

# Variability of Lidar-Derived Particle Properties Over West Africa Due to Changes in Absorption: Towards an Understanding

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## Abstract

Measurements performed in Western Africa (Senegal) during the SHADOW-2 field campaign are analyzed to show that spectral dependence of the imaginary part of the complex refractive index (CRI) of dust can be revealed by lidar-measured particle parameters. Observations in April 2015 provide good opportunity for such study, because, due to high optical depth of the dust, exceeding 0.5, the extinction coefficient could be derived from lidar measurements with high accuracy and contribution of other aerosol types, such as biomass burning, was negligible. For instance, in the second half of April 2015, AERONET observations demonstrated a temporal decrease of the imaginary part of CRI at 440 nm from approximately 0.0045 to 0.0025. This decrease is in line with a change in the relationship between the lidar ratios (the extinction-to-backscattering ratio) at 355 nm and 532 nm ( $S_{355}$  and  $S_{532}$ ). For instance in the first half of April,  $S_{355}/S_{532}$  is as high as 1.5 and the backscatter Angstrom exponent  $A_\beta$ , is as low as -0.75, while after 15 April  $S_{355}/S_{532}=1.0$  and  $A_\beta$  is close to zero. The aerosol depolarization ratio  $\delta_{532}$  for the whole April exceeded 30% in the height range considered, implying that no other aerosol, except dust, occurred. The performed modeling confirmed that the observed  $S_{355}/S_{532}$  and  $A_\beta$  values match the spectrally dependent imaginary part of the refractive index as can be expected for mineral dust containing iron oxides. The second phase of the SHADOW-2 campaign was focused on evaluation of the lidar ratio of smoke and estimates of its dependence on relative humidity (RH). For five studied smoke episodes the lidar ratio increases from  $44\pm 5$  sr to  $66\pm 7$  sr at 532 nm and from  $62\pm 6$  sr to  $80\pm 8$  sr at 355 nm, when RH varied from 25% to 85%. Performed numerical simulations demonstrate, that observed ratio  $S_{355}/S_{532}$ , exceeding 1.0 in the smoke plumes, can indicate to increase of the imaginary part of the smoke particles in the ultraviolet (UV).

## 1. Introduction

Atmospheric dust provides significant impacts on the Earth's climate system and this impact remains highly uncertain (IPCC report, 2013). In modeling the direct aerosol effect, the vertical profile of the aerosol extinction is one of the basic input parameters, and when this profile

37 is derived from the elastic backscatter lidar observations, the knowledge of the extinction-to-  
38 backscatter ratio (so called lidar ratio) is essential (Klett, 1985). Although the desert dust in source  
39 regions is sometimes qualified as “pure dust”, it is always a mixture of various elements, e.g. iron  
40 oxides, clays, quartz and calcium-rich species, which proportions can vary (Sokolik and Toon,  
41 1999; Wagner et al., 2012; Di Biagio et al., 2017, 2019 and references therein). Thus, the dust  
42 optical properties, and hence the lidar ratio ( $S$ ) can vary, depending on the relative abundance of  
43 various minerals in emission sources. The imaginary part of the complex refractive index (CRI) of  
44 different minerals can vary spectrally and often exhibits an increase in UV spectral region for dust,  
45 containing iron oxides. Therefore, the retrieval of the dust extinction profiles from elastic  
46 backscatter lidar observation should account for the spectral variation of the lidar ratio.

47 Raman and HSRL lidars are capable of providing independent profiling of aerosol  
48 backscattering and extinction coefficients (Ansmann et al., 1992), and therefore are widely used  
49 to measure the lidar ratios of dust from different origins (e.g. Sakai et al., 2003; Papayannis et al.,  
50 2008, 2012; Xie et al., 2008; Ansmann et al., 2011; Mamouri et al., 2013; Burton et al., 2014;  
51 Nisantzi et al., 2015; Giannakaki et al., 2016; Hofer et al., 2017, 2019; Soupiona et al., 2018,  
52 2019). The African deserts are the largest sources of mineral dust and numerous studies have been  
53 conducted for quantifying the particle intensive parameters (parameters independent of  
54 concentration) during dust transport from this source region to Europe and over the Atlantic Ocean  
55 (Mattis et al., 2002; Amiridis et al., 2005; Mona et al., 2006; Papayannis et al., 2008; Preißler et  
56 al., 2013; Groß et al., 2015; Rittmeister et al., 2017; Haarig et al. 2017). The dust properties are,  
57 however, modified during this transport, experiencing mixing and aging processes, thus the  
58 characterization of the dust properties near the source regions is highly important for the evaluation  
59 the parameters of “pure dust”.

60 The lidar ratios at 355 nm and 532 nm ( $S_{355}$  and  $S_{532}$ ) were measured during the SAMUM-  
61 1 and 2 experiments in Morocco and Capo Verde respectively (Esselborn et al., 2009; Tesche et  
62 al., 2009, 2011; Groß et al., 2011; Ansmann et al., 2011), as well as during the more recent  
63 SHADOW-2 experiment in Senegal (Veselovskii et al., 2016, 2018). The lidar ratios  $S_{355}$  and  $S_{532}$   
64 measured during SAMUM experiments did not present significant spectral dependence. For  
65 example, for SAMUM-2 campaign, the averaged values of  $S_{355}$  and  $S_{532}$  were  $53 \pm 10$  sr and  $54 \pm 10$   
66 sr respectively (Tesche et al., 2011). During SHADOW, however,  $S_{355}$  significantly exceeded  $S_{532}$   
67 in many dust episodes, which was linked to an increase of the imaginary part of CRI of dust at 355  
68 nm (Veselovskii et al., 2016).

69 The dust backscattering coefficient (and so the lidar ratio), in contrast to the extinction  
70 coefficient, is sensitive to the imaginary part of CRI (Perrone et al., 2004; Veselovskii et al., 2010;  
71 Gasteiger et al., 2011). Thus, it is expected that enhanced absorption in the UV should increase the

72 lidar ratio. In turn, the ratio  $S_{355}/S_{532}$  should characterize the spectral variation of the imaginary  
73 part of CRI. The latest version of AERONET products (3.0) provides inversions of the lidar related  
74 properties, including the lidar ratio, from almucantar scans with ground-based sun photometers.  
75 For these products, the shortest available wavelength is 440 nm. Despite the imaginary part at 440  
76 nm ( $Im_{440}$ ) is lower than  $Im_{355}$ , AERONET observations still show an increase of absorption at  
77 440 nm in respect to 675 nm that yields a ratio of  $S_{440}/S_{675}$  close to 1.4 for Saharan dust (Shin et  
78 al., 2018). The goal of this work is to analyze the correlation of variations of  $Im_{440}$  from  
79 AERONET with measured values from lidar to reveal the effect of dust absorption on lidar-derived  
80 aerosol properties. We focus on height and day-to-day variations of the dust intensive properties,  
81 such as  $S_{355}$  and  $S_{532}$ , depolarization ratio ( $\delta$ ), as well as the extinction and backscatter Ångström  
82 exponents ( $A_\alpha$  and  $A_\beta$  respectively) measured during several strong dust episodes in April 2015  
83 during the SHADOW-2 campaign.

84 The smoke aerosol particles, typically originated from biomass burning, can also have a  
85 pronounced spectral dependence of absorption (Nicolae et al., 2013). This is generally due to  
86 presence of carbonaceous particles with organic compounds, so-called brown carbon (BrC) (Sun  
87 et al., 2007; Kirchstetter, et al., 2004). The Sahel region is known for seasonal biomass burning  
88 caused by human activity on combustion of agricultural waste that can produce an abundant  
89 amount of BrC. The smoke can also be mixed with mineral dust during long-range transport or in  
90 the emission origin (Haywood et al., 2008). During the SHADOW-2 the observation period  
91 included the biomass burning season, thus an additional effort was dedicated to the examination  
92 of the spectral lidar ratio variability of transported biomass burning aerosol under different  
93 environmental conditions and presents a supplementary subject of the current study.

94 The paper is organized as follows. Section 2 describes the lidar system and provides the  
95 main expressions used for the data analysis. Several strong dust episodes, in April 2015, are  
96 analyzed in Section 3. In Section 4, the smoke episodes occurring from December 2015 to January  
97 2016, are used to evaluate the variation of the smoke lidar ratio with relative humidity. The paper  
98 is finalized with conclusion.

99

## 100 **2. Experimental setup and data analysis**

101 The observations were performed with LILAS multiwavelength Raman lidar during  
102 SHADOW-2 campaign at Mbour, Senegal. Information related to the SHADOW-2 and  
103 observation site is presented in Veselovskii et al. (2016). The LILAS is based on a tripled Nd:YAG  
104 laser with a 20 Hz repetition rate and pulse energy of 90/100/100 mJ at 355/532/1064 nm. The  
105 aperture of the receiving telescope is 400 mm. During the campaign, LILAS configuration  
106 ( $3\beta+2\alpha+1\delta$ ) allowed the measurement of three particle backscattering ( $\beta_{355}$ ,  $\beta_{532}$ ,  $\beta_{1064}$ ), two

107 extinction coefficients ( $\alpha_{355}$ ,  $\alpha_{532}$ ) and depolarization ratio at 532 nm ( $\delta_{532}$ ). To improve the  
 108 performance of the system at 532 nm the rotational Raman channel was used instead of the  
 109 vibrational one (Veselovskii et al, 2015). The measurements were performed at a 47 degrees angle  
 110 to horizon. The backscattering coefficients and depolarization ratios were calculated with a 7.5 m  
 111 range resolution (corresponding to 5.5 m vertical resolution), while the range resolution of  
 112 extinction coefficient varied from 50 m (at 1000 m) to 125 m (at 7000 m). Particle extinction and  
 113 backscattering coefficients at 355 nm and 532 nm are calculated from elastic and Raman  
 114 backscatter signals, as described in Ansmann et al. (1992) and corresponding uncertainties are  
 115 shown on the plots. An additional Raman reception channel at 408 nm was setup for profiling the  
 116 water vapor mixing ratio (WVMR) (Whiteman et al., 1992).

117 The particle depolarization ratio  $\delta$ , determined as a ratio of cross- and co-polarized  
 118 components of the particle backscattering coefficient, was calculated and calibrated the same way  
 119 as described in Freudenthaler et al. (2009). The relative uncertainty of depolarization  
 120 measurements due to calibration is estimated as  $\pm 10\%$ . To analyze the complex aerosol mixtures,  
 121 containing dust (d) and smoke (s), we can write  $\beta = \beta^d + \beta^s$  and  $\alpha = \alpha^d + \alpha^s$ . The depolarization  
 122 ratio of such a mixture is therefore:

$$123 \quad \delta = \frac{\left(\frac{\delta^d}{1+\delta^d}\right)\beta^d + \left(\frac{\delta^s}{1+\delta^s}\right)\beta^s}{\frac{\beta^d}{1+\delta^d} + \frac{\beta^s}{1+\delta^s}} \quad (1)$$

124 Here  $\delta^d$  and  $\delta^s$  are the particle depolarization ratios of dust and smoke components respectively.

125 To characterize the spectral dependence of the extinction ( $\alpha$ ) and backscattering ( $\beta$ )  
 126 coefficients, the corresponding Ångström exponents are introduced as:

$$127 \quad A_\alpha = \frac{\ln\left(\frac{\alpha_{\lambda_1}}{\alpha_{\lambda_2}}\right)}{\ln\left(\frac{\lambda_2}{\lambda_1}\right)} \quad \text{and} \quad A_\beta = \frac{\ln\left(\frac{\beta_{\lambda_1}}{\beta_{\lambda_2}}\right)}{\ln\left(\frac{\lambda_2}{\lambda_1}\right)} \quad (2)$$

128 Where  $\alpha_{\lambda_1}$ ,  $\alpha_{\lambda_2}$ ,  $\beta_{\lambda_1}$ ,  $\beta_{\lambda_2}$  are the extinction and backscattering coefficients at wavelengths  $\lambda_1$  and  
 129  $\lambda_2$ . For the mixture of smoke and dust, the extinction Ångström exponent (EAE) can be calculated

130 from the ratio  $\frac{\alpha_{\lambda_1}}{\alpha_{\lambda_2}}$ :

$$131 \quad \frac{\alpha_{\lambda_1}}{\alpha_{\lambda_2}} = \frac{\alpha_{\lambda_1}^d + \alpha_{\lambda_1}^s}{\alpha_{\lambda_2}^d + \alpha_{\lambda_2}^s} = \frac{\alpha_{\lambda_1}^d \left(1 + \frac{\alpha_{\lambda_1}^s}{\alpha_{\lambda_1}^d}\right)}{\alpha_{\lambda_2}^d \left(1 + \frac{\alpha_{\lambda_2}^s}{\alpha_{\lambda_2}^d}\right)} = \frac{\alpha_{\lambda_1}^d \left(1 + \frac{\alpha_{\lambda_2}^s \left(\frac{\lambda_2}{\lambda_1}\right)^{A_\alpha^s}}{\alpha_{\lambda_2}^d \left(\frac{\lambda_2}{\lambda_1}\right)^{A_\alpha^d}}\right)}{\alpha_{\lambda_2}^d \left(1 + \frac{\alpha_{\lambda_2}^s}{\alpha_{\lambda_2}^d}\right)} = \frac{\alpha_{\lambda_1}^d \left(1 + \frac{\alpha_{\lambda_2}^s \left(\frac{\lambda_2}{\lambda_1}\right)^{(A_\alpha^s - A_\alpha^d)}}{\alpha_{\lambda_2}^d}\right)}{\alpha_{\lambda_2}^d \left(1 + \frac{\alpha_{\lambda_2}^s}{\alpha_{\lambda_2}^d}\right)} \quad (3)$$

132 Here  $A_\alpha^d$  and  $A_\alpha^s$  are the Ångström exponents of dust and smoke. The Ångström exponent of the  
 133 mixture is obtained from (3):

$$134 \quad A_\alpha = \frac{\ln \frac{\alpha_{\lambda_1}}{\alpha_{\lambda_2}}}{\ln \frac{\lambda_2}{\lambda_1}} = A_\alpha^d + \frac{1}{\ln \frac{\lambda_2}{\lambda_1}} \ln \left[ \frac{\left(1 + \frac{\alpha_{\lambda_2}^s \left(\frac{\lambda_2}{\lambda_1}\right)^{(A_\alpha^s - A_\alpha^d)}}{\alpha_{\lambda_2}^d}\right)}{\left(1 + \frac{\alpha_{\lambda_2}^s}{\alpha_{\lambda_2}^d}\right)} \right] \quad (4)$$

135 The backscattering Ångström exponent (BAE) can be calculated in a similar way. And finally, the  
 136 lidar ratio of the aerosol mixture is calculated as:

$$137 \quad S = \frac{S^d \beta^d + S^s \beta^s}{\beta^d + \beta^s} = S^d + \frac{\beta^s}{\beta} (S^s - S^d) \quad (5)$$

138 where  $S^d$  and  $S^s$  are the lidar ratios of dust and smoke.

139

### 140 **3. Dust observations in March and April 2015**

141 The aerosol over West Africa presents strong seasonal variations. The spring is  
 142 characterized by strong dust emission, while, during winter season, intense forest fires occurring  
 143 in the equatorial regions emit smoke particles that are transported over Senegal (Veselovskii et al.,  
 144 2018). The SHADOW-2 campaign included the following periods of measurements: 13 March –  
 145 25 April 2015, 8–25 December 2015 and 5-24 January 2016, so numerous dust and smoke episodes  
 146 were observed. In our analysis of lidar-derived aerosol properties, we considered also aerosol  
 147 columnar properties provided by AERONET (Holben et al. 1998) and aerosol profiles predicted  
 148 by the Modern-Era Retrospective analysis for Research and Applications, Version 2 (MERRA-2)  
 149 aerosol reanalysis (Gelaro et al., 2017; Randles et al., 2017). MERRA-2 is the first long-term  
 150 global reanalysis to assimilate space-based aerosol observations and include their radiative  
 151 coupling with atmospheric dynamics. MERRA-2 is driven by the Goddard Earth Observing  
 152 System (GEOS) model version 5 that includes the Goddard Chemistry, Aerosol, Radiation and  
 153 Transport (GOCART) module. GOCART models the sources, sinks, and transformation of the  
 154 following five aerosol species as external mixtures: dust, organic carbon (OC), black carbon (BC),  
 155 sulfates (SU) and sea salt (SS). Dust and sea salt are represented by five non-interacting size bins,

156 and have wind-speed dependent emissions. The MERRA-2 reanalysis assimilates AOD  
157 observations from the twin Moderate Resolution Imaging Spectroradiometer (MODIS)  
158 instruments, MODIS-Terra and MODIS-Aqua, as well as the AERONET ground-based sun  
159 photometer network. In addition, the profiles of meteorological variables (P, T, RH), provided by  
160 radio-sondes at the Dakar airport, located ~70 km from the M'bour site, were also available. The  
161 relative humidity (RH) profiles over the M'bour site were calculated from the combination of lidar-  
162 derived WVMR and temperature profile from radiosounding.

163 Fig.1 shows the aerosol optical depth at 532 nm ( $AOD_{532}$ ) for March, April and December  
164 2015 recalculated from AERONET AOD at 500 nm using the 440-675 nm Ångström exponent.  
165 The same figure shows the AODs for the five aerosol species used in MERRA-2 model, such as  
166 dust, organic carbon (OC), black carbon (BC), sulfates (SU) and sea salt (SS). The optical depths  
167 provided by MERRA-2 and AERONET are in a good agreement. Dust is the predominant aerosol  
168 component for all three months with the highest values of AOD in April. The contribution of  
169 organic carbon (the main component of the biomass burning products) is significant in December,  
170 when the forest fire season starts in equatorial regions, though noticeable amount of OC is  
171 predicted also for March and for the beginning of April. The contribution of BC and SU to the  
172 total AOD is low: the sum of the corresponding AODs is below 0.1 for all three months.

173 The single scattering albedo (SSA) over the M'Bour site in 2015 provided by AERONET  
174 at 440 and 675 nm is shown in Fig.2. The  $SSA_{675}$  is above 0.97 for March – April period, but at  
175 440 nm dust absorption is stronger and, in March,  $SSA_{440}$  is about 0.9. However, in the middle of  
176 April,  $SSA_{440}$  increases up to 0.95, indicating that aerosols become less absorbing at shorter  
177 wavelengths. We can thus expect that variation of SSA at 355 nm between April and March should  
178 be even stronger. In our study we consider two groups of observations. The first group corresponds  
179 to the beginning of April, when SSA at 440 nm was lower. The second group covers the second  
180 half of April, when SSA at 440 nm increased. By analyzing these two groups we expect to reveal  
181 the effect of aerosol absorption, on lidar-derived aerosol properties.

182

### 183 **3.1. Dust episode on 1 – 4 April 2015**

184 In the beginning of April the dust was transported by Continental trades (Harmattan) from  
185 the northeastern/eastern drylands. For period 1 - 4 April, as follows from Fig.1b, the  $AOD_{532}$  over  
186 Dakar increased up to 1.0. Fig.3 shows the spatio-temporal distributions of the aerosol  
187 backscattering coefficient  $\beta_{532}$ , particle depolarization ratio  $\delta_{532}$ , and water vapor mixing ratio for  
188 the nights 1-2, 2-3 and 3-4 April 2015. The corresponding air mass back-trajectories, shown in  
189 Fig.4, demonstrate that, on 1-2 and 2-3 April, air masses at all heights arrive from the North-East,  
190 whereas on 3-4 April the air masses above 2500 m are advected from the East. These air masses

191 are characterized by higher humidity and may contain biomass-burning products. During these  
192 three nights, the linear particle depolarization ratio and WVMR present some evolution. On 1-2  
193 April  $\delta_{532}$  exceeds 30% and does not change significantly within the dust layer, even if some  
194 decrease is observed above 2000 m after 03:00 UTC. By 3-4 April the depolarization ratio above  
195 2500 m decreases below 25%, simultaneously with increase of the WVMR. During the dust  
196 episode, the relative humidity did not exceed 20% on 1-3 April, but on 3-4 April it increased up to  
197 40% above 2500 m.

198 Vertical profiles of dust particle properties such as aerosol extinction coefficients  $\alpha_{355}$ ,  $\alpha_{532}$ ,  
199 particle depolarization ratio  $\delta_{532}$  and lidar ratios  $S_{355}$ ,  $S_{532}$  are shown on Fig.5 for the three  
200 observation periods on 1, 2-3 and 3-4 April 2015. The profiles of backscattering coefficients for  
201 2-3 and 3-4 April are given by Fig.6, while the extinction and backscatter Ångström exponents,  
202 calculated at 355 and 532 nm wavelengths for three temporal intervals from Fig.5, are presented  
203 in Fig.7. During all three observation periods  $A_\alpha$  is slightly negative ( $A_\alpha = -0.1 \pm 0.1$ ) up to 2000 m.  
204 For the dust component, MERRA-2 provides value of  $A_\alpha = -0.14$ , which agrees with observations.  
205 Above 2000 m,  $A_\alpha$  exhibits some increase, which is most significant on 3-4 April, when  $A_\alpha$  reaches  
206  $0.3 \pm 0.1$  at 4000 m height. Simultaneous decrease of  $\delta_{532}$  indicates to the possible presence of  
207 smoke particles above 2000 m. The backscatter Ångström exponent  $A_\beta$ , in contrast with  $A_\alpha$ , is  
208 sensitive to the spectral dependence of the imaginary part of CRI, thus yielding complicated  
209 vertical variability of  $A_\beta$ . In particular, on 2-3 April  $A_\beta$  decreases from -0.5 to -0.7 within 1500–  
210 2500 m height range, when  $A_\alpha$  remains stable.

211 As follows from Fig.5, on 1 April the lidar ratio  $S_{355} = 70 \pm 6$  sr does not change with height,  
212 while  $S_{532}$  gradually decreases from  $60 \pm 5$  sr at 1000 m to  $50 \pm 4$  sr at 3000 m height. On sessions  
213 that followed (Fig.5b,c) the lidar ratios at both 355 nm and 532 nm decreased. Thus, the range of  
214 lidar ratios variation for the dust episode on 1-4 April is 60-70 sr at 355 nm and 45-60 sr at 532  
215 nm. The lidar ratios ( $S_{355}$  and  $S_{532}$ ) modeled by MERRA-2 for the dust component are also shown  
216 on Fig. 5. The corresponding lidar ratio values are of 70 sr and 42 sr respectively and do not vary  
217 with altitude as the model optical properties of all dust size bins based on spectral complex  
218 refractive indices from the Optical Properties of Aerosols and Clouds (OPAC) tables (Hess et al.  
219 1998) and the spheroidal shape models developed by Meng et al. (2010) are the same and fixed,  
220 as dust is treated as hydrophobic. Modeled value  $S_{355}$  is near the top of the range of observed  
221 values, while modeled  $S_{532}$  underestimates the observations.

222 The gradual decrease of  $S_{532}$  with height in Fig.5a,c is however unusual. There are, at least,  
223 two possible reasons to explain  $S_{532}$  height variation. The first one can be the presence of non-dust  
224 particles, for example, smoke. The second reason is that the properties (composition) of dust

225 change with height. If non-dust particles are present, the particle intensive properties, such as  $S$ ,  $\delta$   
226 and  $A_\alpha$  should vary with height in consistent way. The MERRA-2 modeling reported in Fig.1  
227 shows that in the beginning of April the organic carbon is the second main contributor to the AOD,  
228 after dust. We should recall, however, that the model can provide a realistic range of OC variation,  
229 however not necessarily reproducing the exact spatio-temporal distribution of OC extinction  
230 coefficient.

231 In the dust episode considered, the most significant smoke contribution was observed on  
232 3-4 April. Fig.8a shows the profiles of measured  $\alpha_{355}$  and  $\alpha_{532}$  together with MERRA-2 modeled  
233 extinction coefficients at 532 nm for five aerosol components. The extinction Ångström exponents  
234 measured by lidar and modeled by MERRA-2 for dust component are given by Fig.8b. The same  
235 figure shows also the lidar derived water vapor mixing ratio profile together with the relative  
236 humidity. At low altitudes (below 2500 m), where aerosol is represented by pure dust, the  
237 measured and modeled values of extinction coefficients are close. Above 2500 m the measured  
238 value of  $\alpha_{355}$  exceeds that of  $\alpha_{532}$ , indicating the presence of smoke particles, while modeled  
239 contribution of OC to the total extinction is very low. The measured extinction Ångström exponent  
240 is about -0.1 below 2000 m, which well agrees with modeling results for pure dust. Increase of  
241 WVMR and RH above 2000 m coincides with growth of the  $A_\alpha$ . For the considered case, the  
242 model reproduces correctly the dust loading, but underestimates the smoke contribution. At 3500  
243 m, the difference between measured and modeled  $\alpha_{532}$  is about  $0.045 \text{ km}^{-1}$  which can be attributed  
244 to the smoke contribution.

245 Dust and smoke particles contributions to the total backscattering coefficient can be also  
246 separated on the basis of the depolarization measurements, assuming that depolarization ratios of  
247 these particles are known (Tesche et al., 2009). The results of such decomposition are presented  
248 in Fig.8c, assuming 35% and 7% for dust and smoke depolarization ratio. The  $\delta_{532}=7\%$  was the  
249 lowest value that we observed in elevated smoke layers during the SHADOW experiment  
250 (Veselovskii et al., 2018), however, due to large difference of smoke and dust depolarization ratios,  
251 the choice of exact value for the smoke did not influence significantly the results. The contribution  
252 of smoke to the total  $\beta_{532}$  at 3500 m is  $0.0009 \text{ km}^{-1}\text{sr}^{-1}$ . For the smoke lidar ratio of 50 sr at 532 nm  
253 (validity of this choice will be discussed in section 3.3), the smoke extinction coefficient is about  
254  $0.045 \text{ km}^{-1}$ . This value agrees well with smoke contribution obtained from Fig.8a at 3500 m and  
255 thus can be used for estimating the smoke effect on the intensive aerosols properties derived from  
256 lidar measurements.

257 The depolarization ratio of the “dust-smoke” mixture, calculated with expression (1),  
258 matches the observed value since decomposition in Fig.8c is based on depolarization



259 measurements. The Ångström exponent at 3500 m computed with (4) for  $\alpha_{532}^s = 0.045 \text{ km}^{-1}$ ,  $\alpha_{532}^d$   
 260  $= 0.147 \text{ km}^{-1}$ ,  $A_\alpha^d = -0.1$  and  $A_\alpha^s = 0.9$  yields  $A_\alpha = 0.28$ , which is close to observed value  $0.26 \pm 0.08$ .  
 261 Hence, the observed variation of  $A_\alpha$  above 2000 m on 3-4 April is well explained by smoke  
 262 contribution. In a similar way, using (5) we can estimate the smoke lidar ratio ( $S_{532}^s$ ) that would  
 263 match the observed decrease of  $S_{532}$ . To explain decrease of the lidar ratio at 3500 m from 50 sr  
 264 to 45 sr, the smoke lidar ratio should be about 25 sr, which is unrealistically small (Burton et al.,  
 265 2012). Such small lidar ratio could be attributed to the maritime aerosol, but then the lidar ratios  
 266 at both wavelengths should decrease simultaneously. Recall that on 1-2 April smoke contribution  
 267 was significantly lower, while decrease of  $S_{532}$  is about 10 sr. Thus, smoke particles presence  
 268 cannot explain the observed decrease of  $S_{532}$  and it should be probably attributed to changes of  
 269 dust composition (and so the imaginary part) with height.

270 Smoke lidar ratio is usually assumed to be higher than that of dust (Tesche et al., 2011;  
 271 Burton et al., 2012), meanwhile in Fig 5c the lidar ratio  $S_{532}$  is not increased in presence of the  
 272 smoke particles. It should however be noticed that our results were obtained at low RH. The smoke  
 273 particles are hygroscopic and the lidar ratio should increase with RH. The way to characterize  $S_{532}^s$   
 274 over Dakar site can be based on the analysis of the lidar measurements during smoke episodes  
 275 within height range where smoke contribution becomes predominant. The results of such analysis  
 276 will be discussed later in section 3.3.

277

### 278 **3.2. Dust episodes on 14 and 24 April 2015.**

279 In the second part of April 2015, dust  $\text{AOD}_{532}$  exceeded 1.0 (Fig.1b) and contributions of  
 280 other aerosol components were insignificant. Meanwhile, as follows from Fig.2,  $\text{SSA}_{440}$  increased  
 281 after 15 April, thus dust became less absorbing in the UV, which should influence the lidar-derived  
 282 aerosol intensive properties. Fig.9 shows the values of the extinction coefficients and lidar ratios  
 283 at 355 nm and 532 nm, together with depolarization ratio  $\delta_{532}$  and the Ångström exponents  $A_\alpha$  and  
 284  $A_\beta$  observed on 14 April (00:00 – 05:00 UTC) and 23-24 April (23:00-06:00 UTC). The first case  
 285 is a “transition day” when  $\text{SSA}_{440}$  starts to increase. The aerosol extinction profiles presented in  
 286 Fig.9a show that two dust layers can be distinguished. In the first layer (below 2.5 km), aerosol  
 287 intensive properties are similar to that of 1-4 April with  $S_{355} > S_{532}$ , slightly negative  $A_\alpha = -0.1$  and  
 288  $A_\beta$  as low as -0.35. In the second layer  $S_{355}$  and  $S_{532}$  coincide and both  $A_\alpha$  and  $A_\beta$  are close to zero.  
 289 The depolarization ratio in the second layer is about 31%, slightly lower than in the first one. Thus,  
 290 we can assume that increase of the imaginary part in UV in the first layer is more significant, than

291 in the second one. From the analysis of air mass back-trajectories given in Fig.10, we can conclude  
292 that the air masses in the first layer originate from the Northeastern/Eastern drylands, while in the  
293 second layer the air masses arrive from the East. After 14 April,  $S_{355}$  and  $S_{532}$  coincided for the  
294 whole height range and results obtained on 23-24 April (Fig.8 c, d) are the example of such  
295 observations. Air mass back-trajectories show that the air masses at both 2.0 and 3.0 km height are  
296 transported from East. The ratio  $S_{355}/S_{532}$  is close to 1.0 within the whole dust layer and both  
297 Ångström exponents  $A_\alpha$ ,  $A_\beta$  are close to zero. Thus, the results from Figs.9, 10 are indicating that  
298 lidar-derived aerosol properties depend on the dust source origin.

299

### 300 **3.3 Analysis of lidar ratio variations in March – April 2015**

301 Fig.11 summarizes the lidar ratio measurements for period from 29 March to 24 April 2015  
302 (first phase of SHADOW ended on 25 April). Here we focus on the properties of “pure dust”, thus  
303 do not show results before 29 March, when AOD was lower and the contribution of other aerosol  
304 types could be significant (Fig.1). For the Fig.11 we have chosen height intervals, where S value  
305 is stable and  $\delta$  exceeds 30%. For example, on 14 and 24 April lidar ratios are averaged inside 2.7-  
306 3.7 km and 2.0-4.0 km layers respectively. For the period considered,  $S_{355}$  and  $S_{532}$  vary in the  
307 ranges 50 sr – 80 sr and 45 sr - 60 sr respectively with a mean values of 62 sr and 51 sr. Enhanced  
308 variability of  $S_{355}$  compared to  $S_{532}$  can be explained by variation of the imaginary part at 355 nm.  
309 At the beginning of the 29 March and 8 April dust episodes,  $S_{355}/S_{532}$  ratio is as high as 1.5 and  
310 then gradually decreases. After 14 April,  $S_{355}/S_{532}$  ratio becomes close to 1.0, thus S presents no  
311 spectral dependence.

312 The day-to-day variation of the aerosol column properties, including the spectrally  
313 dependent complex refractive index, can be obtained from AERONET (Holben et al., 1998).  
314 Fig.12 shows the imaginary part of the aerosol refractive index at 440 nm and 675 nm ( $Im_{440}$ ,  
315  $Im_{675}$ ) provided by AERONET for the same period of time as in Fig.11. The  $Im_{440}$  strongly  
316 decreases after 14 April, correlating with the decrease of  $S_{355}/S_{532}$  ratio in Fig.11, which  
317 corroborates the suggestion, that variations of  $S_{355}/S_{532}$  ratio are related to variation of dust  
318 absorption in the UV. The retrieved real part (Re) of the complex refractive index oscillates around  
319  $Re=1.45$  and shows no significant spectral dependence. Correlation between enhancement of  
320  $Im_{440}$ , with in respect to  $Im_{675}$ , and increase of lidar-derived  $S_{355}/S_{532}$  is clearly seen in Fig.13,  
321 showing time – series of difference  $Im_{440}-Im_{675}$  and  $S_{355}/S_{532}$  ratio.

322 To analyze the variations of the observed lidar ratios and the Ångström exponents, a  
323 simplified numerical simulation has been performed. For a realistic modeling of the dust lidar  
324 ratio, various mixtures of different mineral components and particles shapes should be considered.

325 Sensitivity of the modeling results to the dust mixture parameters was demonstrated in study of  
326 Gasteiger et al. (2011). Such detailed modeling, however, is out of the scope of the present paper.  
327 Here we only intend to evaluate the main impact when the imaginary part of CRI is modified.

328 The lidar ratio depends not only on the complex refractive index but also on the dust  
329 particle size distribution (PSD). The PSDs provided by AERONET on 2 and 23 April 2015 (three  
330 distributions for each day) are shown in Fig.14. The PSDs are similar and the effective radii for  
331 both days are about 0.75  $\mu\text{m}$ , thus, difference in S observed for 2 and 23 April should be related  
332 mainly to the complex refractive index. Fig.15a presents modeled  $S_{355}$  and  $S_{532}$  lidar ratios together  
333 with the extinction and backscattering Ångström exponents  $A_\alpha$ ,  $A_\beta$  as a function of the imaginary  
334 part. Computations were performed for the AERONET derived size distribution on 23 April from  
335 Fig.14 using the assembly of randomly oriented spheroids (Dubovik et al., 2006) with the real part  
336  $\text{Re}=1.55$ .  $S_{355}$  and  $S_{532}$  increase with the imaginary part and the ratio  $S_{355}/S_{532}$  is about 1.1.  
337 Extinction coefficient is slightly sensitive to the imaginary part, thus increase of S in Fig.15 is due  
338 to decrease of backscattering coefficient with Im. The modeled  $A_\alpha$  is about  $A_\alpha=0.1$ , while  $A_\beta$   
339 decreases with Im to  $A_\beta=-0.2$ . To estimate the influence of a spectrally dependent imaginary part  
340  $\text{Im}(\lambda)$  on  $A_\beta$ , we have also performed computations assuming a fixed  $\text{Im}_{532}=0.002$  and only  $\text{Im}_{355}$   
341 is free to vary. Corresponding results are shown in Fig.15a with open stars. Spectral dependence  
342 of the imaginary part significantly decreases  $A_\beta$ : for  $\text{Im}_{355}=0.005$  ( $\text{Im}_{355} - \text{Im}_{532}=0.003$ ),  $A_\beta$   
343 decreases to -0.75.

344 We should recall however, that for the second half of April the observed ratio  $S_{355}/S_{532}$ ,  
345 was about 1.0, and both extinction and backscatter Ångström exponents were close to zero. To  
346 figure out the kind of PSD that would reproduce those observations, we retrieved the PSD from  
347  $3\beta+2\alpha$  measurements, as described in Veselovskii et al. (2002, 2010). For that purpose, data from  
348 23-24 April (Fig.9), averaged within 2-3 km layer, were inverted and corresponding PSD is shown  
349 in Fig.14 with red line. Inversion was performed for the assembly of randomly oriented spheroids,  
350 in assumption of spectrally independent refractive index. Due to the limited number of input data  
351 (five) we are able to reproduce only the main features of the PSD. The maximum of this lidar  
352 derived PSD is shifted towards larger radii, with respect to the AERONET size distribution, but at  
353 the same time, retrieved PSD contains significant contribution from the fine particles. The  
354 simulation results for this lidar derived PSD, are given by Fig.15b. The lidar ratios  $S_{355}$ ,  $S_{532}$  for  
355 all values of the imaginary part are close. The backscatter and extinction Ångström exponents are  
356 close to zero, matching the observations of the second half of April 2015. Thus simulation results  
357 demonstrate dependence on the PSD chosen, but in both cases these lead to the same conclusion:

358 observed low values of  $A_{\beta}$  can not be reproduced without accounting for spectral dependence of  
359 the imaginary part.

360 To compare computations and observations, information upon  $Im_{355}$  and  $Im_{532}$  values is  
361 needed. The recently measured refractive indices of dust, sampled at different regions of Africa,  
362 are presented by Di Biagio et al. (2019). In particular, for the countries located North and East of  
363 Senegal, the aerosol imaginary parts at 370, 470, 520, 660 nm are of 0.0043, 0.0033, 0.0026,  
364 0.0013 for Mauritania and 0.0048, 0.0038, 0.0030, 0.0024 for Mali respectively. The highest  
365 values of lidar ratios, observed in our measurements, are about 60 sr and 80 sr at 532 nm and 355  
366 nm respectively. Corresponding imaginary parts of CRI from Fig.15 can be estimated as  
367  $Im_{532}=0.002-0.003$  and  $Im_{355}=0.005-0.006$ , which agrees with results presented by Di Biagio et al.  
368 (2019). Assuming  $Im_{355}=0.005$  and  $Im_{532}=0.002$ , the modeled ratio  $S_{355}/S_{532}$  is about 1.44 and  $A_{\beta}$   
369 is about -0.75 for both AERONET and lidar derived PSDs, which again reasonably agrees with  
370 observations. The modeling performed is very simplified, still it confirms that the observed values  
371 of  $S_{355}/S_{532}$  ratio and  $A_{\beta}$  can be explained by the spectral dependence of the imaginary part of CRI.

372 Thus, based on our measurement results, two types of dust can be distinguished. The first  
373 type has high  $S_{355}/S_{532}$  ratio (up to 1.5). Such kind of dust is characterized by an increase of the  
374 imaginary part in the UV and it was observed, for example, during 29 March and 10 April episodes.  
375 For the second type, the ratio  $S_{355}/S_{532}\approx 1.0$ , so variation of the imaginary part of the refractive  
376 index between 532 and 355 nm wavelengths should be smaller than for the first type. Such dust  
377 was observed in the second half of April 2015. Both types are characterized by high depolarization  
378 ratio,  $\delta_{532}$  values, exceeding 30%, so depolarization measurements at 532 nm are not capable to  
379 discriminate between these two types of dust.

380 The difference in the observed dust properties is probably related to the mineralogical  
381 characteristics in the source region. From the back-trajectories analysis presented in Figs. 4 and  
382 10 one can suppose that the first type of dust was transported from the North–East, while the  
383 second type from the East. In order to verify if a difference in the dust emission source region and  
384 transport take place, we also analyzed the Infrared Difference Dust Index (IDDI) derived from the  
385 Meteosat Second Generation (MSG) geostationary satellite imagery in the thermal infrared (TIR).  
386 The IDDI is developed by Legrand et al. (1985, 2001) originally for the Meteosat First Generation  
387 (MFG) and is based on impact of the airborne mineral dust on the TIR radiation emitted by the  
388 terrestrial surface. The physical principle of the IDDI derivation is in thermal contrast between  
389 terrestrial surface and atmosphere and the best sensitivity is found at around noon time when the  
390 surface temperature is maximal (Legrand et al., 1988). The IDDI product shows that brightness  
391 temperature of terrestrial surface observed by satellite can be reduced up to about 50°K in presence  
392 of airborne mineral dust, while reduction by about 10°K already indicates a major dust event

393 (Legrand et al., 2001). A direct relationship between the IDDI and aerosol optical thickness in  
394 solar spectrum and visibility was also found (Legrand et al., 2001). It should be mentioned here  
395 that the IDDI was initially developed for MFG and the absolute consistence with the IDDI values  
396 from MSG should be examined due to differences in spatial and spectral resolutions between two  
397 sensors. However, the physical principles used for the IDDI determination are the same and a  
398 direct application of the MFG IDDI algorithm to MSG was found as possible. Moreover, tests  
399 showed that the absolute values of IDDI for a coincident overlapping period of MFG and MSG  
400 are very close. Nevertheless, employment of the IDDI from MSG is indeed applicable for the  
401 required in the current analysis purpose of solely dust spatial patterns detection.

402         The IDDI calculations, applied to the MSG images taken during the field campaign, clearly  
403 show a major dust event in northern and central Africa. The elevated IDDI values over Senegal  
404 are also visible. The IDDI images show distinguishable changes in the emission sources and  
405 transport features during the different phases of the observations. For instance, Fig. 16 shows that  
406 the dust emissions during the first phase of the event are originated in south Algeria, Mauritania  
407 and Mali (examples of images from 29 and 30 March 2015). Weeks later, spatial patterns of the  
408 elevated IDDI are shifted to south and show source regions in south of Niger (Fig.15c, d). Of  
409 course, attribution of emission sources mineralogy to aerosol spectral absorption is a complex task  
410 (Alfaro., et al 2004; Lafon et al., 2006; Di Biagio et al., 2017, 2019) and it is difficult to point to a  
411 specific source that could clearly explain the observed in this study change in the aerosol absorbing  
412 properties. However, the IDDI images clearly suggest a change in the dust transport regime that is  
413 consistent with the change in the dust optical properties.

414

#### 415 **4. Smoke episodes in December 2015 – January 2016**

416         During the SHADOW campaign, we had several strong smoke episodes in December 2015  
417 – January 2016, when air mass transported the products of biomass burning from the areas of  
418 intensive forest fires in equatorial region. The relative humidity in the advected smoke layers  
419 varied from episode to episode, allowing evaluation of the RH influence on the smoke lidar ratios  
420  $S_{355}$ ,  $S_{532}$ . We should keep in mind, however, that for different days the smoke particles could have  
421 different chemical composition, so evaluated RH dependence can be considered as semi-  
422 quantitative only. The spatio-temporal evolution of the particle backscattering coefficient and  
423 depolarization ratio at 532 nm, during the 14-15 December 2015 smoke episode, is given in Fig.17.  
424 The same figure shows also the water vapor mixing ratio, a convenient tracer to identify wet air  
425 mass arrived from the equatorial region. The smoke particles are usually contained in elevated  
426 layers, being mixed with dust (Veselovskii et al., 2018). The height ranges where the smoke

427 particles are predominant can be identified by low depolarization ratio and enhanced WVMR. For  
428 event considered, the smoke particles are predominant above 1500 m after midnight.

429 The vertical profiles of  $\alpha_{355}$ ,  $\alpha_{532}$ ,  $S_{355}$ ,  $S_{532}$ ,  $A_\alpha$ ,  $A_\beta$  together with the water vapor mixing  
430 ratio and the relative humidity, for 15 December (04:00 – 06:00 UTC), are shown in Fig.18. The  
431 same figure presents decomposition of  $\beta_{532}$  to the dust and smoke contributions, based on  
432 depolarization measurements (Tesche et al., 2011). The smoke episodes are characterized by  
433 different relative humidity within the elevated layer. On 15 December, RH is about 40% in the  
434 1500 – 2100 m range and the ratio  $\frac{\beta_{532}^s}{\beta_{532}}$  is about of 0.57 at 2000 m. The lidar ratio  $S_{532}$  decreases  
435 from 50 sr to 44 sr in 1000 m - 2000 m range, while  $S_{355}$  rises from 58 sr to 67 sr, thus  $S_{355}$   
436 significantly exceeds  $S_{532}$ . We should recall that lidar ratios presented in Fig.18 are attributed to  
437 dust- smoke mixture. In principle, we can estimate  $S_{532}^s$  using Eq.5, because the ratio  $\frac{\beta_{532}^s}{\beta_{532}}$  is  
438 available. Corresponding  $S_{532}^s$  profile obtained for assumed  $S_{532}^d=50$  sr is shown in Fig.18a (black  
439 line).  $S_{532}^s$  is about 40 sr at 2000 m and it is close to measured  $S_{532}$  value. In the smoke layer, the  
440 extinction Ångström exponent  $A_\alpha$ , can exceed  $A_\beta$ , due to negative contribution of  $A_\beta^d$ . In particular,  
441 on 15 December  $A_\alpha$  is about 1.1, while  $A_\beta$  is close to zero.

442 To estimate the dependence of smoke lidar ratios  $S_{355}$  and  $S_{532}$  on RH, five smoke episodes  
443 on 14-15, 15-16, 22-23, 24-25 December 2015 and 19-20 January 2016 were analyzed.  $S_{532}$  and  
444  $S_{355}$ , together with relative humidity and the  $\frac{\beta_{532}^s}{\beta_{532}}$  ratio are summarized, for these episodes, in  
445 Table 1. The heights chosen correspond to the values of relative humidity close to maximum. The  
446 calculated values of RH are characterized by high uncertainties, because lidar and sonde  
447 measurements are not collocated. Estimations of the corresponding uncertainties are also given by  
448 Table 1. The lidar ratio values from Table 1 are plotted in Fig.19 as a function of RH. These plots,  
449 however, should be taken with care, because, due to variation of chemical composition and the  
450 aging processes, results may depend not only on RH. Moreover, the dust particles occurring in the  
451 elevated layers, as discussed, can introduce an additional ambiguity in the results. Nevertheless,  
452 Fig.19 demonstrates a clear increasing trend of S with RH, at both wavelengths. From this figure,  
453 one can also conclude that  $S_{355}$  always exceeds  $S_{532}$  and, that  $S_{532}$  for smoke can be as small as  
454  $44\pm 5$  sr at low humidity. The small values of  $S_{532}$  for the “fresh smoke” (about 40 sr) were reported  
455 also by Burton et al. (2012).

456 To compare our observations with the lidar ratios used in the MERRA-2 model, we have  
457 also performed the simulation of  $S_{532}^{OC}(RH)$  and  $S_{355}^{OC}(RH)$  dependence for organic carbon (OC)  
458 based on the particle parameters and hygroscopic growth factor from MERRA-2 model. In  
459 MERRA-2 the organic carbon is the main component of the biomass burning products. The  
460 imaginary part of the OC increases in the UV due to the presence of “brown carbon” (BrC), which  
461 is a subset of organic carbon with strong absorption in the UV region (Bergstrom et al., 2007;  
462 Torres et al., 2007). The majority of BrC is emitted into the atmosphere through low-temperature,  
463 incomplete combustion of biomass. In the newest development of GEOS, biomass burning OC is  
464 now emitted as a new BrC tracer species that uses  $Im_{532}=0.009$  and  $Im_{355}=0.048$  values (Hammer  
465 et al. 2016). Thus, the spectral behavior of the imaginary part of organic carbon refractive index  
466 depends on contribution of the BrC fraction to the primary organic carbon and on the physical-  
467 chemical processes in the smoke layer during its transportation. As a result, the spectral  
468 dependence of  $Im$  can present strong variations. In our study, the computations at 355 nm were  
469 performed for four values of the imaginary part of dry particles  $Im_{355}=0.048, 0.03, 0.02, 0.01$ . At  
470 532 nm two values  $Im_{532}=0.005$  and  $0.009$  were considered. The parameters of the dry particle size  
471 distribution, the real part of CRI and the hygroscopic growth factor used in computations are given  
472 in Veselovskii et al. (2018). The particles are assumed to be homogeneous spheres and an increase  
473 of the volume for every RH value (calculated from the growth factor) occurs due to water uptake.  
474 Thus both the real and the imaginary part of CRI depend on RH.

475 The results of the simulations, shown in Fig.19, demonstrate strong dependence of the  
476 organic carbon lidar ratio on the imaginary part of dry particles and on the relative humidity. For  
477  $Im_{355}=0.048$ , for all RH,  $S_{355}$  is above 95 sr, which strongly exceeds the observed values. For lower  
478  $Im_{355}$  the  $S_{355}(RH)$  dependence is more pronounced and for  $Im_{355}$  within the range 0.01-0.02,  
479 computed  $S_{355}$  are close to observed values. Computed  $S_{532}$  values at low RH exceed the measured  
480 ones, but for  $RH>70\%$  agreement between measurements and GEOS assumed optical properties  
481 for OC becomes reasonable.

482

## 483 **5. Summary and conclusion**

484 Our study shows the impact of the imaginary part variation on the lidar-derived dust  
485 properties. In contrast to extinction, the backscattering coefficient, and so the lidar ratio, are  
486 sensitive to the imaginary part of CRI. Hence,  $S_{355}/S_{532}$  ratio can be an indicator of the imaginary  
487 refractive index enhancement in the UV. Measurements performed during the SHADOW  
488 campaign, in dust conditions, show a correlation between the decrease of  $Im_{440}$ , derived from  
489 AERONET observations, and the decrease of lidar-derived  $S_{355}/S_{532}$  ratio. Namely, in the second

490 half of April 2015,  $S_{355}/S_{532}$  decreased from 1.5 to 1.0, when  $Im_{440}$  decreased from 0.0045 to  
491 0.0025. Our numerical simulations confirm, that observed  $S_{355}/S_{532}$  (ratio close to 1.5) and  $A_{\beta}$   
492 (value close to -0.75) can be due to spectral variation of the imaginary part, attributed to iron oxides  
493 contained in dust particles. The simulations were performed for the model of randomly oriented  
494 spheroids, however, we should recall, that increase of the particle lidar ratio with the imaginary  
495 part should occur for any particle shape.

496 Thus, April 2015 observations suggest the presence of different dust types, characterized  
497 by distinct spectral dependence of  $Im(\lambda)$ . The analysis of backward trajectories and IDDI derived  
498 from MSG geostationary satellite confirms different air mass and dust particles transport features  
499 in the beginning and at the end of April. Hence, the observed variations of  $S_{355}/S_{532}$  can be related  
500 to the source region mineralogy. During April, the particle depolarization systematically exceeded  
501 30%, therefore no discrimination between different types of dust was possible. Dependence of  
502  $S_{355}/S_{532}$  ratio on dust origin, in particular, could explain, why during SAMUM experiments no  
503 significant spectral dependence of the lidar ratio was observed.

504 The results presented in this study demonstrate also that, for the selected temporal interval,  
505 the dust lidar ratios may present significant variation with height. Dust of different size and  
506 mineralogical composition can have different deposition rate, hence, complex refractive index can  
507 be height-dependent. For instance, on April 1<sup>st</sup>, the  $S_{532}$  decreased with height from 60 sr to 50 sr  
508 within 1000–3000 m range, while depolarization ratio exceeded 30%. The analysis of this episode  
509 showed that variation of the lidar ratio is entirely attributed to variations of dust characteristics;  
510 the smoke aerosol contribution was insignificant. The data also demonstrate that a seemingly  
511 uniform dust layer may have quite a complex height variation. The results therefore suggest the  
512 relevance of including a varying mineralogy in radiative and climatic modeling of desert dust  
513 impacts. Dust mineralogy should be also taken into account, when possibility of the particle  
514 microphysical parameters characterization on a base of multiwavelength lidar measurements is  
515 analyzed (Perez-Ramirez et al., 2019, 2020).

516 During December – January, the dry season in western Africa, our observations allowed in  
517 addition the analysis of biomass burning aerosol properties. These particles are a product of the  
518 seasonal forest fires and intensive agricultural waste combustion and can contain a substantial  
519 amount of organic compounds, characterized by an enhanced imaginary part in UV (so called  
520 BrC). For this aerosol type, the  $Im(\lambda)$  dependence should increase the lidar ratio at 355 nm and  
521 influence  $S_{355}/S_{532}$ . The smoke particles can be also hydrophilic and the lidar ratio can therefore  
522 exhibit a strong dependence on RH. Several strong smoke episodes were observed during the  
523 SHADOW campaign. While we were able to evaluate the RH profiles, the dependence of the  
524 smoke lidar ratio with RH has been estimated. The results obtained should be taken as semi-



525 qualitative only, due to possible variation of smoke particles composition from episode to episode  
526 and due to the presence of dust particles. Nevertheless, the results clearly demonstrate an increase  
527 of  $S_{532}$  from  $44\pm 5$  sr to  $66\pm 7$  sr and of  $S_{355}$  from  $62\pm 6$  sr to  $80\pm 8$  sr, when the RH increased from  
528 25% to 85%.

529 We would like to conclude that the multi-wavelengths Raman and depolarization lidar  
530 measurements in western Africa enabled quite unique and comprehensive profiling of dust and  
531 smoke spectral absorption properties. The results demonstrated a high variability of the lidar ratio  
532 and the presence of its spectral dependence. Our study is one of the first attempts to track aerosol  
533 composition variability using lidar measurements and to understand the mechanism underlying the  
534 observed variations. However, the results presented were obtained for a single region in western  
535 Africa. It is important to repeat such studies at different locations around the world, including the  
536 Middle East, Central and East Asia, Australia, and North America in order to improve our  
537 knowledge on real-world dust optical properties, needed in climate relevant atmospheric  
538 modelling.

539

540 **Author contributions.** IV processed the data, and wrote the paper. QH and TP performed the  
541 measurements. PG supervised the project and helped with paper preparation. MK developed  
542 software for data analysis. YD and ML analyzed the satellite data and PC provided MERRA-2  
543 simulations.

544 **Data availability.** Lidar measurements are available upon request (philippe.goloub@univ-  
545 lille.fr).

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560

561 Table 1. Lidar ratios  $S_{355}$ ,  $S_{532}$  for five smoke episodes in December 2015 – January 2016 and  
562 corresponding the relative humidity RH. The table provides also the height and temporal interval

563 of observations. The contribution of the smoke particles to the total backscattering  $\frac{\beta_{532}^s}{\beta_{532}}$  is derived  
564 from depolarization measurements.

565

Date	Height, m	Time, UTC	$\frac{\beta_{532}^s}{\beta_{532}}$	RH, %	$S_{355}$ , sr	$S_{532}$ , sr
15 Dec	2000	04:00-06:00	0.57	42±8	67±7	44±5
15 Dec	1850	19:20-20:30	0.57	25±6	62±6	50±5
23 Dec	2250	05:00-07:00	0.65	65±13	76±8	56±6
24 Dec	3200	19:00-23:00	0.66	75±14	76±8	62±6
20 Jan	4500	01:00-07:00	0.8	85±15	80±8	66±7

566

567

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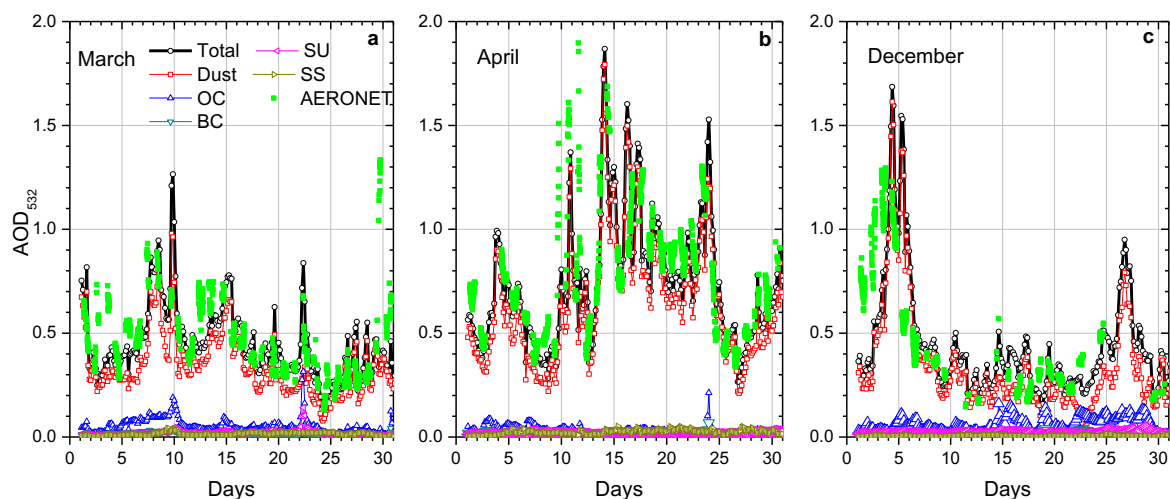
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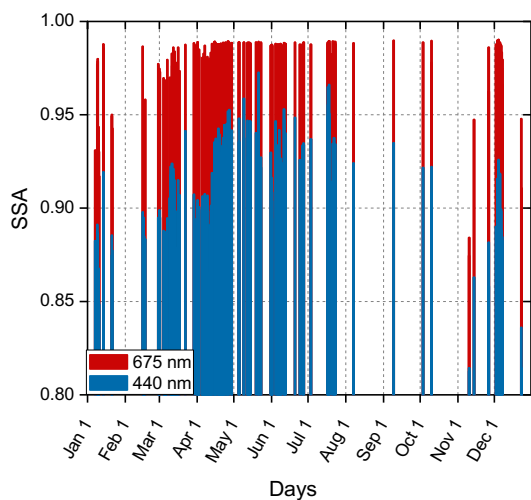
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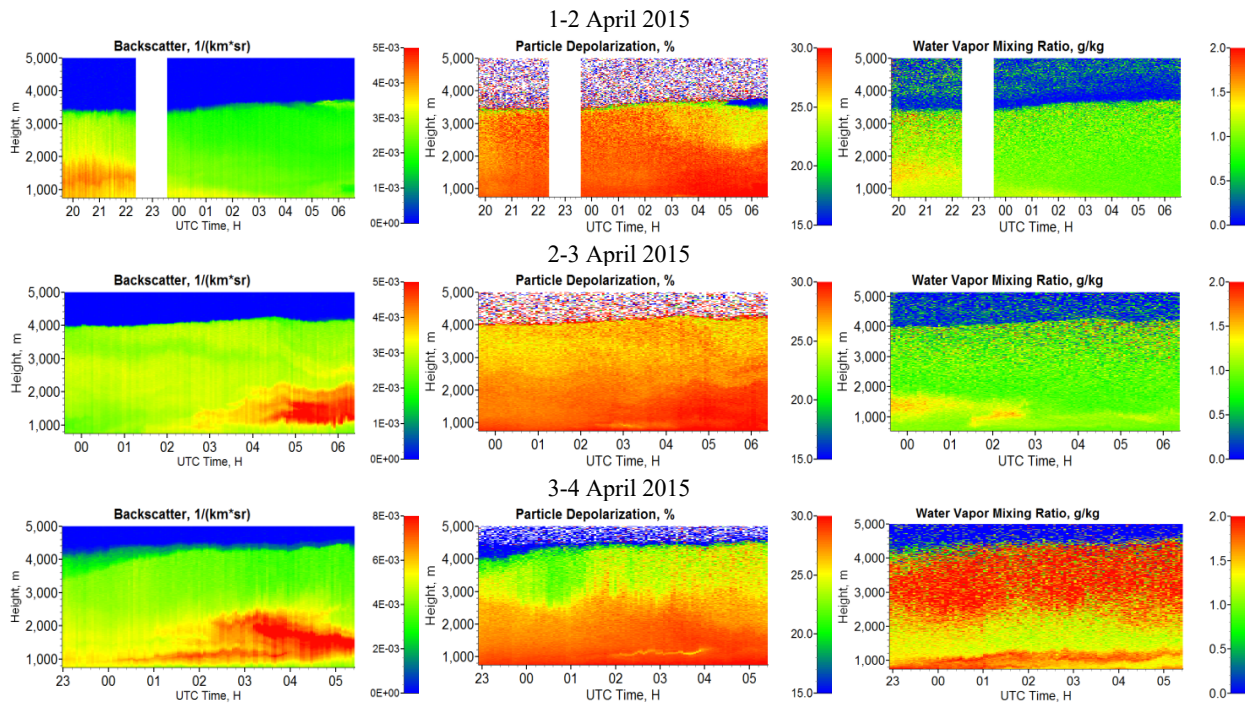


804 Fig.1. The aerosol optical depth (AOD) at 532 nm (open circles) and AODs of the main aerosol  
 805 components, such as dust, organic carbon (OC), black carbon (BC), sulfates (SU) and sea salt (SS)  
 806 provided by the MERRA-2 for (a) March, (b) April and (c) December 2015 over Mbour. Open  
 807 stars show AOD<sub>532</sub> provided by AERONET.  
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 811 Fig.2. Aerosol single scattering albedo (SSA) at 675 nm and 440 nm provided by AERONET for  
 812 M'bour site in 2015.  
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816 Fig.3. Tempo-spatial distributions of aerosol backscattering coefficient  $\beta_{532}$  (left column),  
817 particle depolarization ratio  $\delta_{532}$  (middle column) and water vapor mixing ratio (right column) for the  
818 nights 1-2 April (upper row), 2-3 April (middle row) and 3-4 April 2015 (bottom row).  
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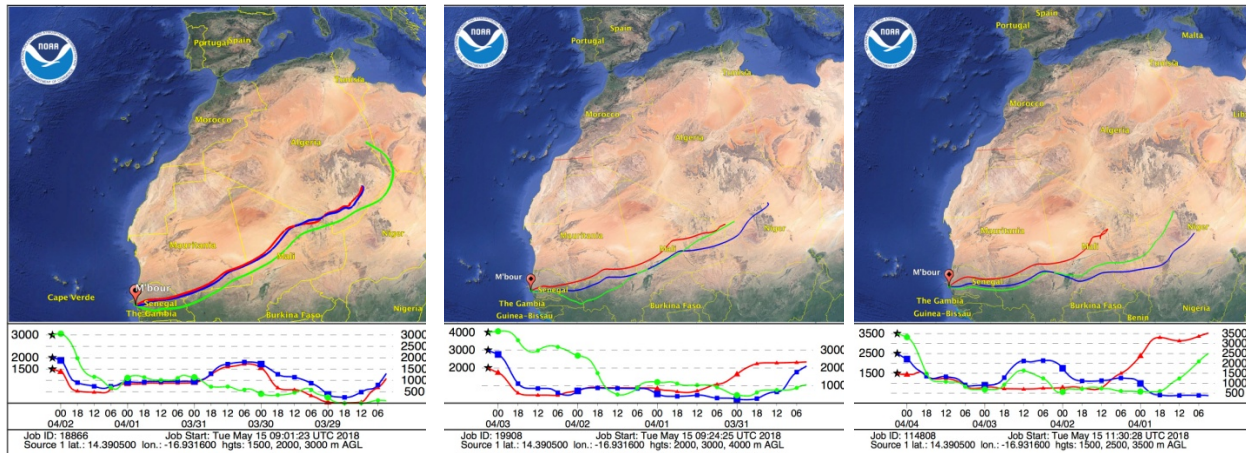
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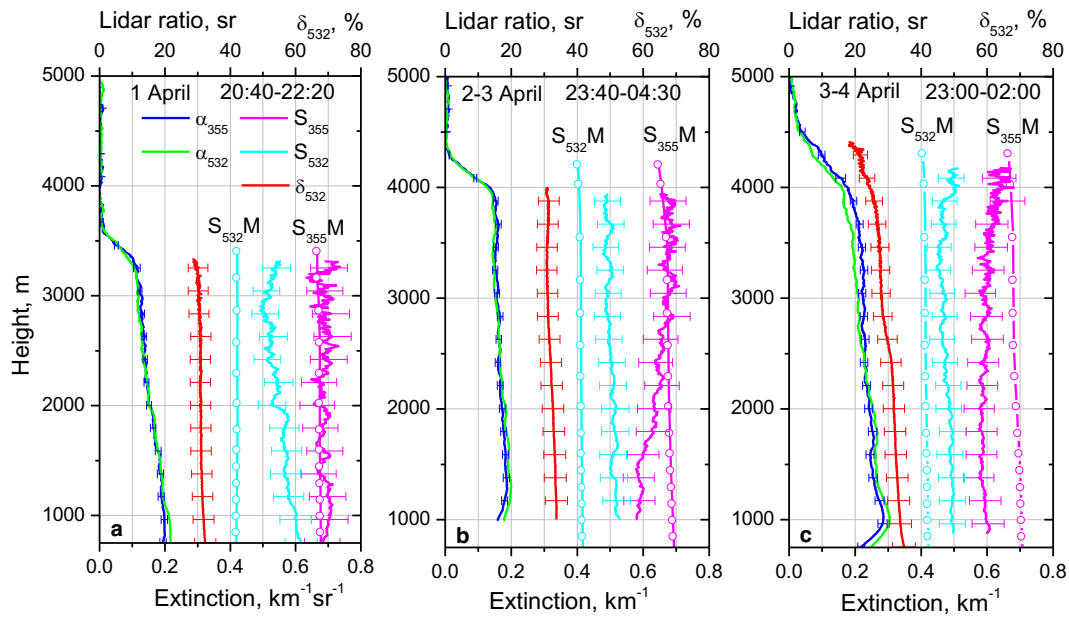
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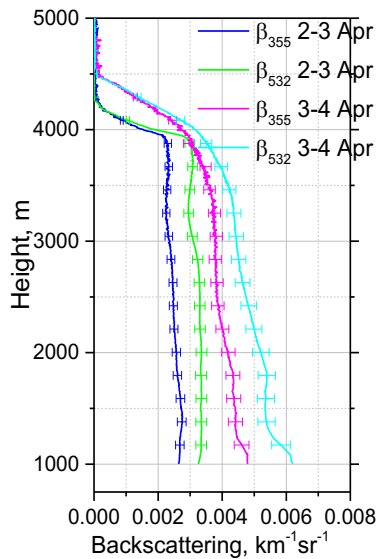


825 Fig.4. Three-day backward trajectories for the air mass in M'bour on 2, 3, 4 April 2015 at 03:00  
826 UTC obtained with the HYSPLIT model.  
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828 Fig.5. Vertical profiles of extinction coefficients ( $\alpha_{355}$ ,  $\alpha_{532}$ ) and lidar ratios ( $S_{355}$ ,  $S_{532}$ ) at 355 nm  
 829 and 532 nm together with particle depolarization ratio  $\delta_{532}$  measured on 1 April (20:40-22:20  
 830 UTC), 2-3 April (23:40-04:30 UTC) and 3-4 April 2015 (23:00-02:00 UTC). Symbols show the  
 831 lidar ratios of dust provided by MERRA-2 model ( $S_{355}M$ ,  $S_{532}M$ ).

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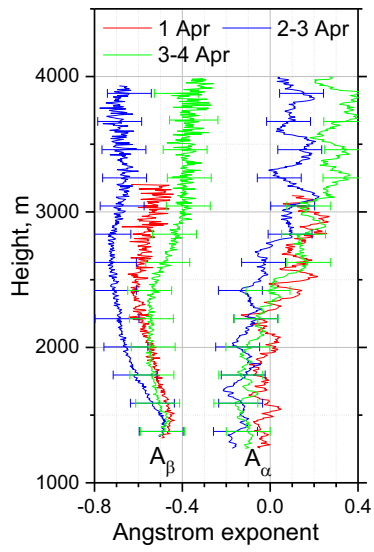
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834 Fig.6. Backscattering coefficients for observations presented in Fig.5b,c for 2-3 and 3-4 April.

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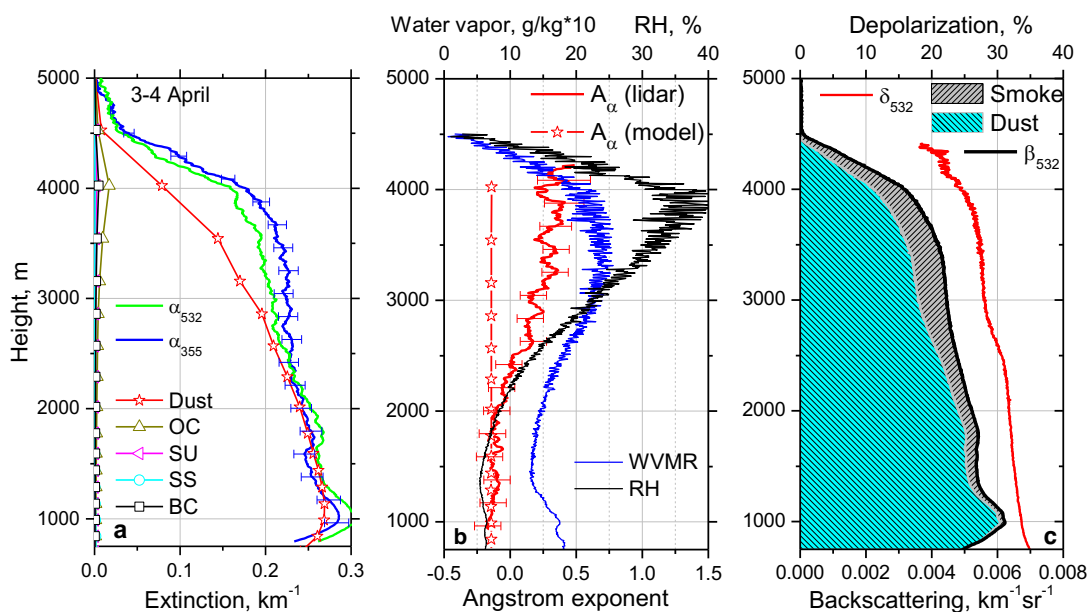
839 Fig.7. Vertical profiles of the extinction and backscattering Ångström exponents ( $A_\alpha$  and  $A_\beta$ ) at  
 840 355 – 532 nm for three temporal intervals from Fig.5.

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845 Fig.8. Vertical profiles of (a) extinction coefficients at 355 nm and 532 nm ( $\alpha_{355}$ ,  $\alpha_{532}$ ) measured  
 846 by lidar (lines) and modeled by MERRA-2 (line+symbol) for five aerosol components at 532 nm;  
 847 (b) extinction Ångström exponents at 355-532 nm obtained from lidar observations and modeled  
 848 by MERRA-2 for pure dust (stars) together with water vapor mixing ratio (WVMR) and the  
 849 relative humidity; (c) contribution of dust and smoke particles to  $\beta_{532}$  together with particle  
 850 depolarization ratio  $\delta_{532}$ . Values of WVMR are multiplied by factor 10. Lidar measurements were  
 851 performed on 3-4 April 2015 for period 23:00 – 02:00 UTC. Modeling results are given for 4 April  
 852 00:00 UTC.

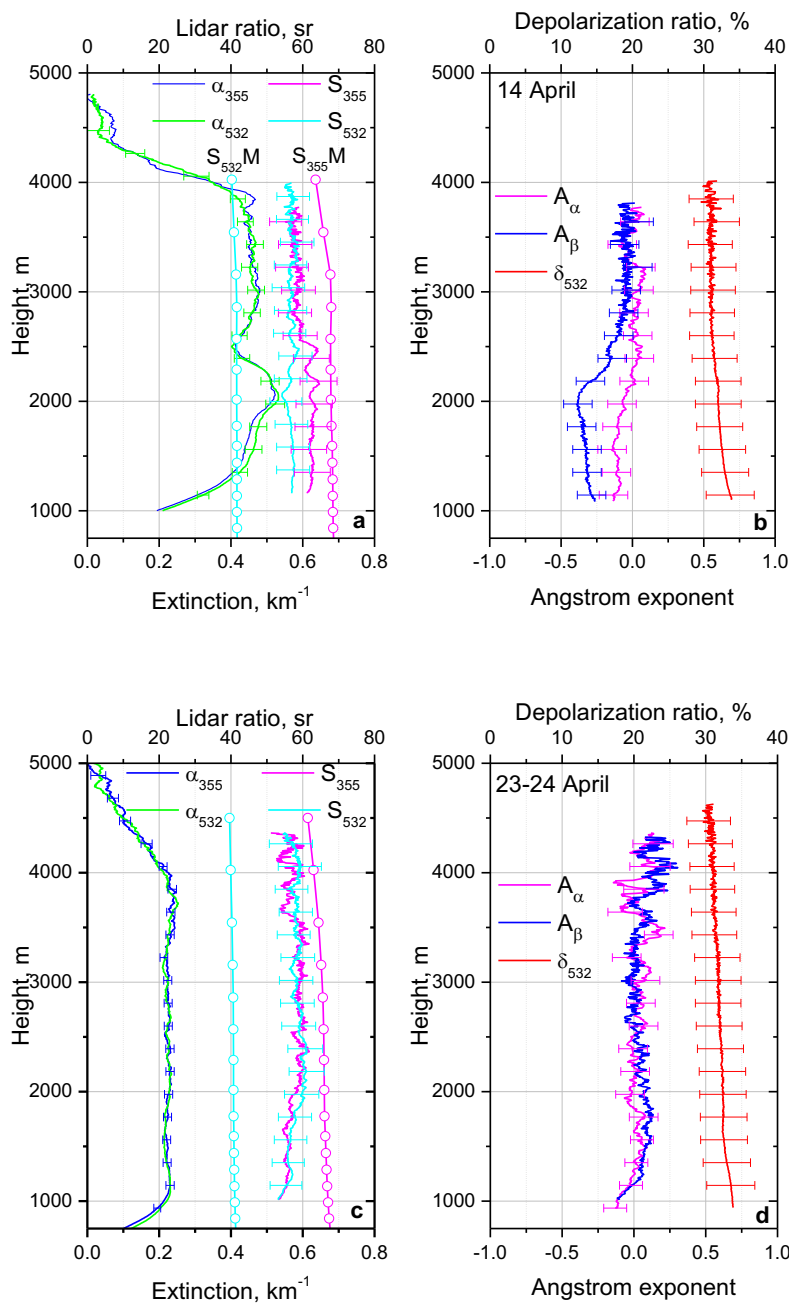
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858 Fig.9. (a, c) Vertical profiles of extinction coefficients ( $\alpha_{355}$ ,  $\alpha_{532}$ ) and lidar ratios ( $S_{355}$ ,  $S_{532}$ ) at  
859 355 nm and 532 nm; together with (b, d) particle depolarization ratio  $\delta_{532}$ , and extinction and  
860 backscattering Ångström exponents ( $A_\alpha$ ,  $A_\beta$ ) measured on (a, b) 14 April 2015 (00:00 – 05:00  
861 UTC) and (c, d) the night 23-24 April (23:00-06:00 UTC). Open symbols on plots (a, c) show the  
862 lidar ratios  $S_{355}M$  and  $S_{532}M$  provided by MERRA-2 model on 14 and 14 April at 00:00 UTC.

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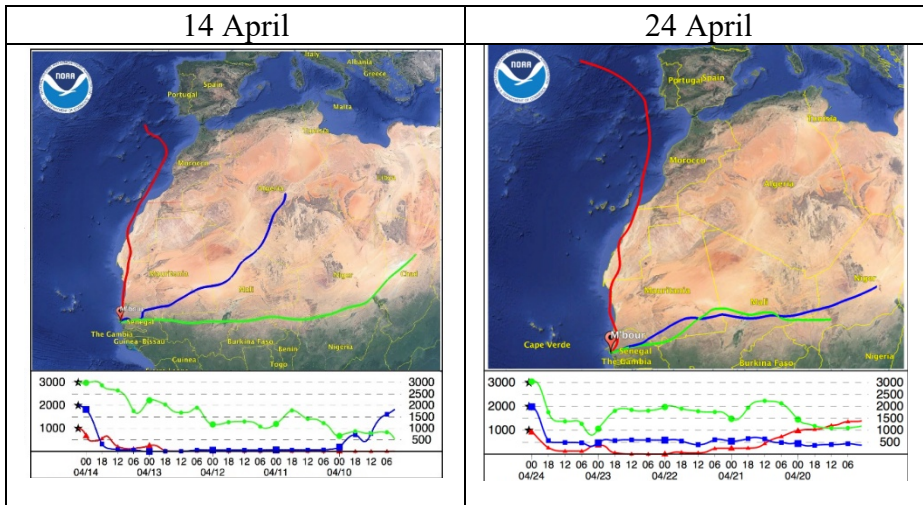
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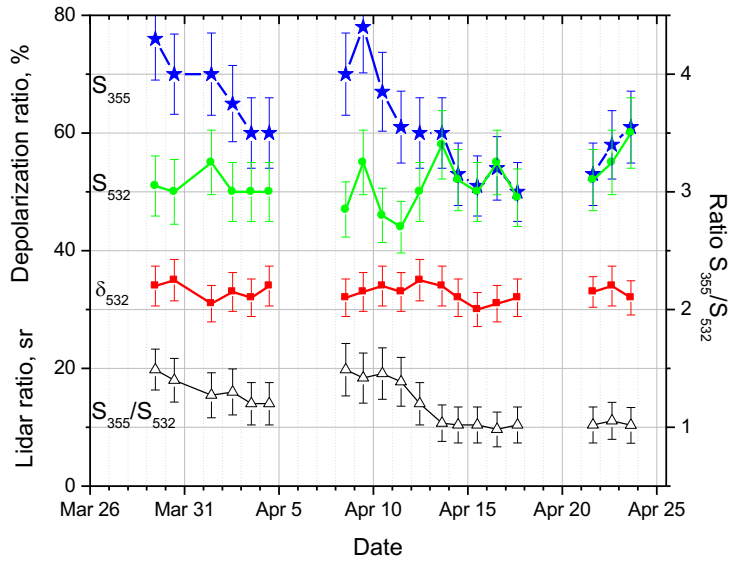
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870 Fig.10. Four-days backward trajectories for 14 April (03:00 UTC) and 24 April (00:00 UTC)  
871 2015 obtained with the HYSPLIT model.

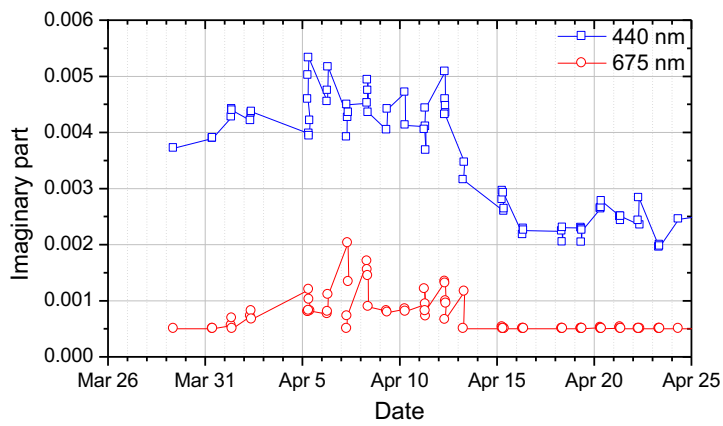
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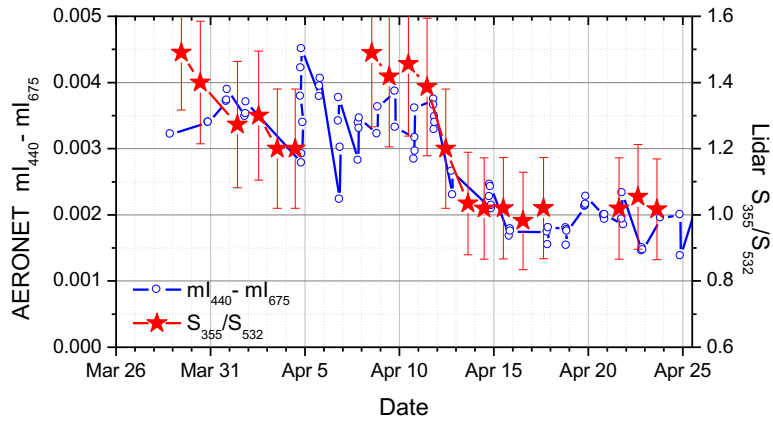


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 876 Fig.11. Lidar ratios  $S_{355}$ ,  $S_{532}$  and the particle depolarization ratio  $\delta_{532}$  for dust episodes in March  
 877 - April 2015. Open triangles show the ratio  $S_{355}/S_{532}$ .

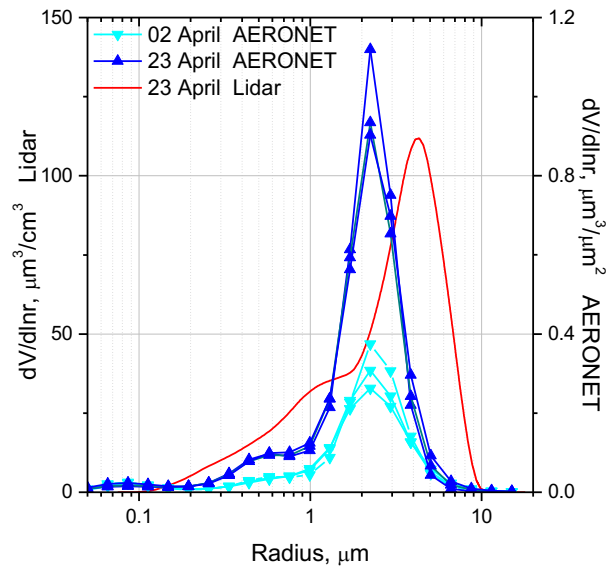


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 879 Fig.12. Imaginary part of the refractive index at 440 nm and 675 nm provided by AERONET in  
 880 March – April 2015

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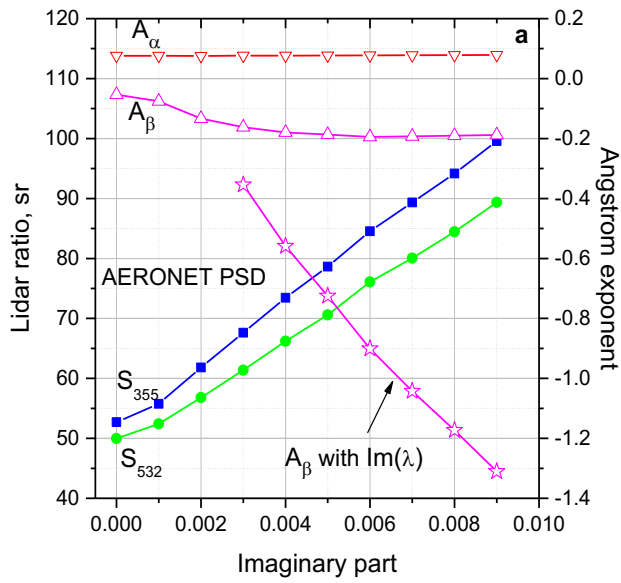
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884 Fig.13. Difference  $Im_{440} - Im_{675}$  from Fig.11 together with lidar measured values  $S_{355}/S_{532}$  from  
885 Fig.11 for days in April 2015.  
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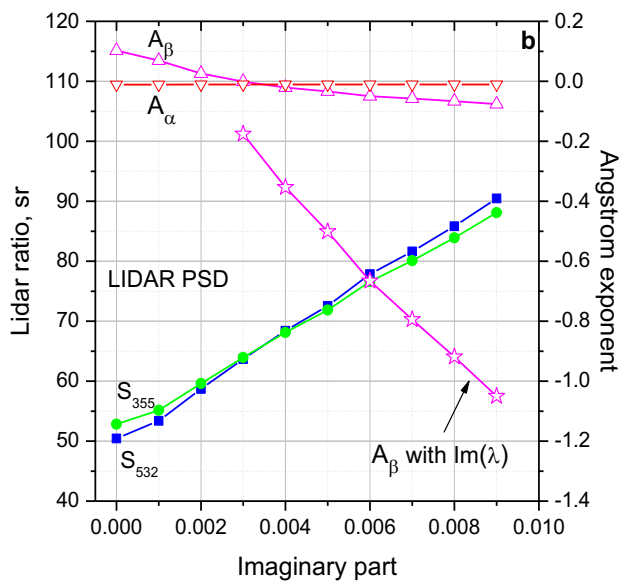
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Fig.14. The particle size distributions provided by AERONET on 2 and 23 April 2015 (three PSDs for each day). Red line shows the PSD derived from  $3\beta+2\alpha$  lidar measurements on 23-24 April within 2.0 – 3.0 km height range.

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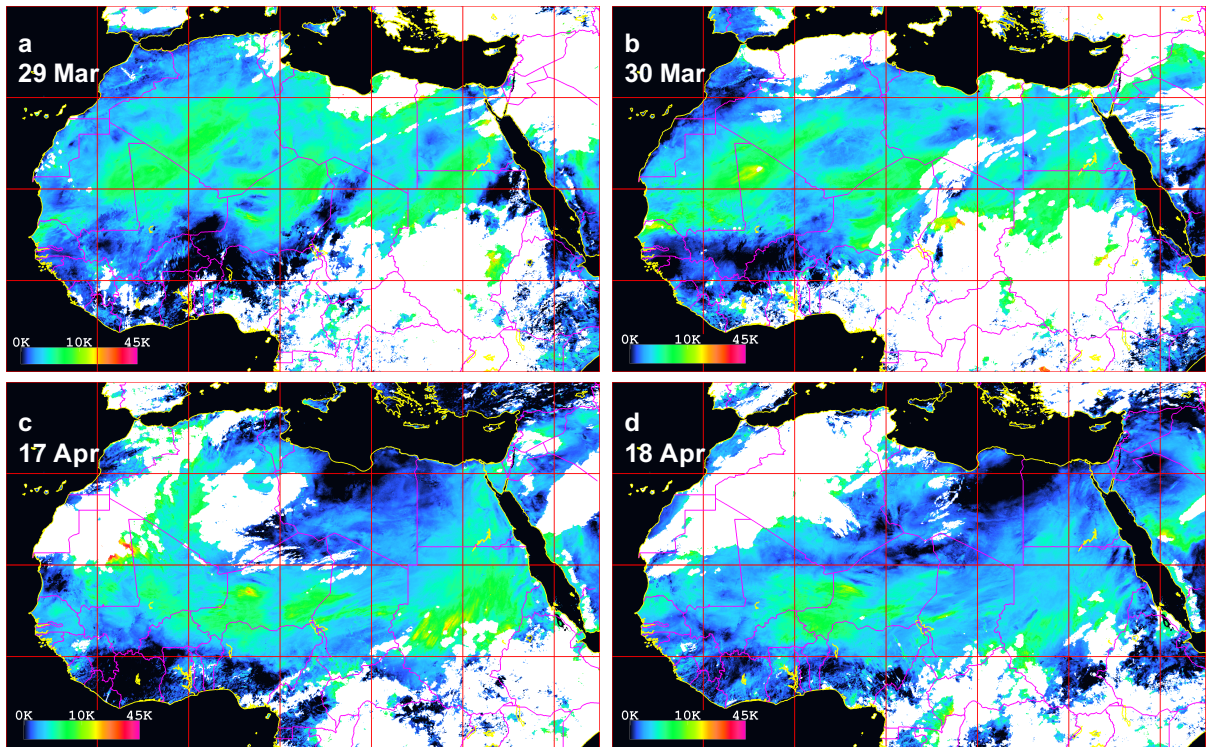


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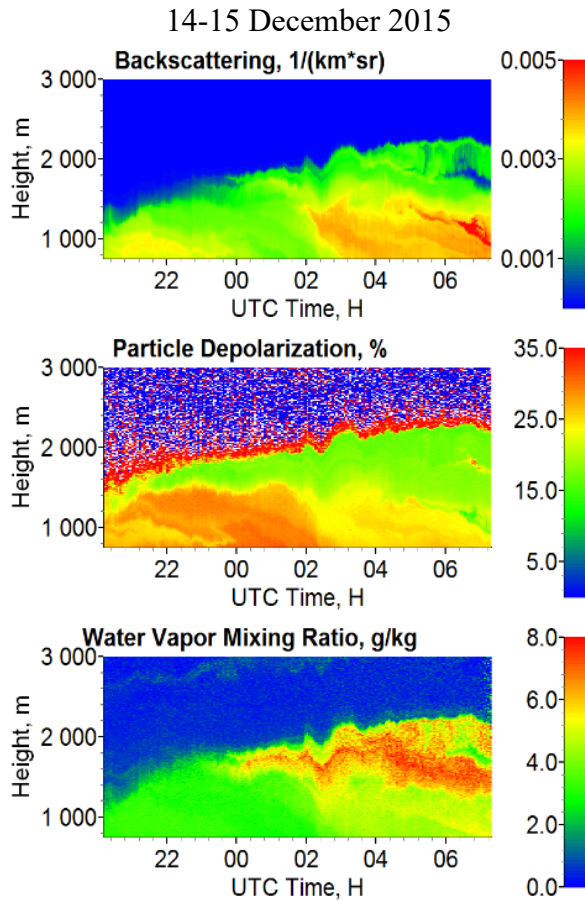
Fig.15. Lidar ratios  $S_{355}$ ,  $S_{532}$  together with the extinction and backscattering Ångström exponents  $A_\alpha$  and  $A_\beta$  calculated for (a) AERONET PSD on 23 April from Fig.14 and (b) lidar derived PSD from Fig.13 as a function of the imaginary part. Open stars show  $A_\beta$  for spectrally dependent imaginary part  $\text{Im}(\lambda)$ , assuming that  $\text{Im}_{532}=0.002$  is fixed and only  $\text{Im}_{355}$  is free vary. Computations are performed for the assembly of randomly oriented spheroids with the real part  $\text{Re}=1.55$ .



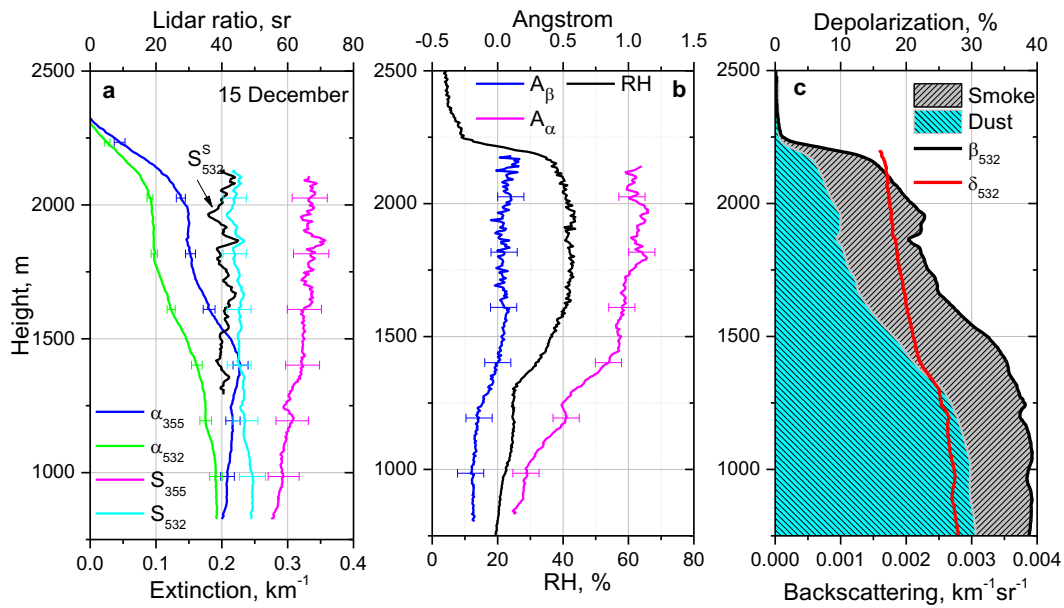
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Fig.16. Infrared Difference Dust Index (IDDI) derived from MSG geostationary satellite at noon time. Panels (a), (b) show IDDI elevated values, representing airborne dust emission and transport, over central and northern Sahara on 29, 30 March 2015. The dust transport regime is visibly changed a few days later (17, 18 April 2015, panels (c), (d)); the elevated IDDI values are shifted to the south. The areas in white are cloud screened pixels; the IDDI is derived only over land due to the algorithm physical principle.

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917 Fig.17. Tempo-spatial distributions of aerosol backscattering coefficient  $\beta_{532}$ , particle  
918 depolarization ratio  $\delta_{532}$  and water vapor mixing ratio during smoke episode on the night 14-15  
919 December 2015.  
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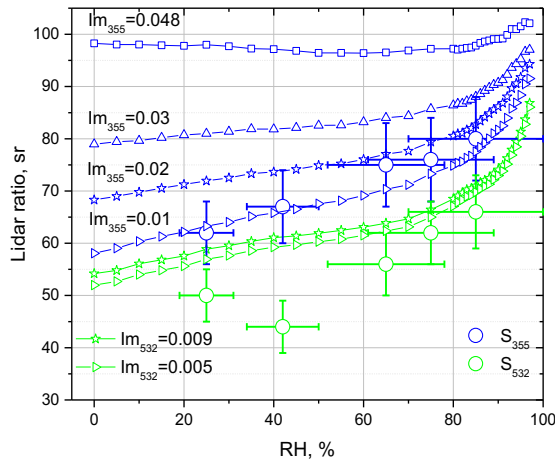


923 Fig.18. Vertical profiles of (a) extinction coefficients ( $\alpha_{355}$ ,  $\alpha_{532}$ ) and lidar ratios ( $S_{355}$ ,  $S_{532}$ );  
 924 extinction, backscattering Ångström exponents ( $A_\alpha$ ,  $A_\beta$ ) at 355 – 532 nm and relative humidity  
 925 RH; (c) contribution of dust and smoke to  $\beta_{532}$  together with particle depolarization ratio  $\delta_{532}$  on  
 926 15 December (04:00 – 06:00 UTC). Black line in plot (a) shows the lidar ratio of smoke  $S_{532}^s$   
 927 calculated from Eq.5.

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Fig.19. Modeled lidar ratios of organic carbon at 355 nm and 532 nm (line + symbol) as a function of the relative humidity for the particle parameters used in the MERRA-2 model. At 355 nm results are given for four values of the imaginary part of dry particles:  $Im_{355} = 0.048, 0.03, 0.02, 0.01$ . At 532 nm two values  $Im_{532} = 0.009$  and  $0.005$  are considered. The scattered symbols (circles) show the lidar ratios ( $S_{355}, S_{532}$ ) observed during five smoke episodes from Table 1.