| 1 | Cold Season Performance of the NU-WRF Regional Climate Model |
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| 2 | in the Great Lakes Region |
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47 ABSTRACT: As Earth's largest collection of fresh water, the Laurentian Great Lakes have 48 enormous ecological and socio-economic value. Their basin has become a regional hotspot of climatic and limnological change, potentially threatening its vital natural resources. 49 50 Consequentially, there is a need to assess the current state of climate models regarding their 51 performance across the Great Lakes region and develop the next generation of high-resolution 52 regional climate models to address complex limnological processes and lake-atmosphere 53 interactions. In response to this need, the current paper focuses on the generation and analysis of 54 a 20-member ensemble of 3-km National Aeronautics and Space Administration (NASA)-Unified 55 Weather Research and Forecasting (NU-WRF) simulations for the 2014-2015 cold season. The 56 study aims to identify the model's strengths and weaknesses; optimal configuration for the region; 57 and the impacts of different physics parameterizations, coupling to a 1D lake model, time-variant 58 lake-surface temperatures, and spectral nudging. Several key biases are identified in the cold-59 season simulations for the Great Lakes region, including an atmospheric cold bias that is amplified 60 by coupling to a 1D lake model but diminished by applying the Community Atmosphere Model 61 radiation scheme and Morrison microphysics scheme; an excess precipitation bias; anomalously 62 early initiation of fall lake turnover and subsequent cold lake bias; excessive and overly persistent 63 lake ice cover; and insufficient evaporation over Lakes Superior and Huron. The research team is 64 currently addressing these key limitations by coupling NU-WRF to a 3D lake model in support of 65 the next generation of regional climate models for the critical Great Lakes Basin.

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67 Significance statement: Climate change poses a serious threat to the vital natural resources
68 of the Laurentian Great Lakes region. Complex lake-atmosphere interactions and limnological
69 processes are a challenge for regional climate models. To address the threat of climate change,

there is a clear need to further evaluate and develop modeling tools for the Great Lakes Basin.
Here, we evaluate the regional performance of the National Aeronautics and Space
Administration's regional climate model at high spatial resolution in support of ongoing efforts to
develop the next generation modeling tool for the Great Lakes region.

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75 **1. Introduction**

76

The Laurentian Great Lakes are the Earth's largest collection of freshwater and an invaluable resource to society and wildlife (Botts and Krushelnicki 1988). The Great Lakes megaregion is home to over 55 million people (Todorovich 2009). The lakes critically support the United States' and Canadian economies through impacts on shipping, drinking water, power production, manufacturing, fishing, and recreation (Vaccaro and Read 2011). The basin contains a rich diversity of fish, animals, and plants (Crossman and Cudmore 1998) and ecologically valuable wetlands.

84 The Great Lakes exert a prominent effect on regional climate due to their large thermal 85 inertia, variability as a moisture source to the atmosphere, and contrasts in moisture, heat, friction, 86 and radiation compared to adjacent land (Changnon and Jones 1972; Scott and Huff 1997; Chuang 87 and Sousounis 2003; Notaro et al. 2013a). Heat and moisture fluxes destabilize and moisten the 88 boundary layer during autumn-winter (Bates et al. 1993; Blanken et al. 2011). The lakes' relative 89 warmth and resulting enhanced low-level convergence make the basin a preferred region of 90 wintertime cyclogenesis (Petterssen and Calabrese 1959; Colucci 1976; Eichenlaub 1979). Lake-91 induced precipitation peaks during September-March when cloud cover and precipitation are 92 enhanced downwind of the lakes (Niziol et al. 1995; Scott and Huff 1996; Kristovich and Laird

93 1998). Over-lake turbulent fluxes and lake-effect precipitation are dampened by mid-late winter
94 (February-March) as ice cover becomes extensive (Niziol et al. 1995; Brown and Duguay 2010).

95 The Great Lakes region has experienced dramatic climatic and limnologic changes (Kling 96 et al. 2003; Wuebbles and Hayhoe 2004; Wuebbles et al. 2010; Sharma et al. 2018), including a 97 regime shift in lake-surface temperature (LST) and ice cover (Van Cleave et al. 2014). During 98 1900-2010, annual air temperatures rose by 0.88°C in the Midwest United States (Kunkel et al. 99 2013; Schoof, 2013; Pryor et al. 2014; Zobel et al., 2017, 2018). Due to mutual surface-atmosphere 100 warming (Manabe and Wetherald 1967) and resulting earlier lake stratification, Lake Superior's 101 surface water temperatures increased by 2.5°C during July-September of 1979-2006, exceeding 102 the regional atmospheric warming rate (Austin and Colman 2007; Zhong et al. 2016; Ye et al. 103 2019). The lakes' ice cover declined by 71% during 1973-2010 due to the aforementioned mutual 104 surface-atmosphere warming (Wang et al. 2012; Mason et al. 2016). Rising lake temperatures, ice 105 cover reductions, and increased frequency of intense cyclones supported a long-term positive trend 106 in lake-effect snowfall (Burnett et al. 2003; Ellis and Johnson 2004; Kunkel et al. 2009), which 107 locally reversed over portions of the Great Lakes Basin in recent decades (Bard and Kristovich 108 2012; Harnett et al. 2014; Suriano and Leathers 2017; Clark et al. 2020). Heavy precipitation 109 events have become more frequent (Kunkel et al. 2003, 2012; Easterling 2000; Winkler et al. 110 2012), with an invigorated hydrologic cycle generating extreme lake level variations (Gronewold 111 et al. 2013).

Given the importance of lake-atmosphere interactions and pronounced climate change in the Great Lakes Basin, there is a need to generate, evaluate, and improve climate modeling for the region. Large lakes and their regional climate influence are poorly resolved in coarse global climate models (Mallard et al. 2014, 2015; Briley et al. 2017). The Great Lakes' representation

116 across the Coupled Model Intercomparison Project global climate models varies broadly among 117 land, wet soil, ocean, or inland lake grid cells, with the most advanced representation in the 118 Coupled Model Intercomparison Project global climate models based on 1D lake models (none 119 are coupled to 3D lake models) with inappropriate assumptions for deep lakes (Roeckner et al. 120 2003; Briley et al. 2017). One rudimentary regional climate modeling approach consists of 121 extracting sea-surface temperatures from the initial and lateral boundary conditions datasets over 122 the Atlantic Ocean, Pacific Ocean, or Hudson Bay and applying those oceanic sea-surface 123 temperature values as LST boundary conditions for the Great Lakes (Mallard et al. 2015; Spero et 124 al. 2016; Sharma et al. 2018). Such erroneous LSTs, retrieved from oceans rather than lakes, can 125 negatively impact simulated pressure and air temperature regionwide (Spero et al. 2016). 126 Alternatively, regional climate models that apply historical, remotely sensed or reanalysis-based 127 LSTs, rather than a coupled lake model, neglect hydrodynamic feedbacks and are impractical tools 128 for developing climate projections (Sharma et al. 2018).

129 Regional climate models have been employed in an array of Great Lakes studies. Zhong 130 et al. (2012) demonstrated the ability of select regional climate models to capture the lakes' impacts 131 on regional climate and outperform global climate models. The Regional Climate Model Version 132 Four, coupled to a 1D lake model, was applied to examine the lakes' influence on atmospheric 133 circulation, stability, moisture, and temperature; highlight model skill in capturing variability and 134 trends in air temperature, ice cover, and snowfall; elucidate the mechanisms behind recent lake 135 warming; and formulate winter severity projections (Notaro et al. 2013a,b, 2014, 2016; Zhong et 136 al. 2016). Applying the "Providing Regional Climates for Impacts Studies" regional climate 137 model, Zhang et al. (2020) projected that wintertime precipitation in the Great Lakes Basin would 138 increase during this century. The Weather Research and Forecasting (WRF; Skamarock et al.

139 2008) model is a commonly used regional climate model for the Great Lakes Basin. According to 140 Shi et al. (2010), the nested WRF model with 1-km grid spacing accurately simulated snowfall and 141 cloud patterns from Canadian snowstorms. Wright et al. (2013) revealed a close association 142 between Great Lakes' ice cover distribution and resulting snowfall pattern in WRF and concluded 143 that coarse models cannot capture local water-ice-atmosphere interactions that regulate snowband 144 intensity and distribution. Insua-Costa and Miguez-Macho (2018) estimated that, during lake-145 effect snowstorms in November 2014, 30-50% of WRF-simulated precipitation downwind of the 146 lakes originated from lake evaporation. Applying nested WRF with 3-km grid spacing, Shi and 147 Xue (2019) determined that resolving LST spatial variations enhances surface wind convergence, vertical motion, and lake-effect snowfall on the lee sides of the Great Lakes. The WRF-based 148 149 findings of Sharma et al. (2019) included enhanced skill due to spectral nudging (Rockel et al. 150 2008; Wang and Kotamarthi 2013), better performance during winter than summer, and 151 successfully simulated lake-effect precipitation at both 12- and 4-km grid spacing. Complex lake-152 atmosphere interactions and lake-effect snowfall morphology require high-resolution modeling 153 (Notaro et al. 2013a,b; Wright et al. 2013; Briley et al. 2017; Xiao et al. 2018; Shi and Xue 2019). 154 Future climate projections for the Great Lakes Basin were developed by Gula and Peltier (2012) 155 and Peltier et al. (2018) using WRF either uncoupled or coupled to the Freshwater Lake Model 156 (Mironov 2008). Peltier et al. (2018) identified a wintertime cold bias in WRF coupled to the 157 Freshwater Lake Model across the Great Lakes Basin.

More advanced regional climate models typically represent the Great Lakes using 1D lake models, which incorporate coupled lake-atmosphere interactions and can generally capture the broad spatio-temporal patterns of LSTs and ice cover (Gula and Peltier 2012; Notaro et al. 2013b), but are characterized by serious limitations. These shortcomings for large lakes include the lack

162 of dynamic lake circulation, explicit horizontal mixing, or ice motion; an oversimplified 163 stratification process; assumed instantaneous mixing of instabilities; and deficient treatment of 164 eddy diffusivity (Martynov et al. 2010; Stepanenko et al. 2010; Bennington et al. 2014; Mallard et 165 al. 2014, 2015; Gu et al. 2015; Sharma et al. 2018). Such regional climate models, coupled to a 166 1D lake model, generate excessive ice cover due to the absence of horizontal mixing and ice 167 movement (Bennington et al. 2010; Notaro et al. 2013b; Xiao et al. 2016). 1D lake models 168 commonly produce an anomalously early stratification and positive bias in summertime LST 169 (Bennington et al. 2014). Charusombat et al. (2018) revealed that WRF coupled to a 1D lake 170 model, adapted from the Community Land Model version 4.5 (Subin et al. 2012; Oleson et al. 171 2013), produces excessive sensible and latent heat fluxes, compared to Great Lakes Evaporation 172 Network measurements, that can be largely resolved by modifying the roughness length scales. 173 One common approach to reduce vertical temperature profile errors in 1D lake models is to 174 artificially enhance the vertical eddy diffusivity of deep lakes to imitate the neglected dynamic 175 circulation and vertical mixing processes (Subin et al. 2012; Bennington et al. 2014; Lofgren 2014; 176 Gu et al. 2015; Mallard et al. 2015). Nonetheless, 1D lake models remain incapable of representing 177 key dynamic and thermodynamic processes of deep lakes (Xiao et al. 2016; Xue et al. 2017). 178 Continued progress is needed to interactively couple high-resolution regional climate models to 179 3D lake models in order to resolve shear instabilities, mixing episodes, Ekman suction, upwelling, 180 downwelling, coastal currents and jets, seiches, and ice motion (Martynov et al. 2010; Bennington 181 et al. 2010, 2014; Beletsky et al. 2012; Fujisaki et al. 2013), and minimize LST and ice cover 182 biases (Notaro et al. 2013b; Xue et al. 2015, 2017; Sharma et al. 2018; Ye et al. 2019). 183 The authors developed an advanced Great Lakes Basin modeling tool, consisting of the

184 NASA-Unified Weather Research and Forecasting (NU-WRF, Peters-Lidard et al. 2015) model,

185 nested to 3-km grid spacing, interactively coupled to the Finite Volume Community Ocean Model 186 (Chen et al. 2003) to represent 3D lake hydrodynamics. This tool will benefit subsequent 187 assessments of historical and future climatic and limnological changes, representing variability 188 and change in lake temperature, ice cover, and lake circulation, along with providing a high-189 resolution, convection-permitting depiction of precipitation extremes. In support of this 190 development process, the current paper explores the cold season performance of the current NU-191 WRF version across the Great Lakes Basin, including the identification of regionally optimal 192 schemes and the impacts of 1D lake model coupling, spectral nudging, and the choice of cumulus 193 parameterization, microphysics, longwave and shortwave radiation, and planetary boundary layer 194 and surface layer schemes. The authors present data and methods in section 2, results in section 195 3, and discussion and conclusions in section 4.

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197 **2. Data and methodology**

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199 a. Model description and experimental design

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NU-WRF is a state-of-the-art observation-driven integrated modeling system that represents aerosol, cloud, precipitation, and land processes at satellite-resolved, convectionpermitting scales. It was developed based on the National Center for Atmospheric Research -Advanced Research WRF model coupled with chemistry (WRF-Chem, Grell et al. 2005; Skamarock et al. 2008), with enhanced physics coupling and optimal use of NASA's satellite products. The WRF dynamical core is coupled to the Goddard Space Flight Center - Land Information System (Kumar et al. 2006; Peters-Lidard et al. 2007, 2015) and Goddard Chemistry Aerosol Radiation and Transport model (Chin et al. 2000), while incorporating multiple NASAbased microphysics and radiation packages (Wu et al. 2016). NU-WRF simulations here apply the Noah Land Surface Model, which prognostically computes soil moisture and temperature, permits fractional snow cover, and incorporates freeze-thaw soil physics (Mitchell 2001).

212 The current NU-WRF version permits two crude treatments of large lakes. Either LSTs 213 can be provided by skin surface temperatures from the boundary condition dataset, without 214 including a lake model or two-way lake-atmosphere interactions, or the atmosphere can be two-215 way coupled to the 1D Lake, Ice, Snow, and Sediment Simulator (Subin et al. 2012) from the 216 Community Land Model version 4.5 (Oleson et al. 2013) with modifications by Gu et al. (2013). 217 This 1D mass and energy balance scheme applies 0-5 snow layers on top of lake ice, 10 water 218 layers (5 cm depth for top layer), and 10 soil layers at the lake's bottom. This lake model initially 219 generated reasonable LSTs for shallow Lake Erie but vast biases for deep Lake Superior due to an 220 underestimated vertical heat transfer. However, by amplifying the eddy diffusion parameter, Gu 221 et al. (2015) reduced these LST biases in an artificial manner that does not directly address the key 222 3D processes in deep lakes.

223 The performance of NU-WRF and optimal model configuration are explored for the Great 224 Lakes region during a select cold season with active lake-effect snowfall. Twenty simulations 225 (Table 1) are generated, including 8 primary runs ("Nud": with spectral nudging and temporally 226 invariant November LSTs, "NoNud": without nudging and with temporally invariant LSTs that 227 are fixed at the initial warm November state, "NudVary": with nudging and temporally varying 228 LSTs, "NoNudVary": without nudging and with temporally varying LSTs, "Nud1D": with 1D lake 229 model and uniform lake depths, "Nud1Ddep": with 1D lake model and spatially varying lake 230 depths, "MorrNoL": without 1D lake model and with Morrison combination, and "MorrL": with

231 1D lake model and Morrison combination) for November 2014-March 2015 and 12 supplemental 232 runs for only February 2015 (when temperature biases are most pronounced) to limit 233 computational costs. The vertical resolution is assigned to 61 levels. The one-way nested 234 configuration consists of an outer domain with 15-km grid spacing and inner domain with 3-km 235 grid spacing (Fig. 1). Initial and lateral boundary conditions are provided by either the Global 236 Data Assimilation System 0-hour analysis or European Centre for Medium-Range Weather 237 Forecasts interim reanalysis. Lake treatment includes LSTs provided as boundary conditions 238 based on Global Data Assimilation System skin surface temperatures or application of a 1D lake 239 model with or without (uniform 50-m for all lakes) spatially varying lake depths, retrieved from 240 the United States Geological Survey Land Use Dataset. Some simulations include spectral 241 nudging to the large-scale atmospheric fields (wind components, air temperature, and geopotential 242 height above the planetary boundary layer and specific humidity at all levels) to an approximate 243 600 km wavelength, which is the wavelength specified in numerous prior studies (Ferraro et al. 244 2017; Iguchi et al. 2017; Lee et al. 2017; Loikith et al. 2018).

245 Applied cumulus parameterization options for the outer domain include the Kain-Fritsch 246 (Kain and Fritsch 1990; Kain 2004) and Modified Tiedtke (Tiedtke 1989; Zhang et al. 2011) 247 schemes, with resolved, unparameterized convection in the inner domain. The thermal roughness 248 length in the bulk transfer equations is either assigned to its default value or determined through a 249 vegetation-dependent scheme (Chen and Zhang 2009; Weston et al. 2019). Applied microphysics 250 options include the Goddard three-class ice scheme (Tao et al. 1989) and a couple of six-class, double-moment schemes, namely the Thompson et al. (2008) graupel scheme and Morrison et al. 251 252 (2009) scheme. Utilized longwave radiation schemes include the Rapid Radiative Transfer Model 253 (RRTM, Mlawer et al. 1997), Rapid Radiative Transfer Model for General Circulation Models

254 (RRTMG, Barker et al. 2007; Pincus et al. 2003), and Goddard scheme (Chou and Suarez 1999, 255 2001). The applied shortwave radiation schemes include the RRTMG (Iacono et al. 2008), 256 Goddard (Chou and Suarez 1999; Chou et al. 2001), and Community Atmosphere Model (CAM, 257 Collins et al. 2004) schemes. Applied planetary boundary layer schemes include the Yonsei 258 University (Hong et al. 2006, 2010), Mellor-Yamada-Nakanishi-Niino Level 2.5 (MYNN2.5, 259 Nakanishi and Niino 2006, 2009), and Mellor-Yamada-Janjic (MYJ, Mellor and Yamada 1982; 260 Janjic 1990, 1994, 2001) schemes, and applied surface layer schemes include the Mesoscale Model 261 Version Five (MM5) (Zhang and Anthes 1982), Mellor-Yamada-Nakanishi-Niino (MYNN, 262 Nakanishi 2001), Nakanishi and Niino, Monin-Obukhov-Janjic, and revised MM5 Monin-263 Obukhov (Jiménez et al. 2012) schemes. The UA_PHYS run activates improved physics of 264 snowpack-vegetation canopy interactions, which increases sensible heat fluxes and decreases 265 momentum roughness length over snowpack (Wang et al. 2010).

"Morrison combination" refers to the set of schemes applied in MorrL (with the 1D lake 266 267 model) and MorrNoL (without the lake model), including Morrison microphysics, Rapid Radiative 268 Transfer Model (RRTM) longwave radiation physics, Community Atmosphere Model (CAM) 269 shortwave radiation physics, Mellor-Yamada-Nakanishi-Niino Level 2.5 (MYNN2.5) planetary 270 boundary layer physics, and Mellor-Yamada-Nakanishi-Niino (MYNN) surface layer schemes. 271 The improved simulations of air temperature and surface insolation due to the Morrison 272 combination are primarily due to the Community Atmosphere Model's shortwave radiation 273 scheme based on six test runs for December 2016-February 2017 varying, one by one, the 274 microphysics scheme, shortwave radiation scheme, and boundary layer scheme (not shown). The 275 Morrison combination is essentially the WRF configuration determined by Mooney et al. (2013) 276 to produce the best simulated wintertime temperature simulation over Europe, who found that

277 winter air temperatures are highly sensitive to the choice of radiation physics. Comparison of 278 experiments reveals the regional impacts of spectral nudging, seasonally variant LSTs, 1D lake 279 model coupling, spatially varying bathymetry, and Morrison combination. The effects of spectral 280 nudging are isolated by ((Nud-NoNud)+(Nud_Vary-NoNud_Vary))/2, of seasonally variant LSTs by ((Nud_Vary-Nud)+(NoNud_Vary-NoNud))/2, of lake model coupling by ((Nud1D-281 282 Nud Vary)+(Nud1Ddep-Nud Vary)+(MorrL-MorrNoL))/3, of spatially varying bathymetry by 283 (Nud1Ddep-Nud1D), and of Morrison combination by ((MorrNoL-Nud Vary)+(MorrL-284 Nud1Ddep))/2.

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286 b. Datasets

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288 Three daily gridded observational datasets are used to evaluate model performance. Firstly, the 1/8th degree North American Land Data Assimilation System version 2 (NLDAS-2) 289 290 dataset (Xia et al. 2012) provides precipitation, surface pressure, 2-m specific humidity, 2-m air 291 temperature, and 10-m zonal and meridional wind as primary forcings and surface albedo, sensible 292 and latent heat fluxes, surface incident shortwave radiation, and liquid-equivalent snow depth as 293 NLDAS-2 output from three land surface models (averaged here across models). The NLDAS-2 294 precipitation is derived through the temporal disaggregation of the gauge-only Climate Prediction 295 Center analysis of daily precipitation (Higgins et al. 2000; Chen et al. 2008), performed on the 296 NLDAS-2 grid with orographic adjustment; over Canada, only reanalysis precipitation is used due 297 to poor gauge coverage, with the different data source applications across the United States-298 Canada border negatively impacting the performance of NLDAS-2 precipitation (Xu et al. 2019).

NLDAS-2 surface downward shortwave radiation is computed by debiasing reanalysis with
 Geostationary Operational Environmental Satellite-based fields (Pinker et al. 2003).

Secondly, precipitation and 2-m air temperature as directly measured variables and liquidequivalent snow depth (based on a snow model) and 2-m vapor pressure (based minimum temperature-dewpoint temperature relationships) as inferred variables are retrieved from Oak Ridge National Laboratory's 1-km Daymet product (Thornton et al. 1997, 2014). The relatively basic geographically weighted regression approach applied by Daymet, for interpolation from stations observations to a gridded product, only accounts for elevation (Oyler et al. 2014).

307 Thirdly, the 1-km National Weather Service's National Operational Hydrologic Remote 308 Sensing Center - SNOw Data Assimilation System (SNODAS) dataset (Barrett 2003; Clow et al. 309 2012), which integrates data from satellite, airborne platforms, ground stations, and a snow model 310 (Carroll et al. 2001), contains physical snow depth, liquid-equivalent snowfall, and liquid-311 equivalent snow depth. Several past studies (Hay et al. 2006; Azar et al. 2008; Clow et al. 2012) 312 argued that SNODAS gridded snow-water equivalent data has not been sufficiently evaluated, as 313 SNODAS assimilates nearly all available ground-based and airborne observations of snow-water 314 equivalent, leaving insufficient independent data for evaluation.

While gridded observational datasets are valuable for model evaluation, they can exhibit intrinsic regional biases. Behnke et al. (2016) assessed multiple gridded observational datasets, compared to United States' station observations, and concluded that Daymet has the smallest temperature bias, NLDAS-2 has a warm bias and the greatest temperature bias, and Daymet has a wet bias and the greatest precipitation bias. These results justify the choice of Daymet for air temperature and NLDAS-2 for precipitation in the current paper's figures. King et al. (2020) identified a 50% positive bias in SNODAS snow-water equivalent across Ontario compared to in situ observations, consistent with Zahmatkesh et al. (2019). Based on our comparison of snowwater equivalent data from Daymet, NLDAS2, and SNODAS against these in situ observations,
the current paper's figures focus on evaluating NU-WRF's snowpack against the more consistent
NLDAS2 dataset.

326 Lakewide daily mean LST, derived from Advanced Very High Resolution Radiometer 327 composite imagery (during cloud-free periods) but without inclusion of any buoy observations, is 328 retrieved from the CoastWatch's Great Lakes Surface Environmental Analysis LST Dataset 329 version 2, developed by NOAA's Great Lakes Environmental Research Laboratory (Schwab et al. 330 1992). Li et al. (2001) evaluated this CoastWatch LST satellite product against Great Lakes' buoy 331 observations during May, July, and September of 1997 and concluded that mean differences were 332 0.26°C during the day and 1.52°C during the night. A year-round assessment by Schwab et al. 333 (1999) found that the CoastWatch LSTs and buoy LSTs exhibited a mean difference of less than 334 0.5°C for all buoys and a root-mean-square-difference (RMSD) ranging from 1.10°C to 1.76°C. 335 Persistent periods of cloud cover during the autumn-winter can restrict radiometer inputs to the 336 Great Lakes Surface Environmental Analysis LST Dataset, degrading its reliability (Niziol 2003). 337 New temperature imagery is not available over portions of the Great Lakes during the winter to 338 early spring for as long as 30-50 days due to persistent cloud cover (Schwab et al. 1999). The lack 339 of thermal imagery during spring and autumn is often most concerning, as lake temperatures are 340 often observed to change rapidly during those seasons. As shown in Table S1, a comparison of 341 the Great Lakes Surface Environmental Analysis Dataset with LST data at nine Great Lakes' buoys 342 from the National Data Buoy Center during November 2014-March 2015 indicates the 343 CoastWatch product has a mean bias of +0.93°C and RMSD of 1.63°C. The comparison is only 344 based on an average of 37 days of data during the 2014-2015 cold season as buoys are not deployed during much of the icy winter conditions. These findings are consistent with Niziol (2003), who
concluded that during autumn, when lake temperatures are typically declining, the inability to
update satellite-derived data due to persistent cloud cover can lead to a warm bias in the
CoastWatch product.

349 Based on ice products from the United States National Ice Center and Canadian Ice Service, 350 the Great Lakes Environmental Research Laboratory - Great Lakes Ice Cover Dataset contains 351 lakewide daily mean ice cover (Assel et al. 2002, 2013; Assel 2005; Wang et al. 2012), although 352 with the noted limitation that the dataset's spatial resolution, projection, and sampling frequency 353 changed over time (Yang et al. 2020). Over-lake measurements of air temperature, wind speed, 354 downward shortwave radiation, sensible heat flux, and latent heat flux are obtained through the 355 Great Lakes Evaporation Network (Blanken et al. 2011; Spence et al. 2011, 2013, 2019; Lenters 356 et al. 2013) at Granite Island and Stannard Rock on Lake Superior, Spectacle Reef on Lake Huron, 357 White Shoal on Lake Michigan, and Long Point on Lake Erie. The network's level 1 eddy 358 covariance data has only undergone basic corrections, including the removal of sensible and latent 359 heat spikes and a visual level of quality control. Moukomla and Blanken (2017) generated an 360 independent dataset of Great Lakes' turbulent fluxes using the bulk aerodynamic approach, based 361 on remote sensing, direct measurements, and reanalysis, and compared these modeled fluxes 362 against GLEN observations, with the conclusion that they were in "good statistical agreement." 363 The RMSD between the datasets at White Shoal, Stannard Rock, and Spectacle Reef lighthouses was 5.68, 6.93, and 4.67 W m⁻², respectively, for latent heat fluxes and 6.97, 4.39, and 4.90 W m⁻ 364 ², respectively, for sensible heat fluxes. 365

- 366
- 367 **3. Results**

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369 a. February 2015 performance among 20 simulations

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In order to summarize NU-WRF's performance and identify the most successful model configuration for the Great Lakes region, four statistics are computed across the inner domain, namely mean bias, RMSD, temporal correlation, and spatial correlation, based on daily 2-m air temperature, precipitation, snow-water equivalent in the snowpack, surface incident shortwave radiation, and 2-m specific humidity for February 2015 among 20 simulations (Figs. 2-3).

376

377 <u>Air temperature</u>

378 A persistent atmospheric cold bias is evident in 18 runs and only absent in simulations with 379 artificially constant November LSTs (Nud and NoNud, which do not permit the model to evolve 380 beyond the initial warm November LST state) as unnaturally warm lakes maintain higher 381 surrounding air temperatures (Fig. 2a). This is evidence of the lakes' basinwide influence on cold 382 season climate. Among those 18 runs, the 2-m air temperature bias during February 2015 is least 383 in MorrNoL (-2.09°C) and MorrL (-2.97°C), indicative of the Morrison combination dampening 384 the regional cold bias, and greatest in RAGODD (-5.68°C), highlighting regional limitations of 385 Goddard's radiation physics schemes. Lake model activation, while critical for representing lake-386 atmosphere interactions, enhances the cold bias (e.g. by 0.88°C from MorrNoL to MorrL). Based 387 on RMSD, February air temperatures are best captured by Nud (1.60°C) and runs using the 388 Morrison combination, namely MorrNoL (2.38°C), and MorrL (3.29°C) (Fig. 2b). The seemingly 389 good performance of Nud is deceiving, as unrealistically imposed November LSTs counter the 390 intrinsic regional cold bias found in most of the simulations.

391 The effects of individual model configuration choices on area-average 2-m air temperature 392 over land in the inner domain during February 2015 are presented in Supplemental Figure 1. For 393 example, in order to isolate the typical magnitude of the effect of choice in microphysics scheme 394 on simulated air temperatures, the Nud1Ddep, MP3ICE, and MP_MORR runs, which apply the 395 Thompson, Goddard, and Morrison schemes, respectively, are compared against each other. 396 Simulated February air temperatures in the inner domain are most sensitive to 1D lake model 397 activation, spectral nudging, and choice of radiation and microphysics schemes. This further 398 supports the conclusion that the benefits of the Morrison combination to air temperatures are 399 primarily linked to the choice of radiation physics.

400

401 Precipitation and snowpack

402 NU-WRF generates excessive over-land precipitation during February 2015 among all 403 simulations. This bias is vast for runs forced with November LSTs (e.g. Nud: +0.95 mm day⁻¹), 404 as erroneously warm lakes support excessive lake-effect precipitation, and moderate for runs with 405 temporally varying LSTs, ranging from +0.21 mm day⁻¹ in ERAINT (using the European Centre 406 for Medium-Range Weather Forecasts interim reanalysis for boundary conditions) and +0.35 mm day⁻¹ in MorrNoL (Fig. 2c). The precipitation RMSD ranges from 0.67 mm day⁻¹ for ERAINT, 407 408 SFC_MYNN, and XUE_2DOM to 1.48 mm day⁻¹ for Nud (Fig. 2d). Compared to NLDAS2, all 409 of the simulations produce excessive snow-water equivalent in the snowpack, with the best results 410 in MorrNoL (+12.3 mm) and MorrL (+13.0 mm) (Fig. 2e,f). Based on air temperature and 411 precipitation statistics of bias, temporal correlation, spatial correlation, and RMSD among the lake 412 model-enabled simulations (by tallying the frequency of a given run outperforming the remaining 413 runs), the best performing runs during February 2015 are MorrL and XUE_2DOM, both applying 414 the Morrison microphysics scheme, and worst are RAGODD and MP3ICE, which apply415 Goddard's radiation and ice microphysics schemes, respectively (Figs. 2-3).

The effects of individual configuration choices on area-average over-land precipitation in
the inner domain during February 2015 are shown in Supplemental Figure 2. Simulated February
precipitation is most sensitive to choice of lateral boundary conditions' dataset, spectral nudging,
and 1D lake model activation.

420

421 Solar radiation

422 All of the runs generate excessive surface insolation in February 2015 (Fig. 2g), suggestive 423 of insufficient cloud cover and atmospheric moisture, perhaps related to deficient lake evaporation 424 or the atmospheric cold bias. As evidence, simulated mean precipitable water across the land 425 within the inner domain is compared against the North American Regional Reanalysis (Mesinger 426 et al. 2006) for February 2015, revealing negative biases of 5-7% for the primary simulations of 427 NudVary, NoNudVary, Nud1D, Nud1Ddep, MorrNoL, and MorrL (not shown). Insufficient 428 atmospheric moisture supports exaggerated nighttime radiational cooling, leading, for example, in 429 February to a 2-m daily minimum temperature bias of -4.5°C over land across the inner domain in 430 Nud1Ddep, exceeding the cold bias of -2.8°C in 2-m daily maximum temperature. During the 431 cold season, the mechanism of radiation cooling due to clear skies dominates over the warming 432 effect of enhanced solar radiation during the season with short sunshine length. This finding is 433 consistent with the study by Dai et al. (1999), which concluded for the study region that the 434 greenhouse warming effect of clouds exceeds the solar cooling effect of clouds in winter. The Morrison combination supports smaller biases in surface insolation of +27.6 W m⁻² in MorrNoL 435 and +28.7 W m⁻² in MorrL compared to the worst bias, +59.1 W m⁻² in RAGODD, thereby 436

437 explaining the higher, more realistic air temperatures simulated with the Morrison combination. 438 The model-versus-observed RMSD is lowest at 26.9 W m⁻² for Nud, with artificially high LSTs 439 enhancing evaporation, atmospheric moisture, and cloud cover; moderate when applying the 440 Morrison combination (MorrNoL: 28.6 W m⁻², MorrL: 29.7 W m⁻²); and highest for RAGODD at 441 60.5 W m⁻² (Fig. 2h).

The effects of individual configuration choices on area-average over-land incoming surface
shortwave radiation in the inner domain during February 2015 are shown in Supplemental Figure
Simulated February insolation is most sensitive to choice of radiation and microphysics scheme.

110

446 <u>Atmospheric moisture</u>

447 Insufficient atmospheric moisture contributes to excessive incident solar radiation, as all 448 of the runs, except for those forced by time-invariant November LSTs, exhibit negative biases in 2-m specific humidity during February 2015, ranging from -0.27 g kg⁻¹ in MorrNoL to -0.52 g kg⁻ 449 ¹ in RAGODD (Fig. 2i). The Morrison combination reduces the humidity dry bias, with a relatively 450 low RMSD of 0.30 g kg⁻¹ in MorrNoL and 0.37 g kg⁻¹ in MorrL. The bias and RMSD in 2-m 451 452 specific humidity are lower in MorrNoL, without the lake model, than in MorrL, with the lake 453 model. Activation of the 1D lake model leads to lower LSTs and excessive ice cover, which 454 reduces lake evaporation in February across the deep lakes, Superior, Michigan, and Huron, leading to a regional decline in 2-m specific humidity and precipitable water. Goddard's radiation 455 456 physics schemes in RAGODD generate lower model-versus-observed temporal correlations for 457 specific humidity and shortwave radiation.

458



461 Among the 20 simulations of February 2015, only eight are extended across November 462 2014-March 2015, namely Nud, NoNud, Nud_Vary, NoNud_Vary, Nud1D, Nud1Ddep, MorrL, 463 and MorrNoL. Analysis of these five-month simulations permits a robust assessment of model 464 performance and the impacts of spectral nudging, seasonally variant LSTs, 1D lake model 465 coupling, spatially varying bathymetry, and Morrison combination. This Great Lakes regional 466 assessment applies four statistical measures per month, namely bias, temporal correlation, spatial 467 correlation, and RMSD, between model output and over-land observations (Tables S2-S5), 468 focusing on 2-m air temperature, precipitation, snow-water equivalent of the snowpack, surface 469 incident shortwave radiation, and 2-m specific humidity (Fig. 4). The effects of spectral nudging 470 are isolated by ((Nud-NoNud)+(Nud_Vary-NoNud_Vary))/2, of seasonally variant LSTs by 471 ((Nud Vary-Nud)+(NoNud Vary-NoNud))/2, of lake model coupling by ((Nud1D-472 Nud_Vary)+(Nud1Ddep-Nud_Vary)+(MorrL-MorrNoL))/3, of spatially varying bathymetry by 473 (Nud1Ddep-Nud1D), and of Morrison combination by ((MorrNoL-Nud_Vary)+(MorrL-474 Nud1Ddep))/2.

475

476 <u>Air temperature</u>

All of the runs, except for Nud and NoNud with time-invariant November LSTs, exhibit an atmospheric cold bias, most notably in February 2015 when the RMSD peaks (Fig. 4a,d). It is hypothesized that the extensive negative bias in daily minimum temperature during February is associated with excessive nighttime radiational cooling (given insufficient atmospheric moisture and clouds) and exaggerated inversion strength in the presence of the most extensive snowpack of the cold season. The Morrison combination substantially reduces this cold bias and associated air

483 temperature RMSD (Fig. 4a,d). The November-March mean bias in 2-m air temperature, 484 compared to Daymet, is reduced in magnitude from -2.55°C in Nud1Ddep to -1.18°C in MorrL, 485 when comparing lake model-enabled runs, and from -1.87°C in NudVar to -0.64°C in MorrNoL, 486 when comparing runs without the lake model, due to the use of the Morrison combination. The 487 near-surface warming effect of the Morrison combination is most distinct over the Canadian portion of the inner domain and more pronounced at nighttime than daytime. Specifically, 488 489 averaged across January-March 2015, the MorrL configuration compared to Nud1Ddep yields a 490 mean increase in minimum 2-m air temperature of +2.1°C and in maximum 2-m air temperature 491 of +0.9°C, thereby reducing the diurnal temperature range (not shown). By coupling NU-WRF to 492 the 1D lake model, the atmospheric cold bias and air temperature RMSD increase due to poorly 493 simulated LSTs and ice cover, and the temporal correlation between simulated and observed daily 494 air temperatures declines (Fig. 4a-d). The November-March mean cold bias is amplified by 0.54°C 495 between MorrNoL and MorrL, with the most notable cooling effect of the lake model noted close 496 to the lakes and a comparable impact on maximum and minimum 2-m air temperatures (not 497 shown). Allowing LSTs to seasonally vary improves the temporal correlations for daily 2-m air 498 temperature and is important for capturing daily variability in air temperature, precipitation, and 499 insolation (Fig. 4b,f,j,n,r).

500

501 Precipitation and snowpack

502 Simulated cold season precipitation is particularly sensitive to seasonally varying LSTs 503 and nudging and less so to microphysics scheme and lake model coupling. Despite improved air 504 temperatures, the Morrison combination modestly reduces the temporal correlations for 505 precipitation (Fig. 4f) and physical snow depth (Table S3). Precipitation RMSD is mostly

506 insensitive to lake model activation (Fig. 4h, e.g. MorrL versus MorrNoL). The constant LST 507 simulations, Nud and NoNud, exhibit excessive January-March lake-effect precipitation and high 508 precipitation RMSD (Fig. 4e,h), while time-variant LSTs in other simulations substantially 509 improve these biases. Due to seasonally variant LSTs, the January-March wet precipitation bias, compared to NLDAS-2, is reduced from +0.64 mm d⁻¹ in Nud to +0.33 mm d⁻¹ in NudVary and 510 from +0.70 mm d⁻¹ in NoNud to +0.40 mm d⁻¹ in NoNudVary (Fig. 4e). Nudging increases the 511 512 spatial and temporal correlations and reduces precipitation RMSD, with increased temporal 513 correlations for all analyzed fields, especially precipitation and physical snow depth (Fig. 4, Table 514 S3). The mean temporal correlation across November-March for precipitation, compared to 515 NLDAS-2, increases from 0.66 in NoNud to 0.77 in Nud and from 0.69 in NoNud Vary to 0.81 516 in Nud Vary, attributed to nudging (Fig. 4f). Based on temporal correlations, simulated daily 517 precipitation exhibits greater consistency with the more accurate NLDAS-2 product (Fig. 4f) than 518 Daymet (Table S3).

519 Compared to NLDAS-2, as the climatological snowpack becomes more extensive in mid-520 late winter across the inner domain, simulated snow-water equivalent exhibits a peak positive bias 521 in February (Fig. 4i) and a peak RMSD in March (Fig. 4l). In fact, the mean error, defined as the 522 absolute value of the bias, peaks in March, largely explained by the growing negative bias in 523 snowpack water content across southern Canada that partly offsets the positive bias across much 524 of the United States' portion of the inner domain. The low temporal correlation between observed 525 and simulated daily snowpack snow-water equivalent in February 2015 (Fig. 4j) is attributed to a 526 regional mismatch over Wisconsin, Michigan, and southeastern Ontario, with an erroneous 527 continued accumulation of snowpack in the model, given the simulated cold bias, when 528 observations reveal that the snowpack was instead seasonally melting and retreating.

529 Several findings regarding simulated snow patterns are consistent across simulations, 530 including model-versus-observed temporal correlations for daily liquid-equivalent snowfall, 531 physical snow depth, and liquid-equivalent snow depth and spatial correlations for physical snow 532 depth and liquid-equivalent snow depth compared to NLDAS-2 and SNODAS (Fig. 4j-k, Tables 533 S3-S4), suggesting relatively lower sensitivity of these snow variables to experimental design. For 534 example, the spatial correlation between simulated and SNODAS-observed daily physical snow 535 depth ranges across experiments from 0.89 to 0.92 in November, 0.82 to 0.89 in December, 0.83 536 to 0.91 in January, 0.82 to 0.88 in February, and 0.83 to 0.89 in March (Table S4). The RMSD in 537 physical snow depth and snow-water equivalent of snowpack is comparable across the runs, as 538 these variables are rather insensitive to model configuration (Table S5). Time-variant LSTs 539 greatly reduce the snowfall RMSD (Table S5) and improve the temporal correlation for snow-540 water equivalent downwind of Lake Superior. Nudging improves the spatial distribution of liquid-541 equivalent snowfall and snow depth and reduces snowfall RMSD (Tables S4-S5). The model 542 evaluation is limited by inconsistencies across observational datasets, especially for liquid-543 equivalent snow depth (Tables S2-S5).

544

545 Solar radiation and atmospheric moisture

The most notable deficiencies in simulated surface insolation are a relatively high RMSD in February and low spatial correlation with observations in March (Fig. 40-p). While the Morrison combination reduces the excess solar radiation bias and RMSD in January-March from +20.8 W m⁻² in Nud1Ddep to +8.9 W m⁻² in MorrL and reduces the specific humidity RMSD, it also weakens the temporal and spatial correlations in solar radiation (Fig. 4m-p,t). Temporal correlations for solar radiation and specific humidity are improved by seasonally varying LSTs
(Fig. 4n,r).

553 In order to elucidate the cause of the atmospheric warming and reduced cold bias due to 554 the application of the Morrison combination (specifically associated with the change in radiation 555 physics packages), the surface energy budget components are computed, averaged over land across 556 the inner domain, for the November-March simulations of Nud1Ddep and MorrL (not shown). 557 The most pronounced mean seasonal changes due to the Morrison combination are an increase in surface downward longwave radiation of +16.7 W m⁻² (MorrL: 246.8 W m⁻², Nud1Ddep: 230.1 W 558 m⁻²) and decrease in surface downward shortwave radiation of -10.5 W m⁻² (MorrL: 97.5 W m⁻², 559 Nud1Ddep: 108.0 W m⁻²). This finding is consistent with an enhancement in atmospheric moisture 560 561 and cloud cover with the Morrison combination.

562

563 Overall performance

564 Monthly statistics of bias, temporal correlation, spatial correlation, and RMSD are 565 computed for an expanded set of 18 variables based on the 8 runs for November 2014-March 2015 566 (Tables S2-S5). Technically, 14 variables (surface albedo, sensible heat flux, latent heat flux, 567 precipitation, surface pressure, physical snow depth, liquid-equivalent snowfall, 2-m specific 568 humidity, surface incident shortwave radiation, liquid-equivalent snow depth, 2-m air temperature, 569 10-m zonal wind, 10-m meridional wind, and 2-m vapor pressure) are assessed, although 570 precipitation, liquid-equivalent snow depth, and 2-m air temperature are compared against 2-3 571 observational datasets each, leading to 18 total comparisons. For each simulation, 360 statistical 572 values are computed, given 4 key statistics, 18 variables, and 5 months, and used to rank the models 573 from 1 to 8. Based on the mean ranking, the best performing simulations are NudVary (with 574 nudging and seasonally varying LSTs) and MorrNoL (with Morrison combination and nudging 575 but no lake model) and worst are NoNud (without nudging, lake model, or seasonally varying LSTs) and NoNudVary (with seasonally varying LSTs but without nudging or lake model). It is 576 577 striking that MorrNoL yields one of the best performances, while MorrL, with the conceptual 578 advantage of including a simple lake model, only produces a moderate performance overall. When 579 restricted to air temperature alone versus Daymet, the best performing runs are Nud, NudVary, 580 and MorrNoL (all without the lake model) and worst are NoNud, Nud1D, and Nud1Ddep. When 581 restricted to precipitation alone versus NLDAS-2, the best runs are Nud1Ddep, NudVary, and 582 Nud1D and worst are NoNud, Nud, and NoNudVary.

583 Often, the simulated inner domain-averaged mean climate is not highly sensitive to 584 modifications in the model configuration, as evident for example by comparing differences in 585 biases between the better performing MorrNoL run and worse performing MorrL run in Tables 586 S2-S5. More pronounced area-averaged differences between MorrNoL and MorrL due to lake 587 model coupling, during November-March, include an 84% amplification in 2-m air temperature 588 bias (versus Daymet) from -0.64°C in MorrNoL to -1.18°C in MorrL and a 69% amplification in 2-m specific humidity bias (versus NLDAS-2) from -0.10 g kg⁻¹ in MorrNoL to -0.17 g kg⁻¹ in 589 590 MorrL. The RMSD in 2-m air temperature increases by 27% from 1.42°C in MorrNoL to 1.81°C in MorrL and in 2-m specific humidity increases by 12% from 0.24 g kg⁻¹ in MorrNoL to 0.27 g 591 kg⁻¹ in MorrL. The most pronounced differences among simulations are noted when the analysis 592 593 focuses on specific months and areas within the inner domain. For example, during January 2015, 594 activation of the 1D lake model from MorrNoL to MorrL leads to 3-6°C lower daily minimum 595 temperatures across the Upper Peninsula of Michigan, reductions in precipitation of 20-40% 596 downwind of Lake Superior and 10-30% downwind of Lake Huron, 50% increases in precipitation downwind of Lake Ontario, and 5-20% enhancement in surface insulation across the state ofMichigan (not shown).

599 Nudging improves spatial and temporal correlations and reduces the RMSD for many 600 fields, such as by decreasing a simulated low-pressure bias over Canada and improving the 601 temporal correlation for daily air pressure. NoNud generates poor temporal correlations given the 602 lack of large-scale nudging. Often the highest temporal correlations are achieved by applying both 603 nudging and Global Data Assimilation System-provided LSTs instead of the lake model. The 604 Morrison combination improves the bias and RMSD of many fields, particularly by dampening 605 the cold bias, but at the expense of weaker temporal correlations for multiple fields (Tables S2-606 **S5**). When activating the Morrison combination, performance statistics are generally improved 607 for wind and air temperature (less drift from lateral boundary condition fields) but deteriorated for 608 precipitation and physical snow depth (variables not present in the lateral boundary conditions).

609

610 Daily climate variability

611 The probability density functions of daily November-March 2-m air temperature and 612 precipitation, averaged over land in the inner domain, are contrasted between the eight simulations 613 and Daymet for temperature and NLDAS-2 for precipitation (Fig. 5). For the runs with seasonally 614 varying LSTs (either with or without lake model coupling), the model generates too many very 615 cold days with daily means below -20°C, especially in January-March (Fig. 5a,c); the biases are 616 most pronounced on the cold side of the probability density function. Lake model coupling permits 617 more frequent very cold days below -20°C, as excessive ice cover restricts the lakes' wintertime 618 warming influence on the atmosphere. The probability density function of daily mean air 619 temperature is sensitive to the Morrison combination, which reduces the cold day frequency and 620 increases the warm day frequency, and to temporally varying LSTs, which impose the opposite 621 effect (Fig. 5a,c).

622 The model produces too few dry days and too many heavy precipitation days (Fig. 5b). 623 The probability density function of daily precipitation is sensitive to the Morrison combination, 624 which further deviates the probability density function from observations by reducing the dry day 625 frequency and increasing the days with drizzle, and to seasonally varying LSTs, which shift the 626 probability density function closer to observations by increasing the dry day frequency and 627 decreasing the number of days with drizzle (Fig. 5b,d). Nudging decreases the frequency of very 628 wet days, more like observations (Fig. 5b,d).

629

630 c. Spatial assessment of model performance and configuration impacts

631

632 Air temperature

633 The discussion now shifts from an area-averaged assessment of model performance and 634 the impacts of model configuration to a spatial assessment of simulated 2-m air temperature versus 635 Daymet and simulated precipitation, liquid-equivalent snowpack, surface incident shortwave 636 radiation, and 2-m specific humidity versus NLDAS-2 (Figs. 6-10). The model exhibits a regional 637 cold bias during the cold season that is present as long as LSTs seasonally evolve beyond the 638 relatively mild initial November state (Fig. 6). The air temperature bias is sensitive to time-variant LSTs, the Morrison combination, and lake model coupling and largely insensitive to spatially 639 640 varying bathymetry and nudging (Fig. 6k-o). The Morrison combination substantially reduces the 641 cold bias, holding it to below -2°C at most locations, although lake model activation somewhat 642 dampens these benefits (Fig. 6i,j). The atmospheric cooling induced by the 1D lake model, and

its LST and ice cover biases, is mostly confined to the basin (Fig. 6m), on the order of 0.5-1.5°C, and coincides with atmospheric drying, enhanced pressure, and higher stability. The remaining areas of notable cold bias in excess of 2°C in MorrL (Fig. 6j) are downwind and in close proximity to the lakes and result from excessive ice cover and diminished heat fluxes from the lakes to the overlying atmosphere.

648

649 <u>Precipitation and snowpack</u>

650 During November 2014-March 2015, the observed and simulated precipitation was lowest 651 across Minnesota, Iowa, and Wisconsin and highest across Maryland, Virginia, West Virginia, and 652 also central Ontario (Fig. 7a-b). Despite the consistency in the simulated versus observed spatial 653 patterns of precipitation, all of the simulations produce excessive precipitation across the United 654 States' portion of the inner domain, especially during January-March (Fig. 7c-j). The percent bias 655 in MorrL precipitation is greatest over southeastern Ontario and Wisconsin. The fixed, artificially 656 elevated LSTs (held fixed at the November values throughout the entire cold season simulation) 657 in Nud and NoNud support excessive lake-effect precipitation (Fig. 7c-d,l). The Morrison 658 combination slightly exaggerates the cold season wet bias (Fig. 70). Nudging, lake model use, and 659 heterogeneous bathymetry minimally impact the mean precipitation patterns (Fig. 7k,m,n). The 660 near-shoreline features in Figs. 7-8 are not likely due to NLDAS-2's relatively coarse resolution 661 as they are largely present in the higher resolution Daymet data.

662 Compared to NLDAS-2, the model generates excessive liquid-equivalent snow depth 663 across much of the United States' portion of the inner domain but too little over central-southern 664 Ontario (Fig. 8c-j), consistent with its precipitation biases (Fig. 7c-j). As evidence of this 665 consistency, the spatial correlation between November-March mean biases in liquid-equivalent 666 snow depth and precipitation across the inner domain in MorrL is 0.70 (N=186,880 grid cells). The wet bias in precipitation is identified across 71% of the inner domain and in liquid-equivalent 667 668 snow depth is identified across 76% of the inner domain, further supporting consistency between 669 the variables' biases. The Morrison combination generally reduces the snow-water equivalent, 670 which improves the United States' biases but worsens biases over Ontario (Fig. 80). We surmise 671 systematic differences in lake-effect snowstorms between the Upper and Lower Great Lakes, with 672 widespread broad coverage events dominating the former region versus single-band long lake axis 673 parallel bands frequent in the latter region (Kristovich and Steve 1995). Despite the lakes' pivotal 674 role in regulating snowfall, lake model activation minimally impacts the spatial pattern and biases 675 in liquid-equivalent snowpack (Fig. 8m). Seasonally varying LSTs permit more reasonable 676 snowpack downwind of the lakes by reducing the excess bias in Nud and NoNud but favor 677 excessive liquid-equivalent snow depth across much of the remaining inner domain (Fig. 81). 678 Nudging dramatically impacts liquid-equivalent snow depth across southern Canada, the Upper 679 Midwest, and Northeast United States, especially by reducing its negative bias across Ontario (Fig. 680 8k).

681

682 Solar radiation and atmospheric moisture

Both NU-WRF and NLDAS-2 exhibit a northwest-to-southeast gradient in surface incident shortwave radiation during November 2014-March 2015 (Fig. 9a-b). Most of the simulations produce excessive solar radiation, although the Morrison combination substantially reduces this bias, especially across the United States' portion of the inner domain (Fig. 9i-j,o). Spatially varying bathymetry, lake model coupling, and nudging minimally impact this insolation bias (Fig.

31

9k,m,n). Temporally varying LSTs, beyond November's initial state, favor reduced cloud cover
and atmospheric moisture and greater surface insolation (Fig. 9l).

690 Inconsistent with the positive precipitation bias, all of the runs with seasonally varying 691 LSTs, whether applying a lake model or not, exhibit a cold-season dry bias in 2-m specific 692 humidity (Fig. 10a-j), suggesting that the lakes are insufficient simulated sources of atmospheric 693 moisture. The Morrison combination reduces the specific humidity dry bias (Fig. 10o). When 694 applying persistent November LSTs, the artificially warm lakes in Nud and NoNud generate 695 excessive evaporation and specific humidity (Fig. 101). While the area-averaged November-March 696 positive precipitation bias may seem inconsistent with the negative specific humidity bias and 697 positive surface insolation bias (Fig. 4), spatial maps (Figs. 7, 9-10) reveal that the excessive 698 precipitation, for example in MorrL, is mostly confined over the United States' portion of the inner 699 domain while the deficient humidity and excessive solar radiation are mostly confined over 700 southern Canada.

Simulated biases in precipitable water and surface insolation are largely consistent during January-March, as evident by a spatial correlation of -0.69 across the inner domain in MorrL (not shown). Across the vast majority of the inner domain, especially downwind of the Great Lakes, insufficient precipitable water (at least partly linked to insufficient lake evaporation from overly icy lakes) leads to excessive surface insolation, with the exception isolated to the southwestern inner domain over Wisconsin, Minnesota, Iowa, and Illinois, where biases are positive for precipitable water and negative for solar insolation (now shown).

708

709 <u>Temporal correlations</u>

710 The model-versus-observed temporal correlation is computed by month during November 711 2014-March 2015, averaged across months, and plotted (Fig. 11) from MorrL for the following 712 daily, over-land variables: surface pressure, 10-m meridional wind, 10-m zonal wind, 2-m specific 713 humidity, 2-m air temperature, snowpack water equivalent, snow depth, surface albedo, 714 precipitation, surface incident shortwave radiation, sensible heat flux, and latent heat flux. These 715 variables are generally listed in order of strongest to weakest correlations across the inner domain. 716 For fields related to pressure, wind, specific humidity, and air temperature, which are among the 717 variables provided through the lateral boundary conditions, temporal correlations exceed 0.8 for 718 nearly the entire inner domain (Fig. 11a-e). In contrast, the model is less successful in reproducing 719 the observed variability in surface insolation and turbulent fluxes (Fig. 11j-l). The precipitation 720 temporal correlation is notably lower downwind of Lake Huron (Fig. 11i), although observational 721 uncertainty is higher there due to limited station observations.

722

723 d. Model assessment of LST and ice cover

724 The LST time series during November 2014-March 2015 is assessed for the three extended 725 runs that include a coupled 1D lake model, namely Nud1D, Nud1Ddep, and MorrL, compared 726 with the Great Lakes Surface Environmental Analysis (Fig. 12). All three runs produce cold LST 727 biases across the five lakes, ranging from -0.8°C for Erie to -1.6°C for Michigan in Nud1D, from 728 -1.4°C for Superior to -1.7°C for Michigan in Nud1Ddep, and from -1.2°C for Superior to -1.6°C 729 for Michigan in MorrL (Fig. 12). LST biases for Superior are least in MorrL and for Erie are least 730 in Nud1D. The simulated initiation of fall turnover (when LST drops to 4°C) occurs too early. 731 The observed date ranges from 27 November for Superior to 6 January for Ontario, while the 732 simulated date in MorrL occurs in November for all five lakes (Fig. 12). Some of this apparent

733 simulated cold lake bias is partly explained by the inherent warm bias of the Great Lakes Surface 734 Environmental Analysis product due to insufficient satellite retrievals during prolonged cloudy 735 periods in the autumn-winter. The temporal correlation between observed and simulated LSTs is 736 lowest for Superior, ranging from 0.80 in Nud1D to 0.91 in MorrL, and highest for Ontario, 737 ranging from 0.97 in Nud1D to 0.98 to MorrL. The LST RMSD is generally lowest for Ontario, 738 ranging from 1.62°C in MorrL to 1.71°C in Nud1Ddep, and highest for Erie, ranging from 1.45°C 739 in Nud1D to 2.35°C in Nud1Ddep. Spatially varying bathymetry reduces the RMSD for Superior's 740 LST by about 10% but increases it for Erie by roughly 60% (Fig. 12a,e), as evidence of the 741 difficulty of tuning a simple 1D lake model to perform well for both deep and shallow lakes.

742 NU-WRF coupled to the 1D lake model generates excessive ice cover compared to the 743 Great Lakes Environmental Research Laboratory - Great Lakes Ice Cover Database (Fig. 13). This 744 five-month mean bias in lake-average ice cover is modest for Erie, ranging from -1.7% in Nud1D 745 to +7.4% in Nud1Ddep, and pronounced for Superior, ranging from +27.5% in MorrL to +39.9%746 in Nud1D. The model-versus-observed temporal correlation in daily ice cover is lowest for 747 Superior, ranging from 0.63 in Nud1D to 0.80 in MorrL, and highest for Erie, ranging from 0.91 748 in Nud1Ddep to 0.97 in Nud1D (Fig. 13). The ice cover RMSD is relatively modest for Erie, 749 ranging from 11.1% in Nud1D to 20.3% in Nud1Ddep, and vast for Superior, ranging from 35.6% 750 in MorrL to 50.8% in Nud1D. MorrL displays the lowest biases and RMSD and highest temporal 751 correlations in ice cover, with the Morrison combination supporting higher, more realistic air and 752 water temperatures. Lake Erie rapidly transitioned from a nearly ice-free state to almost full ice 753 cover during January 2015, which was captured by the model in terms of rate, magnitude, and 754 approximate timing (Fig. 13e). Lake Ontario underwent pronounced daily ice cover fluctuations, 755 with an average observed day-to-day variation of 3.2%, while the model produces an overly

smoothed time series with insufficient daily variations of 1.1% in Nud1D and 2.0% in MorrL (Fig. 13c); the model's excessively extensive and thick ice cover is inadequately sensitive to air temperature and wind speed variations. In NU-WRF, Superior ices up about 1-2 months too early and unrealistically remains mostly ice covered for much of the cold season (Fig. 13a). The results reinforce the limitations of using 1D lake models to simulate deep lakes' conditions.

761

762 e. Model assessment of over-lake conditions

763 The time series of five over-lake variables, namely 2-m air temperature, surface incident 764 downward solar radiation, 10-m wind speed, sensible heat flux, and latent heat flux, is contrasted 765 between eight simulations (NoNud_Vary, Nud_Vary, NoNud, Nud, Nud1D, Nud1Ddep, 766 MorrNoL, and MorrL) and Great Lakes Evaporation Network measurements for November 2014-767 March 2015 (Figs. 14-15). The analysis focuses on Stannard Rock (45.83°N, 85.15°W), Spectacle 768 Reef (45.77°N, 84.15°W), Granite Island (46.72°N, 87.40°W), Long Point (42.57°N, 80.05°W), 769 and White Shoal (45.83°N, 85.15°W), with results for Stannard Rock graphically presented (Fig. 770 14) for focused discussion. Model performance is best for MorrNoL and worst for Nud1D when 771 considering all five over-lake variables, five Great Lakes Evaporation Network sites, five months, 772 and eight simulations.

An over-lake atmospheric cold bias is simulated at all sites when averaged across the fivemonth period, but most notably in January-March (Fig. 14a,d,g,j,m). Nudging and seasonally varying LSTs reduce this bias, yet lake model activation greatly amplifies it. While coupling NU-WRF to the 1D lake model permits inclusion of key lake-atmosphere interactions, it results in worse air temperature simulations than using Global Data Assimilation System skin temperatures as lake surface boundary conditions. Averaged among the Great Lakes Evaporation Network sites,

the Morrison combination reduces the atmospheric cold bias by roughly 1/4th when the lake model 779 780 is active. Regarding Stannard Rock's over-lake air temperature simulation, the bias ranges from -781 7.0°C in Nud1D to -1.7°C in MorrNoL, temporal correlation ranges from 0.87 in MorrL to 0.97 in 782 NudVary, and RMSD ranges from 2.4°C in MorrNoL to 8.4°C in Nud1D, indicating better 783 performance without the lake model (Fig. 14a,d,g,j,m). The MorrL-simulated over-lake conditions 784 are more consistent with the Great Lakes Evaporation Network observations, in terms of bias, 785 temporal correlation, and RMSD at Long Point (bias=-3.6°C) on Lake Erie and White Shoal (-3.5°C) on Lake Michigan and least consistent at Stannard Rock (-4.4°C) on Lake Superior. 786

787 Likely related to insufficient lake-effect-induced atmospheric moisture and cloud cover, 788 NU-WRF produces excessive over-lake shortwave radiation (Fig. 14b,e,h,k,n). At Stannard Rock, the bias ranges from -0.3 W m⁻² in NoNud to +37.2 W m⁻² in Nud1D, temporal correlation ranges 789 from 0.46 in NoNud to 0.76 in MorrNoL, and RMSD ranges from 31.3 W m⁻² in Nud to 46.3 W 790 791 m^{-2} in Nud1D. The Morrison combination reduces the excessive over-lake shortwave bias by 40% 792 when the lake model is active. The simulated over-lake wind speeds are too weak compared to 793 the Great Lakes Evaporation Network observations. Stannard Rock's bias in 10-m wind speed ranges from -3.6 m s⁻¹ in Nud1D to -1.5 m s⁻¹ in Nud and temporal correlation ranges from 0.76 in 794 795 NoNudVary to 0.82 in NudVary.

The Great Lakes Evaporation Network dataset provides valuable insights into over-lake turbulent fluxes, applied here to evaluate NU-WRF's credibility. NU-WRF produces insufficient turbulent fluxes over Lakes Superior (Granite Island and Stannard Rock) and Huron (Spectacle Reef), coinciding with the greatest underestimation of near-surface wind speeds, and excessive turbulent fluxes over shallow Lake Erie (Long Point) (Figs. 14-15). Compared to observed sensible heat fluxes at Stannard Rock, the model bias varies from -70.9 W m⁻² in Nud1D to -15.4

| 802 | W m ⁻² in NoNudVary, temporal correlation varies from 0.19 in Nud1Ddep to 0.75 in MorrNoL, |
|-----|---|
| 803 | and RMSD varies from 49.9 W m ⁻² in MorrNoL to 109.4 W m ⁻² in Nud1Ddep (Fig. 15a,c,e,g,i). |
| 804 | Temporally varying LSTs reduce the sensible heat flux bias from Nud and NoNud. Lake model |
| 805 | coupling leads to sensible heat fluxes that are insufficient over Superior and excessive over Erie. |
| 806 | Compared to observed latent heat fluxes at Stannard Rock, the bias ranges from -90.5 W m ⁻² in |
| 807 | Nud1D to +12.3 W m ⁻² in NoNud, temporal correlation ranges from 0.21 in Nud1Ddep to 0.68 in |
| 808 | Nud, and RMSD ranges from 84.8 W m ⁻² in Nud to 119.0 W m ⁻² in Nud1D (Fig. 15b,d,f,h,j). |
| 809 | Simulated LH fluxes (Fig. 15) are insufficient over Superior and Huron given excessive ice cover |
| 810 | (Fig. 13). |

811

812 **4. Discussion and conclusions**

813

814 The 3-km NU-WRF ensemble for the Great Lakes Basin for November 2014-March 2015 815 yields the following conclusions regarding model performance and impacts of parameterization 816 selection.

Consistent with studies by Bonan (1995), Lofgren (1997), and Notaro et al. (2013a), the Great
Lakes impose a pronounced influence on cold season climate across the surrounding states.
Accurate lake representation is critical to correctly simulate the Midwest and Northeast United
States' climatology.

• NU-WRF has an intrinsic atmospheric cold bias across the Great Lakes Basin during the cold season, as also noted in WRF by Mallard et al. (2014) and D'Orgeville et al. (2014). As noted here and by Mallard et al. (2014), coupling WRF to a 1D lake model amplifies the cold atmospheric bias due to LST and ice cover biases. The Morrison combination helps alleviate the atmospheric
cold bias (likely by enhancing cloud cover and downward longwave radiation), consistent with
Mooney et al. (2013) and D'Orgeville et al. (2014) who conclude that the RRTM longwave
radiation scheme, MYNN boundary layer scheme, and Morrison's microphysics scheme improve
winter air temperature simulations.

829 NU-WRF generates excessive cold season precipitation, with too few dry days and too many 830 heavy precipitation days. Mallard et al. (2014) likewise identified a WRF wet bias in this region, 831 extending across the entire annual cycle. Furthermore, the region's cold season wet bias emerged in WRF experiments by D'Orgeville et al. (2014) and Sharma et al. (2019); the latter study 832 833 determined that WRF failed to produce enough cold-season dry days, as also seen here. The 834 simulated wintertime excessive precipitation bias in the Great Lakes region is not restricted to 835 WRF, as Basile et al. (2017) identified the same persistent bias in all 12 examined Coupled Model 836 Intercomparison Project Phase Five models and all 10 examined North American Regional 837 Climate Change Assessment Program regional climate models. The cause of this regional bias 838 across models remains uncertain, although Basile et al. (2017) hypothesized that observed 839 wintertime precipitation measurements in this region might suffer significantly from gauge error 840 associated with solid phase precipitation and wind-induced undercatch (Legates and Willmott 841 1990). In fact, based on data presented by Adam and Lettenmaier (2003), the mean precipitation 842 catch ratio for the Great Lakes region for November-March is only 76%, such that correcting the 843 NLDAS-2 precipitation with this catch ratio would greatly amplify the actual observed 844 precipitation rates and eliminate the apparent NU-WRF-simulated wet bias. NU-WRF-simulated 845 surface insolation is excessive in the region, and despite the positive precipitation bias, low-level 846 specific humidity is insufficient; the Morrison combination helps reduce the solar radiation biases. 847 This finding is consistent with WRF studies by Martínez-Castro et al. (2019), which found that the

Morrison scheme better resolved convective cloud features, and Orr et al. (2018), which found that the Morrison scheme improved cloud cover and reduced excessive surface incident shortwave radiation. Here, the Morrison combination improves most performance statistics related to wind and air temperature yet degrades the simulated precipitation.

852 NU-WRF's cold season precipitation across the Great Lakes Basin is sensitive to seasonally varying LSTs and nudging and mostly insensitive to microphysics scheme and 1D lake model 853 854 coupling. Likewise, Nicholls et al. (2017) and Lim et al. (2020) found that the choice of cloud 855 microphysics scheme did not substantially impact precipitation distribution and intensity for 856 United States nor'easters or Korean snowstorms, respectively. While Conrick et al. (2015) found 857 a large sensitivity of WRF-simulated precipitation to boundary layer scheme during a single lake-858 effect snowstorm, this sensitivity is minimal when averaged in space and time across the Great 859 Lakes Basin for the current paper's month-long simulations (e.g. comparing Nud1Ddep with the 860 Yonsei University boundary layer scheme, PBLMYJ with the Mellow-Yamada-Janjic boundary 861 layer scheme, and SFC_MYNN with the Mellor-Yamada-Nakanishi-Niino boundary layer 862 scheme).

• The present study demonstrates the benefits of spectral nudging, which increases the modelversus-observed temporal correlations for all analyzed fields, particularly precipitation and physical snow depth. Prior WRF studies have produced a spectrum of detrimental to beneficial impacts from spectral nudging, including degraded United States precipitation simulations by Bowden et al. (2012, 2013), Otte et al. (2012), and Spero et al. (2014); relative insensitivity of simulated United States' precipitation amounts to spectral nudging strength by Bullock et al. (2014); and reduced East Asian temperature and precipitation biases by Ma et al. (2016) and Tang et al. (2017). Here, nudging improves the spatial patterns of snowfall and snow depth, including
reducing Ontario's negative bias in liquid-equivalent snow depth.

• Alexandru et al. (2009) and Glisan et al. (2013) expressed concern that strong nudging can reduce or filter out extreme meteorological events by pushing a regional climate model towards a smoother large-scale atmospheric state. Here, spectral nudging reduces the cold-season frequency of heavy precipitation days, although this modification of the probability density function of daily precipitation increases the consistency with observations.

• Model-versus-observed temporal correlations during the cold season are typically highest for pressure, wind, specific humidity, and air temperature, likely due to these variables' inclusion in the lateral boundary conditions and spectral nudging, and lowest for surface incident shortwave radiation and over-land turbulent fluxes. These findings are consistent with WRF studies by Mooney et al. (2013) and Boulard et al. (2016), which identified higher temporal correlations with observations for air temperature, precipitation, and wind speed and lower correlations for humidity and shortwave radiation.

884 Fall turnover initiates too early in the model, leading to a wintertime cold LST bias, as also • 885 noted by Mallard et al. (2014) using WRF coupled to a 1D lake model. The model-versus-observed 886 temporal correlation in LST is highest for Ontario and lowest for Superior and in percent ice cover 887 is highest for Erie and lowest for Superior. Lake Superior's ice season initiates 1-2 months too 888 early in NU-WRF coupled to the 1D lake model. Prior studies have concluded that 1D lake models 889 perform best for shallow lakes (Martynov et al. 2010; Samuelsson et al. 2010; Bennington et al. 890 2014; Mallard et al. 2014), with inferior results for deep Superior. Mallard et al. (2014) determined 891 that the LST and ice cover performance of WRF coupled to the Freshwater Lake Model was best 892 for Erie and worst for Superior, with excessive Superior ice cover. Here, the inferior performance

of the 1D lake model in NU-WRF over deep Lake Superior generally leads to the greatest biases
in LST, ice cover, over-lake air temperature, and lake evaporation among the Great Lakes.

The Morrison combination improves ice cover biases, RMSD, and temporal correlations by
 dampening the atmospheric model's regional cold bias and supporting more realistic cold season
 LSTs.

• NU-WRF coupled to the 1D lake model underpredicts cold season evaporation over Lakes 899 Superior and Huron, related to excessive ice cover, cooler-than-observed water temperatures, and 900 insufficient wind speeds.

901 Based on comparison of NU-WRF simulations coupled to the 1D lake model with either fixed ٠ 902 50-m uniform lake depths (Nud1D) or spatially variable lake depths (Nud1Ddep), use of a constant 903 50-m lake depth for all lake grid cells, as commonly done in earlier generations of lake models, 904 leads to substantial impacts over, and in close proximity to, the lakes, but not much impact when 905 averaged across the inner domain. Uniform lake depth results in 1-2.5°C higher LSTs on shallow 906 Lake Erie (actual mean depth=19 m) in mid-November to early January and over 0.5°C lower 907 LSTs on deep Lake Superior (actual mean depth=147 m) in late November to mid-December. 908 Furthermore, uniform lake depth leads to 20-90% less ice cover on Lake Erie during early to mid-909 January, with a delayed onset of the ice season, and 10-30% greater ice cover on Lake Superior. 910 In response to these LST and ice cover responses to uniform 50-m lake depths, over-lake turbulent 911 fluxes are greatly enhanced over Lake Erie, with November-March mean sensible and latent heat 912 fluxes at Long Point increased by 20.6 and 14.6 W m⁻², respectively, but only modestly impacted 913 over Lake Superior. The enhanced turbulent fluxes over Lake Erie support 30-60% greater 914 precipitation over and downwind of the lake during January 2015. These findings regarding the

915 impacts of uniform versus spatially varying lake bathymetry on LST and ice cover are highly916 consistent with the results of Qiu et al. (2020).

917 While NU-WRF's coupling to a 1D lake model is a critical achievement for representing 918 lake-atmosphere interactions and their role in climate change, the 1D lake model degrades many 919 aspects of the simulated regional climate. However, the authors do not recommend that climate 920 modelers proceed without inclusion of a representation of lake physics in their models. Rather, 921 further efforts are needed to incorporate 3D lake models into high-resolution regional climate 922 models to improve spatio-temporal patterns of LST, ice cover, and lake-atmosphere interactions. 923 As a result of this modeling need, the authors developed an advanced modeling tool for large lake 924 basins, consisting of NU-WRF, with nested domains down to 3-km, interactively coupled to the 925 Finite Volume Community Ocean Model [this ocean model, run offline by Fujikasi-Manome et al. 926 (2017), successfully simulates over-lake turbulent fluxes] to represent 3D lake hydrodynamics.

927

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