

1 **Mid-Pliocene El Niño/Southern Oscillation suppressed by Pacific Inter-Tropical**
2 **Convergence Zone shift**

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40

41 **Abstract**

42 **The El Niño-Southern Oscillation (ENSO), the dominant driver of year-to-year**
43 **climate variability in the equatorial Pacific Ocean impacts climate pattern across the**
44 **globe. However, the response of the ENSO system to past and potential future**
45 **temperature increases is not fully understood. Here we investigate ENSO variability**
46 **in the warmer climate of the mid-Pliocene (~3–3.3 Ma), when surface temperatures**
47 **were ~2–3 °C above modern values, in a large ensemble of climate models – the**
48 **Pliocene Model Intercomparison Project. We show that the ensemble consistently**
49 **suggests a weakening of ENSO variability, with a mean reduction of 25% (±16%).**
50 **We further show that shifts in the equatorial Pacific mean state cannot fully explain**
51 **these changes. Instead, ENSO was suppressed by a series of off-equatorial processes**
52 **triggered by a northward displacement of the Pacific Inter-Tropical Convergence**
53 **Zone: weakened convective feedback and intensified Southern Hemisphere**
54 **circulation, which inhibits various processes that initiate ENSO. The connection**
55 **between the climatological Inter-Tropical Convergence Zone position and El**
56 **Niño/Southern Oscillation we find in the past is expected to operate in our warming**
57 **world with important ramifications for ENSO variability.**

58

59 **Main Text**

60 ENSO warm (El Niño) and cold (La Niña) events cause significant changes in weather
61 patterns and ocean circulation, impacting agriculture, fisheries, coral bleaching,
62 cyclogenesis, amongst a host of other impacts¹. Given its pronounced socioeconomic
63 impacts and potential predictability of a few seasons in advance, ENSO has been under

64 intense investigation². Whether and how ENSO changes in response to greenhouse gases
65 and other external forcing may be studied by investigating past, present, and future climates
66 with paleo-reconstructions, instrumental records, theory, and numerical simulations. There
67 is a lack of consensus among climate models in general as to how ENSO variability will
68 respond to future warming^{3,4}, although models that better capture ENSO nonlinearity tend
69 to simulate enhanced variability in the eastern equatorial Pacific⁵ and increased frequency
70 of extreme events^{6,7}. These changes in ENSO properties are linked to changes in the Pacific
71 mean state marked by a weakened Walker Circulation, increased upper-ocean
72 stratification, reduced zonal sea surface temperature (SST) gradient, and equatorially
73 enhanced warming that causes the Inter-Tropical Convergence Zone (ITCZ) to be
74 displaced equatorward⁷⁻⁹.

75 Studies based on paleo-reconstructions have also suggested that ENSO activity is
76 sensitive to the mean climate. A synthesis of mid-Holocene (~6 ka [thousand years])
77 records indicates a 33% reduction in ENSO amplitude in the eastern Pacific during this
78 period¹⁰. ENSO activity over the last millennium was shown to be weaker when compared
79 to the last half-century¹¹, potentially suggesting global warming-related changes.
80 Furthermore, there is evidence of significantly reduced ENSO variability during the Last
81 Glacial Maximum¹² (~21 ka). Proxy-data for the Pliocene (~5 to ~3 Ma [million years]) are
82 controversial with regards tropical Pacific changes¹³⁻¹⁶. A Pliocene El Niño-like mean state
83 has been hypothesized to reduce ENSO variability¹⁷, although there is evidence of
84 significant interannual variability during this period^{18,19}, whose magnitude could be
85 comparable to the late Holecene²⁰. As such, tropical Pacific mean state changes during the
86 Pliocene and how they have impacted ENSO activity remain uncertain.

87 Paleoclimate studies have suggested that the mid-Pliocene Warm period (mPWP;
88 ~3.3 Ma) can possibly be a useful analogue to the end-of-century climate based on the
89 warming magnitude²¹⁻²³. The mPWP was marked by warmer SSTs of up to 9 °C and 4 °C
90 in the Northern and Southern Hemisphere, respectively, compared to pre-industrial times²²
91 (~1850), with orbital forcing and elevated atmospheric CO₂ concentrations similar to
92 present day (~400 ppm) while polar ice was reduced²³. Partly motivated by the similarities
93 between the mPWP and scenarios of future projected warming, the Pliocene Model
94 Intercomparison Project (PlioMIP)^{24,25} initiative was developed. Here we examine the
95 broad PlioMIP ensemble, including phases 1 and 2 with a total of 25 models (Extended
96 Data Tables 1 and 2), to better understand how ENSO activity might change in warmer
97 climates.

98 **Reduced ENSO amplitude**

99 The PlioMIP ensemble simulates significant reduction in the variability of SST anomalies
100 across most of the global tropics in the mPWP compared to pre-industrial (Fig. 1a; see
101 Extended Data Figure 1 for PlioMIP1). Although there are notable changes in the Indian
102 and Atlantic Oceans²⁶, the most pronounced weakening occurs in the equatorial Pacific
103 where reduced SST variability in the eastern basin (Niño3 region) is simulated by 21 out
104 of 23 PlioMIP models (including PlioMIP1 and 2). Considering PlioMIP2 models only,
105 there is a multi-model mean amplitude reduction of 25% ($\pm 16\%$ standard deviation; Fig.
106 1b).

107 Separating the Niño3 variability change into interannual (<10yr) and longer
108 timescale components shows that all but one model simulate reduced amplitude in the
109 interannual band (Extended Data Figure 2), a timescale that is dominated by ENSO.

110 Additionally, 75% (17 out of 23) of the models suggest a shift towards lower frequencies
111 as indicated by either an increased amplitude at low-frequency (>10 yr) or a more
112 pronounced weakening at interannual than on longer timescales. Here due to data
113 availability, our analysis is performed on the last 100 years of each model's simulation,
114 making the decadal analysis more uncertain.

115 **Role of Equatorial Pacific Ocean changes**

116 ENSO dynamics is dominated by equatorial processes, which are influenced by the
117 background state²⁷. Although the PliomIP models simulate an amplified eastern Pacific
118 warming (Fig. 2a), there are large inter-model differences in this pattern, as indicated by
119 not consistent changes in the zonal SST difference²⁸ (Extended Data Figure 3). Of
120 particular importance for ENSO dynamics are changes in equatorial thermal gradients in
121 the mixed-layer^{5,6,29}.

122 Firstly, we evaluate changes in the thermocline slope which plays an important role
123 in ENSO dynamics. Stronger (weaker) westward equatorial currents are associated with
124 increased (decreased) east-west thermocline slope⁶. The thermocline slope better represents
125 the resultant effect of changes in zonal equatorial ocean dynamics than the zonal SST
126 gradient in the PliomIP models, as reflected in a higher inter-model correlation with ENSO
127 amplitude change (Figure 2b; Extended Data Figure 3). Models with a steeper mean
128 thermocline in the mPWP are typically associated with larger ENSO amplitude reductions,
129 while a flatter mean thermocline is associated with either a slight increase or a weak
130 decrease in ENSO variability ($r_s=-0.43$; Figure 2b). This indicates that an equatorial Pacific
131 mean state with a steeper thermocline, which is associated with intensified trades and
132 westward surface currents, is less favourable for strong ENSO variability. Under such a

133 “La Niña-like” mean state, stronger initial anomalies are required to weaken the
134 climatological conditions sufficiently for El Niño development⁶.

135 Another mean-state factor that can affect ENSO development is the equatorial
136 upper-ocean stratification⁵. In particular, western-central equatorial Pacific stratification
137 influences the variability of strong ENSO events, through changes in the dynamical ocean-
138 atmosphere coupling. As such, we evaluate ocean stratification in the central-western
139 Pacific, a region where wind anomalies trigger oceanic Kelvin and Rossby waves which
140 influence ENSO genesis³⁰. Models with decreased ocean stratification are typically
141 associated with larger ENSO reductions, while weaker reductions occur in models where
142 ocean stratification increases (Figure 2c). Given that over half of the models show
143 increased stratification, this relationship cannot explain the consistent decrease in ENSO
144 across the ensemble. Similarly, the fact that many models show a decrease in thermocline
145 slope indicates that this is not the underlying cause for ENSO amplitude reduction. In
146 summary, while changes in the thermocline and stratification help explain inter-model
147 differences in ENSO amplitude changes, it appears that other processes that apply across
148 models are required for the overall weakening of ENSO variability.

149 **Off-Equatorial Pacific changes**

150 Whilst ENSO development is closely related to zonal equatorial dynamics²⁷, ENSO events
151 are also affected by a variety of other large-scale processes beyond the equatorial
152 Pacific^{7,31,32}. For instance, changes to the mean meridional SST gradient or processes in
153 the extratropics can play an important role in triggering ENSO events. In particular, all
154 PlioMIP models simulate a weaker equator-to-pole temperature gradient associated with
155 polar amplified warming³³.

156 We first evaluate the role of meridional SST gradients through possible
157 displacements of the ITCZ in the mPWP. Southward (northward) ITCZ displacements, due
158 to reduced (increased) off-equatorial SST gradients, affect ENSO activity through
159 increased (reduced) probability of occurrences of deep convection in the central-eastern
160 equatorial Pacific^{8,34}. Here we show that a mean northward ITCZ shift during austral
161 spring-summer, developing and mature ENSO phases, is strongly related to the ENSO
162 weakening across models ($r_s=-0.64$; Figure 3a). This scenario increases convergence
163 throughout the tropical North Pacific that suppresses anomalous convergence feedback at
164 the equator (Extended Data Figure 4). To further illustrate this effect, we evaluate models'
165 performance in simulating the non-linear relationship between SST anomalies and
166 precipitation events in the eastern Pacific (see Methods; Extended Data Figure 5). Six
167 models correctly simulate this characteristic and indicate that the further north the mean
168 ITCZ migrates the less probable are occurrences of deep convection events in the eastern
169 Pacific associated with ENSO SST anomalies (Figure 3). The ITCZ shift can fully explain
170 ENSO weakening across these 6 models ($r_s=0.94$; Extended Data Figure 6).

171 This PlioMIP result is analogous to the reduced ENSO activity in the past two
172 decades, which corresponded with a more northward position of the ITCZ³⁵ (Figure 3a).
173 The multi-decadal period pre-2000 was marked by enhanced ENSO variability, while post-
174 2000 it has been reduced by 21%^{36,37}, resulting in weaker rainfall events in the eastern
175 Pacific (Figure 3b). This reduction has been attributed to a negative phase of the
176 Interdecadal Pacific Oscillation³⁸ with enhanced trade winds and surface ocean currents,
177 and resembles a La Niña-like mean-state with the Pacific ITCZ displaced northward.

178 Consistently, the PlioMIP models indicate a larger reduction in ENSO activity when shifted
179 towards a La Niña-like mean state (Figure 2b).

180 We also evaluate possible changes to other processes that are favourable for
181 initiating ENSO events, such as the reversal of the easterly trade winds in the western
182 Pacific³⁹. In the PlioMIP models, the annual mean intensification of the western Pacific
183 trade winds corresponds with weaker wind variability over this region (Figure 4a).
184 Climatologically stronger easterly trades tend to inhibit: 1) the stochastic forcing of
185 westerly wind bursts in the western Pacific⁴⁰ that triggers the positive thermocline
186 feedback; 2) southward shifts of the ITCZ through positive wind-evaporation-SST
187 feedback³⁴ which cools the equatorial Pacific Ocean, increasing the meridional SST
188 gradient; and 3) eastward displacements of the Walker circulation.

189 Further, we evaluate patterns of variability that promote wind anomalies in the
190 western Pacific and contribute to the development of El Niño events. Firstly, the South
191 Pacific Meridional Mode (SPMM), analogue to the North Pacific Meridional Mode
192 (NPMM), is initiated by the weakening of off-equatorial southeast trade winds in the
193 eastern Pacific. This alters the latent heat flux, triggering a wind-evaporation-SST feedback
194 that propagates wind anomalies into the tropics³². We find that all but two PlioMIP2 models
195 simulate decreased SPMM variability in the mPWP (Figure 4b). Equivalent changes in the
196 NPMM are not consistent across models and do not help explain ENSO changes (Extended
197 Data Figure 7).

198 Finally, extreme El Niño events are amplified by an anomalous zonal pressure
199 dipole in the Southern Hemisphere³¹. In such condition, an anomalous high pressure over
200 Australia facilitates cold surges through the Coral Sea (the Southern Hemisphere Booster,

201 SHB)³¹, that promote westerly wind bursts in the western Pacific conducive for El Niño
202 development. This meridional wind variability in the SHB region also decreases in 10 out
203 of 12 PlioMIP2 models (Figure 4c). All these aforementioned changes are associated with
204 reduced probability of El Niño initiation, which results in weaker ENSO activity. It is
205 important noting that a northward ITCZ shift likely had a major effect on ENSO triggers
206 from the Southern Hemisphere, due to changes in the large-scale atmospheric circulation,
207 as we evaluate next.

208 **Large-scale forcing**

209 The Pacific ITCZ-ENSO relationship demonstrated in the previous section can either be a
210 result of a large-scale global ITCZ shift modulating ENSO or a local response of the Pacific
211 ITCZ to changes in ENSO activity. The PlioMIP models indicate that the northward ITCZ
212 shift during the mPWP occurs in all basins, as indicated by anomalous meridional dipoles
213 in rainfall across the global tropics (Figure 5a; see Extended Data Figure 8 for PlioMIP1).
214 Additionally, the PlioMIP models systematically simulate asymmetric polar amplified
215 warming in both hemispheres (Figure 5b), which can give rise to large-scale changes in the
216 meridional temperature gradient and affect the ITCZ position through changes in
217 atmospheric heat fluxes⁴¹.

218 It is important noting that increased rainfall south of equator in the eastern Pacific
219 may be a result of double-ITCZ bias in the PlioMIP models^{9,42}. A more consistent
220 northward ITCZ shift across the tropical North Pacific is evident through increased low-
221 level wind convergence (Extended Data Figure 4), which indicates that increased
222 precipitation in the eastern Pacific is likely a result of the thermodynamic effect over the
223 double-ITCZ region⁴³.

224 The ITCZ northward shift is not consistent with the equatorial warming (Figure 2a)
225 which would otherwise tend to shift the ITCZ southward. To assess the role of the large-
226 scale SST warming patterns in the ITCZ shift, we performed sensitivity experiments using
227 an Atmospheric General Circulation Model (AGCM; the NCAR Community Atmospheric
228 Model version 4 [CAM4]). Here the AGCM is forced with PlioMIP climatological SSTs,
229 which allow us to isolate changes in atmospheric circulation from changes in ocean-
230 atmosphere variability, such as ENSO. It is worth noting the mPWP climatological-mean
231 warming pattern, used to force the atmospheric model, may still contain some non-linear
232 influence of ENSO changes, but this effect is negligible (see Methods).

233 In the present climate, during austral summer, increased insolation in the Southern
234 Hemisphere results in intensification of the Northern Hemisphere Hadley circulation,
235 northward energy flux across the equator (hereafter referred as energy-flux-equator), and
236 southward ITCZ shift⁴¹. In the mPWP, the AGCM simulates decreased northward energy-
237 flux-equator during the austral summer (Figure 5c). Due to the mutual relationship between
238 changes in the energy-flux-equator and ITCZ position, a decreased northward energy-flux-
239 equator is accompanied by a northward ITCZ shift in agreement with a recent PlioMIP2
240 study⁴⁴. Higher rates of warming in the Northern Hemisphere drive an intensification and
241 northward expansion of the Southern Hemisphere Hadley cell and weaker circulation in
242 the Northern counterpart (Figure 5d; see Extended Data Figure 8 for PlioMIP1), which
243 reduces the atmospheric energy input from the Southern to the Northern Hemisphere
244 during the austral summer.

245 The AGCM experiments suggest that the meridional displacement of the ITCZ is a
246 global feature of the PlioMIP simulations and occurs due to the extratropical warming. The

247 experiments indicate an overall decrease in the northward atmospheric heat transport in the
248 Northern Hemisphere and a slight increase in the southward heat transport in the Southern
249 Hemisphere (Figure 5c), which initially points to changes in pole-to-pole temperature
250 gradient. One of the most robust features of the mPWP simulations is the asymmetric polar
251 amplified warming (Figure 5b), which increases the inter-hemispheric temperature
252 gradient and was caused by reduced sea-ice volume⁴⁵. However, whether the mPWP ITCZ
253 shift was driven by sea-ice changes needs to be further investigated.

254 The large-scale changes in the meridional circulation likely induce changes in
255 horizontal circulation. In the Pacific Ocean, the PlioMIP models indicate that a northward
256 ITCZ shift is significantly related to intensified western Pacific trades (Figure 5d), which
257 is analogous to synchronized shifts of the Walker and Hadley circulations during different
258 ENSO phases⁴⁶. An analysis of the low-level circulation indicates that the anomalously
259 stronger western trades in the mPWP are sourced at the subtropical South Pacific due to an
260 intensified circulation of the South Pacific Subtropical High system (Figure 5e,f; see
261 Extended Data Figure 7 for PlioMIP1). These changes are not exclusive to the South
262 Pacific but occur in all ocean basins (Figure 5f). The synchronized changes in the
263 meridional and zonal atmospheric circulation are likely a result of global changes in
264 atmospheric heat fluxes during the warmer mPWP. This illustrates a possible influence of
265 changes in global atmospheric dynamics on ENSO in a warmer climate.

266 **Implications for past and future climates**

267 The results presented here suggest a link between reduced ENSO amplitude and the
268 northward shift of the ITCZ in the mPWP, associated with stronger climatological
269 circulation in the Southern Hemisphere (Figure 6). The northward shift of the ITCZ reduces

270 the probability of ENSO-related rainfall events in the eastern Pacific. Northward ITCZ
271 shift and intensified Southern Hemisphere Hadley and subtropical circulations are a
272 response to enhanced Northern Hemisphere warming via energetic constraints for the ITCZ
273 position⁴¹ (Figure 6). This intensified Southern Hemisphere circulation reduces wind
274 variability in the western Pacific, may suppress zonal sea-level pressure anomalies imposed
275 by the South Pacific Meridional Mode and the Southern Hemisphere Booster and weakens
276 and shifts the South Pacific Convergence Zone polewards⁴⁷, reducing its interaction with
277 equatorial processes (Figure 6). As such, the climatological stability imposed by intensified
278 tropical Southern Hemisphere circulation acts to increase ENSO stability, as ENSO, by
279 definition, is a deviation from the mean climate, and thus stronger climatological
280 circulations can be viewed as unfavourable to ENSO-induced changes¹⁰.

281 In addition to the reduced ENSO amplitude, SST variability in other tropical basins
282 also decreases (Figure 1a). This may also contribute to weakened ENSO variability via
283 pan-tropical teleconnections related to a delayed and weaker negative feedback⁴⁸, although
284 reduced variability in other tropical basins itself might also be a consequence of reduced
285 ENSO variability. Pontes et al.²⁶ reported that all PlioMIP1 models simulate reduced
286 tropical North Atlantic variability associated with a warming of this basin and a northward
287 Atlantic ITCZ shift. Taken together, these results suggest that a northward shift of the
288 global ITCZ may mute tropical Pacific and Atlantic SST variability.

289 Our results are subject to a number of uncertainties in the simulations tied to sparse
290 and limited proxy data, which are used to constrain the PlioMIP experiments, and
291 systematic climate model biases⁴⁹. Changes in the inter-hemispheric SST gradient for
292 example could be affected by uncertainties in the extension of the mPWP ice sheets⁵⁰, poor

293 representation of certain polar feedbacks⁵¹ (i.e. interactive land-ice), climate sensitivity⁵²,
294 and biases in tropical convection and SST of the climate models, such as double-ITCZs⁴²
295 and an overly strong cold tongue. Despite different model biases, we show that the current
296 generation of climate models simulate consistent changes to ENSO related to shifts in the
297 ITCZ position in the mPWP.

298 Paleoclimate states may have particular relevance as analogues for the future
299 climate. However, our findings indicate that, although the mPWP warming is comparable
300 to that projected for the end of 21st century under a ‘business as usual’ scenario (~ 3 °C)²¹,
301 the simulated mPWP ENSO response is the opposite to that projected^{8,11}. An important
302 factor in this difference is that the mPWP represents an equilibrium climate albeit with
303 similar CO₂ levels as today. If equilibrium conditions are of particular importance, this
304 suggests that a more Pliocene-like climate might be possible if present-day CO₂
305 concentrations were to be maintained constant and a steady-state is reached. However, the
306 current rate of atmospheric CO₂ rise is unprecedented in Earth’s history, resulting in
307 warming trends that differ compared to past regimes. Thus, relating past and future
308 warmings is not straightforward. Here evaluating the empirically-based mPWP warming
309 we find that a northward ITCZ shift suppresses ENSO activity. If this relationship can be
310 applied to the 21st century projections where a southward shift of the Pacific ITCZ is
311 projected⁷, then an increase in ENSO variability⁵ appears to be a potential outcome.

312

313 **Acknowledgments**

314 This work was supported by the São Paulo Research Foundation (FAPESP-Brazil grants
315 no. 2016/23670-0, no. 2019/0882-1 and no. 2021/11035-6), the Australian Research

316 Council (ARC FT160100495) including the ARC Centre of Excellence for Climate
317 Extremes (CE110001028) and the NCI National Facility, Canberra. A.S. is supported by
318 CSHOR, a joint research center between QNLM and CSIRO, and the Australian
319 Government's National Environmental Science Program. PlioMIP2 experiments were
320 supported by FP7 Ideas Programme: European Research Council, Past Earth Network,
321 CEMAC – University of Leeds, JSPS, Earth Simulator at JAMSTEC, Helmholtz Climate
322 Initiative REKLIM, Alfred Wegener Institute's research programme Marine, Coastal and
323 Polar Systems, Swedish Research Council, Swedish National Infrastructure for
324 Computing, Canadian Innovation Foundation, UNINETT Sigma2 – the National
325 Infrastructure for High Performance Computing and Data Storage in Norway, Très Grand
326 Centre de calcul du CEA – GENCI, National Science Foundation (NSF – USA), SURFsara
327 Dutch National Computing and Netherlands Organisation for Scientific Research, Exact
328 Sciences. This research is sponsored by National Science Foundation Grants 2103055 to
329 R.F and 1418411 to B.L.O.B. The CESM project is supported primarily by the National
330 Science Foundation. This material is based upon work supported by NCAR, which is a
331 major facility sponsored by the National Science Foundation under Cooperative
332 Agreement 1852977. Computing and data storage resources, including the Cheyenne
333 supercomputer (doi:10.5065/D6RX99HX), were provided by the Computational and
334 Information Systems Laboratory (CISL) at NCAR.

335 **Author contributions**

336 GMP, AST, ASG and AS designed the study. GMP, AST, ASG, AS and IW contributed
337 to the interpretation of the data and discussions. GMP conducted the analysis, prepared the
338 figures, and wrote the original manuscript. ASG produced the schematic in Figure 6. AH,

339 WLC, AAO, CS, GL, SH, JCT, MAC, LES, WRP, DC, YK, KHN, ZZ, CC, NT, QZ, BOB,
340 ECB, RF, ASH, MLJB performed the PlioMIP2 simulations. GMP and AST performed the
341 CAM4 experiments. All authors commented and reviewed the manuscript.

342

343 **Competing Interests**

344 The authors declare no competing interests.

345 **Figure Legends**

346 **Figure 1 | Simulated mid-Pliocene tropical variability changes.** **a** multi-model mean
347 change in the amplitude (standard deviation) of Sea Surface Temperature anomaly
348 variability in the PlioMIP2 models (see Extended Data Figure 1 for PlioMIP1 models). Sea
349 Surface Temperature anomaly is obtained through removing the mean seasonal cycle.
350 Stippling indicates locations where there is a significant model agreement (at least 70%)
351 in the sign of the change. **b** change in the amplitude (standard deviation) of the Niño3
352 time series in each PlioMIP model. Red box in the eastern Pacific in panel ‘a’ indicates the
353 Niño3 region. Map created using the Basemap library for python.

354 **Figure 2 | Equatorial Pacific Ocean changes.** **a** PlioMIP2 multi-model mean change in
355 surface tropical and sub-surface equatorial Pacific temperatures. The vertical profile is
356 averaged between 5°S and 5°N. Stippling indicates significant change at the 95% level (in
357 the SST panel the entire basin-wide warming is significant at the 95% level). **b** inter-model
358 relationship between the change in the thermocline slope between the eastern and western
359 Pacific (see Methods) and the change in the Niño3 amplitude. **c** inter-model relationship
360 between the change in ocean stratification and in the Niño3 amplitude. Ocean stratification
361 was measured as the difference between the average temperature in the top 75m (green
362 box, panel **a**) and at 100m (blue line), between 150°E-140°E.

363 **Figure 3 | Inter-Tropical Convergence Zone–El Niño/Southern Oscillation**
364 **relationship.** **a** PlioMIP2 inter-model relationship between the change in the Niño3
365 amplitude and mean ITCZ shift from October to February. Green star indicates values
366 obtained from observations by comparing periods 1979-1999 and 2000-2020. The
367 correlation coefficient was evaluated considering PlioMIP models only. **b** relationship
368 between DJF Niño3 SST anomalies and DJF Niño3 rainfall for the period pre-2000 (red)
369 and and post-2000 (green). **c** to **h** as in panel ‘b’ but for selected PlioMIP models that
370 correctly simulate non-linear ENSO characteristics (See Methods), pre-industrial
371 simulation in blue and Pliocene in yellow.

372 **Figure 4 | Changes to potential El Niño/Southern Oscillation triggers.** **a** inter-model
373 relationship between the change in the intensity of the western Pacific trade winds (10°S-

374 10°N; 160°E-150°W) and the amplitude (standard deviation) of its monthly variability. To
375 ideally examine changes in the western wind bursts we would need high frequency output,
376 which is not available for the PlioMIP models. **b** Change in the amplitude (standard
377 deviation) of the South Pacific Meridional mode time series, defined as the mean SST
378 anomaly between 15°S-25°S and 110°W-120°E. **c** Change in the amplitude (standard
379 deviation) of the meridional wind variability over the Southern Hemisphere Booster region
380 (10°S-30°S; 140°-170°E). PlioMIP2 models in panels **b** and **c**: a – CCSM4-UofT; b –
381 CCSM4-2deg; c – CESM2; d – COSMOS; e – EC-EARTH3.3; f – GISS-E2-1-G; g –
382 HadCM3; h – IPSL-CM6A-LR; i – IPSL-CM5A; j – IPSL-CM5A2; k – MIROC4m; l –
383 MRI-CGCM2.3; m – NorESM-L; n – NorESM1-F.

384 **Figure 5 | Energetic constraints for the Inter-Tropical Convergence Zone position. a**
385 DJF precipitation change in the PlioMIP2 models (mPWP minus pre-industrial). Stippling
386 indicates where the change is significant at the 95% level. **b** multi-model mean change
387 zonally averaged SST for PlioMIP1 (magenta) and PlioMIP2 (red). Banding indicates
388 standard deviation range. **c** Changes in DJF atmospheric energy flux, computed as the
389 residual between the total top-of-the-atmosphere and surface energy fluxes, in the AGCM
390 experiments forced with PlioMIP1 and 2 climatological SST and sea-ice (see Methods).
391 Banding indicates standard deviation range of a 5-member ensemble. Negative anomalies
392 in the Northern Hemisphere indicate weakening of the northward heat transport, while
393 negative anomalies in the Southern Hemisphere indicate intensification of the southward
394 heat transport. **d** Changes in the meridional streamfunction in the AGCM experiment
395 forced with PlioMIP2 SST and sea-ice. Contours indicate pre-industrial streamfunction
396 (zero contour in bold). Colours indicate change. **e** Inter-model relationship between
397 changes in the intensity of the zonal western Pacific trades and ITCZ shift during austral
398 summer. **f** Changes in low-level (850 hPa) winds and streamfunction in the PlioMIP2
399 models. Wind changes are only shown where changes are significant at the 95% level. Map
400 created using the Basemap library for python.

401 **Figure 6 | Schematic of the drivers of suppressed ENSO activity in the mPWP. A**
402 northward ITCZ shift reduces the probability of occurrence of deep convection in the
403 central-eastern Pacific. Energetic constrains for the ITCZ position indicate that higher rates
404 of warming in Northern Hemisphere drive a northward ITCZ shift and enhanced Southern
405 Hemisphere Hadley circulation. These changes are also associated with intensified surface
406 subtropical high and western Pacific trades. Enhanced trade winds suppress wind
407 variability in the western Pacific, which is important for El Niño initiation. An intensified
408 subtropical high is thought to impede zonal pressure anomalies across the tropical South
409 Pacific and, thus, suppress the activity of the South Pacific Meridional Mode (SPMM) and
410 Southern Hemisphere Booster (SHB) that are important for the development of strong El
411 Niño events. Map created using the Basemap library for python.

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531 **Methods**

532 **Data Availability.** PlioMIP2 data (with exception of IPSLCM6A and GISS2.1G) is
533 available upon request to Alan M. Haywood (a.m.haywood@leeds.ac.uk). PlioMIP2 data
534 from CESM2, EC-Earth3.3, NorESM1-F, IPSLCM6A and GISS2.1G can be obtained
535 directly through the Earth System Grid Federation repository (ESGF; [https://esgf-
536 node.llnl.gov/search/cmip6/](https://esgf-node.llnl.gov/search/cmip6/)). Observational SST and precipitation data can be found in the
537 NOAA-USA ([https://ncdc.noaa.gov/data-access/marineocean-data/extended-
538 reconstructed-sea-surface-temperature-ersst-v5](https://ncdc.noaa.gov/data-access/marineocean-data/extended-reconstructed-sea-surface-temperature-ersst-v5)) and NCAR-USA
539 ([https://climatedataguide.ucar.edu/climate-data/gpcp-monthly-global-precipitation-
540 climatology-project](https://climatedataguide.ucar.edu/climate-data/gpcp-monthly-global-precipitation-climatology-project)) online repositories, respectively.

541 **Code Availability.** Computer codes are available at
542 https://github.com/gmpontes/Nature_Geoscience_ENSO_ITCZ_PlioMIP.git or upon
543 request to Gabriel M. Pontes (gabrielpontes@usp.br).

544 **Models and data.** A total of 9 PlioMIP1 and 16 PlioMIP2 models were analysed. See
545 Extended Data Table 1 for a list of the models included in our analysis. The number of
546 models used in each analysis varying according to data availability in the PlioMIP1 and
547 PlioMIP2 databases. The last 100 years of each model's simulation is used. We additionally
548 use observational SST and precipitation from the Extended Reconstructed Sea Surface
549 Temperature version 5 (ERSSTv5) and Global Precipitation Climatology Project (GPCP)
550 datasets, respectively.

551 **PlioMIP1 and 2 protocols.** PlioMIP phases 1 and 2 apply rather similar boundary
552 conditions (Extended Data Table 2). Nonetheless there were significant differences at some
553 regional locations, which can potentially affect large-scale climate^{24,25}. Both phases applied
554 a mPWP land-sea mask, but PlioMIP2 land-sea mask accounts for glacial isostatic
555 adjustments and changes dynamic topography. This resulted in a subaerial Canadian
556 Archipelago, Bering Strait and emergence of Sunda and Sahul shelves in the Indonesia and
557 Australia region. Phase 2 models also applied soils and lakes reconstructions and a newer
558 reconstruction of the Greenland ice sheet that now accounts for a 70% retreat, instead of
559 the 50% retreat applied in phase 1. These reconstructions were derived from the U.S.
560 Geological Survey PRISM dataset, specifically the most recent and fourth iteration of the
561 reconstructions (PRISM4)⁵³. In PlioMIP2, modelling groups could choose from either
562 using the vegetation reconstruction from Salzmann et al. (2008), same as PlioMIP1, or use
563 dynamic model vegetation option. COSMOS was the only model among PlioMIP2

564 participants to use dynamic vegetation. CO₂ concentrations were set to 405 and 400 ppm
565 in phases 1 and 2, respectively. For a detailed description of each model's implementation
566 see⁵⁴⁻⁷³ (Extended Data Table 1). Beyond differences in boundary conditions, there are also
567 fundamental differences in the conception of PlioMIP2 vs. PlioMIP1. Although both
568 phases have not applied changes in orbital parameters, phase 1 was designed to simulate a
569 time averaged global SST reconstruction between 3 and 3.3 Ma BP, while phase 2 focuses
570 on a narrower time slice (Marine Isotope Stage KM5c at 3.205 Ma BP) with almost
571 identical orbital parameters to modern.

572 **Statistical significance of the changes.** This is measured through model agreement on the
573 sign of the change. This method is based on a binomial distribution of equal probability
574 (i.e. $p = q = 0.5$). Here, we consider that all models have an equal probability of simulating
575 positive and negative changes in the mPWP simulation. As such, the cumulative
576 probability distribution function of a binomial distribution of $N=9$ (PlioMIP1) and $N=16$
577 (PlioMIP2) models shows that the 95% probability level is reached when there is a model
578 agreement on the sign of the change of 7 and 11 models, respectively. Additionally, we use
579 the non-parametric Spearman rank-correlation test (r_s) to determine if there is a monotonic
580 relation between two variables. It worth noting that the assumption of sample independence
581 may not be completely satisfied, given that climate models share common components and
582 physical equations. Also, the CESM family of models may be overrepresented in the
583 PlioMIP ensemble; however, the differing results obtained among their simulations may
584 allow us to consider these models independent. To illustrate that, we performed a
585 sensitivity analysis where each model from the CESM family was considered at a time

586 when computing the Spearman rank correlation for the relationship shown in Figure 3a.
587 The coefficients ranged from -0.55 ($p=0.01$) to -0.63 ($p=3e-3$).

588 **ENSO amplitude.** The standard deviation of Niño3 index is used to represent ENSO
589 amplitude. The Niño3 index is calculated from SST anomalies averaged over the eastern
590 Pacific region between 5°N-5°S latitude and 150°-90°W longitude. SST anomalies were
591 computed by removing the mean annual cycle.

592 **Frequency separation.** The amplitude of low-frequency variability (>10 yr) is evaluated
593 through the variance of the 11-year running mean Niño3 time series in each model. The
594 amplitude of the interannual period is estimated as the variance of the residual time series,
595 i.e., original Niño3 timeseries subtracted from the Niño3 decadal timeseries.

596 **Thermocline Slope.** Difference between mean eastern (5°S-5°N; 150°-90°W) and western
597 Pacific thermocline depths (5°S-5°N; 160°E-150°W). The thermocline depths are computed
598 from the mean temperature profile in each of the boxes indicated above. This is the
599 weighted average depth, based on depths in which the temperature gradients are greater
600 than 50% of its maximum.

601 **Equatorial Pacific Ocean stratification.** Difference between the mean temperature in the
602 top 75 meters and the temperature at 100m from 150°E to 140°W (as indicated in Figure
603 2a).

604 **Pacific ITCZ position**⁴⁵. The ITCZ position is taken as the average latitudes over which
605 precipitation in the tropical Pacific Ocean (20°S/N) is greater than 50% of the maximum
606 zonally averaged precipitation over 120°E-90°W. This method may take into account the
607 double-ITCZ bias⁴² if the double-ITCZ associated precipitation is greater than 50% of the
608 maximum. The double-ITCZ bias is an artificial feature produced by most climate models

609 that overestimates the tropical precipitation south of the equator. Here we define the ITCZ
610 bias as the difference between simulated pre-industrial Pacific ITCZ position and the
611 observed position averaged from 1979 to 2020. Although the PlioMIP models suffer from
612 double-ITCZ bias (mean bias: $-4.1^\circ \pm 2.1^\circ$ sd), we do not find a statistically significant
613 relationship with ENSO amplitude changes ($r_s=-0.16$; $p=0.45$). It is worth noting that the
614 ITCZ bias is evaluated by comparing the pre-industrial model simulations with modern
615 climate, which is already under the influence of global warming.

616 **Criteria for model selection**⁸. Models were selected according to their ability to simulate
617 ENSO non-linear characteristics. Models were required to be able to simulate DJF Niño3
618 precipitation greater than 5 mm per day, and Niño3 precipitation skewness greater than 1
619 in the pre-industrial control run. These criteria underscore the essential definition of an
620 extreme El Niño⁸ which is fundamental to the ENSO system in observations⁷⁴. Out of 14
621 PlioMIP2 models, six models met these criteria (Extended Data Figure 5). The skewness
622 criterion filters out models that systematically simulate overly wet and dry conditions in
623 the eastern equatorial Pacific. Such biases tend to reduce rainfall skewness in the models
624 as they simulate SSTs well below or above the convective threshold of $26-28^\circ\text{C}$ ⁷⁵, affecting
625 Niño3 precipitation variability.

626 **Atmospheric Subtropical High systems.** Quantifying the intensity of the subtropical
627 highs is not a simple task when dealing with different climate backgrounds (+ 2–3K) as the
628 global pressure weakens in a warmer atmosphere. To overcome this pressure issue, we
629 compute the stream function at 850 hPa to identify the position and intensity of the
630 Subtropical High systems. The stream function can be derived from the geostrophic
631 balance:

632
$$f \times \vec{v} = -\frac{1}{\rho} \nabla_H p$$

633 where $\vec{v} = (u_g, v_g)$, p, f and ρ are the velocity vector, pressure, Coriolis function, and
 634 density, respectively. Knowing that for a fluid of horizontally uniform density, the
 635 geostrophic flow in an f-plane is non-divergent, i.e.

636
$$\frac{\partial u_g}{\partial x} + \frac{\partial v_g}{\partial y} = 0 \quad \text{for } \rho = \rho_0(g) \quad \text{and} \quad f = f_0 = 2\Omega \sin\theta,$$

637 we can define a stream function which yields to

638
$$u_g = -\frac{\partial \psi}{\partial y} = -\frac{1}{\rho_0 f_0} \frac{\partial p}{\partial y} \quad \text{and} \quad v_g = \frac{\partial \psi}{\partial x} = \frac{1}{\rho_0 f_0} \frac{\partial p}{\partial x}$$

639 It is worth noting that in the Southern Hemisphere, increased pressure gradients over
 640 geostrophic flows result in intensified anticyclonic circulation (negative stream function).

641 **South Pacific Meridional Mode amplitude.** Computed as the amplitude (standard
 642 deviation) of mean SST anomalies from 15°S to 25°S and from 110°W to 120°W.

643 **North Pacific Meridional Mode amplitude.** Computed as the amplitude (standard
 644 deviation) of mean SST anomalies from 20°S to 25°S and from 142°W to 138°W.

645 **Southern Hemisphere Booster amplitude.** Computed as the amplitude (standard
 646 deviation) of meridional wind anomalies from 10°S to 30°S and from 140°E to 170°E.

647 **CAM4 experiments.** We undertook four experiments, with multiple ensemble members,
 648 using the NCAR Community Atmospheric Model version 4 (CAM4): 1) mean mid-
 649 Pliocene SST and sea-ice forcing from PlioMIP1. PlioMIP1 SST and sea-ice were time
 650 and ensemble averaged to force the CAM4 model; 2) mean pre-industrial SST and sea-ice
 651 as simulated by PlioMIP1 models for comparison; experiments 3 and 4 consisted in
 652 repeating experiments 1 and 2 but with PlioMIP2 SST and sea-ice. For each experiment 5
 653 ensemble members were integrated with slightly different initial conditions: each ensemble

654 member was initialised from a different day of the year. The CO₂ forcing was kept as pre-
655 industrial at 280 ppm and no changes over continental areas were made in all experiments.
656 Each experiment was run for 31 years. The first year of each simulation was discarded due
657 to the atmospheric spin-up. To check if non-linearities in ENSO affected the mean SST
658 change we compared the multi-model mean mPWP warming during all years and during
659 non-ENSO years only. Differences in the tropical Pacific were approximately two orders
660 of magnitude (<0.05 K) lower than the mean tropical Pacific warming (~2 K).

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