1	Evaluation of remote sensing-based evapotranspiration products at low-latitude eddy covariance
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30 Abstract

31 Remote sensing-based evapotranspiration (ET) products have been evaluated primarily using 32 data from northern middle latitudes; therefore, little is known about their performance at low 33 latitudes. To address this bias, an evaluation dataset was compiled using eddy covariance data from 40 sites between latitudes 30° S and 30° N. The flux data were obtained from the emerging 34 35 network in Mexico (MexFlux) and from openly available databases of FLUXNET, AsiaFlux, and 36 OzFlux. This unique reference dataset was then used to evaluate remote sensing-based ET 37 products in environments that have been underrepresented in earlier studies. The evaluated 38 products were: MODIS ET (MOD16, both the discontinued collection 5 (C5) and the latest collection (C6)), Global Land Evaporation Amsterdam Model (GLEAM) ET, and Atmosphere-39 40 Land Exchange Inverse (ALEXI) ET. Products were compared with unadjusted fluxes (ET_{orig}) 41 and with fluxes corrected for the lack of energy balance closure (ET_{ebc}). Three common statistical metrics were used: coefficient of determination (R^2) , root mean square error (RMSE), 42 43 and percent bias (PBIAS). The effect of a vegetation mismatch between pixel and site on product 44 evaluation results was investigated by examining the relationship between the statistical metrics 45 and product-specific vegetation match indexes. Evaluation results of this study and those published in the literature were used to examine the performance of the products across latitudes. 46 Differences between the MOD16 collection 5 and 6 datasets were generally smaller than 47 48 differences with the other products. Performance and ranking of the evaluated products depended on whether ET_{orig} or ET_{ebc} was used. When using ET_{orig}, GLEAM generally had the highest R², 49 50 smallest PBIAS, and best RMSE values across the studied land cover types and climate zones. 51 Neither MOD16 nor ALEXI performed consistently better than the other. When using ET_{ebc} , 52 none of the products stood out in terms of both low bias and strong correlations. The use of ET_{ebc}

53	instead of ET _{orig} affected the biases more than the correlations. The product evaluation results
54	showed no significant relationship with the degree of match between the vegetation at the pixel
55	and site scale. The latitudinal comparison showed tendencies of lower R^2 (all products) but better
56	PBIAS and normalized RMSE values (MOD16 and GLEAM) for forests at low latitudes than for
57	forests at northern middle latitudes. For non-forest vegetation, the products showed no clear
58	latitudinal differences in performance.
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60	Keywords: MOD16; GLEAM; ALEXI; tropics; subtropics

62 1. Introduction

63 The low latitudes $(30^{\circ} \text{ S}-30^{\circ} \text{ N})$ are characterized by large contrasts in terrestrial 64 evapotranspiration (ET). They are home to tropical rainforests and other ecosystems with 65 abundant rainfall where energy (radiation) is the main constraint to ET (see, for example, Fisher et al., 2009; Bruijnzeel et al., 2011). They are also home to tropical and subtropical arid and 66 semi-arid ecosystems where ET is limited by water supply (see, for example, Eamus et al., 2013; 67 68 Delgado-Balbuena et al., 2019). Estimates of ET for this region are needed for local and regional applications such as water resource management and drought monitoring and for global 69 70 applications such as climate change studies (Wang and Dickinson, 2012; Fisher et al., 2017). The 71 spatial and temporal scale of these applications require other methods than those used to study 72 ET at the plot to ecosystem scale (i.e., lysimeter, sap flow, and micrometeorological methods). 73 Recently, the potential of remote sensing-based ET estimates for these purposes has been 74 recognized (Dolman et al., 2014; Fisher et al., 2017; Sheffield et al., 2018). 75 Since the 1990s, numerous remote sensing-based ET models have been developed (see Ke Zhang 76 et al., 2016 for an overview). These models can be broadly divided into three categories (in no 77 specific order): models based on the (1) Penman-Monteith (Monteith, 1965) or (2) Priestley-78 Taylor (Priestley and Taylor, 1972) equations and (3) models that determine the sensible heat 79 flux (H) and calculate ET (or latent heat flux, LE) as the residual of the surface energy balance 80 (i.e., so-called SEB models; Wang and Dickinson, 2012; Ke Zhang et al., 2016; Chen and Liu, 81 2020). Of interest to the user community is the development of global ET products from these 82 models that are readily available to the public and regularly updated to include the latest data. Two such datasets have been produced since the early 2010s: 1) the MODIS ET product based 83 84 on the MOD16 algorithm (Penman-Monteith type model; Mu et al., 2007, 2011; Running et al., 85 2019); and 2) the ET product from the Global Land Evaporation Amsterdam Model (GLEAM;

Priestley-Taylor type model; Miralles et al., 2011; Martens et al., 2017). More recently, global
ET datasets based on the SEB model of Senay et al. (2013, 2020) and the Penman-MonteithLeuning (PML) model of Yongqiang Zhang et al. (2016, 2019) have become available. Efforts
are also underway to develop a global ET product based on the Atmosphere-Land Exchange
Inverse (ALEXI) model (another SEB-based approach; Anderson et al., 2011; Hain and
Anderson, 2017; Holmes et al., 2018).

92 Measurements of ET from eddy covariance flux towers have been used as the standard reference 93 data against which remote sensing-based ET products are evaluated (Miralles et al., 2011; Mu et 94 al., 2011; Holmes et al., 2018; Yongqiang Zhang et al., 2019; Senay et al., 2020), despite the 95 problems related to the lack of energy balance closure observed at eddy covariance sites and the scale difference between the flux footprint and the model pixels (see below). Broadly speaking, 96 97 two different types of evaluation studies can be distinguished: 1) those that evaluate the 98 published ET datasets (hereafter referred to as product evaluation studies); and 2) those that 99 evaluate the performance of the underlying models (model evaluation studies). In the latter type 100 of study, all models are run with the same input data to isolate the effect of different modeling 101 approaches from differences in forcing data (Vinukollu et al., 2011a, b; McCabe et al., 2016; 102 Michel et al., 2016; Melo et al., 2021). Because remote sensing ET models are sensitive to 103 changes in input data (Vinukollu et al., 2011b; Badgley et al., 2015), the results of model 104 evaluation studies do not necessarily apply to the actual products. 105 The performance of remote sensing-based ET products at low latitudes is largely unknown 106 because most evaluation studies have focused on the northern middle latitudes $(30^{\circ} \text{ N}-60^{\circ} \text{ N})$; 107 Miralles et al., 2011; Mu et al., 2011; Kim et al., 2012; Hu et al., 2015; Velpuri et al., 2013; Tang 108 et al., 2015; Reitz et al., 2017; Holmes et al., 2018; Khan et al., 2018). The few studies that

109 evaluated ET products at low latitudes did this at a small number (two to five) of eddy

110 covariance sites (Ruhoff et al., 2013; Ramoelo et al., 2014; Aguilar et al., 2018; Souza et al., 111 2019). The bias toward the northern middle latitudes can be explained by geographic differences 112 in the availability of eddy covariance data (Schimel et al., 2015; Villareal and Vargas, 2021). 113 Because of the lack of evaluation results from the low latitudes, it is unknown whether global 114 remote sensing-based ET products perform equally well at all latitudes. One can think of several 115 reasons why this might not be the case. For example, the MOD16 ET algorithm was calibrated 116 using eddy covariance data from sites located primarily in the US and Canada (Mu et al., 2011). 117 Hence, it is possible that the model is less accurate in other regions of the world, including the 118 low latitudes (Kun Zhang et al., 2019). Similarly, GLEAM uses constant values for the Priestley-Taylor coefficient (α ; Miralles et al., 2011), while α varies with climate (Shuttleworth, 1993) and 119 forest type (Komatsu, 2005). Because the distribution of climate and forest types is related to 120 121 latitude, the use of constant values for α may result in (apparent) latitude-dependent biases in ET. 122 Latitudinal differences in product performance can also be caused by regional differences in 123 input data quality (Vinukollu et al., 2011b) or cloud cover (Running et al., 2019). 124 While eddy covariance observations of ET are probably the best option to evaluate remote 125 sensing datasets, there are two problems to consider: 1) the energy balance observed at eddy 126 covariance sites is usually not closed; and 2) the footprint of the eddy covariance observations 127 and the pixels of the ET products have different spatial scales. The degree of energy balance closure is quantified by the energy balance ratio (EBR), which is the ratio of turbulent energy 128 129 fluxes (H + LE) to available energy, A (Wilson et al., 2002). Available energy is the difference 130 between net radiation (R_n) and changes in energy storage. The average EBR observed at eddy covariance sites is about 0.8 (Wilson et al., 2002; Stoy et al., 2013). While the cause of the 131 132 energy imbalance is still being investigated, there are several plausible explanations, including 133 the systematic underestimation of the eddy covariance fluxes (Frank et al., 2016; Gao et al.,

134 2017; Mauder et al., 2020). As a practical solution to the closure problem, the energy surplus is 135 added to H and LE. Because it is unknown in what proportion the energy should be divided 136 between the fluxes (Mauder et al., 2020), the surplus is usually distributed in proportion to the 137 magnitude of H and LE, which preserves the Bowen ratio (Twine et al., 2000). Comparisons with independent estimates of ET have yielded contrasting results, with some studies finding 138 139 better agreement for energy balance closure-corrected ET (Barr et al., 2012; Mauder et al., 2018) 140 and others for unadjusted ET (Denager et al., 2020). Although the energy balance closure 141 problem has been recognized for many years (Wilson et al., 2002; Foken et al., 2011), its effect 142 on the evaluation results of remote sensing-based ET products or models has rarely been examined (Michel et al., 2016; Melton et al., 2021). 143 144 The evaluation results can also be affected by the scale difference between the footprint of the 145 eddy covariance observations and the pixels of the ET products. The flux footprint is typically smaller than 1 km² (Chu et al., 2021), while the pixel sizes of ET products are as small as 0.25 146 km² (MOD16) and as large as 750 km² (GLEAM). The scale difference can result in a mismatch 147 148 in vegetation between pixel and site (Hobeichi et al., 2018; Jiménez et al., 2018). Such a 149 mismatch may also result from errors in the vegetation input data used by the models (due to, for 150 example, incorrect classification). Because most models calculate ET using land cover-specific 151 parameters (Anderson et al., 2007; Miralles et al., 2011; Mu et al., 2011), a mismatch between 152 the actual vegetation of the observation site and that detected in the model pixel could potentially 153 affect the evaluation results (Hu et al., 2015). However, the few studies that have examined this 154 issue found no clear effect (Hobeichi et al., 2018; Jiménez et al., 2018). 155 The objectives of this study were to: 1) evaluate the performance of the MOD16 and GLEAM global ET products as well as of ET based on the ALEXI model at 40 eddy covariance sites in 156

157 the low latitudes; 2) examine the effect of the energy balance closure problem on product

158 evaluation results; 3) examine the dependence of product evaluation results on the vegetation-159 match between pixel and site; and 4) investigate potential latitudinal dependence of product 160 performance. The MOD16 and GLEAM products were chosen because they are the longest 161 regularly produced remote sensing-based ET datasets. From MOD16, both the discontinued collection 5 (C5) and the latest collection (C6) were evaluated (Mu et al., 2011; Running et al., 162 163 2019). In the case of GLEAM, the v3.3a dataset was evaluated (Martens et al., 2017). While 164 most applications of ALEXI have focused on the continental US, recent efforts have paved the 165 way for routine global implementation of ALEXI (Hain and Anderson, 2017). The reference 166 dataset compiled in this study provides an excellent opportunity to evaluate the performance of 167 ALEXI at low latitudes. The products were evaluated using a reference dataset of eddy covariance observations, including data from the emerging flux network in Mexico (MexFlux; 168 169 Vargas et al., 2013; Delgado-Balbuena et al., 2018) and from openly available databases of 170 FLUXNET (Pastorello et al., 2020), AsiaFlux, and OzFlux (Beringer et al., 2016).

171

172 2. Methods

173 2.1. Data

174 The remote sensing-based ET products evaluated in this study have different spatial and 175 temporal resolutions (Table 1). The comparisons with the eddy covariance ET observations were 176 made at the original spatial resolution of each product, except in the case of MOD16 C6 for 177 which the 500-m data were resampled to a 1-km resolution to match MOD16 C5. Using the 178 original spatial resolution is the common practice when evaluating these products against eddy covariance data (see references in Table S4). An exception was made for MOD16 C6 to allow 179 180 for a more direct comparison with the previous C5 version. The effect of the scale mismatch 181 between product pixel and flux footprint on the evaluation results was examined using the

vegetation match index (Section 2.3). For each product, ET data were obtained from the pixels
matching the location of the flux towers (Velpuri et al., 2013; Hu et al., 2015). To evaluate all
products at the same temporal resolution (some performance statistics depend on the temporal
resolution of the data), the daily GLEAM and ALEXI data were averaged over the 8-day MODIS
interval. This was the highest common temporal resolution possible among the evaluated
datasets. Likewise, the eddy covariance data were averaged to yield mean daily ET for each
MODIS interval (Section 2.1.4).

189 The remote sensing ET products were evaluated by grouping the data by land cover type and 190 climate zone (Section 2.2). The eddy covariance data from the various sites were collected 191 during different periods between 2000 and 2019, with the length of the data records ranging from 1 to 11 years (Table 2). Hence, the flux datasets for a given land cover type or climate zone may 192 193 not coincide in time. In addition, data availability varied among the evaluated products. MOD16 194 C5 was discontinued in 2015 and GLEAM data for 2019 were not available at the time of download (Table 1). For GLEAM and ALEXI, seven and four sites, respectively, were omitted 195 196 from the analysis because the fraction of open water in the corresponding pixels was too high 197 due to proximity to the coast (Sections 2.1.2 and 2.1.3). This problem did not affect MOD16 198 because of the smaller pixel size. As a result, the amount of data available for each of the 199 comparisons by land cover type and climate zone often varied from product to product (Table 3). Ideally, one would compare the products using a common reference dataset (i.e., same sites and 200 201 same MODIS intervals). However, this would reduce the amount of available data by about one-202 third (12 fewer sites and about 36% fewer MODIS intervals). Therefore, it was decided to 203 perform the regression analysis of observations versus product estimates (Section 2.2.1) and the 204 comparison of the performance statistics by land cover type and climate zone (Sections 2.2.2 and 205 2.2.3) using the complete dataset. The extent to which the two approaches (all data or a common

206	reference dataset) may have influenced the results was examined through a sensitivity analysis
207	(Section 2.2.4). The seasonal trend analysis (Section 2.2.5) was performed using the common
208	reference dataset.
209	The MOD16 and GLEAM ET data were extracted from the published global ET datasets.
210	Because detailed information about the models and datasets used to generate these products can
211	be found in the references listed in Table 1, only a brief explanation is provided below. The
212	ALEXIET data were calculated specifically for this study. The methodology is described in
213	Anderson et al. (2011) and Hain and Anderson (2017). For completeness, the main features of
214	the model and the specific input datasets used are briefly described below.
215	
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218 2.1.1. MODIS ET data

219 The MOD16 ET product is derived using a three-source Penman-Monteith model, which

estimates ET as the sum of evaporation from the dry canopy (transpiration), wet canopy

221 (interception loss), and soil (Mu et al., 2007, 2011). Separate calculations are performed for the

222 day and night. The model uses MODIS retrievals of: albedo (for the calculation of R_n); fraction

223 of absorbed photosynthetically active radiation, FPAR (to partition R_n between canopy and soil);

land cover type (to assign the physiological parameters needed to calculate the leaf stomatal and

225 aerodynamic resistances); and leaf area index (to calculate the bulk canopy resistances). The land

cover-specific parameters in the MOD16 algorithm were obtained by comparison with eddy

covariance flux data from 46 sites (located primarily in the US and Canada). MOD16 C5 used

- 228 C4 MOD12Q1 Land Cover Type 2 data, while MOD16 C6 uses the MCDLCHKM product
- 229 (Running et al., 2019). The meteorological data (incoming shortwave radiation and air

230 temperature and humidity) are obtained from reanalysis products (Modern-Era Retrospective 231 analysis for Research and Applications version 2 (MERRA-2) for C5 and Goddard Earth 232 Observing System Model Version 5 (GEOS-5) for C6). Transpiration and soil evaporation are 233 constrained by vapor pressure deficit, VPD (i.e., there is no soil moisture control). The C5 data 234 were downloaded from the University of Montana's Numerical Terradynamic Simulation Group 235 (NTSG) website (https://www.ntsg.umt.edu/project/modis/mod16.php). The C6 data were 236 obtained from NASA's Land Processes Distributed Active Archive Center (LP DAAC) 237 (https://lpdaac.usgs.gov/). The gap-filled version of the C6 dataset was used. The gap-filling 238 method is the same as that used for MOD16 C5 (Running et al., 2019). Apart from the difference 239 in resolution, the C5 and C6 datasets were produced using different reanalysis datasets and 240 different MODIS vegetation and albedo products.

241

242 2.1.2. GLEAM ET data

243 In GLEAM, ET is defined as the sum of the following processes: transpiration from short and 244 tall vegetation, bare soil evaporation, rainfall interception loss from tall vegetation, open water 245 evaporation, and snow sublimation (Miralles et al., 2011; Martens et al., 2017). The rainfall 246 interception loss module is based on the Gash (1979) analytical rainfall interception model 247 (Miralles et al., 2010). GLEAM v3.3a used the MEaSUREs VCF5KYRv001 product (Hansen et al., 2018) to determine the fractions of bare soil, short vegetation, and tall vegetation. The model 248 249 first calculates potential ET with the Priestley-Taylor equation using R_n and air temperature from 250 reanalysis data (ERA-Interim). For bare soil and short vegetation, the typical value of 1.26 is 251 used for the Priestley-Taylor α coefficient, while for tall vegetation $\alpha = 0.97$ (Martens et al., 252 2017). Actual ET is calculated by multiplying potential evaporation with land cover-dependent 253 stress functions. The stress functions simulate soil water constraints on transpiration and soil

254 evaporation. Soil water content is estimated using a multilayer running water balance model that 255 uses a merged precipitation product, ET from the previous time step, and microwave surface soil 256 moisture as the main inputs. The soil is divided in three layers: shallow (0-10 cm); intermediate 257 (10–100 cm); and deep (100–250 cm). Tall vegetation can extract water from all three layers, 258 short vegetation can extract water from the shallow and intermediate layers, and for bare soil 259 evaporation only water from the shallow layer is available. The stress functions for vegetation 260 also simulate the effect of phenology using microwave vegetation optical depth. The data were 261 accessed through the GLEAM website (https://www.gleam.eu). GLEAM pixels containing more 262 than 20% open water were excluded (this concerned a total of seven sites; Table S2). The open 263 water fraction (OWF) was obtained from the MOD44B product (Section 2.3). This filtering was performed only for GLEAM. In the case of ALEXI, sites affected by the presence of open water 264 265 were filtered out during production of the dataset (Section 2.1.3), while in the case of MOD16, no sites were affected because of the smaller pixel size. 266

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268 2.1.3. ALEXI ET data

269 The ALEXI algorithm consists of a two-source SEB model coupled with an atmospheric 270 boundary layer model (Anderson et al., 1997, 2007). The latent heat flux is calculated separately 271 for the canopy and soil. An initial estimate of the canopy LE is obtained using the Priestley-272 Taylor equation with $\alpha = 1.26$ (assuming potential transpiration). Next, the soil LE is calculated 273 as the residual of the energy balance. If the resulting soil LE is negative, the actual canopy LE 274 must be less than the potential value (which may indicate an effect of soil water limitation on 275 transpiration). The α coefficient is then reduced until the residual soil LE is non-negative. The 276 calculated LE represents the instantaneous flux at approximately one hour before local noon. This time corresponds to the end of the time span over which H is calculated (see below). The 277

278 instantaneous latent heat fluxes are extrapolated to daily ET values by multiplying by the ratio of 279 daily total to instantaneous shortwave radiation and dividing by the latent heat of vaporization. 280 The ALEXI algorithm calculates H from the morning rise in the radiometric surface temperature 281 (Hain and Anderson, 2017). By using the temporal change in surface temperature, the effect of 282 bias in the temperature retrievals on H is minimized. This ALEXI implementation uses the 283 MODIS land surface temperature product (MYD11C1), retrieved using a generalized split-284 window atmospheric compensation technique (Wan, 2004). The composite values of surface 285 temperature are partitioned between canopy and soil using estimates of vegetation cover fraction 286 from leaf area index. The leaf area index data were obtained from the 8-day MODIS MOD15A3 287 product (Myneni et al., 2002). Instead of using absolute values of air temperature, ALEXI uses the slope of the vertical temperature profile (lapse rate) in the boundary layer. The lapse rate 288 289 profile, as well as the surface longwave radiation flux and wind speed were obtained from the 290 NCEP Climate Forecast System Reanalysis product (CFS-R, CFSRv2; Saha et al., 2010). 291 Incoming shortwave radiation fluxes were obtained from the CERES SYN1deg product 292 (Doelling, 2012). Soil heat flux is calculated as a diurnal varying function of net radiation 293 (Santanello and Friedl, 2003). The ALEXI model uses land cover data to assign canopy 294 parameters such as canopy height (to calculate the aerodynamic resistances to H) and leaf 295 absorptivity (to estimate R_n for the canopy and soil). The land cover data were obtained from the MODIS MCD12C1 product (Land Cover Type 2). Since the thermal infrared based surface 296 297 temperature observations are only available during clear sky conditions, ALEXI employs a gap-298 filling technique to generate estimates of weekly totals. The clear-sky fraction of actual ET to 299 incoming radiation is interpolated to a daily record and then multiplied by the daily incoming 300 radiation to generate a complete record. Along the coast the coarse-scale meteorological inputs 301 result in limited retrievals; this is why four coastal sites (Table S2) are not included in the

302 ALEXI dataset.

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304 2.1.4. Eddy covariance ET data

305 Data from four different flux networks (MexFlux, FLUXNET, AsiaFlux, OzFlux) were used to evaluate the ET products. The data from MexFlux were obtained directly from the site PIs (12 306 307 sites) because they were not available through a repository. The data from the other networks 308 were obtained through the respective web-based portals. FLUXNET data available under the 309 open data policy (tier 1) of the FLUXNET2015 dataset were used (Pastorello et al., 2020). This dataset includes a total of 28 sites between latitudes 30° S and 30 °N. From OzFlux and 310 311 AsiaFlux, openly available data from sites not included in FLUXNET2015 were considered (three and nine sites, respectively). Prior to the more extensive data quality control (see below), 312 313 sites for which the data record was too short (< 1 year), latent heat flux data were not available, 314 or the degree of energy balance closure was too low (EBR < 0.5) were excluded (one site from MexFlux, four sites from FLUXNET, and seven sites from AsiaFlux). This left a total of 40 sites 315 316 for further analysis (Figure 1, Table 2). Information needed for the correction of the soil heat flux 317 (G) data or for the calculation of the sensible and latent heat storage terms, S (see below) was 318 obtained from the metadata accompanying the datasets, from articles or other publications, or 319 directly from the site PIs.

The remote sensing ET products were evaluated using the mean daily eddy covariance ET (mm day^{-1}) calculated for each MODIS interval. The comparisons were made using the unadjusted eddy covariance fluxes (ET_{orig}) and those corrected for the lack of energy balance closure (ET_{ebc}). FLUXNET2015 includes corrected fluxes (Pastorello et al., 2020) but the datasets from the other networks do not. For consistency, the fluxes were corrected using the same method for all datasets (including FLUXNET2015). After filling the missing half-hourly or hourly values

326 (see below), a correction factor was calculated for each MODIS interval as A/(H + LE), where each term is the average daytime flux in W m⁻² (see above for definition of terms). Daytime was 327 defined as having solar radiation > 10 W m⁻². This method is based on the assumption that H and 328 329 LE were underestimated by the same percentage (Twine et al., 2000). The available energy was calculated as $R_n - G - S$. The correction was only applied to the daytime data because, in 330 absolute terms, the missing energy is small during the night (Stoy et al., 2013; Mauder et al., 331 2020) so that the correction will have little effect on total daily ET. In addition, this eliminated 332 the need to ensure the completeness and consistency of the energy balance data for the nighttime 333 334 period. The daytime and nighttime LE as well as the other energy balance terms (only daytime data) were converted from energy units (W m⁻²) to millimetres (mm) using a constant value for 335 the latent heat of vaporization (2.45 MJ kg⁻¹). The unadjusted nighttime fluxes were added to 336 daytime ET_{orig} and ET_{ebc} to give daily ET_{orig} and ET_{ebc} . 337

338 Data on G were available for 24 of the 40 sites. At all these sites G was measured using the soil heat flux plate method (Sauer, 2002). For six sites, the measurements were not corrected for heat 339 340 storage above the plates (Mayocchi and Bristow, 1995). This correction was applied 341 retrospectively using the method of Wang and Bou-Zeid (2012). This method calculates G at the 342 soil surface (which is required in the energy balance calculations) from the time series of G at 343 any depth. It requires the thermal diffusivity of the soil, which was calculated as the ratio of soil 344 thermal conductivity to soil volumetric heat capacity. The thermal conductivity was calculated 345 following Lu et al. (2014) using site-specific soil physical data. The volumetric heat capacity was calculated from soil bulk density and soil moisture. For sites without data on G but with data on 346 347 soil temperature (seven sites), G was estimated using the method of Hsieh et al. (2009). Estimates of G derived from temperature measurements at depths > 2 cm were corrected for heat 348

349 storage using the method of Wang and Bou-Zeid (2012). For the remaining nine sites, G was

estimated using the method of Mu et al. (2011), using in situ air temperature and R_n , and

vegetation cover estimated from the MODIS FPAR product (MCD15A2H; see Section 2.1.5 for
more details about this dataset).

The sensible and latent heat storage terms are generally not included in the flux datasets (Stoy et al., 2013; Pastorello et al., 2020). In this study, *S* was estimated from the half-hourly changes in air temperature and humidity measured at the reference level (Brutsaert, 1982). This estimate did not include heat storage in the vegetation biomass.

357 The data from the 40 sites were carefully screened for inconsistencies. These checks were in 358 addition to those performed by the site PIs/teams and by some of the networks (FLUXNET, 359 Pastorello et al., 2014, 2020; OzFlux, Isaac et al., 2017). For the daytime period, all data needed 360 for the energy balance calculations were checked. For the nighttime period, only the LE data 361 were screened. The quality checks were similar to those performed by Pastorello et al. (2014) for FLUXNET2015. Where possible, errors in the radiation, air temperature, and relative humidity 362 363 data were corrected with the help of the site PIs, using calculated clear-sky radiation (in the case 364 of the radiation data), or using data from another sensor or from a nearby station (Allen, 2008; Pastorello et al., 2014). No attempts were made to correct questionable eddy covariance flux data 365 366 (*H*, LE) or soil data (*G*, temperature, moisture).

Gap-filling of the data was carried out in two steps. In the first step, gaps in the half-hourly or hourly data were filled on a daily basis. For the daytime period, missing values of any energy balance term, x (where x = LE, H, G, S) on a particular day were filled using $(x/R_n)R_{n,i}$, where $\overline{(x/R_n)}$ is the average daytime ratio of x to R_n and $R_{n,i}$ the net radiation during time step *i* with missing data. For any x, the maximum allowed percentage of missing values was 30%. For the nighttime period, missing values of LE on a particular day were replaced by the mean nighttime LE for that day (also using an upper threshold of 30% for the percentage of missing data). For 374 consistency, the same method was used for all datasets (i.e., the gap-filled data in

375 FLUXNET2015 were not used). In the second step, missing daily values of ET_{orig} and ET_{ebc} were

376 replaced by the mean of the available observations for individual MODIS intervals. The

377 maximum allowed percentage of missing values was 25% (i.e., two days for an 8-day MODIS

378 interval) (Hu et al., 2015).

- 379 Energy balance closure was analyzed for each site individually by summing the 8-day mean

381 ET_{orig} / ΣA , with all terms in mm (Wilson et al., 2002). Energy balance closure was also analyzed

382 by grouping the data according to land cover type and climate zone (Section 2.2). For the pooled

data in each group, the 8-day mean daytime totals of $H + ET_{orig}$ were plotted against A and the

384 corresponding linear regression line and EBR were calculated.

385 Geographic coordinates and land cover type data for each site were obtained from the metadata

accompanying the datasets or from the literature (Table 2). The classification scheme of the

387 International Geosphere-Biosphere Programme (IGBP) was followed. This classification system

388 is adopted by most flux networks. It is also used in most evaluation studies of remote sensing ET

389 models (see, e.g., Velpuri et al., 2013; McCabe et al., 2016; Michel et al., 2016).

390 For each site, the evaporative fraction (EF) was calculated as (Shuttleworth et al., 1989): EF =

391 $\sum ET_{orig} / \sum (H + ET_{orig})$, where ET_{orig} and H are the mean daytime latent and sensible heat fluxes

- 392 for each MODIS interval, not corrected for the lack of energy balance closure. The obtained
- 393 values are listed in Table 2.

394

395 <Figure 1>

396

397 <Table 2>

399 2.1.5. Other datasets

400 The Köppen-Geiger climate class of each site was obtained using the 1-km resolution global map 401 of Beck et al. (2018). The map was downloaded from www.gloh2o.org/koppen/. Each site was assigned the climate class of the pixel where the flux tower was located. The 40 sites represented 402 403 a total of 10 different climate classes (Table 2). For the evaluation of the remote sensing ET 404 products, these were grouped into four main climate zones (Section 2.2). For each of these climate zones, the average EF was calculated using the site-specific values listed in Table 2. 405 406 To investigate the match between the actual vegetation type at the flux tower site and the 407 vegetation class or category used in the remote sensing ET models (Section 2.3), the yearly 408 MODIS land cover (MCD12Q1; 500 m resolution) and vegetation cover (MOD44B; 250 m 409 resolution) products were used. The data were downloaded from the NASA LP DAAC website. 410 From MCD12Q1, the Land Cover Type 2 data were used. From MOD44B, the data layers 411 containing percent tree cover and percent nontree vegetation were used. For each site, the 412 following three subsets were generated for the years with eddy covariance data: Subset 1) four 413 pixels of MCD12Q1 data corresponding to the 1-km MOD16 pixel; Subset 2) all pixels of 414 MOD44B data falling within the 0.25° GLEAM pixel; and Subset 3) all pixels of MCD12Q1 415 data corresponding to the 5-km ALEXI pixel. These subsets were used in the analysis described 416 in Section 2.3. 417 Finally, FPAR data from the MCD15A2H product were used to calculate G with the method of 418 Mu et al. (2011) (Section 2.1.4). This product is an 8-day composite dataset with a spatial 419 resolution of 500 m. The data were again obtained from NASA's LP DAAC. The pixels

420 matching the location of the flux towers were used. Data with a cloud flag or retrieved by the

421 backup algorithm were replaced by interpolated values (Zhao et al., 2005).

423 2.2. Evaluation of product performance

424 The remote sensing ET products were evaluated by grouping the data by IGBP land cover type 425 and Köppen-Geiger climate zone (Velpuri et al., 2013; McCabe et al., 2016). To avoid groups 426 with only one site, the woody savanna site was included in the group with the savanna sites and the closed shrubland site was left out of the evaluations by land cover type (but included in the 427 428 evaluations by climate zone). This resulted in the following five groups of vegetation cover 429 types: evergreen broadleaf forest (EBF); deciduous broadleaf forest (DBF); evergreen needleleaf 430 forest (ENF); savanna (SAV); and grassland (GRA). Likewise, the sites were grouped into the following four main climate zones: i) Af, Am: tropical 431 432 fully humid and tropical monsoon, respectively (from now on referred to as tropical wet); ii) Aw: 433 tropical savanna; iii) B: dry; and iv) C: mild temperate. Sites assigned the mild temperate (C) 434 climate were either located on tropical or subtropical mountains (five sites) or in lowland areas in 435 the subtropics (three sites) (see also Richter, 2016). Table 3 shows the number of sites and the 436 number of site years available in the complete dataset for each product by land cover type and climate zone. 437

438

439 <Table 3>

440

441 2.2.1. Scatter plots and regression analysis

442 Scatter plots allow visual evaluation of the match between the remote sensing-based and the

d43 observed ET data (Velpuri et al., 2013; McCabe et al., 2016; see also Chang and Hanna, 2004).

444 In addition, the slope, intercept, and coefficient of determination (R^2) of the fitted linear

regression line provide a quantitative way to evaluate product performance (Willmott, 1982;

446	Velpuri et al., 2013; McCabe et al., 2016). Most studies evaluating remote sensing ET products
447	perform the regression analysis with the product estimates on the y-axis and the observations on
448	the x-axis (see, e.g., Mu et al., 2011; Velpuri et al., 2013; McCabe et al., 2016). However,
449	Piñeiro et al. (2008) showed that this can lead to erroneous estimates of the regression
450	coefficients. Therefore, in this study the observations were used as the y variable and the product
451	estimates as the x variable (Piñeiro et al., 2008). For each land cover type and climate zone in
452	Table 3, the eddy covariance observations were plotted against the ET estimates of each product
453	and the corresponding linear regression lines were calculated, using the pooled data from the
454	different sites in each group. This analysis was performed using both ET_{orig} and ET_{ebc} .
455	
456	2.2.2. Statistical performance metrics
457	In addition to visual inspection of the scatter plots and examination of the regression results,
458	three commonly used statistics in evaluation studies of remote sensing ET products were
459	calculated: root mean square error (RMSE), percent bias (PBIAS), and the coefficient of
460	determination (R^2) (see references in Table S4). The use of these common statistics allowed for
461	comparison with evaluation results from other latitudes (Section 2.4). The selected metrics
462	provide complementary information about product performance. The RMSE is a measure of total
463	error (i.e., both random and systematic errors) and is defined by:
464	
465	$RMSE = \sqrt{N^{-1} \sum [ET(Prod) - ET(Obs)]^2} (1)$

467 where ET(Prod) is the product ET, ET(Obs) the eddy covariance ET, and N the total number of

468 data points (i.e., the number of MODIS intervals).

469 The PBIAS is the systematic (bias) error in percent of the average of the observations:

471 PBIAS =
$$\frac{N^{-1} \sum [ET(Prod) - ET(Obs)]}{N^{-1} \sum ET(Obs)} \times 100$$
 (2)

472

473 Third, in addition to the R^2 calculated from the pooled data (Section 2.2.1), the R^2 of the linear

474 regression between product ET and observed ET was calculated for each site separately. Besides

475 being a measure of correlation, R^2 indicates how much of the variation in observed ET is

476 explained by the product ET.

477 The three metrics were calculated using both ET_{orig} and ET_{ebc} . Averages of both sets of RMSE,

478 PBIAS, and R^2 values were calculated for each land cover type and climate zone in Table 3. The

479 average metrics by land cover type and climate zone were displayed graphically in plots for each

480 product (McCabe et al., 2016) for ET_{orig} and ET_{ebc} . The results for the individual sites can be

481 found in Tables S2 and S3.

482

483 2.2.3. Combining the different performance metrics into a single score

484 To facilitate comparison of the overall performance of the different ET products, the individual

485 metrics (R², RMSE, PBIAS) were combined into the Ideal Point Error (IPE) score (Elshorbagy et

486 al., 2010; Dawson et al., 2012). The IPE score takes values between 0 and 1, with 0 indicating

487 perfect performance (i.e., all metrics are at their optimum values) and 1 being assigned to the

488 worst performing product. In practice, no product (and no observation) is without error.

489 Therefore, the best performing product will usually have an IPE greater than 0. The IPE values

490 were calculated for each of the comparisons by land cover type and climate zone. The calculation

491 of IPE consists of two steps. In the first step, each performance metric is standardized to the

492 worst score for that metric. Dawson et al. (2012) provides expressions for this standardization

493 step for different categories of performance measures (denoted by S1–S5; their Table 1). PBIAS

494 is not listed in this table. However, as mentioned by Dawson et al. (2012), the flexibility of this 495 method allows other metrics to be included. PBIAS classifies as an S4 category metric and was 496 standardized using the corresponding expression. In the second step, the IPE is calculated from 497 the standardized metrics using Equation (2) in Dawson et al. (2012). The results were plotted as 498 heatmaps for ET_{orig} and ET_{ebc} .

499

500 2.2.4. Sensitivity to the choice of reference dataset

The statistical metrics (R², RMSE, PBIAS) and the IPE scores were calculated as explained 501 502 above but now using the common reference dataset. This direct comparison approach included 503 12 fewer sites and about 36% fewer MODIS intervals than when using all data (see Table S1 for the number of sites and site years by land cover type and climate zone). The sensitivity analysis 504 505 was performed for ET_{orig} only. Differences in the ranking of products for each of the 506 comparisons by land cover type and climate zone were determined by comparing the IPE scores from both approaches. Changes in ranking were indicated by adding an asterisk to the IPE scores 507 508 in the heatmap for ET_{orig}.

509

510 2.2.5. Evaluation of seasonal trends in ET from products

511 The ability of the products to capture seasonal changes in ET was examined by plotting the

512 average monthly ET for each product together with the average monthly ET_{orig} and ET_{ebc} . This

513 was again done for each land cover type and climate zone in Table 3. To account for the different

timing of the rainy seasons, separate plots were made for sites located in the northern and

515 southern hemispheres.

516

517 2.3. Vegetation match index (VMI) and open water fraction (OWF)

518	The effect of a mismatch between the vegetation at the flux tower site and that detected in the
519	model pixel on the product evaluation results was examined by calculating a vegetation match
520	index (VMI). The models underlying the investigated ET products differ in the level of detail
521	with which they distinguish between different vegetation types. Both MOD16 and ALEXI assign
522	land cover-specific parameters to a wide range of cover types, while GLEAM only considers two
523	vegetation categories (i.e., tall and short vegetation). However, also for MOD16 and ALEXI the
524	largest differences between the land cover-specific parameters occur between tall and short (or
525	forest and non-forest) vegetation types (Anderson et al., 2007; Mu et al., 2011). Therefore, for all
526	three products, VMI was calculated based on these two vegetation categories.
527	The datasets used to calculate the VMIs are described in Section 2.1.5. As explained in Section
528	1, a mismatch in vegetation can be caused by scale differences or inaccuracies in the vegetation
529	input data. To account for the latter, vegetation data were selected that were as similar as
530	possible to those used to generate the products (Sections 2.1.1–2.1.3). For MOD16 and ALEXI,
531	MCD12Q1 Land Cover Type 2 data were used (Subsets 1 and 3, respectively). The data from
532	Subsets 1 and 3 were aggregated into forest and non-forest categories. For sites with a forest land
533	cover (EBF, DBF, ENF; Table 2), VMI_{MOD16} or VMI_{ALEXI} was calculated as the proportion of
534	forest vegetation present in the 1-km MOD16 or 5-km ALEXI pixel. For sites with a non-forest
535	land cover (SAV, GRA), the VMIs were calculated as the proportion of non-forest vegetation.
536	For GLEAM, MOD44B vegetation cover data were used (Subset 2). These data were assumed to
537	be similar to those of the VCF5KYR product (used as input to GLEAM v3.3a; Section 2.1.2).
538	The VCF5KYR product is based on AVHRR observations calibrated with MODIS data (Hansen
539	et al., 2018). In each data layer of the MOD44B product, pixels with water are masked out with a
540	fill value of 200. Hence, VMI_{GLEAM} was calculated as either the average percent tree cover (for
541	sites with forest vegetation) or the average percent nontree vegetation (for sites with non-forest

vegetation) multiplied by the fraction of land pixels. In addition, the open water fraction (OWF)
was calculated. This index was used to filter out sites for which the pixel contained more than
20% water (Section 2.1.2).

545 The dependence of product performance on the vegetation-match between pixel and site was examined by plotting the performance metrics (R², RMSE, PBIAS) against VMI. Individual site 546 values for the metrics were bin-averaged into four evenly spaced intervals of 0.25 VMI units 547 wide in the case of GLEAM and ALEXI or for each of the five discrete VMI values in the case 548 549 of MOD16. For each metric-VMI combination, the linear regression line was calculated. In 550 addition to visual inspection of the scatter plots, the *p*-values of the calculated regression slopes 551 were used to evaluate whether there was a relationship between VMI and product performance. For this analysis, performance statistics obtained for ET_{orig} were used. 552

553

554 2.4. Latitudinal comparison of product performance

To investigate latitudinal dependence of the performance of the ET products examined here, a 555 literature search was conducted to find studies that evaluated these products. To allow for direct 556 comparison, only studies that evaluated the products with eddy covariance-based ET were 557 considered. Furthermore, a study needed to report at least one of the three performance metrics 558 used in this study (\mathbb{R}^2 , RMSE, PBIAS) or provide the data from which these metrics could be 559 calculated. The performance statistics depend on the averaging time used. Hence, ideally, only 560 561 studies using the same time average as used here (8-day) should be considered. This would, however, drastically reduce the number of evaluation results available. Therefore, studies using 562 563 daily or monthly time averages were also included in the initial search. In the end, a total of 15 studies were found (Table S4). As will be shown below, the evaluation 564

results were different for ET_{orig} and ET_{ebc}. Of the 15 studies found in the literature, 13 used ET_{orig}

566	and only two used ET_{ebc} . No studies were found that used both. For the final analysis, only
567	studies using ET _{orig} were considered. The study of Miralles et al. (2011) was also excluded
568	because: i) GLEAM ET was calculated using in situ measured R_n ; ii) comparisons were made
569	using modelled ET for the vegetation type (i.e., tall or short vegetation) matching that at the
570	tower site; and iii) days with rainfall were excluded. Likewise, the study of Mu et al. (2011) was
571	excluded because their evaluation results are in fact calibration results. This yielded a total of 12
572	studies, including the current one. Most studies used MOD16 C5 because MOD16 C6 was only
573	recently released. Therefore, the results obtained here for C5 were used. To account for
574	latitudinal differences in ET, RMSE was normalized by mean ET _{orig} (NRMSE). Not all studies
575	reported ET _{orig} (Table S4). The studies evaluated product performance at a minimum of two eddy
576	covariance sites to a maximum of 119 sites. Most studies reported evaluation results for
577	individual sites but some reported averages for land cover classes (e.g., Velpuri et al., 2013;
578	Reitz et al., 2017). The latter were treated as if they were results for individual sites. Performance
579	results were grouped into results for forest and non-forest vegetation; there were not enough
580	performance data available to create more specific subgroups. The results were further grouped
581	into three latitudinal bands: southern low latitudes (30° S– 0°); northern low latitudes (0° – 30° N);
582	and northern middle latitudes (30° N– 60° N). For latitudes outside these regions, there were not
583	enough data available (Table S4). Table 4 summarizes the number of evaluation results (N_{ER})
584	available, broken down into results for R ² , NRMSE, and PBIAS, and grouped by latitudinal
585	zone, product, and vegetation category. Averages of each performance metric for each product-
586	vegetation category combination were plotted as a function of latitude.
587	

588 <Table 4>

590	3. Result	S
290	5. Result	5

591 3.1. Energy balance closure of eddy covariance data

592 Table 2 shows the daytime energy balance ratio (EBR) for each of the 40 individual sites. The

593 average daytime EBR for the 40 sites was 0.83, with a standard deviation (SD) of 0.10, and with

values ranging from 0.63 to 1.03. Figures 2 and 3 show scatter plots between the sum of the

595 daytime turbulent heat fluxes and available energy grouped by land cover type and climate zone,

respectively. The daytime EBR values calculated from the pooled data were similar across land

597 cover types (ranging from 0.79 to 0.87) and climate zones (ranging from 0.77 to 0.85). The

slopes and intercepts of the regression lines ranged from 0.67 to 0.80 and -0.01 to 0.67 mm

 day^{-1} , respectively, across land cover types and from 0.72 to 0.81 and 0.00 to 0.69 mm day^{-1} ,

600 respectively, across climate zones. The coefficient of determination (R^2) ranged from 0.64 and

601 0.82 across land cover types and from 0.59 and 0.82 across climate zones.

602

603 <Figure 2>

604

605 <Figure 3>

606

607 3.2. Evaluation of ET products by land cover type

608 Figures 4 and S1 show scatter plots comparing eddy covariance-based and remote sensing-based

609 ET by land cover type for each of the evaluated products for ET_{orig} and ET_{ebc}, respectively. First,

610 the results for ET_{orig} will be examined. Although the scatter plots and the regression results for

611 MOD16 C5 and MOD16 C6 show some differences, these were generally smaller than the

- 612 differences with the other products (see also below). Hence, from now on the two collections
- 613 will be referred to as MOD16. When necessary, a distinction will be made between the two.

614	Overall, GLEAM ET showed the best agreement with ET_{orig} . This follows from the results of the
615	regression analysis (i.e., slope closer to 1, intercept closer to 0, higher R ²) and can be observed
616	visually as a narrower distribution of data points around the 1:1 line. For DBF and SAV, the
617	correlations between GLEAM ET and ET_{orig} were strong (R ² of 0.81 and 0.73, respectively). A
618	weak correlation was observed for EBF ($R^2 = 0.32$). The agreement with ET_{orig} was generally
619	poorer for MOD16 and ALEXI. Neither of these products consistently outperformed the other.
620	The scatter plots show a clear overestimation of ET_{orig} by MOD16 for ENF and a clear
621	underestimation for SAV. Although both products showed weaker correlations with ET_{orig} than
622	GLEAM, this was most pronounced for ALEXI. Also MOD16 and ALEXI had the strongest
623	correlations for DBF and SAV and the weakest for EBF. When evaluating the products with
624	ET_{ebc} , the regression slopes and intercepts increased, while changes in R^2 were generally small
625	(Figure S1).

627 <Figure 4>

628

Figure 5 shows the mean R^2 , RMSE, and PBIAS by land cover type for each of the evaluated 629 products for ET_{orig} and ET_{ebc}. Again, the results for ET_{orig} will be examined first. As already 630 631 observed in Figure 4, the mean performance statistics show that the differences between MOD16 632 C5 and MOD16 C6 are generally smaller than the differences with the other products. One exception is ENF; this group, however, included a relatively small number of sites and site years 633 (Table 3), which may have affected the comparisons. Figure 5 confirms the superior performance 634 of GLEAM. Overall, GLEAM had the strongest correlations, the lowest RMSEs, and the 635 636 smallest PBIAS values. In agreement with the graphical analysis, neither MOD16 nor ALEXI 637 was second best over all land covers. Both GLEAM and MOD16 tended to overestimate ETorig

for forest vegetation and underestimate ET_{orig} for non-forest vegetation; however, biases were
smaller for GLEAM. ALEXI tended to overestimate ET_{orig} for all land cover types. The variation
in PBIAS across land cover types was smaller for GLEAM and ALEXI than for MOD16. As
seen in the scatter plots, ALEXI had the weakest correlations with ET_{orig}. All ET products had
the strongest correlations for DBF and SAV and the weakest for EBF.

643

644 <Figure 5>

645

646 As expected, PBIAS shifted to more negative values when the products were evaluated with ET_{ebc} (Figure 5). Depending on whether PBIAS decreased or increased, the corresponding 647 648 RMSE became smaller or larger (although not for all products; see below). The use of ET_{ebc} generally had little effect on the correlations (as also seen in the scatter plots). For GLEAM, 649 650 PBIAS values were negative for all land cover types when using ET_{ebc} and were generally 651 greater in absolute terms than when using ET_{orig}. As a result, the RMSEs were larger (and closer to those of the other products) when using ET_{ebc} than when using ET_{orig}. For MOD16, PBIAS 652 values were also negative for most land cover types when using ET_{ebc} . The corresponding 653 654 RMSEs were either somewhat larger (e.g., SAV, GRA) or smaller (e.g., EBF, ENF) than when 655 using ET_{orig}. In the case of ALEXI, PBIAS values decreased for all land cover types except SAV. 656 However, only in the case of EBF this was accompanied by a decrease in RMSE. For ENF, DBF 657 and GRA, the RMSE actually increased. A partial explanation for this is the tendency of ALEXI 658 to overestimate low ET_{ebc} and underestimate high ET_{ebc} (DBF, GRA; Figure S1). 659 Figure 6 shows the IPE scores for the different ET products by land cover type as obtained using 660 ET_{orig} or ET_{ebc} for evaluation. The IPE scores confirm that GLEAM ET best matched ET_{orig} across all land cover types. The IPE scores also support the earlier observations that: i) the 661

662 differences in performance between the two MOD16 collections were generally smaller than the 663 differences with the other products; and ii) neither MOD16 nor ALEXI consistently 664 outperformed the other. Figure 6 shows that the IPE values of the products converged when 665 using ET_{ebc} for evaluation. This largely reflects the changes in PBIAS and RMSE mentioned 666 above. When using ET_{ebc} there is no product that stands out in terms of both small PBIAS and 667 high R² across all land cover types.

668

669 <Figure 6>

670

Figure 7 compares the seasonal trends in ET from the products with those from the observations 671 by land cover type by hemisphere. Note that these curves were calculated using the common 672 673 reference dataset. Only curves calculated with data from at least two sites are shown. Clear 674 differences in the seasonality and timing of rainfall can be observed. In both hemispheres, ET of EBF was characterized by weak seasonality, with constant high values throughout the year. Yet, 675 676 MOD16 C5 seemed to capture the small variations in ET quite well. This was also the case for 677 GLEAM, except during the wet season in the southern hemisphere when it showed a strong 678 positive bias. A closer look at the data showed that this involved the two Brazilian rainforests (Table 2; Figure 1). Similarly, ALEXI had a strong positive bias at the end of the dry season in 679 the southern hemisphere. This could be traced mainly to EBF in northeastern Australia (Table 2; 680 681 Figure 1). For ENF, all products seemed to represent the observed seasonal trend in ET fairly 682 well. For DBF, GLEAM closely followed the observed seasonal trend in ET. MOD16 had a 683 negative bias during the dry season. Conversely, ALEXI had a positive bias during the transition 684 from the wet to dry season. For SAV and GRA, both GLEAM and MOD16 had a strong negative bias during the dry season. Conversely, ALEXI seemed to have a positive bias during the dry 685

686 period in these cover types.

687

688 <Figure 7>

689

690 3.3. Evaluation of ET products by climate zone

691 The performance of the ET products was also examined across four main climate zones (Table

692 3). For each climate zone, an average evaporative fraction (EF) was calculated from the site-

693 specific values in Table 2, yielding (ranked from wet to dry): 0.73 ± 0.04 (SD) for Af, Am

694 (tropical wet); 0.60 ± 0.10 for C (mild temperate); 0.50 ± 0.11 for Aw (tropical savanna); and

695 0.35 ± 0.11 for B (dry). The tropical wet climate zone included mainly EBF sites (seven in total;

Table 3). The mild temperate climate zone included all ENF sites and for the rest mainly EBF

697 sites. The savanna and dry climate zones included mostly SAV, DBF, and GRA sites. The results

698 of this analysis were presented in the same way as in the previous section, i.e., scatter plots

699 (Figures 8 and S2), average performance statistics (R², RMSE, PBIAS; Figure 9), heatmaps of

700 IPE scores (Figure 6), and average seasonal trends in ET (Figure 10).

701 The comparisons by climate zone confirmed many of the findings in the previous section. Again,

the differences in performance between the two MOD16 ET collections were usually smaller

than the differences with the other products (Figures 8 and 9). Furthermore, the performance and

ranking of the products depended on whether ET_{orig} or ET_{ebc} was used for evaluation. When

vising ET_{orig}, GLEAM again showed the strongest correlations and best agreement (i.e., closeness

to observations) (Figures 8 and 9). As a result, GLEAM had the smallest RMSEs and best IPE

scores across all climate zones (Figures 9 and 6, respectively). Again, neither MOD16 nor

708 ALEXI performed consistently better than the other. That is, MOD16 showed better agreement

709 with ET_{orig} for the Aw climate zone, whereas ALEXI gave better results for the B and C climate

710	zones (as summarized by the IPE scores in Figure 6). Finally, there was no clear ranking among
711	the products when ET_{ebc} was used for evaluation (Figure 6). This mainly reflected the
712	underestimation of GLEAM ET with respect to ET _{ebc} , leading to higher (i.e., more negative)
713	PBIAS values and larger RMSEs than when using ET _{orig} (Figure 9). For MOD16, PBIAS and
714	RMSE values both decreased (e.g., C climate zone) and increased (Aw climate zone). For
715	ALEXI, PBIAS decreased to values close to zero (C, Aw, B); however, instead of decreasing, the
716	corresponding RMSEs increased. The use of ET_{ebc} generally had little effect on the correlations
717	(Figures 8, S2, and 9).
718	
719	<figure 8=""></figure>
720	
721	<figure 9=""></figure>
722	
723	All products had the weakest correlations in the wet tropical climate zone and the strongest in the
724	tropical savanna and dry climate zones (Figure 9). This is consistent with the results in Section
725	3.2 (weakest correlations for EBF and strongest correlations for SAV and DBF). Overall, ALEXI
726	had again the weakest correlations. The biases of MOD16 ET varied markedly across climate
727	zones (Figure 9). When compared with ET_{orig} , MOD16 tended to overestimate ET in the wet
728	tropical and mild temperate climate zones and underestimate ET in the dry climate zone. This
729	result is consistent with the positive biases observed in Figure 5 for forest vegetation (dominating
730	the wet tropical and mild temperate climate zones) and the negative biases for non-forest
731	vegetation (dominating the dry climate zone). Biases in GLEAM showed the same tendency but
732	were generally much smaller in size. For ALEXI, the bias with respect to ET_{orig} was practically

733 zero in the wet tropical climate zone but positive in the other climate zones.

734 The seasonal trend analysis (Figure 10) revealed the same patterns as found earlier in Section 735 3.2, again reflecting the close correspondence between climate and vegetation. For the tropical 736 wet climate zone, MOD16 C5 ET closely followed the observed seasonal changes in ET. This 737 was also the case for GLEAM, except for the positive bias during the wet season in the southern hemisphere (traced back mainly to the Brazilian rainforests; Section 3.2). The positive bias of 738 739 ALEXI ET at the end of the dry season in the southern hemisphere can also be observed again 740 (traced back mainly to EBF in northeastern Australia; Section 3.2). In addition, ALEXI ET 741 showed large, seemingly erratic, variations in the northern hemisphere. For the mild temperate 742 climate zone, all products represented the observed seasonal trend in ET fairly well. For the 743 tropical savanna climate, both MOD16 and GLEAM had a strong negative bias during the dry 744 season, which was also observed in the plots for GRA and SAV in Figure 7. The positive bias for 745 ALEXI during the dry period can also be observed again. For the dry climate zone, GLEAM ET 746 closely followed the observed seasonal trend in ET. ALEXI had again a positive bias during the 747 dry period. MOD16 had a strong negative bias during the wet season in the southern hemisphere. 748

749 <Figure 10>

750

751 3.4. Sensitivity to the choice of reference dataset

The IPE scores based on the common reference dataset (Figure S3) show similar results to those

obtained using all data (Figure 6, ET_{orig}). For both the comparisons by land cover type and

climate zone, GLEAM ET generally showed the best agreement with ET_{orig}. Furthermore, the

differences among the two MOD16 collections and ALEXI were generally too small to identify a

second best performing product. Differences in ranking results between the two approaches were

757 observed only for MOD16 and ALEXI (Figure 6).

759 3.5. Product performance versus VMI

Figure 11 shows binned scatter plots between the performance metrics (R², RMSE, PBIAS) and 760 761 the vegetation match index for the different products. In addition, the regression lines and the *p*values indicating the statistical significance of the regression slopes are shown. The VMIs for 762 each individual site are given in Table S2. The average VMI was 0.77 ± 0.41 for MOD16, $0.71 \pm$ 763 764 0.40 for ALEXI, and 0.51 ± 0.23 for GLEAM. These results indicate a decreasing vegetation-765 match between pixel and site with increasing pixel size, although the VMIs of GLEAM and the 766 other products cannot be directly compared as they are based on different data. For none of the products there was an improvement in performance (i.e., increasing R^2 or decreasing RMSE or 767 PBIAS) with increasing VMI (Figure 11). Moreover, for none of the regressions the slope was 768 statistically significant. 769

770

771 <Figure 11>

772

773 3.6. Latitudinal comparison of product performance

774 Figure 12 shows zonal averages (southern and northern low latitudes and northern middle 775 latitudes) of the performance metrics grouped by forest and non-forest vegetation for MOD16 776 and GLEAM and ALEXI. The averages were calculated using evaluation results from this study 777 and from the literature (Tables S2 and S4). For ALEXI, no data on NRMSE and PBIAS were 778 available for the northern middle latitudes (Table 4). Figure 12 should be interpreted with caution because the number of evaluation results (N_{ER}) available varied considerably among 779 latitudinal zones, products, and vegetation categories (Table 4). In the case of forest vegetation, 780 781 correlations (all products) seem to be weaker while PBIAS and NRMSE scores (MOD16 and

GLEAM) seem to be better at low latitudes than at northern middle latitudes. Both MOD16 and
GLEAM seem to overestimate ET_{orig} in all latitudinal zones. In contrast, in the case of non-forest
vegetation the performance metrics show no clear variation with latitude. Moreover, both
MOD16 and GLEAM seem to underestimate ET_{orig} in all latitudinal zones.
786
787 <Figure 12>

788

805

789 4. Discussion

4.1. The effect of the energy balance closure problem on product evaluation results

The average energy balance ratio for the 40 sites in this study (0.83) is nearly identical to that

reported by Stoy et al. (2013) for 173, mainly mid-latitude, FLUXNET sites (0.84). When

grouped by land cover type or climate zone, the ranges of EBR values were fairly small (0.79–

794 0.87 or 0.77–0.85, respectively). A greater range was observed for the 173 FLUXNET sites

grouped by land cover type (0.70-0.94; Table 2 in Stoy et al., 2013).

796 As mentioned in Section 1, the reasons for the energy balance closure problem and the extent to 797 which it affects the ET fluxes are not yet clear. Despite being long recognized, the effect of the 798 energy balance closure problem on the evaluation results of remote sensing-based ET products has rarely been examined (Michel et al., 2016; Melton et al., 2021). This study found that the 799 800 performance and ranking of the evaluated products depended on whether the unadjusted or the 801 energy balance closure corrected ET fluxes were used. When using ET_{orig}, GLEAM showed the best overall performance with the strongest correlations and smallest biases. However, when 802 803 using ET_{ebc}, none of the products was superior to the others. Not surprisingly, the use of ET_{ebc} 804 instead of ET_{orig} affected the product biases more than the correlations. Overall, MOD16 and

GLEAM underestimated ET compared to ET_{ebc} . For ALEXI, PBIAS decreased when using ET_{ebc}

instead of ET_{orig} , but the corresponding RMSEs tended to increase rather than decrease. For most SEB approaches used in the OpenET project, cumulative totals of ET over the growing season or water year also agreed better with ET_{ebc} than with ET_{orig} (Melton et al., 2021).

809 Both MOD16 and GLEAM include parameters that were calibrated using field observations of

810 ET. MOD16 was calibrated using ET obtained from eddy covariance-based estimates of water

811 use efficiency (WUE) and MODIS-based gross primary production (GPP), with WUE being

812 calculated as the ratio between GPP and ET fluxes not corrected for energy balance closure (Mu

et al., 2011). The use of ET_{orig} could possibly explain the negative bias of MOD16 with respect

14 to ET_{ebc} (Michel et al., 2016). However, the GPP fluxes may have been underestimated for the

same reason as ET_{orig} (Foken et al., 2011). In that case, the estimated WUE would not (or only

816 partly) be affected. In GLEAM, fixed values are used for the Priestley-Taylor coefficient for

short ($\alpha = 1.26$) and tall ($\alpha = 0.97$) vegetation (Martens et al., 2017). These values are averages

818 of α values published in the literature, which in turn were obtained by comparing field

819 measurements of ET under well-watered conditions with potential ET. Some of the α values

820 were derived with ET_{orig}, but others were obtained using ET estimates based on other methods,

such as the weighing lysimeter and bowen ratio energy balance techniques (see references cited

822 in Martens et al., 2017). Hence, also the negative bias error of GLEAM with respect to ET_{ebc}

823 cannot be directly linked to calibration with ET_{orig}. ALEXI ET had smaller PBIAS when using

824 ET_{ebc} than when using ET_{orig}. In contrast to the other models, ALEXI is not calibrated with field

825 data. However, no conclusions can be drawn from this observation without a better

understanding of the effect of the energy balance closure problem on ET_{orig}. In addition, the

827 RMSEs of ALEXI tended to increase rather than decrease when using ET_{ebc} .

828 The literature review showed that most studies evaluated the products using ET_{orig} (Table S4). It

829 is recommended to use both ET_{orig} and ET_{ebc} as long as the effect of the energy balance closure

problem on ET_{orig} is not clear. The remainder of the discussion will focus on the results obtained
with ET_{orig} to facilitate comparisons with the literature.

832

833 4.2. Relative performance of the evaluated products

834 Similar results were obtained when grouping the data by land cover type or climate zone,

showing the close relationship between the two (see, for example, Cui et al., 2021). Therefore,

the results of these two analyses will be discussed together and interchangeably. As explained in

837 Section 1, a distinction should be made between product and model evaluation studies. The

838 former evaluate the published ET products while the latter evaluate the performance of the

underlying models using a common input dataset. Because modeled ET is sensitive to the input

data, the results of the model evaluation studies do not necessarily apply to the final ET products(see Section 1 for references).

Overall, GLEAM had the best performance across different land cover types and climate zones 842 843 in the low latitudes; neither MOD16 nor ALEXI could be identified as the second best 844 performing product. These results were obtained regardless of whether the comparisons were 845 made using all data or a common reference dataset. There are very few product evaluation 846 studies that have compared the performance of the products assessed in this study. Khan et al. (2018, 2020) compared the performance of GLEAM and MOD16 at nine and five eddy 847 848 covariance sites, respectively; in both studies, about one third of sites were located in the low 849 latitudes and about two thirds in the middle latitudes (see also Table S4). Khan et al. (2018) used ET_{orig} to evaluate the products, while Khan et al. (2020) used ET_{ebc}. Consistent with the results of 850

this study, Khan et al. (2018) found that GLEAM generally corresponded better with ET_{orig} than

MOD16. Also when using ET_{ebc} , Khan et al. (2020) found that GLEAM outperformed MOD16

853 (as opposed to this study where differences were small when using ET_{ebc}). In the absence of

other comparative studies, the compilation of product evaluation results for the latitudinal

analysis (Figure 12, Table S4) allows for an indirect comparison of product performance.

856 Focusing only on the results for the northern middle latitudes (to exclude the evaluation data

857 from this study), the overall better match of GLEAM with ET_{orig} as compared to MOD16 is also

858 evident from the studies included in this analysis (see Table S4 for references). The stronger

859 correlations of GLEAM as compared to ALEXI are also noticeable when comparing the R^2

860 values from studies that evaluated these products separately.

An overall better performance of GLEAM as compared to MOD16 was also observed in the 861 862 model evaluation studies by McCabe et al. (2016) and Michel et al. (2016). GLEAM also performed better than the surface energy balance approach evaluated in these studies (i.e., the 863 SEBS model of Su, 2002). Similarly, Vinukollu et al. (2011a) obtained better performance 864 865 results for a Priestley-Taylor approach developed by NASA's Jet Propulsion Laboratory (PT-JPL; Fisher et al., 2008) than for MOD16 and SEBS. However, all these studies concluded that 866 no single model was superior in all cases. The same conclusion was reached in a recent model 867 evaluation study for South America (Melo et al., 2021). Such a conclusion is not supported by 868 the results of this study as GLEAM performed better than MOD16 and ALEXI in all land cover 869 870 types and climate zones. It is not known whether this is a feature of the low latitudes (i.e., the other studies focused mainly on the middle latitudes), whether it is related to the differences 871 872 between product and model evaluation studies discussed earlier, or whether it is a result specific 873 to the products studied here.

874 All products had the weakest correlations in the wet tropical climate zone (dominated by EBF)

and the strongest in the tropical savanna and dry climate zones (dominated by DBF and SAV).

876 For the most part this reflects differences in seasonality (i.e., the greater the variation in ET, the

877 stronger the correlations; Miralles et al., 2011; Yilmaz et al., 2014) rather than differences related

878 to the performance of the products. ALEXI generally had the weakest correlations of all products. Comparing the R² values from the studies used for the latitudinal analysis shows values 879 for ALEXI between those of MOD16 and GLEAM (northern middle latitudes, Figure 12). 880 881 Although this is an indirect comparison (because it involves studies that evaluated the products separately), it suggests that the low correlations observed in this study for ALEXI are not a 882 general feature of the product. A known challenge for thermal-based approaches is the filtering 883 884 of cloud-contaminated data and the resulting gaps between successful retrievals. Failure to detect cloud-contaminated data can lead to large errors in ALEXI ET estimates (Anderson et al., 2007; 885 886 Yilmaz et al., 2014). The uncertainty in gap-filled ALEXI ET can be twice as large as that in ET 887 generated by the algorithm under clear-sky conditions (Anderson et al., 2007). These cloudrelated problems could be responsible for the weak correlations of ALEXI, but that still does not 888 889 explain the difference with the northern middle latitudes where the data are also affected by 890 clouds. A possible approach to solving these problems is to use cloud-tolerant microwave-based land surface temperature in ALEXI (Holmes et al., 2018). Finally, Holmes et al. (2018) found 891 892 that averaging 0.05° ALEXI ET estimates to 0.25° spatial resolution generally improved correlations with flux tower data. They attributed this to the presence of noise in the MODIS 893 894 land surface temperature data that outweighed the benefits of the higher resolution compared to a 895 0.25° average. However, the overall effect reported in that study is too small to explain the differences in correlation with the other products observed here. More work is needed to 896 understand the reasons for the low correlations of ALEXI observed at the low-latitude sites 897 studied here. 898

899 Both MOD16 and GLEAM had a positive bias for forest vegetation and a negative bias for non-

900 forest vegetation. No such land cover type-dependent biases were observed for ALEXI. The

901 biases of MOD16 and GLEAM are also evident from the evaluation results of other studies

902 (northern middle latitudes, Figure 12). Both Kun Zhang et al. (2019) and Brust et al. (2021) 903 showed that the biases in MOD16 can be significantly reduced when calibrating the algorithm 904 with more and a greater diversity of sites than used in the original calibration. Brust et al. (2021) 905 found that the accuracy of MOD16 can also be improved by including the effect of soil moisture 906 on ET. Although the apparent vegetation type-dependent biases were less pronounced in 907 GLEAM, more work is needed to understand the causes. In a model evaluation study, Miralles et al. (2016) found the opposite pattern, i.e., MOD16 and GLEAM underestimated ET (determined 908 909 from rainfall and streamflow data) in wet regions (dominated by forest vegetation) and 910 overestimated ET in dry regions (dominated by non-forest vegetation types). A similar pattern was observed in the model evaluation study by Michel et al. (2016) (using ET_{orig} as reference 911 912 data). In the model evaluation study for South America, GLEAM underestimated ET in both wet 913 and dry regions (Melo et al., 2021). The biases of MOD16 were small in that study. This shows 914 again that the results of model evaluation studies do not necessarily apply to the actual ET 915 products.

916 None of the products were able to correctly represent the seasonal trend in ET in all land cover 917 types and climate zones. Detailed analyses such as this one can help identify the causes of the 918 biases discussed above. For example, the negative biases of MOD16 and GLEAM in GRA and 919 SAV seemed to occur mainly during the dry season. This may indicate an overestimation of the effect of water stress on ET. In contrast, ALEXI seemed to overestimate ET during the dry 920 921 season. In some cases, the differences between the product-based and the observed trends could 922 be traced to individual sites. The overestimation of ET of Brazilian rainforest by GLEAM during 923 the wet season was also observed by Chen et al. (2022). These authors suggested the lack of an 924 atmospheric moisture control on transpiration as a possible cause of this overestimation. It is 925 likely that the erratic variation observed in the ALEXI data for the tropical wet sites was caused

by the cloud-related problems discussed above. However, to correctly identify possible seasonalbiases, a more comprehensive analysis that includes more sites and site years is needed.

928 The results showed that the differences between the MOD16 C5 and C6 products were generally

smaller than the differences with the other products. Differences between C5 and C6 were to be

930 expected because of differences in input data and spatial resolutions (Mu et al., 2013; Running et

al., 2019). The differences persisted when using the common reference dataset (Figure S3).

932 Future work can focus on quantifying the level of consistency between these two collections.

933

934 4.3. Latitudinal comparison of product performance

The literature review revealed that remote sensing-based ET products have been evaluated 935 primarily in the northern middle latitudes. The bias is the result of geographic differences in the 936 availability of eddy covariance data due to uneven distribution of flux towers (see, for example, 937 938 Schimel et al., 2015) and regional differences in data sharing (Villareal and Vargas, 2021). With 939 the results of this study, the availability of evaluation data for the low latitudes was significantly 940 improved. This allowed a comparison of product performance across latitudes. The results of this 941 analysis should be interpreted with caution, however, because the number of evaluation results 942 available varied considerably among latitudes, products, and vegetation categories (Table 4). 943 Smaller normalized RMSEs and smaller PBIAS values suggest better performance of MOD16 and GLEAM for low-latitude forests than for northern mid-latitude forests. The weaker 944 945 correlations at low latitudes are thought to be the result of differences in seasonality rather than differences in performance (see below). The similarity between the latitudinal trends in NRMSE 946 947 and PBIAS of MOD16 and GLEAM is striking considering the different approaches, forcing data, and resolutions of the underlying models. More work is needed to understand the causes of 948 949 the apparent latitudinal dependence of these products. In the case of non-forest vegetation, none

of the performance metrics showed a clear trend with latitude. Noteworthy is that both MOD16
and GLEAM seem to overestimate ET of forest vegetation and underestimate ET of non-forest
vegetation in all latitudinal bands (see also discussion above).

953 A limitation of the current analysis is that regional differences were not detected because of the

954 broad zonal bands used. For example, NRMSEs were considerably larger for seasonally dry DBF

955 (0.36 and 0.84 for GLEAM and MOD16, respectively; data not shown) than for wet tropical EBF

956 (0.27 and 0.46, respectively). Similarly, Vinukollu et al. (2011b) and Miralles et al. (2016) found

957 higher relative uncertainties for the subtropics than for the tropics. In these studies, relative

958 uncertainty was estimated from the spread between different model outputs.

959 The weaker correlations for low-latitude forests are most likely explained by the small seasonal

960 variation in ET of EBF. The seasonal variation in ET of temperate forests is much greater due to

961 stronger seasonal variations in radiation and temperature (Baldocchi and Ryu, 2011). Again,

962 however, differences among forests in the low latitudes were large. For example, the R^2 values

963 for DBF were about twice as high as those for EBF (Figure 5). There were no clear latitudinal

964 differences in R² for non-forest vegetation. At low latitudes, non-forest vegetation occurs mainly

965 in regions with high seasonality of rainfall (e.g., savanna regions) and thus large variations in

966 ET. Likewise, temperate non-forest vegetation types such as grass and crops show large

967 variations in ET due to seasonal variation in radiation and temperature (e.g., Monteith and Moss,

968 1977).

969

970 4.4. Product performance versus vegetation-match between pixel and site

971 The linear regression analyses across all 40 sites showed that there was no relationship between

972 the product evaluation results and the vegetation-match between pixel and site. Indirect evidence

973 for this was also provided by the finding that the product with the largest pixel size and the

974 lowest average VMI (GLEAM) performed best overall. Similar results were obtained by 975 Hobeichi et al. (2018) and Jiménez et al. (2018). Hobeichi et al. (2018) investigated the effect of 976 a vegetation mismatch between pixel and site on the performance of a merged ET product. For 977 this they divided the eddy covariance sites in two groups, those for which the IGBP land cover type was the same as that of the pixel and those for which it was not. They used MODIS land 978 cover data at the same spatial resolution (0.5°) as the merged ET product. No clear differences in 979 980 the performance of the product were observed between the two groups of sites. Jiménez et al. 981 (2018) investigated the effect of a vegetation mismatch between pixel and site on the 982 performance of the GLEAM, PT-JPL, and MOD16 algorithms. The models were run with a 983 common input dataset at a spatial resolution of 0.25° . For all three models a single vegetation match index was used (called homogeneity index). This index was calculated using MODIS 984 985 IGBP land cover data (MCD12Q1) and MODIS vegetation cover data (MOD44B). Also in their 986 study, no significant relationships were found between model performance and the homogeneity index. A challenge is to correctly define the vegetation match index (Hobeichi et al., 2018). In 987 988 this study, only two vegetation categories were considered (forest and non-forest vegetation), as 989 the land cover type-dependent parameters in MOD16 and ALEXI can be broadly grouped into 990 these two categories (and GLEAM only distinguishes between these two categories). In the other 991 studies, a match was only obtained if the specific IGBP land cover type corresponded. This may 992 be too stringent if the parameters are similar among certain cover types. Understanding the 993 sensitivity of the model outputs to the land cover type-specific parametrizations can help 994 determine which of these approaches is more adequate. Nevertheless, the results obtained so far suggest that errors other than those caused by a vegetation mismatch between pixel and site are 995 996 more important (Jiménez et al., 2018).

997

998 5. Conclusions

999 There is a geographical bias in the availability of evaluation data for remote sensing-based ET 1000 products in favor of the northern middle latitudes. To address this bias, three products (GLEAM, 1001 MOD16, ALEXI) were evaluated at 40 eddy covariance sites in the low latitudes. From MOD16, 1002 the discontinued collection 5 (C5) and the latest collection (C6) were evaluated. Two potential 1003 problems need to be considered when using eddy covariance observations of ET as reference 1004 data. First, eddy covariance data suffer from uncertainties related to the energy balance closure 1005 problem. Second, scale differences and classification errors can lead to a mismatch in vegetation 1006 between pixel and site (which in turn can complicate the comparisons). Because of the 1007 geographical bias in evaluation studies, it is unknown whether the products perform equally well at all latitudes. 1008

1009 The differences between MOD16 C5 and C6 were generally smaller than the differences with the 1010 other products. More work is needed, however, to determine the degree of consistency between 1011 the two collections.

1012 Performance and ranking of the evaluated products depended on whether or not the eddy 1013 covariance ET data were corrected for the lack of energy balance closure. When using the 1014 unadjusted fluxes (ET_{orig}), GLEAM showed the best overall performance across the studied land 1015 cover types and climate zones, with the strongest correlations and smallest biases. Neither 1016 MOD16 nor ALEXI consistently outperformed the other. When using the corrected fluxes 1017 (ET_{ebc}), there was no product that stood out in terms of both low bias and strong correlations. 1018 The uncertainty associated with the energy balance closure problem affected the product biases 1019 more than the correlations. Most product evaluation studies use ET_{orig} as reference data. Use of 1020 both ET_{orig} and ET_{ebc} is recommended until a better understanding of the effect of the energy 1021 balance closure problem on ET is obtained.

1022 Few studies have compared the performance of the products examined here. However, a

1023 comparison of results from studies that evaluated these products separately seems to confirm that

1024 GLEAM generally outperforms the other products (when using ET_{orig} as reference data).

1025 Latitudinal dependence of product performance was examined using the results of this study and

those published in the literature. The comparison suggests that MOD16 and GLEAM perform

1027 better for low-latitude forests than for northern mid-latitude forests. However, regional

1028 differences, such as between the tropics and subtropics, can be large and were not detected

1029 because of the broad zonal bands used in this analysis. In the case of non-forest vegetation, the

1030 products show no clear latitudinal differences in performance.

1031 No relationship was found between the product evaluation results and the degree of match

1032 between the vegetation at the flux tower site and that detected in the model pixel. More work is

1033 needed to understand the effect of a vegetation mismatch between pixel and site on product

1034 performance.

1035

1036 CRediT authorship contribution statement

1037 Diego Salazar-Martínez: Formal analysis, Investigation, Visualization, Writing - Original

1038 Draft. Friso Holwerda: Conceptualization, Data curation, Formal analysis, Investigation,

1039 Methodology, Supervision, Writing - Original Draft, Writing - Review & Editing. Thomas R.H.

1040 Holmes: Conceptualization, Investigation, Writing - Review & Editing. Enrico A. Yépez:

1041 Investigation, Resources, Writing - Review & Editing. Christopher R. Hain: Investigation,

1042 Writing - Review & Editing. Susana Alvarado-Barrientos: Investigation, Writing - Review &

1043 Editing. Rest of the authors (alphabetical): Investigation.

1044

1045 Data availability

1046	The 8-day mean ET_{orig} and ET_{ebc} from the MexFlux sites (Dataset S1), as well as the 8-day mean
1047	ALEXI ET estimates for all but four eddy covariance sites (Dataset S2; see Section 2.1.3 and
1048	Table S2 for more details regarding the missing data) can be accessed in the supporting
1049	information. The rest of the data used in this study can be accessed through the open data portals
1050	as explained in Section 2.
1051	
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1053	The authors declare that they have no known competing financial interests or personal
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1055	
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CRediT authorship contribution statement

Diego Salazar-Martínez: Formal analysis, Investigation, Visualization, Writing - Original Draft.
Friso Holwerda: Conceptualization, Data curation, Formal analysis, Investigation, Methodology, Supervision, Writing - Original Draft, Writing - Review & Editing.
Thomas R.H. Holmes: Conceptualization, Investigation, Writing - Review & Editing.
Enrico A. Yépez: Investigation, Resources, Writing - Review & Editing.
Christopher R. Hain: Investigation, Writing - Review & Editing.
Susana Alvarado-Barrientos: Investigation, Writing - Review & Editing.
Rest of the authors (alphabetical): Investigation.

Declaration of interests

⊠The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

□The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

March 24, 2022, Mexico City, Mexico

Dear Dr Anagnostou,

We are pleased to submit the revision of the manuscript entitled "Evaluation of remote sensing-based evapotranspiration products at low-latitude eddy covariance sites" for publication in Journal of Hydrology.

The manuscript has been submitted and reviewed before by Journal of Hydrology under the manuscript number HYDROL43166_R1. The present submission is the revised version of this manuscript. We also provide point-by-point replies to the reviewers comments.

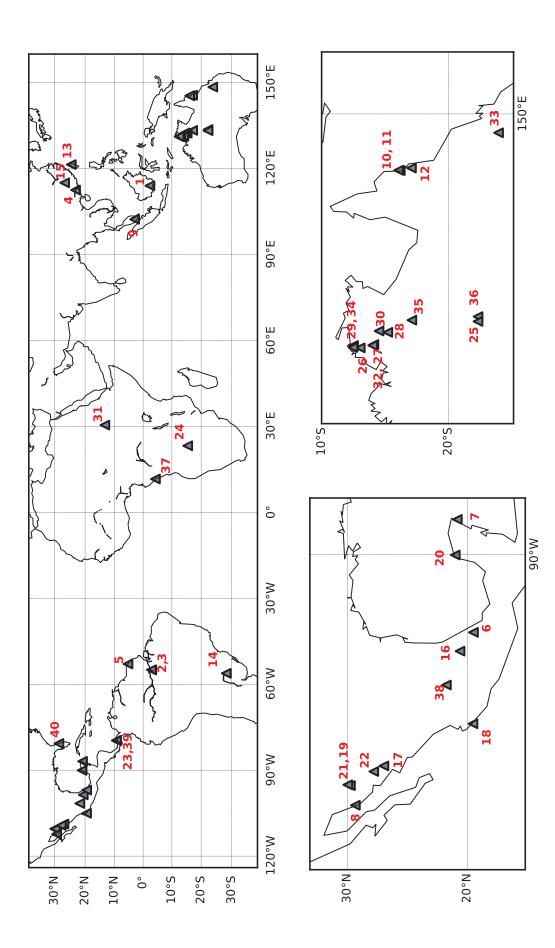
We look very much forward to your response.

Sincerely,

Reliverte

Dr. Friso Holwerda (corresponding author)

Instituto de Ciencias de la Atmósfera y Cambio Climático Universidad Nacional Autónoma de México Circuito de la Investigación s/n Ciudad Universitaria Coyoacan, 04510 México D.F. México E-mail address: friso.holwerda@gmail.com Phone: 0052-55-56224088



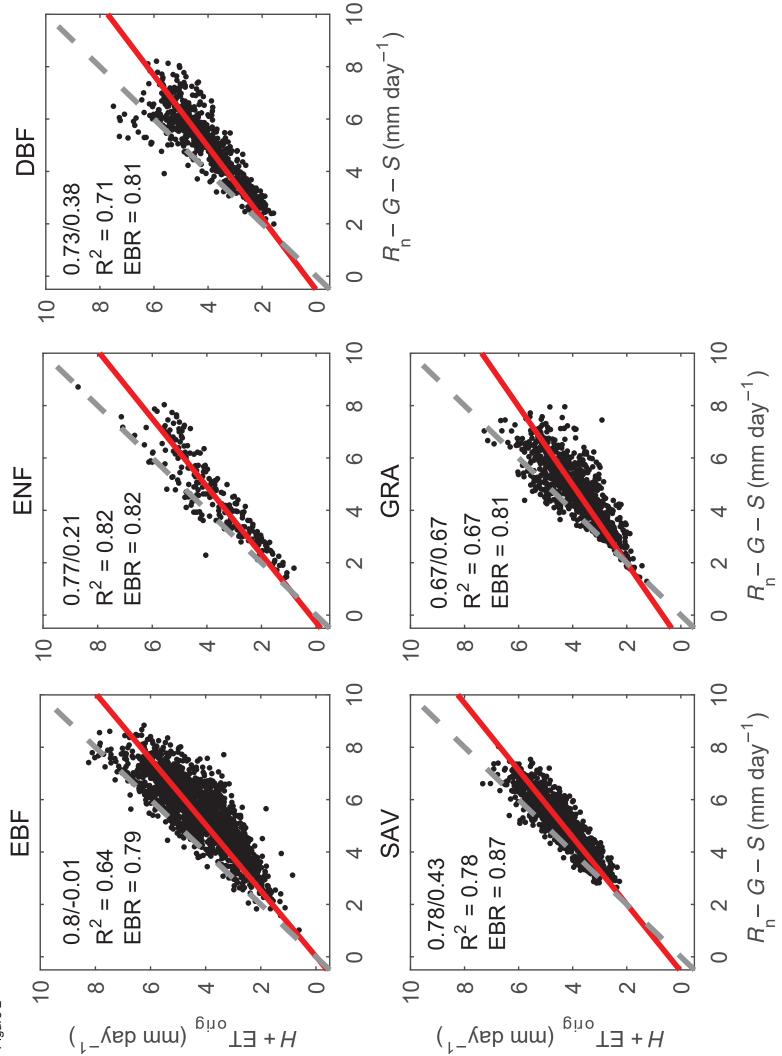


Figure 2

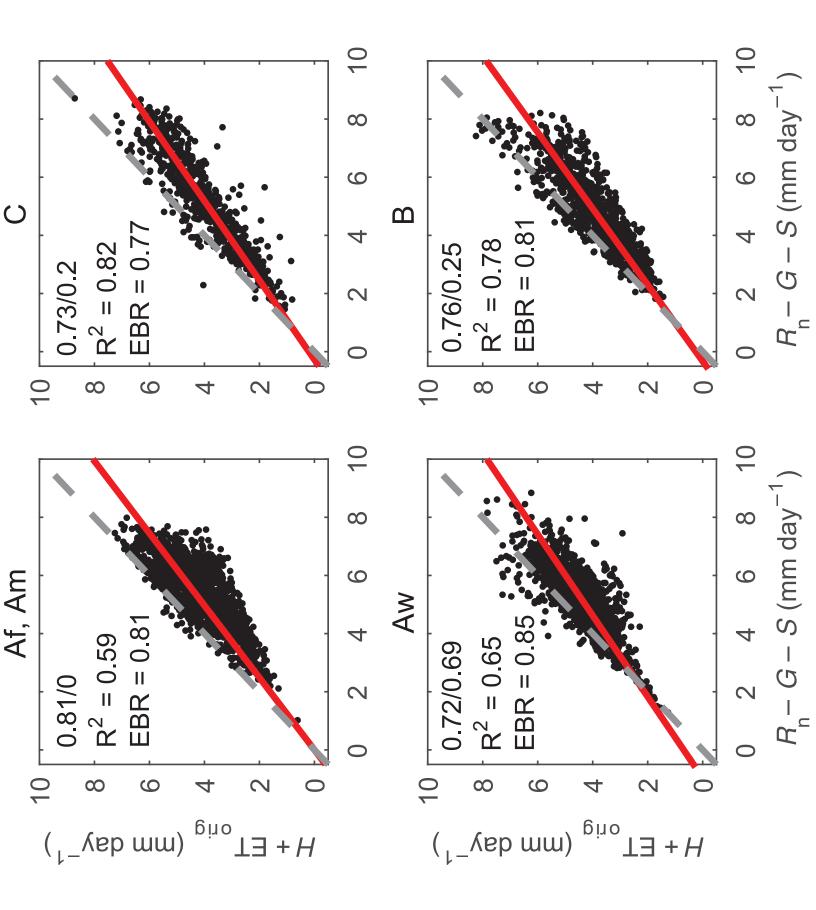
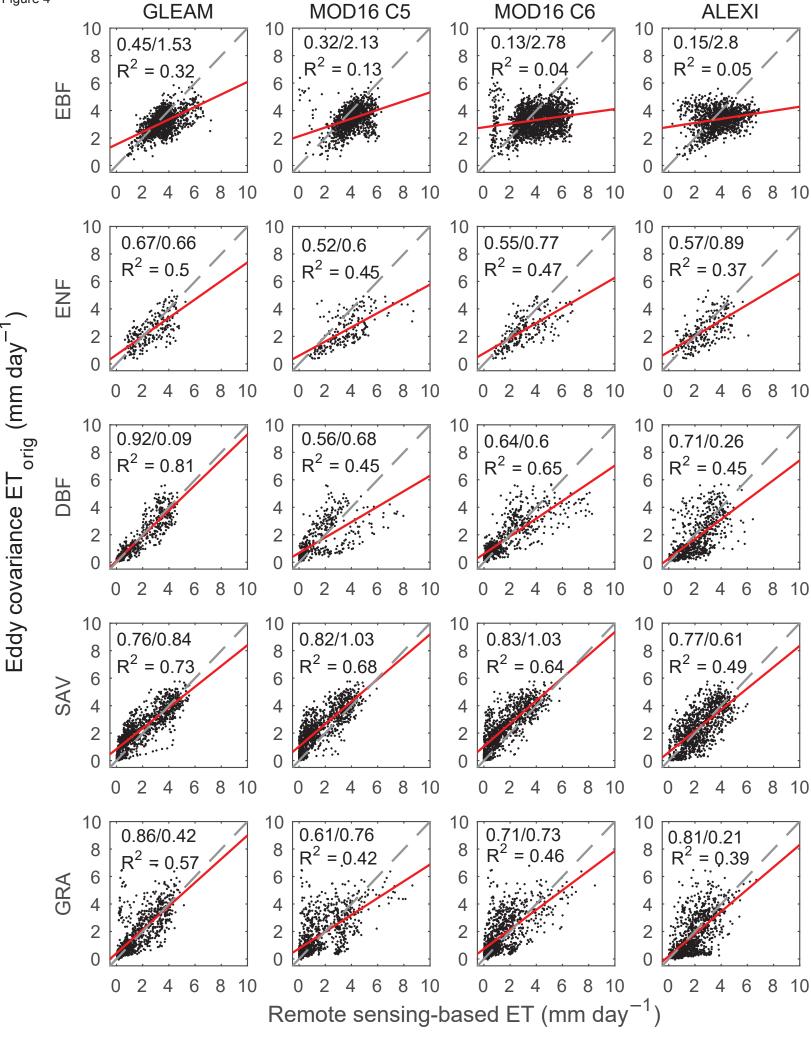
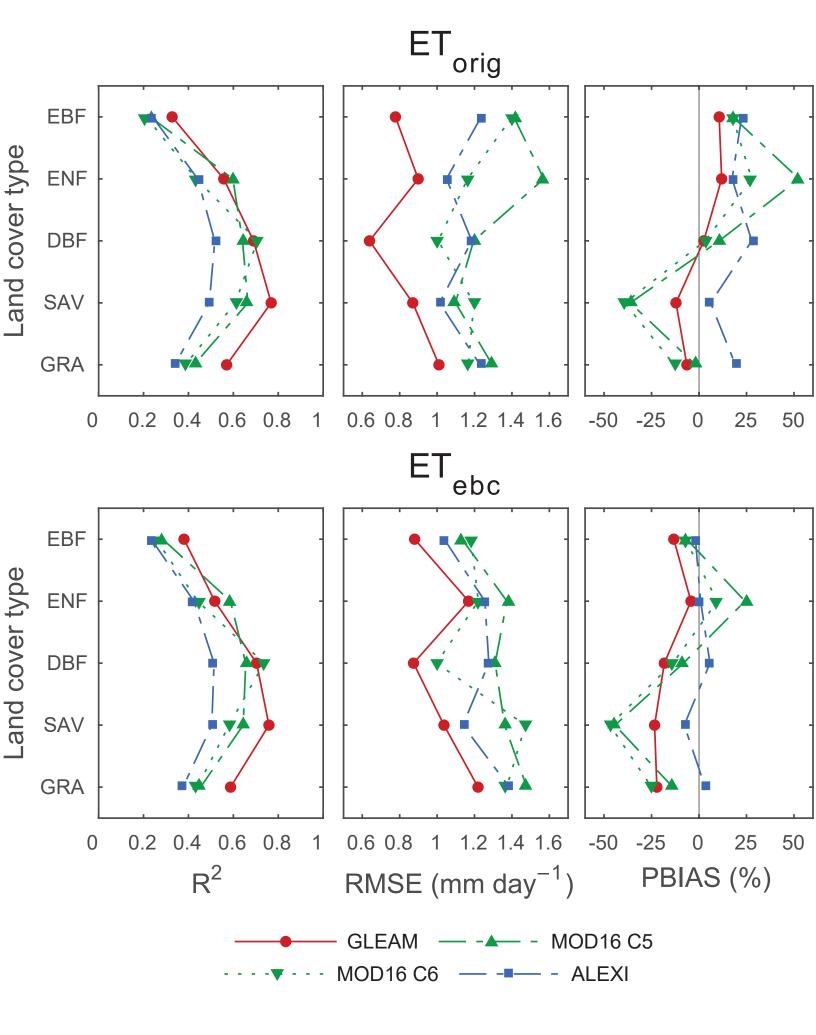
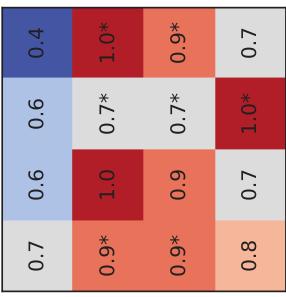


Figure 3

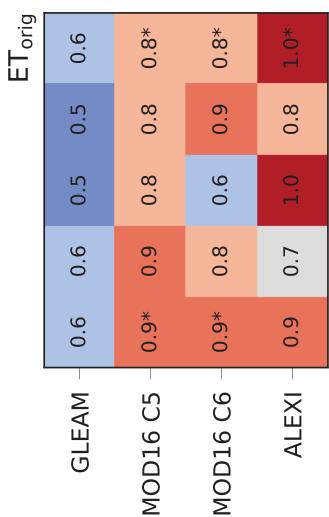


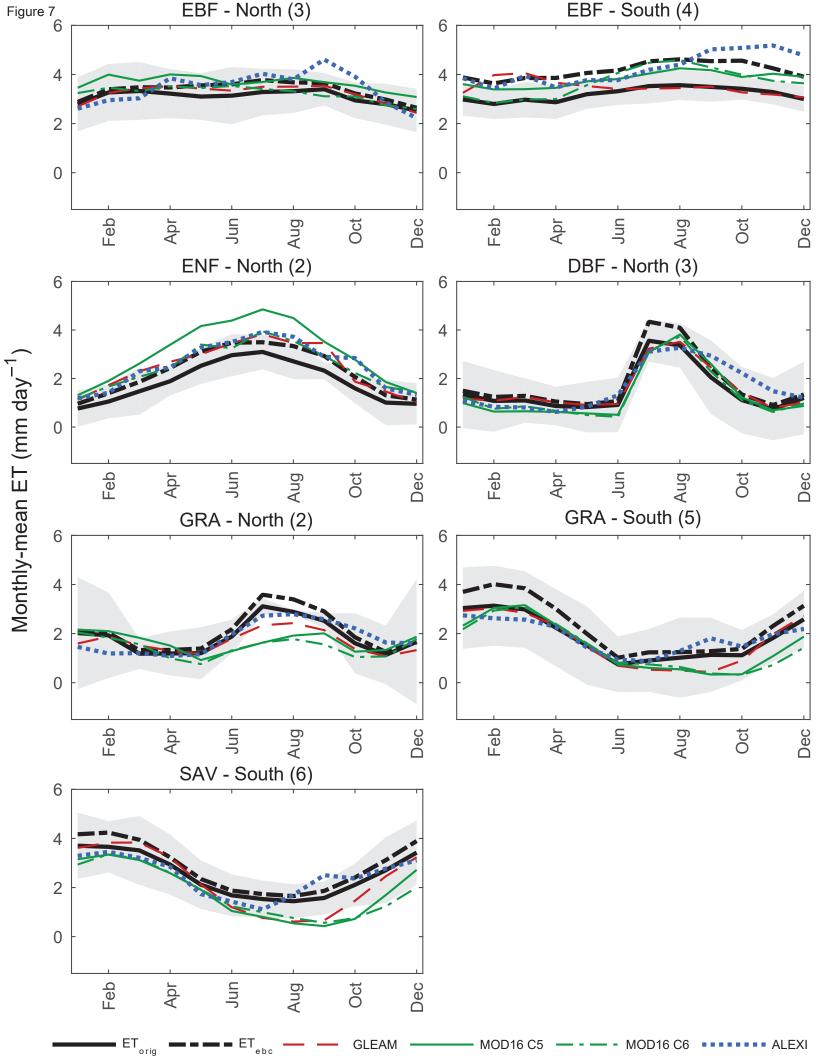


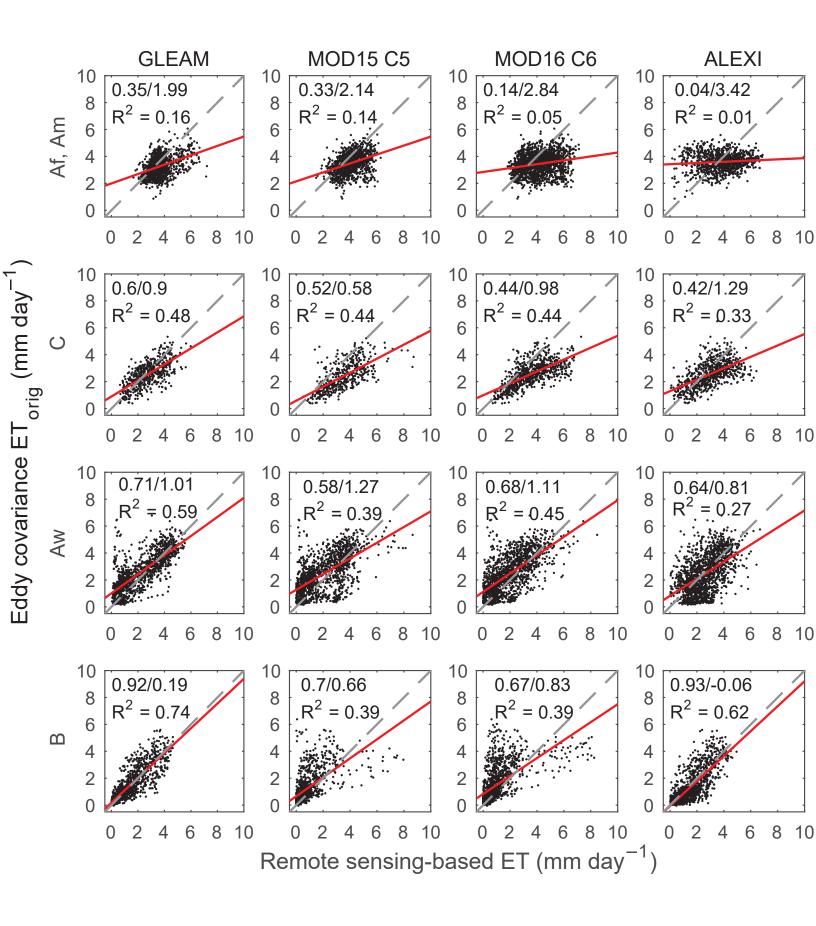
MOD16 C6	*6.0	0.8	0.6	0.9	0.8*	.0	0.9	0.7*	.0	
ALEXI	6.0	0.7	1.0	0.8	1.0*	0.8	0.7	1.0*	0.7	
					ET _{ebc}					
GLEAM	0.9	0.7	0.8	0.6	0.8	0.8	0.7	0.8	0.5	
MOD16 C5 -	0.8	6.0	0.8	0.9	0.8	0.7	0.9	0.7	1.0	
MOD16 C6 -	0.9	0.8	0.7	1.0	0.9	0.7	0.8	0.8	0.9	
ALEXI	0.8	0.8	0.8	0.7	0.8	1.0	0.8	0.8	0.7	
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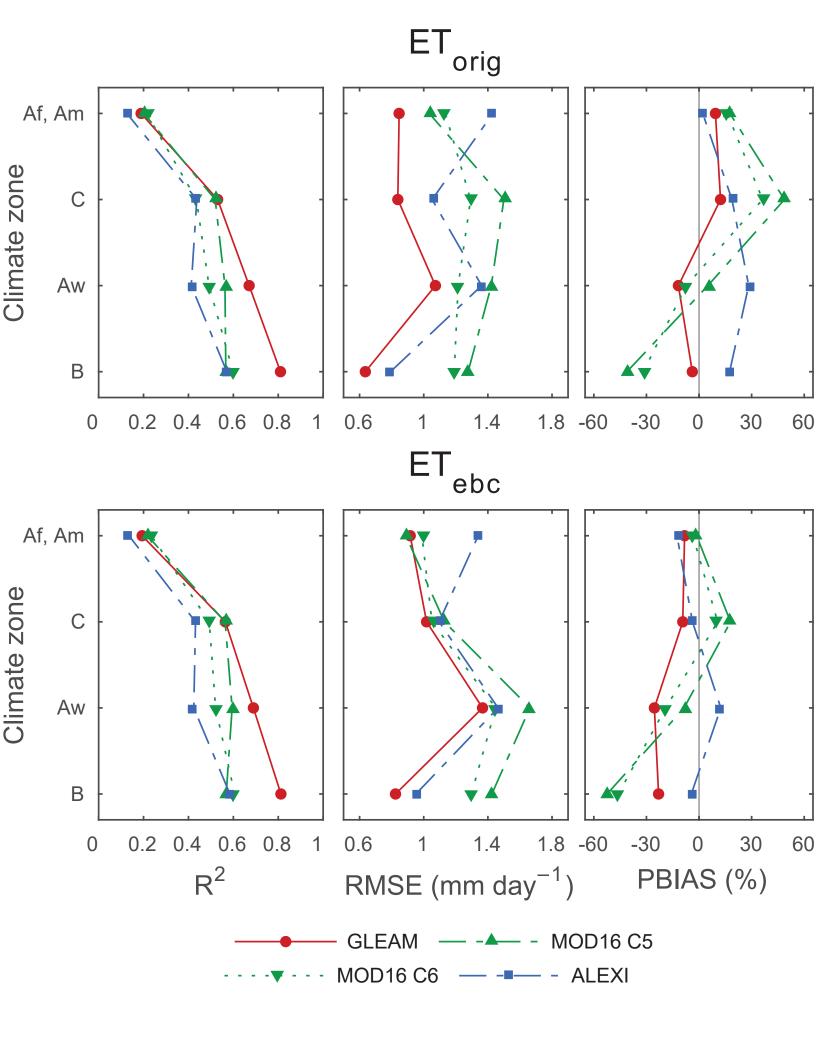


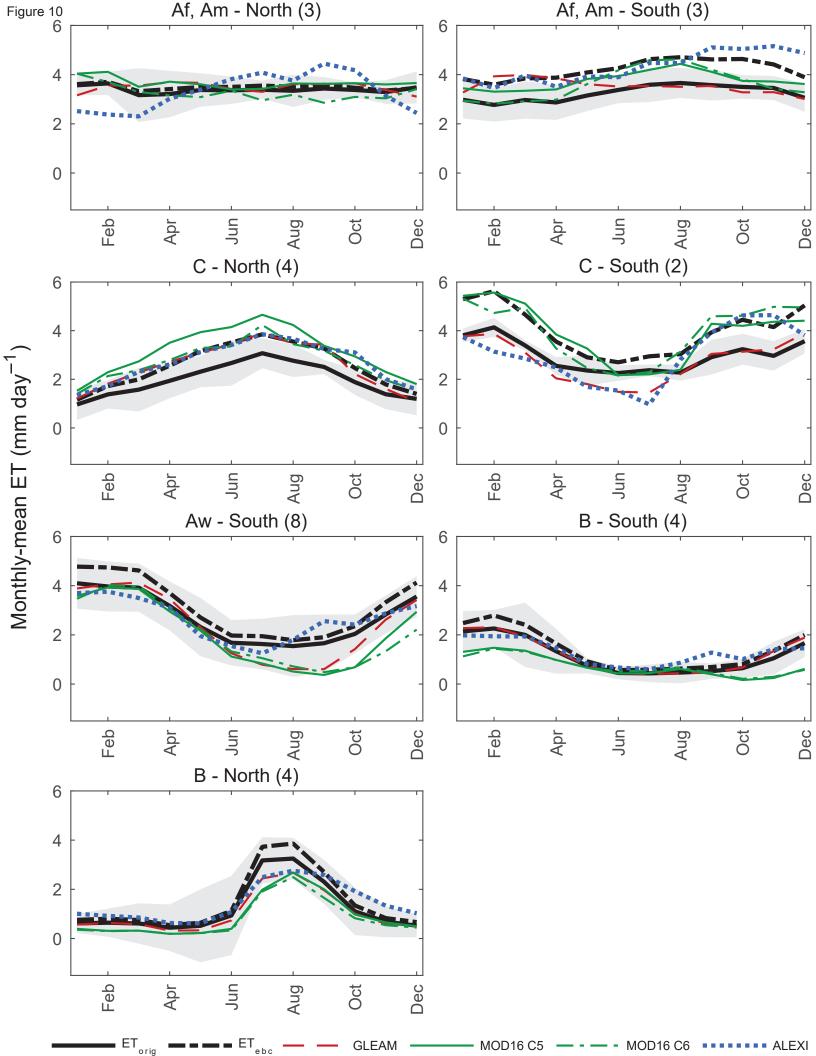
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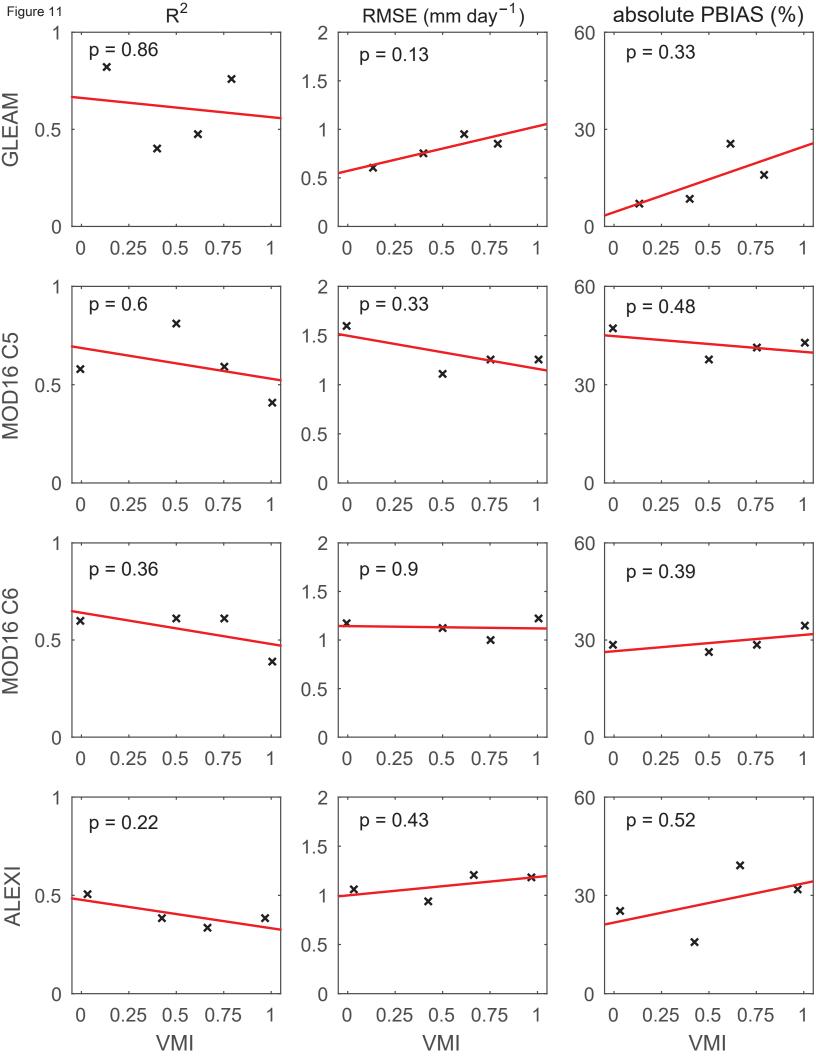


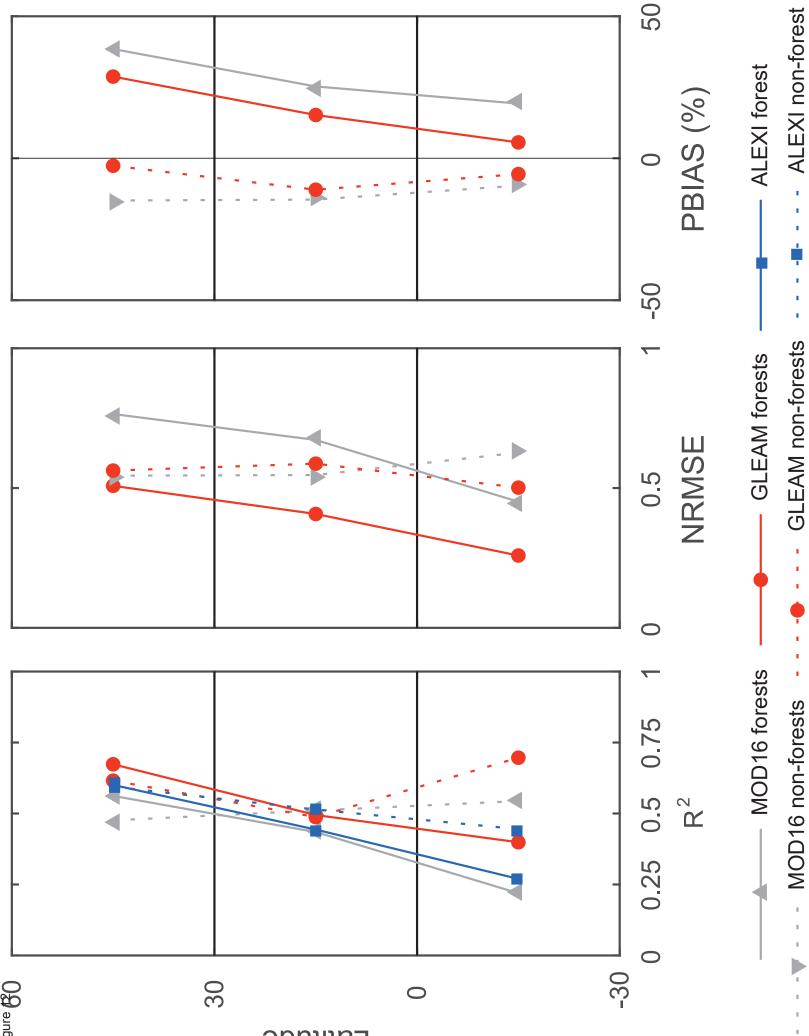












-atitude

Figure 80

Figure captions

- 1 Fig. 1. Map showing the geographic location of the 40 eddy covariance sites used in the evaluation,
- 2 zoomed in for Mexico and northern Australia. The numbers identify the sites in Table 2.
- 3

Fig. 2. Scatter plots of daytime sums of sensible heat flux (*H*) and evapotranspiration (ET_{orig}) versus available energy (R_n –G–S; all terms in units of millimetres) for different land cover types for the eddy covariance sites used in the evaluation. Shown are the regression slope (value before the slash), the intercept (value after the slash), the coefficient of determination (R^2), the energy balance ratio (EBR), the linear regression line (solid red line), and the 1:1 line (dashed line).

9

Fig. 3. Scatter plots of daytime sums of sensible heat flux (*H*) and evapotranspiration (ET_{orig}) versus available energy (R_n -*G*-*S*; all terms in units of millimetres) for different climate zones for the eddy covariance sites used in the evaluation. Shown are the regression slope (value before the slash), the intercept (value after the slash), the coefficient of determination (R^2), the energy balance ratio (EBR), the linear regression line (solid red line), and the 1:1 line (dashed line).

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Fig. 4. Unadjusted eddy covariance ET observations (ET_{orig}) versus remote sensing-based ET for each land cover type for each of the evaluated products. Shown are the regression slope (value before the slash), the intercept (value after the slash), the coefficient of determination (\mathbb{R}^2), the linear regression line (solid red line), and the 1:1 line (dashed line).

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Fig. 5. Mean performance statistics (R^2 , RMSE, PBIAS) by land cover type for each of the evaluated products for the unadjusted eddy covariance ET observations (ET_{orig}) and those corrected for the lack of energy balance closure (ET_{ebc}).

Fig. 6. Heat maps of the Ideal Point Error (IPE) for each of the evaluated products for each of the comparisons by land cover type and climate zone for the unadjusted eddy covariance ET observations (ET_{orig}) and those corrected for the lack of energy balance closure (ET_{ebc}). The IPE values are shown on the plot. The lower the IPE, the better the relative performance of the product. Blue/red colors indicate best/worst IPE scores. The asterisks in the heatmap for ET_{orig} indicate where the ranking of a product differed from that based on the IPE scores for the common reference dataset (Figure S3; Section 2.2.4).

Fig. 7. Average monthly ET for the four ET products together with the average monthly unadjusted ET observations (ET_{orig}) and those corrected for the lack of energy balance closure (ET_{ebc}) for different land cover types in the northern and southern hemispheres. Curves were calculated using the common reference dataset. Only land cover-hemisphere combinations for which data from at least two sites were available are shown. The number of sites in each land cover-hemisphere combination is given between parentheses. The error band represents the standard deviation of the mean monthly ET_{orig} at the different sites.

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Fig. 8. Unadjusted eddy covariance ET observations (ET_{orig}) versus remote sensing-based ET for each
climate zone for each of the evaluated products. Shown are the regression slope (value before the
slash), the intercept (value after the slash), the coefficient of determination (R²), the linear regression
line (solid red line), and the 1:1 line (dashed line).

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Fig. 9. Mean performance statistics (R², RMSE, PBIAS) by climate zone for each of the evaluated
products for the unadjusted eddy covariance ET observations (ET_{orig}) and those corrected for the lack
of energy balance closure (ET_{ebc}).

Fig. 10. Average monthly ET for the four ET products together with the average monthly unadjusted ET observations (ET_{orig}) and those corrected for the lack of energy balance closure (ET_{ebc}) for different climate zones in the northern and southern hemispheres. Curves were calculated using the common reference dataset. Only climate zone-hemisphere combinations for which data from at least two sites were available are shown. The number of sites in each climate zone-hemisphere combination is given between parentheses. The error band represents the standard deviation of the mean monthly ET_{orig} at the different sites.

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Fig. 11. Binned scatter plots between the performance metrics (\mathbb{R}^2 , RMSE, PBIAS) and the vegetation match index for each of the evaluated products. Shown are the regression lines and the *p*-values indicating the statistical significance of the regression slopes.

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61 Fig. 12. Zonal averages (southern and northern low latitudes and northern middle latitudes) of the

62 performance metrics grouped by forest and non-forest vegetation for MOD16 and GLEAM (R²,

NRMSE, PBIAS) and ALEXI (only R²). Averages were calculated using evaluation results from this
study and from the literature. See Section 2.4 for further details.

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	GLEAM v3.3a	MOD16 C5	MOD16 C6	ALEXI
Spatial resolution	0.25°	1 km	500 m	0.05°
Temporal resolution	daily	8-day	8-day	daily
Temporal coverage	1980–2018	2000–2014	2000-present	2002–2019
Principle	Priestley-Taylor	Penman-Monteith	Penman-Monteith	Two-source energy balance
Public access	yes	yes	yes	no
References	Miralles et al. (2011); Martens et al. (2017)	Mu et al. (2011, 2013)	Mu et al. (2011); Running et al. (2019)	Anderson et al. (1997, 2007, 2011); Hain and Anderson (2007)

1 Table 1. General characteristics of the remote sensing-based ET products evaluated in this study.

2	2 Table 2. Eddy covariance sites used in the evaluation of the remote sensing-based ET products. Shown for each site are: number to locate site on map
с	3 in Figure 1; site ID or site name used by the flux network; latitude and longitude (decimal degrees); period with data availability; flux network;
4	country; IGBP land cover type; Köppen-Geiger climate class; evaporative fraction (EF); energy balance ratio (EBR); site elevation (m); and
5	5 reference(s) to article(s) with additional information or to dataset.
	Site ID or I of I on Data Network Country I and Climate EE EBB Elev. Reference

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	Site ID or	Lat.	Lon.	Data	Network	Country	Land	Climate	EF	EBR	Elev.	Reference
61	site name			period		2	cover	class			(m)	
1	PDF	-2.35	114.03	2002-2005	AsiaFlux	Indonesia	EBF	Af	0.77	0.82	30	Hirano et al. (2015)
	BR-Sal	-2.86	-54.96	2002-2011	FLUXNET	Brazil	EBF	Am	0.76	0.71	88	Saleska (2002–2011)
	BR-Sa3	-3.02	-54.97	2000–2004	FLUXNET	Brazil	EBF	Am	0.77	0.82	100	Goulden (2000–2004)
	CN-Din	23.17	112.54	2003-2005	FLUXNET	China	EBF	Cwa	0.60	0.68	300	Yu et al. (2006)
	GF-Guy	5.28	-52.92	2004–2014	FLUXNET	French Guiana	EBF	Am	0.75	0.85	48	Bonal et al. (2008)
Π	La Orduña	19.47	-96.93	2014–2018	MexFlux	Mexico	EBF	Cfa	0.56	0.82	1210	Holwerda et al. (2016); Holwerda and Meesters (2019)
	Puerto Morelos	20.85	-86.90	2017–2018	MexFlux	Mexico	EBF	Aw	0.51	0.75	10	Alvarado-Barrientos et al. (2021)
Щ	El Sargento	29.34	-112.28	2014-2016	MexFlux	Mexico	EBF	BWh	0.59	0.99	0	Delgado-Balbuena et al. (2018)
	MY-PSO	2.97	102.31	2003-2009	FLUXNET	Malaysia	EBF	Af	0.64	0.98	112	MY-PSO (2003–2009)
E	Cape Tribulation	-16.10	145.45	2012-2018	OzFlux	Australia	EBF	Am	0.72	0.77	40	Liddell (2013)
0	Cow Bav	-16.24	145.43	2011 - 2019	OzFlux	Australia	EBF	Am	0.72	0.63	86	Liddell (2013)
	Robson	-17.12	145.63	2014-2019	OzFlux	Australia	EBF	Сwa	0.61	0.71	710	Liddell (2013)
	Creek											
	CLM	24.59	121.42	2007-2009	AsiaFlux	Taiwan	ENF	Cfb	0.50	0.86	1638	Chu et al. (2014)
	AR-Vir	-28.24	-56.19	2009–2012	FLUXNET	Argentina	ENF	Cfa	0.79	0.72	127	Posse et al. (2016)
	CN-Qia	26.74	115.06	2003-2004	FLUXNET	China	ENF	Cfa	0.70	0.76	100	Yu et al. (2006)
٦	Atopixco	20.61	-98.59	2017-2018	MexFlux	Mexico	ENF	Cwb	0.43	0.99	2064	Hidalgo-Sánchez et al. (2021)
	Álamos	27.00	-108.79	2015-2017	MexFlux	Mexico	DBF	BSh	0.57	0.71	368	Rojas-Robles et al. (2020)
-	Chamela	19.51	-105.04	2007-2010	MexFlux	Mexico	DBF	Aw	0.30	0.82	73	González del Castillo et al. (2018)
0	Sierra Loc	20.06	110 16	10100 0100	N 6 E1			100	C7 0	CL 0	1214	Dáraz Duiz at al (2021)

Figueroa-Espinoza et al. (2021); Uhi-Sonda et al (2022)	Verduzco et al. (2018); Pérez-Ruiz et al. (2021)	Verduzco et al. (2015)	Wolf et al. (2011)	Merbold et al. (2009)	Cleverly et al. (2013)	Beringer et al. (2011)	Hutley et al. (2011)	Cernusak et al. (2011)	Beringer et al. (2007)	Bristow et al. (2016)	Ardö et al. (2008)	Hutley et al. (2011)	Schroder et al. (2014)	Beringer et al. (2013)	Beringer et al. (2011)	Cleverly et al. (2016)	Merbold et al. (2009)	Delgado-Balbuena et al. (2019)	Wolf et al. (2011)	Drake and Hinkle (2003–2006)	
8	632	426	78	1053	009	100	110	175	64	171	500	67	170	4	225	553	82	2228	68	С	
1.03	0.81	0.76	0.91	0.77	0.83	0.96	0.90	0.80	0.89	0.87	0.83	0.74	0.67	0.80	0.82	1.03	0.98	0.83	0.96	0.81	
0.40	0.23	0.31	0.66	0.45	0.16	0.58	0.51	0.46	0.63	0.40	0.40	0.45	0.32	0.72	0.33	0.12	0.48	0.41	0.67	0.59	
Aw	BSh	BSh	Am	Aw	BWh	Aw	Aw	Aw	Aw	Aw	BWh	Aw	BSh	Aw	BSh	BWh	Aw	BSk	Am	Cfa	
DBF	DBF	DBF	DBF	DBF	SAV	SAV	SAV	SAV	WSA	SAV	SAV	GRA	GRA	GRA	GRA	GRA	GRA	GRA	GRA	CSH	
Mexico	Mexico	Mexico	Panama	Zambia	Australia	Australia	Australia	Australia	Australia	Australia	Sudan	Australia	Australia	Australia	Australia	Australia	Congo	Mexico	Panama	United	States
MexFlux	MexFlux	MexFlux	FLUXNET	FLUXNET	FLUXNET	FLUXNET	FLUXNET	FLUXNET	FLUXNET	FLUXNET	FLUXNET	FLUXNET	FLUXNET	FLUXNET	FLUXNET	FLUXNET	FLUXNET	MexFlux	FLUXNET	FLUXNET	
2016-2018	2008–2015	2004-2008	2007-2009	2007-2009	2010-2014	2007–2009	2008–2014	2009–2014	2003–2014	2011-2013	2007–2009	2007-2013	2011-2013	2006-2008	2008–2014	2012-2014	2006–2009	2011-2016	2007–2009	2003-2006	
-90.06	-110.53	-109.28	-79.63	23.25	133.25	131.12	131.39	132.37	131.15	132.48	30.48	131.32	148.47	131.31	133.35	133.64	11.66	-101.61	-79.63	-80.67	
21.02	29.74	27.83	9.32	-15.44	-22.28	-13.08	-14.16	-15.26	-12.49	-14.56	13.28	-14.06	-23.86	-12.55	-17.15	-22.29	-4.29	21.78	9.31	28.61	
El Palmar	Rayón	Tesopaco	PA-SPn	ZM-Mon	AU-ASM	AU-Ade	AU-DaS	AU-Dry	AU-How	AU-RDF	SD-Dem	AU-DaP	AU-Emr	AU-Fog	AU-Stp	AU-TTE	CG-Tch	Ojuelos	PA-SPs	US-KS2	
20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	

7 Table 3. Number of eddy covariance sites and site years (between parentheses) available in the
8 complete dataset for each product by land cover type and climate zone. Note that the number of
9 site years corresponds to the length of the flux tower records. Actual data availability was lower
10 due to, for example, missing or erroneous data.

		GLEAM	MOD16 C5	MOD16 C6	ALEXI
Land cover type	EBF	9 (49)	11 (47)	12 (67)	8 (47)
	ENF	4 (7)	3 (6)	4 (7)	4 (7)
	DBF	6 (23)	5 (18)	8 (27)	8 (27)
	SAV	7 (33)	7 (33)	7 (33)	7 (33)
	GRA	7 (28)	8 (29)	8 (31)	8 (31)
Climate zone	Af, Am	8 (42)	9 (46)	9 (55)	7 (39)
	Aw	8 (37)	10 (43)	12 (46)	11 (45)
	С	7 (19)	7 (15)	8 (24)	8 (24)
	В	10 (42)	9 (33)	11 (45)	10 (42)

Table 4. Number of evaluation results (N_{ER}) from this study and from the literature, broken down
into results for R², NRMSE and PBIAS, and grouped by latitudinal zone, ET product and

		Ν	IOD16	G	LEAM	А	LEXI
		Forest	Non-forest	Forest	Non-forest	Forest	Non-forest
	R ²	19	30	2	9	27	26
30° N–60° N	NRMSE	11	23	2	9	NA	NA
	PBIAS	11	23	2	9	NA	NA
	\mathbb{R}^2	15	9	16	4	14	5
0°–30° N	NRMSE	13	9	16	4	14	4
	PBIAS	13	9	16	4	14	4
	R ²	9	16	8	11	6	19
0°–30° S	NRMSE	8	16	8	11	6	12
	PBIAS	8	16	8	11	6	12

15	vegetation category (ee Section 2.4 for further explanation). NA is not Not Av	ailable.