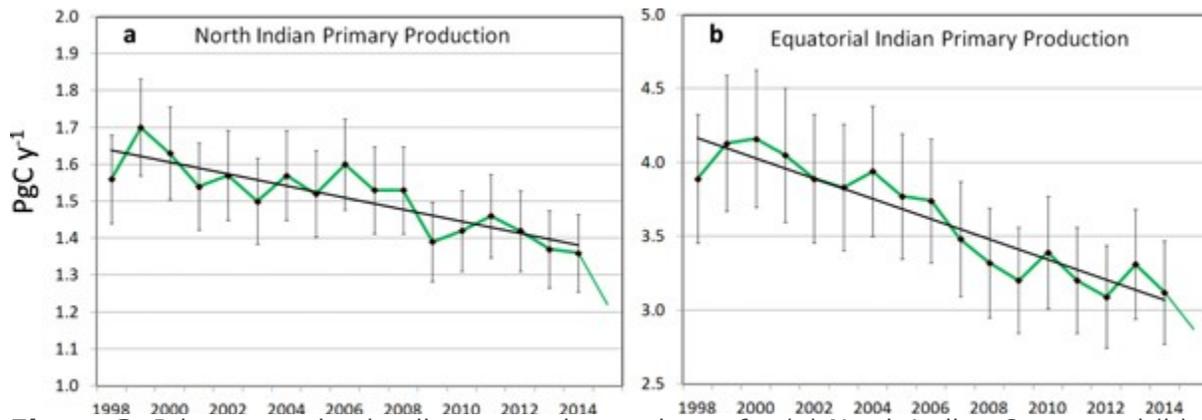
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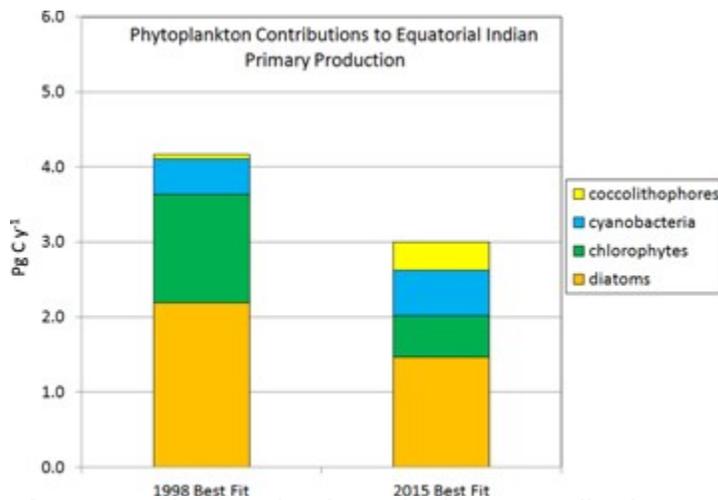
2 **Figure 1.** (a) Global primary production trend for 1998–2015. The missing marker for 2015
 3 indicates removal of this value from the trend analysis due to end-point bias correction.
 4 Error bars represent the standard deviation. Linear best-fit primary production trends are
 5 shown for (b) 1998 and (c) 2015.
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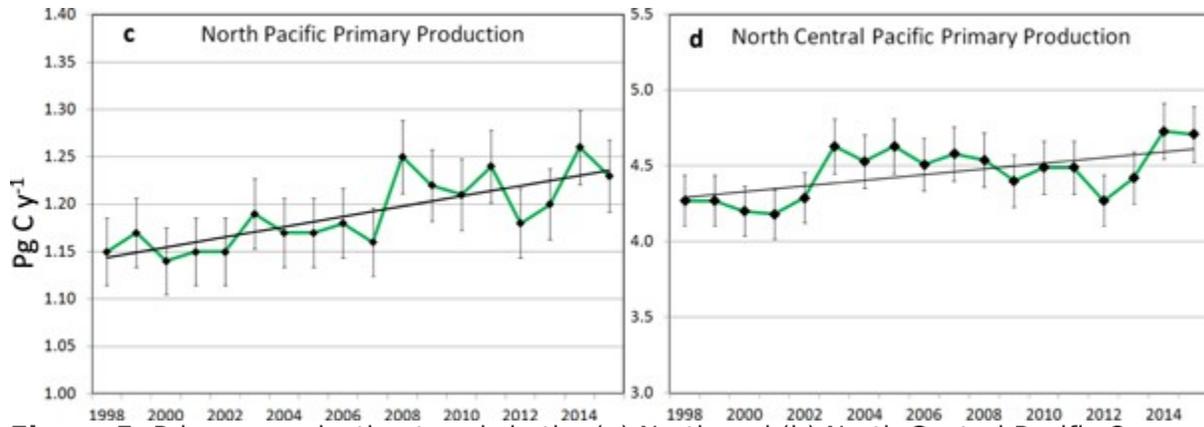
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2 **Figure 2.** Global mixed layer depth trend for 1998–2015. The missing marker for 1998
3 indicates removal of this value from the trend analysis due to end-point bias correction.
4 Error bars represent the standard deviation.



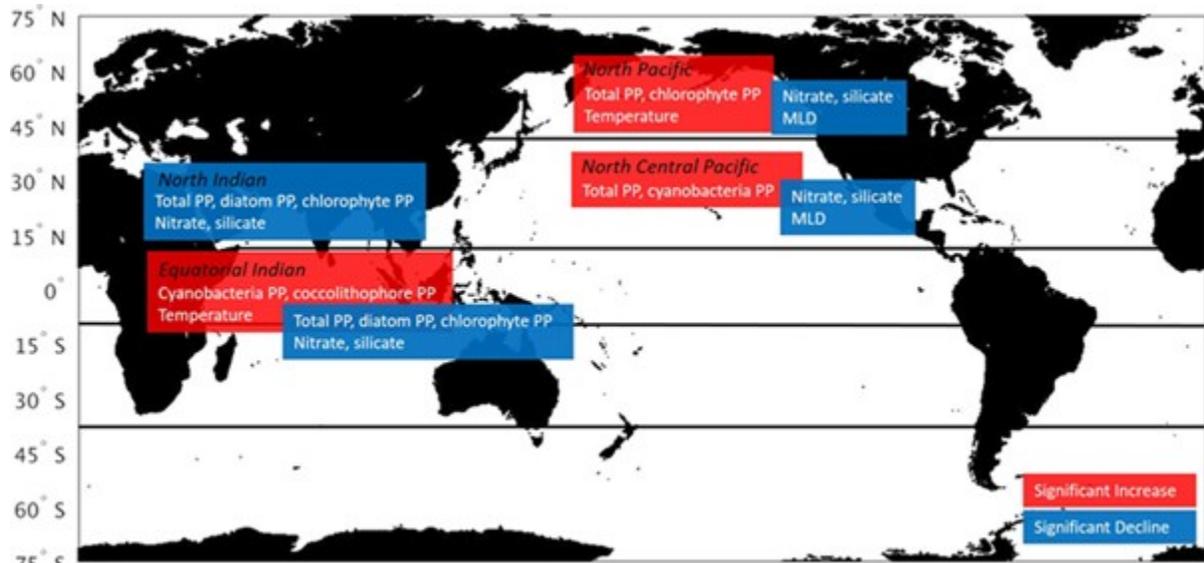
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7 **Figure 3.** Primary production linear trends are shown for (a) North Indian Ocean and (b)
8 Equatorial Indian Ocean.



10
11 **Figure 4.** Changes in phytoplankton contributions to total primary production in the
12 Equatorial Indian Ocean 1998–2015. Start and end years are from best-fit linear trends, not
13 the actual years.



1
 2 **Figure 5.** Primary production trends in the (a) North and (b) North Central Pacific Oceans.
 3 The dashed line divides the two at 40°N latitude (note scale change from figure 1). Linear
 4 trends for (c) North Pacific Ocean and (d) North Central Pacific.
 5



6
 7 **Figure 6.** Geographical summary where total primary production showed significant trends
 8 For the period 1998–2015 along with associated trends from related physical and biological
 9 variables.