

First Principles Assessment Materials for Direct Energy Conversion

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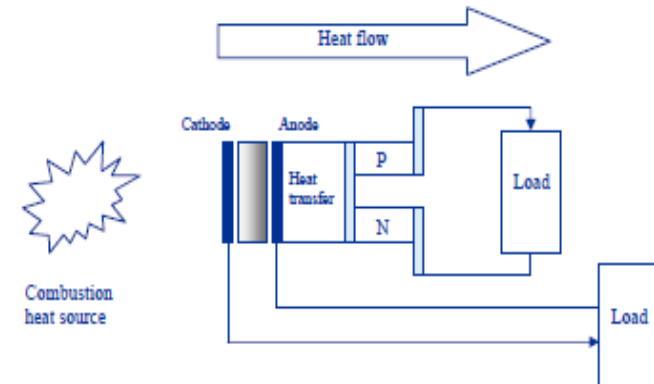
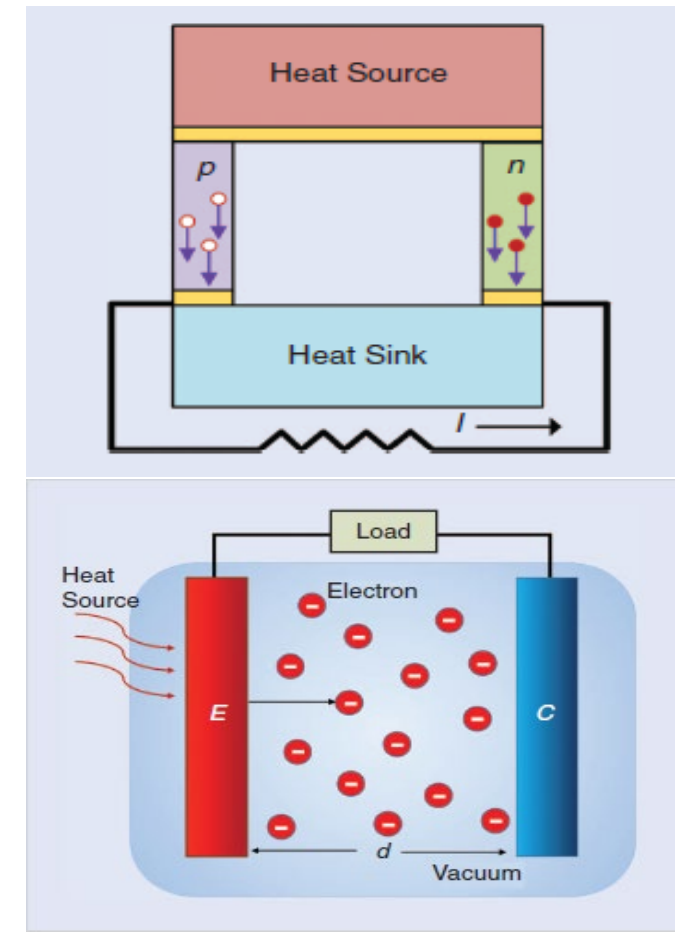
National Aeronautics and Space Administration

Glenn Research Center

Cleveland, Ohio

Concept Overview

- Solid state energy harvesting heat from gas turbine engine or radioisotope decay sources offers potential for thermal to electrical energy conversion for aeronautical and space applications.
- Thermoelectric and thermionic material advances offer new opportunities for greater efficiencies.
- Combined they have potential of highly efficient conversion $\sim 50\%$



Characteristics for a desirable thermoelectric material

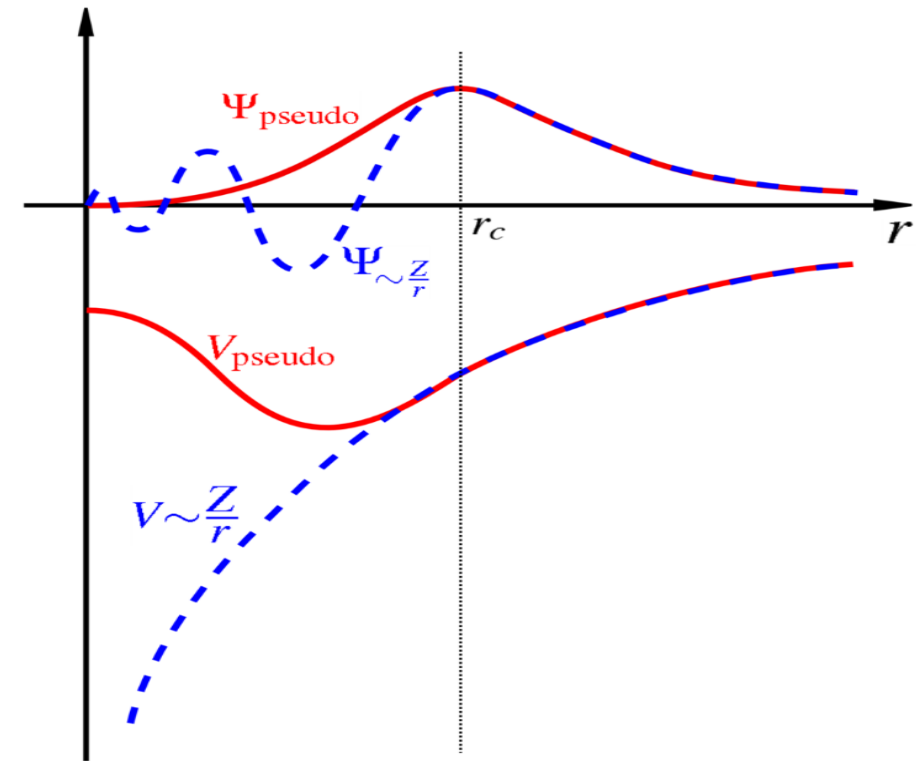
- Seebeck Coefficient $\sim 100 \mu\text{V/K}$
- Electrical Resistivity $10^{-2} \text{ Ohm}\cdot\text{cm}$
- Thermal Conductivity $\sim 1.0 \text{ W/m}\cdot\text{K}$
- Electronic Band Gap -must be greater than zero
- High Temperature Capability

Computational Basis

Kohn–Sham Equation

$$\left[\frac{-\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + V(x) \right] \underbrace{\psi(x)}_{\text{Wave function}} = \underbrace{E}_{\text{Energy}} \underbrace{\psi(x)}_{\text{Wave function}}$$

Hamiltonian operator



Computing Methods

- **Materials Design -MedeA Vienna Ab-initio Simulation Package (better known as VASP),**
- **BIOVIA-Materials Studio- 6. CA**mbridge Serial Total Energy Package (better known as **CASTEP**)
- **Quantum ESPRESSO opEn-Source Package for Research in Electronic Structure, Simulation, and Optimization.**

Complex Oxide – Based Pyrochlore $A_2B_2O_7$

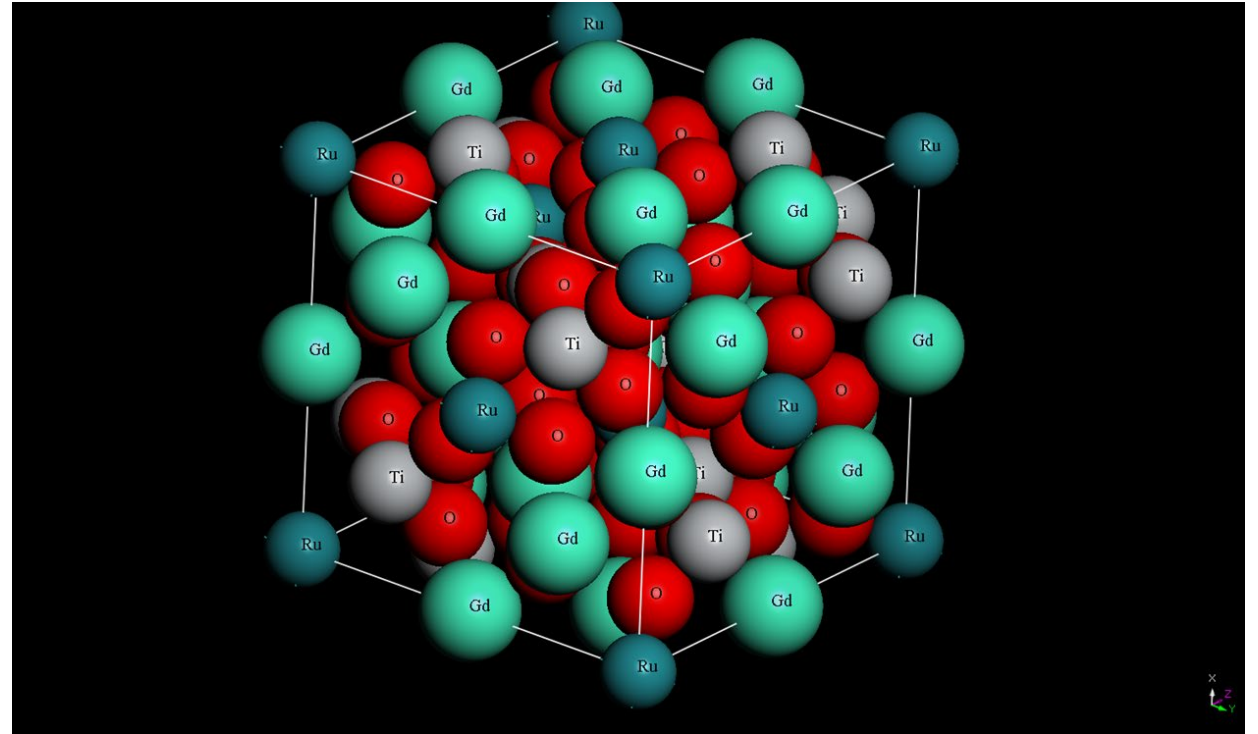
Gd_2RuTiO_7 :

mixed cation at B-site $A_2 (B^{x+}, B^{y+}) O_7$

Gd_2RuTiO_7

Crystal Structure

- Space group: $Fd\bar{3}m$
- Gd, Ru, and Ti: chains || x- and y-axis
- Two types of Gd atoms
- O atoms at center of metal tetrahedra
- Octahedral coordination of metal atoms

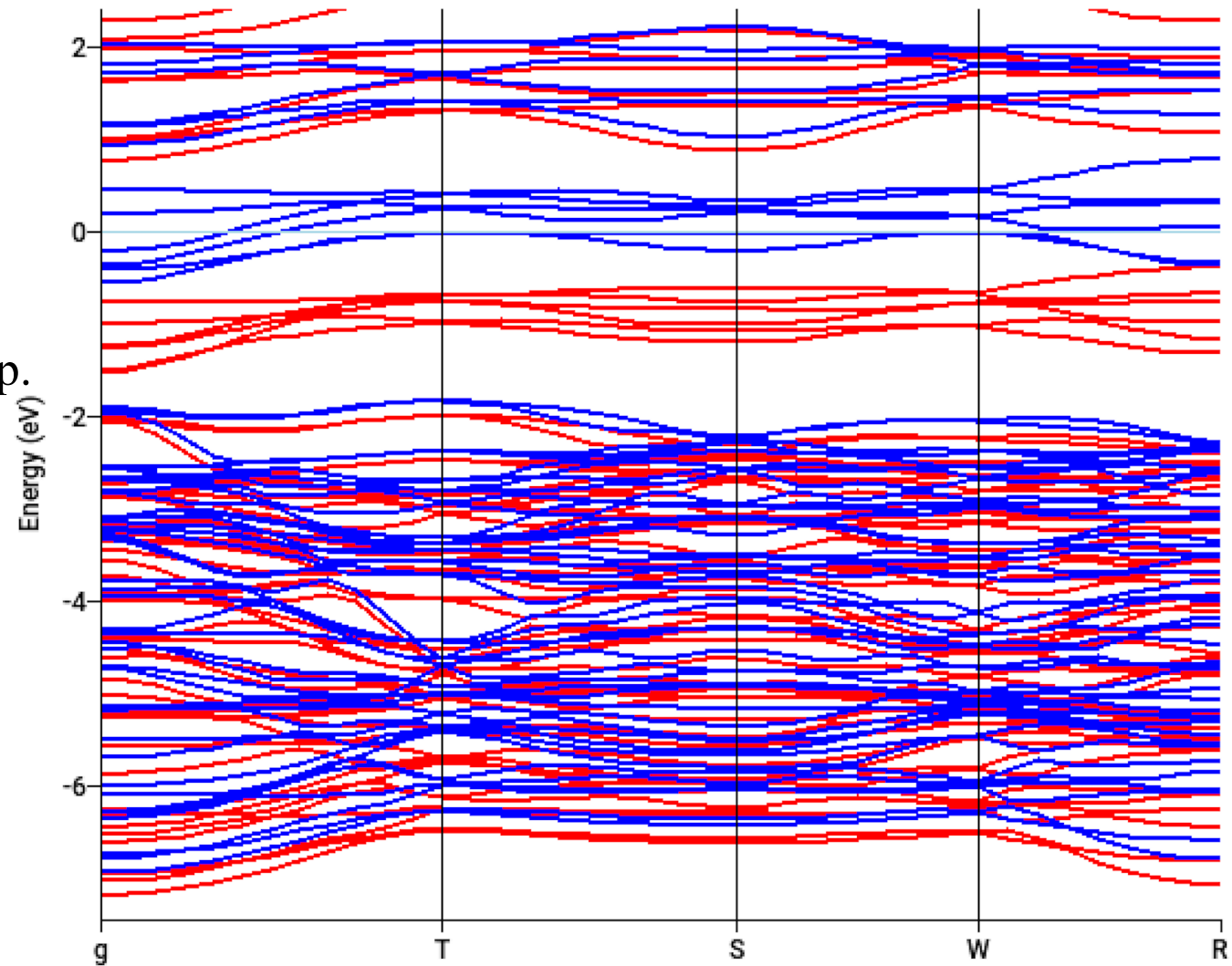


Cell parameters (units Ang)

Parameter	Original	Change	Final	% Change
-----	-----	-----	-----	-----
a	7.239	0.009196	7.248	0.1
b	7.191	0.027895	7.219	0.4
c	7.239	0.009196	7.248	0.1
alpha	60.218	-0.086097	60.132	-0.1
beta	60.610	-0.318938	60.291	-0.5
gamma	60.218	-0.086097	60.132	-0.1

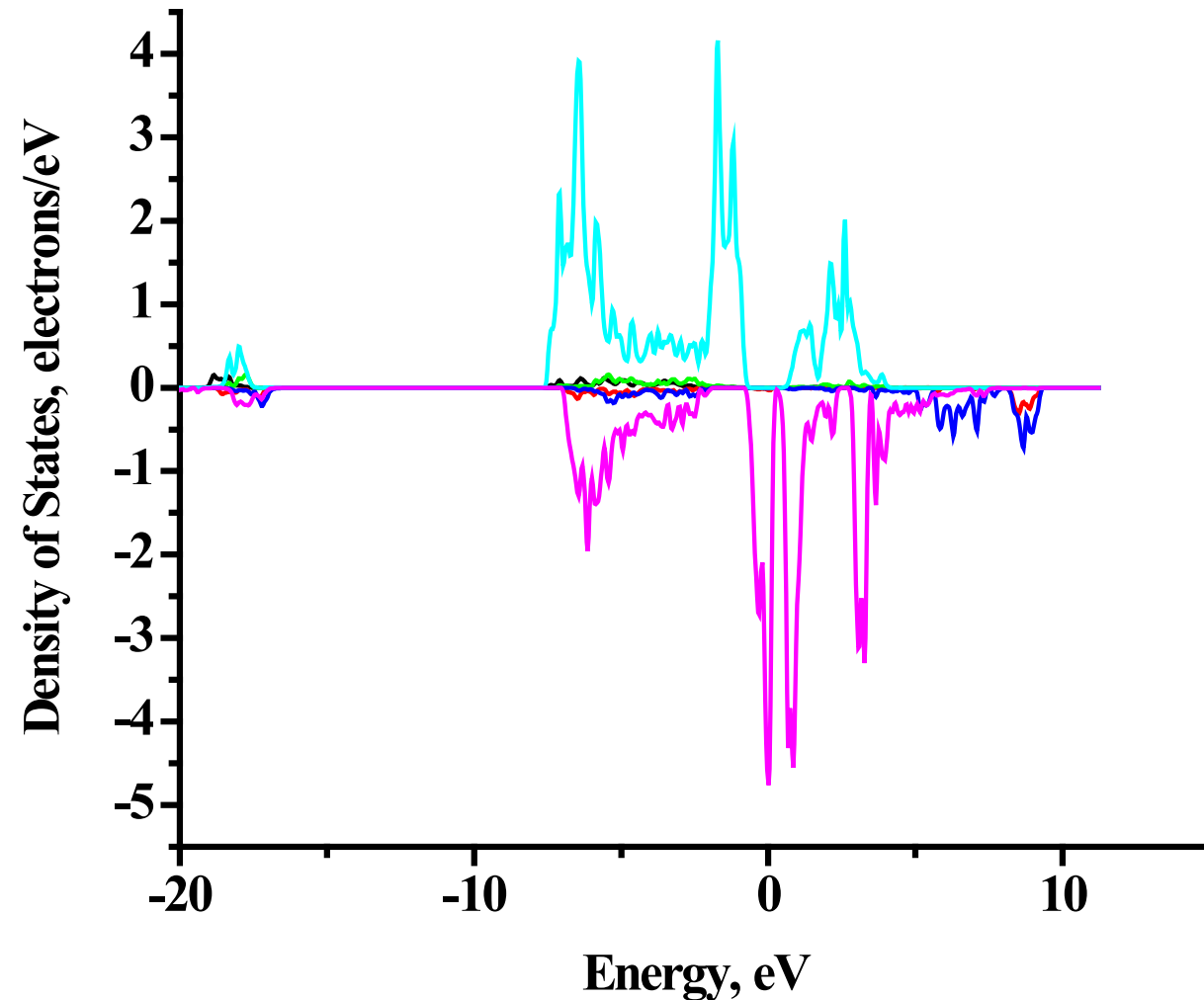
Calculated Electronic Band Structure: $\text{Gd}_2\text{RuTiO}_7$

- Half-metallic ferromagnet (like, e.g. CrO_2).
- Ru 4d bands establish the Fermi level and band gap.
- Hybridized Ru 4d + O 2p Orbitals.



Calculated Electronic Partial Density of States

- Ruthenium [Kr] 4d⁷ 5s¹
- Bands for spin “down” are metallic.
- Bands for spin “up” are semiconducting.
- Indirect band gap of 0.9 eV.



Metric of Thermoelectric Performance

ZT – Dimensionless number of how good a material functions as a thermoelectric.
(ZT ~ 0.1 to 2.0)

$$\mathbf{ZT} = \frac{\mathbf{S^2 \sigma T}}{\mathbf{\kappa}}$$

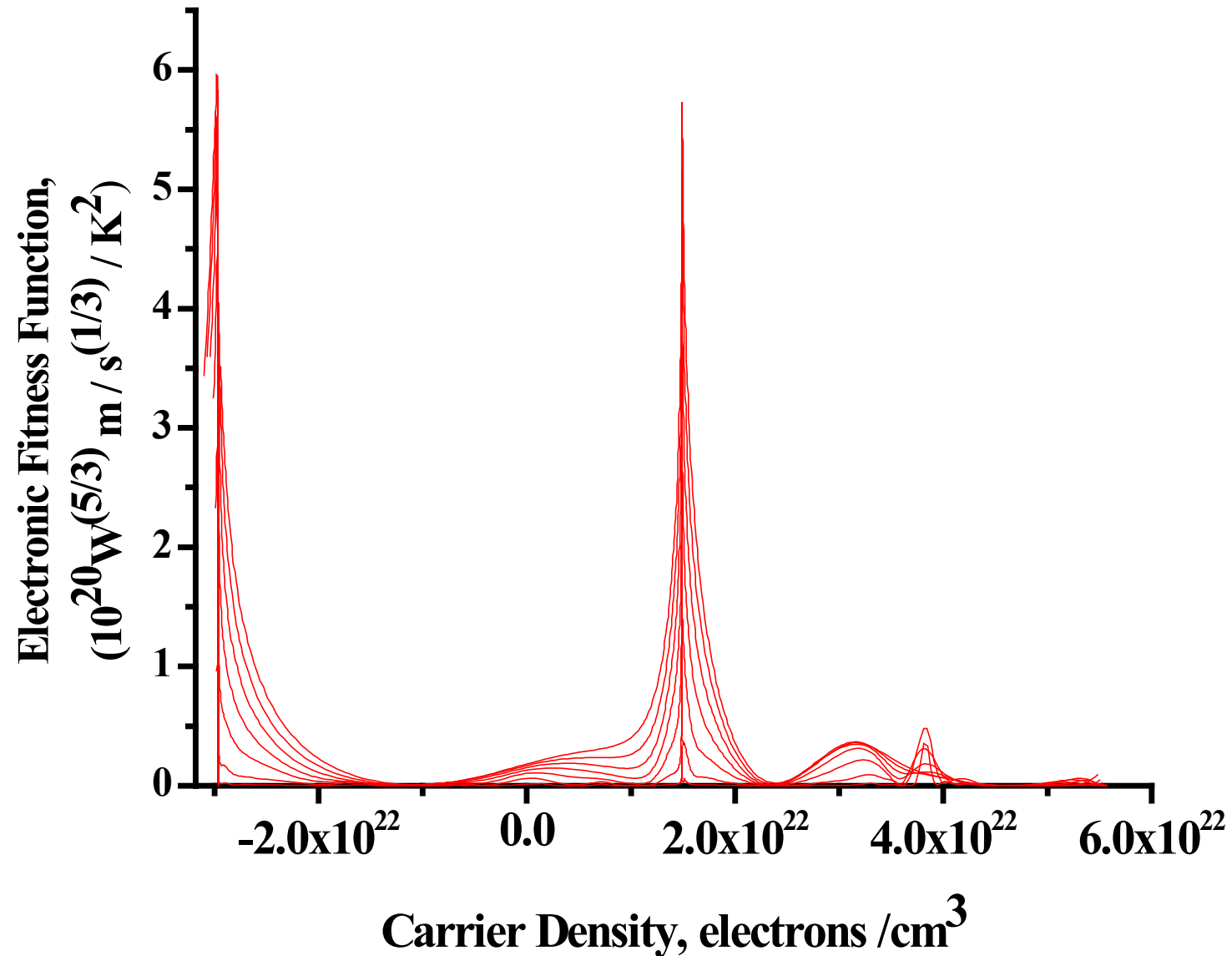
EFF – Electronic Fitness Function describes electronic aspect of thermoelectric material.

$$\mathbf{EFF} = \frac{\left(\frac{\sigma}{\tau}\right) S^2}{N^{\frac{2}{3}}}$$

Electronic fitness function for screening semiconductors as thermoelectric Materials. Singh, et.al. PHYSICAL REVIEW MATERIALS 1, 065405 (2017)

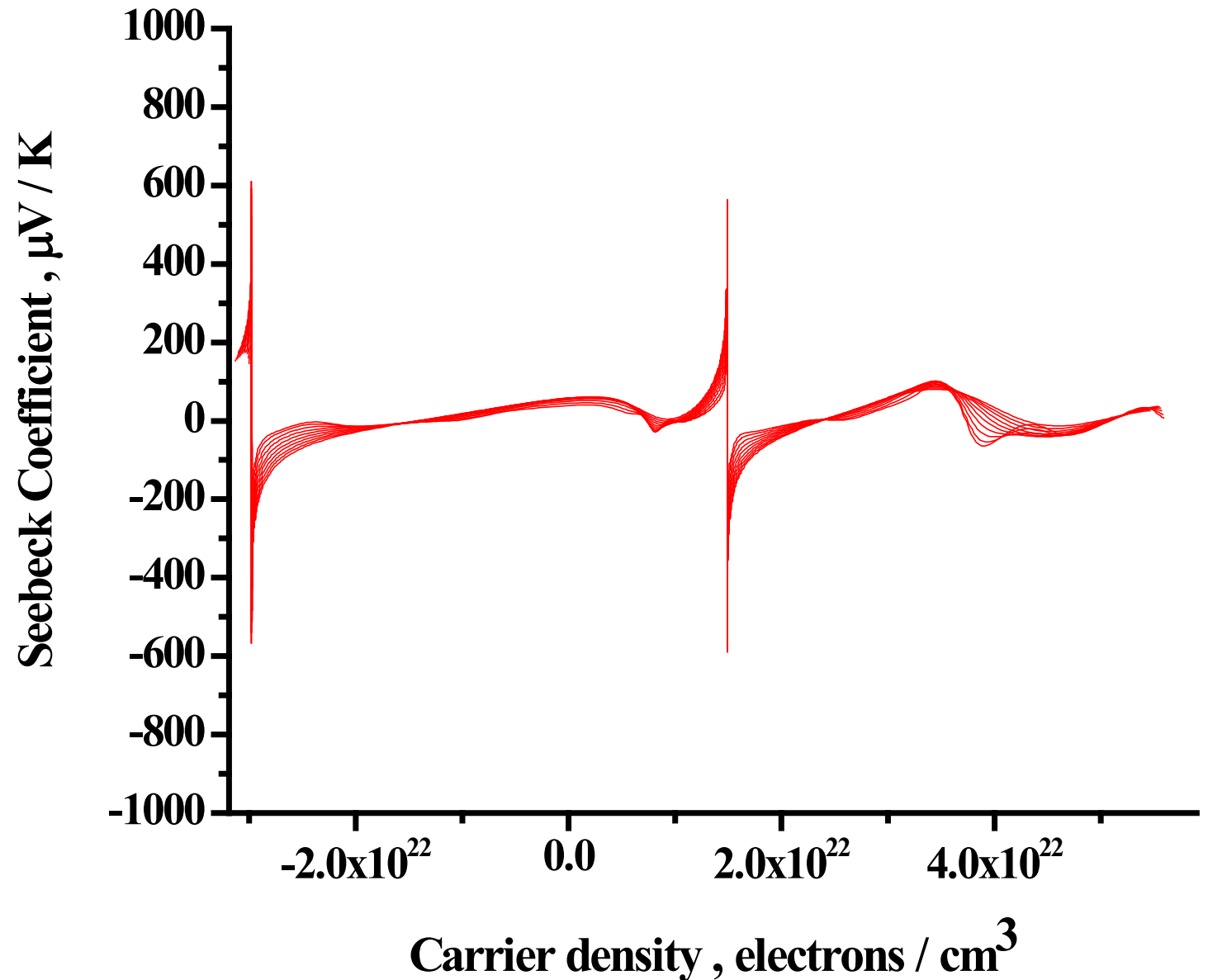
Isothermal Electronic Fitness Functions: $\text{Gd}_2\text{RuTiO}_7$

- $\text{Gd}_2\text{RuTiO}_7$ may not be a good thermoelectric.
- However dopants may increase performance.
- Injection of either p-holes or n-electrons may improve things.



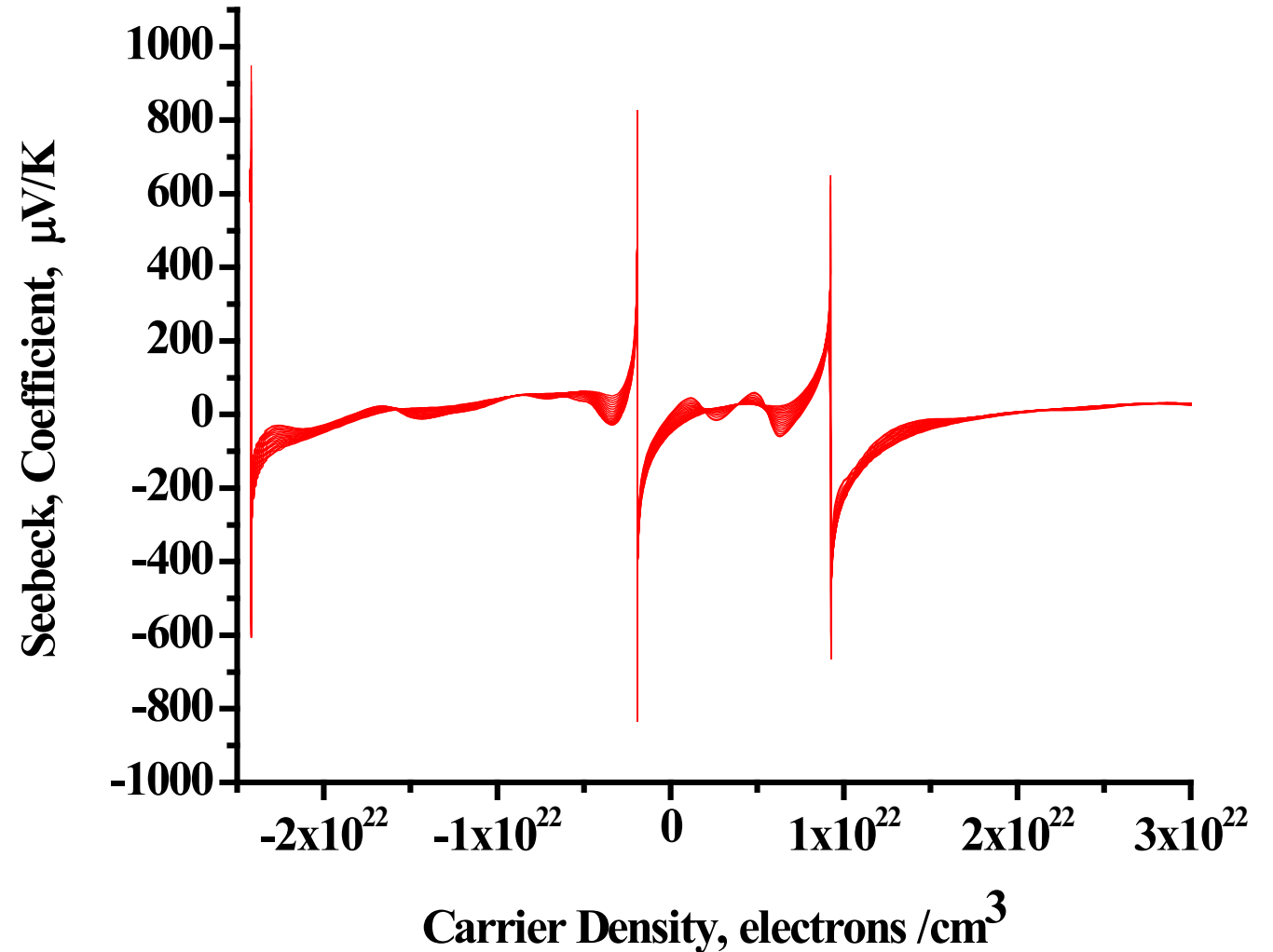
Isothermal Seebeck Coefficient: $\text{Gd}_2\text{RuTiO}_7$

- Duality in band structure.
- Possible p-type thermoelectric
- Temperature = [250:650] K

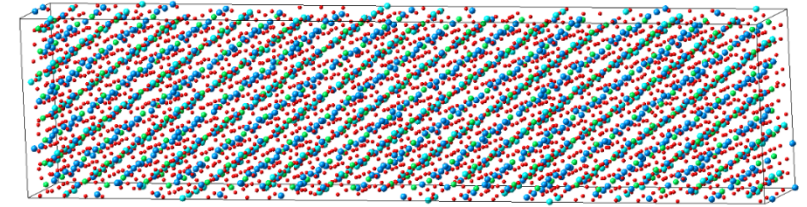
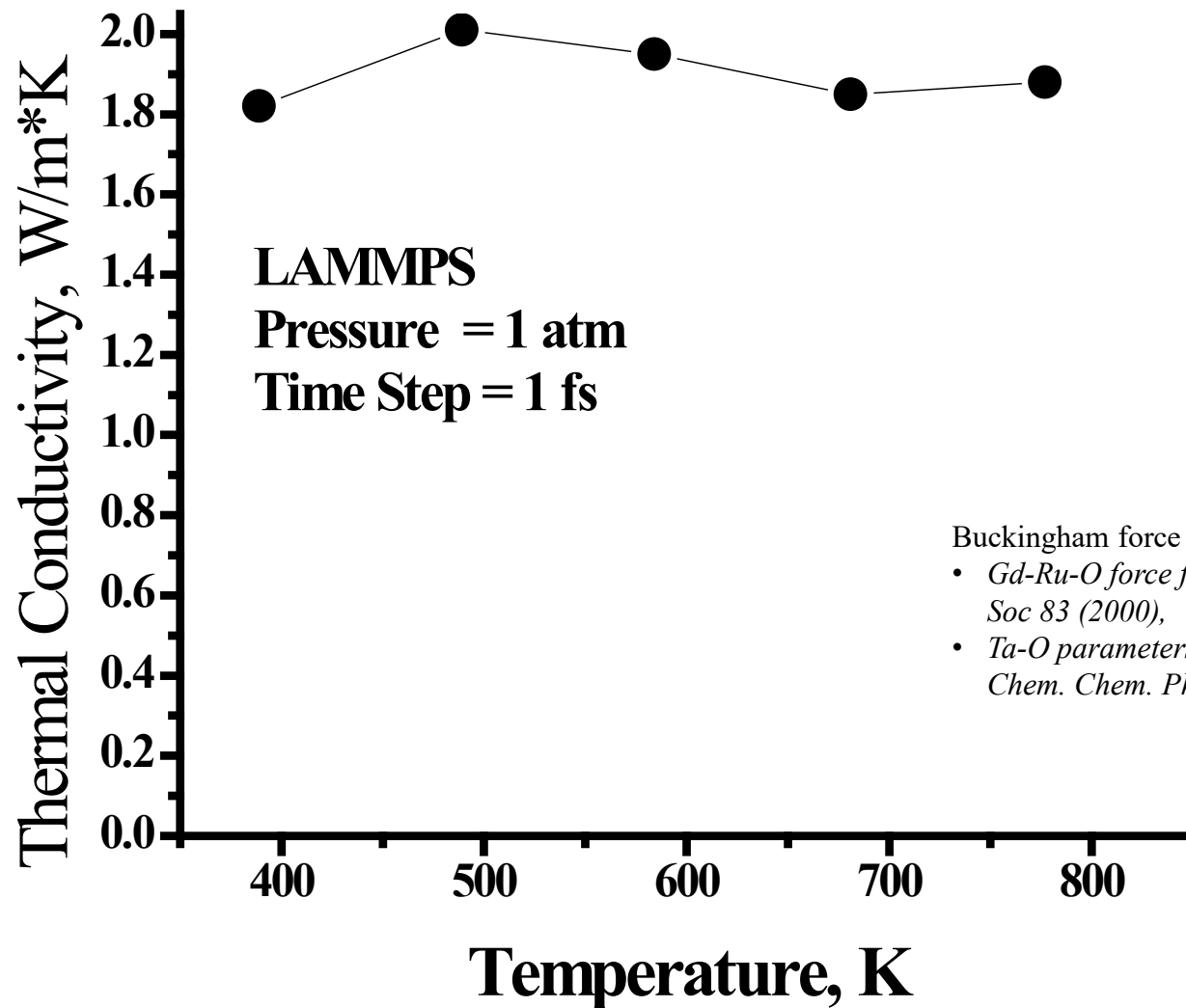


Isothermal Seebeck Coefficient: $\text{Gd}_2\text{RuNbO}_7$

- Niobium [Kr] $4d^45s^1$
- Possible p-type dopant
- Temperature = [250:650] K



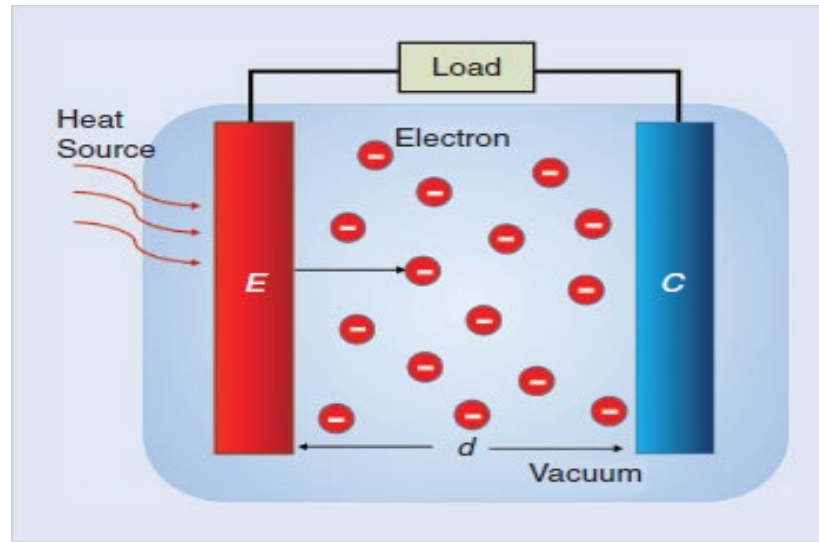
Molecular Dynamic Computational Results: Large-scale Atomic/Molecular Massively Parallel Simulator (LAMMPS)



Buckingham force field:

- *Gd-Ru-O force field parameters came from Minervini, RW Grimes, KE Sickafus J Am Ceram Soc 83 (2000),*
- *Ta-O parameters came from S.M.Woodley, P.D.Battle, J.D.Gale and C.R.A.Catlow Phys. Chem. Chem. Phys., 1, 2535-2542 (1999).*

Thermionic Emission



$$J = AT^2 e^{-\left(\frac{E_w}{KT}\right)}$$

Ceramic Emitter: Strontium Vanadate (SrVO_3)

Compound candidate material for emitter synthesis:

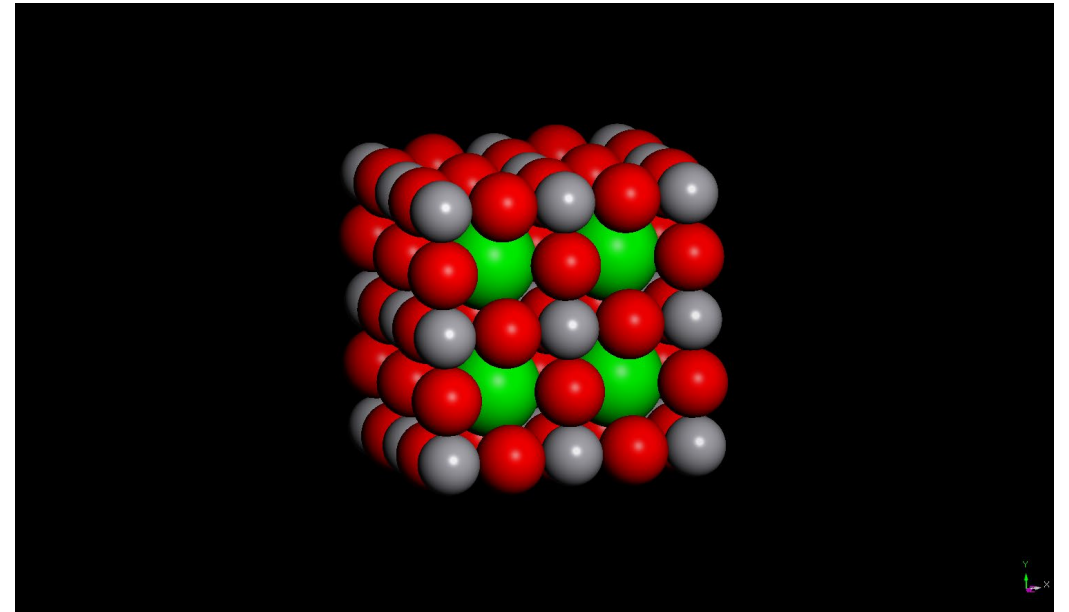
Low Intrinsic work function predicted to be 2.609 eV.

Density: 5.449 g/cm³

Analysis of the electronic structure:

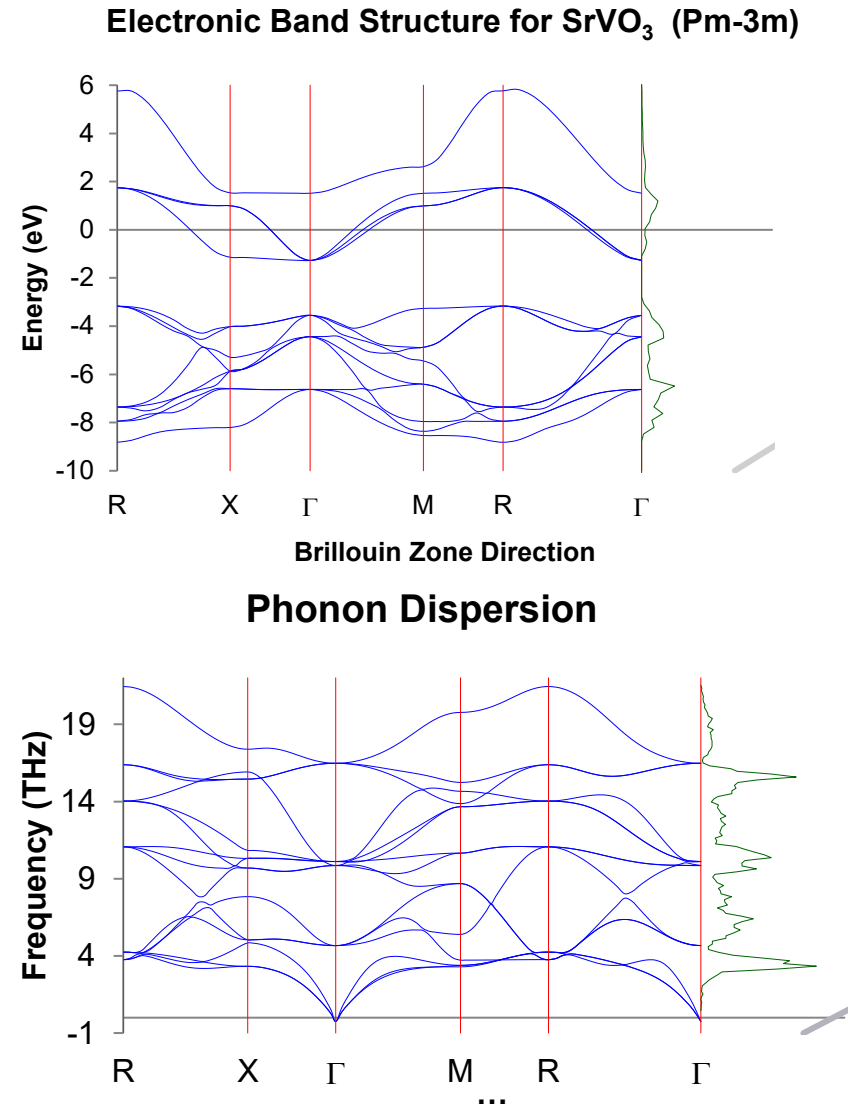
The system is a metal.

The Fermi energy is located in the band interval (#21 - #25) spanning the energy interval (-3.870:-1.721)eV with respect to the Fermi level.

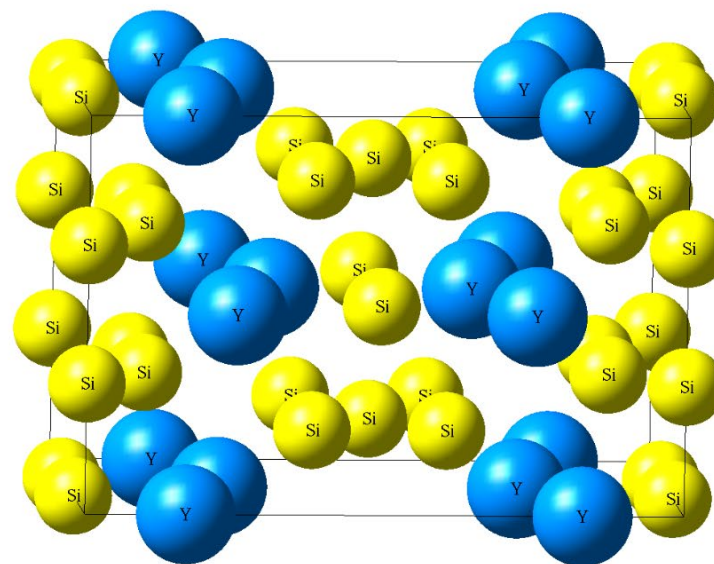
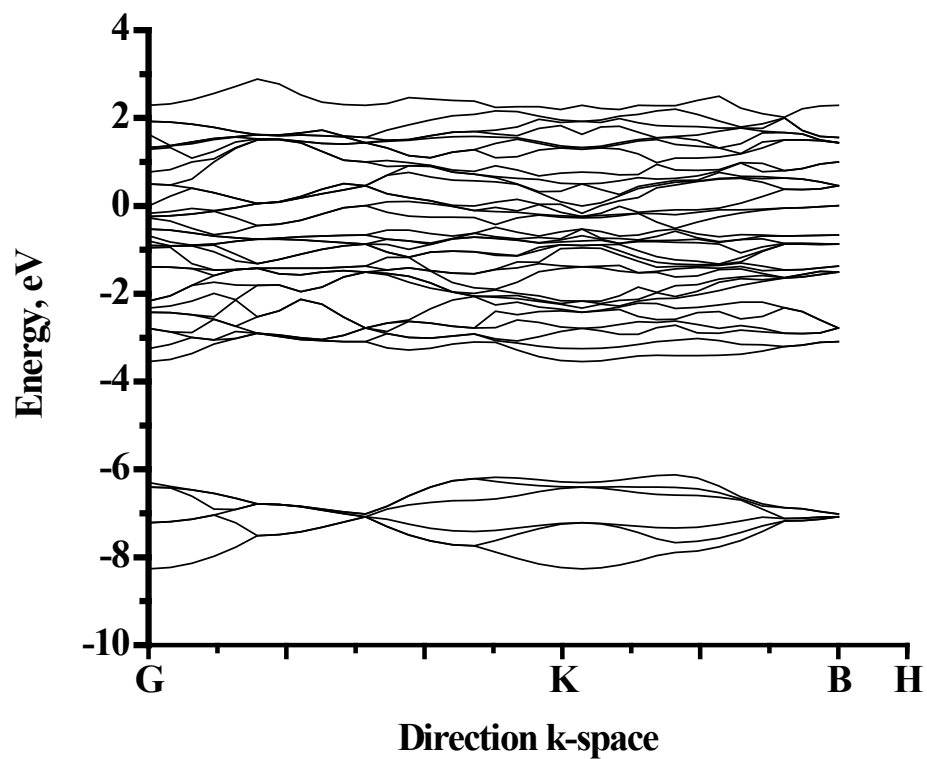


Calculated Electronic and Phonon Characteristics : Strontium Vanadate (SrVO_3)

- Electronic band structure which is metallic in nature. Hence a good candidate as an emitter
- Phonon dispersion curves predict stable cubic crystal structure
- Lattice parameter 7.725 Angstroms

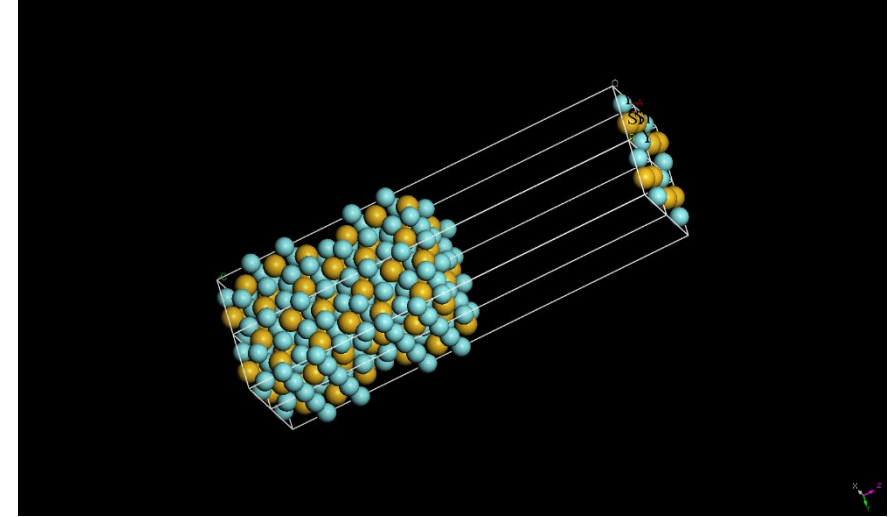


Intermetallic Emitter: Yttrium Trisilicide (YSi_3)

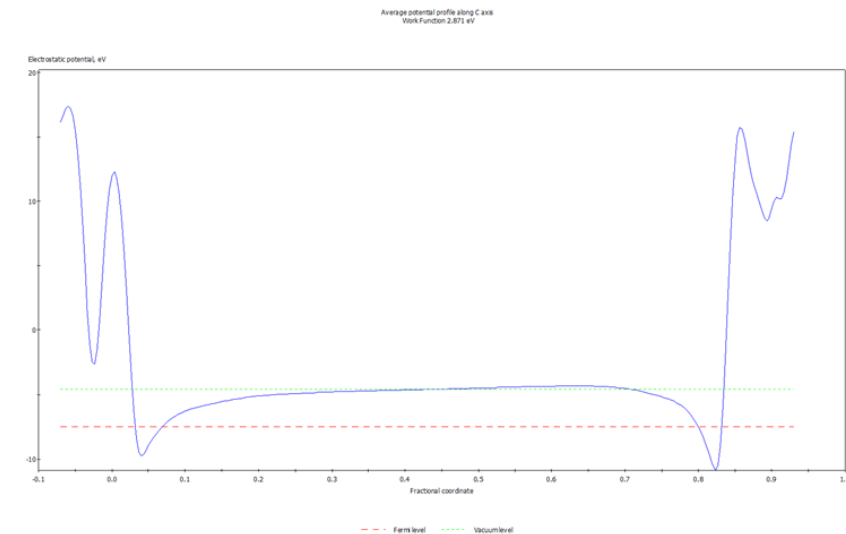
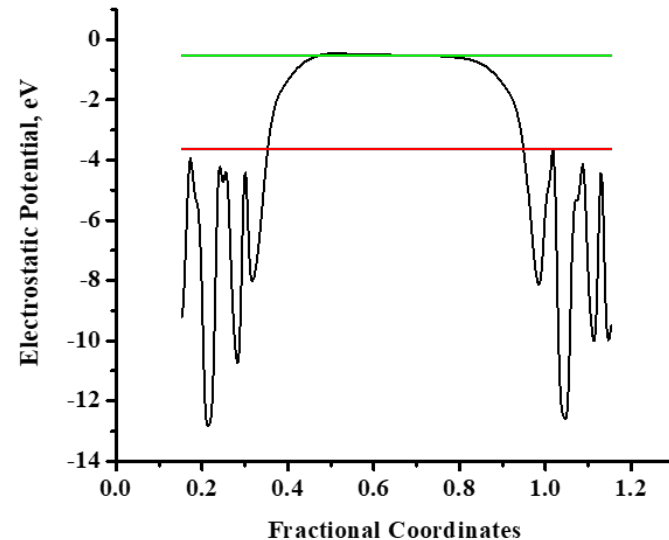


Work Function Calculation: Yttrium Trisilicide (YSi_3)

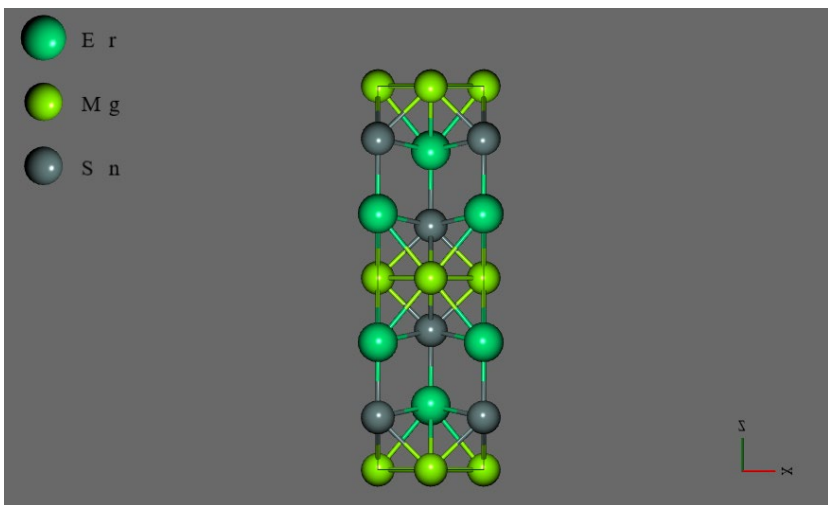
- Constructed in a slab geometry surface (001) atomic planes separated by a vacuum layer.
- Compare values of the Fermi energy and the electrostatic potential in a vacuum.



- Addition of 10 % carbon results in a reduction of the work from 3.14 eV to 2.87 eV
- Reduced work function means lower temperature for operation.

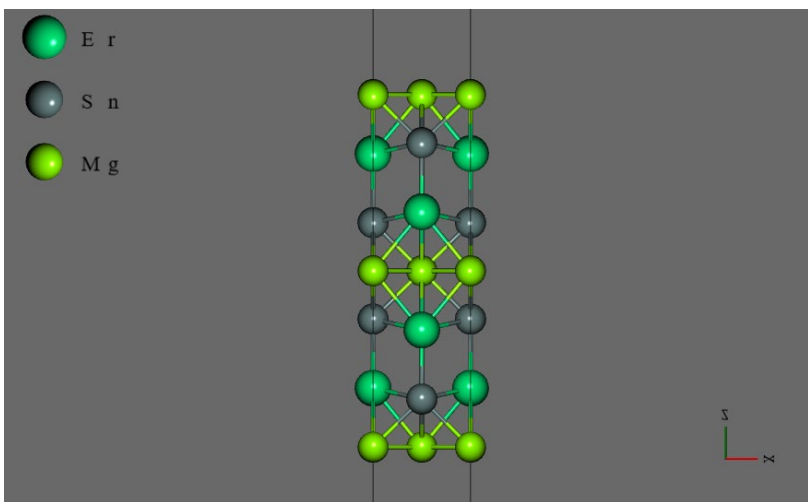


Electride Emitter: Erbium-Magnesium-Tin (ErMgSn)



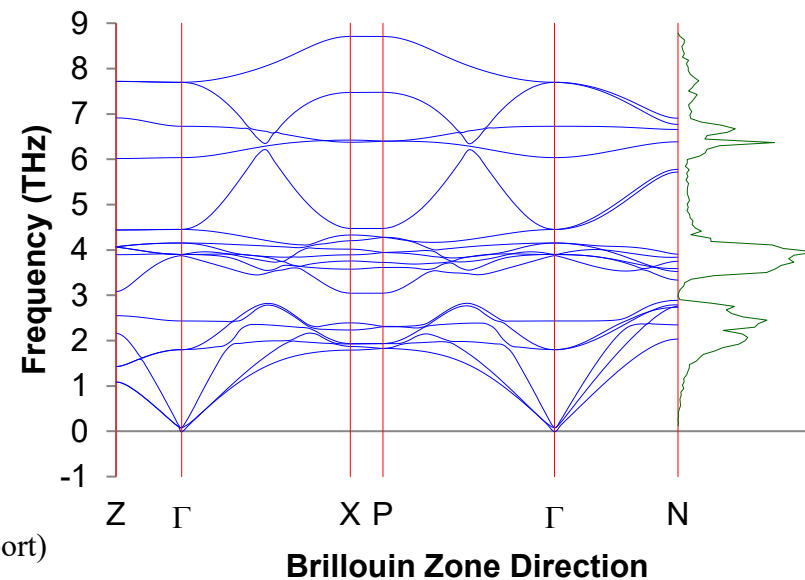
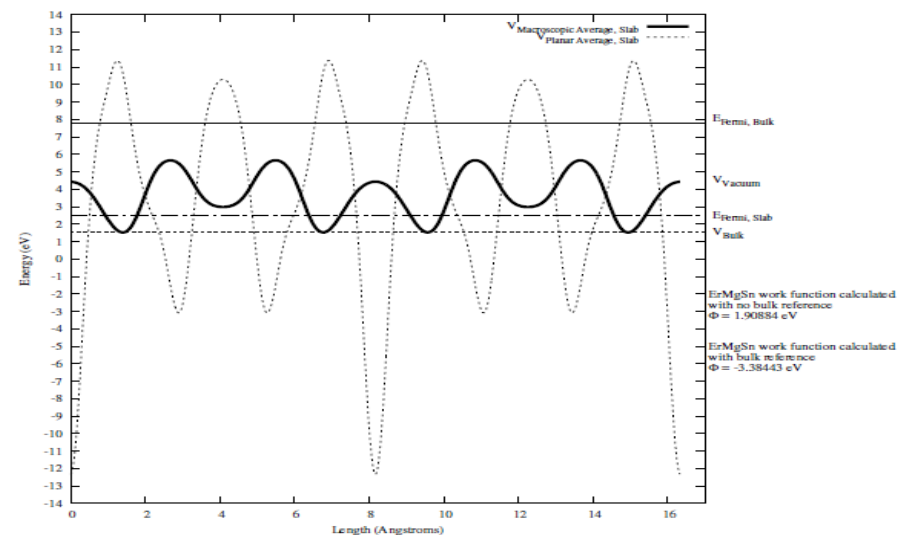
Bulk

Work function prediction:
1.91eV along the z-axis



Slab/cleaved
surface

Cleaved surface (001) direction with vacuum
between the surfaces

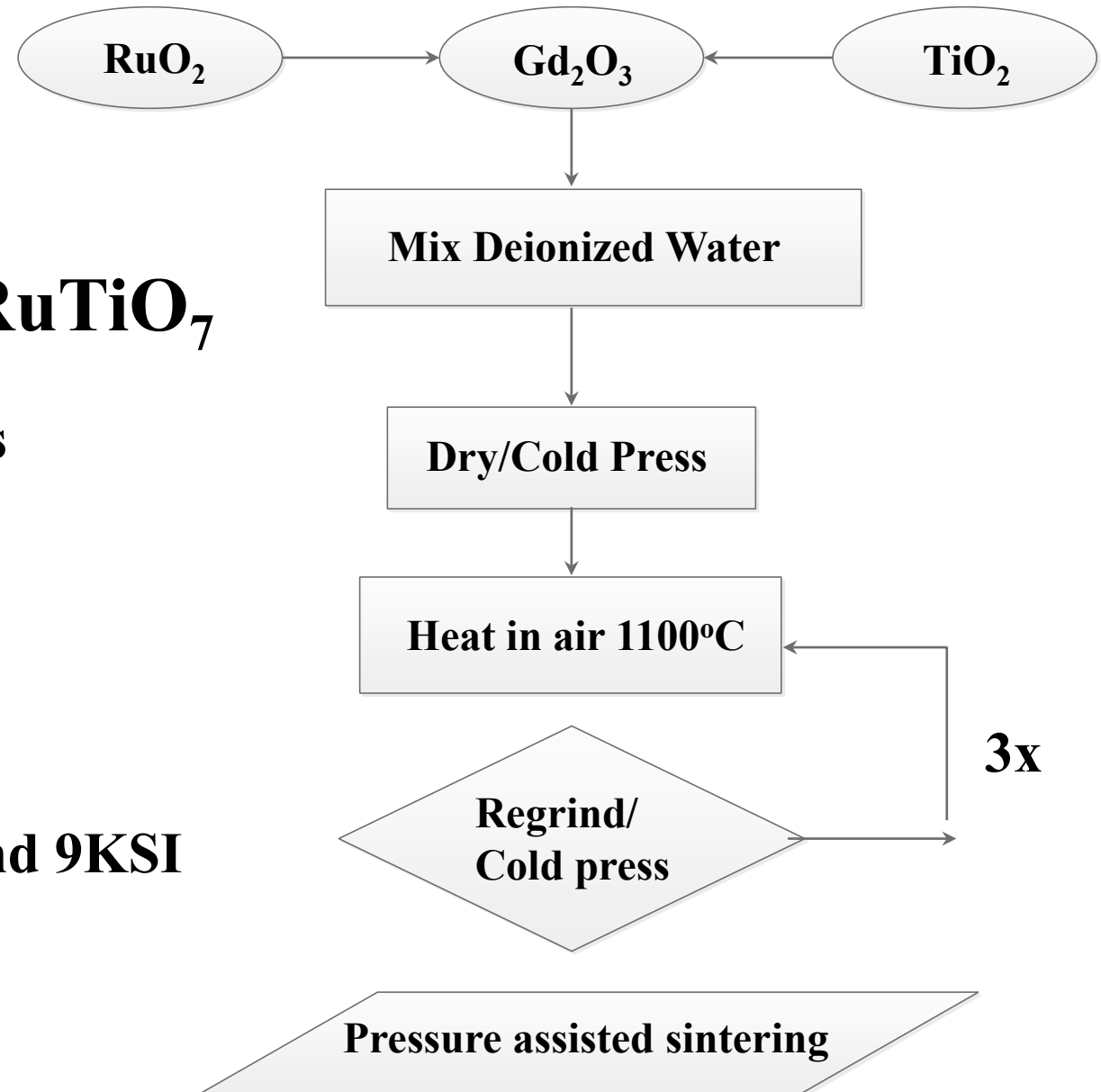


“Discovering Inorganic Electrides from an Automated Computational Screening” (Q. Zhu & T. Frolov, LLNL Report)

Synthesis Route for Oxide Pyrochlore



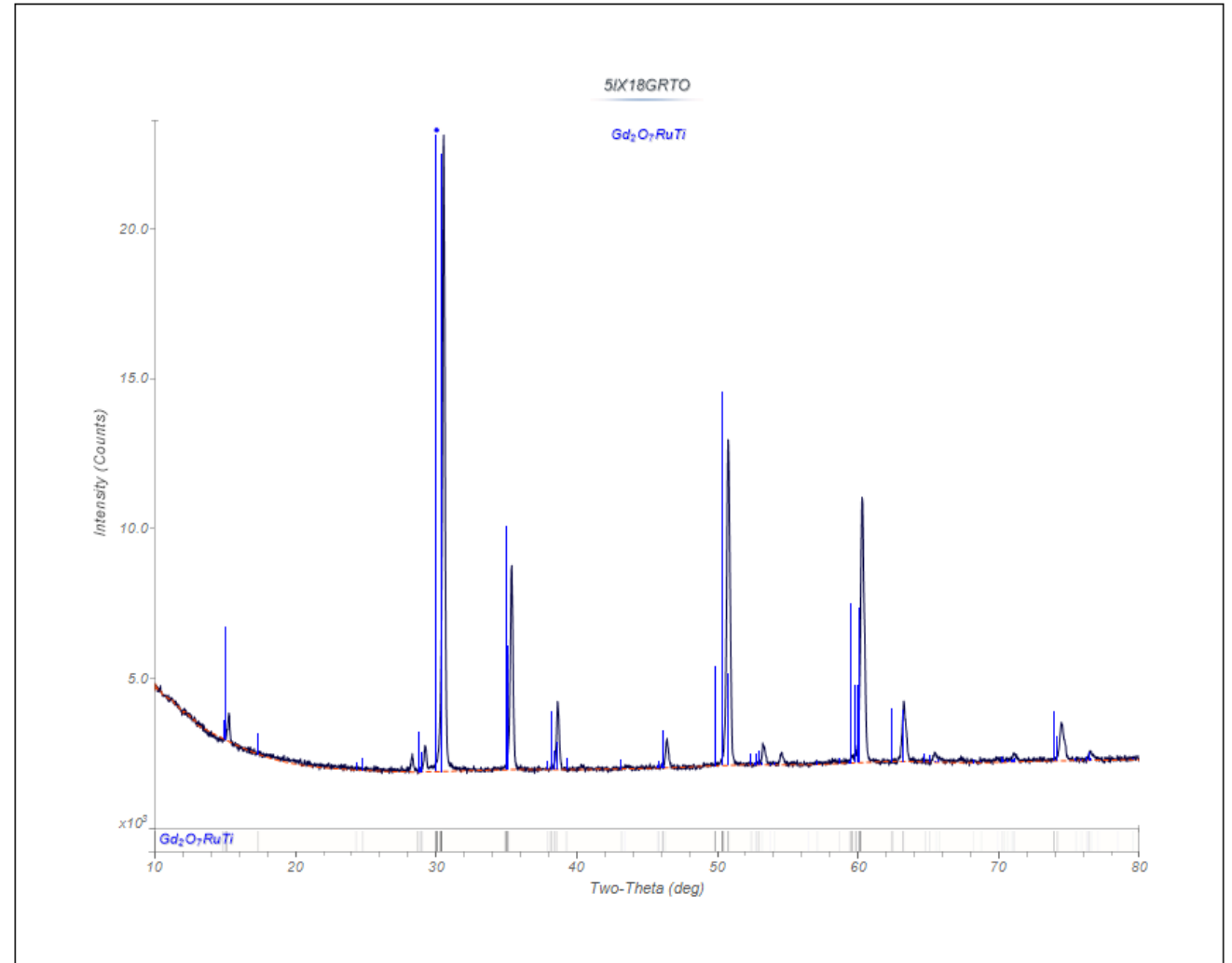
- Solid State diffusion reaction synthesis
- RuO₂ 20% above stoichiometry
- Heating anneal times ~ 24 hours
- Pressure assisted sintering at 900°C and 9KSI



Comparison Between Calculated and Experimental XRD: $\text{Gd}_2\text{RuTiO}_7$

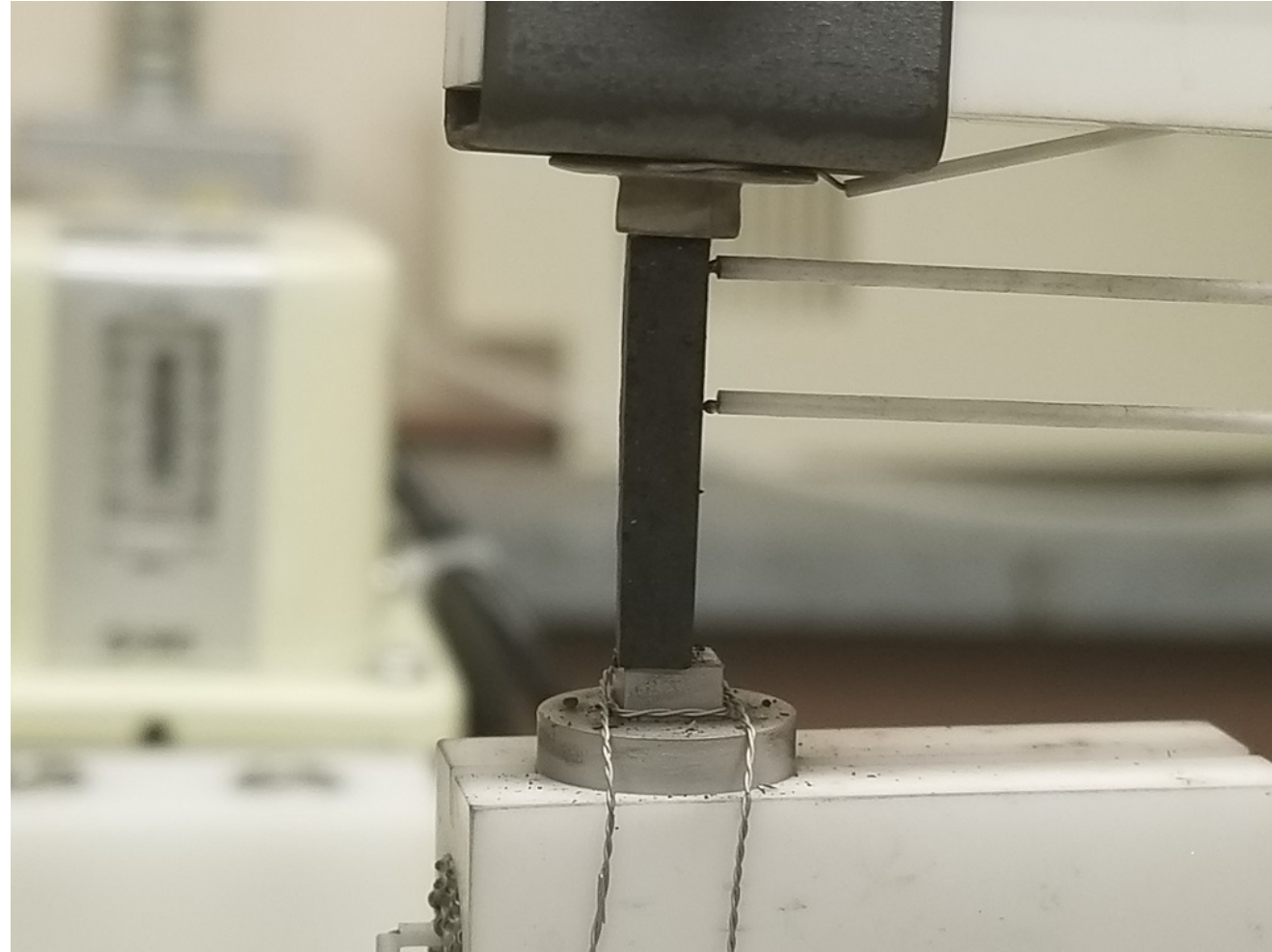
Comparison of the optimized geometric Structure with experimental XRD

Crystallographic Information File (CIF) results imported into XRD MDI JADE



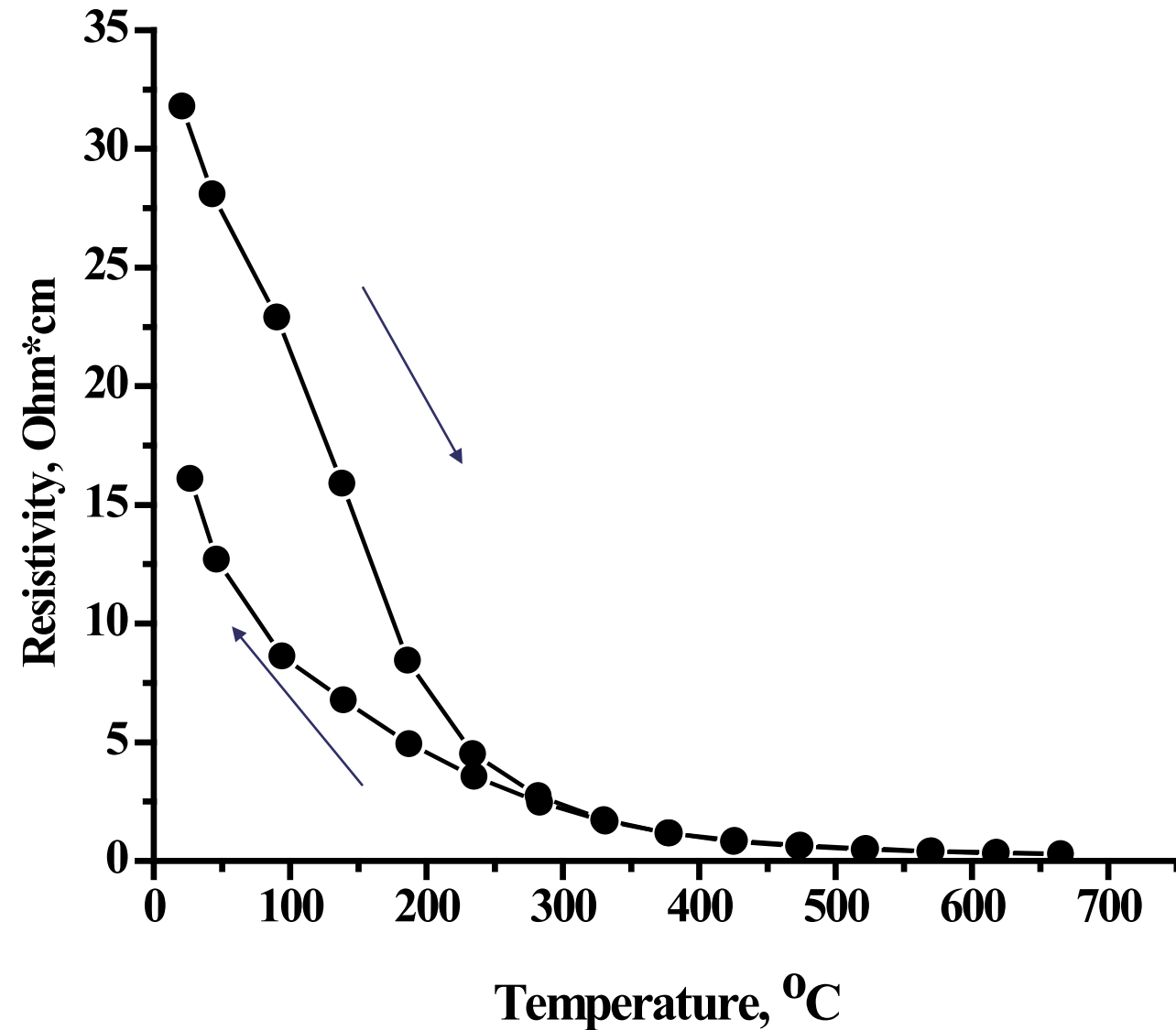
Electronic Property Measurements: $\text{Gd}_2\text{RuTiO}_7$

- **Seebeck Coefficient/ Electric Resistance measurement**
- **Model ZEM-3 ULVAC- Riko Inc. Japan**
- **Sample length 19 mm**



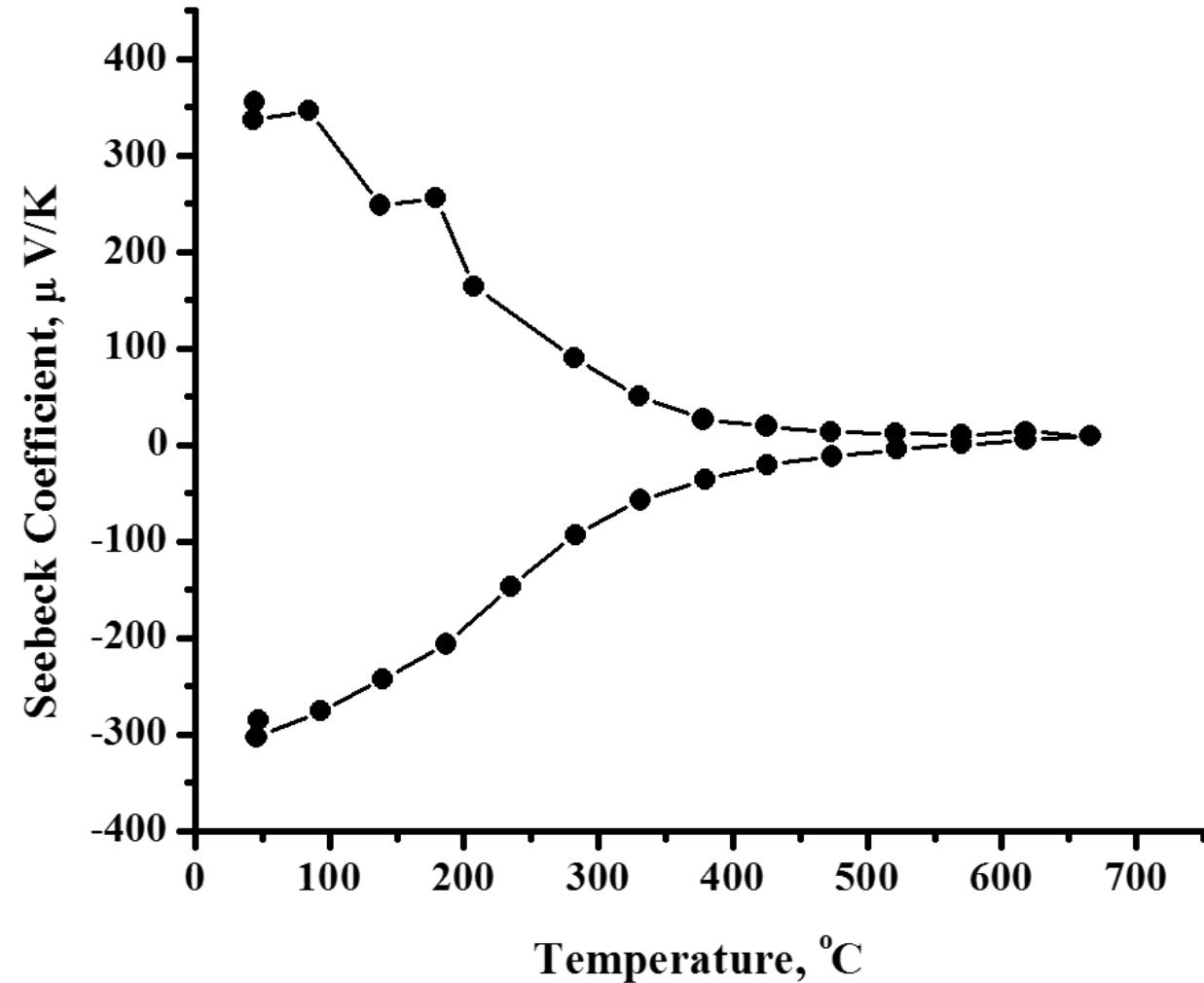
Electronic Property Measurements: $\text{Gd}_2\text{RuTiO}_7$

- **Electrical resistivity decreases with temperature.**
- **Characteristic semiconductor behavior.**
- **Hysteresis indicates possible difference in carrier concentration.**



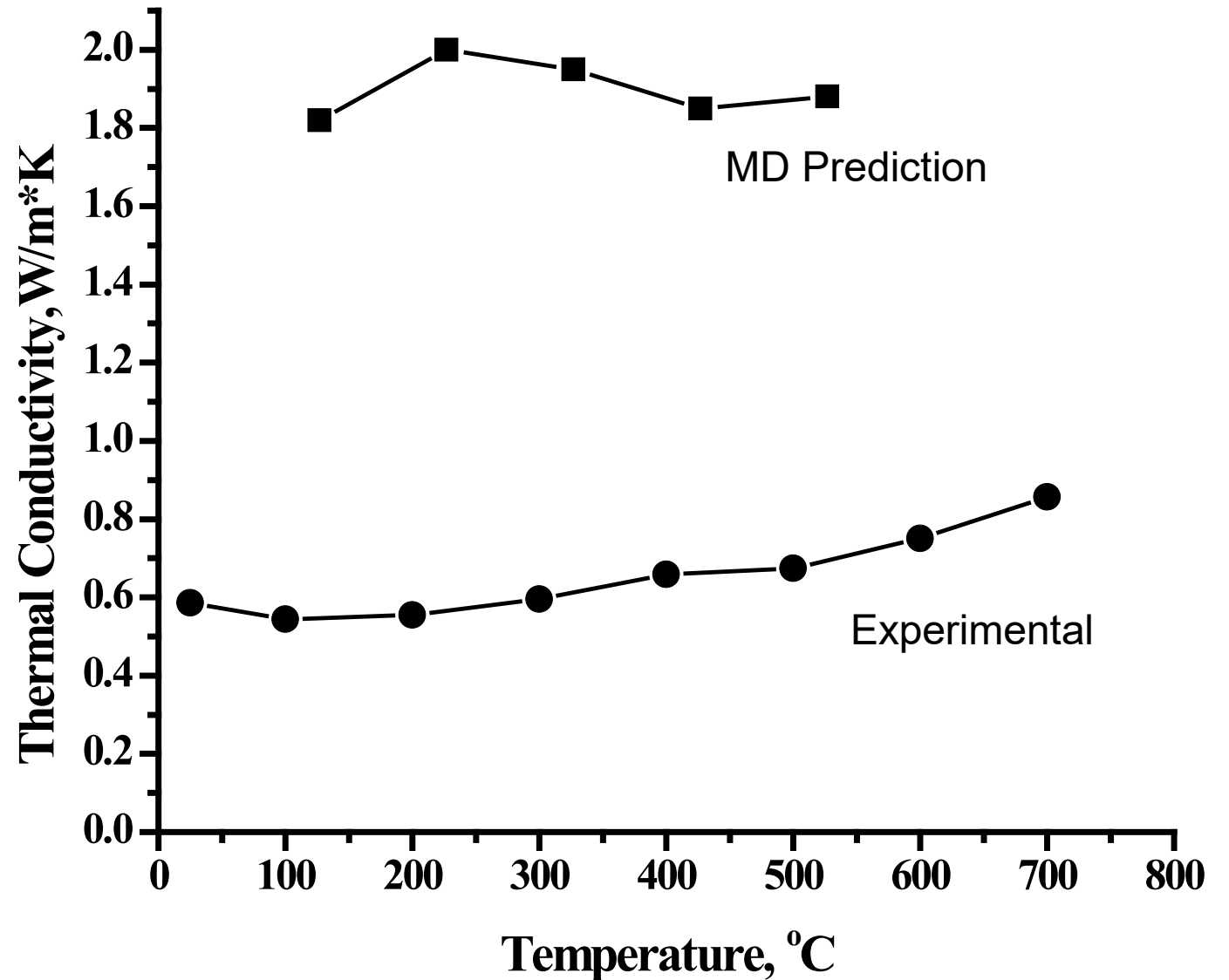
Electronic Property Measurements: $\text{Gd}_2\text{RuTiO}_7$

- Seebeck coefficient decreases with increasing temperature.
- Initially p-type behavior.
- Decreasing temperature the material exhibits n-type behavior.



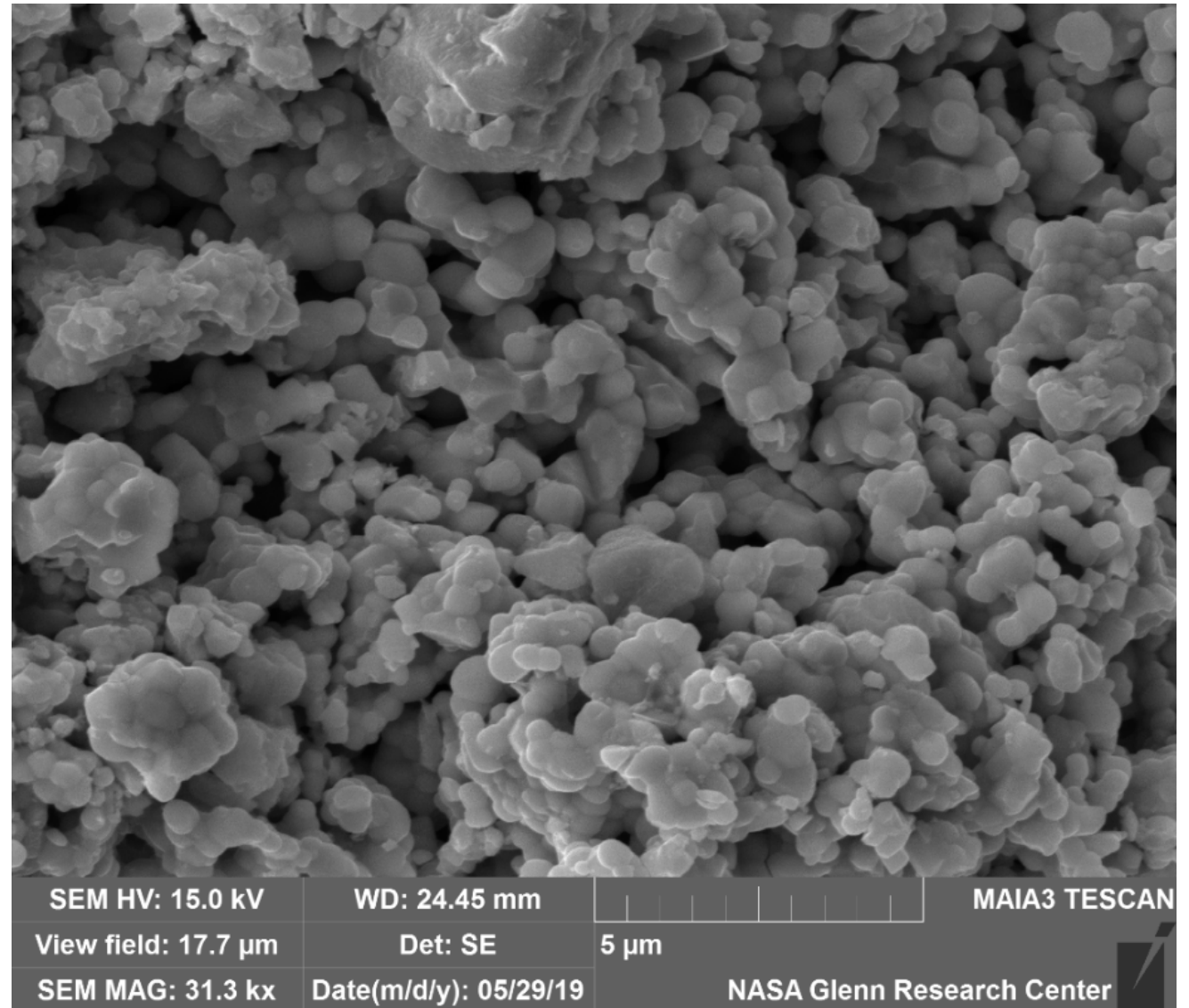
Thermal Property Measurements: $\text{Gd}_2\text{RuTiO}_7$

- Thermal conductivity obtained with LASER flash method.
- Netzsch model LFA 467 Hyper Flash
- Lower than predicted thermal conductivity
- Behavior not predicted with MD.

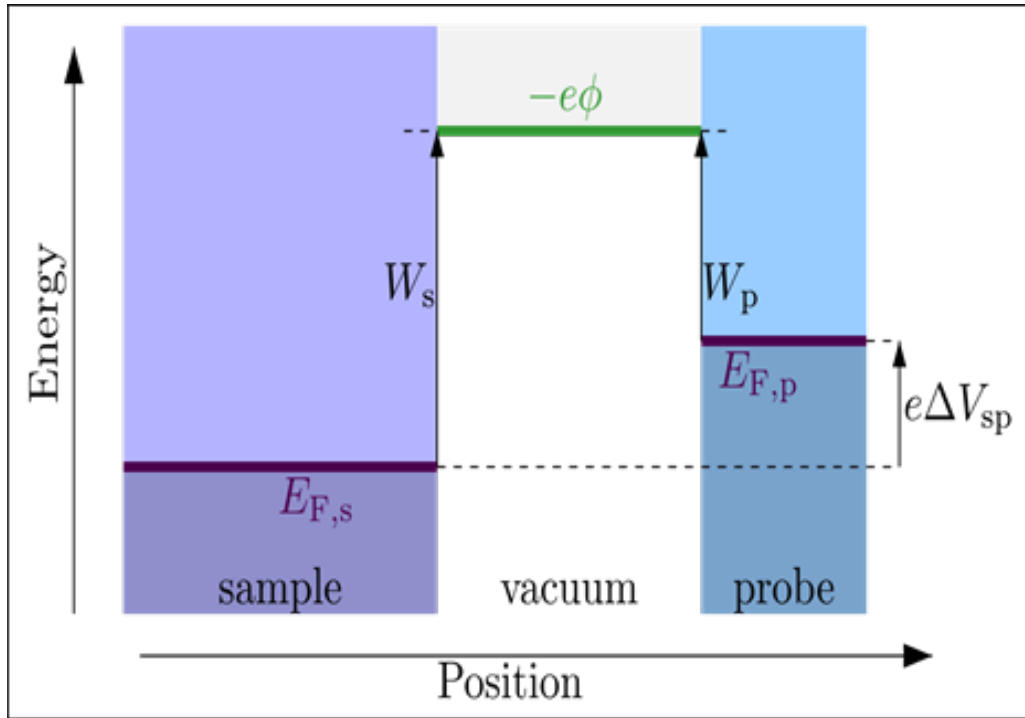


Scanning Electron Micrograph of $\text{Gd}_2\text{RuTiO}_7$

- Electrical and thermal response due in part to porosity.
- DFT predicts 7.096 g/cm^3 while the measured value is 3.452 g/cm^3
- Only 50 % of full density.



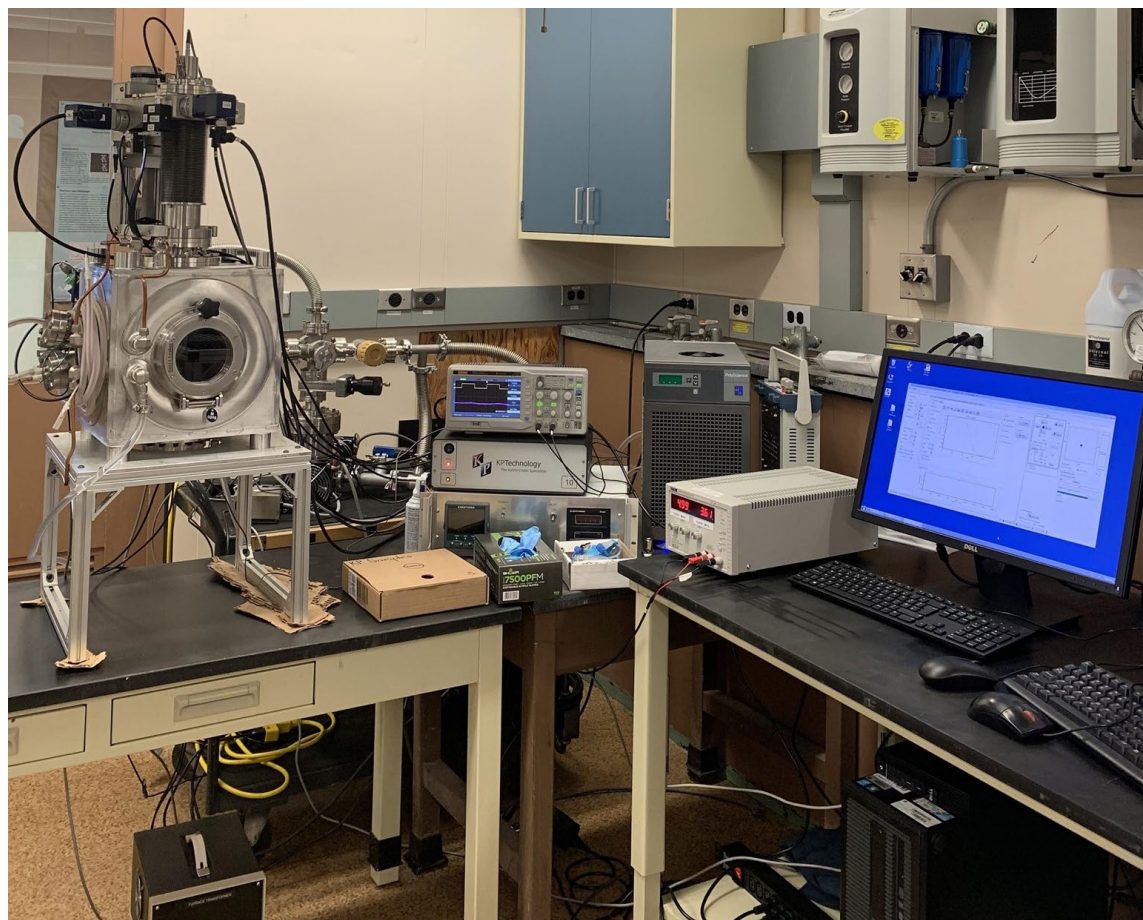
Work Function Measurements



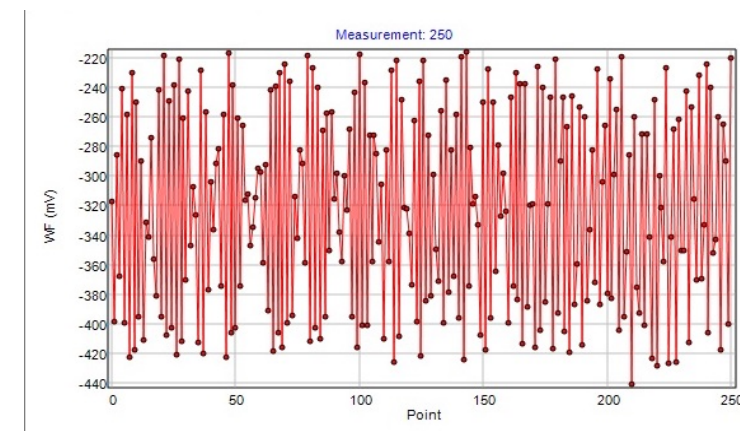
$$e\Delta V_{sp} = W_s - W_p$$

- The Kelvin Probe Method measures the Contact Potential Difference (CPD) between a sample and a tip.
- Vibrating the tip above the sample, and measuring the varying capacitance of the system using a backing potential.
- The CPD may then be used to calculate the work function of the sample by calibrating the system using a material with a known work function like gold.

Ultra-High Vacuum Kelvin Probe

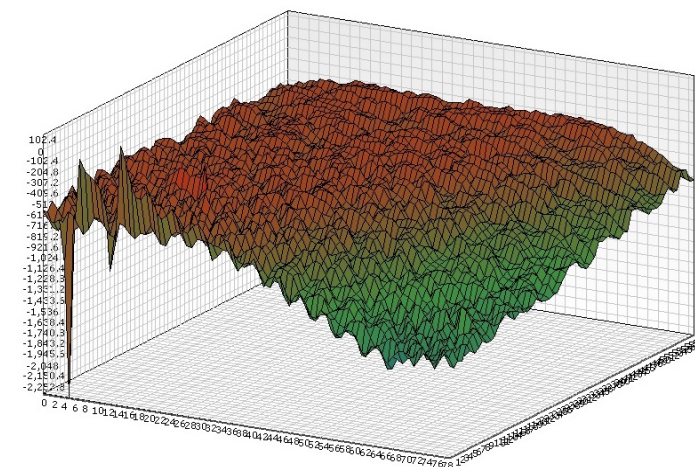


Single point
measurement of
Gold

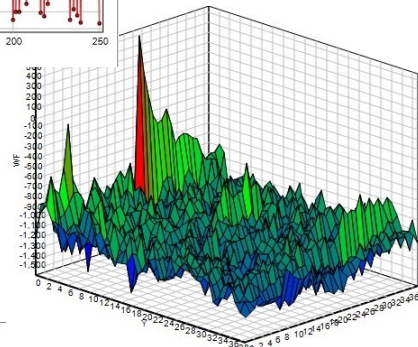
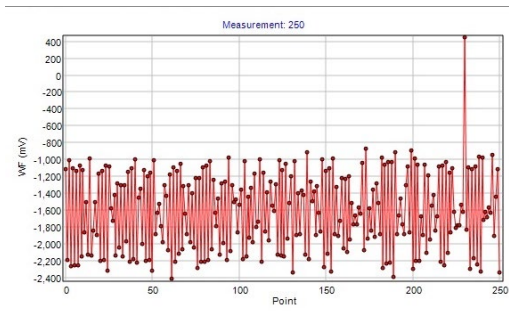


Point	WF	WF(RA)	GD	Height(um)	User	Time(sec)
250	-220.5	-303.4	308.4	0.0	0.0	271.300
Mean WF (mV) = -323.4 Std = 67.5						
Mean GD (au) = 308.0 Std = 3.1						

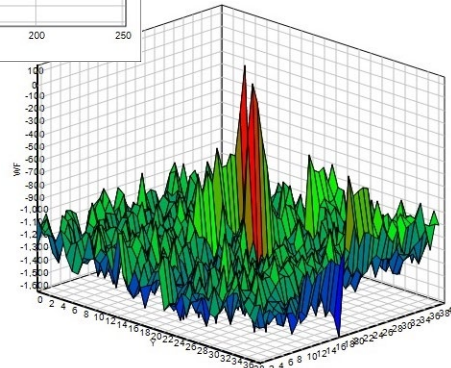
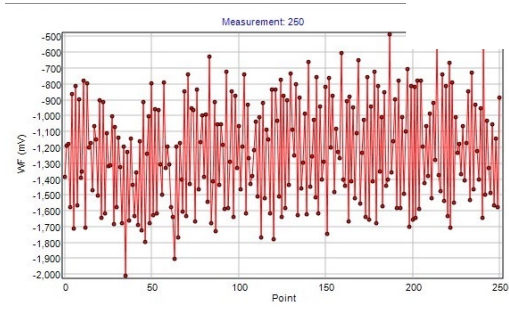
Scan of Gold /
Aluminum



Work Function Measurements



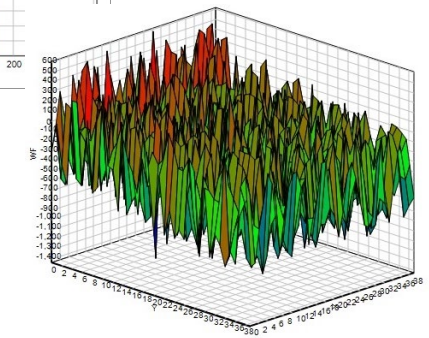
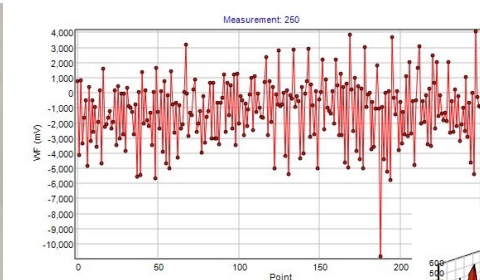
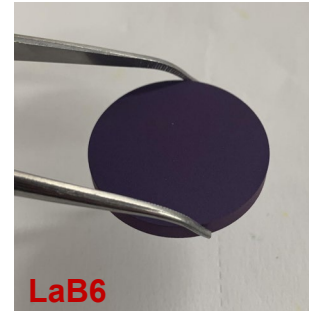
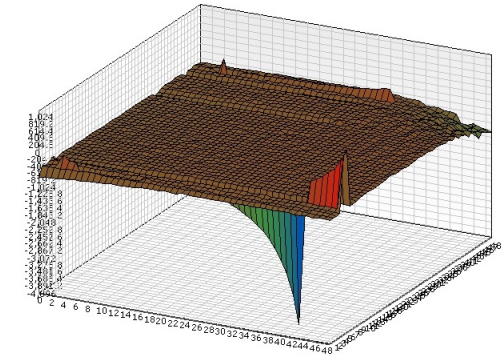
Work Function: 3.51 eV
DFT result 3.14 eV



Work Function: 3.88 eV
Literature: 3.0 eV - 4.67 eV [Fomenko, 1994]



Work Function: 4.61 eV
Literature: 4.83 eV [Allen & Gobeli, 1962]



Work Function: 4.72 eV
Literature: 4.84 eV [Zimmer et al., 2011]

Conclusions

- **Computational methods parameters can be used for predictions and to aid in the development of thermoelectric and thermionic materials.**
- **DFT- is only a guide processing is equally important.**
- **Nature is always right!**