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4 **ATOMIC-SCALE STRUCTURE AND NON-STOICHIOMETRY OF METEORITIC**
5 **HIBONITE: A TRANSMISSION ELECTRON MICROSCOPE STUDY**

6
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18 **ABSTRACT**

19 Hibonite ($\text{CaAl}_{12}\text{O}_{19}$) is a common refractory mineral in Ca-Al-rich inclusions (CAIs) in
20 primitive meteorites. Transmission electron microscope (TEM) studies have identified enigmatic
21 planar defects in different occurrences of hibonite in the Allende meteorite that give rise to
22 strong streaking along c^* in electron diffraction patterns. Atomic resolution high-angle annular
23 dark-field (HAADF) imaging and energy dispersive X-ray (EDX) analyses were used to

24 determine the nature and origin of these planar features. HAADF images of hibonite grains
25 reveal lamellar intergrowths of common 1.6 nm spacing, and less commonly 2.0 nm and 2.5 nm
26 spacings, interspersed in stoichiometric hibonite showing 1.1 nm (002) spacing. Stoichiometric
27 hibonite consists of alternating Ca-containing (“R”) and spinel-structured (“S”) blocks stacked in
28 a sequence RS. In contrast, the 1.6 nm layers result from a doubled S block such that the stacking
29 sequence is RSS, while in the widest defect observed, the stacking sequence is RSSSS. These
30 intergrowths are epitaxial and have coherent, low-strain boundaries with the host hibonite.

31 Meteoritic hibonite shows common Ti and Mg substitution for Al in its structure.
32 Atomic-resolution EDX maps of hibonite grains in the Allende CAI confirm the preferred site
33 occupancy of Mg on tetragonal M3 sites in S blocks and of Ti on trigonal bipyramidal M2 and
34 octahedral M4 sites in R blocks. Mg is highly concentrated, but Ti is absent, in the planar defects
35 where wider S blocks show Al-rich compositions compared to stoichiometric MgAl_2O_4 spinel.
36 Therefore, Mg likely played the major role in the formation and metastability of planar defects in
37 hibonite. Electron energy loss spectroscopy data from the Ti $L_{2,3}$ edge show the presence of
38 mixed Ti oxidation states with ~15-20% of Ti as Ti^{3+} in hibonite, suggesting a direct substitution
39 of $\text{Ti}^{3+} \leftrightarrow \text{Al}^{3+}$ in hibonite. The remaining ~80-85% of Ti is present as Ti^{4+} and corresponding
40 EDX analyses are consistent with the well-known coupled substitution $2\text{Al}^{3+} \leftrightarrow \text{Ti}^{4+} + \text{Mg}^{2+}$
41 being the major mechanism for Ti and Mg substitution in hibonite.

42 The formation of planar defects in hibonite occurred during high-temperature nebular
43 condensation or melting/crystallization processes. The occurrence of non-stoichiometric hibonite
44 in the Allende CAI deviates from the mineral formation sequence predicted from equilibrium
45 condensation models. Overall, our atomic resolution TEM observations signify non-equilibrium,
46 kinetic-controlled crystal growth during high-temperature formation of refractory solids in the

47 early solar nebula.

48 **Keywords:** Hibonite, spinel, calcium-aluminum-rich inclusions, planar defect, transmission
49 electron microscopy

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INTRODUCTION

52 The mineralogy and petrography of primitive carbonaceous chondrite meteorites provide
53 a window into events that occurred at the birth of our Solar System ~4.567 billion years ago
54 (Connelly et al. 2012). A pivotal event in meteorite studies was the fall of the Allende meteorite
55 in 1969 and the recognition of inclusions of high-temperature refractory minerals that formed by
56 gas-solid condensation reactions in the early solar nebula (e.g., Grossman 1972). These
57 refractory inclusions are referred to as Ca-Al-rich inclusions (CAIs) and consist of complex
58 assemblages of minerals such as spinel, melilite (gehlenite ($\text{Ca}_2\text{Al}_2\text{SiO}_7$) - åkermanite
59 ($\text{Ca}_2\text{MgSi}_2\text{O}_7$) solid solution), Al-Ti-rich diopside, perovskite, and other less refractory minerals.
60 The most refractory earliest-formed minerals include corundum and Ca-aluminates such as
61 hibonite ($\text{CaAl}_{12}\text{O}_{19}$), grossite (CaAl_4O_7), and krotite (CaAl_2O_4) (Grossman 2010). The Zr-
62 and/or Sc-rich ultrarefractory minerals such as allendeite ($\text{Sc}_4\text{Zr}_3\text{O}_{12}$), panguite
63 ($(\text{Ti,Al,Sc,Mg,Zr,Ca})_{1.8}\text{O}_3$), and warkite ($\text{Ca}_2\text{Sc}_6\text{Al}_6\text{O}_{20}$) are also present in various CAIs (e.g.,
64 Krot et al. 2019; Ma et al. 2020).

65 Hibonite is of particular interest because it is one of the most important and common
66 primary refractory phases that occurs in many CAIs from different primitive meteorite groups
67 (MacPherson 2014). Hibonite is predicted as one of the earliest phases to condense from a
68 cooling gas of solar composition (e.g., Grossman 2010) and therefore likely records conditions
69 and processes of early and high-temperature events during the Solar System formation. Previous

70 studies of hibonite crystals and hibonite-bearing CAIs from carbonaceous chondrites have shown
71 that they preserve a diversity of trace element abundance patterns and Ca, Ti, and Mg isotopic
72 compositions that can be directly linked to the chemical and isotopic evolution of the early solar
73 nebula (e.g., Ireland 1988, 1990; Sahijpal et al. 2000; Liu et al. 2009; Davis et al. 2018; Kööp et
74 al. 2018). For example, Liu et al. (2019) found two populations of small fine-grained CAIs in the
75 pristine ALHA77307 CO3.0 chondrite that have well-defined $^{26}\text{Al}/^{27}\text{Al}$ ratios of 5.4×10^{-5} and
76 4.9×10^{-5} . The former value is interpreted as the timing for the onset of dust condensation in the
77 protoplanetary disk and the latter value associated with a later thermal isotopic re-equilibration
78 event that affected early-formed CAIs. In addition, the presence of mixed Ti^{3+} - Ti^{4+} oxidation
79 states in hibonite provides a measure of the oxygen fugacities that existed at the time when
80 hibonite formed and was subsequently processed in the early solar nebula (e.g., Ihinger and
81 Stolper 1986; Beckett et al. 1988; Simon et al. 1997, 2001; Giannini et al. 2011; Zega et al. 2012;
82 Doyle et al. 2016).

83 In addition to chemical and isotopic tracers of nebular processes, the microstructures of
84 refractory minerals in CAIs as revealed by transmission electron microscope (TEM) studies also
85 provide unique insights into the formation and evolution of early formed nebular phases (e.g.,
86 Barber et al. 1984; Doukhan et al. 1991; Bolser et al. 2016; Zega et al. 2016; Han and Brearley
87 2017). Previous TEM observations of CAIs showed two important microstructures of hibonite
88 compared to other common CAI minerals such as spinel and melilite. First, hibonite in CAIs and
89 their rims from carbonaceous chondrites contains abundant planar defects along the (001) plane
90 that are often correlated with Mg enrichments and Ti depletions (Keller 1991; Han et al. 2015,
91 2017; Needham et al. 2017; Han et al. 2020). The defects were interpreted to have the
92 compositional characteristics of Mg-enriched, non-stoichiometric hibonite, separated by regions

93 of normal hibonite stoichiometry (Schmid and De Jonghe 1983; Han et al. 2015). Second,
94 hibonite in spinel-rich CAIs from carbonaceous chondrites often shares a consistent
95 crystallographic orientation relationship with adjacent spinel, such that $(001)_{\text{hibonite}} // (111)_{\text{spinel}}$
96 (Han et al. 2015, 2017; Needham et al. 2017; Han et al. 2019). This relationship provides strong
97 evidence that the structural similarity between hibonite and spinel enabled epitaxial nucleation
98 and growth of spinel on hibonite surfaces, thereby kinetically inhibiting melilite formation
99 (MacPherson et al. 1984; Beckett and Stolper 1994; Simon et al. 2006; Han et al. 2015). Overall,
100 these observations highlight the notable deviations from the mineral formation sequence
101 predicted from equilibrium condensation calculations, implying that non-equilibrium, kinetically
102 controlled growth modes were important during high-temperature events in the early solar nebula
103 (Toppani et al. 2006; Han et al. 2015).

104 Recent advances in TEM imaging and microanalysis have enabled sub-angstrom
105 resolution imaging and atomic-scale X-ray analysis (Ohnishi et al. 2018). Here we present the
106 TEM results of atomic resolution imaging and elemental mapping of hibonite in a melilite-rich
107 CAI from the Allende meteorite to better characterize the crystal structure and chemistry of
108 hibonite, as well as spinel that is commonly associated with hibonite in many CAIs from
109 carbonaceous chondrites. Of particular interest for this study is the crystal chemical role of Ti
110 and Mg substitution in the formation of hibonite and comparative TEM analyses of synthetic
111 hibonite. The microstructural features in hibonite provide important constraints on the
112 astrophysical conditions that existed during the formation of refractory solids in the early solar
113 nebula.

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SAMPLES

116 **Meteoritic hibonite**

117 The CAI *LK44* is a partial fragment of a large cm-sized compact Type A CAI from the
118 oxidized CV3 carbonaceous chondrite Allende (Fig. 1a). This inclusion is dominated by coarse-
119 grained gehlenitic melilite (Åk_{15-20}) with fine-grained inclusions of spinel, hibonite, perovskite,
120 paqueite, and grossmanite, which is overlain by an altered Wark-Lovering (WL) rim. Hibonite
121 also occurs as the base layer of the WL rim from this CAI, where Keller (1991) previously
122 reported TEM observations of stacking disorder in hibonite. For this study, we focused on a
123 cluster of spinel and hibonite grains embedded in melilite, in which elongated hibonite grains are
124 partially surrounded by spinel and minor perovskite (Fig. 1b). The electron microprobe
125 measurements show a narrow range of compositions for spinel and hibonite: the spinel is close to
126 end-member MgAl_2O_4 with $\text{FeO} \leq 1$ wt%, while the hibonite grains contain 3.4-3.7 wt% MgO
127 and 6.7-7.5 wt% TiO_2 (Table 1). However, scanning electron microscope (SEM) X-ray mapping
128 reveals an oscillatory Ti and Mg zonation in hibonite grains (Fig. 1c), and quantitative TEM
129 energy-dispersive X-ray (EDX) analyses show a range in their composition of ~2-8 wt% TiO_2
130 and ~1-5 wt% MgO.

131

132 **Synthetic hibonite**

133 Synthetic hibonite was prepared following the procedures in Schmid and DeJonghe (1983)
134 by reacting pure alumina crucibles with a $2\text{CaO-Al}_2\text{O}_3$ composition eutectic melt ± 5 wt% MgO
135 $\pm \text{CaTiO}_3$ in air for 4 hours or 5 days at 1530°C , followed by air quenching. The run products
136 were cross-sectioned, mounted in epoxy, and polished for detailed petrologic and mineralogical
137 descriptions, as well as for TEM section preparation. In all experiments, the reaction zone
138 adjacent to alumina shows a similar mineral sequence from hibonite, grossite, to krotite with a

139 quenched melt glass. Experiments where MgO was added also produced spinel laths intergrown
140 with hibonite in experiment (2) and euhedral spinel grains in the quenched melt in experiments
141 (2-4). A summary of the experimental conditions and results for the five experiments is given in
142 Table 2, and representative SEM back-scattered electron (BSE) images of the reaction zones are
143 shown in Figure 2.

144

145

ANALYTICAL METHODS

146 For a detailed TEM analysis, two sections, FIB-A and FIB-B, were prepared from a
147 single hibonite crystal in the CAI *LK44* (Fig. 1b), using a FEI Quanta 3D 600 dual beam focused
148 ion beam (FIB)/SEM at NASA Johnson Space Center (JSC). Additional FIB sections were
149 prepared from hibonite crystals in the reaction zone of the five run products using the same
150 FIB/SEM instrument. All the FIB sections were cut normal to the elongation direction of the
151 hibonite crystals.

152 Initial structural and chemical characterization of all FIB sections from both meteoritic
153 and synthetic hibonites was performed using a JEOL 2500SE 200 kV field-emission scanning
154 TEM (STEM) at NASA JSC. Bright-field (BF) STEM and high-resolution (HR) TEM images
155 and selected area electron diffraction patterns were collected. Elemental mapping and
156 quantitative microanalyses were carried out using a Thermo-Noran thin-window EDX
157 spectrometer. Elemental X-ray maps were obtained using STEM raster mode with a scanned
158 probe size of 2 nm and a dwell time of 50 μ s/pixel. Successive rasters were added until <1%
159 counting statistical errors were achieved for major elements. Data reduction was performed using
160 the Cliff-Lorimer thin film approximation with experimental and theoretical K-factors
161 determined from natural and synthetic standards.

162 For atomic resolution high-angle annular dark-field (HAADF) imaging and elemental X-
163 ray mapping, two TEMs were utilized at JEOL in Japan. In the first session, FIB-A was analyzed
164 using a JEOL JEM-F200 STEM. This instrument is a 200 kV cold field emission gun STEM,
165 equipped with HAADF detector, dual 100 mm² silicon drift detectors, and a Gatan imaging filter
166 for electron energy loss spectroscopy (EELS). Regions of interest were mapped using a probe of
167 150 pA current and 0.1 nm size, with a dwell time of 20 μs/pixel. Successive image frames were
168 added until acceptable counting statistics were achieved (typically <5 minutes). In the following
169 session, FIB-B was analyzed using a JEOL JEM-ARM300F GrandARM STEM. This instrument
170 is an aberration-corrected electron microscope, equipped with a cold field emission gun, HAADF
171 detector, dual 158 mm² silicon drift detectors, and a Gatan imaging filter for EELS. Regions of
172 interest were mapped using a probe of 85 pA current and ~80 pm size, with a dwell time of 10
173 μs/pixel, by operating at 200 kV accelerate voltage. Successive image frames were added until
174 acceptable counting statistics were achieved (typically <2 minutes). All hibonite crystals in both
175 FIB sections were tilted into the $[1\bar{1}0]$ zone for the record of imaging and X-ray mapping.

176

177 RESULTS AND DISCUSSION

178 Planar defects in hibonite

179 In low magnification BF STEM images, hibonite grains in the CAI *LK44* exhibit planar
180 defects normal to the *c* axis, in contrast to coexisting spinel, melilite, and perovskite that are
181 featureless and defect-free (Fig. 3). Most defects are developed along the full length of the
182 hibonite grains, but a few show terminations within the grains. The defect density varies among
183 and within the hibonite grains. In FIB-A, only one hibonite grain (HIB-1; Figs. 3a-c) contains a
184 high density of defects along one edge that results in strong streaking along the *c* axis in electron

185 diffraction patterns. In FIB-B, a much lower density of defects is observed along the edge of one
186 hibonite grain (HIB-2; Figs. 3d-f), with very weak streaking along the *c* axis in electron
187 diffraction patterns. Similar TEM observations were made from hibonite in different
188 carbonaceous chondrites (Han et al. 2015, 2017; Needham et al. 2017; Han et al. 2020),
189 suggesting the common occurrence of planar defects in meteoritic hibonite.

190 An unusual observation in another hibonite grain in FIB-B (HIB-3; Figs. 3d-e) is the
191 occurrence of defects that are associated with a distinct spinel inclusion (Fig. 4a). The spinel
192 inclusion is anomalously Al-rich with a composition of $Mg_{0.5}Al_{2.3}O_4$ and contains minor V_2O_3
193 (<1 wt%), based on TEM EDX analyses, and should be accompanied by cation vacancies. While
194 exceedingly rare, Al_2O_3 excesses up to ~10 mol% of the $Al_{8/3}O_4$ component in spinel are
195 reported from a few CAIs in carbonaceous chondrites (El Goresy et al. 1984; Simon et al. 1994;
196 Kööp et al. 2016). In general, spinel intergrown with hibonite in least altered CAIs from
197 carbonaceous chondrites is close to pure stoichiometric $MgAl_2O_4$ (e.g., Han et al. 2015, 2019). In
198 addition, fast Fourier transform (FFT) analyses of HRTEM images show a crystallographic
199 orientation relationship between host hibonite and spinel inclusion, such that
200 $[100]_{hibonite} // [011]_{spinel}$ and $(001)_{hibonite} // (111)_{spinel}$ (Figs. 4b-d). Similar relationships were
201 observed from spinel-rich CAIs from carbonaceous chondrites (Han et al. 2015, 2017; Needham
202 et al. 2017; Han et al. 2019).

203

204 **Comparison with synthetic hibonite**

205 The experimental charges all show a typical texture of a layer of hibonite laths with their
206 *c* axis oriented perpendicular to the layer. The hibonite laths from the five run products display a
207 range of planar defect densities and correlated compositional variations. In experiments (1) and

208 (5), Mg-Ti-free and Ti-bearing hibonite grains are free of defects. In contrast, experiments (2-3)
209 both produced Mg-bearing hibonite grains that commonly contain a high, but similar density of
210 defects normal to the *c* axis (Figs. 5a-b). In experiment (4), some Ti-Mg-bearing hibonite grains
211 contain a low density of defects (Fig. 5c), similar to the defect density observed from hibonite
212 crystals in the CAI *LK44*. The critical observation from our TEM EDX analyses of hibonite from
213 the three experiments (2-4) is that the defects, which appear as the vertical dark band in the BF
214 STEM image, are clearly linked to higher MgO contents, but are not correlated with TiO₂
215 contents (Fig. 6). We propose that the MgO enrichments along planar defects in Mg-bearing
216 hibonite stabilized the formation of Mg-enriched, non-stoichiometric hibonite, resulting in
217 complex, disordered intergrowths within stoichiometric hibonite. From our limited experiments,
218 we observed no correlation between the defect formation and density with the presence of minor
219 TiO₂ contents in Mg-Ti-bearing hibonite. These results suggest no effects of Ti on the formation
220 of planar defects and correlated non-stoichiometry in hibonite.

221 Spinel stabilized by the addition of MgO in experiments (2-4) also shows notable textural
222 and compositional variations. Two compositionally distinct spinel occurrences are observed in
223 experiment (2), including: (1) lath-shaped, Al-rich spinel containing 2.14-2.20 Al cations per 4
224 oxygen anions, intergrown with hibonite, and (2) euhedral, stoichiometric MgAl₂O₄ spinel
225 crystals in grossite and in a layer of krotite + quenched glass (Fig. 2a). The Al-rich spinel laths
226 are crystallographically oriented to intergrown hibonite with (001)_{hibonite}//(111)_{spinel}, a similar
227 relationship observed from the spinel inclusion in hibonite from the CAI *LK44* (Fig. 4). In
228 contrast, in experiments (3-4), only euhedral, stoichiometric MgAl₂O₄ spinel crystals occur as
229 inclusions in grossite and in krotite + quenched glass layer (Figs. 2b-c). The observed significant
230 Al₂O₃ excess in spinel intergrown with hibonite is due to the saturation of a melt with respect to

231 corundum (Beckett and Stolper 1994; Simon et al. 1994), consistent with a thermodynamic
232 mixing model that predicts up to ~30 mol% of the $\text{Al}_{8/3}\text{O}_4$ component in spinel at ~1750 K
233 (Navrotsky et al. 1986; Sack 2014). However, the disappearance of Al-rich spinel laths
234 intergrown with hibonite in experiments (3-4) indicates that Al-rich spinel formed metastably
235 owing to very high-temperature, short-lived heating followed by rapid cooling.

236

237 **Crystal structure and chemistry of hibonite**

238 A crystal structure model of hibonite with an ideal formula $\text{CaAl}_{12}\text{O}_{19}$ refined by recent
239 single-crystal X-ray diffraction studies (Hofmeister et al. 2004; Nagashima et al. 2010; Giannini
240 et al. 2014) was used to interpret the crystal structure and chemistry of hibonite in the CAI *LK44*
241 as observed by TEM. Hibonite has a hexagonal structure (space group $\text{P6}_3/\text{mmc}$), in which Ca^{2+}
242 ions occur in 12-fold coordination and Al^{3+} ions are distributed over five interstitial sites,
243 including three octahedral M1, M4, and M5, one tetragonal M3, and one trigonal bipyramidal
244 M2 sites. A chemical formula of hibonite is summarized as
245 $^{[12]}\text{Ca}^{[6]}\text{M1}^{[5]}\text{M2}^{[4]}\text{M3}_2^{[6]}\text{M4}_2^{[6]}\text{M5}_6\text{O}_{19}$, where coordination numbers are given as bracketed
246 superscripts and the multiplicity of the sites is subscripted. Hibonite from carbonaceous
247 chondrites is compositionally complex and contains significant concentrations of other elements,
248 commonly Si^{4+} , $\text{Ti}^{3+,4+}$, Fe^{2+} , and Mg^{2+} (Brearley and Jones 1998), all substituting for Al^{3+} on the
249 five M1 to M5 sites (Doyle et al. 2014).

250 The basic structure of hibonite consists of two different building blocks, labeled “S” and
251 “R”, respectively, which alternate normal to the *c* axis (Schmid and De Jonghe 1983; Nagashima
252 et al. 2010). The S block has the ideal composition of $(\text{Al}_6\text{O}_8)^{2+}$ representing a spinel or γ -
253 alumina structure composed of two cubic close-packed oxygen layers with two octahedral M1

254 and M5 and one tetragonal M3 sites. The R block with a composition of $(\text{CaAl}_6\text{O}_{11})^{2-}$ represents
255 an intermediate mirror plane composed of three hexagonal close-packed oxygen layers
256 comprising Ca with one trigonal bipyramidal M2 and one octahedral M4 sites. A unit cell of
257 hibonite is characterized by a stacking sequence of RSR*S* parallel to the (001) plane, where R*
258 and S* are structurally same as R and S but rotated by 180° with respect to R and S around the *c*
259 axis. This neutral RS subunit is therefore the primitive repeating unit of hibonite structure with a
260 total composition of $\text{CaAl}_{12}\text{O}_{19}$, corresponding to the (002) lattice fringe spacing of 1.1 nm
261 normal to the *c* axis observed in HRTEM images. Schmid and De Jonghe (1983) observed
262 different spacings of the (001) and (002) reflections in HRTEM images of synthetic hibonite,
263 which were interpreted as syntactic intergrowths by altering the stacking sequence of R and S
264 blocks in the hibonite structure, similar to that observed in manetoplumbite-related structures
265 (e.g., Hirotsu and Sato 1978; Göbbels et al. 1995; Iyi et al. 1995).

266 Our atomic resolution HAADF images reveal variations in (00 l) fringe spacings along *c**
267 in hibonite crystals from the CAI *LK44* (Figs. 7-8). The *d*-spacings of the (002) fringes in the
268 HAADF images were measured between successive brightest fringes arising from Ca atoms. In
269 HIB-1 and HIB-2, isolated 1.6 nm layers are common and randomly distributed within the
270 normal 1.1 nm layers of stoichiometric hibonite (Figs. 7a-c). We also observed a single layer of
271 2.5 nm spacing in HIB-2 (Figs. 7c-d). In HIB-3, an Al-rich spinel inclusion is decorated by a
272 single 1.6 nm layer along its top (Fig. 8a), as well as stepwise 2.0 nm layers extended from its
273 bottom end (Figs. 8b-d), which may have grown along the *c* axis screw dislocation in hibonite.
274 Collectively, single 1.6 nm layers mixed in various proportions inside the regular hibonite
275 structure of 1.1 nm (002) spacing are the most common, but less-common wider layers of 2.0 nm
276 and 2.5 nm (002) spacings also occur as noted above. These layers and the Al-rich spinel

277 inclusion share low strain grain boundaries with the host hibonite crystals.

278 The observed spacing variations in hibonite crystals from the CAI *LK44* are interpreted
279 directly as different stacking ratios of two basic R and S blocks, without changing the basic
280 structural characteristics of these blocks. That is, as shown by Schmid and De Jonghe (1983),
281 such variations are explained as unit cell scale syntactic intergrowths in terms of the structural
282 block concept and are distinguished from common stacking faults that involve shear. We used
283 the Crystal MarkerTM software and the structure determination from Nagashima et al. (2010) to
284 project the crystal structure in terms of the arrangement of R and S blocks on our HADDF
285 images (Fig. 9). As discussed above, prominent lattice fringes of 1.1 nm represent a perfectly
286 ordered, stoichiometric hibonite crystal consisting of a coherent growth of repeating RS units.
287 The common 1.6 nm wide (002) layers are composed of a stacking sequence of a RSS unit that
288 accommodates six, instead of four, oxygen planes (Fig. 9a). These defect layers contain twice as
289 many octahedral M1 and tetragonal M3 sites and 50% more octahedral M5 sites, compared to
290 stoichiometric hibonite, and have an idealized stoichiometry of
291 $^{[12]}Ca^{[6]}M1_2^{[5]}M2^{[4]}M3_4^{[6]}M4_2^{[6]}M5_9O_{28}$ with a total composition of $CaAl_{18}O_{28}$. The 2.0 nm and
292 2.5 nm wide (002) layers both lack bright fringes of Ca, but contain distinct lamellae composed
293 of 0.46 nm (002) spacing corresponding to the (111) spacing of a cubic spinel or γ -alumina
294 structure (Figs. 8d, 9b). We conclude that the 2.0 nm and 2.5 nm layers are stacked in a sequence
295 of RSSS and RSSSS, respectively, which represent metastable spinel lamellae that are epitaxially
296 intergrown with stoichiometric hibonite on the unit cell scale. Collectively, the observed wider
297 spacings in hibonite are due to its distinct structural ability to accommodate coherent (001)
298 intergrowths of additional S blocks into the RS sequence of stoichiometric hibonite. The inferred
299 systematic structural variation in hibonite is evidence for non-stoichiometry, and our atomic

300 resolution X-ray mapping shows a prominent Mg increase, but Ca and Ti deficiencies in the 1.6
301 nm and 2.5 nm layers (Fig. 10), due to the preferred site occupancy of Mg on tetragonal M3 sites
302 in S blocks (Nagashima et al. 2010; Doyle et al. 2014; Giannini et al. 2014; this study).
303 Interestingly, we did not observe any layers with a sequence of RRS or isolated R blocks,
304 suggesting that S blocks are necessary for the stability of hibonite structure containing
305 intergrowth phases (Schmid and De Jonghe 1983; Göbbels et al. 1995; Iyi et al. 1995).

306 Our atomic resolution HAADF imaging results can also be interpreted within the
307 framework of polysomatic series (Thompson 1978; Veblen 1991), since hibonite has a layered
308 structure consisting of alternating R and S blocks parallel to the (001) plane (Hofmeister et al.
309 2004; Nagashima et al. 2010). In this view, hibonite is a polysomatic mineral that can contain
310 different stacking ratios of two structurally and chemically distinct R and S modules, leading to
311 variations in structures and stoichiometries. The observed layers of 1.6 nm, 2.0 nm, and 2.5 nm
312 spacings in hibonite having 1.1 nm (002) spacing (Figs. 7-8) are thus regarded as a polysomatic
313 series of intergrowth structures, in which the number of S modules stacked with one R module is
314 systemically varied up to four (Fig. 9), with increased Mg concentrations (Fig. 10).

315

316 **Ti and Mg substitution in hibonite**

317 Atomic resolution HAADF imaging and corresponding atomic-scale X-ray mapping of
318 hibonite from the CAI *LK44* provide direct information about the spatial distribution of atoms in
319 the hibonite structure. As seen in Figure 10, Ti is concentrated along the same plane as the Ca
320 atoms, suggesting its most probable distribution in trigonal bipyramidal M2 and octahedral M4
321 sites. Mg is concentrated between the Ca atom planes, suggesting its most stable occupancy on
322 tetragonal M3 sites. Importantly, Mg concentrations are significantly increased in a layer of 1.6

323 nm (002) spacing, where double tetragonal M3 sites and 50% more octahedral M5 sites are
324 required, compared to those in stoichiometric hibonite, as discussed above. Mg is also
325 concentrated in a wider layer of 2.5 nm (002) spacing, where extra Al-rich spinel occurs
326 epitaxially intergrown with stoichiometric hibonite. Interpretation of the Ti and Mg site
327 distributions from the atomic resolution X-ray mapping is broadly consistent with the site
328 occupancies of Ti and Mg determined from single crystal X-ray diffraction data (Bermene et al.
329 1996; Nagashima et al. 2010; Giannini et al. 2014; Berry et al. 2017) and neutron powder
330 diffraction data combined with density functional theory modeling (Doyle et al. 2014).

331 An important question pertains to the Ti and Mg substitution mechanism(s) in hibonite.
332 Figure 11 summarizes the chemical compositions of hibonite grains from the CAI *LK44*.
333 Hibonite grains exhibit a range of ~2-8 wt% TiO₂ and ~1-5 wt% MgO, with ~1-3 wt% SiO₂, ≤1
334 wt% V₂O₃, and ≤0.6 wt% FeO. These TiO₂ and MgO ranges correspond to almost the entire
335 range previously reported from hibonite in carbonaceous chondrites (Brearley and Jones 1998).
336 With total Ti calculated as Ti⁴⁺, there is a good correlation between (Mg + Fe + Ti + Si) vs. (Al +
337 V) cations (Fig. 11a), consistent with the substitutional relationships between cations in hibonite.
338 Many analyses fall close to a 1:1 correlation line on a plot of (Mg + Fe) vs. (Ti + Si) cations (Fig.
339 11b), suggesting that Mg²⁺ is charge balanced with Ti⁴⁺ and Si⁴⁺. Variable amounts of Si, Ti, and
340 Mg are therefore incorporated into hibonite by the coupled substitutions 2Al³⁺ ↔ Ti⁴⁺ + Mg²⁺
341 and 2Al³⁺ ↔ Si⁴⁺ + Mg²⁺, with the first being the major mechanism for Ti and Mg incorporations
342 into hibonite. Minor Fe²⁺ present in hibonite is interpreted as secondary substitution for Mg²⁺ in
343 the parent body setting (Simon et al. 2001, 2006). However, some fraction of the analyses plots
344 off the 1:1 line to the Ti-Si-rich side, suggesting the presence of Ti³⁺ that can substitute directly
345 with Al³⁺ and therefore is not coupled with Mg²⁺. These compositional trends are consistent with

346 a general characteristic revealed by electron microprobe analyses of hibonite from carbonaceous
347 chondrites (Brearley and Jones 1998).

348 We used EELS to evaluate the Ti oxidation states in hibonite and perovskite in FIB-A
349 from the CAI *LK44*. The Ti L_3 edge in oxides and silicates consists of two distinct peaks that
350 arise from well-known crystal-field effects and whose positions are sensitive to oxidation states,
351 with Ti^{3+} peaks occurring at energies ~ 1 eV below corresponding peaks for Ti^{4+} (e.g.,
352 Christoffersen et al. 1996; Stoyanov et al. 2007). The EELS data for the Ti $L_{2,3}$ edge in hibonite
353 from the CAI *LK44* show distinct low energy shoulders on the L_3 doublet, which are contributed
354 by Ti^{3+} , whereas perovskite adjacent to hibonite shows only the presence of Ti^{4+} (Fig. 12a). We
355 deconvolved the EELS spectra from the L_3 edge by fitting the peaks with Gaussian profile
356 models and determining the peak area ratios (Fig. 12b). The peak area ratios suggest that ~ 15 - 20%
357 of Ti is present as Ti^{3+} in the hibonite, which is within the $Ti^{3+}/\Sigma Ti$ range (~ 5 - 35%) reported for
358 hibonite from carbonaceous chondrites (Beckett et al. 1988; Simon et al. 1997; Giannini et al.
359 2011; Zega et al. 2012; Doyle et al. 2016).

360 The observed variation in Ti^{3+} amount in hibonite was interpreted as a range of oxygen
361 fugacity ($\log fO_2 \approx -16$ to -5) recorded both in the nebular and parent body settings (Ihinger and
362 Stolper 1986; Beckett et al. 1988; Giannini et al. 2011; Zega et al. 2012). That is, hibonite
363 originally formed in a region of solar nebula under highly reducing conditions (Beckett et al.
364 1998), as inferred from Ti^{3+}/Ti^{4+} ratios in Al-Ti-rich pyroxene in compact Type A and Type B
365 CAIs from Allende (Grossman et al. 2008). Later, it was oxidized *in situ* during thermal
366 processing on the parent body to varying degrees (Ihinger and Stolper 1986; Simon et al. 1997;
367 Zega et al. 2012). However, the application of hibonite chemistry as an oxygen barometer for the
368 early Solar System processes appears questionable because the amount of Ti^{3+} incorporated into

369 the hibonite structure has been shown experimentally to be largely independent of oxygen
370 fugacities of a gas in equilibrium with hibonite, and instead is strongly controlled by crystal
371 chemistry, especially Mg availability (Doyle et al. 2014, 2016; Berry et al. 2017). Likewise,
372 some of our electron microprobe and TEM EDX analyses show a good correlation between Ti^{4+}
373 and Mg^{2+} cation numbers in hibonite (Table 1; Fig. 11b), indicating that no or minimal direct
374 substitution $\text{Ti}^{3+} \leftrightarrow \text{Al}^{3+}$ occurred in some hibonite. These observations suggest that Ti^{3+} -bearing
375 and Ti^{3+} -free hibonites can occur within single CAIs, implying their complex redox history in the
376 nebular and/or asteroidal settings.

377

378 **IMPLICATIONS AND CONCLUSIONS**

379 Our atomic resolution HAADF imaging and X-ray mapping of hibonite from the Allende
380 CAI *LK44* reveal the presence of mixed spacings along the (001) plane and correlated Mg
381 enrichments. This correlation is fully consistent with annealing experiments that showed that the
382 formation of planar defects in hibonite crystals is promoted by the presence of minor Mg. Thus,
383 our TEM analyses of meteoritic and synthetic hibonites clearly demonstrate a strong chemical
384 control on the alteration of stacking sequences of basic S and R blocks in the hibonite structure.
385 The substitution of Mg with Al in tetrahedral M3 sites stabilizes the formation of wider S blocks,
386 hence forming complex, disordered intergrowths of stoichiometric and Mg-enriched, non-
387 stoichiometric hibonites. However, the Ti-Al substitution in trigonal bipyramidal M2 and
388 octahedral M4 sites does not enhance the formation of wider R blocks in hibonite but may
389 require the charge balanced substitution with Mg ($\text{Ti}^{4+} + \text{Mg}^{2+} \leftrightarrow 2\text{Al}^{3+}$). The unit cell scale
390 intergrowths of stoichiometric and non-stoichiometric hibonites indicates that structures with
391 different stacking sequences but similar symmetry have similar free energies and hence co-exist

392 as kinetically metastable phases on the unit cell scale (Hirotsu and Sato 1978).

393 The atomic-scale TEM observations of hibonite from the Allende CAI *LK44* provide new
394 insights into formation conditions of refractory solids in the early solar nebula. The non-
395 stoichiometric hibonite and Al-rich spinel inclusion observed in this study suggest that these
396 phases formed metastably but were kinetically stable at very high-temperatures. The Al-rich
397 spinel (up to ~50 mol% of the $\text{Al}_{8/3}\text{O}_4$ component) formed contemporaneously with hibonite at
398 temperatures <1800 K (Navrotsky et al. 1986; Sack 2014). However, the occurrence of non-
399 stoichiometric, Al-rich spinel conflicts with equilibrium condensation calculations, where a
400 thermodynamic model for MgAl_2O_4 - $\text{Al}_{8/3}\text{O}_4$ spinels (Sack 2014) was used, showing essentially
401 no stability field for this phase, predicting instead an assemblage of stoichiometric corundum,
402 grossite, and krotite, even in highly dust-enriched systems (Ebel et al. 2014). Our observations
403 suggest that the metastable assemblage of hibonite and Al-rich spinel formed in place of
404 thermodynamic equilibrium Ca-Al-oxide assemblages. We thus conclude that non-equilibrium
405 crystal growth was strongly controlled by kinetic factors, such as crystal structure and chemistry
406 and cooling rates, during very high-temperature formation of refractory solids in the early solar
407 nebula (Toppani et al. 2006; Han et al. 2015).

408

409

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FIGURE CAPTIONS

Figure 1. SEM images of CAI *LK44* from Allende. (a) Combined elemental map in Mg (red), Ca (green), and Al (blue) of the entire view of the CAI from the thin section. The outlined region in (a) is shown in detail in (b, c). (b, c) Enlarged BSE image and corresponding false color elemental X-ray map in Mg (red), Ti (green), and Al (blue) of a hibonite-spinel inclusion in melilite. Perovskite also occurs attached to hibonite. Note the oscillatory Ti and Mg zoning in hibonite grains. In (b), the FIB section locations are indicated by dashed lines. Abbreviations hereafter: hib = hibonite; pv = perovskite; sp = spinel; mel = melilite; WL rim = Wark-Lovering rim.

Figure 2. SEM BSE images of the reaction zones from experiments (2-4) showing a similar mineral sequence from hibonite, grossite, to krotite + quenched melt glass. Elongated spinel, indicated by arrows, occurs intergrown with hibonite only from experiment (2) in (a), whereas euhedral spinel are present in grossite and krotite + glass from all experiments in (b, c). Abbreviations hereafter: cor = corundum; grs = grossite; krt = krotite.

Figure 3. TEM images of two FIB sections from hibonite in the CAI *LK44*. (a, d) BF STEM images and (b, e) corresponding elemental X-ray maps in Mg (red), Ti (green), and Al (blue) of the entire FIB-A and -B sections. The oscillatory Ti and Mg zoning in hibonite grains is apparent. The outlined area in (a, d) is shown in detail in (c, f). (c, f) Enlarged BF STEM images of hibonite crystals HIB-1 and HIB-2, which contain planar defects normal to the *c* axis. Inset diffraction patterns are indexed as the [100] zone axis of hibonite.

617 **Figure 4.** TEM images of an Al-rich spinel inclusion in hibonite from the CAI *LK44*. (a) BF
618 STEM image of the spinel inclusion decorated by step-wise defects, indicated by arrows. Inset
619 diffraction patterns are indexed as the [100] zone axis of the host hibonite crystal HIB-3. (b)
620 HRTEM image of the spinel inclusion that is in a crystallographic continuity with the host
621 hibonite HIB-3. (c, d) FFT patterns of the spinel inclusion and the host hibonite HIB-3 obtained
622 from HRTEM images shown in (c). A crystallographic orientation relationship exists between
623 the host hibonite and the spinel inclusion, such that $[100]_{\text{hibonite}}//[011]_{\text{spinel}}$ and
624 $(001)_{\text{hibonite}}//(111)_{\text{spinel}}$.

625
626 **Figure 5.** BF STEM images of synthetic hibonite crystals from experiments (2-4). Mg-bearing
627 hibonite (a, b) contains a higher density of defects than Mg-Ti-bearing hibonite (c), irrespective
628 of the heating duration.

629
630 **Figure 6.** Correlation between defects and chemical compositions of synthetic hibonite. (a) BF
631 STEM image and (b) corresponding Mg X-ray map of defect-bearing area in the Mg-bearing
632 hibonite crystal from experiment (2). The vertical dark bands in (a), indicated by arrows and
633 bracket, represent defects in the hibonite crystal. (c) Profile of Mg concentrations extracted from
634 the spectrum map shown in (b).

635
636 **Figure 7.** HAADF images of hibonite crystals from the CAI *LK44*. (a, b) A hibonite crystal HIB-
637 1 from FIB-A. Isolated layers of 1.6 nm (002) spacing are randomly intergrown with prominent
638 layers of 1.1 nm (002) spacing. (c, d) A hibonite crystal HIB-2 from FIB-B. A rare layer of 2.5
639 nm (002) spacing, as well as an isolated layer of 1.6 nm (002) spacing, are observed within

640 prominent layers of 1.1 nm (002) spacing. The brightest white and grey spots arise from Ca and
641 Al atoms, respectively, whereas Ti and Mg atoms are not readily visible in these images. All
642 images were taken along the $[1\bar{1}0]$ zone axis of hibonite, as shown in inset FFT patterns in (b).

643
644 **Figure 8.** HAADF images of an Al-rich spinel inclusion in hibonite from the CAI *LK44*. The
645 spinel inclusion is decorated by a single layer of 1.6 nm (002) spacing along its top (a), as well as
646 stepwise layers of 2.0 nm (002) spacing extended from its bottom end (b-d). The brightest white
647 and grey spots arise from Ca and Al atoms, respectively, whereas Ti and Mg atoms are not
648 readily visible in these images. All images were taken along the $[1\bar{1}0]$ zone axis of hibonite, as
649 shown in inset FFT patterns in (c).

650
651 **Figure 9.** Projected structures of hibonite in terms of structural unit S and R blocks. The
652 CrystalMakerTM software was used to draw the hibonite structure model in the $[1\bar{1}0]$ zone axis,
653 adopted from single-crystal X-ray diffraction data (Nagashima et al. 2010). Bottom insets show
654 the distribution of five Al sites (M1-M5) and preferential Ti and Mg substitution. While Al is
655 distributed over five sites, Mg occupies only M3 sites in S blocks and Ti partitions between M2
656 and M4 sites in R blocks.

657
658 **Figure 10.** Atomic-scale elemental X-ray maps and profiles of Ca, Al, Mg, and Ti obtained from
659 hibonite crystals from the CAI *LK44*. The reference HAADF images and corresponding X-ray
660 maps were taken along the $[1\bar{1}0]$ zone axis of hibonite.

661
662 **Figure 11.** Cations per formula unit in hibonite from the CAI *LK44*. The chemical compositions

663 of hibonite grains from FIB-B were extracted from spectrum maps shown in Figure 3b, obtained
664 using TEM EDX. All Ti was calculated as Ti^{4+} .

665
666 **Figure 12.** (a) EELS data for the Ti $L_{2,3}$ edge in hibonite and perovskite in FIB-A from the
667 CAI *LK44*. The hibonite spectrum shows well-resolved Ti^{3+} shoulders on the L_3 edge (labeled “A”
668 and “B”) that occur at 458.1 eV and 460.4 eV, respectively. (b) Gaussian peak fits for the
669 Ti^{3+} and Ti^{4+} components in the hibonite Ti L_3 edge. Hibonite contains ~15-20% of Ti as Ti^{3+} , in
670 contrast to perovskite containing Ti^{4+} only.

671

TABLES

672 **Table 1.** Representative electron microprobe data of hibonite and spinel in the Allende CAI

673 *LK44.*

Mineral Analysis #	Hibonite 1		Hibonite 2		Spinel 3		Spinel 4	
	wt%	SD	wt%	SD	wt%	SD	wt%	SD
SiO ₂	0.16	0.02	0.09	0.02	bd	-	bd	-
TiO ₂	7.54	0.14	6.69	0.14	0.12	0.04	0.11	0.04
Al ₂ O ₃	78.80	0.46	79.76	0.47	69.44	0.42	69.30	0.42
Cr ₂ O ₃	0.06	0.04	0.05	0.04	0.08	0.04	0.12	0.04
V ₂ O ₃	0.47	0.09	0.60	0.09	0.84	0.10	0.91	0.11
FeO	0.12	0.08	bd	-	0.51	0.11	1.08	0.15
MgO	3.72	0.08	3.38	0.07	27.57	0.33	27.24	0.33
CaO	8.18	0.17	8.37	0.17	0.12	0.03	0.15	0.03
Total	99.05		98.94		98.68		98.91	
Cation								
Si	0.018		0.010		-		-	
Ti	0.649		0.575		0.002		0.002	
Al	10.632		10.755		1.975		1.972	
Cr	0.005		0.004		0.001		0.002	
V	0.043		0.055		0.016		0.018	
Fe	0.012		-		0.010		0.022	
Mg	0.634		0.576		0.992		0.981	
Ca	1.003		1.026		0.003		0.004	
Sum	12.996		13.001		2.999		3.001	

674 Note: Cation formula were calculated based on 19 and 4 oxygen anions for hibonite and spinel,
 675 respectively. SD = Standard deviation. bd = below detection.

676 **Table 2.** A summary of experimental conditions and products for synthetic hibonite samples.

#	Starting melt composition	Heating duration	Phases observed	Planar defects in hibonite	Hibonite composition (wt%)	
					MgO	TiO ₂
1	2CaO-Al ₂ O ₃ eutectic	4 hours	hib, gro, kr+gls	–	-	-
2	+ 5 wt% MgO	4 hours	hib+sp, gro, kr+sp+gls	+++	0.2	-
3	+ 5 wt% MgO	5 days	hib, gro+sp, kr+gls	+++	0.2	-
4	+ 5 wt% MgO + CaTiO ₃	5 days	hib, gro+sp, kr+sp+gls	+	<1	<0.5
5	+ CaTiO ₃	5 days	hib, gro, pv+gls	–	-	1

677 Note: The chemical compositions were determined by TEM EDX. Abbreviations: hib = hibonite, sp = spinel, gro = grossite, kr =

678 krotite, gls = quenched glass.

Figure 1

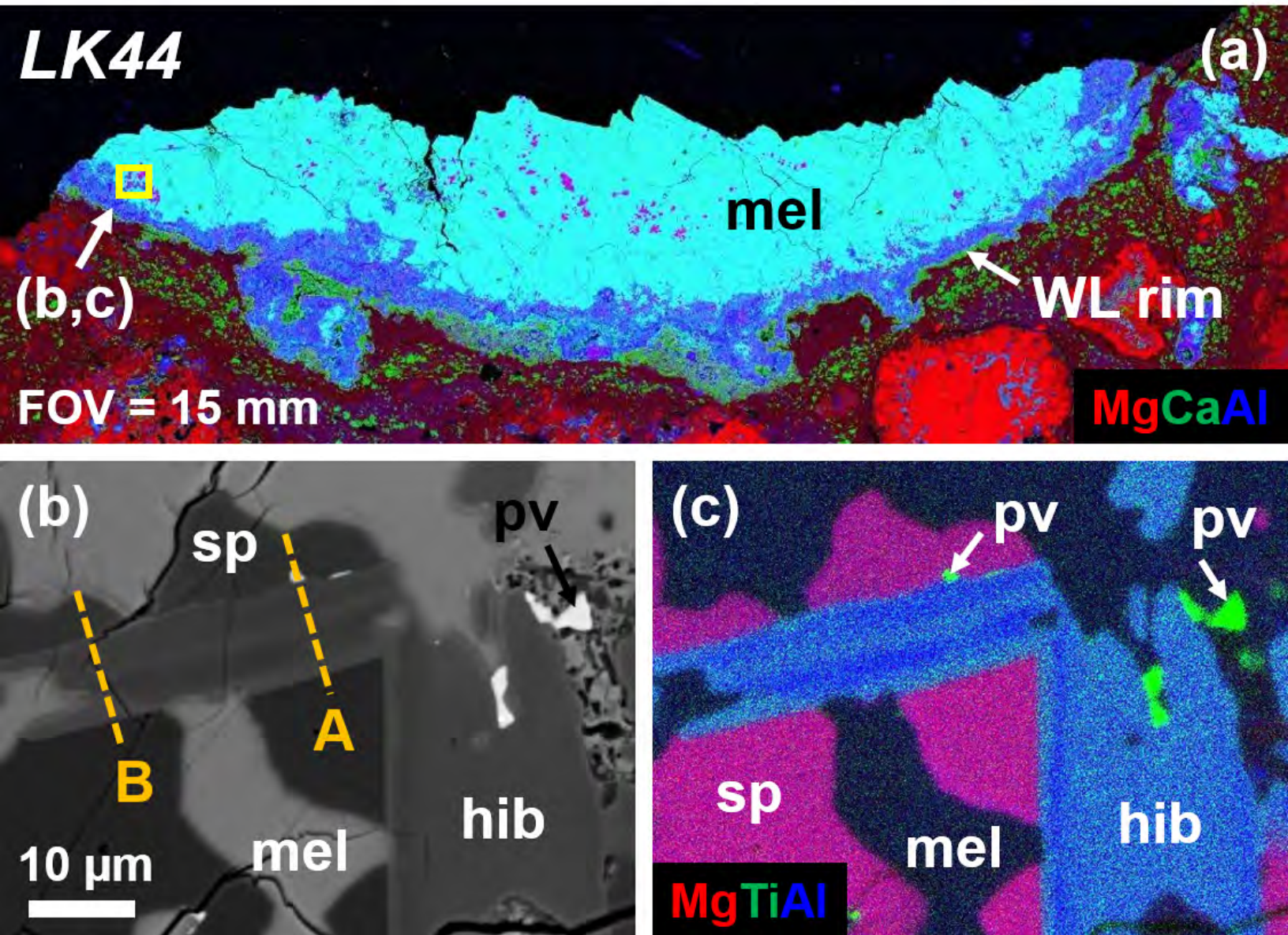


Figure 2

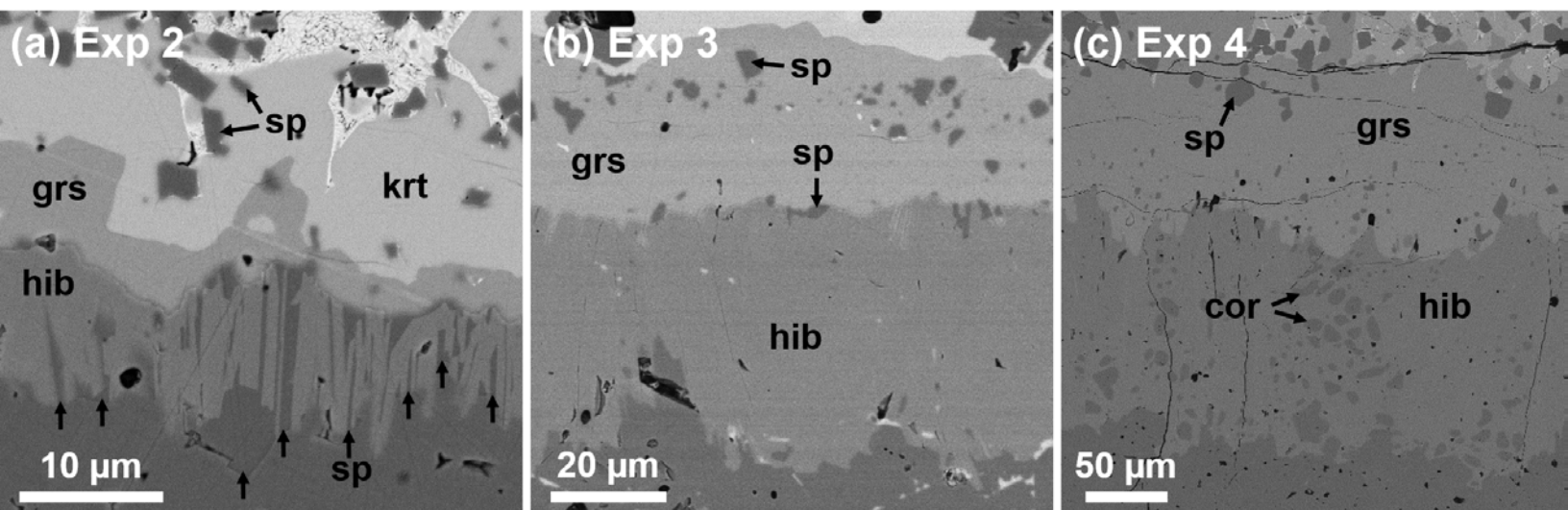


Figure 3

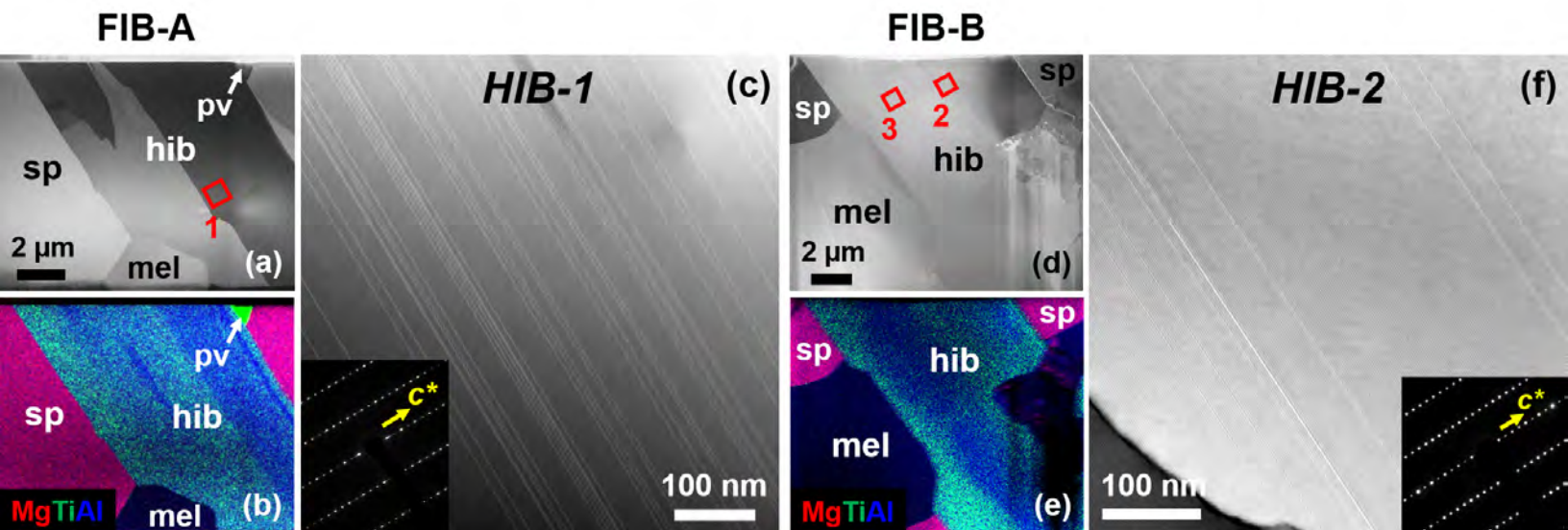


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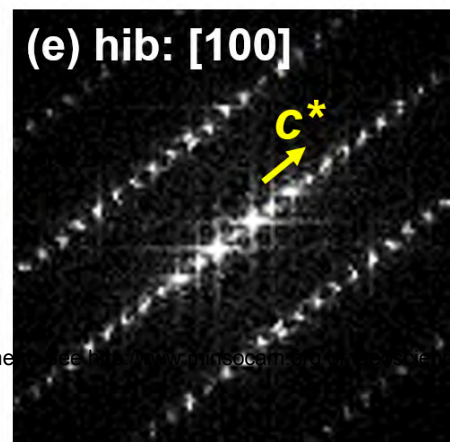
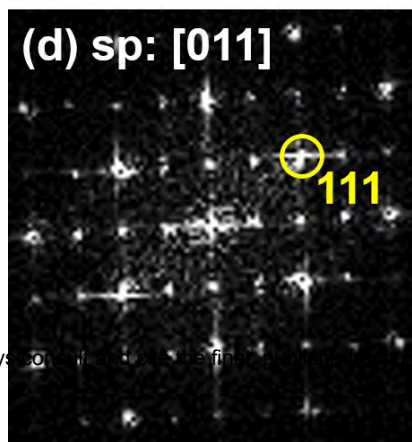
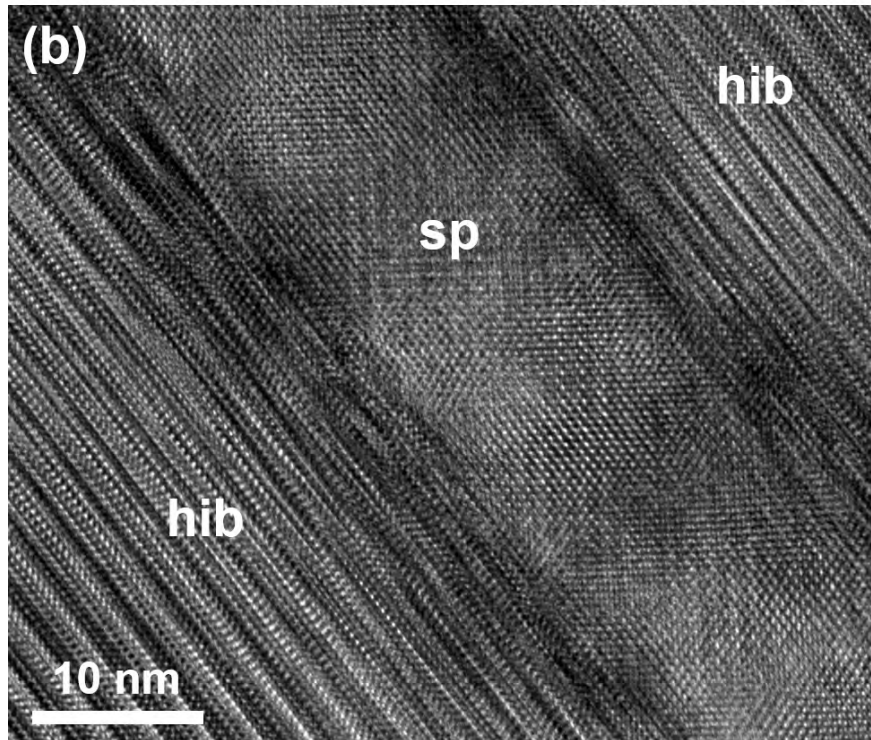
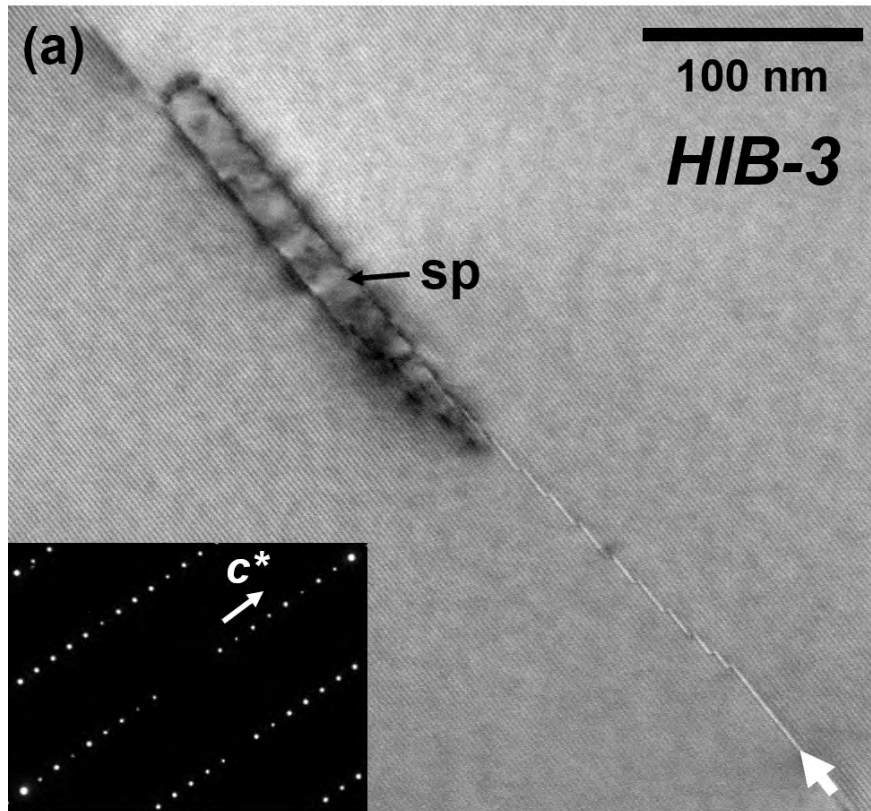


Figure 5

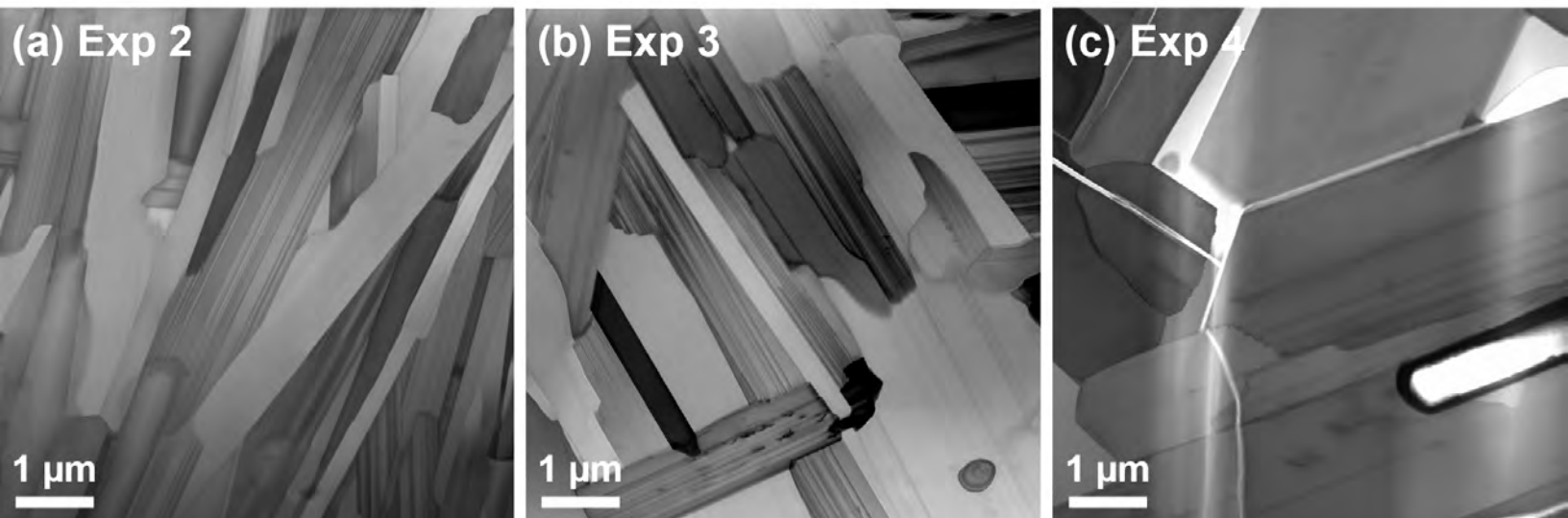


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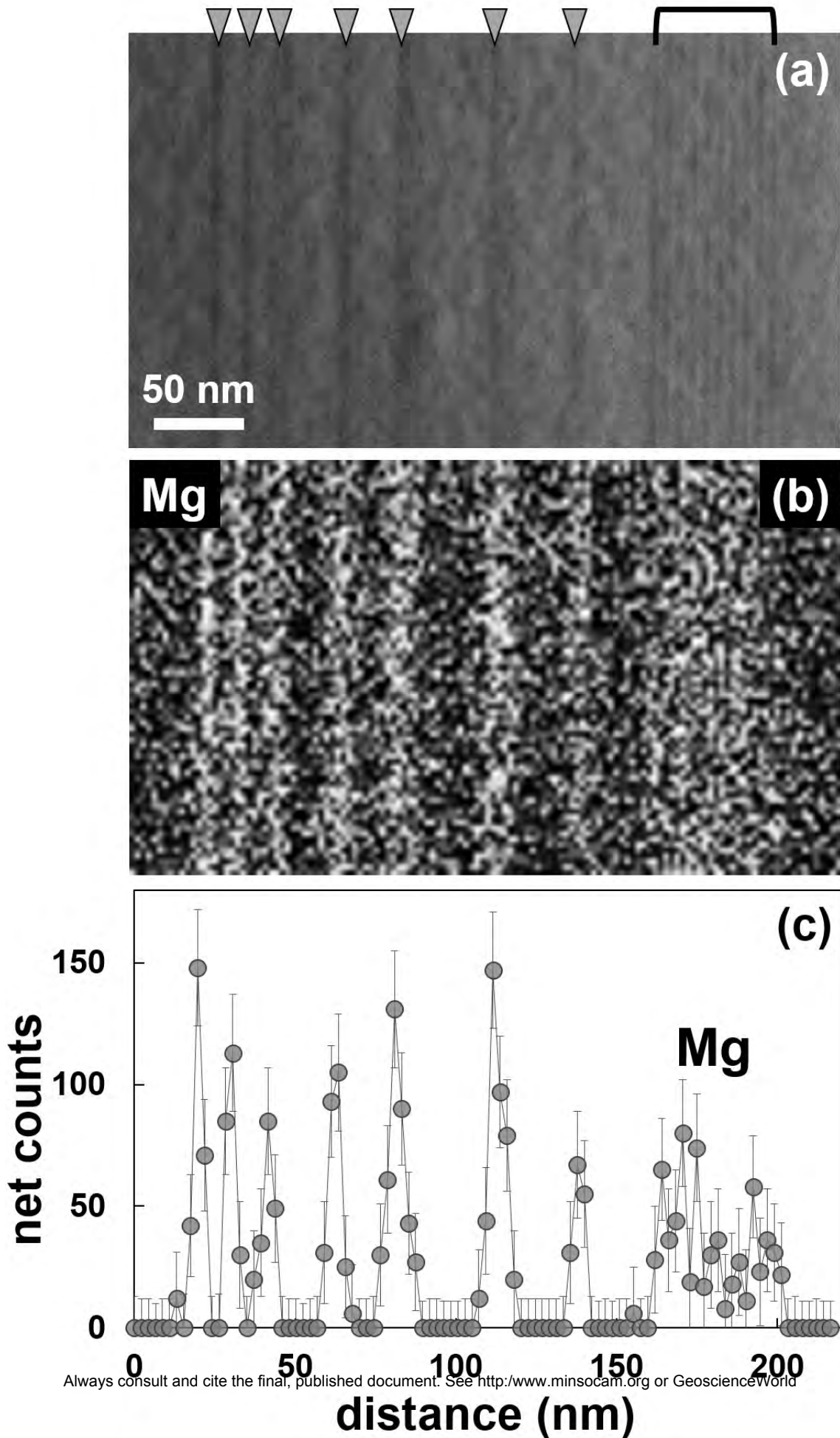


Figure 7

HIB-1

HIB-2

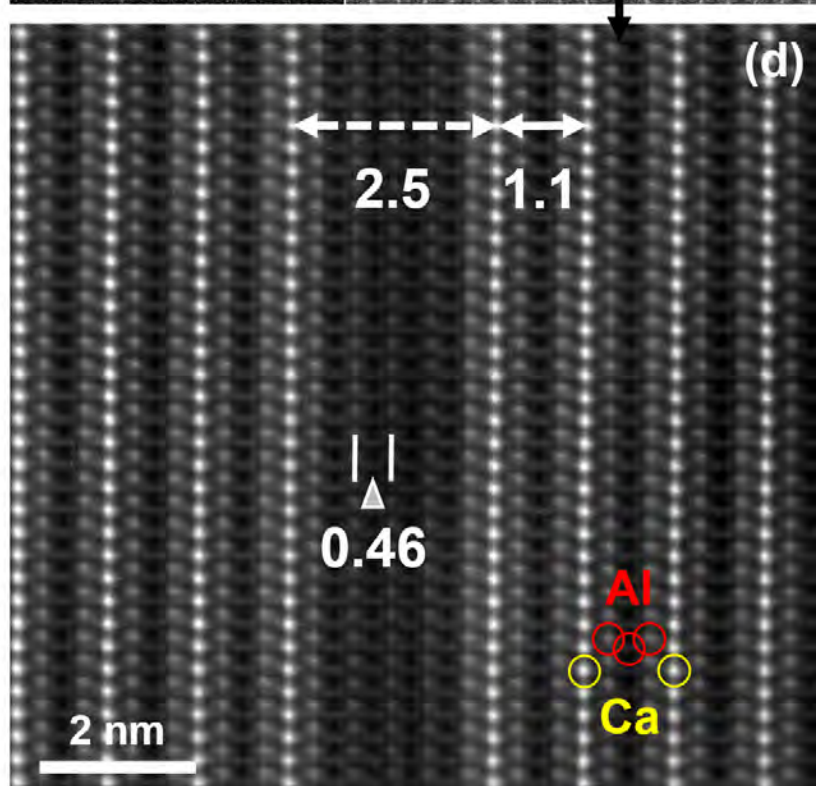
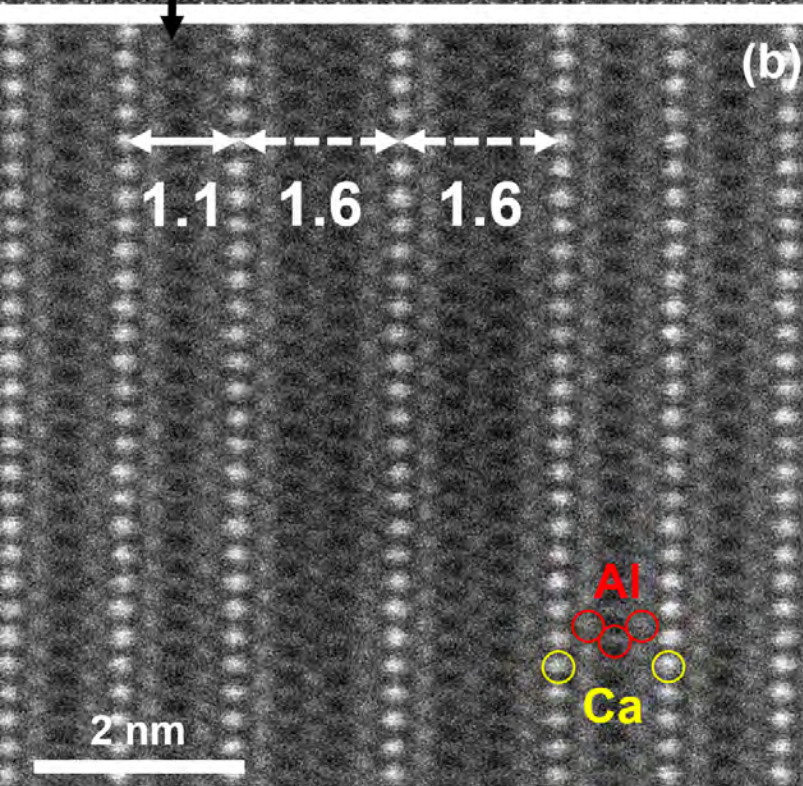
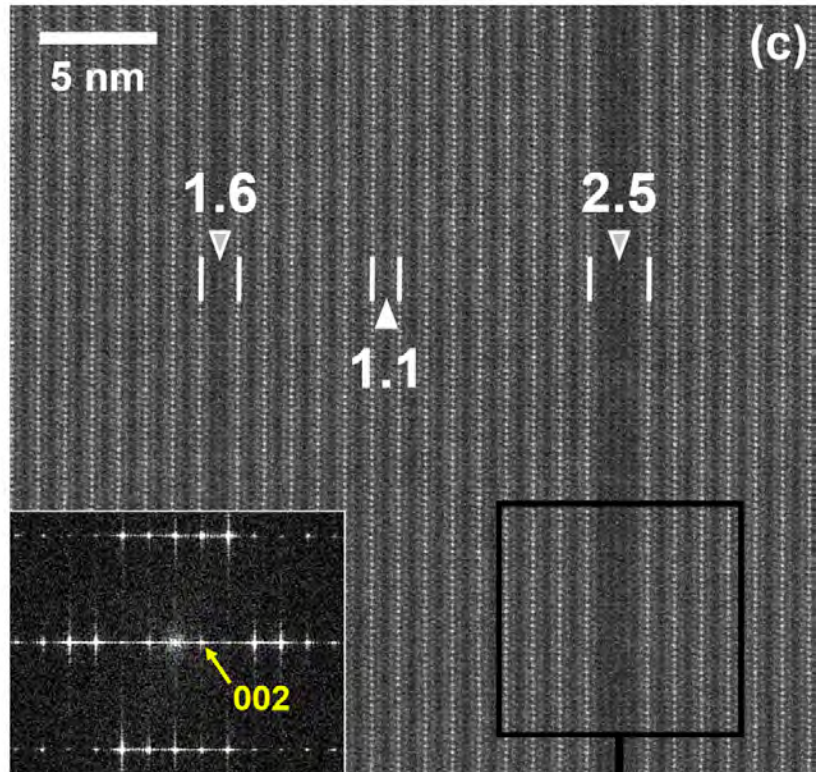
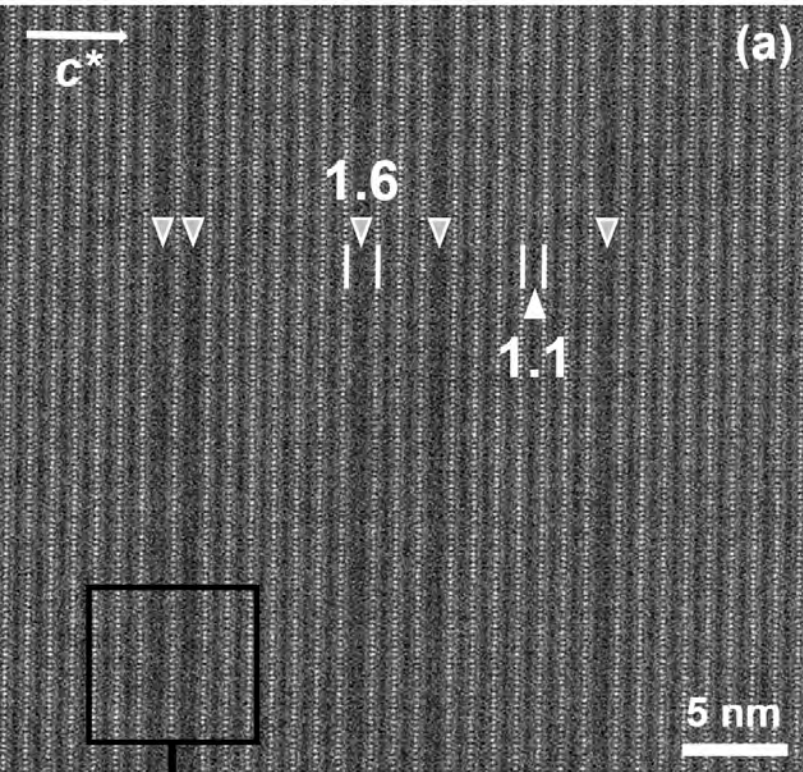


Figure 8

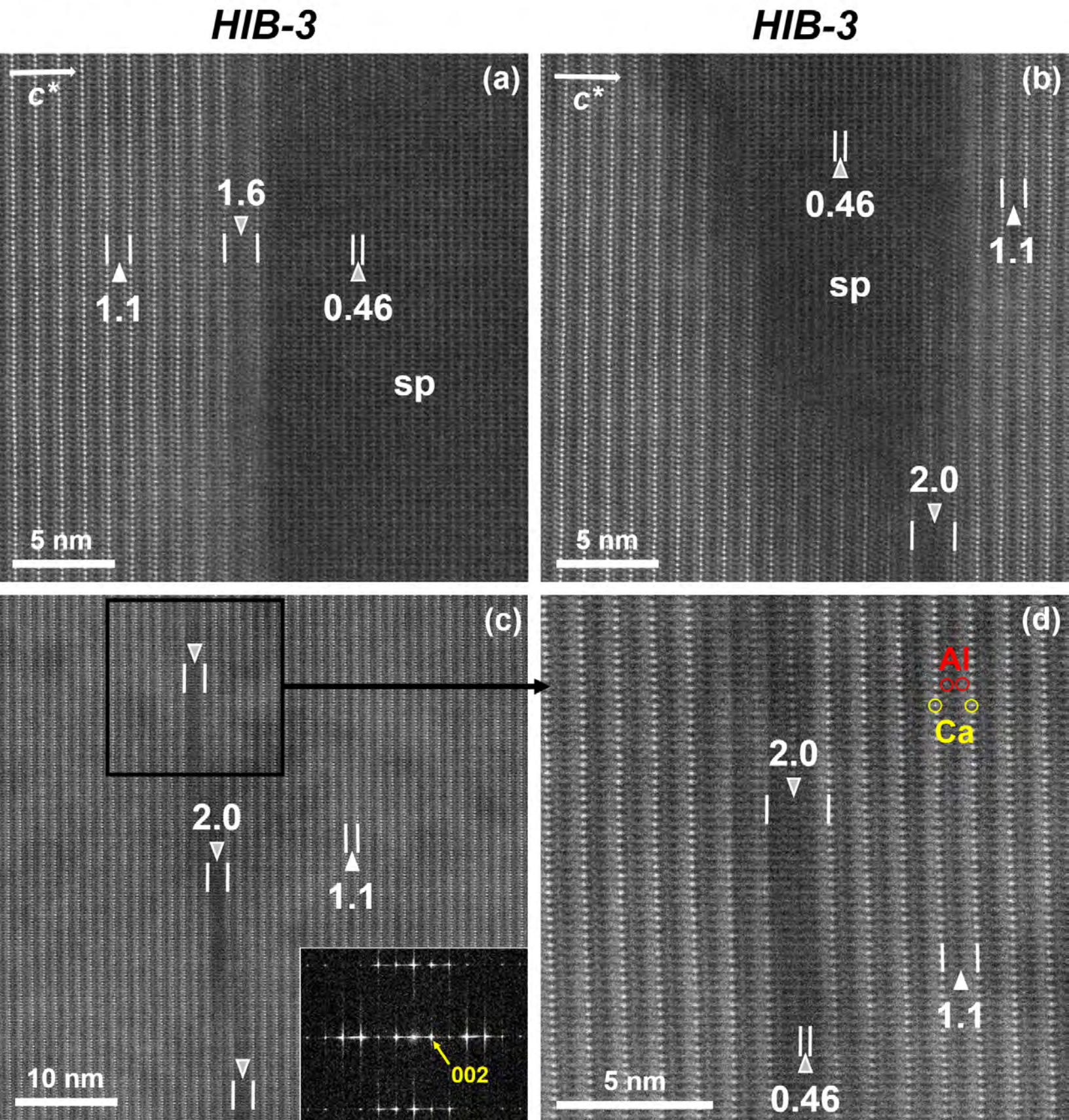
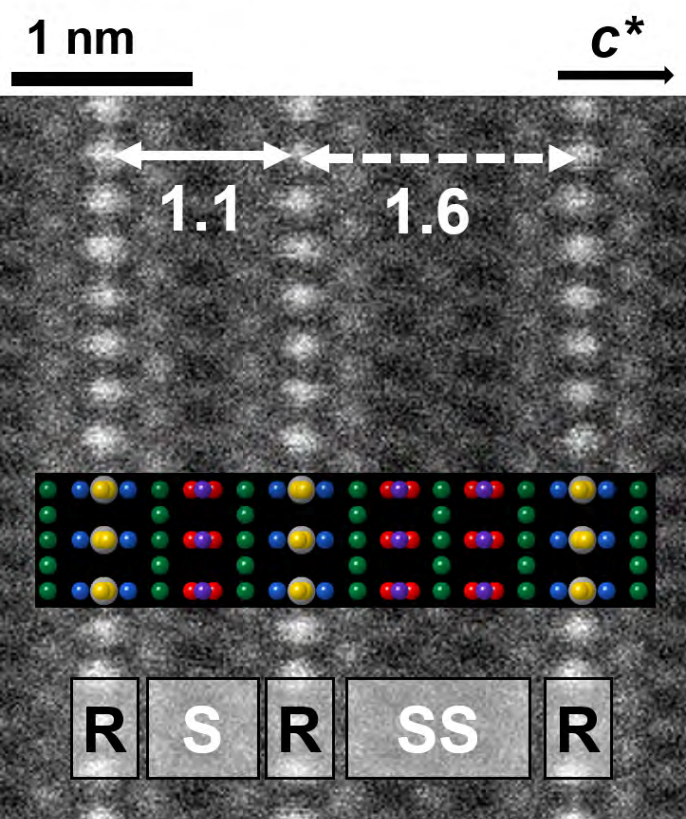


Figure 9

(a) *HIB-1*



(b) *HIB-2*

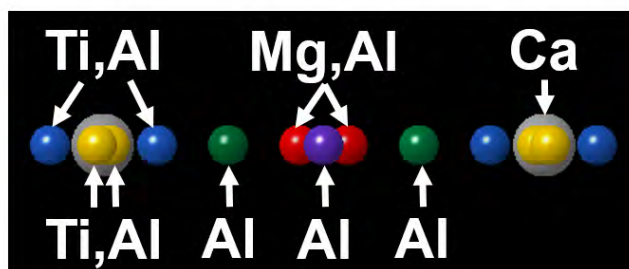
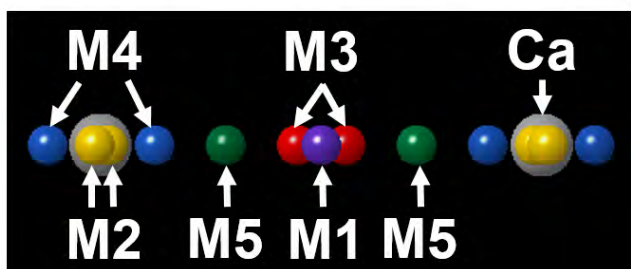
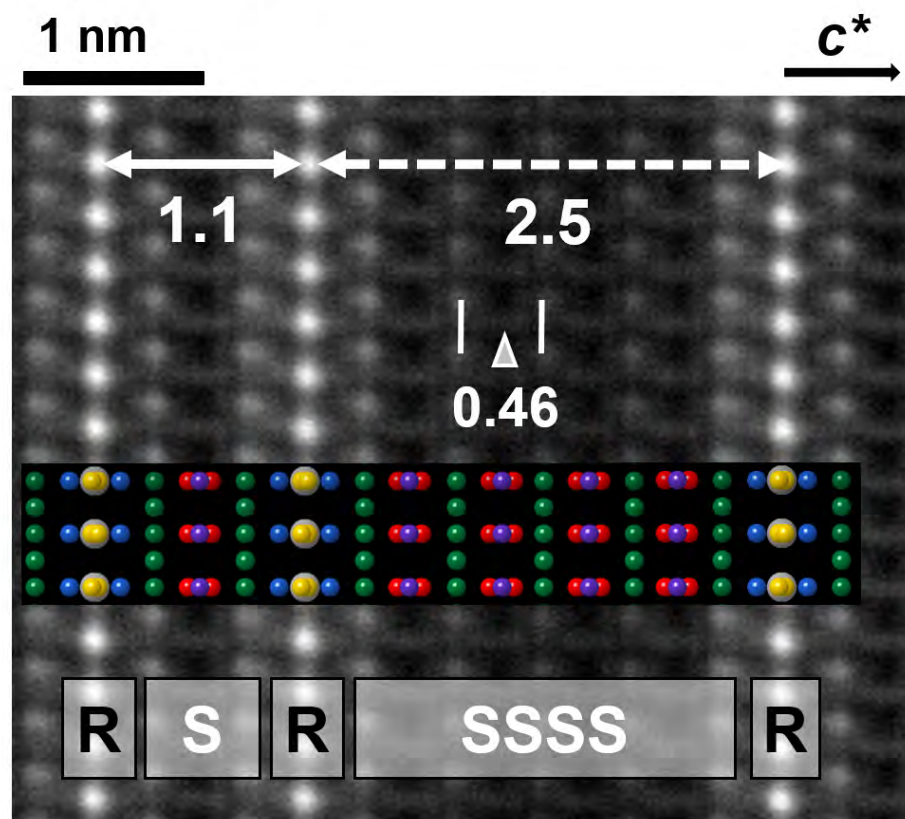
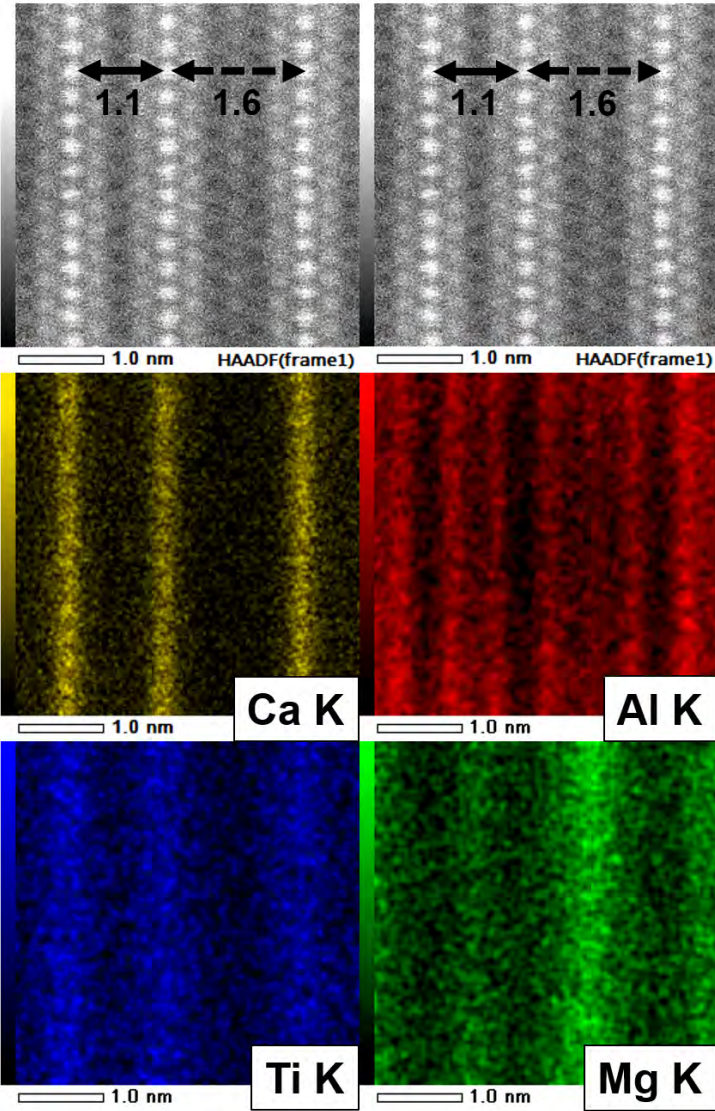


Figure 10

(a) *HIB-1*



(b) *HIB-2*

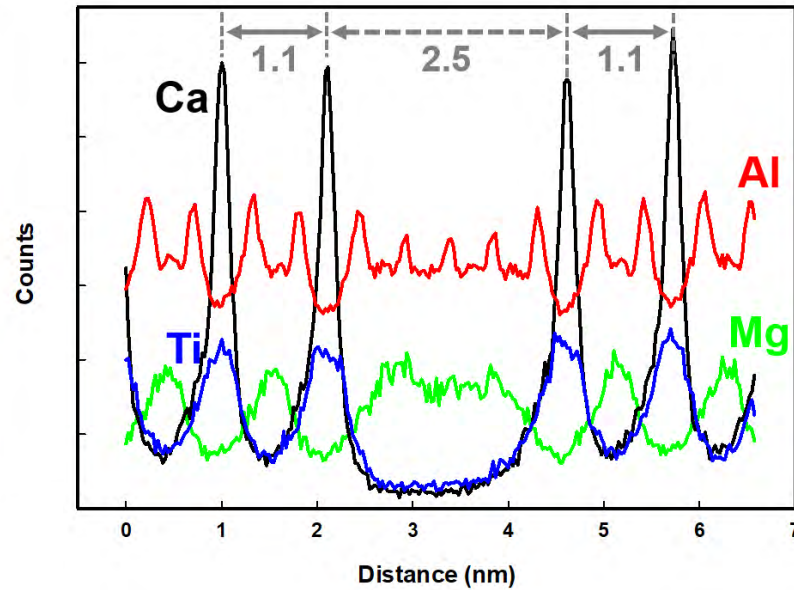
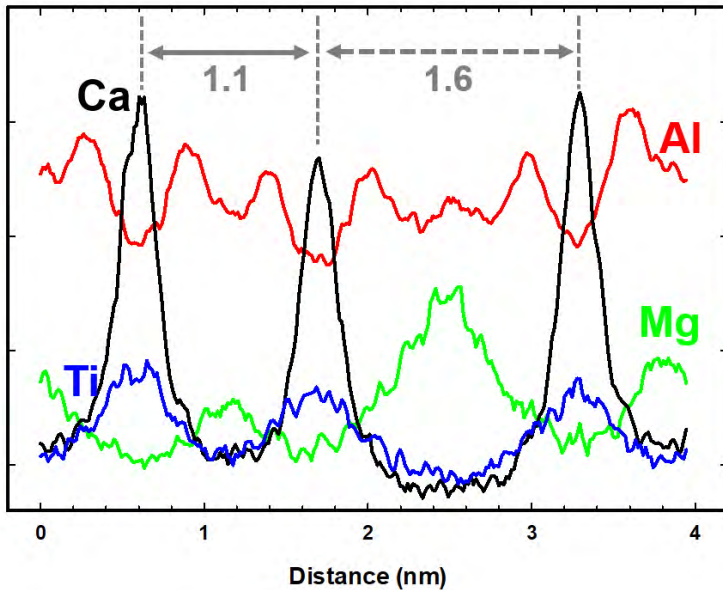
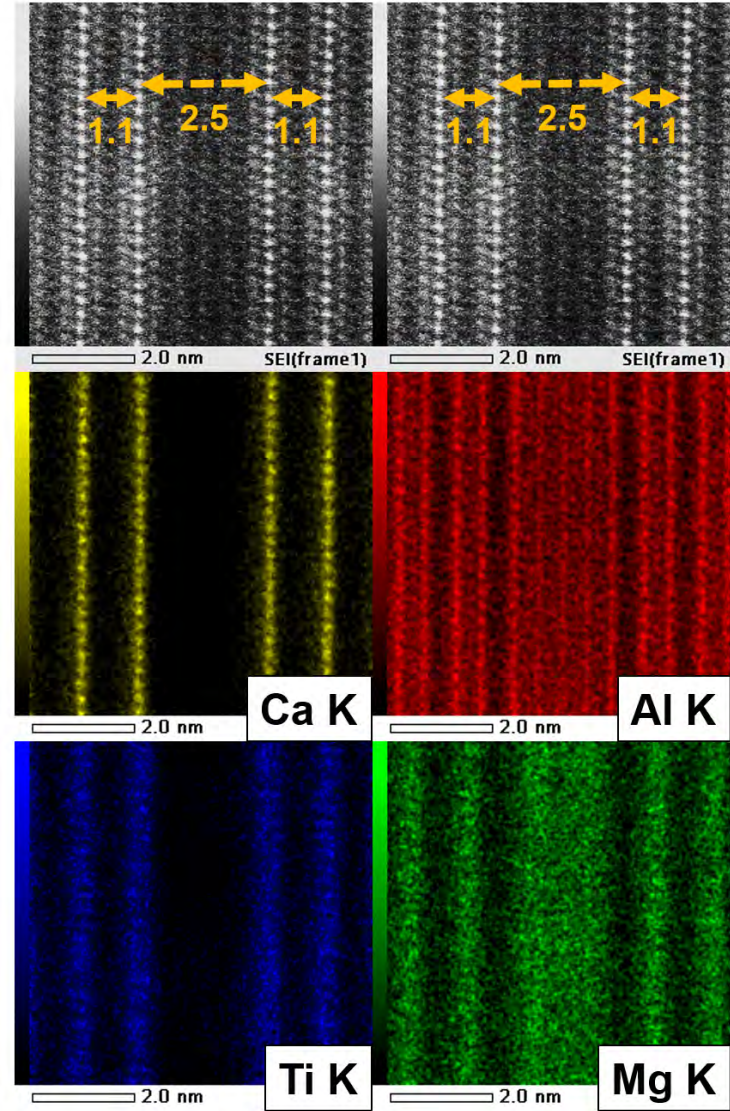


Figure 11

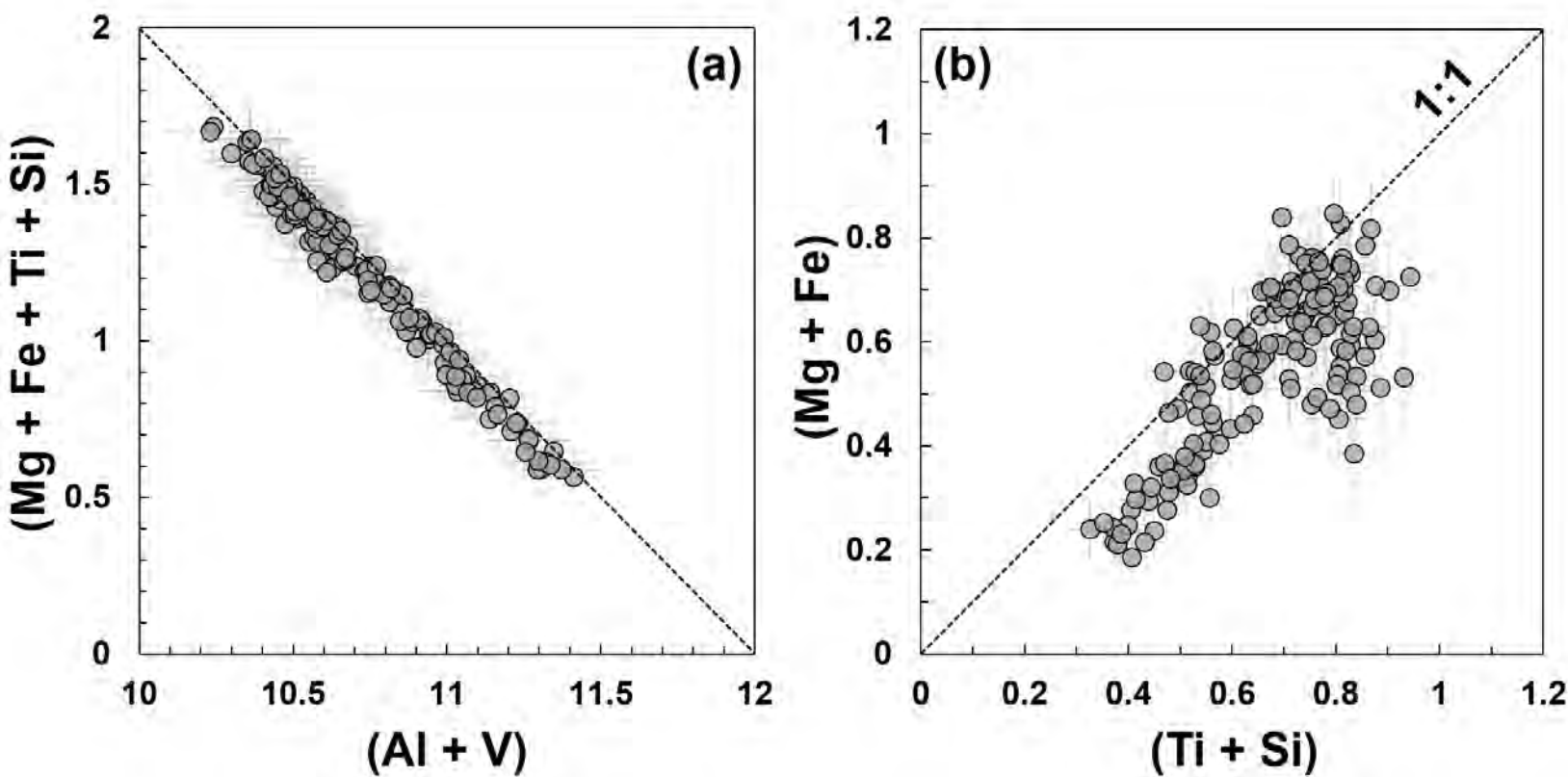


Figure 12

