

Abstract

Remote sensing-based evapotranspiration (ET) products have been evaluated primarily using data from northern middle latitudes; therefore, little is known about their performance at low latitudes. To address this bias, an evaluation dataset was compiled using eddy covariance data 34 from 40 sites between latitudes 30° S and 30° N. The flux data were obtained from the emerging network in Mexico (MexFlux) and from openly available databases of FLUXNET, AsiaFlux, and OzFlux. This unique reference dataset was then used to evaluate remote sensing-based ET products in environments that have been underrepresented in earlier studies. The evaluated products were: MODIS ET (MOD16, both the discontinued collection 5 (C5) and the latest collection (C6)), Global Land Evaporation Amsterdam Model (GLEAM) ET, and Atmosphere-40 Land Exchange Inverse (ALEXI) ET. Products were compared with unadjusted fluxes (ET_{orig}) 41 and with fluxes corrected for the lack of energy balance closure (ET_{ebc}) . Three common 42 statistical metrics were used: coefficient of determination (R^2) , root mean square error (RMSE), and percent bias (PBIAS). The effect of a vegetation mismatch between pixel and site on product evaluation results was investigated by examining the relationship between the statistical metrics and product-specific vegetation match indexes. Evaluation results of this study and those published in the literature were used to examine the performance of the products across latitudes. 47 Differences between the MOD16 collection 5 and 6 datasets were generally smaller than differences with the other products. Performance and ranking of the evaluated products depended 49 on whether ET_{orig} or ET_{ebc} was used. When using ET_{orig} , GLEAM generally had the highest R^2 , smallest PBIAS, and best RMSE values across the studied land cover types and climate zones. 51 Neither MOD16 nor ALEXI performed consistently better than the other. When using ET_{ebc} , 52 none of the products stood out in terms of both low bias and strong correlations. The use of ET_{ebc}

1. Introduction

63 The low latitudes (30° S– 30° N) are characterized by large contrasts in terrestrial evapotranspiration (ET). They are home to tropical rainforests and other ecosystems with abundant rainfall where energy (radiation) is the main constraint to ET (see, for example, Fisher et al., 2009; Bruijnzeel et al., 2011). They are also home to tropical and subtropical arid and semi-arid ecosystems where ET is limited by water supply (see, for example, Eamus et al., 2013; Delgado-Balbuena et al., 2019). Estimates of ET for this region are needed for local and regional applications such as water resource management and drought monitoring and for global applications such as climate change studies (Wang and Dickinson, 2012; Fisher et al., 2017). The spatial and temporal scale of these applications require other methods than those used to study ET at the plot to ecosystem scale (i.e., lysimeter, sap flow, and micrometeorological methods). Recently, the potential of remote sensing-based ET estimates for these purposes has been recognized (Dolman et al., 2014; Fisher et al., 2017; Sheffield et al., 2018). Since the 1990s, numerous remote sensing-based ET models have been developed (see Ke Zhang et al., 2016 for an overview). These models can be broadly divided into three categories (in no specific order): models based on the (1) Penman-Monteith (Monteith, 1965) or (2) Priestley-Taylor (Priestley and Taylor, 1972) equations and (3) models that determine the sensible heat flux (*H*) and calculate ET (or latent heat flux, LE) as the residual of the surface energy balance (i.e., so-called SEB models; Wang and Dickinson, 2012; Ke Zhang et al., 2016; Chen and Liu, 2020). Of interest to the user community is the development of global ET products from these models that are readily available to the public and regularly updated to include the latest data. Two such datasets have been produced since the early 2010s: 1) the MODIS ET product based on the MOD16 algorithm (Penman-Monteith type model; Mu et al., 2007, 2011; Running et al., 85 2019); and 2) the ET product from the Global Land Evaporation Amsterdam Model (GLEAM;

Priestley-Taylor type model; Miralles et al., 2011; Martens et al., 2017). More recently, global ET datasets based on the SEB model of Senay et al. (2013, 2020) and the Penman-Monteith-Leuning (PML) model of Yongqiang Zhang et al. (2016, 2019) have become available. Efforts are also underway to develop a global ET product based on the Atmosphere-Land Exchange Inverse (ALEXI) model (another SEB-based approach; Anderson et al., 2011; Hain and Anderson, 2017; Holmes et al., 2018).

Measurements of ET from eddy covariance flux towers have been used as the standard reference data against which remote sensing-based ET products are evaluated (Miralles et al., 2011; Mu et al., 2011; Holmes et al., 2018; Yongqiang Zhang et al., 2019; Senay et al., 2020), despite the problems related to the lack of energy balance closure observed at eddy covariance sites and the scale difference between the flux footprint and the model pixels (see below). Broadly speaking, two different types of evaluation studies can be distinguished: 1) those that evaluate the published ET datasets (hereafter referred to as product evaluation studies); and 2) those that evaluate the performance of the underlying models (model evaluation studies). In the latter type of study, all models are run with the same input data to isolate the effect of different modeling approaches from differences in forcing data (Vinukollu et al., 2011a, b; McCabe et al., 2016; Michel et al., 2016; Melo et al., 2021). Because remote sensing ET models are sensitive to changes in input data (Vinukollu et al., 2011b; Badgley et al., 2015), the results of model evaluation studies do not necessarily apply to the actual products. The performance of remote sensing-based ET products at low latitudes is largely unknown 106 because most evaluation studies have focused on the northern middle latitudes (30 \degree N–60 \degree N; Miralles et al., 2011; Mu et al., 2011; Kim et al., 2012; Hu et al., 2015; Velpuri et al., 2013; Tang et al., 2015; Reitz et al., 2017; Holmes et al., 2018; Khan et al., 2018). The few studies that

evaluated ET products at low latitudes did this at a small number (two to five) of eddy

covariance sites (Ruhoff et al., 2013; Ramoelo et al., 2014; Aguilar et al., 2018; Souza et al., 2019). The bias toward the northern middle latitudes can be explained by geographic differences in the availability of eddy covariance data (Schimel et al., 2015; Villareal and Vargas, 2021). Because of the lack of evaluation results from the low latitudes, it is unknown whether global remote sensing-based ET products perform equally well at all latitudes. One can think of several reasons why this might not be the case. For example, the MOD16 ET algorithm was calibrated using eddy covariance data from sites located primarily in the US and Canada (Mu et al., 2011). Hence, it is possible that the model is less accurate in other regions of the world, including the low latitudes (Kun Zhang et al., 2019). Similarly, GLEAM uses constant values for the Priestley-Taylor coefficient (*α*; Miralles et al., 2011), while *α* varies with climate (Shuttleworth, 1993) and forest type (Komatsu, 2005). Because the distribution of climate and forest types is related to latitude, the use of constant values for *α* may result in (apparent) latitude-dependent biases in ET. Latitudinal differences in product performance can also be caused by regional differences in input data quality (Vinukollu et al., 2011b) or cloud cover (Running et al., 2019). While eddy covariance observations of ET are probably the best option to evaluate remote sensing datasets, there are two problems to consider: 1) the energy balance observed at eddy covariance sites is usually not closed; and 2) the footprint of the eddy covariance observations and the pixels of the ET products have different spatial scales. The degree of energy balance closure is quantified by the energy balance ratio (EBR), which is the ratio of turbulent energy fluxes (*H* + LE) to available energy, *A* (Wilson et al., 2002). Available energy is the difference 130 between net radiation (R_n) and changes in energy storage. The average EBR observed at eddy covariance sites is about 0.8 (Wilson et al., 2002; Stoy et al., 2013). While the cause of the energy imbalance is still being investigated, there are several plausible explanations, including the systematic underestimation of the eddy covariance fluxes (Frank et al., 2016; Gao et al.,

2017; Mauder et al., 2020). As a practical solution to the closure problem, the energy surplus is added to *H* and LE. Because it is unknown in what proportion the energy should be divided between the fluxes (Mauder et al., 2020), the surplus is usually distributed in proportion to the magnitude of *H* and LE, which preserves the Bowen ratio (Twine et al., 2000). Comparisons with independent estimates of ET have yielded contrasting results, with some studies finding better agreement for energy balance closure-corrected ET (Barr et al., 2012; Mauder et al., 2018) and others for unadjusted ET (Denager et al., 2020). Although the energy balance closure problem has been recognized for many years (Wilson et al., 2002; Foken et al., 2011), its effect on the evaluation results of remote sensing-based ET products or models has rarely been examined (Michel et al., 2016; Melton et al., 2021). The evaluation results can also be affected by the scale difference between the footprint of the eddy covariance observations and the pixels of the ET products. The flux footprint is typically 146 smaller than 1 km² (Chu et al., 2021), while the pixel sizes of ET products are as small as 0.25 $\rm km^2$ (MOD16) and as large as 750 km² (GLEAM). The scale difference can result in a mismatch in vegetation between pixel and site (Hobeichi et al., 2018; Jiménez et al., 2018). Such a mismatch may also result from errors in the vegetation input data used by the models (due to, for example, incorrect classification). Because most models calculate ET using land cover-specific parameters (Anderson et al., 2007; Miralles et al., 2011; Mu et al., 2011), a mismatch between the actual vegetation of the observation site and that detected in the model pixel could potentially affect the evaluation results (Hu et al., 2015). However, the few studies that have examined this issue found no clear effect (Hobeichi et al., 2018; Jiménez et al., 2018). The objectives of this study were to: 1) evaluate the performance of the MOD16 and GLEAM global ET products as well as of ET based on the ALEXI model at 40 eddy covariance sites in

the low latitudes; 2) examine the effect of the energy balance closure problem on product

evaluation results; 3) examine the dependence of product evaluation results on the vegetation-match between pixel and site; and 4) investigate potential latitudinal dependence of product performance. The MOD16 and GLEAM products were chosen because they are the longest regularly produced remote sensing-based ET datasets. From MOD16, both the discontinued collection 5 (C5) and the latest collection (C6) were evaluated (Mu et al., 2011; Running et al., 2019). In the case of GLEAM, the v3.3a dataset was evaluated (Martens et al., 2017). While most applications of ALEXI have focused on the continental US, recent efforts have paved the way for routine global implementation of ALEXI (Hain and Anderson, 2017). The reference dataset compiled in this study provides an excellent opportunity to evaluate the performance of ALEXI at low latitudes. The products were evaluated using a reference dataset of eddy covariance observations, including data from the emerging flux network in Mexico (MexFlux; Vargas et al., 2013; Delgado-Balbuena et al., 2018) and from openly available databases of FLUXNET (Pastorello et al., 2020), AsiaFlux, and OzFlux (Beringer et al., 2016).

2. Methods

2.1. Data

The remote sensing-based ET products evaluated in this study have different spatial and temporal resolutions (Table 1). The comparisons with the eddy covariance ET observations were made at the original spatial resolution of each product, except in the case of MOD16 C6 for which the 500-m data were resampled to a 1-km resolution to match MOD16 C5. Using the original spatial resolution is the common practice when evaluating these products against eddy covariance data (see references in Table S4). An exception was made for MOD16 C6 to allow for a more direct comparison with the previous C5 version. The effect of the scale mismatch between product pixel and flux footprint on the evaluation results was examined using the

vegetation match index (Section 2.3). For each product, ET data were obtained from the pixels matching the location of the flux towers (Velpuri et al., 2013; Hu et al., 2015). To evaluate all products at the same temporal resolution (some performance statistics depend on the temporal resolution of the data), the daily GLEAM and ALEXI data were averaged over the 8-day MODIS interval. This was the highest common temporal resolution possible among the evaluated datasets. Likewise, the eddy covariance data were averaged to yield mean daily ET for each MODIS interval (Section 2.1.4).

The remote sensing ET products were evaluated by grouping the data by land cover type and climate zone (Section 2.2). The eddy covariance data from the various sites were collected during different periods between 2000 and 2019, with the length of the data records ranging from 1 to 11 years (Table 2). Hence, the flux datasets for a given land cover type or climate zone may not coincide in time. In addition, data availability varied among the evaluated products. MOD16 C5 was discontinued in 2015 and GLEAM data for 2019 were not available at the time of download (Table 1). For GLEAM and ALEXI, seven and four sites, respectively, were omitted from the analysis because the fraction of open water in the corresponding pixels was too high due to proximity to the coast (Sections 2.1.2 and 2.1.3). This problem did not affect MOD16 because of the smaller pixel size. As a result, the amount of data available for each of the comparisons by land cover type and climate zone often varied from product to product (Table 3). Ideally, one would compare the products using a common reference dataset (i.e., same sites and same MODIS intervals). However, this would reduce the amount of available data by about one-third (12 fewer sites and about 36% fewer MODIS intervals). Therefore, it was decided to perform the regression analysis of observations versus product estimates (Section 2.2.1) and the comparison of the performance statistics by land cover type and climate zone (Sections 2.2.2 and 2.2.3) using the complete dataset. The extent to which the two approaches (all data or a common

day and night. The model uses MODIS retrievals of: albedo (for the calculation of *R*n); fraction

of absorbed photosynthetically active radiation, FPAR (to partition *R*n between canopy and soil);

land cover type (to assign the physiological parameters needed to calculate the leaf stomatal and

aerodynamic resistances); and leaf area index (to calculate the bulk canopy resistances). The land

cover-specific parameters in the MOD16 algorithm were obtained by comparison with eddy

covariance flux data from 46 sites (located primarily in the US and Canada). MOD16 C5 used

- C4 MOD12Q1 Land Cover Type 2 data, while MOD16 C6 uses the MCDLCHKM product
- (Running et al., 2019). The meteorological data (incoming shortwave radiation and air

temperature and humidity) are obtained from reanalysis products (Modern-Era Retrospective analysis for Research and Applications version 2 (MERRA-2) for C5 and Goddard Earth Observing System Model Version 5 (GEOS-5) for C6). Transpiration and soil evaporation are constrained by vapor pressure deficit, VPD (i.e., there is no soil moisture control). The C5 data were downloaded from the University of Montana's Numerical Terradynamic Simulation Group (NTSG) website (https://www.ntsg.umt.edu/project/modis/mod16.php). The C6 data were obtained from NASA's Land Processes Distributed Active Archive Center (LP DAAC) (https://lpdaac.usgs.gov/). The gap-filled version of the C6 dataset was used. The gap-filling method is the same as that used for MOD16 C5 (Running et al., 2019). Apart from the difference in resolution, the C5 and C6 datasets were produced using different reanalysis datasets and different MODIS vegetation and albedo products.

2.1.2. GLEAM ET data

In GLEAM, ET is defined as the sum of the following processes: transpiration from short and tall vegetation, bare soil evaporation, rainfall interception loss from tall vegetation, open water evaporation, and snow sublimation (Miralles et al., 2011; Martens et al., 2017). The rainfall interception loss module is based on the Gash (1979) analytical rainfall interception model (Miralles et al., 2010). GLEAM v3.3a used the MEaSUREs VCF5KYRv001 product (Hansen et al., 2018) to determine the fractions of bare soil, short vegetation, and tall vegetation. The model 249 first calculates potential ET with the Priestley-Taylor equation using R_n and air temperature from reanalysis data (ERA-Interim). For bare soil and short vegetation, the typical value of 1.26 is 251 used for the Priestley-Taylor α coefficient, while for tall vegetation $\alpha = 0.97$ (Martens et al., 2017). Actual ET is calculated by multiplying potential evaporation with land cover-dependent stress functions. The stress functions simulate soil water constraints on transpiration and soil

evaporation. Soil water content is estimated using a multilayer running water balance model that uses a merged precipitation product, ET from the previous time step, and microwave surface soil moisture as the main inputs. The soil is divided in three layers: shallow (0–10 cm); intermediate (10–100 cm); and deep (100–250 cm). Tall vegetation can extract water from all three layers, short vegetation can extract water from the shallow and intermediate layers, and for bare soil evaporation only water from the shallow layer is available. The stress functions for vegetation also simulate the effect of phenology using microwave vegetation optical depth. The data were accessed through the GLEAM website (https://www.gleam.eu). GLEAM pixels containing more than 20% open water were excluded (this concerned a total of seven sites; Table S2). The open water fraction (OWF) was obtained from the MOD44B product (Section 2.3). This filtering was performed only for GLEAM. In the case of ALEXI, sites affected by the presence of open water were filtered out during production of the dataset (Section 2.1.3), while in the case of MOD16, no sites were affected because of the smaller pixel size.

2.1.3. ALEXI ET data

The ALEXI algorithm consists of a two-source SEB model coupled with an atmospheric boundary layer model (Anderson et al., 1997, 2007). The latent heat flux is calculated separately for the canopy and soil. An initial estimate of the canopy LE is obtained using the Priestley-272 Taylor equation with $\alpha = 1.26$ (assuming potential transpiration). Next, the soil LE is calculated as the residual of the energy balance. If the resulting soil LE is negative, the actual canopy LE must be less than the potential value (which may indicate an effect of soil water limitation on 275 transpiration). The α coefficient is then reduced until the residual soil LE is non-negative. The calculated LE represents the instantaneous flux at approximately one hour before local noon. This time corresponds to the end of the time span over which *H* is calculated (see below). The

instantaneous latent heat fluxes are extrapolated to daily ET values by multiplying by the ratio of daily total to instantaneous shortwave radiation and dividing by the latent heat of vaporization. The ALEXI algorithm calculates *H* from the morning rise in the radiometric surface temperature (Hain and Anderson, 2017). By using the temporal change in surface temperature, the effect of bias in the temperature retrievals on *H* is minimized. This ALEXI implementation uses the MODIS land surface temperature product (MYD11C1), retrieved using a generalized split-window atmospheric compensation technique (Wan, 2004). The composite values of surface temperature are partitioned between canopy and soil using estimates of vegetation cover fraction from leaf area index. The leaf area index data were obtained from the 8-day MODIS MOD15A3 product (Myneni et al., 2002). Instead of using absolute values of air temperature, ALEXI uses the slope of the vertical temperature profile (lapse rate) in the boundary layer. The lapse rate profile, as well as the surface longwave radiation flux and wind speed were obtained from the NCEP Climate Forecast System Reanalysis product (CFS-R, CFSRv2; Saha et al., 2010). Incoming shortwave radiation fluxes were obtained from the CERES SYN1deg product (Doelling, 2012). Soil heat flux is calculated as a diurnal varying function of net radiation (Santanello and Friedl, 2003). The ALEXI model uses land cover data to assign canopy parameters such as canopy height (to calculate the aerodynamic resistances to *H*) and leaf 295 absorptivity (to estimate R_n for the canopy and soil). The land cover data were obtained from the MODIS MCD12C1 product (Land Cover Type 2). Since the thermal infrared based surface temperature observations are only available during clear sky conditions, ALEXI employs a gap-filling technique to generate estimates of weekly totals. The clear-sky fraction of actual ET to incoming radiation is interpolated to a daily record and then multiplied by the daily incoming radiation to generate a complete record. Along the coast the coarse-scale meteorological inputs result in limited retrievals; this is why four coastal sites (Table S2) are not included in the

ALEXI dataset.

2.1.4. Eddy covariance ET data

Data from four different flux networks (MexFlux, FLUXNET, AsiaFlux, OzFlux) were used to evaluate the ET products. The data from MexFlux were obtained directly from the site PIs (12 sites) because they were not available through a repository. The data from the other networks were obtained through the respective web-based portals. FLUXNET data available under the open data policy (tier 1) of the FLUXNET2015 dataset were used (Pastorello et al., 2020). This dataset includes a total of 28 sites between latitudes 30° S and 30 °N. From OzFlux and AsiaFlux, openly available data from sites not included in FLUXNET2015 were considered (three and nine sites, respectively). Prior to the more extensive data quality control (see below), sites for which the data record was too short (< 1 year), latent heat flux data were not available, or the degree of energy balance closure was too low (EBR < 0.5) were excluded (one site from MexFlux, four sites from FLUXNET, and seven sites from AsiaFlux). This left a total of 40 sites for further analysis (Figure 1, Table 2). Information needed for the correction of the soil heat flux (*G*) data or for the calculation of the sensible and latent heat storage terms, *S* (see below) was obtained from the metadata accompanying the datasets, from articles or other publications, or directly from the site PIs.

The remote sensing ET products were evaluated using the mean daily eddy covariance ET (mm 321 day⁻¹) calculated for each MODIS interval. The comparisons were made using the unadjusted 322 eddy covariance fluxes (ET_{orig}) and those corrected for the lack of energy balance closure (ETebc). FLUXNET2015 includes corrected fluxes (Pastorello et al., 2020) but the datasets from the other networks do not. For consistency, the fluxes were corrected using the same method for all datasets (including FLUXNET2015). After filling the missing half-hourly or hourly values

326 (see below), a correction factor was calculated for each MODIS interval as $A/(H + \text{LE})$, where 327 each term is the average daytime flux in W m⁻² (see above for definition of terms). Daytime was defined as having solar radiation > 10 W m⁻². This method is based on the assumption that *H* and LE were underestimated by the same percentage (Twine et al., 2000). The available energy was 330 calculated as $R_n - G - S$. The correction was only applied to the daytime data because, in absolute terms, the missing energy is small during the night (Stoy et al., 2013; Mauder et al., 2020) so that the correction will have little effect on total daily ET. In addition, this eliminated the need to ensure the completeness and consistency of the energy balance data for the nighttime period. The daytime and nighttime LE as well as the other energy balance terms (only daytime 335 data) were converted from energy units (W m⁻²) to millimetres (mm) using a constant value for 336 the latent heat of vaporization (2.45 MJ kg⁻¹). The unadjusted nighttime fluxes were added to 337 daytime ET_{orig} and ET_{ebc} to give daily ET_{orig} and ET_{ebc} .

Data on *G* were available for 24 of the 40 sites. At all these sites *G* was measured using the soil heat flux plate method (Sauer, 2002). For six sites, the measurements were not corrected for heat storage above the plates (Mayocchi and Bristow, 1995). This correction was applied retrospectively using the method of Wang and Bou-Zeid (2012). This method calculates *G* at the soil surface (which is required in the energy balance calculations) from the time series of *G* at any depth. It requires the thermal diffusivity of the soil, which was calculated as the ratio of soil thermal conductivity to soil volumetric heat capacity. The thermal conductivity was calculated following Lu et al. (2014) using site-specific soil physical data. The volumetric heat capacity was calculated from soil bulk density and soil moisture. For sites without data on *G* but with data on soil temperature (seven sites), *G* was estimated using the method of Hsieh et al. (2009). Estimates of *G* derived from temperature measurements at depths > 2 cm were corrected for heat

storage using the method of Wang and Bou-Zeid (2012). For the remaining nine sites, *G* was

350 estimated using the method of Mu et al. (2011) , using in situ air temperature and R_n , and

vegetation cover estimated from the MODIS FPAR product (MCD15A2H; see Section 2.1.5 for more details about this dataset).

The sensible and latent heat storage terms are generally not included in the flux datasets (Stoy et al., 2013; Pastorello et al., 2020). In this study, *S* was estimated from the half-hourly changes in air temperature and humidity measured at the reference level (Brutsaert, 1982). This estimate did not include heat storage in the vegetation biomass.

The data from the 40 sites were carefully screened for inconsistencies. These checks were in addition to those performed by the site PIs/teams and by some of the networks (FLUXNET, Pastorello et al., 2014, 2020; OzFlux, Isaac et al., 2017). For the daytime period, all data needed for the energy balance calculations were checked. For the nighttime period, only the LE data were screened. The quality checks were similar to those performed by Pastorello et al. (2014) for FLUXNET2015. Where possible, errors in the radiation, air temperature, and relative humidity data were corrected with the help of the site PIs, using calculated clear-sky radiation (in the case of the radiation data), or using data from another sensor or from a nearby station (Allen, 2008; Pastorello et al., 2014). No attempts were made to correct questionable eddy covariance flux data (*H*, LE) or soil data (*G*, temperature, moisture).

Gap-filling of the data was carried out in two steps. In the first step, gaps in the half-hourly or hourly data were filled on a daily basis. For the daytime period, missing values of any energy 369 balance term, *x* (where $x = \text{LE}$, *H*, *G*, *S*) on a particular day were filled using $\overline{(x/R_n)}R_{ni}$, where $\overline{(x/R_n)}$ is the average daytime ratio of *x* to R_n and $R_{n,i}$ the net radiation during time step *i* with missing data. For any *x*, the maximum allowed percentage of missing values was 30%. For the nighttime period, missing values of LE on a particular day were replaced by the mean nighttime LE for that day (also using an upper threshold of 30% for the percentage of missing data). For

consistency, the same method was used for all datasets (i.e., the gap-filled data in

375 FLUXNET2015 were not used). In the second step, missing daily values of ET_{orig} and ET_{ebc} were

replaced by the mean of the available observations for individual MODIS intervals. The

maximum allowed percentage of missing values was 25% (i.e., two days for an 8-day MODIS

interval) (Hu et al., 2015).

Energy balance closure was analyzed for each site individually by summing the 8-day mean

380 daytime totals of $H + ET_{orig}$ and *A* and calculating the energy balance ratio as: EBR = $\sum (H + T_{orig})$

ETorig)/∑*A*, with all terms in mm (Wilson et al., 2002). Energy balance closure was also analyzed

by grouping the data according to land cover type and climate zone (Section 2.2). For the pooled

383 data in each group, the 8-day mean daytime totals of $H + ET_{orig}$ were plotted against A and the

corresponding linear regression line and EBR were calculated.

Geographic coordinates and land cover type data for each site were obtained from the metadata

accompanying the datasets or from the literature (Table 2). The classification scheme of the

International Geosphere-Biosphere Programme (IGBP) was followed. This classification system

is adopted by most flux networks. It is also used in most evaluation studies of remote sensing ET

models (see, e.g., Velpuri et al., 2013; McCabe et al., 2016; Michel et al., 2016).

390 For each site, the evaporative fraction (EF) was calculated as (Shuttleworth et al., 1989): $EF =$

391 $\Sigma \to \Sigma \to T_{\text{orig}}/C(H + ET_{\text{orig}})$, where ET_{orig} and *H* are the mean daytime latent and sensible heat fluxes

- for each MODIS interval, not corrected for the lack of energy balance closure. The obtained
- values are listed in Table 2.

<Figure 1>

<Table 2>

2.1.5. Other datasets

The Köppen-Geiger climate class of each site was obtained using the 1-km resolution global map of Beck et al. (2018). The map was downloaded from www.gloh2o.org/koppen/. Each site was assigned the climate class of the pixel where the flux tower was located. The 40 sites represented a total of 10 different climate classes (Table 2). For the evaluation of the remote sensing ET products, these were grouped into four main climate zones (Section 2.2). For each of these climate zones, the average EF was calculated using the site-specific values listed in Table 2. To investigate the match between the actual vegetation type at the flux tower site and the vegetation class or category used in the remote sensing ET models (Section 2.3), the yearly MODIS land cover (MCD12Q1; 500 m resolution) and vegetation cover (MOD44B; 250 m resolution) products were used. The data were downloaded from the NASA LP DAAC website. From MCD12Q1, the Land Cover Type 2 data were used. From MOD44B, the data layers containing percent tree cover and percent nontree vegetation were used. For each site, the following three subsets were generated for the years with eddy covariance data: Subset 1) four pixels of MCD12Q1 data corresponding to the 1-km MOD16 pixel; Subset 2) all pixels of MOD44B data falling within the 0.25° GLEAM pixel; and Subset 3) all pixels of MCD12Q1 data corresponding to the 5-km ALEXI pixel. These subsets were used in the analysis described in Section 2.3. Finally, FPAR data from the MCD15A2H product were used to calculate *G* with the method of Mu et al. (2011) (Section 2.1.4). This product is an 8-day composite dataset with a spatial resolution of 500 m. The data were again obtained from NASA's LP DAAC. The pixels

matching the location of the flux towers were used. Data with a cloud flag or retrieved by the

backup algorithm were replaced by interpolated values (Zhao et al., 2005).

2.2. Evaluation of product performance

The remote sensing ET products were evaluated by grouping the data by IGBP land cover type and Köppen-Geiger climate zone (Velpuri et al., 2013; McCabe et al., 2016). To avoid groups with only one site, the woody savanna site was included in the group with the savanna sites and the closed shrubland site was left out of the evaluations by land cover type (but included in the evaluations by climate zone). This resulted in the following five groups of vegetation cover types: evergreen broadleaf forest (EBF); deciduous broadleaf forest (DBF); evergreen needleleaf 430 forest (ENF); savanna (SAV); and grassland (GRA). Likewise, the sites were grouped into the following four main climate zones: i) Af, Am: tropical fully humid and tropical monsoon, respectively (from now on referred to as tropical wet); ii) Aw: tropical savanna; iii) B: dry; and iv) C: mild temperate. Sites assigned the mild temperate (C) climate were either located on tropical or subtropical mountains (five sites) or in lowland areas in the subtropics (three sites) (see also Richter, 2016). Table 3 shows the number of sites and the number of site years available in the complete dataset for each product by land cover type and climate zone.

<Table 3>

2.2.1. Scatter plots and regression analysis

Scatter plots allow visual evaluation of the match between the remote sensing-based and the

observed ET data (Velpuri et al., 2013; McCabe et al., 2016; see also Chang and Hanna, 2004).

444 In addition, the slope, intercept, and coefficient of determination (R^2) of the fitted linear

regression line provide a quantitative way to evaluate product performance (Willmott, 1982;

$$
400 \quad \text{RMSE} = \sqrt{N} + \sum [E1(\text{Pf0d}) - E1(\text{UDS})]
$$

where ET(Prod) is the product ET, ET(Obs) the eddy covariance ET, and *N* the total number of

data points (i.e., the number of MODIS intervals).

The PBIAS is the systematic (bias) error in percent of the average of the observations:

471 PBIAS =
$$
\frac{N^{-1} \sum \text{[ET(Prod)} - \text{ET(Obs)}]}{N^{-1} \sum \text{ET(Obs)}} \times 100
$$
 (2)

473 Third, in addition to the R^2 calculated from the pooled data (Section 2.2.1), the R^2 of the linear

regression between product ET and observed ET was calculated for each site separately. Besides

475 being a measure of correlation, R^2 indicates how much of the variation in observed ET is

explained by the product ET.

477 The three metrics were calculated using both ET_{orig} and ET_{ebc} . Averages of both sets of RMSE,

478 PBIAS, and R^2 values were calculated for each land cover type and climate zone in Table 3. The

average metrics by land cover type and climate zone were displayed graphically in plots for each

480 product (McCabe et al., 2016) for ET_{orig} and ET_{ebc} . The results for the individual sites can be

found in Tables S2 and S3.

2.2.3. Combining the different performance metrics into a single score

To facilitate comparison of the overall performance of the different ET products, the individual

485 metrics $(R^2, RMSE, PBIAS)$ were combined into the Ideal Point Error (IPE) score (Elshorbagy et

al., 2010; Dawson et al., 2012). The IPE score takes values between 0 and 1, with 0 indicating

perfect performance (i.e., all metrics are at their optimum values) and 1 being assigned to the

worst performing product. In practice, no product (and no observation) is without error.

Therefore, the best performing product will usually have an IPE greater than 0. The IPE values

were calculated for each of the comparisons by land cover type and climate zone. The calculation

of IPE consists of two steps. In the first step, each performance metric is standardized to the

worst score for that metric. Dawson et al. (2012) provides expressions for this standardization

step for different categories of performance measures (denoted by S1–S5; their Table 1). PBIAS

is not listed in this table. However, as mentioned by Dawson et al. (2012), the flexibility of this method allows other metrics to be included. PBIAS classifies as an S4 category metric and was standardized using the corresponding expression. In the second step, the IPE is calculated from the standardized metrics using Equation (2) in Dawson et al. (2012). The results were plotted as 498 heatmaps for ET_{orig} and ET_{ebc} .

2.2.4. Sensitivity to the choice of reference dataset

501 The statistical metrics $(R^2, RMSE, PBIAS)$ and the IPE scores were calculated as explained

above but now using the common reference dataset. This direct comparison approach included

12 fewer sites and about 36% fewer MODIS intervals than when using all data (see Table S1 for

the number of sites and site years by land cover type and climate zone). The sensitivity analysis

505 was performed for ET_{orig} only. Differences in the ranking of products for each of the

comparisons by land cover type and climate zone were determined by comparing the IPE scores

from both approaches. Changes in ranking were indicated by adding an asterisk to the IPE scores

508 in the heatmap for ET_{orig} .

2.2.5. Evaluation of seasonal trends in ET from products

The ability of the products to capture seasonal changes in ET was examined by plotting the

512 average monthly ET for each product together with the average monthly ET_{orig} and ET_{ebc} . This

was again done for each land cover type and climate zone in Table 3. To account for the different

timing of the rainy seasons, separate plots were made for sites located in the northern and

southern hemispheres.

2.3. Vegetation match index (VMI) and open water fraction (OWF)

The effect of a mismatch between the vegetation at the flux tower site and that detected in the model pixel on the product evaluation results was examined by calculating a vegetation match index (VMI). The models underlying the investigated ET products differ in the level of detail with which they distinguish between different vegetation types. Both MOD16 and ALEXI assign land cover-specific parameters to a wide range of cover types, while GLEAM only considers two vegetation categories (i.e., tall and short vegetation). However, also for MOD16 and ALEXI the largest differences between the land cover-specific parameters occur between tall and short (or forest and non-forest) vegetation types (Anderson et al., 2007; Mu et al., 2011). Therefore, for all three products, VMI was calculated based on these two vegetation categories. The datasets used to calculate the VMIs are described in Section 2.1.5. As explained in Section 1, a mismatch in vegetation can be caused by scale differences or inaccuracies in the vegetation input data. To account for the latter, vegetation data were selected that were as similar as possible to those used to generate the products (Sections 2.1.1–2.1.3). For MOD16 and ALEXI, MCD12Q1 Land Cover Type 2 data were used (Subsets 1 and 3, respectively). The data from Subsets 1 and 3 were aggregated into forest and non-forest categories. For sites with a forest land 533 cover (EBF, DBF, ENF; Table 2), VMI_{MOD16} or VMI_{ALEXI} was calculated as the proportion of forest vegetation present in the 1-km MOD16 or 5-km ALEXI pixel. For sites with a non-forest land cover (SAV, GRA), the VMIs were calculated as the proportion of non-forest vegetation. For GLEAM, MOD44B vegetation cover data were used (Subset 2). These data were assumed to be similar to those of the VCF5KYR product (used as input to GLEAM v3.3a; Section 2.1.2). The VCF5KYR product is based on AVHRR observations calibrated with MODIS data (Hansen et al., 2018). In each data layer of the MOD44B product, pixels with water are masked out with a 540 fill value of 200. Hence, VMI_{GLEAM} was calculated as either the average percent tree cover (for sites with forest vegetation) or the average percent nontree vegetation (for sites with non-forest

vegetation) multiplied by the fraction of land pixels. In addition, the open water fraction (OWF) was calculated. This index was used to filter out sites for which the pixel contained more than 20% water (Section 2.1.2).

The dependence of product performance on the vegetation-match between pixel and site was

546 examined by plotting the performance metrics $(R^2, RMSE, PBIAS)$ against VMI. Individual site

values for the metrics were bin-averaged into four evenly spaced intervals of 0.25 VMI units

wide in the case of GLEAM and ALEXI or for each of the five discrete VMI values in the case

of MOD16. For each metric-VMI combination, the linear regression line was calculated. In

addition to visual inspection of the scatter plots, the *p*-values of the calculated regression slopes

were used to evaluate whether there was a relationship between VMI and product performance.

552 For this analysis, performance statistics obtained for ET_{orig} were used.

2.4. Latitudinal comparison of product performance

To investigate latitudinal dependence of the performance of the ET products examined here, a literature search was conducted to find studies that evaluated these products. To allow for direct comparison, only studies that evaluated the products with eddy covariance-based ET were considered. Furthermore, a study needed to report at least one of the three performance metrics 559 used in this study $(R^2, RMSE, PBIAS)$ or provide the data from which these metrics could be calculated. The performance statistics depend on the averaging time used. Hence, ideally, only studies using the same time average as used here (8-day) should be considered. This would, however, drastically reduce the number of evaluation results available. Therefore, studies using daily or monthly time averages were also included in the initial search.

In the end, a total of 15 studies were found (Table S4). As will be shown below, the evaluation

565 results were different for ET_{orig} and ET_{ebc} . Of the 15 studies found in the literature, 13 used ET_{orig}

566 and only two used ET_{ebc} . No studies were found that used both. For the final analysis, only 567 studies using ET_{orig} were considered. The study of Miralles et al. (2011) was also excluded because: i) GLEAM ET was calculated using in situ measured *R*n; ii) comparisons were made using modelled ET for the vegetation type (i.e., tall or short vegetation) matching that at the tower site; and iii) days with rainfall were excluded. Likewise, the study of Mu et al. (2011) was excluded because their evaluation results are in fact calibration results. This yielded a total of 12 studies, including the current one. Most studies used MOD16 C5 because MOD16 C6 was only recently released. Therefore, the results obtained here for C5 were used. To account for 574 latitudinal differences in ET, RMSE was normalized by mean ET_{orig} (NRMSE). Not all studies 575 reported ET_{orig} (Table S4). The studies evaluated product performance at a minimum of two eddy covariance sites to a maximum of 119 sites. Most studies reported evaluation results for individual sites but some reported averages for land cover classes (e.g., Velpuri et al., 2013; Reitz et al., 2017). The latter were treated as if they were results for individual sites. Performance results were grouped into results for forest and non-forest vegetation; there were not enough performance data available to create more specific subgroups. The results were further grouped 581 into three latitudinal bands: southern low latitudes (30 \degree S–0 \degree); northern low latitudes (0 \degree –30 \degree N); and northern middle latitudes (30° N–60° N). For latitudes outside these regions, there were not 583 enough data available (Table S4). Table 4 summarizes the number of evaluation results (N_{ER}) 584 available, broken down into results for R^2 , NRMSE, and PBIAS, and grouped by latitudinal zone, product, and vegetation category. Averages of each performance metric for each product-vegetation category combination were plotted as a function of latitude.

<Table 4>

3.1. Energy balance closure of eddy covariance data

Table 2 shows the daytime energy balance ratio (EBR) for each of the 40 individual sites. The

average daytime EBR for the 40 sites was 0.83, with a standard deviation (SD) of 0.10, and with

values ranging from 0.63 to 1.03. Figures 2 and 3 show scatter plots between the sum of the

daytime turbulent heat fluxes and available energy grouped by land cover type and climate zone,

respectively. The daytime EBR values calculated from the pooled data were similar across land

cover types (ranging from 0.79 to 0.87) and climate zones (ranging from 0.77 to 0.85). The

slopes and intercepts of the regression lines ranged from 0.67 to 0.80 and −0.01 to 0.67 mm

 σ day⁻¹, respectively, across land cover types and from 0.72 to 0.81 and 0.00 to 0.69 mm day⁻¹,

600 respectively, across climate zones. The coefficient of determination (R^2) ranged from 0.64 and

0.82 across land cover types and from 0.59 and 0.82 across climate zones.

<Figure 2>

<Figure 3>

3.2. Evaluation of ET products by land cover type

Figures 4 and S1 show scatter plots comparing eddy covariance-based and remote sensing-based

609 ET by land cover type for each of the evaluated products for ET_{orig} and ET_{ebc} , respectively. First,

610 the results for ET_{orig} will be examined. Although the scatter plots and the regression results for

MOD16 C5 and MOD16 C6 show some differences, these were generally smaller than the

- differences with the other products (see also below). Hence, from now on the two collections
- will be referred to as MOD16. When necessary, a distinction will be made between the two.

627 \leq Figure 4>

629 Figure 5 shows the mean \mathbb{R}^2 , RMSE, and PBIAS by land cover type for each of the evaluated 630 products for ET_{orig} and ET_{ebc} . Again, the results for ET_{orig} will be examined first. As already observed in Figure 4, the mean performance statistics show that the differences between MOD16 C5 and MOD16 C6 are generally smaller than the differences with the other products. One exception is ENF; this group, however, included a relatively small number of sites and site years (Table 3), which may have affected the comparisons. Figure 5 confirms the superior performance of GLEAM. Overall, GLEAM had the strongest correlations, the lowest RMSEs, and the smallest PBIAS values. In agreement with the graphical analysis, neither MOD16 nor ALEXI 637 was second best over all land covers. Both GLEAM and MOD16 tended to overestimate ET_{orig}

638 for forest vegetation and underestimate ET_{orig} for non-forest vegetation; however, biases were 639 smaller for GLEAM. ALEXI tended to overestimate ET_{orig} for all land cover types. The variation 640 in PBIAS across land cover types was smaller for GLEAM and ALEXI than for MOD16. As 641 seen in the scatter plots, ALEXI had the weakest correlations with ET_{orig} . All ET products had 642 the strongest correlations for DBF and SAV and the weakest for EBF.

643

 644 \leq Figure 5>

645

646 As expected, PBIAS shifted to more negative values when the products were evaluated with 647 ET_{ebc} (Figure 5). Depending on whether PBIAS decreased or increased, the corresponding 648 RMSE became smaller or larger (although not for all products; see below). The use of ET_{ebc} 649 generally had little effect on the correlations (as also seen in the scatter plots). For GLEAM, 650 PBIAS values were negative for all land cover types when using ET_{ebc} and were generally 651 greater in absolute terms than when using ET_{orig} . As a result, the RMSEs were larger (and closer 652 to those of the other products) when using ET_{ebc} than when using ET_{orig} . For MOD16, PBIAS 653 values were also negative for most land cover types when using ET_{ebc} . The corresponding 654 RMSEs were either somewhat larger (e.g., SAV, GRA) or smaller (e.g., EBF, ENF) than when 655 using ET_{orig} . In the case of ALEXI, PBIAS values decreased for all land cover types except SAV. 656 However, only in the case of EBF this was accompanied by a decrease in RMSE. For ENF, DBF 657 and GRA, the RMSE actually increased. A partial explanation for this is the tendency of ALEXI 658 to overestimate low ET_{ebc} and underestimate high ET_{ebc} (DBF, GRA; Figure S1). 659 Figure 6 shows the IPE scores for the different ET products by land cover type as obtained using 660 ET_{orig} or ET_{ebc} for evaluation. The IPE scores confirm that GLEAM ET best matched ET_{orig} 661 across all land cover types. The IPE scores also support the earlier observations that: i) the

differences in performance between the two MOD16 collections were generally smaller than the differences with the other products; and ii) neither MOD16 nor ALEXI consistently outperformed the other. Figure 6 shows that the IPE values of the products converged when 665 using ET_{ebc} for evaluation. This largely reflects the changes in PBIAS and RMSE mentioned 666 above. When using ET_{ebc} there is no product that stands out in terms of both small PBIAS and 667 high R^2 across all land cover types.

669 \leq Figure 6>

Figure 7 compares the seasonal trends in ET from the products with those from the observations by land cover type by hemisphere. Note that these curves were calculated using the common reference dataset. Only curves calculated with data from at least two sites are shown. Clear differences in the seasonality and timing of rainfall can be observed. In both hemispheres, ET of EBF was characterized by weak seasonality, with constant high values throughout the year. Yet, MOD16 C5 seemed to capture the small variations in ET quite well. This was also the case for GLEAM, except during the wet season in the southern hemisphere when it showed a strong positive bias. A closer look at the data showed that this involved the two Brazilian rainforests (Table 2; Figure 1). Similarly, ALEXI had a strong positive bias at the end of the dry season in the southern hemisphere. This could be traced mainly to EBF in northeastern Australia (Table 2; Figure 1). For ENF, all products seemed to represent the observed seasonal trend in ET fairly well. For DBF, GLEAM closely followed the observed seasonal trend in ET. MOD16 had a negative bias during the dry season. Conversely, ALEXI had a positive bias during the transition from the wet to dry season. For SAV and GRA, both GLEAM and MOD16 had a strong negative bias during the dry season. Conversely, ALEXI seemed to have a positive bias during the dry

period in these cover types.

<Figure 7>

- 3.3. Evaluation of ET products by climate zone
- The performance of the ET products was also examined across four main climate zones (Table
- 3). For each climate zone, an average evaporative fraction (EF) was calculated from the site-
- 693 specific values in Table 2, yielding (ranked from wet to dry): 0.73 ± 0.04 (SD) for Af, Am
- 694 (tropical wet); 0.60 ± 0.10 for C (mild temperate); 0.50 ± 0.11 for Aw (tropical savanna); and
- 695 0.35 ± 0.11 for B (dry). The tropical wet climate zone included mainly EBF sites (seven in total;
- Table 3). The mild temperate climate zone included all ENF sites and for the rest mainly EBF
- sites. The savanna and dry climate zones included mostly SAV, DBF, and GRA sites. The results
- of this analysis were presented in the same way as in the previous section, i.e., scatter plots
- 699 (Figures 8 and S2), average performance statistics $(R^2, RMSE, PBIAS; Figure 9)$, heatmaps of
- IPE scores (Figure 6), and average seasonal trends in ET (Figure 10).
- The comparisons by climate zone confirmed many of the findings in the previous section. Again,
- the differences in performance between the two MOD16 ET collections were usually smaller
- than the differences with the other products (Figures 8 and 9). Furthermore, the performance and
- 704 ranking of the products depended on whether ET_{orig} or ET_{ebc} was used for evaluation. When
- 705 using ET_{orig} , GLEAM again showed the strongest correlations and best agreement (i.e., closeness
- to observations) (Figures 8 and 9). As a result, GLEAM had the smallest RMSEs and best IPE
- scores across all climate zones (Figures 9 and 6, respectively). Again, neither MOD16 nor
- ALEXI performed consistently better than the other. That is, MOD16 showed better agreement
- with ETorig for the Aw climate zone, whereas ALEXI gave better results for the B and C climate

zero in the wet tropical climate zone but positive in the other climate zones.

The seasonal trend analysis (Figure 10) revealed the same patterns as found earlier in Section 3.2, again reflecting the close correspondence between climate and vegetation. For the tropical wet climate zone, MOD16 C5 ET closely followed the observed seasonal changes in ET. This was also the case for GLEAM, except for the positive bias during the wet season in the southern hemisphere (traced back mainly to the Brazilian rainforests; Section 3.2). The positive bias of ALEXI ET at the end of the dry season in the southern hemisphere can also be observed again (traced back mainly to EBF in northeastern Australia; Section 3.2). In addition, ALEXI ET showed large, seemingly erratic, variations in the northern hemisphere. For the mild temperate climate zone, all products represented the observed seasonal trend in ET fairly well. For the tropical savanna climate, both MOD16 and GLEAM had a strong negative bias during the dry season, which was also observed in the plots for GRA and SAV in Figure 7. The positive bias for ALEXI during the dry period can also be observed again. For the dry climate zone, GLEAM ET closely followed the observed seasonal trend in ET. ALEXI had again a positive bias during the dry period. MOD16 had a strong negative bias during the wet season in the southern hemisphere.

749 \leq Figure 10>

3.4. Sensitivity to the choice of reference dataset

The IPE scores based on the common reference dataset (Figure S3) show similar results to those

753 obtained using all data (Figure 6, ET_{orig}). For both the comparisons by land cover type and

754 climate zone, GLEAM ET generally showed the best agreement with ET_{orig} . Furthermore, the

differences among the two MOD16 collections and ALEXI were generally too small to identify a

second best performing product. Differences in ranking results between the two approaches were

observed only for MOD16 and ALEXI (Figure 6).

3.5. Product performance versus VMI

760 Figure 11 shows binned scatter plots between the performance metrics $(R^2, RMSE, PBIAS)$ and the vegetation match index for the different products. In addition, the regression lines and the *p*-values indicating the statistical significance of the regression slopes are shown. The VMIs for 763 each individual site are given in Table S2. The average VMI was 0.77 ± 0.41 for MOD16, 0.71 ± 0.41 764 0.40 for ALEXI, and 0.51 ± 0.23 for GLEAM. These results indicate a decreasing vegetation-match between pixel and site with increasing pixel size, although the VMIs of GLEAM and the other products cannot be directly compared as they are based on different data. For none of the 767 products there was an improvement in performance (i.e., increasing R^2 or decreasing RMSE or PBIAS) with increasing VMI (Figure 11). Moreover, for none of the regressions the slope was statistically significant.

771 \leq Figure 11>

3.6. Latitudinal comparison of product performance

Figure 12 shows zonal averages (southern and northern low latitudes and northern middle latitudes) of the performance metrics grouped by forest and non-forest vegetation for MOD16 and GLEAM and ALEXI. The averages were calculated using evaluation results from this study and from the literature (Tables S2 and S4). For ALEXI, no data on NRMSE and PBIAS were available for the northern middle latitudes (Table 4). Figure 12 should be interpreted with caution because the number of evaluation results (*N*ER) available varied considerably among latitudinal zones, products, and vegetation categories (Table 4). In the case of forest vegetation,

correlations (all products) seem to be weaker while PBIAS and NRMSE scores (MOD16 and

GLEAM) seem to be better at low latitudes than at northern middle latitudes. Both MOD16 and 783 GLEAM seem to overestimate ET_{orig} in all latitudinal zones. In contrast, in the case of non-forest vegetation the performance metrics show no clear variation with latitude. Moreover, both 785 MOD16 and GLEAM seem to underestimate ET_{orig} in all latitudinal zones. <Figure 12> 4. Discussion 4.1. The effect of the energy balance closure problem on product evaluation results The average energy balance ratio for the 40 sites in this study (0.83) is nearly identical to that reported by Stoy et al. (2013) for 173, mainly mid-latitude, FLUXNET sites (0.84). When grouped by land cover type or climate zone, the ranges of EBR values were fairly small (0.79– 0.87 or 0.77–0.85, respectively). A greater range was observed for the 173 FLUXNET sites grouped by land cover type (0.70–0.94; Table 2 in Stoy et al., 2013). As mentioned in Section 1, the reasons for the energy balance closure problem and the extent to which it affects the ET fluxes are not yet clear. Despite being long recognized, the effect of the energy balance closure problem on the evaluation results of remote sensing-based ET products has rarely been examined (Michel et al., 2016; Melton et al., 2021). This study found that the performance and ranking of the evaluated products depended on whether the unadjusted or the 801 energy balance closure corrected ET fluxes were used. When using ET_{orig} , GLEAM showed the best overall performance with the strongest correlations and smallest biases. However, when 803 using ET_{ebc} , none of the products was superior to the others. Not surprisingly, the use of ET_{ebc} 804 instead of ET_{orig} affected the product biases more than the correlations. Overall, MOD16 and 805 GLEAM underestimated ET compared to ET_{ebc} . For ALEXI, PBIAS decreased when using ET_{ebc} 806 instead of ET_{orig}, but the corresponding RMSEs tended to increase rather than decrease. For most 807 SEB approaches used in the OpenET project, cumulative totals of ET over the growing season or 808 water year also agreed better with ET_{ebc} than with ET_{orig} (Melton et al., 2021).

809 Both MOD16 and GLEAM include parameters that were calibrated using field observations of

810 ET. MOD16 was calibrated using ET obtained from eddy covariance-based estimates of water

811 use efficiency (WUE) and MODIS-based gross primary production (GPP), with WUE being

812 calculated as the ratio between GPP and ET fluxes not corrected for energy balance closure (Mu

813 et al., 2011). The use of ET_{orig} could possibly explain the negative bias of MOD16 with respect

814 to ET_{ebc} (Michel et al., 2016). However, the GPP fluxes may have been underestimated for the

815 same reason as ET_{orig} (Foken et al., 2011). In that case, the estimated WUE would not (or only

816 partly) be affected. In GLEAM, fixed values are used for the Priestley-Taylor coefficient for

817 short (α = 1.26) and tall (α = 0.97) vegetation (Martens et al., 2017). These values are averages

818 of α values published in the literature, which in turn were obtained by comparing field

819 measurements of ET under well-watered conditions with potential ET. Some of the *α* values

820 were derived with ET_{orig} , but others were obtained using ET estimates based on other methods,

821 such as the weighing lysimeter and bowen ratio energy balance techniques (see references cited

822 in Martens et al., 2017). Hence, also the negative bias error of GLEAM with respect to ET_{ebc}

823 cannot be directly linked to calibration with ET_{orig} . ALEXI ET had smaller PBIAS when using

824 ET_{ebc} than when using ET_{orig} . In contrast to the other models, ALEXI is not calibrated with field

825 data. However, no conclusions can be drawn from this observation without a better

826 understanding of the effect of the energy balance closure problem on ET_{orig} . In addition, the

827 RMSEs of ALEXI tended to increase rather than decrease when using ET_{ebc}.

828 The literature review showed that most studies evaluated the products using ET_{orig} (Table S4). It

829 is recommended to use both ET_{orig} and ET_{ebc} as long as the effect of the energy balance closure

830 problem on ET_{orig} is not clear. The remainder of the discussion will focus on the results obtained 831 with ET_{orig} to facilitate comparisons with the literature.

4.2. Relative performance of the evaluated products

Similar results were obtained when grouping the data by land cover type or climate zone,

showing the close relationship between the two (see, for example, Cui et al., 2021). Therefore,

the results of these two analyses will be discussed together and interchangeably. As explained in

Section 1, a distinction should be made between product and model evaluation studies. The

former evaluate the published ET products while the latter evaluate the performance of the

underlying models using a common input dataset. Because modeled ET is sensitive to the input

840 data, the results of the model evaluation studies do not necessarily apply to the final ET products 841 (see Section 1 for references).

Overall, GLEAM had the best performance across different land cover types and climate zones in the low latitudes; neither MOD16 nor ALEXI could be identified as the second best performing product. These results were obtained regardless of whether the comparisons were made using all data or a common reference dataset. There are very few product evaluation studies that have compared the performance of the products assessed in this study. Khan et al. (2018, 2020) compared the performance of GLEAM and MOD16 at nine and five eddy covariance sites, respectively; in both studies, about one third of sites were located in the low latitudes and about two thirds in the middle latitudes (see also Table S4). Khan et al. (2018) used ET_{orig} to evaluate the products, while Khan et al. (2020) used ET_{ebc} . Consistent with the results of 851 this study, Khan et al. (2018) found that GLEAM generally corresponded better with ET_{orig} than 852 MOD16. Also when using ET_{ebc}, Khan et al. (2020) found that GLEAM outperformed MOD16

853 (as opposed to this study where differences were small when using ET_{ebc}). In the absence of
other comparative studies, the compilation of product evaluation results for the latitudinal

analysis (Figure 12, Table S4) allows for an indirect comparison of product performance.

Focusing only on the results for the northern middle latitudes (to exclude the evaluation data

857 from this study), the overall better match of GLEAM with ET_{orig} as compared to MOD16 is also

evident from the studies included in this analysis (see Table S4 for references). The stronger

859 correlations of GLEAM as compared to ALEXI are also noticeable when comparing the \mathbb{R}^2

values from studies that evaluated these products separately.

An overall better performance of GLEAM as compared to MOD16 was also observed in the model evaluation studies by McCabe et al. (2016) and Michel et al. (2016). GLEAM also performed better than the surface energy balance approach evaluated in these studies (i.e., the SEBS model of Su, 2002). Similarly, Vinukollu et al. (2011a) obtained better performance results for a Priestley-Taylor approach developed by NASA's Jet Propulsion Laboratory (PT-JPL; Fisher et al., 2008) than for MOD16 and SEBS. However, all these studies concluded that no single model was superior in all cases. The same conclusion was reached in a recent model 868 evaluation study for South America (Melo et al., 2021). Such a conclusion is not supported by 869 the results of this study as GLEAM performed better than MOD16 and ALEXI in all land cover types and climate zones. It is not known whether this is a feature of the low latitudes (i.e., the other studies focused mainly on the middle latitudes), whether it is related to the differences between product and model evaluation studies discussed earlier, or whether it is a result specific to the products studied here.

All products had the weakest correlations in the wet tropical climate zone (dominated by EBF)

and the strongest in the tropical savanna and dry climate zones (dominated by DBF and SAV).

For the most part this reflects differences in seasonality (i.e., the greater the variation in ET, the

stronger the correlations; Miralles et al., 2011; Yilmaz et al., 2014) rather than differences related

to the performance of the products. ALEXI generally had the weakest correlations of all 879 products. Comparing the R^2 values from the studies used for the latitudinal analysis shows values for ALEXI between those of MOD16 and GLEAM (northern middle latitudes, Figure 12). Although this is an indirect comparison (because it involves studies that evaluated the products separately), it suggests that the low correlations observed in this study for ALEXI are not a general feature of the product. A known challenge for thermal-based approaches is the filtering of cloud-contaminated data and the resulting gaps between successful retrievals. Failure to detect cloud-contaminated data can lead to large errors in ALEXI ET estimates (Anderson et al., 2007; Yilmaz et al., 2014). The uncertainty in gap-filled ALEXI ET can be twice as large as that in ET generated by the algorithm under clear-sky conditions (Anderson et al., 2007). These cloud-related problems could be responsible for the weak correlations of ALEXI, but that still does not explain the difference with the northern middle latitudes where the data are also affected by clouds. A possible approach to solving these problems is to use cloud-tolerant microwave-based land surface temperature in ALEXI (Holmes et al., 2018). Finally, Holmes et al. (2018) found that averaging 0.05° ALEXI ET estimates to 0.25° spatial resolution generally improved correlations with flux tower data. They attributed this to the presence of noise in the MODIS land surface temperature data that outweighed the benefits of the higher resolution compared to a 0.25° average. However, the overall effect reported in that study is too small to explain the differences in correlation with the other products observed here. More work is needed to understand the reasons for the low correlations of ALEXI observed at the low-latitude sites studied here. Both MOD16 and GLEAM had a positive bias for forest vegetation and a negative bias for non-

forest vegetation. No such land cover type-dependent biases were observed for ALEXI. The

biases of MOD16 and GLEAM are also evident from the evaluation results of other studies

(northern middle latitudes, Figure 12). Both Kun Zhang et al. (2019) and Brust et al. (2021) showed that the biases in MOD16 can be significantly reduced when calibrating the algorithm with more and a greater diversity of sites than used in the original calibration. Brust et al. (2021) found that the accuracy of MOD16 can also be improved by including the effect of soil moisture on ET. Although the apparent vegetation type-dependent biases were less pronounced in GLEAM, more work is needed to understand the causes. In a model evaluation study, Miralles et al. (2016) found the opposite pattern, i.e., MOD16 and GLEAM underestimated ET (determined from rainfall and streamflow data) in wet regions (dominated by forest vegetation) and overestimated ET in dry regions (dominated by non-forest vegetation types). A similar pattern 911 was observed in the model evaluation study by Michel et al. (2016) (using ET_{orig} as reference data). In the model evaluation study for South America, GLEAM underestimated ET in both wet and dry regions (Melo et al., 2021). The biases of MOD16 were small in that study. This shows again that the results of model evaluation studies do not necessarily apply to the actual ET products.

None of the products were able to correctly represent the seasonal trend in ET in all land cover types and climate zones. Detailed analyses such as this one can help identify the causes of the biases discussed above. For example, the negative biases of MOD16 and GLEAM in GRA and SAV seemed to occur mainly during the dry season. This may indicate an overestimation of the effect of water stress on ET. In contrast, ALEXI seemed to overestimate ET during the dry season. In some cases, the differences between the product-based and the observed trends could be traced to individual sites. The overestimation of ET of Brazilian rainforest by GLEAM during the wet season was also observed by Chen et al. (2022). These authors suggested the lack of an atmospheric moisture control on transpiration as a possible cause of this overestimation. It is likely that the erratic variation observed in the ALEXI data for the tropical wet sites was caused

by the cloud-related problems discussed above. However, to correctly identify possible seasonal biases, a more comprehensive analysis that includes more sites and site years is needed.

The results showed that the differences between the MOD16 C5 and C6 products were generally

smaller than the differences with the other products. Differences between C5 and C6 were to be

expected because of differences in input data and spatial resolutions (Mu et al., 2013; Running et

al., 2019). The differences persisted when using the common reference dataset (Figure S3).

Future work can focus on quantifying the level of consistency between these two collections.

4.3. Latitudinal comparison of product performance

The literature review revealed that remote sensing-based ET products have been evaluated primarily in the northern middle latitudes. The bias is the result of geographic differences in the availability of eddy covariance data due to uneven distribution of flux towers (see, for example, Schimel et al., 2015) and regional differences in data sharing (Villareal and Vargas, 2021). With the results of this study, the availability of evaluation data for the low latitudes was significantly improved. This allowed a comparison of product performance across latitudes. The results of this analysis should be interpreted with caution, however, because the number of evaluation results available varied considerably among latitudes, products, and vegetation categories (Table 4). Smaller normalized RMSEs and smaller PBIAS values suggest better performance of MOD16 and GLEAM for low-latitude forests than for northern mid-latitude forests. The weaker correlations at low latitudes are thought to be the result of differences in seasonality rather than differences in performance (see below). The similarity between the latitudinal trends in NRMSE and PBIAS of MOD16 and GLEAM is striking considering the different approaches, forcing data, and resolutions of the underlying models. More work is needed to understand the causes of the apparent latitudinal dependence of these products. In the case of non-forest vegetation, none

of the performance metrics showed a clear trend with latitude. Noteworthy is that both MOD16 and GLEAM seem to overestimate ET of forest vegetation and underestimate ET of non-forest vegetation in all latitudinal bands (see also discussion above).

A limitation of the current analysis is that regional differences were not detected because of the

broad zonal bands used. For example, NRMSEs were considerably larger for seasonally dry DBF

(0.36 and 0.84 for GLEAM and MOD16, respectively; data not shown) than for wet tropical EBF

(0.27 and 0.46, respectively). Similarly, Vinukollu et al. (2011b) and Miralles et al. (2016) found

higher relative uncertainties for the subtropics than for the tropics. In these studies, relative

uncertainty was estimated from the spread between different model outputs.

The weaker correlations for low-latitude forests are most likely explained by the small seasonal

variation in ET of EBF. The seasonal variation in ET of temperate forests is much greater due to

stronger seasonal variations in radiation and temperature (Baldocchi and Ryu, 2011). Again,

962 however, differences among forests in the low latitudes were large. For example, the R^2 values

for DBF were about twice as high as those for EBF (Figure 5). There were no clear latitudinal

964 differences in \mathbb{R}^2 for non-forest vegetation. At low latitudes, non-forest vegetation occurs mainly

in regions with high seasonality of rainfall (e.g., savanna regions) and thus large variations in

ET. Likewise, temperate non-forest vegetation types such as grass and crops show large

variations in ET due to seasonal variation in radiation and temperature (e.g., Monteith and Moss,

1977).

4.4. Product performance versus vegetation-match between pixel and site

The linear regression analyses across all 40 sites showed that there was no relationship between

the product evaluation results and the vegetation-match between pixel and site. Indirect evidence

for this was also provided by the finding that the product with the largest pixel size and the

lowest average VMI (GLEAM) performed best overall. Similar results were obtained by Hobeichi et al. (2018) and Jiménez et al. (2018). Hobeichi et al. (2018) investigated the effect of a vegetation mismatch between pixel and site on the performance of a merged ET product. For this they divided the eddy covariance sites in two groups, those for which the IGBP land cover type was the same as that of the pixel and those for which it was not. They used MODIS land 979 cover data at the same spatial resolution (0.5°) as the merged ET product. No clear differences in the performance of the product were observed between the two groups of sites. Jiménez et al. (2018) investigated the effect of a vegetation mismatch between pixel and site on the performance of the GLEAM, PT-JPL, and MOD16 algorithms. The models were run with a common input dataset at a spatial resolution of 0.25°. For all three models a single vegetation match index was used (called homogeneity index). This index was calculated using MODIS IGBP land cover data (MCD12Q1) and MODIS vegetation cover data (MOD44B). Also in their study, no significant relationships were found between model performance and the homogeneity index. A challenge is to correctly define the vegetation match index (Hobeichi et al., 2018). In this study, only two vegetation categories were considered (forest and non-forest vegetation), as the land cover type-dependent parameters in MOD16 and ALEXI can be broadly grouped into these two categories (and GLEAM only distinguishes between these two categories). In the other studies, a match was only obtained if the specific IGBP land cover type corresponded. This may be too stringent if the parameters are similar among certain cover types. Understanding the sensitivity of the model outputs to the land cover type-specific parametrizations can help determine which of these approaches is more adequate. Nevertheless, the results obtained so far suggest that errors other than those caused by a vegetation mismatch between pixel and site are more important (Jiménez et al., 2018).

5. Conclusions

There is a geographical bias in the availability of evaluation data for remote sensing-based ET products in favor of the northern middle latitudes. To address this bias, three products (GLEAM, MOD16, ALEXI) were evaluated at 40 eddy covariance sites in the low latitudes. From MOD16, 1002 the discontinued collection $5 (C5)$ and the latest collection $(C6)$ were evaluated. Two potential problems need to be considered when using eddy covariance observations of ET as reference data. First, eddy covariance data suffer from uncertainties related to the energy balance closure problem. Second, scale differences and classification errors can lead to a mismatch in vegetation between pixel and site (which in turn can complicate the comparisons). Because of the geographical bias in evaluation studies, it is unknown whether the products perform equally well at all latitudes.

The differences between MOD16 C5 and C6 were generally smaller than the differences with the other products. More work is needed, however, to determine the degree of consistency between the two collections.

Performance and ranking of the evaluated products depended on whether or not the eddy covariance ET data were corrected for the lack of energy balance closure. When using the 1014 unadjusted fluxes (ET_{orig}), GLEAM showed the best overall performance across the studied land cover types and climate zones, with the strongest correlations and smallest biases. Neither MOD16 nor ALEXI consistently outperformed the other. When using the corrected fluxes 1017 (ET_{ebc}) , there was no product that stood out in terms of both low bias and strong correlations. The uncertainty associated with the energy balance closure problem affected the product biases 1019 more than the correlations. Most product evaluation studies use ET_{orig} as reference data. Use of 1020 both ET_{orig} and ET_{ebc} is recommended until a better understanding of the effect of the energy 1021 balance closure problem on ET is obtained.

Few studies have compared the performance of the products examined here. However, a

comparison of results from studies that evaluated these products separately seems to confirm that

1024 GLEAM generally outperforms the other products (when using ET_{orig} as reference data).

Latitudinal dependence of product performance was examined using the results of this study and

those published in the literature. The comparison suggests that MOD16 and GLEAM perform

better for low-latitude forests than for northern mid-latitude forests. However, regional

differences, such as between the tropics and subtropics, can be large and were not detected

because of the broad zonal bands used in this analysis. In the case of non-forest vegetation, the

products show no clear latitudinal differences in performance.

No relationship was found between the product evaluation results and the degree of match

between the vegetation at the flux tower site and that detected in the model pixel. More work is

needed to understand the effect of a vegetation mismatch between pixel and site on product

performance.

CRediT authorship contribution statement

Diego Salazar-Martínez: Formal analysis, Investigation, Visualization, Writing - Original

Draft. **Friso Holwerda:** Conceptualization, Data curation, Formal analysis, Investigation,

Methodology, Supervision, Writing - Original Draft, Writing - Review & Editing. **Thomas R.H.**

Holmes: Conceptualization, Investigation, Writing - Review & Editing. **Enrico A. Yépez:**

Investigation, Resources, Writing - Review & Editing. **Christopher R. Hain:** Investigation,

Writing - Review & Editing. **Susana Alvarado-Barrientos:** Investigation, Writing - Review &

Editing. **Rest of the authors (alphabetical):** Investigation.

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CRediT authorship contribution statement

Diego Salazar-Martínez: Formal analysis, Investigation, Visualization, Writing - Original Draft. **Friso Holwerda:** Conceptualization, Data curation, Formal analysis, Investigation, Methodology, Supervision, Writing - Original Draft, Writing - Review & Editing. **Thomas R.H. Holmes:** Conceptualization, Investigation, Writing - Review & Editing. **Enrico A. Yépez:** Investigation, Resources, Writing - Review & Editing. **Christopher R. Hain:** Investigation, Writing - Review & Editing. **Susana Alvarado-Barrientos:** Investigation, Writing - Review & Editing. **Rest of the authors (alphabetical):** Investigation.

Declaration of interests

☒ The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

☐ The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

March 24, 2022, Mexico City, Mexico

Dear Dr Anagnostou,

We are pleased to submit the revision of the manuscript entitled "Evaluation of remote sensing-based evapotranspiration products at low-latitude eddy covariance sites" for publication in Journal of Hydrology.

The manuscript has been submitted and reviewed before by Journal of Hydrology under the manuscript number HYDROL43166 R1. The present submission is the revised version of this manuscript. We also provide point-by-point replies to the reviewers comments.

We look very much forward to your response.

Sincerely,

Wildwester

Dr. Friso Holwerda (corresponding author)

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Figure 2

IPE

Figure 6

Latitude

Figure **IC**

- 1 Fig. 1. Map showing the geographic location of the 40 eddy covariance sites used in the evaluation,
- 2 zoomed in for Mexico and northern Australia. The numbers identify the sites in Table 2.
- 3

4 Fig. 2. Scatter plots of daytime sums of sensible heat flux (*H*) and evapotranspiration (ETorig) versus 5 available energy (*R*n−*G*−*S*; all terms in units of millimetres) for different land cover types for the eddy 6 covariance sites used in the evaluation. Shown are the regression slope (value before the slash), the 7 intercept (value after the slash), the coefficient of determination (R^2) , the energy balance ratio (EBR), 8 the linear regression line (solid red line), and the 1:1 line (dashed line).

9

10 Fig. 3. Scatter plots of daytime sums of sensible heat flux (*H*) and evapotranspiration (ETorig) versus 11 available energy (*R*n−*G*−*S*; all terms in units of millimetres) for different climate zones for the eddy 12 covariance sites used in the evaluation. Shown are the regression slope (value before the slash), the intercept (value after the slash), the coefficient of determination (R^2) , the energy balance ratio (EBR), 14 the linear regression line (solid red line), and the 1:1 line (dashed line).

15

16 Fig. 4. Unadjusted eddy covariance ET observations (ET_{orig}) versus remote sensing-based ET for each 17 land cover type for each of the evaluated products. Shown are the regression slope (value before the 18 slash), the intercept (value after the slash), the coefficient of determination (R^2) , the linear regression 19 line (solid red line), and the 1:1 line (dashed line).

20

21 Fig. 5. Mean performance statistics $(R^2, RMSE, PBIAS)$ by land cover type for each of the evaluated 22 products for the unadjusted eddy covariance ET observations (ET_{orig}) and those corrected for the lack 23 of energy balance closure (ET_{ebc}) .

25 Fig. 6. Heat maps of the Ideal Point Error (IPE) for each of the evaluated products for each of the 26 comparisons by land cover type and climate zone for the unadjusted eddy covariance ET observations 27 (ET_{orig}) and those corrected for the lack of energy balance closure (ET_{ebc}). The IPE values are shown on 28 the plot. The lower the IPE, the better the relative performance of the product. Blue/red colors indicate 29 best/worst IPE scores. The asterisks in the heatmap for ET_{orig} indicate where the ranking of a product 30 differed from that based on the IPE scores for the common reference dataset (Figure S3; Section 2.2.4). 31

32 Fig. 7. Average monthly ET for the four ET products together with the average monthly unadjusted ET 33 observations (ET_{orig}) and those corrected for the lack of energy balance closure (ET_{ebc}) for different 34 land cover types in the northern and southern hemispheres. Curves were calculated using the common 35 reference dataset. Only land cover-hemisphere combinations for which data from at least two sites were 36 available are shown. The number of sites in each land cover-hemisphere combination is given between 37 parentheses. The error band represents the standard deviation of the mean monthly ET_{orig} at the 38 different sites.

39

40 Fig. 8. Unadjusted eddy covariance ET observations (ET_{orig}) versus remote sensing-based ET for each 41 climate zone for each of the evaluated products. Shown are the regression slope (value before the 42 slash), the intercept (value after the slash), the coefficient of determination (R^2) , the linear regression 43 line (solid red line), and the 1:1 line (dashed line).

44

45 Fig. 9. Mean performance statistics $(R^2, RMSE, PBIAS)$ by climate zone for each of the evaluated 46 products for the unadjusted eddy covariance ET observations (ET_{orig}) and those corrected for the lack 47 of energy balance closure (ET_{ebc}) .

49 Fig. 10. Average monthly ET for the four ET products together with the average monthly unadjusted 50 ET observations (ET_{orie}) and those corrected for the lack of energy balance closure (ET_{ebc}) for different 51 climate zones in the northern and southern hemispheres. Curves were calculated using the common 52 reference dataset. Only climate zone-hemisphere combinations for which data from at least two sites 53 were available are shown. The number of sites in each climate zone-hemisphere combination is given 54 between parentheses. The error band represents the standard deviation of the mean monthly ET_{orig} at 55 the different sites.

56

57 Fig. 11. Binned scatter plots between the performance metrics $(R^2, RMSE, PBIAS)$ and the vegetation 58 match index for each of the evaluated products. Shown are the regression lines and the *p*-values 59 indicating the statistical significance of the regression slopes.

60

61 Fig. 12. Zonal averages (southern and northern low latitudes and northern middle latitudes) of the

62 performance metrics grouped by forest and non-forest vegetation for MOD16 and GLEAM (R^2, R^2)

63 NRMSE, PBIAS) and ALEXI (only R^2). Averages were calculated using evaluation results from this 64 study and from the literature. See Section 2.4 for further details.

65

1 Table 1. General characteristics of the remote sensing-based ET products evaluated in this study.

Table 3. Number of eddy covariance sites and site years (between parentheses) available in the complete dataset for each product by land cover type and climate zone. Note that the number of site years corresponds to the length of the flux tower records. Actual data availability was lower due to, for example, missing or erroneous data.

13 Table 4. Number of evaluation results (*N*ER) from this study and from the literature, broken down 14 into results for R^2 , NRMSE and PBIAS, and grouped by latitudinal zone, ET product and

15 vegetation category (see Section 2.4 for further explanation). NA is not Not Available.