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# Discussion of Rotorcraft and eVTOL Noise

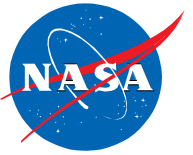
Presented to:

Georgia Institute of Technology

Aerospace Engineering Department Design II Class

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# Goal and Outline

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## Goal:

- Become acquainted with *some* issues of rotorcraft / eVTOL noise

## Outline:

- Importance of Terminology
- Some Working Definitions
- Generic Configuration
- Sources, FWH, F1A, BPM ← Acronyms will be clear later.
- Propagation
- Cautions, Myths, More to Read



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# Importance of Terminology



# Example Conversation

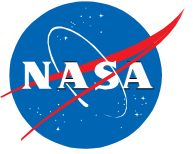
- “I want to design a low noise rotor”
- “Can you be specific about what you mean by low noise?”
- “I want to reduce the loudness by 40%”
- “Something that is less loud is not necessarily less noisy”
- “Ok, I want it to be quieter by 20 dB”
- “What is it that you want to be quieter by 20 dB?”
- “The noise”
- “But 20 dB is just a number with a unit... But itself, this is not a measure/metric of ‘noise’ ”
- “What metric should I use?”
- “That depends on what you are trying to do with your rotor”
- “I want to design a low noise rotor”

This demonstrates the colloquial use of noise along with a vague, ill-defined metric ... which is a terminology problem.

It just goes downhill from there...

# Aeromechanics Example

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“I want to design a **high performance** vehicle.”

What do you mean by **high performance**?

Do you want:

- More lift?
- Less drag?
- More endurance?
- More range?
- Higher Figure of Merit?
- More payload?
- ...

Some of these are conflicting requirements...

For common reference, examine some working definitions regarding **noise**.



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# Some Working Definitions



# Noise and Sound

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Working definition: **Noise** is unwanted sound.

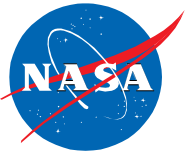
- Obviously, these two items must be there:
  - Sound
  - Unwanted

We will start with the easier of the two: **Sound**

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Working definition: **Sound** is pressure fluctuations perceived by the ear.

- Now there are two additional phrases we need to examine:
  - **perceived by the ear** – means it must be **heard**
  - **pressure fluctuations** – changes in pressure (e.g.:  $\frac{\partial p}{\partial t}$ )
    - “**Acoustic waves**” that travel at  $U \pm c$
    - $U$  = local fluid speed
    - $c = \sqrt{\left(\frac{\partial P}{\partial \rho}\right)}$



# Unwanted

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We previously defined **noise** as **unwanted sound** – we've already examined **sound**

What is **unwanted**?

- Vague adjective referring to human likes and dislikes
- Likes and dislikes vary between humans
- Difficult to quantify

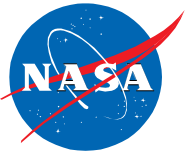
This **human element** associate with **noise** is part of the realm of **psychoacoustics**

- Psychoacoustics will not be directly addressed in this presentation

We have a working definition...

- now we will look at a Generic Configuration

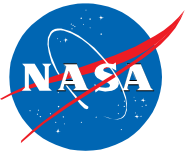




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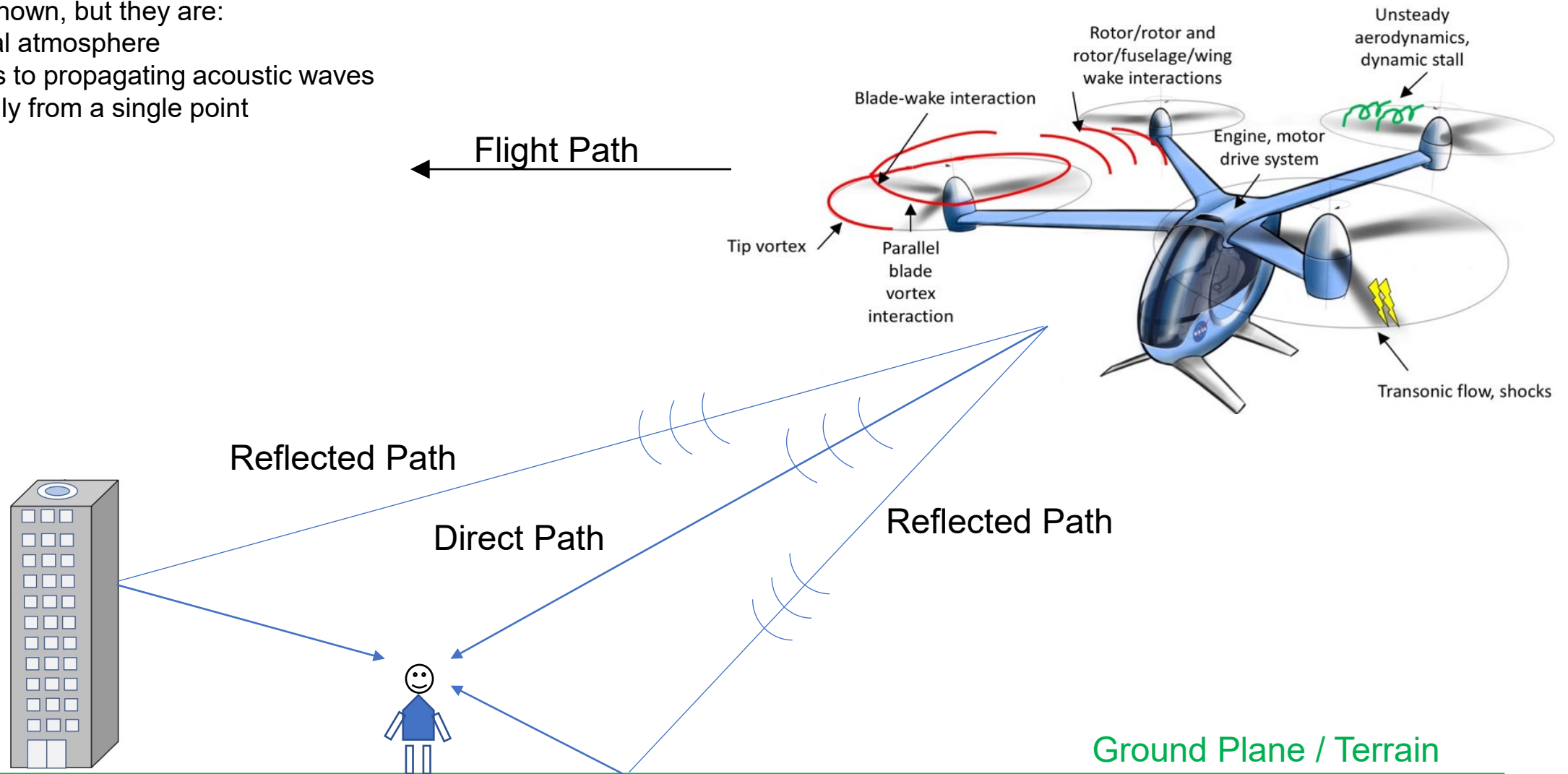
# Generic Configuration

# Generic Configuration

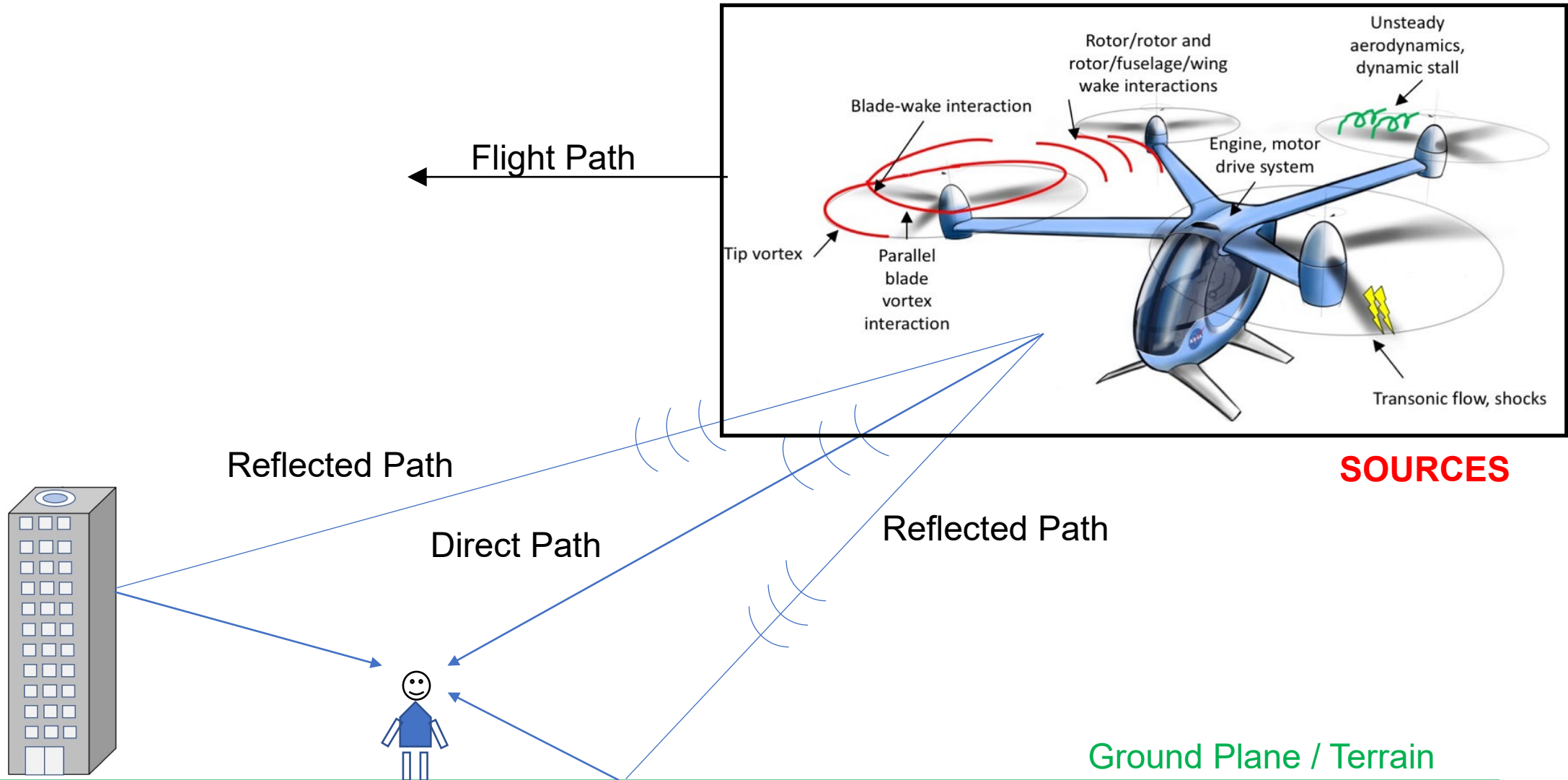
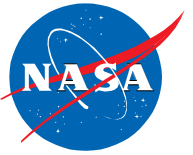


Straight rays are shown, but they are:

- Curved in a real atmosphere
- Approximations to propagating acoustic waves
- Shown here only from a single point



# Sources

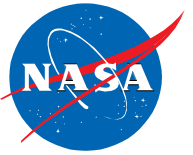




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# Sources, FWH, F1A, BPM

# Source



For the moment, let us assume we *magically* have:

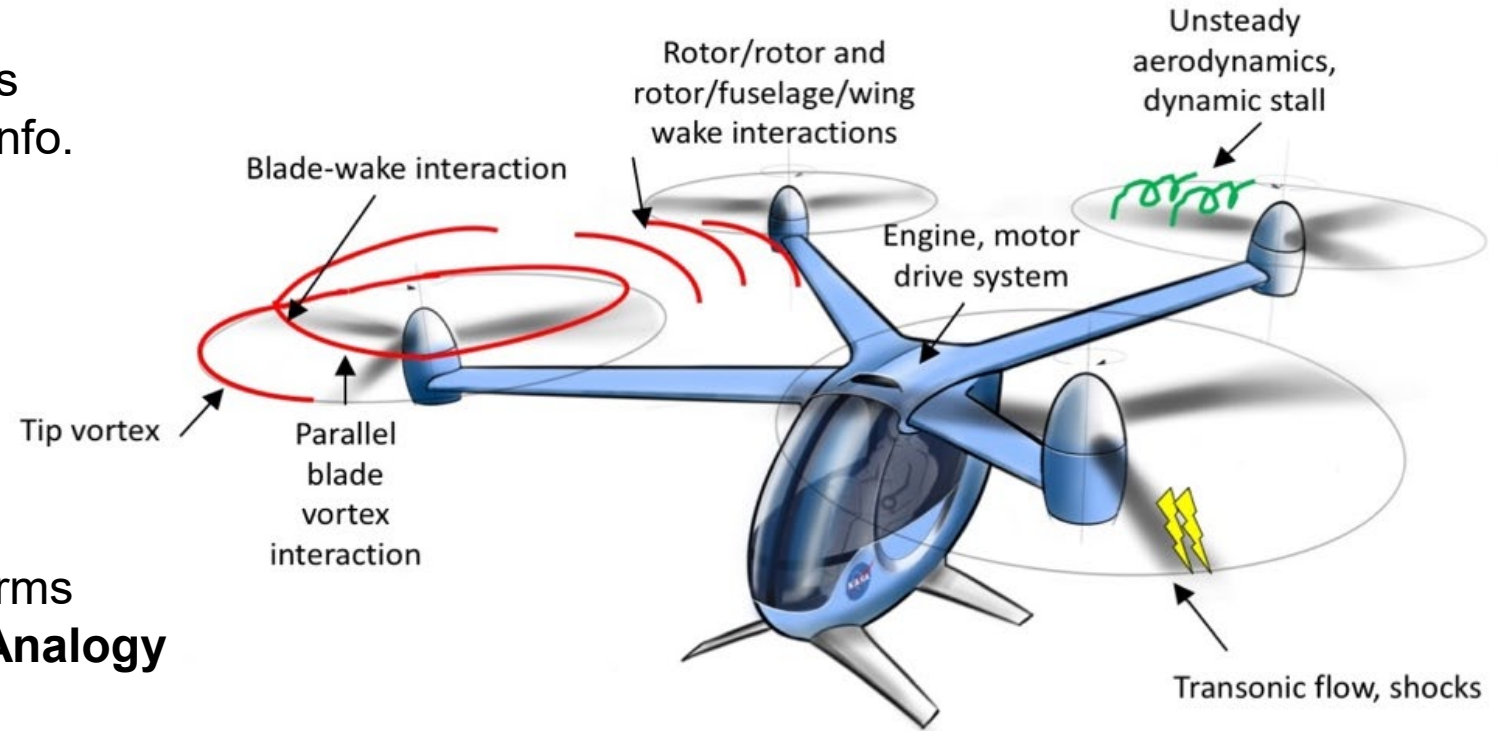
- All aerodynamics
- All motion/deformation of all surfaces
  - Including vehicle configuration info.

How do we compute acoustics today?

- Typically: “Acoustic Analogy”

“Acoustic Analogy”.. Think:

- Rearrange fluid equations into a:
  - Wave Equation with Source terms
  - Example: **Lighthill Acoustic Analogy**



Best known in rotorcraft applications is solution to:

- **Ffowcs Williams and Hawkings (FWH) Equation**
  - Rearrangement of momentum conservation (Navier-Stokes) along with mass conservation
  - Allows for flow “discontinuities” using Generalized Functions, Generalized Derivatives, etc.

## Mass conservation

$$\frac{\bar{\partial}}{\partial t}(\rho) + \frac{\bar{\partial}}{\partial x_i}(\rho u_i) = [\rho_0 v_n + \rho(u_n - v_n)]\delta(f)$$

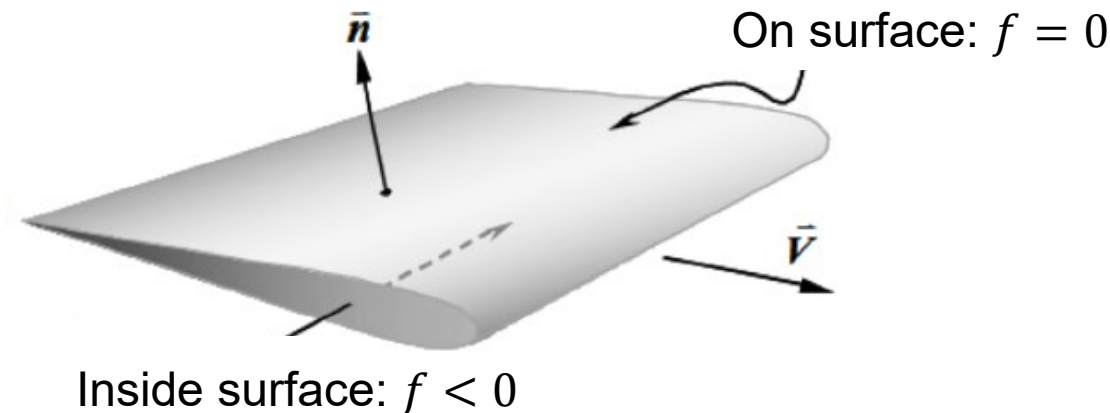
Take:  $\frac{\bar{\partial}}{\partial t}$

## Momentum conservation

$$\frac{\bar{\partial}}{\partial t}(\rho u_i) + \frac{\bar{\partial}}{\partial x_j}(\rho u_i u_j + P_{ij}) = [\rho_0 u_i(u_n - v_n) + \Delta P_{ij} n_j] \delta(f)$$

Take:  $\frac{\bar{\partial}}{\partial x_i}$

Outside surface:  
 $f > 0$



$$\delta(f) = 1 \quad \text{for } f = 0$$

$$\delta(f) = 0 \quad \text{for } f \neq 0$$

- (1) Subtract later from former
- (2) Make appropriate substitutions
- (3) Put wave operator on the LHS
- (4) Leave everything else on the RHS
- (5) (For now) Assume surfaces are impermeable to get the common form of **FWH** equation used:

$$\boxed{\bar{\square}^2 p'(x, t)} = \boxed{\frac{\bar{\partial}}{\partial t} [\rho_0 v_n \delta(f)]} - \boxed{\frac{\bar{\partial}}{\partial x_i} [l_i \delta(f)]} + \boxed{\frac{\bar{\partial}^2}{\partial x_i x_j} [T_{ij} H(f)]}$$

**Wave  
Propagation**

**“Thickness” or  
“Monopole”  
(Surface Term)**

**“Loading” or  
“Dipole”  
(Surface Term)**

**“Quadrupole”  
(Volume Term)**

$$H(f) = 1 \quad \text{for } f > 0$$

$$H(f) = 0 \quad \text{for } f \leq 0$$

$\rho_0$  = Background density

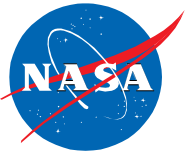
$v_n$  = normal surface velocity

$l_i$  =  $i$ -th component of loading ( $pn_i$ )

$$T_{ij} = \rho u_i u_j - \sigma_{ij} + (p' - c^2 \rho') \delta_{ij}$$

= Lighthill's stress tensor

**NOTE:** If you **remove** the first two integrals, you will have the **Lighthill Acoustic Analogy**, which pre-dates **FWH**.



# Solution(s) to FWH

**Farassat's Formulations** (there are many) are probably the best known and most used solutions

- For rotorcraft – by far – the most common solution is Farassat's Formulation 1A (**F1A**)
- (F1A equations are on next slide)

Main assumptions for **F1A**:

- The quadrupole (volume) term is neglected... so, just thickness and loading (surface) terms
- Surfaces are subsonic

Features:

- Integral equation for the acoustic pressure in the time domain
- RHS is only related to the *source* position and time  $(\mathbf{y}, \tau)$
- LHS is only related to the *observer* position and time  $(\mathbf{x}, t)$
- $(\mathbf{y}, \tau)$  and  $(\mathbf{x}, t)$  connected through a “retarded time equation”  $\longrightarrow$
- Most common solution method is evaluation with a “source time dominant algorithm”

$$\tau = t - \frac{|\mathbf{x} - \mathbf{y}|}{c}$$



Acoustic Pressure Time History ... **thickness** term:

$$4\pi p'_T(\mathbf{x}, t) = \int_{f=0} \left[ \frac{\rho_0(\dot{v}_n + v\dot{n})}{r|1 - M_r|^2} + \frac{\rho_0 v_n \dot{M}_r}{r|1 - M_r|^3} \right]_{ret} dS + \int_{f=0} \left[ \frac{\rho_0 v_n c(M_r - M^2)}{r^2|1 - M_r|^3} \right]_{ret} dS$$

Acoustic Pressure Time History ... **loading** term:

$$4\pi p'_L(\mathbf{x}, t) = \frac{1}{c} \int_{f=0} \left[ \frac{\dot{l}_r}{r|1 - M_r|^2} + \frac{l_r \dot{M}_r}{r|1 - M_r|^3} \right]_{ret} dS + \int_{f=0} \left[ \frac{l_r - l_M}{r^2|1 - M_r|^2} + \frac{l_r (M_r - M^2)}{r^2|1 - M_r|^3} \right]_{ret} dS$$

**Far Field Terms**

**Near Field Terms**

$$p' = p'_T + p'_L$$

F1A intentionally neglects the **quadrupole** term... all RHS terms are **surface** terms.



# F1A – The Crux of the Problem

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We assumed we *magically* had all aerodynamic and surface motion information.

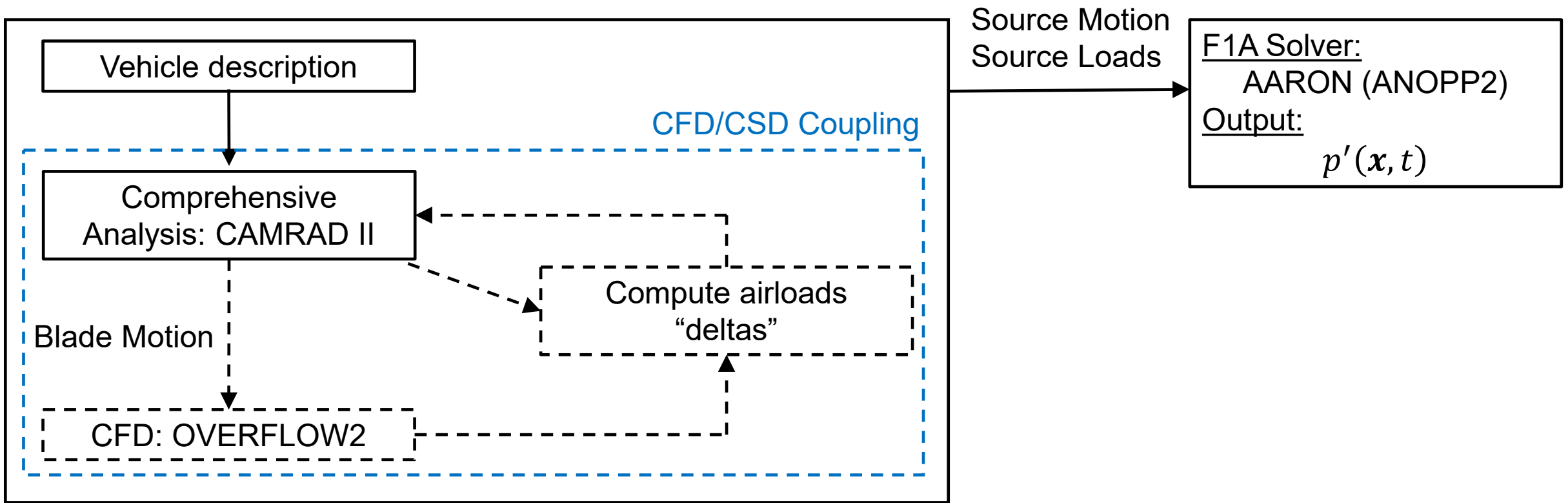
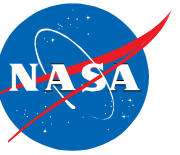
- **Where do we get this information?**
  - This has been a primary focus since the F1A was developed
  - Methods to evaluate F1A integrals have improved since the early 1980s, but the *source* problem remains
- 

How do you get these quantities for a rotorcraft (VTOL, eVTOL, etc.)?

Usually, “Rotorcraft Comprehensive Analysis”

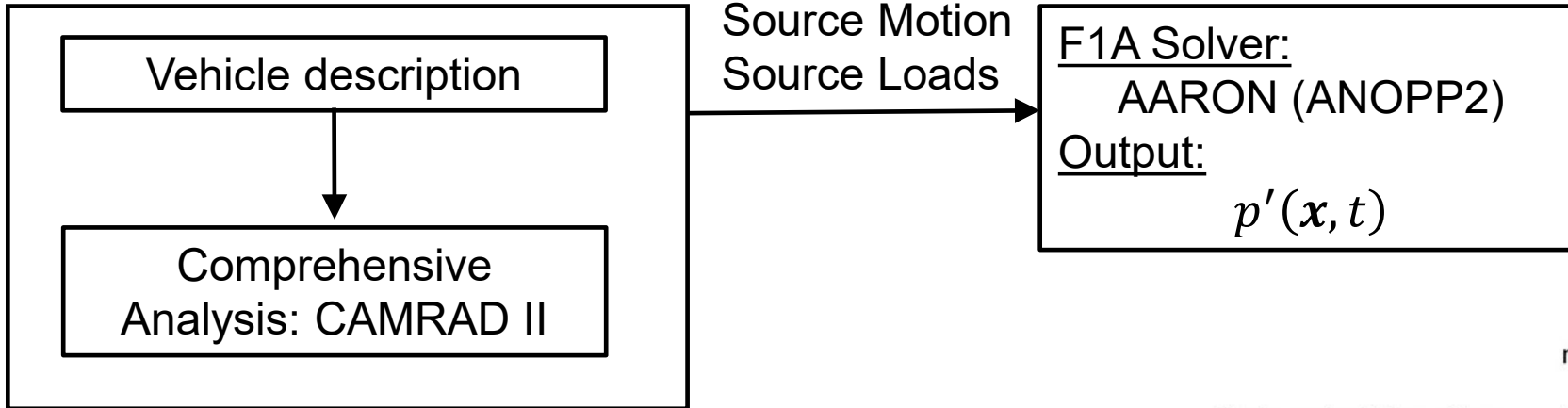
- Determines vehicle state at a flight condition – orientation, trim, motion, forces, etc.
- Provides lifting line motion and forces on the lifting line (“blades”) for **F1A Compact Formulation**
- Alternately, can:
  - Couple with CFD (**CFD/CSD**) ... full surface geometry / pressures for **F1A Formulation**
    - **CSD** refers the Rotorcraft Comprehensive Analysis (motion, trim, etc.)
    - **CFD** essentially “replaces” the lifting line aerodynamic model

# Outline of a Deterministic(\*) Acoustic Prediction with Sample Code Names



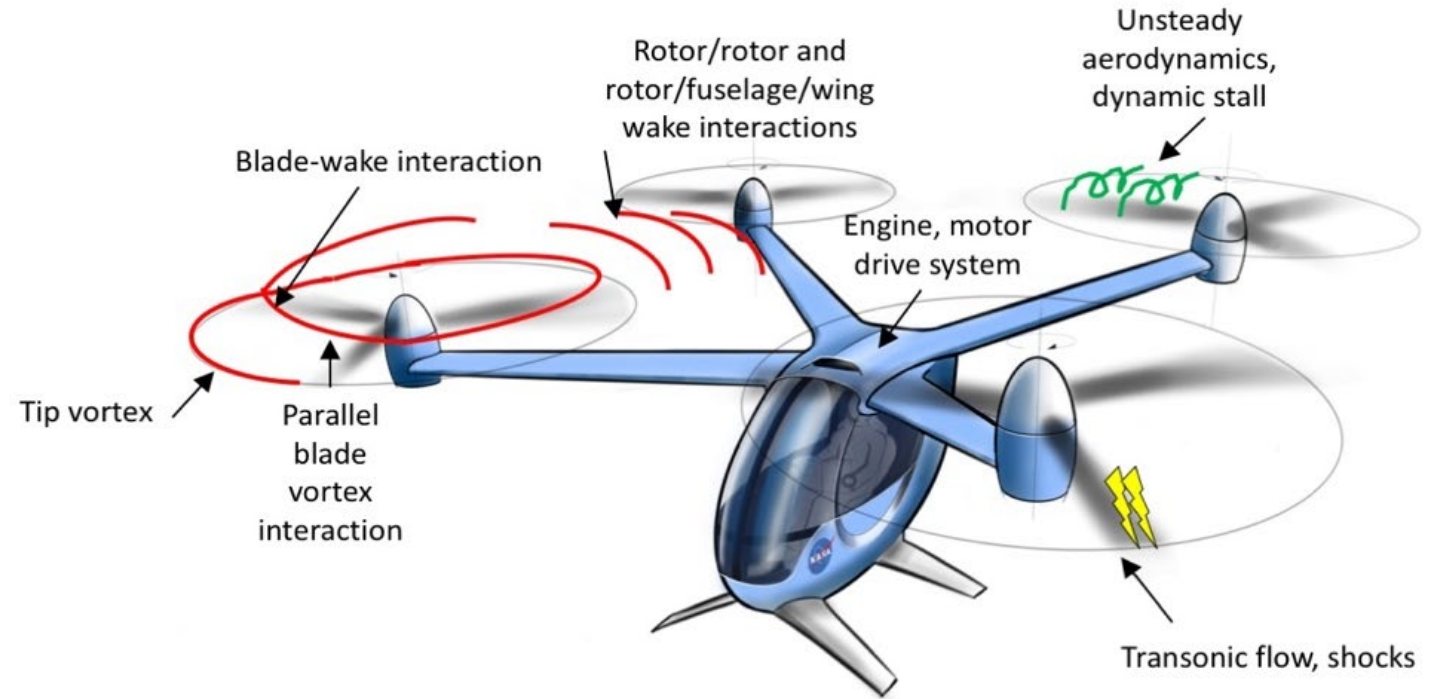
(\*) Deterministic in this context means periodic or aperiodic, but not random (“broadband”)

# For Simplicity, Drop CFD/CSD Coupling



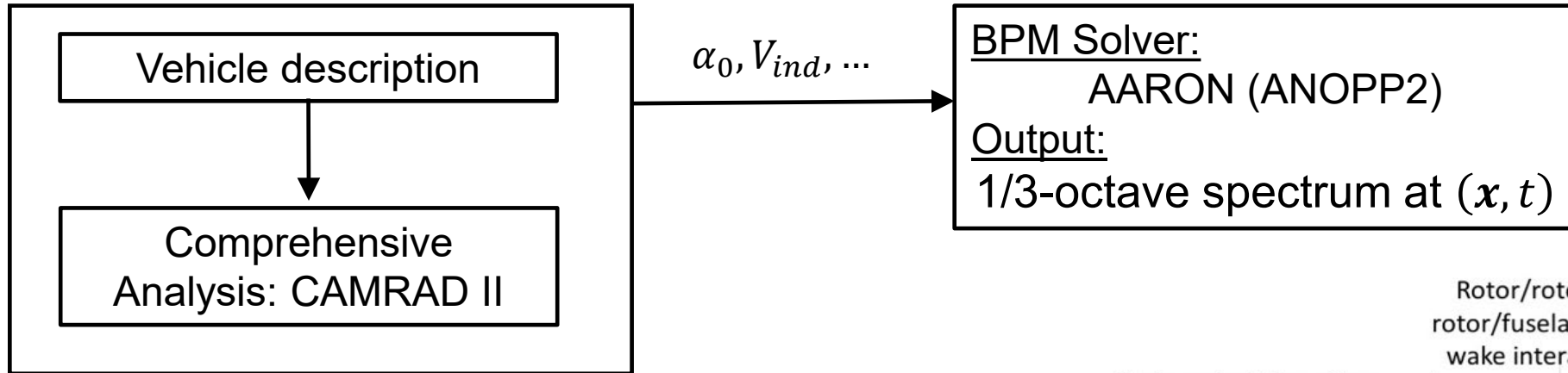
## What can we include:

- Constant loads moving rel. observer
- Unsteady loading:
  - Blade-Vortex Interaction (BVI)
  - Rotor-rotor aero interaction
  - Rotor-rotor wake interaction
  - etc.



• ← Observer at  $(x, t)$

# What About Non-Deterministic Sources?

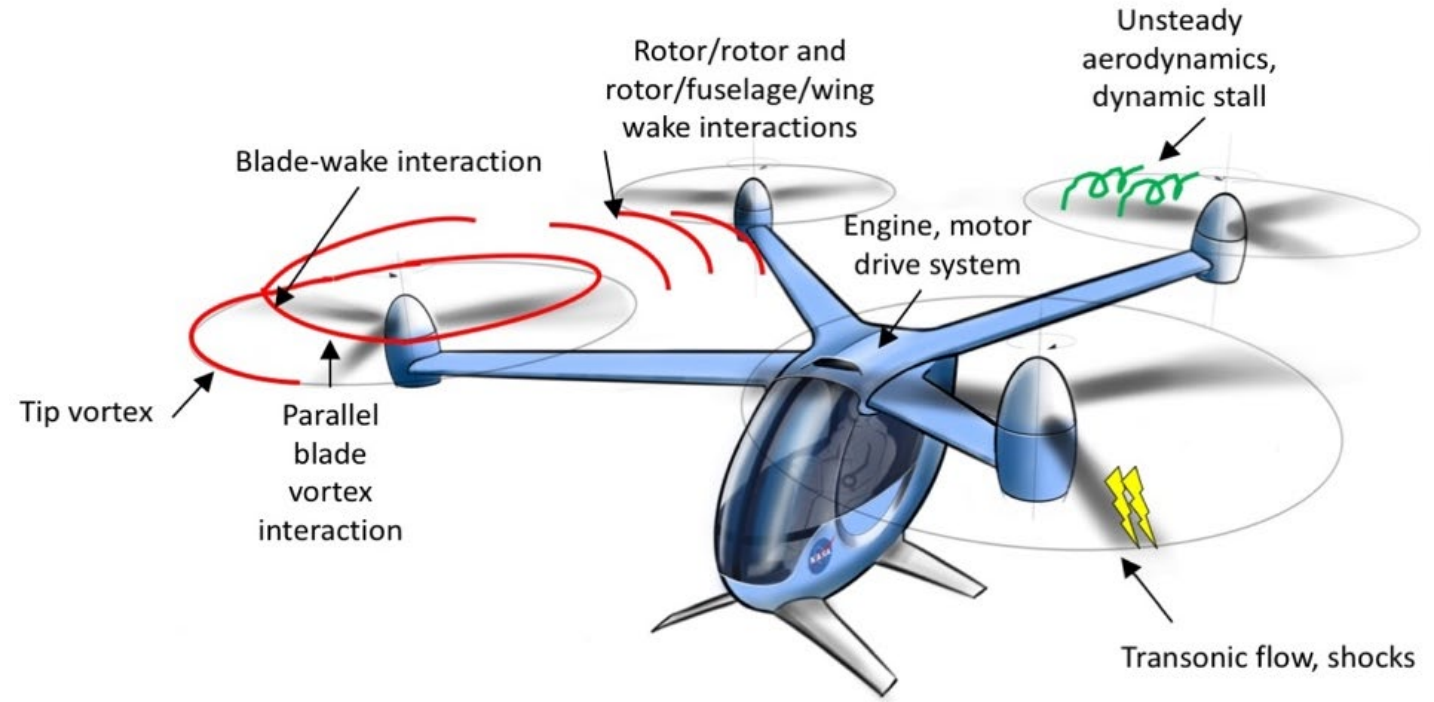


## Brooks-Pope-Marcolini (BPM):

- Semi-empirical model for trailing edge effects
- Few other models available currently

## Prospects – LBM ... some potential for:

- Trailing edge effects
- Blade-Wake Interaction (BWI)
- “Entire” problem



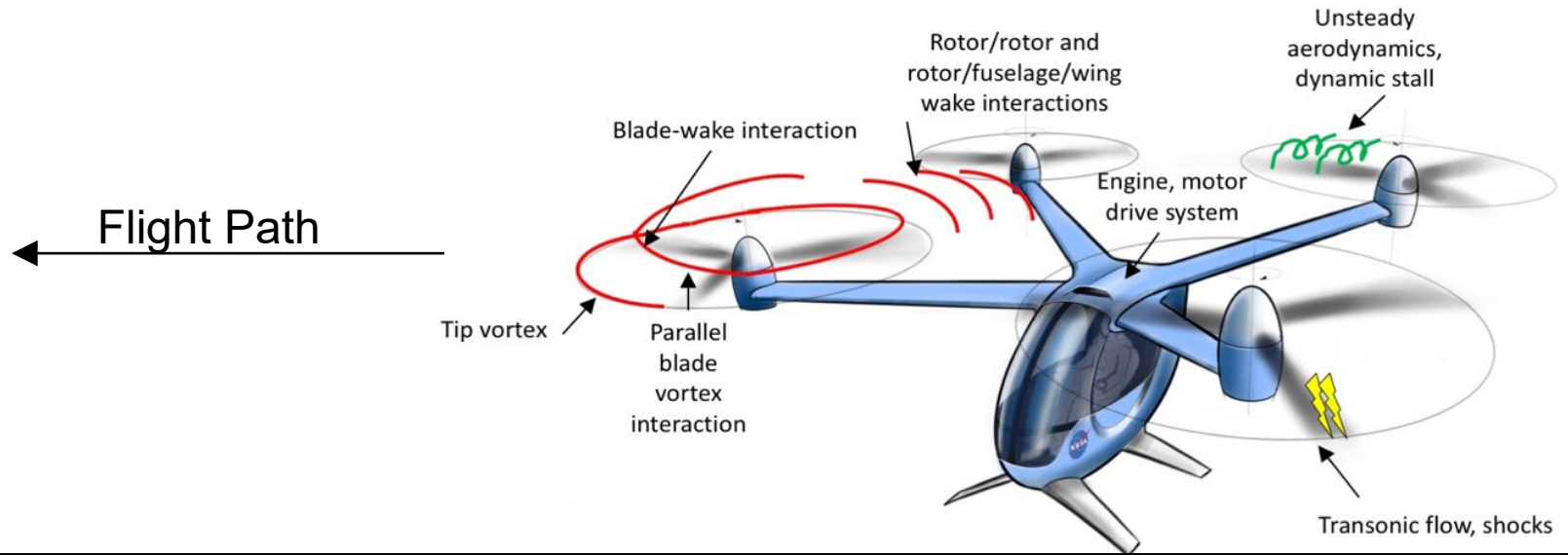
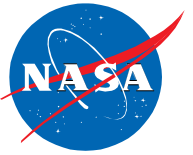
• ← Observer at  $(x, t)$



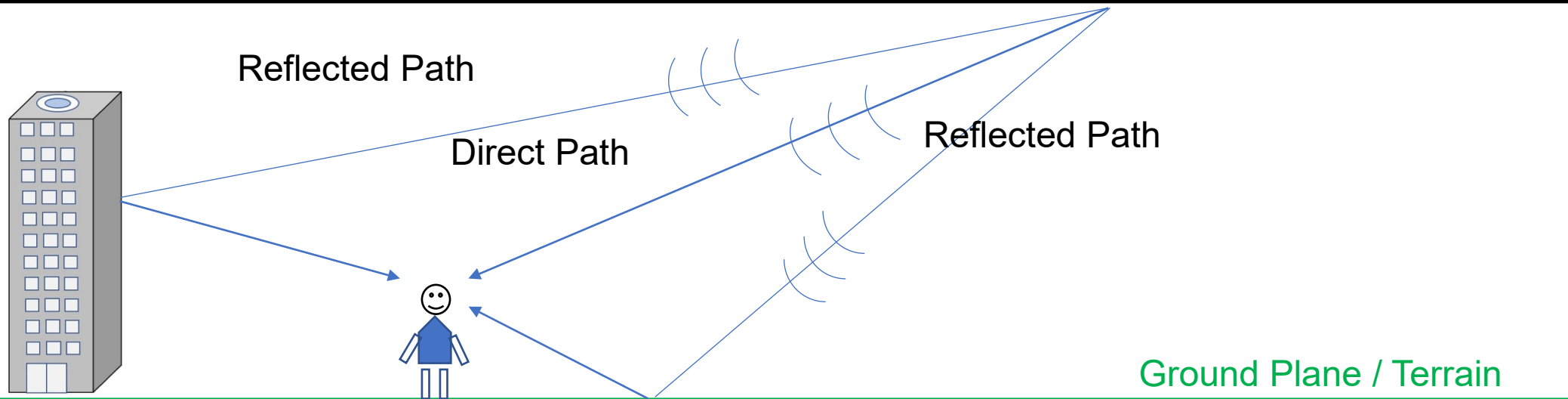
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# Propagation

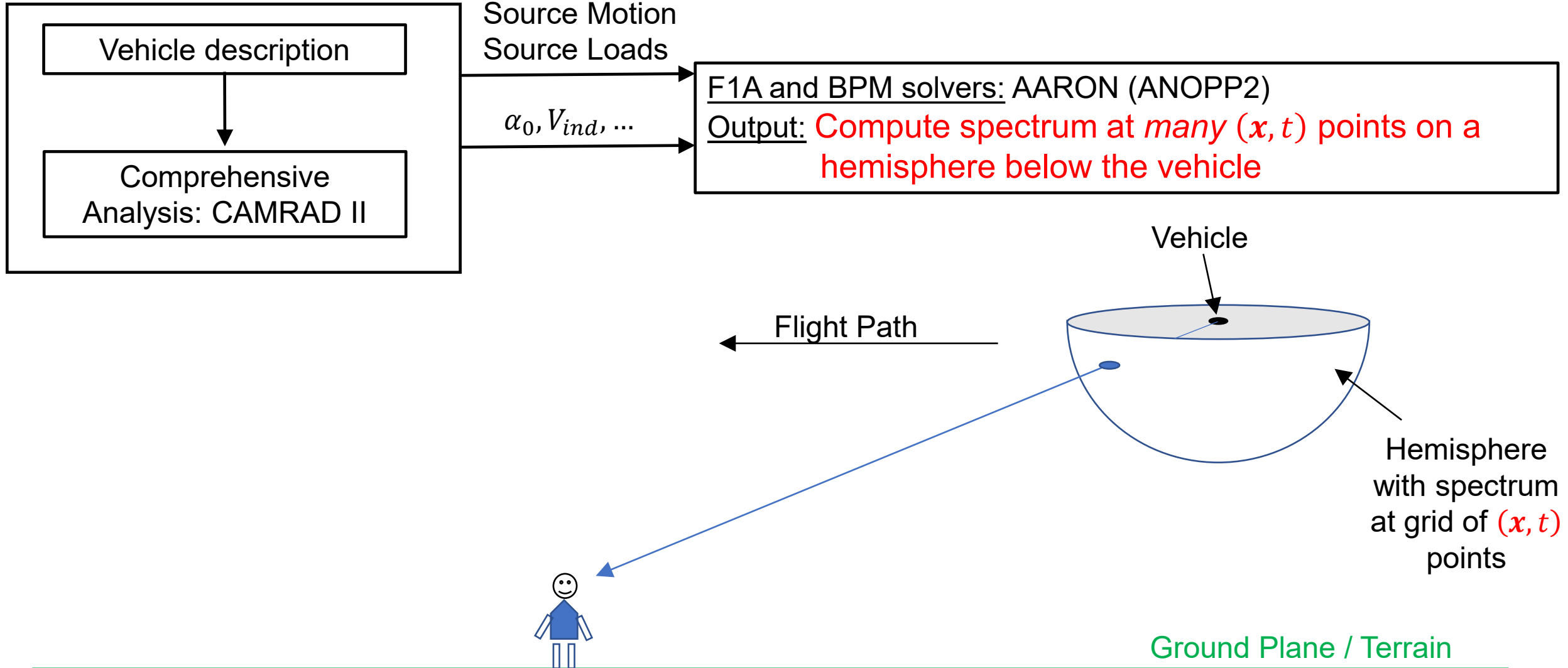
# Propagation



## PROPAGATION



# What About Propagation?





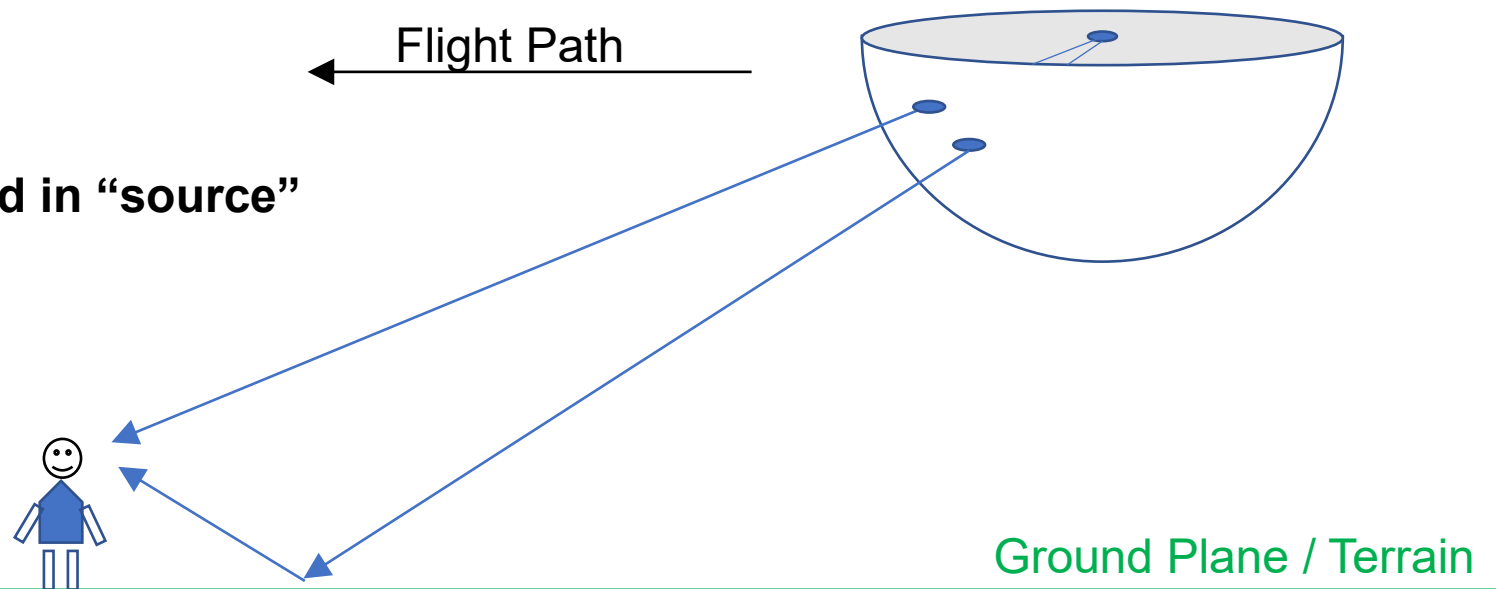
# What About Propagation?

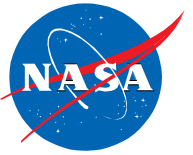
Includes effects:

- Straight (or possibly curved) rays from center to observer
- Doppler shift
- Atmospheric attenuation
- Spherical spreading
- Ground impedance
- Time delays for different path lengths
- etc.

For your design effort:

- **You are probably more interested in “source”**





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# Cautions, Myths, More to Read...

## Caution: Permeable Surface

We have discussed mainly **impermeable (“solid”) surfaces**... these can come from CFD, for example.

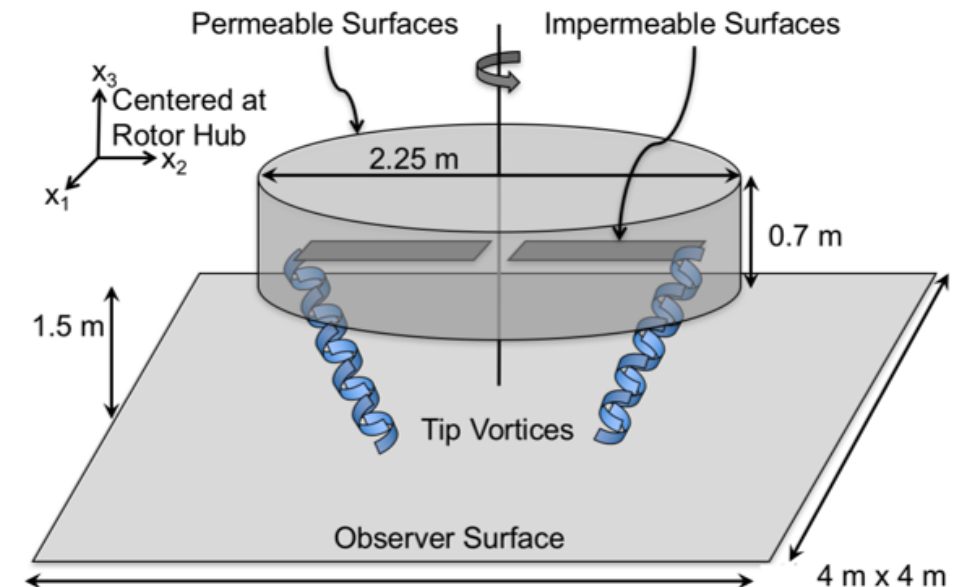
There is a **permeable** version of FWH and F1A (in fact, original FWH was with permeable surfaces)

- This is an *off-body* surface in the flowfield and needs:  $\rho', (\rho u_i)', p'$
- **Assumes:** “All quadrupole sources are inside the permeable surface”

For rotorcraft, this is rarely the case...

- For example, wakes traverse the permeable surface...
- This leads directly to what Farassat called:
  - The “**Spurious Signals**” problem...
  - Only accounting for PART of the quadrupole term

Lopes, L.V., Boyd, Jr., D.D., Nark, D.M., Wiedemann, K.E.:  
 “Identification of Spurious Signals from Permeable Ffowcs Williams  
 and Hawkings Surfaces”, 73rd AHS Annual Forum, Fort Worth, TX,  
 May 9-11, 2017.





## Caution: Kirchhoff Surface Method

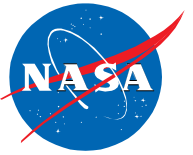
An easy method to implement with CFD is the Kirchhoff method.

This, too, is a **permeable**, off-body surface in the flowfield and needs:  $p, \frac{\partial p}{\partial t}, \nabla p$

- **Assumes:** Surface is in the region of linear acoustics
- However, it has been shown conclusively that:
  - **The solution depends on placement of the surface...** This is not good.
  - Kirchhoff Surface Method (for rotorcraft) has largely been abandoned because of this.

Brentner, K.S.: “Modeling Aerodynamically Generated Sound: Recent Advances in Rotor Noise Prediction”, AIAA Paper 2000-0345, 38th Aerospace Sciences Meeting and Exhibit, Reno, NV, January 10-13, 2000.

- Permeable FWH does not have this dependence... but, still has the spurious signals problem.



## Myth: Reducing the Tip Speed Will Reduce Noise

This was often stated because it was thought:

- “With electric motors, we can reduce the tip speed”
- While that may be true, remember that *everything* in a rotorcraft (or eVTOL) is connected
  - Tip Speed for a design cannot be reduced independent of the design
  - Lower tip speed means you need more solidity (blade surface area) to generate required thrust
    - ... which means more blade weight
    - ... which means you need more structure to hold that weight
    - ... which increases your vehicle gross weight
    - ... which means you need to generate more thrust
    - etc.

This effect has been shown using NASA Reference vehicles and the EPNL metric:

Silva, C., Johnson, W., "Practical Conceptual Design of Quieter Urban VTOL Aircraft," Presented at the Vertical Flight Society's 77th Annual Forum & Technology Display, Virtual, May 10–14, 2021.



## Myth: Variable RPM Will Fix Everything

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With electric motors,

- There is no interconnect shaft between rotors
- Often one motor per rotor, so, RPM can be set by each motor independently

This leads to the notion of RPM control as the primary control method

- Non-unique, redundant controls (so, a controls issue)
- Works well for very small rotors – like the DJI Phantom
- For “large” rotors, inertia is expected to:
  - Reduce the response time of RPM changes
  - Require large torque changes, which means larger motors, more weight, etc.
- Might be impractical for “large” rotors

Variable RPM also means that all rotors are asynchronous:

- Aperiodic noise
  - F1A can do this... but you need a long enough source record
- “Swarm of bees”

# More to Read: Urban Air Mobility (UAM) Noise Working Group

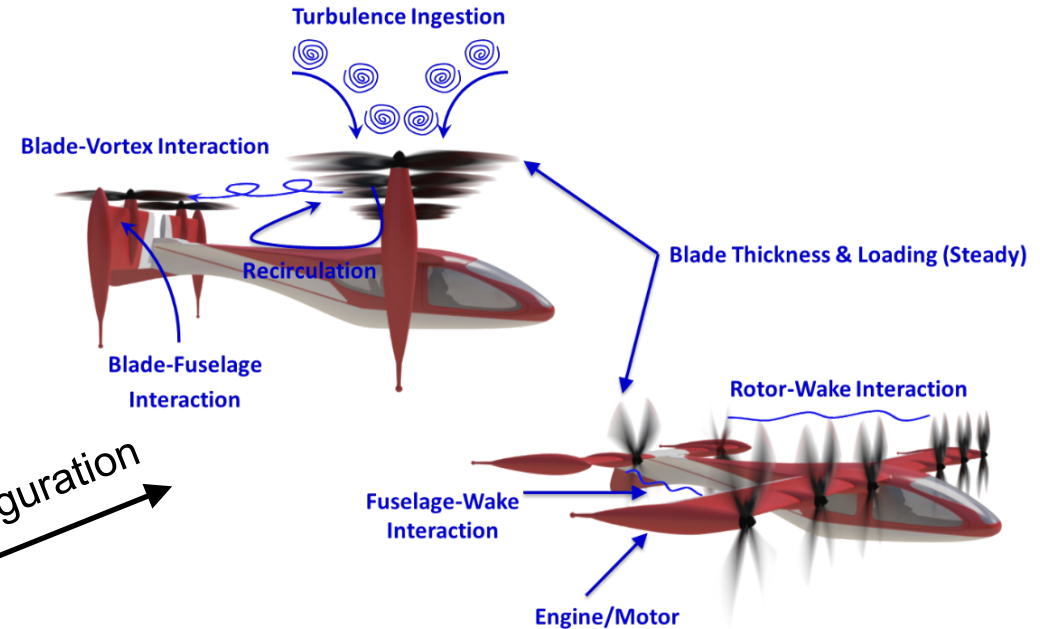
NASA hosts an Urban Air Mobility (UAM) Noise Working Group (UNWG)

- Each April (NASA LaRC) and each October (NASA GRC)
- In conjunction with the Acoustics Technical Working Group (TWG)

NASA UNWG Organization

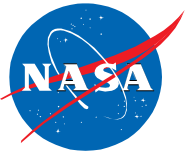
- Executive Committee
- Subgroup 1: Tools and Technologies
- Subgroup 2: Ground and Flight Testing
- Subgroup 3: Human Response and Metrics
- Subgroup 4: Regulation and Policy
- To participate in Subgroups ... just ask.

Another Generic Configuration



“White Paper”:

Rizzi, S.A., Huff, D.L., Boyd, Jr., D.D., Bent, P., Henderson, B.S., Pascioni, K.A., Sargent, D.C., Josephson, D.L., Marsan, M., He., H., Snider, R.: “Urban Air Mobility Noise: Current Practice, Gaps, and Recommendations”, NASA TP-2020-5007433, October 2020.



# Some References to Get You Started

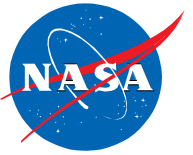
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- Lighthill, M.J.: “On Sound Generated Aerodynamically. I. General Theory”, *Proceedings of the Royal Society A*, 1952, vol 211, pp 564-587
- Ffowcs Williams, J.E., Hawkings, D.L.: “Sound Generated by Turbulence and Surfaces in Arbitrary Motion”, *Philosophical Transactions of the Royal Society A*, 1969, vol 264, pp 321-342
- Brentner, K.S.: “Modeling Aerodynamically Generated Sound: Recent Advances in Rotor Noise Prediction”, AIAA Paper 2000-0345, 38th Aerospace Sciences Meeting and Exhibit, Reno, NV, January 10-13, 2000.
- Lopes, L.V., Boyd, Jr., D.D., Nark, D.M., Wiedemann, K.E.: “Identification of Spurious Signals from Permeable Ffowcs Williams and Hawkings Surfaces”, 73rd AHS Annual Forum, Fort Worth, TX, May 9-11, 2017.
- Lopes, L.V.: “Compact Assumption Applied to the Monopole Term of Farassat’s Formulations”, *Journal of Aircraft*, vol 54 no 5, pp 1649-1663, September 2017.
- Lopes, L.V.: “ANOPP2’s Farassat Formulations Internal Functional Modules (AFFIFMs) Reference Manual, NASA TM-20210021111, December 2021.



# Questions / Discussions?





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# Backup material



# Some other topics

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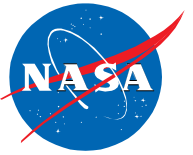
A few other *example* topics/cautions/myths:

- **Airframe/support can be as important (acoustically) as the rotor:**
  - Engine rotor/stator problem
  - DJI test in SALT: isolated rotor vs. rotor + rod/cone experiment
  - Electric motors make noise, too.
  - Lowering the RPM can bring out broadband noise
  - ...
  
- **Other sources:**
  - Engine rotor/stator problem
  - Electric motors make noise, too
  - Lowering the RPM can bring out broadband noise
  - Turbulence ingestion noise (TIN)
  - ...



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# Loudness, Noisiness, EPNL



# Equal Loudness

- 1933: Fletcher & Munson – comparison between two pure tones
  - Played a reference tone at **1 kHz at 40 dB**
  - Then another tone at a different frequency
  - Asked people to adjust the **loudness** of the 2nd tone to match the **loudness** of the reference
  - Performed same task for a wide range of frequencies

This produced a curve - as a function of frequency - called the **40-phon equal loudness curve**

Repeat process for different reference dB levels at 1 kHz ... 60 dB, 80 dB, 100 dB

Each reference level produces a **different** curve.

A curve fit to the **40-phon equal loudness curve** is called **A-weighting**

- There are other “weightings” ( B, C, D, Z...), usually tied to different phon levels
- However, **A-weighting** is nearly universal – regardless of the actual level.

Levels that use this weighting scaled are labeled “**dB(A)**” or “**dB(A)**”



# Equal Noisiness

- 1959: Kryter – comparison between random signals (geared toward jet noise)
  - Played a reference signal at **1 kHz center frequency at 40 dB**
  - Then another at a different center frequency
  - Asked people to adjust the *noisiness* of the second signal to match the *noisiness* of the reference
  - Performed same task for a wide range of center frequencies

This produced a curve an *equal noisiness curve* that was assigned a “noy” value = 1

Repeat process for different reference dB levels at 1 kHz

Each reference level produces a *different* curve.

A metric “**Perceived Noise Level (PNdB)**” was devised as this:

$$PNdB = 40 + \log_2(noy)$$

Fortuitously, for many problems of interest (*very roughly speaking*):

$$PNdB \approx dBA + 12$$



# Effective Perceived Noise Level (EPNdB)

Another metric was also created: “Effective Perceived Noise Level (EPNdB)”:

$$EPNL = PNL_{max} + 10 \log \left( \frac{t_{10}}{20} \right) + F(\text{dB}) \approx 3 \text{ dB}$$

*PNL<sub>max</sub>* is Max *PNdB* for a flyover

*t<sub>10</sub>* = time range that *PNdB* is 10 below *PNL<sub>max</sub>*

EPNL is used for FAA Noise Certification for “Appendix J Helicopters” and “Appendix K TiltRotors”

# Questions / Discussions?

