

# Diesel passenger vehicle shares influenced COVID-19 changes in urban nitrogen dioxide pollution

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**Abstract.** Diesel-powered vehicles emit several times more nitrogen oxides than comparable gasoline-powered vehicles, leading to ambient nitrogen dioxide (NO<sub>2</sub>) pollution and adverse health impacts. The COVID-19 pandemic and ensuing changes in emissions provide a natural experiment to test whether NO<sub>2</sub> reductions have been starker in regions of Europe with larger diesel passenger vehicle shares. Here we use a semi-empirical approach that combines *in-situ* NO<sub>2</sub> observations from urban areas and an atmospheric composition model within a machine learning algorithm to estimate business-as-usual NO<sub>2</sub> during the first wave of the COVID-19 pandemic in 2020. These estimates account for the moderating influences of meteorology, chemistry, and traffic. Comparing the observed NO<sub>2</sub> concentrations against business-as-usual estimates indicates that diesel passenger vehicle shares played a major role in the magnitude of NO<sub>2</sub> reductions. European cities with the five largest shares of diesel passenger vehicles experienced NO<sub>2</sub> reductions  $\sim 2.5$  times larger than cities with the five smallest diesel shares. Extending our methods to a cohort of non-European cities reveals that NO<sub>2</sub> reductions in these cities were generally smaller than reductions in European cities, which was expected given their small diesel shares. We identify potential factors such as the deterioration of engine controls associated with older diesel vehicles to explain spread in the relationship between cities' shares of diesel vehicles and changes in NO<sub>2</sub> during the pandemic. Our results provide a glimpse of potential NO<sub>2</sub> reductions that could accompany future deliberate efforts to phase out or remove passenger vehicles from cities.

40 *Keywords:* Urban air quality, machine learning, environmental modeling, atmospheric  
41 chemistry, nitrogen dioxide, COVID-19, diesel  
42 Submitted to: *Environ. Res. Lett.*

## 43 1. Introduction

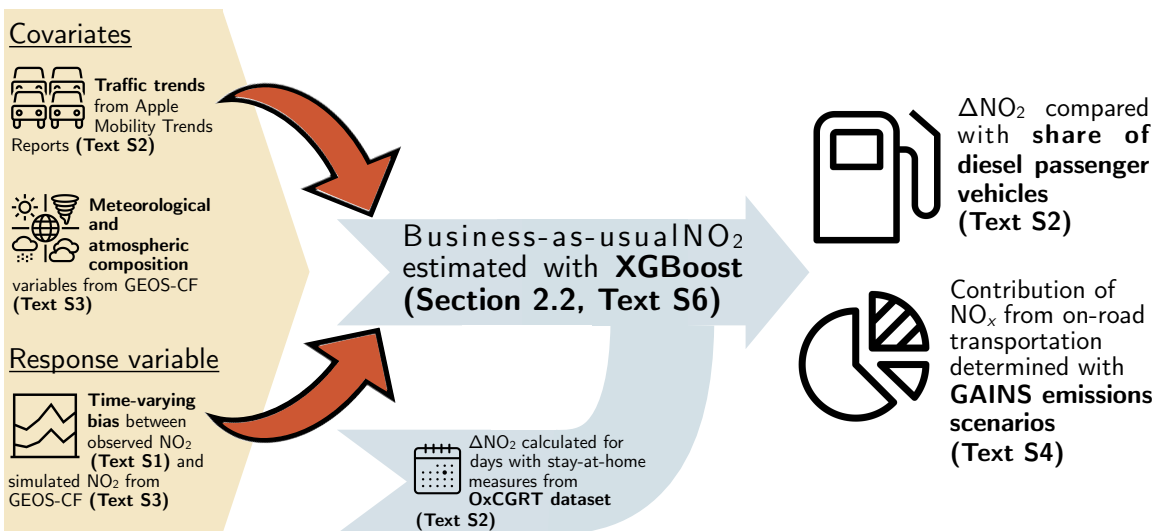
44 Ambient nitrogen dioxide (NO<sub>2</sub>) pollution is a global concern for public health,  
45 particularly in urban areas, and is linked with decreased lung function, cardiopulmonary  
46 and respiratory disease, and pediatric asthma, among other adverse health effects  
47 [1, 2, 3, 4]. Traffic emissions are often the dominant source of urban NO<sub>2</sub>, followed  
48 by emissions from industrial sources and energy production and usage [5]. As such,  
49 NO<sub>2</sub> is an effective surrogate for the broad traffic-related mix of pollutants.

50 Reductions in urban NO<sub>2</sub> during the pandemic (hereafter “ $\Delta$ NO<sub>2</sub>”) varied greatly  
51 across the world [e.g., 6, 7, 8, 9]. Direct comparisons of  $\Delta$ NO<sub>2</sub> among cities are  
52 inherently complicated by different meteorological patterns [10], stay-at-home measures,  
53 and levels of adherence to these measures in each city. However, even after accounting or  
54 normalizing for these important moderating factors, differences in  $\Delta$ NO<sub>2</sub> likely remain.  
55 With all else equal, one cause of these differences is vehicle fuel type. Reductions in  
56 NO<sub>2</sub> have purportedly been larger in regions dominated by diesel vehicles [11]. While  
57 a large body of literature has documented NO<sub>2</sub> changes during the pandemic, a smaller  
58 portion has explored reasons for intercity differences in NO<sub>2</sub> changes. None, to the best  
59 of our knowledge, has specifically examined the role of different vehicle fuel types in  
60 causing these intercity differences.

61 Diesel-powered passenger vehicles emit substantially greater emissions of nitrogen  
62 oxides (NO<sub>x</sub>  $\equiv$  NO + NO<sub>2</sub>) than comparable petrol- (or gasoline-)powered vehicles [12].  
63 For example, real-world measurements indicate that Euro 6 diesel vehicles emit ten  
64 times more NO<sub>x</sub> than Euro 6 gasoline vehicles [13]. Since the late 1990s, European  
65 nations experienced a “diesel boom,” where diesel passenger vehicles were intentionally  
66 promoted as an alternative to petrol-powered passenger vehicles on the premise they  
67 emit less CO<sub>2</sub> [14]. However, diesel and petrol vehicles have both produced similar  
68 real-world CO<sub>2</sub> emissions since the early 2000s [15]. The proportion of diesel-powered  
69 passenger vehicles to the total number of passenger vehicles (henceforth “diesel shares”)  
70 steadily increased until the Volkswagen emissions scandal was brought to light in 2015.  
71 Since then, diesel shares of new car registrations have declined in Europe [16]. Diesel  
72 NO<sub>x</sub>, including emissions in excess of certification limits, has contributed to high NO<sub>2</sub>  
73 pollution in Europe [e.g., 17, 18, 19, 20, 21] and adverse health impacts [e.g., 22, 14, 23].  
74 In several countries outside of Europe such as the United States, Canada, and China,  
75 diesel shares are much smaller, and petrol is the primary fuel consumed by passenger  
76 vehicles [e.g., 24].

77 In this study, we examine how the COVID-19 pandemic can reveal the fingerprint  
78 of diesel passenger vehicles on NO<sub>2</sub> pollution in urban areas. The pandemic, which

79 largely affected the transportation sector due to stay-at-home measures, provides an  
 80 unprecedented natural experiment that allows us to tease out the relationship between  
 81 urban vehicle fleets and  $\Delta\text{NO}_2$ . Additionally, we discuss ways that additional air quality,  
 82 emissions, and traffic data would strengthen future efforts to study clean transit and air  
 83 quality.



**Figure 1.** Process diagram showing the materials and methods used to quantify influence of diesel passenger vehicle shares on changes in  $\text{NO}_2$  during COVID-19. GEOS-CF = GEOS Composition Forecast Modeling System; XGBoost = eXtreme Gradient Boosting; OxCGRT = Oxford Covid-19 Government Response Tracker; GAINS = Greenhouse gas-Air pollution Interactions and Synergies.

## 84 2. Materials and methods

### 85 2.1. Materials

86 We select 22 focus cities spanning 17 European countries based on the availability of  
 87 *in-situ*  $\text{NO}_2$  monitors (Text S1, Figure S1), city- or country-level traffic trends during  
 88 the pandemic (Text S2, Figure S2), and country-level diesel shares (Text S2, Table  
 89 S1). Publicly-available data on diesel shares at a subnational level do not exist to our  
 90 knowledge, so we choose only 1-2 cities per country in our analysis (Text S1). Traffic data  
 91 come from Apple Mobility Trends Reports [25] and represent traffic volumes relative  
 92 to baseline volumes. This dataset began in 2020, and we form synthetic traffic data  
 93 for 2019 using day-of-the-week proxies (Text S2, S5). As discussed in Section 1, diesel  
 94 shares represent the proportion of diesel-powered passenger vehicles to the total number  
 95 of passenger vehicles.

96 The NASA GEOS Composition Forecast Modeling System [GEOS-CF; 26] provides  
 97 hourly, high-fidelity estimates of meteorology and atmospheric composition at  $0.25^\circ \times$   
 98  $0.25^\circ$  ( $\sim 25$  km) horizontal resolution globally (Text S3). The model's emissions

inventories do not account for the impact of COVID-19 on anthropogenic emissions, thus representing a counterfactual, business-as-usual scenario for the COVID-19 period [7]. We sample the surface-level (lowest model level) meteorological fields and pollutant concentrations from GEOS-CF at grid cells colocated with each *in-situ* NO<sub>2</sub> monitor. Both observed and modeled NO<sub>2</sub> concentrations are obtained for 1 January 2019 to 30 June 2020.

We also leverage emissions scenarios from the Greenhouse gas-Air pollution INteractions and Synergies (GAINS) model to explore how the contribution of light-duty vehicles to total anthropogenic NO<sub>x</sub> emissions varies across cities (Text S4). Figure 1 illustrates how these data sources are combined within our methodological framework.

## 2.2. Methods

To isolate the influence of emissions changes on NO<sub>2</sub> reductions during COVID-19 for each city, we develop bias-corrected, business-as-usual NO<sub>2</sub> concentrations from GEOS-CF and compare them to observed concentrations. We then aggregate NO<sub>2</sub> observations and colocated GEOS-CF output to city-averaged daily mean values (Text S5).

We first bias correct NO<sub>2</sub> concentrations simulated with GEOS-CF using eXtreme Gradient Boosting (XGBoost) (Text S6). Briefly, XGBoost corrects the bias in GEOS-CF NO<sub>2</sub> against observed NO<sub>2</sub> as a time-varying function of air pollutants, meteorology, and traffic (Table S2). We build and test this XGBoost algorithm during our 2019 training period, with substantially improved model-observation agreement (Figure S3). We then apply the XGBoost bias correction algorithm to modeled NO<sub>2</sub> concentrations in 2020 to estimate business-as-usual NO<sub>2</sub> from 1 January to 30 June 2020. This approach accounts for differences in local meteorology, atmospheric composition, and traffic between 2019 and 2020, as these factors influenced NO<sub>2</sub> concentration independently of fuel type [27]. This approach builds on previous work to estimate business-as-usual pollutant concentrations during the pandemic [28, 29, 30, 31, 7, 32].

We characterize  $\Delta\text{NO}_2$  as

$$\frac{\text{NO}_{2, \text{observed}} - \text{NO}_{2, \text{business-as-usual}}}{\text{NO}_{2, \text{business-as-usual}}} \times 100\%. \quad (1)$$

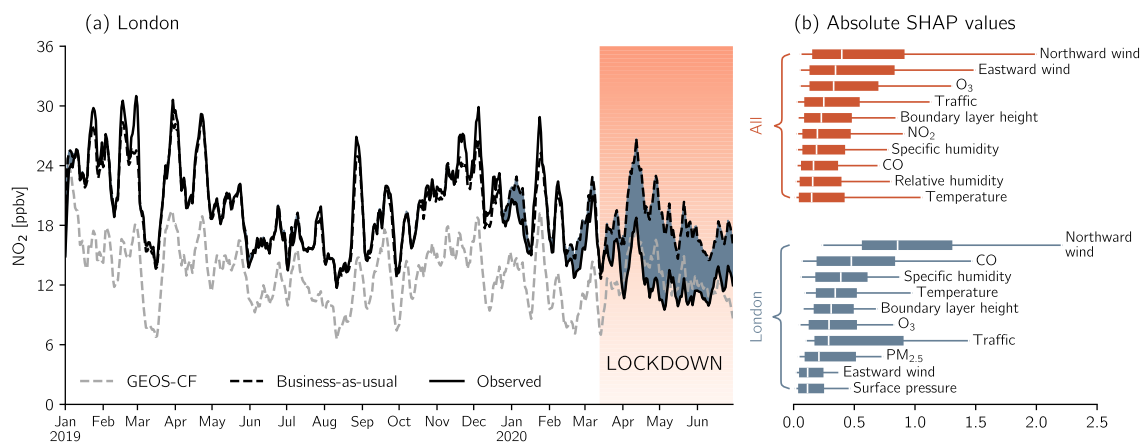
When calculating  $\Delta\text{NO}_2$  in a particular focus city, we average over all dates where stay-at-home measures (Text S2, Figures 1 and S2) are either recommended or required through 30 June 2020 and refer to this period as “lockdown”.

## 3. Results

GEOS-CF captures daily NO<sub>2</sub> variability in our focus cities (Figure S7), reinforcing its ability to aid in understanding lockdown-related NO<sub>2</sub> changes. We highlight London to further illustrate GEOS-CF’s capabilities and our methods (Figure 2a). The temporal correlation ( $r$ ) between modeled and observed NO<sub>2</sub> in 2019 for London is 0.78 ( $r = 0.60$  averaged over all cities; Figure S3b). Despite the good correlation, there

136 is a negative model bias relative to observations in many of our focus cities (mean  
 137 fractional bias =  $-0.60$  averaged over all cities; Figure S3a). GEOS-CF’s negative bias  
 138 is well-documented, especially in Europe and North America where there are publicly  
 139 available observations [26]. This bias may stem from model resolution; uncertainties in  
 140 atmospheric transport, boundary layer height, vertical mixing, emissions, and chemistry;  
 141 and monitor interference with other nitrogen-containing compounds [33, 34, 7].

142 Correcting the bias in modeled  $\text{NO}_2$  with XGBoost leads to substantially better  
 143 agreement against observations than the native GEOS-CF concentrations, and the  
 144 aforementioned negative model bias is greatly reduced. Figure 2a illustrates the excellent  
 145 agreement between business-as-usual and observed  $\text{NO}_2$  in 2019 prior to the lockdown.  
 146 In this example for London, the mean fractional bias in 2019 is reduced from  $-0.41$  with  
 147 the native GEOS-CF concentrations to  $-0.02$  with the bias-corrected concentrations,  
 148 and we note similar improvements in other focus cities (Figure S7).



**Figure 2.** Illustration of XGBoost-inferred business-as-usual concentrations and drivers of these predictions. (a) Observed, GEOS-CF, and business-as-usual  $\text{NO}_2$  concentrations in London. Time series represent the daily average of all *in-situ* monitors or their colocated model grid cells in London. The shaded red band denotes the 2020 lockdowns in the United Kingdom, and blue shading corresponds to days where observed  $\text{NO}_2$  is less than business-as-usual  $\text{NO}_2$  to highlight the COVID-19 lockdowns. (b) SHAP value distributions for the ten most important meteorology-, composition-, and traffic-related XGBoost input variables for all focus cities (top) and London (bottom) are ranked by their median value, here indicated by vertical white lines. XGBoost input variables are provided by GEOS-CF and Apple Mobility Trends Reports (Table S2). Boxes show the interquartile range, and whiskers extend to the 10th and 90th percentiles.

149 We characterize the relative contribution of input variables in generating the  
 150 business-as-usual  $\text{NO}_2$  concentrations with SHapley Additive exPlanations (SHAP)  
 151 values (Figure 2b, Text S6). The absolute SHAP values illustrate the global importance  
 152 of input variables, and a larger SHAP value for a particular variable means that  
 153 that variable has a more important impact on the bias correction. Assessing feature  
 154 importance via SHAP values indicates that local atmospheric transport and species

155 related to basic ozone ( $O_3$ ) chemistry are the most important variables for inferring  
 156 business-as-usual  $NO_2$  concentrations for both London and all focus cities (Figure 2b).  
 157 The partial dependence plots in Figure S6 show how XGBoost’s bias correction is  
 158 affected by individual input variables. This analysis reveals a nonlinear relationship  
 159 between the input variables and the bias correction, and the predicted bias is largest for  
 160 meteorological, traffic, or chemical conditions at anomalously high or low extremes.

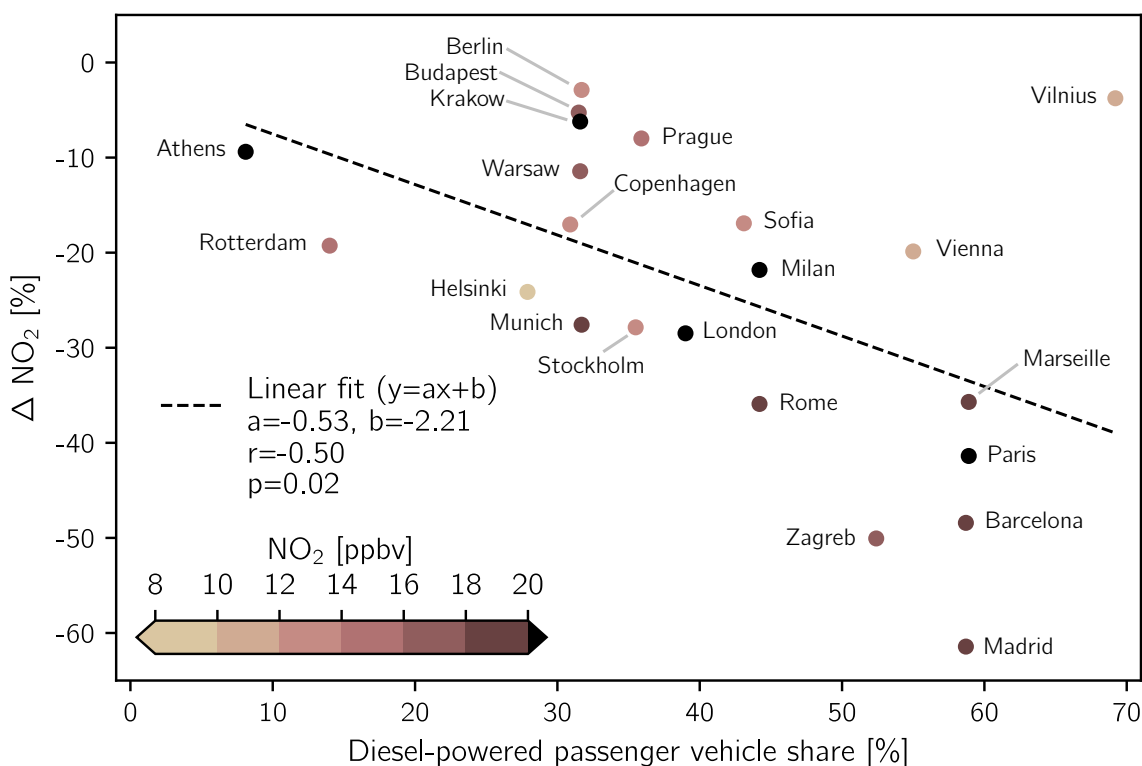
161 Traffic emerges as one of the most influential variables in estimating business-as-  
 162 usual concentrations (Figure 2b). The relative contribution of traffic in London ranks  
 163 lower than for the aggregation of SHAP values over all focus cities, but the distribution  
 164 has right-skew with a wide range for large SHAP values (Figure 2b). This result indicates  
 165 that intraweek traffic variations in London are one of the most important variables in  
 166 correcting the bias and producing business-as-usual  $NO_2$  concentrations for certain days  
 167 in our measuring period and particular folds of the k-fold cross validation.

168 Observed  $NO_2$  concentrations begin to diverge from business-as-usual concentra-  
 169 tions in London around mid-February 2020, slightly preceding the United Kingdom’s  
 170 declaration of recommended stay-at-home measures (compare Figures 2a and S2). When  
 171 averaged over the lockdowns,  $\Delta NO_2$  between the observed and business-as-usual con-  
 172 centrations is  $-28.5\%$  in London. Observed  $NO_2$  concentrations exhibit departures from  
 173 business-as-usual concentrations in spring 2020 in other cities as well but with varying  
 174 magnitudes (Figure S7). Contemporaneous studies have found  $NO_2$  reductions of sim-  
 175 ilar magnitudes in London and our other focus cities using complementary methods  
 176 [35, 36, 37, 32].

177 Our focus cities span a spectrum of pre-lockdown  $NO_2$  pollution levels and diesel  
 178 shares ranging from 8.1% in Athens, Greece to 69.2% in Vilnius, Lithuania (Figure 3,  
 179 Table S1). Mean 2019  $NO_2$  in all 22 focus cities exceeded the recently-revised World  
 180 Health Organization annual mean  $NO_2$  guideline value of  $10 \mu g m^{-3}$  ( $\sim 5.3$  ppbv,  
 181 assuming an ambient temperature of 298.15 K and pressure of 1013.25 hPa). Even  
 182 Helsinki, which had the lowest 2019  $NO_2$  concentration ( $\sim 8.4$  ppbv) of all focus cities,  
 183 exceeded this guideline value by 60% (colors in Figure 3).

184 The change in  $NO_2$  during the pandemic ( $\Delta NO_2$ , Equation 1) averaged across  
 185 cities is  $-23.8\%$  (standard deviation = 16.0%), and the precise magnitude ranges by  
 186 approximately 60% across cities. We next compare  $\Delta NO_2$  with cities’ diesel shares and  
 187 see a clear pattern emerge: cities with larger diesel shares tend to have larger  $\Delta NO_2$ ,  
 188 while  $\Delta NO_2$  is smaller in cities with smaller diesel shares ( $r = -0.50, p = 0.02$ ; Figure  
 189 3). For example, the average change in  $NO_2$  ( $\overline{\Delta NO_2}$ ) in cities with the top five largest  
 190 diesel shares ( $\overline{\Delta NO_2} = -38.1\%$ ) is  $\sim 2.5$  times larger than the change in cities with the  
 191 five smallest shares ( $\overline{\Delta NO_2} = -15.0\%$ ). The slope of the linear regression fit between  
 192  $\Delta NO_2$  and diesel shares provides a succinct summary of our results (Figure 3). This  
 193 slope indicates that the larger shares of diesel passenger vehicles have stronger impact  
 194 on the  $\Delta NO_2$  during the pandemic; specifically,  $\Delta NO_2$  decreased by 5.3% for every 10%  
 195 increase in diesel shares (Figure 3).

196 The intercept of the linear regression in Figure 3 suggests a very small change in



**Figure 3.** Association of passenger vehicle diesel share with changes in  $\text{NO}_2$  ( $\Delta\text{NO}_2$ ) during the pandemic. Points are colored by annual mean  $\text{NO}_2$  concentrations in 2019. Dashed line shows the linear regression of  $\Delta\text{NO}_2$  on diesel shares. Inset text indicates the slope, intercept, correlation coefficient and  $p$ -value of this regression.

197  $\text{NO}_2$  for cities whose shares of diesel passenger vehicles are close to 0%. Even cities  
 198 with these small shares, such as those in North America with mostly gasoline-powered  
 199 passenger vehicles, experienced substantial decreases in  $\text{NO}_2$ . For example, Goldberg  
 200 and colleagues [10] found a median  $\text{NO}_2$  decrease of  $\sim 22\%$  in major North American  
 201 cities during spring 2020 after adjusting for seasonality and meteorology. In all cities,  
 202 other sources of urban  $\text{NO}_x$  beyond diesel passenger vehicles (e.g., heavy-duty vehicles,  
 203 power plants, maritime activity, industry) not accounted for in our experimental design  
 204 contributed to  $\Delta\text{NO}_2$ , regardless of the diesel passenger vehicle share.

205 We next describe sensitivity analyses that speak to the robustness of our results.  
 206 Testing whether traffic volumes from Apple Mobility Trends Reports can capture  
 207 weekday-weekend differences in traffic patterns affirms the ability of this dataset to  
 208 serve as a proxy for the day of the week and XGBoost to capture these intraweek  
 209 variations (Figure S8). The OxCGRT lockdown dates represent country-level dates for  
 210 stay-at-home measures if at least some region of a given country has the restrictions  
 211 [38]. Responsibility for COVID-related restrictions was often delegated to state or local  
 212 governments; however, to the best of our knowledge, no globally consistent database with  
 213 city-specific lockdown dates exists. Given uncertainties associated with these dates, we

214 recalculate  $\Delta\text{NO}_2$  for a uniform time period extending from 15 March 2020 to 15 June  
 215 2020 and find substantively similar results (compare Figures 3 and S9). We examine  
 216 the extent to which  $\Delta\text{NO}_2$  varied between recommended versus required stay-at-home  
 217 measures shown in Figure S2 and the impacts of restriction type on the diesel share-  
 218  $\Delta\text{NO}_2$  relationship. Again, we observe no substantive changes (compare Figures 3 and  
 219 S10).

220 We test whether including a cohort of additional cities outside of Europe (Mexico  
 221 City, Los Angeles, Auckland, and Santiago; Text S1) from the C40 Cities network  
 222 leads to consistent conclusions regarding the relationship between diesel shares and  
 223  $\Delta\text{NO}_2$ . C40 Cities is a network of the world’s megacities committed to addressing  
 224 climate change, and the four additional cities included in our study provided data to C40  
 225 (see Acknowledgements) after expressing interest in learning from lockdowns to design  
 226 post-COVID recovery measures that may further support air quality improvements and  
 227 reductions in  $\text{NO}_2$ . These additional cities specifically allow us to test whether our  
 228 findings are generalizable to cities with different cultural and behavioral practices (e.g.,  
 229 reliance on public transit, adherence to COVID-19 containment measures) and lower  
 230 diesel shares compared to the European cohort focused on elsewhere in this study.

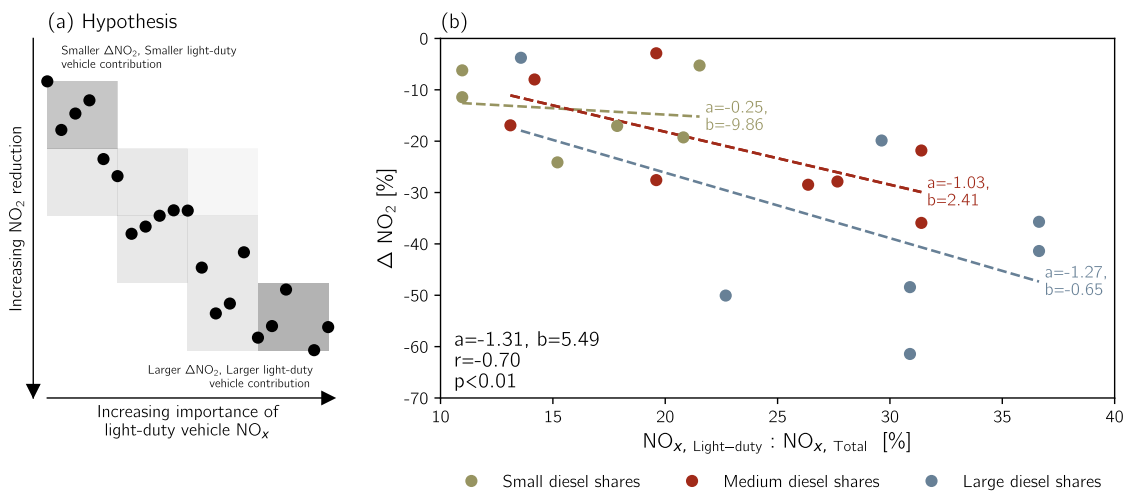
231 Given the small diesel shares in these cities (cohort-averaged share= 4.0%; Table  
 232 S1), we expect they would experience small to modest  $\text{NO}_2$  reductions. This is indeed the  
 233 case, and the cohort-averaged  $\Delta\text{NO}_2$  of  $-14.8\%$  is markedly smaller than the reduction  
 234 in many European cities with larger diesel shares (Figures S9-S10). This cohort of C40  
 235 Cities also demonstrates some of the challenges associated with inferring business-as-  
 236 usual  $\text{NO}_2$ . For example, Los Angeles has one of the smallest diesel shares of all cities  
 237 examined (Table S1) but experienced markedly larger  $\text{NO}_2$  reductions than other cities  
 238 with small diesel shares.  $\text{NO}_x$  emissions related to the Ports of Los Angeles and Long  
 239 Beach, one of the largest ports in North America, might inflate  $\Delta\text{NO}_2$  compared to  
 240 cities without ports or other large point sources of  $\text{NO}_x$ . The topic of unconsidered  
 241 moderating influences is further discussed in Section 4.

242 Despite the strong, statistically significant relationship between diesel shares and  
 243  $\Delta\text{NO}_2$  (Figure 3),  $\Delta\text{NO}_2$  does not increase monotonically as the share of diesel passenger  
 244 vehicles grows. There are several cities with similar diesel shares, yet different  $\Delta\text{NO}_2$ ,  
 245 and we next explore key factors that could explain the spread among cities’  $\Delta\text{NO}_2$  given  
 246 their diesel shares.

247 One factor to explain the spread in  $\Delta\text{NO}_2$  is vehicle age.  $\text{NO}_x$  emission rates are  
 248 not stable over diesel passenger vehicles’ lifetimes and increase linearly with age [39].  
 249 This increase may result in “effective diesel shares” that are larger than the ones used in  
 250 our study, especially for focus cities with older passenger vehicle fleets (Table S1). With  
 251 all else equal, we hypothesize that cities with older passenger vehicles would experience  
 252 larger  $\Delta\text{NO}_2$  than cities with newer vehicles.

253 For brevity, we discuss this role of vehicle age for a few cities: Vienna, Austria;  
 254 Paris, France; and Madrid, Spain. These cities have among the largest, yet very similar,  
 255 diesel shares of all focus cities in our study, but there is a spread of  $\sim 40\%$  in  $\Delta\text{NO}_2$





**Figure 4.** (a) The hypothesized association between the contribution of light-duty vehicle NO<sub>x</sub> emissions to total NO<sub>x</sub> emissions and NO<sub>2</sub> reductions during the pandemic illustrated with synthetic data. (b)  $\Delta\text{NO}_2$  versus light-duty vehicle to total NO<sub>x</sub> emissions from GAINS (Text S4) where each scatter points represents a focus city. Points are colored by their diesel shares, which are discretized into tertiles (small  $\leq 33^{\text{rd}}$  percentile, medium =  $33^{\text{rd}} - 66^{\text{th}}$ , large  $\geq 66^{\text{th}}$ ). For each group of diesel shares, colored lines show the linear regression and colored text the slope (a) and intercept (b) of this regression. Inset text in the lower left denotes the slope, intercept, correlation coefficient and  $p$ -value for the regression of  $\Delta\text{NO}_2$  on the light-duty NO<sub>x</sub> contribution using the full dataset.

256 among these cities. For the aforementioned three cities with large diesel shares, our  
 257 hypothesis regarding vehicle age is valid: passenger vehicles in France and Spain are 1.9  
 258 and 4.4 years older on average, respectively, than those in Austria (Table S1). Vehicle  
 259 age provides a plausible, evidence-based hypothesis to explain some of the intercity  
 260 spread in our results, although we note it cannot explain all variability. The results of  
 261 previous studies [e.g., 40, 39] imply that future policies to preferentially remove older  
 262 diesel passenger vehicles from cities may have outsized impacts compared to removing  
 263 newer diesel vehicles.

264 Another factor to explain variability in intercity  $\Delta\text{NO}_2$  is the contribution of light-  
 265 duty vehicles to overall NO<sub>x</sub> emissions. On average, road transportation contributes  
 266 47% of total NO<sub>x</sub> emissions in European cities but ranges from approximately 20% to  
 267 70% depending on the city [5, 41]. We hypothesize that cities with similar diesel shares  
 268 would likely have different  $\Delta\text{NO}_2$  if their light-duty vehicle sectors have different-sized  
 269 contributions to total anthropogenic NO<sub>x</sub> emissions (Figure 4a). To test this hypothesis,  
 270 we leverage emissions scenarios from GAINS to find the contribution of NO<sub>x</sub> emissions  
 271 from light-duty vehicles to total NO<sub>x</sub> emissions for each focus city (Text S4).

272 Unsurprisingly, diesel shares are correlated with the contribution of light-duty  
 273 NO<sub>x</sub> emissions to total NO<sub>x</sub> emissions ( $r = 0.57, p < 0.01$ ; not shown), meaning  
 274 that cities with a larger share of diesel passenger vehicles tend to have a larger  
 275 proportion of NO<sub>x</sub> emissions from light-duty vehicles.  $\Delta\text{NO}_2$  also increases as the overall

276 contribution of light-duty  $\text{NO}_x$  emissions to total  $\text{NO}_x$  emissions grows in all focus cities  
 277 ( $r = -0.70, p < 0.01$ ; Figure 4b).

278 Since our original hypothesis posits that cities with *similar* diesel shares might  
 279 have different  $\Delta\text{NO}_2$  if their light-duty vehicle sector contributes differently to total  
 280  $\text{NO}_x$  emissions, we partition cities into groups with similar diesel shares and investigate  
 281 how  $\Delta\text{NO}_2$  varies within these groups. We find that  $\Delta\text{NO}_2$  increases as the light-duty  
 282  $\text{NO}_x$  emissions contribution increases among cities with similar diesel shares (Figure  
 283 4b). For example, cities with “medium diesel shares” (Figure 4b) have diesel shares  
 284 that range from 31.7% to 44.2%. Among these cities, cities where light-duty vehicles  
 285 contribute a larger proportion to total  $\text{NO}_x$  indeed experienced larger  $\Delta\text{NO}_2$  during the  
 286 pandemic, thus affirming our original hypothesis.

287 The analysis in Figure 4 can also shed light on cities with outlying  $\Delta\text{NO}_2$  values  
 288 in Figure 3. In Vilnius, GAINS indicates that  $\text{NO}_x$  emissions from light-duty vehicles  
 289 only constitute 13.6% of total  $\text{NO}_x$  emissions, one of the smallest contributions of all  
 290 our focus cities (Figure 4b). It follows that a small  $\Delta\text{NO}_2$  might be expected in Vilnius  
 291 even given the large diesel share. For simplicity, we have chosen tertiles to group similar  
 292 diesel shares, but we have also tested a larger number of groups (e.g., quartiles, quintiles)  
 293 and found similar results.

## 294 4. Discussion

295 Major strengths of our analysis include our semi-empirical approach that leverages  
 296 air quality data from monitoring networks as well as our use of a machine learning  
 297 algorithm, XGBoost, to establish the relationship between  $\text{NO}_2$  and local meteorology,  
 298 atmospheric composition, and traffic trends. By combining XGBoost with GEOS-  
 299 CF to infer business-as-usual  $\text{NO}_2$  during the COVID-19 pandemic, we have further  
 300 demonstrated how this methodology can be used for emergent research questions for  
 301 which relying on observations or atmospheric models alone would be challenged by  
 302 moderating influences, incomplete spatial coverage, and inaccuracies.

303 Several factors and limitations of our data and methods may impact our results.  
 304 GEOS-CF’s use of 2010 anthropogenic emissions for all following years may under- or  
 305 overestimate  $\text{NO}_2$ , especially in areas undergoing rapid changes in emissions. More up-  
 306 to-date emissions are under development and slated to be included in future versions  
 307 of GEOS-CF [26]. Our framework does not consider intercity differences in the type of  
 308 passenger vehicles (i.e., gasoline versus diesel) that remained parked and off the road  
 309 during the pandemic due to lack of data. The use of national-level diesel shares (Text  
 310 S2) and national-level light-duty vehicle and total  $\text{NO}_x$  emissions (Text S4, Figure 4) is  
 311 a simplification when examining individual cities but an important first step to estimate  
 312 how the passenger vehicle traffic fleet contributes to urban  $\text{NO}_2$ . There have been efforts  
 313 to provide gridded (not national-level) inventories for specific types of vehicles and  
 314 vehicle fuels for regions outside the European Union [e.g. 42, 43, 44]. Future research on  
 315 urban transportation and air quality will benefit from the inclusion of these inventories.

316 While our study incorporated changes in traffic into our machine learning approach,  
 317 the pandemic impacted many forms of urban activity besides on-road traffic.  $\text{NO}_x$   
 318 emissions from the aviation, rail, and maritime sectors plummeted during COVID-19  
 319 [e.g. 45]. We have not accounted for trends in these activities within XGBoost as we  
 320 are challenged by a lack of city-specific time series data. While these other activities  
 321 can be important contributors to urban  $\text{NO}_x$  emissions, we find a strong relationship  
 322 of passenger vehicle fuel type on  $\Delta\text{NO}_2$ , meaning that the impact of fuel type on  $\text{NO}_2$   
 323 is strong enough to observe through our methodological approach even despite these  
 324 other sectors. Moreover, recent studies point to on-road traffic, particularly passenger  
 325 vehicles, as the primary driver of  $\text{NO}_2$  reductions during the pandemic [46, 8]. An  
 326 analysis of  $\Delta\text{NO}_2$  against changes in traffic from the Apple Mobility Trends Reports  
 327 in our 22 focus cities reveals a positive, albeit weak, relationship between  $\Delta\text{NO}_2$  and  
 328 changes in traffic (Figure S11). Comparing traffic data from Apple’s dataset against  
 329 *in-situ* traffic counts and the impact of traffic dataset choice on  $\Delta\text{NO}_2$  further justifies  
 330 our use of the Apple’s dataset in our study (Text S7, Figure S12).

331 We investigated whether the location of *in-situ*  $\text{NO}_2$  monitors or the stringency  
 332 of mobility restrictions are correlated with diesel shares such that they would bias the  
 333 observed association between diesel shares and  $\Delta\text{NO}_2$  in Figure 3 towards or away from  
 334 the null. We did not detect a statistically significant relationship between diesel shares  
 335 and these factors (Figure S13), indicating they are not a major contributor to the diesel  
 336 share- $\Delta\text{NO}_2$  relationship.

337 The number and distribution of *in-situ* monitors vary from city to city (Figure  
 338 S1), and monitors may be sited in different environments (e.g., traffic, industrial,  
 339 background). Monitor siting could impact our results if monitors are disproportionately  
 340 sited in neighborhoods where  $\Delta\text{NO}_2$  substantially differed from the true city-averaged  
 341 value. For example, Berlin, Germany stands out given the small  $\Delta\text{NO}_2$  during the  
 342 pandemic (Figure 3). Less than half of Berlin’s monitors are located near traffic  
 343 (Figure S13b), and a recent study showed the statistical significance of pandemic-  
 344 related  $\text{NO}_2$  reductions varied across different environments for  $\text{NO}_2$  monitors [47]. We  
 345 explored whether  $\Delta\text{NO}_2$  within individual cities varied across traffic and non-traffic  $\text{NO}_2$   
 346 monitors, expecting to find a larger decrease at traffic sites. Although we did not find  
 347 a significant difference for  $\Delta\text{NO}_2$  calculated with traffic versus non-traffic monitors, the  
 348 magnitude of the diesel share- $\Delta\text{NO}_2$  relationship was nearly double when  $\Delta\text{NO}_2$  was  
 349 estimated using only traffic monitors (9.7% decrease for every 10% increase in diesel  
 350 shares using traffic  $\text{NO}_2$  monitors compared to the 5.3% decrease in Figure 3 using all  
 351 monitors), and  $\overline{\Delta\text{NO}_2}$  for different monitors types was suggestive of a difference (Figure  
 352 S14). While non-uniform changes in  $\text{NO}_2$  *within* cities are interesting and have been the  
 353 subject of other studies [e.g., 48], the primary goal of our study is to reconcile differences  
 354 *among* cities’  $\Delta\text{NO}_2$  in light of their different diesel shares.

## 355 5. Conclusion

356 Our study demonstrates that diesel shares played a major role in the magnitude of  
357  $\Delta\text{NO}_2$  experienced by cities during the COVID-19 natural experiment. The magnitude  
358 of  $\Delta\text{NO}_2$  varies from approximately  $-3\%$  to  $-61\%$  across cities, and  $\Delta\text{NO}_2$  is a factor  
359 of  $\sim 2.5$  times larger in European focus cities with the top five diesel shares compared  
360 to cities in the bottom five. The relationship between diesel shares and COVID-related  
361  $\text{NO}_2$  reductions deduced from a sensitivity analysis that considers C40 member cities  
362 outside of Europe is in reasonable agreement with our results from Europe and suggests  
363 the generalizability of our findings.

364 By leveraging this unique natural experiment, we are able to observe the  
365 relationship between  $\text{NO}_2$  and diesel shares. Previous observational and modeling  
366 studies have documented the impact of diesel fuel on pollution and health, and our  
367 study is the first to investigate the impact of diesel fuel on  $\text{NO}_2$  pollution during  
368 this natural experiment. The relationship between  $\Delta\text{NO}_2$  and diesel shares gives an  
369 indication of the changes in  $\text{NO}_2$  that could be expected if cities decrease their diesel  
370 shares through policy, economic forces (e.g., increased affordability of electric passenger  
371 vehicles), or social forces (e.g., diesel passenger vehicles viewed unfavorably as a result  
372 of “Dieselgate”). Our results will also aid in understanding why  $\Delta\text{NO}_2$  varied among  
373 urban areas given their different diesel shares.

374 Our key findings are relevant for present-day and future policies. The temporary  
375  $\text{NO}_2$  reductions during the COVID-19 pandemic could be sustained through long-term  
376 policies to reduce the number of passenger vehicles in urban areas through, for example,  
377 policies such as congestion pricing or those that promote active transportation (e.g.,  
378 cycling, walking). Should these policies be implemented, our results suggest that cities  
379 with larger diesel shares would experience larger  $\text{NO}_2$  reductions. Beyond decreasing  
380  $\text{NO}_2$  and the associated public health damages, these types of policies would also slow  
381 climate change, decrease concentrations of other harmful pollutants such as particulate  
382 matter and  $\text{O}_3$ , and encourage healthier lifestyles if active forms of transportation replace  
383 passenger vehicles [e.g., 49]. Focus cities such as Paris and Berlin are poised to ban most  
384 or all diesel passenger vehicles in the near future [50]. We expect that our results will  
385 reinforce these efforts in Paris and Berlin and could catalyze other cities to implement  
386 similar policies.

## 387 6. Acknowledgements

388 The data that support the findings of this study are openly available at the  
389 following URLs: [eea.europa.eu/data-and-maps/data/aqereporting-8](http://eea.europa.eu/data-and-maps/data/aqereporting-8) for European  
390 Environment Agency  $\text{NO}_2$  observations, [covid19.apple.com/mobility](https://covid19.apple.com/mobility) for Apple  
391 Mobility Trends Reports, [covidtracker.bsg.ox.ac.uk](https://covidtracker.bsg.ox.ac.uk) for OxCGRT stay-at-home  
392 measure dates, [gmao.gsfc.nasa.gov/weather\\_prediction/GEOS-CF/](https://gmao.gsfc.nasa.gov/weather_prediction/GEOS-CF/) for NASA  
393 GEOS-CF, and <http://gains.iiasa.ac.at> for GAINS emissions. The authors thank

394 all those responsible for their support of these datasets.

395 Funding for our study came from NASA through their Rapid Response and Novel  
396 Research in Earth Science (RRNES) initiative via grant #80NSSC20K1122. We  
397 gratefully acknowledge the computing resources provided on the High Performance  
398 Computing Cluster operated by Research Technology Services at the George Washington  
399 University [51]. We express our sincere appreciation to Shanju Xie, Cathy Bebelman,  
400 Amir Kayal, Lauren Simpson, and Elizabeth Parker (Auckland); Andreas Kerschbaumer  
401 and Martin Lutz (Berlin); William Bradley and Matthew Browning (London); Irene  
402 Burga, David Somers, Alexander Wikstrom, and Chet Edelman (Los Angeles); Álvaro  
403 Madrigal Montes de Oca, Fernanda Rivera, Stephanie Montero Bending, Daneila Muñoz,  
404 Monica Jaimes Palomera, Sergio Zirath Hernandez Villaseñor, and Patricia Camacho  
405 Rodriguez (Mexico City); Silvia Moroni, Manuela Ojan, Valentino Sevino, and Isabel  
406 Riboldi (Milan); Diego Jose Riveauz Marcet, Roberto Delagdo López, Juan Fernandez  
407 Bustamante, and Juan Fernandez Bustamante (Santiago) for collecting and sharing data  
408 with C40 Cities used for this study and for providing us insights. We also recognize Josh  
409 Miller, Joel Dreessen, Zifei Yang, Zifeng Lu, and Bryan Duncan for helpful discussions  
410 and the International Council on Clean Transit for providing data.

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