

1 **A Dusty Atmospheric River Brings Floods to the Middle East**

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15 **Key Points:**

- 16 • Atmospheric rivers can cause heavy rains, rapid snowmelt, and floods in regions far from the  
17 oceans such as the Middle East.
- 18 • A distinct characteristic of ARs in the Middle East is their contribution to dust transport from  
19 the major sources along their pathways.
- 20 • Based on its intensity and duration, the AR in this study is classified as “balance of beneficial  
21 and hazardous”.

22 **Abstract**

23 Torrential rainfall and rapid snowmelt in April 2017 caused deadly floods in northwestern Iran. An  
24 atmospheric river (AR), propagating across the Middle East and North Africa, was found responsible  
25 for this extreme event. The snowmelt was triggered by precipitation and warm advection associated  
26 with the AR. Total satellite-based rainfall for April 2017 was moderately below normal, suggesting  
27 that a heavy flood can happen during dry years. The AR was fed by moisture from the Mediterranean  
28 and Red Seas. Despite its adverse societal consequences, this event was beneficial to the recovery of  
29 the desiccating Lake Urmia. The impacts of this AR were not limited to flooding; it also facilitated  
30 dust transport to the region. This distinct characteristic of the ARs in the Middle East is attributed to  
31 major mineral dust sources located along their pathways. This event was reasonably predicted at 7-day  
32 lead time, crucially important for successful early warning systems.

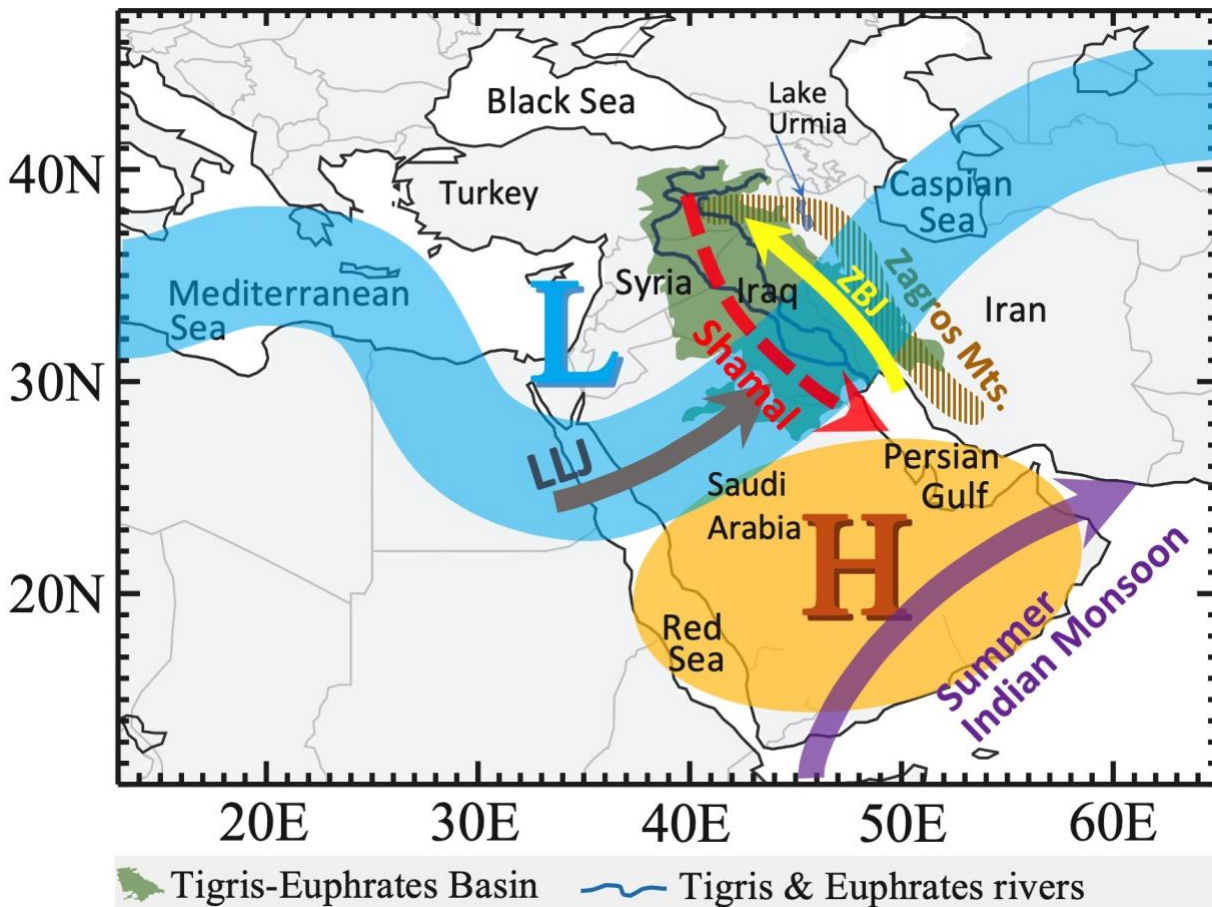
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34 **Plain Language Summary**

35 The frequency and intensity of weather-related extreme events, particularly floods, have increased in  
36 recent decades, both globally and in parts of the Middle East. Some floods are caused by heavy rains  
37 from the atmospheric rivers (AR), which are long, narrow, and transient corridors of strong horizontal  
38 water vapor transport. The contribution of ARs to precipitation extreme events over the Middle East is  
39 not well understood. Here, we show that a 2017 devastating flood in northwestern Iran that claimed 48  
40 lives was driven by a ~5,500 km long AR, which extended from northeastern Africa to Central Asia.  
41 The impacts of this event were not limited to heavy floods; strong winds within the AR also carried  
42 mineral dust from the sources located on their pathway to the region. From a different perspective, the  
43 rains were much needed for restoration of the desiccating Lake Urmia in northwestern Iran. Numerical  
44 weather predictions provided a skillful forecast for this multi-impact event at up to a 7-day lead time.  
45 This is important because such events have various societal, health and environmental implications,  
46 and their skillful predictions would be beneficial for decision makers.

47 **1. Introduction**

48 The frequency and intensity of extreme weather-related hazards, particularly floods, have increased in  
 49 recent decades, both globally and in parts of the Middle East (Banholzer et al. 2014; Modarres et al.  
 50 2016; Razavi et al. 2020). The regions with poor infrastructures and dry climate, such as many parts of  
 51 the Middle East, have been in particular vulnerable to the impacts of these events (Zereini and Hötzl  
 52 2008; Masih et al. 2011; Gleick 2014; Rougé et al. 2018; Hameed et al. 2019). The countries in the  
 53 region have experienced a range of environmental issues such as widespread floods, prolonged  
 54 droughts, dust storms, heat waves, and desiccating lakes (e.g., Zhang et al. 2005; Raziei et al. 2009;  
 55 Furman 2003; Lelieveld et al. 2016; Dezfuli et al. 2017; Alborzi et al. 2018). These extreme events  
 56 result from interactions between several atmospheric features that act across spatio-temporal scales  
 57 (Figure 1). Understanding these processes, especially those associated with two or more concurrent  
 58 natural hazards, i.e., compound events, is crucial for disaster management.

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61 **Figure 1.** Regional drivers of weather and climate over the Middle East, adapted from Dezfuli et al.  
 62 (2017) with modification (© American Meteorological Society, used with permission). The phenomena  
 63 schematically shown include a typical mid-latitude storm, low-level jet (LLJ), summer Indian  
 64 monsoon, Zagros barrier jet (ZBJ), Shamal winds and several geographical features such as the  
 65 Zagros Mountains and the regional seas.

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67 Some of the heavy rains and associated floods around the globe are attributed to the atmospheric rivers  
 68 (AR). An AR is defined as “a long, narrow, and transient corridor of strong horizontal water vapor  
 69 transport that is typically associated with a low-level jet stream ahead of the cold front of an  
 70 extratropical cyclone” (Ralph et al. 2018). The impacts of ARs on coastal regions like the western U.S.  
 71 and Europe have been extensively studied (e.g., Ralph et al. 2006; Lavers and Villarini 2015; Ramos et

72 al. 2016; Collow et al. 2020). However, their role in extreme precipitation and rapid snowmelt leading  
73 to heavy floods over the Middle East has only recently received attention (de Vries et al. 2013; Tubi et  
74 al. 2017; Akbary et al. 2019; Dezfuli 2020; Esfandiari and Lashkari 2020; Massoud et al. 2020;  
75 Bozkurt et al. 2021). That limited body of research has attempted to shed light on the mechanisms of  
76 the ARs or statistical characteristics of their future changes. These studies suggest that the ARs in the  
77 region, which are associated with rainfall, primarily impact Iran due to orographic effects of the Zagros  
78 Mountains. The Red and Mediterranean Seas and Atlantic Ocean serve as the main moisture sources  
79 for these ARs.

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81 Like other hydro-meteorological extremes, accurate forecast of ARs is crucial for decision makers.  
82 However, deterministic forecast of this weather phenomenon is also limited to ~7-day lead time due to  
83 the chaotic nature of the atmosphere (Baggett et al. 2017; Cordeira et al. 2017; Martin et al. 2018). We  
84 also speculate that the degree of prediction skill might be partly related to the sparse regional  
85 observational network. The forecast error in landfall location of ARs, for example, at 5-day lead can  
86 exceed 500 km (Wick et al. 2013). Some efforts have been made to extend the forecast lead times  
87 using probabilistic approaches and incorporating climate modes of variability such as the Madden-  
88 Julian oscillation (Mundhenk et al. 2018; DeFlorio et al. 2019).

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90 Here, we examine the role of an atmospheric river in the floods of April 14, 2017 in northwestern Iran.  
91 That event claimed 48 lives, one of the deadliest in the past several decades in the region (Presstv  
92 2017). The casualties happened primarily in rural areas by flash floods and partly due to the lack of  
93 effective early warning systems. However, from a different perspective, heavy rains in northwestern  
94 Iran may be much needed as they would facilitate recovery of the desiccating Lake Urmia. This saline  
95 lake has shrunk sharply between 1996 and 2016 (Alborzi et al. 2018; Danesh-Yazdi and Ataie-Ashtiani  
96 2019) and some of its dried parts have emerged into potential dust sources, resulting in health and  
97 environmental consequences (Boroughani et al. 2019).

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99 Given its various implications discussed above, this extreme event would provide an opportunity to  
100 shed light on the mechanisms and impacts of the ARs in the region. As such, the purpose of this  
101 analysis is three-fold. First, due to the lack of research on atmospheric rivers in the region, we present  
102 a general overview of the characteristics of this AR. That includes its horizontal structure, the  
103 precipitation amount resulted from the AR and how it compares to the regional climatology, and the  
104 AR pattern in the context of a mid-latitude synoptic system. Second, we investigate specific  
105 characteristics that reflect regional natural features. That includes the contribution of regional moisture  
106 sources to the AR and the possibility of enhanced dust transport within the AR corridor. Third, the skill  
107 of short-to medium-range numerical prediction of this AR is evaluated since better forecast of similar  
108 events would improve early warning systems and help mitigating their adverse impacts.

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## 110 **2. Data**

111 Various NASA products are used for diagnostics and predictions. They include daily and monthly  
112 precipitation data from the Integrated Multi-satellitE Retrievals for GPM (IMERG) Version 06  
113 (Huffman et al. 2015). The data is available at  $0.1^\circ$  horizontal resolution from June 2000 to near real  
114 time. We were not able to find publicly available ground-based observations for precipitation to use in  
115 our analysis. Daily meteorological data (specific humidity, horizontal winds, potential temperature,  
116 and 2-meter temperature) and dust column mass density are obtained from the Modern-Era  
117 Retrospective Analysis for Research and Applications, version 2 (MERRA-2, Gelaro et al. 2017). The  
118 data is available at  $0.5^\circ \times 0.625^\circ$  regular latitude by longitude grids and assimilates aerosol optical  
119 depth (AOD). All data sets can be accessed from <https://disc.gsfc.nasa.gov>. The AR is identified by

120 analyzing vertically integrated water vapor transport (IVT) over the 1000-300 hPa layer from  
121 MERRA-2 data. The Visible Infrared Imaging Radiometer Suite (VIIRS) Corrected Reflectance  
122 imagery is used to detect thick ice and snow. The VIIRS instrument is on board the joint  
123 NASA/NOAA Suomi National Polar orbiting Partnership (Suomi NPP) satellite (Román et al. 2018).  
124 The VIIRS Corrected Reflectance for days before and after the event were obtained from NASA  
125 Worldview Mapping Application (<https://worldview.earthdata.nasa.gov>).

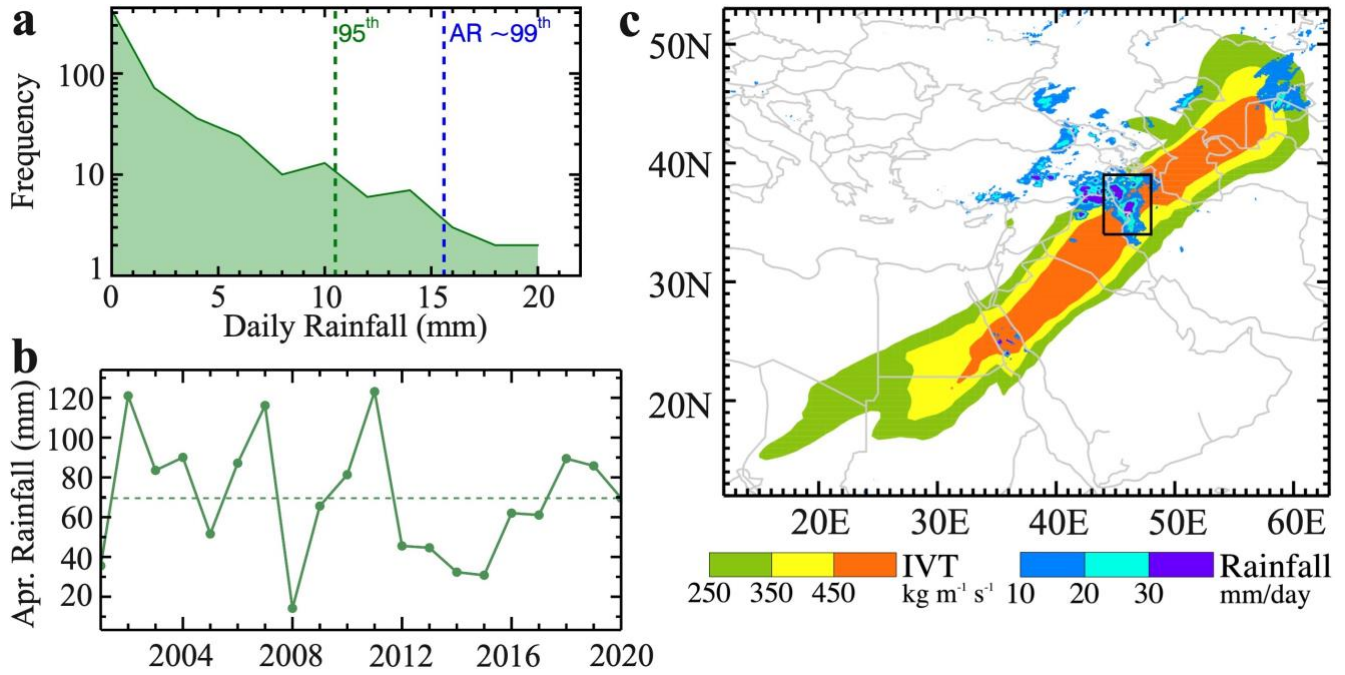
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127 The weather forecasts were produced with version 5.16 of the Goddard Earth Observing System  
128 (GEOS), used at the time of the event. That version underwent several updates, compared to version  
129 5.12.4 that was used in MERRA-2. The major changes include a transition from a three-dimensional to  
130 a four-dimensional assimilation system, a four-fold (linear) increase in spatial resolution to a 12.5-km,  
131 and improvement in the representation of atmospheric processes in the GEOS model (Molod et al.  
132 2015). These changes led to more realistic features in the assimilated fields and better quality of the  
133 medium-range forecasts. The forecasts are available at  $0.25^\circ \times 0.3125^\circ$  regular latitude by longitude  
134 grids. For consistency, the Reanalysis from this version of the model is used to evaluate the IVT  
135 forecasts.

### 136 137 **3. Causes of the heavy rains**

138 Torrential rainfall on April 14, 2017 caused heavy flooding and landslides over northwestern Iran. The  
139 areal average of the satellite-based rainfall was 15.6 mm, approximately equivalent to the 99<sup>th</sup>  
140 percentile of the April daily rainfall over the 2001-2020 period (Figure 2a). A maximum value of ~48  
141 mm was reported in some stations located near the city of Tabriz (IRNA 2017). However, the time-  
142 series of monthly total satellite-based precipitation shows that April 2017 was a relatively dry month  
143 (Figure 2b). This is intriguing because it shows that an extreme rainfall event can happen in fact during  
144 an anomalously dry season.

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146 Analysis of IVT reveals that an atmospheric river was responsible for the heavy rains (Figure 2c). The  
147 horizontal pattern of the AR was captured using a  $250 \text{ kg m}^{-1} \text{ s}^{-1}$  IVT threshold. The ~5,500 km long  
148 AR extended from northeastern Africa across the Middle East as it reached Central Asia. At its  
149 northeastern edge, the AR poured rainfall over another drying inland water body, the Aral Sea, which  
150 has shrunk to 10% of its original size since 1960 (Micklin 2010; Wurtsbaugh et al. 2017). Maximum  
151 precipitation occurred over the Lake Urmia Basin and extended to parts of Turkey and Iraq, consistent  
152 with orographic forcing associated with the AR passing over the Zagros Mountains. The daily regional  
153 mean IVT in April was overall larger during the three wettest years than the three driest years.  
154 However, the IVT associated with the event presented here had the largest value over 2001-2020  
155 (Figure S1).

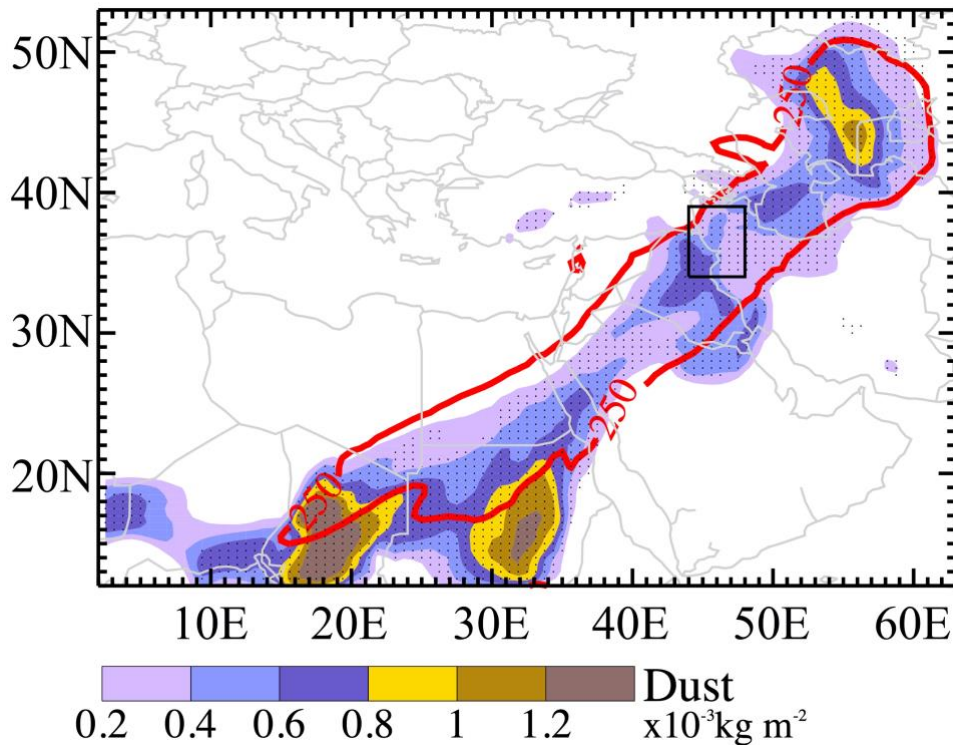
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 158 **Figure 2.** (a) Histogram of daily total and (b) monthly time-series of April precipitation, averaged  
 159 over northwestern Iran (black box in (c)). Blue dashed line represents the rainfall over April 14, 2017.  
 160 (c) Horizontal patterns of the vertically integrated water vapor transport (IVT) and rainfall during  
 161 April 14, 2017. Precipitation data in all panels is from the satellite-based IMERG product.  
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#### 163 4. Dust transport within the AR

164 ARs are generally associated with strong horizontal winds in the lower troposphere (Waliser and Guan  
 165 2017; Voss et al. 2020). The ARs affecting our study region often travel through some of the world's  
 166 major sources of mineral dust located in deserts of the Middle East and North Africa (Boloorani et al.  
 167 2014; Cao et al. 2015; Nabavi et al. 2016). This unique regional characteristic motivated us to examine  
 168 whether this AR was associated with dust transport, as recently shown by Chakraborty et al. (2021).  
 169 We compared dust column mass density during April 14, 2017 with its long-term mean and found a  
 170 positive anomaly within the AR corridor (Figure 3). About 80% of the AR area has a z-score of greater  
 171 than +1.28 (>90<sup>th</sup> percentile), and the average z-score within the AR is +2.18 (>98<sup>th</sup> percentile).  
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**Figure 3.** Dust column mass density during April 14, 2017 minus its long-term mean (1981-2020, excluding 2017) over a one-week window around that day (i.e., April 11-17). Thick red line shows the AR extent detected by an IVT value of  $250 \text{ kg m}^{-1} \text{ s}^{-1}$ . Dotted area is where z-score is greater than  $+1.28$  ( $>90^{\text{th}}$  percentile).

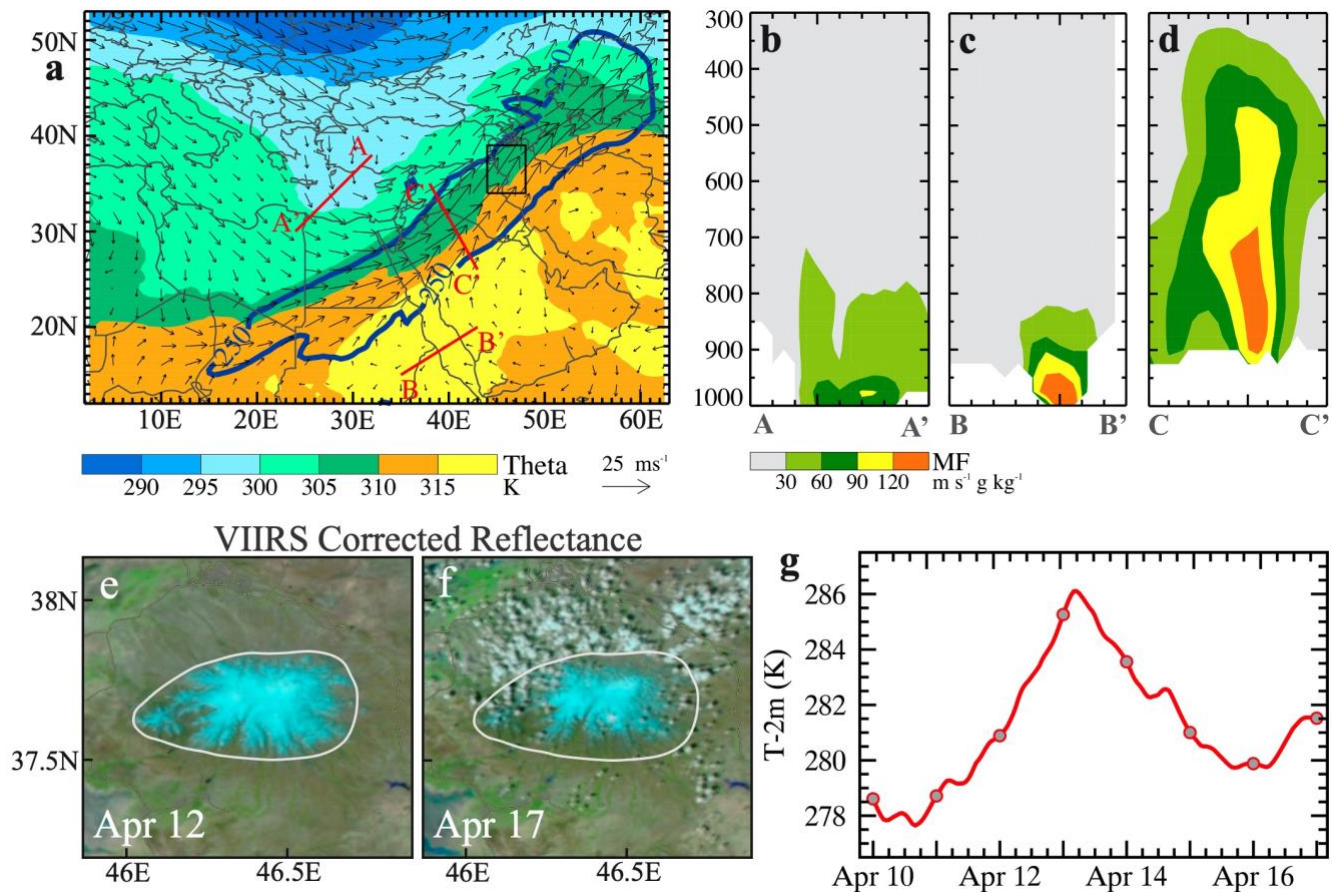
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**5. Synoptic diagnostics of the AR**

180 ARs are typically located along cold fronts associated with mid-latitude cyclones (Ralph et al. 2004;  
181 Dacre et al. 2015). Analysis of potential temperature at 700 hPa during this event identified a cold  
182 front coincident with the AR (Figure 4a). The low-level jet ahead of the front also appeared over the  
183 same region, contributing to water vapor transport along the AR. Vertical cross-sections of moisture  
184 flux towards the AR corridor showed that the Mediterranean and Red Seas both supplied moisture  
185 from the levels below 850 hPa (Figure 4b,c). The Red Sea seems to have a more intense but  
186 horizontally narrower moisture flux than the Mediterranean. The moisture contribution of these two  
187 seas on April 13 (Figure S2) was generally similar to the patterns observed during the event. This AR  
188 started to develop on April 13, reached its maximum intensity on April 14 as approached northwestern  
189 Iran, and propagated southeastward in the next three days as it gradually dissipated (Figure S3).

190

191 As the water vapor from these two sources flows toward the AR and the cold front advances, the  
192 moisture flux converges and is swept up. This dynamical forcing combined with the topographically-  
193 driven uplift over land led to vertical expansion of the moisture flux (Figure 4d). However, its  
194 maximum value remains below 700 hPa level before the AR approaches the Zagros Mountains, where  
195 the moisture laden air condenses as it flows upslope, resulting in the extreme precipitation event. The  
196 heavy rains reinforced by rapid snowmelt from the highlands located to the east of Lake Urmia caused  
197 the floods (Figure 4e,f). Satellite images taken before and after the event show a reduction of nearly  
198 half the snow surface. This reduction may be attributed to both rainfall and warm advection associated  
199 with the AR. As the AR approached the region (Figure S3), the 2-meter air temperature increased by  
200  $\sim 8 \text{ K}$  in hours preceding the rainfall event (Figure 4g). The characteristics of this AR, including its  
201 interaction with mountains, are quite similar to the ARs analyzed in Bozkurt et al. (2021).



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**Figure 4.** (a) Potential temperature (shading) and horizontal winds (arrows) at 700 hPa on April 14, 2017. The thick dark blue line shows the AR extent detected by an IVT value of  $250 \text{ kg m}^{-1} \text{ s}^{-1}$ . (b-d) Vertical cross-sections of moisture flux (MF) across A-A' (Mediterranean Sea), B-B' (Red Sea) and C-C', respectively. Positive values represent the flux perpendicular to the vertical plane towards the AR corridor. (e-f) VIIRS corrected reflectance for days prior and after the event obtained from NASA Worldview. Areas of thick ice and snow are shown in vivid sky blue. Note that the region plotted in (e) and (f) is located within the black box shown in (a). (g) The 24-hour running average of hourly 2-meter temperature, averaged over the region shown in (e) and (f) for the days prior, during, and after the event.

## 6. Predictability of the event

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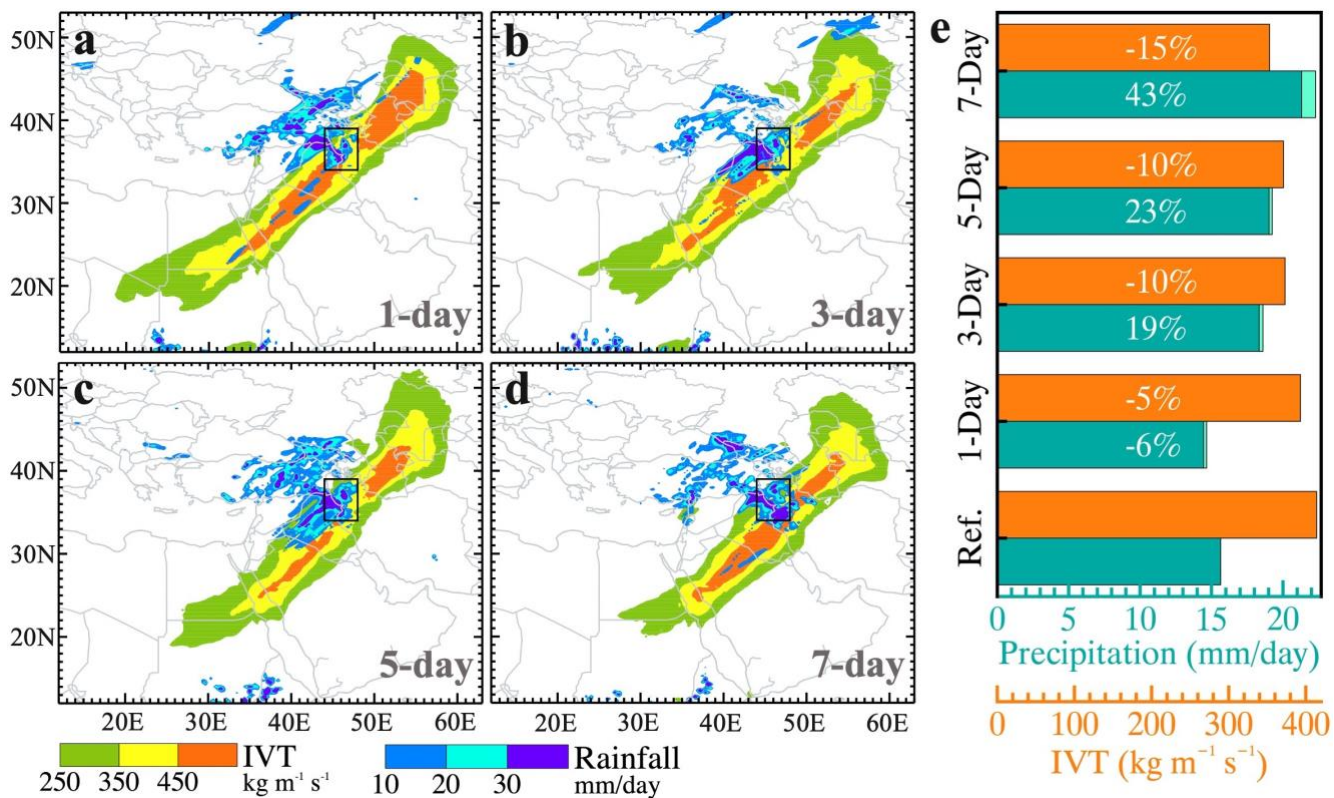
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Here, we have evaluated the performance of deterministic forecasts provided by NASA's GEOS model at lead times of 1, 3, 5, and 7 days. To allow comparison with reanalysis and satellite-based observations, the same variables as those shown in Figure 2c, i.e., rainfall and IVT, are used (Figure 5a-d). However, for consistency the IVT is compared with the Reanalysis from the same GEOS model version that was used for forecasts (Figure S4). A qualitative assessment of the IVT patterns shows that the AR structure is reasonably captured up to a 5-day lead, before its horizontal extent starts to shrink, and its axis retracts  $\sim 150$  km southeastward compared to Reanalysis from the GEOS model. In order to quantify the prediction skills, we have evaluated the regional mean precipitation, which is the main concern for impact analysis (Figure 5e). The 1-day lead forecast is nearly the same as the satellite-based observations. The forecasts at 3 and 5-day leads show an approximately 20% overestimation, which is within an acceptable range given the uncertainties from IMERG observations. The overestimation grows with lead time and reaches 43% at 7-day lead. However, the IVT forecast



227 decreases at longer lead-times, although the range of its percent difference is much narrower than that  
 228 of precipitation.

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 230 The precipitation forecasts appear to be predominantly controlled by the “large-scale” processes in the  
 231 GEOS model as opposed to its convective parameterization. The “large-scale” precipitation component  
 232 is generated by stratiform clouds that form when the grid-scale humidity is near saturation (Bacmeister  
 233 et al. 2006). Therefore, how the model resolves dynamical properties such as moisture flux  
 234 convergence would determine the magnitude of precipitation forecasts at different lead-times.  
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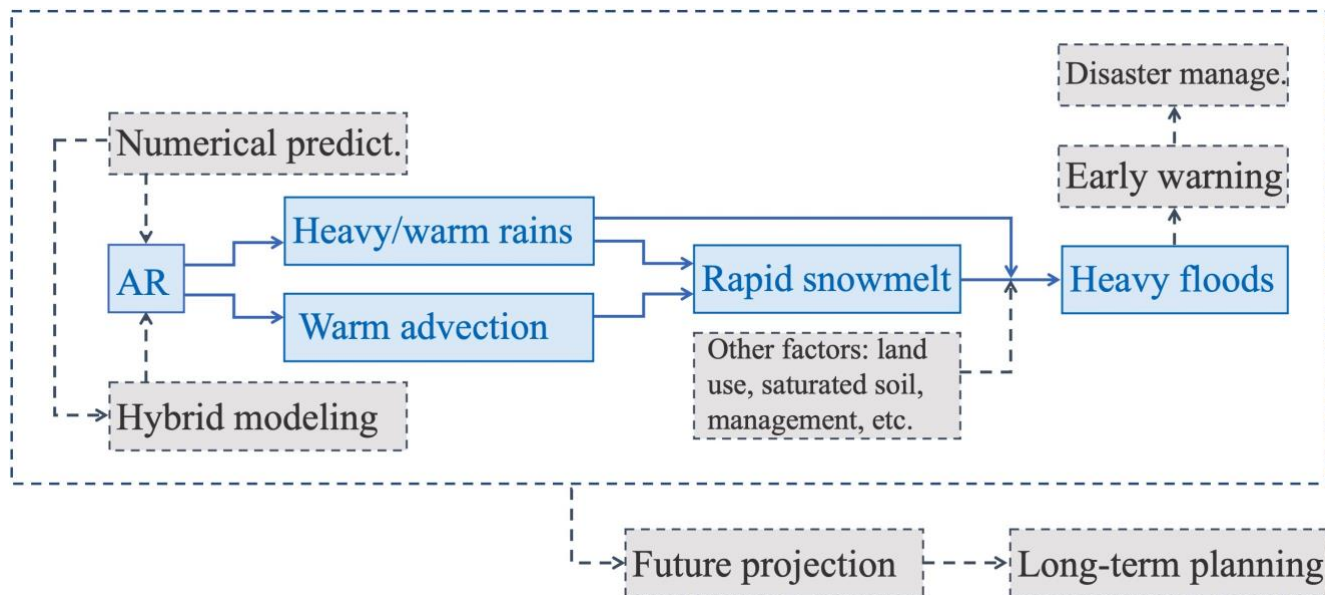
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 237 **Figure 5.** (a-d) Forecasts of IVT and rainfall for April 14, 2017 provided by NASA’s GEOS model at  
 238 lead times of 1, 3, 5, and 7 days, respectively. (e) Comparing regional mean rainfall (dark green bars)  
 239 and IVT (orange bars) from the GEOS model forecasts at different lead times with reference data (the  
 240 lowest bars). The reference data are satellite-based IMERG precipitation and the GEOS model  
 241 Reanalysis, respectively. For consistency, the Reanalysis is obtained from the same version of the  
 242 model used for forecasts. Both “large-scale” (dark green) and “convective” (light green) components  
 243 of precipitation forecast are presented. The white number on each bar shows the percent difference  
 244 between forecast and its corresponding reference data.  
 245

## 246 7. Discussion and conclusions

247 High-impact weather events, particularly those with multiple consequences that occur in vulnerable  
 248 regions like the Middle East, have significant socio-environmental implications. The atmospheric river  
 249 presented here is one such event. Understanding the mechanisms of similar events, their impacts and  
 250 potential predictability would be valuable for decision-makers.  
 251

252 A simple framework is laid out to tie both physical and decision-making aspects of the AR-related  
 253 research and place the current analysis into a broader context (Figure 6). Several future directions  
 254 along with their interconnections are identified. One area of research would involve improvement in

255 prediction skills, for example, through development of hybrid dynamical-statistical approaches. Better  
 256 predictions would help designing more effective early warning systems and disaster management  
 257 strategies, which would result in mitigating the impacts of such extreme events. Another research  
 258 aspect may focus on analyzing the future AR characteristics in climate projections from the coupled  
 259 model intercomparison project phase 6 (CMIP6). This effort would allow us to investigate the potential  
 260 future changes in ARs over the region and incorporate that information into long-term planning for  
 261 water resources and disaster management.  
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263  
 264 **Figure 6.** A framework proposed to incorporate atmospheric rivers into short to long-term planning.  
 265 Blue boxes show aspects of the AR analysis addressed in the current study. Gray boxes put the current  
 266 analysis into a broader context, highlighting potential future directions on improvement of predictions,  
 267 early warning systems, and long-term projection of ARs.  
 268

269 Although other parts of the country were also affected, this study has focused on the first day of the  
 270 event when the maximum impacts occurred over the Lake Urmia Basin. Using a recently developed  
 271 scale that characterizes ARs based on their intensity and impacts (Ralph et al. 2019), this AR would be  
 272 classified as Category 3. That is because maximum instantaneous IVT at given locations within  
 273 northwestern Iran exceeded  $1,000 \text{ kg m}^{-1} \text{ s}^{-1}$  and maintained AR conditions (i.e.,  $\text{IVT} \geq 250 \text{ kg m}^{-1} \text{ s}^{-1}$ )  
 274 for 24 hours coincident with the event. This category represents “*balance of beneficial and*  
 275 *hazardous*”, which is broadly consistent with the nature of this event that adversely affected the people  
 276 due to its heavy floods but positively contributed to restoration of the drying Lake Urmia.  
 277

278 Despite some recent efforts, mechanisms and impacts of ARs in the Middle East remain relatively  
 279 understudied. This work, as a follow up to a recent related case study (Dezfuli 2020), aims to improve  
 280 our understanding of the AR characteristics over the region. Unlike the first study that found an AR  
 281 responsible for the record floods during an anomalously wet year, current analysis shows that the 2017  
 282 event occurred in a relatively dry year. This contrast suggests that individual extreme precipitation  
 283 events can happen in seasons with both above and below normal conditions. However, further analysis  
 284 is needed to create a climatology of ARs in the region that would facilitate comparison of their  
 285 frequency during dry and wet years. Using a percentile-based threshold for IVT may be a more  
 286 practical approach to detect the AR events in drier regions like the Middle East. For example, the  
 287 global AR catalogue compiled by Guan and Waliser (2015; 2019) was able to identify the atmospheric

288 river presented in the current study. Another finding in our analysis was the important contribution of  
289 ARs to rapid snowmelt over the Zagros Mountains, consistent with the recent work by Bozkurt et al.  
290 (2021).

291  
292 In addition, this study reveals some characteristics specific to the ARs in the Middle East,  
293 differentiating them from those observed along the well-studied coastal regions of North America and  
294 Europe. As shown here, one difference pertains to dust transport facilitated by major mineral dust  
295 sources within the ARs corridor in the region. This is important because dust storms have been  
296 associated with serious health issues over the Middle East (Khaniabadi et al. 2017; Soleimani et al.  
297 2020). In the current study, it seems that both AR and the dust anomaly within its passage are  
298 associated with the same underlying dynamics. Also, from the earth system modeling perspective, we  
299 speculate that dust aerosols carried by this AR might have affected the precipitation through  
300 microphysical processes (Ralph et al. 2016; Voss et al. 2020). Better understanding of such feedback  
301 mechanisms is a part of our ongoing research that could offer further improvements in prediction skills  
302 and therefore help mitigating the adverse impacts of weather-related extreme events. Another  
303 difference is related to the contribution of regional waters, such as the Mediterranean and Red Seas, to  
304 AR's moisture content. However, overall synoptic-scale weather patterns of this AR are quite typical.  
305 Also worth noting is that our study region and the western U.S. bear several geographical similarities,  
306 including presence of a southeast–northwest-oriented mountain range that contributes to precipitation  
307 formation (Dezfuli 2020).

## 308 309 **8. Acknowledgments**

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317 winds and temperature at pressure levels ([10.5067/QBZ6MG944HW0](https://doi.org/10.5067/QBZ6MG944HW0)), T-2m  
318 ([10.5067/9SC1VNTWGWV3](https://doi.org/10.5067/9SC1VNTWGWV3)), dust ([10.5067/KLICLTZ8EM9D](https://doi.org/10.5067/KLICLTZ8EM9D)), daily IMERG  
319 ([10.5067/GPM/IMERGDF/DAY/06](https://doi.org/10.5067/GPM/IMERGDF/DAY/06)), monthly IMERG ([10.5067/GPM/IMERG/3B-MONTH/06](https://doi.org/10.5067/GPM/IMERG/3B-MONTH/06)), and  
320 VIIRS corrected reflectance ([http://dx.doi.org/10.5067/VIIRS/VNP09GA\\_NRT.001](http://dx.doi.org/10.5067/VIIRS/VNP09GA_NRT.001)).

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