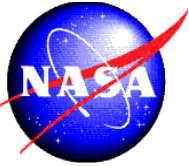


CALIPSO Validation

Dave Winker,
Mark Vaughan
and the CALIPSO team



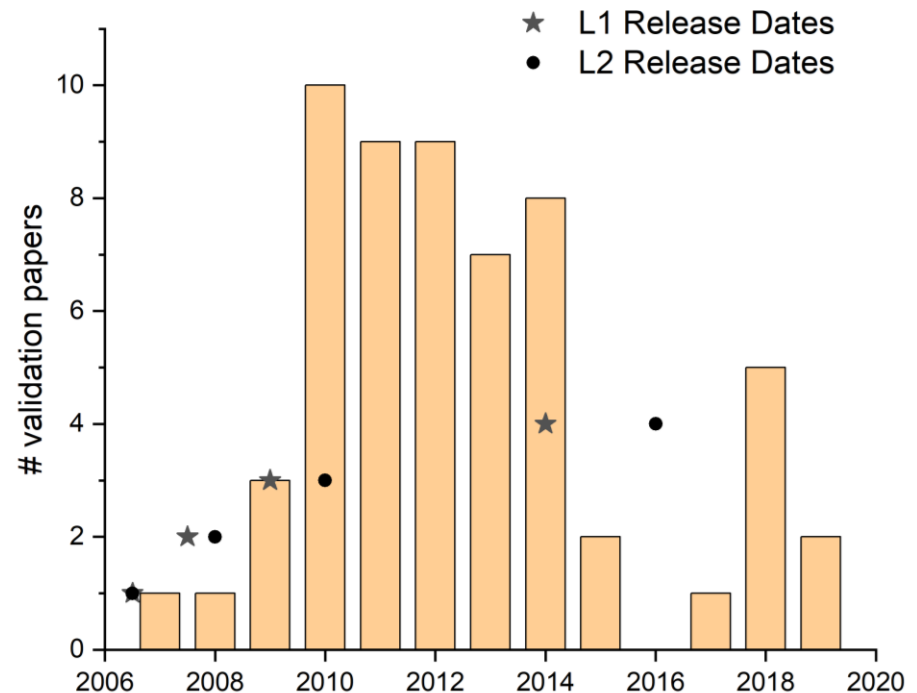


Validation Objectives

- Verify instrument performance
 - calibration, SNR, linearity, transient recovery
- Verify geolocation
 - pointing, altitude registration
- Quantify the accuracy and precision of Level 2 science data products
 - identify sources of random errors and biases
- Examine underlying assumptions in retrieval algorithms
 - S_a , S_c , spectral independence of cirrus backscatter
- Supports quality assurance, algorithm improvement activities

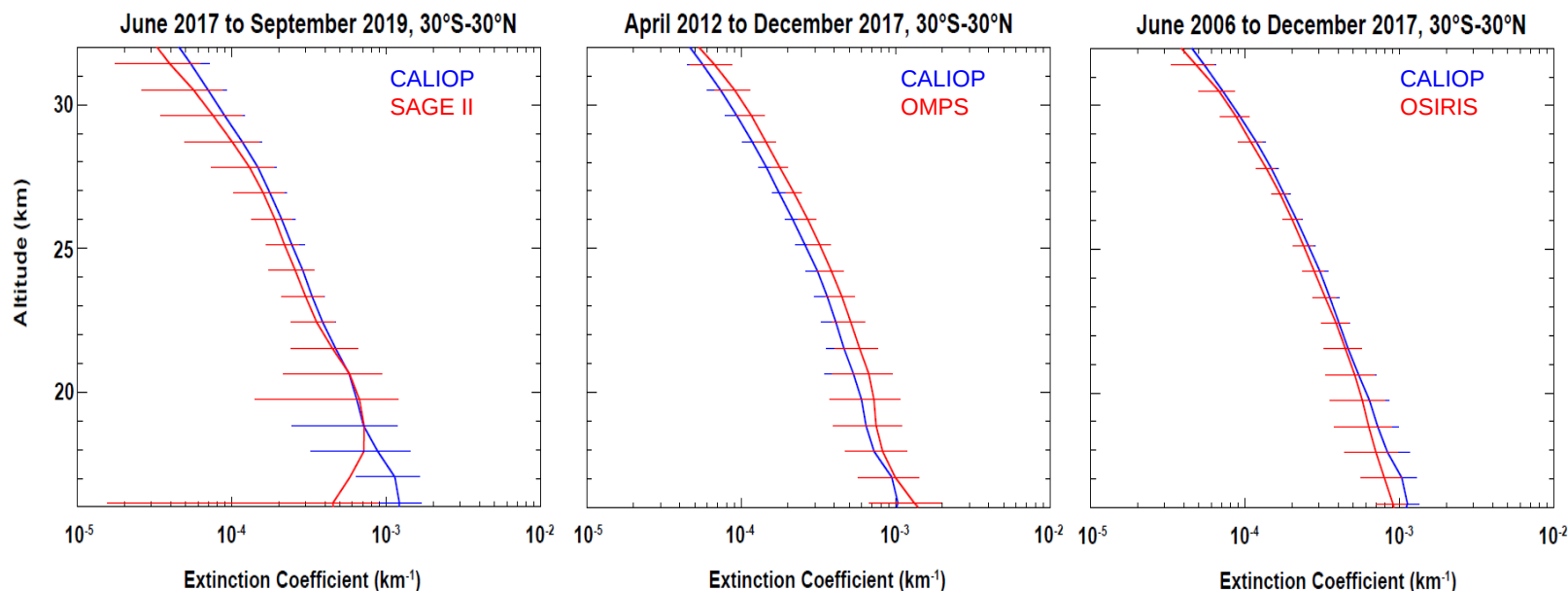
From yesterday: “... calibration is a never-ending process ... regular reprocessing campaigns ... improvements of the Level 1 dataset”

Each data release involves a new round of validation:

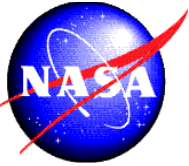


- Improved calibration in Version 4 (2014) allowed aerosol retrievals to 30 km
- First stratospheric aerosol product released in 2018

Validation against solar occultation and limb scattering sensors

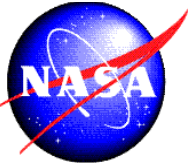


Zonally averaged CALIOP extinction coefficient profiles for data acquired between 30°N and 30°S compared to data from SAGE III-ISS, OMPS, and OSIRIS (Kar et al. 2019)



Initial Level 1 Validation

- Early validation of Level 1 profiles was critical
- Targeted airborne campaign in Aug 2006 (CC-VEX)
- Payload on NASA ER-2:
 - Goddard Cloud Profiling Lidar (CPL)
 - JPL W-band radar (CRS)
 - MODIS Airborne Simulator (MAS)
- Initial CALIOP Level 1 validation objectives:
 - Sanity check on Level 1 lidar profiles
 - Do they 'look right'? Unexpected artifacts?
 - Verify predicted detection sensitivity
 - Radiometric calibration
 - *Relative calibration of perpendicular channel (PGR)*
 - *Performed using on-board pseudo-depolarizer*

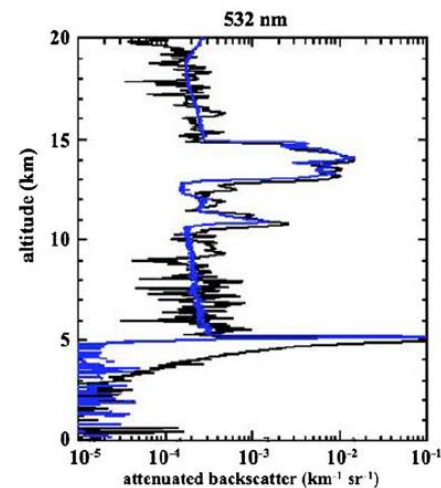
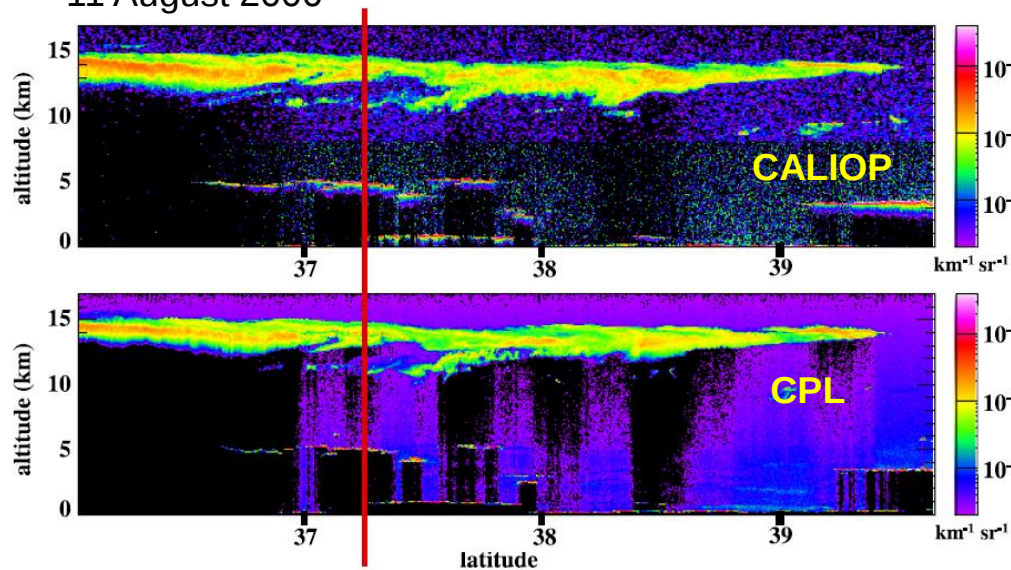


Airborne validation of spatial properties measured by the CALIPSO lidar

Matthew J. McGill,¹ Mark A. Vaughan,² Charles R. Trepte,³ William D. Hart,⁴
Dennis L. Hlavka,⁴ David M. Winker,³ and Ralph Kuehn²

Received 9 April 2007; revised 24 June 2007; accepted 16 July 2007; published 17 October 2007.

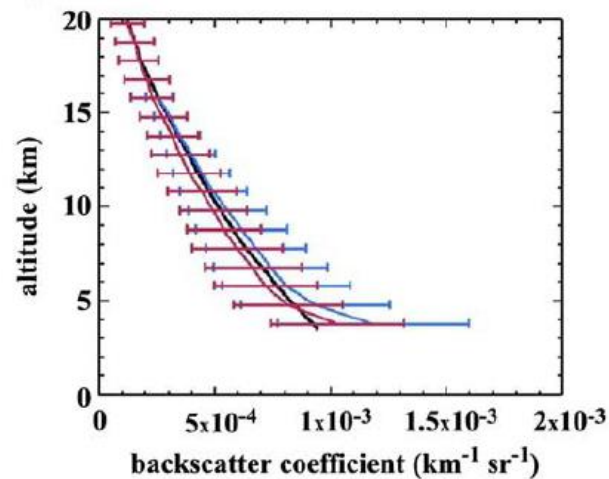
11 August 2006



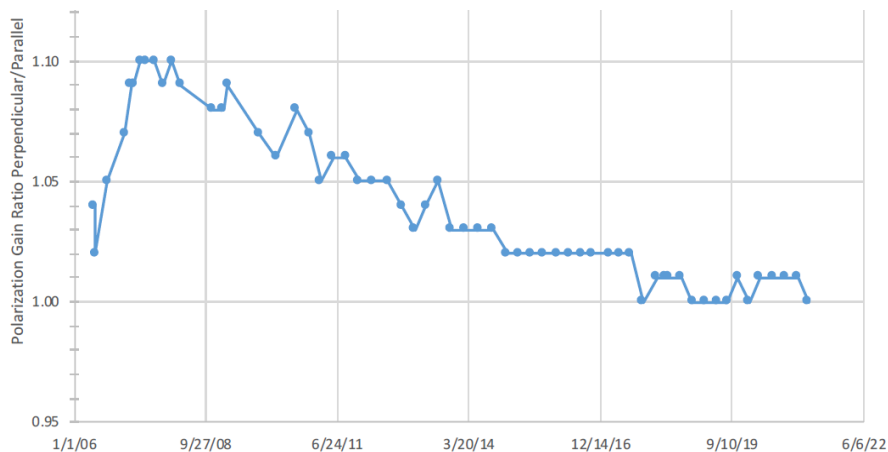
CALIOP (black)
CPL (blue)

Rayleigh backscatter profiles:

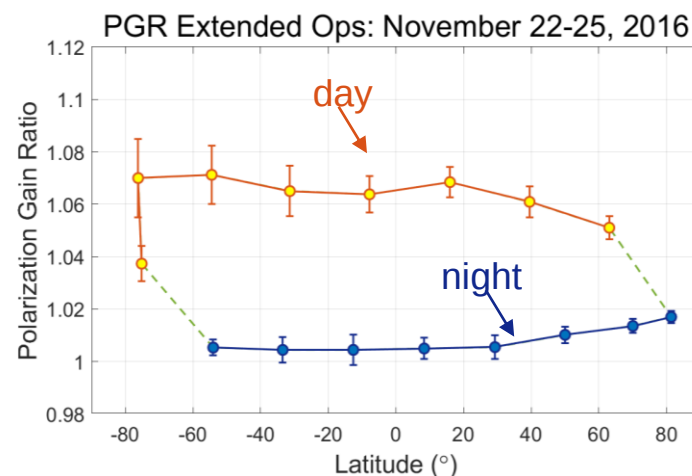
CALIOP – red
CPL – blue
rawinsonde - black



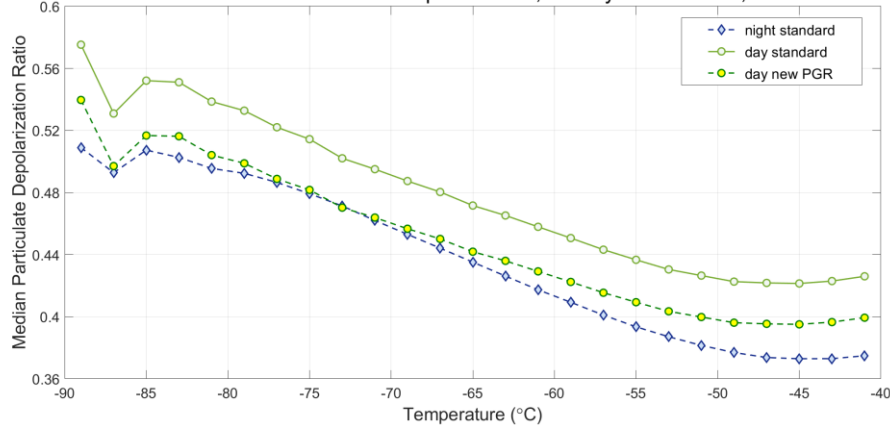
Polarization Gain Ratio Trend (using on-board calibration hardware)



Recently identified a long-suspected day/night depolarization bias ($\sim 3\%$) using special operations:

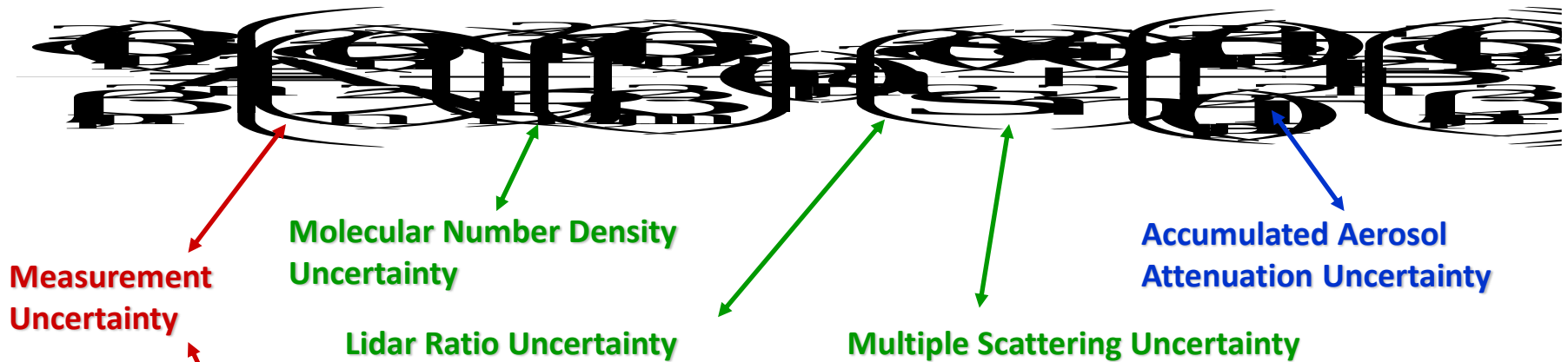


V4.5 Test02: Cirrus Cloud Depolarization, 22 July - October 14, 2016



Will improve daytime polarization calibration in next data release

Uncertainty in Particulate Backscatter Coefficients at Altitude n



Includes errors due to

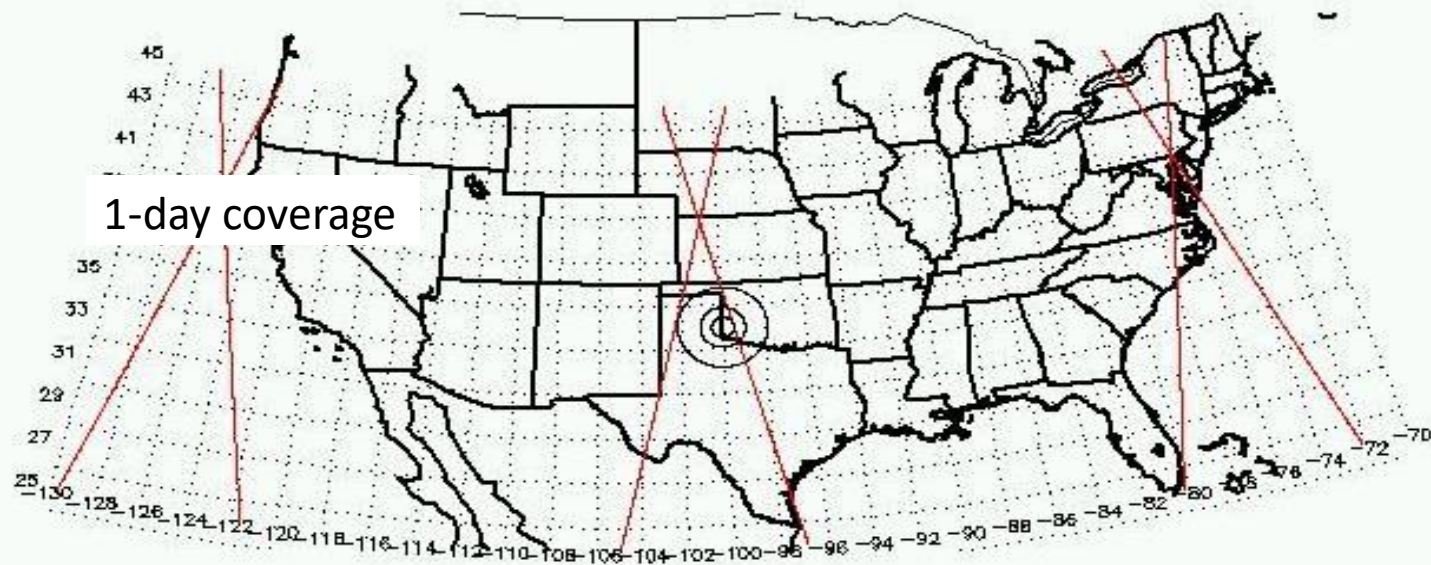
- ⇒ **Calibration**
- ⇒ **SNR**
- ⇒ molecular density (again)
- ⇒ offset calculations
- ⇒ polarization gain ratio
- ⇒ polarization cross-talk
- ⇒ ranging

LEGEND

- S = lidar ratio β = backscatter coefficient
- R = scattering ratio $\sigma^2(x)$ = variance of x
- T = transmittance τ = optical depth
- m = molecular p = particulate (e.g., aerosol)
- P = measured data C = calibration constant
- η = multiple scattering factor

$$x_n = \frac{P_n - C}{S_n} \quad A_n = \frac{R_n}{R_n - 1} \quad \frac{1}{1 - \eta_n} \quad B_n = S_n \eta_n \beta_{p,n}$$

- 70 meter 'swath' – spatial matching to ground sites is rare
- Few validation datasets available to validate
 - profile measurements
 - nighttime aerosol retrievals
 - aerosol retrievals above (low SNR) or below (attenuation correction) clouds
- Global data products means global validation



– Ground-based networks

- Aeronet
- Earlinet, ADnet

– Satellite comparisons

- MODIS, MLS, AIRS
- CALIOP vs. IIR

– Targeted, continuing aircraft campaigns

- LaRC HSRL (King Air) Jun 2006 - 20012
- NOAA ESRL (Cessna) Jul-Aug 2006-2009



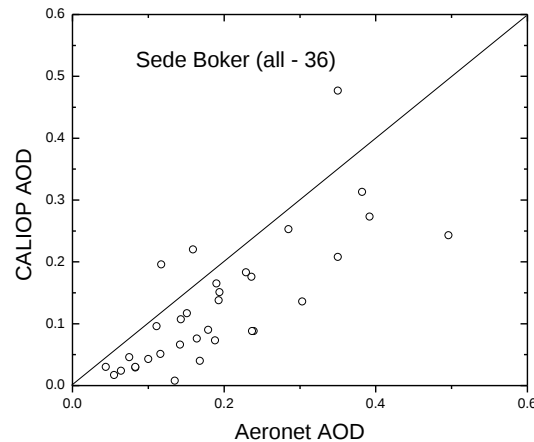
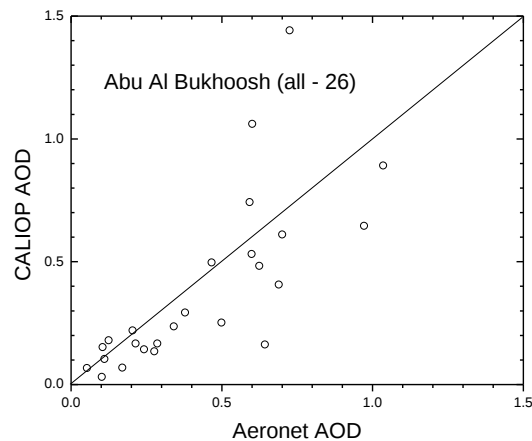
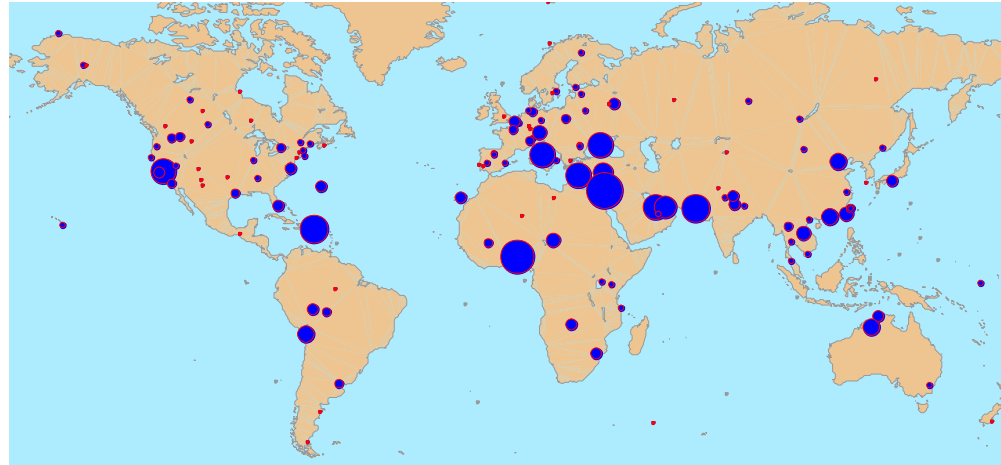
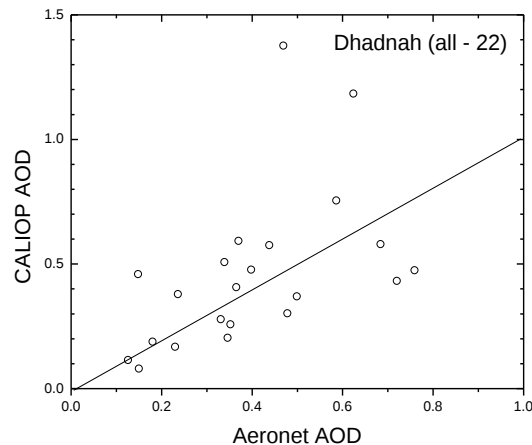
– Large field campaigns

- NASA AMMA (Cape Verde) Aug 2006
- SAMUM 2006, 2008
- CIRCLE-2 May 2007
- NASA TC⁴ (Costa Rica) Jul-Aug 2007
- ASTAR/PAM-ARCMIP April 2007/09/11/12
- ARCTAS/PolarCat April, July 2008
- SEAC4RS Aug-Sep 2012
- etc



Comparisons with Aeronet

- **Useful, but need to accumulate samples over several years**
 - Typically find one or two usable samples/station/year
 - Only provide column properties



Omar et al, 2012: 1081 samples over 4 years (600 cloud-free)

Identified problems due to:

Spatial mismatch

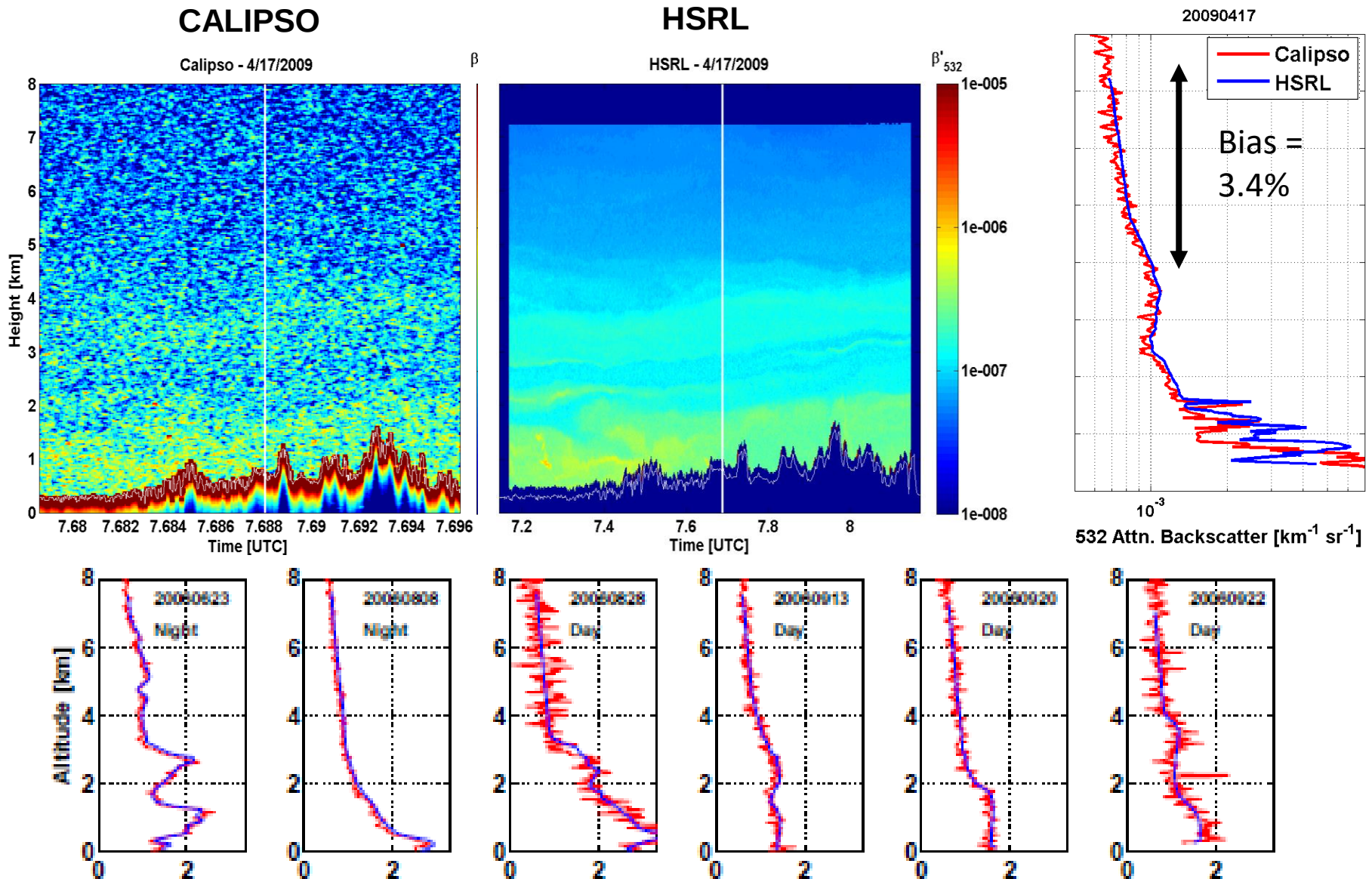
Topography

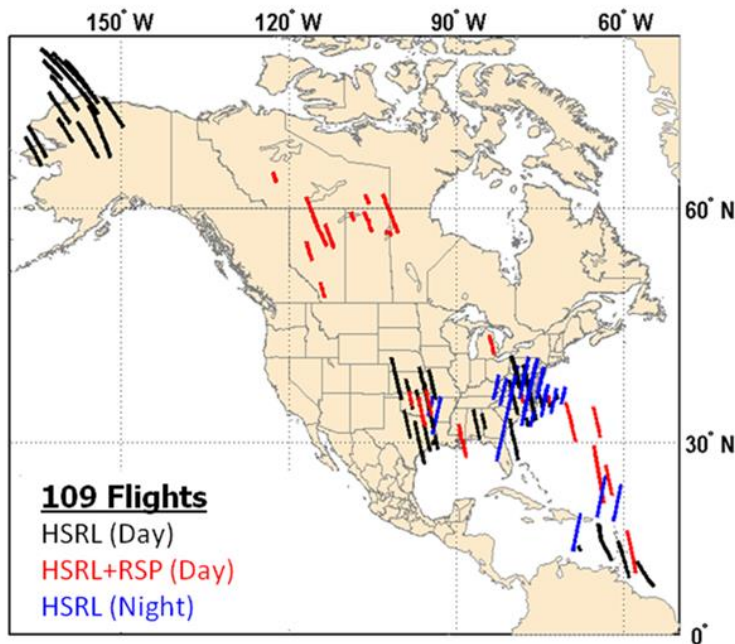
Aeronet cloud contamination

in addition to CALIOP retrieval errors

532 nm Calibration Assessment

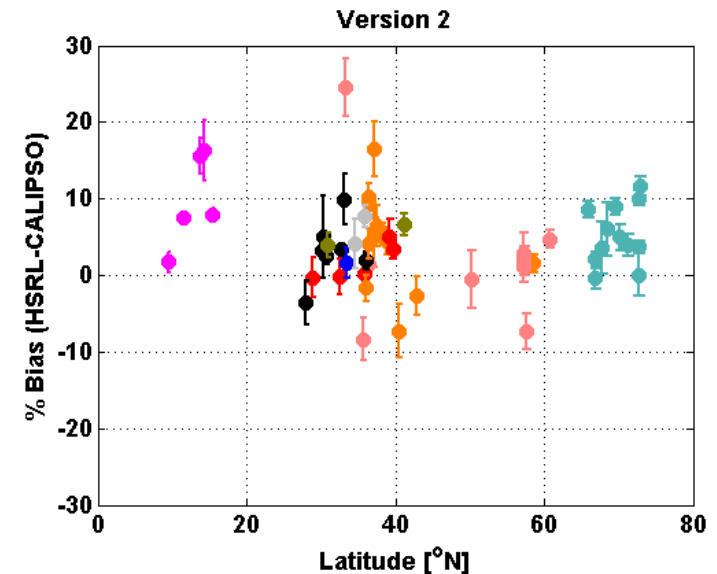
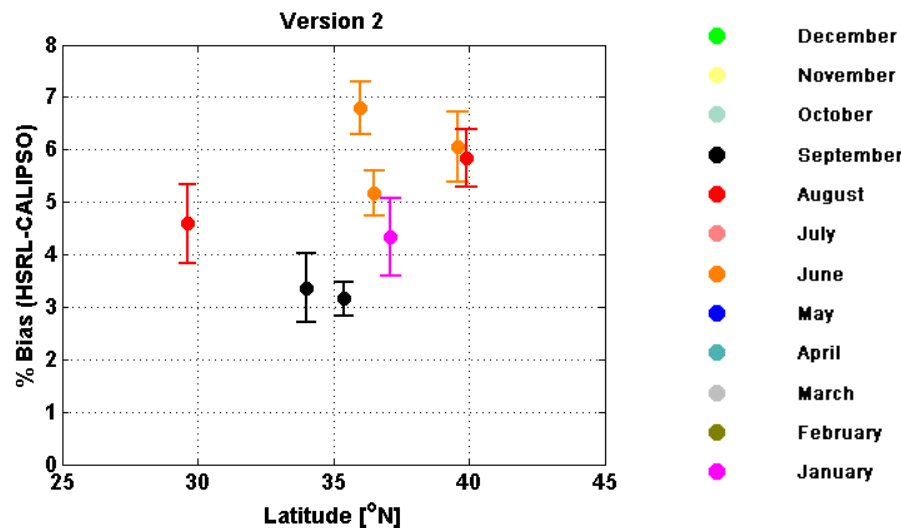
4/17/2009





HSRL used as independent check on CALIOP calibration

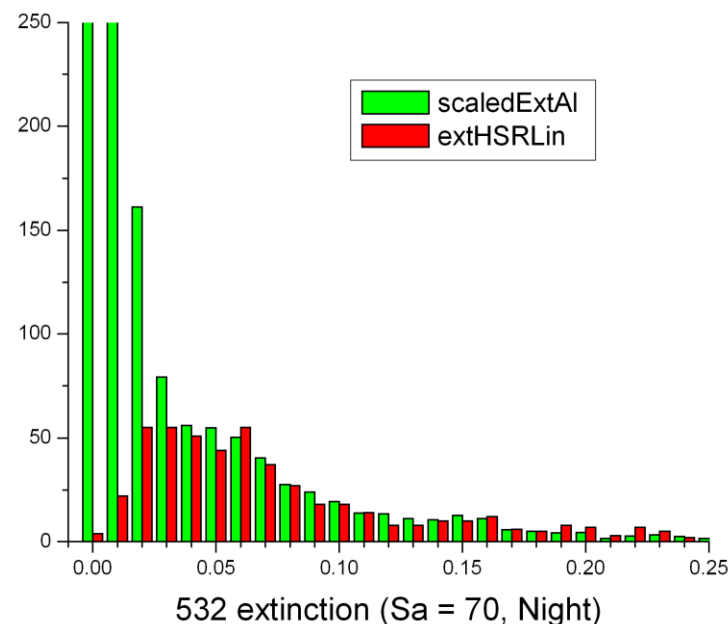
Over 120 underflights since 2006:
 during various campaigns
 and dedicated flights



Biases and uncertainties in method estimated at $4.5\% \pm 3.2\%$
 (Rogers et al, ACP, 2011)

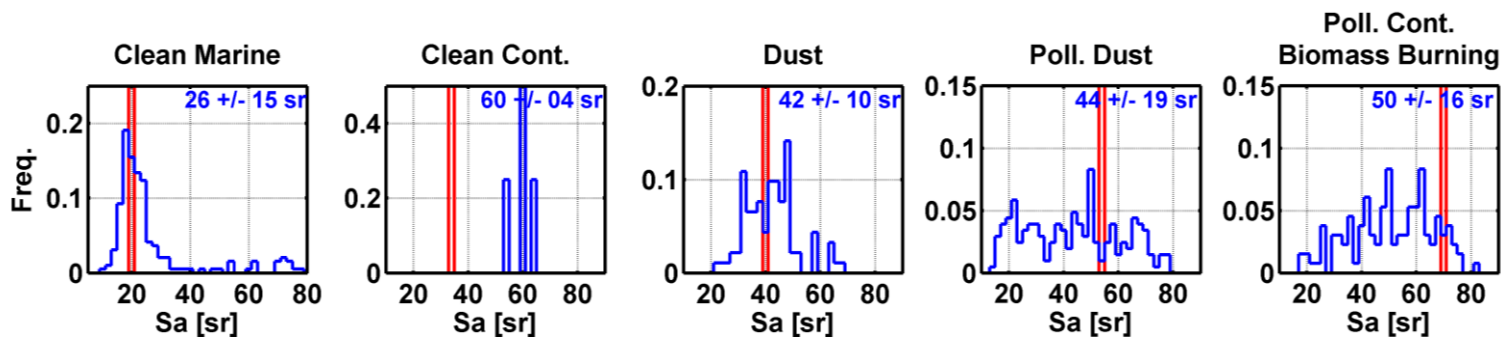
Direct characterization of extinction sensitivity:

- histogram of HSRL aerosol inside layers detected by CALIOP vs. histogram of all HSRL aerosol

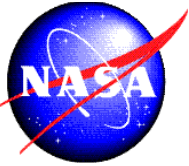


Validation of CALIOP aerosol typing:

HSRL measured lidar ratio vs. CALIOP aerosol type (daytime)



(Rogers et al, 2012)



Final Thoughts

- “Truth” is elusive
- Validation is never finished
 - It is only approached asymptotically
- Validation of products globally is challenging
- Large field campaigns useful, but:
 - Validation tends to be one objective of many
- Not exactly “validation” but critically important
 - Consistency checks (does it 'look right')
 - Intercomparison of multiple retrieval algorithms based on independent assumptions
- Satellite sensors may have limited accuracy, but are global
 - Depending on parameter and sensor, comparisons can vary from ‘sanity check’ to true validation