

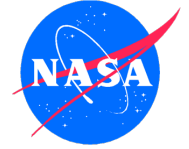
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# The PACE-MAPP algorithm

**Simultaneous** aerosol and ocean  
polarimeter products using  
**coupled** atmosphere-ocean  
vector radiative transfer and neural  
networks

**August 10, 2022**

# PACE-MAPP team



## PI

➤ Snorre Stamnes



➤ James Allen

➤ Adam Bell



## Co-Investigators

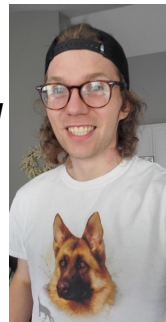
➤ Jacek Chowdhary



➤ Ed Chemyakin



➤ Michael Jones



➤ Sharon Burton



➤ Otto Hasekamp



## Collaborators

➤ Chris Hostetler



➤ Xu Liu



➤ Johnathan Hair



➤ Bastiaan van Dienenhoven



➤ Richard Ferrare



➤ Yongxiang Hu

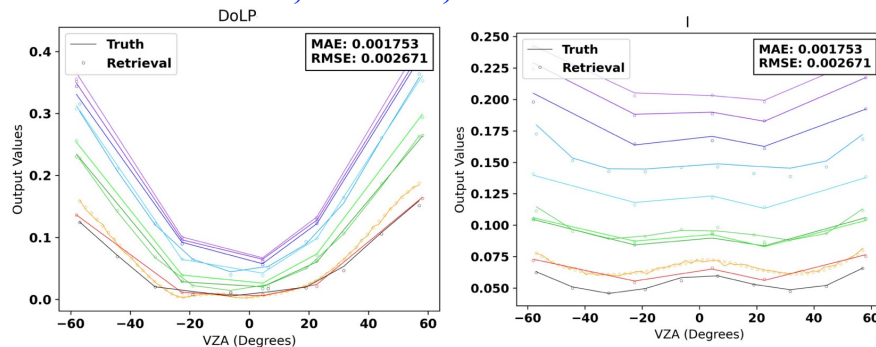


# PACE-MAPP collaborative algorithm project

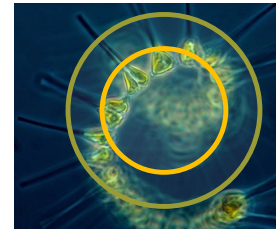
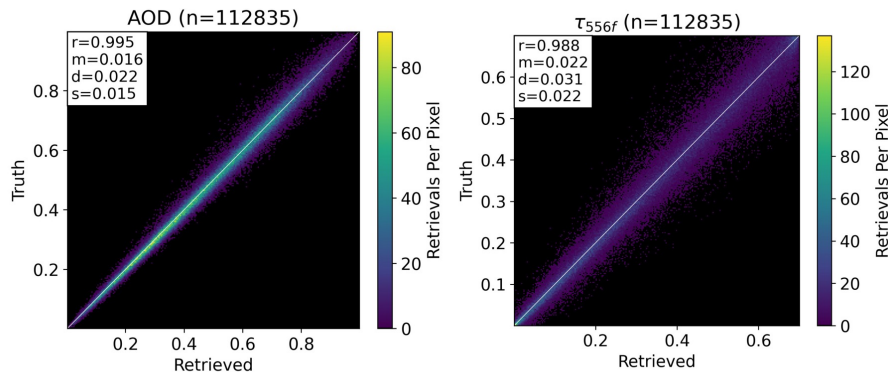


- Produce accurate aerosol optical and microphysical properties and ocean properties
- Use a coupled atmosphere-ocean vector radiative transfer (VRT) model
- Use accurate but fast Mie/SS/T-matrix LUTs
- Use scientific machine learning to speed-up retrievals by 1000x (PACE-MAPP Neural Network)

**PACE-MAPP is a multi-instrument polarimeter algorithm for SPEXone, HARP2, OCI shortwave infrared channels**

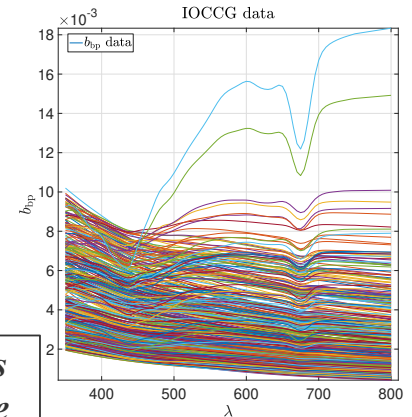


PACE-MAPP uses neural networks to become 1000x faster. 11 channels simulate UV-VIS-NIR at all viewing angles.

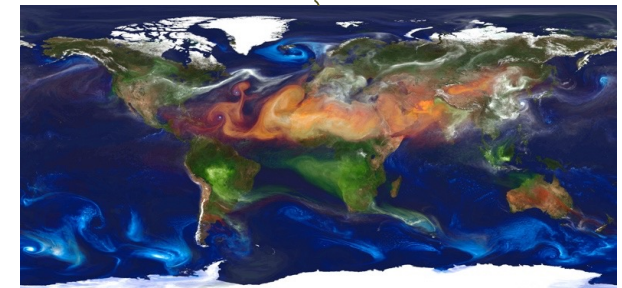


*Bio-optical model includes coated particles*

*Collaborations needed to solve challenges in coastal zones*

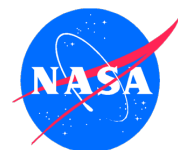


*Thin cirrus correction*



*Aerosol VIS-NIR-SWIR properties: fine mode (absorbing), sea salt, and dust*

# PACE-MAPP aerosol/thin cloud products



## □ Aerosol optical and microphysical properties

- Fine mode AOD (aerosol optical depth), SSA (single-scattering albedo, quantifies absorption), real refractive index, effective radius (size), and effective variance (size distribution width)
- Seasalt AOD, effective radius and effective variance (CRI assumed)
- Dust AOD, effective radius and effective variance (CRI modeled according to Hasekamp/SRON model, with updates from Chowdhary, Schuster and Moosmüller)

### Parameter Values

All values randomly selected from a uniform distribution. For VZA 160 angles are generated between 65° and -65° for every observation. Altitude is fixed at top of atmosphere (TOA).

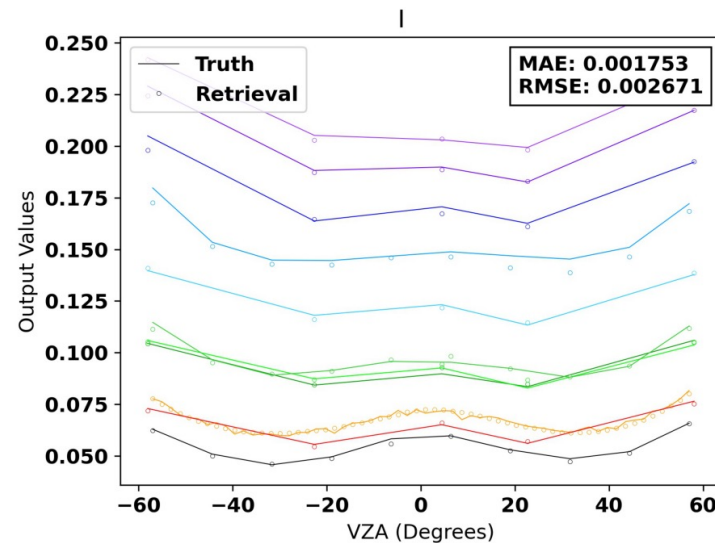
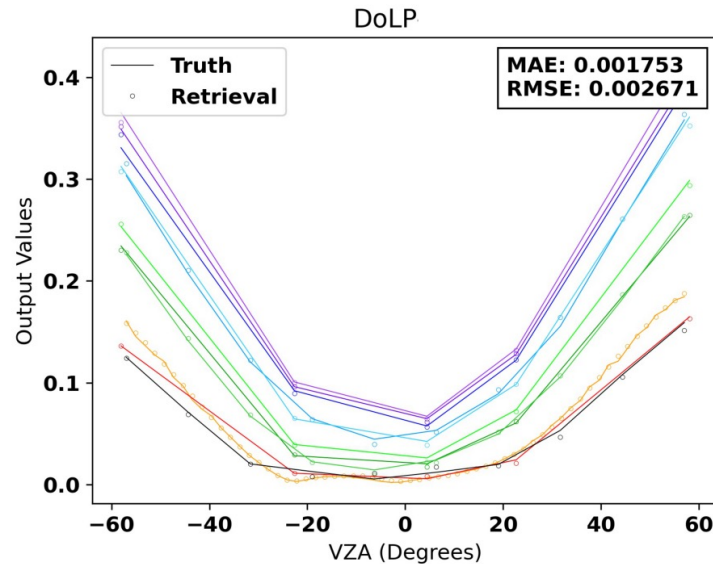
Parameter [Units]	Min	Max
SZA [degrees]	0	60
RAA [degrees]	0	180
$n_{rf}$	1.39	1.65
$n_{if}$	1e-5	0.045
$r_{nf}$	0.075	0.22
$r_{nc}$	0.5	1.5
$\tau_{556f}$	1e-5	0.7
$\tau_{556c}$	1e-5	0.3
$\sigma_{gf}$	log(1.4)	log(2.01)
$\sigma_{gc}$	log(1.35)	log(2.01)
FTL Base Height [km]	1.01	7.0
$v$ [m/s]	0.5	10
Chla [mg/m <sup>3</sup> ]	0.01	9.9

## □ Thin cirrus optical and microphysical properties

- Thin cirrus optical depth (< 1.0) and effective radius
- Sensitivity to shape and height will be assessed



# PACE-MAPP Neural Network



**1000x faster than online VRT**

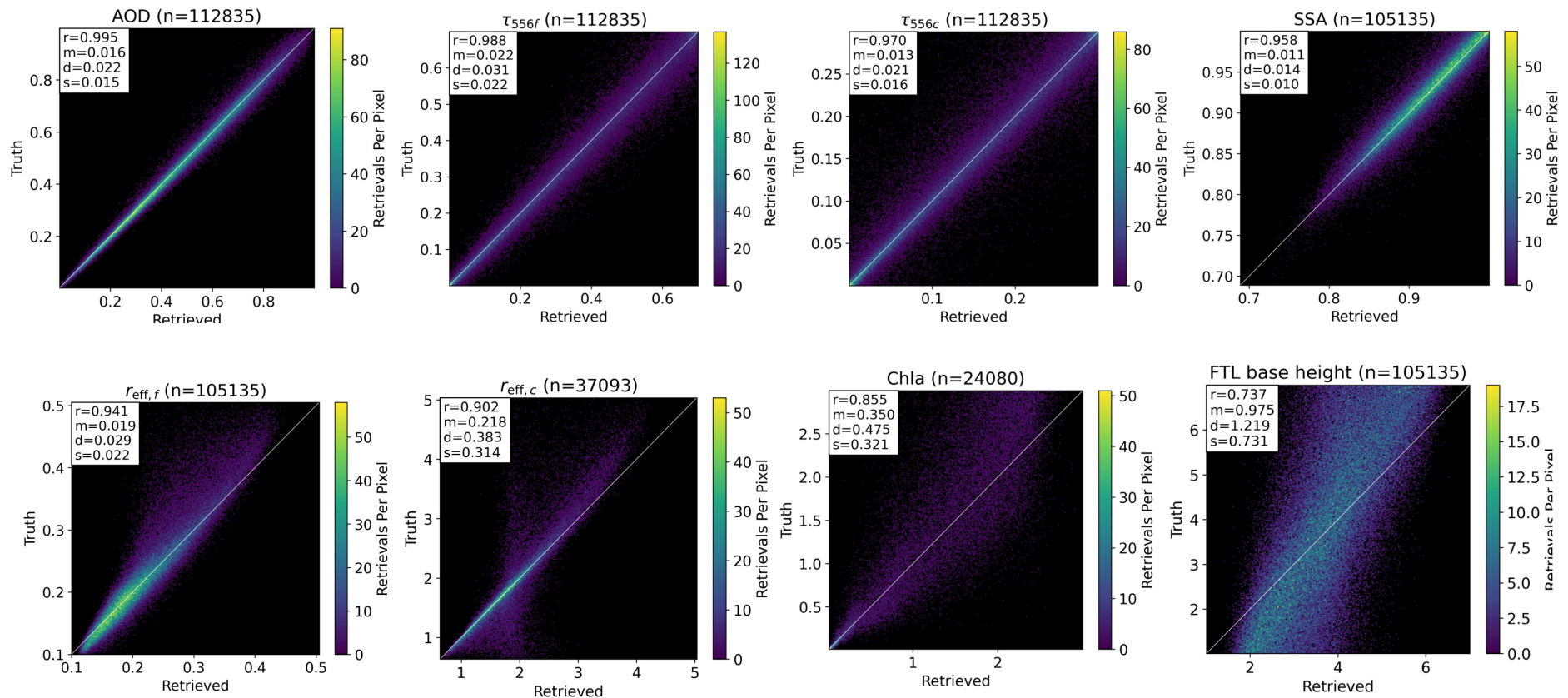
**11 channels simulating SPEXone and HARP2 from UV-VIS-NIR  
(556, 385, 396, 413, 441, 470, 533, 549, 669, 759, and 873 nm)**

**All viewing angles**

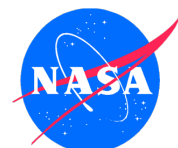
**Performance  
goal for  
retrievals:**

**1 second per  
L1C pixel**

# PACE-MAPP simulated retrieval performance



# Coated hydrosol LUT scale invariance rule

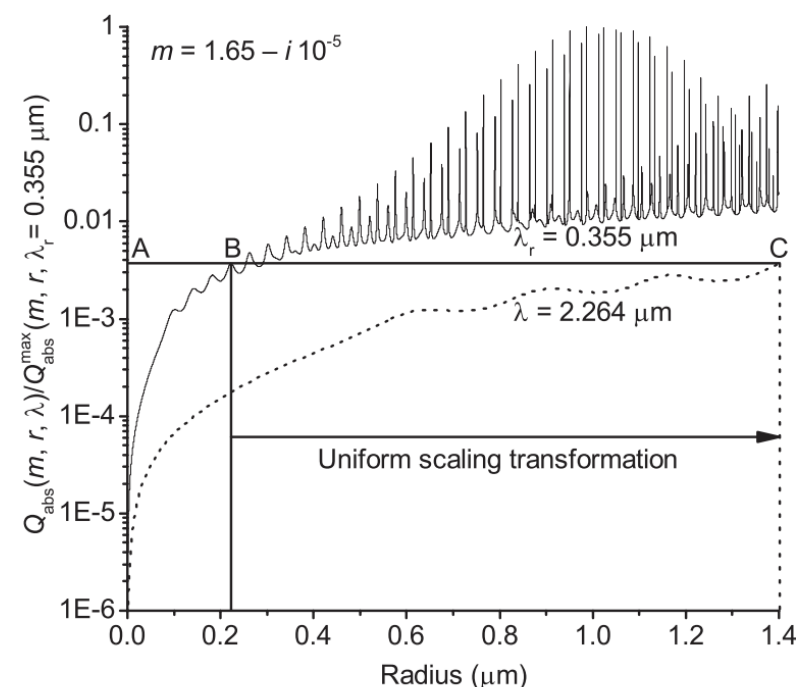


Size parameter

$$x = 2\pi \frac{1.4}{2.264} = 2\pi \frac{r}{\lambda} = 2\pi \frac{\frac{0.355}{2.264} \cdot 1.4}{0.355}$$

Efficiencies

$$Q_p(., r, \lambda) = Q_p\left(., \frac{\lambda_r}{\lambda} r, \lambda_r\right)$$



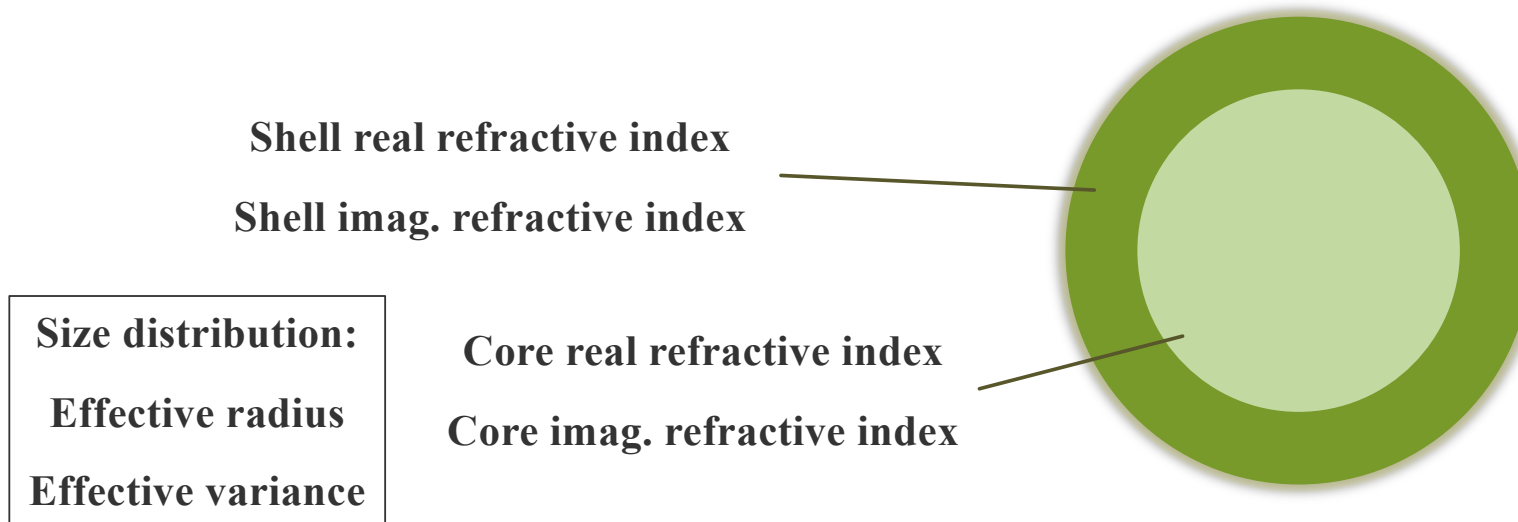
Normalized absorption efficiencies at wavelengths 0.355 and 2.264  $\mu\text{m}$  are related by a uniform scaling transformation which is a type of Euclidean affinity transformation.

In terms of integrals 
$$\int_{r_{\min}}^{r_{\max}} Q_p(., r, \lambda) d \ln r = \int_{\frac{\lambda_r}{\lambda} r_{\min}}^{\frac{\lambda_r}{\lambda} r_{\max}} Q_p(., r, \lambda_r) d \ln r.$$



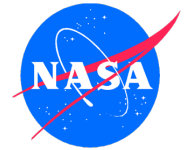
# Coated hydrosol LUT

- We have created a coated hydrosol LUT for PACE
  - Coated particles can realistically simulate bbp without resorting to tiny sizes as required by solid spheres
  - LUT structure based on Chemyakin et al., 2021
- Core-to-shell ratio: 0.85





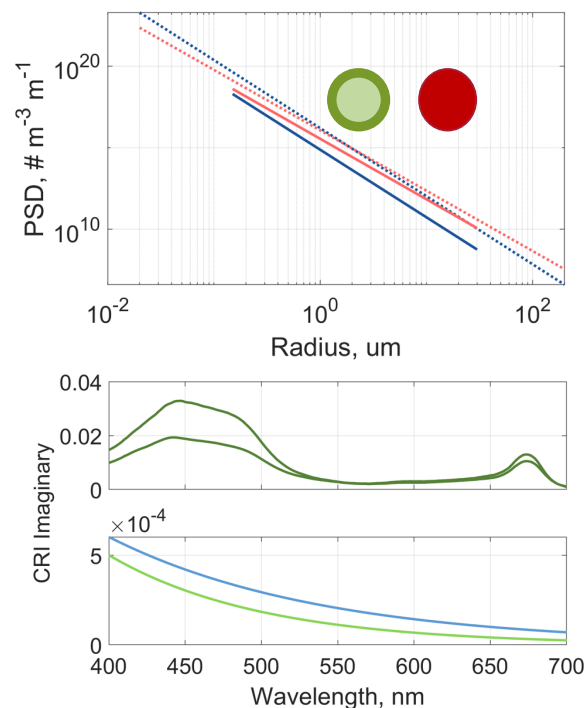
# PACE-MAPP has 2 Bio-Optical Models



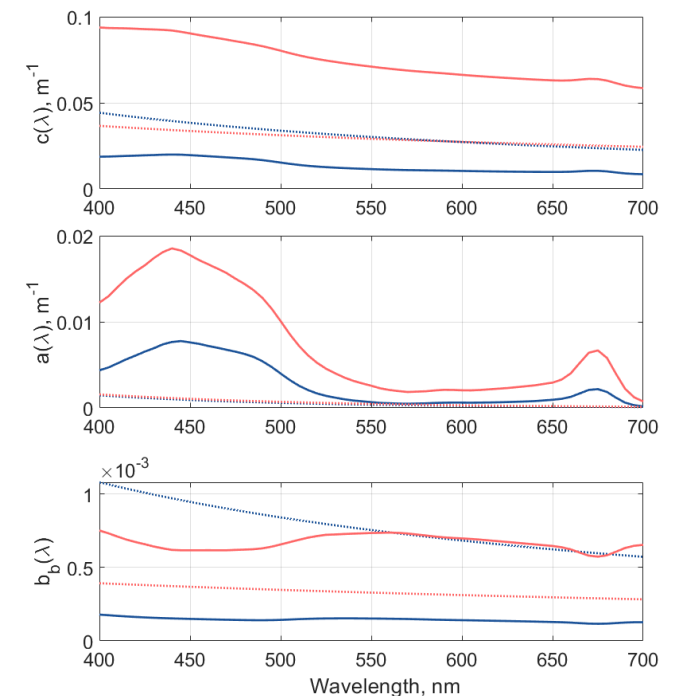
- For simple waters that can be parameterized by chlorophyll-a concentration
  - Bio-optical model from Chowdhary et al., 2006, 2012
- For complex waters found in coastal zones or very clear waters
  - We are developing a new bio-optical model with phytoplankton (coated spheres) and non-algal particles (solid spheres)
  - Coated spheres can produce realistic spectral variations in bbp without resorting to empirical formulas

## Bulk Particle Optics

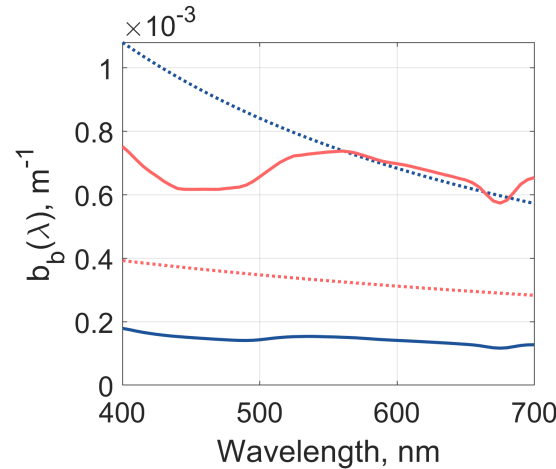
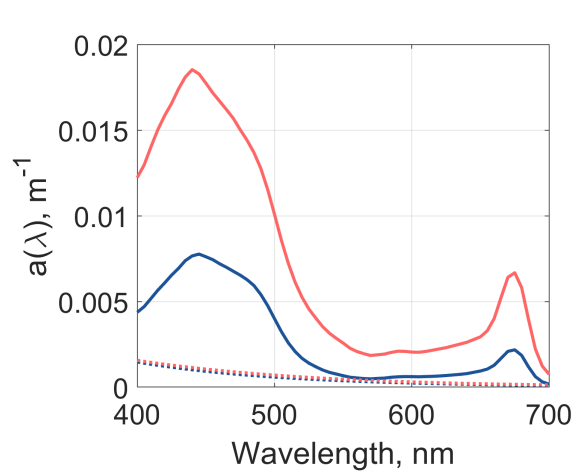
Model Inputs	
Chlorophyll	0.01-50mg m <sup>3</sup>
Chl Density	1-10kg m <sup>3</sup>
Phyto Shell n	1.05-1.2
NAP n	1.02-1.2
NAP n' slope	0.007186
NAP n' intercept	0.010658
Junge PSD	2.5-6
% Phyto Volume	0.05-0.95



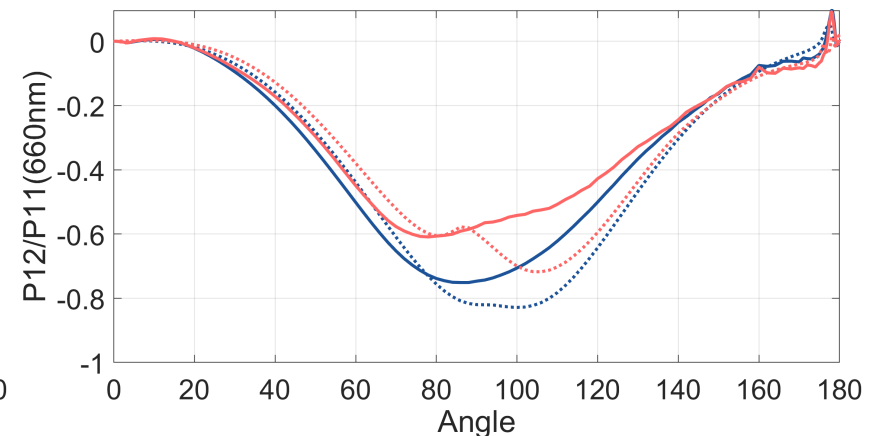
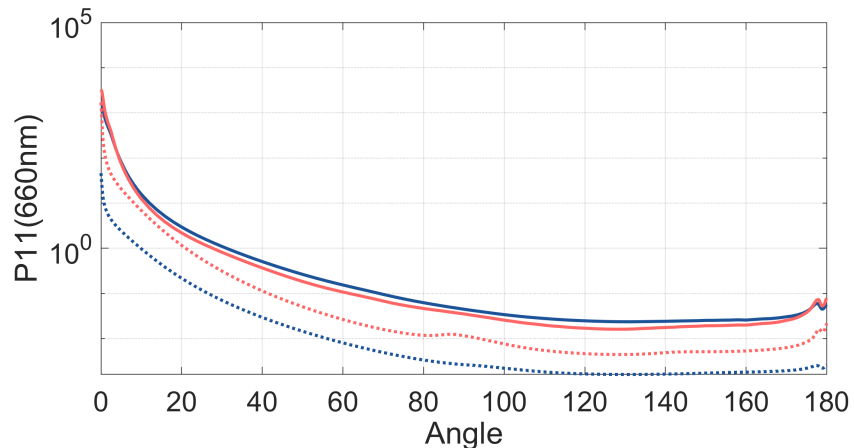
## Model Outputs



# BOM Test Inversion



Model Inputs:  
Chl  
Rrs(lambda)



**Retrievals show realistic results from satellite remote sensing reflectance**

**Scattering processes switch between phytoplankton and non-algal particles**

**Future work will improve the Imaginary Refractive Index (IRI) for the phytoplankton shell**

# Vector Radiative Transfer Code (VRT) Updates in PACE-MAPP



## **Integrate Atmosphere-Ocean System model: *eGAP* (Chowdhary et al., 2020)**

- ❑ eGAP is one of a small number of coupled atmosphere-ocean VRT models
- ❑ Calculates total and polarized ( $I$ ,  $Q$ , and  $U$ ) reflectance of radiation emerging from top of an atmosphere-ocean system
- ❑ Extended to include underwater light computations of:
  - Upwelling radiance ( $L_u$ ) at four user-specified ocean depths
  - Upwelling irradiance ( $E_d$ ) at four user-specified ocean depths
  - Diffuse irradiance attenuation coefficient ( $K_d$ ) at three user-specified ocean depths
  - Remote sensing reflectance values just above the ocean surface ( $R_{rs}$ )
- ❑ Incorporate new coated hydrosol LUT bio-optical model
  - Compute water leaving contributions to total and polarized reflectance measurements
- ❑ Modeling of optically thin cirrus cloud properties (alone or coincident with aerosols) to aid in:
  - Cirrus cloud detection
  - Quantify bias in retrieved aerosol properties due to thin cirrus

## □ The ubiquity of high-level clouds

- CAMP2Ex NASA field campaign conducted near the Philippines
- For all CAMP2Ex flights, 53% of flights had above-aircraft cloud fraction above 50% (clouds above ~7 km)
- For ACTIVATE in the North Atlantic off the coast of Virginia: 52% in 2020 and 54% in 2021

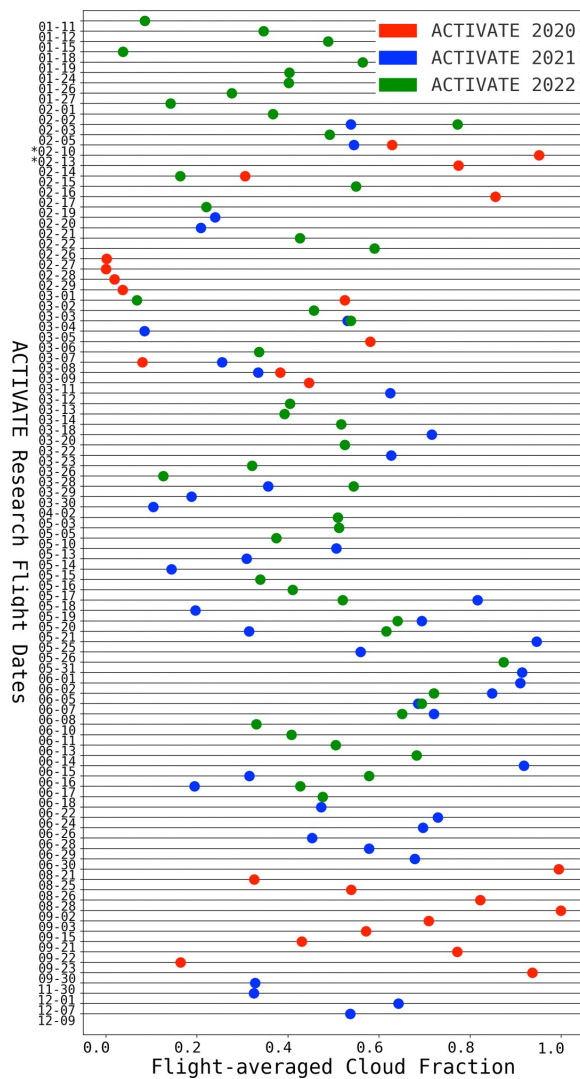
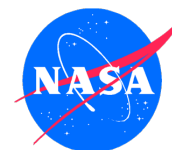
## □ Impacts on aerosol radiative forcing

- Thorsen et al. (2020) demonstrate sensitivity of shortwave aerosol direct radiative effect (DRE) to thin cirrus clouds
- Aerosols **below** thin clouds occur with greater frequency and are more impactful on overall aerosol DRE than aerosols **above** clouds

## □ Thin cirrus aliasing of aerosol property retrievals

- Impact retrievals of aerosol optical depth (AOD) and aerosol physical properties like shape, size, and single-scattering albedo (SSA)
- How does the presence of thin high-level cloud/cirrus impact our goal to retrieve AOD and SSA to ~0.02?

# Why worry about high-level clouds/cirrus?



## CAMP2Ex above-aircraft cloud fraction

Flight	Cirrus	No cirrus	Incloud
	P3	P3	P3
20190824	0.75	0	0.25
20190827	0.61	0.24	0.15
20190829	0.64	0.07	0.29
20190830	0.71	0.18	0.11
20190904	0.87	0.03	0.10
20190906	0.83	0.03	0.14
20190908	0.56	0.22	0.22
20190913	0.81	0.13	0.05
20190915	0.77	0.10	0.13
20190916	0.59	0.24	0.17
20190919	0.79	0.12	0.09
20190921	0.70	0.26	0.04
20190923	0.34	0.61	0.05
20190925	0.24	0.74	0.02
20190927	0.32	0.66	0.01
20190929	0.92	0.04	0.05
20191001	0.57	0.35	0.08
20191003	0.42	0.57	0.01
20191005	0.23	0.87	0.05
<b>All Flights</b>	<b>0.61</b>	<b>0.28</b>	<b>0.11</b>

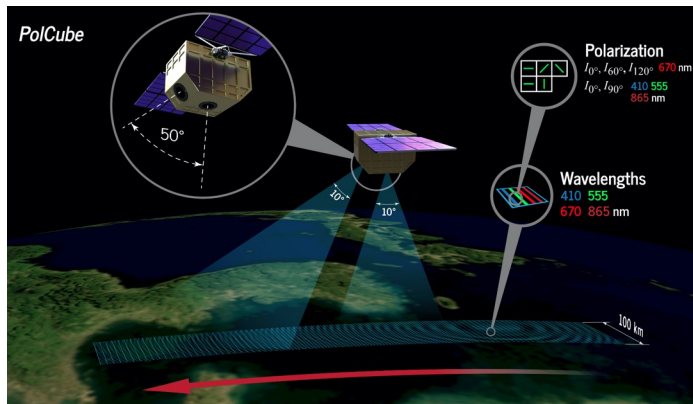


# Conclusion



- PACE-MAPP-related papers/presentations:
  - PACE-MAPP paper: submitted to Frontiers in Remote Sensing
  - MAPP paper: <https://doi.org/10.1364/AO.57.002394>
  - VDISORT paper: <https://doi.org/10.3389/frsen.2022.880768>
  - Aerosol LUT paper: <https://doi.org/10.3389/frsen.2021.711106>
  - Cloud LUT: See Ed Chemyakin's APOLO poster
  - Bio-optical model: James Allen's APOLO poster
  - Hydrosol LUT: <https://science.larc.nasa.gov/polarimetry>
  - Thin cirrus: <https://agu.confex.com/agu/fm21/meetingapp.cgi/Paper/928558>
  - Polarimeter + lidar-derived Na paper: <https://doi.org/10.3389/frsen.2022.885332>
- Welcome to all questions, suggestions, collaborators!

**Polarimeter Aerosol,  
Hydrosol, Cloud, Coated  
Hydrosol LUTs are  
available to the research  
community:**  
[https://science.larc.nasa.gov/  
polarimetry](https://science.larc.nasa.gov/polarimetry)



**PolCube CubeSat polarimeter:**  
<https://doi.org/10.3389/frsen.2021.709040>  
*Polarimeter engineer opportunity*  
[snorre.a.stamnes@nasa.gov](mailto:snorre.a.stamnes@nasa.gov)