

1 **Noninvasive Indicators of Intracranial Pressure Before, During, and After Long-Duration**  
2 **Spaceflight**

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22 **Running Head:** Effect of spaceflight on ICP

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27 **Abstract (245/250 Words)**

28 Weightlessness induces a cephalad shift of blood and cerebrospinal fluid that may increase  
29 intracranial pressure (ICP) during spaceflight, while lower body negative pressure (LBNP) may  
30 provide an opportunity to caudally redistribute fluids and lower ICP. To investigate the effects of  
31 spaceflight and LBNP on noninvasive indicators of ICP (nICP), we studied thirteen  
32 crewmembers before and after spaceflight in seated, supine, and 15° head-down tilt postures,  
33 and at ~45 and ~150 days of spaceflight with and without 25 mmHg LBNP. We used 4  
34 techniques to quantify nICP: cerebral and cochlear fluid pressure (CCFP), otoacoustic  
35 emissions (OAE), ultrasound measures of optic nerve sheath diameter (ONSD), and ultrasound-  
36 based internal jugular vein pressure (IJVp). On flight day 45, two nICP measures were lower  
37 than preflight supine posture (CCFP: mean difference -98.5 -nl [CI: -190.8 to -6.1 -nl],  $p =$   
38 0.037]; OAE: -19.7 degrees [CI: -10.4 to -29.1 degrees],  $p < 0.001$ ), but not significantly different  
39 from preflight seated measures. Conversely, ONSD was not different than any preflight posture,  
40 whereas IJVp was significantly greater than preflight seated measures (14.3 mmHg [CI: 10.1 to  
41 18.5mmHg],  $p < 0.001$ ), but not significantly different than preflight supine measures. During  
42 spaceflight, acute LBNP application did not cause a significant change in nICP indicators. These  
43 data suggest that during spaceflight nICP is not elevated above values observed in the seated  
44 posture on Earth. Invasive measures would be needed to provide absolute ICP values and more  
45 precise indications of ICP change during various phases of spaceflight.

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47 **New & Noteworthy:** The current study provides new evidence that intracranial pressure (ICP),  
48 as assessed with noninvasive measures, may not be elevated during long-duration spaceflight.  
49 Additionally, the acute use of lower body negative pressure did not significantly reduce  
50 indicators of ICP during weightlessness.

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53 **Introduction**

54 When optic disc edema, globe flattening, choroidal folds, and hyperopic shifts were first reported  
55 in astronauts following long-duration spaceflight, some hypothesized that prolonged exposure to  
56 weightlessness caused a pathological elevation in intracranial pressure (ICP) leading to ocular  
57 findings similar to those in patients with idiopathic intracranial hypertension (IIH) (1, 2).

58 Therefore, these ocular changes were originally named the visual impairment and intracranial  
59 pressure (VIIP) syndrome, despite the fact that the sentinel report highlighted multiple  
60 hypotheses not related to ICP as the cause of the ocular findings (1). As reports were published  
61 of additional structural changes to the eye (3–7) and brain (8–13) during spaceflight and brief  
62 periods of weightlessness in parabolic flight (14), the National Aeronautics and Space  
63 Administration (NASA) renamed the risk spaceflight associated neuro-ocular syndrome (SANS)  
64 to better allow for a broad range of etiological possibilities for the cause of the neuro-ocular  
65 findings in crewmembers.

66

67 On Earth, moving from seated to supine posture increases ICP by ~10-15 mmHg (~14-20  
68 cmH<sub>2</sub>O), and during 15° head-down tilt (HDT) ICP increases by an additional ~5 mmHg (~7  
69 cmH<sub>2</sub>O) (15–17). Direct measures of ICP obtained in the supine posture during ~20 seconds of  
70 weightlessness induced by parabolic flight demonstrated that ICP was not elevated, but rather  
71 slightly less than the ICP measured in the supine posture during 1g (13 mmHg while weightless  
72 vs 15 mmHg in 1g), although ICP was higher than that measured while seated in 1g (4.1  
73 mmHg) (14). While ICP has never been directly measured in astronauts during spaceflight,  
74 postflight lumbar puncture opening pressure (LPOP) of cerebrospinal fluid pressure (CSFP) has  
75 been measured in a subset of long duration astronauts presenting with Frisèn grade optic disc  
76 edema, with CSFPs ranging from 12 to 21 mmHg (16 to 29 cm H<sub>2</sub>O) (1, 7, 18). Because some  
77 of these pressures are considered to be higher than normal (19), it was hypothesized that  
78 spaceflight may cause changes in CSF homeostasis that result in an augmented response of

79 ICP to posture changes and therefore an increased ICP after flight when crewmembers are  
80 placed in the lateral decubitus posture for the lumbar puncture procedure (1). Thus, there  
81 remains a need to measure ICP during and following long-duration spaceflight in astronauts  
82 who present with and without optic disc edema to determine what role ICP may have in the  
83 observed ocular and brain changes of astronauts.

84  
85 Techniques for assessing nICP include cerebral and cochlear fluid pressure (CCFP) (1, 5, 7, 14,  
86 20, 21), otoacoustic emissions (OAE) (22, 23), and the use of ultrasonography to measure optic  
87 nerve sheath diameter (ONSD) (24, 25). Where CCFP values correlate with tympanic  
88 membrane displacement (TMD) (1, 5, 7, 14, 20), OAE measures correlate with the tension of  
89 the oval window and the middle ear (22, 23), and ONSD measures the engorgement of the optic  
90 nerve sheath due to accumulation of cerebrospinal fluid (CSF) near the optic nerve head (ONH)  
91 (24, 25). Noninvasive indicators of internal jugular vein pressure (IJVp) (18) also may reflect  
92 ICP, because pressure in the dural venous sinuses has a direct effect on ICP (26).

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94 The aim of this study was to use multiple noninvasive methods to assess ICP before, during and  
95 after long-duration spaceflight. A secondary aim was to determine if use of lower body negative  
96 pressure (LBNP), a technique that sequesters blood volume in the lower body, could reduce  
97 ICP during spaceflight. We hypothesized that nICP indicator measurements during spaceflight  
98 would be significantly greater compared to the upright posture on Earth. Additionally, we  
99 hypothesized that the use of LBNP would decrease nICP measures. To accomplish these aims,  
100 we assessed crewmembers before and after spaceflight in multiple postures on Earth, and  
101 during spaceflight with and without use of LBNP. Using these data, we sought to determine: (1)  
102 how noninvasive indicators of ICP during spaceflight compare to noninvasive measures of ICP  
103 in various postures on Earth, (2) the effect of LBNP on nICP indicators during spaceflight, and  
104 (3) if the change in nICP indicators due to posture changes is augmented after spaceflight.

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**Methods**

*Study Design*

This NASA funded study known as “Fluid Shifts” included co-investigators from multiple institutions and international partners, and other data from this cohort have previously been reported (27–29). Thirteen crewmembers (2 females, 11 males; mean  $\pm$  standard deviation [SD]: 46 $\pm$ 6.6 years old; 81.5 $\pm$ 9.5 kg) from multiple space agencies provided written informed consent to participate in this study and participated in a (mean  $\pm$  SD) 215  $\pm$  72-day mission to the International Space Station (ISS). The study protocol was approved by the NASA Johnson Space Center Institutional Review Board (protocol #0701), the Human Research Multilateral Review Board, and internal review boards from the respective international space agencies.

A schematic of the testing timeline is provided in Figure 1 with the mean and the SD of data collection days. Preflight (Pre) and postflight (return [R]+10 days, R+30 and R+180) testing occurred in the seated, supine, and 15° HDT postures. Before data collection, crewmembers were stabilized in each posture for 5 minutes and data were collected in the same order during each posture. The inflight measures on the ISS occurred around flight day 45 (FD45) and 150 (FD150). During flight, data were collected at baseline without LBNP, and approximately 1 week later with 25 mmHg LBNP (Russian Chibis-M LBNP) for ~60 minutes; testing during LBNP at each FD45 and FD150 required two 60-minute session, typically separated by 1-2 days. The postflight R+10 data collection session included only 8 of the 13 crewmembers because the testing required immediate postflight travel to NASA Johnson Space Center after return to Earth.

*Noninvasive Indicators of ICP*

130 The CCFP analyzer (MMS-11 Tympanic Displacement Analyzer, Marchbanks Measurement  
131 Systems, Ltd., Lymington, Hampshire, United Kingdom) elicits a tone that causes stapedius  
132 muscle contraction and TMD. The direction and magnitude of the TMD movement correlates  
133 with direct ICP magnitude (20) due to the direct communication of the perilymph and CSF  
134 through the cochlear aqueduct (21, 30–33) which results in a pneumatic volume change of the  
135 ear canal, measured in nanoliters. The units were inverted to more intuitively relate to changes  
136 in pressure (scale reversed from nl to -nl). During the preflight session, a middle ear analyzer  
137 (GSI TympStar Pro) was used to determine the acoustic reflex threshold and to set the stimulus  
138 intensity presented by the CCFP analyzer, with a maximum of 110 dB hearing level. The same  
139 intensity of CCFP analyzer stimulus intensity was used for a given subject at all data collection  
140 sessions, and the mean of 20 stimuli from each session was used for statistical analysis. During  
141 preflight and postflight testing, CCFP measures were obtained ~15 minutes into each posture  
142 condition, while this measure was collected after ~5 minutes of use of LBNP application during  
143 spaceflight, as previously described (20).

144  
145 Transient evoked OAE methods, which apply broadband click stimuli, were employed as  
146 noninvasive indices of ICP change and were previously described in detail (34). The association  
147 between OAE and direct ICP measures relies on the pressure communication between  
148 perilymph and cerebral spinal fluid; altered stiffness of structures within the middle ear results in  
149 a frequency specific phase in the OAE response signal relative to a reference condition's OAE  
150 response (22, 23). Each subject's conglomerate OAE response from all conditions was used as  
151 the arbitrary zero value for phase, against which each individual condition was compared and  
152 reported as a raw phase value. Phases are read from the plotted data as the difference between  
153 a reference value (such as seated) and the condition of interest. During preflight and postflight  
154 testing transient-evoked OAE recordings were obtained ~5 minutes after assuming each  
155 posture. During spaceflight OAE measures were obtained ~1 week prior to the LBNP session

156 and ~30-45 minutes after starting LBNP. OAE responses were recorded from 600 Hz to 6 kHz  
157 along with the ear canal acoustic stimulus response as a quality control, using the Otoport  
158 Advance OAE analyzer (Otodynamics, Hatfield, UK). All recordings were obtained from the right  
159 ear. One recording was made for each condition during preflight and postflight testing. Inflight  
160 recordings were taken in duplicate, with a complete removal and reseating of the ear canal  
161 probe to maximize the likelihood for optimal fit; all data were used unless they did not meet  
162 quality criteria as described below. OAEs were analyzed for each crewmember in three  
163 individualized frequency bands in the 0.7-1.7 kHz range (22, 35), which remained fixed for all  
164 the analyses of all conditions for that crewmember. Recordings (all bands) were considered  
165 unusable if 50% or more of the acoustic stimulus phase or intensity data points across the entire  
166 recorded frequency range were more than two standard deviations from the mean of each  
167 subject's conglomerate acoustic stimulus (all conditions); 13 recordings were excluded out of  
168 275. Individual band data were excluded if the signal-to-noise ratio was less than 6 dB (43  
169 bands were excluded of 825 total).

170  
171 Ocular ultrasound was obtained in the right eye ~20 minutes after assuming each posture  
172 during preflight and postflight testing. During spaceflight with LBNP, ONSD measures were  
173 obtained by crewmembers ~5-10 minutes after applying LBNP. However, ONSD measures did  
174 not occur on the same day as the CCFP and OAE measures in LBNP. At each data collection  
175 session, 3 images were obtained with the GE Vivid Q ultrasound device (GE Healthcare, USA)  
176 using a 12 MHz linear probe (36). Ultrasound images of the ONSD were obtained by  
177 crewmembers on ISS during spaceflight with real-time guidance. ONSD was manually  
178 measured by two independent sonographers using GE EchoPAC software (GE Healthcare,  
179 United States) 3 mm posterior to the ONH. If the measurements of ONSD from an  
180 ultrasonographic image differed by more than 10% (20% for seated posture), an additional  
181 sonographer analyzed the image. If these quality criteria could not be achieved due to poor

182 image quality, the measurement was not included in the data set (1/632 of measures were  
183 excluded).

184  
185 Noninvasive indicators of IJVp, which have been correlated with direct measures of ICP in  
186 previous experiments (26), were obtained ~35 minutes after assuming each posture during  
187 preflight and postflight testing, as previously described (18). Briefly, the VeinPress device was  
188 zeroed prior to each measurement, the subject was instructed to inhale and exhale to functional  
189 residual capacity and hold their breath briefly. The IJV was compressed until both walls of the  
190 vessel touched and the subject was instructed to breathe normally. IJVp measures were not  
191 obtained during LBNP because this measure was added to the spaceflight arm late in the  
192 implementation of the study and the appropriate approvals were not obtained by the time the  
193 study started. The VeinPress compression sonography device (Meridian AG, Switzerland) uses  
194 a translucent fluid-filled bladder attached to a manometer, secured to a 12-5 MHz linear array  
195 ultrasonographic probe (GE Healthcare, USA) (18, 28). Noninvasive indicators of IJVp are  
196 determined by observing the pressure required to fully compress the IJV (lumen walls touching).  
197 Two to three measurements were obtained at each time point and two independent  
198 sonographers reviewed videos and still images to determine the pressure at wall collapse and  
199 tissue contact, as previously described (18, 28). A subset of the IJVp data included herein have  
200 been previously published by our group (28); however, here we report the complete dataset with  
201 three additional subjects.

202  
203 During preflight and postflight testing on Earth the order of data collection for the nICP indicators  
204 was OAE, CCFP, ONSD, and IJVp. However, during spaceflight due to the crewmembers being  
205 at steady state with no change in posture and due to scheduling constraints related to  
206 conducting spaceflight research, the order of measures was not always the same. For most of  
207 the sessions, ONSD and IJVp measurements preceded the CCFP and OAE measurements.



208 During use of LBNP, ONSD was measured on the first LBNP day along with other ultrasound  
209 measures, and on the second LBNP day CCFP was first and OAE was last.

210

### 211 *Statistical Analyses*

212 Statistical analyses were performed using Stata software (StateCorp. LLC, 2019. Stata  
213 Statistical Software: Release 16. College Station, TX), with an emphasis on characterizing the  
214 observed effects with modeled means and 95% confidence intervals. Statistically significant  
215 differences were determined against a 2-tailed null hypothesis of no-differences with  $\alpha=0.05$ . All  
216 model assumptions were evaluated prior to reporting effects, resulting in the elimination of a few  
217 observations per outcome that produced standardized residuals exceeding  $\pm 2$  units, based on  
218 the measure used, in order to meet model assumptions. Our experimental design is longitudinal  
219 in nature, with astronauts providing data from each of three postures pre- and postflight (seated,  
220 supine, HDT), and two in-flight conditions observed at two time periods (spaceflight,  
221 spaceflight+LBNP). In addition, some of the outcomes were measured in replicate within each  
222 time point to increase precision as described above (ex. IJVp was measured in triplicate each  
223 time).

224

225 We submitted each of our continuously scaled outcomes to separate statistical mixed-models  
226 with a-priori fixed effects parameters. We first compared FD45 spaceflight to all posture  
227 combinations preflight. Next, we analyzed the inflight data (FD45 and FD150) collected with and  
228 without LBNP for our evaluation of the effects of LBNP in microgravity. Finally, we evaluated  
229 posture changes before and after spaceflight to determine effects of day or posture, as well  
230 omnibus interaction effects. All models included random Y-intercept to accommodate the within-  
231 subjects experimental design. All data are presented as the marginal means  $\pm$  95% confidence  
232 intervals (CI) unless otherwise noted.

233

234 **Results**

235 *Effect of Posture and Spaceflight on nICP*

236 Posture changes from seated to supine and seated to 15°HDT resulted in a statistically  
237 significant mean increase in nICP indicators for all 4 measurement methods (Table 1, shown in  
238 Figures 2 and 4, although statistical analyses are not reflected in these figures).

239

240 On FD45 during spaceflight the CCFP-acquired TMD estimate of nICP was lower than the TMD  
241 measured preflight in the supine posture (mean difference: -98.5 -nl [CI: -190.8 to -6.1 -nl],  
242  $p=0.037$ ) and 15° HDT posture (mean difference: -182.9 -nl [CI: -275.2 to -90.6 -nl],  $p<0.001$ ),  
243 but not significantly different from the preflight seated posture (mean difference: 7.1 -nl [CI: -  
244 85.2 to 99.4 -nl],  $p=0.879$ ). Similarly, the OAE phase on FD45 was also significantly lower than  
245 the preflight supine posture (mean difference: -19.7 degrees [CI: -10.4 to -29.1 degrees],  
246  $p<0.001$ ) and 15° HDT posture (mean difference: -41.9 degrees [CI: -32.2 to -51.6 degrees],  
247  $p<0.001$ ), but not statistically different than the preflight seated posture (mean difference: 2.4  
248 degrees [CI: -7.3 to 12.1 degrees],  $p=0.627$ ). At FD45 the mean change in ONSD was not  
249 significantly different compared to any preflight posture (mean differences - seated: -0.026 cm  
250 [CI: -0.056 to 0.005 cm],  $p=0.099$ ; supine: (0.012 cm [CI: -0.017 to 0.042 cm],  $p=0.408$ ; or 15°  
251 HDT (0.023 cm [CI: -0.006 to 0.052 cm],  $p=0.117$ ). During spaceflight on FD45 the change in  
252 IJVp was not statistically different than the preflight supine posture (mean difference: -2.6  
253 mmHg [CI: -6.6 to 1.5 mmHg],  $p=0.21$ ), but was greater than the preflight seated mean  
254 measures (mean difference: 14.3 mmHg [CI: 10.1 to 18.5 mmHg]  $p<0.001$ ) and less than the  
255 15° HDT mean measures (-4.1 mmHg [CI: -0.1 to -8.2 mmHg]  $p=0.047$ ). During spaceflight the  
256 mean nICP indicator measures between FD45 and FD150 were not significantly different from  
257 each other (CCFP: 53.0 -nl [CI: -39.6 to 145.6 -nl]  $p=0.256$ ; OAE: 6.96 degrees [CI: -0.8 to 14.7  
258 degrees]  $p=0.078$ ; ONSD: (0.006 cm [CI: -0.023 to 0.035 cm]  $p=0.688$ ; IJVp: -2.2 mmHg [CI: -  
259 6.4 to 2.0 mmHg]  $p=0.296$ ).

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261 *Effect of LBNP during Spaceflight on nICP*

262 Of the 3 techniques that were evaluated with LBNP during spaceflight, only OAE showed a  
263 significant nICP indicator change (Figure 3). All changes in nICP indicator measures were  
264 compared to the baseline values obtained on FD45 (FD45 Spaceflight). There was no  
265 significant difference in CCFP-acquired TMD between FD45 with and without LBNP (mean  
266 difference: -16.73 -nl [CI: -66.53 to 33.07 -nl]  $p=0.496$ ) and FD150 with LBNP (mean difference:  
267 -29.85 -nl [CI: -81.55 to 21.85 -nl]  $p=0.246$ ). Similarly, there was no change in ONSD with use of  
268 LBNP on FD45 (mean difference: -0.002 cm [CI: -0.032 to 0.028 cm]  $p=0.895$ ) or FD150 with  
269 LBNP (mean difference: 0.004 cm [CI: -0.026 to 0.034 cm]  $p=0.791$ ) or the OAE phase on FD45  
270 with LBNP (mean difference: -1.8 degrees [CI: -8.3 to 4.6 degrees]  $p=0.577$ ). On FD150 with  
271 LBNP, the change in the OAE phase was statistically significant, indicating that LBNP induced a  
272 higher middle ear tension (mean difference: 9.0 degrees [CI: 2.1 to 15.8 degrees]  $p=0.01$ ).

273

274 *Effect of Spaceflight on the Response of nICP Indicators during Postflight Posture Changes*

275 To determine if spaceflight caused an augmented response of the nICP indicators during  
276 posture changes, we investigated if the nICP indicator responses to posture changes postflight  
277 were significantly different than preflight testing time points. The omnibus interaction between  
278 posture and time was only significant for OAE (Table 1). Similar to the preflight posture  
279 changes, preflight and postflight tests demonstrated a main effect for posture, with progressive  
280 increases in noninvasive indicators of ICP with postural changes from the seated to supine and  
281 HDT postures (Table 1, Figure 4).

282

283 Of the 13 crewmembers studied, Space Medicine Operations determined that one presented  
284 with Frisèn grade 1 optic disc edema during spaceflight and had a postflight CSFP of 16.2  
285 mmHg (22 cm H<sub>2</sub>O) 7 days after returning to Earth, which is below the threshold of direct ICP

286 measurements for intracranial hypertension (1, 7, 37). No additional direct measures of ICP are  
287 available for other crewmembers in the current study.

288

## 289 **Discussion**

290 The majority of nICP indicators used in the present study demonstrate that spaceflight values  
291 are not significantly different from the seated posture observed before spaceflight. These are  
292 noninvasive estimates of ICP change and each technique utilizes a different physiological  
293 mechanism as an indicator of ICP. Therefore, because each technique relies on a different  
294 physiological relationship to ICP some differences among the measures are expected and  
295 definitive conclusions regarding the true ICP levels during long duration spaceflight will require  
296 invasive gold standard measures of ICP. Unfortunately, due to the invasive nature of directly  
297 measuring CSF pressure via lumbar puncture, inflight measures of ICP on the ISS have not  
298 been performed. However, the nICP indicator data presented here provide important new  
299 information about the relative pattern of ICP change during long duration spaceflight as  
300 compared to various posture conditions on Earth.

301

302 On Earth, direct measures of ICP document a posture-induced increase in ICP from the upright  
303 to the supine body position, highlighting the diurnal fluctuations in ICP that occur during a 24-  
304 hour period, with about two-thirds of the day spent in the upright posture (14, 16, 17). The  
305 normal reference values of directly measured ICP in the upright and supine positions are  
306 approximately 1 mmHg (-5.9 to 8.3 mmHg (1.3 cmH<sub>2</sub>O (-8.7 to 11.2))) and 8.6 mmHg (0.9 to  
307 16.3 mmHg (11.7 cmH<sub>2</sub>O (1.2 to 22.2 cmH<sub>2</sub>O))), respectively (38). In addition, ICP measured in  
308 the 15°HDT posture is  $\sim 26 \pm 4$  mmHg (39). In a majority of the four different noninvasive ICP  
309 approaches, we observed the expected posture-induced increase (18, 20, 22–26) before and  
310 after flight. While three of these nICP indicators demonstrate a similar and expected pattern of  
311 posture-induced change, the ONSD measure in the present study was not sufficiently sensitive

312 to detect this known change in ICP. Therefore, we focus our interpretation of the spaceflight-  
313 induced change in nICP indicators on TMD, OAE and IJVp outcome measures.

314  
315 The TMD and OAE nICP indicators demonstrate that values during long duration spaceflight are  
316 similar to those observed in the seated posture. Invasive ICP measures during acute  
317 weightlessness in parabolic flight demonstrated a significant decrease in ICP when transitioning  
318 from 1g to 0g in the supine posture by  $3.8 \pm 2.9$  mmHg (mean  $15 \pm 2$  mmHg in 1g and  $13 \pm 2.6$   
319 mmHg during 0g), supporting the idea that ICP during spaceflight may be less than when in the  
320 supine posture on Earth but remains greater than the value in the seated posture. This suggests  
321 that there may be ICP-lowering fluid volume adaptations during prolonged spaceflight that do  
322 not develop during 20 seconds of weightlessness. Indeed, IJV area during acute weightlessness  
323 in parabolic flight was twice as large as IJV area measured supine (14), whereas IJV area  
324 measured after ~45 days of spaceflight was similar to preflight values in the supine posture (28).  
325 Our FD45 measures of IJVp were not significantly different from the supine posture, but some  
326 limitations in this measurement approach may address this finding. During spaceflight the TMD  
327 and OAE nICP indicator values were significantly less than those in the supine posture and not  
328 different from the seated upright posture, whereas weightlessness IJVp measures were not  
329 significantly different from preflight supine values. However, these three ICP indicators during  
330 spaceflight were less than measures during the 15°HDT posture with invasive ICP documented  
331 to be  $\sim 26 \pm 4$  mmHg (39). Therefore, we are cautious to draw a definitive conclusion in regard to  
332 how similar spaceflight IJVp values are relative to the seated or supine postures on Earth.  
333 Previous reports document that ICP in the supine posture is approximately  $\sim 9$  mmHg (38),  
334 therefore the present data further suggest that ICP during spaceflight would be below this value.

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337 All of the nICP indicators used in this study track ICP changes indirectly and do not produce an  
338 absolute ICP value. Further, each ICP indicator technique relies on a different physiological  
339 mechanism to detect change, and may explain why the spaceflight to supine IJVp trends were  
340 different than those detected with TMD or OAE methods. During seated posture the IJVs often  
341 are collapsed as the majority of blood from the head drains through the vertebral veins. There  
342 may be a difference in the relationship between ICP within the skull, IJVp measured outside the  
343 skull, and the resulting changes in central venous pressure within the thorax. Indeed, during  
344 parabolic flight supine subjects entry into weightlessness led to a drop in central venous  
345 pressure, concomitant with a drop in ICP, yet IJV area increased by ~100% (0.5 cm<sup>2</sup> to 1.0  
346 cm<sup>2</sup>). It is also possible that the absolute value of IJVp if measured invasively would be lower  
347 than that measured with the present IJVp technique. This difference may occur because the  
348 pressure value that we record is at full collapse of the vessel which may over estimate an  
349 invasively measured pressure. Further there may be some IJVp device gravity dependencies  
350 that have yet to be identified, however these were not evident in our use of the device. The  
351 IJVp spaceflight values are similar to those in the supine posture on Earth, but for the reasons  
352 outlined above some caution is warranted in concluding that these IJVp data suggest that ICP  
353 during spaceflight is greater than the seated body posture on Earth.

354

355 The ICP indicators measured during spaceflight in the present study were mostly similar to the  
356 seated posture on Earth. The finding that spaceflight IJVP values are similar to supine posture,  
357 and OAE and TMD are similar to seated may help explain why ONH edema tends to be mild or  
358 subclinical in most SANS cases. Further work is needed to understand if the lack of diurnal  
359 change in ICP contributes to the development of neuro-ocular changes observed in some  
360 astronauts during long-duration spaceflight. Since our current conclusions are based on cohort  
361 mean values, it is also still possible that ICP is chronically and mildly elevated in a subset of  
362 astronauts, which could lead to SANS.

363

364 We also designed this study to investigate if LBNP application during spaceflight could be used  
365 to lower ICP. LBNP is a technique used to sequester fluid in the lower limbs and has historically  
366 been used as a technique to evaluate cardiovascular physiology and function through a  
367 reduction in venous blood volume returning to the heart (40, 41). Here, we implemented the use  
368 of a moderate level of LBNP (25 mmHg) to minimize untoward cardiovascular stress and thus  
369 reduce the possibility of crewmembers developing symptoms of presyncope. When healthy  
370 subjects on Earth were exposed to 20-30 mmHg of LBNP while in the supine posture, which  
371 based on IJV area elicits a similar venous headward fluid shift as occurs during spaceflight (28),  
372 LBNP did not impair cardiac output or cerebral perfusion pressure (34, 39). During spaceflight,  
373 we did not observe a significant reduction in nICP indicators during use of 25 mmHg LBNP. One  
374 likely explanation for this finding is that the baseline ICP surrogate values were not significantly  
375 elevated. Direct invasive ICP measures in healthy subjects during moderate levels of LBNP (20  
376 to 30 mmHg) in the supine posture reduced ICP by only ~3 to 4 mmHg (39). Thus, if a similar  
377 magnitude decrease in ICP occurred in crewmembers during spaceflight studied in the present  
378 study our noninvasive approaches may not have had the sensitivity to detect a change induced  
379 by LBNP (42, 43). When subjects were exposed to 15° HDT on Earth, ICP was elevated to ~26  
380 ± 4 mmHg and use of the same moderate level of LBNP (20-30 mmHg) reduced ICP by 6 to 8  
381 mmHg (39). These data highlight that use of LBNP may have a greater magnitude effect at  
382 higher baseline ICP levels and less of an effect at lower ICP values, which is consistent with the  
383 nonlinear intracranial pressure-volume curve (42). Therefore, based on the intracranial  
384 compliance, the effectiveness of LBNP at lowering ICP is dependent on ICP magnitude. Larger  
385 reductions in ICP would likely be possible when LBNP reduces the headward fluid shift in  
386 individuals operating at the higher end of their intracranial compliance curve. The fact that  
387 LBNP did not reduce ICP in these experiments is therefore further evidence that ICP is not  
388 substantially elevated during spaceflight.

389

390 The potential role for LBNP as a SANS countermeasure may not rely solely on ICP outcomes.  
391 Previously, we reported in the same subjects studied here that 25 mmHg LBNP causes a  
392 reduction of IJV area and pressure and improvements in IJV flow in some crewmembers during  
393 spaceflight (34). This suggests that the spaceflight-induced headward fluid shift in the cerebral  
394 venous compartment can be altered by LBNP, despite the lack of a direct effect on nICP  
395 indicators as reported here. However, invasive measures of ICP would have greater sensitivity  
396 and higher fidelity to definitively document the effects of mechanical countermeasures on ICP. It  
397 is important to note that LBNP reduces intraocular pressure during spaceflight which suggests  
398 an effect on ocular venous hemodynamics (29). This highlights the reality that ICP should not be  
399 the only outcome measure used to determine the effectiveness of possible SANS fluid shift  
400 countermeasures(44), especially given that ICP has not been proven to be the sole cause of  
401 SANS.

402

403 While these nICP indicators did not demonstrate significant effects of 25 mmHg LBNP on ICP  
404 during spaceflight, it is possible that the effect of LBNP on the cerebral vasculature could reduce  
405 capillary pressure at the optic nerve head, and thereby reduce the development of optic disc  
406 edema, independent of changes to ICP. Mean arterial pressure at the level of the eye is  
407 elevated during weightlessness relative to the upright posture on Earth because of the lack of a  
408 hydrostatic column (20, 45). Engorgement of the IJV is evident during weightlessness (14, 28)  
409 and reduced with LBNP (28). Thus, if venule and arterial pressure are elevated this would  
410 result in increased capillary pressures. Similar to the cerebral microcirculation, the retinal  
411 microvasculature contains blood-brain barrier proteins and tight junctions that should effectively  
412 prevent changes in arterial and venous pressure from causing increased capillary filtration and  
413 accumulation of extra-vascular fluid. Indeed, despite arterial and venous pressures affecting the  
414 entire retinal vasculature equally, we do not observe edema throughout the entire retina (3, 47).



415 Instead, we have previously hypothesized (3) that the lack of blood-brain barrier markers in the  
416 prelaminar region of the optic nerve head (47) may make this region specifically susceptible to  
417 increases in capillary filtration secondary to elevated arterial and venous pressures in this  
418 region. Therefore, if reducing venous pressure at the level of the eye, independent of effects on  
419 ICP, causes a reduction in capillary filtration that contributes to optic disc edema, then LBNP  
420 represents a potential approach to mitigate SANS. This notion is independent of any potential  
421 changes to TLPD, which are possible with LBNP use. LBNP during spaceflight reduces IOP by  
422 ~1.4 mmHg in the same subjects studied here (29) and if ICP during spaceflight is similar to the  
423 seated posture on Earth and unchanged by LBNP, then TLPD could in theory be reduced by 1-2  
424 mmHg. Given that daily posture changes on Earth change TLPD by ~10 mmHg it seems  
425 unlikely that reducing TLPD by ~1-2 mmHg during LBNP use would negatively affect the optic  
426 nerve head, however this potentially deleterious effect of LBNP should be considered during  
427 interpretation and application of data collected during LBNP experiments.

428

429 Direct measures of ICP in six astronauts have been collected in those presenting with Frisè  
430 grade 1 edema or greater after return from long-duration spaceflight missions (Table 2) (1, 7,  
431 37). Interestingly, the range of the postflight ICP values reported in astronauts with optic disc  
432 edema were similar to the ICP values reported in healthy individuals before parabolic flight (14).  
433 Despite this, some have considered these values in astronauts postflight to be at the higher end  
434 of normal, and two exceeded the 25 cmH<sub>2</sub>O (18.4 mmHg) threshold of IIH (1, 7, 37), although  
435 there are data that support raising the ICP threshold for IIH diagnosis to 30 cmH<sub>2</sub>O (46). We and  
436 others have hypothesized that spaceflight may have changed the shape of the intracranial  
437 compliance curve. Even if the mean ICP is unchanged, there may be a higher ICP pulse  
438 amplitude. However, these data have not been reported in astronauts and these nICP indicator  
439 data only provide estimates of the mean change in ICP and do not provide insight into the ICP

440 pulse amplitude. Invasive ICP measures of pulse amplitude would be highly beneficial in directly  
441 addressing changes in craniospinal compliance.

442  
443 Since each of the nICP indicator methods have limitations, we chose to use multiple  
444 approaches to demonstrate consistent findings across techniques and therefore increase  
445 confidence in the interpretation of the findings of the overall cohort. The crewmember with  
446 Frisè grade optic disc edema did not demonstrate consistently different nICP indicator findings  
447 relative to other participants in this cohort. While this subject appeared to demonstrate a larger  
448 shift in OAE phase during spaceflight, this finding was not replicated by the other nICP indicator  
449 approaches. Recent reports of optic disc edema in crewmembers assessed using optical  
450 coherence tomography suggest that early signs of optic disc edema are present in most  
451 crewmembers (3, 5). This indicates that there is some common cause that we have not  
452 detected in these experiments, and due to the inconsistency in the nICP indicators in this single  
453 SANS case in our cohort, we cannot conclude that ICP was a primary contributor to SANS in  
454 this subject. The nICP indicators of the present study provide insight into ICP at a single point in  
455 time and represent a time averaged value over the course of the measurement. We  
456 acknowledge that these nICP indicator techniques do not provide an absolute ICP value in units  
457 of pressure, and as an indirect measure may not follow the same nonlinear intracranial  
458 pressure-volume curve. Finally, we acknowledge that the inflight measures of nICP indicators  
459 with and without LBNP were not collected on the same study day. Due to crewmember  
460 scheduling constraints, coordination of moving equipment between the US and Russian  
461 modules on the ISS, and the total time needed to conduct all experiments, the measures  
462 needed to be obtained on separate study days.

463  
464 In conclusion, these data demonstrate that the nICP indicators during spaceflight are overall  
465 similar to measures obtained in the seated posture on Earth, with three of the four measures

466 demonstrating values not different than those obtained in the seated posture. LBNP did not  
467 lower nICP indicators during spaceflight, possibly because ICP was not substantially elevated.  
468 Future investigations of invasive gold standard measures of ICP would provide absolute ICP  
469 values and more specific pressure change values, but the results presented here further our  
470 understanding of ICP during and after long-duration spaceflight.

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473

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### **Disclosures**

482 No conflicts of interest, financial or otherwise, are declared by the authors.  
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### **Author Contributions**

489 S.S.L., S.M.L., D.S.M., A.S., D.J.E., A.H., M.B.S., and B.R.M. conceived and designed the  
490 experiments. A.H., M.B.S., B.R.M., and S.S.L. performed the experiments. J.V.J., D.J.E., D.K.,  
491 R.W.D., R.P.S., S.S.L., B.R.M. analyzed data from the experiments. J.V.J., D.J.E. S.M.L., D.K.  
492 R.P.S., K.M.G., A.H., M.B.S., B.R.M., and S.S.L prepared and drafted the manuscript. All  
493 authors contributed to the intellectual input into analyzing and writing the manuscript.

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- 640  
641

642 **Figure and Table Legend**

643

644 **Figure 1.** Testing timeline of each data collection session and posture tested. All four  
645 noninvasive intracranial pressure indicator techniques were used for each testing session,  
646 except IJVp was not used during spaceflight with LBNP. Preflight (pre), flight day 45 (FD45),  
647 flight day 150 (FD150), postflight day 10 (R+10), postflight day 30 (R+30), postflight day 180  
648 (R+180).

649

650 **Figure 2.** Noninvasive indicators of intracranial pressure including (A) cerebral and cochlear  
651 fluid pressure-derived tympanic membrane displacement (TMD; -nl), (B) otoacoustic emission-  
652 derived phase (OAE; degrees), (C) optic nerve sheath diameter (ONSD; cm), and (D) internal  
653 jugular vein pressure (IJVp; mmHg) from all subjects preflight in various postures and inflight on  
654 flight days 45 (FD45) and 150 (FD150). Black round symbol connected by black line is the  
655 crewmember identified with Frisen grade 1 optic disc edema. In multiple instances the individual  
656 data point appears similar to the mean. Dark horizontal bar is the mean with 95% confidence  
657 interval, and gray data points show individual subject data. \*  $p < 0.05$  versus FD45 Spaceflight.

658

659 **Figure 3.** Spaceflight (FD45 and FD150) and spaceflight with LBNP (FD45 LBNP and FD150  
660 LBNP) nICP indicator measures from all subjects. The use of LBNP during spaceflight did not  
661 have an effect on nICP indicators. Measures include (A) cerebral and cochlear fluid pressure-  
662 derived tympanic membrane displacement (TMD; -nl), (B) otoacoustic emission-derived phase  
663 (OAE; degrees), and (C) optic nerve sheath diameter (ONSD; cm). Black round symbol  
664 connected by black line is the crewmember identified with Frisen grade 1 optic disc edema. In  
665 multiple instances the individual data point appears similar to the mean. Dark horizontal bar is  
666 the mean with 95% confidence interval. Dark horizontal bar is the mean with 95% confidence  
667 interval, and gray data points show individual subject data. \*  $p < 0.05$  versus FD45 Spaceflight.  
668 Note that nICP indicator measures with and without LBNP occurred approximately one week  
669 apart.

670

671 **Figure 4.** Noninvasive indicators of intracranial pressure including (A) cerebral and cochlear  
672 fluid pressure-derived tympanic membrane displacement (TMD; -nl), (B) otoacoustic emission  
673 phase (OAE; degrees), (C) optic nerve sheath diameter (ONSD; cm), and (D) internal jugular  
674 vein pressure (IJVp; mmHg) from all subjects preflight and postflight (return to Earth [R+] R+10,  
675 R+30, R+180 days) in various postures. The omnibus interaction between posture and time was  
676 not significant for any nICP outcome. Black round symbol connected by black line is the



677 crewmember identified with Frisen grade 1 optic disc edema. In multiple instances the individual  
678 data point appears similar to the mean. Dark horizontal bar is the mean with 95% confidence  
679 interval, and gray data points show individual subject data. Note that in some cases the symbol  
680 representing the individual with Frisen grade edema appears similar to the horizontal bar at the  
681 mean. The dotted vertical line separates preflight from postflight.

682

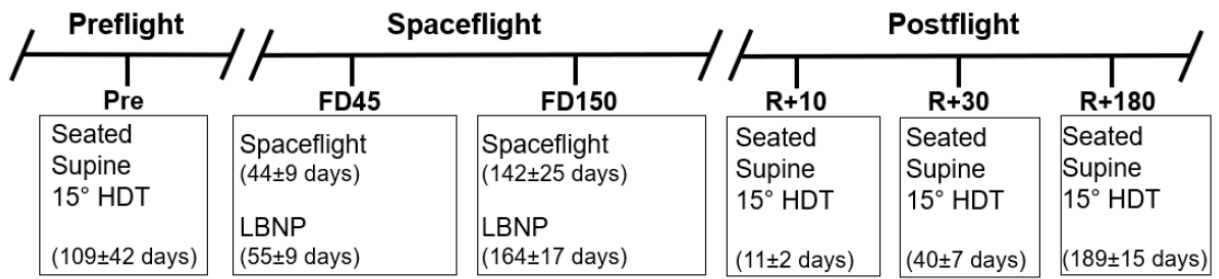
683 **Table 1.** Mean mixed-effects coefficients, *p*-values, and 95% confidence intervals of simple  
684 effects of day, posture, and the omnibus interactions.

685

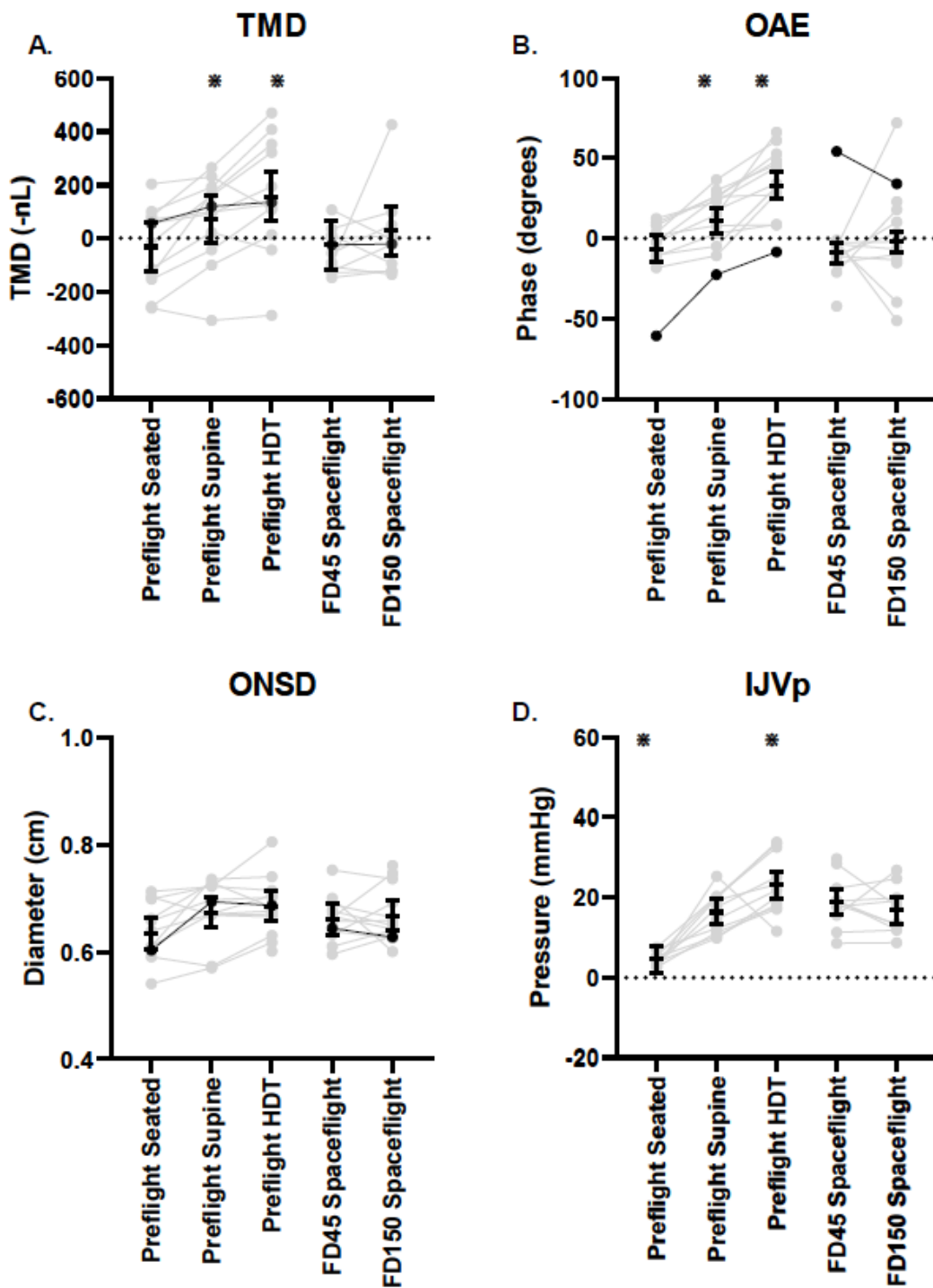
686 **Table 2.** Postflight lumbar puncture opening pressure of CSFP measured in 1 crewmember  
687 from the current publication and measures reported in previous publications. Each row  
688 represents CSFP measures from an individual crewmember. The normal range of CSFP is  
689 14.7-18.4 mmHg (20-25 cmH<sub>2</sub>O).

690

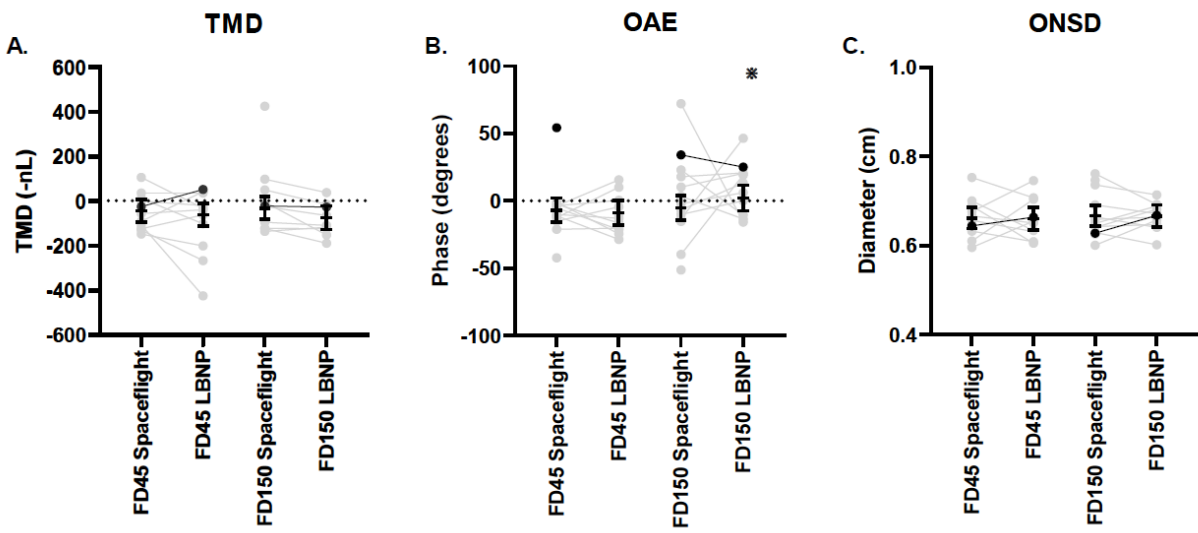
691 Figure 1



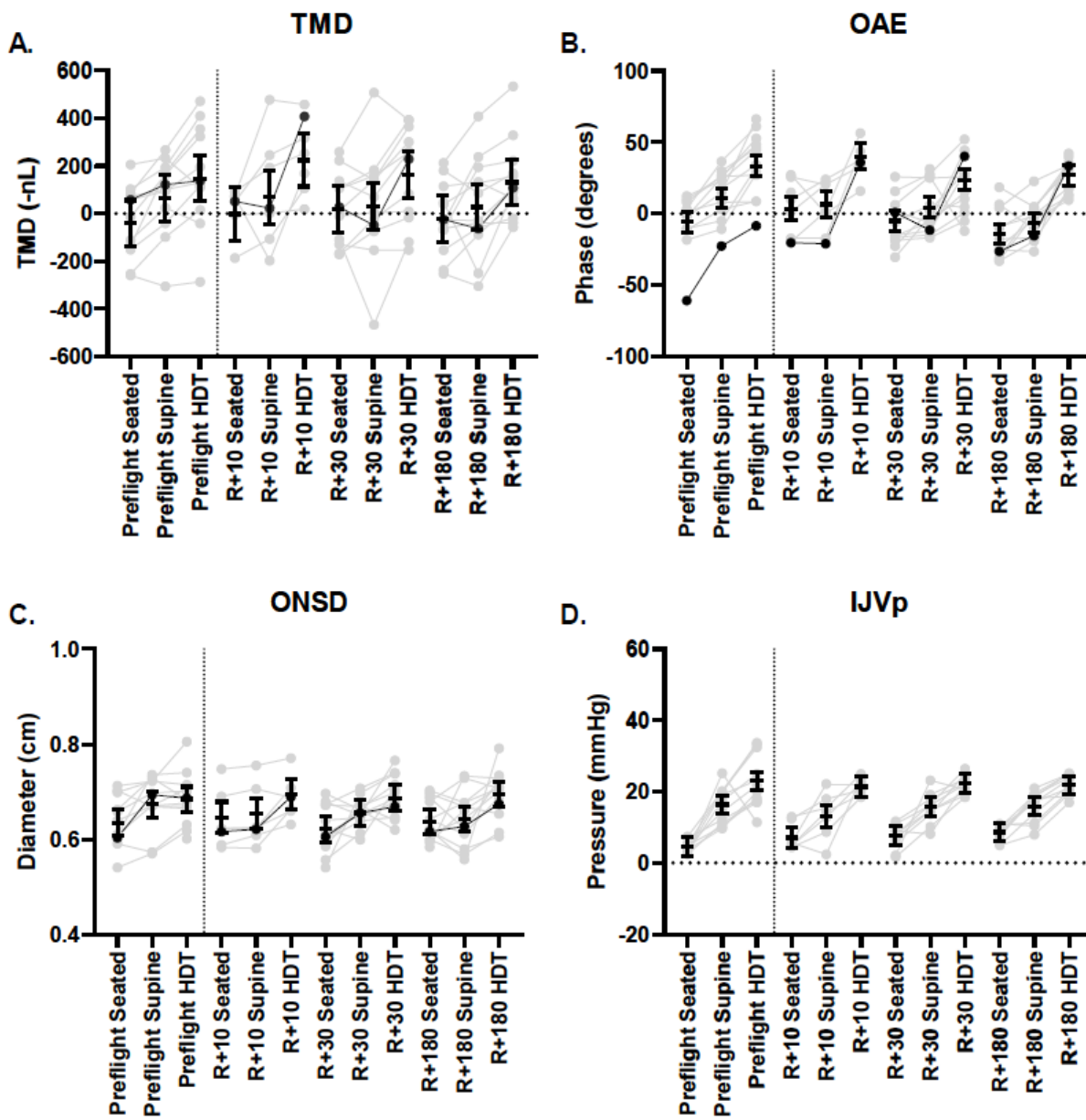
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695 Figure 3



696



698  
699

# Noninvasive Estimates of Intracranial Pressure Before, During, and After Long-Duration Spaceflight

### METHODS

13 International Space Station Crewmembers  
11 male 2 female

Four noninvasive approaches were used to track indicators of intracranial pressure

- Cerebral Cochlear Fluid Pressure
- Optic Nerve Sheath Diameter
- Otoacoustic Emissions
- Internal Jugular Vein Pressure

### OUTCOMES

Noninvasive Indicators of Intracranial Pressure (arbitrary units)

(higher)

(lower)

Earth

Weightlessness

Elevated ICP due to Posture Change

Normal Range of ICP

Crewmembers Living on ISS

**CONCLUSION:** Noninvasive indicators of ICP during spaceflight are similar to the levels obtained during seated - supine posture on Earth. These data suggest ICP is not elevated during spaceflight but may lack the diurnal variability that occurs on Earth.

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