

1 **Stratospheric Circulation Changes Associated with the Hunga Tonga-Hunga Ha'apai**
2 **Eruption**

3 **L. Coy^{1,2}, P. A. Newman¹, K. Wargan^{1,2}, G. Partyka^{1,2}, S. Strahan^{1,3}, and S. Pawson¹**

4 ¹NASA GSFC, Greenbelt, MD, USA.

5 ²SSAI, Lanham, MD, USA.

6 ³University of Maryland Baltimore County, Baltimore, MD, USA.

7 Corresponding author: Lawrence Coy (lawrence.coy@nasa.gov)

8 **Key Points:**

- 9 • Extreme perturbations in the stratospheric winds and temperatures are linked to a
10 volcanic eruption.
- 11 • Hunga Tonga-Hunga Ha'apai eruption effects are unique in the stratospheric record.
- 12 • Data assimilation can track temperature and wind perturbations, even when some physics
13 is missing.
- 14

15 **Abstract**

16

17 The 15 January 2022 eruption of the Hunga Tonga-Hunga Ha'apai underwater volcano
18 (20.5°S, 175.4°W) injected a record amount of water directly into the stratosphere. This study
19 attempts to quantify this impact on the temperature, as well as the subsequent changes to the
20 stratospheric circulation, during the months following the eruption based on reanalysis fields.
21 The extreme nature of the temperature, wind, and circulation changes are tracked through
22 comparisons of the first six months of 2022 with the previous 42 years. Examination of the data
23 assimilation process shows that at 20 hPa the thermal observations are forcing significant
24 cooling, compensating for the absence of the excess stratospheric moisture in the model used for
25 the reanalysis. In response to this cooling the atmosphere adjusts by creating strong westerly
26 winds above the temperature anomaly and large changes to the downward and poleward mean
27 meridional circulation.

28

29 **Plain Language Summary**

30 While the stratosphere (15-55 km) region of the atmosphere contains the ozone layer, it is
31 typically very dry, especially when compared to the troposphere. However, the remarkable
32 eruption of the Hunga Tonga-Hunga Ha'apai underwater volcano on 15 January 2022 injected a
33 record amount of water directly into the stratosphere. Winds in the stratosphere soon carried this
34 excess water vapor around the globe to all longitudes and spread the water vapor in latitude as
35 well. Since water vapor can cool to space more rapidly than the stratospheric air, enhanced
36 cooling in the stratosphere is expected. This study quantifies this cooling, as well as the
37 subsequent changes to the stratospheric circulation, during the months following the eruption.
38 The extreme nature of the stratospheric temperature, wind, and circulation changes are tracked
39 through comparisons of the first six months of 2022 with the previous 42 years. Details of the
40 stratospheric perturbations in latitude and pressure are presented for June 2022, where
41 anomalously low temperatures are found at near 20 km altitude from 60°S to 30°S. In response
42 to this cooling the atmosphere adjusts by creating strong westerly winds above the temperature
43 anomaly and large changes to the downward and poleward mean meridional circulation.

44 **1 Introduction**

45 The 15 January 2022 eruption of the Hunga Tonga-Hunga Ha'apai underwater volcano
46 (20.5°S, 175.4°W) injected an unprecedented amount of water directly into the stratosphere
47 (Millán et al., 2022; Xu et al., 2022; Carr et al., 2022). While the initial injection plume at 20°S
48 reached to the upper stratosphere (Carr et al., 2022), Millán et al. (2022), showed that after three
49 months this excess water vapor settled near 20 hPa altitude in a latitude band from 30°S to 5°N.
50 This dispersion of the water vapor in latitude is tracked by Schoeberl et al., 2022 and is generally
51 consistent with climatological expectations. With no major thermodynamic or photochemical
52 sinks, this excess moisture is expected to remain in the stratosphere for two to three years. Water
53 vapor is radiatively active in the infrared, contributing to the total radiative cooling in the
54 stratosphere, which is dominated by the effects of carbon dioxide and ozone (e.g., Gille and
55 Lyjak, 1986). These large perturbations in water vapor are expected to increase the amount of
56 radiation lost to space, locally cooling the stratosphere. This study attempts to quantify this

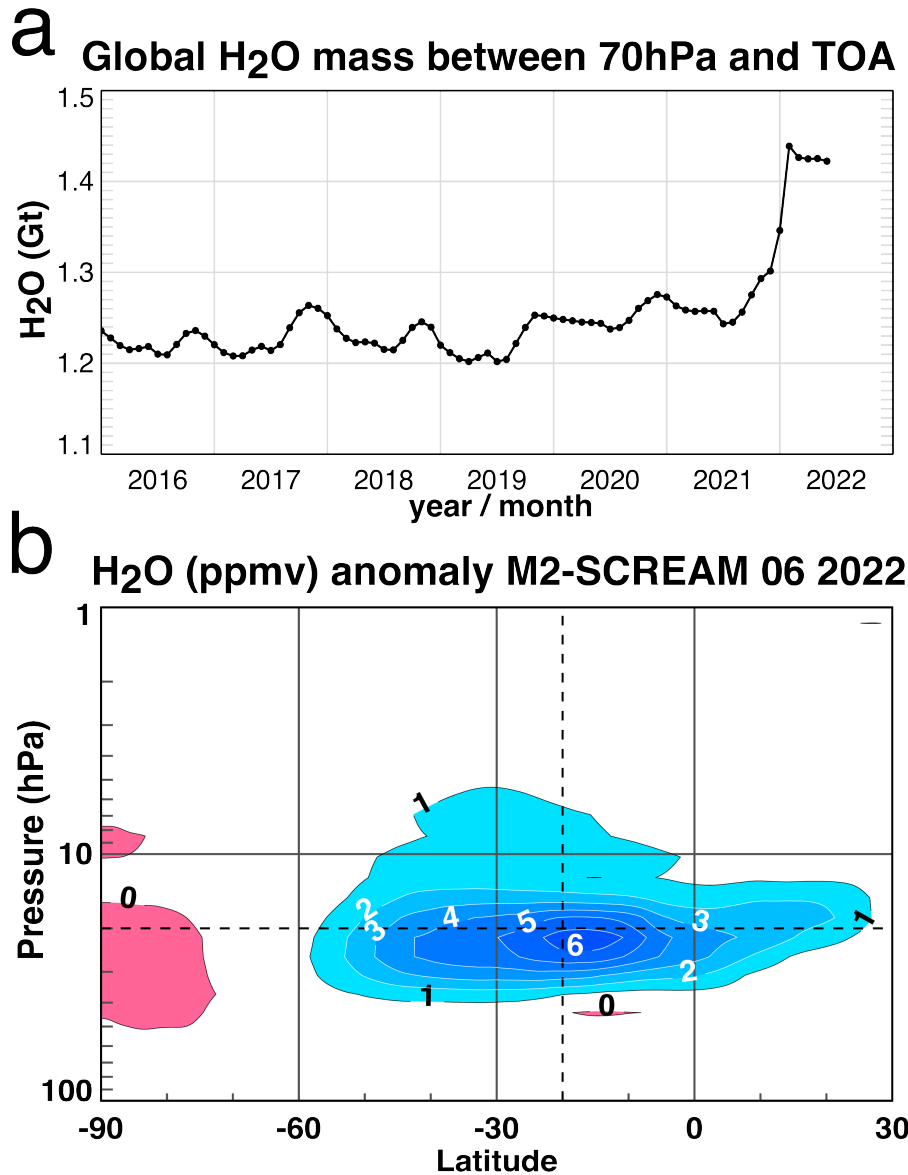
57 impact on the temperature, as well as the subsequent changes to the stratospheric circulation,
58 during the first six months after the eruption.

59 The MERRA-2 (Modern-Era Retrospective Analysis for Research and Applications,
60 Version 2) reanalysis (Gelaro et al., 2017) provides the circulation fields (temperatures and
61 winds) for this study. While MERRA-2 assimilates a number of in-situ and space-borne
62 observations that constrain tropospheric moisture, stratospheric water vapor observations are not
63 assimilated. Stratospheric moisture is closely constrained to monthly climatologies imposed by a
64 relaxation constraint in the MERRA-2 model component, so that it does not respond to the
65 volcanic perturbation. This precludes the use of MERRA-2 to directly infer the thermal impacts
66 of the water vapor increase on the circulation. However, numerous nadir- and limb-sounding
67 microwave observations are assimilated in the stratosphere (McCarty et al., 2016) and these data
68 will constrain the analyzed temperatures, which can be used to indirectly infer the thermal and
69 dynamical response of the stratosphere in MERRA-2. Additionally, a more recent data
70 assimilation system, the MERRA-2 Stratospheric Composition Reanalysis of Aura Microwave
71 Limb Sounder (M2-SCREAM : described below), does assimilate stratospheric water vapor and
72 is used to compare with the MERRA-2 analysis and radiative temperature tendencies.

73 **2 Assimilation Products**

74 The 43-year (1980-2022) climate record from MERRA-2 is used to assess the anomalies
75 of 2022. Two sets of monthly averaged files are used: The assimilation files for winds and
76 temperatures (GMAO, 2015a), and the temperature tendency files to obtain the analysis
77 temperature tendencies (GMAO, 2015b). The residual zonal mean circulations for each month
78 are calculated from the monthly averaged assimilation files as, in addition to winds and
79 temperatures, these files also contain the heat and momentum fluxes needed for evaluation of the
80 residual circulation (see Andrews et al., 1987, page 128). For this study we examine the stream
81 function of the residual circulation as well as the residual mean meridional and vertical winds.

82 M2-SCREAM (Wargan et al., 2022) is a new stratosphere-focused reanalysis product developed
83 at NASA's Global Modeling and Assimilation Office. Temperature, winds, surface pressure, and
84 tropospheric water vapor in M2-SCREAM are constrained by the MERRA-2 assimilated fields.
85 M2-SCREAM assimilates stratospheric profiles of ozone, water vapor, hydrogen chloride, nitric
86 acid, and nitrous oxide from version 4.2 retrievals of the Microwave Limb Sounder (MLS:
87 Waters et al., 2006; Livesey et al., 2020) observations, the same as those used in Millán et al.
88 (2022), alongside total ozone observations from the Ozone Monitoring Instrument (Levelt et al.,
89 2006, 2018). M2-SCREAM covers the MLS period, beginning in September 2004 and presently
90 extends to June 2022. Because MLS data are assimilated, this reanalysis represents the water
91 vapor enhancement from the Hunga Tonga eruption. The global increase of stratospheric water
92 vapor mass calculated from M2-SCREAM is ~10% (Fig. 1a), as in Millán et al. (2022). By June
93 2022 (Fig. 1b) the enhanced water vapor has spread from 60°S to 30°N. As shown below, the
94 radiative transfer model in M2-SCREAM responds to the moisture enhancement by producing
95 long wave cooling in better agreement with the observations.



96
 97 *Figure 1: a) Monthly column water vapor mass above 70 hPa as a function of time from M2-*
 98 *SCREAM for the globe, and b) the June 2022 zonally averaged water vapor anomaly (ppmv)*
 99 *from M2-SCREAM as a function of latitude and pressure. The water vapor anomaly is with*
 100 *respect to the 2005 – 2021 M2-SCREAM June average.*

101

102 **3 Results**

103 **The assimilation technique in MERRA-2 imposes an additional forcing, the analysis**
 104 **tendency (increments), to force the GEOS model towards the observations. This additional**
 105 **forcing generally manifests as a random error (with a complex spatial and temporal**
 106 **structure), but it can additionally exhibit a mean bias. The latter occurs if some process is**
 107 **not adequately represented by the model. Because the GEOS model uses climatological**
 108 **water vapor fields in the stratosphere of MERRA-2, any anomalous radiative forcing**

109 **caused by the volcanic eruption will not be captured in the radiative tendencies and will**
 110 **instead by captured by the bias in the analysis tendency term.** The high variability inherent
 111 in the analysis temperature tendencies can be reduced by averaging in space and time. The
 112 globally averaged analysis temperature tendencies at 20hPa, shown for each month of MERRA-2
 113 (Fig. 2a), reveal the anomalous situation in 2022. Cooling by the analysis increments is
 114 progressively stronger than usual, beginning in January. By May 2022 the analysis-induced
 115 cooling is over three standard deviations below the mean. These anomalies at 20 hPa coincide
 116 with the peak moisture anomaly isolated in Millán et al. (2022) and are the largest in MERRA-2.

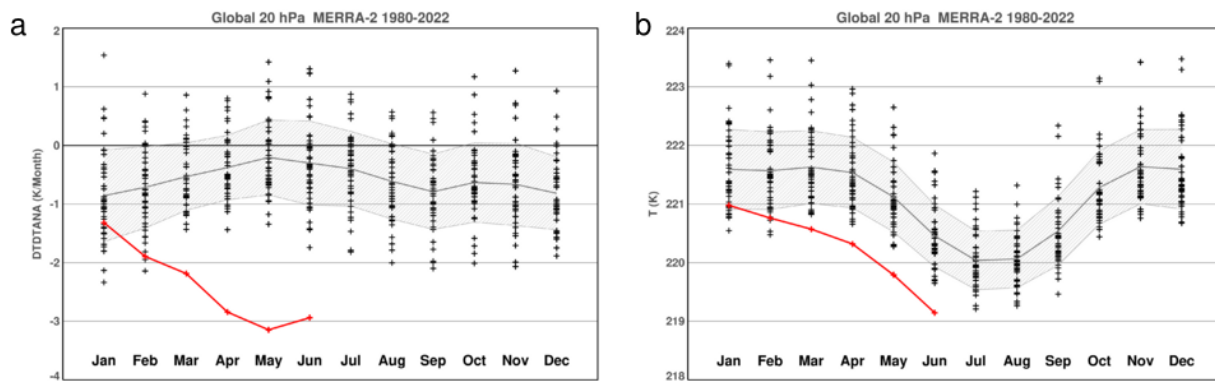
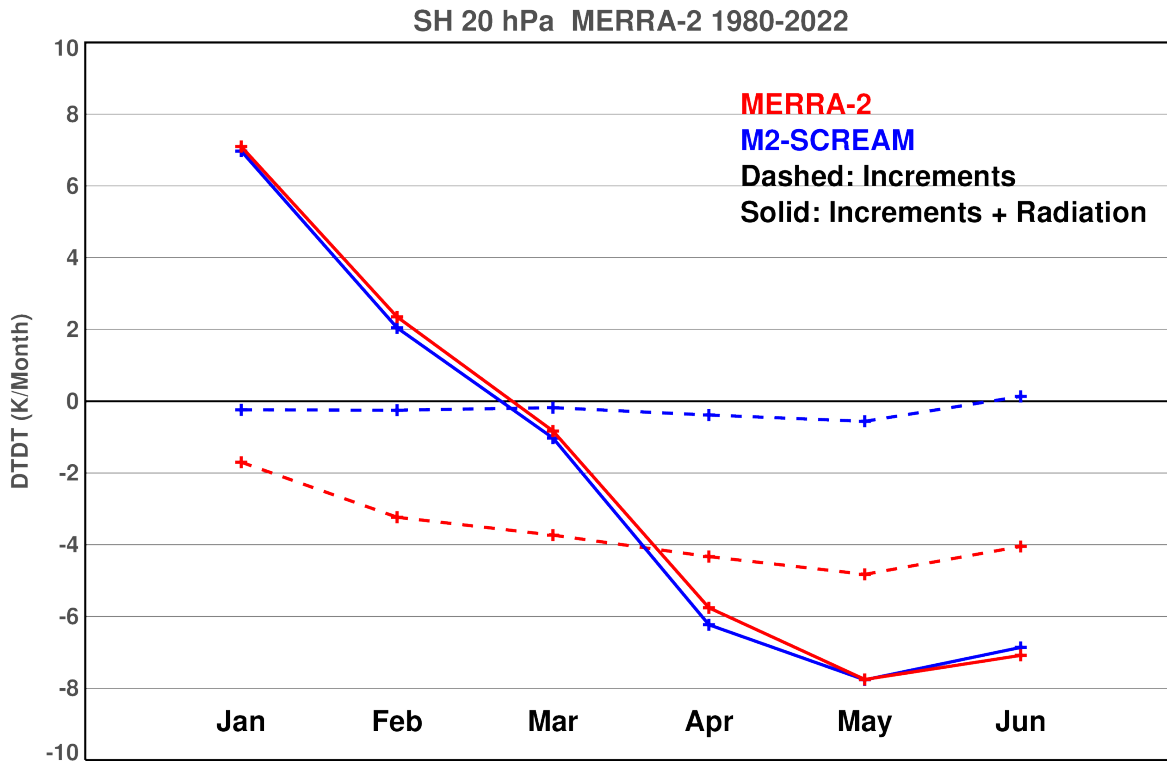


Figure 2: The monthly and globally averaged a) temperature tendencies and b) temperature at 20 hPa. The gray curve denotes the multi-year mean, the gray shading denotes the standard deviation for each month, and the red curve denotes the year 2022.

117 The analysis increments depict weaker additional cooling at 10hPa and weak additional warming
 118 at 30hPa (not shown).

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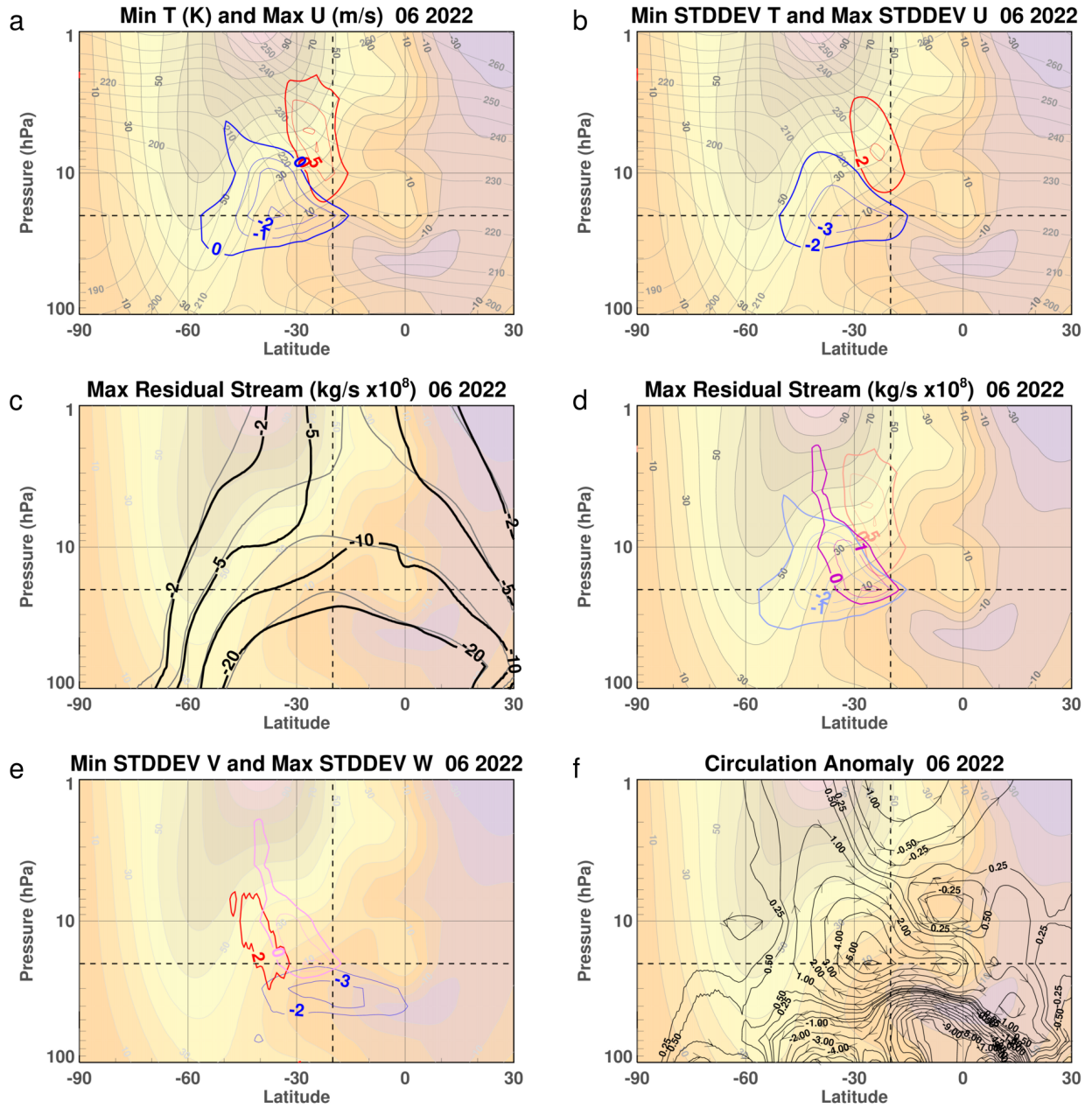
122 *Figure 3: The 20 hPa monthly and Southern Hemisphere averaged MERRA-2 temperature*
 123 *increments (red dashed), MERRA-2 sum of temperature tendencies and radiative tendency (red*
 124 *solid), M2-SCREAM temperature increments (blue dashed), and M2-SCREAM sum of*
 125 *temperature increments and radiative tendency (blue solid).*

126 Between January and June 2022, the global mean temperature at 20 hPa (Fig. 2b) is lower
 127 than average values, consistent with the record low analysis. These temperatures are
 128 approximately one standard deviation below average in January, continue to decrease in
 129 February, and reach their lowest values in the MERRA-2 record between March and June. Note
 130 that April through June cools at the same rate as the seasonal cycle, while January through
 131 February cools faster than average. Note also that the temperature tendencies can suggest
 132 temperatures larger than the temperature departures. For example, in June 2022 the temperature
 133 increments are nearly 3 K/month below the mean, while the temperatures for the month are only
 134 ~1.25 K below average indicating the importance of the other terms, such as dynamical forcing

135 and radiative transfer, in determining temperature. Nevertheless, these record negative
136 increments are associated with the record low temperatures.

137 There is further evidence that the anomalous increments correspond to the missing water vapor
138 anomaly in MERRA-2. Figure 3 shows that the M2-SCREAM temperature tendencies averaged
139 over the Southern Hemisphere (SH) have a much smaller magnitude than those in MERRA-2,
140 but that the total (radiation plus analysis increment) tendencies are almost identical. This is
141 because the analyzed water vapor in M2-SCREAM leads to a more realistic computation of

142 radiative cooling, so that the GEOS Model predicts more realistic temperatures that align better
 143 to the temperature observations.



144

145 *Figure 4: Cross sections for June showing zonal mean zonal winds (10 m/s, filled contours) and*
 146 *temperatures (5K, gray contours (a and b): a) Blue contours: record cold regions where the*
 147 *contours (1 K) denote how much June 2022 is below the previous record low temperature. Red*
 148 *contours: record strong zonal mean winds where the contours (5 m/s) denote how much June*
 149 *2022 is above the previous record strong wind; b) Blue contours: standard deviations of*
 150 *temperature below the mean (contour interval of 1, starting at -2) and red contours: standard*
 151 *deviations of zonal wind above the mean (contour interval of 1, starting at 2); c) Residual mean*
 152 *stream function (-2, -5, -10, -20 $\times 10^8$ kg/s) for 1980-2021 average (gray) and 2022 (black); d)*

153 *residual mean circulation stream function greater than the past maximum value (magenta,*
154 *contour interval 1×10^8 kg/s) with wind and temperature record as in a); e) Blue contours:*
155 *standard deviations of residual mean meridional wind below the mean (contour levels of -3 and -*
156 *2), red contours: standard deviations of residual mean vertical velocity above the mean (contour*
157 *level of 2) and magenta contours: as in d; f) Difference of the two stream lines shown in c).*
158 *Dashed lines denote 20°S and 20 hPa.*

159 This cooling is not uniform over the globe but is strongest near 30°S and 20 hPa. In June
160 2022 record low temperatures for the month stretch from 55°S to 15°S (Fig. 4a). These
161 temperatures break the previous low temperature record by as much as 3K. In addition, the zonal
162 mean winds are breaking records by as much as 10 m/s. The location of these record strong
163 winds near the low temperatures is consistent with the geostrophic relation where increased
164 cooling toward the pole requires increased vertical wind shear. In addition to setting records for
165 the month of June, these 2022 low temperatures and strong winds were outside the standard
166 deviation of the year-to-year variability (Fig. 4b) with values greater than double the standard
167 deviation.

168 These wind and temperature anomalies are likely associated with changes in the mean
169 circulation as the atmosphere adjusts to the temperature perturbation. The counter-clockwise
170 flow of the residual mean stream function for 2022 (Fig. 4c) shows large distortions in the region
171 near the wind and temperature anomalies compared to the 1980-2022 averaged June residual
172 mean stream function. In particular, the strong vertical gradient in the stream function at 30°S
173 and 30 hPa denotes a stronger than average poleward (negative) flow in 2022. This can be
174 represented as an anomaly in the residual mean stream function (Fig. 4d). In Fig. 4d, the stream
175 function anomaly plotted is greater than any of the previous years in that region. The positive
176 sign of the stream function anomaly denotes a clockwise circulation anomaly. Thus Fig. 4d
177 quantifies the distortion of the stream function from the mean seen in Fig. 4c as being larger than
178 in previous years.

179 The residual mean circulation can also be expressed in terms of residual mean meridional
180 and vertical velocities (Fig. 4e). The residual mean meridional velocity is particularly striking
181 with negative (poleward) values over three standard deviations below the mean from 10°S-30°S
182 near 30 hPa. The upward mean vertical wind anomalies are over two standard deviations above
183 the mean on the poleward side of the stream function anomaly. This upward anomaly does not
184 correspond to an actual upward circulation but expresses the weaker downward circulation than
185 average as seen in the nearly horizontal stream function regions in Fig. 4c. The residual mean
186 circulation stream function anomaly for June 2022 (Fig. 4f) includes a contribution from the
187 Quasi-Biennial Oscillation (QBO, Baldwin et al., 2001), especially at the equator, however,
188 while the QBO contribution is relatively small by 40°S (not shown), it does reinforce the upward
189 anomaly near 40°S.

190 **4 Conclusions**

191 Anomalous temperatures and circulation patterns analyzed by MERRA-2 in the southern
192 hemisphere during June 2022 can be forensically attributed to the stratospheric water vapor
193 injection from the January 2022 eruption of the Hunga Tonga-Hunga Ha'apai underwater
194 volcano. These anomalies can be traced back to March 2022. Their consistency in space and time

195 suggests a realistic response to a geophysical event rather than a yearly random dynamical
196 fluctuation. In June the 20 hPa record temperature anomaly stretches from 50°S-30°S while the
197 record zonal winds are part of a unusually strong region of the polar vortex centered in the upper
198 stratosphere near 30°S-20°S. In addition, the June mean meridional residual circulation has
199 developed a significant perturbation, slowing descent near ~40°S.

200 These wind and temperature anomalies (Fig. 4a, b) develop from the assimilation of data, mainly
201 routine, satellite based, nadir viewing radiometers and geostrophic balance and are likely to be
202 very realistic. Note that MERRA-2 does assimilate MLS temperatures, but only at 5 hPa and
203 lower pressures (higher altitudes). The residual mean circulation might be more difficult to
204 interpret. If the cooling analysis temperature increments mainly reflect the missing water vapor
205 cooling then they can be considered to be the missing cooling term from the lack of stratospheric
206 water vapor in the assimilation system. Then the calculated residual circulation (Fig. 4c, d, e)
207 should realistically capture the perturbed residual circulation. If, however, the analysis
208 temperature increments also contain cooling induced by circulations in the atmosphere's
209 response to the water vapor perturbation, then it is possible that the residual circulation may
210 adjust in an unphysical manner. However, the good SH 20 hPa agreement between MERRA-2
211 and M2-SCREAM seen in the sum of the analysis and radiative temperature tendencies (Fig. 3)
212 suggests that the MERRA-2 analysis tendencies are representative of the missing water vapor
213 cooling. Future work is planned for model simulations that include a realistic representation of
214 the stratospheric water perturbations. These calculations should provide a more complete picture
215 of the atmospheric response to the volcanic perturbation.

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218 work were provided by the NASA High-End Computing (HEC) Program through the NASA
219 Center for Climate Simulation (NCCS) at Goddard Space Flight Center.

220 **Open Research**

221 The MERRA-2 products are available on the NASA Goddard Earth Sciences Data and
222 Information Services Center (GES DISC). Specific MERRA-2 product collections used here are
223 cited appropriately in the references. The 2022 temperature tendencies from M2-SCREAM are
224 available from [https://gmao.gsfc.nasa.gov/gmaoftp/STRATOSPHERE/M2-SCREAM/T-](https://gmao.gsfc.nasa.gov/gmaoftp/STRATOSPHERE/M2-SCREAM/T-tendencies/)
225 [tendencies/](https://gmao.gsfc.nasa.gov/gmaoftp/STRATOSPHERE/M2-SCREAM/T-tendencies/). Historical M2-SCREAM output can be accessed from
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