# Snow reconciles observed and simulated phase partitioning and increases cloud feedback

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## 11 Key Points:

Accounting for snow in a lidar simulator to compare with observations systematically 12 • reduces the simulated apparent supercooled liquid 13 Allowing radiative schemes to be snow-aware in climate models greatly increases their net 14 • cloud feedback 15 The mean climate sensitivity is greater for recent CMIP models with snow-aware radiative 16 • schemes compared to those without 17 18 19

#### 20 Abstract

21 The surprising increase of Earth's climate sensitivity in the most recent Coupled Model Intercomparison Project 22 (CMIP) models has been largely attributed to extratropical cloud feedback, which is thought to be driven by greater 23 supercooled water in present-day cloud phase partitioning (CPP). Here we report that accounting for precipitation in 24 the Goddard Institute for Space Studies ModelE3 radiation scheme, neglected in more than 60% of CMIP6 and 90% 25 of CMIP5 models, systematically changes its apparent CPP and substantially increases its cloud feedback, consistent 26 with results using CMIP models. Including precipitation in the comparison with Cloud-Aerosol Lidar and Infrared 27 Pathfinder Satellite Observations (CALIPSO) measurements and in model radiation schemes is essential to faithfully 28 constrain cloud amount and phase partitioning, and simulate cloud feedbacks. Our findings suggest that making 29 radiation schemes precipitation-aware (missing in most CMIP6 models) should strengthen their positive cloud 30 feedback and further increase their already high mean climate sensitivity.

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#### 32 Plain Language Summary

33 The surprising increase of Earth's climate sensitivity -a proxy for future global warming -in the most recent 34 climate models (CMIP6) has been largely attributed to the response of extratropical low clouds to warming. This 35 cloud-climate feedback is thought to be driven by greater supercooled water in present-day cloud phase partitioning. 36 Here we report that accounting for precipitation in climate model radiation schemes –neglected in more than 60% of 37 CMIP6 and 90% of CMIP5 models- profoundly changes their apparent cloud phase partitioning and substantially increases their cloud-climate feedbacks, which has not been reported before. Including precipitation in the 38 39 comparison with observations and in model radiation schemes is essential to faithfully constrain cloud amount and 40 phase partitioning and simulate cloud-climate feedbacks. Our novel findings suggest that making radiation schemes 41 precipitation-aware, which is missing in most CMIP6 models, should strengthen their positive cloud feedback and 42 further increase their already high mean climate sensitivity

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#### 44 **1. Introduction**

Whether clouds are composed of liquid droplets, ice crystals, or a mixture of both at supercooled temperatures (between the melting point and the temperature at which cloud droplets freeze homogeneously, circa -40°C) is of particular interest since liquid and frozen hydrometeors generally have distinct radiative properties. For a given

condensed water content, liquid clouds are typically more opaque than their frozen counterparts, which results in

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49 stronger reflection of shortwave (SW) radiation and also more absorption and emission of longwave (LW) radiation 50 for a given water content at the same temperature (e.g., Cesana and Storelvmo, 2017; Mülmenstädt et al., 2021; 51 Tsushima et al., 2006). 52 In a warmer climate, it is expected that more supercooled droplets would form at the expense of ice crystals 53 (e.g., Mitchell et al., 1989), thereby reducing other supercooled droplet sink processes (e.g., Wegener-Bergeron-54 Findeisen process; Korolev, 2007), and fewer droplets would be converted to precipitation (e.g., Ceppi et al., 2016). 55 Therefore, more water clouds would persist, increasing average optical depth and cloud lifetimes (e.g., Cesana and 56 Storelymo, 2017; Mülmenstädt et al., 2021; Senior and Mitchell, 1993). As a result, the amount of SW radiation 57 reflected back to space would be increased, thereby reducing the initial surface temperature warming through a 58 negative feedback, widely referred to as the cloud optical depth feedback. Among cloud feedbacks, a reduction in 59 the optical depth feedback produced by low-level clouds (at heights  $\leq 3$  km) in the extratropics is thought to explain 60 most of the increase in equilibrium climate sensitivity (ECS; a measure of the surface air temperature increase from 61 a hypothetical abrupt doubling of CO<sub>2</sub> concentrations) between simulations from the Coupled Model 62 Intercomparison Project (CMIP) phase 5 and 6 Earth system models (ESMs) (Zelinka et al., 2020). The strength of this optical depth feedback is tightly connected to how cloud phase is partitioned in ESMs, 63 referred to as cloud phase partitioning (CPP) and the amount of ice in the historical climate (e.g., Tsushima et al., 64 2006). One way to describe the CPP is the supercooled cloud fraction (Tan et al., 2016) (SCF), a quantity that is 65 66 often underestimated in ESMs compared to observations (Cesana et al., 2012, 2015; Cesana and Chepfer, 2013; Komurcu et al., 2014; Quaas, 2004). Consequently, considerable attention has been paid to increasing the amount of 67 68 supercooled condensates in the latest ESMs. In contrast, larger hydrometeors, typically snow and rain classified in 69 microphysics schemes as "precipitation", which are represented in all models, are often neglected in ESM radiation 70 schemes although they are optically and radiatively relevant hydrometeors (Li et al., 2020). For example, while Hill 71 et al. (2018) found that the radiative effect of rain may be small in climate models, Li et al. (2020) reported a 72 substantial impact of snow on top-of-the-atmosphere (TOA) radiation fluxes as well as the radiative cooling in the 73 atmosphere. An increasing number of ESMs now account for precipitation in their radiation schemes (Cesana et al.,

74 2019; Gettelman and Morrison, 2015; Zhang et al., 2019), which raises the question of the extent to which

precipitation can influence the CPP and subsequently the optical depth feedback, and ultimately the climate
 sensitivity.

77 CALIPSO observations provide liquid and ice cloud frequencies (Cesana et al., 2016; Hu et al., 2009; Yoshida 78 et al., 2010) that are widely used to directly constrain ESM mass fractions of water and ice clouds (Kawai et al., 79 2019; Komurcu et al., 2014; Madeleine et al., 2020; McCoy et al., 2015; Tan et al., 2016). A direct comparison 80 between an observed frequency of SCF and a simulated mass SCF would neglect important differences in the 81 definition of observed and simulated cloud phase, as well the CALIPSO lidar instrument limitations (Cesana and 82 Chepfer, 2013). Using a lidar simulator (Cesana et al., 2012; Cesana and Chepfer, 2013; Kay et al., 2016), which 83 mimics what a CALIPSO-like lidar would observe over an ESM atmosphere, can offer a more accurate model 84 evaluation. However, all hydrometeors can affect the CALIPSO lidar signal and increase the lidar cloud fraction 85 regardless of whether they are considered cloud or precipitation in ESMs. As a result, the observed and simulated 86 lidar cloud fractions correspond to the sum of cloud and precipitation fractions. However, many models do not 87 account for precipitation in their radiation scheme and therefore do not pass on its contribution to the lidar simulator, 88 making their lidar simulated cloud fractions a cloud-only fraction as opposed to a cloud and precipitation fraction. 89 This difference has notable implications for comparisons of simulations and observations, and in turn for

90 constraining the CPP.

#### 91 **2. Data and Methods**

#### 92 **2.1. Observations**

93 We use the GCM-Oriented CALIPSO Cloud Product (CALIPSO-GOCCP) cloud phase observations (Cesana et 94 al., 2016; Cesana and Chepfer, 2013) that provide 333 m along-track-resolution near-nadir lidar profiles for 480 m 95 height intervals. CALIPSO-GOCCP utilizes the state of lidar beam polarization to distinguish between ice and 96 liquid-bearing clouds. A nonspherical ice crystal changes the polarization state of the lidar return contrary to a 97 spherical droplet. However, the noise generated by highly reflective layers may complicate the distinction between 98 the two water phases, in which case a pixel may be classified as "undefined phase", which often correspond to 99 mixed-phase clouds at subzero temperatures (Cesana et al., 2016). Regardless of their size, all hydrometeors may 100 affect the lidar attenuated backscatter signal, including precipitation, although there is no distinction between 101 precipitating and non-precipitating hydrometeors in CALIPSO-GOCCP cloud and cloud phase diagnostics. The 102 main limitation of CALIPSO-GOCCP is related to lidar attenuation, which is full when the optical thickness of the

103 atmosphere is greater than 3 to 5 (typically for thick cirrus clouds or dense liquid clouds) and may cause 104 misdiagnosis of fully attenuated pixels as being clear sky and subsequent underestimation of the vertical cloud 105 fraction near the surface (below 1 km, Cesana et al., 2016). However, this limitation and underestimation are 106 reproduced in the simulations through the use of the lidar simulator. The observational uncertainty estimates used in 107 this study, which are described further in the supplementary text S1, are derived from two sources of possible errors: 108 error estimates from a CALIPSO-GOCCP evaluation study using in situ aircraft measurements (Cesana et al., 2016) 109 and an error estimate based on the undefined-phase clouds, which can be considered as being either all liquid or all 110 ice.

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#### 112 **2.2. Model simulations**

113 In this study, we primarily analyze monthly outputs from global simulations with prescribed sea surface 114 temperatures (SST; following the Atmospheric Model Intercomparison Project, AMIP) from one of the four 115 configurations of the latest version of the National Aeronautics and Space Administration Goddard Institute for 116 Space Studies ModelE version 3 ESM (Cesana et al., 2019), referred to as GISS-ModelE3. Compared to the three 117 other configurations, in which only cloud-related parameters are varied and not parameterization formulations, this configuration uses a variant model physics parameterization and best represents the CPP and high-level cloud 118 119 amount compared to CALIPSO-GOCCP. Results from the other configurations are provided in the supplementary 120 material. For the simulations without precipitation used in the section 3.4, we remove the effect of the large-scale 121 frozen precipitation (snow) from the model radiation scheme while the physics of the model remain unchanged. We 122 note that doing so negligibly impacts net radiative balance at TOA. These two setups, which are similar to Li et al. 123 (2014a, 2014b), are representative of the two categories of CMIP models: those that do and do not account for 124 precipitation in radiation calculations, although all treat moisture transport by precipitation. The GISS-ModelE3 125 configuration used in this study is based on the developmental version used in Cesana et al. (2019) further described 126 in supplementary text S2.

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#### 128 **2.3. Lidar simulator**

To ensure a fair evaluation that accounts for the CALIPSO lidar limitations and uses similar cloud and cloud
 phase definitions and resolutions as in the observations, we use the CALIPSO-like outputs from GISS-ModelE3,

131 obtained through the use of the CALIPSO lidar simulator (Cesana and Chepfer, 2013), to compare with the 132 CALIPSO-GOCCP observations (Cesana et al., 2016; Cesana and Waliser, 2016). The lidar simulator computes 133 lidar attenuated backscatter profiles using temperature, pressure, and water content and effective radius of cloud 134 particles (Chepfer et al., 2008). A stochastic subcolumn generator is also used to characterize subgrid-scale 135 variability and accounts for the model-specific overlap assumptions (Webb et al., 2001). When the lidar simulator 136 was designed (Chepfer et al., 2008), it was decided to ignore the contribution of precipitation in the lidar signal 137 return because most ESMs did not account for precipitation in their radiation scheme, which is no longer true (e.g., 138 GISS-ModelE3, Cesana et al., 2019; the Community Earth System Model version 2, Danabasoglu et al., 2020; the 139 Energy Exascale Earth System Model version 1, Golaz et al., 2019; see Table S3 for the full list). For this reason, we 140 extended the lidar simulator used in GISS-ModelE3 by adding the contribution of all types of precipitation that are 141 seen by the GISS-ModelE3 radiation code, i.e., stratiform snow and rain and convective snow, graupel and rain. As 142 such, the modified lidar simulator is more consistent with CALIPSO-GOCCP observations, since the CALIPSO 143 lidar signal is also affected by precipitating hydrometeors. In the lidar simulator, the parameterization of the 144 backscatter-to-extinction ratio was built using particles with effective radius smaller than 70 micron (Chepfer et al., 145 2007, their Fig. 9). However, the parameterization is relatively stable for larger particles, which is why we use this particle size in the parameterization for all particles larger than 70 micron while we use the real particle size for the 146 147 computation of the lidar extinction, which is sensitive to the particle size. Additionally, we modified a few other 148 elements of the lidar simulator to make it more consistent with GISS-ModelE3, as described in supplementary text 149 S3.

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#### 151 **3. Results**

#### 152 **3.1. Single Column Model case studies**

We first use two single column model (SCM) case studies to evaluate the inclusion of precipitation in the lidar simulator and the ability of the lidar simulator to detect precipitation under realistic conditions. For this purpose, we use GISS-ModelE3 and the aforementioned modified version of the CALIPSO lidar simulator, which, like the model's radiative transfer scheme, accounts for the effects of precipitation on our observational constraint of present-day cloud fraction and CPP. It is important to note that GISS-ModelE3 explicitly, compared to other GISS- ESMs, explicitly represents supercooled cloud processes and precipitation, which are prognosed rather thandiagnosed.

160 The first case represents a supercooled mixed-phase cloud that is continuously precipitating ice crystals and 161 drizzle (Silber et al., 2019), a common occurrence over polar regions (Rangno and Hobbs, 2001; Silber et al., 2020), 162 which ESMs typically struggle to reproduce (Klein et al., 2009). Roughly an hour after cloud formation, ice particles 163 forming within the supercooled layer become visible and continue to grow as they fall through ice-supersaturated air 164 beneath the liquid layer (Fig. 1a). Here – and throughout the manuscript – we show the original mass SCF from the 165 model as a reference for several reasons: the cloud water content characterizes the presence of clouds in a more 166 general way than cloud fraction, it is routinely used as a metric for cloud phase study in the literature (e.g., Cesana et 167 al., 2015; McCoy et al., 2015; Tsushima et al., 2006) and it is also used as an input to compute the simulator cloud phase diagnostics (Section 2.2; Chepfer et al., 2008). Where the mass SCF is greater or near 50%, the lidar simulator 168 169 only detects liquid-bearing clouds because the much greater total cross-sectional area of the water droplets 170 dominates the lidar returns (Fig. 1b-c). However, as the ice cloud water loading and cloud fraction increase, the lidar 171 simulator classifies more undefined-phase clouds, which are comprised of both liquid and ice particles 172 (Supplementary Fig. S1g-k). Including precipitation in the lidar simulator returns leads to the detection of a 173 substantial extent of hydrometeor thickness directly below the liquid cloud-top layers, and down to the surface (Fig. 174 1b-c). The second case, an anvil cirrus cloud system at midlatitudes, highlights the substantial impact of frozen 175 precipitation on the lidar simulator returns, which nearly doubles the vertical extent of the lidar ice cloud fraction (Fig. 1e-f), in better agreement with the cloud edges of the native GISS-ModelE3 output (Fig. 1d). 176

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#### 178 **3.2. Global-scale analysis in the ESM configuration**

Consistent with the SCM case studies, in global simulations, the addition of precipitation largely increases the lidar cloud fraction (Fig. 2). The changes are mostly attributable to stratiform snow at middle and high levels (heights > 3 km), where most of the ice water path (IWP) resides and obscures some underlying water clouds. In other words, a greater occurrence of middle and high clouds generates more frequent lidar signal attenuation, a shielding effect that prevents the lidar simulator from detecting underlying hydrometeor layers. The magnitude of the total change can be as large as 10 % regionally (in the deep tropics and over the Southern Ocean) and effects extend globally. Stratiform rain also slightly affects the lidar simulator results, although to a much lesser extent (up

186 to 0.4 % absolute), in the tropics and at mid-latitudes. Thus, the lidar simulator is able to detect rain under

187 intermittent conditions, for example, non-turbulent optically thin clouds that do not fully attenuate the lidar signal

and produce drizzle as observed over polar regions (Silber et al., 2020). As expected, convective precipitation

189 (Supplementary Fig. S3) has a negligible impact on the simulated lidar returns, since the tops of convective clouds

are optically thick and quickly attenuate the lidar signal before it reaches any underlying precipitation. Finally,

191 precipitation has a lesser impact on cloud fraction for those GISS-ModelE3 configurations with a greater high cloud

192 fraction (height > 6.5 km; Supplementary Fig. S4), generating a greater shielding effect that obscures the underlying

193 frozen hydrometeors, and with a greater bias compared to observations (Supplementary Fig. S5).

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#### 195 **3.3. Effect of precipitation on cloud phase partitioning**

196 Accounting for precipitation in the CPP substantially changes the relationship between SCF and temperature 197 regardless of whether or not the lidar simulator is used (Fig. 3). The precipitation increases the vertical extent of 198 frozen hydrometeors in the atmosphere substantially more than that of liquid hydrometeors since the volume 199 occupied by frozen hydrometeors in the atmosphere is generally greater than that of liquid hydrometeors (e.g., Fig. 200 2). As a result, frozen hydrometeors are more likely to be obscured by shielding from overlying cloudy layers, and 201 therefore not detected by the lidar simulator, than their liquid-phase counterparts, which explains the greater difference between the native mass SCF and lidar frequency SCF when precipitation is included. By contrast, when 202 203 precipitation is ignored, lidar attenuation favors ice detection because the tops of ice clouds are detected by the lidar 204 most of the time whereas lower level water clouds are often obscured by overlying clouds and precipitation (Fig. 3). 205 The impact of the lidar simulator is variable and depends on multiple factors, among which are the amount of 206 shielding by high clouds and the microphysical properties of the precipitation (Supplementary Fig. S6). Thus, 207 for analysis of models with large positive high-level cloud biases, one might consider excluding regimes dominated 208 by high-level clouds when comparing lidar simulator CPP with CALIPSO observations. 209 Finally, by increasing the amount of ice clouds detected by the simulator, the presence of precipitation yields a 210 more realistic distribution of total cloud amount (Fig. 2) and CPP (Fig. 3) seen by the lidar simulator. For example, 211 without snow, GISS-ModelE3 fails to capture the full vertical extent of ice clouds (Fig. 1e, 2h), which is a common 212 problem in CMIP5 models (Cesana and Waliser, 2016). Additionally, this substantial difference between

simulations with and without snow is particularly crucial when evaluating models over the Southern Ocean (SO),

214 where models suffer from large radiative biases (Trenberth and Fasullo, 2010), often linked to a lack of mixed-phase

frontal clouds (Bodas-Salcedo et al., 2016) and large intermodel spread in cloud feedbacks (Zelinka et al., 2020).

216 When neglecting precipitation, GISS-ModelE3 consistently underestimates the ice cloud frequency over the SO

217 within the mixed-phase temperature range compared to CALIPSO-GOCCP observations (Supplementary Fig. S7).

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#### 219 **3.4. Implications for radiation, cloud feedbacks and climate projections**

220 In addition to modifying the CPP, precipitation substantially impacts radiation. In GISS-ModelE3, adding the 221 effect of large-scale precipitation from the radiation scheme (referred to as precipitation in the remainder of this section), which accounts for nearly all of the impact of precipitation on the lidar simulator, results in offsetting 222 223 changes in the global average CRE at TOA, with roughly  $-3 \text{ W/m}^2$  for SW and a similar increase for LW 224 (Supplementary Fig. S8), negligibly changing the net radiative balance at TOA, comparable to the offsetting effect found by Michibata et al. (2020). More importantly, including precipitation substantially increases the global net 225 cloud feedback (Fig. 4a), even doubles it in one configuration (0.21 vs. 0.9 W m<sup>-2</sup> K<sup>-1</sup>), quantified using the 226 International Satellite Cloud Climatology Project (ISCCP)-derived radiative kernel method (Zelinka et al., 2016; see 227 228 also Supplementary text S5). Such a large increase raises possible implications for models' climate sensitivity (Cesana and Del Genio, 2021; Zelinka et al., 2020). 229 230 This cloud feedback increase is mostly attributable to the SW component, with a slight offset in the LW.

231 Previous studies showed that a larger amount of frozen hydrometeors relative to all hydrometeors (i.e., a smaller 232 SCF) in an ESM strengthens its negative SW cloud feedback over the SO because more frozen hydrometeors are 233 available to transition to water as climate warms (Tan et al., 2016; Tsushima et al., 2006). Making precipitation 234 visible to the GISS-ModelE3 radiation scheme modestly enhances this negative SW feedback over the SO (Fig. 4a) 235 for two reasons. A smaller decrease in low cloud amount, compared to when precipitation is not seen by radiation, also contributes to a smaller reduction of the negative SW feedback whereas a greater increase in non-low amount 236 237 (at heights > 3 km) strengthens it. However, the negative SW feedback is substantially reduced on a global scale in 238 GISS-ModelE3 (making it less negative, Fig. 4), attributable to a smaller increase in non-low cloud amount and optical depth seen by the radiation scheme, mostly contributed by the extratropics (Fig. S11). This reduction is 239 240 particularly large over the Arctic, which could contribute to enhancing the Arctic amplification. While the LW 241 positive feedback is also weakened with snow-aware radiation scheme, the amplitude of the change is far smaller.

242 On the one hand, the greater amount of non-low clouds in the mean state – contributed by the presence of

243 precipitation – explains the greater altitude feedback in the LW, which quantifies the feedback generated by changes

in altitude while keeping the cloud amount and optical depth fixed. On the other hand, the cloud amount and optical

245 depth positive feedbacks are smaller in the LW, which offset the increase from the altitude feedback, because the

246 increase in high-cloud amount and optical depth is smaller when the precipitation is seen by the radiation scheme

247 (Fig. S11).

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More generally, the net cloud feedback from cloud above 3 km – where the presence of snow affects the cloud 248 fraction the most - in CMIP5 and CMIP6 models with snow-aware radiation schemes is also greater than that of 249 models without snow-aware radiation schemes (Fig. 4: 0.37 and 0.26 W  $m^{-2}$  K<sup>-1</sup> compared to 0.24 and 0.19 W  $m^{-2}$  K<sup>-1</sup> 250 251 <sup>1</sup>, respectively). Consistent with our GISS-ModelE3 results, this greater net cloud feedback is attributable to an 252 increase in SW cloud feedback partially offset by a decrease in LW cloud feedback. However, unlike GISS-253 ModelE3, in CMIP models, changes in the SW are offset by the LW in the extratropics whereas most of the 254 difference originates from the tropics (Fig. 4), mainly for two reasons. First, the net cloud feedback from non-low 255 clouds is negative in both the tropics and the extratropics in GISS-ModelE3 as opposed to being positive in CMIP models, attributable to different responses of clouds to warming (increase or decrease of the cloud amount, see next 256 paragraph), which, in turn, impacts the effect of including the precipitation. Second, the amplitude of GISS-257 258 ModelE3 non-low cloud feedback is greater in the extratropics than in the tropics, unlike in CMIP models, which 259 yields a larger change in feedbacks when including precipitation.

260 Globally, the non-low cloud amount decrease and increase are greater and smaller, respectively, when the 261 precipitation is seen by the radiation scheme, mostly because of stratiform snow. Since stratiform snow is primarily 262 produced by cloud ice, when the ice cloud amount decreases in response to global warming, the stratiform snow generated by these ice clouds is also reduced. As a result, the initial decrease in frozen hydrometeor amount as seen 263 by radiation is further amplified compared to that of cloud ice alone (Fig. S12, 1<sup>st</sup> column). By contrast, when the 264 265 non-low cloud amount increases in response to climate warming, some of the cloud ice is replaced by liquid water 266 because of warmer temperatures. These non-low liquid clouds do not produce as much snow as ice clouds, therefore generating a smaller overall increase of the hydrometeor amount seen by radiation compared to that of clouds alone 267 (i.e., without precipitation; Fig. S12, 2<sup>nd</sup> column). These results, including the increase of net global cloud feedback, 268 269 remain consistent across all four GISS-ModelE3 configurations (Supplementary Table S2). Moreover, we note that

the effect of precipitation on cloud fraction and cloud feedbacks is greatest in those configurations that best match
the CALIPSO-GOCCP observations of high-cloud amount and CPP.

#### **4. Conclusions and discussion**

273 Using GISS-ModelE3 simulations and CALIPSO-GOCCP observations, we quantify the effect of precipitation 274 on cloud phase partitioning (CPP) and cloud feedbacks in an ESM, which has not been reported before to our 275 knowledge. To improve consistency between simulations and observations, we modified the widely used and 276 publicly available CALIPSO lidar simulator to include precipitation. Our results indicate that accounting for 277 stratiform frozen precipitation, typically categorized as snow, substantially increases the cloud fraction at middle 278 and high levels (heights > 3 km) and is crucial for faithfully comparing simulated CPP to observations, particularly 279 in the extratropics. Doing so can not only affect global mean SW and LW cloud radiative effects at TOA, but also 280 substantially modify the net cloud feedback – doubling it in one of the four GISS-ModelE3 configurations – with a 281 greater impact over the Arctic.

282 Yet, previous generation CMIP5 and current generation CMIP6 models typically neglect the radiative effects of 283 snow (J. L. F. Li et al., 2020) (24 out of 27 and 23 out of 37, respectively), similar to our model excursion explored 284 here, and therefore underestimate its net positive contribution to global cloud feedbacks, which may partly explain their smaller average climate sensitivities (3.3 vs. 4.1 K and 3.4 vs. 4.4 K, respectively). All else equal, including 285 this effect in all CMIP6 models would therefore strengthen their net positive cloud feedback from cloud above 3 km 286 287 (e.g., Fig. 4). It would also further amplify the increase in total net positive cloud feedback between CMIP5 and 288 CMIP6 models (Zelinka et al., 2020), and in turn, the increase in climate sensitivity, which needs to be reconciled 289 with the likelihood that climate sensitivity is already too high in many CMIP6 models(Grégory V. Cesana and Del 290 Genio, 2021; Sherwood et al., 2020; Zhu et al., 2020). Furthermore, adding snow would also decrease SCFs, 291 requiring further ESM tuning to restore the larger initial SCF. As a consequence, a retuned SCF increase (e.g., by 292 either adding liquid clouds or removing ice clouds) is expected to further weaken the negative SW cloud feedback less cloud ice available to be transformed into more reflective cloud water- and thereby further increase climate 293 sensitivity. 294

Given the magnitude of impacts on cloud feedbacks and on constraining CPP, we argue that precipitation should be included in ESM radiative transfer and simulator calculations. In addition, we strongly advocate that future ESM development and analysis use a lidar simulator with CALIPSO-GOCCP observations to evaluate both CPP and

298 middle and high-level cloud fractions because they modulate the strength of cloud feedbacks. Systematically

- characterizing such specific aspects of climate model physics that most impact diversity in future projections is
- 300 crucial to confidently establishing Earth's rate of warming and climate models as reliable tools going forward.

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#### 316 **Data availability**

CALIPSO-GOCCP v2.9 observations (Cesana et al., 2016) were downloaded from the CFMIP-Obs website
(http://climserv.ipsl.polytechnique.fr/cfmip-obs/Calipso\_goccp.html). The GISS-ModelE3 outputs used to create
Figs 1-2-3-4 (Cesana, 2021) are available at zenodo.org via http://doi.org/10.5281/zenodo.4968806. All raw GISSModelE3 outputs will be archived at <a href="https://portal.nccs.nasa.gov/GISS\_modelE/">http://doi.org/10.5281/zenodo.4968806</a>. All raw GISSModelE3 outputs will be archived at <a href="https://portal.nccs.nasa.gov/GISS\_modelE/">https://portal.nccs.nasa.gov/GISS\_modelE/</a>; the final configurations of GISSModelE3 will be made part of the CMIP6 model archive. The CMIP cloud feedbacks used inf Fig. 4 are available
through Zelinka et al. (2020).

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#### 477 Figures



479 two case studies: Liquid-topped mixed-phase cloud in the Antarctic (left column) and stratiform cirrus case over the

- 480 US Southern Great Plains (right column) as a function of the time. The top row (a, d) correspond to the mass SCF
- defined as liquid/(ice+liquid) water content from the native GISS-ModelE3 outputs. The middle and bottom rows
- 482 show the frequency SCF computed as the liquid/(ice+liquid) cloud frequency from the lidar simulator GISS-
- 483 ModelE3 outputs (b, e) without and (c, f) with precipitation outputs, respectively. See Supplementary text S4 and
- 484 Figs S1 and S2 for more details about the setup of the case studies.
- 485



488

- 489 Figure 2: Effect of the precipitation on cloud phase fraction profiles. Zonal profiles of all (first column), ice
- 490 (second column) and liquid (third column) cloud fraction (%) for CALIPSO-GOCCP (2007-2016 Nighttime v2.9,
- 491 first row) and the lidar simulator GISS-ModelE3 outputs with precipitation (second row, *Precip*), without
- 492 precipitation (third row, *No precip*) and the difference between *Precip* and *No precip* (fourth row). Note that most of
- the change is attributed to stratiform snow. Note that the zonal mean of simulator total cloud fraction and ice and
- 494 liquid water paths are shown in Supplementary Figure S10.
- 495



497



and temperature. The figure emphasizes the difference between mass (dashed lines) and frequency (solid lines)

- SCF with (blue) and without (cyan) the effect of precipitation in GISS-ModelE3 compared to the CALIPSO-
- GOCCP observations frequency SCF (black line, 2007-2016 Nighttime v2.9) as a function of temperature (°C). Note
- that the lidar simulator is used to obtain the frequency SCF in GISS-ModelE3. The shaded areas correspond to
- uncertainty estimates (see text S1 for details).



Figure 4: Effect of precipitation on cloud feedbacks. Zonal (left) and global (right) mean of total cloud feedbacks (W m<sup>-2</sup> K<sup>-1</sup>, a-b) and their separate contributions from non-low (at pressures  $\leq$  680 hPa, c-d) and low (at pressures >680 hPa, e-f) clouds for GISS-ModelE3 simulations with (solid line, *Precip*) and without (dotted line, *No LS precip*) the effect of large-scale precipitation. The two bottom rows correspond to cloud feedbacks from non-low clouds for the CMIP6 (g-h) and CMIP5 (i-j) models (listed in Supplementary Table S3) with and without snow-aware radiation schemes. The net, LW and SW cloud feedbacks correspond to the black, red and blue lines, respectively. The definition of cloud feedbacks is given in Supplementary text S5 and further decomposition by cloud feedback types

518 for GISS-ModelE3 is shown in Supplementary Fig. S11 and global averages in Supplementary Table S1-2.



ig. S11 and global average

Figure 1.



Figure 2.



Figure 3.



Figure 4.

