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## **Prolonged rock exhumation at the rims of kilometer-scale lunar craters**

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### **Key Points:**

- Rocks at kilometer-scale impact crater rims are continually being uncovered due to the downslope movement of the overlying regolith.
- Topographic rims associated with 0.5–2.0 km diameter lunar impact craters exhibit recently-exhumed boulders for >3.0 Ga.
- Lunar sample collection at impact crater rims may yield material that is unlikely to have undergone transport from other areas of the Moon.

## 19 **Abstract**

20 Fresh impact ejecta deposits on the lunar surface can be characterized as heterogeneous mixtures  
21 of boulders, cobbles, and fine-grained regolith that are deposited on the lunar surface during the  
22 impact crater formation process. Over time, the surface boulders associated with ejecta deposits  
23 break down into fine-grained regolith due to a combination of later impacts and thermal fatigue.  
24 Nonetheless, observations of old ( $>2.0$  Ga) kilometer-scale (0.8–2.0 km) lunar impact craters in  
25 high-resolution images reveal  $>1$  m boulders along their rims and in their near-proximal ejecta  
26 deposits on the lunar maria. Here, we use a combination of radar and thermal-infrared data from  
27 the Lunar Reconnaissance Orbiter spacecraft to show that the rims of kilometer-sized impact  
28 craters exhibit elevated rock abundances for the lifetime of the lunar maria. We interpret these  
29 results as indicating that boulders are continually being uncovered at crater rims due to  
30 downslope movement of the overlying regolith. Moreover, rocks found at crater rims that have  
31 been exhumed from depth in geologically recent times are locally derived and unlikely to have  
32 come from other areas of the Moon. Future collection of lunar samples at crater rims will serve  
33 to mitigate the potential for sample contamination from distal sources, helping to ensure accurate  
34 geologic interpretations from the collected samples.

## 35 **Plain Language Summary**

36 Any asteroid or comet that strikes the surface of the Moon will produce and deposit a mixture of  
37 large rocks and fine-grained soil, known as ejecta, on the lunar surface. The result of the  
38 numerous impacts of all scales that have occurred on the Moon is that the entire surface is  
39 covered by a regolith: a layer made up of dust, sand, and pulverized rocks. Exposed rocks on the  
40 lunar surface are broken down over time and reduced in size, likely due to impact from other  
41 meteoroids and thermal expansion and contraction. Prior studies have observed that rock  
42 breakdown typically takes no longer than  $\sim 300$  million years for  $>2$  m boulders at the surface.  
43 Here, we observe a population of boulders present at the rims of two-to-three billion year old  
44 impact craters. Reconciling these observations is possible if rocks at the rims of lunar impact  
45 craters are being continually uncovered due to the downslope movement of overlying lunar  
46 regolith. Moreover, because they are uncovered from the subsurface, rocks at crater rims are less  
47 likely to have undergone transport from another part of the Moon, which makes them an  
48 attractive potential source for future lunar samples.

## 49 **1 Introduction**

50 The surface of the Moon is dominated by the geomorphological effects of impact craters,  
51 and recent remote sensing data provided by the Lunar Reconnaissance Orbiter (LRO) have  
52 helped to improve our understanding of those effects on impact crater ejecta deposits (e.g., Neish  
53 et al., 2013; Ghent et al., 2014; 2016; Fassett et al., 2018; Wang et al., 2020; Hörz et al., 2020;  
54 Ruesch et al., 2020). However, many questions remain regarding the rate at which impact ejecta  
55 deposits are modified, the mechanisms responsible for surface changes, and the suitability of  
56 remote sensing data for assessing these geologic processes.

57 Recent work using S-band (12.6 cm, 2380 MHz) radar data from the LRO Miniature  
58 Radio-Frequency (Mini-RF) instrument revealed that while surface and subsurface rock  
59 populations associated with lunar impact ejecta are diminished with time due to space  
60 weathering processes, the rock content of impact crater interiors increases for the first  $\sim 0.5$  Gyr  
61 of a crater's lifetime (Fassett et al., 2018). A separate study used thermal infrared measurements

62 from the LRO Diviner thermal radiometer to infer that boulders within ejecta deposits associated  
 63 with large (~18–100 km) lunar impact craters break down in less than 1.0 Gyr, and that rate  
 64 could be used to estimate an approximate age for large diameter impact craters on the lunar  
 65 surface (Ghent et al., 2014; 2016). The rate of ejecta breakdown established by that work was  
 66 more recently utilized to infer an increase in the inner Solar System cratering rate in the last ~290  
 67 Myr (Mazrouei et al., 2019). Additionally, several studies have utilized high-resolution images  
 68 from the Lunar Reconnaissance Orbiter Camera (LROC) to manually count the number of  
 69 boulders present in lunar ejecta deposits associated with craters of varying ages (e.g., Basilevsky  
 70 et al., 2013; 2015; 2018; Li et al., 2018; Watkins et al., 2019). Those data were used to infer that  
 71 most boulders  $\geq 2$  m on the lunar surface are destroyed in less than 300 Myr, likely due to  
 72 meteoroid impacts and thermal fatigue of exposed rocks at the lunar surface (e.g., Hörz et al.,  
 73 1975; Molaro et al., 2017; Ruesch et al., 2020). The contradictory lifetimes of boulder  
 74 breakdown given in the aforementioned studies indicate that the post formation fate of impact  
 75 craters and impact ejecta is far from simple and breakdown processes and rates have yet to be  
 76 fully constrained.

77 Key differences exist between prior boulder lifetime studies in the form of impact crater  
 78 age determination methods, impact crater size, and measurement location and area. Lunar  
 79 impact crater age estimates are robust but have ~10–30% systematic uncertainties between  
 80 investigators (Robbins et al., 2014). Past studies have established crater ages by utilizing crater  
 81 counting methods and qualitative morphology comparisons with craters that possess radiometric  
 82 age dates from Apollo samples (e.g., Arvidson et al., 1975). Other analyses of boulder  
 83 breakdown, which utilized the rock sensitivities of thermal and radar data, studied craters with  
 84 larger (~18–97 km) diameters (Ghent et al., 2014). Craters of that size exhibit much larger  
 85 boulders, more extensive ejecta deposits, and different topographic modification rates (e.g., Bart  
 86 and Melosh, 2010; Fassett et al., 2018a; Minton et al., 2019). Those studies utilizing remote  
 87 sensing-based methods to establish boulder lifetimes via measured data over the entire  
 88 continuous ejecta deposit used their measurements to estimate the percentage of the surfaces that  
 89 were occupied by meter-scale boulders (Ghent et al., 2014; 2016). That method differed from  
 90 boulder count-based lifetime studies that relied upon a  $100 \times 100 \text{ m}^2$  count area within the  
 91 respective crater ejecta deposit (Basilevsky et al., 2013). The boulder densities obtained within  
 92 that smaller count area were then extrapolated under the assumption that the selected count area  
 93 was representative of the whole ejecta deposit.

94 In the work presented here, an initial assessment of old (>2.0 Gyr) kilometer-scale craters  
 95 on the lunar maria visually revealed tens of large (>1.0 m) boulders associated with the ejecta on  
 96 and just outside of the impact crater rims with a lack of boulders beyond that narrow annular  
 97 zone (Fig. 1). This observation of heightened boulder populations at crater rims has also been  
 98 noted in early characterizations of the lunar surface. For example, Apollo 17 astronauts  
 99 documented a sharp boundary in surface rock percentage between the rim and ejecta deposit of  
 100 Camelot crater (e.g., Schmitt et al., 2017).

101 **Figure 1.** (a) LROC NAC image of a simple impact crater with a diameter of ~2.0 km on Mare  
 102 Nubium (20.206° N, 9.031° E) with a modeled age of ~3.7 Ga ( $\kappa$ t: 26203, Fassett and Thomson,  
 103 2014) and a (b) enhanced image of the NE portion of the crater rim with red arrows indicating  
 104 boulders present in this region and white arrows indicating small impact craters amongst the  
 105 boulders.

106 Based on those initial observations, the work presented in this paper operated under a  
107 working hypothesis that a population of newly exposed boulders can be found at crater rims for  
108 an extended period of time. To test this hypothesis, we focused on the modification rates for  
109 crater rims and impact ejecta deposits associated with 6,221 small (<2 km) simple impact craters  
110 on the lunar maria using a combination of radar data from the LRO Mini-RF instrument and  
111 Rock Abundance data derived from LRO Diviner thermal radiometer measurements (Fig. 2). Our  
112 results indicated that the geomorphic processes controlling the presence of surface rock  
113 populations differ drastically for impact crater rims and ejecta deposits, and that rocks at km-  
114 scale impact crater rims are continuously being exhumed (i.e., uncovered from beneath an  
115 overlying mobile regolith) for >3.0 Gyr. Lunar impact crater rims are, therefore, ideal locations  
116 for the collection of future lunar samples whose origin can be determined. Given that crater  
117 production rates are inherently tied to radiometric age dates of collected lunar samples, further  
118 sampling of the lunar surface will be crucial for constraining surface age models of the Moon  
119 and other bodies in the inner solar system.

120 **Figure 2.** Locations of individual sample craters from the Fassett and Thomson, 2014 crater  
121 database (red points) superposed onto Mini-RF CPR and LROC WAC global mosaics.

## 122 2 Background

123 Macroscopic space weathering is the primary means by which rocks on the lunar surface  
124 break down over time due to a lack of atmospheric winds and liquid water (e.g., Hörz et al.,  
125 1975; Hörz and Cintala, 1985). The processes responsible for lunar rock breakdown are  
126 meteoroid bombardment and thermal cycling. Micrometeoroid bombardment is defined as the  
127 continual sandblasting of lunar rocks by small ( $\sim 10^{-15}$ – $10^{-3}$  g) meteoroids which reduce that  
128 boulder into small fragments over time (e.g., Ross, 1968; Soderblom, 1970). Larger impactors  
129 also contribute to the boulder breakdown process at the lunar surface. While larger, cm-scale  
130 impactors occur less frequently than micrometeoroid impacts, the likelihood of a larger impactor  
131 imparting a critical rupture energy on a lunar boulder is much higher. Thermal fatigue and shock  
132 are the responses of lunar boulders to the intense temperature fluctuations of the lunar day-night  
133 cycle (e.g., Molaro and Byrne, 2012; Molaro et al., 2017; Ruesch et al., 2020). Both of these  
134 breakdown processes act together to contribute to rock fragmentation, but the relative  
135 contribution of these mechanisms to the breakdown of lunar rocks remains a topic of ongoing  
136 research (e.g., Ruesch et al., 2020). Prior work has investigated the time required for these  
137 processes to reduce boulders on the lunar surface to fine-grained regolith (e.g., Basilevsky et al  
138 2013; 2015; Watkins et al., 2019). Those prior studies use high resolution LROC images to  
139 manually count the boulders present on various impact ejecta blankets and compare those  
140 distributions with the modeled age of the impact crater to establish boulder lifetimes. Results  
141 generally agree that even the largest boulders on the lunar surface should be completely broken  
142 down in no longer than ~300 Myr with a median boulder survival time of ~40–80 Myr (e.g.,  
143 Basilevsky et al., 2013; Watkins et al., 2019).

144 While boulders are present in other locations on the lunar surface such as rilles, wrinkle  
145 ridges, and domes, impact craters and associated ejecta blankets have been the main study sites  
146 for examining boulder lifetimes on the lunar surface. Past analyses of lunar boulders have  
147 focused on ejecta deposits associated with km-scale craters largely due to the consistent presence  
148 of meter-scale boulders within them. The majority of boulders produced during crater formation  
149 are emplaced within ~2–3 crater radii of the parent crater in the proximal ejecta deposit, but  
150 some can be distributed several tens to hundreds of kilometers as part of the distal ejecta (e.g.,

151 Osinski et al., 2011). Furthermore, these boulders are emplaced in a pattern of gradational size  
152 with the largest boulders near the rim of the parent crater and individual boulder diameter  
153 subsequently decreasing in size with distance from the rim (e.g., Bart and Melosh, 2010).

154 In order to compare the age of craters to their corresponding boulder populations, ages  
155 for the associated impact craters must first be established. The crater ages used in this work were  
156 modeled from topographic degradation state in Fassett and Thomson (2014; hereafter referred to  
157 as FT2014, with modifications described in Fassett et al., 2018a). In FT2014, the authors  
158 extracted topographic profiles from ~13,000 impact craters on the lunar maria in the size range  
159 of 0.8–5.0 km from Kaguya Terrain Camera topography data (Haruyama et al., 2012). They fit  
160 these topographic profiles to a diffusion model to assign topographic degradation states for each  
161 crater in their dataset,  $\kappa t$ , where  $\kappa$  represents the integrated diffusivity experienced and  $t$   
162 represents the time exposed on the Moon. This degradation model is based on the premise that  
163 the features of a fresh impact crater become topographically muted over time due to the  
164 downslope motion of regolith (e.g., Soderblom, 1970; Craddock and Howard, 2000). Many  
165 potential triggering mechanisms exist for this net downslope movement, including ejecta from  
166 small, primary impacts, disturbances induced by distal secondary impacts, and/or seismic  
167 shaking. Presently, the disturbances generated by distal ejecta are thought to be the most  
168 important of these mechanisms (Minton et al., 2019).

169 In FT2014, the crater degradation states ( $\kappa t$  values) were used to calibrate the topographic  
170 degradation rate by calculating the local crater density in moving neighborhoods of 50 km radius  
171 around each crater, and determining how  $n(0.8\text{--}5\text{ km})$  crater densities compared to the observed  
172 range of crater degradation states. The model degradation rate and thus degradation age estimates  
173 derived via the FT2014 diffusion model are linked to the Neukum chronology model. FT 2014  
174 checked the consistency between crater statistics-derived unit ages and ages of units from the  
175 topography of craters in their study area (FT2014's Fig. 5 and 6). The results suggested good  
176 agreement between two age determination methods, one based on crater densities, the other  
177 based only on the observed topography of craters. Similar agreements between ages inferred  
178 from crater degradation and crater statistics were obtained by Boyce (1976).

179 The FT2014 crater dataset was recently updated to account for anomalous diffusion  
180 (Fassett et al., 2018a), which is a scale-dependence of the diffusion process unanticipated by  
181 FT2014 (Xie et al., 2017; Fassett et al., 2018a; Minton et al., 2019). This update removed the  
182 scale-dependence of individual  $\kappa t$  values by normalizing degradation states to a degradation state  
183 equivalent to what would have been obtained for a crater 1 km in diameter. Anomalous diffusion  
184 is necessary to reconcile crater degradation with the observed equilibrium (saturation) size-  
185 frequency distributions seen on the lunar surface (Minton et al., 2019) well as to obtain correct  
186 crater degradation lifetimes, as the values quoted in FT2014 for crater lifetimes were  
187 substantially too short for craters much smaller than the measured size range (e.g., <800 m).

188 A major difference exists in the scale of degradational process looked at with the  
189 topographic diffusion method in FT2014, and the degradation recorded in the radar and thermal  
190 infrared data used in work presented here. The FT2014 model accounted for the large-scale  
191 alterations of impact crater topography (at the scale of ~100s-1000s of meters), whereas the  
192 degradation recorded in the remote sensing data used here is specific to the breakdown of  
193 isolated boulders or groups of boulders on the lunar surface at the scale of ~meters. This  
194 difference in scale and sensitivity lends credence to the idea that the that the degradation age  
195 estimates from FT2014 and the measured radar and thermal data here can be jointly analyzed  
196 without inherent bias or circularity.

197 **3 Data and Methods**

198 The data used to assess rock populations in this work are derived products from the  
199 Miniature Radio Frequency (Mini-RF) and Diviner instruments onboard the Lunar  
200 Reconnaissance Orbiter (LRO). The Mini-RF instrument is a hybrid, dual-polarization Synthetic  
201 Aperture Radar (SAR) that transmits a left-circular polarized signal and receives the horizontal  
202 and vertical components of that signal. Reflection of the incident, left-polarized signal from a  
203 single scattering event at the lunar surface results in a returned signal in the opposite circular  
204 (OC) polarization as transmitted. This single scattering event is referred to as specular scattering  
205 and commonly occurs in association with smooth, featureless surfaces. In contrast to specular  
206 scattering, multiple scattering events at the lunar surface commonly result in a signal polarization  
207 change to the same circular (SC) polarization as transmitted. The multiple scattering behavior is  
208 referred to as diffuse scattering, which is commonly associated with areas of the lunar surface  
209 and subsurface where wavelength-scale boulders are abundant. The SC component of the radar  
210 signal is enhanced by reflectors that are within an order of magnitude of the radar wavelength in  
211 size on the lunar surface and down to a depth of some  $10\times$  the radar wavelength (Campbell &  
212 Ulrichs, 1969). The OC component is enhanced by single reflections from relatively flat,  
213 undisturbed surfaces (i.e., the lunar soil-atmosphere horizon). A comparison of these components  
214 has the potential to reveal the relative contributions of the various scatter-causing mechanisms to  
215 the returned radar signal. Several recent studies have utilized SC and OC data from the Mini-RF  
216 instrument, specifically, to assess roughness and potential ice associated with lunar impact  
217 craters (Thompson et al., 2011; Virkki and Bhiravarasu, 2019). These data are important for the  
218 work here in that an enhanced SC component associated with the radar return at the rims of older  
219 craters in our dataset may support our hypothesis that exhumed boulders are present at these  
220 locations for extended periods of time.

221 An additional radar product that we utilize in this work is circular polarization ratio  
222 (CPR) data from the Mini-RF instrument. These CPR data is the ratio of the SC and OC radar  
223 albedo. Prior studies have revealed that CPR data serve as a useful metric for assessing surface  
224 and subsurface rocks on the Moon (e.g., Fa et al., 2011; Campbell, 2012). Given the direct and  
225 inverse dependencies on the SC and OC polarization components, respectively, a densely  
226 bouldered surface will increase CPR while a relatively smooth surface will exhibit a lower CPR.  
227 The rough surface with an abundance of surface and subsurface boulders on the scale of the S-  
228 band wavelength will exhibit a characteristically higher CPR because of the likelihood of  
229 multiple interactions at the S-band radar wavelength-scale.

230 The thermal infrared dataset that we use to measure rock and boulder populations in lunar  
231 ejecta deposits is rock abundance (RA) data (Bandfield et al., 2011; 2015), derived from the  
232 Diviner thermal radiometer onboard LRO. RA represents the areal fraction of a Diviner pixel  
233 that is covered in rocks  $\sim 1$  m in diameter. The RA model assumes input parameters of density,  
234 specific heat capacity, and thermal conductivity for a vesicular basalt (Horai and Simmons,  
235 1972) to define a rock thermal inertia of  $1570 \text{ J m}^{-2} \text{ K}^{-1} \text{ s}^{-1/2}$  at 200 K for the lunar surface. This  
236 thermal inertia, an emissivity of 0.95, and an albedo of 0.15 were then used to construct a rock  
237 temperature lookup table and model the radiance of the lunar surface. Rock temperatures were  
238 binned by latitude and local lunar time and the radiance was compared for each bin. Model rock  
239 abundance was then obtained by minimizing the root mean squared error between the measured  
240 and model radiance values. Most rock abundance values on the maria range from 0.005–0.01  
241 (0.5–1%), but reach higher values near fresh craters. Theoretically, this value could reach values  
242 of 1 (100%) if  $\gg 1$ -m-scale rock surface covered an entire Diviner pixel (Bandfield et al., 2011,

243 2015). Both the Mini-RF radar and Diviner RA data are publicly available in global mosaic form  
 244 from the University of Washington, St. Louis Planetary Data System Geosciences node  
 245 (<https://pds-geosciences.wustl.edu/missions/lro/>).

246 The sample set of craters used for this study consists of those km-scale (0.8–2.0 km)  
 247 craters from the FT2014 and Fassett et al., 2018 database convolved with Mini-RF data coverage  
 248 (6,221 craters) and Diviner RA data coverage (6,240 craters). This slightly larger sample set of  
 249 craters measured in the RA data is due to better RA data coverage over the lunar mare. Using  
 250 ArcMap 10.6, the center points of these craters were overlain as a single shapefile onto the Mini-  
 251 RF CPR, SC and OC radar albedo, and Diviner Rock Abundance global mosaics (Fig. 3).  
 252 Because the Mini-RF and Diviner RA mosaics exhibit imperfect selenodetic control with the  
 253 LROC WAC basemap, offsets no greater than ~1.0 km existed between the WAC, RA, and radar  
 254 mosaics. To correct for these offsets and ensure consistent annular zone boundaries, all center  
 255 points were manually re-referenced to the geographic centers of the respective crater in each  
 256 dataset. The ArcMap Zonal Statistics tool was then used to extract average CPR, SC, OC, and  
 257 RA values associated with the crater rims (1.0–1.5 crater radii) and proximal ejecta deposits  
 258 (1.5–4.0 crater radii) of each crater.

259 **Figure 3.** Diviner RA (a), Mini-RF CPR (b), SC (c), and OC data (d) overlaid onto crater an  
 260 LROC NAC image of crater 1689 from the FT2014 crater database. This crater is located at -  
 261 9.22° N, -11.29° E with a diameter of ~1.09 km and a modeled age of ~0.02 Ga.

## 262 4 Results

263 Our zonal statistics characterization method includes mean, median, and percentile values  
 264 of CPR and RA data for all impact craters in our dataset. A direct comparison of individual  
 265 impact craters in these datasets is unlikely to reveal clear trends due to noise in the Mini-RF CPR  
 266 and Diviner RA datasets as well as the error that is inherent in the FT2014 diffusion model ages.  
 267 This uncertainty, specifically the noise inherent in CPR measurements, has been documented in  
 268 prior work (Ghent et al., 2016; Fassett et al., 2018; Nypaver et al., 2019). Therefore, we advise  
 269 caution in using these methods of correlating remote sensing data and age as a means of  
 270 establishing an independent age-dating method for individual craters. To mitigate this noise and  
 271 provide a clearer understanding of the erosional processes occurring at lunar impact craters, we  
 272 bin the crater data values in 1000  $\kappa t$  increments and plot those bins as a function of increasing  
 273 age.

274 For both crater rims and ejecta deposits, binned CPR and RA values generally trend to  
 275 decrease over time (Fig. 4). Moreover, CPR and RA mean and median values associated with  
 276 crater rims were elevated above ejecta deposit values for every crater bin over the lifetime of the  
 277 lunar maria. To further characterize the observed trend, we used the York Method (York et al.,  
 278 2004) to identify the least-squares fit line accounting for uncertainty in both CPR and RA. The  
 279 York method is used to study linear correlations in a system where both the x and y variables  
 280 have correlated and/or varying errors. The slopes of the mean RA data for crater rims and ejecta  
 281 (Fig. 4d) are distinguishable from each other (Table 1). Moreover, the slopes of the crater rim  
 282 means in both data sets are statistically separable from zero. A difference of means test for all  
 283 CPR and RA data bins indicates a higher degree of difference between the RA ejecta and rim  
 284 data bins <0.6 Ga. The crater rim values reach a near-steady-state increase over the ejecta at ~2.0  
 285 Ga in both datasets. The difference between crater rim and ejecta values at the beginning of a  
 286 crater's lifetime is ~0.06 in RA and ~0.1 in CPR. This difference in crater rim and ejecta RA and  
 287 CPR decreases by ~60% and ~30% over the age of the lunar mare, respectively.

288 **Figure 4.** Binned CPR (a-b) and RA (c-d) median and mean values associated with the crater rim  
 289 region (red points) and ejecta (blue points) shown as a function of increasing age. RA data  
 290 associated with the crater rims is substantially decoupled from ejecta RA data (c-d) whereas the  
 291 CPR signatures of crater rims and ejecta are within error of one another (a-b). In both datasets,  
 292 the crater rims appear elevated above the ejecta for the lifetime of most craters in our dataset.  
 293 Error bars in Figs. 4a and 4c represent the 25th and 75th percentile data values of the respective  
 294 bins whereas error bars in figs. 4b and 4d represent standard deviations. A comparison of our  
 295 Diviner RA mean results with the crater rim (green diamonds) and ejecta (yellow diamonds) RA  
 296 values associated with a subset of the smaller (<30 km) impact craters sampled in Ghent et al.,  
 297 (2014; 2016) is also given (e). That comparison yields similar trends to those observed in our  
 298 own results where crater rim RA values are elevated above the ejecta and all RA values decrease  
 299 with time.

300 As a comparison of our results with those from prior work and as a validation of our  
 301 impact crater age metrics, we present a comparison of our results in mean RA space with those  
 302 from subset of craters from Ghent et al., (2014; 2016) (Fig. 4e). While both Ghent et al. and our  
 303 work have focused on the characterization of lunar impact ejecta deposits in Diviner RA data,  
 304 several notable differences between our studies should be taken into account when interpreting  
 305 this comparison. The modeled age values for the impact craters in Ghent et al. are derived via  
 306 crater SFDs over impact crater ejecta blankets and interiors. Those SFDs are then fit with an  
 307 impact crater production function to calculate a model age for that crater. As discussed in section  
 308 2 of this paper, the age metrics used for craters in our study are derived from impact crater  
 309 degradation states and diffusion modelling in FT2014; although the diffusion model used to  
 310 estimate model ages for our craters is also inherently tied to the Neukum production function.  
 311 Importantly, the diameter size range of the impact craters studied in Ghent et al. (18–100 km) is  
 312 larger than the diameter range of craters analyzed for the work presented in this paper. Prior  
 313 studies have demonstrated that the topographic shape of larger craters breaks down much more  
 314 slowly than that of smaller craters (e.g., Fassett and Thomson, 2014). For example, a larger (>5  
 315 km) diameter crater may only exhibit minimal topographic diffusion over the course of 3.0 Gyr,  
 316 whereas a smaller (~100 m) diameter impact crater may be completely muted in ~1.0 Gyr  
 317 (corrected for anomalous diffusion from Fassett and Thomson, 2014). The impact crater diameter  
 318 range chosen for analysis in our work (~0.8–2.0 km) has been demonstrated to exhibit the most  
 319 topographic variability over the lifetime of an individual crater and is, therefore, ideally suited  
 320 for diffusion modelling as an age-determination method.

321 Any of the aforementioned differences between our work and the work of Ghent et al.  
 322 have the potential to influence the results of a comparison between our separate analyses. In the  
 323 case of the presented comparison (**Fig. 4e**), however, the trends observed in our own results  
 324 appear to be consistent with similar measurements of the Ghent et al. crater subset. Of the six  
 325 craters from the Ghent et al. crater database, crater rim RA values are elevated above the ejecta  
 326 values for all craters, and the separation between the rim and ejecta values was more substantial  
 327 for younger craters in the Ghent et al. crater subset. Furthermore, both crater rim and ejecta RA  
 328 values associated with the Ghent et al. rims and ejecta decreased with time.

329 Comparing our results with other, smaller diameter crater datasets is difficult due to the  
 330 unique geographic and geomorphic attributes of the FT2014 crater database mentioned above.  
 331 The impact craters modelled in FT2014 are located in the lunar maria in the specific diameter  
 332 size range of 0.8–5.0 km. The FT2014 crater database was limited to the mare to ensure an  
 333 initially consistent formation geometry, simplifying the degradation model. Impact craters within

334 the highlands, such as North and South Ray, likely differ in degradation state from similarly aged  
 335 craters in the FT2014 crater database. Crater-counting methods have been used to establish  
 336 modeled ages for a set of smaller diameter craters that are within the FT2014 sample size range,  
 337 but those modeled ages are far younger than those in the FT2014 crater database (<1500 ka)  
 338 (Table 1; Williams et al., 2018). A comparison of degradation rates between those small,  
 339 younger craters and ours would, therefore, exhibit trends on incomparable time scales.

340 An analysis of Mini-RF SC radar albedo for the same primary data crater bins from Fig.  
 341 4a-d reveals a similar trend to that of CPR and RA data with average SC albedo values  
 342 decreasing over time (**Fig. 5b**). A similar relationship also exists in the evolution of SC albedo in  
 343 that the impact crater rim SC values are elevated above the ejecta SC values for every bin in our  
 344 dataset. A direct comparison of the crater rim and ejecta SC and OC radar albedo reveals  
 345 separate trends both in slope and data distribution for those data bins (**Fig. 5a**). For Fig. 5a, we  
 346 derived a linear fit using the York method and found that the rim data is best fit by a line of slope  
 347  $1.03 \pm 0.75$ , while the ejecta data is best fit by a line of slope  $1.52 \pm 1.78$ . Thus, while for the rim  
 348 SC data provides information for the prediction of OC data and a positive linear correlation  
 349 exists, within error ejecta SC values do not provide information for the prediction of ejecta OC  
 350 values (i.e., the null hypothesis, that of a zero slope, cannot be ruled out). Our derived trend for  
 351 crater rims is similar to that found by Virkki and Bhiravarasu (2019) for crater interiors and  
 352 supports our interpretation of wavelength-scale scatterers on crater rims. The lack of a  
 353 statistically robust trend for the ejecta SC and OC albedo values, along with their overall low  
 354 albedo values compared to the rim, agrees with our interpretation of significant processing of  
 355 wavelength-scale scatterers within the ejecta over time.

356 **Figure 5.** Binned SC (Same-sense radar albedo) for the same crater bins presented in Fig. 4 as a  
 357 function of increasing age (a) and direct comparison of SC and OC radar albedo for those same  
 358 bins. The SC data associated with the crater rims in (b) shows a similar trend to the RA and CPR  
 359 data in Fig. 1 and is substantially decoupled from ejecta SC data. Based on the modelling results  
 360 of Virkki and Bhiravarasu (2019) the differences in slope and distribution of the ejecta and rim  
 361 data in (a) appear to support our hypothesis of prolonged presence of boulders at crater rims,  
 362 likely continually excavated from depth.

363

## 364 5 Discussion

365 We interpret the data presented in Figs. 4–5 to indicate that exhumed boulders remain  
 366 present at the rims of most km-scale impact craters on the lunar maria in our data, not only  
 367 during the early stages of an impact crater's existence on the lunar surface, but over billions of  
 368 years. Both the RA and CPR data associated with impact crater rims and ejecta deposits decrease  
 369 as a function of time; those trends indicate that both surface and near-subsurface rocks at crater  
 370 rims and ejecta deposits are being destroyed over time. The crater rim and ejecta data become  
 371 more similar over ~2.0 Gyr, and the rate at which CPR or RA declines slows compared to early  
 372 in a crater's lifetime, as seen in the shallowing of the RA curves at >2 Ga (**Figs. 4a,b**). The RA  
 373 of crater rims older than 2.0 Ga suggests that the crater rock populations reach a near-steady-  
 374 state, where rocks are exhumed and destroyed at comparable rates, though the rims still show an  
 375 enhanced rock population compared to surrounding mare and ejecta

376 The smaller difference between mean CPR ejecta and rim data slope relative to RA mean  
 377 data is likely due to the sensitivity of the CPR data to subsurface rocks at the scale of the S-band  
 378 wavelength. Without this sensitivity, the RA data show an increased disparity between crater rim

379 and ejecta surface rock percentages. The separation of impact crater rim and ejecta SC data is in  
380 agreement with the analysis of CPR and RA data and strongly supports the hypothesis that a  
381 sustained population of meter-scale boulders are present at the rims of old (>2.0 Ga) km-scale  
382 impact craters on the lunar maria.

383 A direct comparison of crater rims and ejecta in Mini-RF SC and OC data (Fig. 5a)  
384 reveals distinct trends for these regions in both datasets. We base our interpretations of these  
385 trends on prior modelling of SC and OC scattering behaviors (Virkki and Bhiravarasu, 2019).  
386 Those authors utilized a discrete dipole approximation code to create a 4×4 covariance scattering  
387 matrix that approximates the effects of particle size, size distribution and refractive index on  
388 radar scattering. Their model held two of those variables constant while altering the third to show  
389 the effects of each. The results of those experiments indicate that an increase in wavelength scale  
390 scattering particles increases the backscattering enhancement factor and the overall length of the  
391 data distribution in a plot of SC and OC data. Furthermore, the slope of the data distribution best-  
392 fit line from those experiments decreases with increasing backscattering enhancement factor.  
393 Based on that model, we infer that the increased spread of binned crater rim SC/OC data  
394 observed in Fig. 5a is indicative of an increased number of wavelength-scale scatterers at crater  
395 rims. Moreover, the low SC and OC radar albedo values for the ejecta data is indicative of  
396 evolution towards a more porous regolith and increased cobble roundness in the ejecta with time  
397 (**Fig. 5a**). These interpretations are consistent with a higher degree of regolith motion and  
398 increased boulder populations at crater rims. The long surface exposure of individual boulders  
399 for >1.0 Ga is unlikely given the abundance of prior work showing that boulders on the lunar  
400 surface should be destroyed in <500 Myr. We, thus interpret the boulders we see at old (>1.0 Ga)  
401 impact crater rims to have been exhumed as regolith migrates downslope via mass wasting  
402 processes into the crater interior and ejecta. This is also consistent with behavior expected as  
403 rims retreat with time (e.g., Xie et al., 2017), and with the interpretation of rocks found at  
404 Copernicus secondaries (Basilevsky et al., 2019).

405 Past work has documented the excess rock populations at crater rims that are quantified  
406 in our study. In a re-analysis of Taurus Littrow valley geology, it was noted that there was a  
407 dichotomy between rock populations at the crater rim and in the ejecta deposit (Schmitt et al.,  
408 2017; Fig. 6). Those authors cited a mechanism of continual regolith removal and rock exposure  
409 at the crater rim to explain their observations. It was also noted that the observed rocks at crater  
410 rims are likely to be in-situ, meaning that they underwent minimal transportation during the  
411 formation of the parent crater.

412 **Figure 6.** (Fig. 18 in Schmitt et al., 2017) Apollo 17 photograph taken by Astronaut Gene  
413 Cernan from the perspective of the rim of Camelot crater looking out into the associated ejecta  
414 deposit. A dichotomy is observed between the boulder populations at the crater rim and in the  
415 ejecta deposit. NASA Photograph.

## 416 **6 Conclusions**

417 In this work, we show that exhumed boulders are present at crater rims for prolonged  
418 periods of time. We attribute this continued boulder presence to downslope transport of surface  
419 regolith that uncovers boulders that previously concealed by overlying regolith and replenishes  
420 the surface population on an ongoing basis. This conclusion is supported by both radar (CPR,  
421 SC, and OC) and thermal infrared (RA) remote sensing datasets (Figs. 4–5). Qualitatively, large  
422 boulders are still observed at the rims of old, km-scale (0.8–2.0 km) craters in LROC NAC

423 images (Fig. 1), and a visual crater rim/ejecta boulder population dichotomy was reported by  
424 Schmitt et al., (2017) using Apollo 17 photographs (Fig. 6).

425 Our interpretation of subsurface boulder exhumation at crater rims is not contradictory to  
426 earlier, measured rates of boulder breakdown inferred from boulder counting methods (e.g.,  
427 Basilevsky et al., 2013). However, our results suggest that regolith transport and boulder  
428 exhumation are a dominant control on surface rock presence in the narrow annular zone of the  
429 crater rim. Prior work has shown that mass wasting processes are active on the lunar surface  
430 (e.g., Xiao et al., 2013; Fassett and Thomson, 2014; Minton et al., 2019). It has also been shown  
431 that, over time, regolith from impact crater rims will incrementally fill crater interiors for the first  
432 billion years of a crater's lifetime, that then declines (Fassett et al., 2018b). Based on these prior  
433 studies and our findings, boulders at impact crater rims are continually being uncovered and  
434 exhumed as the overlying regolith migrates downslope into the crater interior. The likely cause  
435 of the downslope motion of regolith from crater rims is the same mechanism responsible for the  
436 topographic degradation of km-scale lunar craters and crater topography as a whole. At impact  
437 crater rims, regolith transport must occur sufficiently fast that rocks are exhumed faster to  
438 replace some fraction of those that are broken down.

439 With the exception of several drill core samples from the Apollo and Luna programs, all  
440 lunar rock and soil samples were collected at the lunar surface where loose rocks were easily  
441 attainable. As previous work has shown, rocks at the lunar surface are both locally derived and  
442 can be emplaced as distal ejecta that may have traveled great distances from the parent crater  
443 during the crater formation process (e.g., Dundas and McEwen, 2007). One challenge for our  
444 understanding of lunar surface ages and composition lies in the assumption that the lunar  
445 samples were locally derived at the time of collection. The demonstration that boulder  
446 populations are exhumed over long periods of time at km-scale crater rims implies that those  
447 rocks being uncovered are locally derived. This finding provides a vital piece of information for  
448 future lunar sample return missions for which in-situ samples are desired for accurate  
449 chronological and petrological characterizations of the lunar surface units.

## 450 **Acknowledgments and Data**

451 We would like to acknowledge productive discussions with Nicholas Dygert, Molly McCanta,  
452 Catherine Neish, Sriram Bhiravarasu, Joshua Cahill, and members of the Mini-RF instrument  
453 science team that improved this effort. We are also thankful to two anonymous reviewers whose  
454 helpful comments contributed to this manuscript. This work was supported in part by NASA  
455 LDAP NNX16AN57G to CIF and a grant from the Johns Hopkins University Applied Physics  
456 Laboratory through the NASA LRO mission. Data for all figures in this manuscript are available  
457 on Figshare (Nypaver, 2021).

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578

Figure 1.

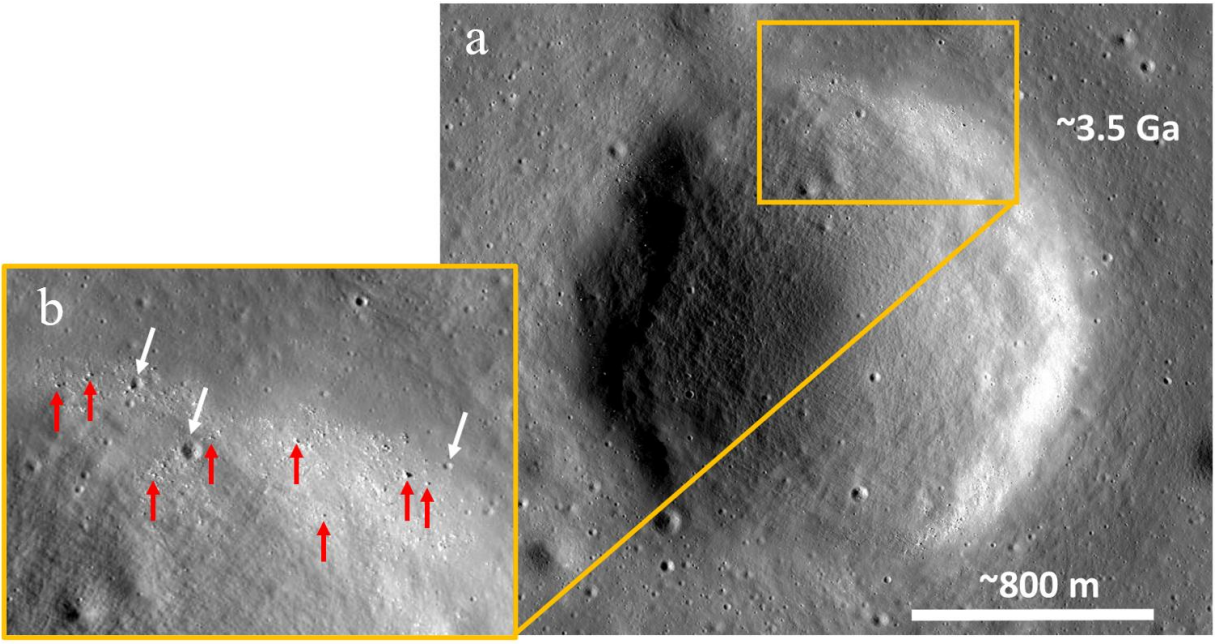


Figure 2.

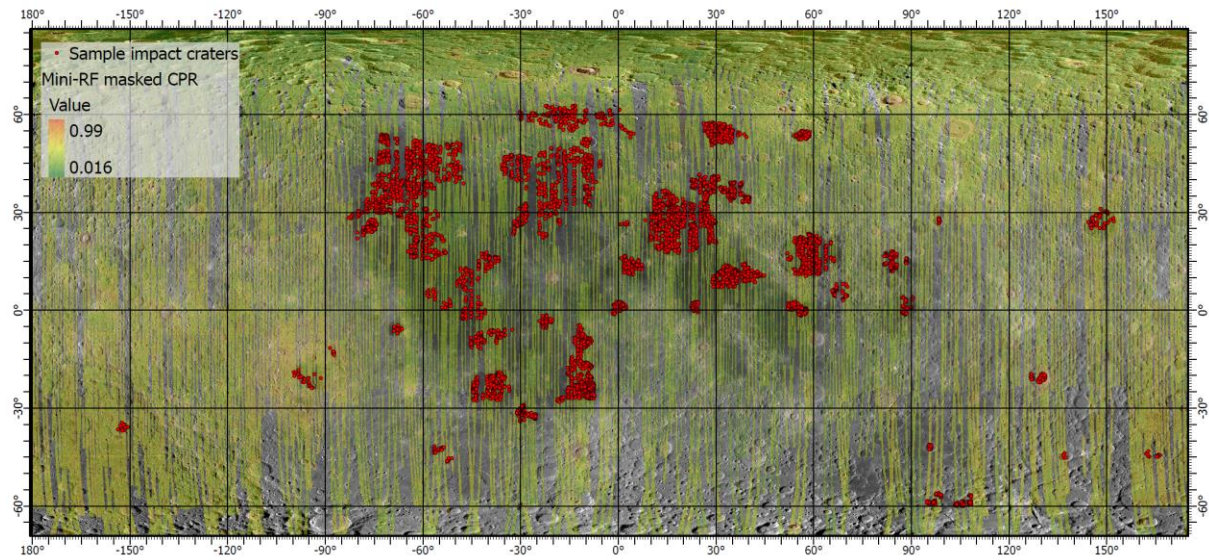
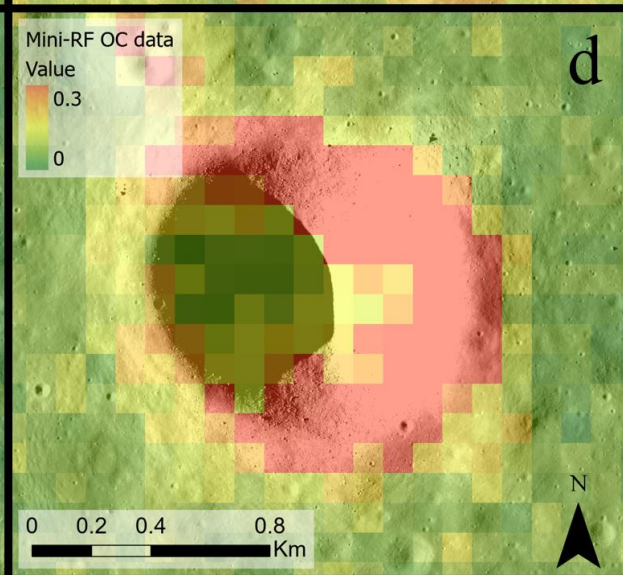
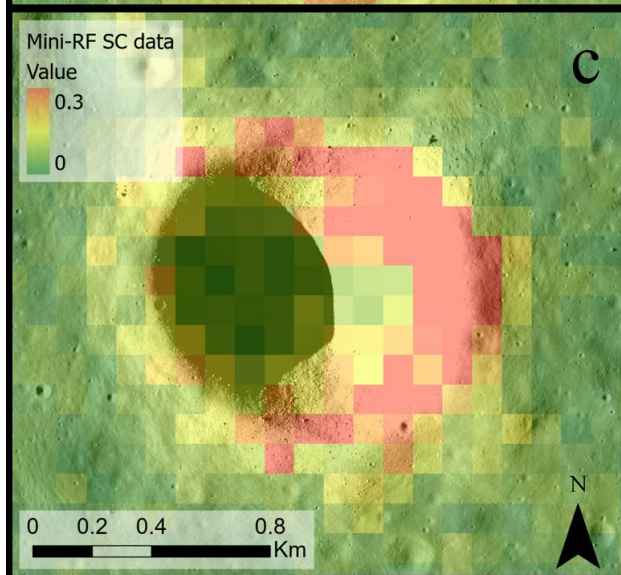
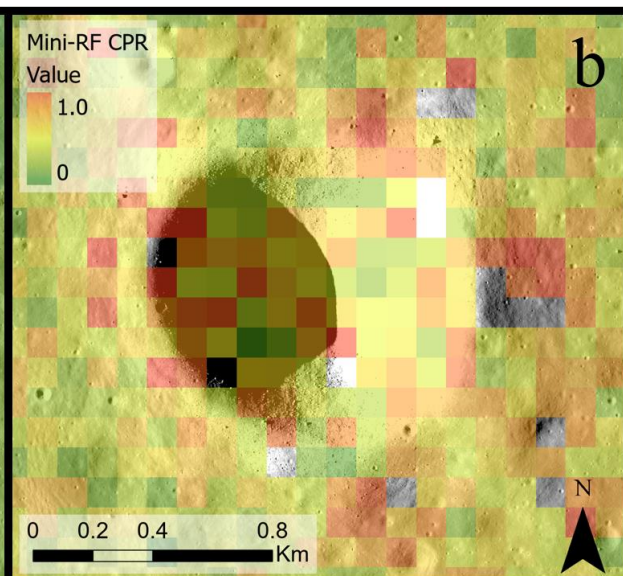
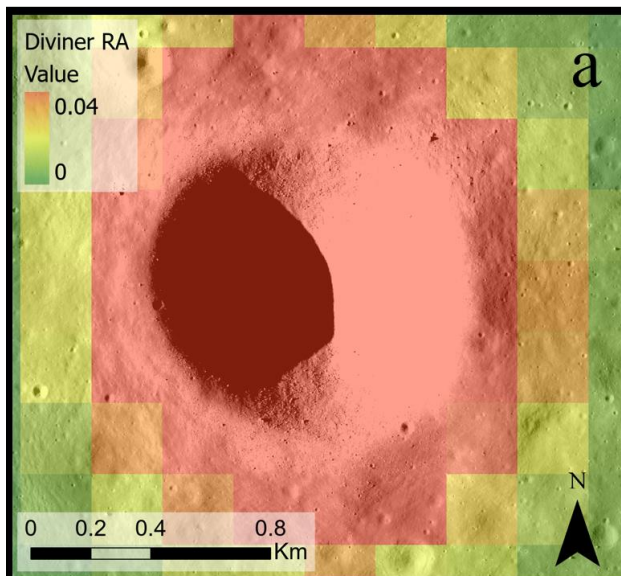


Figure 3.



**Figure 4.**

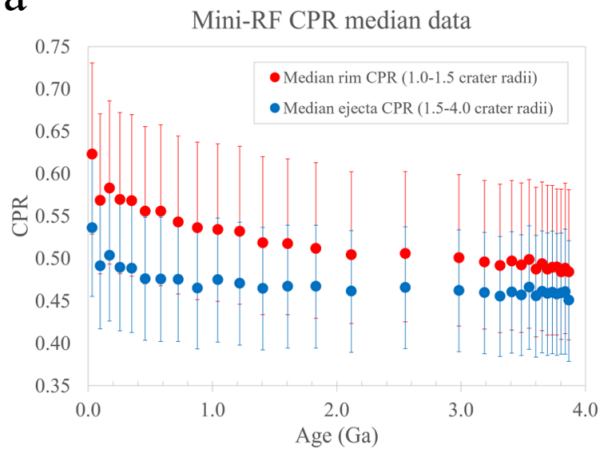
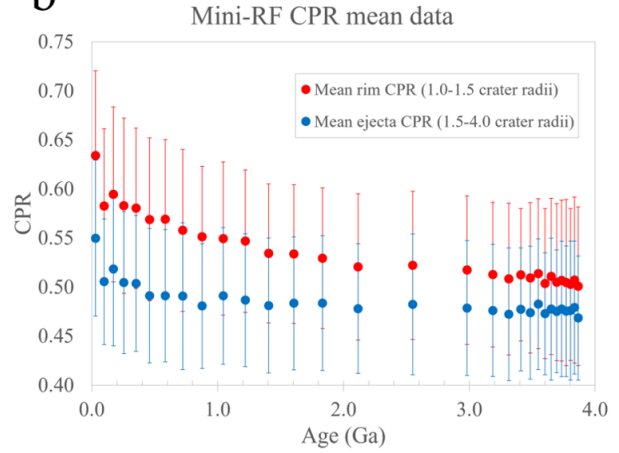
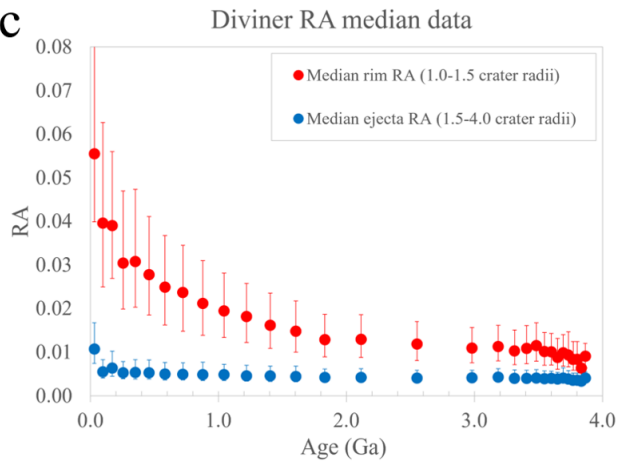
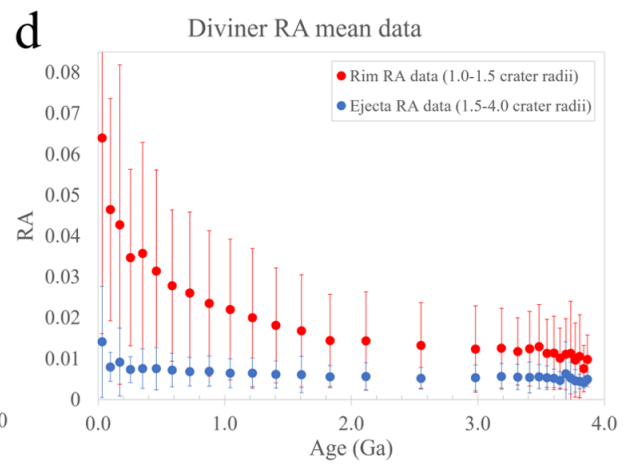
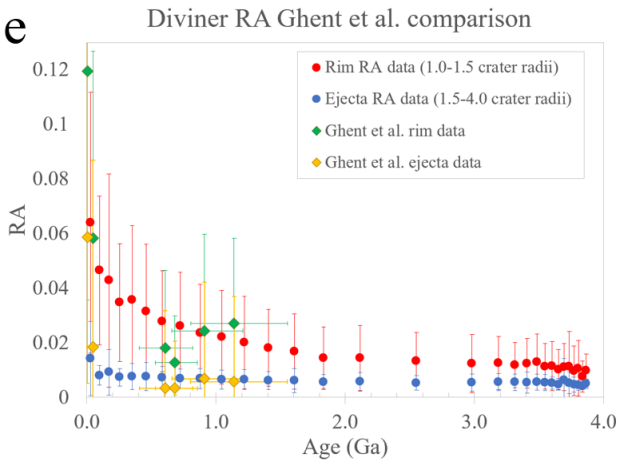
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Figure 5.

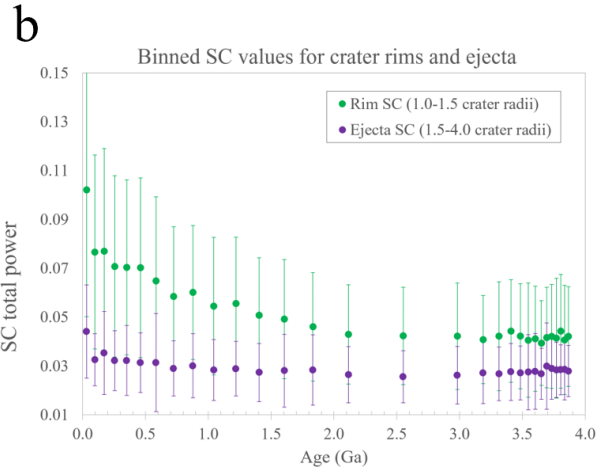
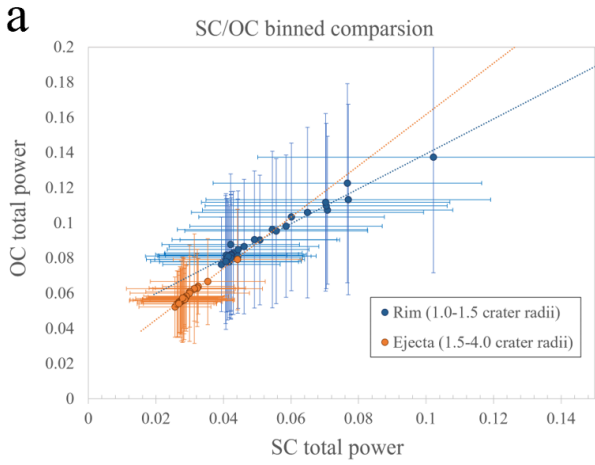


Figure 6.



**Table 1 for Nypaver et al., 2021. “Prolonged rock exhumation at the rims of kilometer-scale lunar craters” (under review at JGR)**

	Ejecta data slope	Rim data slope
RA	-0.001 $\pm$ 0.000	-0.006 $\pm$ 0.002
CPR	-0.008 $\pm$ 0.009	-0.022 $\pm$ 0.011

**Table 1.** York fit slope values for the overall trends of binned CPR and RA mean values as a function of age (Figs. 3b and 3d.)