

# CERES Top-of-atmosphere and Surface fluxes in the Arctic: A comparison with ARISE and MOSAiC measurements

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Acknowledgements: Yiyi Huang, Kyle Itterly, Brant Dodson, Joe Corbett, Sergio Sejas, Wenying Su, Dave Doelling, Seiji Kato, Anthony Bucholtz

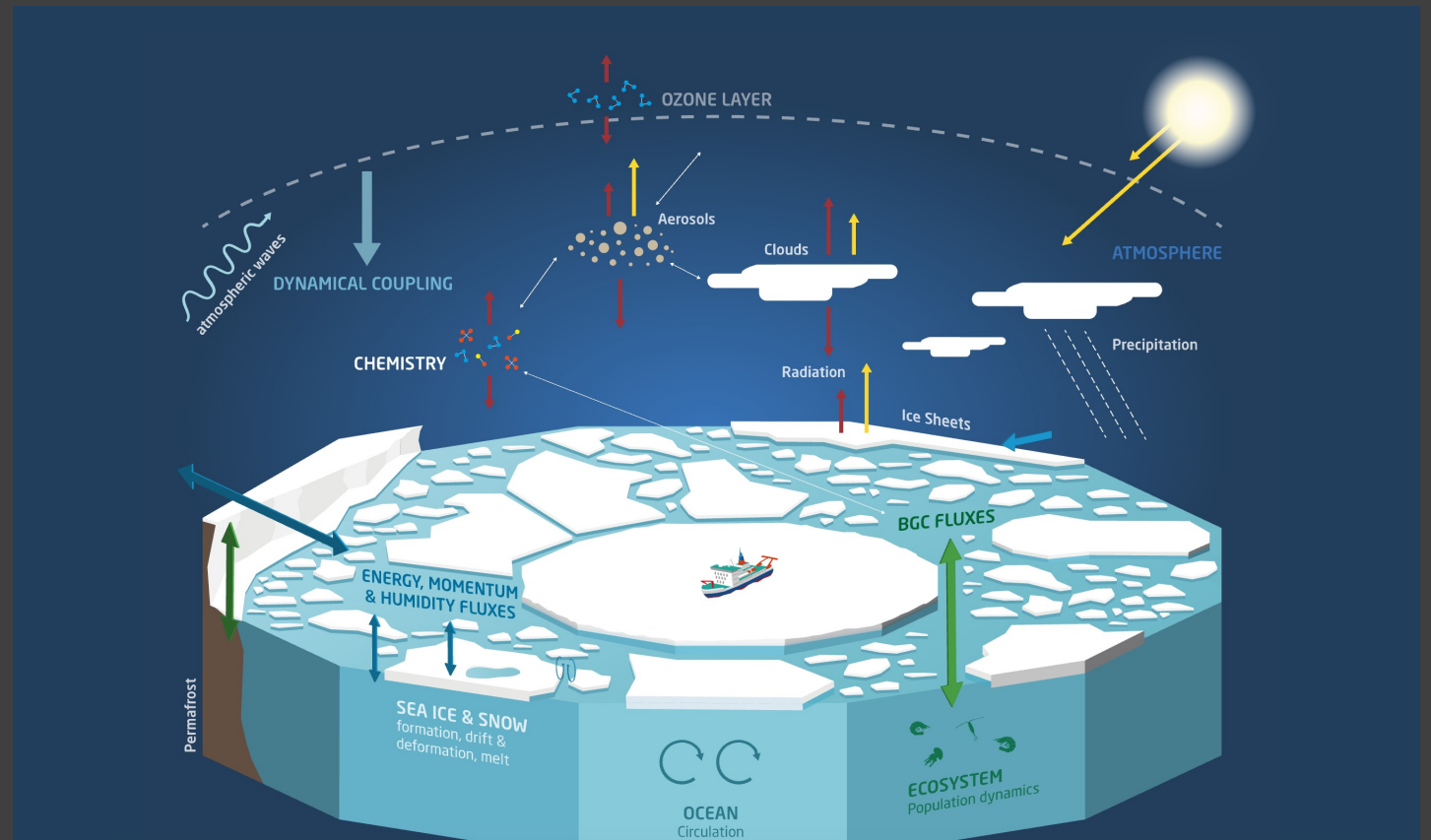
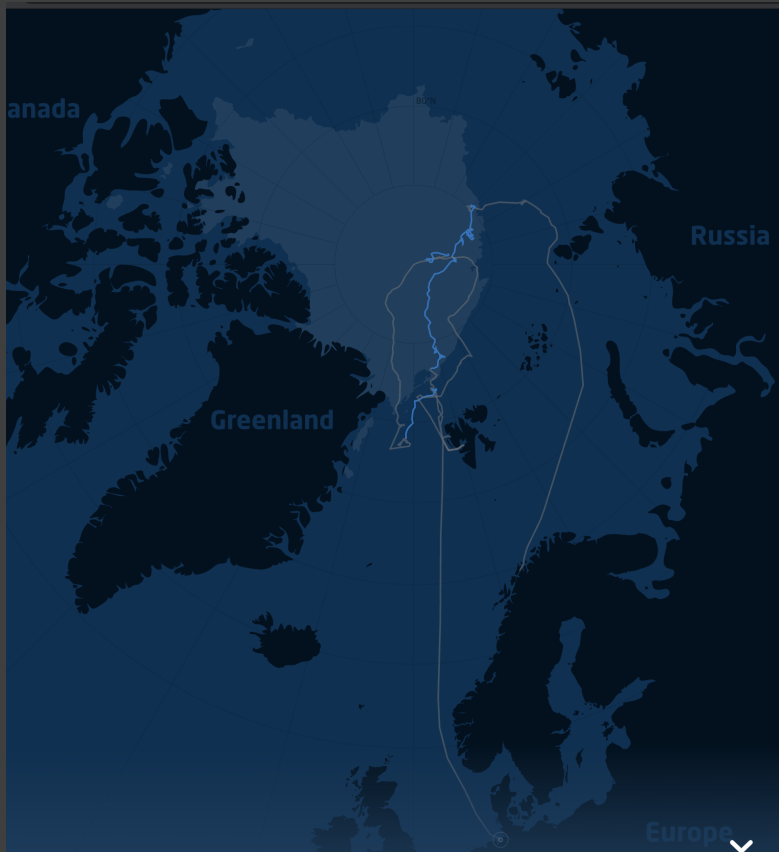
Fall 2022 CERES Science Team Meeting

October 13, 2022

# The Multidisciplinary drifting Observatory for the Study of Arctic Climate (MOSAiC) field campaign

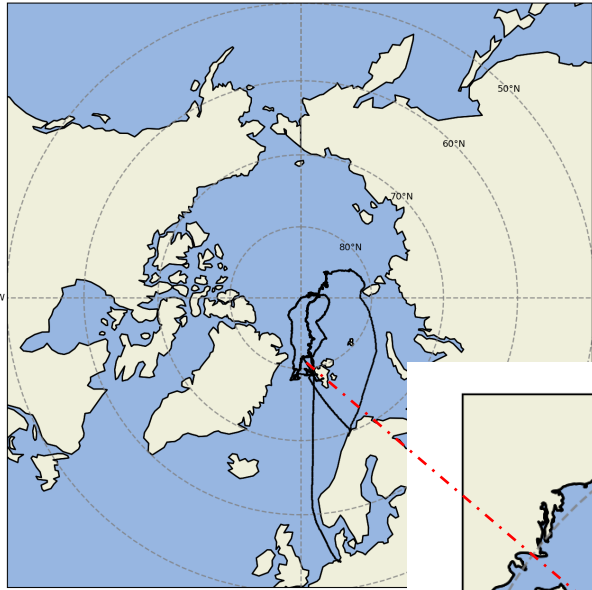
September 2019 - October 2020

- The largest polar expedition in history; the first time in polar winter
- The goal of the MOSAiC expedition was to take the closest look ever at the Arctic as the epicenter of global warming and to gain fundamental insights that are key to better understand global climate change



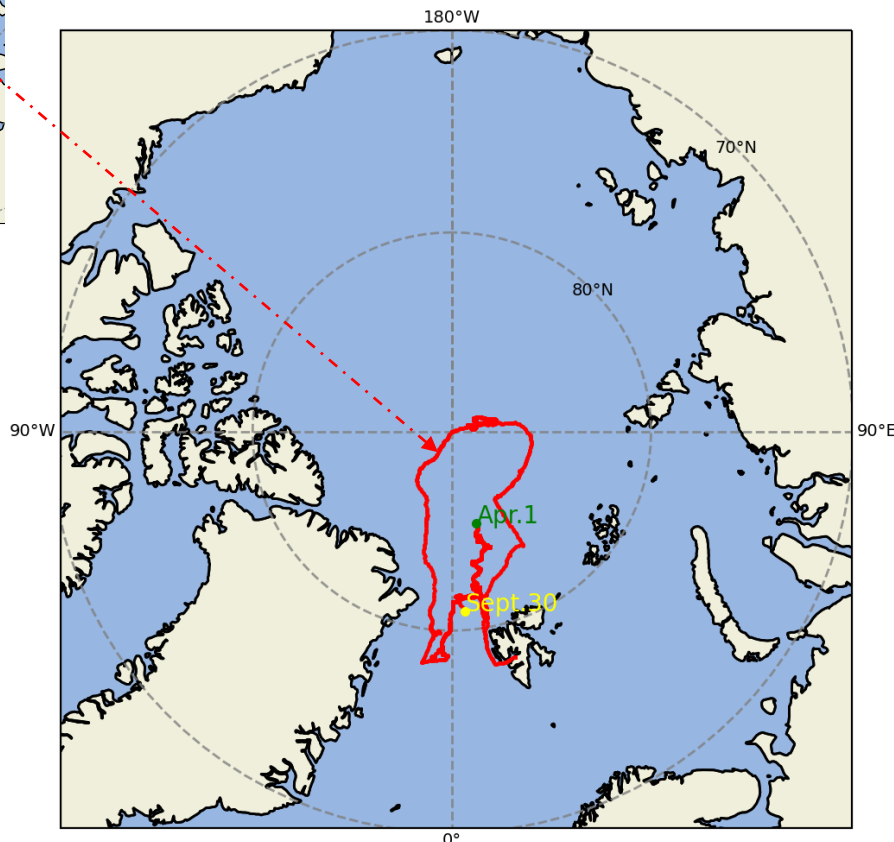
# Overview

Ship Track during MOSAiC Campaign (09/01/2019-10/31/2020)



## Motivation

- Uncertainty in CERES-derived irradiances is larger over sea ice than any other scene type
- Uncertainty in atmospheric temperature and humidity from reanalysis, heterogeneity in surface conditions, and difficulties in detecting and characterizing clouds over sea ice all contribute to the CERES irradiance uncertainty



## Outline

- The comparison between CERES SYN1deg and MOSAiC from April to September 2020
- The surface albedo perturbation experiments with Fu-Liou radiative transfer model

# MOSAiC remote flux stations (managed by CIRES/NOAA)

- **Multiple remote flux stations**: asfs30, asfs40, asfs50
- **Temporal resolutions**: 10s
- **Location**: top of station at 2 m

## **Measurements at surface**

solar zenith angle, solar azimuth angle, snow depth, air pressure, air temperature, relative humidity, dew point temperature, mixing ratio, absolute humidity, vapor pressure, brightness temp, surface skin temp, conductive flux, wind speed, wind direction

## **Turbulence and met tower (2m, 6m, 10m, 24m)**

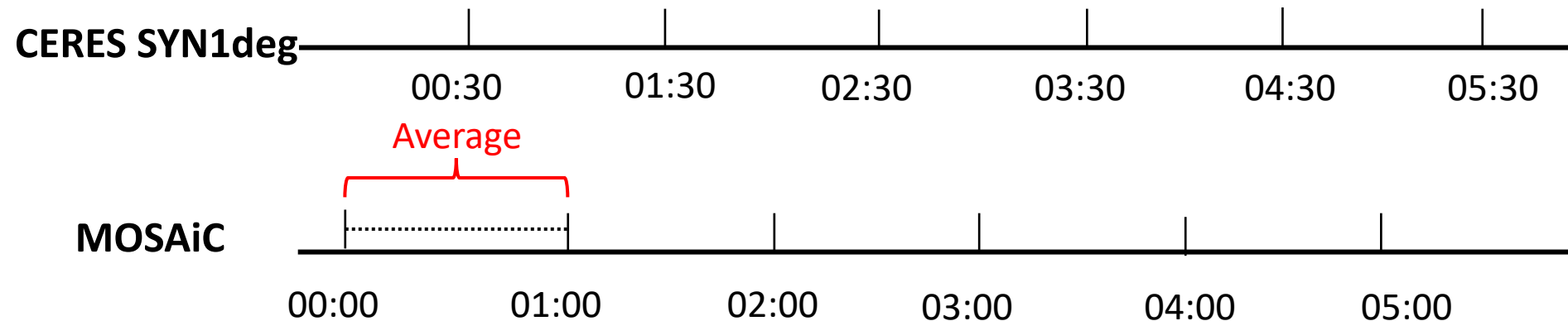
Latent heat flux, Sensible heat flux  
Temperature

## **Radiation measurements**

| Variable           | Instrument                 |
|--------------------|----------------------------|
| SW_up flux         | Hukseflux SR30 pyranometer |
| SW_down flux       | Hukseflux SR30 pyranometer |
| LW_down flux       | Hukseflux IR20 pyrgeometer |
| LW_up flux         | Hukseflux IR20 pyrgeometer |
| Net radiative flux | SR30 and IR20 radiometers  |

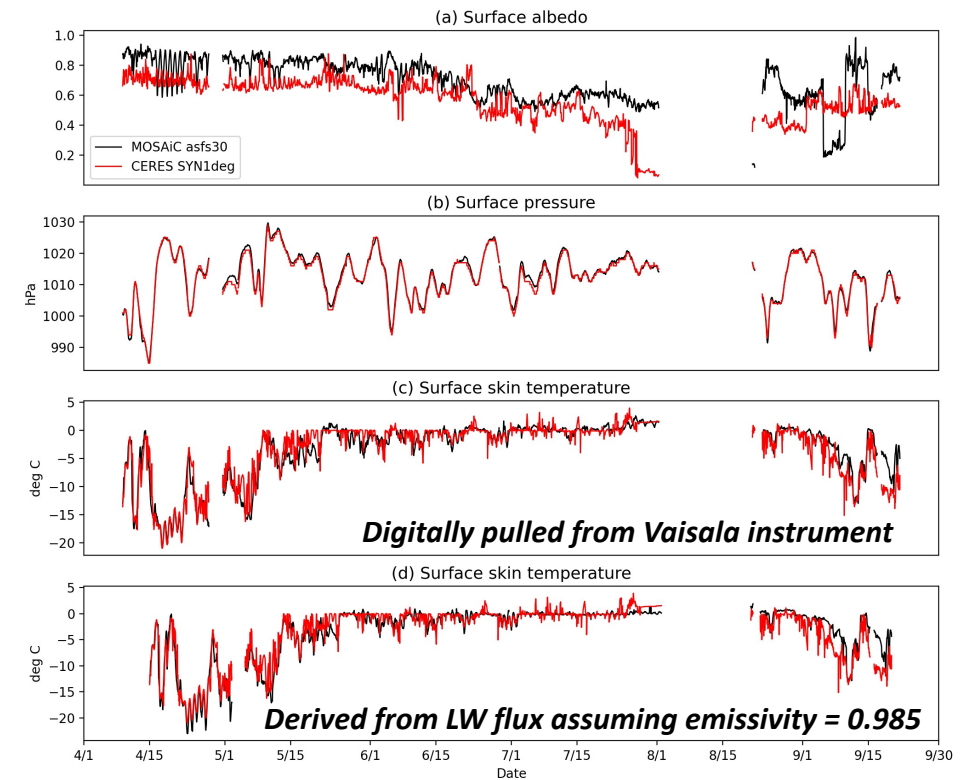
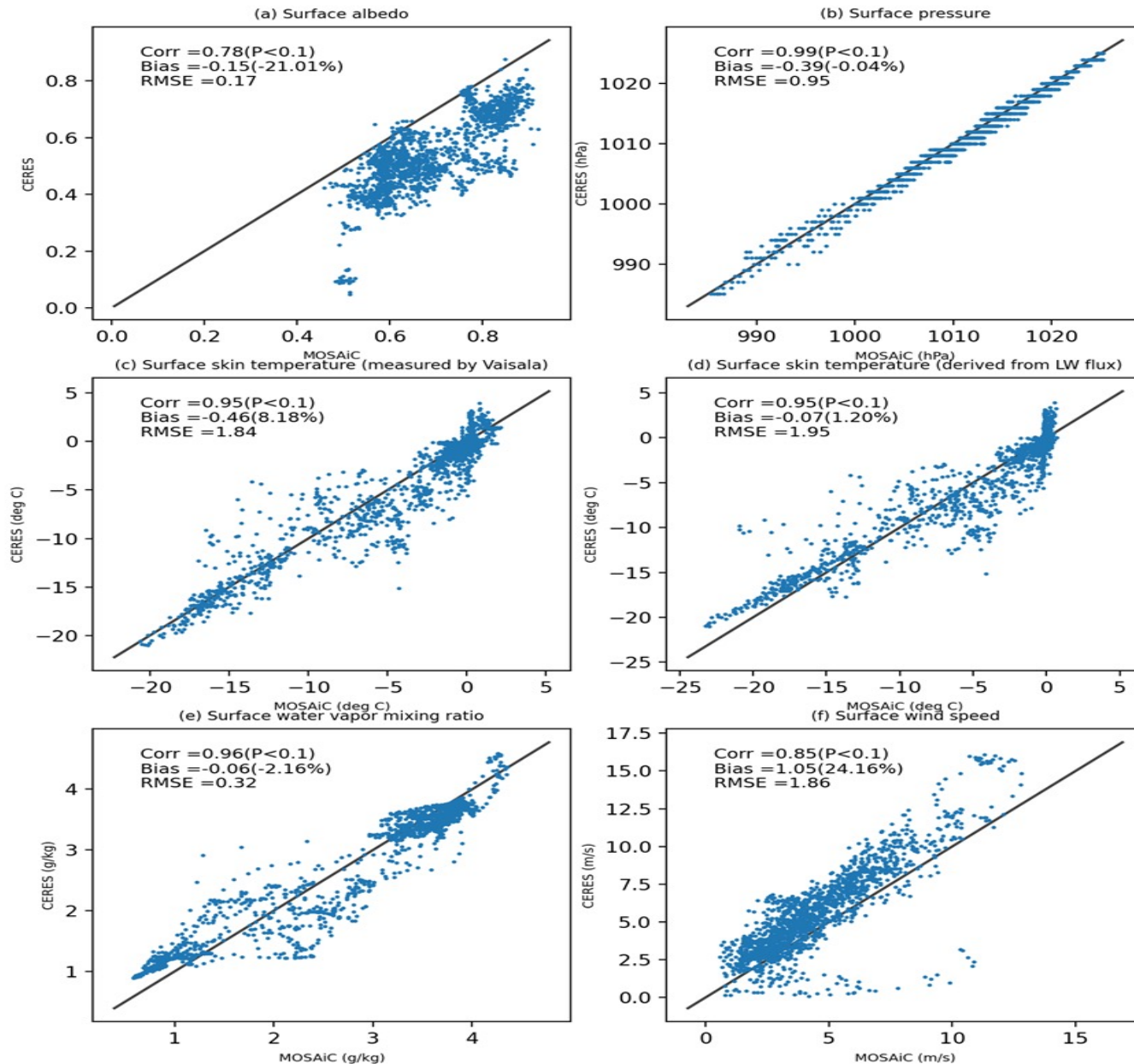
# Collocation between CERES SYN1deg and MOSAiC

- **CERES**: CERES\_SYN1deg-1H\_Terra-Aqua-MODIS\_Ed4.1 (Hourly)
- **MOSAiC**: mosasfsmet.level2.10min (10-min)
- Averaged 10-min MOSAiC data (location, radiative fluxes) and saved it as hourly output
- Collocated MOSAiC hourly data with CERES SYN1deg dataset by finding the closest grid box



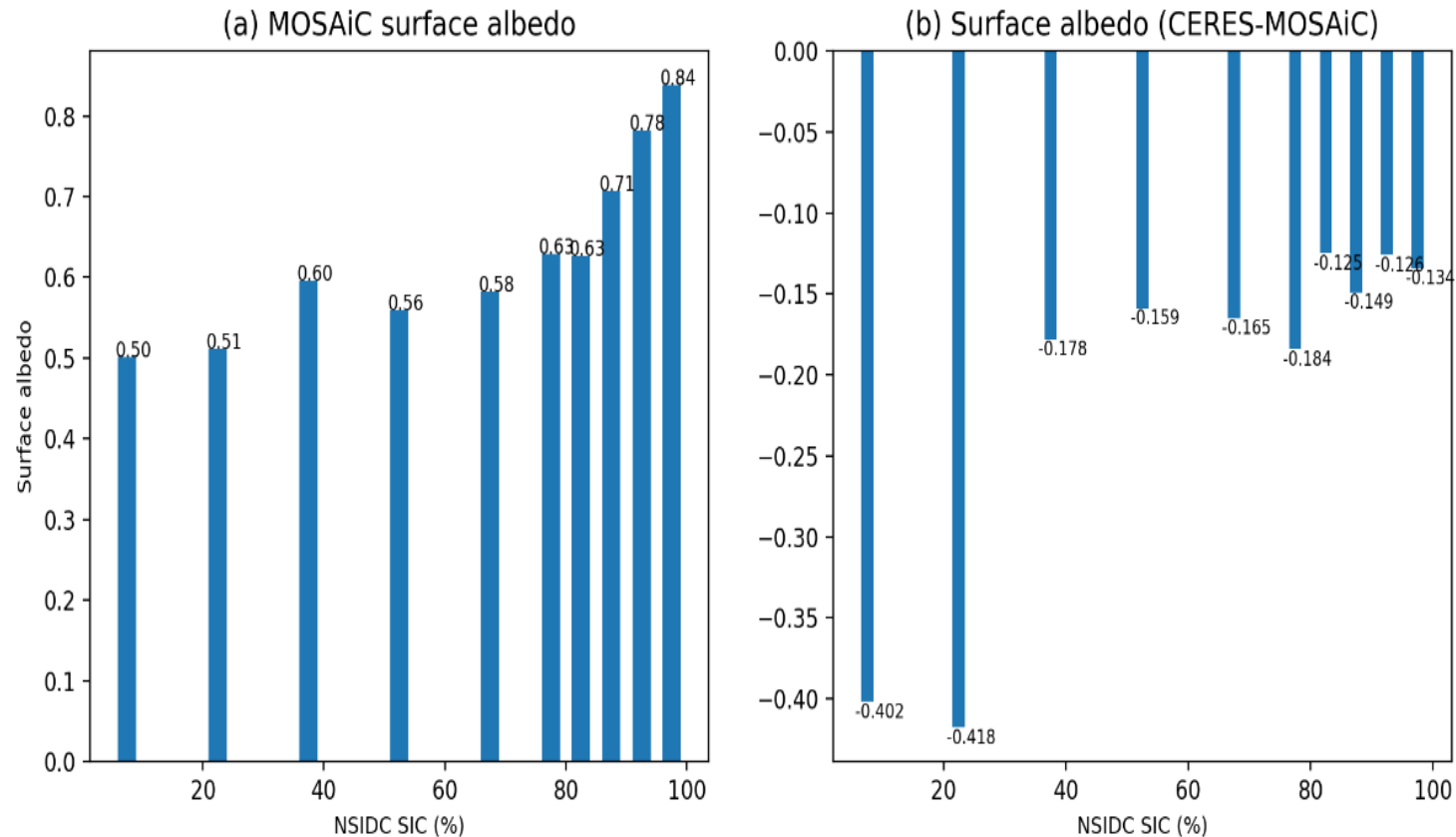
- **“Matched” surface sites**: Subsequent analysis considers only days where at least two of the three stations collected data

# Meteorological conditions: MOSAiC and CERES SYN1deg



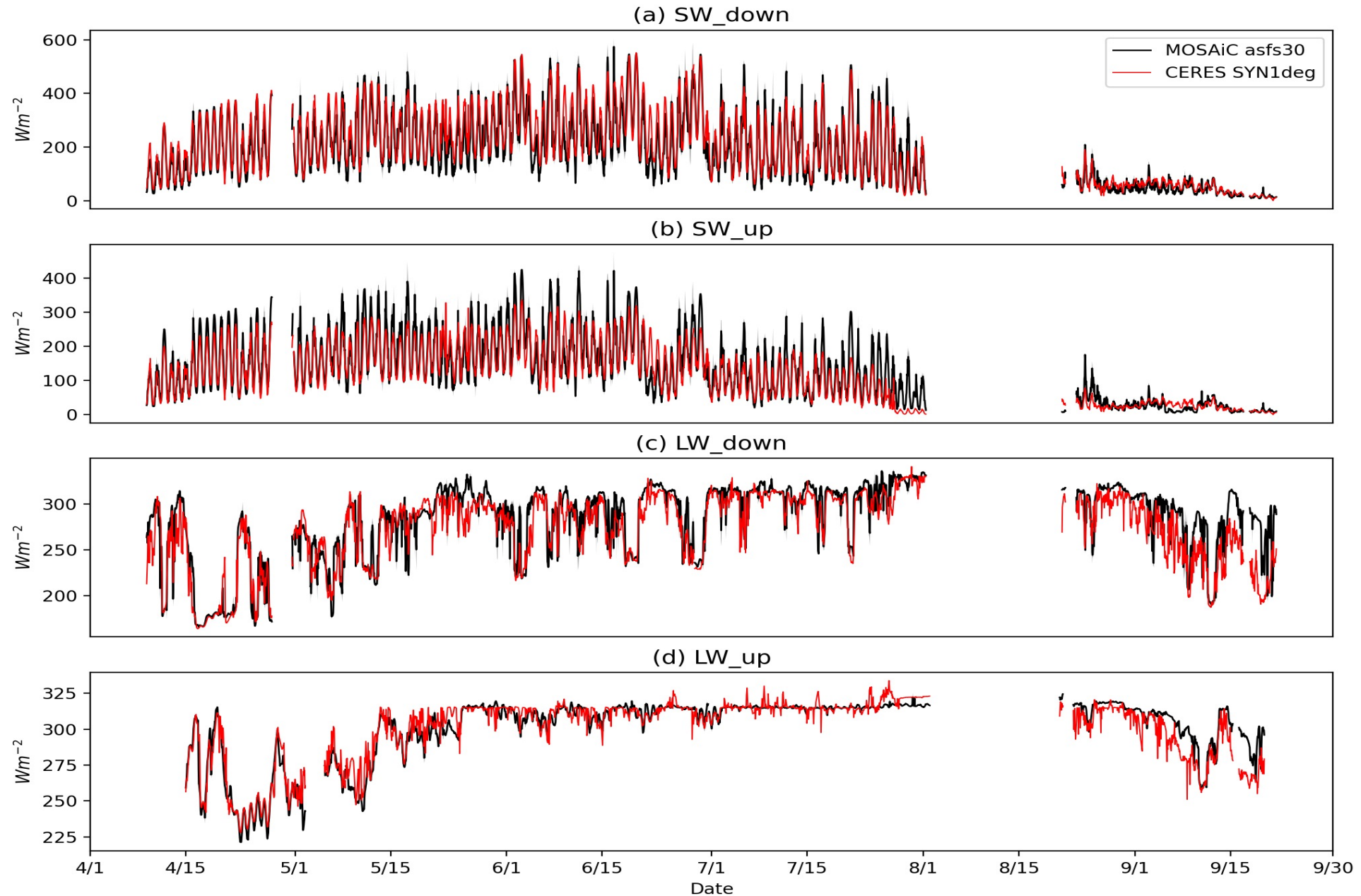
- The SYN1deg constantly underestimates the surface albedo during summertime
- Large uncertainty remains in surface skin temperature when surface reaches melting point ( $\sim 0^\circ\text{C}$ )

# Sea ice concentration dependent albedo differences: MOSAiC and CERES SYN1deg

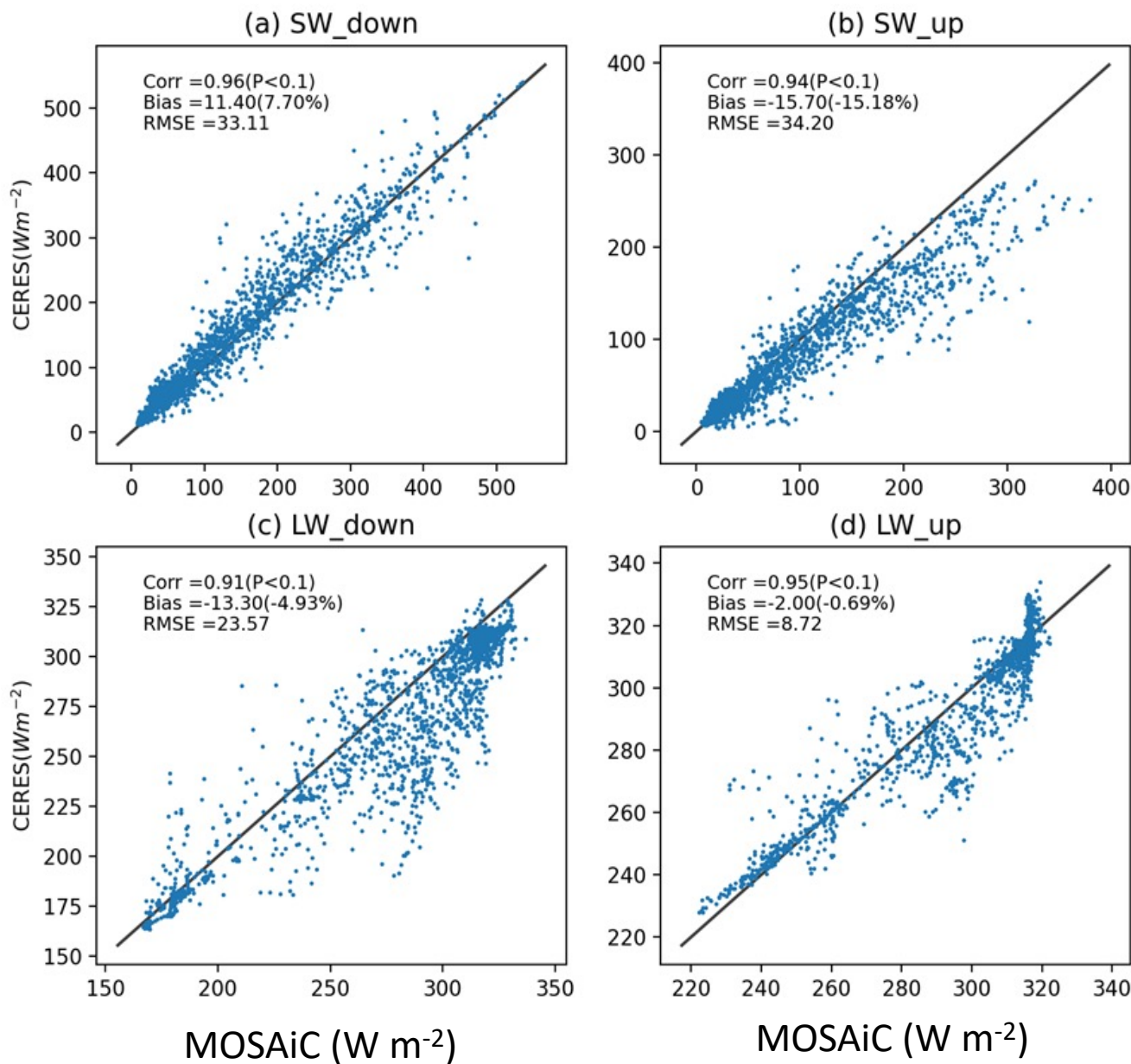


- CERES-MOSAIC differences in surface albedo are sea ice concentration dependent.
- Differences at lower sea ice concentrations is attributed to the smaller scale of the MOSAiC observations ( $\sim 6 \text{ m}^2$  area), such that they only represent the sea ice portions of the CERES gridbox.

# Radiative fluxes at the surface: MOSAiC asfs30 and CERES SYN1deg

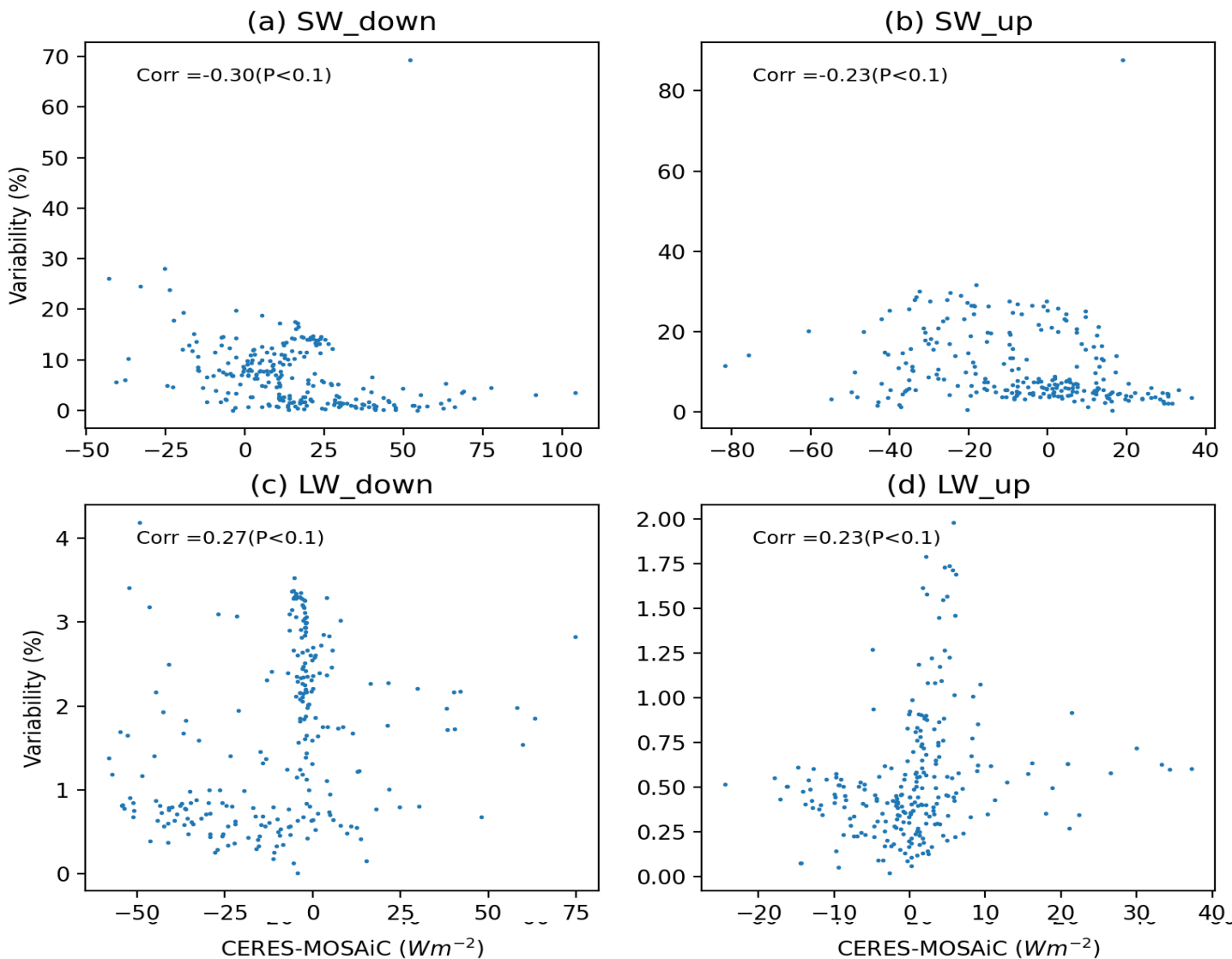


# Radiative fluxes at the surface: MOSAiC and CERES SYN1deg



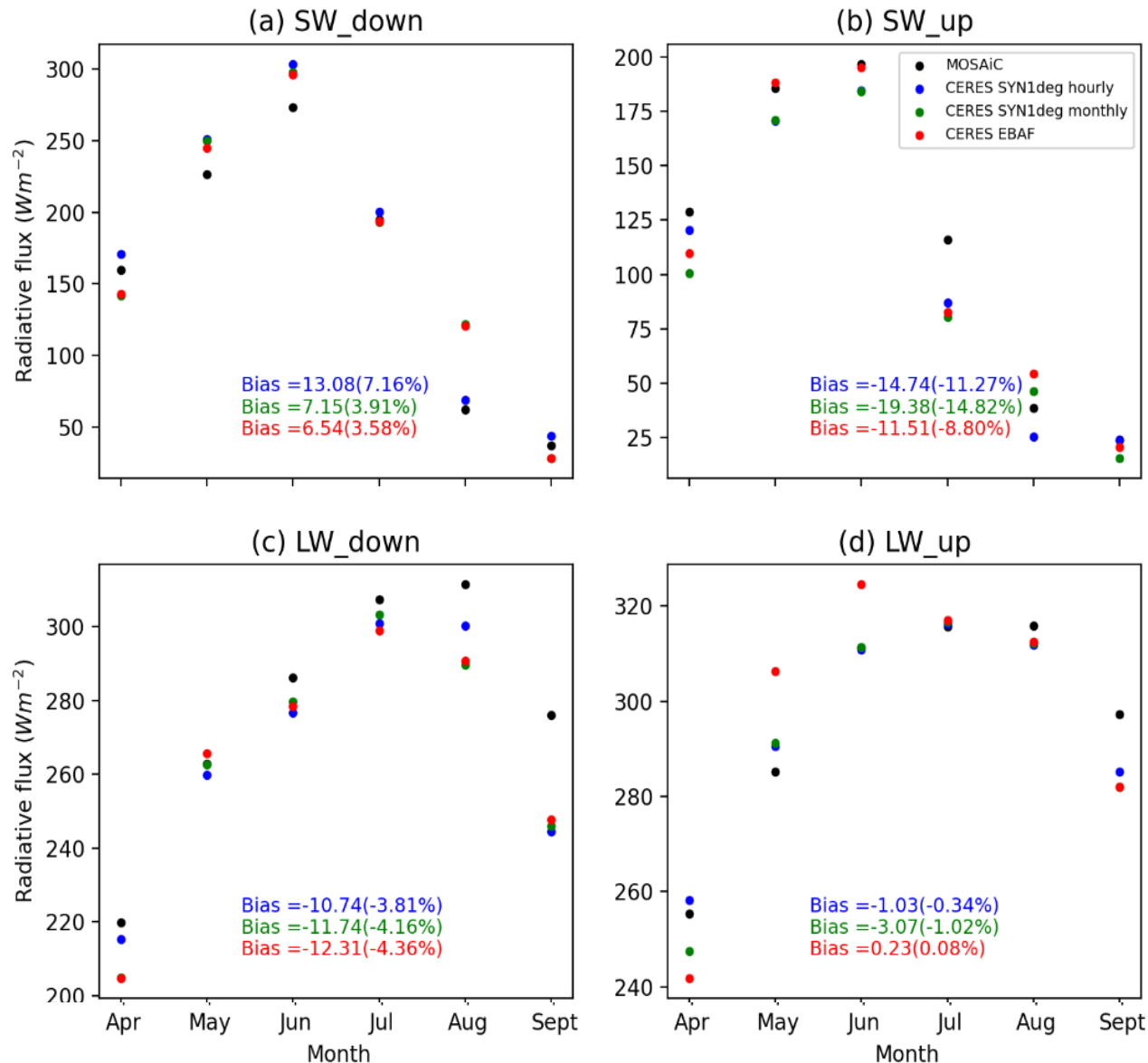
- The SYN1deg tends to overestimate SW\_down flux, but underestimate SW\_up and LW\_down fluxes at the surface during summertime
- The SW\_up flux is the most uncertain quantity
- Larger uncertainty in LW\_up flux ( $\sim 320 W/m^2$ ) occurs when the surface reaches melting point

# Influence of spatial variability on MOSAiC and CERES SYN1deg differences



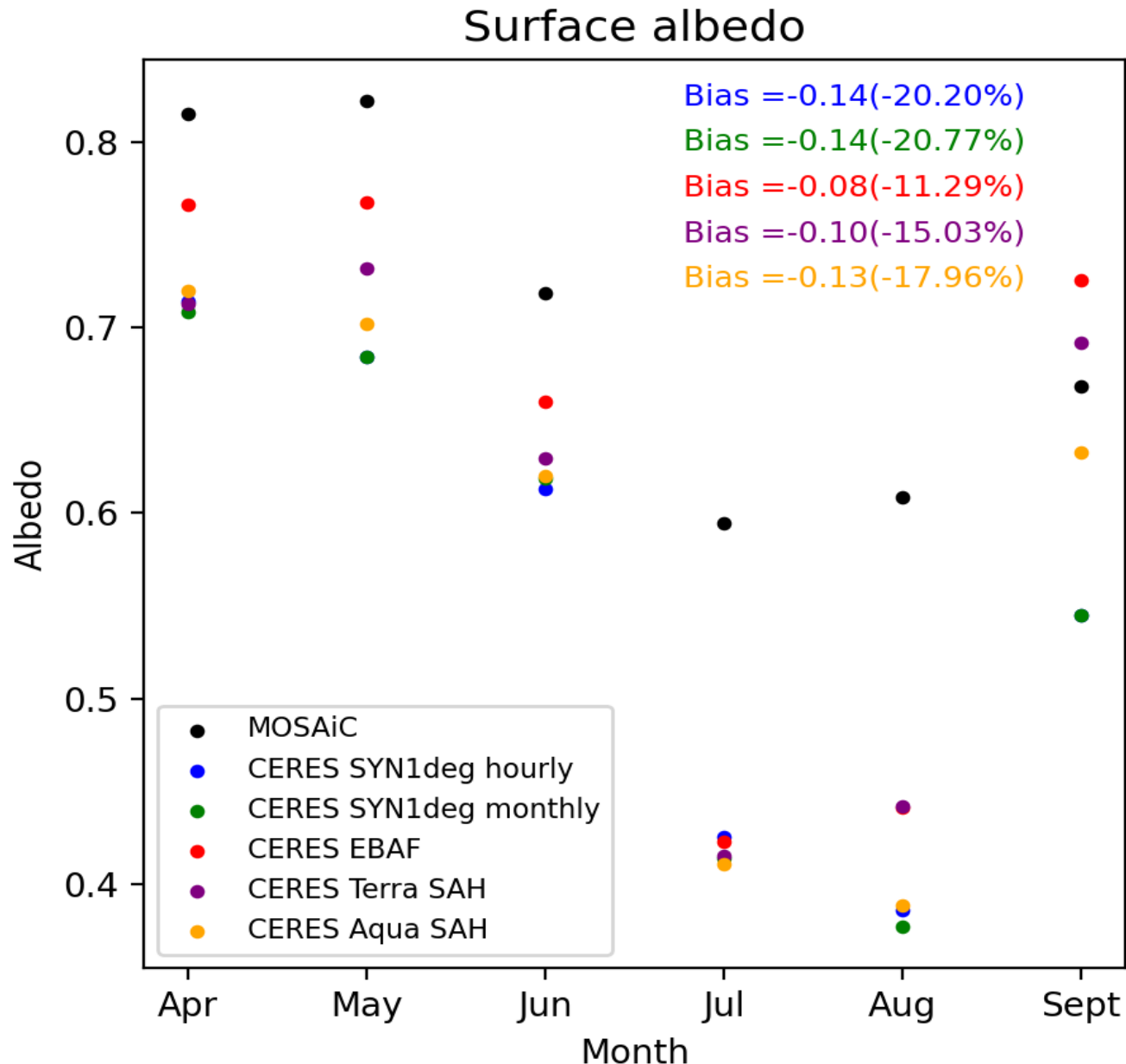
- Plots illustrate the correlation between CERES-MOSAIC differences and the magnitude of spatial variability.
- Variability (%) is quantified as the percent difference between two stations at a given hour.
- Results show a **slightly smaller** CERES-MOSAIC differences in the presence of **larger inter-surface site variability**.

# Monthly Radiative Mean fluxes : MOSAiC and CERES SYN1deg



- Monthly mean CERES-MOSAIC fluxes differ between for CERES products (e.g., SYN 1deg and EBAF).
- SFC EBAF represents the smallest biases over the 6-month period but is not always the most accurate for an individual month.

# Monthly Surface Albedo: MOSAiC and CERES SYN1deg

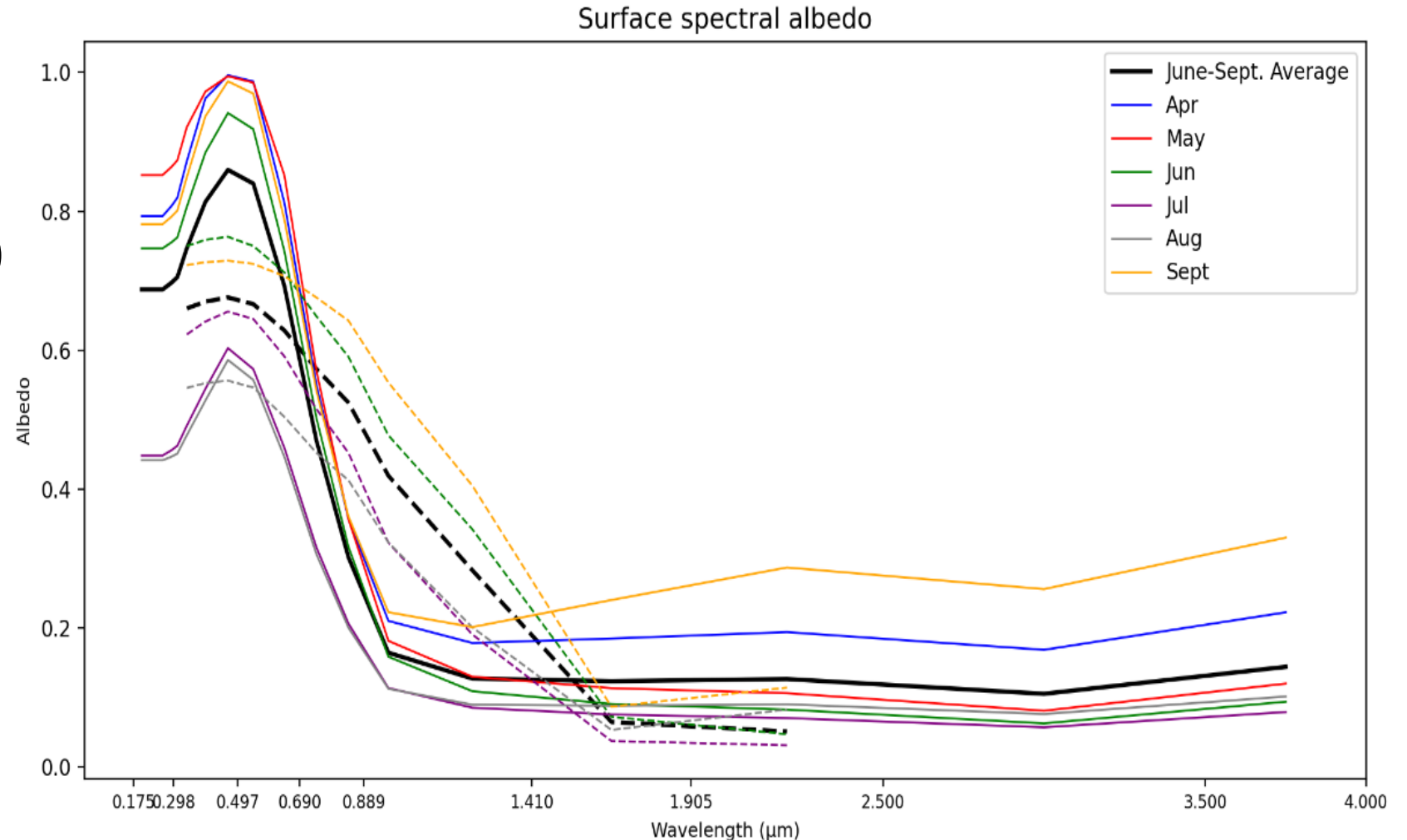


- This comparison shows the monthly mean surface albedo values over the MOSAiC domain for different CERES products and observations.
- Differences are found between the different CERES products (e.g., SYN-1deg and EBAF)
- SFC EBAF again represents the smallest bias over the 6-month period but is not always the most accurate for an individual month.

# Spectral Surface Albedo: MOSAiC and CERES SYN1deg

Solid—CERES (Jin 2004; LUT)

Dashed—MOSAiC  
observations (Perovich et al.  
2021)



- Comparison reveals differences in the CERES surface spectral albedo shape model and the MOSAiC observations.

# Understanding the contribution of surface albedo differences to CERES-MOSAIC differences

Radiative Transfer Experiments

Surface Albedo  
Effects

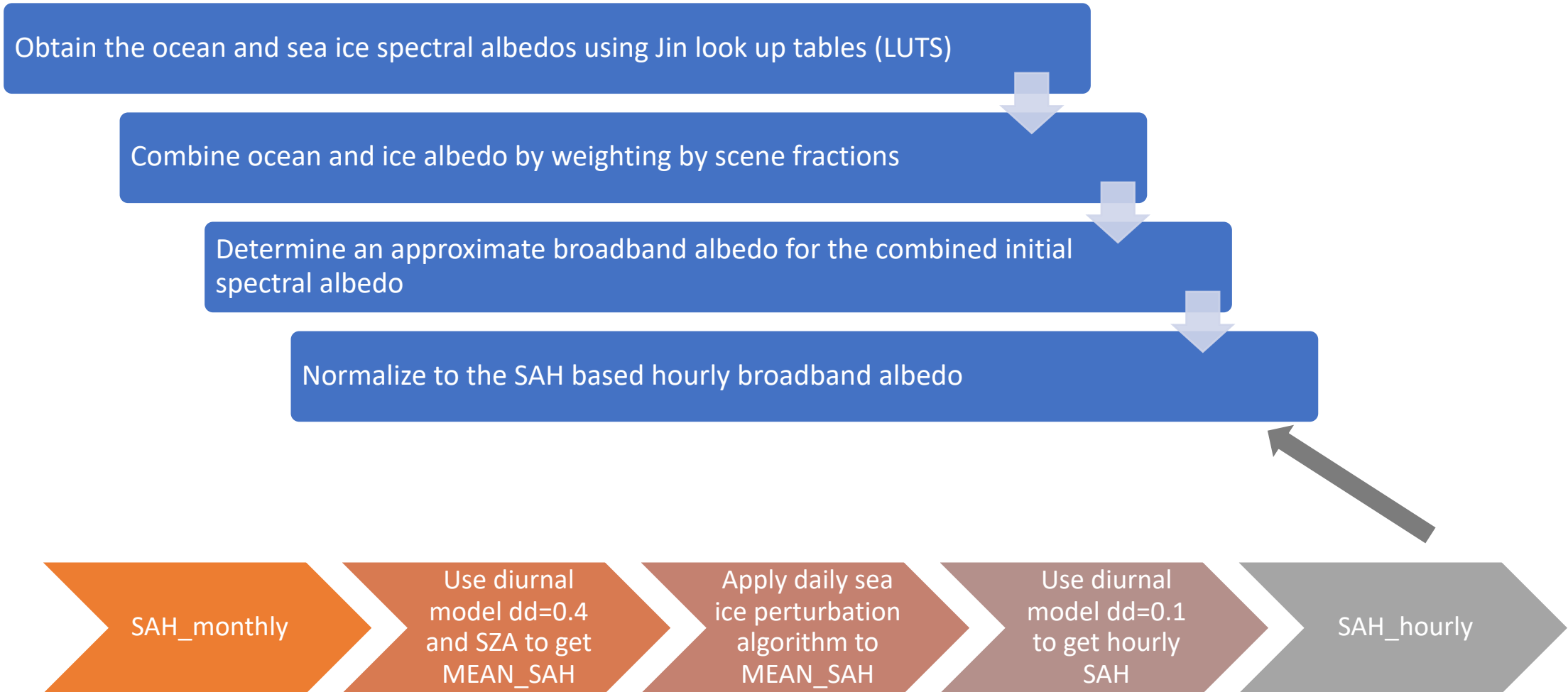
# CERES-like Fu-Liou RTM calculations

| Category                     | Variables                                                             | Sources                                                                                                  |
|------------------------------|-----------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|
| General model inputs         | Number of model layers                                                | 4 layers                                                                                                 |
|                              | Solar zenith angle                                                    | TSI files                                                                                                |
|                              | Solar insolation                                                      | Daily SORCE TSI files and earth-sun distance                                                             |
|                              | <b>Solver method</b>                                                  | <b>4-stream solver for SW and LW calculation</b>                                                         |
|                              | Other information (CO2,CH4,N2O, CFCs, correction to cosSZA, etc)      | Values in year 2019                                                                                      |
| Atmospheric structure inputs | Pressure profile                                                      | MOA files (GEOS-5.4.1)                                                                                   |
|                              | Air temperature profile                                               |                                                                                                          |
|                              | Water vapor mixing ratio profile                                      |                                                                                                          |
|                              | Ozone mixing ratio profile                                            |                                                                                                          |
|                              | Surface skin temperature                                              |                                                                                                          |
| Cloud inputs                 | Cloud fraction, effective radius, optical depth, phase, particle size | TSI files (from MODIS)                                                                                   |
| Surface inputs               | Spectral surface albedo                                               | <b>JIN lookup table, daily sea ice concentration, and monthly Terra surface albedo history (SAH) map</b> |
|                              | Spectral surface emissivity                                           | Determined by surface type                                                                               |
| Aerosol inputs               | Aerosol types and aerosol optical depth                               | MATCH aerosol hourly output                                                                              |

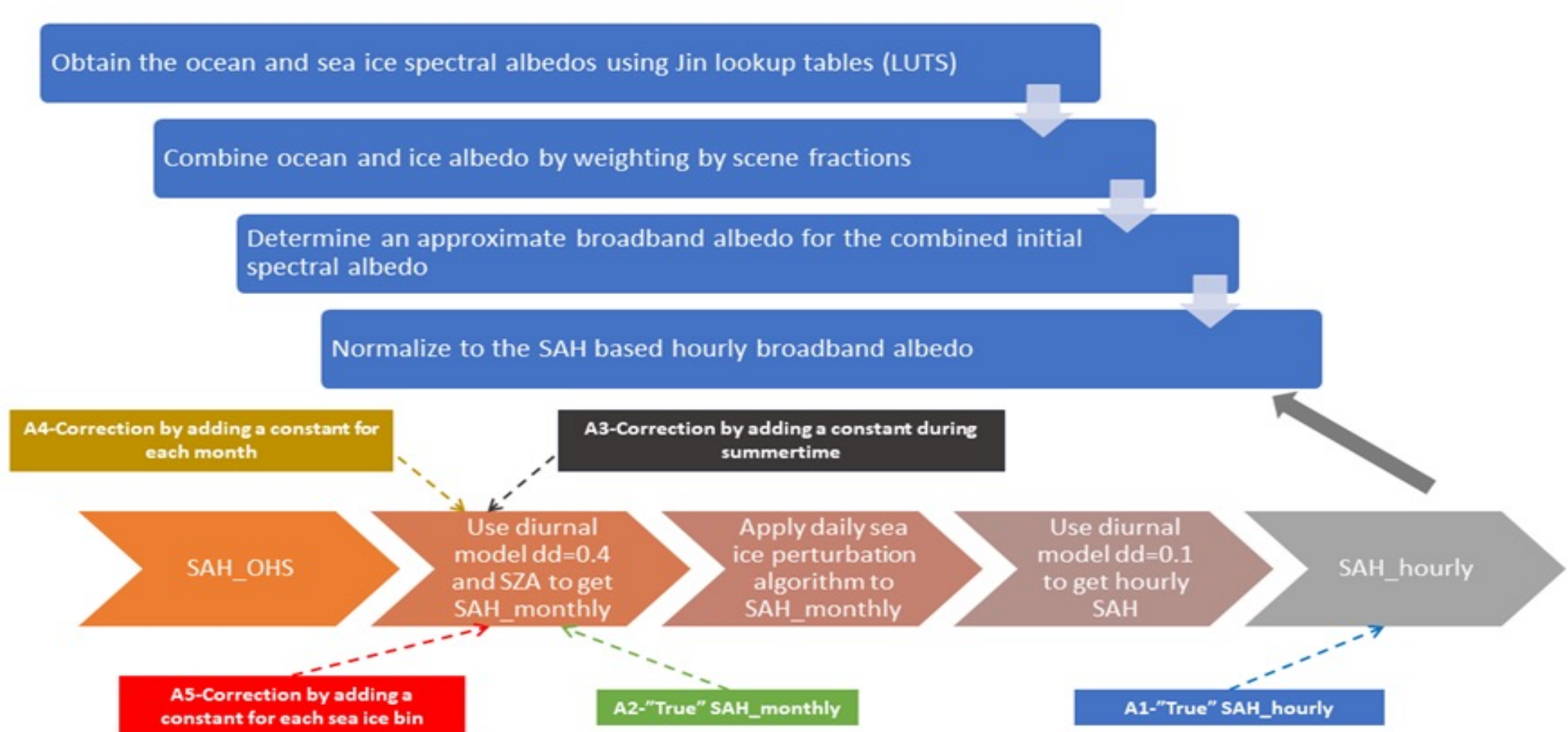
**SYN1deg Ed4**  
**Gamma-weighted Two-stream approximation (GWTSA)**

**SYN1deg Ed4**  
**Terra/Aqua SAH monthly map**

# CERES-Like Fu-Liou Radiative Transfer Calculations



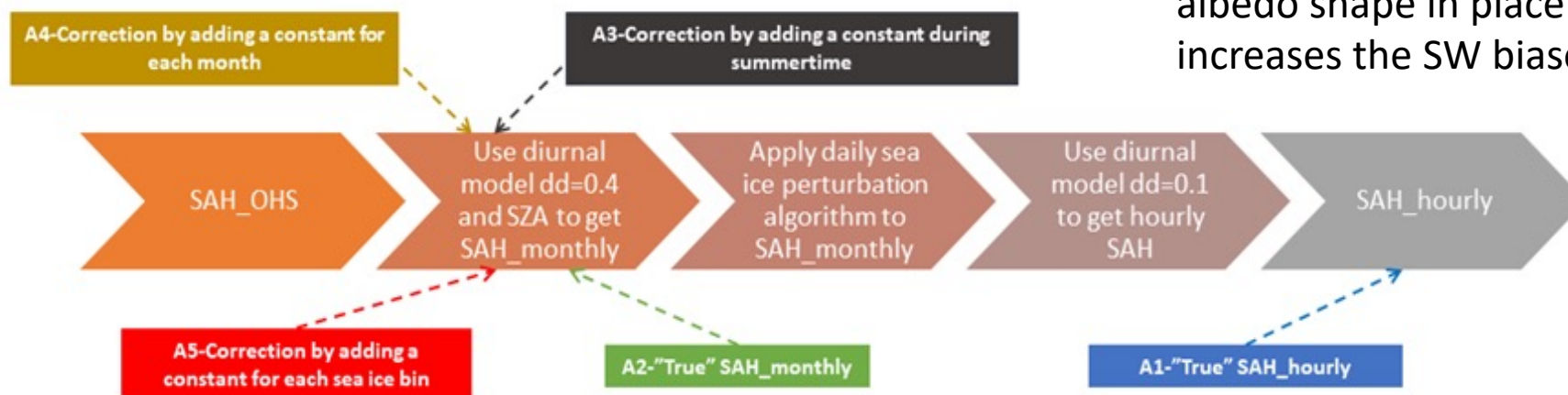
# CERES-Like Fu-Liou Radiative Transfer Calculations: Perturbation Experiments



## The SW differences between RTM and MOSAiC ( $\text{W m}^{-2}$ )

| Experiment                                              | SW_down (RMSE) | SW_up (RMSE)   |
|---------------------------------------------------------|----------------|----------------|
| Control run                                             | +7.88 (41.46)  | -18.49 (38.31) |
| A1-"True" SAH_hourly                                    | +17.51 (45.07) | +1.49 (32.61)  |
| A2-"True" SAH_monthly                                   | +14.37 (43.74) | -2.29 (32.27)  |
| A3-correction by a constant in summer                   | +15.73 (44.52) | +2.46 (33.94)  |
| A4-correction by adding a constant for each month       | +16.22 (44.82) | +3.5 (34.48)   |
| A5-Correction by adding a constant for each sea ice bin | +14.71 (44.00) | -0.24 (33.55)  |
| A6-Monthly averaged spectral albedo from control run    | +10.95 (44.09) | -15.11 (37.76) |
| A7-Monthly averaged spectral albedo from MOSAiC         | +9.19 (42.88)  | -18.04 (38.72) |

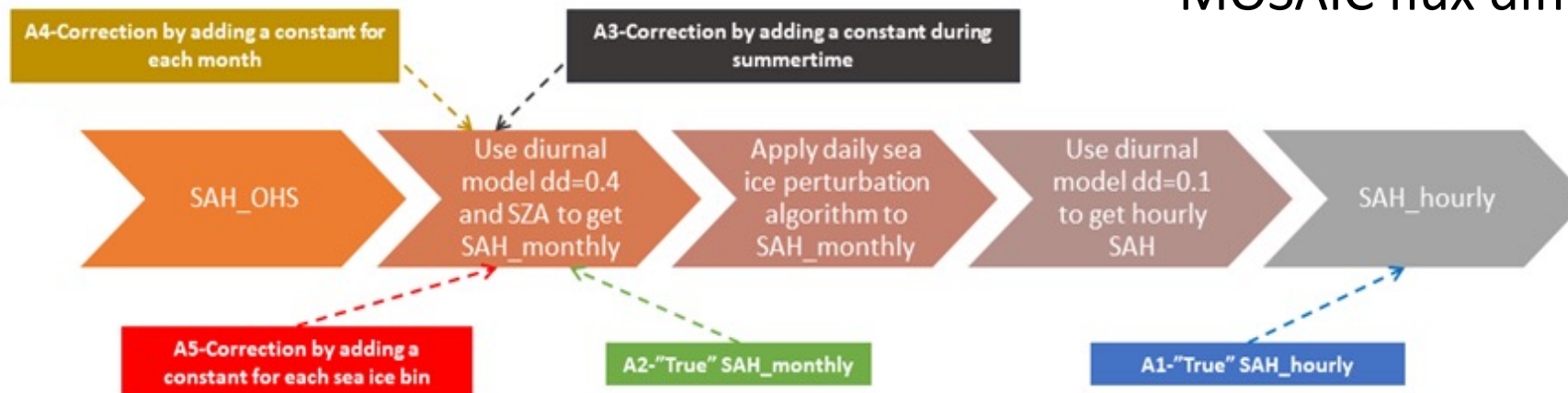
- Compared to M1 and M2, the monthly surface albedo history (SAH) bias contributes to **~80%** of uncertainty in SW\_up, while daily sea ice perturbation process accounts for **~20%** of uncertainty
- Correcting SAH monthly map by adding a constant would be an efficient strategy to reduce SW\_up biases during summertime
- The impacts of multiple reflections between clouds and highly reflective surface should be taken into consideration
- Using MOSAiC observed spectral surface albedo shape in place of the CERES-Jin LUT increases the SW biases by  $\sim 3 \text{ W m}^{-2}$



## Summary of cloud-related perturbation experiments ( $\text{Wm}^{-2}$ )

| Experiment                                                   | SW_down        | SW_up          |
|--------------------------------------------------------------|----------------|----------------|
| Control run                                                  | +7.88 (41.46)  | -18.49 (38.31) |
| <b>A5-Correction by adding constant for each sea ice bin</b> | +14.71 (44.00) | -0.24 (33.55)  |
| C1-Increase cloud fraction by 1%                             | +14.23         | -0.56          |
| C2-Increase cloud fraction by 3%                             | +13.67         | -0.93          |
| C3-Remove the polar daytime cloud optical depth correction   | +5.14          | -5.51          |
| C4-Combine C1 and C3                                         | +4.60          | -5.86          |
| C5-Combin C2 and C3                                          | +3.97          | -6.28          |

- Each cloud property perturbation experiment is performed from the A5 experiment.
- Increasing cloud fraction and cloud optical depth improve the CERES-MOSAiC agreement for SW\_down bias and degrade the agreement for SW\_up.
- Both cloud properties and surface albedo differences make strong contributions to the CERES-MOSAIC flux differences.



## Summary

- The SYN1deg tends to overestimate SW\_down flux, but underestimate SW\_up and LW\_down fluxes at the surface during summertime
- The large negative bias in SW\_up flux can be attributed to the underestimation of surface albedo in SYN1deg
- Correcting SAH monthly map by adding a constant number would be an efficient strategy to reduce SW biases during summertime
- It is important to consider the impacts of cloud biases (multiple reflection between clouds and reflective surface) when correct the surface albedo
- Larger uncertainty in LW\_up flux ( $\sim 320\text{W/m}^2$ ) occurs when the surface reaches melting point ( $\sim 0^\circ\text{C}$ )
- The biases in surface wind and surface water vapor mixing ratio show a minor impact on SW and LW flux calculations

# Arctic Radiation-IceBridge Sea ice Experiment (ARISE)

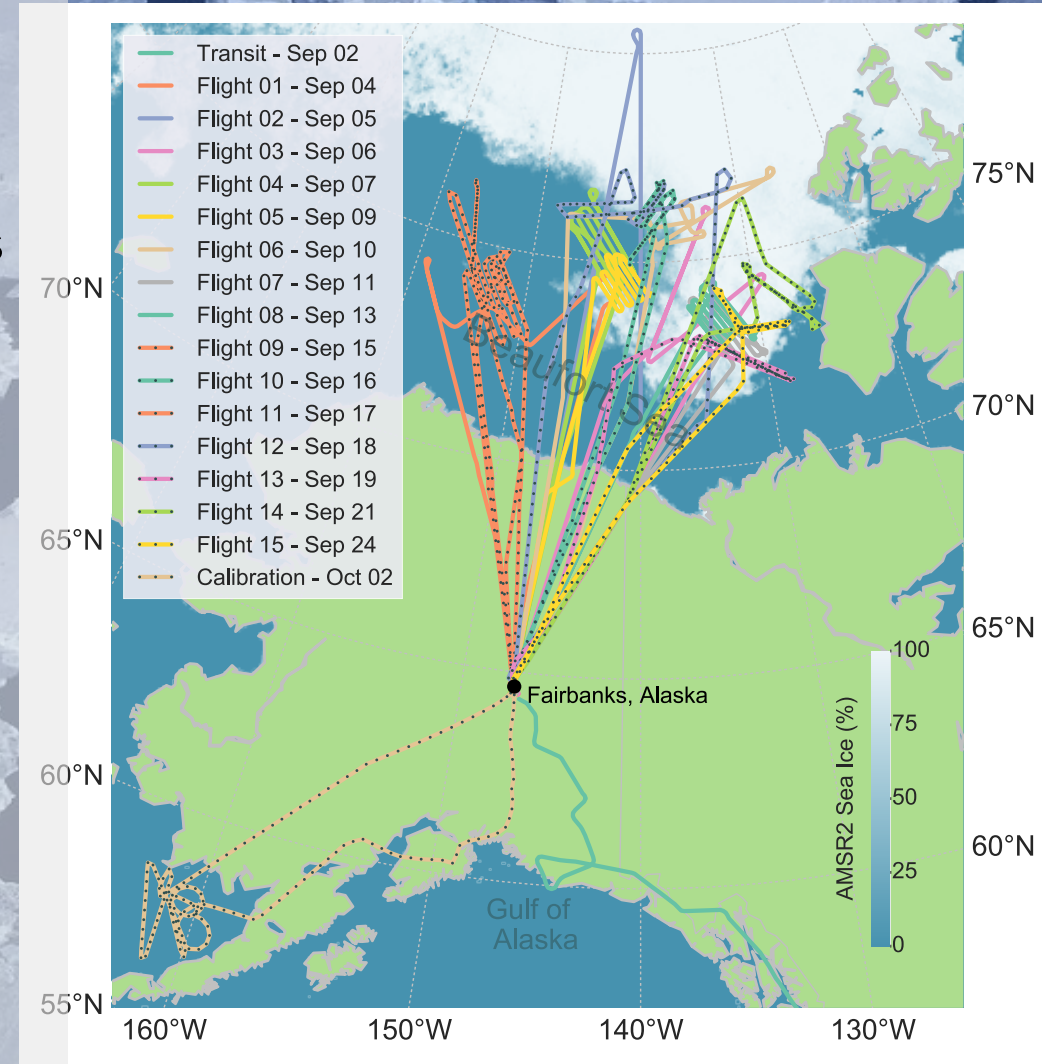
Based in Fairbanks, Alaska during September 2014

From the NASA C-130:

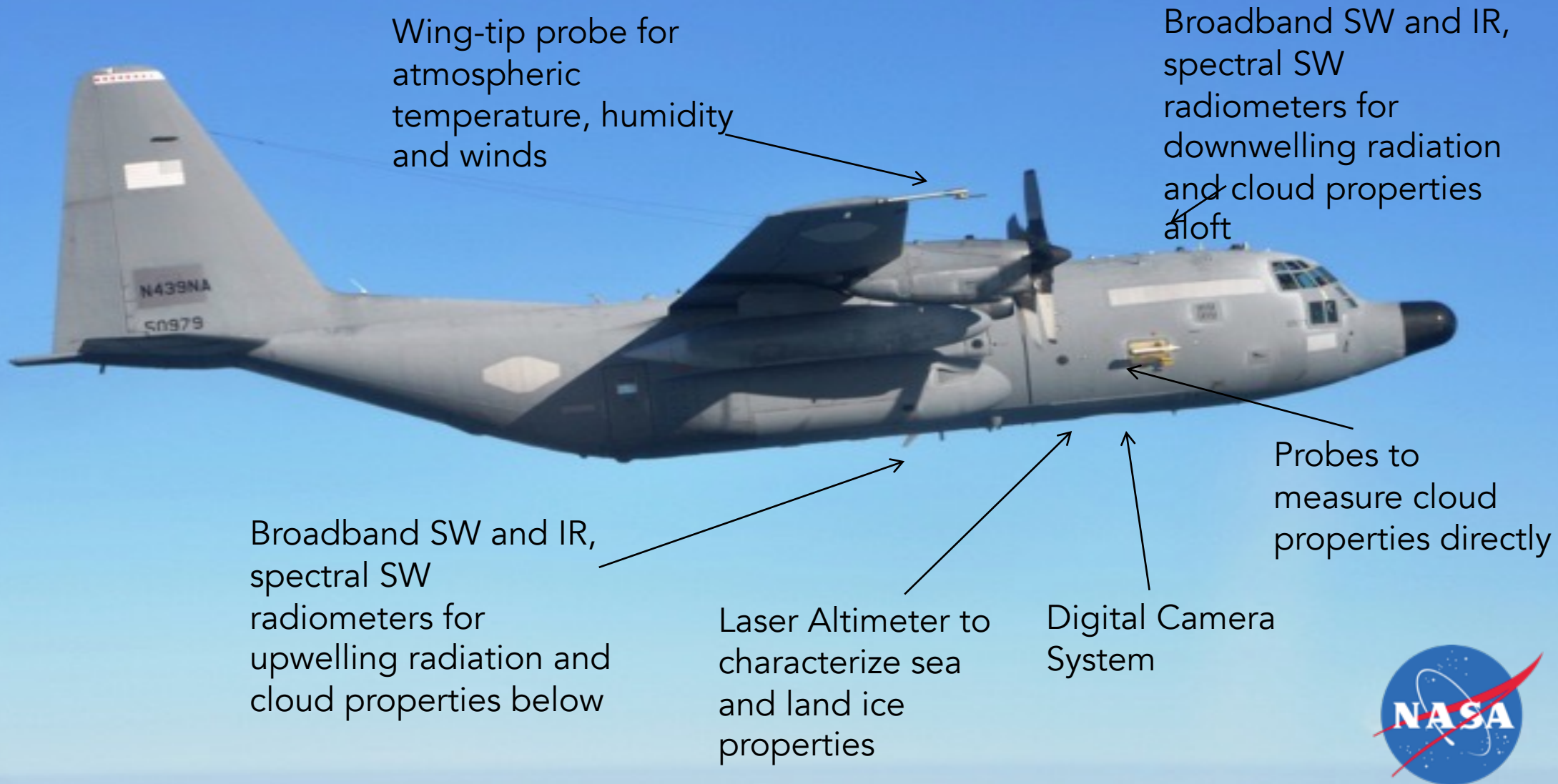
- Measure spectral and broadband radiative flux profiles
- Quantify surface characteristics, cloud properties, and other atmospheric state parameters under a variety of Arctic atmospheric and surface conditions
- Coincide with satellite overpasses as often as possible

## Naval Research Laboratory Broadband Radiometers (BBR):

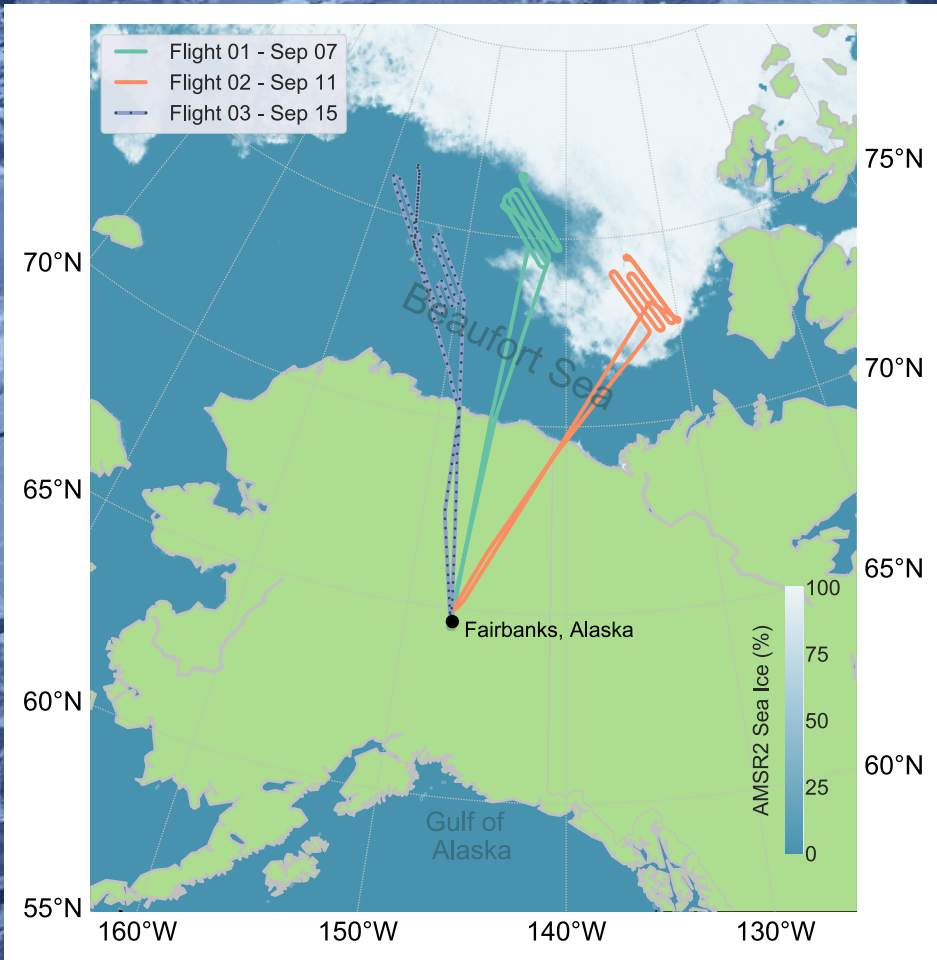
- SW up and down – modified Kipp and Zonen CM-22 pyranometers
- LW up and down – modified Kipp and Zonen CG-4 pyrgeometers
- estimated uncertainty ~ 3-5%



# NASA C-130: An airborne radiometer (thermometer) with in-situ probes and a laser altimeter to characterize the surface, atmosphere and radiative effects of sea-ice and clouds



## ARISE TOA gridbox experiments:



Three flight days focus on CERES TOA gridbox experiments:

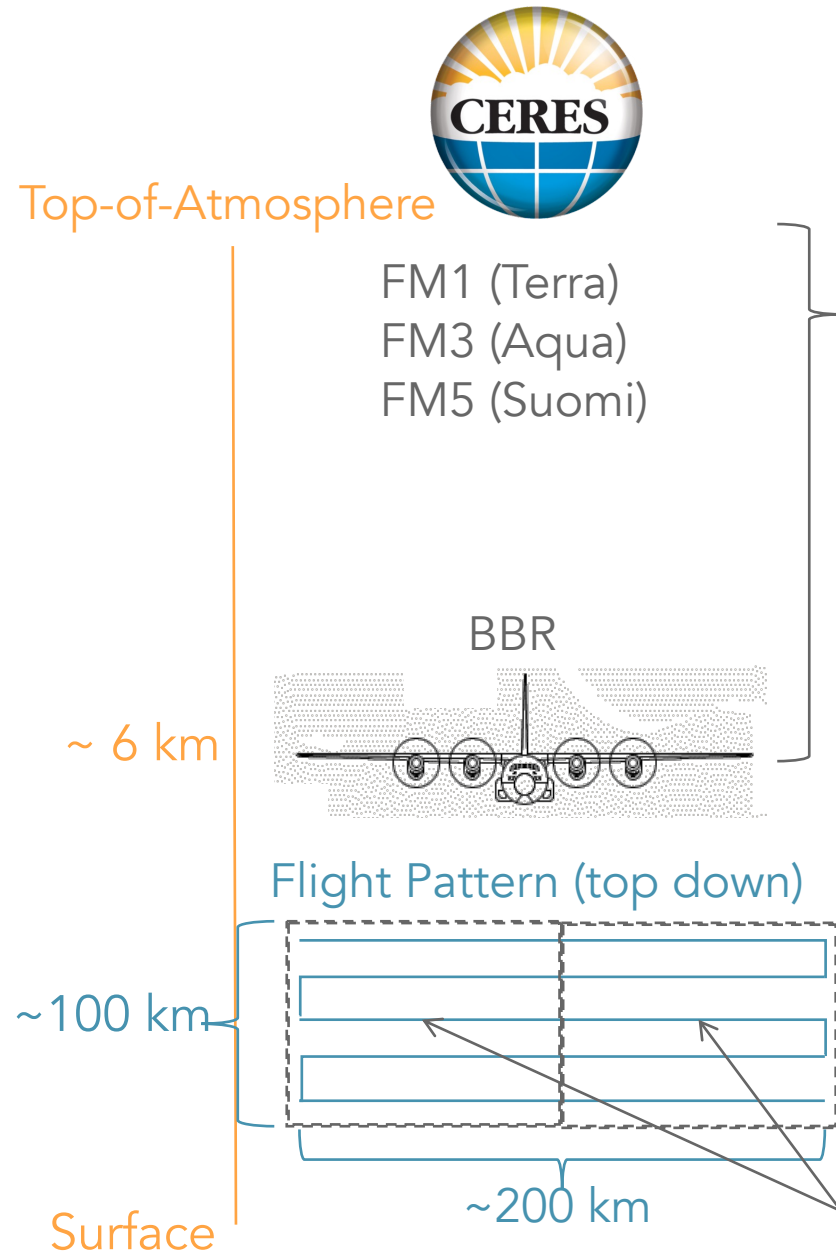
September 7, 2014: Marginal ice zone (two boxes)

September 11, 2014: High sea ice concentration (two boxes)

September 15, 2014: open ocean (one box)

A key ARISE objective was to evaluate CERES TOA and Surface data products.

# CERES-Aircraft Comparison Methodology:



Need to account for:

LW - absorption

SW - scattering/absorption

Langley Fu-Liou Radiative transfer model:

- Atmospheric state information from GEOS 5.4.1
- Cloud property information from MODIS (CERES cloud group)
- Surface information from the AMSR2 ASI 3.5km sea ice concentration dataset (Uni. Hamburg)

To convert BBR from 6 km to TOA:

$$\text{BBR TOA} = (F(\text{TOA})_{\text{model}} / F(6\text{km})_{\text{model}}) \times \text{BBR}$$

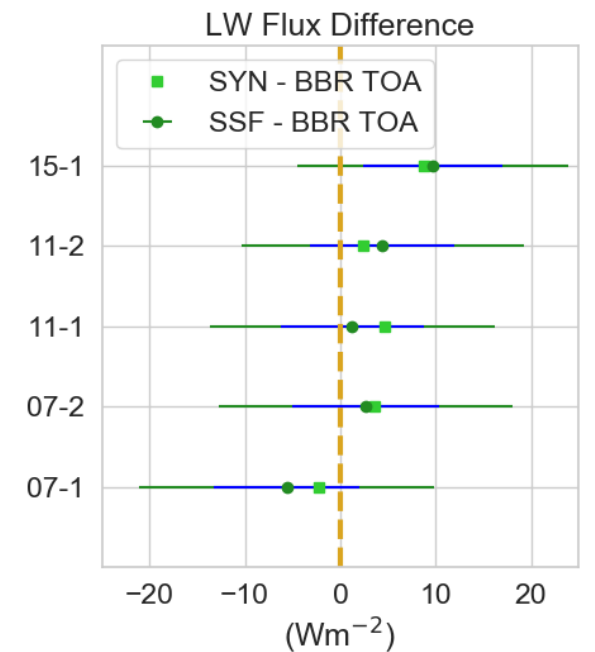
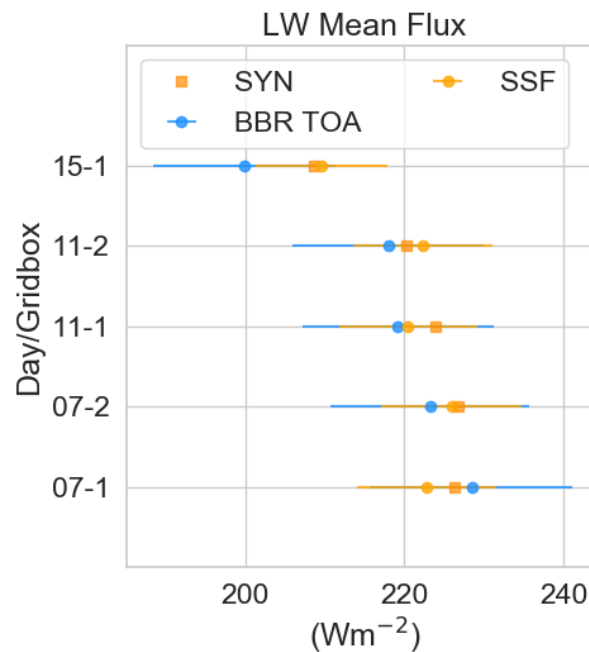
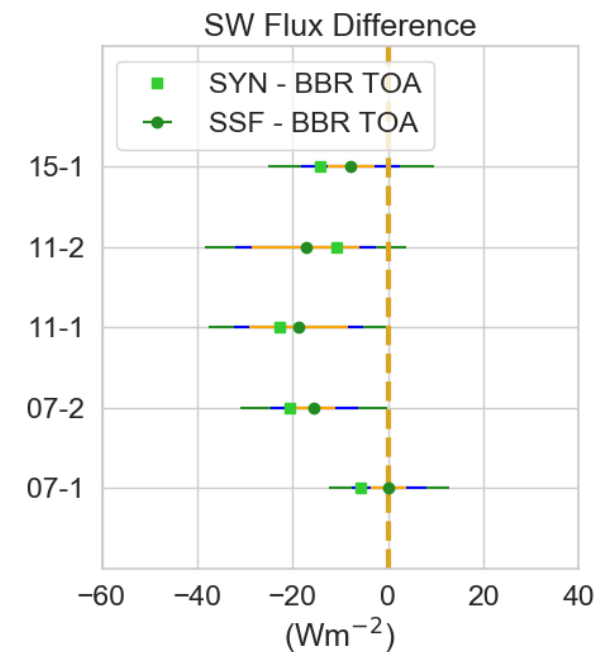
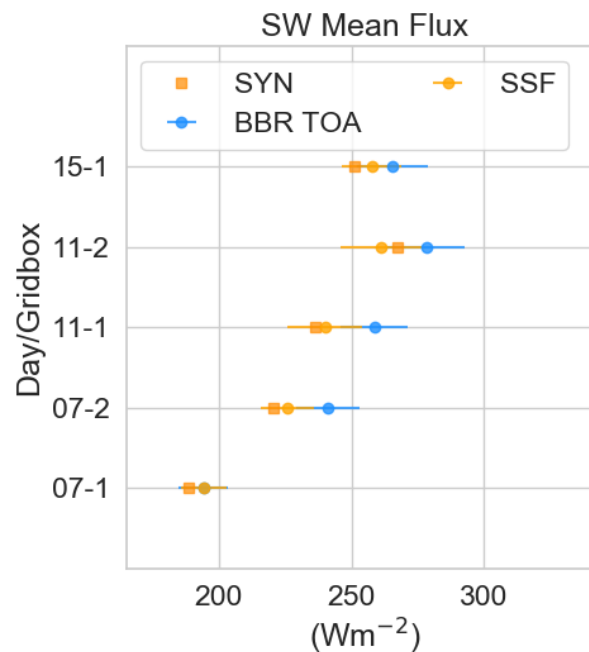
Compare mean BBR TOA and mean CERES fluxes for each grid box

# ARISE TOA gridbox experiments :

SW CERES-BBR mean difference:  $-13.0 \text{ Wm}^{-2}$   
LW CERES-BBR mean difference:  $+2.5 \text{ Wm}^{-2}$

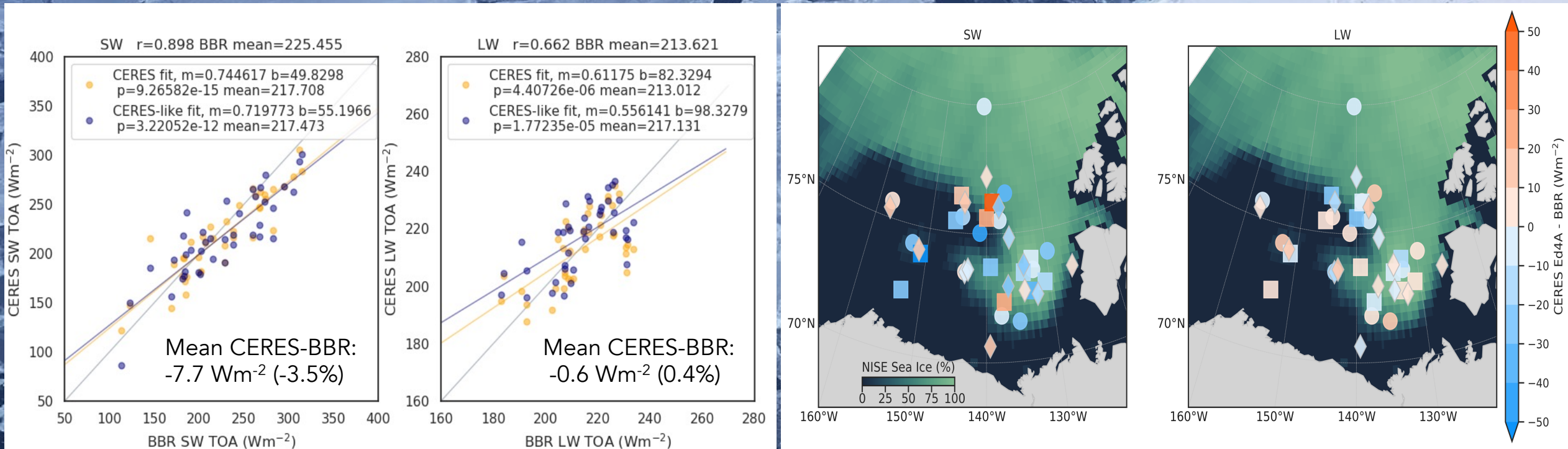
- LW shows good agreement for all grid-boxes ( $< \pm 2 \text{ Wm}^{-2}$ )
- SW shows agreement within uncertainty for 4/5 grid-boxes
- Cause of the negative biases?
  - Calibration
  - ADMs
  - Sampling

Overcast ocean  
Partly cloudy sea ice  
Overcast sea ice  
Overcast MIZ  
Overcast MIZ



## Instantaneous comparisons: 39 matched FOVs

- An alternative to the gridbox experiments is to compare only the instantaneous matches between aircraft and CERES FOVs
- Time match: within 15 minutes
- Despite the small number of samples, the overall results matches the gridbox experiments.



SW CERES-BBR mean difference:  $-7.7 \text{ Wm}^{-2}$  (-3.5%)  
LW CERES-BBR mean difference:  $-0.6 \text{ Wm}^{-2}$  (0.4%)

## Instantaneous comparisons: Stratifying by scene type

- An alternative to the gridbox experiments is to compare only the instantaneous matches between aircraft and CERES FOVs
- Time match: within 15 minutes
- Despite the small number of samples, the overall results matches the gridbox experiments.

| ADM GROUP             | N (count) | SSF-BBR SW Mean Difference (W m <sup>-2</sup> ) | SW SSF STDEV (W m <sup>-2</sup> ) | SSF NISE as imager -BBR Mean Difference (W m <sup>-2</sup> ) | SW STDEV (W m <sup>-2</sup> ) | CERES Ed4a LW Mean Difference (W m <sup>-2</sup> ) | LW SSF STDEV (W m <sup>-2</sup> ) |
|-----------------------|-----------|-------------------------------------------------|-----------------------------------|--------------------------------------------------------------|-------------------------------|----------------------------------------------------|-----------------------------------|
| Ocean Cloudy          | 15        | -0.8                                            | 17.6                              | -2.4 (12)                                                    | 18.2                          | -1.9                                               | 11.2                              |
| Sea Ice Clear         | n/a       | n/a                                             | n/a                               | n/a                                                          | n/a                           | n/a                                                | n/a                               |
| Sea Ice Partly Cloudy | 9         | -17.1                                           | 13.6                              | +9.2 (12)                                                    | 17.1                          | 1.9                                                | 7.4                               |
| Sea Ice Overcast      | 15        | -9.1                                            | 29.3                              | -9.1 (15)                                                    | 29.3                          | -0.9                                               | 11.0                              |

Largest difference are found in sea ice partly cloud scenes and the differences are sensitive to the choice of sea ice data set.

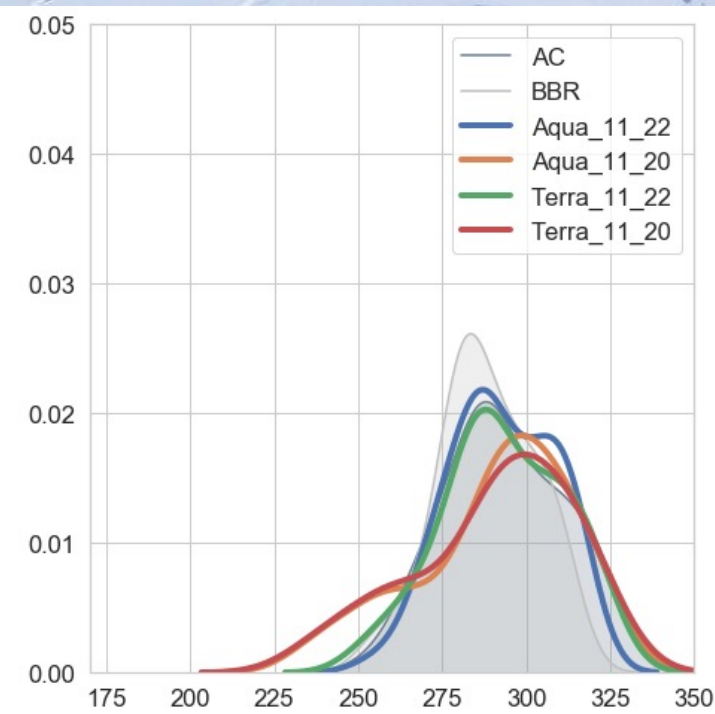
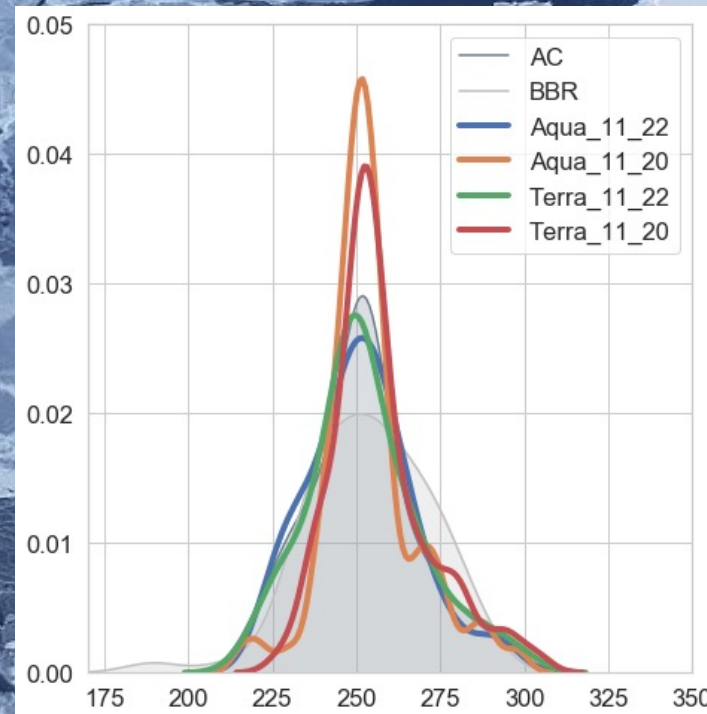
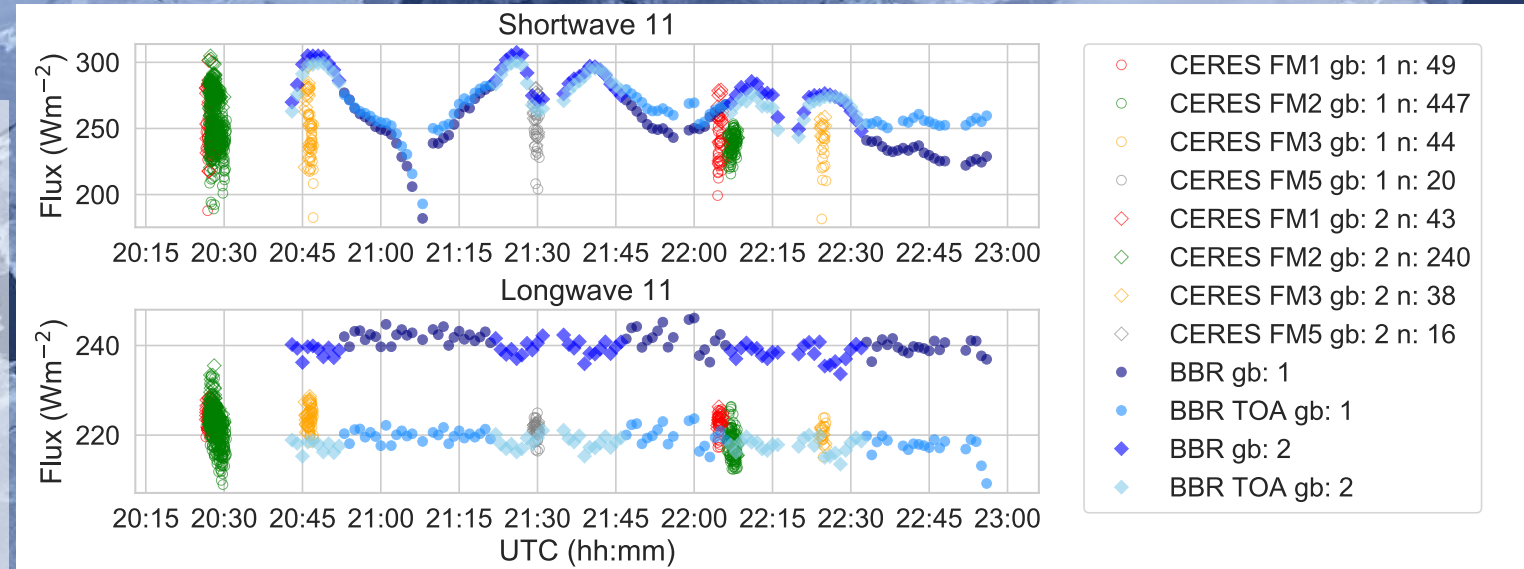
# Sampling Uncertainty

**Satellite sampling:** grid box averages are computed from 3-4 near-instantaneous snapshots

**Aircraft sampling:** grid box average are computed from 2-hour continuous sampling of the grid box.

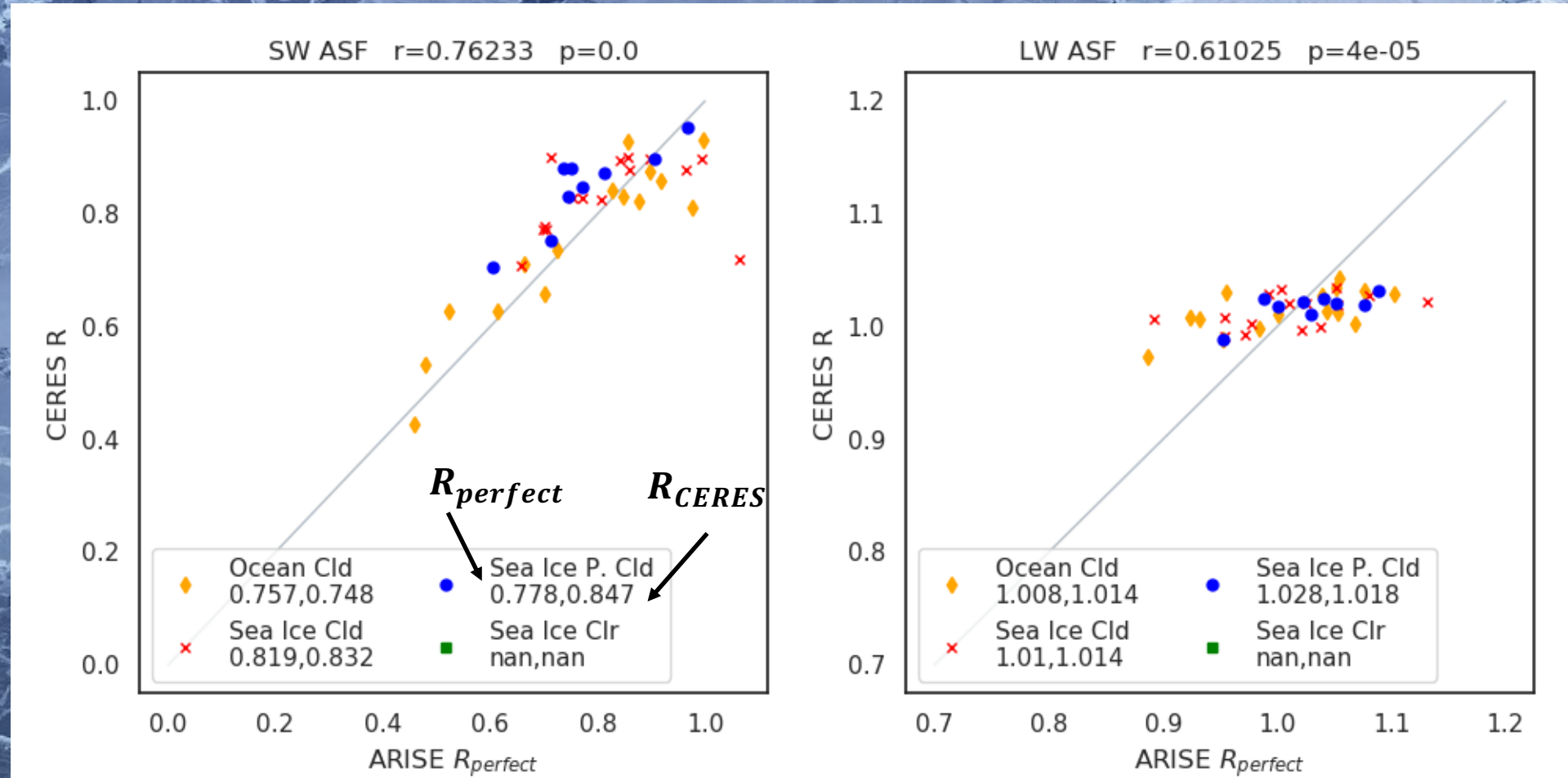
- These sampling differences could influence the CERES-BBR differences since the scenes are not static.

Results indicate a 1.8% and 1.7% sampling uncertainty for SW and LW, respectively.



# Influence of sea ice data set: Perfect Anisotropy (R)

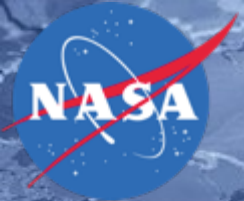
$$R_{perfect} = \frac{\pi ICERES}{BBR_{TOA}}$$



$R_{CERES}$  is systematically  $\sim 0.07$  larger than  $R_{perfect}$  for the sea ice partly cloudy scenes indicating that the anisotropy differences contribute to the negative SSF-BBR flux differences. Using NISE as imager removes this difference.

## Summary

- The gridbox sampling/validation approach proved successful during ARISE
  - LW TOA shows good agreement – all differences within the uncertainty.
  - SW TOA not quite as good – 4/5 within the uncertainty.
  - Consistent negative CERES SW difference relative to Aircraft Observations.
- Instantaneous CERES FOV and Aircraft comparison provide similar results.
- Why the negative SW bias?
  - Not Sampling differences (~1.7-1.8%)
  - Scene ID...we find substantial sensitivity of the differences to the sea ice data set
  - ADMs...evidence that sea ice partly cloudy scene anisotropy could contribute
- Five data points is not enough to make strong claims about any biases – more experiments needed (in the future, leverage MOSAiC)
- Switching from imager-based to passive microwave-based sea ice data in the CERES inversion process reduces the differences in the grid box average fluxes and in the sea ice partly cloudy scene anisotropy in the instantaneously-matched footprints.
- Our analysis indicates that calibration and sampling uncertainty limit the ability to place strong constraints ( $<\pm 7\%$ ) on CERES TOA fluxes with aircraft measurements.



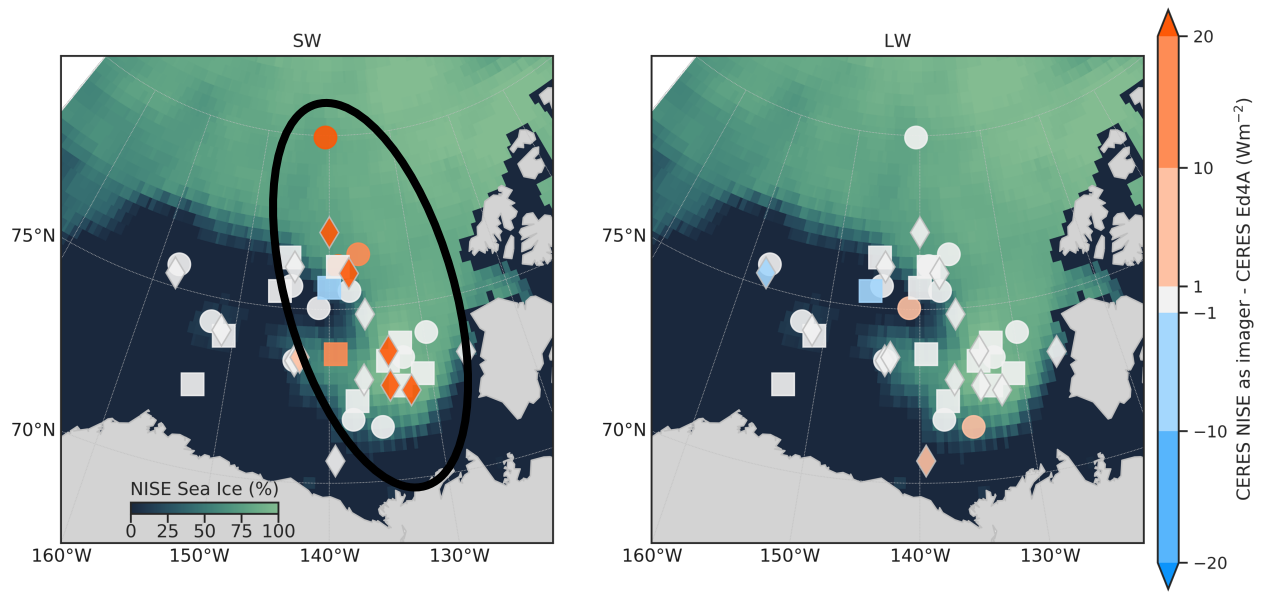
# NASA C-130 PAYLOAD

| Instruments                                                              | Measurement                                                        | Characteristics                                                                                                             | Products                                                                                                               |
|--------------------------------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------|
| Broadband Radiometers (BBR)<br><br>A. Bucholtz, NRL                      | SW and LW fluxes (↑, ↓)<br>SW total, direct & diffuse (↓)          | SW: modified K&Z CM-22<br>(0.2-3.6 μm)<br>LW: modified K&Z CG-4<br>(4.5-45 μm)<br>TDDR: Delta-Devices SPN-1<br>(0.4-2.7 μm) | Net SW, LW Irradiance,<br>direct/diffuse SW partitioning,<br>absorption, heating rates<br>Surface albedo, cloud albedo |
| Spectral Solar Flux Radiometer (SSFR)<br><br>S. Schmidt, U. of Colo.     | Spectral SW fluxes (↑, ↓)                                          | 370-2170 nm,<br>Resolution: 8-12 nm                                                                                         | Spectral fluxes, albedo<br>Cloud properties                                                                            |
| Spectral Sun-photometer 4STAR<br><br>J. Redemann, NASA ARC               | Spectral radiances (↓)<br>Modes: direct beam, sky scanning, zenith | 380-1700 nm                                                                                                                 | aerosols, gases,<br>cloud properties above aircraft                                                                    |
| Heitronics KT-19<br><br>D. Van Gilst, NSERC/UND<br>A. Bucholtz, NRL      | IR window radiance (↑, ↓)                                          | 9.6-11.5 μm                                                                                                                 | Skin temperature, sky and cloud temperature                                                                            |
| Land, Vegetation, and Ice Sensor (LVIS)<br><br>B. Blair, M. Hofton, GSFC | Geo-located waveform vector                                        | 1064 nm<br>Scanning: 20-minute footprint, 2 km swath from 10 km, Full waveform recorded                                     | Surface elevation,<br>Sea-ice freeboard,<br>Melt-pond distribution<br>Cloud top height                                 |

# Influence of sea ice data set: Ed4a Imager-based vs. NISE

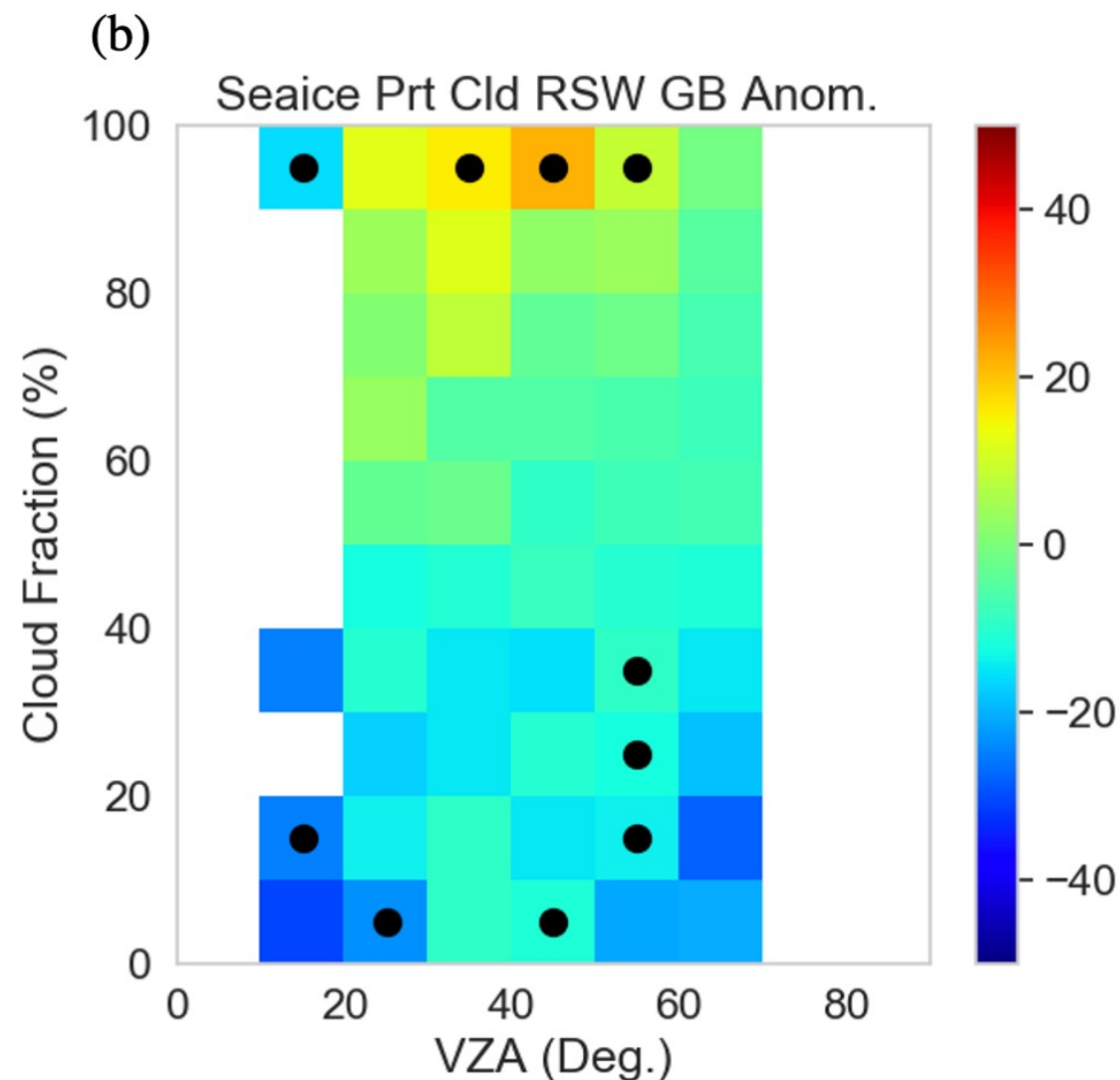
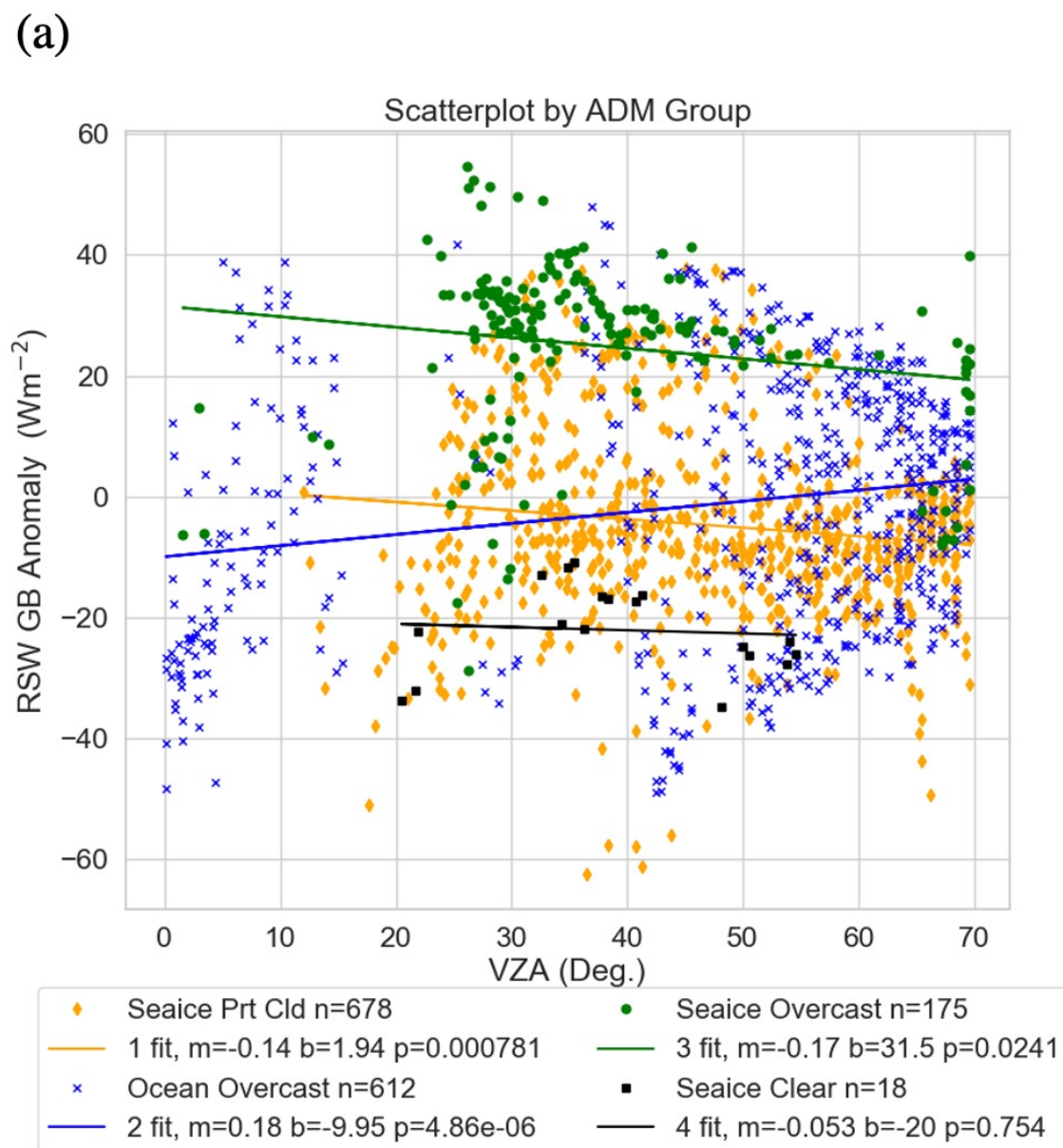
Changing the sea ice data set  
influenced the mean flux of 2 GBs by -3  
and +10 Wm<sup>-2</sup>.

| GB  | SIC (%) | Imager SIC (%) | CERES SSF SW (Wm <sup>-2</sup> ) | SSF Ed4a minus NISE as SIC (Wm <sup>-2</sup> ) | FM1: Ed4a NISE as SIC (Wm <sup>-2</sup> ) | FM2: Ed4a NISE as SIC (Wm <sup>-2</sup> ) |
|-----|---------|----------------|----------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------|
| 071 | 9.8     | 85.4           | 195.1                            | 0.0                                            | 0.0                                       | 0.0.                                      |
| 072 | 16.9    | 74.2           | 227.0                            | -0.3                                           | -0.4                                      | -0.2                                      |
| 111 | 86.3    | 43.4           | 240.2                            | 0.1                                            | -30.6                                     | +6.2                                      |
| 112 | 77.3    | 84.1           | 274.3                            | -12.3                                          | -19.7                                     | -10.9                                     |
| 151 | 0.3     | 99.7           | 257.3                            | 0.0                                            | 0.0                                       | n/a                                       |



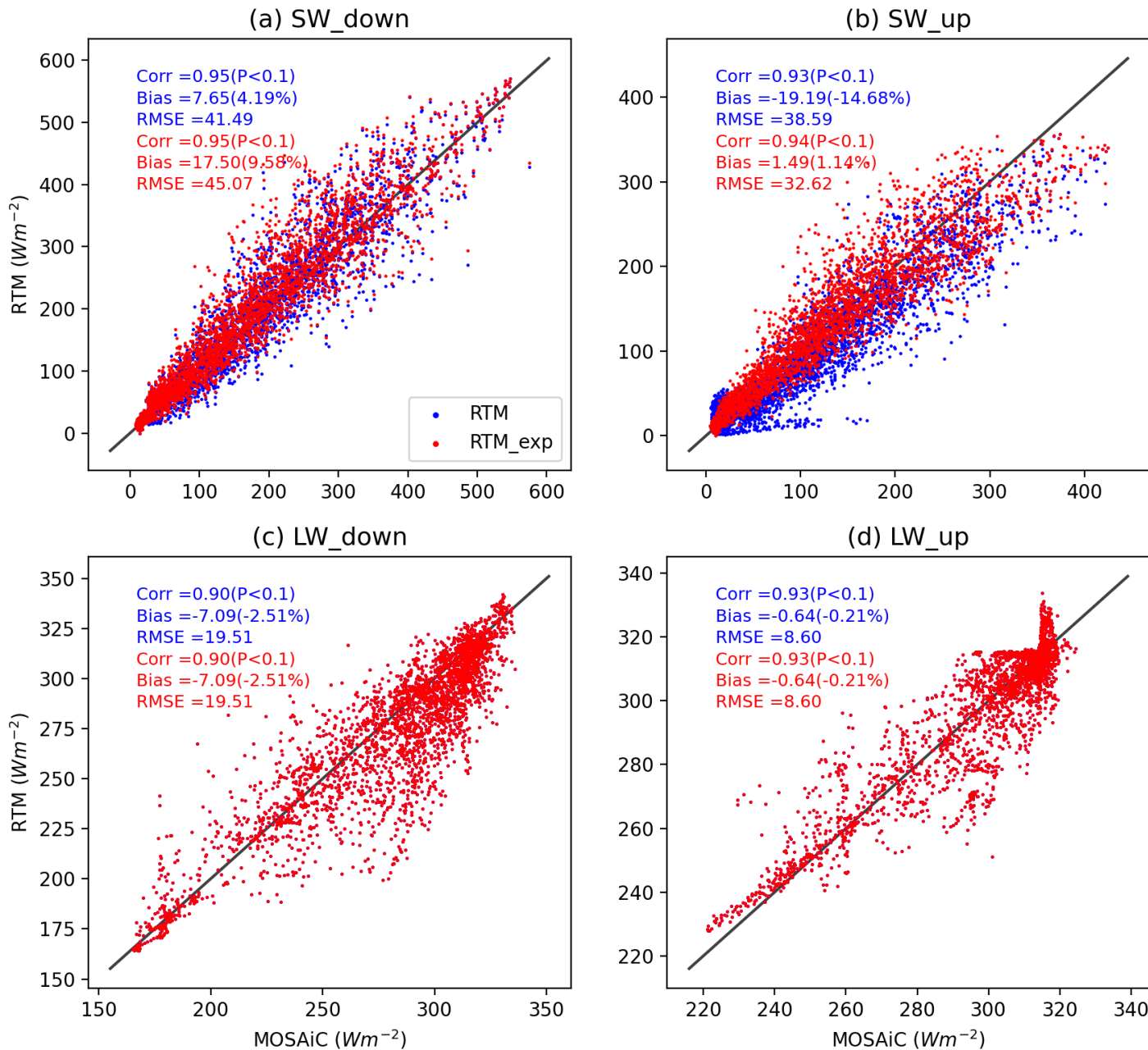
The sea ice data set changed the SW fluxes for 10 of the instant match footprints, making all but one of them more positive (ranging from -2.1 to 44.0 Wm<sup>-2</sup>).

# CERES Flux Inversion: VZA Dependence



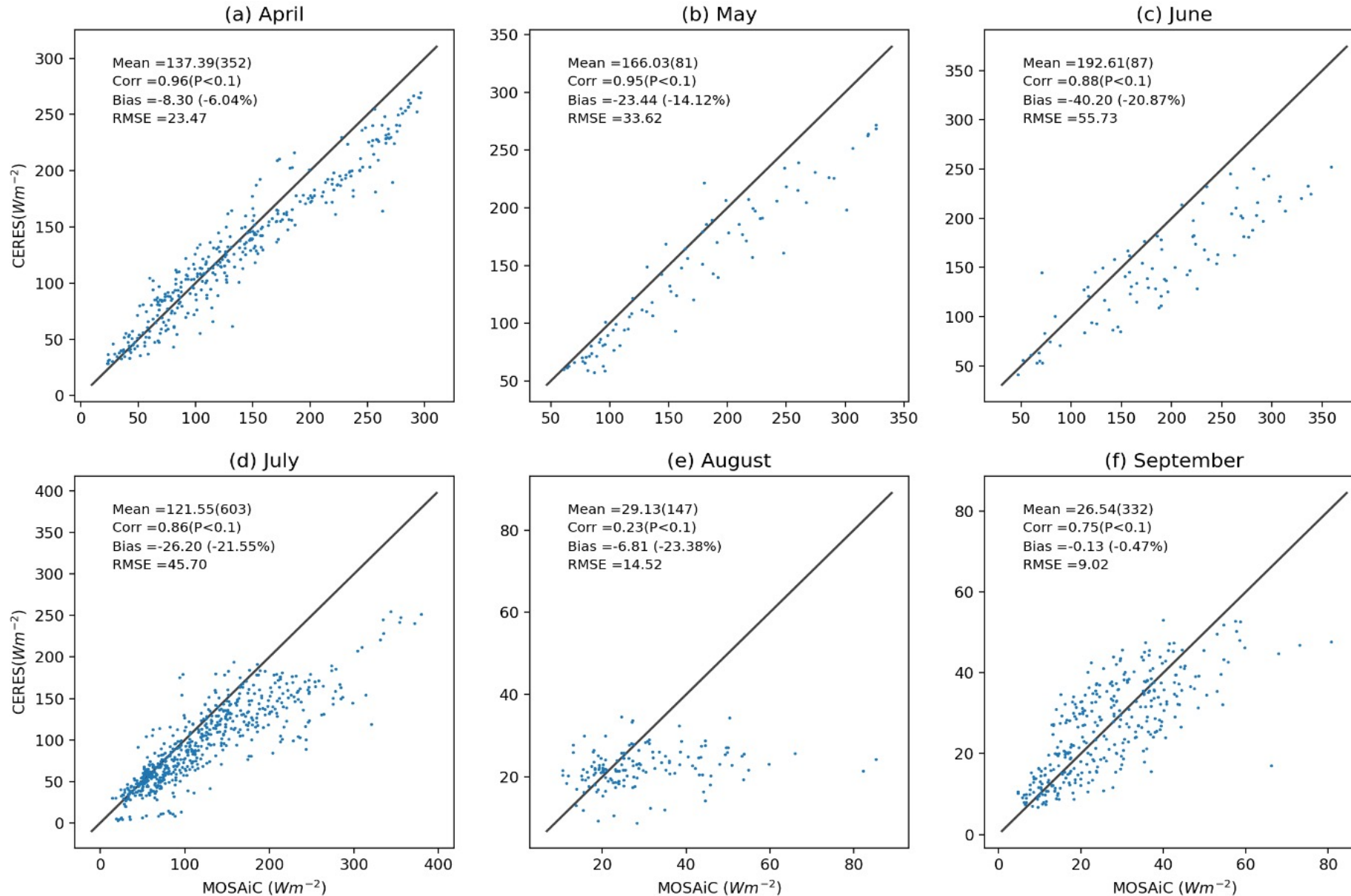
Sea ice partly cloudy scenes exhibit a VZA dependence of the inverted SW flux

# Surface albedo calculations in the RTM – A1



- The uncertainty in SW\_up flux was reduced by  $\sim 15\%$ , while the uncertainty in SW\_down flux was increased by  $\sim 8\%$  (RMSE)
- No impacts on LW fluxes

# Monthly SW<sub>up</sub> fluxes at the surface: MOSAiC and CERES SYN1deg



- CERES-MOSAiC differences in SW<sub>up</sub> vary significantly from month-to-month ranging from -0.13 to -40 W m<sup>-2</sup>.
- These differences are primarily linked to the differences in surface albedo.