

## Mind-the-gap - Part 3: Doppler velocity measurements from space

1 **Pavlos Kollias<sup>1,2,3\*</sup>, Alessandro Battaglia<sup>4,5</sup>, Katia Lamer<sup>2</sup>, Bernat Puigdomenech Treserras<sup>3</sup>, Scott A.**  
2 **Braun<sup>6</sup>**

3 <sup>1</sup>Division of Atmospheric Sciences, Stony Brook University, Stony Brook NY, USA

4 <sup>2</sup>Environmental and Climate Sciences Dept., Brookhaven National Laboratory, Upton NY, USA

5 <sup>3</sup>Dept. of Atmospheric and Oceanic Sciences, McGill University, Montreal QC, Canada

6 <sup>4</sup>Dept. of Environment, Land and Infrastructure Engineering, Politecnico of Torino, Turin, Italy

7 <sup>5</sup>Physics and Astronomy Dept., University of Leicester, UK

8 <sup>6</sup>NASA Goddard Space Flight Center, Greenbelt, MD, USA

9

10 \* **Correspondence:** pavlos.kollias@stonybrook.edu

11 **Keywords:** Radar, Convection, Satellite, Remote Sensing, Doppler

### 12 **Abstract**

13 Convective motions and hydrometeors microphysical properties are highly sought-after parameters for  
14 evaluating atmospheric numerical models. With most of the Earth's surface covered by water, space-  
15 borne Doppler radars are ideal for acquiring such measurements at a global scale. While these systems  
16 have proven to be useful tools for retrieving cloud microphysical and dynamical properties from the  
17 ground, their adequacy, and specific requirements for spaceborne operation still need to be evaluated.

18

19 Comprehensive forward simulations enable us to assess the advantages and drawbacks of six different  
20 Doppler radar architectures currently planned or under consideration by space agencies for the study  
21 of cloud dynamics. Radar performance is examined against state-of-the-art numerical model  
22 simulations of well-characterized shallow and deep, continental, and oceanic convective cases.

23

24 Mean Doppler velocity (MDV) measurements collected at multiple frequencies (13, 35 and 94 GHz)  
25 provide complementary information in deep convective cloud systems. The high penetration capability  
26 of the 13-GHz radar enables to obtain a complete, albeit horizontally under-sampled, view of deep  
27 convective storms. The smaller instantaneous field of view (IFOV) of the 35-GHz radar captures more  
28 precise information about the location and size of convective updrafts above 5-8 km height of most  
29 systems which was determined is the portion of storms where the mass flux peak is typically located.  
30 Finally, the lower mean Doppler velocity uncertainty of displaced phase center antenna (DPCA) radars  
31 makes them an ideal system for studying microphysics in shallow convection and frontal systems, as  
32 well as ice and mixed-phase clouds. It is demonstrated that a 94-GHz DCPA system can achieve  
33 retrieval errors as low as 0.05-0.15 mm for raindrop volume-weighted mean diameter and 25% for rime  
34 fraction (for a -10 dBZ echo).

35

36

37

38

39

40

41

42

43 **1 Introduction**

44 Improvements in weather and forecast models requires thorough understanding of processes occurring  
45 in cloud and precipitation systems (Sato et al., 2018; Zelinka et al., 2017). An accurate representation  
46 of cloud-scale dynamics and hydrometeor fall velocities is an important step towards understanding  
47 these processes. Convective clouds serve as a primary mechanism for the transfer of thermal energy,  
48 moisture, and momentum through the troposphere, significantly impacting the large-scale atmospheric  
49 circulation and local environment, and affecting the probability of subsequent cloud formation  
50 [Gasparini et al., 2021; Hartmann et al., 2018]. Furthermore, several different assumptions for  
51 hydrometeor terminal fall velocities are used for different processes in model whether in large-scale  
52 parameterized clouds and precipitation (vanZanten et al., 2011). Climate models are very sensitive to  
53 the specification of fall speeds. For example, Sanderson et al., 2008 found that the ice fall speed was  
54 the second most important parameter for determining climate sensitivity. A decrease in the fall velocity  
55 of ice crystals can affect upper tropospheric water vapor amounts (Mitchell and Finnegan, 2009) while  
56 changes to the fall velocity of raindrops can induce changes in the evaporation rate and hence affect  
57 the temperature structure in the lower part of the atmosphere (vanZanten et al., 2011).

58

59 Despite their importance, there are considerable measurement gaps in convective updrafts and  
60 hydrometeor fall velocities. These gaps limit our ability to constrain these important aspects of model  
61 parameterization and verification. Observations of the number and magnitude of updrafts contributing  
62 to vertical transport in deep convection are simply not available over the tropical oceans and are  
63 sparsely available over land. Measuring convective motions from surface-based observatories remains  
64 challenging owing to a shortage of profiling sensors and the shortcoming of multi-Doppler radar  
65 retrievals (Oue et al., 2019). On the other hand, surface-based observatories can provide high-quality,  
66 long-term records of hydrometeor fall velocities (Kalesse and Kollias 2013); however, such  
67 measurements are sparse in the southern hemisphere and over the oceans.

68

69 Spaceborne Doppler radar observations can offer global observations of Doppler velocities, thus,  
70 provide an unprecedented opportunity to help constrain weather and climate models (Battaglia et al.,  
71 2020). The Earth Clouds, Aerosols and Radiation Explorer (EarthCARE) satellite is a joint European  
72 Space Agency (ESA) and Japanese Aerospace Exploration Agency (JAXA) mission scheduled to  
73 launch in 2023. EarthCARE (EC) will host the first 94-GHz Doppler cloud profiling radar (CPR) in  
74 space (Illingworth et al., 2015). However, signal attenuation by hydrometeors and the presence of  
75 multiple scattering are expected to limit the ability of the EarthCARE CPR to study deep convective  
76 clouds [Kollias et al., 2018]. Furthermore, the Doppler velocity measurements in large-scale  
77 precipitation regimes will be considerably impacted by the platform motion (Kollias et al., 2014).

78

79 Post-processing and spatial averaging of the EarthCARE CPR raw Doppler velocity observations is  
80 expected to reduce the uncertainty in the Doppler velocity measurements (Kollias et al., 2014).  
81 However, a different observing platform is needed to address the remaining gap in convective  
82 dynamics and to provide even higher quality Doppler velocity measurements in shallow clouds and  
83 large-scale cloud and precipitation systems. These critical measurements gaps were acknowledged in  
84 the latest NASA Earth Science Decadal Survey Report (NAS, 2018). NASA recently completed a  
85 study, known as Aerosols, Clouds, Convection, and Precipitation (ACCP), to identify candidate  
86 spaceborne architectures to pursue coupled aerosol-cloud-precipitation science in the next decade. The  
87 recommended architecture is currently being evaluated as part of NASA's Earth System Observatory  
88 (<https://science.nasa.gov/earth-science/earth-system-observatory>) and is named the Atmosphere

89 Observing System (AOS). The need for multi-frequency Doppler radar measurements was deemed  
90 critical to capturing all types of cloud and precipitation systems. Other space agencies such as JAXA  
91 and ESA are looking for future spaceborne Doppler radar missions. JAXA is currently evaluating  
92 different options for a follow-up to the NASA/JAXA Global Precipitation Measurement (GPM)  
93 Mission Dual-frequency Precipitation Radar (DPR) with a 13-GHz radar with Doppler capability and  
94 ESA recently selected Wind Velocity Radar Nephoscope (WIVERN, Illingworth et al., 2018), a 94-  
95 GHz conically scanning Doppler radar for additional study as part of ESA’s Earth Explorer program.  
96 Finally, the explosive growth in the use of CubeSats in Earth Sciences offers ample opportunities for  
97 creative approaches on how to best monitor and investigate cloud and precipitation processes (Stephens  
98 et al., 2020).

99  
100 Here, the third part of the “Mind the Gap” article series is presented. The Mind the Gap articles  
101 highlight existing gaps in satellite-based radar measurements of cloud and precipitation systems and  
102 suggest future improvements. The first Mind the Gap study (Lamer et al., 2020) focused on the  
103 challenge of detecting hydrometeors in the lowest km of the atmosphere detection due to the Earth’s  
104 surface return. The Lamer et al., 2020 study highlighted the advantages of a short pulse radar. The  
105 second Mind the Gap study (Battaglia et al., 2020) focused on the biases in liquid water path (LWP)  
106 estimates due to the large radar footprint and the limited sensitivity at small LWP amounts using Path  
107 Integrated Attenuation (PIA) techniques. The added value of brightness temperature ( $T_B$ ) derived  
108 adopting radiometric radar modes was investigated. The third Mind the Gap focuses on the third large  
109 spaceborne radar measurement gap: Doppler velocity. High resolution model output and a  
110 comprehensive forward and inverse spaceborne Doppler radar simulator is used to characterize the  
111 impact of the sampling volume on the characterization of updraft and downdraft properties.  
112 Furthermore, the impact of the uncertainty in the Doppler velocity measurements on the estimation of  
113 hydrometeor mean size and density is presented. The performance of different planned spaceborne  
114 Doppler radar systems against these requirements is analyzed using state-of-the-art forward and inverse  
115 simulations. The spaceborne Doppler radar systems considered in this study operate at three different  
116 frequencies: 94-GHz, 35-GHz, and 13-GHz, thus, covering the frequency range of existing and planned  
117 spaceborne radar systems. The radar systems are also separated into two categories based on the  
118 technique they used to acquire Doppler velocity measurements from space. Three system that employ  
119 the displaced phase center antenna (DPCA) technique that rely on two antennas strategically deployed  
120 such that their combined measurements can effectively remove the satellite motion effects on the  
121 Doppler velocity measurements are evaluated (e.g. Durden et al., 2007; Tanelli et al., 2002; 2017).  
122 These systems resemble those considered during the ACCP study. Three additional radars that utilize  
123 a single antenna are also considered. One of them is the EarthCARE CPR and the other two are large  
124 antenna 13-GHz radar systems that resemble spaceborne radar concepts considered by space agencies.  
125 The trade-offs between the selected radar frequency, footprint and the technology used for the Doppler  
126 velocity estimation are presented

## 128 **2 Datasets and methods**

### 129 **2.1 Observations**

130  
131 In this study, a dataset of over 20,000 5-min averaged raindrop spectra from two-dimensional video  
132 disdrometers (2DVDs) is used to estimate the impact of mean Doppler velocity accuracy on the  
133 characterization of hydrometeor diameter. The 2DVD dataset is described in Williams et al., (2014).  
134 For the forward calculations, the raindrops are simulated as oblate spheroids (Brandes et al., 2002),

135 with a terminal velocity described in Lhermitte (2002) and the T-matrix scattering theory (Waterman,  
 136 1965) is used for the radar reflectivity estimation.  
 137

## 138 2.2 Numerical model simulations

139  
 140 Numerical simulations obtained from high spatial resolution (< 250 m) numerical model simulations  
 141 conducted under the scope of NASA ACCP study complement the sparse observational datasets of  
 142 convective cloud properties. ACCP relied on an array of models that proved accurate at simulating  
 143 different cloud systems ranging from shallow to deep convection including warm, mixed-phase and  
 144 ice clouds. The following numerical model simulations are analyzed in the current study:

- 145 - A Weather Research and Forecasting (WRF, Model V3.8.; Skamarock and Klemp  
 146 2008; Skamarock et al. 2008 Varble et al., 2020) simulation of the May 20, 2011 squall-line event  
 147 that took place during the Mid-Latitude Continental Convective Clouds Experiment  
 148 (MC3E; Jensen et al. 2016) in Oklahoma. A horizontal cross section through the MC3E simulation  
 149 taken at 12-km height allows us to appreciate the inhomogeneity of this convective storm that  
 150 presented vigorous updrafts within only a few hundred meters of downdrafts (Fig. 1a).
- 151 - A Regional Atmospheric Modeling System (RAMS, v6.2.05; Cotton et al., 2003 Posselt, 2019)  
 152 simulation of the deep convection cases of August 11 and 17, 1999, that took place during the  
 153 Kwajalein Experiment (KWAJEX, Yuter et al., 2005) and of the weakly organized oceanic  
 154 convection case of February 3, 1999, that took place during the Tropical Rainfall Measuring  
 155 Mission – Large Scale Biosphere–Atmosphere Experiment (TRMM – LBA, Silva Dias et al. 2002).  
 156 A horizontal cross section through the TRMM-LBA simulation taken at 10-km height allows us to  
 157 appreciate the inhomogeneity of individual coherent updraft structures that formed in this storm  
 158 (Fig. 1b).
- 159 - A System for Atmospheric Modeling (SAM, V6.11.2; Khairoutdinov and Randall 2003) simulation  
 160 of the strong tropical oceanic convection cases that occurred during the Global Atmospheric  
 161 Research Program's Atlantic Tropical Experiment (GATE, Zipser and Gautier, 1978) and of the  
 162 shallow convective cumulus case that took place during the Rain in Cumulus over the Ocean  
 163 experiment (RICO, Rauber et al. 2007). A horizontal cross section through the RICO simulation  
 164 taken at 1-km height allows us to appreciate that weak (vertical air motion  $+2-6 \text{ m s}^{-1}$ ) and sub-  
 165 kilometer coherent updrafts are frequent features of this shallow convective cloud regime (Fig. 1c).

166 In the current study, these numerical simulation results are directly used to quantify the individual  
 167 impact of signal penetration, instantaneous field of view, and platform motion on the characterization  
 168 of convective updrafts (results in Sect. 3) and are input to a spaceborne radar forward simulator to  
 169 emulate the performance of six spaceborne Doppler radar systems, whose specifications can be found  
 170 in Table 1 (results in Sect. 4).

## 171 2.3 Spaceborne Doppler radar forward simulator

172  
 173 The forward simulator used in the current study was designed to forward-simulate attenuated radar  
 174 reflectivity factor and mean Doppler velocity (MDV, the sum of the vertical air motion and reflectivity-  
 175 weighted hydrometeor sedimentation velocity) considering known instrument sampling limitations. It  
 176 uses scattering calculations to estimate the radar reflectivity factor and the gas and liquid attenuation  
 177 at the model native resolution following T-matrix for cloud, drizzle, and rain hydrometeor species; the  
 178 Self-Similar Rayleigh-Gans Approximation (SSRGA, Hogan and Westbrook, 2014) for ice and snow  
 179 particles; and Mie for hail and graupel particles, that are assumed to have spherical shape with different

180 densities ( $0.9$  and  $0.4 \text{ g cm}^{-3}$  respectively). This information is also combined to produce estimates of  
181 attenuated radar reflectivity, MDV and spectrum width. A realistic Earth's surface echo is added to the  
182 first model level as in Burns et al. (2016). The addition of a surface echo produces a more realistic  
183 radar performance in the lowest 1 km of the atmosphere (see Lamer et al. 2020 for more details). An  
184 instrument forward-simulator is then used to emulate effects caused by an array of radar specifications:

- 185
- 186 - Sampling geometry parameters including antenna beamwidth, pulse length, and satellite orbit as in  
187 Kollias et al. (2014);
- 188 - Along-track integration as in Kollias et al., (2014);
- 189 - Sampling rate (i.e., pulse repetition frequency) as in Kollias et al., (2014);
- 190 - Platform motion as in Kollias et al. (2014);
- 191 - Radar receiver noise in the raw I/Q radar signals, which dictates the signal-to-noise (SNR) ratio;
- 192 - Doppler estimation technique including Pulse-pair or Doppler spectra-based moment estimation as  
193 in Kollias et al., (2014);
- 194 - Off-nadir operation as in Battaglia et al., (2020).

195  
196 The forward-simulated radar observables at the radar resolution are finally input to a retrieval algorithm  
197 to produce "best-estimate" radar observables (a.k.a. data products) for a complete end-to-end process.  
198 Those include: a feature mask (location of detected meteorological observations), as well as an MDV  
199 field corrected for velocity aliasing as in Kollias et al. (2014).  
200

### 201 **3 Radar sampling parameters and their individual impact on retrieved cloud properties**

202 In this section we describe key radar sampling parameters and the radar specifications that influence  
203 them. Then, through comparison with observed and simulated benchmarks, we estimate their impact  
204 on retrieved cloud properties.

#### 205 **3.1 Signal penetration**

206 Radar signal penetration is affected by attenuation caused by gases and hydrometeors, which is  
207 wavelength specific, and multiple scattering, which depends on the radar IFOV and the type of  
208 hydrometeor present in the radar sampling volume (Battaglia et al., 2016).  
209

##### 210 **3.1.1 The impact of signal penetration on retrieving convective updraft mass flux**

211  
212 Determining signal penetration depth is important to determining the ability of a spaceborne Doppler  
213 radar system to detect the portions of convective storms where most of the convective transport occurs.  
214 Here we will focus on quantifying the impact of signal penetration on the characterization of updraft  
215 mass flux ( $M_F$ ), which is central to cumulus parameterization schemes in large-scale models (e.g.,  
216 Arakawa and Schubert, 1974; Tiedtke, 1989).  
217

218 The observational record of convective updraft properties is sparse. Under shallow convective cloud  
219 conditions, vertically pointing lidar and radar systems have been used to characterize the sub-cloud  
220 and cloud layer dynamics (Lamer et al. 2015a, b, Lareau et al., 2018; Endo et al., 2019). Only recently  
221 has the information from both these been merged to provide a comprehensive view the dynamical field  
222 in and around shallow convective cloud systems (Zhu et al., 2021). In deep convection, limited aircraft  
223 observations and profiling radar techniques are available (e.g., LeMone and Zipser, 1980; Heymsfield  
224 et al., 2010; 2013; Williams, 2012; Kumar et al., 2015; Wang et al., 2020). Based on the airborne

225 Doppler radar observations, the peak updraft values are often above 10-km altitude (Heymsfield et al.,  
 226 2010; 2013). Here, direct sampling of the numerical simulations is used to construct a more  
 227 comprehensive benchmark of convective updraft properties. A conservative threshold of  $2 \text{ m s}^{-1}$  is used  
 228 to identify a model grid point that contains convective updrafts (Houze, 1997). We track information  
 229 about the fractional area coverage in the entire domain of the simulation ( $\alpha_U$ ) and the mean air velocity  
 230 ( $V_U$ ) of these convective updrafts at each height through the atmospheric column and compute the mass  
 231 flux ( $M_F$ ) as:

$$232 \quad M_F(Z) = \rho \cdot \alpha_U \cdot V_U \quad (1)$$

233 where  $\rho$  is the air density in  $\text{kg m}^{-3}$  and  $Z$  is the model grid level (i.e., height).  
 234

235 The corresponding profiles for the different convective cases are shown in Fig. 2. Figure 2c indicates  
 236 that mass flux through the simulated cloud systems generally peaks at or above 6-8 km height except  
 237 for the RICO shallow convection case which is confined below 4 km, and the KWAJEX case that peaks  
 238 at 3 km but exhibits a secondary maximum at 11 km. Thus, a spaceborne Doppler radar should be able  
 239 to penetrate down to ~6 km above the surface to capture most of the shape and the peak of the  
 240 convective mass flux profile in deep convective systems.  
 241

242 Using the radar forward simulator, we further evaluate the impact of signal penetration on the  
 243 characterization of convective updraft mass flux. Since the radar simulator used in this study accounts  
 244 for frequency but lacks a representation for multiple scattering effects (Battaglia et al., 2011; 2015), a  
 245 conservative criterion based on signal-to-noise ratio (SNR) is used to estimate the penetration depth of  
 246 the radar signal under different atmospheric conditions. Figures 3a and 3b respectively show the  
 247 fraction of convective updrafts ( $V_{\text{AIR}} > 2 \text{ ms}^{-1}$ ) with  $\text{SNR} > +5 \text{ dB}$  as seen by a 35-GHz and 94-GHz  
 248 radar as a function of height. Starting at 14 km, both radar systems detect 100 % of the scenes for all  
 249 simulations. Moving downwards to ~12 km, the intense MC3E and KWAJEX deep convective cases  
 250 produce strong signal attenuation at 94-GHz and, as a result, a decrease in the fraction of updrafts is  
 251 detected. The 35-GHz system is more resilient and only begins being affected by signal attenuation in  
 252 these storms at ~9 km. Because signal attenuation is generally strongest in the convective core, which  
 253 is responsible for the bulk of the vertical transport of energy and moisture, even a small loss in the  
 254 fraction of echoes detected could induce a large underestimation of the mass flux. Assuming that  
 255 detecting of 80 % of convective updrafts is sufficient to capture the bulk of the mass flux occurring at  
 256 each height, we estimate that a 94-GHz radar could be used to characterize the mass flux profile of  
 257 deep convective systems from cloud top through ~11 km and of weaker convective storms from cloud  
 258 top through 7 km. Since this height is generally higher than the location of the mass flux peak, we  
 259 conclude that a 94-GHz radar system alone would not be appropriate to monitor the mass flux of deep  
 260 convective cloud systems. As for a 35-GHz radar, the intense continental convection (MC3E) case  
 261 limits its “effective penetration” to 9 km height above the ground. In all other cases, the 35-GHz radar  
 262 will be able to capture the peak of the convective mass flux and, in the case of weaker convective  
 263 storms, penetrate much lower. Thus, a 35-GHz radar would be appropriate to monitor the mass flux  
 264 peak in all but the strongest deep convective systems.  
 265

## 266 3.2 Instantaneous field of view (IFOV)

### 267 3.2.1 Factor impacting IFOV

268 The IFOV of spaceborne radars is effectively the projection of the radar sampling volume on Earth’s  
 269 surface. IFOV is modulated by four main factors: (1) frequency ( $f$ ), which inversely correlates with

272 IFOV (*ceteris paribus*), (2) antenna size ( $D$ ) which directly acts to increase IFOV, (3) number of  
 273 antennas used for the Doppler velocity estimation, which indirectly acts to increase IFOV by  
 274 decreasing antenna sizes, and (4) distance from the Earth ( $H_{SAT}$ ), which directly acts to increase IFOV.  
 275 A commonly used, approximate relationship for the radar IFOV is:

$$277 \text{ IFOV} \cong 0.369 \frac{H_{SAT} [km]}{D [m] \cdot f [GHz]} \quad (2)$$

278  
 279 The IFOV's for six spaceborne Doppler radar architectures considered in this study are listed in Table  
 280 1. The average orbit height is the same ( $H_{SAT} = 400$  km) for all the architectures. This results in the 94-  
 281 GHz radars having an overall smaller IFOV. Number of antennas comes next, with non-DPCA systems  
 282 having overall smaller IFOV than DPCA systems.

### 284 3.2.2 The impact of IFOV on retrieving convective updraft mass flux

285 The relationship between the updraft chord length (UCL) and the spaceborne radar IFOV is very  
 286 important to determine the ability of a spaceborne Doppler radar system to resolve, and thus  
 287 characterize, convective updraft properties. The spaceborne Doppler radar MDV measurements are the  
 288 result of the convolution of the true updraft properties with the IFOV. In the case of under sampling  
 289 (IFOV  $>$  UCL), the estimated MDV is expected to underestimate the updraft magnitude and  
 290 overestimate the updraft size. In previous studies, the impact of the radar range resolution and IFOV  
 291 on shallow cloud properties (vertical and horizontal coverage and LWP) was demonstrated (e.g., Lamer  
 292 et al 2020; Battaglia et al., 2020). Here we will assess the impact of sampling geometry on our ability  
 293 to characterize updraft mass flux ( $M_F$ ) and its components.

294  
 295 The observational record of convective updraft chord UCLs is sparse and measurements from limited  
 296 aircraft observations and profiling radar techniques (e.g., LeMone and Zipser, 1980; Williams, 2012;  
 297 Kumar et al., 2015; Wang et al., 2020; Lamer et al. 2015) are challenging to consolidate due to their  
 298 limited sampling of individual storms and the strong dependency of their results on the  
 299 instrument/platform sampling geometry and strategy used. Nevertheless, most reports of deep  
 300 convective updraft cores document them as being less than 5 km, with their distribution peaking around  
 301 2 to 3 km (Wang et al., 2020) while shallow convective updraft cores were reported to be 100-500 m  
 302 wide (Lamer et al., 2015).

303  
 304 Once again, direct sampling of the high-resolution model outputs is used to derive additional statistics  
 305 of the properties of the convective updrafts. Spatially coherent convective updrafts are identified as  
 306 contiguous updraft regions with air motion larger than  $2 \text{ m s}^{-1}$ . We track information about the  
 307 fractional area coverage ( $\alpha_U$ ) and the mean air velocity ( $V_U$ ) of convective updrafts of different chord  
 308 lengths and compute their mass flux ( $M_F$ ) as:

$$309 \quad 310 M_F(UCL) = \rho \cdot \alpha_U \cdot V_U \quad (3)$$

311  
 312 The distribution of  $\alpha_U$ ,  $V_U$ , and  $M_F$  as a function of the UCL and the cumulative distribution of the  
 313 contribution of updrafts with different UCL to the total  $M_F$  are shown in Fig. 4. The UCL bins are 0.25  
 314 km wide, with center values from 0.25 to 10 km. Shallow convection is characterized by the narrowest  
 315 UCL's with only a small fraction of them exceeding 500 m. Deep convection simulations exhibit a  
 316 broader distribution of UCLs especially for the more intense cases (MC3E, KWAJEX, Fig. 4a). The  
 317 mean  $V_U$  increases with the UCL, suggesting that broader updrafts are also characterized by stronger  
 318 updraft magnitudes. This relationship between UCL and  $V_U$  can explain the contribution to the total

319  $M_F$  by updrafts with different UCL. Updrafts with UCL larger than 1.5 km equally contribute to the  
 320 total updraft mass flux occurring in deep convective storms (Fig. 4c). In weaker convective systems  
 321 like TRMM-LBA and RICO, smaller convective updrafts (1.8 km and 0.5 km UCL, respectively) are  
 322 seen to be responsible for the bulk of the transport since larger updrafts do not seem to be systematically  
 323 exhibiting stronger velocities.

324  
 325 The cumulative fraction of  $M_F(UCL)$  allows us to determine which updraft sizes together contribute  
 326 50 % of the convective updraft mass flux. In the intense deep convective systems, that would be  
 327 updrafts larger than  $\sim 6$  km; in weakly organized oceanic deep convective systems, that would be  
 328 updrafts larger than  $\sim 3$  km; in shallow convective cloud systems, that would be updrafts  $< 375$  m. This  
 329 behavior drives a need to design radar architectures that have an IFOV  $\leq 3$  km to monitor the bulk of  
 330 the mass flux in deep convective systems.

331  
 332 Figure 1 allows us to visualize the IFOV achieved by the 6 radar architectures relative to the simulated  
 333 cloud scenes noting that none of these architectures meet the criteria established for monitoring the  
 334 mass flux of shallow convective clouds. On the other hand, five of the radar architectures meet the  
 335 criteria established for monitoring the mass flux of deep convective clouds (radar 1, 2, 3, 5 and 6)  
 336 except for when significant attenuation occurs.

337  
 338 To further evaluate the impact of IFOV on the characterization of convective updraft mass flux, we  
 339 perform forward simulations where only the sampling geometry is considered. In effect, we turn on the  
 340 radar instrument model and estimate the resulting vertical air motion. From those motions, at each  
 341 radar height, the area fraction, the magnitude of updrafts of velocity  $> 2 \text{ m s}^{-1}$ , and their mass flux are  
 342 computed. Using the same model swaths, the same convective updraft parameters are estimated at each  
 343 model height using direct sampling at the native model resolution. The differences of these updraft  
 344 properties as derived by the radar IFOV and the direct model sampling at each height are normalized  
 345 by the model direct sampling value (i.e., relative errors). The relative errors in the updraft properties  
 346 from all heights are used to compile the relative error distributions for different convective scenes and  
 347 radar systems (Fig. 5). As expected, the 1.0 km IFOV provides the best agreement between the model  
 348 output and the forward-simulated radar observations for all three convective updraft parameters with  
 349 most of the relative error values within  $\pm 20\%$ . A 2.5-km IFOV results in broader relative error  
 350 distributions in  $\alpha_U$  and  $V_U$  ( $\pm 35\%$ ). The  $M_F$  relative error distribution is centered around zero; however,  
 351 in some cases, relative errors up to 50% in the  $M_F$  are estimated. The impact of the radar sampling  
 352 volume on the convective updraft parameters is more drastic at 5 km IFOV with errors up to 100%.

353  
 354 In all cases, non-uniform beam filling (under sampling of the model dynamics) is responsible for the  
 355 observed errors. Updraft features smaller than the IFOV presenting weak radar reflectivity go  
 356 undetected (thus causing a negative  $\alpha_U$  error) while those presenting large radar reflectivities appear  
 357 horizontally smoothed (thus causing a positive  $\alpha_U$  error). Beyond distorting the fractional area of  
 358 convective updrafts, non-uniform beam filling also tends to cause an underestimation of the velocity  
 359 of small convective updraft features surrounded by most downdrafts or clear air (thus causing a  
 360 negative  $V_U$  bias). Because of the way we defined convective updrafts (i.e.,  $MDV > 2 \text{ ms}^{-1}$ ) this  
 361 negative  $V_U$  bias may lead to convective updrafts being misclassified as non-convective (weak) updraft,  
 362 thus taking only the strongest convective updrafts into consideration and yielding an overall positive  
 363 error in the distribution of  $V_U$  and  $M_F$ . It is important to note that these results are based only on a  
 364 small number of simulated cases and that the relative error magnitude depends on the convection type.  
 365 Exploring these convective type-based errors should be the focus of future studies.

366

### 367 3.3 Platform motion

368  
 369 Radar mean Doppler velocity (MDV) is the *reflectivity-weighted average* line of sight motion of the  
 370 targets present within the radar IFOV *relative to the radar frame of reference*. The radar own motion  
 371 will contribute to the Doppler signal and its effect can be cancelled out by subtracting the contribution  
 372 due to the projection of the satellite velocity along the antenna boresight. There is, however, an  
 373 additional effect to be accounted for. Because of the large velocity of LEO satellites, the projection of  
 374 the velocity along different lines of sight within the IFOV will differ significantly from the boresight  
 375 projection; correspondingly, the radiation backscattered from the forward/backward (with respect to  
 376 the satellite motion) part of the IFOV will be phase shifted when backscattered from a target  
 377 receding/approaching the radar. In perfectly homogeneous atmospheric conditions (i.e., where the  
 378 reflectivity field is the same throughout the IFOV), the reflectivity contributions of the perceived  
 379 “away” and “towards” motions are perfectly balanced such that the recorded MDV is solely influenced  
 380 by hydrometeor sedimentation velocity ( $V_{SED}$ ) and air motion ( $V_{AIR}$ ). In inhomogeneous atmospheric  
 381 conditions, however, the reflectivity contributions of the perceived “away” and “towards” motions are  
 382 out of balance, thus introducing a bias to the MDV that, to first approximation, is proportional to the  
 383 radar reflectivity gradient within the IFOV and proportional to  $IFOV^2$  (details in Battaglia et al., 2020)  
 384

385 To alleviate this bias, displaced phase center antenna (DPCA) systems rely on two antennas  
 386 strategically deployed such that their combined measurements can provide an unbiased MDV estimate  
 387 (e.g. Durden et al., 2007; Tanelli et al., 2002; 2017, Sy et al., 2014).  
 388

#### 389 3.3.1 The impact of platform motion on identifying convective updrafts

390  
 391 To quantify the impact of platform motion on the MDV measured by spaceborne radars, we performed  
 392 forward simulations of the weakly organized convective cloud scene of TRMM-LBA, setting  $V_{AIR}$  and  
 393  $V_{SED}$  to  $0 \text{ m s}^{-1}$ . Figure 6 shows results for radar 5, which is a non-DPCA 13-GHz system with a 4-m  
 394 antenna. Similar results are obtained from forward-simulations of the other two non-DPCA systems  
 395 (radar 2 and radar 6; not shown). As highlighted by Fig. 6b, platform motion alone can introduce MDV  
 396 biases on the order of  $30 \text{ m s}^{-1}$  in this highly heterogenous cloud scene. The MDV biases due to non-  
 397 uniform beam filling (NUBF) will occur at the edges of every convective cloud (where large horizontal  
 398 gradients of radar reflectivity occur) and within the periphery of all convective cores since a vertically  
 399 oriented area of high radar reflectivity is one of their characteristic radar features. Although the use of  
 400 the along-track radar reflectivity gradient can be used to correct for most of the NUBF-induced velocity  
 401 bias, considerable residual errors from the application of an imperfect correction could complicate the  
 402 detection of convective updrafts and could lead to false detections. Considering that this bias is nearly  
 403 double the magnitude of the strongest dynamical features simulated by the model and the proximity to  
 404 the location of the actual convective updrafts, we suggest that a non-DPCA system would be difficult  
 405 to use for dynamical studies in such complex cloud scenes.  
 406

### 407 3.4 Mean Doppler velocity uncertainty

#### 408 3.4.1 Factors impacting Mean Doppler velocity uncertainty

409 The total MDV error budget ( $\sigma_{MDV}$ ) for a spaceborne Doppler radar is affected by three main factors  
 410 (1) intrinsic noise (spectral broadening) introduced by the platform motion ( $\sigma_B$ ), (2) outstanding error  
 411 in correcting MDV biases caused by non-uniform beam filling ( $\sigma_{NUBF}$ ), and (3) outstanding error due

412 to uncertainty in the antenna pointing characterization or alternatively error in the estimation of the  
 413 horizontal wind when off-nadir pointing is needed. The MDV total error budget is given by the  
 414 following expression:

$$416 \quad \sigma_{MDV} = \sqrt{\sigma_B^2 + \sigma_{NUBF}^2 + \sigma_P^2} \quad (4)$$

417  
 418 Figure 7 shows the individual contribution of these factors for the 6 radar architectures under  
 419 consideration in the current study. The relationship of  $\sigma_B$  with signal-to-noise ratio (SNR) becomes  
 420 evident (Fig. 7a); for any of the radar configurations  $\sigma_B$  is systematically lower for atmospheric features  
 421 of higher radar reflectivity. The dependency of the MDV uncertainty with SNR is inherent to all the  
 422 radar architectures; however, the DPCA radar architectures (1, 3, and 4) have negligible  $\sigma_B$  errors, as  
 423 with any radar on a non-moving platform. On the other hand, the non-DPCA radars (2, 5, and 6) have  
 424 much higher  $\sigma_B$  errors. This is clearly illustrated in the comparison of the two 94-GHz radar system.  
 425 Despite its larger antenna and higher sensitivity, the EarthCARE CPR (radar 2) has a much higher  $\sigma_B$   
 426 contribution to the overall MDV error budget than the smaller antenna, less sensitive DPCA 94-GHz  
 427 radar.

428  
 429 In addition to SNR, the  $\sigma_B$  for the non-DPCA radar architectures depends on the normalized spectrum  
 430 width (Kollias et al., 2014). This explains the difference in their curves. Noticeably, for the same radar  
 431 frequency,  $\sigma_B$  is lower for the radar with larger antenna. This is illustrated in the case of two of the 13-  
 432 GHz radars (5 and 6). For a 20 dBZ echo, the  $\sigma_B$  is 1.2 and 0.28  $\text{ms}^{-1}$  for radars 5 and 6 respectively.  
 433 In addition to having a negligible  $\sigma_B$ , the use of a pair of antennas by the DPCA radars allows them to  
 434 avoid the non-uniform beam filling MDV biases, something that is not the case for the non-DPCA  
 435 radars. The along-track gradient of the radar reflectivity has been suggested to correct for the NUBF  
 436 velocity bias; however, the correction depends on the detailed distribution of the radar reflectivity  
 437 within the radar sampling volume that is not known (Sy et al., 2014; Kollias et al., 2018). Thus, there  
 438 is a residual, unbiased velocity error from the NUBF correction ( $\sigma_{NUBF}$ ) that increases the total MDV  
 439 error budget ( $\sigma_{MDV}$ ). The residual error from the NUBF correction is proportional to the square of the  
 440 radar IFOV ( $\sigma_{NUBF} \propto IFOV^2$ ). In Battaglia et al., (2020), an estimate for  $\sigma_{NUBF}$  was provided for a  
 441 gentle along-track radar reflectivity gradients of 3  $\text{dBZkm}^{-1}$  (non-convective conditions, Kollias et al.,  
 442 2014). These estimates should be considered as a lower bound  $\sigma_{NUBF}$  estimate. An along-track radar  
 443 reflectivity gradient of 15  $\text{dBZkm}^{-1}$  (convective conditions) is used to provide an upper bound  $\sigma_{NUBF}$   
 444 estimate. The upper and the lower bound  $\sigma_{NUBF}$  estimates are shown in Fig. 7b. For the EarthCARE  
 445 CPR, sampling convection is expected to be very challenging, thus, the lower bound  $\sigma_{NUBF}$  estimate is  
 446 more relevant (Kollias et al., 2018). Due to its very narrow IFOV, the  $\sigma_{NUBF}$  is small compared to the  
 447  $\sigma_B$  term. On the other hand, the  $\sigma_{NUBF}$  term dominates the MDV error budget  $\sigma_{MDV}$  for the two non-  
 448 DPCA 13-GHz radars (5 and 6). The  $\sigma_{NUBF}$  term is the dominant term in the  $\sigma_{MDV}$  budget even for a  
 449 6.0-m antenna size.

450  
 451 Finally, the  $\sigma_P$  term represents uncertainty in the MDV introduced by antenna pointing uncertainties  
 452 related to thermal distortions and vibrations of the antenna structure and/or uncertainty in the estimation  
 453 of the horizontal wind when off-nadir pointing is needed. An uncertainty of 10-15  $\mu\text{rad}$  in the  
 454 knowledge of the spaceborne radar antenna pointing at an altitude of 400 km corresponds to an MDV  
 455 uncertainty of 0.08-0.11  $\text{ms}^{-1}$  (Battaglia and Kollias, 2015). For the DPCA radar architectures studied  
 456 here, a 2° off-nadir forward (along-track) pointing is required to minimize the vertical extend of the  
 457 surface echo (Beauchamp et al., 2021). In this case, a 5 $\text{ms}^{-1}$  uncertainty in the knowledge of the  
 458 horizontal wind will introduce  $\sim 0.2 \text{ms}^{-1}$  uncertainty in the MDV estimate (grey dashed line in Fig. 7).

459

460 Summing these error sources allows us to conclude that DPCA radar configurations are overall more  
 461 accurate (in terms of MDV) than non-DPCA systems especially in highly heterogenous conditions. It  
 462 is worth noting that the MDV uncertainty depicted in Fig. 7 represent estimates for “best-estimate”  
 463 MDV produced at the highest resolution available. For relatively homogeneous scenes such as  
 464 stratiform cloud conditions, it may be acceptable to perform additional along-track averaging (Kollias  
 465 et al., 2014), apply noise-filtering techniques (Sy et al., 2014) or rely on conditional sampling (Protat  
 466 and Williams, 2011) to produce a coarser but high precision MDV “best-estimate”. These techniques  
 467 have been shown to lead to reduction of the MDV uncertainty by as much as a factor of 2 In forward  
 468 simulations of the EarthCARE satellite (here radar 2).

470 **3.4.2 The impact of Mean Doppler velocity uncertainty on retrieving particle diameter and rime**  
 471 **fraction in weak air motion regimes**

472  
 473 The MDV measured by a nadir-looking spaceborne Doppler radar represents the sum of vertical air  
 474 motion ( $V_{AIR}$ ) and the reflectivity-weighted hydrometeor sedimentation velocity ( $V_{SED}$ ).

475  
 476 
$$MDV = V_{SED} + V_{AIR} \tag{5}$$

477  
 478 Separating the contributions of these two terms is a necessary step for using MDV for dynamical  
 479 studies which are associated with  $V_{AIR}$  and microphysical studies which are associated with  
 480 hydrometeor properties that impact  $V_{SED}$  (e.g., Kollias et al., 2002; Zhu et al., 2021). The condition  
 481  $|V_{air}| < 2 \text{ ms}^{-1}$  is often used to separate stratiform and convective cloud conditions (Houze, 1997).  
 482 In stratiform clouds, (e.g., frontal stratiform precipitation, stratiform regions of convective systems, ice  
 483 clouds), the horizontal microphysical variability is moderate, and the vertical air velocity is much  
 484 smaller than the hydrometeor sedimentation velocity. When these conditions are satisfied, the MDV  
 485 can be related to the shape of the particle size distribution (PSD), to relevant moments (e.g., rainfall  
 486 rate), and, under certain conditions, allow us to study the microphysical processes that influence their  
 487 evolution (e.g., Protat and Williams, 2011; Kalesse and Kollias, 2013). The extent to which such  
 488 inferences can be made with reasonable uncertainty, depends on the magnitude of  $\sigma_{MDV}$ .

489  
 490 A combination of experimental and theoretical relationships between MDV and microphysical  
 491 variables are presented here to illustrate the impact of the MDV uncertainty on microphysical variables  
 492 in stratiform conditions. The formulation of analytical relationships between radar observables and  
 493 microphysical variables requires a mathematical representation for the particle size distribution (PSD).  
 494 The gamma distribution first introduced by Ulbrich (1983) and Willis (1984), and its normalization  
 495 introduced by Testud et al., (2001) has been widely used to describe the PSD:

496  
 497 
$$N(N_0^*, D_m, \mu) = N_0^* f(\mu) \left(\frac{D}{D_m}\right)^\mu \exp\left(-\left(4 + \mu\right)\frac{D}{D_m}\right), \tag{6}$$

498 where

499 
$$f(\mu) = \frac{\Gamma(4)(4 + \mu)^{4+\mu}}{4^4 \Gamma(4 + \mu)}$$

500  
 501 The three parameters  $(N_0^*, D_m, \mu)$  have the following meanings:  $D_m$  is the volume-weighted mean  
 502 diameter (defined as the ratio of the 4<sup>th</sup> to the 3<sup>rd</sup> moment of the PSD),  $N_0^*$  is the intercept parameter of  
 503 the exponential distribution that has the same water content and  $D_m$ , and  $\mu$  describes the PSD shape.  
 504 The MDV is independent of  $N_0^*$  and for liquid phase hydrometeors (drizzle and raindrops), is a function

505 of only  $D_m$  and  $\mu$ . In addition, the MDV is the reflectivity-weighted PSD sedimentation velocity; thus,  
 506 the relationship between MDV and  $(D_m, \mu)$  depends also on the selected radar frequency. The  
 507 relationship between MDV and  $D_m$  is shown in Fig. 8 for 4 different  $\mu$  values (-2, 0, 3 and 12) at 94-  
 508 GHz radar (panel a), 35-GHz (panel b), and 13-GHz (panel c). Plotted under these analytical  
 509 relationships are MDV and  $D_m$  estimates from the two-dimensional video disdrometer (2DVDs)  
 510 observations (analysis details described in Sect. 2.1). Overall, the experimental data and the theoretical  
 511 relationships agree well and two distinct regimes emerge for each radar frequency.

512  
 513 The  $D_m$  estimation is often based on a combination of radar observables, however, here, we assume  
 514 that MDV is the only available radar measurement. Under this assumption, the error in the  $D_m$   
 515 estimation is controlled by the rate of change of  $D_m$  with MDV and the MDV measurement error:  
 516

$$517 \quad \delta(D_m) \approx \frac{\partial(D_m)}{\partial(MDV)} \delta(MDV) \quad (7)$$

518  
 519 At W-band, two different  $\partial(D_m)/\partial(MDV)$  regimes are present with very different slope values, one  
 520 for  $D_m$  values lower than 0.8 mm where  $\partial(D_m)/\partial(MDV) \approx 0.26 \text{ mm/ms}^{-1}$  and another one for  $D_m$   
 521 values higher than 0.8 mm where  $\partial(D_m)/\partial(MDV) \approx 0.78 \text{ mm/ms}^{-1}$ . The lower the  
 522  $\partial(D_m)/\partial(MDV)$  value, the lower the uncertainty in the  $D_m$  retrieval for a set MDV uncertainty. For  
 523 example, an MDV uncertainty of  $0.2 \text{ ms}^{-1}$  translates to an error of 0.05 and 0.15 mm, respectively, for  
 524  $D_m$  values less than and greater than 0.8 mm. The range of  $D_m$  values where the slope  $\partial(D_m)/\partial(MDV)$   
 525 is low increases at lower radar frequencies, suggesting that lower frequency radars are preferred for  
 526 retrieving  $D_m$  from MDV measurements. On the other hand, at high  $D_m$  values ( $> 1.75 \text{ mm}$ ) the slope  
 527  $\partial(D_m)/\partial(MDV)$  at 94-GHz smaller thus suggesting that the 94-GHz MDV measurements will exhibit  
 528 larger dynamic (sensitivity) range to  $D_m$  changes in high- $D_m$  regimes. These differences are due to  
 529 differences in the scattering by raindrops at the different radar frequencies (Kollias et al., 2002; 2016).  
 530 The complimentary use of MDV estimates at different radar frequencies can provide a strong constraint  
 531 for  $D_m$  estimation (Giangrande et al., 2012; Matrosov, 2017).

532  
 533 The determination of the rime fraction in ice particles is another example where the use of the MDV  
 534 can provide a strong constraint (Mason et al., 2018; Oue et al., 2021). In a recent study, Kneifel and  
 535 Moisseev (2020) analyzed a large dataset of surface-based radar and in-situ observations and derived  
 536 an experimental relationship between MDV and rime mass fraction (FR). The average relationship  
 537 between MDV and RF for three radar frequencies (94-GHz, 35-GHz, and 13-GHz) is shown in Fig.  
 538 8d. Although there is considerable spread, the experimentally derived relationships offer a first order  
 539 relationship for converting MDV errors to FR errors. If we focus on the FR range of 20-80%, an MDV  
 540 uncertainty of  $0.2 \text{ ms}^{-1}$  translates to an error of 14%, 12% and 10% in FR at 94-, 35- and 13-GHz  
 541 respectively. This assessment ignores the fact that the shape of the particle size distribution ( $D_m$ ) will  
 542 also affect the MDV magnitude; thus, here it is assumed that this information is provided by other  
 543 measurements (e.g., dual-wavelength radar measurements, Pfitzenmaier et al., 2019).  
 544

## 545 4 Spaceborne Doppler radar performance in convection

546 Six spaceborne Doppler radar systems, whose specifications can be found in Table 1, are being forward  
 547 simulated in this study; these systems resemble those under consideration by NASA's AOS mission,  
 548 other space agencies, as well as the JAXA/ESA EarthCARE CPR.

### 549 4.1 Deep convective clouds

550 A vertical cross section of the 13-GHz radar reflectivity and MDV from the MC3E continental squall  
 551 line case at the model resolution is shown in Fig 9 (panels a and b, respectively). The simulation  
 552 includes a deep convective core with radar reflectivity values between 40 – 55 dBZ and a cloud top  
 553 above 15 km height trailed by stratiform precipitation. The convective core includes a tilted, coherent  
 554 updraft structure with  $V_{\text{AIR}}$  values of more than 30-40  $\text{ms}^{-1}$ . In addition, the spaceborne Doppler radar  
 555 raw, uncorrected MDV simulations of the same event for the 3 DPCA architectures examined here  
 556 (radars 1, 3, 4) are shown in Fig. 9. This comparison highlights tradeoffs between radar frequency, and  
 557 IFOV choices in observing deep convective cloud dynamics from space.

558  
 559 Of the proposed DPCA radar architectures, radar 4 with its 13-GHz frequency experiences the least  
 560 amount of attenuation compared to the 35-GHz and 94-GHz radar architectures. Radar 4 can penetrate  
 561 the entire depth of the convective cloud and its “best-estimate” MDV captures many of the key  
 562 dynamical features of the squall line. It resolves the main updraft structure, especially above 3-4 km  
 563 height. A drawback of this system is that its larger IFOV (5.06 km) causes a visible broadening of the  
 564 high reflectivity updrafts and a misdetection of low reflectivity downdraft structures. The large radar  
 565 IFOV also modulates the magnitude of the detected updrafts and downdrafts. Besides the convective  
 566 core, the 13-GHz MDV can capture well the transition from frozen to melted hydrometeors and some  
 567 of the broad, weak dynamical structures.

568  
 569 The 35-GHz radar signal experiences considerable attenuation in the convective core, where the radar  
 570 signal penetrates to an altitude of 9-10 km, thus capturing the upper 5 km of the convective cloud  
 571 dynamical structure. However, its superior resolution (IFOV 2.44 km) results in an improved  
 572 representation of the updraft and downdraft coherent structures, both in terms of their true size and true  
 573 velocity in the upper 5 km of the squall line.

574  
 575 Finally, the 94-GHz radar penetrates only the upper most 2 km from the convective cloud top, thus,  
 576 providing limited information about the main convective updraft properties. On the other hand, the  
 577 superior resolution of the 94-GHz radar (IFOV 1 km) allows it to retrieve several weak dynamical  
 578 structures resolved in the deep convective cloud simulations.

579  
 580 Together, the three simulated MDV fields provide complimentary information. The 13-GHz radar  
 581 provides a complete view of the storm main dynamical features from the lower levels to the cloud top.  
 582 On the other hand, the 35-GHz radar can provide higher resolution  $V_{\text{AIR}}$  and UCL information in the  
 583 upper 5 km of the convective core and the 94-GHz radar can add dynamical information outside of the  
 584 deep convective core. This confirms previous findings based on airborne observations (Battaglia et al.,  
 585 2016). Differences in MDVs observed by the different frequency radars resulting from non-Rayleigh  
 586 scattering can also be exploited (Mroz et al., 2021).

## 587 4.2 Weakly organized oceanic convection

588  
 589  
 590 A vertical cross section of the 13-GHz radar MDV from the TRMM-LBA weakly organized oceanic  
 591 convection at the model resolution is shown in Fig 10a. In addition, the spaceborne Doppler radar raw  
 592 uncorrected MDV simulations of the same event for the radar architectures (3, 4 and 5) are shown in  
 593 Fig. 10. Note here that “raw” MDV is shown since current techniques to correct for NUBF are too  
 594 uncertain in this highly heterogeneous case (Sect 3.3). Comparing the two 13-GHz radar systems  
 595 highlight the tradeoff between using (1) a DPCA system that requires 2 antennas and as such achieves  
 596 a larger IFOV (radar 4) and (2) one antenna system that can achieve a smaller IFOV (radar 5). For  
 597 completeness, the performance of the 35-GHz DPCA system (radar 3) is also presented.

598

599 Although radar 5 has a smaller IFOV (2.44 km) and is better positioned to resolve narrow dynamical  
 600 features, this advantage is lessened by the high MDV bias and uncertainty this non-DPCA system  
 601 experiences. As illustrated in Fig. 10d, the “raw” MDV from radar 5 looks widely different from the  
 602 model “truth”.

603  
 604 In contrast, it is evident that Radar 4 cannot as precisely observe the boundaries of the narrow storm  
 605 and of its narrow updrafts and downdrafts because of its larger IFOV (5.06 km); however, we would  
 606 argue that it does reasonably well at locating the center location of the strongest dynamical features of  
 607 the storm (comparing the location of the velocity isocontours in a and b). In addition, the “raw” MDV  
 608 that this DPCA system measures is undeniably closer in magnitude to the model truth than that  
 609 measured by the non-DPCA system.

610  
 611 As for the 35-GHz DPCA radar system (radar 3), we see better penetration in this weaker deep  
 612 convective storm, only missing the lower 3 km of the storm below the updraft core. The small IFOV  
 613 of this system (2.2 km) also allows it to better resolve the storm boundaries as well as the boundaries  
 614 and magnitude of its dynamical features.

615  
 616 This comparison again suggests that 35-GHz and 13-GHz offer complementary information and that  
 617 the use of DPCA is paramount when it comes to measuring weakly organized convective cloud  
 618 dynamics.

619

### 620 4.3 Shallow oceanic convection

621 A vertical cross section of the 94-GHz radar reflectivity and MDV from the RICO shallow oceanic  
 622 convection case at the model resolution is shown in Fig 11 (panels a and b respectively). In addition,  
 623 the spaceborne Doppler radar raw, uncorrected MDV simulations of the same event for the 3 DPCA  
 624 architectures examined here (radars 1, 3, 4) are shown in Fig. 11. This comparison highlights the impact  
 625 of IFOV choice in observing shallow convection from space (Lamer et al., 2020; Battaglia et al., 2020).

626

627 In contrast to the MC3E and TRMM-LBA deep convection cases, the location of the convective  
 628 updrafts is difficult to determine just from the MDV simulations. The main reason is the weak  $V_{\text{AIR}}$   
 629 magnitude ( $2\text{--}6\text{ ms}^{-1}$ ) that is often lower than the sedimentation velocity  $V_{\text{SED}}$ , thus, resulting in an  
 630 overall negative MDV value. Due to its narrow IFOV, the 94-GHz DPCA radar is capable of  
 631 documenting most of the cloud-scale MDV features especially in the most developed clouds (Fig. 11c).  
 632 This is not the case for shallower cloud (Fig. 11c, 50 – 70 km along track). These results suggest that  
 633 the 94-GHz MDV observations will be conditionally useful for documenting the  $V_{\text{AIR}}$  and  $V_{\text{SED}}$  using  
 634 an appropriate inversion technique that will be able to separate their contributions to the observed  
 635 MDV. At 35-GHz and 13-GHz, the IFOV is 2.5 and 5 km respectively and the considerable impact of  
 636 the radar sampling volume is obvious (Fig. 11d,e). These findings are in line with those discussed in  
 637 Lamer et al. (2020) and Battaglia et al. (2021) who indicate that this cloud type requires the deployment  
 638 of spaceborne radar with sub-kilometer IFOV, short pulse and high sensitivity.

639

## 640 5 Summary

641 The estimation of the Doppler velocity from a spaceborne platform with sufficient accuracy ( $2\text{--}3\text{ ms}^{-1}$ )  
 642 and resolution in deep convection and  $0.2\text{--}0.3\text{ ms}^{-1}$  in large particle sedimentation regimes (e.g.,

643 weak dynamics regimes such as mid- and high-latitude frontal systems) from low Earth orbiting (~400  
644 km altitude) satellite platforms that move at  $7600 \text{ ms}^{-1}$  is a daunting task.

645  
646 Using an array of numerical simulation prepared within the context of the NASA Aerosols, Clouds,  
647 Convection and Precipitation Decadal Survey study and surveying past airborne Doppler radar  
648 observations we established that convective updraft mass flux peaks above 6-8 km. This finding drives  
649 a need to rely on radar frequencies that can achieve significant penetration to this depth. Using forward  
650 simulations, it was determined that both a 35-GHz radar and 13-GHz radar could achieve such  
651 penetration in deep convective cloud systems. It was further established that updrafts larger than 3 km  
652 are responsible for the bulk of the updraft mass flux through these storms, thus driving a need to deploy  
653 radars with IFOV smaller than 3 km.

654  
655 Platform motion was showed to significantly impact the mean Doppler velocity (MDV) measured by  
656 traditional single-antenna radars. Biases on the order of  $30 \text{ m s}^{-1}$  were estimated for the simulated  
657 convective clouds. This finding strongly discourages the use of traditional systems for deep convective  
658 cloud dynamical studies and favors the use of displaced phase center antenna (DPCA) systems, which  
659 are designed to compensate for non-uniform beam filling effects on MDV. A combination of analytical  
660 and observed relationships allow us to estimate that outstanding sources of MDV uncertainties would  
661 lead to retrieval errors on the order of 0.05-0.15 mm for  $D_m$  and 25% for rime fraction (for -10 dBZ  
662 echo observed by a 94-GHz DPCA).

663  
664 Comprehensive forward simulations allow us to appreciate the advantage and drawbacks of each of 6  
665 radar architectures currently under consideration by the mission that arose from the ACCP study. We  
666 find that the MDV collected by the 94-GHz, 35-GHz and 13-GHz system provide complementary  
667 information. The 13-GHz radar provides a complete view of the storms main dynamical features from  
668 the lower levels to the cloud top. On the other hand, the 35-GHz radar can provide higher spatial  
669 resolution air motion and updraft chord length information in the upper 5 km of the convective cores  
670 while the 94-GHz radar can provide additional dynamical information outside of the deep convective  
671 cores. Furthermore, differences in MDV observed between the different frequency radars results from  
672 non-Rayleigh scattering that can also be exploited. When it comes to the shallow convective cloud  
673 regime, the findings of our analysis are in line with those discussed in Lamer et al. (2020) and Battaglia  
674 et al. (2021) who indicate that this cloud type requires the deployment of spaceborne radar with sub-  
675 kilometer IFOV, short pulse and high sensitivity.

676

### 677 **Acknowledgments**

678  
679 PK's contributions were performed within the ACCP (now AOS) Decadal Survey Study Team  
680 supported by NASA (contract no. 80NSSC19K0923). AB's and BPT contributions were supported by  
681 the European Space Agency under the "Raincast" activity (contract no. 4000125959/18/NL/NA). KL's  
682 contributions were supported by the US Department of Energy (DOE) Atmospheric System Research  
683 Program under contract DE-SC0012704. SB's contributions were performed within the ACCP (now  
684 AOS) Decadal Survey Study Team supported by NASA SB's contributions were supported by the  
685 ACCP study. The numerical model simulations used in the current study are freely available online  
686 through links provided in their introductory publications given in Section 2.2 of this article.

687

### 688 **Authors contributions**

689  
 690 PK lead the analysis and writing of this article. AB and KL contributed to the writing. BT and SB  
 691 contributed to the analysis and interpretation.  
 692

## 693           **References**

- 694  
 695  
 696 Amayenc, P., Testud, J., Marzoug. M. (1993). Proposal for a spaceborne dual-beam rain radar with Doppler  
 697 capability. *Journal of Atmospheric and Oceanic Technology* **10**(3), 262-276.  
 698  
 699 Arakawa, A., and Schubert. W. H. (1974). Interaction of a cumulus cloud ensemble with the large-scale  
 700 environment, Part I. *J. Atmos. Sci.*, **31**, 674–701, doi:10.1175/1520-  
 701 0469(1974)031<0674:IOACCE>2.0.CO;2  
 702  
 703 Battaglia, A., Tanelli, S., Kollias, P. (2013). Polarization Diversity for Millimeter Spaceborne Doppler  
 704 Radars: An Answer for Observing Deep Convection? *Journal of Atmospheric and Oceanic*  
 705 *Technology*, 30(12), 2768-2787  
 706  
 707 Battaglia, A., Mroz, K., Lang, T., Tridon, F., Tanelli, S., Tian, L., et al. (2016). Using a  
 708 multiwavelength suite of microwave instruments to investigate the microphysical structure of deep  
 709 convective cores. *J. Geophys. Res. Atm.* 121, 9356–9381. doi:10.1002/2016JD025269  
 710  
 711 Battaglia, A., Augustynek, T., Tanelli, S., Kollias, P. (2011). Multiple scattering identification in  
 712 spaceborne W-band radar measurements of deep convective cores, *J. Geophys. Res.*, 116, D19201,  
 713 doi:10.1029/2011JD016142.  
 714  
 715 Battaglia, A., Tanelli, S., Mroz, K., Tridon, F. (2015). Multiple scattering in observations of the GPM  
 716 dual-frequency precipitation radar: Evidence and impact on retrievals. *J. Geophys. Res.*  
 717 *Atmos.*, 120, 4090–4101. doi: 10.1002/2014JD022866  
 718  
 719 Battaglia, A., and Kollias, P. (2015) Using Ice Clouds for Mitigating the EarthCARE Doppler Radar  
 720 Mispointing. in *IEEE Transactions on Geoscience and Remote Sensing*, vol. 53, no. 4, pp. 2079-2085,  
 721 doi: 10.1109/TGRS.2014.2353219  
 722  
 723 Battaglia, A., Kollias, P., Dhillon, R., Roy, R., Tanelli, S., Lamer, K., Grecu, M., Lebsock, M., Watters,  
 724 D., Mroz, K., Heymsfield, G., Li, L., Furukawa, K. (2020). Spaceborne Cloud and Precipitation Radars:  
 725 Status, Challenges, and Ways Forward. *Reviews of Geophysics* Vol. 58, No. 3, 1944-9208  
 726  
 727 Battaglia, A., Kollias, P., Dhillon, R., Lamer, K., Khairoutdinov, M., Watters, D. (2020). Mind the gap –  
 728 Part 2: Improving quantitative estimates of cloud and rain water path in oceanic warm rain using  
 729 spaceborne radars. *Atmos. Meas. Tech.*, 13, 4865–4883, <https://doi.org/10.5194/amt-13-4865-2020>  
 730  
 731 Beauchamp, R. M., Tanelli, S., Sy, O. O. (2021). Observations and Design Considerations for Spaceborne  
 732 Pulse Compression Weather Radar. in *IEEE Transactions on Geoscience and Remote Sensing*, vol.  
 733 59, no. 6, pp. 4535-4546, doi: 10.1109/TGRS.2020.3013164.  
 734

- 735 Brandes, E.A., Zhang, G., Vivekanandan, J. (2002). Experiments in rainfall estimation with a polarimetric  
736 radar in a subtropical environment. *J. Appl. Meteor.* 41, 674–685  
737
- 738 Burns, D., Kollias, P., Tatarevic, A., Battaglia, A., Tanelli, S. (2016). The performance of the EarthCARE  
739 Cloud Profiling Radar in marine stratiform clouds. *J. Geophys. Res. Atmos.*, 121, 14,525– 14,537,  
740 doi:10.1002/2016JD025090.  
741
- 742 Cotton, W.R., Pielke Sr, R.A., Walko, R.L., Liston, G.E., Tremback, C. J., Jiang, H., McAnelly, R.L.,  
743 Harrington, J.Y., Nicholls, M.E., Carrio, G. G. McFadden, J. P. (2003). RAMS 2001: Current status  
744 and future directions. *Meteorology and Atmospheric Physics*, 82, 5–29  
745
- 746 Durden, S. L., Siqueira, P. R., Tanelli, S. (2007). On the use of multi-antenna radars for spaceborne  
747 Doppler precipitation measurements. *IEEE GEOSCIENCE AND REMOTE SENSING LETTERS*.  
748 1064.  
749 10.1109/LGRS.2006.887136  
750
- 751 Durden S. L., et al. (2016). System Design and Subsystem Technology for a Future Spaceborne Cloud  
752 Radar. *IEEE Geosci. Remote Sensing Lett.* 13(4): 560-564  
753
- 754 Endo, S., et al. (2019). Reconciling differences between large-eddy simulations and Doppler lidar  
755 observations of continental shallow cumulus cloud-base vertical velocity. *Geophysical Research*  
756 *Letters*, 46, 11539– 11547. <https://doi.org/10.1029/2019GL084893>  
757
- 758 Gasparini, B., Rasch, P. J., Hartmann, D. L., Wall, C. J., Dütsch, M. (2021). A Lagrangian perspective on  
759 tropical anvil cloud lifecycle in present and future climate. *Journal of Geophysical Research:*  
760 *Atmospheres*, 126, e2020JD033487. <https://doi.org/10.1029/2020JD033487>  
761
- 762 Giangrande, S. E., Luke, E. P., Kollias, P. (2012). Characterization of vertical velocity and drop size  
763 distribution parameters in widespread precipitation at ARM facilities. *J. Appl. Meteor.*  
764 *Climatol.*, 51, 380–391, doi:10.1175/JAMC-D-10-05000.1  
765
- 766 Hartmann, D. L., Gasparini, B., Berry, S. E., Blossey, P. N. (2018). The life cycle and net radiative effect  
767 of tropical anvil clouds. *Journal of Advances in Modeling Earth*  
768 *Systems*, 10, 3012– 3029. <https://doi.org/10.1029/2018MS001484>  
769
- 770 Heymsfield, G. M., Tian, L., Heymsfield, A. J., Li, L., & Guimond, S. (2010). Characteristics of Deep  
771 Tropical and Subtropical Convection from Nadir-Viewing High-Altitude Airborne Doppler  
772 Radar, *Journal of the Atmospheric Sciences*, 67(2), 285-308  
773
- 774 Heymsfield, G. M., Tian, L., Li, L., McLinden, M., & Cervantes, J. I. (2013). Airborne Radar Observations  
775 of Severe Hailstorms: Implications for Future Spaceborne Radar, *Journal of Applied Meteorology and*  
776 *Climatology*, 52(8), 1851-1867  
777
- 778 Hogan, R. J., & Westbrook, C. D. (2014). Equation for the Microwave Backscatter Cross Section of  
779 Aggregate Snowflakes Using the Self-Similar Rayleigh–Gans Approximation, *Journal of the*  
780 *Atmospheric Sciences*, 71(9), 3292-3301.  
781
- 782 Houze, R. A., Jr. (1997). Stratiform Precipitation in Regions of Convection: A Meteorological  
783 Paradox?, *Bulletin of the American Meteorological Society*, 78(10), 2179-2196

784

785 Illingworth, A. J., Battaglia, A., Bradford, J., Forsythe, M., Joe, P., Kollias, P., Lean, K., Lori, M., Mahfouf,  
786 J.-F., Melo, S., Midthassel, R., Munro, Y., Nicol, J., Potthast, R., Rennie, M., Stein, T. H. M., Tanelli,  
787 S., Tridon, F., Walden, C. J., Wolde, M. (2018). WIVERN: A New Satellite Concept to Provide Global  
788 In-Cloud Winds, Precipitation, and Cloud Properties, *Bulletin of the American Meteorological*  
789 *Society*, 99(8), 1669-1687.

790

791 Jensen, M. P., and Coauthors, 2016: The Midlatitude Continental Convective Clouds Experiment  
792 (MC3E). *Bull. Amer. Meteor. Soc.*, **97**, 1667–1686, <https://doi.org/10.1175/BAMS-D-14-00228.1>.

793

794 Kalesse, H., and Kollias, P. (2013). Climatology of High Cloud Dynamics Using Profiling ARM Doppler  
795 Radar Observations. *Journal of Climate* 26, 17, 6340-6359

796

797 Khairoutdinov, M. F. and Randall, D. A. (2003). Cloud Resolving Modeling of the ARM Summer 1997  
798 IOP: Model Formulation, Results, Uncertainties, and Sensitivities, *J. Atmos. Sci.*, 60, 607-625.

799

800 Kneifel, S. and Moisseev, D. (2020). Long-Term Statistics of Riming in Nonconvective Clouds Derived  
801 from Ground-Based Doppler Cloud Radar Observations. *J. Atmos. Sci.*, 77, 3495–3508,  
802 <https://doi.org/10.1175/JAS-D-20-0007.1>

803

804 Kobayashi, S., Kumagai, H., Kuroiwa H. (2002). A proposal of pulse-pair Doppler operation on a  
805 spaceborne cloud-profiling radar in the W band. *Journal of Atmospheric and Oceanic Technology*  
806 **19**(9), 1294-1306.

807

808 Kollias, P., Albrecht, B. A., Marks, F., Jr. (2002). Why Mie?, *Bulletin of the American Meteorological*  
809 *Society*, 83(10), 1471-1484

810

811 Kollias, P., et al., 2007: Considerations for spaceborne 94 GHz radar observations of precipitation,  
812 *Geophysical Research Letters*, doi:10.1029/2007GL031536

813

814 Kollias, P., Tanelli, S., Battaglia, A., Tatarevic, A. (2014). Evaluation of EarthCARE Cloud Profiling  
815 Radar Doppler Velocity Measurements in Particle Sedimentation Regimes. *Journal of Atmospheric*  
816 *and Oceanic Technology*, 31(2), 366-386

817

818 Kollias, P., Clothiaux, E. E., Ackerman, T. P., Albrecht, B. A., Widener, K. B., Moran, K. P., Luke, E. P.,  
819 Johnson, K. L., Bharadwaj, N., Mead, J. B., Miller, M. A., Verlinde, J., Marchand, R. T., Mace, G. G.  
820 (2016). Development and Applications of ARM Millimeter-Wavelength Cloud Radars. *Meteorological*  
821 *Monographs* Vol. 57, pp 17.1, 0065-9401

822

823 Kollias, P., Battaglia, A., Tatarevic, A., Lamer, K., Tridon, F., Pfitzenmaier, L. (2018). The EarthCARE  
824 cloud profiling radar (CPR) doppler measurements in deep convection: challenges, post-processing,  
825 and science applications. *Proc. SPIE*, 2018, 107760R, <https://doi.org/10.1117/12.2324321>

826

827 Kumar, V. V., Jakob, C., Protat, A., Williams, C. R., May P. T. (2015). Mass-flux characteristics of tropical  
828 cumulus clouds from wind profiler observations at Darwin, Australia. *J. Atmos. Sci.*, **72**, 1837–  
829 1855, <https://doi.org/10.1175/JAS-D-14-0259.1>.

830

- 831 Lamer, K., Kollias, P., Battaglia, A., Preval, S. (2020). Mind the gap – Part 1: Accurately locating warm  
 832 marine boundary layer clouds and precipitation using spaceborne radars. *Atmos. Meas. Tech.*, 13,  
 833 2363–2379, <https://doi.org/10.5194/amt-13-2363-2020>.  
 834
- 835 Lamer, K., Kollias, P., Nuijens, L. (2015a). Observations of the variability of shallow trade wind cumulus  
 836 cloudiness and mass flux. *J. Geophys. Res. Atmos.*, **120**, 6161–  
 837 6178, <https://doi.org/10.1002/2014JD022950>.  
 838
- 839 Lamer, K., and Kollias, P. (2015b). Observations of fair-weather cumuli over land: Dynamical factors  
 840 controlling cloud size and cover. *Geophysical Research Letters*, **42**, 8693–  
 841 8701. <https://doi.org/10.1002/2015GL064534>  
 842
- 843 Lareau, N. P., Zhang, Y., & Klein, S. A. (2018). Observed boundary layer controls on shallow cumulus at  
 844 the ARM Southern Great Plains site. *Journal of the Atmospheric Sciences*, **75**, 2235–  
 845 2255. <https://doi.org/10.1175/JAS-D-17-0244.1>  
 846
- 847 LeMone, M. A., and Zipser E. J. (1980). Cumulusnimbus vertical velocity events in GATE. Part I:  
 848 Diameter, intensity and mass flux. *J. Atmos. Sci.*, 37, 2444–2457  
 849
- 850 Lhermitte R. (2002). *Centimeter & millimeter wavelength radars in meteorology*. ISBN 0971937206.  
 851
- 852 Mason, S. L., Chiu, C. J., Hogan, R. J., Moisseev, D., Kneifel, S. (2018). Retrievals of Riming and Snow  
 853 Density From Vertically Pointing Doppler Radars, *J. Geophys. Res. Atmos.*,  
 854 <https://doi.org/10.1029/2018jd028603>.  
 855
- 856 Matrosov, S. Y. (2017). Characteristic Raindrop Size Retrievals from Measurements of Differences in  
 857 Vertical Doppler Velocities at Ka- and W-Band Radar Frequencies. *Journal of Atmospheric and*  
 858 *Oceanic Technology*, 34(1), 65-71  
 859
- 860 Mroz, K., Battaglia, A., Nguyen, C., Heymsfield, A., Protat, A., and Wolde, M.: Triple-frequency radar  
 861 retrieval of microphysical properties of snow, *Atmos. Meas. Tech.*, 14, 7243–7254,  
 862 <https://doi.org/10.5194/amt-14-7243-2021>, 2021  
 863
- 864 National Academies of Sciences, Engineering, and Medicine. 2018. *Thriving on Our Changing Planet: A*  
 865 *Decadal Strategy for Earth Observation from Space*. Washington, DC: The National Academies  
 866 Press. <https://doi.org/10.17226/24938>  
 867
- 868 Oue, M., Kollias, P., Matrosov, S. Y., Battaglia, A., Ryzhkov, A. V. (2021). Combination Analysis of  
 869 Multi-Wavelength, Multi-Parameter Radar Measurements for Snowfall. *Atmos. Meas. Tech. Discuss.*,  
 870 2021, 1–33, <https://doi.org/10.5194/amt-2021-78>.  
 871
- 872 Pfitzenmaier, L., Battaglia, A., Kollias, P. (2019). The Impact of the Radar-Sampling Volume on  
 873 Multiwavelength Spaceborne Radar Measurements Using Airborne Radar Observations. *Remote*  
 874 *Sens.* **2019**, 11, 2263. <https://doi.org/10.3390/rs11192263>  
 875
- 876 Protat, A., and Williams. C. R. (2011). The Accuracy of Radar Estimates of Ice Terminal Fall Speed from  
 877 Vertically Pointing Doppler Radar Measurements. *Journal of Applied Meteorology and Climatology* 50, no.  
 878 10, 2120–2138

879

880 Rauber, R. M., Stevens, B., Ochs, H. T., Knight, C., Albrecht, B. A., Blyth, A. M., Fairall, C. W., Jensen,  
881 J. B., Lasher-Trapp, S. G., Mayol-Bracero, O. L., Vali, G., Anderson, J. R., Baker, B. A., Bandy, A.  
882 R., Burnet, E., Brenguier, J.-L., Brewer, W. A., Brown, P. R. A., Chuang, R., Cotton, W. R., Di  
883 Girolamo, L., Geerts, B., Gerber, H., Goeke, S., Gomes, L., Heikes, B. G., Hudson, J. G., Kollias, P.,  
884 Lawson, R. R., Krueger, S. K., Lenschow, D. H., Nuijens, L., O'Sullivan, D. W., Rilling, R. A., Rogers,  
885 D. C., Siebesma, A. P., Snodgrass, E., Stith, J. L., Thornton, D. C., Tucker, S., Twohy, C. H., Zuidema,  
886 P. (2007). Rain in Shallow Cumulus Over the Ocean: The RICO Campaign, *B. Am. Meteorol. Soc.*,  
887 88, 1912–1928, <https://doi.org/10.1175/BAMS-88-121912>.

888

889 Saleeby, S.M. and van den Heever, S.C. (2013). Developments in the CSU-RAMS aerosol model:  
890 emissions, nucleation, regeneration, deposition, and radiation. *Journal of Applied Meteorology and*  
891 *Climatology*, 52, 2601–2622

892

893 Sanderson, B. M., Piani, C., Ingram, W. J., Stone, D. A., Allen M. R. (2008). Towards constraining climate  
894 sensitivity by linear analysis of feedback patterns in thousands of perturbed physics GCM  
895 simulations, *Clim. Dyn.*, **30**, 175–190, doi:10.1007/s00382-007-0280-7.

896

897 Satoh, M., Noda, A.T., Seiki, T. et al. (2018). Toward reduction of the uncertainties in climate sensitivity  
898 due to cloud processes using a global non-hydrostatic atmospheric model. *Prog Earth Planet Sci* **5**, 67.  
899 <https://doi.org/10.1186/s40645-018-0226-1>

900

901 Savazzi, A. C. M., Jakob, C., Siebesma, A. P. (2021). Convective mass-flux from long term radar  
902 reflectivities over Darwin, Australia. *Journal of Geophysical Research: Atmospheres*, 126,  
903 e2021JD034910. <https://doi.org/10.1029/2021JD034910>

904

905 Schutgens N., (2008). Simulated Doppler radar observations of inhomogeneous clouds: Application to the  
906 EarthCARE space mission. *Journal of atmospheric and oceanic technology* **25**(1), 26-42.

907

908 Silva Dias, M.A.F., Rutledge, S., Kabat, P., Silva Dias, P.L., Nobre, C., Fisch, G., Dolman, A.J., Zipser,  
909 E., Garstang, M., Manzi, A.O., Fuentes, J.D., Rocha, H.R., Marengo, J., Plana-Fattori, A., Sá, L.D.A.,  
910 Alvalá, R.C.S., Andreae, M.O., Artaxo, P., Gielow, R., Gatti, L. (2002). Cloud and rain processes in a  
911 biosphere–atmosphere interaction context in the Amazon region. *Journal of Geophysical Research*,  
912 107(D20), 8072. <https://doi.org/10.1029/2001JD000335>

913

914 Skamarock, W. C., and Klemp J. B. (2008). A time-split nonhydrostatic atmospheric model for weather  
915 research and forecasting applications. *J. Comput. Phys.*, **227**, 3465–  
916 3485, <https://doi.org/10.1016/j.jcp.2007.01.037>.

917

918 Skamarock, W. C., and Coauthors (2008). A description of the Advanced Research WRF version 3. NCAR  
919 Tech. Note NCAR/TN-475+STR, 113 pp., <https://doi.org/10.5065/D68S4MVH>

920

921 Stephens, G.L., et al. (2020). A distributed small satellite approach for measuring convective transport in  
922 the Earth's atmosphere. *IEEE TGRS*. 10.1109/TGRS.2019.2918090

923

924 Storer, R.L., Posselt, D.J. (2019). Environmental impacts on the flux of mass through deep convection. *Q J*  
925 *R Meteorol Soc.*, 145: 3832– 3845. <https://doi.org/10.1002/qj.3669>

926

- 927Sy, O. O; Tanelli, S., Durden, S. L., Peral, E., Sacco, G.-F., Chahat, N. E., Hristova-Veleva, S. Heymsfield,  
 928 A. J., Bansemer, A., Knosp, B., Dobrowalski, G., Li, P. P. (2022). Scientific Products From the First  
 929 Radar in a CubeSat (RainCube): Deconvolution, Cross-Validation, and Retrievals. in IEEE  
 930 Transactions on Geoscience and Remote Sensing, vol. 60, pp. 1-20, doi: 10.1109/TGRS.2021.3073990  
 931.
- 932Sy, O. O., Tanelli, S., Takahashi, N., Ohno, Y., Horie H., and Kollias, P. (2014). Simulation of EarthCARE  
 933 Spaceborne Doppler Radar Products Using Ground-Based and Airborne Data: Effects of Aliasing and  
 934 Nonuniform Beam-Filling, in IEEE Transactions on Geoscience and Remote Sensing, vol. 52, no. 2,  
 935 pp. 1463-1479, doi: 10.1109/TGRS.2013.2251639  
 936
- 937Tanelli, S., Durden S. L., Johnson. M. P. (2016). Airborne Demonstration of DPCA for Velocity  
 938 Measurements of Distributed Targets. IEEE Geoscience and Remote Sensing Letters 13: 1415-1419  
 939
- 940Tanelli, S., et al. (2002). The effects of nonuniform beam filling on vertical rainfall velocity measurements  
 941 with a spaceborne Doppler radar. Journal of Atmospheric and Oceanic Technology **19**(7), 1019-1034.
- 942Testud, J., Oury S., Black R. A., Amayenc P., Dou X. (2001). The concept of “normalized” distribution  
 943 to describe raindrop spectra: A tool for cloud physics and cloud remote sensing. J. Appl.  
 944 Meteor., **40** , 1118–1140
- 945Tiedtke, M., (1989). A comprehensive mass flux scheme for cumulus parameterization in large-scale  
 946 models. Mon. Wea. Rev., **117**, 1779–1800, doi:10.1175/1520-  
 947 0493(1989)117<1779:ACMFSF>2.0.CO;2
- 948
- 949Ulbrich, C. W., (1983). Natural variations in the analytical form of the raindrop size distribution. J. Climate  
 950 Appl. Meteor., 22, 1764–1775  
 951
- 952vanZanten, M. C., Stevens, B., Nuijens, L., Siebesma, A. P., Ackerman, A. S., Burnet, F., Cheng, A.,  
 953 Couvreur, F., Jiang, H., Khairoutdinov, M., Kogan, Y., Lewellen, D. C., Mechem, D., Nakamura, K.,  
 954 Noda, A., Shipway, B. J., Slawinska, J., Wang, S., and Wyszogrodzki, A. (2011). Controls on  
 955 precipitation and cloudiness in simulations of trade-wind cumulus as observed during RICO, J. Adv.  
 956 Model. Earth Sy., 3, M06001, <https://doi.org/10.1029/2011MS000056>.  
 957
- 958Varble, A., Morrison, H., Zipser, E. (2020). Effects of Under-Resolved Convective Dynamics on the  
 959 Evolution of a Squall Line, Monthly Weather Review, 148(1), 289-311  
 960
- 961Waliser, D. E., Moncrieff, M. W., Burridge, D., Fink, A. H., Gochis, D., Goswami, B., Guan, B., Harr, P.,  
 962 Heming J., and Hsu H.-H. (2012). The “year” of tropical convection (May 2008–April 2010): Climate  
 963 variability and weather highlights. Bulletin of the American Meteorological Society, vol. 93, pp. 1189-  
 964 1218.  
 965
- 966Wang, D., Giangrande, S. E., Feng, Z., Hardin, J. C., Prein, A. F. (2020). Updraft and downdraft core size  
 967 and intensity as revealed by radar wind profilers: MCS observations and idealized model  
 968 comparisons. J. Geophys. Res.: Atmos., **125**,  
 969 e2019JD031774. <https://doi.org/10.1029/2019JD031774>  
 970

- 971 Waterman, P.C. (1965). Matrix formulation of electromagnetic scattering. Proceedings of the IEEE.  
 972 Institute of Electrical and Electronics Engineers (IEEE). **53** (8): 805–  
 973 812. doi:10.1109/proc.1965.4058.  
 974
- 975 Williams, C. R. (2012). Vertical Air Motion Retrieved from Dual-Frequency Profiler Observations. J.  
 976 Atmos. Ocean. Tech., **29**, 1471–1480, <https://doi.org/10.1175/JTECH-D-11-00176.1>, 2012  
 977
- 978 Williams, C. R., and Coauthors (2014). Describing the shape of raindrop size distributions using  
 979 uncorrelated raindrop mass spectrum parameters. J. Appl. Meteor. Climatol., **53**, 1282–1296,  
 980 doi:10.1175/JAMC-D-13-076.1  
 981
- 982 Willis, P. T., (1984). Functional fits to some observed dropsize distributions and parameterization of  
 983 rain. J. Atmos. Sci., **41**, 1648–1661
- 984 Xu, K. M., and Randall, D. A. (2001). Explicit simulation of cumulus ensembles with the GATE phase III  
 985 data: Budgets of a composite easterly wave. Quarterly Journal of the Royal Meteorological Society  
 986 **127**(575), 1571-1591.  
 987
- 988 Yuter, S.E., Houze, R.A., Jr., Smith, E.A., Wilhelm, T.T., Zipser, E. (2005). Physical characterization of  
 989 tropical oceanic convection observed in KWAJEX. Journal of Applied Meteorology, 44,385–415  
 990
- 991 Zelinka MD, Randall D. A., Webb M. J., Klein S. A. (2017). Clearing clouds of uncertainty. Nat Clim  
 992 Chang 7:674–678. <https://doi.org/10.1038/nclimate3402>  
 993
- 994 Zhu, Z., Kollias, P., Yang, F., & Luke, E. (2021). On the estimation of in-cloud vertical air motion using  
 995 radar Doppler spectra. Geophysical Research Letters, 48,  
 996 e2020GL090682. <https://doi.org/10.1029/2020GL090682>  
 997
- 998 Zipser, E. J., and C. Gautier, (1978). Mesoscale events within a GATE tropical depression. Mon. Wea.  
 999 Rev., 106, 789–805  
 1000  
 1001  
 1002  
 1003  
 1004  
 1005  
 1006  
 1007  
 1008  
 1009  
 1010  
 1011  
 1012  
 1013  
 1014  
 1015  
 1016

1017

1018 **Tables**

1019

1020 **Table 1.** Technical specifications for the 6 spaceborne radar architectures under consideration

1021

Radar Parameters	Radar 1	Radar 2 (EarthCARE)	Radar 3	Radar 4	Radar 5	Radar 6
Frequency (GHz)	94	94	35	13	13	13
Antenna Size (m)	2.0	2.5	2.0	2.0	4.0	6.0
Beamwidth (°)	0.106	0.095	0.28	0.725	0.35	0.25
Instantaneous field of view (IFOV; km)	1.0	0.75	2.2	5.06	2.44	1.74
Doppler technique	DPCA	-	DPCA	DPCA	-	-
Single shot sensitivity (dBZ)	-15	-22	+5	+20	+10	+5
Pulse repetition frequency (PRF; Hz)	7500	7500	7500	7500	7500	7500
Along track integration (m)	500	500	1000	2500	2500	2500
Satellite orbit height (km)	400	400	400	400	400	400
Pulse length (m)	150	500	150	150	250	250
Doppler Duty cycle (%)	1	1	1	1	0.1	1

1022

1023

1024

1025

1026

1027

1028

1029

1030

1031

1032

1033

1034

1035

1036

1037

1038

1039

1040

1041

1042

1043

1044

1045

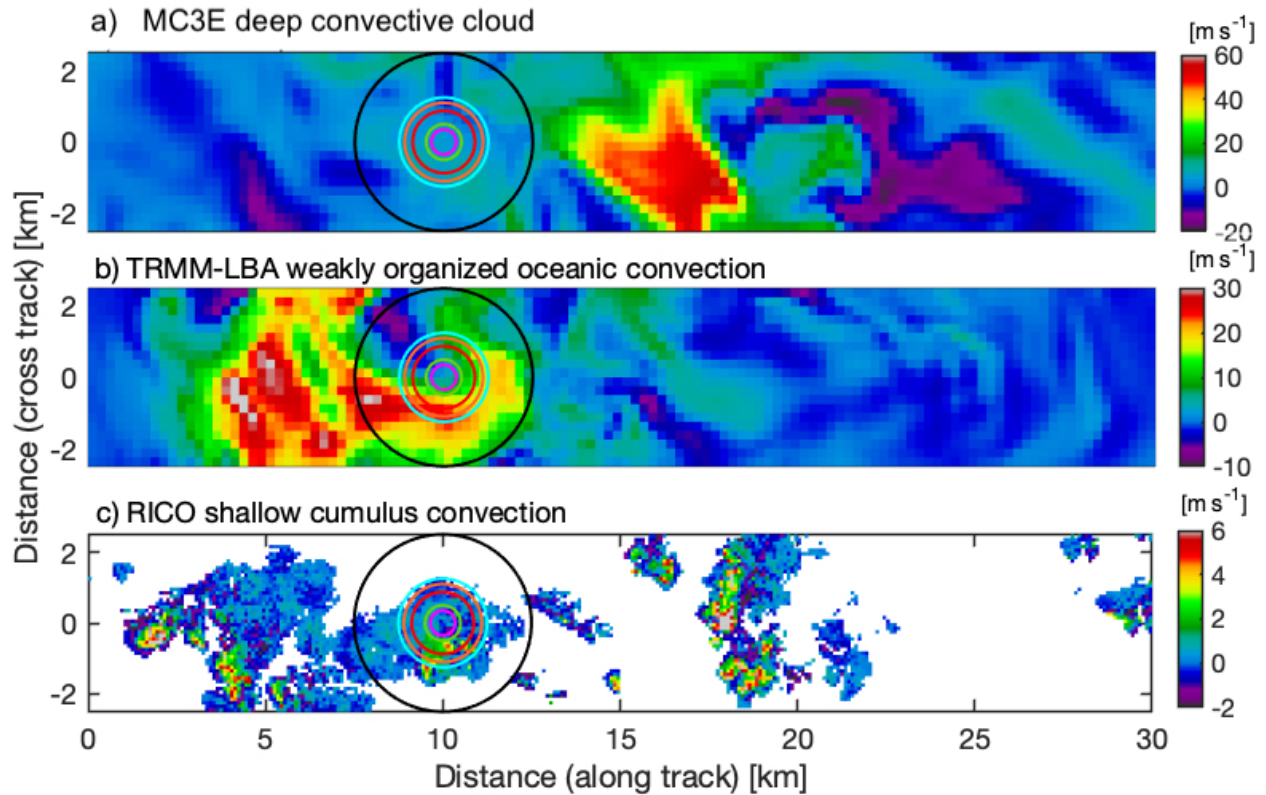
1046

1047

1048

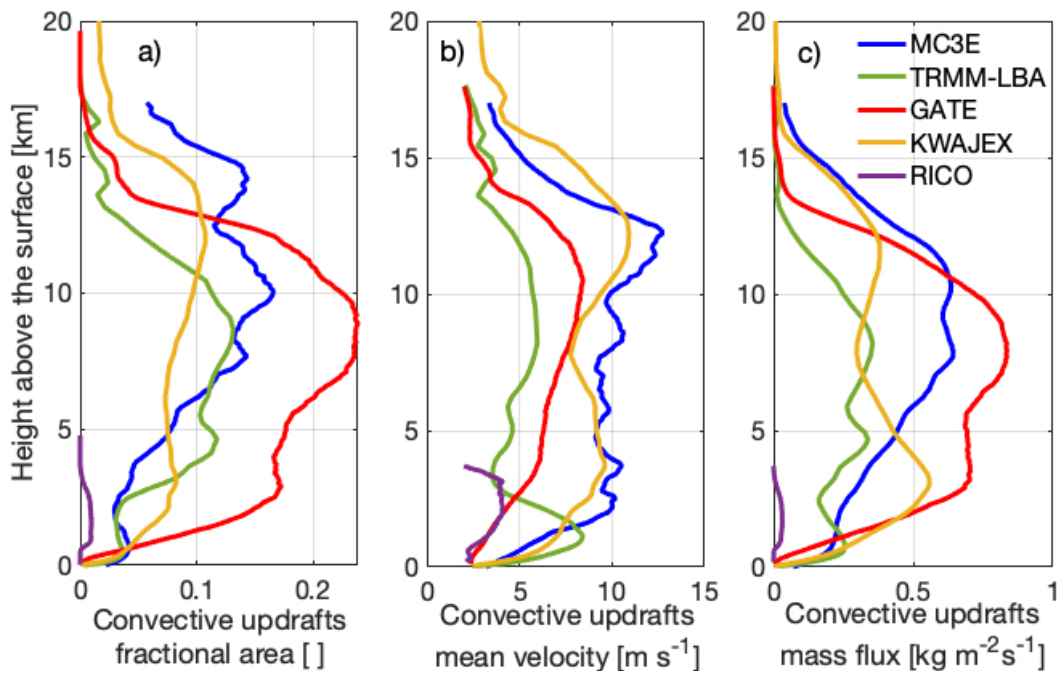
1049

1050

1051 **Figures**1052  
1053  
1054  
10551056  
1057  
1058  
1059  
1060  
1061  
1062  
1063  
1064  
1065  
1066  
1067  
1068  
1069  
1070  
1071  
1072  
1073  
1074  
1075  
1076

**Figure 1** Horizontal cross-section showing vertical air motion ( $V_{\text{AIR}}$  in  $\text{m s}^{-1}$ ; positive indicates upward motion) from three different model simulations: a) MC3E at 12 km height, b) TRMM-LBA at 10 km height and c) RICO at 1 km height. Overlaid circles represent the instantaneous field of view (IFOV) of the 6 radars under consideration (color coded as in Table 1).

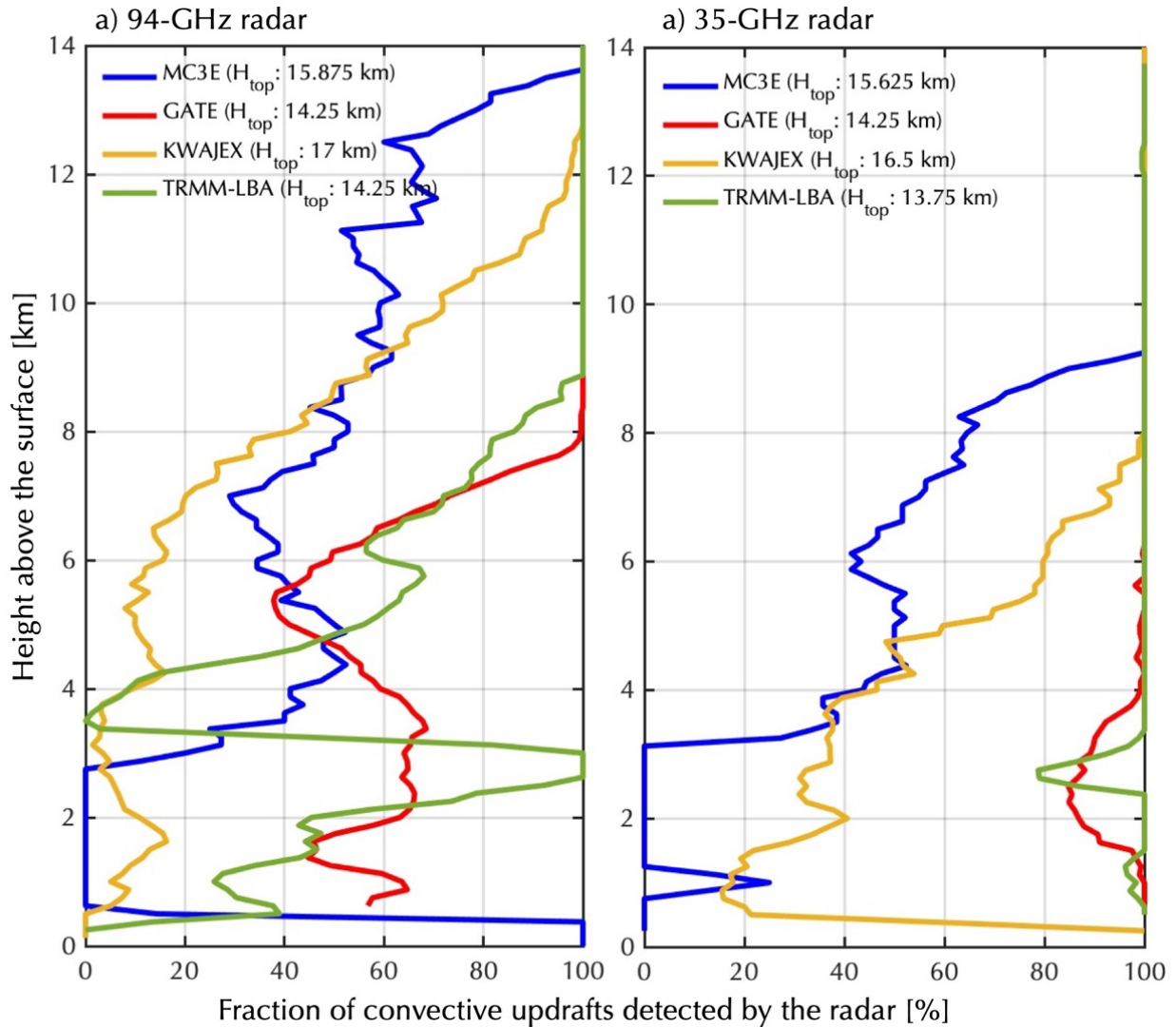
1077  
1078  
1079  
1080



1081  
1082  
1083  
1084  
1085  
1086  
1087  
1088  
1089  
1090  
1091  
1092  
1093  
1094  
1095  
1096  
1097  
1098  
1099  
1100  
1101  
1102  
1103  
1104  
1105  
1106  
1107

**Figure 2** Profiles of storm-averaged convective updraft a) fractional area, b) mean velocity and c) mass flux estimated directly from the numerical model simulations. Results are showed for the different cloud types under study (MC3E: blue, GATE: red, KWAJEX: yellow, TRMM-LBA: green, RICO: purple).

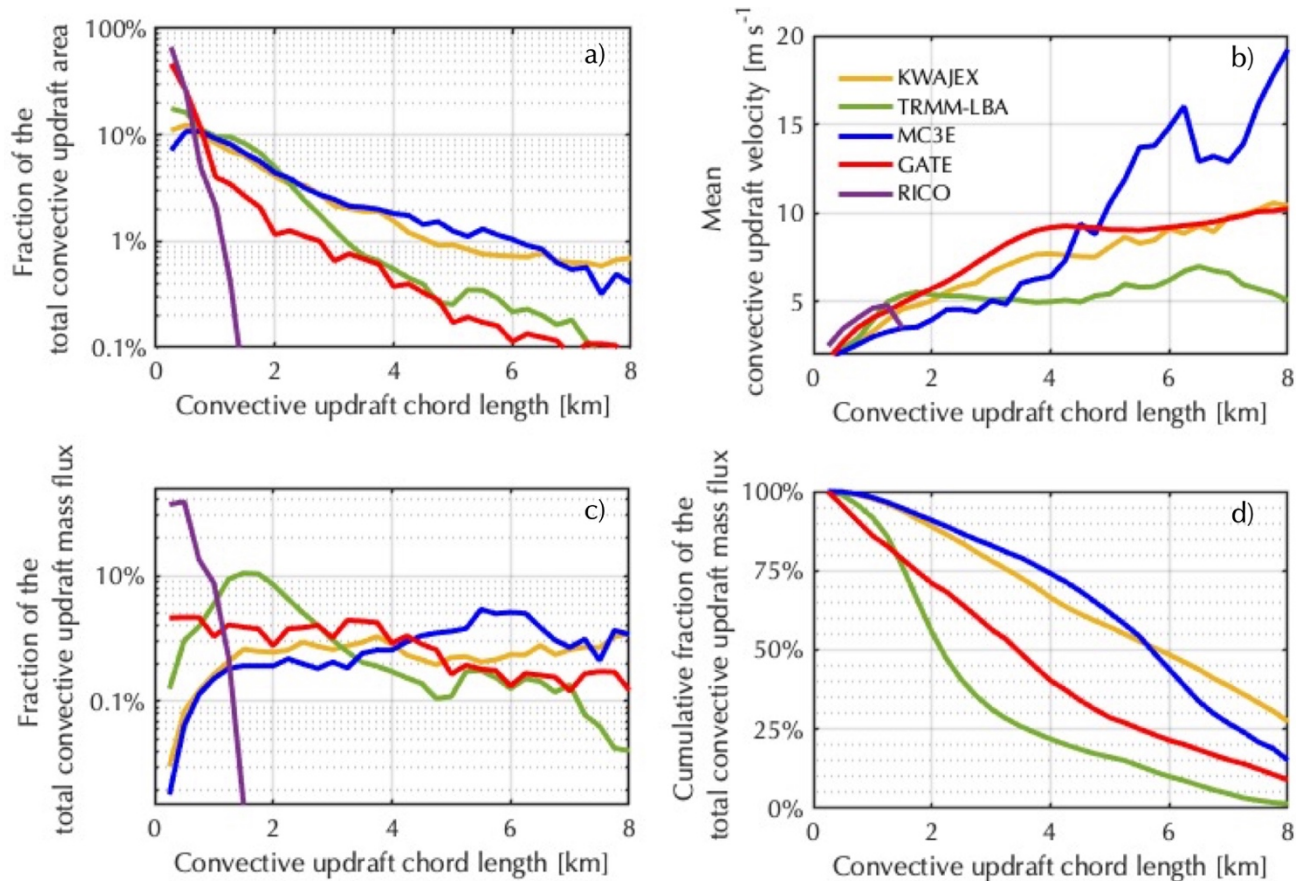
1108



1109  
 1110  
 1111  
 1112  
 1113  
 1114  
 1115  
 1116  
 1117  
 1118  
 1119  
 1120  
 1121  
 1122  
 1123  
 1124  
 1125  
 1126  
 1127

**Figure 3** Fraction of convective updraft ( $V_{AIR} > 2 \text{ ms}^{-1}$ ) detected by the a) 94-GHz and b) a 35-GHz radars as a function of height. An estimate of cloud height ( $H_{top}$ ) for the various cloud types simulated is given in the legend. Results are showed for the different cloud types under study (colors as in Fig 1).

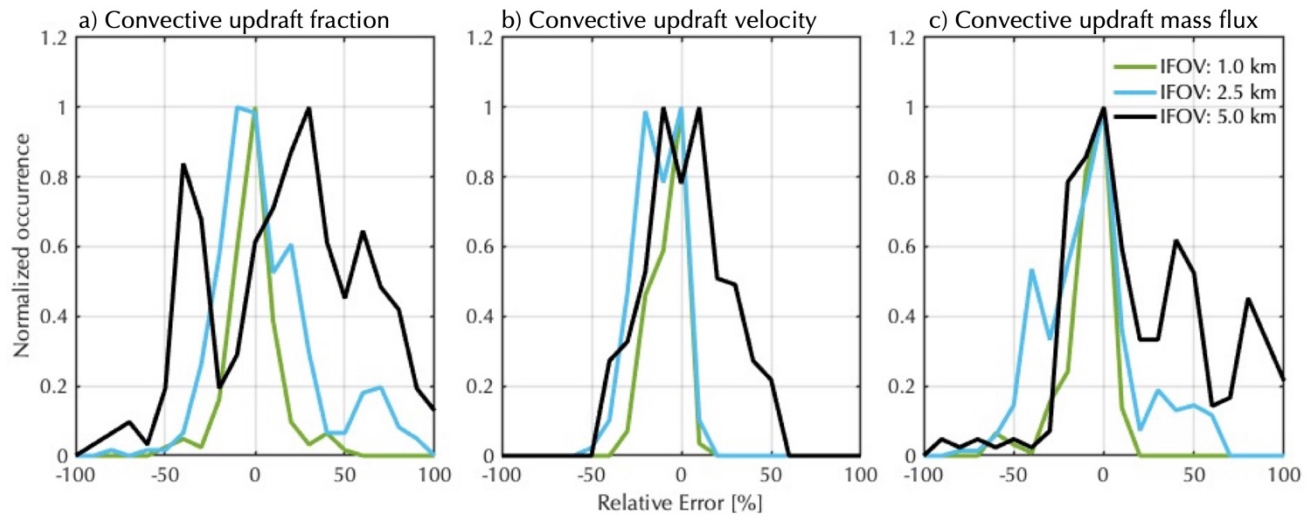
1128  
1129  
1130  
1131



1132  
1133  
1134  
1135  
1136  
1137  
1138  
1139  
1140  
1141  
1142  
1143  
1144  
1145  
1146  
1147  
1148  
1149  
1150  
1151  
1152  
1153

**Figure 4** As a function of convective updraft chord length a) fraction area, b) mean updraft velocity, c) contribution to the total convective updraft mass flux and d) cumulative fraction of the total convective updraft mass flux starting from the largest updrafts. Results are shown for the different cloud types under study (colors as in Fig 1). Note that the purple line in “d” is not visible because it runs along the y-axis.

1154  
1155  
1156  
1157  
1158

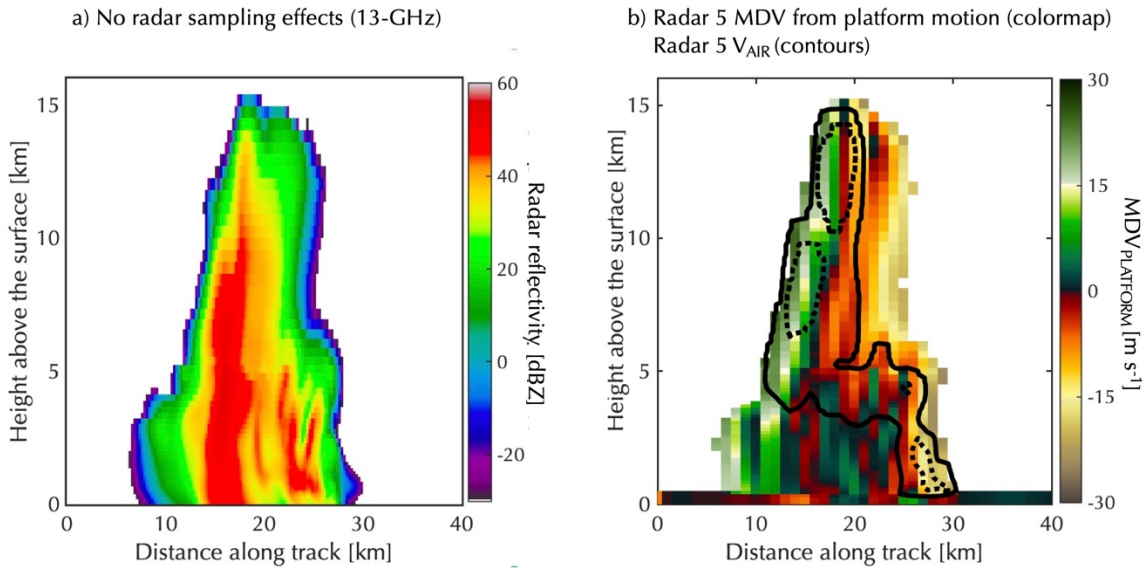


1159  
1160

1161 **Figure 5** Normalized distribution of the relative error  $\left(\frac{model-radar}{model} \cdot 100\%\right)$  in the a) convective  
1162 updraft fraction, b) mean convective updraft velocity and c) convective updraft mass flux. Results are  
1163 shown for three different instantaneous radar field of views close to that of radar 1 (1.0 km; green),  
1164 radar 5 (2.5 km; cyan), radar 4 (5.0 km; black).  
1165

1166  
1167  
1168  
1169  
1170  
1171  
1172  
1173  
1174  
1175  
1176  
1177  
1178  
1179  
1180  
1181  
1182  
1183  
1184  
1185  
1186  
1187  
1188

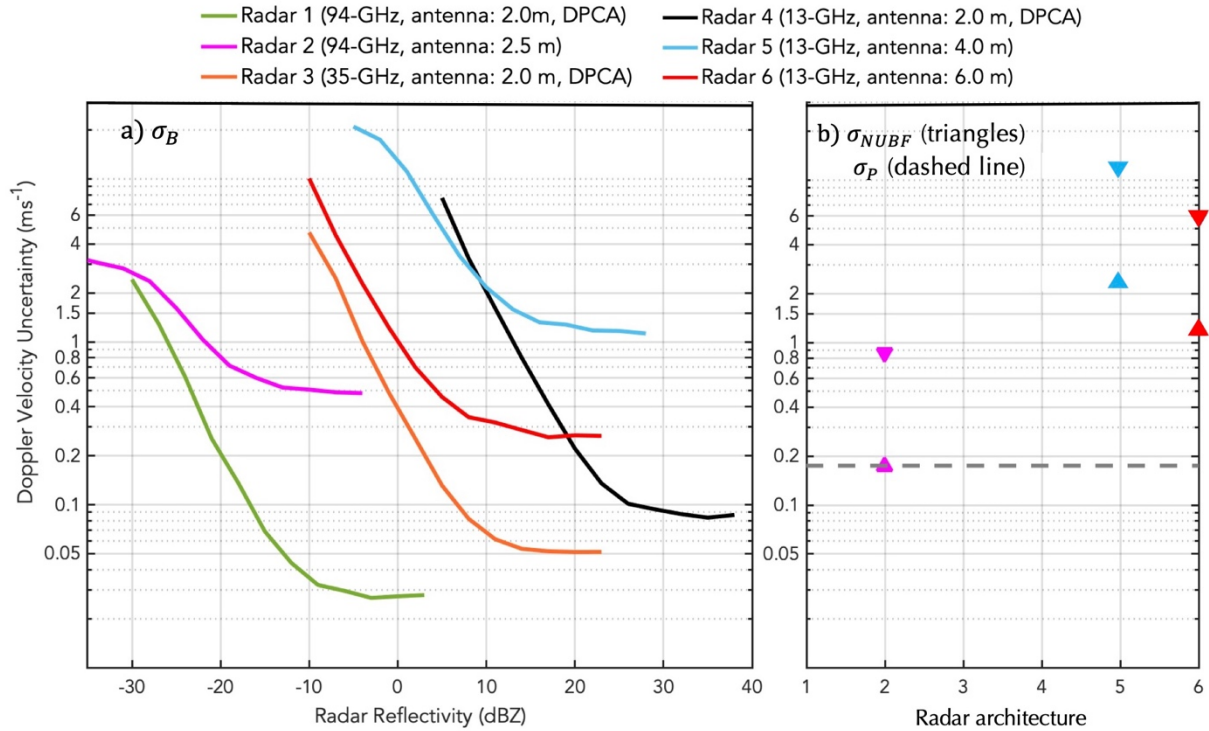
1189  
 1190  
 1191  
 1192  
 1193



1194  
 1195  
 1196  
 1197  
 1198  
 1199  
 1200  
 1201  
 1202  
 1203  
 1204  
 1205  
 1206  
 1207  
 1208  
 1209  
 1210  
 1211  
 1212  
 1213  
 1214  
 1215  
 1216  
 1217  
 1218  
 1219  
 1220  
 1221  
 1222

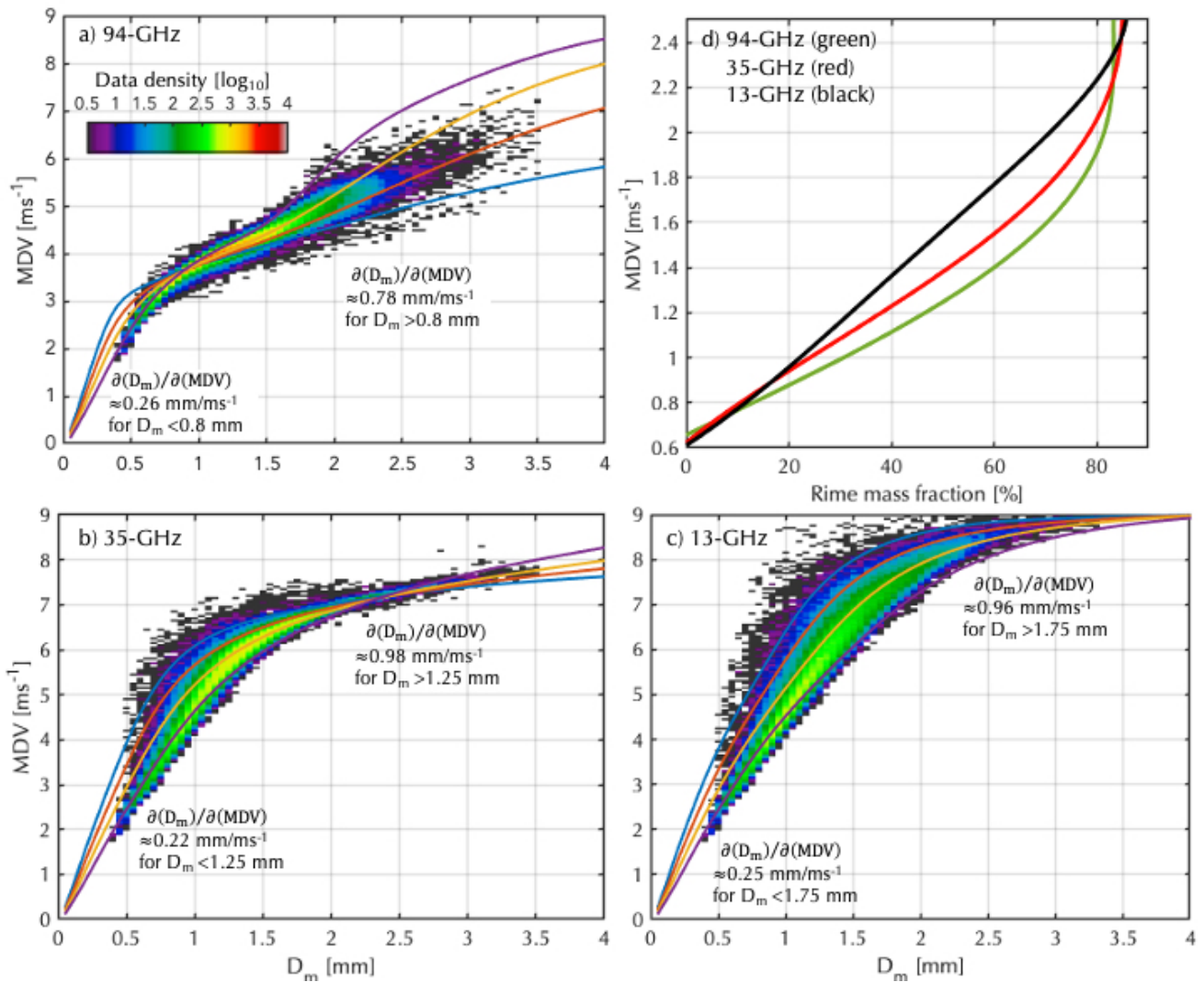
**Figure 6** Forward simulation of TRMM-LBA weakly organized convection: a) radar reflectivity at 13-GHz without radar sampling effects and b) apparent MDV induced strictly from platform motion and NUBF conditions for radar 5 (i.e., air motion and particle sedimentation set to 0 m s<sup>-1</sup>). Overlaid on “b” are  $V_{AIR}$  contours drawn from forward-simulations with no platform motion effects (2 m s<sup>-1</sup>: dotted and 8 m s<sup>-1</sup>: solid).

1223  
1224  
1225  
1226  
1227



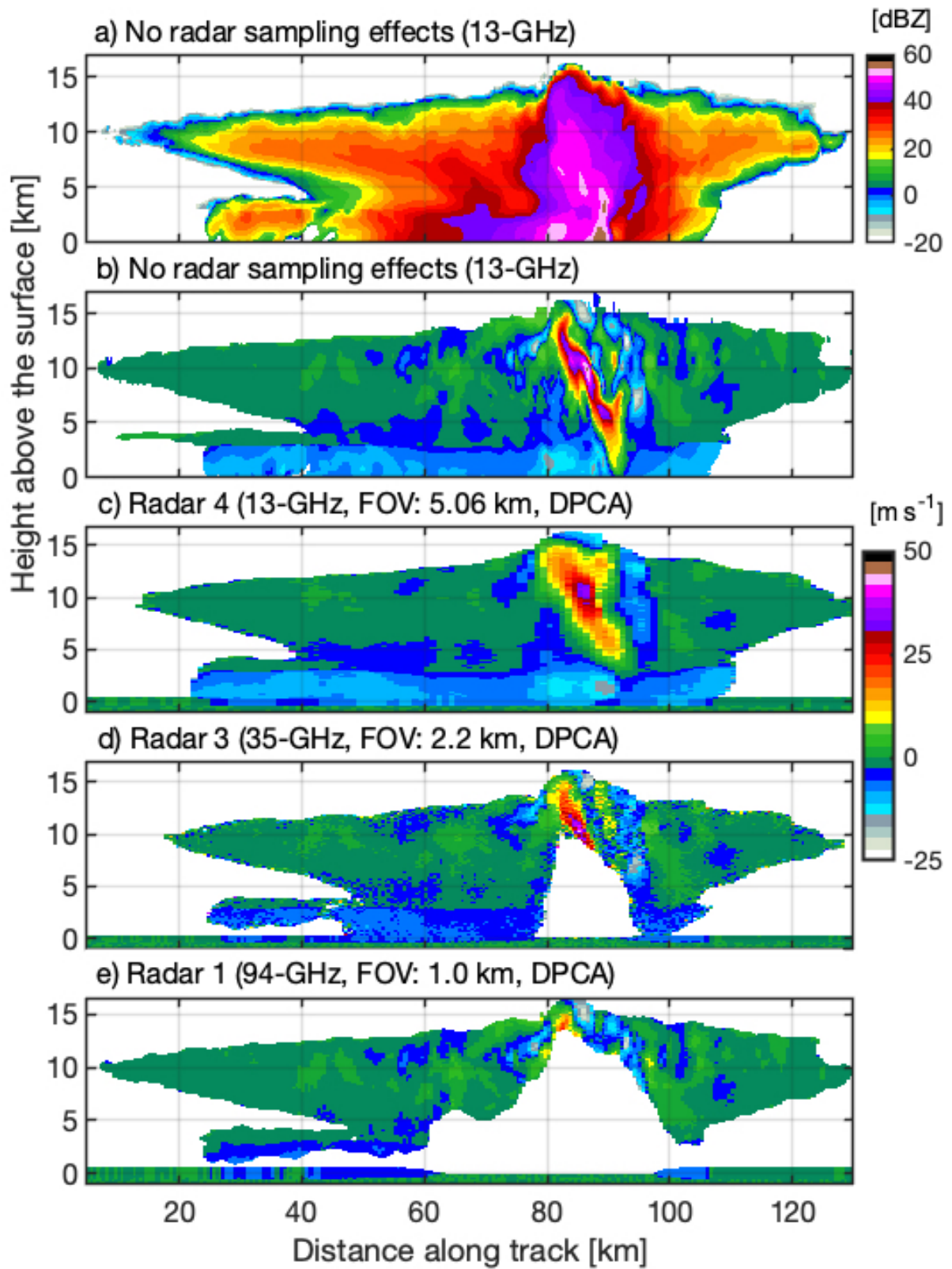
1228  
1229  
1230  
1231  
1232  
1233  
1234  
1235  
1236  
1237  
1238  
1239  
1240  
1241  
1242  
1243  
1244  
1245  
1246  
1247  
1248  
1249

**Figure 7** Doppler velocity uncertainty from a) spectral broadening ( $\sigma_B$ ) and b) non-uniform beam filling ( $\sigma_{NUBF}$ ; triangles) and mis-pointing ( $\sigma_P$ ; dashed line). Results are shown for the 6 radars under consideration (color coded as in Table 1).



1250  
1251  
1252  
1253  
1254  
1255  
1256  
1257  
1258  
1259  
1260  
1261  
1262  
1263  
1264  
1265  
1266  
1267  
1268  
1269

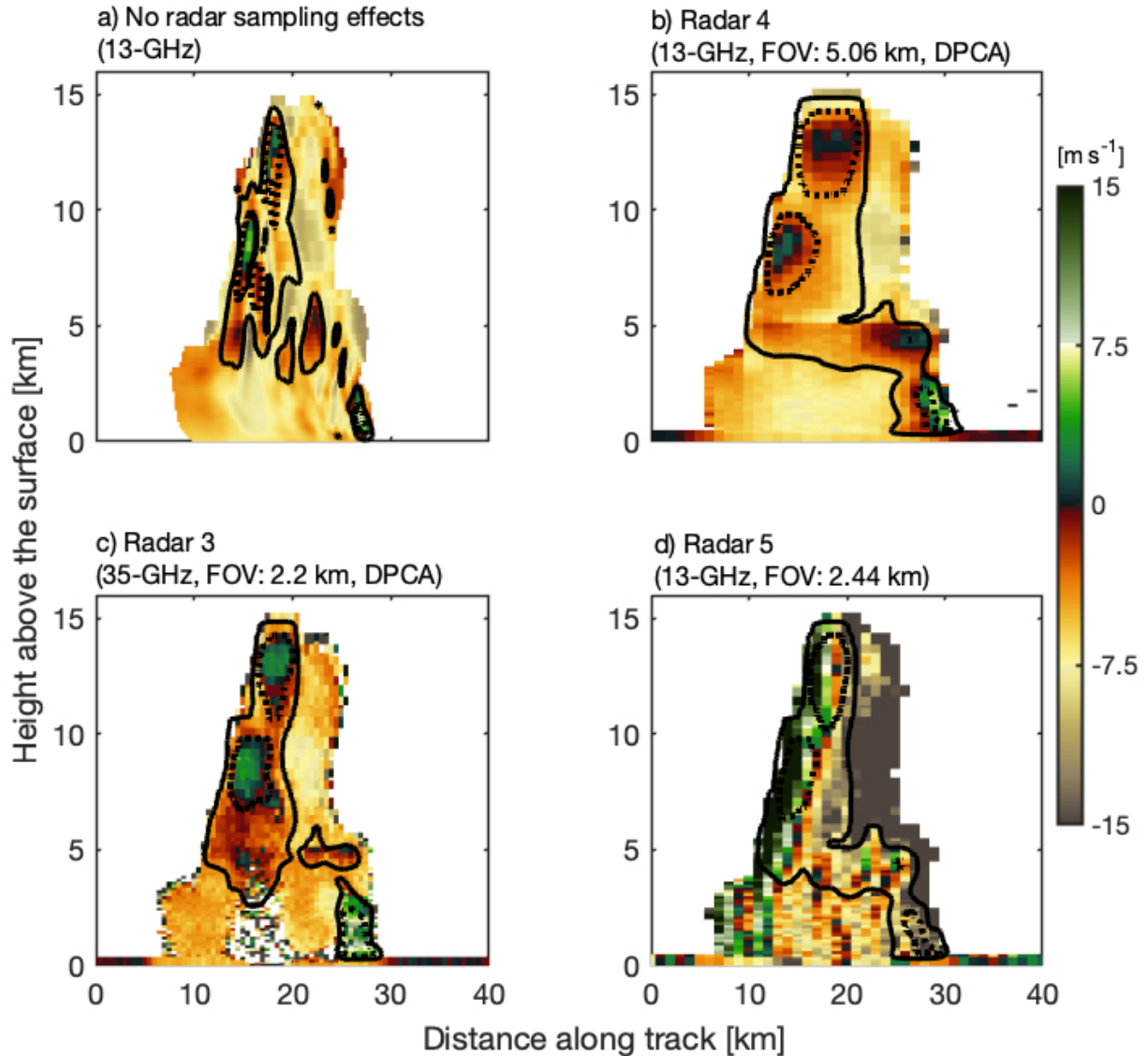
**Figure 8** a) For a 94-GHz radar, relationship between raindrop particle size distribution (PSD's) mean volume-weighted diameter (D<sub>m</sub>) and mean Doppler velocity (MDV) from ground-based measurements (colormap) and from different MDV-D<sub>m</sub> relationships derived for a gamma distribution for different shape parameter (μ) values (colored lines). b) Same as “a” for a 35-GHz radar. c) Same as “a” for a 13-GHz radar. d) relationship between rime mass fraction and mean Doppler velocity (MDV) from literature based on studies performed with a 94-GHz (green), 35-GHz (red) and 13-GHz radar (black).



1270  
1271  
1272  
1273  
1274  
1275

**Figure 9** Forward simulation of MC3E deep convection a) radar reflectivity at 13-GHz without radar sampling effects b) mean Doppler velocity at 13-GHz without radar sampling effects (i.e., truth) as well as mean Doppler velocity for c) radar 4, d) radar 3 and e) radar 1.

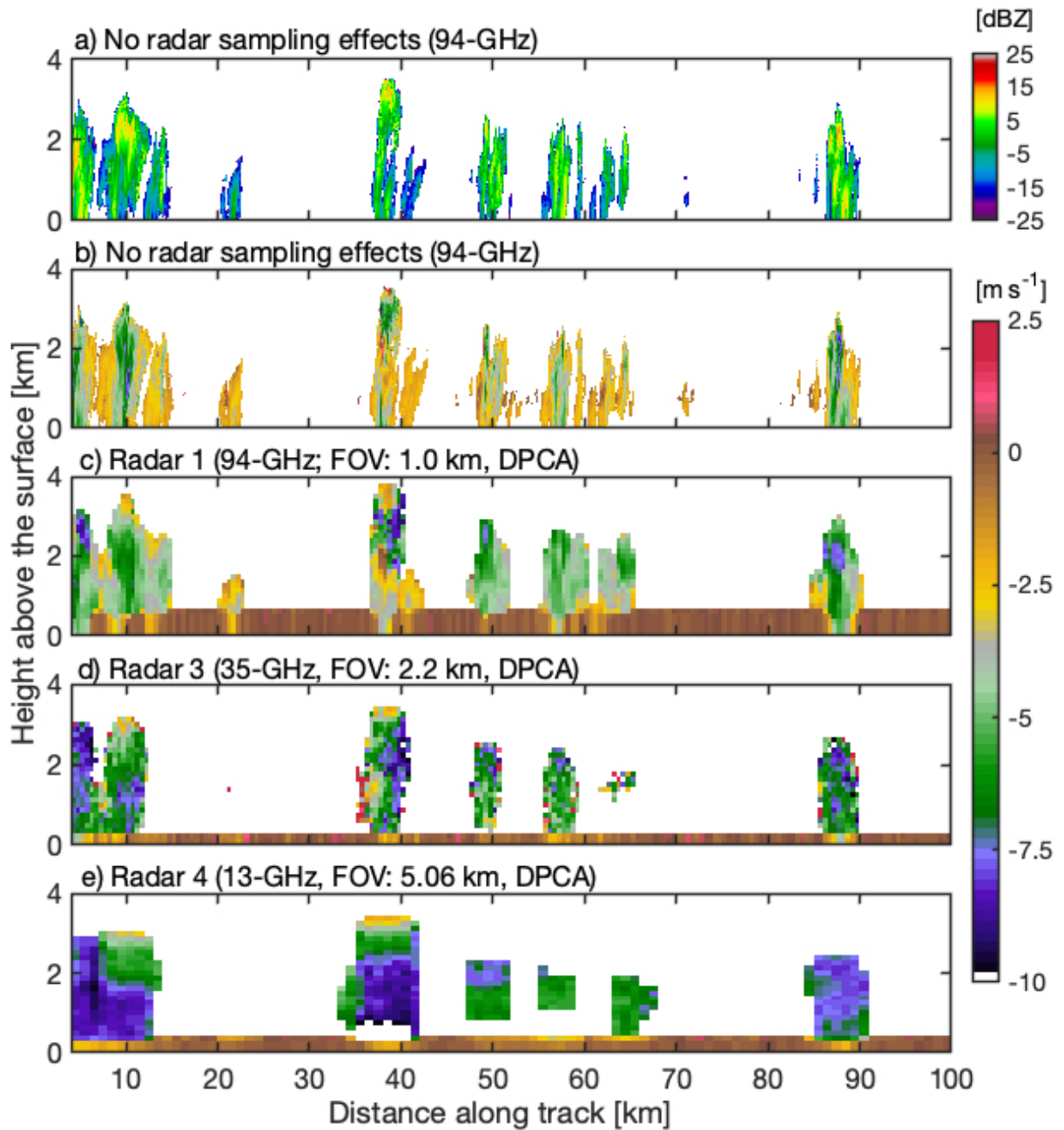
1276  
1277  
1278  
1279  
1280  
1281



1282  
1283  
1284  
1285  
1286  
1287  
1288  
1289  
1290  
1291  
1292  
1293

**Figure 10** Forward simulation of TRMM-LBA weakly organized convection a) mean Doppler velocity at 13-GHz without radar sampling effects (i.e., truth) as well as mean Doppler velocity for b) radar 4, c) radar 3 and d) radar 5. Overlaid on each plot are air motion contours drawn from forward-simulations with no platform motion effects ( $2 \text{ m s}^{-1}$ : dotted and  $8 \text{ m s}^{-1}$ : solid). Radar reflectivity was presented in Fig. 6.

1294  
1295



1296  
1297  
1298  
1299  
1300  
1301

**Figure 11** Forward simulation of RICO shallow oceanic convection a) radar reflectivity at 94-GHz without radar sampling effects b) mean Doppler velocity at 94-GHz without radar sampling effects (i.e., truth) as well as mean Doppler velocity for c) radar 1, d) radar 3 and e) radar 4.