

## Spectral Power-law Formation by Sequential Particle Acceleration in Multiple Flare Magnetic Islands

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### 12 ABSTRACT

13 We present a first-principles model of pitch-angle and energy distribution function evolution as  
14 particles are sequentially accelerated by multiple flare magnetic islands. Data from magnetohydrody-  
15 namic (MHD) simulations of an eruptive flare/coronal mass ejection provide ambient conditions for  
16 the evolving particle distributions. Magnetic islands, which are created by sporadic reconnection at  
17 the self-consistently formed flare current sheet, contract and accelerate the particles. The particle  
18 distributions are evolved using rules derived in our previous work. In this investigation, we assume  
19 that a prescribed fraction of particles sequentially “hops” to another accelerator and receives an addi-  
20 tional boost in energy and anisotropy. This sequential process generates particle number spectra that  
21 obey an approximate power-law at mid-range energies and presents low and high-energy breaks. We  
22 analyze these spectral regions as functions of the model parameters. We also present a fully analytic  
23 method for forming and interpreting such spectra, *independent of the sequential acceleration model*.  
24 The method requires only a few constrained physical parameters, such as the percentage of particles  
25 transferred between accelerators, the energy gain in each accelerator, and the number of accelerators  
26 visited. Our investigation seeks to bridge the gap between MHD and kinetic regimes by combining  
27 global simulations and analytic kinetic theory. The model reproduces and explains key characteristics  
28 of observed flare hard X-ray spectra, as well as the underlying properties of the accelerated particles.  
29 Our analytic model provides tools to interpret high-energy observations for missions and telescopes,  
30 such as RHESSI, FOXSI, NuSTAR, Solar Orbiter, EOVS, and future high-energy missions.

31 *Keywords:* magnetic reconnection — acceleration of particles — Sun: flares — Sun: coronal mass  
32 ejections (CMEs)

### 33 1. INTRODUCTION

34 Sudden large-scale reconfigurations of the solar coro-  
35 nal magnetic field manifest as the most powerful explo-  
36 sions in the solar system: eruptive solar flares (EFs) and  
37 coronal mass ejections (CMEs). Flare emissions are ob-  
38 served across the electromagnetic spectrum, from  $\gamma$  rays

39 to radio waves. Understanding the mechanism that ef-  
40 ficiently accelerates prodigious numbers of electrons to  
41 the high energies required to produce the observed flare  
42  $\gamma$ -ray, hard X-ray (HXR), and microwave emissions is  
43 a long-sought goal in heliophysics. Observations point  
44 indirectly to magnetic reconnection as the fundamental  
45 process involved in flare particle acceleration (see review  
46 by Zharkova et al. 2011), but the mechanism that trans-  
47 fers the released magnetic energy to ambient electrons  
48 and ions remains under debate.

In the standard flare model (Carmichael 1964; Sturrock 1966; Hirayama 1974; Kopp & Pneuman 1976), oppositely directed field lines reconnect across a large-scale current sheet. Particles could be accelerated directly by the current-sheet electric field, in the flows driven by the retracting field lines, by shocks, or by the merger or contraction of islands formed by reconnection in the current sheet. The work presented here is focused on the last mechanism.

Flare X-rays are emitted predominantly by high-energy electrons scattering off background ions (bremsstrahlung). The source electrons are generally agreed to be energized in the corona, but most of the observed HXR radiation emanates from flare arcade footpoints where the accelerated particles encounter the dense chromosphere and photosphere. This is the so-called thick-target model for X-ray production (Brown 1971). When this dominant source is occulted, however, HXR emission is also observed above the top of the soft X-ray loops (e.g., Masuda et al. 1994; Krucker et al. 2010), both below and above the presumed reconnection site (e.g., Battaglia et al. 2019).

Typically, the flare X-ray energy spectrum can be divided into two components: 1) at low energies, a thermal component emitted by bulk flare-heated plasma; and 2) at higher energies, a non-thermal power-law component (or double power law, Alaoui et al. (2019)),  $\epsilon^{-\gamma}$ , where  $\epsilon$  is the photon energy and  $\gamma$  is the photon spectral index. The index usually falls in the range  $\gamma \sim 2$ -10 (Brown 1971; Dennis 1985; Petrosian et al. 2002; Holman et al. 2003; Krucker & Lin 2008; Krucker et al. 2008; Hannah et al. 2008; Christe et al. 2008).

The differential energy of the electrons responsible for the nonthermal portion of the HXR spectrum is generally assumed to follow a power law,  $E^{-\delta'}$  (Holman 2003), where  $E$  is the electron energy and  $\delta'$  is the spectral index (to avoid confusion, we are using the notation of Oka et al. (2018) for spectral indices). To ensure that the energy of the injected electrons is finite, the electron spectrum is usually assumed to cut off sharply or flatten below a low-energy cutoff (Holman 2003; Kontar et al. 2008; Alaoui & Holman 2017; McTiernan et al. 2019). Some observations also indicate the need for a cutoff or other change in the spectral shape at high energies (e.g., Holman 2003). The total energy in the accelerated electrons strongly depends on the cutoff energies and on the shape of the distribution at low energies (Emslie 2003; Saint-Hilaire & Benz 2005; Galloway et al. 2005). The relationship between the photon and electron spectral indices depends on how particles lose their energy as they interact with the ambient plasma. A thick-target source yields  $\gamma_{thick} = \delta' - 3/2$

(Brown 1971; Hudson 1972), whereas for a thin-target source  $\gamma_{thin} = \delta' + 1/2$  (Tandberg-Hanssen & Emslie 1988). Recent advances in particle-ambient interactions have taken into account propagation mechanisms such as return-current losses (Alaoui & Holman 2017), energy diffusion in a “warm” target (Kontar et al. 2015), and non-uniform ionization of the thick target (Su et al. 2011).

Observations of rapid temporal intermittency in HXR and microwaves during the flare impulsive phase (Inglis & Dennis 2012; Inglis & Gilbert 2013; Inglis et al. 2016; Hayes et al. 2016, 2019), as well as bright plasma blobs traveling in both directions along the flare current sheet, provide strong evidence for the formation of magnetic islands during flare reconnection and particle acceleration within them (Kliem et al. 2000; Karlický 2004; Karlický & Bárta 2007; Bárta et al. 2008; Liu et al. 2013; Kumar & Cho 2013; Takasao et al. 2016; Kumar & Innes 2013; Zhao et al. 2019). Numerous theoretical and high-resolution numerical studies have demonstrated that extended current sheets with large Lundquist numbers develop multiple reconnection sites with strong spatial and temporal variability on both kinetic and magnetohydrodynamic (MHD) scales (e.g., Daughton et al. 2006, 2014; Drake et al. 2006b; Loureiro et al. 2007; Samtaney et al. 2009; Fermo et al. 2010; Uzdensky et al. 2010; Huang & Bhattacharjee 2012; Mei et al. 2012; Cassak & Drake 2013; Shen et al. 2013).

Kinetic-scale particle-in-cell (PIC) simulations have shown that particles can be energized in contracting and merging magnetic islands (Drake et al. 2005, 2006a,b, 2010, 2013; Dahlin et al. 2016, 2017), and that the resulting electron energy spectra can achieve power laws (Guo et al. 2015; Ball et al. 2018; Li et al. 2019). However, even the most advanced PIC simulations (Daughton et al. 2014; Guo et al. 2015) are incapable of modeling the large dimensions and numbers of particles involved in flares (Dahlin et al. 2017).

In Guidoni et al. (2016) (henceforth referred to as GUID16), we applied the contracting-island scenario to a simulated eruptive solar flare, where intermittent reconnection forms macroscopic islands (Karpen et al. 2012). Combining analytical calculations for individual test particles with data from the global simulation, which self-consistently modeled formation and reconnection onset at the flare current sheet, we found that compression and contraction of a single island increased the particle energies by a factor up to  $\sim 5$ . The results were confirmed subsequently by numerically integrating the particle guiding-center trajectories (Borovikov et al. 2017). Although these initial findings were encouraging, such small energy boosts are insufficient to produce

153 either the required energies or power laws needed to ex-  
 154 plain flare emission spectra.

155 The objective of this paper is to construct and evolve  
 156 distribution functions as particles are accelerated se-  
 157 quentially by several magnetic islands in the flare cur-  
 158 rent sheet. The ambient particle distribution is assumed  
 159 to be Maxwellian initially. It evolves as particles “hop”  
 160 from one contracting island to another, receiving a mod-  
 161 erate energy boost in each island. We demonstrate an-  
 162 alytically that this mechanism can generate power-law  
 163 indices, high-energy cutoffs, and flat low-energy spectra  
 164 consistent with observations of solar flares.

## 165 2. PARTICLE ACCELERATION IN A SINGLE 166 MAGNETIC ISLAND

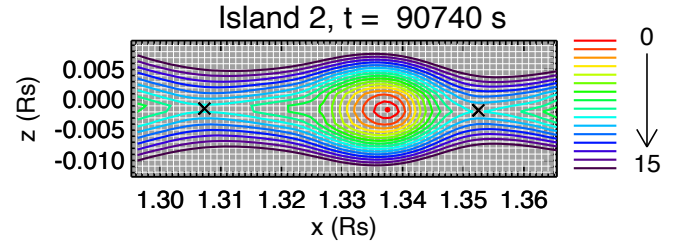
167 Here we briefly describe the relevant results from  
 168 GUID16 and add figures, calculations, and explanations  
 169 needed for the present work. In that study, we developed  
 170 an analytic method to estimate energy gain for particles  
 171 assumed to be orbiting within single flux ropes formed  
 172 by flare magnetic reconnection in an MHD simulation of  
 173 a breakout solar eruption. The method is based on the  
 174 assumption that the particles’ parallel action and mag-  
 175 netic moment are conserved as particles gyrate around  
 176 magnetic field lines, and is applicable to moderately su-  
 177 perthermal electrons and strongly superthermal ions.

178 The evolving flux-rope properties were extracted from  
 179 an ultra high-resolution (8 levels of refinement), cylindri-  
 180 cally axisymmetric (2.5D), global MHD numerical simu-  
 181 lation of a CME/EF, using the Adaptively Refined MHD  
 182 Solver (ARMS; e.g., DeVore & Antiochos 2008). Ac-  
 183 cording to the well-established breakout CME model  
 184 (Antiochos 1998; Antiochos et al. 1999), a multipolar  
 185 active-region magnetic field forms a filament channel by  
 186 shearing (through motions or helicity condensation) of  
 187 the field immediately surrounding the polarity inversion  
 188 line. The stressed core flux expands and distorts the  
 189 overlying null into a current sheet, enabling breakout re-  
 190 connection that removes restraints on the rising core. As  
 191 the filament-channel flux stretches out into the corona,  
 192 a lengthening flare current sheet (CS) forms beneath it,  
 193 leading to flare reconnection. Field lines retracting sun-  
 194 ward after the onset of fast flare reconnection create the  
 195 flare arcade, while those retracting in the opposite di-  
 196 rection form the large CME flux rope (for more details  
 197 see Karpen et al. 2012, and GUID16).

198 Temporally and spatially intermittent reconnection  
 199 across the flare CS forms small flux ropes (islands, in  
 200 2.5D), which are expelled along the CS in opposite di-  
 201 rections from a slowly rising main reconnection null.

202 We found little evidence for island merging, in con-  
 203 trast to kinetic simulations of reconnection in preex-

204 isting current sheets with periodic boundary conditions  
 205 (e.g., Drake et al. 2006a).

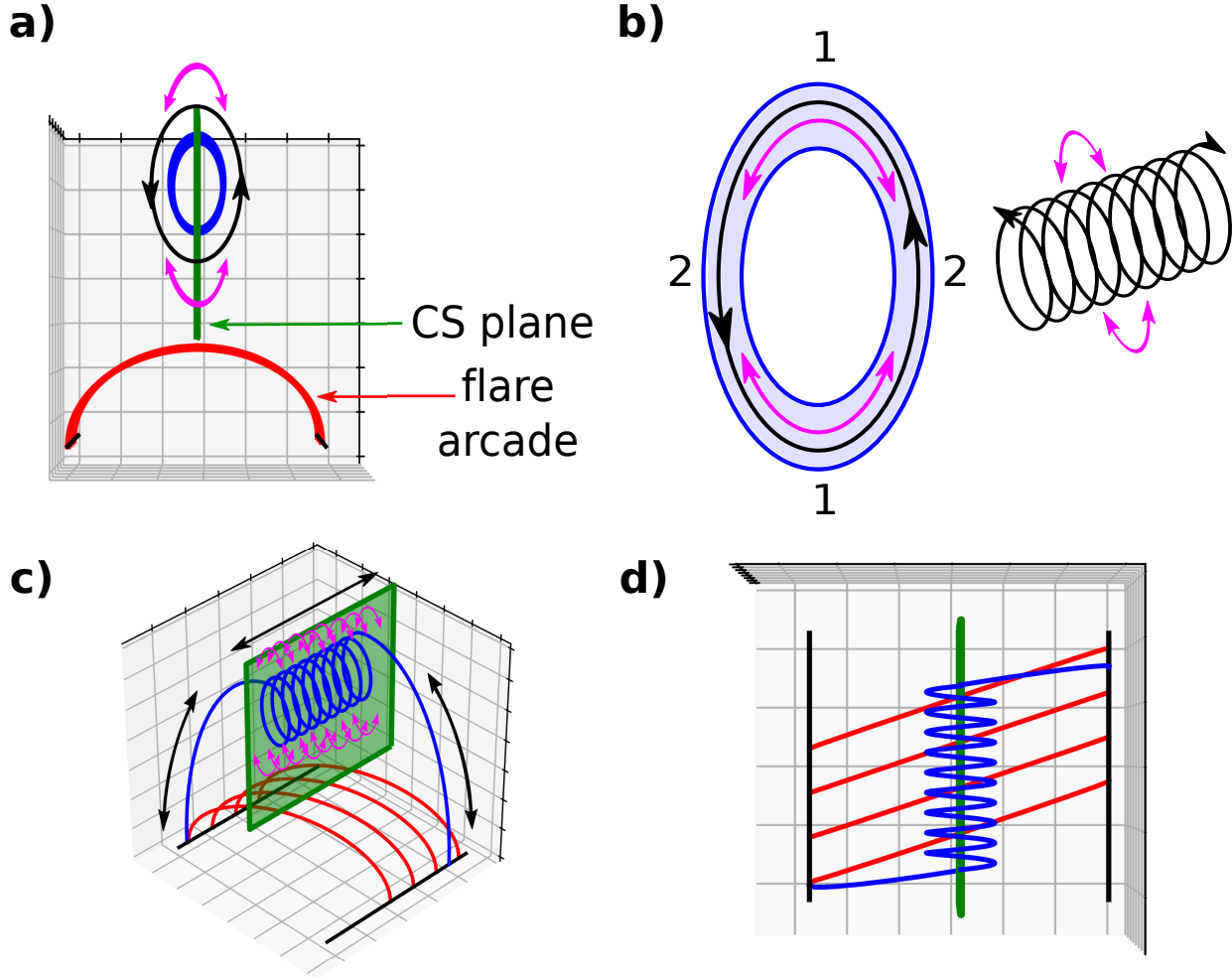


**Figure 1.** Snapshot of Island 2. Selected flux surfaces (accelerators) are color-coded and labeled from 0 to 15 (as shown at right) from innermost to outermost with respect to the island’s O-null (red dot). Black crosses are X-null locations. The simulation grid is shown in white. The x-axis is parallel to the plane of the flare CS, and the z-axis perpendicular to the CS plane. Both axes are in units of solar radius  $R_s$ . Flux surface level “8” is referred to as accelerator A2 in this paper.

206 We studied two long-lived, well-resolved, Sunward-  
 207 moving islands, named “Island 1” and “Island 2”. Figure  
 208 1 shows color-coded flux surfaces of Island 2 at a time  
 209 between its formation and its arrival at the top of the  
 210 flare arcade. The  $z = 0$  plane corresponds to the plane  
 211 of the flare CS. The island’s enclosed magnetic flux, de-  
 212 limited by the flux surfaces near the X-nulls (black Xs  
 213 in Figure 1), was elongated along the CS (note hori-  
 214 zontal and vertical scale differences in Figure 1). The  
 215 islands evolved to a rounder configuration due to the  
 216 Lorentz force acting on the highly bent field lines near  
 217 the tapered ends. The field lines on each side of the  
 218 CS confine an island and limit its expansion perpendic-  
 219 ular to the CS. The island’s cross-sectional area shrinks,  
 220 thereby increasing the magnetic field strength. As a re-  
 221 sult, particles orbiting the island are accelerated mostly  
 222 by the betatron process, which relies on magnetic-field  
 223 compression, rather than Fermi acceleration (GUID16;  
 224 Borovikov et al. 2017; Li et al. 2018, 2019).

225 When scaled to average active-region sizes and char-  
 226 acteristic times, the lifetime of these simulated islands,  
 227 defined as the time between their creation by two adja-  
 228 cent reconnection episodes and their arrival at the top  
 229 of the flare arcade, is of the order of 10-15 s. Similarly,  
 230 their typical lengths along the flare CS (x-axis in Figure  
 231 1) are  $\sim (2-4) \times 10^{-3} R_s \approx 2-4$  arcsec, where  $R_s$  is the  
 232 solar radius. Flare plasmoids of similar sizes have been  
 233 observed (Kumar & Cho 2013), and typical HXR pul-  
 234 sation periods are comparable to these island lifetimes  
 235 (Inglis & Dennis 2012; Inglis & Gilbert 2013; Inglis et al.  
 236 2016; Hayes et al. 2016, 2019),.

237 Particles were assumed to be frozen-in, orbiting field  
 238 lines wrapping selected flux surfaces of the studied is-



**Figure 2.** 2.5D and 3D illustrations of the flare system, its accelerators, and particle trajectories. In all panels, a representative flux-rope field line is shown in blue, the flare CS plane in green, and sheared flare arcade in red. Transiting (mirroring) particle trajectories are shown with curved black (magenta) arrows. The kinetic-scale gyration of the particles around the field lines is not shown. a) Key features of a 2.5D system projected onto a plane perpendicular to the flare CS plane (translationally invariant direction is out of the image plane). Here the flare CS is vertical, whereas the flare CS is horizontal in Figure 1. b) Left: Expanded view of the cross-section (light blue) of an accelerator. Numbered locations are explained in the text. Right: angled view of 2.5D trajectories of mirroring (one field-line turn) and transiting particles. c) Angled view of a 3D island: a flux rope with a finite axial length. Black arrows show the overall direction of motion for transiting particles along the flux rope. d) Top view of c).

239 lands. Each flux surface represents a finite volume of  
 240 plasma inside a cylinder-like shell of small thickness,  
 241 which we denote an “accelerator”. Figure 2a illustrates  
 242 a generic field line of such a flux rope (blue) as the flare  
 243 CS (green) above the sheared flare arcade (red) is viewed  
 244 head-on. Figure 2b illustrates the cross-sectional area  
 245 (light blue) of a generic accelerator.

In GUID16, we parameterized the selected accelerators’ magnetic-field strength along representative flux-rope field lines as

$$B = B_1 + (B_2 - B_1) \sin^2 \left( 2\pi \frac{l}{L} \right), \quad (1)$$

246 where  $L$  is the length of one full turn of the field line  
 247 and  $l$  is the field line arc-length. The flux surface is  
 248 symmetric both left/right and up/down, and possesses  
 249 two equal minima in  $B$  near the X-nulls (labeled “1”  
 250 in Figure 2b) and two equal maxima in  $B$  at its points  
 251 furthest from the CS plane (labeled “2” in the same  
 252 figure).  $B_1$  and  $B_2$  are the minimum and maximum  
 253 field strengths, respectively, at those locations.

254 We extracted the evolution of  $L$ ,  $B_1$ , and  $B_2$  over  
 255 each accelerator’s lifetime from the simulation data.  $L$   
 256 decreased rapidly as the flux surface contracted, and  $B_1$   
 257 increased due to plasma compression. The evolution of

258  $B_2$  resulted in an accelerator mirror ratio,  $B_2/B_1$ , that  
 259 was initially larger than 1 ( $\leq 1.6$  for Island 1 and  $\leq 8$   
 260 for Island 2) and decreased to values close to 1 as the  
 261 islands became circular.

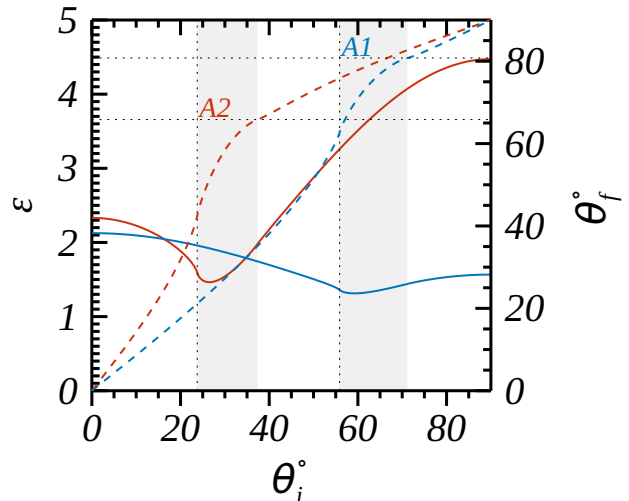
262 Two distinct particle populations orbit each accelera-  
 263 tor: *transiting* and *mirroring*. If a particle’s pitch angle  
 264 is smaller (larger) than the loss-cone angle of the accel-  
 265 erator, defined as  $\theta^{\text{lc}} = \arcsin(\sqrt{B_1/B_2})$ , the particle  
 266 transits (mirrors) along the accelerator. Mirroring parti-  
 267 cles bounce at regions of high field strength; their tra-  
 268 jectories are marked by the curved magenta arrows in  
 269 Figures 2a,b. For visual simplicity, particle gyromotions  
 270 are not shown. As long as the mirror ratio is larger than  
 271 unity, relatively large populations of mirroring particles  
 272 can be trapped near the plane of the flare CS. The length  
 273 of the flux-rope axis does not matter in this case, be-  
 274 cause mirroring particles are trapped in *one single turn*  
 275 of the flux rope (see example on the right side of Fig.  
 276 2b.) As the islands are carried by the reconnection ex-  
 277 haust, mirroring particles stay near the flare CS region  
 278 until the island merges with the top of the flare arcade  
 279 or the bottom of the CME.

280 Transiting particles follow the helical field lines, as  
 281 illustrated by curved black arrows in Figures 2a,b.  
 282 In a translationally invariant (2.5D) simulation (e.g.,  
 283 GUID16), transiting particles are trapped in the toroidal  
 284 flux rope. In a 3D configuration, where the flux rope is  
 285 anchored at the solar surface, transiting particles are  
 286 free to stream along the legs of the flux rope and could  
 287 be lost at the footpoints before they are accelerated.  
 288 Some of this streaming population could mirror near  
 289 the footpoints, due to the increase in field strength with  
 290 decreasing altitude (not considered here or in GUID16).  
 291 Figures 2c,d illustrate lateral and top views of a flux rope  
 292 with a finite length axis (3D island) and the overall tra-  
 293 jectories of transiting (black) and mirroring (magenta)  
 294 populations.

295 As particles orbit the time-dependent field line de-  
 296 scribed by Eq. 1, their kinetic energy  $E$  and pitch angle  
 297  $\theta$  change. Assuming conservation of the particle par-  
 298 allel action and magnetic moment, GUID16 estimated  
 299 the changes in  $E$  and  $\theta$  as particles pass location “1” of  
 300 the accelerator. Henceforth, all initial and final kinetic  
 301 energies and pitch angles refer to this location. Only  
 302 pitch angles  $0 \leq \theta \leq 90^\circ$  were considered as the system  
 303 is symmetric about  $\theta = 90^\circ$  (parallel or anti-parallel  
 304 motion with respect to the magnetic field).

305 We determined the final pitch angle  $\theta_f$  and final-to-  
 306 initial energy ratio  $\mathcal{E} = E_f/E_i$  as functions of the ini-  
 307 tial pitch angle,  $\theta_i$ , by solving Equations 25 and 26 in  
 308 GUID16. These transcendental equations depend on  $L$ ,  
 309  $B_1$ , and  $B_2$ , which we obtained from the simulation. Ex-

310 amples of  $\mathcal{E}$  and  $\theta_f$  as functions of  $\theta_i$  are shown as solid  
 311 and dashed curves, respectively, in Figure 3. Results  
 312 presented in Sections 3 and 4 are based on these data.  
 313 The blue (red) lines represent the selected accelerator  
 314 in Island 1 (2) labeled “1” (“8”) in GUID16, which we  
 315 refer here to as A1 (A2). A2 corresponds to the out-  
 316 ermost green flux surface inside the island of Figure 1.  
 317 An initially isotropic distribution in pitch angle would  
 318 be anisotropic at the end of the lifetime of both accel-  
 319 erators (in Figure 3, dashed curves differ from straight  
 320 lines of slope 1), as shown in the next Section.



**Figure 3.** Energy ratios (solid lines, left axis) and final pitch angle (dashed lines, right axis) as functions of  $\theta_i$  for accelerators A1 (blue) and A2 (red). Final values correspond to the end of the lifetime of both accelerators. Vertical (horizontal) dotted black lines show initial (final)  $\theta^{\text{lc}}$  for the accelerator labeled where the dotted lines intersect. Light grey areas show ranges in pitch angle where initially mirroring particles are transiting at the final time. Files named “A1\_energy\_gain\_and\_pitch\_angle\_data.txt” and “A2\_energy\_gain\_and\_pitch\_angle\_data.txt” with the data of this figure are part of the supplemental material of this publication. Results presented in Sections 3 and 4 are based on these data.

321 A1’s (A2’s) initial and final  $\theta^{\text{lc}}$  are  $\simeq 56^\circ$  ( $\simeq 24^\circ$ )  
 322 and  $\simeq 80^\circ$  ( $\simeq 66^\circ$ ), respectively (shown with dotted  
 323 lines in Fig. 3). For initially isotropic distributions,  
 324  $\simeq 38\%$  ( $\simeq 74\%$ ) of A1’s (A2’s) population would be  
 325 mirroring. The maximum energy gain overall for A1  
 326 (A2) is  $\mathcal{E}_{\text{max}} \simeq 2.13$  ( $\simeq 4.47$ ). For mirroring popula-  
 327 tions, A1’s (A2’s) maximum energy gain occurs at  $90^\circ$   
 328 with  $\mathcal{E}_{\text{max}} \simeq 1.57$  ( $\simeq 4.47$ ). For all of the studied cases  
 329 in GUID16,  $\mathcal{E}$  varied from one flux surface to another,  
 330 reaching a maximum value  $\mathcal{E}_{\text{max}} < 5$ .

331 As pointed out in GUID16, such small energy gains  
 332 are well below the magnitudes required to explain the



333 observed flux and power-law index of flare electron en-  
 334 ergy spectra. However, particles may increase their en-  
 335 ergy substantially by “visiting” a few accelerators se-  
 336 quentially. For example, visiting only five accelerators  
 337 with an average energy gain of  $\mathcal{E} = 4$  per visit would in-  
 338 crease some particle energies by  $4^5 = 1024$ . This is the  
 339 main idea underlying the sequential particle-acceleration  
 340 model described next.

### 341 3. SEQUENTIAL ACCELERATION IN MULTIPLE 342 ACCELERATORS

#### 343 3.1. Initial Distribution Function

344 We assume that the ambient corona is characterized  
 345 by a Maxwellian particle distribution function at tem-  
 346 perature  $T$  and with an isotropic distribution in pitch  
 347 angle,  $f_0(E, \theta) = f_0(E)/90^\circ$ . The fractional number of  
 348 particles with energies in the range  $(E, E + dE)$  is

$$f_0(E)dE = \frac{2}{\sqrt{\pi}} e^{-\left(\frac{E}{k_B T}\right)} \sqrt{\left(\frac{E}{k_B T}\right)} \frac{dE}{k_B T}, \quad (2)$$

349 where  $k_B$  is the Boltzmann constant.

350 In terms of the dimensionless kinetic energy, defined  
 351 as  $\bar{E} = \frac{E}{k_B T}$ , the initial distribution is

$$f_0(\bar{E}) = \frac{2}{\sqrt{\pi}} e^{-\bar{E}} \sqrt{\bar{E}}. \quad (3)$$

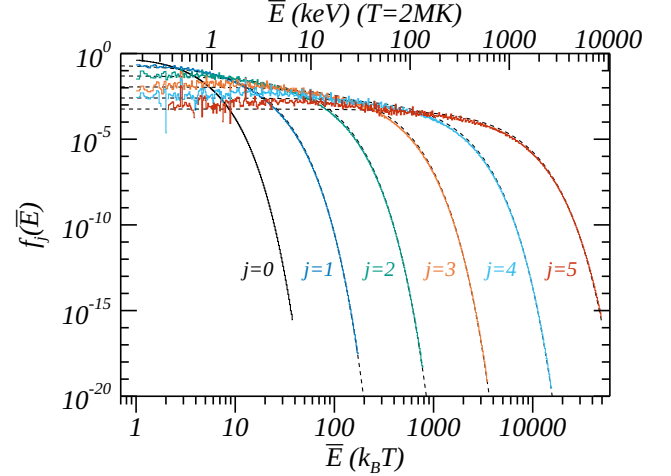
352 Spectrum  $f_0(\bar{E})$  is shown as the solid black curve in  
 353 Figure 4 (labeled  $j = 0$ ). For easier comparison to ob-  
 354 servations, the top horizontal axis of the figure shows  
 355 energy in keV for an assumed background tempera-  
 356 ture  $T = 2$  MK.

357 To numerically track the particle energies and pitch  
 358 angles as they evolve in time inside an accelerator, we  
 359 represent the  $\bar{E}$ - $\theta$  phase space with a 2D grid of energy  
 360 and angle bins. The range of  $\bar{E}$  is from 0 to  $\bar{E}_m = 50,000$   
 361 (appropriately large to study high energy acceleration),  
 362 and  $0 \leq \theta \leq 90^\circ$ . Each dimension is binned at regular  
 363 intervals,  $\Delta\bar{E} = 0.1$  and  $\Delta\theta = 0.1^\circ$ .

364 We will refer to the fractional number of particles in  
 365 the energy range  $(\bar{E}_j, \bar{E}_j + \Delta\bar{E})$  and pitch angle range  
 366  $(\theta_k, \theta_k + \Delta\theta)$  as a “macroparticle”  $N(j, k)$ . The initial  
 367 macroparticle distribution is

$$\begin{aligned} N_0(j, k) &= \frac{\Delta\theta}{90^\circ} \int_{\bar{E}_j}^{\bar{E}_j + \Delta\bar{E}} f_0(\bar{E}) d\bar{E} \\ &= \frac{\Delta\theta}{90^\circ} [\mathcal{N}(\bar{E}_j + \Delta\bar{E}) - \mathcal{N}(\bar{E}_j)], \end{aligned} \quad (4)$$

368 where  $\mathcal{N}(\bar{E})$  is the normalized number of particles be-  
 369 tween energies 0 and  $\bar{E}$  given by



**Figure 4.** Normalized energy spectra for different cycles (cycle number  $j$  is shown next to each colored curve) of A2 accelerators. The units of the bottom horizontal axis (logarithmic bins of size  $\log(1.02)$ ) are  $k_B T$ , where  $T$  is the ambient coronal temperature of  $f_0$ , and the top energy axis is in keV, for an assumed temperature  $T = 2$  MK. (This double-unit horizontal-axis setup continues in subsequent figures.) Black dashed lines show the corresponding fitted functions  $e^{-\bar{E}/R}/R$ .

$$\mathcal{N}(\bar{E}) = \text{erf}(\sqrt{\bar{E}}) - \frac{2}{\sqrt{\pi}} \sqrt{\bar{E}} e^{-\bar{E}} \quad (5)$$

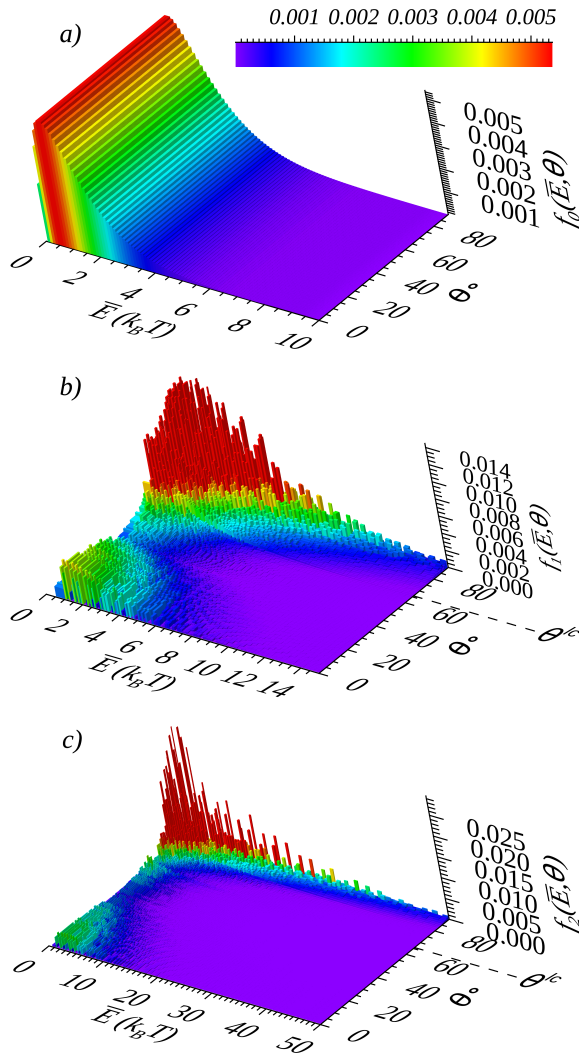
370 and erf is the error function.

371 The initial isotropic distribution of macroparticles  
 372  $f_0(E, \theta)$  is shown in Figure 5a. Those macroparticles  
 373 with  $\theta > \theta_{1c}$  are mirroring; the rest are transiting.

#### 374 3.2. Macroparticle Evolution in One Accelerator

375 At the end of the lifetime of an accelerator, each  
 376 macroparticle initially in  $f_0(\bar{E}, \theta)$  at  $\bar{E}_i, \theta_i$  will have a  
 377 final  $\bar{E}_f, \theta_f$  determined by the method described in the  
 378 previous section (Fig. 3.) The macroparticle is assigned  
 379 to the location on the 2D grid closest to  $\bar{E}_f, \theta_f$ . Hence,  
 380 at this final time, each grid cell in the  $\bar{E}$ - $\theta$  phase space  
 381 may have one, several, or no macroparticles. Those par-  
 382 ticles that achieve energies larger than  $\bar{E}_m$  are lost, but  
 383 this is a negligible number in our calculations. In this  
 384 section, several figures present results for A2, which has  
 385 the largest energy gains and the largest proportion of  
 386 mirroring population. Similar conclusions were drawn  
 387 for A1 but are not shown.

388 We estimated the distribution of macroparticles at the  
 389 end of the lifetime of the accelerator  $f_1(\bar{E}, \theta)$  by sum-  
 390 ming macroparticles inside each cell of the  $\bar{E}$ - $\theta$  phase  
 391 space.  $f_1(\bar{E}, \theta)$ , shown in Figure 5b, has the same to-  
 392 tal number of particles  $N$  (normalization factor for all



**Figure 5.** Normalized particle distributions  $f_j(\bar{E}, \theta)$  for A2 accelerators, saturated at the maximum of the color table. a)  $f_0$ , b)  $f_1$ , c)  $f_2$ . In panels b) and c), data have been resampled to logarithmic bins of size  $\log(1.02)$  and  $\Delta\theta = 1^\circ$ .  $\theta_{lc}$  (same for accelerators of the same type) is shown with a horizontal dashed line.

distributions) as  $f_0$ , redistributed across the grid. The spikiness of  $f_1$  is due to the discretization of the phase space: some of the bins do not have macroparticles at this particular time.

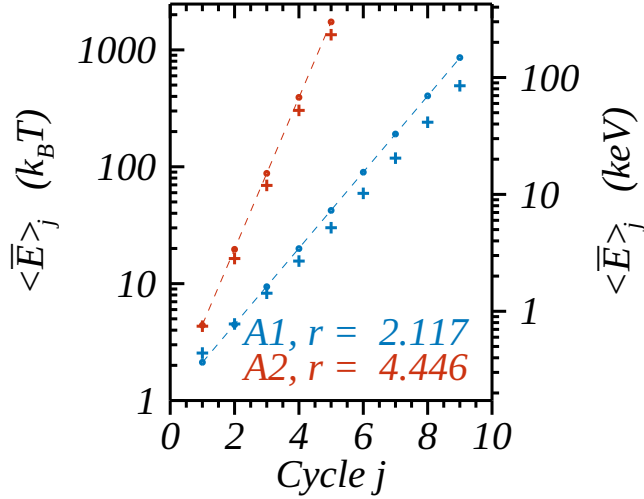
$f_1$  is highly anisotropic in pitch angle. Particles with large  $\theta$  have larger final energies than their counterparts at small  $\theta$ . All of the macroparticles have increased their pitch angle  $\theta$ , consistent with the sharp initial slope of  $\theta_f$  (dashed red curve) in Figure 3. Both of these features reflect the dominant role of betatron acceleration, which strongly increases the energy of motion perpendicular to the magnetic field direction as the field strength increases.

Some macroparticles switch from mirroring to transiting populations: the percentage of mirroring particles in  $f_1$  is 58%, as opposed to 74% in  $f_0$ . This is due to the reduction in the magnetic mirror ratio of the accelerator, as it evolves from highly elongated to nearly circular. Nevertheless, for A2, mirroring particles in  $f_1$  are the largest population and possess the highest energies. In contrast, the opposite is true for A1: its mirroring percentages are 21% ( $f_1$ ) and 38% ( $f_0$ ), and the energies are highest for transiting particles. This reflects the less prominent role of betatron acceleration for A1, whose magnetic-field compression is much less than that of A2. A2's  $f_1$  has more particles at high energies than  $f_0$ . Its energy spectrum is shown as the blue curve ( $j = 1$ ) in Figure 4. The average particle energy  $\langle \bar{E} \rangle$  has increased from 1.5 in  $f_0$  to 4.3 in  $f_1$ , nearly a factor of three. This energy increase is modest but not insignificant. In the next section, we examine the consequences of having particles “visit” several accelerators sequentially, receiving a boost in energy at each stage.

### 3.3. Sequential Accelerators

To investigate the effect of sequential accelerators of the same type on the particle distribution, we take the final distribution  $f_1$  from the single-accelerator experiment above and evolve it using the same rules used to evolve  $f_0$  into  $f_1$ . Processing  $f_1$  through the same type of accelerator results in a new final distribution function  $f_2$ , which has more particles at higher energies and a more anisotropic pitch-angle distribution than  $f_1$ . For example,  $f_2$  for A2 accelerators is shown in Figure 5c. This process is repeated sequentially multiple times, yielding a distribution function  $f_j$  after  $j$  cycles. During each cycle, the total number of particles in each  $f_j$ ,  $N$ , is conserved, but the number of particles at high (low) energies increases (decreases) as the particles are accelerated, and ever more particles achieve large pitch angles resulting in an increasingly anisotropic distribution.

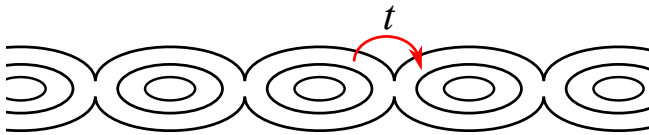
The energy spectra for the particles gaining energy by sequentially visiting A2 accelerators are shown in Figure 4 with colored lines, up to  $j = 5$  cycles. In later cycles, there are large fluctuations in the distributions at low energies because not many particles are left in that energy range. Every new cycle has a spectrum with more high-energy particles and higher average energy than the previous one. The last distribution in the sequence,  $f_5$ , presents a very hard spectrum with a small spectral index. We find that the exponential functional form  $e^{-\bar{E}/R}/R$  fits all of the distributions reasonably well, as shown in Fig. 4 (dashed black lines); we will make use of this form in our analytical treatment in §5.



**Figure 6.** Average energy of cycled distributions. Crosses show  $\langle \bar{E} \rangle$  of  $f_j$  as functions of cycle  $j$  for accelerators A1 (blue) and A2 (red).  $\langle \bar{E} \rangle_0 = 1.5$  for both accelerators (not shown). The left vertical axis is in units of  $k_B T$ , where  $T$  is the ambient coronal temperature of  $f_0$ ; the right energy axis is in keV, for an assumed temperature  $T = 2$  MK. (This double-unit vertical-axis setup continues in subsequent figures.) Circles show  $R$  from fitting  $f_j$ 's spectra with function  $e^{-\bar{E}/R}/R$  (e.g., see Figure 4), for which  $R = \langle \bar{E} \rangle$  (See §5). Dashed lines show  $R$  fitted as  $r^j$  ( $j \geq 1$ ). The fitted  $r$  is shown in the color-coded annotations.

456  $\langle \bar{E} \rangle_j$  of each cycle increases with the number of cycles,  
 457 as shown with crosses in Figure 6 for sequences of ac-  
 458 celerators A1 (blue) and A2 (red). Circles show  $R$  from  
 459 fitting  $f_j$ 's spectra with function  $e^{-\bar{E}/R}/R$ , for which  
 460  $R = \langle \bar{E} \rangle$ .

461 It is unrealistic to expect that all of the particles in any  
 462 accelerator will be transferred to and cycled through a  
 463 new accelerator. A more plausible scenario is that some  
 464 fraction of each accelerator's population will be trans-  
 465 ferred to a new accelerator, to participate in another  
 466 round of energization in a succeeding cycle. This is the  
 467 basis for the model discussed in the next section.



**Figure 7.** Cartoon depicting a sequence of islands and particles being transferred between them.  $t$  is the fraction of particles transferred from one accelerator to another one (parameter of the model).

### 3.4. Transfer of particles between accelerators

469 We generalize the cycling algorithm presented above  
 470 by transferring only a fraction of the particles from the  
 471 preceding to the following accelerator, and by allowing  
 472 the new accelerator to entrain ambient particles along  
 473 with the previously accelerated particles. This emulates  
 474 a continuously reconnecting flare current sheet in which  
 475 new islands form that contain fresh coronal plasma but  
 476 that also capture energetic particles that have escaped  
 477 a previously formed island.

478 To represent the fraction of particles from one accel-  
 479 erator transferred to the next accelerator (see cartoon  
 480 in Fig. 7), we define a typical transfer factor,  $t \leq 1$ .  
 481 We assume that  $t$  is the same for all accelerators. For  
 482 simplicity, we further assume that particles at all ener-  
 483 gies are equally likely to be transferred from island to  
 484 island, and that the particles' pitch angles in the new  
 485 accelerator are the same as in the preceding one. As we  
 486 show below, all of these simplifying assumptions allow us  
 487 to make analytical progress in calculating the evolving  
 488 particle distribution function.

As before, if the first accelerator in the sequence has an initial distribution  $f_0$  (Figure 5a), after one cycle its final distribution is  $f_1$  (e.g., A2's  $f_1$  is shown in Figure 5c). We express this result in the form

$$f_i^{(1)} = f_0, \quad (6)$$

$$f_f^{(1)} = f_1, \quad (7)$$

489 where the subscripts  $i, f$  represent the initial and final  
 490 distributions and the superscript (1) indicates the first  
 491 cycle in the model sequence. The subsequent accelerator  
 492 will have an initial distribution that is characterized in  
 493 part by the background distribution  $f_0$ , plus a fraction  
 494  $t$  of the previously accelerated distribution  $f_1$ .

We express the initial distribution function for the second accelerator in the form

$$f_i^{(2)} = (1-t)f_0 + tf_f^{(1)} \quad (8)$$

$$= (1-t)f_0 + tf_1 \quad (9)$$

$f_i^{(2)}$  has lost a fraction  $t$  of background particles and gained a fraction  $t$  of  $f_1$ . For  $t \ll 1$ ,  $f_i^{(2)}$  deviates slightly from an isotropic Maxwellian distribution. If this population is now cycled through accelerators of the same type following the prescribed rules from §2 (Fig. 3), each component distribution,  $f_0$  and  $f_1$ , will evolve to the next cycled distribution,  $f_1$  and  $f_2$  (e.g., A2's  $f_2$  is shown in Figure 5c), respectively. The final distribution then will be

$$f_f^{(2)} = (1-t)f_1 + tf_2. \quad (10)$$

495 For  $t \ll 1$ ,  $f_f^{(2)}$  deviates slightly from the anisotropic  
 496 distribution  $f_1$ .



497 This process can be applied recursively, prescribing  
 498 that at each cycle a fraction  $t$  of the preceding acceler-  
 499 ator's population is transferred to the new accelerator.  
 500 We tacitly assume that the particles are collisionless,  
 501 so populations do not interact over the time scale of the  
 502 full acceleration process. Consequently, each component  
 503 distribution  $f_j$  evolves separately to  $f_{j+1}$ .

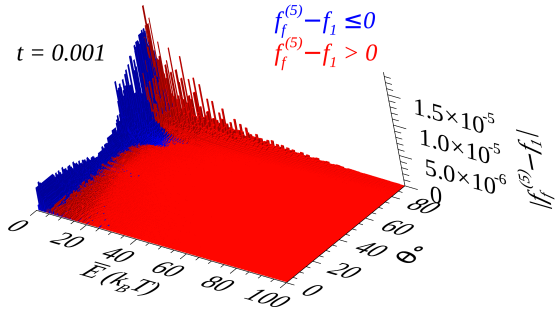
504 We summarize this procedure in Table 1. The final  
 505 distribution after  $n$  cycles is the linear combination of  
 506 component distributions

$$f_f^{(n)}(n, t) = \left(\frac{1-t}{t}\right) \sum_{j=1}^n t^j f_j + t^n f_n \quad (11)$$

507 The last contribution is negligible at low energies when  
 508  $n$  is large.  $f_f^{(n)}$  has the same total number of particles  
 509  $N$  as each of the cycled distributions  $f_j$ .

510 We constructed  $f_f^{(n)}$  for sequences of accelerators of  
 511 the same type (A1 or A2) for different transfer param-  
 512 eters  $t$  and cycle numbers  $n$ . To reduce computer memory  
 513 usage, each  $f_j(\bar{E}, \theta)$  in Equation 11 was resampled into  
 514 logarithmic bins of size  $\log(1.02)$  and  $\Delta\theta = 1^\circ$  (e.g., Fig-  
 515 ures 5b,c). The chosen values of  $t$  range from  $10^{-5}$  to 0.7,  
 516 arranged in multiples of 10 of the triplet  $(1, 5, 7) \times 10^{-5}$ .  
 517 We study the resulting spectra of the sequential final  
 518 distribution functions in the next section.

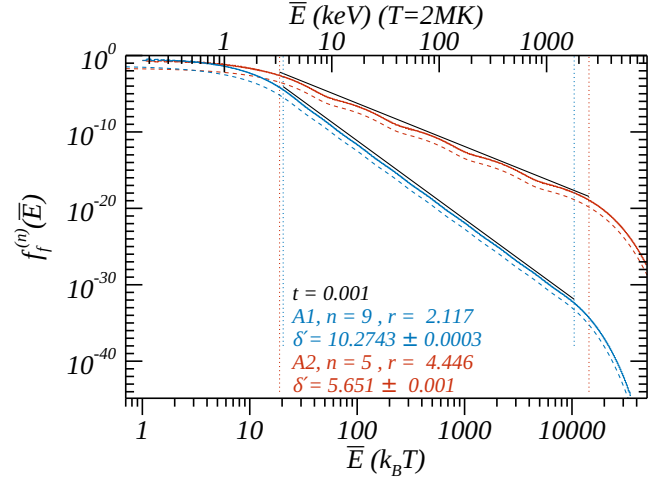
519 For small  $t$ ,  $f_f^{(n)}$  resembles  $f_1$ , except for a small in-  
 520 crease of particles at high energy and large pitch angle  
 521 at the expense of a loss of particles at low energies (see  
 522 example of the differences between these distributions  
 523 in Figure 8 for  $n = 5$ ,  $t = 0.001$  and accelerator A2.)



**Figure 8.** Absolute value of the difference between the sequential final distribution  $f_f^{(5)}$  (Equation 11) and  $f_1$  (Figure 5b) for accelerator A2 with  $t = 0.001$ . Red (blue) color indicates positive (negative) difference.

#### 4. SPECTRA

525 We calculated the energy spectra of all our simulated  
 526  $f_f^{(n)}$  by summing over pitch angle. In general, the spec-  
 527 trum after a few cycles has the following features: 1) a



**Figure 9.** Energy spectra for sequences of accelerators A1 (blue) and A2 (red) with transfer factor  $t = 0.001$  (solid curves = simulated data; dashed curves = analytical function, Equation 12 in §5 with  $\alpha = 0$ , and annotated efficiency  $r$  and final cycle  $n$ ), shifted downward by a factor of 10 for visual clarity. Black lines have slopes equal to the fitted color-coded spectral indices  $\delta'$ , whose estimated uncertainties are given. Fitted  $E_{leb}$  (left) and  $E_{hcb}$  are shown with color-coded vertical dotted lines.

528 flat spectrum at low energies, consisting mainly of con-  
 529 tributions  $f_j$  with small  $j$ ; 2) a power-law-like shape  
 530  $\sim E^{-\delta'}$  at intermediate energies; and 3) a rapid de-  
 531 crease at high energies. Examples are shown in Figure  
 532 9 for accelerators A1 (blue) and A2 (red), both for the  
 533 transfer parameter  $t = 0.001$ . The number of cycles  
 534 used is  $n = 9$  for A1 and  $n = 5$  for A2: these numbers  
 535 were found to yield similar power-law energy ranges for  
 536 the two accelerators. Because A1 is less efficient at ac-  
 537 celerating particles than A2, more cycles are required  
 538 to produce similar high-energy breaks. As expected, A2  
 539 presents the hardest power law.

540 A smooth transition between the Maxwellian-like dis-  
 541 tribution at low energies and the power-law region of  
 542 the spectrum shown in Figure 9 supplants the usually  
 543 assumed low-energy cutoff where the power-law distri-  
 544 bution ends abruptly. In the next section, we will es-  
 545 timate the energy above which the distribution can be  
 546 well approximated as a power law, which we denote the  
 547 *low-energy break*  $E_{leb}$ . We note that the transition is  
 548 smooth and, hence, there is no well-defined precise value  
 549 for this energy.

550 The middle, power-law-like region of the spectrum is  
 551 gently modulated due to small-amplitude bumps associ-  
 552 ated with the discrete cycles (Figure 9). Although A2's  
 553 distribution is more sinuous than A1's, both curves are  
 554 fit well by power laws, using the method explained in  
 555 the Appendix.

**Table 1.** Model distribution functions.

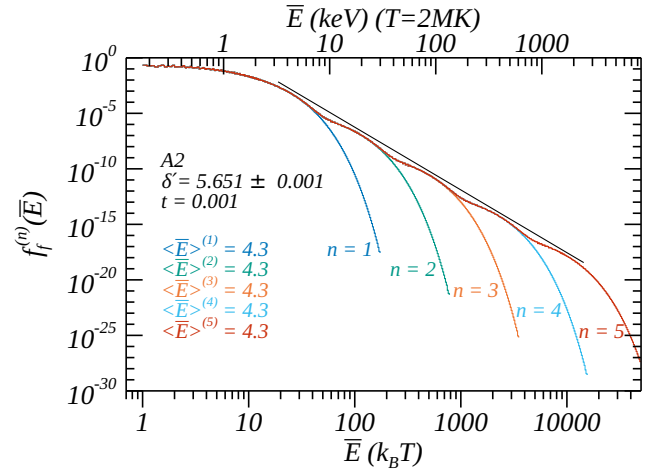
Cycle	Initial distribution	Final distribution
1	$f_i^{(1)} = f_0$	$f_f^{(1)} = f_1$
2	$f_i^{(2)} = (1-t)f_0 + tf_f^{(1)} = (1-t)f_0 + tf_1$	$f_f^{(2)} = (1-t)f_1 + tf_2 = f_1 + t(f_2 - f_1)$
3	$f_i^{(3)} = (1-t)f_0 + tf_f^{(2)} = (1-t)f_0 + t[(1-t)f_1 + tf_2]$	$f_f^{(3)} = (1-t)f_1 + (1-t)tf_2 + t^2f_3$ $= f_1 + t(f_2 - f_1) + t^2(f_3 - f_2)$
$\vdots$	$\vdots$	$\vdots$
$n$	$f_i^{(n)} = (1-t)f_0 + tf_f^{(n-1)}$ $= (1-t) \sum_{j=0}^{n-1} t^j f_j + t^n f_{n-1}$	$f_f^{(n)} = f_f^{(n-1)} + t^{n-1}(f_n - f_{n-1})$ $= \left(\frac{1-t}{t}\right) \sum_{j=1}^n t^j f_j + t^n f_n$

Each sequential cycle extends the range of energies for which the spectrum shows a power-law shape, i.e., the *high-energy break*  $E_{heb}$  increases with the number of visited accelerators. The tail after  $E_{heb}$  has approximately the shape of an exponential decay and corresponds to the last terms of the sequence in Equation 11 and Table 1. Examples of A2's spectra are shown in Figure 10 for different final cycles  $n$  (color-coded) with transfer factor  $t = 0.001$ . Only  $n = 5$  accelerators and a particle transfer factor  $t = 0.001$  were needed to increase the energies of some particles by two orders of magnitude and form a power law.

Three features of the distribution do not change much as the number of cycles increases. First,  $E_{leb}$  is essentially set by the initial cycle, and changes little for additional cycles. Second, as shown in Figure 10, the spectral index does not change significantly as the number of visited accelerators increases. Third, as indicated in the annotations,  $\langle \bar{E} \rangle$  is nearly invariant. The process does not add much energy to the system, because only a very small fraction of energized particles is transferred to the next accelerator. The average energy is essentially that of  $f_1$ , i.e., it is dominated by the acceleration of the ambient Maxwellian particles in  $f_0$ .

We emphasize that the transfer of particles between accelerators is assumed to be uniform across all energies. Therefore, the large number of high-energy particles is not an artifact of particle-acceleration or transfer mechanisms that favor particles with high energies. The number of particles at lower/higher energies decreases/increases with each cycle, redistributing the population from one cycle to the next in such a way that the area under the curve and the average energy are maintained nearly unchanged throughout.

To determine  $E_{leb}$  and  $E_{heb}$ , as well as spectral indices of final distributions, we modeled the central region as a power law  $CE^{-\delta'}$ , where  $C$  is a normalization constant.

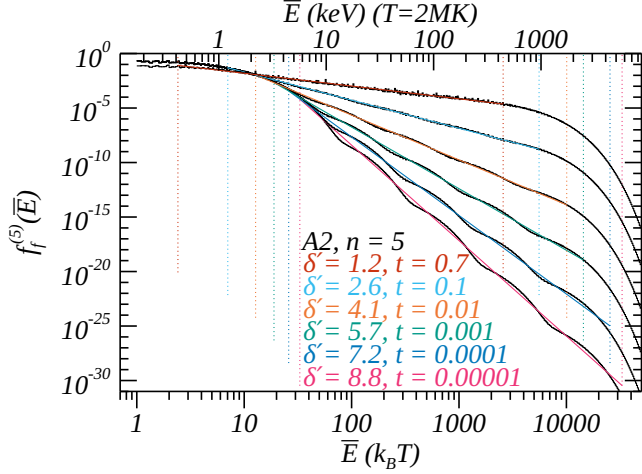


**Figure 10.** Each color-coded line shows  $f_f^{(n)}$  for different  $n$  (annotated) and fixed  $t = 0.001$  for a sequence of A2 accelerators. The final distribution  $f_f^{(5)}$  (red) overlaps the other distributions except at high energies. The average energy of each distribution is shown with color-coded annotations. The black straight line is the fitted power-law  $\sim E^{-\delta'}$  ( $\delta'$  is annotated in black) for the case  $n = 5$ , plotted between fitted  $E_{leb}$  and  $E_{heb}$  for  $f_f^{(5)}$ . This line is shifted upward by  $10^{0.5}$  for visual clarity.

To estimate these parameters and their uncertainties, we developed an automatic curve-fitting procedure that requires minimal human intervention, as described in the Appendix. Examples of fitted power laws for  $t = 0.001$  are shown in Figures 9 and 10 (black solid lines).

#### 4.1. Dependence of Fitted Parameters on Transfer Factor $t$

Previously, we presented results for accelerators A1 and A2 using the fixed value  $t = 0.001$  for the transfer factor  $t$ . The larger the transfer factor, the greater the number of particles that are transferred from one accelerator to the next. Hence, we expect more energetic



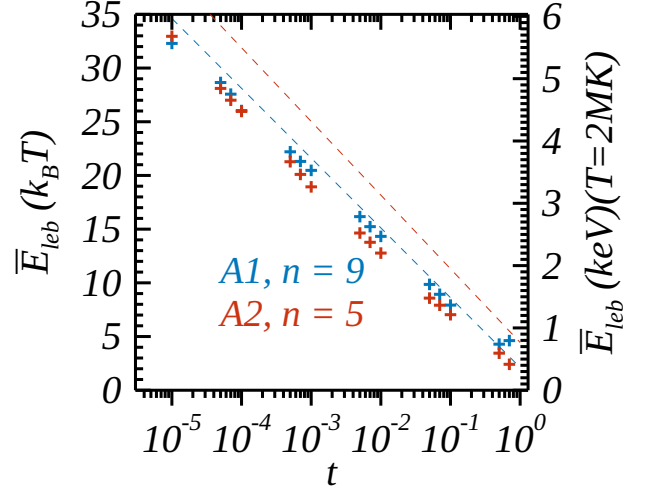
**Figure 11.** Spectral index as a function of  $t$ . A2 distributions with  $n = 5$  are shown in black and their fitted power-law curves (color-coded solid lines) for several values of the transfer factor  $t$  (color-coded annotations). Color-coded vertical dotted lines show fitted low-energy breaks  $E_{leb}$  (left) and high-energy breaks  $E_{heb}$  (right).

605 particles and harder spectral indices in the distributions  
 606 with larger  $t$ . Figure 11 illustrates these effects for A2  
 607 with  $n = 5$ . In addition, as  $t$  increases, we find that the  
 608 bumps in the distribution become less pronounced. As  
 609 explained in §5, this occurs because the weight of each  
 610 cycle on the overall curve decreases.

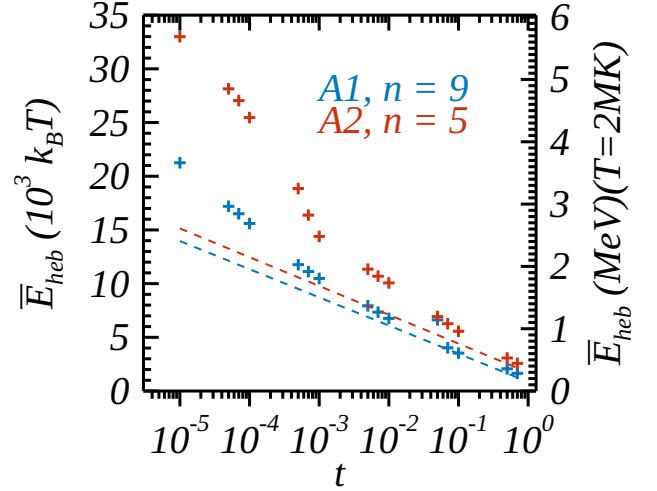
611 A visual inspection of Figure 11 suggests that all of  
 612 the distribution functions converge to a single point near  
 613  $\bar{E} = 15$ , which might imply a common low-energy break  
 614 for all the curves. However, fitted  $E_{leb}$  and  $E_{heb}$  values  
 615 (color-coded vertical dotted lines in the figure) decrease  
 616 with transfer parameter  $t$ . Fitted low-energy breaks for  
 617 A1 (blue) and A2 (red) are shown with crosses as func-  
 618 tions of the transfer factor  $t$  and fixed  $n$  in Figure 12.

619 Interestingly, although the low-energy breaks change  
 620 with transfer factor, they are quite similar for the two  
 621 accelerators. The reason for this weak dependence is  
 622 explained in §5. The curves have an approximate log-  
 623 arithmic dependence on  $t$ , with the low-energy breaks  
 624 decreasing as the number of particles transferred be-  
 625 tween accelerators increases and the number of particles  
 626 in the power-law range increases. The range in low-  
 627 energy breaks is small, varying over about 1 to 6 keV  
 628 for an assumed background temperature of 2 MK (larger  
 629 background temperatures would increase the low-energy  
 630 breaks.)

631 We found a similar decreasing trend for the fitted high-  
 632 energy breaks as functions of the transfer factor  $t$ , plot-  
 633 ted in Figure 13 for A1 (blue) and A2 (red). These  
 634 curves show more pronounced differences between the  
 635 accelerators than those in Figure 12. The high-energy



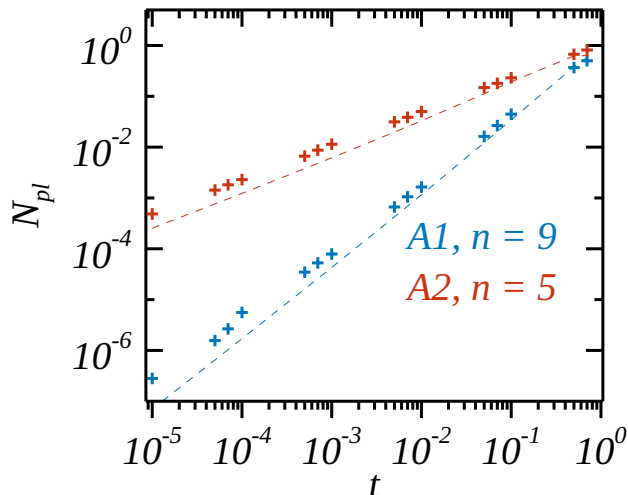
**Figure 12.**  $E_{leb}$  as a function of  $t$ . Crosses show fitted low-energy break  $E_{leb}$  for A1 (blue) and A2 (red) as functions of the transfer factor  $t$  for fixed  $n$  (annotated). The average percent error is  $< 2\%$ . Color-coded dashed lines are theoretically predicted values of  $E_{leb}$  (Equation 22 in §5, with the color-coded annotated  $r$  shown in Figure 6 and with  $\alpha = 0$ ).



**Figure 13.**  $E_{heb}$  as a function of  $t$ . Crosses show fitted high-energy break  $E_{heb}$  for A1 (blue) and A2 (red) as functions of the transfer factor  $t$  for fixed  $n$  (annotated). The average percent error is  $< 2.3\%$ . Color-coded dashed lines are theoretically predicted values of  $E_{heb}$  (Equation 23 in §5, with the color-coded annotated  $r$  shown in Figure 6 and with  $\alpha = 0$ ).

636 break shifts to lower energies as the transfer factor in-  
 637 creases, as is evident in the A2 distributions in Fig-  
 638 ure 11, although this seems counterintuitive: the curves  
 639 roll over into their steep decline at higher energies for  
 640 smaller transfer factors  $t$ , but the curves also have much  
 641 smaller values at those higher-energy breaks. As  $t$  de-

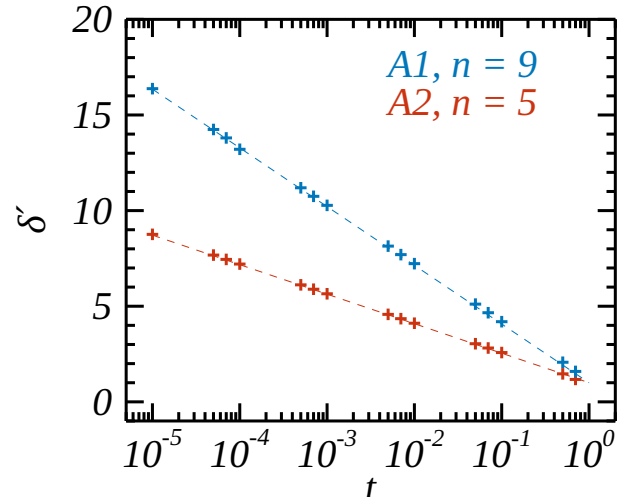
642 creases, the somewhat arbitrary definition of  $E_{heb}$  for a  
 643 smooth rollover has larger uncertainties for those cases  
 644 with large bumps in the distribution (e.g., accelerator  
 645 A2 in Figure 11).



**Figure 14.** Number of particles in units of  $N$  (total number of particles in each accelerator) in the power-law region as a function of the transfer factor  $t$  for fixed  $n$  (annotated). Accelerators A1 (A2) are represented by blue (red) crosses. The largest relative error is of a factor of 2.25. Color-coded dashed lines are theoretically predicted values of  $N_{pl}$  (Equation 28 in §5, with the color-coded annotated  $r$  shown in Figure 6 and with  $\alpha = 0$ ).

646 We also calculated the fractional number of high-  
 647 energy particles in the power-law region between the  
 648 low- and high-energy breaks,  $N_{pl}$ . The results are shown  
 649 in Figure 14 for A1 (blue) and A2 (red) as functions of  
 650 the transfer factor  $t$  for fixed  $n$  (annotated).  $N_{pl}$  closely  
 651 follows a positive power-law trend versus  $t$ , showing how  
 652 transferring more particles between accelerators yields  
 653 more particles in the most energized region of the final  
 654 distribution. The stronger accelerator, A2, has substan-  
 655 tially more energized particles than the weaker accelera-  
 656 tor, A1, especially at small transfer factors  $t$ . However,  
 657 the number of particles is more sensitive to  $t$  for A1  
 658 compared to A2, as indicated by the steeper slope of  
 659 the blue curve in the figure. Augmenting the number  
 660 of visited accelerators,  $n$ , in either case results in more  
 661 particles in the power-law region of the spectrum as the  
 662 high energy break occurs at higher energies.

663 The fitted spectral indices  $\delta'$  as function of  $t$  for  
 664 A1 (blue) and A2 (red) are shown with crosses in Fig-  
 665 ure 15. The indices follow a logarithmically decreasing  
 666 dependence, indicating increasingly hard spectra, as the  
 667 transfer factor  $t$  increases. The errors in the fitted spec-  
 668 tral indices generally are less than 0.1%. A1's spectral



**Figure 15.** Spectral index as a function of  $t$  for fixed  $n$  (annotated). Fitted  $\delta'$  for A1 (A2) are shown with blue (red) crosses. The average percent error is  $< 1\%$ . Color-coded dashed lines are theoretically predicted values of  $\delta'$  (Equation 17 in §5, with the color-coded annotated  $r$  shown in Figure 6 and with  $\alpha = 0$ ).

669 indices are larger (softer) than A2's because A1's energy  
 670 gains in each cycle are smaller and, hence, change more  
 671 slowly with  $t$ . The hardening of the spectrum for A2 at  
 672 increasing values of  $t$  is evident in Figure 11.

## 5. ANALYTICAL MODEL

674 This section demonstrates that the key features of the  
 675 numerical spectra from the previous section can be re-  
 676 produced and analyzed with a fully analytical model  
 677 with a simple assumption: particle acceleration is per-  
 678 formed sequentially in accelerators with modest energy  
 679 gains. This model emulates basic features of the fi-  
 680 nal particle distribution, such as spectral index, energy  
 681 breaks, bumps in the distribution, and other details of  
 682 the energy distributions as functions of very few physical  
 683 parameters.

684 In this model, each accelerator evolves an initial par-  
 685 ticle distribution into another distribution by means of  
 686 an *unspecified* acceleration mechanism. We simplify the  
 687 details of the mechanism by assuming that each cycle in-  
 688 creases the average particle energy by a factor  $r$ , which  
 689 we denote the “efficiency” of the accelerator. This re-  
 690 sults in a strictly exponential increase in the average  
 691 energy, similar to that of the island-acceleration mech-  
 692 anism shown in Figure 6. Distributions are assumed to  
 693 be summed over pitch angle, so only energy dependence  
 694 is considered. As before, all accelerators are assumed to  
 695 have the same average characteristics, specifically  $t$ ,  $r$ ,  
 696 and  $N$ . (Definitions of the model parameters are sum-  
 697 marized in Table 2.)



Based on the distributions obtained for accelerator A2 (Figure 4), we assume that each cycle results in a final population described by an analytical function that scales in a self-similar way from its initial population, with the average energy increasing by the factor  $r$ . Two simple, well-known such distributions are represented by the Maxwellian and exponential functions. These are special cases of a more general function of variables  $R$  (proportional to the average energy of the distribution) and  $\alpha$  ( $\alpha = 0$  for exponential and  $\alpha = 1/2$  for Maxwellian), all of which are listed in Table 3. In the Maxwellian case, the thermodynamic temperature is well defined, and each cycle simply heats the particles from temperature  $T$  to temperature  $rT$ .

**Table 2.** Model parameters.

Symbol	Definition
$t$	Fraction of particles transferred between accelerators
$r$	Accelerator efficiency.
$n$	Number of accelerators visited by particles
$N$	Total number of particles in each accelerator (distribution function normalization constant)

712

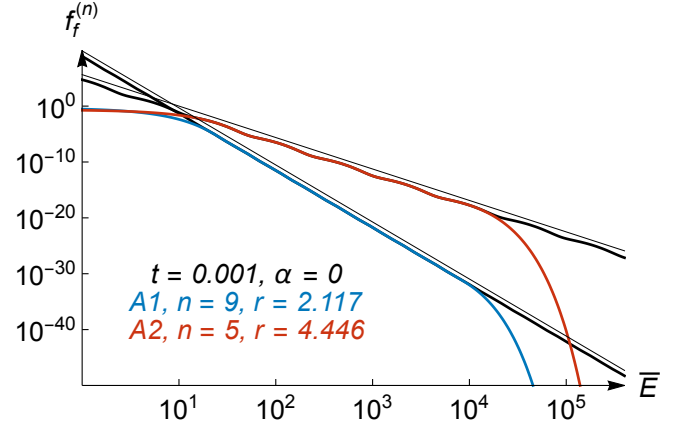
Empirically, we found that the exponential function was a better fit for our simulated distributions from §4 than the Maxwellian. Exponential fits  $e^{-\bar{E}/R}/R$  to A2 distributions are shown in Figure 4 as black dashed lines for each cycled distribution. Fitted  $R$  values for A1 and A2, which are equal to  $\langle \bar{E} \rangle$  for exponential functions, are shown in Figure 6 with color-coded circles. The characteristic efficiency  $r$  for each accelerator was found by fitting the derived values of  $R$  as a function of each cycle  $j$  with function  $r^j$  (see Table 3), resulting in  $r \simeq 2.117$  for A1 and  $r \simeq 4.446$  for A2 (also annotated in Figure 6). These values quantify in a simple way how much more efficient A2 is at accelerating particles than A1.

For the sake of greater generality, however, we adopt the general analytical form from Table 3 in the following calculations because Maxwellian distributions are assumed so widely in solar-flare studies. With these assumptions, we construct the final distribution of a population formed by sequential acceleration with efficiency  $r$  and transfer factor  $t$  by explicitly substituting the gen-

eral form of the functions  $f_j$  (Table 3) into the final distribution function  $f_f^{(n)}$  (Equation 11):

$$f_f^{(n)} = \left( \frac{1-t}{t} \right) \sum_{j=1}^n \frac{e^{-\bar{E}/r^j}}{\Gamma(\alpha+1)} \left( \frac{\bar{E}}{r^j} \right)^\alpha \left( \frac{t}{r} \right)^j + \frac{e^{-\bar{E}/r^n}}{\Gamma(\alpha+1)} \left( \frac{\bar{E}}{r^n} \right)^\alpha \left( \frac{t}{r} \right)^n, \quad (12)$$

where  $\Gamma(x) = (x-1)!$  is the complete Gamma function (see footnote on Table 3).



**Figure 16.** Final distributions as functions of  $\bar{E}$  from Equation 12, with color-coded annotated parameters.  $r$  values are fitted values for A1 and A2 (see Figure 6). Thick black curves show Equation 26 evaluated for the finite sum  $j \in [-50, +50]$  (Equation 24); thin black curves show the power law  $CE^{-\delta'}$  (shifted up slightly for clarity) using  $C$  from Equation 27 and  $\delta'$  from Equation 17.

The supplemental Wolfram Mathematica notebook “Guidoni\_etal\_Suppl\_Math\_Notebook.nb” provides a widget that plots  $f_f^{(n)}$  (Equation 12), where the user can explore the parameter space  $(t, r, n, \alpha)$ .

As an intermediate check, we constructed distributions from Equation 12 using  $t = 0.001$ ,  $\alpha = 0$ , and the fitted values of  $r$  for A1 and A2 and compared them to the simulated data in Figure 9. The analytical curves in that figure (dashed lines) have been shifted down for visual clarity because they overlap the simulated curves, corroborating our results. These curves are also plotted with blue and red solid lines in Figure 16 to be compared to other curves presented in this section.

The average energy of  $f_f^{(n)}$  is evaluated (using Table 3 and Equation 12) and expressed in the alternative forms

$$\begin{aligned} \langle \bar{E} \rangle^{(n)} &= \frac{\Gamma(\alpha+2)}{\Gamma(\alpha+1)} \left[ \frac{r(1-t) - (tr)^n(r-1)}{1-tr} \right] \\ &= \frac{\Gamma(\alpha+2)}{\Gamma(\alpha+1)} \left[ r + (r-1)tr \frac{1 - (tr)^{n-1}}{1-tr} \right]. \end{aligned} \quad (13)$$

734

**Table 3.** Distribution functions for the analytical model.

Type	Functional Form $f$	Iterative Form $f_j$ with $R = r^j$	Average Energy $\langle \bar{E} \rangle_j = \frac{\langle E \rangle_j}{k_B T}$
General Form	$\frac{1}{\Gamma(\alpha+1)} \left(\frac{\bar{E}}{R}\right)^\alpha \frac{e^{-\bar{E}/R}}{R}$	$\frac{1}{\Gamma(\alpha+1)} \left(\frac{\bar{E}}{r^j}\right)^\alpha \frac{e^{-\bar{E}/r^j}}{r^j}$	$\frac{\Gamma(\alpha+2)}{\Gamma(\alpha+1)} R = \frac{\Gamma(\alpha+2)}{\Gamma(\alpha+1)} r^j$
Exponential ( $\alpha = 0$ )	$\frac{e^{-\bar{E}/R}}{R}$	$\frac{e^{-\bar{E}/r^j}}{r^j}$	$R = r^j$
Maxwellian ( $\alpha = \frac{1}{2}$ )	$\frac{2}{\sqrt{\pi}} \sqrt{\frac{\bar{E}}{R}} \frac{e^{-\bar{E}/R}}{R}$	$\frac{2}{\sqrt{\pi}} \sqrt{\frac{\bar{E}}{r^j}} \frac{e^{-\bar{E}/r^j}}{r^j}$	$\frac{3}{2} R = \frac{3}{2} r^j$

$\Gamma$ : complete Gamma function, where  $\Gamma(x) = (x-1)!$ , with  $x \in \mathbb{R}$  ( $\Gamma(1) = 1, \Gamma(3/2) = \sqrt{\pi}/2$ ).

754 In the limit  $tr \ll 1$ , only the leading  $r$  term in the  
755 brackets above is important, and  $\langle \bar{E} \rangle^{(n)} \approx \langle \bar{E} \rangle^{(1)}$ , the  
756 average energy after the first cycle. In this case, as ex-  
757 plained in §4, not much additional energy is gained sub-  
758 sequently by the system. This is illustrated in Figure 10,  
759 where  $\langle \bar{E} \rangle^{(n)}$  essentially is unchanged as more cycles are  
760 added beyond  $n = 1$ .

761 We demonstrate that the middle-energy range of  $f_f^{(n)}$   
762 approximates a power law in  $\bar{E}$  by writing Equation 12  
763 in the form

$$f_f^{(n)} = \left(\frac{1-t}{t}\right) \frac{\bar{E}^{-\delta'}}{\Gamma(\alpha+1)} g_f^{(n)}, \quad (14)$$

764 where

$$g_f^{(n)} = \sum_{j=1}^n e^{-\bar{E}/r^j} \left(\frac{\bar{E}}{r^j}\right)^\alpha \bar{E}^{\delta'} \left(\frac{t}{r}\right)^j + \left(\frac{t}{1-t}\right) e^{-\bar{E}/r^n} \left(\frac{\bar{E}}{r^n}\right)^\alpha \bar{E}^{\delta'} \left(\frac{t}{r}\right)^n. \quad (15)$$

765 The above equation takes a simple form if we define the  
766 auxiliary variable  $x$ ,

$$x \equiv \log \bar{E}, \quad (16)$$

767 and choose

$$\delta' = 1 - \frac{\log t}{\log r}, \quad (17)$$

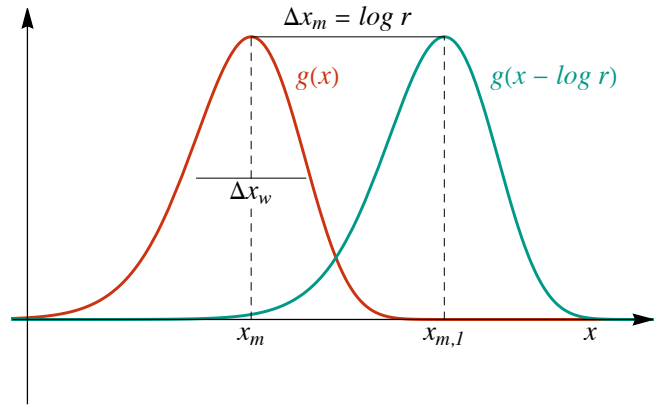
768 whence  $t/r = r^{-\delta'}$ . Note that  $\delta' > 1$  because  $t < 1$  and  
769  $r > 1$ ; furthermore,  $\delta'$  is large if  $tr \ll 1$ .

770 We then obtain the expression

$$g_f^{(n)}(x) = \sum_{j=1}^n g(x - j \log r) + \left(\frac{t}{1-t}\right) g(x - n \log r). \quad (18)$$

771 The function  $g(x)$  is defined by

$$g(x) = e^{-10^x + (\alpha + \delta') \ln 10^x}. \quad (19)$$

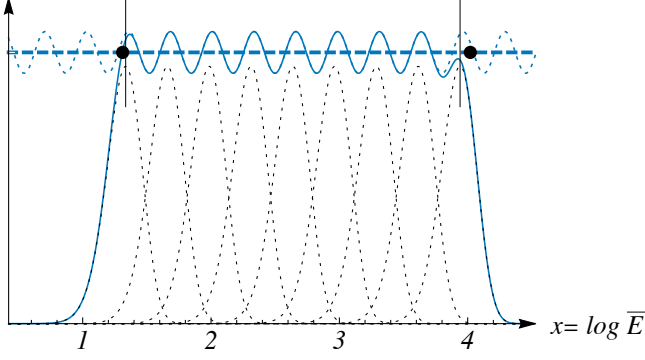


**Figure 17.** Sketch of the function  $g(x)$  in Equation 19 (red) and its parameters, along with the next shifted pulse (green). For this example,  $\alpha = 0$ ,  $r = 4.446$  (fitted value for A2), and  $t = 0.001$  (same  $t$  as in Figure 9).

772 Equation 18 is a sum of positive, equally-shaped pulse-  
773 like functions  $g(x)$  spaced at equal intervals  $\log r$ . Two  
774 examples of consecutive pulses,  $g(x)$  and  $g(x - \log r)$ , are  
775 shown in Figure 17.  $g(x)$  attains its maximum value at  
776  $x_m = \log(\alpha + \delta')$  and decays in both directions from its  
777 peak, at the rate  $(\alpha + \delta') \ln 10$  in the negative direction  
778 and at rate  $-10^x \ln 10$  in the positive direction. Each  $j$   
779 term in the  $g_f^{(n)}$  expansion, peaks at

$$x_{m,j} = x_m + j \log r \quad (20)$$

780 The sum of the pulses in Equation 18 results in  
781 a function localized in the region between  $x_{m,1}$  and  
782  $x_{m,n}$ , which quickly decays to zero outside this inter-  
783 val. An example of  $g_f^{(n)}$  is shown in Figure 18 (solid



**Figure 18.** Sketch of the function  $g_f^{(n)}(x)$  (Equation 18, solid blue). For this example,  $\alpha = 0$ ,  $r = 2.117$  (fitted value for A1), and  $t = 0.001$  (same  $t$  as in Figure 9). Each term of the sum in Equation 18 is drawn with a black dashed line (pulse-like curves). The left (right) vertical segment in black is located at the maximum of the first (last) pulse,  $x_{m,1}$  ( $x_{m,n}$ ), marking the approximate start (end) of the power-law-like region of  $f_f^{(n)}$ . Black circles indicate fitted  $\log E_{leb}$  (left) and  $\log E_{heb}$  (right) for A1 accelerators (§4.1, dashed vertical blue lines in Figure 9). Sinusoidal dashed blue curve shows Equation 24 evaluated for the finite sum  $j \in [-10n, +10n]$  with  $n = 9$ . Horizontal dashed blue line shows  $\mathcal{F}_0$ , the Fourier coefficient  $k = 0$  in Equation 25.

blue), where each term (pulse) of the sum in Equation 18 is shown with a dashed black line.  $g_f^{(n)}$  oscillates about an approximate constant value (e.g., horizontal blue dashed line in Figure 18) in the region between  $x_{m,1}$  and  $x_{m,n}$  (vertical black segments in Figure 18). The supplemental Wolfram Mathematica notebook “Guidoni\_etal\_Suppl\_Math\_Notebook.nb” provides a widget that plots  $g_f^{(n)}$  as in Figure 18, where the user can explore the parameter space  $(t, r, n, \alpha)$ .

The oscillation amplitude of  $g_f^{(n)}$  decreases (increases) as the overlap between its pulses increases (decreases) because the weight of each pulse (cycle) on the overall curve decreases (increases). It is straightforward to show from Equation 19 that, at the location of its maximum,  $x_m = \log(\alpha + \delta')$ , the maximum value of  $g(x)$  and its second derivative are respectively

$$g(x_m) = \left(\frac{\alpha + \delta'}{e}\right)^{\alpha + \delta'} \quad \text{and} \quad (21)$$

$$g''(x_m) = -(\alpha + \delta') g(x_m) (\ln 10)^2.$$

The above second derivative  $g''(x_m)$  shows that the pulse typically is localized about  $x = x_m$ . The pulse width is then  $\Delta x_w \simeq 2\sqrt{-g(x_m)/g''(x_m)} = 2(\alpha + \delta')^{-1/2} / \ln 10$ . Therefore, for a fixed pulse peak separation (fixed  $r$ ), the width of a pulse (and consequently its overlap with neighboring pulses) increases with  $t$  ( $\delta'$  decreases with  $t$ , see Equation 17). In Figure 11, for example, the oscillations of the distributions de-

crease in amplitude with increasing  $t$  because the width of the pulses that compose  $g_f^{(n)}$  increase with that parameter.

For a large portion of the  $(t, r, n)$  parameter space, therefore,  $f_f^{(n)}$  can be approximated by a power-law with spectral index  $\delta'$  modulated by the  $g_f^{(n)}$  oscillations (see Equation 14). Figure 15 shows the predicted  $\delta'$  values for A1 and A2 from Equation 17 (dashed), which agree closely with the fitted values determined in §4.1 (crosses).

Converting  $x_{m,1}$  to energy, we obtain for the approximate location of the low-energy break

$$\bar{E}_{leb} \sim 10^{x_{m,1}} = (\alpha + \delta') r = (\alpha + 1) r - \frac{r \log t}{\log r}. \quad (22)$$

This result is consistent with accelerators A1 and A2 having  $\bar{E}_{leb}$  with an approximate logarithmic dependence on  $t$ , as shown in Figure 12 (where Equation 22 is shown with color-coded dashed lines for  $r = 2.117$  and  $r = 4.456$ ). The nearly identical slopes for A1 and A2, despite their very different efficiencies —  $r \approx 2$  (A1) and  $r \approx 4$  (A2) — are a consequence of the similar ratios:  $r/\log r \approx 2/\log 2 \approx 4/\log 4$ . For comparison, the corresponding fitted  $\bar{E}_{leb}$  in log space from Section 4.1 is shown with the left black circle in Figure 18.

Similarly, the high-energy break of the power law occurs near the last ( $j = n$ ) peak,

$$\bar{E}_{heb} \approx 10^{x_{m,n}} \approx (\alpha + 1) r^n - \frac{r^n \log t}{\log r}. \quad (23)$$

This result is consistent with A1 and A2 having similar high-energy breaks  $\bar{E}_{heb}$ , as illustrated by Fig. 13 (where Equation 23 is shown with color-coded dashed lines). Essentially, the difference in the number of visited accelerators compensates for the difference in efficiencies. The high-energy breaks for A1 and A2 differ somewhat more than their low-energy breaks, and the variations with  $\log t$  deviate rather more from the linear relationship indicated by Equation 23. The fitted  $\bar{E}_{heb}$  in log space from Section 4.1 is marked by the right black circle in Figure 18.

The extent of the power law is then  $\bar{E}_{heb}/\bar{E}_{leb} \simeq 10^{(n-1)\log r}$ . The smaller (larger) the efficiencies of the accelerators, the larger (smaller) the number of cycles required to develop a power law of a given range. Figures 9 and 16 demonstrate that A1 accelerators need 9 cycles to achieve a similar power-law range as 5 cycles of A2 accelerators ( $8 \log 2.12 \simeq 4 \log 4.45 \simeq 2.6$ ). Additional cycles with a given efficiency also extend the region of the power law.

To determine the approximate constant value about which  $g_f^{(n)}$  oscillates, we note that in that region the

854 contributions of terms in Equation 18 that peak toward  
 855 the ends of the power-law energy range become increas-  
 856 ingly small near the center of the range; in particular,  
 857 if  $t$  is small, the pulses are narrow and the last term in  
 858 the sum is negligible. As an approximation, therefore,  
 859 we extend the summation in Equation 18 to include all  
 860 integers  $j < 1$  and  $j > n$ :

$$g_f^{(n)} \approx g_f^\infty \equiv \sum_{j=-\infty}^{+\infty} g(x - j \log r). \quad (24)$$

861 An example of Equation 24 evaluated for the finite sum  
 862  $j \in [-10n, +10n]$  with  $n = 9$  is shown with the sinu-  
 863 soidal blue dash line in Figure 18. Using more terms  
 864 does not change the results at the resolution of the  
 865 graph. The approximate form  $g_f^\infty$  in Equation 24 is  
 866 explicitly periodic in  $x$  with period  $\log r$ . Hence, it can  
 867 be expressed as a Fourier series

$$g_f^\infty = \sum_{k=-\infty}^{+\infty} e^{i2\pi kx / \log r} \mathcal{F}_k, \quad (25)$$

868 where  $\mathcal{F}_k$  is the Fourier coefficient of mode  $k$  in the  
 869 space  $x / \log r \in [-1/2, 1/2]$ .  $g_f^\infty$  oscillates about  $\mathcal{F}_0$ ,  
 870 the value of the Fourier coefficient for  $k = 0$ . Figure  
 871 18 shows  $\mathcal{F}_0$  for A1 with a horizontal blue dashed line.  
 872 The remaining coefficients for  $k \neq 0$  are the amplitudes  
 873 of oscillatory contributions to the full distribution that  
 874 cause the latter to deviate from the strict power law.

875 From Equation 14, in the power-law region

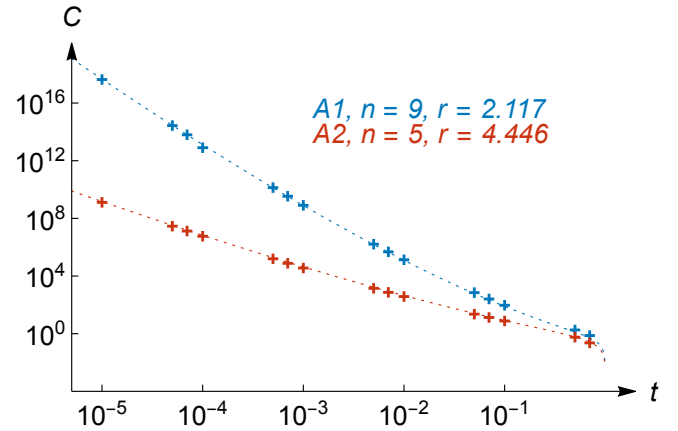
$$f_f^{(n)} \approx \left( \frac{1-t}{t} \right) \frac{\bar{E}^{-\delta'}}{\Gamma(\alpha+1)} g_f^\infty. \quad (26)$$

876 Thick black lines in Figure 16 show Equation 26 solved  
 877 with  $g_f^\infty$  from Equation 24, evaluated for the finite sum  
 878  $j \in [-50, 50]$ . Using more terms does not change the  
 879 results at the resolution of the graph. For both cases  
 880 shown in the Figure, the results very closely overlay the  
 881 exact (blue and red) curves within the relevant power-  
 882 law ranges, and extend them smoothly to energies be-  
 883 yond both the low- and high-energy breaks.

884 The normalization constant of the power law is

$$C \approx \left( \frac{1-t}{t} \right) \frac{\mathcal{F}_0}{\Gamma(\alpha+1)}, \quad (27)$$

885  $C$  is displayed in Figure 19. Analytical values (Equa-  
 886 tion 27, dashed) coincide with the fitted values (crosses)  
 887 for accelerators A1 (blue) and type A2 (red) from Sec-  
 888 tion 4.1, determined with the method described in the  
 889 Appendix. The thin black curves in Figure 16 show the  
 890 analytical power-law  $C\bar{E}^{-\delta'}$  (shifted up slightly for clar-  
 891 ity) with  $C$  from Equation 27 and  $\delta'$  from Equation 17.



**Figure 19.** Analytical values of normalization constant  $C$  (Equation 27, dashed lines) for the color-coded annotated parameters.  $r$  values are fitted values for A1 and A2 (see Figure 6). Crosses show fitted  $C$  for A1 (blue) and A2 (red) from fitting power-laws to the distributions in §4.1, as described in the Appendix.

892 We estimated the number of particles in the power-law  
 893 region by integrating

$$N_{pl} = \int_{\bar{E}_{leb}}^{\bar{E}_{heb}} C \bar{E}^{-\delta'} d\bar{E} = \left( \frac{1-t}{t} \right) \frac{\mathcal{F}_0 \left( \bar{E}_{heb}^{1-\delta'} - \bar{E}_{leb}^{1-\delta'} \right)}{\Gamma(\alpha+1)(1-\delta')} \quad (28)$$

894 Figure 14 compares the analytical (dashed) and numerical  
 895 (crosses) values of  $N_{pl}$ . The analytical calculations  
 896 underestimate the number of non-thermal particles be-  
 897 cause the analytical approximations for  $\bar{E}_{leb}$  (Equation  
 898 22) and  $\bar{E}_{heb}$  (Equation 23) are larger and smaller, re-  
 899 spectively than the fitted values (crosses in Figure 12  
 900 and 13). Almost identical results are obtained by inte-  
 901 grating the distribution function in Equation 12. Ana-  
 902 lytical Equations B7, B8, and B9 in the Appendix can  
 903 be used to estimate the differences in  $N_{pl}$  between a  
 904 power law and the distribution function in Equation 12.  
 905 In summary, in this section we have shown that, for  
 906 a large range of the  $(t, r, n)$  parameter space, sequen-  
 907 tially accelerated-particle distributions have a range in  
 908 energy where they can be approximated by a power law  
 909 with spectral index  $\delta'$  (Equation 17). In addition, key  
 910 features of the power law, such as energy breaks and  
 911 number of non-thermal particles, can be estimated ana-  
 912 lytically and easily interpreted from few physical pa-  
 913 rameters.

## 914 6. DISCUSSION

915 We have investigated the acceleration of particles in  
 916 the flaring solar corona by sequences of magnetic is-  
 917 lands that form, contract, and are transported within



918 the flare current sheet. Numerous islands populate the  
 919 sheet, as has been shown by many eruptive-flare simula-  
 920 tions, and by high-resolution, high-cadence observations  
 921 of the Sun. In our previous study (Guidoni et al. 2016),  
 922 we analyzed the evolution of a few of these islands and  
 923 their enclosed flux surfaces to determine their efficacy  
 924 at accelerating particles. We found a maximum energy  
 925 multiplication factor  $\mathcal{E}_{max} \approx 4$  for the cases examined.  
 926 This is significant, but it is not nearly sufficient to ex-  
 927 plain the high energies and power-law distributions of  
 928 the electrons that generate hard X-rays in flares.

929 Consequently, in this paper we have investigated the  
 930 effect of accelerating particles through multiple islands.  
 931 With an energy gain  $\mathcal{E} = 4$  in each island, particles must  
 932 visit only a few islands to increase their energies by or-  
 933 ders of magnitude. For example,  $n = 5$  such accelerators  
 934 increase the energies of some particles by a cumulative  
 935 factor  $\mathcal{E}_{tot} \approx 1000$ . We constructed sequences of dis-  
 936 tribution functions by assuming that a fraction  $t$  of the  
 937 particles accelerated in one island are transferred to the  
 938 next island to receive another energy boost by a factor  
 939  $r$ .

940 The distribution of ambient non-accelerated particles  
 941 at each stage is assumed to be an isotropic Maxwellian.  
 942 For the island acceleration process studied here, the dis-  
 943 tribution of accelerated particles becomes increasingly  
 944 anisotropic at each stage in the sequence. The degree of  
 945 anisotropy depends upon the relative roles of betatron  
 946 and Fermi acceleration in the contracting island, i.e., on  
 947 the detailed changes in the island’s size and shape as it  
 948 traverses the flare current sheet.

949 For our analysis, we did not separate mirroring from  
 950 transiting populations as particles jump among accelera-  
 951 tors because it is not clear how to characterize a change  
 952 in pitch angle as particles move between accelerators.  
 953 The total population (mirroring and transiting) was con-  
 954 sidered for the calculation of final spectra.

955 We showed that the fitted spectra of the resulting en-  
 956 ergy distribution functions consist of a smooth, flat re-  
 957 gion at low energies, an approximately power-law region  
 958 at intermediate energies, and a region with a sharply de-  
 959 creasing profile at high energies. The three regions are  
 960 separated by low- and high-energy breaks. The power-  
 961 law-like region presents some small bumps due to each  
 962 acceleration cycle. For our simple model, we have as-  
 963 sumed that particles are accelerated in a bath of accel-  
 964 erators whose properties can be described by averaged  
 965 quantities. On the Sun, it is likely that ~~the signal will~~  
 966 ~~come from this process will occur in~~ multiple accelera-  
 967 tors with different populations and values of the key  
 968 parameters. The effect of inhomogeneous accelerators

969 on the electron and photon spectra needs to be investi-  
 970 gated.

971 We found that increasing the number  $n$  of visited ac-  
 972 celerators shifts the high-energy break to ever-higher en-  
 973 ergy, as expected, but it does not significantly change  
 974 the spectral index  $\delta'$  of the power-law region. In con-  
 975 trast,  $\delta'$  depends sensitively upon the efficiency  $r$  of the  
 976 accelerators: larger  $r$  broadens the distribution of each  
 977 cycle more effectively than smaller  $r$ , so the index de-  
 978 creases and the spectrum becomes harder as  $r$  increases.  
 979 This is illustrated by the contrast between the distribu-  
 980 tions obtained with accelerators A1 and A2. Similarly,  
 981 larger  $t$  also broadens the distribution more effectively  
 982 than smaller  $t$ , so that as with  $r$ , the index decreases  
 983 and the spectrum becomes harder as  $t$  increases.

984 To gain further insight into the results, we explored  
 985 a simplified analytical model that emulates the aver-  
 986 age energy-amplification effect of the multiple-island  
 987 acceleration mechanism while ignoring the effects on  
 988 the isotropy of the distribution function. We found  
 989 an analytical expression for the spectral index,  $\delta' =$   
 990  $1 - (\log t)/(\log r)$ , that replicates not only the qualitative  
 991 features of our numerical results for the multiple-island  
 992 model, but also the quantitative values of the index pre-  
 993 dicted by our numerical model. The analytic expression  
 994 shows explicitly how changes in the transfer factor  $t$  and  
 995 the efficiency  $r$  modify the index of the central power-  
 996 law region of the energy spectrum.

997 Our results also can be used to determine the transfer  
 998 factor  $t$  required to produce a measured spectral index  
 999  $\delta'$ , given an input efficiency  $r$ :  $t = r^{1-\delta'}$ . For an ef-  
 1000 ficiency  $r = 4$  (our accelerator A2) and index  $\delta' = 5$ ,  
 1001 for example,  $t = 4 \times 10^{-3}$ . This is a tiny fraction of  
 1002 the particles resident in any island, but it is sufficient to  
 1003 produce a power law in the range typically inferred from  
 1004 solar-flare observations. The required transfer factor  $t$   
 1005 depends strongly upon the efficiency  $r$ , however. For  
 1006  $r = 2$  (our accelerator A1), as an example,  $t = 6 \times 10^{-2}$ ,  
 1007 more than an order of magnitude greater than for the  
 1008 first case. On the other hand, we expect that efficiencies  
 1009 larger than  $r = 4$  might result for islands formed in flare  
 1010 current sheets with different parameters than those in  
 1011 our original simulated eruptive flare/CME (a more com-  
 1012 pact active-region source, higher field strengths, lower  
 1013 plasma  $\beta$ , etc.). If so, the necessary transfer factor  $t$   
 1014 would be smaller for the same index  $\delta'$ , or the index  
 1015 would be smaller for the same transfer factor.

1016 For simplicity, we assumed that  $t$  is independent of en-  
 1017 ergy in both the detailed modeling of the multiple-island  
 1018 mechanism and the streamlined analytical model. Our  
 1019 aim was to avoid artificially skewing the results toward  
 1020 producing power laws by supposing that the transfer

of high-energy particles is more probable than that of low-energy particles. Because the high-energy particles actually are responsible for the power-law distribution, however, it seems likely that the transfer factor at the high-energy end of the spectrum ultimately determines the effective value of the transfer factor. In any case, a quantitative determination of  $t$ , via test-particle simulations or transport theory or some other means, would be invaluable, but is well beyond the scope of the present investigation.

Also for simplicity, we further assumed that both  $t$  and  $r$  were the same throughout the sequence, as the particles were accelerated from one island to the next. Changes in the temperature  $T$  of the bulk distribution over the lifetime of an island were ignored, as well. In the strongly time-varying environment of a flaring current sheet, all of these assumptions oversimplify the actual coronal evolution but enable us to make analytical progress and to interpret the results readily. On the other hand, the analytical model shows that the spectral index varies only logarithmically with the parameters  $r$  and  $t$ . This weak dependence moderates the influence of relatively small – factor-of-two or so – variations in the parameters from time to time, or from point to point, within a single flare current sheet, or even from the current sheet in one flare to that in another. The ranges in the parameters  $r$  and  $t$  that are relevant to solar flares might be sufficiently limited to yield only a relatively narrow range of expected spectral indices  $\delta'$ .

The spectra of our analytical model can be easily used as injection populations in codes that model the transport of flare-accelerated particles from the top of flare arcades to their eventual thermalization at the solar surface (e.g., Allred et al. 2020). The small number of parameters of our model simplifies the parameter-space exploration of the injection population when comparing the output of these codes with observed photon spectra. Determining  $r$ ,  $t$ , and  $n$  in this way provides average physical conditions of the acceleration region.

The hardest spectrum, i.e., the smallest value of the spectral index  $\delta'$ , is determined by the largest attainable values of  $r$  and  $t$  in combination. The highest energy that can be attained by a significant population of accelerated particles then is determined by  $n$ , the number of islands that a particle visits before it leaves the acceleration region. Assuming that thermal particles in the initial distribution are efficiently accelerated, the final distribution of particles is expected to extend over an energy range from  $\bar{E} \approx 1$  to  $\bar{E} \sim r^n$ . The number of particles in the distribution falls by a factor  $r^n$  as the number of particles is conserved during the acceleration process. For large transfer factors  $t \lesssim 1$ , these

limits roughly define the extent of the power-law region of the distribution function. For smaller transfer factors  $t \ll 1$ , on the other hand, the power-law region shifts toward higher energies on both the low- and high-energy sides. The number of particles in the distribution declines steeply as the energy breaks shift. Hence, although the power-law region continues to span a large range in energy, it contains an increasingly small fraction of the particles as the transfer factor  $t$  decreases.

Altogether, our results suggest that particle acceleration during the contraction of multiple magnetic islands in current sheets may produce the high-energy particles that emit observed hard X-rays and microwaves in solar flares. Given a characteristic energy amplification factor  $r$  within single islands in the sheet, ultimately accelerating many particles to high energies requires a significant fraction,  $t$ , of the particles to be transferred from one island to the next in the sequence, and for the particles to visit a sufficient number of islands,  $n$ , to achieve the needed energies. Our MHD simulations of eruptive flares have yielded initial values of  $r \lesssim 5$  by exploring a limited parameter space that should be extended to include more compact flare source regions with higher magnetic-field strengths. Such simulations also could be used to determine the achievable values of the transfer factor  $t$  and the number of visited accelerators  $n$ , by coupling the MHD model with a test-particle tracking model. This ambitious goal must be left to future investigations.

**Additional effects beyond the purview of MHD and test-particle tracking are important in a fully rigorous treatment of the problem of flare-particle acceleration in coronal current sheets.** First, we find the particle distributions that result from the process to be highly anisotropic. In a fully self-consistent kinetic calculation using PIC methods or the Vlasov-Maxwell equations, such particle distributions could initiate microinstabilities that induce electromagnetic field fluctuations. These fluctuations, in turn, would scatter the charged particles, altering the distribution of particle energies and angles from those calculated here. We point out that such effects could become important for *any* model of flare-particle acceleration that generates anisotropic distributions; this outcome is not limited to our simple model based on adiabatic invariants of the particle motion.

Second, as in any test-particle calculation, there is no back reaction from the accelerated flare particles to the bulk plasma and magnetic field. In addition to inducing electromagnetic fluctuations, as just mentioned, the energized particles will exert their own thermal- and kinetic-pressure forces on the bulk plasma, carry electric

1125 currents, and drain energy from the magnetic field. All  
 1126 of these effects would modify the evolution of the sys-  
 1127 tem away from any elementary MHD description that  
 1128 does not account for them. This outcome, also, is not  
 1129 limited specifically to our model, and it could substan-  
 1130 tially alter the calculated particle distributions from the  
 1131 feedback-free case.

1132 These very challenging issues are being addressed by  
 1133 recent model advances developing from multiple per-  
 1134 spectives. If kinetic-scale electric fields are not essential  
 1135 to the evolution of the system, as has been suggested by  
 1136 analyses of PIC simulations of particle acceleration by  
 1137 magnetic islands, one can apply a nonlinearly coupled,  
 1138 hybrid fluid/particle model suitable for collisional plas-  
 1139 mas (Drake et al. 2019; Arnold et al. 2019; Arnold et al.  
 1140 2021). If the plasma is collisionless and turbulent, on  
 1141 the other hand, as is the case in the solar wind, guiding-  
 1142 center kinetic transport theory can be used to develop

1143 reduced prescriptions, including focused-transport the-  
 1144 ory and Parker transport equations, that describe the  
 1145 acceleration of particles by contracting and merging in-  
 1146 terplanetary flux ropes (Zank et al. 2014; le Roux et al.  
 1147 2015, 2018; Zhao et al. 2018; Adhikari et al. 2019). All of  
 1148 these developments seek to bridge the immense gulf be-  
 1149 tween the governing macroscopic and microscopic scales  
 1150 at the Sun and in the heliosphere, and, at least in part,  
 1151 to explain the origin of high-energy particles in the solar  
 1152 system.

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## APPENDIX

### A. SPECTRAL FITTING METHOD

1154 Here we describe the automatic curve-fitting procedure used to estimate  $\bar{E}_{leb}$ ,  $\bar{E}_{heb}$ , power-law parameters  $C$  and  $\delta'$ , and their uncertainties that requires minimal human intervention.

1155 The following procedural steps are performed with a (preferably) large number of iterations  $m$ , in each of which a  
 1156 small percentage  $p$  of randomly selected points (3 points for the results in this paper) is withheld from the distribution  
 1157 to validate the model. For each resampled subset of points:

- 1160 1. **Manually initialize the high-energy break of the distribution,  $\bar{E}_{heb}$ :** Visually determine an approximate  
 1161 value for  $E_{heb}$ . This is the only manual step of the whole procedure, and the selected value does not have to be  
 1162 very accurate.
- 1163 2. **Fit a power law in the energy range  $(\bar{E}_i, \bar{E}_{heb})$ , for all  $\bar{E}_i$  below  $\bar{E}_{heb}$ :** For each  $\bar{E}_i$ , perform a linear fit  
 1164 to determine  $C$  and  $\delta'$ .
- 1165 3. **Estimate the low-energy break  $\bar{E}_{leb}$ :** For each fitted power law, perform a Kolmogorov-Smirnov goodness-  
 1166 of-fit statistical test by computing the maximum of the absolute value of the difference between the empirical  
 1167 and theoretical complementary cumulative functions for each  $\bar{E}_i$  (Clauset et al. 2009; Virkar & Clauset 2014).  
 1168 The complementary cumulative functions are computed between energies  $\bar{E}_i < \bar{E}_d < E_{heb}$  and  $0 < \bar{E}_i < E_{heb}$   
 1169 as follows

$$F^{(p)}(\bar{E}_i, \bar{E}_d) = \int_{\bar{E}_i}^{\bar{E}_d} C \bar{E}^{-\delta'} d\bar{E} \quad (A1)$$

$$F^{(f)}(\bar{E}_i, \bar{E}_d) = \int_{\bar{E}_i}^{\bar{E}_d} f_f^{(n)} d\bar{E}. \quad (A2)$$

1170 Define a function  $F(\bar{E}_i)$  as the maximum of the absolute value of the difference between the above complementary  
 1171 cumulative distributions

$$F(\bar{E}_i) = \text{Max} \left| F^{(p)}(\bar{E}_i, \bar{E}_d) - F^{(f)}(\bar{E}_i, \bar{E}_d) \right|. \quad (A3)$$

1172  $F(\bar{E}_i)$  may have several local minima due to the bumps in the distributions of each cycle.  $\bar{E}_{leb}$  is chosen as the  
 1173 energy  $\bar{E}_i$  that corresponds to the first local minimum (lowest energy) of  $F(\bar{E}_i)$ .

1174 4. **Estimate the high-energy break  $\bar{E}_{heb}$** : Due to the much smaller values of the particle distribution function  
 1175 at high energies, we chose a method where small fluctuations have less impact. We computed the difference  
 1176 in area under the logarithm of the empirical and theoretical distribution functions. These areas in logarithmic  
 1177 space  $x = \log \bar{E}$  are

$$\log F^{(p)}(\bar{E}_d) = \int_{x_{leb}}^{x_d} \log(C\bar{E}^{-\delta'}) dx = \int_{\bar{E}_{leb}}^{\bar{E}_d} [\log(C) - \delta' \log[\bar{E}]] \frac{d\bar{E}}{\bar{E} \ln(10)} \quad (\text{A4})$$

$$\log F^{(f)}(\bar{E}_d) = \int_{x_{leb}}^{x_d} \log[f_f^{(n)}] dx = \int_{\bar{E}_{leb}}^{\bar{E}_d} \log[f_f^{(n)}] \frac{d\bar{E}}{\bar{E} \ln(10)}. \quad (\text{A5})$$

1178 Then, we define a function  $\log F$  as the absolute value of the difference between the areas defined above

$$\log F(\bar{E}_d) = \left| \log F^{(p)}(\bar{E}_d) - \log F^{(f)}(\bar{E}_d) \right|. \quad (\text{A6})$$

1179  $\bar{E}_{heb}$  is chosen as the  $\bar{E}_d$  that corresponds to the last local minimum (highest energy) of  $\log F(\bar{E}_d)$ . It is worth  
 1180 noticing that the bump at  $t = 0.05$  for the A1 high-energy break in Figure 13 remains after changing the seed of  
 1181 the random generator of the fitting method.

1182 5. **Determine  $C$  and  $\delta'$** : Perform a final linear fit in the energy range  $(\bar{E}_{leb}, \bar{E}_{heb})$ , to determine  $C$  and  $\delta'$ .

1183 The final  $\bar{E}_{leb}$ ,  $\bar{E}_{heb}$ ,  $C$ , and  $\delta'$  and their uncertainties are calculated as the mean and the standard deviation over  
 1184 the  $m$  iterations of the quantities estimated in the above procedure. To find local minima in noisy data, we smoothed  
 1185 differences in empirical and theoretical data with a box of width = 11 points.

## 1186 B. ANALYTICAL PROCEDURE

1187 Here we provide an alternative method to estimate energy breaks for the analytical distribution functions in §5 using  
 1188 a similar method to the one described in §A.

- 1189 1. Use Equation 23 as initial approximation for  $\bar{E}_{heb}$ .
- 1190 2. Use  $C$  and  $\delta'$  from Equations 27 and 17, respectively.
- 1191 3. The complementary cumulative functions (Equations A1 and A2) in this case are

$$F^{(p)}(\bar{E}_i, \bar{E}_d) = \left( \frac{1-t}{t} \right) \frac{\mathcal{F}_0}{\Gamma(\alpha+1)(1-\delta')} \left( \bar{E}_d^{1-\delta'} - \bar{E}_i^{1-\delta'} \right) \quad (\text{B7})$$

$$F^{(f)}(\bar{E}_i, \bar{E}_d) = \frac{-1}{\Gamma(\alpha+1)} \left[ \left( \frac{1-t}{t} \right) \sum_{j=1}^n t^j \Gamma(\alpha+1, \bar{E}/r^j) + t^n \Gamma(\alpha+1, \bar{E}/r^n) \right] \Bigg|_{\bar{E}_i}^{\bar{E}_d}, \quad (\text{B8})$$

1192 where  $\Gamma(\alpha+1, y) = -\int e^{-y} y^\alpha dy$  is the Incomplete Gamma Function.

1193 Here, we set  $\bar{E}_{leb}$  equal to the  $\bar{E}_i$  that corresponds to the first local minimum (lowest energy) of  $F(\bar{E}_i)$  (Equation  
 1194 A3 with  $F^{(p)}$  and  $F^{(f)}$  from Equations B7 and B8, respectively).

- 1195 4. It is straightforward to show that in this case Equation A6 can be expressed as

$$\log F(x_d) = \left| \int_{x_{leb}}^{x_d} \log[g_f^{(n)}] dx - \mathcal{F}_0(x_d - x_{leb}) \right|. \quad (\text{B9})$$

1196 Here, we set  $\bar{E}_{heb}$  equal to  $\bar{E}_d = \log(x_d)$  with  $x_d$  equal to the last minimum (highest energy) of  $\log F(x_d)$ .

1197 Even though more consistent with the method of §A, the above method is considerably computationally more  
 1198 expensive than estimating energy breaks from the approximative Equations 22 and 23.

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