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A framework for estimating global river discharge from the Surface Water and Ocean Topography satellite mission

Michael Durand¹, Colin J. Gleason², Tamlin M. Pavelsky³, Renato Prata de Moraes Frasson⁴, Michael Turmon⁴, Cédric H. David⁴, Elizabeth H. Altenau³, Nikki Tebaldi⁴, Kevin Larnier⁵, Jerome Monnier⁶, Pierre Olivier Malaterre⁷, Hind Oubanas⁷, George H. Allen⁸, Brian Astifan¹⁰, Craig Brinkerhoff², Paul D. Bates¹¹, David Bjerklie¹², Stephen Coss¹, Robert Dudley¹², Luciana Fenoglio¹³, Pierre-André Garambois¹⁴, Augusto Getirana^{15,16}, Peirong Lin¹⁷, Steven A. Margulis¹⁸, Pascal Matte¹⁹, J. Toby Minear²⁰, Aggrey Muhebwa²¹, Ming Pan²², Daniel Peters¹⁹, Ryan Riggs⁹, Md Safat Sikder²¹, Travis Simmons², Cassie Stuurman⁴, Jay Taneja²¹, Angelica Tarpanelli²⁴, Kerstin Schulze¹³, Mohammad J. Tourian²⁵ and Jida Wang²³

¹School of Earth Sciences, and Byrd Polar and Climate Research Center, The Ohio State University, Columbus, OH, 43214, USA.

²Department of Civil and Environmental Engineering, University of Massachusetts Amherst

³Department of Geological Sciences, University of North Carolina, Chapel Hill, NC 27599, USA.

⁴Jet Propulsion Laboratory, California Institute of Technology, Pasadena, CA, 91109, USA.

⁵Space Department, CS Corporation, Toulouse, France

⁶INSA Toulouse – Math. Institute of Toulosue (IMT), Toulouse, France

⁷G-EAU, Univ Montpellier, AgroParisTech, BRGM, CIRAD, IRD, INRAE, Institut Agro, Montpellier, France

⁸Department of Geosciences, Virginia Polytechnic Institute and State University , Blacksburg, VA, 24061, USA

⁹Department of Geography, Texas A&M University, College Station, TX, 77843, USA.

¹⁰Ohio River Forecast Center, NOAA NWS, Wilmington, OH, 45177, USA

¹¹School of Geographical Sciences, University of Bristol, University Road, Bristol, BS8 1SS, UK

¹²U.S. Geological Survey, New England Water Science Center, Northborough, MA, USA

32 ¹³ Department of Geodesy and Geoinformation, University of Bonn, Bonn, Germany.
33 ¹⁴ INRAE, RECOVER, Aix-Marseille University, France
34 ¹⁵ Hydrological Sciences Laboratory, NASA Goddard Space Flight Center, Greenbelt, MD,
35 United States
36 ¹⁶ Science Applications International Corporation, Greenbelt, MD, United States
37 ¹⁷ Institute of Remote Sensing and GIS, School of Earth and Space Sciences, Peking University,
38 100871, Beijing, China
39 ¹⁸ Department of Civil and Environmental Engineering, UCLA, Los Angeles, CA, USA
40 ¹⁹ Science and Technology Branch, Environment and Climate Change Canada, Canada
41 ²⁰ Cooperative Institute for Research in Environmental Sciences, University of Colorado,
42 Boulder, CO, USA
43 ²¹ Department of Electrical and Computer Engineering, University of Massachusetts, Amherst ,
44 USA
45 ²² Center for Western Weather and Water Extremes, Scripps Institution of Oceanography,
46 University of California San Diego, La Jolla, California, USA
47 ²³ Department of Geography and Geospatial Sciences, Kansas State University, Manhattan, KS,
48 USA.
49 ²⁴ Research Institute for Geo-Hydrological protection, National Research Council, Via Madonna
50 Alta 126, Perugia, Italy
51 ²⁵ Institute of Geodesy, University of Stuttgart, Germany
52 Corresponding author: Stephen Coss (coss.31@osu.edu)
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54 **Key Points:**

- 55
- 56 • The Surface Water and Ocean Topography satellite mission is designed to enable the
57 estimation of discharge for global rivers wider than 100 meters
 - 58 • When unconstrained by in situ data, discharge uncertainty is expected to be <30% for
59 most reaches, and to be dominated by timeseries bias
 - 60 • We expect discharge temporal variations to be estimated to within 15% for nearly all
reaches globally

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64

65 **Abstract**

66 The forthcoming Surface Water and Ocean Topography (SWOT) mission will vastly expand
67 measurements of global rivers, providing critical new datasets for both gaged and ungaged
68 basins. SWOT discharge products (available approximately one year after launch) will provide
69 discharge for all river reaches wider than 100 m. In this paper, we describe how SWOT
70 discharge produced and archived by the US and French space agencies will be computed from
71 measurements of river water surface elevation, width, and slope and ancillary data, along with
72 expected discharge accuracy. We present for the first time a complete estimate of the SWOT
73 discharge uncertainty budget, with separate terms for random (standard error) and systematic
74 (bias) uncertainty components in river discharge timeseries. We expect that discharge uncertainty
75 will be less than 30% for two thirds of global reaches and will be dominated by bias. Separate
76 river discharge estimates will combine both SWOT and in situ data; these “gage constrained”
77 discharge estimates can be expected to have lower systematic uncertainty. Temporal variations in
78 river discharge timeseries will be dominated by random error and are expected to be estimated to
79 within 15% for nearly all reaches, allowing accurate inference of event flow dynamics globally,
80 including in ungaged basins. We believe this level of accuracy lays the groundwork for SWOT to
81 enable breakthroughs in global hydrologic science.

82 **Plain Language Summary**

83 The Surface Water and Ocean Topography (SWOT) satellite mission is scheduled to launch in
84 2022. SWOT is designed to produce estimates of river discharge on many rivers where no in situ
85 discharge measurements are currently available. This paper describes how SWOT discharge
86 estimates will be created, and their expected accuracy. SWOT discharge will be estimated using
87 simple flow laws that combine SWOT measurements of river water elevation above sea level,
88 river width, and river slope, with ancillary data such as river bathymetry. We expect that
89 discharge uncertainty will be less than 30% for two thirds of global reaches and will be
90 dominated by a systematic bias. Temporal variations in river discharge timeseries are expected to
91 be estimated to within 15% for nearly all reaches, thus capturing the response of river discharge
92 to rainfall and snowmelt events, including in basins that are currently ungaged, and providing a
93 new capability for scientists to better track the flows of freshwater water through the Earth
94 system.

96 **1 Introduction**

97 Scheduled for launch in 2022, the Surface Water and Ocean Topography (SWOT) satellite
98 enables estimates of global river discharge, vastly increasing the observational basis for
99 understanding global hydrological processes (Biancamaria, Lettenmaier, & Pavelsky, 2016).
100 Measurements of river discharge integrate upstream water cycle processes, and thus are among
101 our most important data resources for understanding hydrology from the watershed to continental
102 scales. However, most of the world’s rivers are functionally unengaged due to a range of factors
103 including lack of resources and lack of data sharing (Gleason & Hamdan, 2017; Hannah et al.,
104 2011). Remote sensing of river discharge provides the possibility of global observation even in
105 unengaged basins, but with important tradeoffs, including decreased measurement accuracy,
106 precision, and sampling frequency as compared with observing discharge in situ (Gleason &
107 Durand, 2020). SWOT is a collaboration between the space agencies of the United States,
108 France, United Kingdom, and Canada, and will measure oceans and surface water. SWOT
109 measurements of river water surface elevation (WSE), top width and longitudinal water surface
110 slope (JPL Internal Document, 2020) enable SWOT discharge estimates, allowing potential
111 global scale advances in hydrology. A benchmarking study recently focused on one aspect of
112 expected performance of algorithms used to estimate SWOT discharge in unengaged basins
113 (Frasson et al., 2021). However, a full exploration of SWOT discharge philosophy, methodology,
114 and expected uncertainty has not been presented in the literature.

115 The purpose of this paper is to document SWOT discharge creation, space-time coverage, and
116 expected precision and accuracy for the hydrologic community. We first note that SWOT
117 discharge is not monolithic – open satellite data will allow for many “SWOT Discharge”
118 products created by hydrologists from across the scientific community. This paper is therefore
119 primarily concerned with the SWOT discharge to be archived and distributed by the U.S. and
120 French space agencies (referred to as the “Agency” discharge estimates). We first describe the
121 philosophy behind the SWOT discharge (section 2), and datasets used to produce SWOT
122 discharge (section 3), including SWOT observations and ancillary measurements. We then
123 describe how SWOT discharge will be produced (section 4) and expected accuracy (section 5),
124 relating expected SWOT discharge accuracy with that achievable from in situ measurements.

125 Our aim is to describe SWOT discharge characteristics prior to launch, thus maximizing
126 hydrologic science returns from SWOT.

127 **2 SWOT discharge philosophy**

128 In order to understand the SWOT discharge products, it is helpful to begin with an appreciation
129 of the challenges that must be overcome to estimate river discharge globally. These challenges
130 have led to data product decisions that together constitute a philosophy for SWOT discharge.
131 Whereas previous papers on SWOT discharge and related efforts have predominantly described
132 methodological advances, here we bring together these challenges and the resulting philosophy
133 in a single place.

134 Discharge is a critical part of the SWOT mission, but not all the information needed to compute
135 discharge is directly available from the SWOT measurements. Discharge is specified as a
136 required product to be produced and distributed by the space agencies in the SWOT science
137 requirements document, the foundational mission document that specifies what SWOT products
138 must be produced and with what accuracy (JPL Internal Document, 2018). SWOT measurements
139 of rivers include water surface elevation, river width, and slope, each of which is invaluable in
140 estimating river discharge (for further information on SWOT measurements, see section 3.2).
141 However, these measurements together do not have a unique relationship to river discharge.
142 Thus, the SWOT Science Team will develop and deploy methods to estimate the additional
143 properties of global rivers needed to produce the Agency discharge estimate. (Note that SWOT,
144 like many large satellite missions, has a “Science Team” comprised of researchers from around
145 the globe to support the mission.) The Science Team will likely create and distribute additional
146 discharge data products: see section 4.7 for details. The Agency discharge estimates are thus a
147 partnership between the Agencies and the Science Team.

148 The philosophy and corresponding methods used to produce SWOT discharge are shaped by the
149 nature of the SWOT measurements, and the need to apply SWOT to estimate discharge in
150 ungauged basins. SWOT discharge methods thus differ from the well-known two-step process to
151 estimate river discharge at in situ gages (Turnipseed & Sauer, 2010). In this traditional approach,
152 gage discharge is estimated by first establishing a “rating curve” by making joint measurements
153 of river stage (height above an arbitrary datum) and river discharge; the latter is obtained by

154 measuring the river velocity profile at a river cross-section with either a current meter or an
 155 Acoustic Doppler Current Profiler (ADCP). Secondly, once the rating curve is established,
 156 discharge is predicted from the rating curve via continuous observations of river stage, typically
 157 measured by a pressure transducer. SWOT discharge will also be estimated by a two-step
 158 process that is an analog to gages: In the first step, we establish a relationship between SWOT
 159 observations and river discharge, and in the second step, SWOT observations are used along with
 160 the relationship to estimate discharge on each SWOT overpass. However, the methodological
 161 details for the first step differ significantly from the rating curve calibration approach due to the
 162 lack of in situ discharge data for most of the world. As noted earlier, this article focuses on the
 163 SWOT discharge produced by the space agencies (JPL Internal Document, 2020), which follows
 164 this two-step methodology; see Section 4.7 for other approaches to SWOT discharge. The
 165 philosophy governing Agency discharge products can be summarized in five points (Figure 1);
 166 note that these are five philosophical points, rather than five sequential steps in discharge
 167 estimation.

168 First, river discharge estimates will be driven by “primary data”, defined by Gleason and Durand
 169 (2020) as “electromagnetic radiation recorded directly by the satellite”. Thus, the basic form of
 170 flow laws used to compute discharge (Q_t) for each reach and for each SWOT overpass at a time
 171 t must rely on SWOT observations, and will in most cases be a modified form of the Gauckler-
 172 Manning-Strickler equation (referred to as the “modified Manning’s equation”, hereafter):

$$173 \quad Q_t = \frac{1}{n_t} (\bar{A} + A'_t)^{5/3} W_t^{-2/3} S_t^{1/2}, \quad (1)$$

174 where n_t is the coefficient governing hydraulic resistance in the river, \bar{A} is the time-series
 175 median cross-sectional area (note that n_t and \bar{A} are computed as described in the following
 176 paragraph), A'_t is the cross-sectional area anomaly (i.e. the time-varying part), such that $\bar{A} + A'_t$
 177 estimates the total cross-sectional area at time t , W_t and S_t are SWOT observations of reach
 178 averaged river width and surface slope, respectively, and the t subscript denotes values that vary
 179 from pass to pass (note that all quantities vary spatially). See Appendix A for details of the
 180 derivation of equation (1) and see section 3.2 for SWOT observation precision and spatial and
 181 temporal sampling characteristics. We assert that A'_t is measured by SWOT, as it is computed
 182 in a straightforward way from SWOT WSE and river width observations (see Appendix A).

183 Values of n_t are computed from simple functions of SWOT observations as described in section
184 4.2. All quantities in Equation 1 are reach averages. Equation 1 is derived from the shallow water
185 equations under simplifying assumptions as described in section 4.2. Discharge computations
186 from these simple flow laws enable straightforward uncertainty quantification (see section 5) and
187 meet the practical requirement that global discharge computation proceed with little or no
188 supervision by the space agencies. As discharge is predicted from these flow laws, SWOT does
189 not “measure” discharge but rather “estimates” it. SWOT discharge estimates are thus driven by
190 primary data in that time variations in discharge are driven only by time variations in the remote
191 sensing observations of WSE, width, and slope.

192 Second, as described earlier in this section, discharge will be computed using a two-step process:
193 members of the SWOT Science Team will compute optimal estimates of flow law parameters,
194 then provide these to the space agencies for regular computation of SWOT discharge using the
195 chosen flow laws (Figure 2). This two-step process is necessary because SWOT cannot measure
196 all flow law terms, such as the coefficient governing hydraulic resistance and the river
197 bathymetry (represented by n_t and \bar{A} respectively, in equation 1). These unobserved terms in the
198 flow laws are referred to as “flow law parameters” (FLPs) hereafter. FLP estimates will be
199 computed by the Science Team after SWOT launch using algorithms described in section 4.3.
200 After FLPs are estimated, SWOT discharge will be produced automatically for each SWOT pass.
201 These two steps are referred to as “Flow Law Parameter Estimation” (FLPE) and “Discharge
202 Production”.

203 Third, SWOT discharge will be produced for reaches approximately 10 km in length. The
204 selection of 10 km as the reach length was driven by precision of reach averaged WSE, width
205 and slope measurements. SWOT WSE measurements will be noisy at the scale of individual
206 radar pixels (JPL Internal Document, 2017). Rodriguez, Durand, and Frasson (2020) showed that
207 averaging to reaches of approximately 10 km is necessary to resolve river features. Thus, the
208 Agency discharge products will be produced at reach scale; reach averaging necessitates
209 adaptation of flow laws, as shown by Rodriguez, Durand, and Frasson (2020), and discussed in
210 section 4.2. We control for changes in discharge within the reach by choosing reaches to avoid
211 major confluences: see section 3.1. Reach definition takes into account low-head dams and other

212 river obstructions (Yang et al., 2022). Possible Science Team discharge estimates at higher
213 spatial resolution are discussed in section 4.7.

214 Fourth, two branches of SWOT discharge will be produced: one where in situ data are used to
215 constrain SWOT discharge, and one where in situ data are not used to constrain discharge,
216 referred to as “gage constrained” and “unconstrained”, respectively. Philosophically, these two
217 branches are driven by the fact that SWOT discharge estimates will be used in both gaged and
218 ungaged basins, with different sets of expectations and requirements regarding discharge
219 accuracy. For example, most remotely-sensed precipitation estimates are constrained to
220 precipitation gages, where these are available (Hou et al., 2014), providing precedent for
221 constraining SWOT remote sensing of discharge to stream gage data. The constrained branch
222 will leverage both historical and concurrent gaged discharge data. A priori information (e.g.,
223 mean annual flow predicted by global hydrological models) will still be used to “inform” the
224 unconstrained products. This is in accordance with our philosophy because methods to estimate
225 “unconstrained” flow law parameters use model data only as a priori information in the Bayesian
226 sense, and, the models used (e.g. the Water Balance Model (WBM) described by Cohen, Kettner,
227 and Syvitski (2014)) are not themselves calibrated on in situ discharge data. Parameter estimates
228 are Bayesian in that they weight prior estimates of mean annual flow or river geomorphology
229 against information derived from inverse algorithms, based on their respective uncertainties
230 (Hagemann, Gleason, & Durand, 2017). In contrast, the “gage constrained” flow law parameters
231 will be chosen assuming the availability of suitable in situ discharge data and informed by global
232 models calibrated at specific gage sites. Gage discharge will be used only during the calculation
233 of the flow law parameters, not during the operational discharge calculation by space agencies.
234 Additionally, some discharge gages will be reserved for validation purposes (i.e., not used to
235 constrain either prior models or SWOT discharge) to assess discharge accuracy and precision of
236 both the gage-constrained and unconstrained products (see section 4.5, below).

237 Fifth, Agency products will include an ensemble of discharge estimates, produced using several
238 different flow laws and FLPE algorithms described in section 4.3. A “consensus” discharge
239 estimate based on a summary statistic computed across the ensemble will also be included (see
240 section **Error! Reference source not found.**). This ensemble approach is driven by the fact that

241 FLPE in ungaged basins is challenging, and it is unlikely that a single approach is optimal for all
242 rivers. The ensemble approach adds robustness to SWOT discharge.

243 **3 Data and datasets used for SWOT discharge estimation**

244 In this section we describe the SWOT mission river database (SWORD; 3.1), SWOT
245 observations (3.2), and ancillary data (3.3) used for FLPE and discharge production.

246 *3.1 SWOT mission River Database (SWORD)*

247 SWORD archives both spatial data and reach attributes for SWOT reaches (Altenau et al., 2021)
248 and is critical to creation of SWOT river data products. The primary spatial attributes of SWOT
249 reaches are SWORD river centerlines, which are specified based on the Global River Widths
250 from Landsat dataset (Allen & Pavelsky, 2018) at ~30 m spatial resolution, using Landsat data
251 and the RivWidth algorithm (Pavelsky & Smith, 2008). SWORD also defines spatial data and
252 attributes for river nodes, a series of points at approximately 200 m increments along river
253 longitudinal profiles defined by the SWORD centerline. SWORD reaches and nodes are used in
254 several stages of SWOT processing: e.g., SWOT radar pixels are mapped onto SWORD node
255 locations using the RiverObs software (<https://github.com/SWOTAlgorithms/RiverObs>),
256 translating two-dimensional imagery to one-dimensional measurements of WSE, width and
257 slope. SWORD archives river ice climatology (derived following the methods of (Yang,
258 Pavelsky, & Allen, 2020) used for SWOT ice flagging. SWORD distance from river outlet (also
259 called “chainage”) and SWOT WSE at the node scale are combined to compute SWOT reach
260 averaged river slope. SWORD also archives drainage area, extracted from datasets such as
261 MERIT Hydro (Yamazaki et al., 2019), river topology, and river obstructions data from the
262 Global River Obstruction Database (Whittemore et al., 2020). Once FLPs have been computed
263 by the Science Team, they will be attached to SWORD for the Agencies to use in producing
264 discharge estimates. See Altenau et al. (2021) for further details.

265 *3.2 SWOT observations: Spatial and temporal sampling characteristics, and precision*

266 SWOT WSE, width and slope resolution and precision are relevant to methods used to calculate
267 discharge, and so are briefly reviewed here; for more details, see the SWOT River Single Pass

268 Product Description Document (JPL Internal Document, 2020) example data products
269 (<https://podaac.jpl.nasa.gov/swot?tab=datasets>), Science Requirements Document
270 (JPL Internal Document, 2018) and Mission Performance and Error Budget
271 (JPL Internal Document, 2017). SWOT WSE is measured interferometrically, and is defined
272 relative to the Earth Gravitational Model 2008 (EGM2008) geoid, (Pavlis et al., 2012), where the
273 geoid is the vertical distance above the World Geodetic System (WGS84) ellipsoid model of the
274 Earth surface. SWOT width is computed as a reach average, by summing the inundated area of
275 each SWOT radar pixel associated with a particular river reach (Frasson et al., 2017). Note that
276 the SWOT mission has two phases, marked by different orbits and resulting spatiotemporal
277 sampling. In the first phase (nominally 3 months long), SWOT measures a small subset of global
278 rivers with daily sampling; this is the “fast repeat orbit”. In the second phase (nominally 3 years
279 long), all rivers are covered with less frequent temporal sampling; this is the “nominal science
280 orbit”. Only spatial and temporal sampling for the nominal science orbit is described here. The
281 SWOT mission goal for latency is 3 days: in other words, data will likely be available 3 days
282 after each satellite pass.

283 3.2.1 Spatial Characteristics

284 Figure 3a shows all rivers expected to be observed by SWOT based on SWORD (Altenau et al.,
285 2021), broken out by width. The native resolution of the KaRIn radar on SWOT varies across the
286 swath; the SWOT “pixel cloud” (from which SWOT river data products are computed) varies in
287 resolution from 10 m to 60 m in the cross-track direction and is posted every 20 m in the along-
288 track direction. Many pixels are averaged together to compute river width, WSE and slope
289 (Frasson et al., 2017; JPL Internal Document, 2020). Some pixels measure both water and land,
290 but because water is far brighter than land at SWOT incidence angles and at Ka-band, precise
291 hydrologic information about relatively narrow rivers can be extracted from the SWOT
292 measurements. The Science Requirements Document requires only that SWOT products be
293 produced for rivers greater than 100 m, with a science goal of producing data products for all
294 rivers wider than 50 m (JPL Internal Document, 2018). As shown by Pavelsky et al. (2014),
295 SWOT spatial coverage assuming either 50 m or 100 m is far greater than current gage coverage.
296 There are 213,485 SWORD river reaches, but many of these are too narrow, represent lakes or
297 reservoirs that fall along rivers, are short reaches that span river obstructions, or are in areas of

298 unreliable river topology; SWOT discharge will not be produced for such reaches. After filtering
299 such reaches, a total of 62,809 reaches are wider than 100 m, and a total of 122,684 reaches are
300 wider than 50 m. SWOT discharge will be produced and is expected to be of good quality for all
301 rivers greater than 100 m. The ability to produce discharge for rivers as narrow as 50 m will be
302 explored by the SWOT Science Team after launch.

303 3.2.2 Temporal Characteristics

304 SWOT will measure most mid-latitude reaches twice on average during the 21 day repeat cycle
305 of the science orbit (~35 observations per year), with more observations at higher latitudes.
306 Figure 3b shows the total number of expected observations per year, after including the effect of
307 ice cover (SWOT discharge will not be estimated when rivers are ice covered). A total of 1,360
308 river reaches wider than 100 m (2% of the total) are never observed due to small gaps in SWOT
309 coverage. The effect of ice cover is seen in that the expected number of observations increases
310 with latitude, but then begins to decrease at the highest latitudes; this effect is especially visible
311 in Asia. Figure 4 illustrates SWOT temporal sampling for four United States Geologic Survey
312 (USGS) gages in North America.

313 SWOT discharge is included in both the “single pass” data product, defined as the discharge
314 observed at the time of each overpass, and a “cycle averaged” data product. Cycle averaged
315 discharge will be computed as a simple average of all the single pass discharge estimates for
316 each cycle. For example, if there are 3 discharge estimates in the 21 day cycle, the cycle-average
317 is the mean of the 3 values.

318 3.2.3 Measurement precision

319 SWOT discharge accuracy is impacted by the SWOT WSE, width and slope measurement
320 accuracy. SWOT science requirements specify that WSE, width, and slope will be computed on
321 all reaches with average width greater than 100 m to reach-scale accuracies of 10 cm, 15%, and
322 17 mm/km, respectively (JPL Internal Document, 2018). Current estimates of these accuracies
323 differ slightly from the requirements: e.g., nominal width accuracy is expected to be on the order
324 of 10 m (Frasson et al., 2017). It may seem surprising that SWOT can achieve such high
325 precision for width, given that SWOT pixel spatial size varies from 10 m – 60 m, in the cross-

326 track direction (Fjørtoft et al., 2014). Note that many such pixels are averaged together to
327 compute river width for a 10 km reach, reducing the expected error on river width to
328 approximately 10 m; see e.g. Figure 5 from (Frasson et al., 2021), which shows width
329 uncertainties for river nodes (spaced at 200 m downstream) from SWOT radar simulations.
330 Averaging many pixels together leads to expected width errors on the order of 10 m, for 10 km
331 reaches. We consider A' to be measured, as it is more-or-less directly estimated from the SWOT
332 measurements of WSE and width; uncertainty in A' can be approximated to be the product of
333 WSE precision and the river width scaled by $\sqrt{2}$, as shown in Appendix A. The effects of WSE,
334 width and slope uncertainty on SWOT discharge uncertainty is described in section 5.

335 *3.3 Additional datasets and the SWORD of Science*

336 In addition to SWORD and SWOT data, other external datasets will also be leveraged to create
337 SWOT data. Specifically, in situ discharge data and modeled discharge estimates will be used in
338 various parts of the discharge creation process. The constrained branch of SWOT discharge will
339 leverage gage data – both historical and concurrent with the SWOT mission; some of the
340 concurrent gage data will be held out for discharge product validation. Details of these datasets
341 are not provided here, but all available gage data will be leveraged.

342 A priori information for FLPE will be derived from historical global hydrological model
343 simulations. Prior estimates of flow statistics for the unconstrained branch will come from the
344 WBM dataset of Cohen, Kettner, and Syvitski (2014). Note that this WBM simulation was not
345 calibrated using gage discharge data and is thus philosophically consistent with unconstrained
346 branch. Prior estimates for the gage-constrained branch will come from GRADES, the Global
347 Reach-Level A Priori Discharge Estimates for SWOT (Lin et al., 2019), a hydrologic model
348 calibrated to in situ gages, and further bias-corrected by gages. Note that the gage constraints in
349 GRADES are not the result of traditional model calibration. i.e., GRADES did not use gage time
350 series data to calibrate model parameters, but instead used only global runoff statistics
351 regionalized from several thousand small and naturalized catchments using a neural network
352 (Beck, de Roo, & van Dijk, 2015) to constrain the model, which was then run at 2.9 million
353 locations. As a result, the gage constraints in GRADES should be considered indirect and
354 limited, because the runoff percentiles were regionalized from small catchments (10-10,000 km²)

355 that mostly fall below the SWOT observable river width limit (50-100 m). A number of
356 additional datasets will be used as prior information in the FLPE process; these are collectively
357 referred to as the “SWORD of Science” (SoS). The SoS combines all additional databases
358 needed for FLPE; some additional details of such datasets are described below.

359 **4 How will SWOT discharge be produced?**

360 SWOT discharge is created by a partnership between the Agencies and Science Team.
361 “Confluence” is the Science Team computational framework for FLPE (section 4.1), encoding
362 flow laws (section 4.2), and FLPE methods (section 4.3). The Agencies produce discharge as
363 part of SWOT data products (section **Error! Reference source not found.**). We also present a
364 timeline for SWOT discharge production (section 4.5), a plan for discharge evaluation (section
365 4.6), and possible Science Team discharge estimates (section 4.7).

366 *4.1 Confluence: A computational engine for SWOT discharge and FLPE*

367 The Confluence computational software engine (<https://github.com/swot-confluence/>) has been
368 developed to enable FLPE in a timely manner from SWOT observations for multiple flow laws
369 across global reaches. All Confluence code is currently publicly available, save for individual
370 McFLI algorithms which are maintained and made public by their original authors. To support
371 the agency discharge products, the Science Team will be required to produce FLP estimates
372 rapidly at the global scale. This means we must ingest SWOT observations, reference many data
373 fields within the SWORD database, and run computationally expensive discharge algorithms for
374 on the order of 10^5 reaches, all on a short timeline. This is far from trivial, both in terms of
375 logistics and in terms of the required computational resources. Confluence is a cloud-based
376 computation engine that facilitates these operations; Confluence produces both discharge (to be
377 available as a Science Team data product) and FLP estimates from multiple FLPE algorithms in
378 parallel. Confluence is scalable on demand, both in terms of computational resources and storage
379 capacity: it is deployable on Amazon Web Services and similar cloud environments with
380 massive computational resources, shortening needed computation time. Optimal FLP estimates
381 produced by Confluence will be merged into SWORD and passed to the agencies to use with
382 discharge production (i.e. step 2, in Figure 2). Confluence includes input modules to interface to
383 all three major datasets described in section 3.3: SWOT, SWORD, and the SoS. The Confluence

384 inputs and outputs are shown as a flowchart in Figure 5. The algorithms inside Confluence each
 385 calculate discharge as well as FLPs, but discharge values computed in Confluence are not passed
 386 to the Agencies, but are planned to be available to the community as so called ‘Science Team
 387 discharge products’ (Figure 5; section 4.7). Confluence is running now on AWS, and has been
 388 fully interfaced to read in SWOT data files, and produce the needed FLPs; example Confluence
 389 results are presented in section 4.4. While we anticipate that algorithms will continue to evolve
 390 after launch in order to refine SWOT discharge in future, the results shown below demonstrate a
 391 working software that is currently ready to process SWOT data as described in this paper. All
 392 Confluence processing code will eventually be made public.

393 4.2 Flow laws

394 Flow laws are the functional form that relate SWOT observations of WSE, width and slope and
 395 FLP estimates to river discharge: see Appendix A. The modified Manning’s flow law shown in
 396 Equation 1 is presented as an example flow law. Equation 1 assumes that the non-linear
 397 dynamics of open channel flow in natural rivers can be parameterized via the resistance
 398 coefficient (n , sometimes referred to as the “friction coefficient”, or “Manning’s n ”) with
 399 different possible parameterization models, as described by Rodriguez, Durand, and Frasson
 400 (2020), Larnier et al. (2020), or Bjerklie, Dingman, and Bolster (2005). As noted by Ferguson
 401 (2010), the resistance coefficient is rarely a constant with river stage. Thus, some flow laws
 402 specify n_t to vary as a function of WSE, while others specify it to vary as a function of A' , and
 403 still others specify it to be a constant. In all these options, these parameters are still functions of
 404 space, and therefore possibly different for each node or reach. We describe one example
 405 resistance parameterization, for illustration purposes. Following Rodriguez, Durand, and Frasson
 406 (2020), the resistance coefficient n_t could take this form:

$$407 \quad n_t = n_b \left(1 + \frac{5}{6} \left[\frac{W_t \sigma_z}{A + A'_t} \right]^2 \right), \quad (2)$$

408 where n_b is the resistance coefficient at a high flow, such as bankfull, and σ_z is the within-reach
 409 spatial variation of river bed elevation. As shown by Rodriguez, Durand, and Frasson (2020), the
 410 terms in parentheses on the right-hand side of Equation 2 describe the effect of spatial variability
 411 within the reach, and n_b describes any and all forms of energy and momentum loss in the

412 channel including irregular channel geometry, flow irregularities, bedload transport, turbulent
413 lateral and vertical motion in the flow field, form drag around large obstacles (e.g. boulders and
414 fallen trees on the channel bottom) as well as viscous friction losses (Gualtieri et al., 2018).
415 Given this formulation for n_t , in combination with Equation (1), \bar{A} , n_b and σ_z denote time-
416 invariant parameters that must be estimated for each reach, using methods described in the next
417 section. While each algorithm will apply a slightly different version of both the flow law and the
418 resistance coefficient formulation, Equations 1 and 2 are representative examples.

419 Despite the simplicity of this flow law, it has proven remarkably resilient when applied to large
420 rivers across a range of spatial scales, and including special cases such as multiple channels
421 (Altenau et al., 2019), river reaches impacted by low-head dams (Tuozzolo et al., 2019a), and
422 river floodplain interactions (Durand et al., 2014). Reaches with low river slopes (Durand et al.,
423 2020) can be handled simply by relating WSE and river width to river discharge, i.e. using a flow
424 law that does not depend on river slope; the flow law parameters would still be estimated as
425 described below.

426 *4.3 Flow Law Parameter Estimation algorithms*

427 As outlined in section 2, FLPE is the first step of the two-step process to estimate river discharge
428 using SWOT measurements (see Figure 2). The time-invariant parameters described earlier (\bar{A} ,
429 n_b and σ_z for Equations (1) and (2), as an example) must be estimated for each reach, globally,
430 and for each flow law. Gleason and Durand (2020) describe several approaches to this problem.
431 Here we present an overview of FLPE methods planned for SWOT discharge (Figure 6). Here,
432 we distinguish between FLPE algorithms that operate at the scale of river reaches (section 4.3.1
433 and 4.3.2) and those that operate at the scale of river basins (section 4.3.3); these algorithms are
434 listed in Table 1, and briefly described below. Note that a full description of these methods,
435 including their needed inputs and prior information, is outside the scope of this manuscript; for
436 more details on the reach-scale algorithms, see Frasson et al. (2021). All of these algorithms
437 described in this section will be run at launch, using the Confluence software (section 4.1).

438 4.3.1 Reach-scale calibration algorithms

439 The Modified Optimized Manning Method Algorithm (MOMMA) is a reach scale calibration
440 algorithm and follows the same procedure as typical rating curve calibration (Turnipseed &
441 Sauer, 2010). MOMMA estimates FLPs based on specifying a target discharge estimate.
442 MOMMA is a revised version of the Mean Flow and Geomorphology algorithm (MFG)
443 described in Bonnema et al. (2016) and Durand et al. (2016). MOMMA uses a slightly different
444 version of the modified Manning's equation as Equation 1, and is based on estimation of
445 bankfull WSE based on analyzing the WSE-width relationship for each reach. MOMMA uses an
446 estimate of bankfull discharge to calibrate the bankfull Manning flow resistance, which is then
447 scaled as a function of relative depth in the channel (equations 1 and 15 in Bjerklie et al., 2018).
448 Bankfull discharge measurements are derived from hydrological model output where in situ
449 discharge is not available. Alternatively, the MOMMA FLPs can be estimated a priori from
450 comparative or statistical information. The accuracy of SWOT discharge estimated via
451 MOMMA is by construction limited to the accuracy of the data used to calibrate, which may
452 include a range of discharge measurements made in the reach or an estimate of the mean
453 discharge for the reach derived from another source.

454 4.3.2 Reach-scale inverse algorithms

455 Reach-scale inverse algorithms are designed for use in ungaged basins in areas where there is no
456 in situ data to calibrate against, and where existing estimates of discharge may be poor. These
457 algorithms solve a poorly-constrained inverse problem; they incorporate existing estimates of
458 discharge using Bayesian principles, modeling the uncertainty of SWOT observations, flow laws,
459 and prior discharge as part of the inverse algorithm. Tuozzolo et al. (2019b) and Frasson et al.
460 (2021) showed that such algorithms improve on prior discharge estimates, but that final
461 discharge accuracy is nonetheless dependent to some extent on the prior. Indeed, Larnier et al.
462 (2020) demonstrated that the inversion is ill-posed if based on the flow equations alone; prior
463 information is necessary. Significant effort has been devoted to FLPE inverse algorithms in the
464 SWOT context over the past decade or so (Durand et al., 2010; Durand et al., 2014; Durand et
465 al., 2016; Garambois & Monnier, 2015; Gleason & Smith, 2014; Gleason, Smith, & Lee, 2014;
466 Hagemann, Gleason, & Durand, 2017; Larnier et al., 2020; Nickles et al., 2020; Oubanas et al.,

467 2018; Tuozzolo et al., 2019a; Yoon et al., 2016). The key difference between these and the
468 calibration approach described in the previous section is that these algorithms are designed to
469 solve an under-constrained inverse problem, whereas the calibration approach is well-
470 constrained.

471 The inverse algorithms described in this section are designed to run on one of two spatial
472 domains: either a single reach, or a set of several reaches. The algorithms that run on a set of
473 several reaches (called an “Inversion Set” here) estimate reach averaged discharge and FLPs for
474 each reach in the Inversion Set, using only reach averaged SWOT observations. Inversion Sets
475 are chosen to minimize lateral inflows, while including as many reaches as possible. Other
476 algorithms operate on a spatial domain of a single reach and estimate discharge and flow law
477 parameters at each node within the reach using SWOT observations at the node scale. Output
478 from inverse algorithms applied at the node scale are averaged to apply to reach scale quantities,
479 in order to interface with the Agency reach-scale discharge estimates.

480 The algorithms often implicitly or explicitly invoke some form of the continuity equation applied
481 to the spatial domain over which they are applied. They thus neglect tributary inflows and
482 groundwater exchange, making the assumption that such lateral inflows lead to minimal
483 discrepancy between upstream and downstream of the spatial domain. This assumption is
484 obviously more secure when inverting over a single reach at the node scale, but with a tradeoff
485 that SWOT observations are much more uncertain at the node scale than the reach scale: as there
486 are ~50 nodes per reach, node level errors will be on the order of seven times larger. In general
487 continuity-related errors are expected to be minimal across sets of reaches when lateral inflows
488 change the discharge by less than 5% (Nickles et al., 2020).

489 There are multiple classes of algorithms proposed to be used, including Mass-Conserved Flow
490 Law Inversion (McFLI) and variational data assimilation (VDA) as shown in Figure 6 and
491 described in the next two subsections.

492 *4.3.2.1 Mass-Conserved Flow Law Inversion*

493 McFLI refers to inverse algorithms that infer FLPs by equating discharge in neighboring
494 adjacent reaches or nodes of the river, over a specified spatial domain (Gleason, Garambois, &

495 Durand, 2017). McFLI algorithms thus invoke flow laws (Manning’s equation or hydraulic
496 geometry) and continuity (conservation of mass among neighboring nodes or reaches). Two
497 McFLI algorithms are currently planned for use with SWOT.

498 The geomorphically-informed Bayesian “At-many-stations” hydraulic geometry- Manning
499 Algorithm (geoBAM, Brinkerhoff et al. (2020)) leverages the concept of “At-many-stations”
500 hydraulic geometry (AMHG, Gleason and Smith (2014)) to jointly invert Equation 1 and
501 traditional hydraulic geometry as expressed by Brinkerhoff, Gleason, and Ostendorf (2019)
502 following Dingman (2007). This flow law has been simplified since geoBAM’s original
503 publication to remove redundant parameters and use only the primal terms of hydraulic geometry
504 per Dingman (2007): bankfull width, bankfull depth, channel shape parameter r , and Manning’s
505 n . geoBAM builds from the original BAM algorithm of Hagemann, Gleason, and Durand (2017)
506 by introducing additional prior information. geoBAM assumes steady flow within each reach and
507 is fully Bayesian: it models the uncertainty on each input including the observations and prior
508 estimates of discharge and the flow law parameters to produce explicit posteriors on all terms in
509 Equation 1. geoBAM first classifies rivers in SWOT according to their geomorphology, and
510 then assigns priors according to geomorphology and discharge prior information.

511 The Metropolis-Manning (MetroMan) algorithm (Durand et al., 2014) is conceptually similar to
512 geoBAM, and thus we highlight only the most important differences. MetroMan uses only the
513 Manning’s equation flow law as written in Equation 1. MetroMan for SWOT will be applied to
514 reaches, whereas geoBAM will be applied to nodes. MetroMan applies a continuity equation to
515 adjacent reaches such that the difference in flow between adjacent reaches is equated to the
516 change in storage within the reaches; thus, steady flow among reaches is not assumed as it is for
517 geoBAM. The MetroMan mass balance equation will revert to steady flow when the time-
518 resolution of SWOT is inadequate to resolve floodwave dynamics for a particular river.
519 MetroMan will use a subset of the prior information used by geoBAM.

520 4.3.2.2 *Data Assimilation*

521 Data assimilation (DA) approaches differ from McFLI in that they invoke a calibration process
522 and/or a parameter identification process using a hydraulic model. The hydraulic model could be
523 dynamic (e.g. the shallow water equations) or steady (e.g. the gradually-varied flow equation),

524 but in both cases the model requires river discharge and cross-section geometry as inputs, and
525 computes WSE and river width as outputs. DA with hydraulic models requires a prior estimate of
526 FLPs (bathymetry, friction) and discharge, which are then optimized by minimizing the
527 difference between the model outputs and the observations. For SWOT discharge, DA
528 algorithms provide FLP values based on the assimilation output.

529 Variational data assimilation (VDA) algorithms in this context invoke a 1-D dynamic hydraulic
530 model, and its adjoint counterpart. They allow assimilation of available SWOT observations
531 within an assimilation window (i.e., a subset of the available observation times) through a
532 forward and a backward run of the model at each minimization step. The observed hydraulic
533 dynamics are propagated in both space and time. They provide an estimate of the model
534 inputs/variables (posterior estimate) over the entire window (Oubanas et al., 2018).

535 Two VDA algorithms are under development for use with SWOT observations. The Hierarchical
536 Variational Discharge Inference (HiVDI) algorithm is based on a hierarchical McFLI – VDA
537 method; it is planned to run globally (Larnier et al., 2020). The McFLI-based modules in HiVDI
538 enable production of consistent prior estimates, as well as final FLP and corresponding
539 estimates. The VDA module, based on the Saint-Venant equations, estimates discharge in both
540 space and time, along with the bathymetry and a time-varying friction coefficient. The VDA
541 module takes node-scale inputs, and creates node-scale FLP outputs. The final reach-scale FLP
542 estimates are computed from the node-scale results. This algorithm and the related DassFlow
543 software are open source (<http://www.math.univ-toulouse.fr/DassFlow/>).

544 A simplified version of the SIC4Dvar algorithm described by Oubanas et al. (2018) will also be
545 deployed at the global scale. In this version, a steady flow model will be configured and
546 deployed for SWOT reaches instead of the full unsteady flow model. A Bayesian analysis is
547 performed, weighing the prior information on average flow statistics with the likelihood function
548 based on the difference between modeled and measured WSE, width and slope. FLPs will then
549 be estimated by minimizing difference between the discharge outputs obtained from the
550 Bayesian analysis and the modified Manning equation applied to the SWOT observations.

551 The SWOT Assimilated Discharge (SAD) algorithm (Andreadis, Brinkerhoff, & Gleason, 2020)
552 differs significantly from the VDA algorithms. SAD is best thought of as a batch ensemble

553 Kalman smoother. An ensemble of flow law parameters at the node scale is created from prior
554 information. The prior flow law parameters are used to create an ensemble of river discharge
555 estimates, for each pass, assuming steady flow. Then the steady gradually-varied flow equation is
556 solved for the prior ensemble, predicting river WSE and width at each node for each member of
557 the ensemble. The differences between SWOT measurements and prior predictions are used in
558 the Kalman analysis to compute a posterior estimate of both discharge and FLPs.

559 4.3.3 Basin-scale integrator algorithms

560 The reach-scale algorithms (sections 4.3.1 and 4.3.2) are designed to run on a limited spatial
561 domain. Applying the inverse algorithms described above across an entire river network in a
562 single computational analysis is currently computationally infeasible, necessitating that a large
563 river network be handled either one reach at a time, or one Inversion Set at a time. Thus, a
564 second class of algorithms is being developed that will “integrate” reach-scale algorithm results
565 across river networks. Integrators will ensure that flow is conserved at river confluences. These
566 algorithms are designed to run at basin scale, and to be used for both the gage-constrained and
567 the unconstrained discharge estimates. In addition to leveraging flow conservation across river
568 networks, integrators will combine reach-scale algorithm results with in situ data for the gage-
569 constrained products.

570 The Mean Optimization Integrator (MOI, unpublished; see section 5 for example results) is
571 designed to run over a timeseries of SWOT observations once discharge has been computed.
572 First, MOI estimates mean flow for each river in the network. This estimate can be computed
573 mathematically as a linear problem by enforcing flow conservation at river junctions and
574 throughout the river network and solving for the estimates of river discharge that are closest to
575 the estimates derived from the inverse and calibration algorithms. For gage-constrained
576 discharge, MOI will add in situ gages to the optimization objective function with a far lower
577 uncertainty than specified for the FLPE estimates where gages are not available. This is a
578 straightforward constrained optimization problem and can be solved with widely available
579 computational solvers. Outliers from the reach-scale algorithms will be identified by running
580 MOI iteratively. Second, MOI computes discharge uncertainty via an ensemble approach. An
581 ensemble of mean flow is computed from reach-scale estimates of discharge uncertainty, and the

582 optimization problem is solved for each ensemble member. The final uncertainty is computed
583 from the standard deviation across the ensemble of optimal mean flow estimates. Third, the
584 optimized mean flow estimates are used to infer optimal FLPs. Integrators would be applied to
585 both the gage-constrained and unconstrained discharge estimates. MOI will account for inflow
586 from rivers not observed by SWOT, channel withdrawals, and gain or loss of discharge from
587 hyporheic exchange from globally available datasets by modifying the optimization constraints.
588 For example, contribution of discharge from rivers not observed by SWOT will be estimated
589 from models used for global prior estimates of mean flow.

590 MOI will also be run across river networks that include storage features such as lakes and
591 reservoirs. Invoking mass balance between the rivers and lakes, the difference between flow into
592 and out of lakes is equal to the change in lake storage, and evaporation from the lake surface
593 (assuming limited groundwater exchange). As suggested by Wang et al. (2021), Xin et al.
594 (2022), and Riggs et al (2022) SWOT measurements of lake volume variation can largely
595 capture this discharge-storage interaction, and be used as another constraint on river discharge.
596 Lake evaporation estimates derived following Zhao and Gao (2019) will thus be combined with
597 SWOT lake storage change measurements in order to improve the estimates of FLPs.

598 MOI constrains mean flow to be conserved across the SWOT-observed river network but does
599 not enforce physical constraints on the time-varying SWOT discharge data. Although they will
600 not be in place by SWOT launch, future integrators could include global scale hydraulic models
601 and data assimilation such as the approach of Ishitsuka et al. (2021).

602 4.3.4 FLPE for the gage-constrained discharge estimates

603 FLPE is performed similarly for the gage-constrained and unconstrained discharge estimates. For
604 the reach-scale algorithms, unconstrained FLPE uses priors from WBM, a model which was not
605 calibrated to in situ gages. Gage-constrained FLPE uses priors from GRADES, which did use in
606 situ gages; furthermore, gages are applied directly as priors for reach-scale algorithms, where
607 available. For the basin-scale, no gages are used for MOI FLPE for the unconstrained products.
608 For the gage-constrained products, MOI applies gaged mean flow directly to the analysis
609 wherever gages are available. The constrained discharge will leverage both real-time and
610 historical data. Historical gage data will be leveraged by creating relationships between satellite

611 measurements from other remote platforms (e.g. river width derived from Landsat) and historical
612 discharge data. This will allow discharge prediction concurrent with SWOT observations, which
613 can then be used for both reach-scale and basin-scale FLPE for the gage-constrained product.

614 *4.4 Example Discharge Estimates and Data Products*

615 Example FLP estimates are shown in Tables 2 and 3 and example agency discharge estimates are
616 shown in Figure 7. These estimates were produced by an end-to-end simulation, beginning with
617 SWOT reach-scale measurements of height, width, and slope, computing flow law parameters,
618 and final SWOT discharge estimates., as they would be distributed by the space agencies. These
619 estimates are informed by calibration to mean annual flow from hydrologic models, or
620 constrained using gage information just as they will be during the mission. SWOT measurements
621 were synthesized by mimicking SWOT space-time sampling and expected error distribution. The
622 true height, width and slope values were created using the Ohio River Community HEC-RAS
623 Model (Adams, Chen, & Dymond, 2018). Model outputs were sampled at the times of SWOT
624 orbits, errors were added to the data using the methods of Frasson et al. (2021), to create files
625 that closely resemble the SWOT Level 2 single pass data format (JPL Internal Document, 2020).
626 These synthesized data products were ingested into Confluence as shown in Figure 5. Tables 2
627 and 3 show the reach-scale and basin-scale FLP estimates. The discharge values shown in Figure
628 7 are an almost exact replica of the software to be used by the agencies to create agency
629 discharge estimates (Coss et al, 2022).

630 Several important aspects of SWOT discharge are illustrated in these example discharge
631 estimates. First, as described in section 2, the science team will create FLP estimates and provide
632 these to the space agencies: these FLP estimates are shown in Tables 2 and 3. The agencies will
633 use these FLP estimates to create agency SWOT discharge, shown in Figure 7. Second, SWOT
634 discharge will contain both a gage-constrained and an unconstrained branch of FLP and
635 discharge estimates, e.g., Table 2 and Table 3 represent the FLP estimates for the gage
636 constrained and unconstrained products, respectively. Third, for each branch, SWOT discharge
637 will include a small ensemble of discharge estimates, computed using the various FLPEs
638 described in the previous section. These are shown as separate timeseries in Figure 7, and
639 separate sections of Tables 2 and 3. Fourth, the “consensus” discharge will be computed in the

640 second of the two-step process for computing river discharge, computed as an average across the
641 ensemble of discharge estimates estimated from the six other algorithms, weighted by their
642 respective uncertainties. Thus, the discharge data elements listed in Table 1 will be produced for
643 each reach and each pass: seven for the unconstrained branch, and seven for the constrained
644 branch.

645 *4.5 FLPE and discharge production timeline*

646 While SWOT measurements of river WSE, width and slope will be available soon after launch,
647 agency-produced discharge will be available after the Science Team has computed FLP estimates
648 and provided them to the space agencies, and will be available with the same latency as the rest
649 of the level 2 data products such as river WSE, width and slope. For optimal results, FLPE must
650 be performed over periods with significant changes in river flows. As many seasonal rivers vary
651 little in the dry season, the Science Team expects to deliver the first estimate of FLPs to the
652 Agencies after performing FLPE analyses on approximately one year of data. The so-called
653 “validation meeting” (a key mission landmark) is expected to take place eight months after
654 transitioning to the nominal science orbit (see section 3.2). The SWOT Science Requirements
655 Document specifies that Agency discharge estimates will begin to be produced not later than 6
656 months after the validation meeting; assuming launch takes place December 2022, Agency
657 discharge estimates would be available August 2024. Note that other SWOT measurements, such
658 as river WSE, width and slope, are planned to be made public much earlier. Following the initial
659 release of the Agency discharge estimates, discharge estimates will be available in near-real time
660 following each satellite overpass. As the length of time to perform FLPE grows with the mission
661 lifetime, the FLPEs are expected to become more accurate and more precise; thus, FLPs for the
662 Agency discharge product expected to be updated multiple times throughout the mission
663 lifetime.

664 *4.6 Discharge evaluation*

665 Both the gage-constrained and the unconstrained branches of the SWOT discharge estimates will
666 be validated using in situ discharge data that was not used (and is completely independent from)
667 data used to produce gage-constrained discharge. The purpose of evaluating or validating
668 discharge is to produce reliable discharge benchmark values that can be used to approximate

669 global accuracy. We will use discharge data from all available sources to evaluate discharge
670 accuracy, including gages maintained by global agencies, and streamflow measurements
671 available to the science team, including those measured by the SWOT calibration and validation
672 team. We expect that discharge accuracy and uncertainty will vary among rivers, and we will
673 stratify accuracy assessment across rivers by geomorphic class, river size, and other factors.
674 Discharge evaluation is planned to be complete by the time the Agency product is publicly
675 available.

676 It is important to note that gage and field discharge measurements are not perfect, even though
677 they are the reference for evaluating SWOT discharge (Coxon et al., 2015; Kiang et al., 2018).
678 Any difference between SWOT discharge and gage discharge necessarily reflects error in both
679 SWOT discharge and in situ discharge.

680 Each gage will be assigned to be for either FLPE or validation; we will not split the record at
681 each gage into calibration vs. validation but will instead assign the entire timeseries record for
682 each gage to either calibration or validation. The strategy to split in situ gage data into
683 calibration/training and validation can be thought of as an experiment design problem. The
684 purpose of the experiment design is twofold: First, we require characterization of the
685 performance of all SWOT discharge products, in order to fulfill the science requirement that:
686 “The SWOT discharge performance shall be quantified by a payload independent measurement
687 or analysis during a post-launch validation period as well as during the mission lifetime.”
688 (JPL Internal Document, 2018). Secondly, we seek to make the gage-constrained products as
689 accurate as possible, using a subset of available in situ discharge data. Thus, we will split the
690 data into calibration/training and validation sets, with the goal being to make the constrained
691 products as accurate as possible, while saving enough data to fully evaluate SWOT discharge
692 accuracy. In addition to gage data, the SWOT validation team will use Acoustic Doppler Current
693 Profilers to collect in situ discharge measurements coincident with SWOT overpasses at select
694 locations during the mission. We expect SWOT discharge accuracy for each reach to vary
695 significantly in time, similar to how accuracy varies at a gage, and thus will break out SWOT
696 discharge evaluation by flow regime.

697 4.7 *Discharge Estimates Beyond the Agency Products*

698 The preceding sections have discussed only Agency discharge estimates that will be provided
699 globally in fulfillment of the SWOT Science Requirements document: i.e., river discharge
700 computed by the space Agencies using SWOT observations and FLPs computed by the Science
701 Team. Agency discharge estimates will be available through Agency-funded data distribution
702 centers, with full documentation compliance. However, SWOT measurements of WSE, width,
703 and slope enable a wide range of methods to estimate discharge. The Agency-produced discharge
704 paradigm is somewhat restricting: it requires, e.g., that discharge be computed using simple flow
705 laws with parameters estimated offline. One possible example of a science team produced data
706 product would be spatio-temporal interpolation of Agency-produced products (Paiva, Durand, &
707 Hossain, 2015), or to assimilate the Agency products (Emery et al., 2020). These approaches
708 (and the other options below) could move beyond the need to have a Manning-type formulation
709 of discharge. A second possible product could assimilate the discharge estimates computed in the
710 reach-scale algorithms into a global hydrological model (Ishitsuka et al., 2021). A third approach
711 is to assimilate the SWOT observations of WSE, width, and slope directly into global hydraulic
712 and hydrologic models (Andreadis et al., 2007; Biancamaria et al., 2011; Li et al., 2020;
713 Wongchuig-Correa et al., 2020; Yang et al., 2019). This approach would require global hydraulic
714 models that adequately represent river hydraulic structures, waterfalls, etc. Now that such
715 datasets are beginning to be available globally, along with global simulations of river hydraulics
716 (Getirana et al., 2017; Yamazaki et al., 2011) and noting the possibility that bathymetry could be
717 refined in real-time by the assimilation (Yoon et al., 2012), such an approach appears
718 increasingly feasible. A fourth possible product could use the Agency products as priors to
719 estimate discharge and bathymetry at finer scales using hydraulic models and data assimilation in
720 order to account for dynamics over a larger area of the river and hence a denser spatial and
721 temporal SWOT coverage (Oubanas et al., 2018). A fifth example could begin to work towards a
722 constellation approach for surface water, similar to the Global Precipitation Mission (Huffman et
723 al., 2020). SWOT measurements would be complemented by measurements of WSE from nadir
724 altimeters, and measurements of river width from visible band imagery and radar. FLPE may
725 rely on SWOT measurements, but once these parameters are estimated they can be applied to any
726 measurements of WSE and river width. A sixth option would be to reprocess the actual pixel

727 cloud measurements to estimate WSE and river width in each channel in multi-channel river
728 environments, to improve estimates of river discharge in braided and anastomosing rivers. Note
729 that ~10% of river reaches in SWORD are multi-channel rivers. A seventh option is to better
730 estimate river discharge for low slope reaches by bringing more information related to tides in
731 coastal environments. Ultimately, one advantage of Science Team data products is that they can
732 be flexible based on the characteristics of the SWOT data after launch and the creativity of the
733 research community. As such, we expect rapid innovations in these algorithms, some of which
734 may ultimately be incorporated into later versions of the Agency-led discharge products. Science
735 team derived discharge data products will be made available publicly after the Science Team has
736 produced and validated these products.

737 **5 Expected SWOT discharge accuracy**

738 The previous section described how SWOT discharge is computed; this section describes how
739 accurate SWOT is expected to be, which determines its potential scientific applications.

740 Discharge accuracy is the degree to which discharge estimates conform to the true discharge
741 values and is assessed by a range of accuracy measures based on the error at each time ε_t :

$$742 \quad \hat{Q}_t = Q_t^* + \varepsilon_t \quad (3)$$

743 where \hat{Q}_t is the SWOT discharge estimate, and Q_t^* is the true discharge at SWOT overpass times
744 for a given river reach. Note that Q_t^* is unknown: the gaged discharge we will use for evaluating
745 SWOT products has its own uncertainty. SWOT discharge errors will have both random and
746 systematic components; for the purpose of this paper, we define systematic errors as those that
747 would produce a discharge timeseries bias, and random errors as those that would produce a zero
748 mean ε_t timeseries. Uncertainty of a discharge estimate “describes the expected magnitude of the
749 error by characterizing the distribution of error that would be found if the [estimate] was
750 infinitely repeated” (Povey & Grainger, 2015). As both systematic and random errors are
751 important in this context, SWOT discharge will include measures of both random and systematic
752 uncertainty, to be estimated using the process of Uncertainty Quantification (UQ) described by
753 Smith (2013). Uncertainty estimates themselves are subject to evaluation through validation
754 against in situ discharge data: after accounting for gage discharge uncertainties, inaccurate
755 SWOT discharge uncertainty estimates will not correctly describe the magnitude of differences

756 between gaged and SWOT discharge. Considering Equation 1, discharge uncertainty derives
757 from flow law parameters, SWOT measurements, and the “approximation error” (as defined by
758 Povey and Grainger (2015)) associated with the flow law itself.

759 Based on algorithm intercomparison studies (Durand et al., 2016; Frasson et al., 2021), SWOT
760 discharge is expected to be dominated by systematic error, manifesting as timeseries bias.
761 Systematic errors as we define them arise predominantly because the FLP estimates are constant
762 in time and used in Equation 1 for all discharge computations in a timeseries (Frasson et al.,
763 2021). The result will be that all discharge estimates in the time series at that reach will be
764 affected in the same way.

765 We define random and systematic measures of both accuracy and uncertainty. In evaluating the
766 discharge products against field data, the expected magnitude of error ε_t will be measured by the
767 mean and standard deviation of ε_t , which we denote as b_Q^* and σ_Q^* , respectively, where the *
768 superscript indicates that these measures are assumed to characterize the actual error. The gage
769 uncertainty must also be considered in interpreting values of b_Q^* and σ_Q^* : though we refer to ε_t as
770 “error” for simplicity, in interpretation we must treat ε_t only as a difference between two
771 uncertain estimates. A range of other accuracy measures will also be used: see Frasson et al.
772 (2021). We propose two measures of uncertainty. The random part of the time-varying discharge
773 timeseries uncertainty $\sigma_{Q_{rand}}$; we allow for $\sigma_{Q_{rand}}$ to vary from pass to pass, and thus we expect
774 uncertainty to capture any seasonal variations in SWOT discharge accuracy, as well as pass-to-
775 pass variations in WSE, width, and slope measurement accuracy. The systematic part of the
776 discharge timeseries uncertainty will be defined as s_{b_Q} ; it reflects the uncertainty in the
777 timeseries mean of the discharge at a reach. The sum of squared relative and systematic
778 uncertainty is analogous to the relative RMSE metric defined by Bjerklie, Dingman, and Bolster
779 (2005). The following sections describe how $\sigma_{Q_{rand}}$ and s_{b_Q} are calculated from the three main
780 sources of uncertainty for SWOT discharge: SWOT observation error, flow law approximation
781 error, and flow law parameter error.

782 *5.1 Uncertainty due to SWOT observation error*

783 SWOT observations contribute to the random part of SWOT discharge uncertainty. Discharge
784 uncertainty due to SWOT observations can be represented via first-order Taylor series
785 uncertainty propagation following Yoon et al. (2016). Normalized by discharge, $\sigma_{Q_{Obs}} Q^{-1}$ is the
786 uncertainty in SWOT discharge due to observations, and be computed as:

787
$$\left(\frac{\sigma_{Q_{Obs}}}{Q}\right)^2 = \left(\frac{5}{3} \frac{\sigma_{A'}}{\bar{A}+A'}\right)^2 + \left(\frac{2}{3} \frac{\sigma_W}{W}\right)^2 + \left(\frac{1}{2} \frac{\sigma_S}{S}\right)^2 \quad (4)$$

788 Uncertainty in the SWOT observations are denoted by “ σ ”, and will be available as part of the
789 SWOT river single pass data product (JPL Internal Document, 2020); see section 3.2.3 for more
790 details.

791 *5.2 Uncertainty due to flow law approximation error*

792 Flow law approximation error contributes to the random part of SWOT discharge uncertainty.
793 Using a single flow law to describe the full range of discharge in a river reach assumes that the
794 energy loss at different flow levels can be captured by a continuous mathematical representation
795 of the balance between the energy supplied (the slope) and the energy lost (flow resistance). In
796 fact, the relation between energy gained and lost can be discontinuous and highly variable
797 depending on the level of flow, the shape of the channel (in planform and in cross-section),
798 sediment transport, and the non-uniform distribution of obstacles in the river. To first order,
799 erosion within one part of a reach and deposition within another is not expected to lead to large
800 errors. However large flow events leading to significant erosion or deposition across the entire
801 reach would change \bar{A} and would add to uncertainty, but would be expected to happen
802 infrequently within the SWOT mission lifetime.

803 Many estimates of Manning equation flow law accuracy are provided in the literature, but
804 relatively few exist that meet the criteria that match how SWOT data will be used, using precise,
805 time-varying estimates of river slope (Tuozzolo et al., 2019a). Moreover, most studies do not
806 partition out the part of the validation accuracy due to observation uncertainty (in both discharge
807 and river WSE, width and slope), and due to the flow law itself. Frasson et al. (2021) assessed
808 flow law accuracy across a range of river reaches, and river flows, by comparing the simple flow

809 law formulations described in section 4.2 applied at the reach scale to hydraulic models that
810 resolve the complete shallow water equations at the cross-section scale, and demonstrated typical
811 flow law accuracy of approximately 5%, for a nominal case when flow is in-bank.

812 We would expect conditions such as out-of-bank flow to increase the flow law approximation
813 error. Resistance changes dramatically for out-of-bank conditions, such as when flow occurs
814 over vegetation. We note that error in flow law parameter uncertainty tends to dominate over
815 flow law approximation error, even for out-of-bank flow (Durand et al., 2016).

816 *5.3 Uncertainty due to flow law parameter error*

817 Flow law parameter error includes uncertainty due to \bar{A} as well as the resistance coefficient n ,
818 and its associated parameters. As a tangible example to help visualize flow law parameter error,
819 consider the following thought experiment. Imagine that for a particular reach, McFLI is
820 performed using an ensemble of prior estimates of mean annual flow, derived from different
821 global hydrological models. Consider the posterior set of FLP estimates for each member of the
822 ensemble, along with the bias b_Q^* of each ensemble member. The standard deviation across the
823 ensemble of mean flow estimates is analogous to s_{b_Q} . Note that s_{b_Q} does not indicate the standard
824 deviation of a timeseries, but rather is a measure of the expected dispersion of the mean flow for
825 that reach due to FLP estimates. The key element of this definition of b_Q^* is that it includes not
826 just the uncertainty encapsulated in the posterior covariance of the handful of parameters given
827 by a Bayesian McFLI algorithm, but also the uncertainty introduced by errors in the mean annual
828 flow supplied to that McFLI algorithm. At the moment, McFLI algorithms do not account
829 adequately for these error sources, but we want to leave the path open for this to be tackled in
830 future work. The definition of s_{b_Q} will be re-evaluated after launch, and will be replaced with the
831 interquartile range or another statistic if it becomes evident that discharge uncertainty in mean
832 flow is highly skewed.

833 Systematic error in discharge is mostly due to error in FLP estimates but relating s_{b_Q} to
834 parameter uncertainty is not trivial. For one thing, not all reach-scale algorithms produce explicit
835 estimates of the parameter variances. Thus, in practice, s_{b_Q} values for each reach-scale
836 algorithm will be specified based on algorithm intercomparison studies such as Durand et al.

837 (2016) and more recently Frasson et al. (2021). Future work will explore mapping between
 838 parameters and systematic error. Basin-scale integrators will be applied to reach-scale output,
 839 and thus s_{bQ} estimates will be refined as a result, as shown in a simple example, in section 5.5.

840 5.4 Combined estimates of random and systematic uncertainty

841 We here assume that SWOT observations and flow law approximation contribute only to random
 842 error, and that parameters contribute only to systematic error in discharge. This is not a perfect
 843 assumption in all cases: e.g., error in parameter estimates contributes to distortion in the
 844 hydrograph, which could impact discharge standard error (Durand et al., 2010). Similarly,
 845 because Manning's equation is non-linear, random error in the observations may contribute a
 846 change in the mean of the discharge predictions. The assumptions we make here allow us to
 847 make a first-order estimate of SWOT discharge uncertainty.

848 The total random error component can be estimated from the component due to flow law
 849 approximation ($\sigma_{Q_{FLA}}$), and to observations ($\sigma_{Q_{obs}}$):

$$850 \left(\frac{\sigma_{Q_{rand}}}{Q}\right)^2 = \left(\frac{\sigma_{Q_{obs}}}{Q}\right)^2 + \left(\frac{\sigma_{Q_{FLA}}}{Q}\right)^2 \quad (5)$$

851 The total uncertainty $\sigma_{Q_{tot}}$ is analogous to a relative root mean square error (rRMSE as defined
 852 by Bjerklie, Dingman, and Bolster (2005)), and can be written as the combination of the mean
 853 and standard deviation, i.e. the random and systematic terms:

$$854 \left(\frac{\sigma_{Q_{tot}}}{Q}\right)^2 = \left(\frac{\sigma_{Q_{rand}}}{Q}\right)^2 + \left(\frac{s_{bQ}}{Q}\right)^2 \quad (6)$$

855 The next step is to relate $\sigma_{Q_{rand}}$ and s_{bQ} to the three primary sources of discharge error: flow law
 856 parameter error, error in SWOT observations, and flow law approximation. In the following
 857 sections we model these quantities, and describe current best estimates of their magnitudes, to
 858 better visualize SWOT discharge uncertainty.

859 5.5 Example estimates of uncertainty in SWOT discharge

860 We apply the MOI integrator described in Section 4.3.3. to enforce conservation among reaches,
861 and incorporating gage discharge where available, in order to reduce systematic discharge
862 uncertainty. These are presented as sample results only: they will be updated using real SWOT
863 data after launch. Here we are leveraging the fact that inverse algorithm results have generally
864 been found to have uncorrelated errors from one river reach to another (Durand et al., 2016;
865 Frasson et al., 2021). In reality some degree of correlation is to be expected; we here
866 conservatively assume a correlation coefficient of 0.7 among reaches. This conservatism also
867 compensates for the fact that such features as diversions and hyporheic exchange are not
868 otherwise accounted for in the integrator accuracy estimation. We applied MOI over the SWOT
869 river network over the study area shown in Figure 8a, which amounts to all rivers which have
870 mouths along the Alaska coastline. We chose this domain for two reasons: first, it includes both a
871 large river (the Yukon) and many smaller rivers (e.g. the rivers north of the Yukon basin); we
872 hypothesize that the integrators will reduce uncertainty for large rivers more so than small rivers,
873 for both gage-constrained and unconstrained discharge. Second, this domain is a good example
874 of an area with some gages (as shown in Figure 8a), but not the high density of gages in e.g.
875 western Europe or CONUS, which is generally unrepresentative of the rest of the world.

876 To apply the integrator, we must specify values of uncertainty associated with SWOT
877 observations, flow law parameters, and flow law approximation. Here we assume SWOT
878 observation uncertainty as described in 3.2.3. We assume $s_{b_Q} Q^{-1}$ of 40 %, which seems
879 achievable for ungaged areas based on our reach-level experiments to date (Frasson et al., 2021).
880 We assume $\sigma_{Q_{FLA}} Q^{-1}$ of 5 %. We note that gage measurements of river discharge have their
881 own uncertainty (Kiang et al., 2018), and assume that mean annual flow computed from gages
882 has an uncertainty of 5 %; if actual discharge uncertainties are larger, constrained discharge
883 uncertainty will be greater than that shown below.

884 5.5.1 Random discharge uncertainty

885 Figure 8b, c, and d show the discharge uncertainty due to WSE, slope and width uncertainty
886 respectively, and Figure 8e and Figure 8f shows the combined random discharge uncertainty.

887 Figures 7b, c, and d show that observation errors generally lead to larger relative discharge
 888 uncertainty for smaller rivers; this is especially clear for WSE and width. Uncertainty for WSE
 889 and width remain below 0.15 (15 %) throughout most of the domain and decrease with river
 890 width. Uncertainty for river slope differs, in that as rivers become flatter downstream, relative
 891 discharge error due to slope increases (compare Equation 4). The areas where no data are shown
 892 on the river network in Figure 8c are where a “low slope” algorithm will be used. For these
 893 reaches, we assume a rating curve form of the flow law and thus only keep the discharge
 894 uncertainty due to A' ; however, we assume that $\sigma_{Q_{struct}} Q^{-1}$ is twice as large (0.1), as we are
 895 using only WSE to approximate discharge, and thus ignoring changes in slope. Figure 7e for the
 896 total random uncertainty shows that random uncertainty no longer decreases for the largest
 897 rivers, because these large rivers are flat, and are expected to have larger flow law approximation
 898 error. The CDFs in Figure 8f show how these terms interact. Slope is the smallest factor in
 899 overall discharge uncertainty, for most (80%) of reaches. For the flatter reaches, slope tends to
 900 dominate, and is the only one of the three individual observation terms to show a long tail.
 901 Indeed, the discharge uncertainties for A' and width are approximately linear in their CDFs,
 902 despite the underlying width data following the usual long-tail exponential distribution over the
 903 domain (Frasson et al., 2019). Combining the observation and flow law approximation error
 904 leads to the estimate of total random error $\sigma_{Q_{rand}} Q^{-1}$, which has a minimum value of 0.05, due
 905 to the minimum value of flow law approximation error assumed for all reaches. For
 906 approximately a third of reaches in the domain, $\sigma_{Q_{rand}} Q^{-1}$ is dominated by A' , as indicated by
 907 the linear shape of the CDF up to the 0.3 quantile. Between 0.3 and 0.8, A' width and slope all
 908 play an important role in determining the final uncertainty. Above 0.8, slope dominates: i.e. the
 909 reaches with highest random error are dominated by slope. Considering the total random error,
 910 the 67th percentile is 0.12, and the vast majority (>95%) of reaches have random error less than
 911 0.15.

912 5.5.2 Systematic discharge uncertainty

913 Figure 9 shows the values of s_{b_Q} over the study domain. Figure 9a shows the unconstrained case:
 914 along the mainstem rivers, uncertainty predicted by MOI is 0.3, or a little lower, whereas on the
 915 smaller rivers upstream, uncertainty is closer to the assumed value of 0.4. Figure 9b shows the

916 constrained case: note near gages, uncertainty reaches 0.05, matching the assumed value noted
917 above. Figure 9c shows the comparison of the s_{b_Q} cdf for the Yukon River for the constrained
918 and unconstrained cases. The effect of the gages is very stark: many reaches are either
919 unconnected to rivers with gages or are located so far from the gage that the impact is relatively
920 minimal; future work will present methods to compute the distance along river networks at
921 which gage impact is minimal. Nonetheless, a little over half of the reaches in the Yukon basin
922 benefit from the gages. Figure 9d shows the impact of gages on rivers north of the Yukon basin.
923 Gages show a similar impact in this region: for both cases, the 67th percentile of s_{b_Q} is
924 unchanged due to gages, whereas the median is reduced from 0.3 to 0.2, a 50% reduction.

925 5.5.3 Combined discharge uncertainty

926 Figure 10 shows the total uncertainty, combining both the s_{b_Q} and $\sigma_{Q_{rand}} Q^{-1}$. Figure 10a and
927 10b shows the stark contrast that adding gages has on the $\sigma_{Q_{tot}} Q^{-1}$ discharge uncertainty:
928 reaches with gages, and located further downstream generally have lower uncertainty for the
929 constrained product. The uncertainty CDF for the unconstrained products (Figure 10c) shows
930 that the systematic error due to parameters s_{b_Q} dominates the total uncertainty in essentially all
931 cases. This is still true most of the time for the gage-constrained case (Figure 10d): $s_{b_Q} >$
932 $\sigma_{Q_{rand}}$ for 90% of the reaches in the domain.

933 This exercise to examine SWOT discharge uncertainty has illustrated three things. First,
934 uncertainty is dominated by bias or systematic error. Second, the inclusion of gages means that
935 the gage-constrained products will be able to provide nearly unbiased discharge for reaches that
936 have gages or are located near gages. Third, the random error in SWOT discharge should be less
937 than 15%; i.e., time variations in discharge should be known to within 15%, for the vast majority
938 of reaches.

939 5.6 Comparing SWOT and gage discharge uncertainty

940 We generally expect SWOT discharge accuracy to be somewhat lower than what is achieved
941 from in situ measurements. We would not expect a gaged discharge timeseries to exhibit
942 systematic bias that will likely be present with SWOT discharge estimates. On the other hand,

943 gage discharge estimates have non-trivial uncertainty as well. In their review, McMillan,
944 Krueger, and Freer (2012) present uncertainties from discharge predicted by a rating curve of at
945 least 10%, with significantly higher uncertainty cited for special cases such as low flows, out-of-
946 bank flows. Unsteady flow and complex geomorphology have also been found to lead to higher
947 gaged uncertainties (Cheng et al., 2019). These values are consistent with other more recent
948 studies (Coxon et al., 2015; Kiang et al., 2018; Sorengard & Di Baldassarre, 2017). Nonetheless,
949 as noted above, systematic bias estimates of around 30% for s_{b_Q} (see section 5.5.2) are
950 significantly larger than those reported for gaged discharge in the literature. SWOT
951 measurements of discharge time variations ~15% are expected to be somewhat greater than
952 gaged discharge accuracy. Given the lack of gaged discharge in most parts of the world, a
953 synergistic use of SWOT discharge, gaged discharge and hydrologic models, with appropriate
954 consideration of their respective uncertainties, seems the optimal way to advance our
955 understanding of global hydrologic processes.

956 **6 Conclusion**

957 SWOT river discharge estimates following the satellite's launch will provide global discharge
958 data for rivers wider than 100 m, including the world's largest ungaged basins. These discharge
959 data have the potential to spark a revolution in global hydrologic science if their space-time
960 sampling and uncertainty characteristics are accepted by the global community. SWOT discharge
961 estimates will be created using relatively simple flow laws that combine SWOT measurements of
962 WSE, width and slope, and flow law parameter estimates. The observations will lead to
963 approximate random uncertainty in SWOT discharge, on the order of 15%. Uncertainty in the
964 flow law parameters will lead to systematic error, that will express itself as bias in river
965 discharge timeseries and will vary widely. For the "gage-constrained" branch of SWOT
966 discharge estimates, mean flow is expected to be estimated within 20% for reaches that are near
967 gages. Based on example results presented for Alaskan rivers, for the "unconstrained" branch of
968 SWOT discharge, mean flow is expected to be estimated to within 30%. Results in other basins
969 are expected to vary somewhat.

970 SWOT discharge estimates have the potential to lead to transformative new hydrologic science.
971 Our study indicates that the combined random and systematic uncertainty for single pass

972 discharge estimates can be as low or lower than 35% for most reaches, even when no gage data
973 are used to constrain the SWOT discharge estimates. While calibrated hydrologic models can
974 easily achieve this accuracy, in basins where no calibration data are available, this will be a
975 significant improvement on global uncalibrated models (Emery et al., 2018). The temporal
976 variations or anomaly in SWOT discharge will be estimated far more accurately than the total
977 discharge with a random uncertainty of < 15% for most reaches, as we have shown, although the
978 sparse sampling means that hydrographs may not be fully resolved (Sikder et al., 2021),
979 especially for smaller and flashier rivers. The ability to accurately estimate streamflow variations
980 implies that SWOT will provide accurate measurements of what amounts to the event flow
981 hydrographs for all of the world’s ungaged basins. Though available only for large rivers, and at
982 temporal sampling on the order of ten days on average, this will provide a important new
983 resource for understanding global hydrological processes.

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995 <https://zenodo.org/record/7392075#.Y43vrC-B2wA>Any use of trade, firm, or product names is
996 for descriptive purposes only and does not imply endorsement by the U.S. Government.

997 **List of Acronyms**

| | | |
|-----|-----|----------------------------------|
| 998 | CDF | Cumulative Distribution Function |
| 999 | FLP | Flow Law Parameters |

| | | |
|------|--------|---|
| 1000 | FLPE | Flow Law Parameter Estimation |
| 1001 | geoBAM | Geomorphically-informed Bayesian At many stations hydraulic |
| 1002 | | geometry- Manning Algorithm |
| 1003 | GRADES | Global Reach-Level A Priori Discharge Estimates for SWOT |
| 1004 | McFLI | Mass Conserved Flow Law Inversion |
| 1005 | MOI | Mean Optimization Integrator |
| 1006 | SoS | SWORD of Science |
| 1007 | SWORD | SWOT mission river database |
| 1008 | SWOT | Surface Water and Ocean Topography |
| 1009 | USGS | United States Geological Survey |
| 1010 | WBM | Water Balance Model |
| 1011 | WSC | Water Survey of Canada |
| 1012 | WSE | Water Surface Elevation |

1013 The data chain used for the confluence run example (section 4.4) is available on Zenodo (DOI-
1014 10.5281/zenodo.7392075)

1015

1016

1017 **Appendix A. Derivation of Modified Manning’s Equation**

1018 The typical form of Manning’s equation e.g. as presented by Sturm (2010) (see his equation 4.9)
1019 is given by

$$1020 \quad V = \frac{1}{n} R^{2/3} S^{1/2} \quad (\text{A-1})$$

1021 where n is the coefficient representing the resistance of the river bank, V is the cross-sectional
 1022 average velocity, S is the river slope, and R is the hydraulic radius, which is equal to the cross-
 1023 sectional area divided by the wetted perimeter. The “river slope” is discussed in depth below.
 1024 This equation was independently developed by multiple investigators.

1025 Multiplying the cross-sectional area by the cross-sectional velocity yields the river discharge:

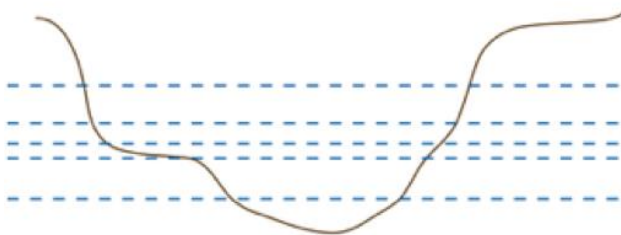
1026
$$Q = AV = \frac{1}{n} A^{5/3} P^{-2/3} S^{1/2} \quad (\text{A-2})$$

1027 In rivers of the size that SWOT will see, the so-called “wide river” approximation yields very
 1028 little error, typically <1% (Strelkoff & Clemmens, 2000). This allows substitution of river width
 1029 (W) for the wetted perimeter, which yields:

1030
$$Q = \frac{1}{n} A^{5/3} W^{-2/3} S^{1/2} \quad (\text{A-3})$$

1031 *A.1 Estimating River Cross-Sectional Area with SWOT*

1032 SWOT will measure the river width, river slope, and river water surface elevation (H), which
 1033 form the basis of approximation the cross-sectional area. Combining SWOT measurements of H
 1034 and W allow measurements of the temporal changes in river cross-sectional area. Figure A-1
 1035 shows a graphical representation of a timeseries of SWOT measurements. Visually, each
 1036 successive SWOT measurement maps out a part of the cross-sectional shape. First, consider an
 1037 example: visually from Figure A-1, the change in cross-sectional area between e.g. the top two
 1038 observations can be estimated using a trapezoidal shape, as described by Frasson (2021) and
 1039 Durand et al. (2014). Extending this notion, the cross-sectional area above the lowest SWOT
 1040 measurement can be estimated as a sum of the trapezoids from the lowest SWOT measurement
 1041 to the desired time.



1042

1043 Figure A-1. A notional river cross-section is shown, along with a notional timeseries of Surface
1044 Water and Ocean Topography (SWOT) measurements indicated by the dashed blue lines.
1045 Visually, each SWOT observation measures both the river water surface elevation (H) and river
1046 width (W). The timeseries of H and W can be used to approximate the cross-sectional area
1047 timeseries.

1048 The previous paragraph illustrated the idea of approximating cross-sectional area using a
1049 timeseries of H and W . For SWOT applications, we take this idea one step further, defining an
1050 approach that is more robust to observation uncertainty. To calculate A , we first define A_0 , the
1051 cross-sectional area below the lowest SWOT measurement. Consider a timeseries of SWOT
1052 observations of H_t and W_t , where the “ t ” subscripts indicate that a quantity changes in time; an
1053 example timeseries is illustrated as a scatterplot of these two quantities in Figure A-2. Next,
1054 define

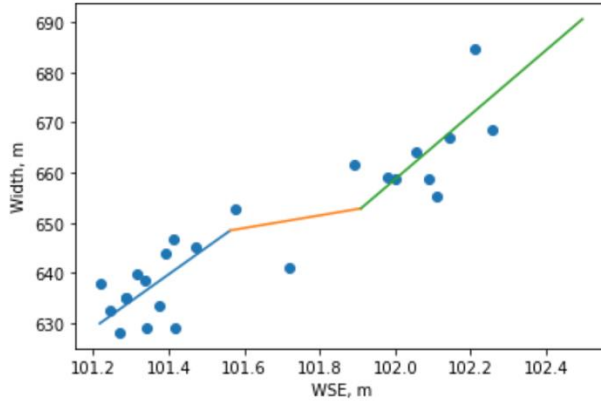
$$1055 \quad A_t = A_0 + \delta A_t \quad (\text{A-4})$$

1056 where δA_t is the change in cross-sectional area between the overpass at time t and the lowest
1057 SWOT observation. Then δA_t can be computed by a simple integral over the height-width data,
1058 as described in Durand et al. (2014). Here, we note that δA_t can also be defined as an integral
1059 over a functional form that describes the response of W to H . To accommodate the noisy
1060 observations, we first fit a three-part piecewise-linear function to the H_t, W_t data (see Figure A-
1061 2) and refer to this form as $W = f(H)$. Note that non-linear forms could also be used to
1062 represent the response of width to changes in water surface elevation; we have chosen a linear
1063 form here for simplicity. Then as shown by Durand et al. (2014),

$$1064 \quad \delta A_t = \int_{H_0}^{H_t} f(H) dH \quad (\text{A-5})$$

1065 where H_0 is the water surface elevation of the lowest flow observed by SWOT.

1066



1067

1068 Figure A-2. Simulated Surface Water and Ocean Topography (SWOT) measurements of water
 1069 surface elevation (WSE) and river width, from a reach on the Ohio River (blue points). The three
 1070 line segments represent a piecewise linear function that represents the relationship between WSE
 1071 and width.

1072 The final step in obtaining the form used by SWOT is motivated by having a cross-sectional area
 1073 timeseries with zero median. Thus, we define the median cross-sectional area as the unknown,
 1074 relating it to A_0 . First define A' , the median-zero estimate of the cross-sectional area anomaly.
 1075 Then A'_t can be calculated from δA_t via:

1076
$$A'_t = \delta A_t - \delta A_{shift} \quad (\text{A-6})$$

1077 where δA_{shift} is the median of the δA_t timeseries. This leads to the final approximation of cross-
 1078 sectional area:

1079
$$A_t = A'_t + \bar{A} \quad (\text{A-7})$$

1080 Thus, we have approximated the cross-sectional area at any time based on the median cross-
 1081 sectional area \bar{A} and the time-series anomaly A'_t , and \bar{A} is the unobserved flow law parameter to
 1082 be estimated using methods described in section 4.3. Substituting equation (A-7) into equation
 1083 (A-3) yields equation (1), the modified Manning equation discussed in the manuscript.

1084 We treat A'_t as being measured, because it is estimated in a direct way from basic SWOT
 1085 measurements H_t, W_t . The measurement uncertainty of A'_t can be computed from simpler
 1086 estimate of cross-sectional area change:

1087
$$\widehat{A}'_t = (H_t - \bar{H}) \left(\frac{W_t + \bar{W}}{2} \right) \quad (\text{A-8})$$

1088 where \bar{H}, \bar{W} are the height and width measurements at the median WSE, and \widehat{A}'_t has the same
 1089 basic definition as A'_t , but is estimated in a different way. Indeed, \widehat{A}'_t would be expected to be
 1090 less precise than A'_t , since it is computed using only two observations. Thus, a conservative
 1091 estimate of the uncertainty of A'_t can be computed based on equation A-8:

1092
$$\sigma_{A'} = \sigma_H W_t \sqrt{2} \quad (\text{A-9})$$

1093 *A.2 Using River Surface Slope in Manning’s Equation for SWOT Discharge*

1094 Manning’s equation, as given in equation A-1, usually is recommended only to apply in contexts
 1095 where the slope of the river bed is equal to the slope of the river surface (often referred to as
 1096 “uniform flow”). More generally, the modified Manning’s equation assumes that the so-called
 1097 friction slope or rate of momentum loss downstream is equal to the slope of the water surface. It
 1098 does *not* assume that the bed slope and surface slope are identical, and thus it does *not* assume
 1099 uniform flow (Tuozzolo et al., 2019a). The surface slope represents the sum of two forces acting
 1100 on the water: the downward pull of gravity, and the spatial gradient in hydrostatic forces,
 1101 represented as downstream changes in river depth. Thus, Equation 1 corresponds exactly to the
 1102 steady state equilibrium of the “diffusion wave” approximation (Trigg et al., 2009). Garambois
 1103 and Monnier (2015) provide an objective basis for the modified Manning’s equation by showing
 1104 that it results from neglecting the acceleration terms in the shallow water equations with the
 1105 assumption that Froude numbers are low (i.e. <0.3). Garambois and Monnier (2015) suggested
 1106 that the modified Manning’s equation is thus a “low Froude approximation”. Most rivers that
 1107 SWOT can measure will have Froude < 0.3, most of the time: e.g. see Bjerklie et al. (2020),
 1108 which makes this approximation reasonable. However, even if Froude numbers are significantly
 1109 higher than 0.3, the modified Manning equation can be expected to function adequately in most
 1110 cases as it has several degrees of freedom with which to fit the data. In other words, $Fr < 0.3$ is a
 1111 sufficient condition to justify the modified manning formulation, but it is not
 1112 necessary. Nonetheless, care must be taken not to apply the modified Manning’s equation in
 1113 parts of the river such as riffles or low-head dams where there is a significant elevation drop
 1114 across a very short distance where flow is expected to be supercritical. This is handled for

1115 SWOT discharge by using a database of such structures within SWORD to define reach
1116 boundaries that exclude such structures. The length of river that includes the hydraulic structure
1117 is defined as a “dam reach” (Altenau et al., 2021), a special class of reach for which WSE, width,
1118 slope and discharge are not computed. Similarly, lakes on SWOT rivers are expected to have a
1119 surface slope too low to resolve; discharge is not computed for lakes (Altenau et al., 2021).

1120

1121

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1422 **Figures**

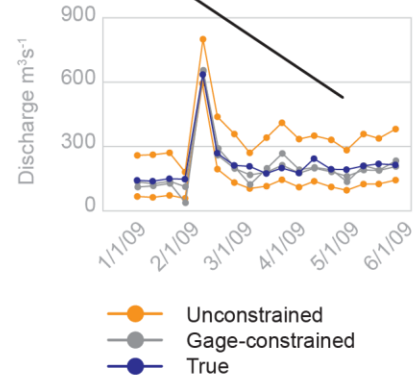
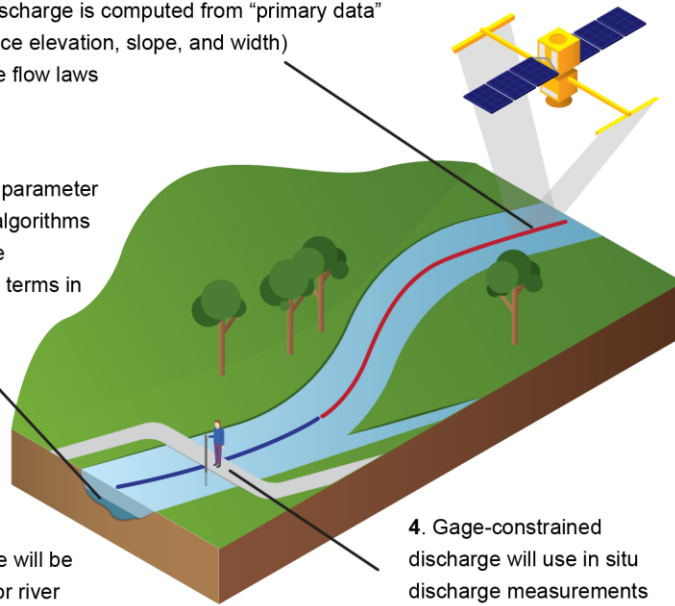
1. SWOT discharge is computed from “primary data” (water surface elevation, slope, and width) using simple flow laws

2. Flow law parameter estimation algorithms will estimate unobserved terms in flow laws.

3. Discharge will be computed for river reaches approximately 10 km in length.

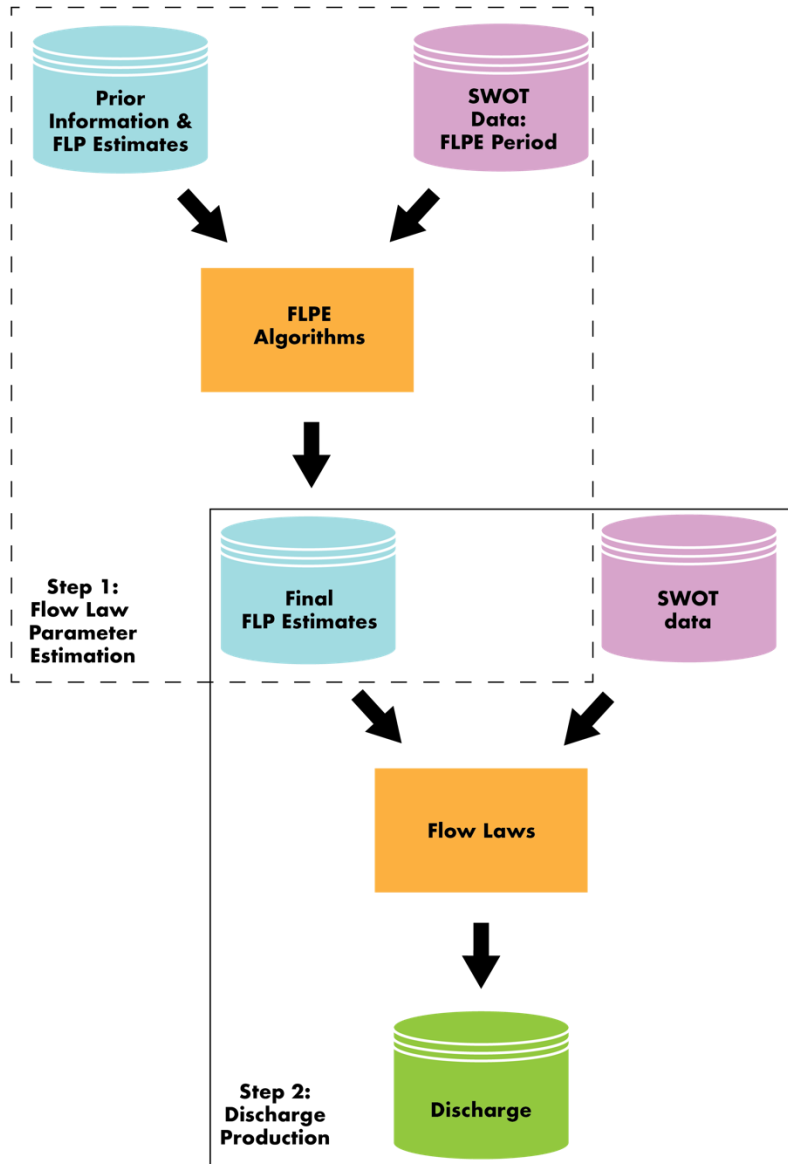
4. Gage-constrained discharge will use in situ discharge measurements to constrain flow law parameters

5. An ensemble of discharge estimates is computed for each reach, and for both the constrained and unconstrained branches



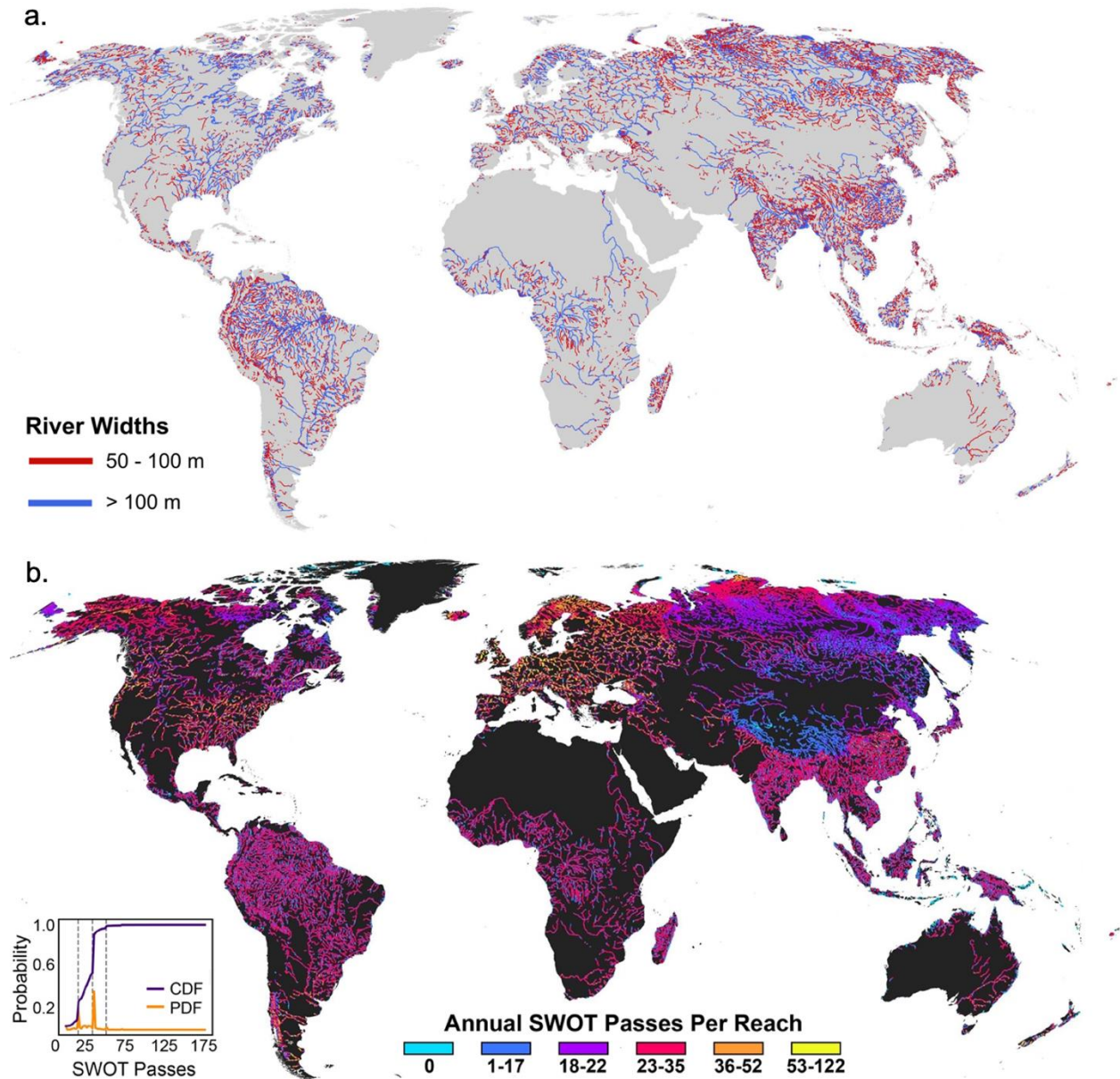
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Figure 1. The five points numbered in the figure correspond to the five points governing Agency discharge products. The blue and red lines in the cartoon illustrate two conceptual river reaches. The hydrographs on the right-hand side of the figure are derived from simulated Surface Water and Ocean Topography (SWOT) observations (Frasson et al., 2017) on the Sacramento River. “Consensus” discharge estimates (see text for description) are not shown.



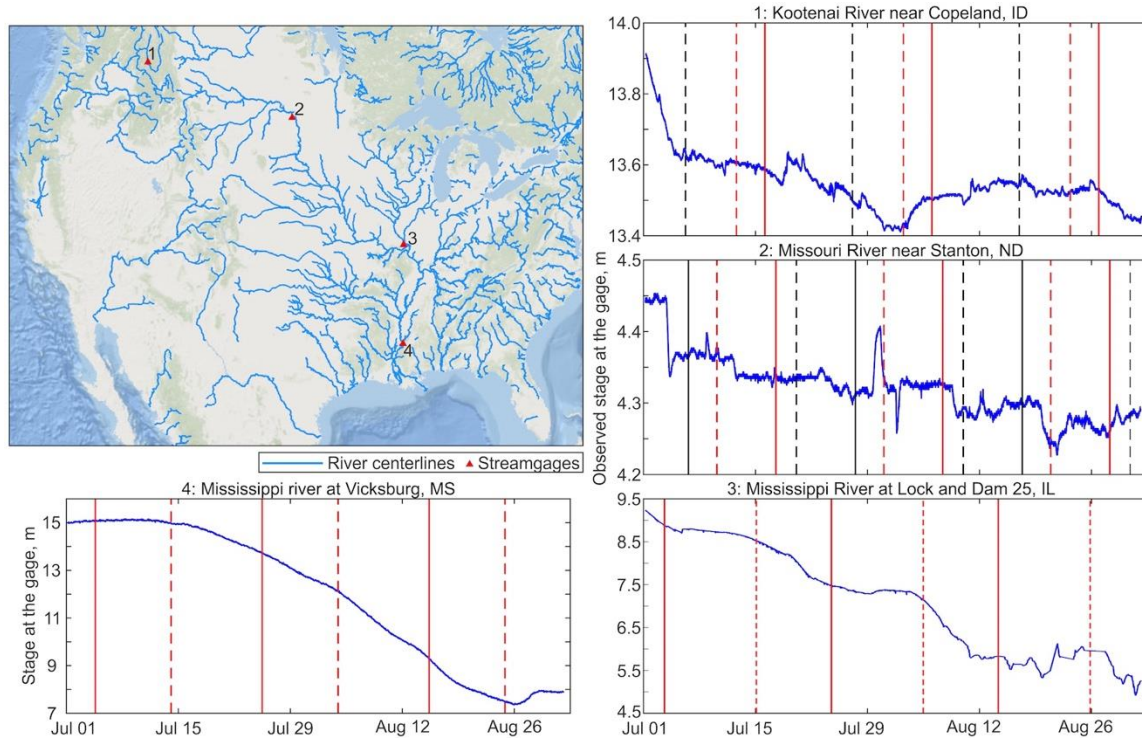
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1431 Figure 2. Summary of the two steps of Surface Water and Ocean Topography (SWOT) discharge
 1432 production. In step 1 (denoted by the dashed line box in the figure), flow law parameters (FLPs)
 1433 are estimated by the Science Team. In step 2 (denoted by the solid line box) discharge is
 1434 produced using the estimated flow law parameters, and SWOT observations. FLPE is Flow Law
 1435 Parameter Estimation.



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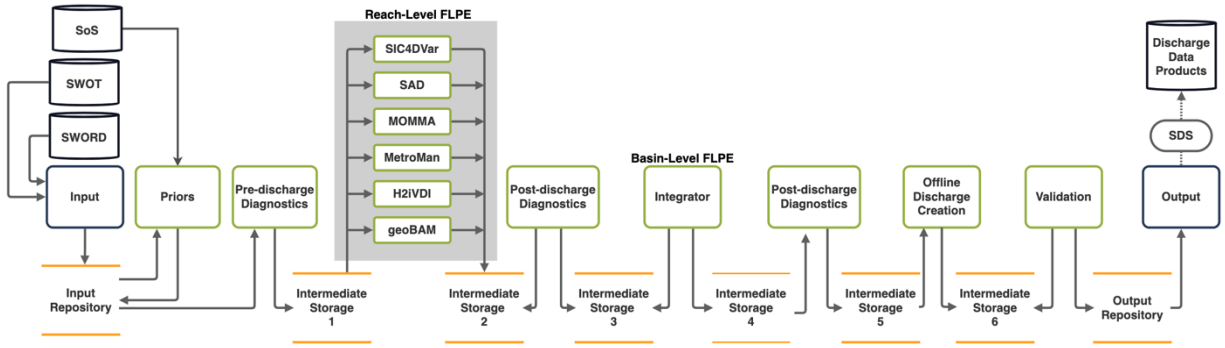
Figure 3. A) Surface Water and Ocean Topography (SWOT) mission river database (SWORD) river reaches shown by whether they meet the width cutoff for required discharge production (100 m). b) Total number of SWOT passes per year observed on each reach, globally for all river reaches in SWORD, including the effects of ice cover reduction in SWOT passes. The inset shows the empirical cumulative distribution (CDF) and histogram (PDF) of annual number of SWOT passes.



1443

1444 Figure 4. Illustration of Surface Water and Ocean Topography (SWOT) temporal sampling at four
 1445 arbitrary gages (see panels 1-4) in the United States (see map for gage locations), adapted from Frasson
 1446 (2021). The vertical lines indicate SWOT overpass timing, where each pass is represented by a different
 1447 line style. The timing of each pass assumes an arbitrary mission start day of January 1 chosen for
 1448 illustration purposes.

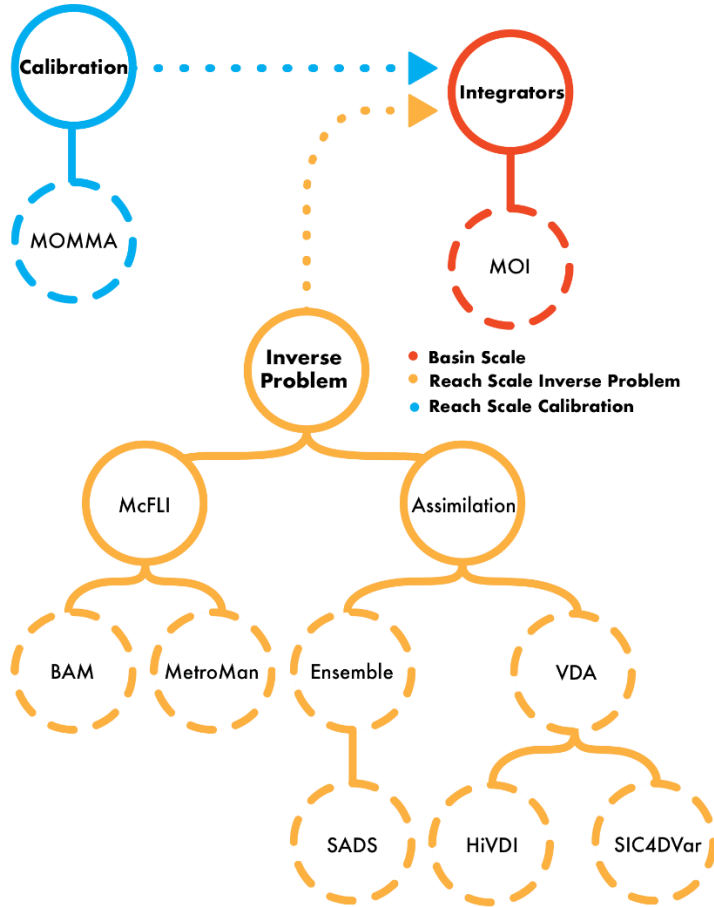
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1451 Figure 5. Flow Law Parameter Estimation (FLPE) flowchart, in the Confluence software environment.
 1452 Many of the acronyms and terms are defined in following subsections. The FLPE algorithms are labeled
 1453 by whether they operate at the scale of reaches or river basins: see section 4.3 for more details.
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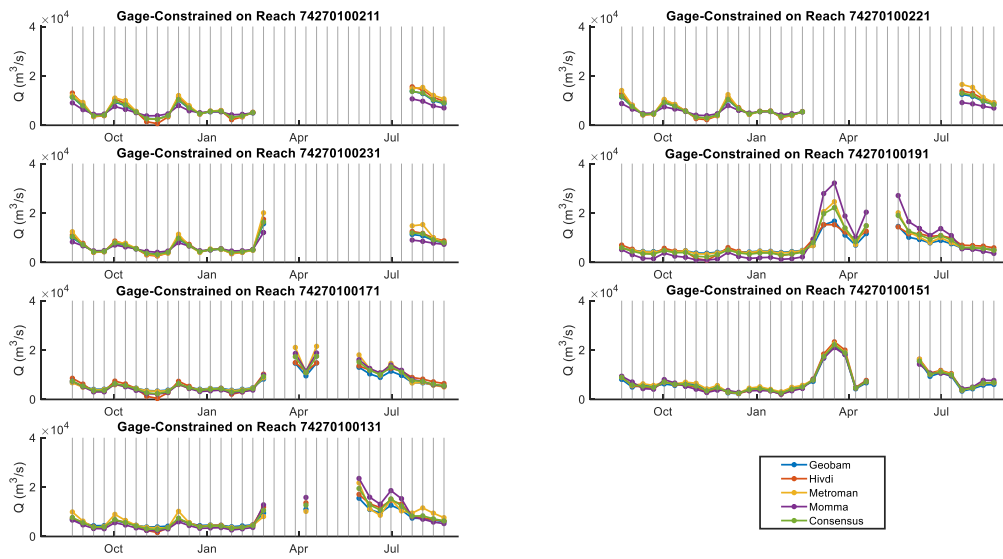


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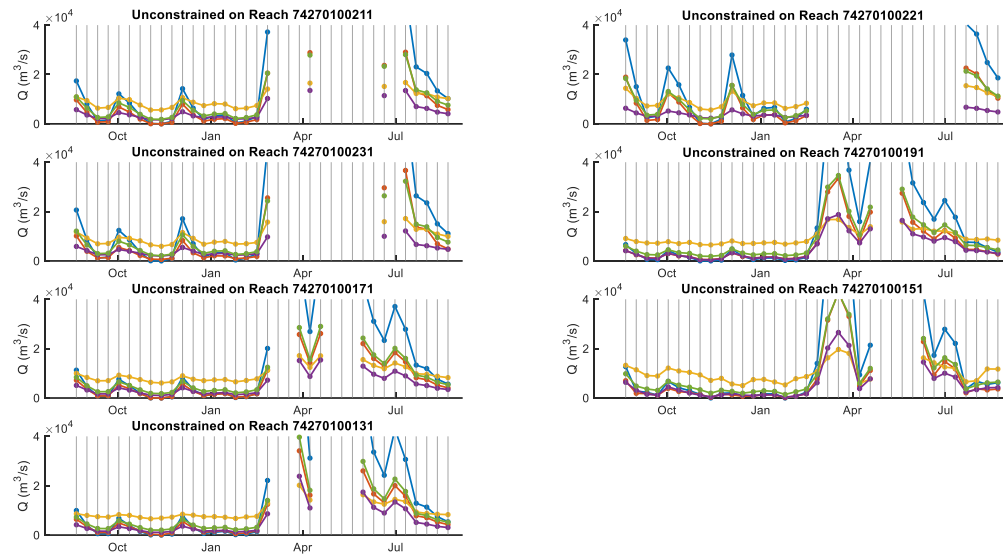
1457 Figure 6. Conceptual tree diagram showing the hierarchy of Flow Law Parameter Estimation (FLPE)
 1458 algorithms that make up the first of the two-step process (see section 2) to estimate Surface Water and
 1459 Ocean Topography (SWOT) discharge. Circles with solid lines denote the classes of algorithms described
 1460 in the manuscript, whereas circles with dashed lines denote individual FLPE algorithms. Reach-scale
 1461 calibration algorithms, reach-scale inverse algorithms and basin-scale algorithms are shown in blue,
 1462 yellow and red, and described in sections 4.3.1, 4.3.2 and 4.3.3, respectively. Conceptual links in the tree
 1463 diagram are shown with solid lines, whereas mechanical links are shown with dashed lines: output from
 1464 the reach scale FLPEs (shown in yellow) is fed into the basin-scale FLPE (shown in red). All acronyms
 1465 are defined in the text below or in the “List of Acronyms” at the end of the manuscript.

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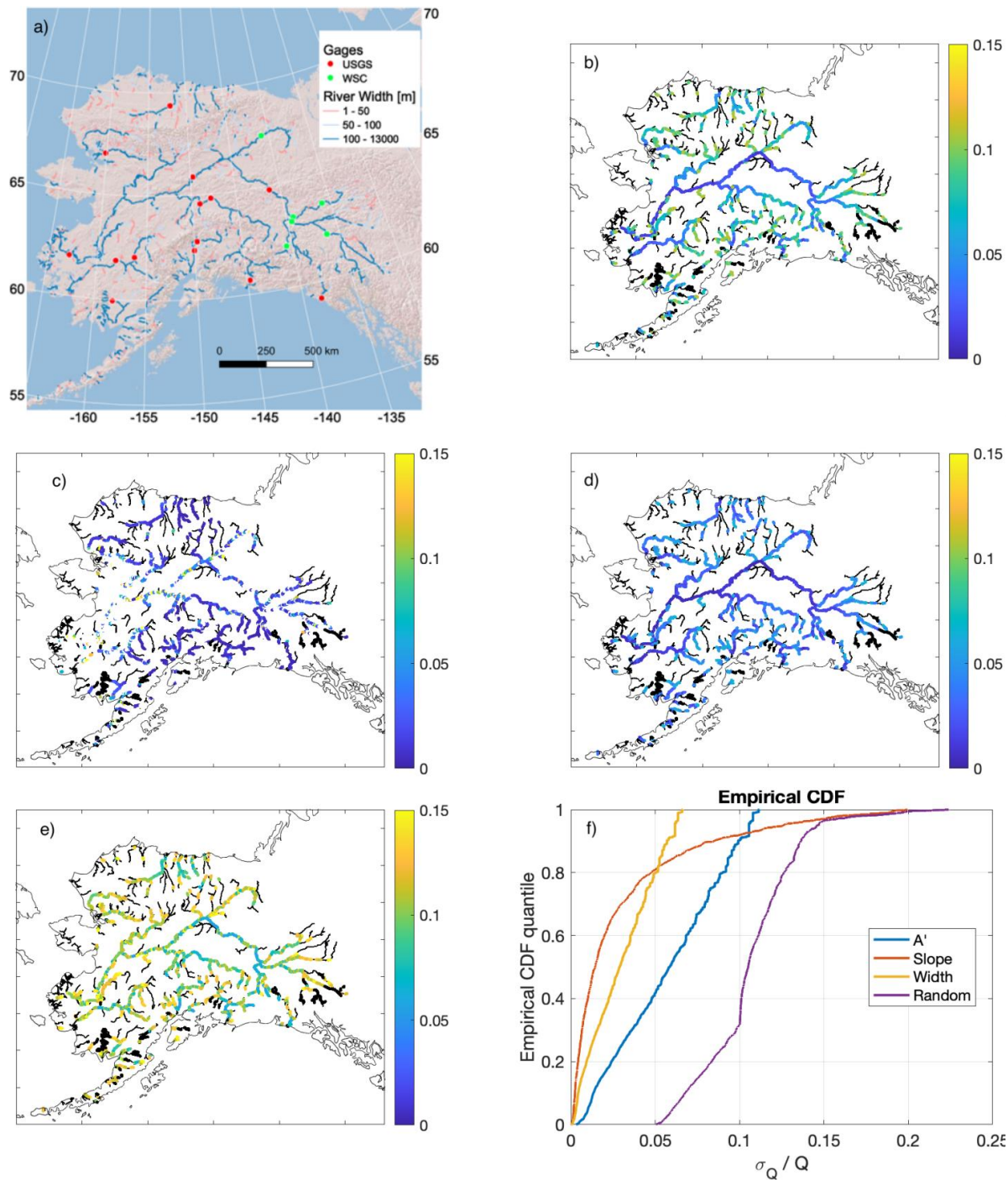
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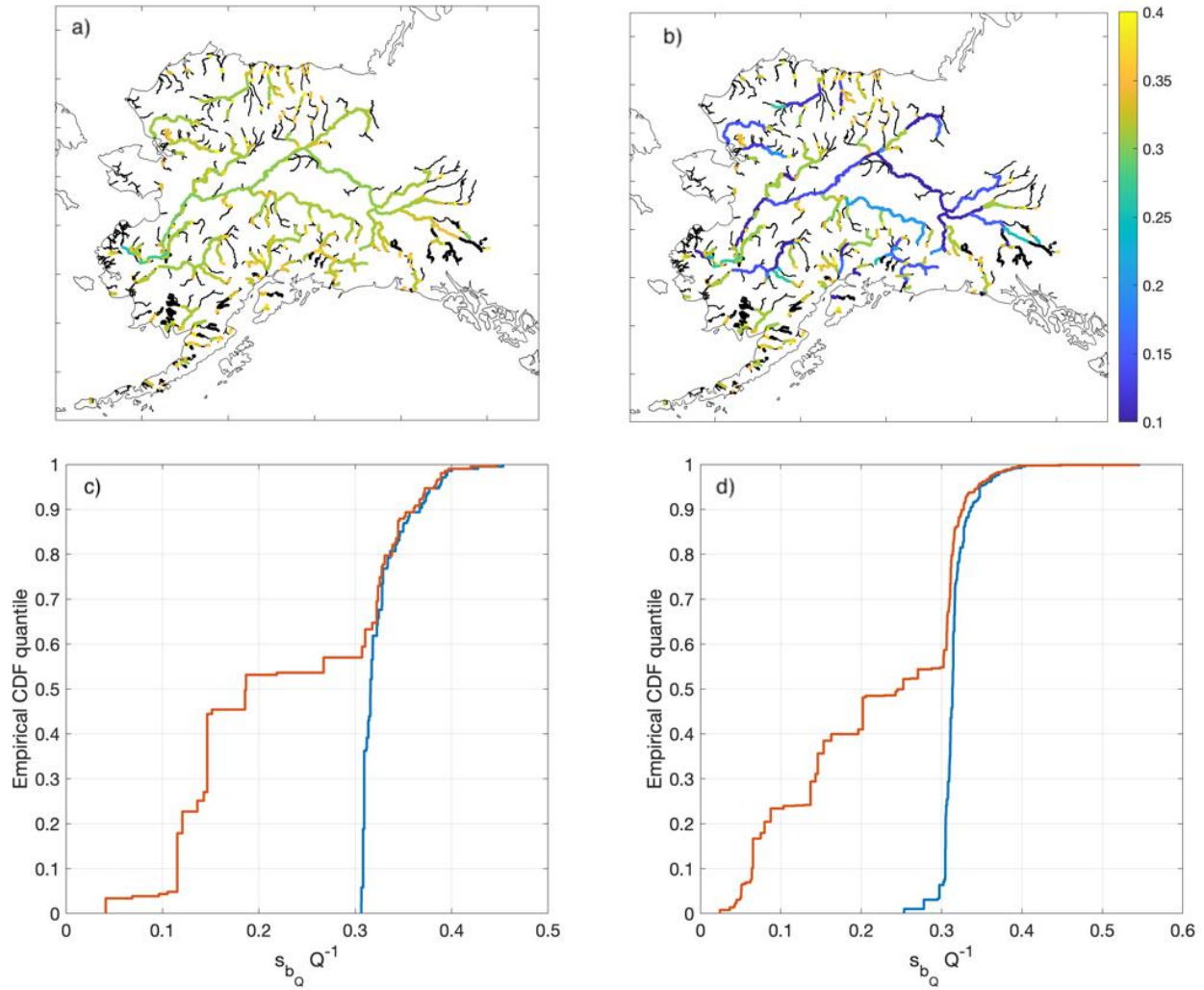
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Figure 7. Example simulated Surface Water and Ocean Topography (SWOT) discharge (Q) results mimicking Agency-led data products for seven reaches on the Mississippi River. Branch (i.e. either gage-constrained or unconstrained) and SWOT mission river database (SWORD) reach id are shown in titles of each subplot. The various colored lines indicate each Flow Law Parameter Estimation algorithm, and are labeled in the figure legend. Note that some values exceed Y axis limit.



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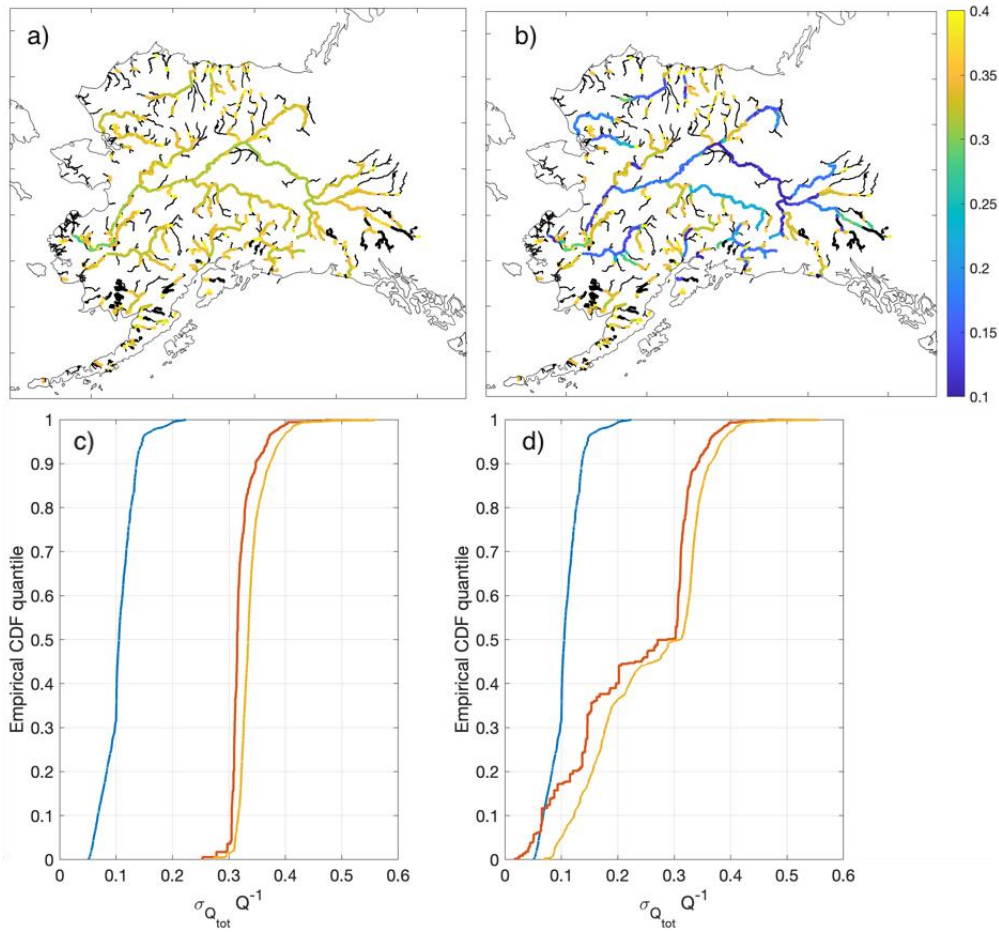
1478 Figure 8. Study area and random error estimates. A) River width, and streamflow gages from the
 1479 United States Geologic Survey (USGS) and the Water Survey of Canada (WSC) used to create
 1480 the constrained discharge estimate, and shaded relief. Relative random discharge errors
 1481 ($\sigma_{Q_{rand}} Q^{-1}$) errors due to b) water surface elevation (WSE) c) slope, d) width. E) Total random
 1482 discharge errors due to observations and flow law approximation error. F) Cumulative
 1483 distribution functions (CDFs) of random discharge error components and total. Axes b)-e) have
 1484 nearly identical spatial extent to a) and are unlabeled for simplicity.



1485

1486 Figure 9. Systematic uncertainty, s_{b_Q} , over Alaska. Maps showing spatial variations in s_{b_Q} for
 1487 the a) unconstrained b) constrained discharge estimates. The difference between unconstrained
 1488 (blue) and constrained (red) values of s_{b_Q} for the c) rivers north of the Yukon basin and d)
 1489 Yukon River basin. CDF = Cumulative distribution function

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1492 Figure 10. Maps of total uncertainty ($\sigma_{Q_{tot}} Q^{-1}$), over Alaska for the a) unconstrained b) gage-
 1493 constrained discharge estimates. Cumulative distribution functions (CDFs) of random (blue),
 1494 systematic (red) and total uncertainty (gold) for the c) unconstrained and d) unconstrained
 1495 discharge estimates.

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1497 **Tables**

1498 Table 1. List of the 14 discharge data values to be produced for each Surface Water and Ocean
 1499 Topography (SWOT) pass. The source of the prior on historical river discharge statistics is also
 1500 provided; note that other a priori information required for each algorithm is not detailed here.
 1501 FLPE is flow law parameter estimation. All acronyms are defined in the text or in the “List of
 1502 Acronyms” at the end of the manuscript.

| Branch | Prior discharge estimates | FLPE algorithm | Integrator |
|------------------|----------------------------------|-----------------------|-------------------|
| Unconstrained | WBM | BAM | MOI |
| Unconstrained | WBM | HiVDI | MOI |
| Unconstrained | WBM | MetroMan | MOI |
| Unconstrained | WBM | MOMMA | MOI |
| Unconstrained | WBM | SAD | MOI |
| Unconstrained | WBM | SIC4DVar | MOI |
| Unconstrained | WBM | Consensus | - |
| Gage-constrained | GRADES | BAM | MOI |
| Gage-constrained | GRADES | HiVDI | MOI |
| Gage-constrained | GRADES | MetroMan | MOI |
| Gage-constrained | GRADES | MOMMA | MOI |
| Gage-constrained | GRADES | SAD | MOI |
| Gage-constrained | GRADES | SIC4DVar | MOI |
| Gage-constrained | GRADES | Consensus | - |

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1504

1505 Table 2. Example flow law parameter estimates for seven reaches on the Mississippi River for
 1506 the gage-constrained branch of the Surface Water and Ocean Topography (SWOT) discharge
 1507 estimates.

| | Reach-Scale Flow Law Parameters | | | Basin-Scale Flow Law Parameters | | |
|-------------|---------------------------------|-------|-------|---------------------------------|---------|-------|
| MetroMan | Abar | ninf | b | Abar | ninf | b |
| Reach # | | | | | | |
| 74270100211 | 10848.53 | 0.03 | 1.04 | 9045.63 | 392.21 | -4.76 |
| 74270100221 | 10548.05 | 0.03 | 1.50 | 10556.17 | 37.07 | -3.25 |
| 74270100231 | 11107.28 | 0.03 | 1.75 | 8832.68 | 3759.82 | -5.48 |
| 74270100191 | 11073.69 | 0.03 | 0.92 | 11112.76 | 0.43 | -0.89 |
| 74270100171 | 11298.95 | 0.03 | 1.06 | 11333.25 | 1.62 | -1.54 |
| 74270100151 | 9027.99 | 0.03 | 0.64 | 9043.53 | 0.070 | 0.14 |
| 74270100131 | 11305.57 | 0.03 | 1.61 | 10434.40 | 3741.31 | -4.97 |
| BAM | Db | n | r | A0 | n | - |
| Reach # | | | | | | - |
| 74270100211 | 8.33 | 0.02 | 5.07 | 4617.83 | 0.01 | - |
| 74270100221 | - | - | - | 5520.33 | 0.01 | - |
| 74270100231 | - | - | - | 4995.10 | 0.07 | - |
| 74270100191 | 7.58 | 0.02 | 5.06 | 11014.53 | 0.04 | - |
| 74270100171 | 9.25 | 0.02 | 5.48 | 9002.57 | 0.10 | - |
| 74270100151 | - | - | - | 9173.97 | 0.03 | - |
| 74270100131 | - | - | - | 8349.20 | 0.01 | |
| HiVDI | Abar | alpha | beta | Abar | alpha | beta |
| Reach # | | | | | | |
| 74270100211 | 2774.84 | 85.35 | -0.05 | 2825.41 | 679.80 | -0.84 |

| | | | | | | |
|-------------|---------|-------|-------|---------|-----------|-------|
| 74270100221 | 4199.46 | 47.46 | -0.05 | 4203.96 | 205.73 | -0.38 |
| 74270100231 | 2916.82 | 85.03 | -0.05 | 2949.35 | 568.34 | -0.79 |
| 74270100191 | 754.88 | 56.04 | -0.05 | 3330.44 | 322.62 | -1.29 |
| 74270100171 | 1627.21 | 69.67 | -0.05 | 3096.04 | 459.58 | -1.14 |
| 74270100151 | 5426.60 | 35.97 | -0.05 | 5749.18 | 56.13 | -0.62 |
| 74270100131 | 1800.34 | 91.61 | -0.04 | 2515.77 | 831.40 | -1.35 |
| MOMMA | B | H | - | B | H | - |
| Reach # | | | - | | | - |
| 74270100211 | 49.860 | 83.73 | - | 73 | 90.55 | - |
| 74270100221 | 39.94 | 84.23 | - | 74.73 | 91.23 | - |
| 74270100231 | 62.65 | 85.62 | - | 73.96 | 81.25 | - |
| 74270100191 | 71.68 | 89.77 | - | 77.40 | 92.48 | - |
| 74270100171 | 67.22 | 86.72 | - | 73.97 | 91.49 | - |
| 74270100151 | 73.54 | 85.86 | - | 69.18 | 439900.06 | - |
| 74270100131 | 62.60 | 84.89 | - | 71.13 | 90.18 | - |

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1509

1510 Table 3. As Table 2, except for the unconstrained branch of Surface Water and Ocean
 1511 Topography (SWOT) discharge estimates.

| | Reach-Scale Flow Law Parameters | | | Basin-Scale Flow Law Parameters | | |
|-----------------|---------------------------------|--------------|-------------|---------------------------------|--------------|-------------|
| MetroMan | Abar | ninf | b | Abar | ninf | b |
| Reach # | | | | | | |
| 74270100211 | 9911.04 | 0.03 | 0.68 | 10027.03 | 0.43 | -1.26 |
| 74270100221 | 9331.77 | 0.03 | 0.59 | 9462.11 | 0.13 | -0.80 |
| 74270100231 | 9836.49 | 0.03 | 0.71 | 9723.08 | 0.74 | -1.45 |
| 74270100191 | 10195.97 | 0.03 | 0.53 | 10152.67 | 0.01 | 1.07 |
| 74270100171 | 10480.92 | 0.03 | 0.61 | 10499.63 | 0.02 | 0.35 |
| 74270100151 | 9415.54 | 0.04 | 0.37 | 9345.89 | 0.01 | 1.25 |
| 74270100131 | 10460.51 | 0.03 | 0.81 | 10435.34 | 0 | 1.50 |
| BAM | Db | n | r | A0 | n | - |
| Reach # | | | | | | - |
| 74270100211 | 8.04 | 0.02 | 4.68 | 2737.13 | 0 | - |
| 74270100221 | 7.45 | 0.02 | 5.72 | 3056.28 | 0 | - |
| 74270100231 | 5.61 | 0.02 | 3.77 | 2229.71 | 0 | - |
| 74270100191 | 7.06 | 0.02 | 5.26 | 3310.92 | 0.01 | - |
| 74270100171 | 6.40 | 0.02 | 5.61 | 3082.77 | 0.01 | - |
| 74270100151 | - | - | - | 3190.92 | 0.02 | - |
| 74270100131 | - | - | - | 2476.79 | 0 | |
| HiVDI | Abar | alpha | beta | Abar | alpha | beta |
| Reach # | | | | | | |
| 74270100211 | 1228.19 | 49.02 | -0.05 | 2742.23 | 234.04 | -0.03 |

| | | | | | | |
|--------------|----------|----------|----------|----------|----------|----------|
| 74270100221 | 1380.80 | 51.51 | -0.050 | 3065.90 | 289.13 | 0 |
| 74270100231 | 5372.95 | 21.78 | -0.05 | 5372.53 | 0.07 | 4.11 |
| 74270100191 | 5095.86 | 51.71 | -0.05 | 4818.42 | 30.48 | 0.15 |
| 74270100171 | 485.28 | 62.22 | -0.05 | 3085.41 | 180.23 | -0.36 |
| 74270100151 | 3890.62 | 35.59 | -0.05 | 3849.21 | 23.61 | 0.12 |
| 74270100131 | 1800.34 | 36.08 | -0.05 | 2578.97 | 298.13 | -0.53 |
| MOMMA | B | H | - | B | H | - |
| Reach # | | | - | | | - |
| 74270100211 | 72.25 | 85.61 | - | 75.94 | 85.33 | - |
| 74270100221 | 36.32 | 86.73 | - | 76.24 | 91.23 | - |
| 74270100231 | 68.10 | 85.90 | - | 75.34 | 91.79 | - |
| 74270100191 | 71.68 | 89.77 | - | 78.67 | 81.78 | - |
| 74270100171 | 67.22 | 86.72 | - | 75.65 | 89.49 | - |
| 74270100151 | 73.54 | 85.86 | - | 76.77 | 156.37 | - |
| 74270100131 | 61.74 | 85.58 | - | 73.55 | 88.18 | - |

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