



# RADIATION HARDNESS ASSURANCE TEST GUIDELINES FOR PHOTODETECTORS AND IMAGE SENSORS

CONTENT OVERVIEW

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# Acronyms

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CCD	Charge Coupled Device
CIS	CMOS Image Sensor
CMOS	Complementary Metal Oxide Semiconductor
COTS	Commercial Off The Shelf
CDTI	Capacitive Deep Trench Isolation
DDD	Displacement Damage Dose
DTI	Deep Trench Isolation
ESCC	European Space Components Coordination
GSFC	Goddard Space Flight Center
IR	Infrared
JPL	Jet Propulsion Laboratory
JSC	Johnson Space Center
LaRC	Langley Research Center
MCT	Mercury Cadmium Telluride
MOSFET	Metal Oxide Semiconductor Field Effect Transistor
RHA	Radiation Hardness Assurance
ROIC	Read Out Integrated Circuit
STI	Shallow Trench Isolation

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# INDEX

1. Project Goals & Objectives

2. Content Overview

3. Scenario Examples

4. Next Steps & Conclusion

# 1

# Project Goals and Objectives



## Existing Radiation Test Standards

**No Radiation Test Standard Dedicated to Photodetectors and Image Sensors**

### Visible Image Sensor

- Charge Coupled Devices (CCDs)
- CMOS Image Sensor (CIS)
- Commercial Of the Shelf (COTS) CISs

### Infrared Detectors

- Detecting Materials (MCT)
- Readout Integrated Circuit (ROIC)
- Cryogenic Temperatures

**What degradation and failures are we looking for ?**

**How do we test those devices ?**

Standard	Title	Date
JEDEC JESD57	Test Procedures for the Measurement of SEE in Semiconductor Devices from Heavy-Ion Irradiation	1996
JEDEC JESD234	Test Standard for the Measurement of Proton Radiation SEE in Electronic Devices	2013
MIL-STD-750-1	Environmental Test Methods for Semiconductor Devices TM 1017: Neutron irradiation TM 1019: Steady-state total dose irradiation procedure TM 1080 SEB and SEGR	2014
MIL-STD-883	Microcircuits TM 1017: Neutron irradiation TM: Ionizing radiation test procedure	2014
ESA-ESCC-25100	SEE Test Method and Guidelines	2014
ESA-ESCC-22900	Total Dose Steady-State Irradiation Test Method	2010
ASTM F1192	Standard Guide for the Measurement of Single Event Phenomena (SEP) Induced by Heavy Ion Irradiation of Semiconductor Devices	2011
ASTM F1892	Standard Guide for Ionizing Radiation Effects Testing of Semiconductor Devices	2012
ASTM F1190	Practice for Neutron Irradiation of Unbiased Electronic Components	2011
MIL-HDBX-814	Ionizing Dose and Neutron Harness Assurance Guidelines for Microcircuits and Semiconductor Devices	1994
Sandia Nat. Lab. SAND 2008-6983P	Radiation Hardness Assurance testing of Microelectronic Devices and Integrated Circuits: Test Guideline for Proton and Heavy Ion SEE	2008
Sandia Nat. Lab. SAND 2008-6851P	Radiation Hardness Assurance testing of Microelectronic Devices and Integrated Circuits: Radiation Environments, Physical Mechanisms, and Foundations for Hardness Assurance	2008
NASA/DTRA	Field Programmable Gate Array (FPGA) Single Event Effect (SEE) Radiation Testing	2012

# 1

## Project Goals and Objectives



### Existing Documents and Main Contributions

Outdated Technologies

Simulation Oriented

Focused on CCDs

Test Report

Expand the content

Not Applied to Detectors

Contribution	Title	Date
Sira Electro-Optics for ESA	Radiation Effects in 2-D IR Sensors [3]	1997
ESA	Predicting Displacement Damage Effects in Electronic Components by Method of Simulation: Literature Survey and Pre-Assessment of Methods [4]	2002
NASA	Proton Test Guideline Development-Lessons Learned [1]	2002
NASA	CCD Radiation Effects and Test Issues for Satellite Designers [2]	2003
Sira Electro-Optics for ESA	Radiation Testing of CCD and APS Imaging Devices [5]	2003
SURREY Ref: 0195162	Displacement Damage Guidelines [6]	2014
ONERA for ESA	Displacement Damage Test Guideline Development [7]	2016
ESA-ESCC-22500	Guidelines for Displacement Damage Irradiation Testing [8]	2019

## Capture and Sustain NASA Expertise and Capability in Photodetector and Image Sensor RHA

### What do we value?

- Best practices, Past Research, Lessons-Learned

### From Where?

- Across NASA centers: GSFC, JPL, Ames, LaRC, JSC...

### Main objectives:

- Support Photodetector RHA activities
- Maximize the utility of the guidelines for all

### How?

- Collaborate to shape the content of the guidelines document to meet current and future needs

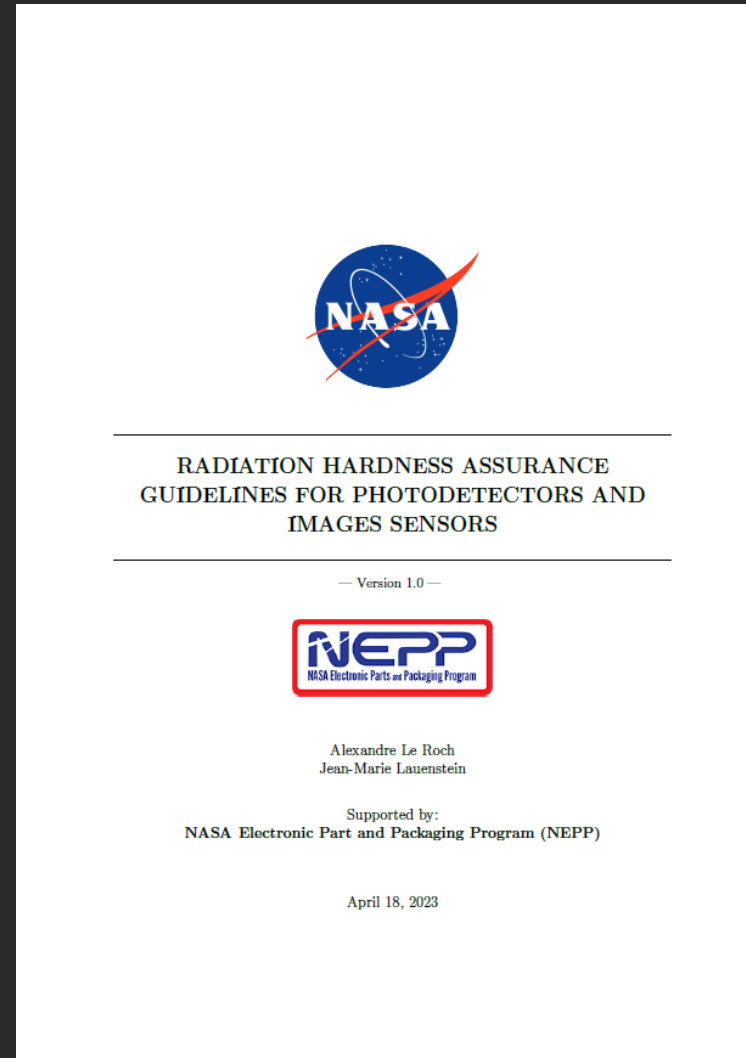
# 1

# Project Goals and Objectives



## RHA Guidelines

1. Pragmatic
2. Scientific
3. Flexible
4. Collaborative



~ 250 pages

01

## Context and Future Needs of RHA Tests on Photodetectors and Image Sensors

~ 70 pages

- Positions Photodetectors in Microelectronic Trends and Foresees Future Needs in RHA

02

## Fundamentals on Radiation Effects on Semiconductor Devices

~ 60 pages

- Focus on DDD and Ongoing Research Activities

03

## Test Method for CCDs and CMOS Image Sensors

~ 120 pages

- Test Setup Requirements and Test Method

## Context and Future Needs of RHA Tests on Photodetectors and Image Sensors

### What's the content ?

- Overview of the context of photodetectors and image sensor development trends
- Evolving needs for RHA testing
  - COTS CMOS Image Sensors
  - SPAD Imagers
  - Hybrid Infrared Detectors

### Who is this for ?

- Junior RHA & Detector System Engineers
- Senior RHA Engineers
- Management

### Why is this useful ?

- Get the big picture
  - Anticipate trainings
  - Orient the work force
  - Justify funds
- Align visions among main RHA actors
- Bring expertise to decision-making

<b>1</b>	<b>Rising interests and specific needs for Radiation Hardness Assurance (RHA) tests dedicated to photodetectors and images sensors operating in space environments</b>	<b>1</b>
1.1	Definitions and scope of the guidelines . . . . .	2
1.1.1	External Photoelectric Effect: Phototubes and Photomultipliers . . . . .	3
1.1.2	Internal Photoelectric Effect: Semiconductor Photodetectors . . . . .	4
1.2	Microelectronics and photodetectors development trends . . . . .	4
1.2.1	Understanding the International Roadmap of Devices and Systems (IRDS)	7
1.2.2	Understanding major trends in devices and systems . . . . .	12
1.3	Positioning photodetectors and images sensors into the microelectronics trends	20
1.3.1	Photodetectors interact with the real world . . . . .	20
1.3.2	Single Structure Photodetectors . . . . .	31
1.3.3	Images sensors and Focal Plane Arrays (FPA) . . . . .	38
1.3.4	Commercial and custom photodetectors . . . . .	46
1.4	Conclusion . . . . .	66
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1.3.4.3	Visible image sensors in space applications . . . . .	59
1.3.4.4	Other image sensors in space applications: Infrared, UV, X-ray...	65

Covers a wide range of technologies and applications from custom to commercial detectors

1	Rising interests and specific needs for Radiation Hardness Assurance (RHA) tests dedicated to photodetectors and images sensors operating in space environments	1
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## Fundamentals of Radiation Effects on Semiconductor Devices

### What's the content ?

- Overview of radiation effects in semiconductor devices (Silicon)
- Focus on displacements
  - Responsible for hot pixels
  - Dynamics of Defect Creation
  - Damage Factor & NIEL Scaling

### Who is this for ?

- Jr. RHA Engineers → Training
- Sr. RHA Engineers → Updated references
- Instrument/FPA Designers → Understand Limitations

### Why is this useful ?

- Get the big picture
  - Physics behind the degradation
  - Understand foundations of test methods and standards
  - Enable new ideas and contributions
- Update on ongoing research activities

## 2 Fundamentals of space radiation environment and radiation-induced degradations on semiconductor devices 81

### 2.1 Main space radiation sources and characteristics . . . . . 82



### 2.2 Radiation-matter interactions . . . . . 91

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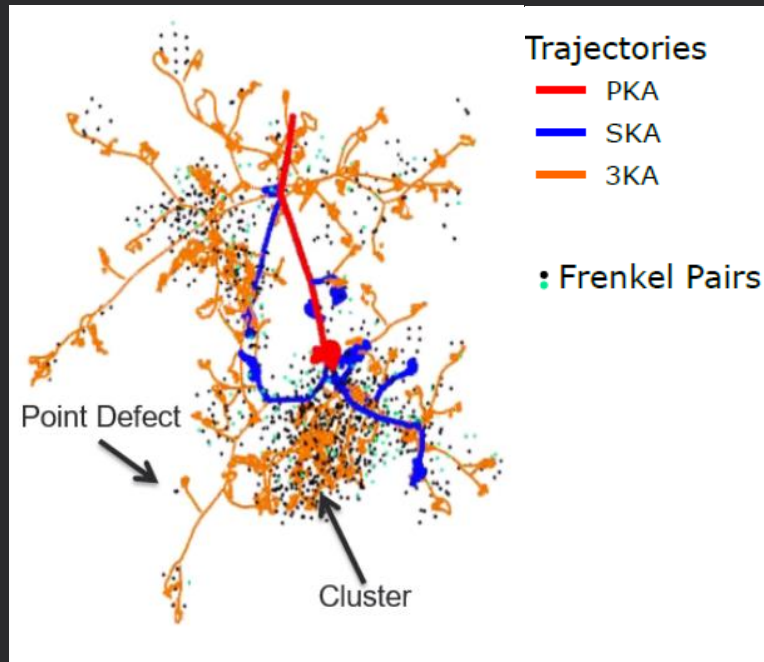
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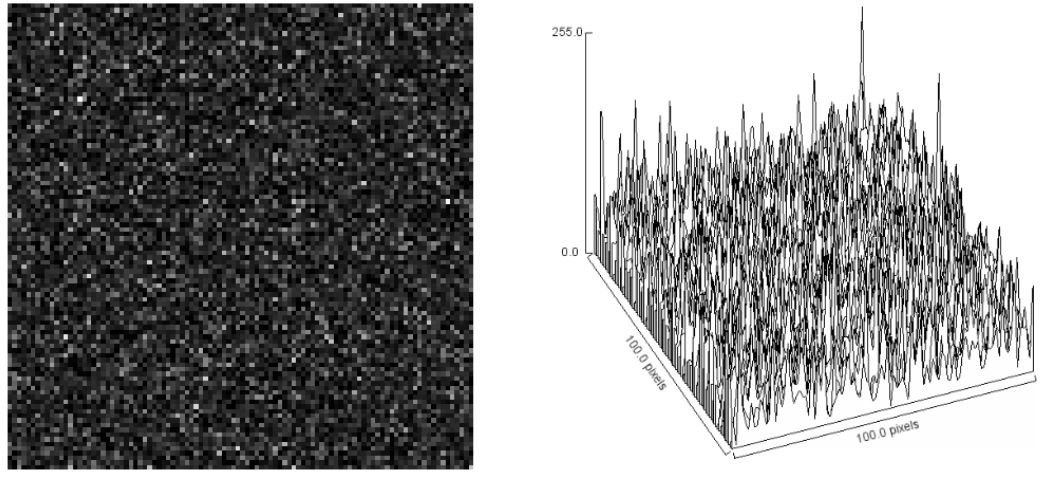
Courtesy of Antoine Jay, NSREC 2016

Focuses on the physics of  
displacements and  
associated damage factor &  
NIEL scaling concepts

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2.2	Radiation-matter interactions . . . . .	91
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# 2

## Guidelines Content Overview



Focuses on the main performance degradation mechanisms in all photodetectors and image sensors

<b>2</b>	<b>Fundamentals of space radiation environment and radiation-induced degradations on semiconductor devices</b>	<b>81</b>
2.1	Main space radiation sources and characteristics . . . . .	82
	⋮	
2.2	Radiation-matter interactions . . . . .	91
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2.3.2	Effects of Atomic Displacements . . . . .	119
2.3.3	Radiation-induced degradation on photodetectors and image sensors . .	125
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## Test Method for CCDs and CMOS Image Sensors

### What's the content ?

- Image sensor working principle
- Test method
- Focus on CIS
  - Growing interest
  - Specificities & Vulnerabilities
  - Testing methodology

### Who is this for ?

- RHA Engineers → Test Method
- RHA Engineers → Identify Vulnerabilities
- Instrument/FPA Designers → Understand Limitations

### Why is this useful ?

- Guide test design & Raise awareness
  - Setup & Equipment & Best Practices
- Understand the origin of the degradation
  - More adaptable to actual needs
  - Enabling new ideas and contributions
- Updated references and ongoing research

## 3 Charge Coupled Devices (CCD) and Complementary Metal Oxide Semiconductor (CMOS) images sensors 141

### 3.1 Fundamentals on CCD and CIS . . . . . 143

### 3.2 Fundamentals on images sensors figures of merits . . . . . 163

### 3.3 Parameters extraction method and radiation-induced degradations . . . . . 192

#### 3.3.1 Charge to Voltage Factor - CVF . . . . . 192

#### 3.3.2 Dark Current . . . . . 197

#### 3.3.3 Dark current activation energy . . . . . 217

#### 3.3.4 Dark Current Random Telegraph Signal - DC-RTS . . . . . 227

#### 3.3.5 Electro-optical transfer function . . . . . 244

#### 3.3.6 Dynamic Range . . . . . 246

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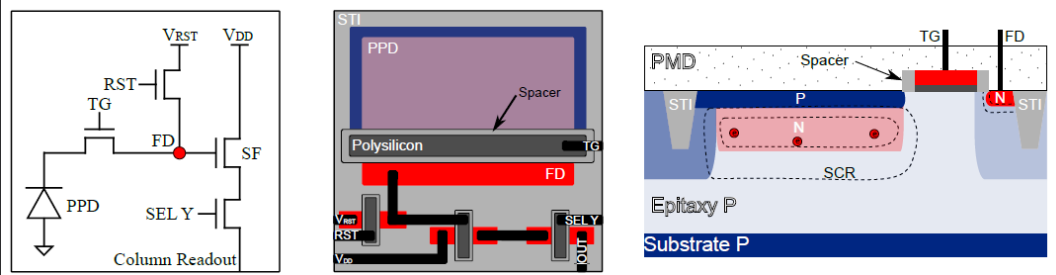
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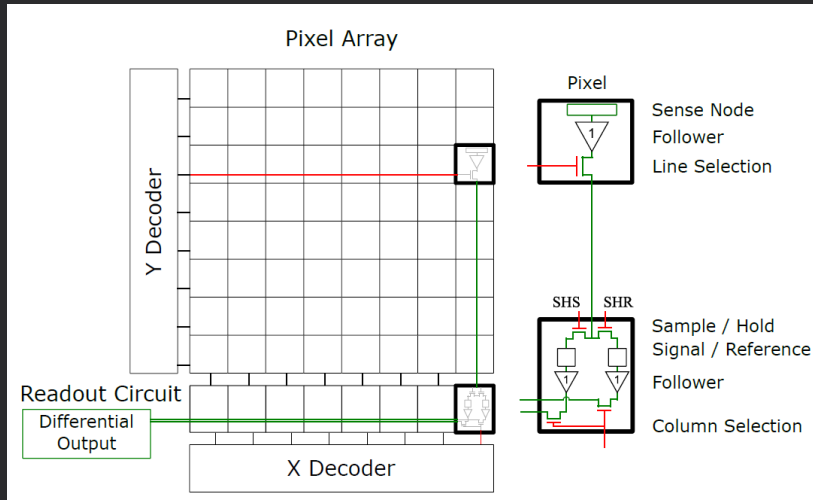
# Guidelines Content Overview



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Covers all the basics to understand the device, its vulnerabilities, and the parameters of interest

# 2.

## Guidelines Content Overview

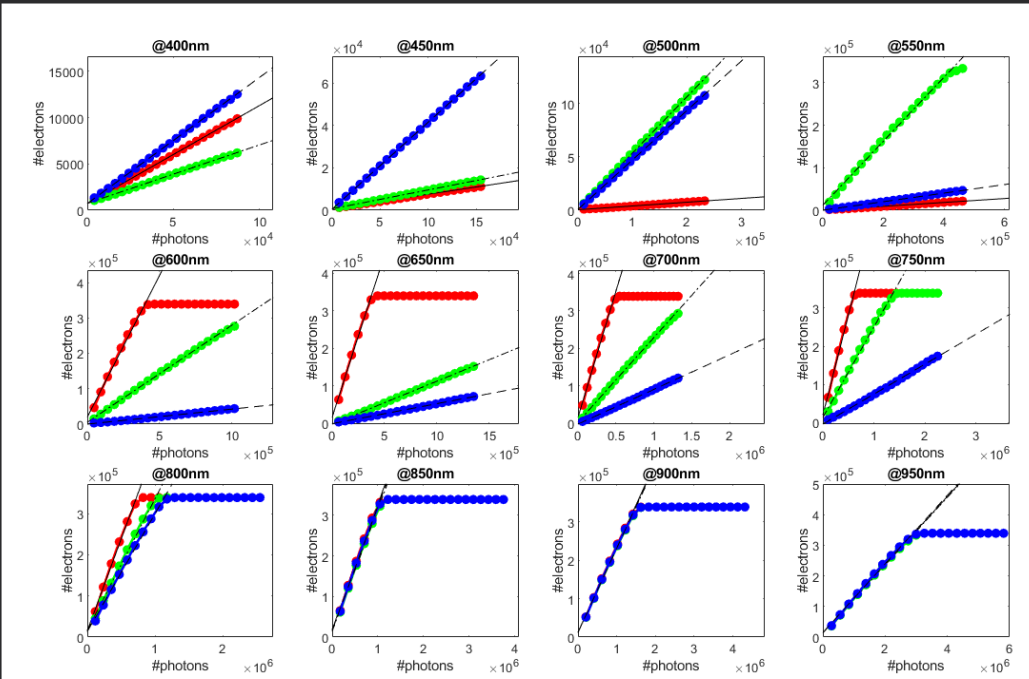
### Questioning Workflow

### Corresponding Sections

- What's it for ?
  - What do I need ?
  - How to do it ?
  - How to deal with data ?
  - Is that normal ?
  - What does radiation do ?
  - What's that thing ?
1. Objective
  2. Setup & Equipment
  3. Method
  4. Data Processing
  5. Examples
  6. Radiation Impacts
  7. Common Artifacts

Provides guidance for conducting tests and adaptable solutions to the user's own needs

<b>3 Charge Coupled Devices (CCD) and Complementary Metal Oxide Semiconductor (CMOS) images sensors</b>	<b>141</b>
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Provides real data figures and analysis to present how it looks

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## Detector RHA Typical Workflow

1

**Mission Details**

- Life duration & Orbital parameters & Radiation environment

2

**Detector**

- Irradiative environment at detector level after shielding

3

**Ground Test Design**

- Energy & Dose & Dose rate

4

**Performance Degradation Measurements**

- Performance-driving parameters (ex: Dark Current, Dynamic Range, Sensitivity)

5

**Test Report and Actions**

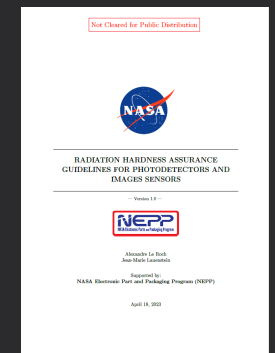
- End of life detector performance
- Impacts on mission's goals
- Modifications : Shielding / Operating Temperature / Others...

Radiation Test Standards  
Software Tools  
Experience

Overlap



RHA Guidelines



# 3

## Scenario example

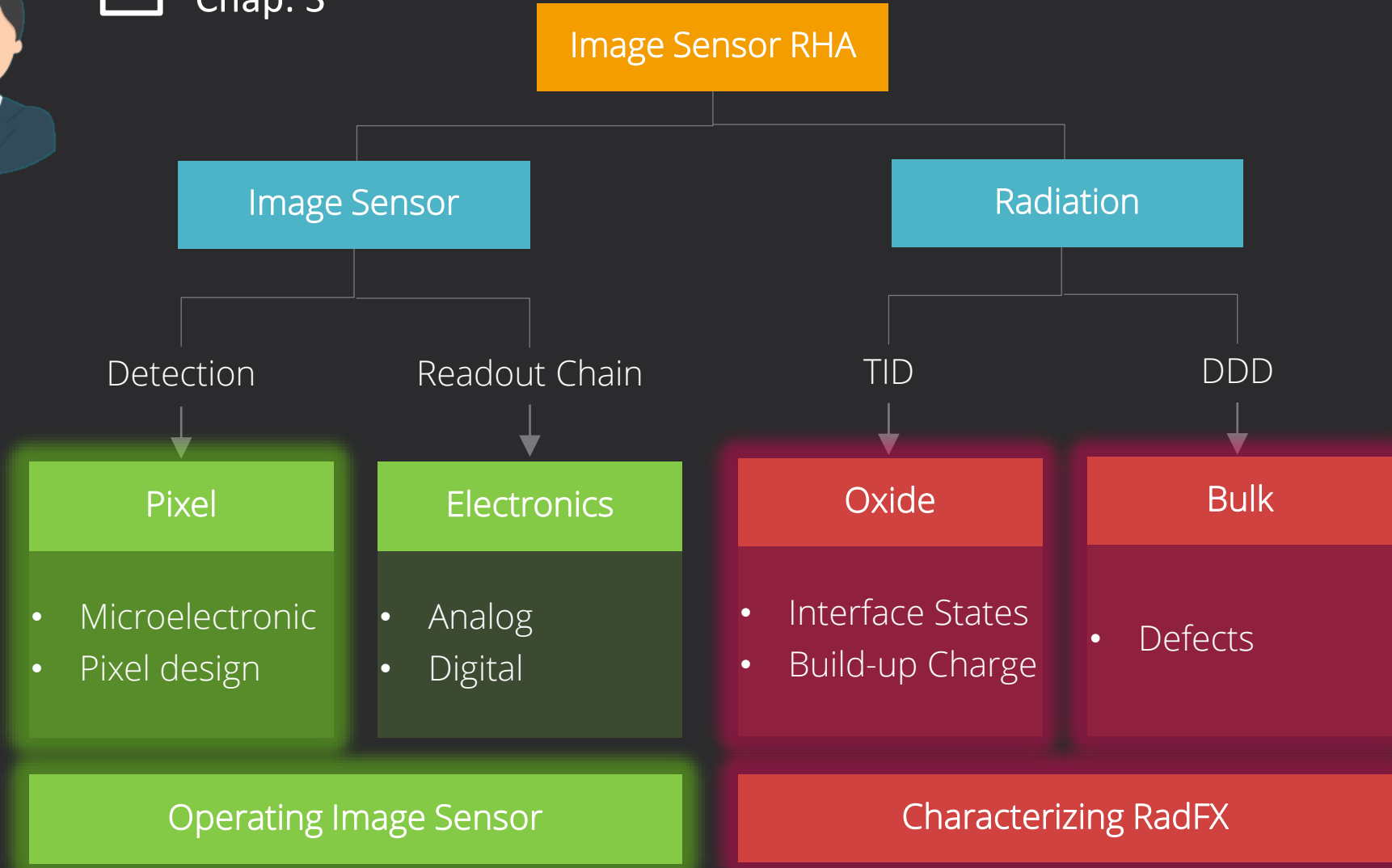
Who:  
Junior RHA engineer



Chap. 2  
Chap. 3

Competencies:  
Background in electronics

Tasks:  
Collaborate with detector system engineer in designing radiation testing of a commercial CMOS Image Sensor



# 3

## Scenario example

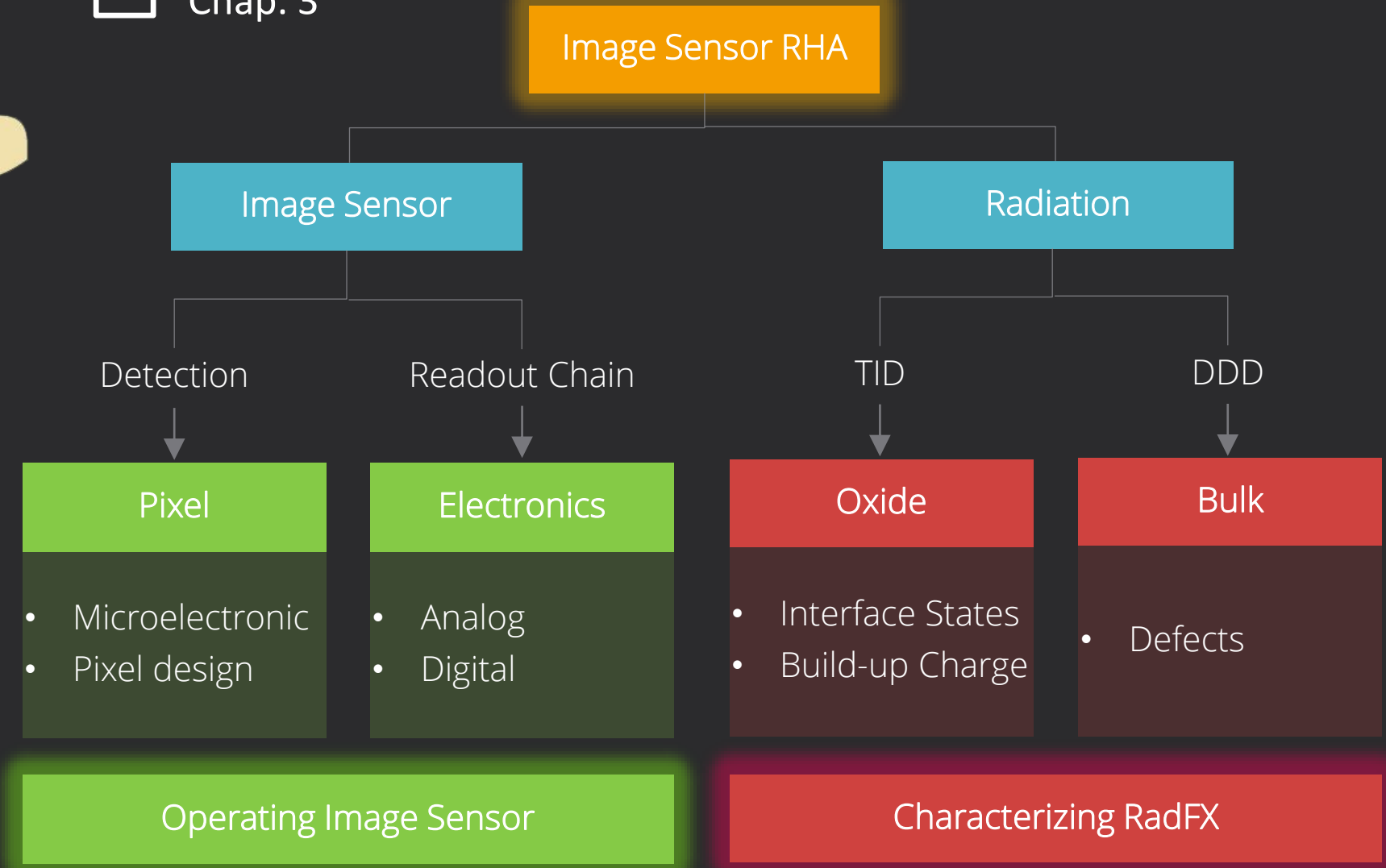
Who:  
Senior RHA engineer



Chap. 1  
Chap. 3

Competencies:  
Expertise in electronics  
and radiation effects

Tasks:  
Collaborate with detector system engineer in designing radiation testing of a commercial CMOS Image Sensor



# 3.

## Scenario example

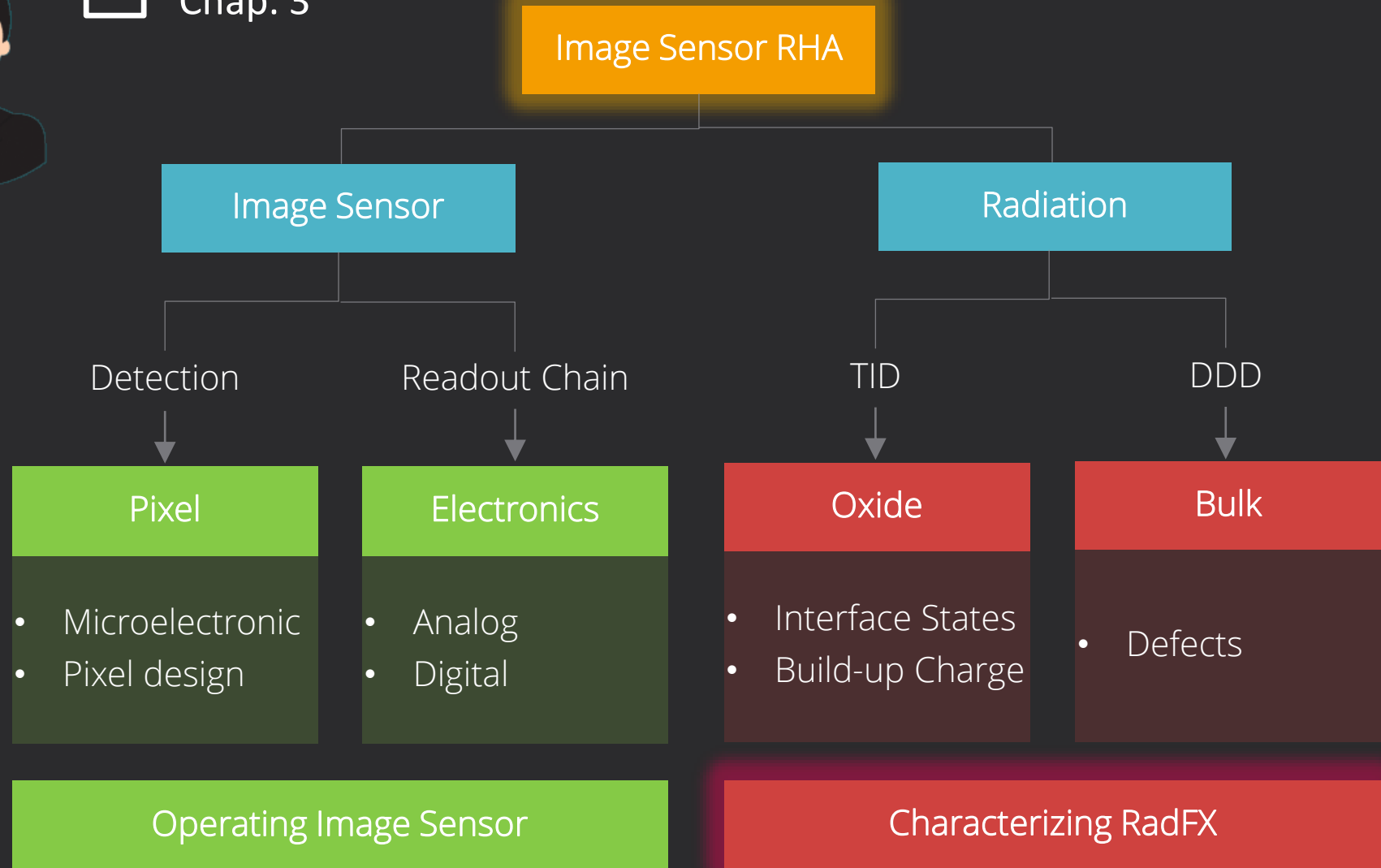
Who:  
Instrument/FPA  
Designers



Chap. 1  
Chap. 3

Competencies:  
Expertise in image sensor  
characterization and  
development

Tasks:  
Design of a camera based  
on a commercial CMOS  
Image Sensor



# 3

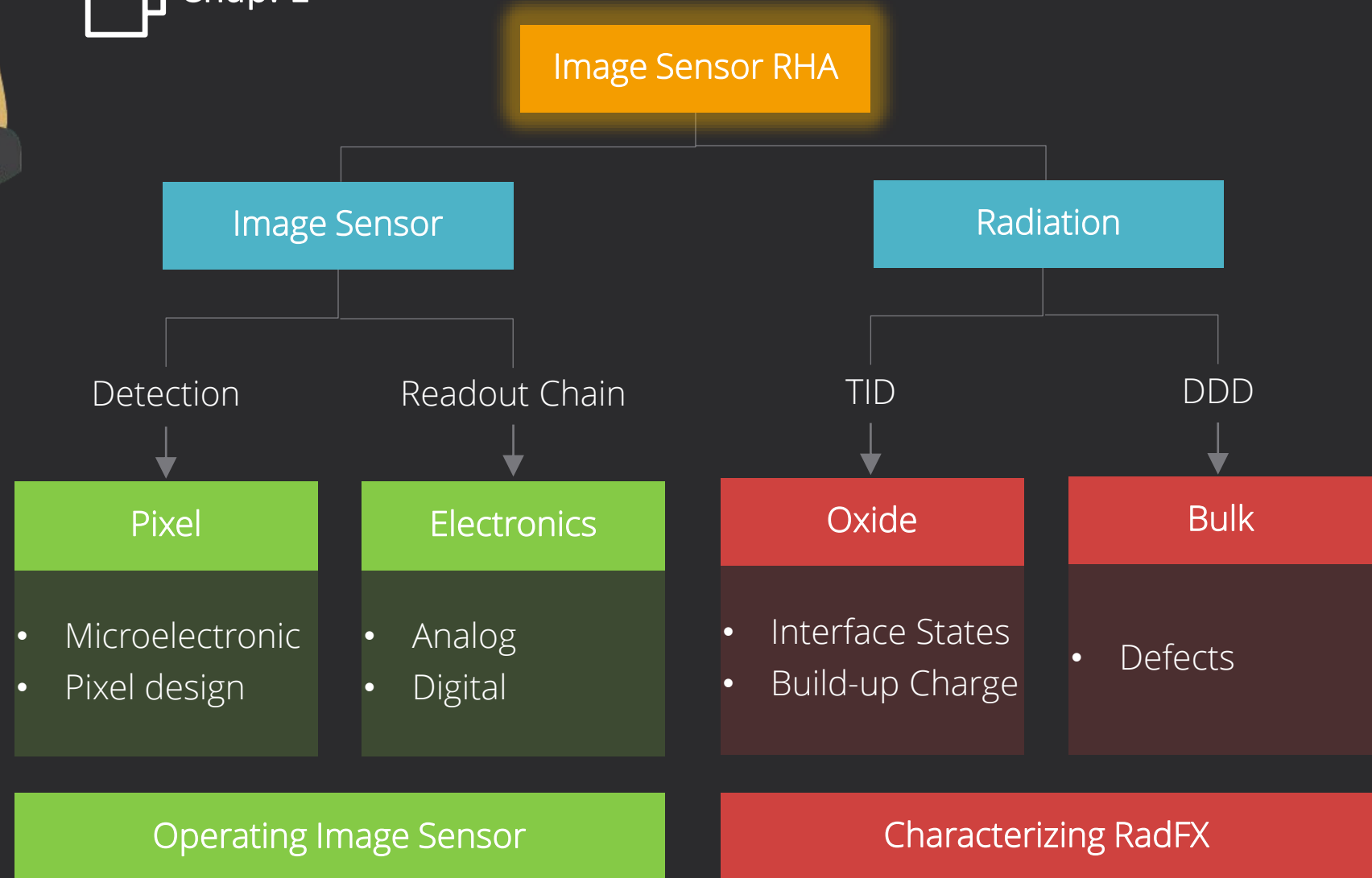
## Scenario example

Who:  
Radiation Team  
Manager



Competencies:  
Knowledge of electronics  
and radiation effects

Tasks:  
Orient radiation  
engineers, support test  
platform development,  
anticipate RHA needs



# 4 Next Steps and Conclusion

01

Rising interests and specific needs for Radiation Hardness Assurance (RHA) tests dedicated to photodetectors and image sensors operating in space environments



- Definition & Scope
- Positioning Photodetectors in Microelectronic Trends
- Future Needs in RHA

02

Fundamentals of space radiation environment and radiation-induced degradations on semiconductor devices



- Basic Knowledges & Key Concepts
- Focus on DDD
- Updated References
- Updates on Ongoing Research Activities

03

Charge Coupled Devices (CCD) and Complementary Metal Oxide Semiconductor (CMOS) images sensors



- Identify and Estimate the Radiation Vulnerabilities
- Parameters of Interest
- Test Setup Requirements and Test Method

04


Photodetector Array for UV & Infrared Applications





- Identify and Estimate the Radiation Vulnerabilities
- Parameters of Interest
- Cryogenic Test Setup
- Test Setup Requirements and Test Method

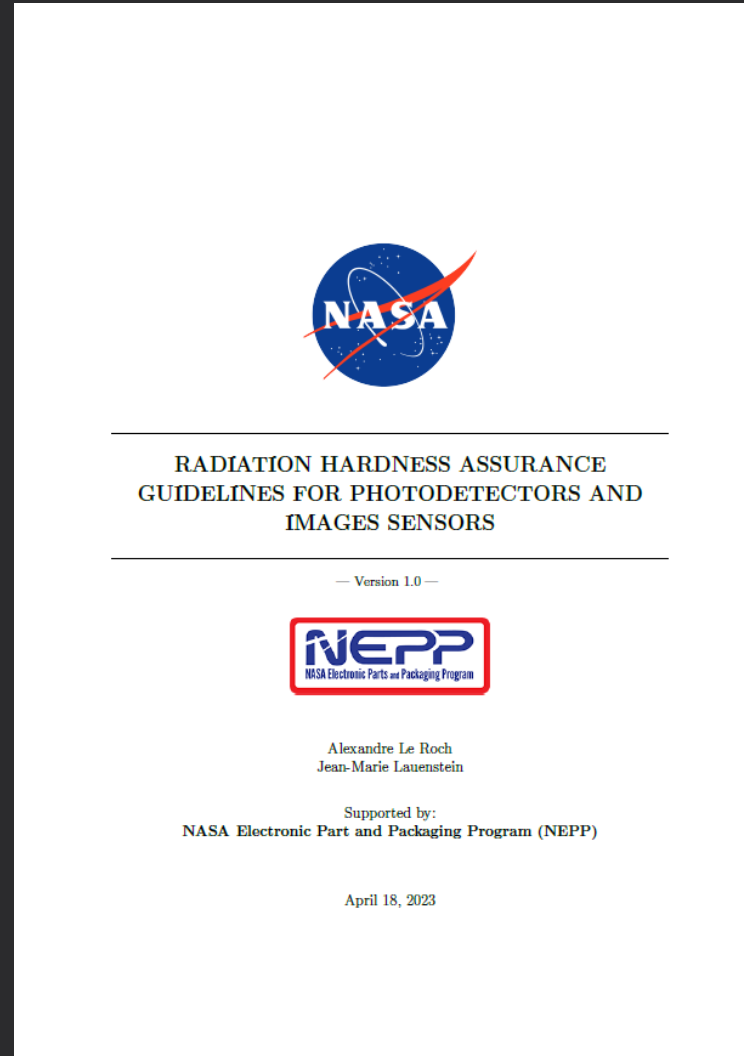
# 4


## Next Steps and Conclusion

“  Gather useful information in one document.

“  Covers central aspects of Image sensor RHA.

“  Available on the NEPP website shortly.



“  This is a living document. Feedback will be incorporated.

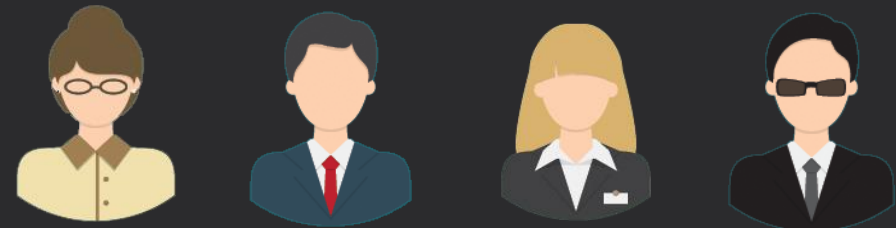


# THANKS FOR YOUR ATTENTION



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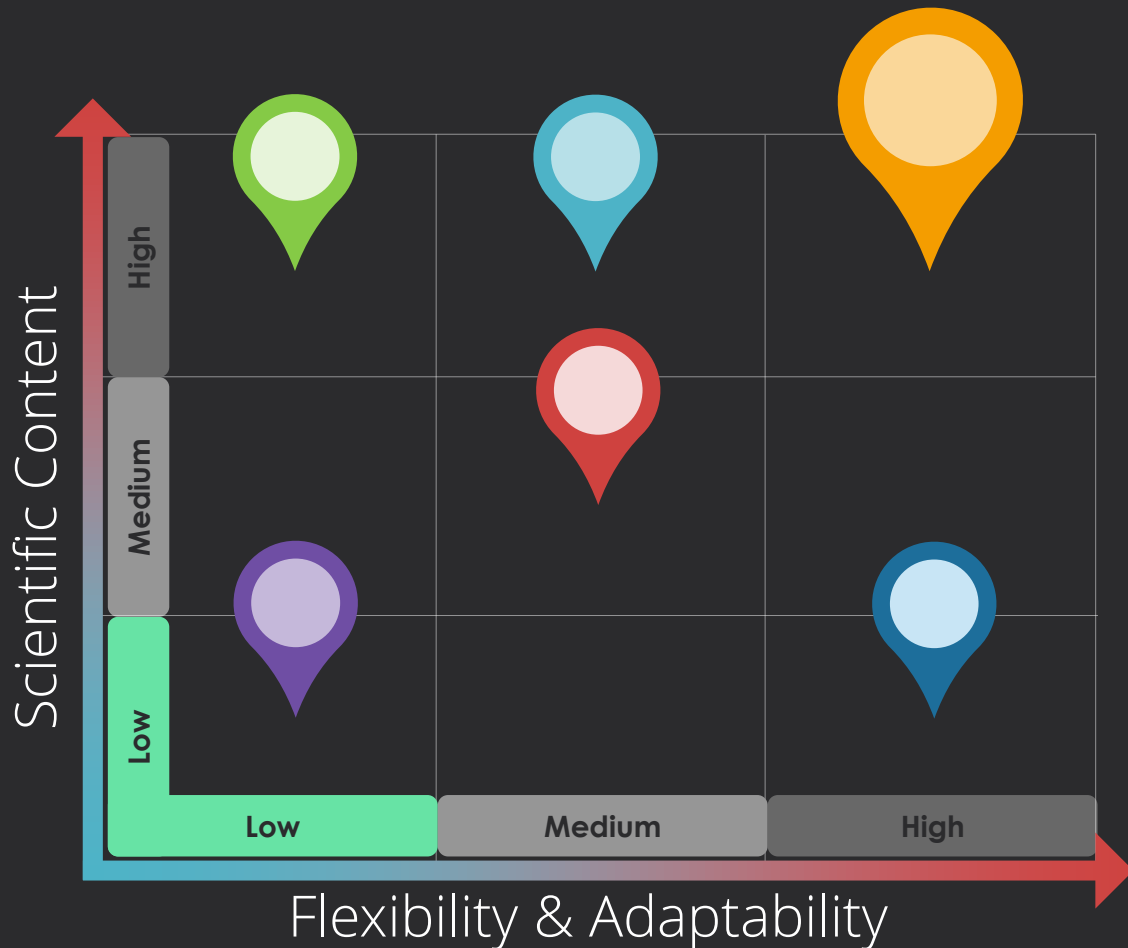


1

# Backup slide : Project Goals and Objectives



## Radiation Hardness Assurance Guidelines



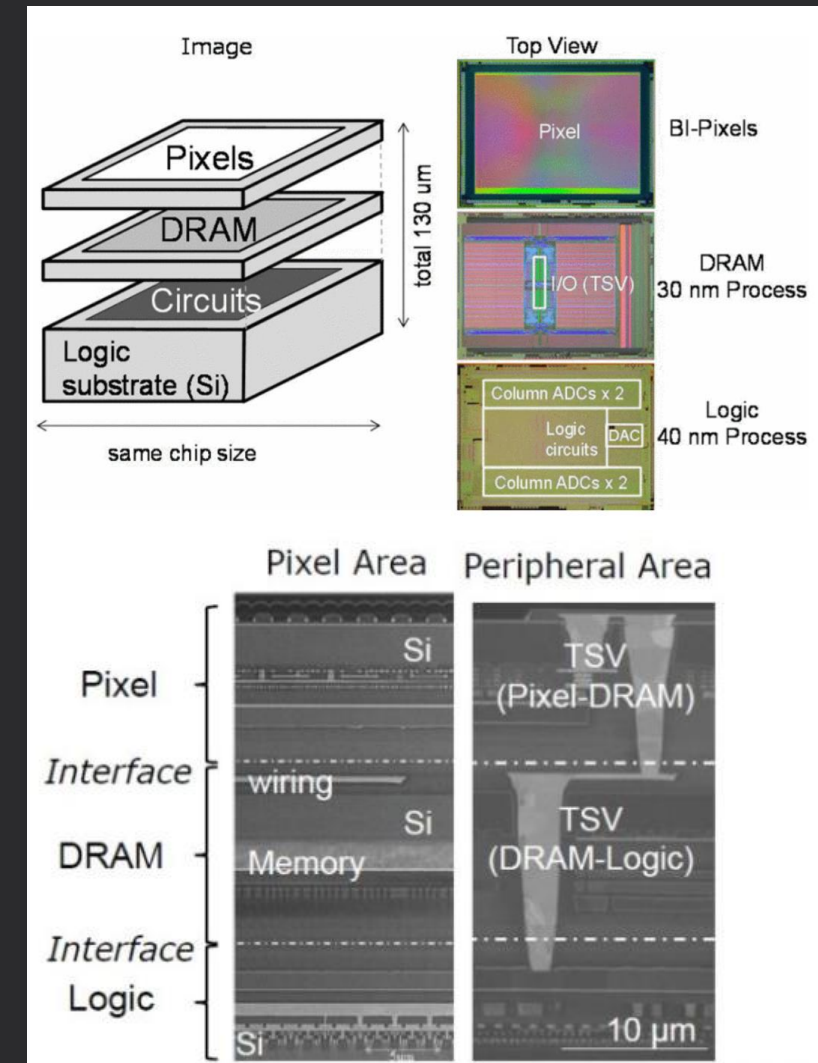
## Documentation

- Literature Review
- Scientific Report
- Test Report
- Mil & ESCC Standards
- Best Practices & Lesson-Learned
- RHA Guidelines

# Backup slide : Testing COTS Image Sensors

## Commercial Of The Shelf (COTS) CMOS Image Sensor

- Even more complex 3D pixel structures  
Multilayers, Vertically Pinned Photodiodes, Anti Blooming, Pixel Binning, Dark Current Mitigation...
- Advanced oxide processes:  
Shallow Trench Isolation (STI), Deep Trench Isolation (DTI), Capacitive Deep Trench Isolation (CDTI), High-k oxide...
- Stacking technologies  
Readout Integrated Circuit (ROIC), Memory DRAM, Logic
- Increased interest in flying COTS CIS  
OSIRIS REX instrument, Mars Perseverance's landing sequence



Modified from H. Tsugawa, 2017. © IEEE, used with permission

# Backup slide : What makes photodetectors different ?

## Analog detector

- Large in-pixel structures
- Double gate oxide (GO2) MOSFETs
- High voltage swing 2 - 3.3V

## Adapted CMOS process for imaging

- GO2 In-pixel MOSFETs
- Photodiode implant
- Doping profile
- Oxides (liner oxides)

## Mixed-mode device

- Analog and digital signals

## Include optical features

- Antireflecting coating
- Microlens & Color filters

