Wetting and drying trends under climate change

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8 Abstract

- 9 The geography and timing of changes in water availability under climate change are of considerable
- 10 societal interest. Characterizing these changes in a robust and meaningful manner, however, has
- 11 not been easy. In the past decade, studies have engaged two provocative hypotheses to explain and
- 12 predict large-scale trends in water availability. One hypothesis holds that there will be increased
- 13 contrasts in available water, as wet places become wetter and dry places become drier. Another
- 14 hypothesis states that there will be global aridification, as widespread increases in
- 15 evapotranspiration overwhelm changes in precipitation in most terrestrial regions. There is an
- 16 extensive and sometimes contentious literature on the evidence for each. In some cases these
- 17 debates reflect direct disagreement, but the appearance of disagreement is exaggerated by the
- 18 diversity of methods and terminologies employed in different studies. Herein, we examine the
- 19 applicability and limits of both hypotheses across different frameworks, scales, and contexts,
- 20 yielding insights on hydrologic change and the future of water availability.

21

- 22 The terrestrial water balance can be stated in simple form as an equality between precipitation (*P*)
- 23 minus evapotranspiration (*E*) and the sum of runoff (*R*) and the change in water storage (dS/dt):
- $24 \qquad P E = R + dS/dt$
- 25 The corresponding atmospheric water balance equates the sum of water vapor convergence $(\nabla \cdot Q)$

Eqn 1

- and storage change (dW/dt) with P E. The dW/dt term is small when averaged over months to
- 27 years, allowing that there is a gradual positive trend associated with atmospheric warming, such

28 that the atmospheric and terrestrial water balances are roughly equated as:

29
$$\nabla \cdot Q = P - E = R + dS/dt$$
 Eqn 2

30 In the context of environmental change, this equivalence can be stated in terms of perturbations:

31
$$\delta(\nabla \cdot Q) = \delta(P - E) = \delta(R + dS/dt)$$
 Eqn 3

- 32 This equivalency (applicable over land areas) offers several entry points for predicting changes in
- 33 the water balance under climate change. First, there is an atmospheric constraint, $\delta(\nabla \cdot Q)$: for any
- 34 unit of analysis, and for timescales longer than one month, changes in available water at the surface,
- 35 $\delta(P E)$, should scale with changes in the convergence or divergence of water vapor. Second,
- 36 changes in available water at the surface depend on both *P* and *E*. Predictions of precipitation
- 37 change, which are the most commonly delivered form of climate-related hydrological prediction, do

- 38 not tell the full story of changing water availability, since evapotranspiration is also substantially
- 39 changing under enhanced greenhouse gas forcing^{1,2}. Third, these first two constraints apply to the
- 40 total change $\delta(R + dS/dt)$. The partitioning between runoff and change in storage, however, is not
- 41 constrained by the average $\delta(P E)$ or $\delta(\nabla \cdot Q)$, and yet this partitioning is tremendously relevant
- 42 to water resources. Not all "available water" in (P E) is equally manageable, particularly if
- 43 changes in *P* variability, for example, lead to greater storm runoff and reduced infiltration to
- 44 groundwater.
- 45 These relationships have been the subject of a surprising amount of debate in recent climate change
- 46 literature. Two hypothesized trends in response to greenhouse gas warming have drawn
- 47 considerable attention: that wet areas will become wetter and dry areas will become drier
- 48 (WWDD)³⁻⁵ or that there will be a general global aridification (GA) of land areas⁶⁻⁹. Both hypotheses
- 49 apply to large scale trends in time-averaged conditions, and they should not be mistaken for water
- 50 resource predictions at precise locations. Nevertheless, a general tendency towards either WWDD,
- 51 with its implied redistribution of available water resources to regions that are already water rich,
- 52 or towards GA, which implies tighter water availability for most land areas, is pertinent to the
- 53 strategies society develops to cope with climate change impacts. Both the WWDD and GA
- 54 hypotheses have been hotly contested, and recent studies are sometimes interpreted as
- 55 discrediting both. Nevertheless, both WWDD and GA persist as frameworks for water availability
- 56 under climate change, and, in reality, they have not been so much discredited as nuanced and
- 57 contextualized.
- 58 Here we examine the physical bases and limitations basis for each hypothesis and the
- 59 epistemological and methodological inconsistencies that have led to confusion in its application. In
- 60 this context, we synthesize how changes in the land water cycle can be summarized from the latest
- 61 available evidence, including assessments of the 6th assessment report (AR6) of the
- 62 Intergovernmental Panel on Climate Change (IPCC)^{1,2,10}, highlighting three main tendencies: wet
- 63 events become wetter and dry events become drier (WEWDED), a tendency towards wetter wet
- 64 seasons and drier dry seasons (WSWDSD), and regional-scale climate regime shifts (CRS) that
- 65 include shifts from humid to transitional, or transitional to dry climate regimes.

66 Wet-becomes-Wetter, Dry-becomes-Drier

- 67 The first equivalency in Eqn 3, i.e. that the change in atmospheric moisture flux divergence is equal
- 68 to the change in precipitation minus evaporation, is the foundation for the WWDD framework. On
- 69 global scale, the Clausius-Clapeyron relationship indicates that as the atmosphere warms it is
- 70 capable of holding more water vapor, at the rate of 7% per degree of warming³. To first order, then,
- 71 if changes in atmospheric circulations are neglected and relative humidity is constant, this trend in
- 72 water holding capacity intensifies existing convergence and divergence patterns: $\delta(\nabla \cdot Q)$ scales as
- 73 $\alpha \delta T(P E)$, where α is 7%. This suggests a 7% per degree increase in $\delta(P E)$, such that zones of
- convergence trend towards larger positive values, and zones of divergence trend towards larger
- negative values. This $\delta(P E)$ pattern holds in the zonal average in observations and models and
- has been diagnosed in numerous regional scale studies¹¹⁻¹⁷.
- 77 The second component of Eqn 3, however, shows that the simple scaling relationship based on $\alpha \delta T$
- cannot apply over land. While the ocean offers an unlimited source of water for surface
- evaporation, it is not physically possible to maintain negative P E over land for a prolonged
- 80 period, except in limited areas where lateral surface or groundwater flows provide a water source

- 81 for E to exceed $P^{18,19}$. This complicates the transport-based WWDD argument over land. In addition,
- 82 the WWDD assumption of constant relative humidity under warming works best over the ocean.
- 83 Over land, where *E* can be water-limited and where surface temperatures, on average, rise faster
- 84 than over the ocean, relative humidity declines with warming¹. These limitations to WWDD were
- noted in its original presentation³, and subsequent studies have shown that global and zonally 85
- 86 averaged WWDD patterns are primarily a product of P - E trends over the ocean in both
- observations and models^{18–20}. From a water resources perspective, WWDD is also limited by the 87
- 88 scale of relevance. The simple scaling of $\delta(P - E)$ as $\alpha \delta T(P - E)$ does not apply in a fully
- 89 distributed, gridded sense²¹, and water resource planning is location-specific. Nevertheless, the
- 90 concept of WWDD gained traction as a short-hand for first-order prediction of water availability
- 91 changes everywhere.
- 92 Given these limitations, why consider WWDD for land at all? For one, understanding the limitations,
- 93 context, and potential extensions of WWDD can be informative. Doing so helps us to think through
- 94 the diverse and interacting ways in which the atmospheric impacts of climate change affect water
- 95 resources. Also, as researchers have interrogated the limitations of WWDD, some resource-relevant
- 96 dimensions of the framework have proved to apply at regional or seasonal scale.
- 97 We begin with the evidence for WWDD over land, as quantified in diagnostic studies of
- 98 observations and models. We focus on observational studies expressly designed to test WWDD
- 99 theories, and we give particular emphasis to model diagnostic studies that use Coupled Model
- 100 Intercomparison Project (CMIP) results, as the CMIPs provide the climate research community with
- 101 multi-model ensembles that characterize consensus and uncertainties in cutting-edge Global
- 102 Climate Models (GCMs). In performing these studies, researchers typically recognize that $\delta(P-E)$
- 103 is not a physically meaningful indicator for land areas, so they apply wetting/drying indices based
- 104 on change in the evapotranspiration ratio, runoff ratio, or soil moisture. Use of an aridity index such
- 105 as the ratio of precipitation to potential evapotranspiration (E_p) , P/E_p , for example¹⁸, allows one to
- 106 define physically meaningful "wet" and "dry" zones over land, and relative changes in *P* and *E* can
- 107 then be studied in those wet and dry zones. Studies adopting this approach have found that WWDD is not consistently valid over land areas. Greve et al.¹⁸ analyzed multiple observational datasets and 108
- 109 found that 10.8% of the global land area exhibits WWDD, while 9.5% of the world showed an
- 110 opposite "WDDW" pattern. Yang et al.²², using a multiple index approach, found that WWDD applied
- 111 over 20% of global land area while the opposite pattern was observed in 29% of global land area.
- 112 For areas where WWDD does apply, they found that WW was more common than DD.
- 113 Similarly, Kumar et al.²³ found that in GCMs the WWDD paradigm applied better in land areas that
- 114 are not water limited, favoring WW. Also working with GCMs, Greve & Seneviratne¹⁹ found that
- predictions of future change in CMIP5 simulations did not show statistically significant WWDD, 115
- except at high latitudes. Focusing on drylands, Li et al.²⁴ found that decadal variability and trends in 116
- P/E_n differed by region, contradicting the general WWDD hypothesis. They attributed this to the 117
- influence of changes in sea surface temperature (SST) patterns that lead to regional differences in 118
- 119 the impact that greenhouse warming has on precipitation. Feng and Zhang²⁵ applied satellite-
- 120 derived soil moisture records to study WWDD. Similar to other studies, they found that WWDD did 121
- not apply consistently, but they arrived at different estimates of percent agreement vs.
- disagreement, and noted that a "wetter-in-wet, dryer-in-dry" pattern does apply: a large percentage 122
- 123 of wetting trends were found in wet areas and a large percentage of drying trends were found in

dry areas, though this did not apply everywhere. Based on these and other studies, a consensus has
 emerged that WWDD cannot be assumed over land¹.

- 126 A complementary line of research, however, has found that WWDD does apply when one considers
- seasonality. Chou et al.²⁶ examined multiple observational datasets and GCM output and found a
- 128 positive trend in the differences between rainy season and dry season precipitation, due primarily
- 129 to precipitation increases in wet seasons (WW). This intensification of rainy season relative to dry
- 130 season precipitation has been found in several other studies^{14,27-29}, with the greatest increase in wet
- 131 areas³⁰, and has been attributed to enhanced water vapor transport into precipitation zones during
- 132 seasons that already favor convergence. Regional variability and unforced climate variability in the
- 133 observational record mean that this pattern has not always been confirmed^{31–33}, but the
- 134 preponderance of evidence supports the pattern. An overall tendency towards terrestrial drying in
- the dry season has also been identified in a range of observational records³⁴.
- 136 Combining seasonal and spatial perspectives, one can define "wet" and "dry" areas on the basis of
- 137 monthly conditions rather than long-term annual mean. Doing so places WWDD in a different
- 138 context: where a stationary aridity mask addresses the question of how climatologically wet and
- dry areas will change, a seasonally-varying mask that follows areas of water excess and deficit
- addresses the question of whether existing rain belts and zones of suppressed precipitation will
- 141 weaken or strengthen. Studies that take the latter approach consistently find that WWDD is
- 142 confirmed for global tropical land areas^{14,35}, and this pattern has been attributed to human
- 143 influence³⁶.

144 Changes in Water Vapor and Atmospheric Circulations

- 145 To understand the contrasting failures and successes of the WWDD paradigm, we turn to studies
- 146 that consider the problem from an atmospheric perspective and linearly split $\delta(\nabla \cdot Q)$ into a
- 147 thermodynamic term for changes in humidity $\delta Q_t = \mathbf{v} \cdot \delta q$ and a dynamic term for changes in the
- 148 circulation $\delta Q_d = \delta \mathbf{v} \cdot q$. Conceptually, the degree of success of the WWDD paradigm can be
- 149 understood by the balance between thermodynamic and dynamic changes under greenhouse gas
- 150 warming—recognizing that the two are not fully independent. We consider each in turn.
- 151 A number of studies adopt a thermodynamic perspective on WWDD, using water vapor changes as
- an entry point for understanding other fluxes. In this vein, Byrne & O'Gorman²⁰ probed the question
- 153 of why $\delta(P E)$ over land does not scale as $\alpha \delta T(P E)$ in GCM simulations. They found that much
- of the inconsistency is due to horizontal gradients in temperature and changes in relative humidity,
- 155 which they accounted for in an "extended scaling" relationship:

156
$$\delta(P-E) = \alpha \delta T(P-E) + \left(\frac{\delta H}{H}\right)(P-E) - \mathbf{G} \cdot \nabla(\alpha \delta T) - \mathbf{G} \cdot \nabla(\frac{\delta H}{H})$$
 Eqn. 4

- 157 Where *H* is near-surface relative humidity and **G** is a modified moisture flux term, such that the
- second, third, and fourth terms on the right-hand side account for local humidity changes,
- 159 temperature gradients, and gradients in humidity change, respectively. This extended relationship
- 160 better explains GCM simulation of wetting and drying trends over land, including the fact that
- simulated runoff from land surfaces increases less dramatically in CMIP5 GCM simulations than the
- 162 simple $\alpha \delta T$ convergence scaling would imply, and that $\delta(P E)$ is smaller than would be expected
- 163 from convergence scaling at most latitudes.

- Accounting for these horizontal gradients in humidity and temperature places $\delta(\nabla \cdot Q)$ in terrestrial
- 165 context, and in a descriptive sense this reconciles thermodynamic reasoning on water vapor
- 166 transport with observed and simulated large-scale WWDD patterns. This extended scaling context
- 167 does not, however, fully explain observed or simulated wetting and drying patterns²⁰. This is
- because even the extended scaling formulation assumes that changes in humidity and temperature
- 169 dominate changes in atmospheric circulation. In reality, changes in atmospheric circulations related
- 170 to greenhouse gas warming and, in some cases, to local regional processes, have a major impact on
- 171 wetting and drying patterns. Indeed, dynamical considerations dominate uncertainty in $\delta(P E)$ in
- 172 GCM predictions³⁷.
- 173 The influence of climate change on atmospheric circulations has been a major area of study. A full
- review of these studies is beyond scope for this paper, and is provided in recent reviews³⁸ and IPCC
- AR6 . From the perspective of water resources, a few key topics stand out: the global circulation
- 176 slowdown, changes in planetary circulations (Hadley and Walker cells), and the emergence of
- 177 anomalous regional circulations.
- 178 Global Circulation Slowdown. First, it is generally understood that greenhouse gas warming induces 179 a globally averaged slowdown in atmospheric circulations, particularly in the tropics and 180 subtropics. To first order: if the concentration of water vapor in the atmosphere increases at 7% 181 per degree warming while global precipitation increases at $\sim 2\%$ per degree³⁹, then the average 182 speed of the circulation that moves water vapor must decline. The thermodynamic argument is zero 183 dimensional and does not necessarily explain trends in major features of the atmospheric general 184 circulation. Complementary mechanisms have been proposed to explain the observed and 185 predicted slowdown in the atmospheric general circulation. These mechanisms include the 186 influence of reduced atmospheric lapse rate on atmospheric stability and rate of descent in 187 subsidence regions^{38,40,41} and the potential for enhanced upper tropospheric warming relative to
- 188 the surface to reduce temperature gradients between zones of ascent and descent⁴²⁻⁴⁴. The
- 189 anticipated reduction in circulation strength under greenhouse gas warming would be expected to
- 190 counterbalance the thermodynamic tendency towards WWDD: $\delta(\nabla \cdot Q_t)$ and $\delta(\nabla \cdot Q_d)$ would have
- 191 opposite signs. Given the global increase in precipitation^{3,13,45-48}, the global scale balance indicates
- that slowing of the circulation mutes but does not reverse the thermodynamic tendency towards an
- accelerated hydrological cycle consistent with WWDD, but that global balance does not necessarily
- 194 apply at regional to local scale.

195 Large-scale Tropical Circulations. The global slowdown is robust in theory and observation³⁸, but it 196 is a first order argument that does not consider spatial variability in SST warming or changes in the 197 spatial character of circulations (Figure 1). For example, the intensity and extent of the meridional 198 Hadley cells and zonal Walker cells are critical to $\delta(P - E)$ in tropical and subtropical regions, and 199 intensity changes in the Walker Cells appear to be more robust than those of the Hadley cells⁴⁹. This 200 cannot be explained by global slowdown alone. Similarly, predicted and, to some extent, observed 201 poleward shifts in the descending branch of the Hadley Cell and the location of mid-latitude storm 202 tracks have major implications for regional water resources, as does the observed and predicted 203 narrowing of the tropical rain belt associated with the intertropical convergence zone (ITCZ)⁵⁰. 204 IPCC AR6 indicates medium confidence that the Hadley Cells have intensified in recent decades, and 205 that it is likely that there has been poleward expansion of these cells, and GCM predictions 206 consistently indicate a weakening of the Walker Cells. But the theory and observation of changes to 207 the intensity and structure of these circulations are uncertain and are affected by internal

208 variability⁵¹, leading to a wide range of estimates of how future changes in these circulations will

209 affect regional water resources^{52,53}.



- 210
- Figure 1: components of the general atmospheric circulation referred to in the text, including the
- 212 meridional Hadley Cells (orange arrows) and associated generalized subtropical subsidence latitudes
- 213 (orange shading), the zonal Walker Cells (blue arrows), the Intertropical Convergence Zone (ITCZ;
- 214 *blue shading) and mid-latitude storm tracks (green shading).*
- 215 *Regional Circulation Effects:* At regional scale, global thermodynamic and circulation trends are
- often overwhelmed by other factors. For example, subtropical drying is a robust feature of climate
- change forecasts, and it has typically been explained in terms of thermodynamics or Hadley Cell
- 218 expansion. Recent work, however, has demonstrated that simulated subtropical drying over land,
- 219 where it is present, is a regionally-specific response to a combination of factors, including the
- perturbed radiative balance, land-ocean SST contrasts, SST warming patterns, and regional
 atmospheric circulations⁵⁴⁻⁵⁶. From a water resources perspective, it is also important to recognize
- that subtropical precipitation trends show a mix of negative and positive signals at regional scale,
- rather than uniform drying. In wetting regions, any tendency towards reduced precipitation that
- might be expected from Hadley Cell expansion or zonally-averaged increases in water vapor
- divergence is more than offset by regionally-specific factors⁵⁴. This does not mean that these
- regions are free of water resource concerns, given the potential for increased *E*, changes in
- 227 precipitation variability and intensity, and other considerations. But it does mean that the WWDD
- 228 narrative of subtropical drying due to increased global water vapor transport or expansion of the
- Hadley Cells does not apply at the scale of resource relevance.
- 230 Another consideration is the timescale of atmospheric changes relevant to regional water
- availability. Changes in atmospheric circulation can emerge as a result of the very rapid response to
- radiative forcing and land surface warming^{54,57,58}, relatively fast (timescale of years) response to ice
- 233 melt and SST warming, and longer term change (decades or more) associated with the
- establishment of new sea ice and SST patterns^{59,60}. This is particularly important when comparing
- recent observed change to predicted future changes in water availability: the absence of observed
- short-term trends is not necessarily evidence that a region will not experience longer term trends
- as SST change sets in. Zappa et al.⁶¹ demonstrate this principle in an idealized study of water limited
- 238 Mediterranean climates: Mediterranean climates in Chile, California, and around the Mediterranean
- 239 Sea all experience rapid response drying in GCM simulations with elevated CO₂, driven by radiative
- forcing and rapid SST change. Over longer timescales, however, California exhibits a reversal to a
- wetting trend on account of SST adjustments that lead to enhanced inflow of moist air from the
- Pacific Ocean. Chile and the regions surrounding the Mediterranean Sea, meanwhile, show very
- 243 little additional long-term change, such that the rapid drying signal persists. All three of these

subtropical regions are "dry" by most definitions, and all three exhibit drying in the near-term,
consistent with WWDD. But this consistency is lost over longer time horizons.

246 Changes in monsoon intensity, timing, and variability can have tremendous impacts on water 247 resources in the world's most populous countries. Such changes are a consequential example of 248 regional climate dynamics superimposed on changes in the global water cycle and global monsoon 249 system. Regional monsoons are linked to the tropical atmospheric overturning circulation through 250 mass flux and the momentum and energy balances^{62,63,64}. As such, an understanding of monsoons 251 under climate change requires evaluation of global atmospheric conditions, the general circulation, 252 and regionally-specific dynamics. This complexity is evident in observation: significant decadal 253 scale variability and short-term trends have been diagnosed, but it can be difficult to distribute 254 attribution of these changes between internal climate variability, greenhouse gas warming,

- aerosols, land cover change, and other factors^{65,66}.
- 256 That said, it is instructive to begin with the first order view of monsoons as moist energetically
- direct circulations in which a cross-equatorial overturning circulation converges moisture onto
- tropical and subtropical land. This leads to some simple expectations for monsoon behavior under
- 259 greenhouse gas warming. Namely, that the wet monsoon zone will receive more water vapor
- 260 convergence in a warmer climate, due to the greater water holding capacity of the air, and
- 261 experience positive $\delta(P E)$, consistent with WWDD. At the same time, warming of the middle to
- 262 upper troposphere directly by greenhouse gas and indirectly through convection over a warmer
- 263 ocean might slow the monsoon circulation. In GCM simulations the thermodynamic effect
- 264 dominates, leading to increases in total precipitation, frequency of extreme precipitation events,
- rainy season duration, and seasonal range between wet and dry seasons across most of the global
- 266 monsoon zone⁶⁷⁻⁶⁹. This prediction was evident in CMIP5⁷⁰ and is larger in CMIP6⁶⁹.

Examining regional systems within the global monsoon, however, makes it clear that this
generalized reasoning does not explain all predicted changes in the monsoon, and that changes in

- regional circulation need to be considered as well^{71,72}. First, the predicted increases in monsoon
 duration and precipitation are almost entirely a product of changes in the Northern
- Hemisphere^{72,73}. Predicted precipitation change in the Southern Hemisphere is small and uncertain,
- including uncertainty in the South American and Australian monsoon systems. This asymmetry can
- be understood as a product of asymmetric warming, as the northern hemisphere is expected to
- warm more quickly than the southern hemisphere, leading to a shift in the Hadley Cells and
- increased precipitation in the Northern Hemisphere monsoons^{73,74}. Intensification and lengthening
- of the Asian monsoons come at the expense of the Australian monsoon. Interestingly, GCMs forecast
- that the North American Monsoon will weaken over the 21st century, with precipitation particularly
- 278 reduced over Central America⁷⁵. This runs counter to general Northern Hemisphere monsoon
- strengthening and to simple moisture convergence reasoning, possibly because of the unique role
- of jet dynamics in the North American monsoon⁷⁶. Notably, the intensity of precipitation extremes
 within the North American Monsoon region is predicted to increase, as a result of thermodynamic
- 281 within the North American Monsoon region is predicted to increase, as a result of thermodynamic
 282 conditions⁷⁷. There is greater confidence in this prediction than there is in the prediction of changes
- 283 in precipitation totals^{78–80}.

As the examples of the monsoons, Mediterranean climates, and subtropical drying demonstrate,

- regional changes in circulation can determine the spatial pattern of wetting and drying trends. The
- paradigm of a growing gap between wet and dry conditions due to differences in scaling between
- water vapor and precipitation that underlies WWDD is, however, quite powerful when considering

- variability. To first order, wet seasons tend to become wetter and dry seasons tend to become dryer
- 289 under greenhouse gas warming. This pattern applies over land and is relevant when considering
- 290 climate change impacts on water resources.

291 Global Aridification

- 292 In contrast to the WWDD emphasis on increasing disparities in available water, Global Aridification
- 293 (GA) focuses on the potential for general drying under climate change in most regions^{7,81-84}. Viewed
- through the lens of precipitation alone, the GA hypothesis is surprising. There is consensus that,
- averaged over both land and ocean, *P* increases under greenhouse gas warming, and observations
- indicate that *P* has increased over land areas in the global average¹⁰. The fact that researchers have
- found evidence for GA in both observations and model output despite globally averaged trends in *P*emphasizes the importance of the full water balance for wetting and drying trends.
- 299 In approaching the literature on GA, one must distinguish between meteorological aridity,
- 300 hydrological aridity, and agroecological aridity (Figure 2). Meteorological aridity is a function of
- 301 atmospheric water supply and demand. Supply is typically quantified as precipitation, while
- 302 atmospheric evaporative demand is quantified with variables like Potential Evapotranspiration
- 303 (E_P), relative humidity, or vapor pressure deficit. The aridity index (P/E_P) is frequently presented as
- an indicator of meteorological aridity, though there can be problems in its application, as described
- below. Hydrological aridity relates to changes in land water storage or flows, and is quantified in
- 306 reductions in *P E*, soil moisture, groundwater, snowpack, or runoff. Agroecological aridity is
- 307 concerned with reductions in net ecosystem productivity, and can be tracked using vegetation
- 308 indices, carbon fluxes, and other ecological measures.



309

- 310 *Figure 2: Processes involved in meteorological, hydrological, and agroecological aridification.*
- 311 Meteorological focuses on atmospheric supply and demand, with some methods accounting for
- 312 vegetation influence on E_P . Hydrological involves the water balance and can be estimated in terms of
- 313 actual fluxes (P E, runoff) or water storage. Agroecological examines trends in carbon fluxes,
- 314 productivity, and ecological indicators of aridity.
- 315 Trends in each form of aridity are sensitive to different combinations of processes, and they might
- 316 not correlate with each other. This is evident when considering how intensity and timing of
- 317 precipitation may influence different types of aridity. If the same annual total volume of
- 318 precipitation is concentrated in more intense events, for example, or comes as rain rather than
- 319 snow, then runoff might increase even as P/E_P decreases. Changes in timing can also produce
- 320 contrasting trends for different aridification metrics⁸⁵. WWDD on seasonal timescales, for example,
- 321 in which precipitation is concentrated in wet seasons, might lead to an increase in total annual

322 runoff but reductions in average annual soil moisture. The diagnosis of feedback processes also

323 depends on how aridification is defined: GCM experiments indicate that reduced soil moisture

324 under global warming amplifies (meteorological) aridification via a positive feedback on E_P^{86} , while

- 325 a GCM investigation of drylands shows that reduced soil moisture mitigates (hydrological)
- 326 aridification via a negative feedback on actual evapotranspiration (E) that buffers soil moisture 327 loss⁸⁷.

328 Given the prediction for increased average *P* over global land under greenhouse gas warming, the 329 meteorological GA hypothesis is best understood in terms of evaporative demand^{21,88}. The demand 330 term can be defined using a number of atmospheric variables. We focus on E_P because it is widely 331 used and because its applications are debated. As a starting point, it is understood that E_P increases 332 for both land and ocean under greenhouse gas warming, primarily due to the elevated air 333 temperature. This understanding is grounded in theory and modeling results^{9,89,90}, which indicate 334 that E_P over land should increase at ~5% per degree and that the rate of increase per degree should be fairly spatially uniform^{8,9,91}. The rate of E_P increase over land is larger than over the ocean 335 336 because warming over land is greater^{20,92}, leading to reduced relative humidity and increased vapor 337 pressure deficit⁸³. This increase in E_P underlies predictions of continental drying. True to this 338 understanding, a number of studies that have employed E_P as a standalone term or as a component 339 in an aridity index have found that greenhouse gas warming causes a widespread drying over land, 340 with much larger areas showing declines in water availability than might be expected from precipitation trends alone^{7,8,81,82,84,91,93–95}. This predicted drying is accompanied by substantial 341 342 increases in drought^{6,96-100}, though the question of GA is distinct from the question of whether 343 droughts increase under climate change: where GA is concerned with trends in prevailing aridity, 344 the frequency, duration, and intensity of droughts are sensitive to changes in climate variability and

- land cover conditions¹⁰¹. 345
- 346 There are, however, several complications for GA reasoning. One identified problem is the "pan
- 347 evaporation paradox," which received considerable attention in the literature but has mostly been 348 resolved conceptually and observationally. Conceptually, a trend towards reduced pan evaporation
- 349 in the later 20th century led to the question of whether the hydrological cycle was slowing¹⁰², in
- 350 contradiction to GA. As researchers quickly recognized, however, reduced pan evaporation could be
- associated with a complementary increase in $E^{103-105}$ and could also be a product of differences 351
- 352 between E_P from a vegetated surface and an open water pan evaporation measurement¹⁰³. This
- 353 conceptual resolution to the problem still left open the possibility that evaporative demand was
- 354 declining, suggesting a decrease in meteorological aridity. Recent studies of pan evaporation from
- 355 several regions, however, indicate that the declining trend has plateaued or reversed in recent 356 decades^{106–108}, as the influence of increased vapor pressure deficit has overwhelmed other
- 357 meteorological factors¹⁰⁹. This brings the pan evaporation trend into agreement with energy
- 358
- balance models¹¹⁰, GCM predictions, and general theory.
- 359 Other complications with E_P -driven GA include apparent inconsistencies with studies of
- 360 paleoclimate, which indicate that cooler periods are drier, while warmer periods are characterized
- 361 by vegetation expansion and, seemingly, a reduction in moisture limitation^{88,111-114}. This suggests
- 362 that from an agroecological perspective, "warmer is wetter" over land. From a hydrological
- 363 perspective, coupled GCM simulations of future climate tend not to show dramatic declines in
- streamflow, and trends in soil moisture differ by method and metric^{86,101,115-117}, indicating that the 364
- 365 coupled models do not predict hydrological GA in the coming century. These paleoclimate and GCM

- 366 results would appear to be a contradiction to GA, but there is not necessarily a contradiction
- between meteorological drying and agroecological or hydrological wetting—the processes
- 368 governing each are distinct.
- 369 But while opposing trends in different types of aridity can be explained, problems arise when
- trends relevant to meteorological aridification are misapplied or misinterpreted when studying
- 371 hydrological or agroecological aridification. Milly and Dunne¹¹⁸ emphasize these problems. They
- point out that E_P is a diagnostic field in GCMs that is sensitive to meteorology and land-vegetation-
- atmosphere processes. This diagnostic E_P field is not always included in public GCM data portals, so
- 374 studies of hydrological aridity GCM trends often rely on offline E_P calculations. This is often done by
- applying a fixed parameter Penman-Monteith formulation^{119,120}, or similar. This offline E_P estimate
- is then applied to calculate simplified aridity or drought indices, or to drive offline simulations witha hydrological model. Note that the Penman-Monteith formulation is, formally, a reference
- 377 a hydrological model. Note that the Penman-Monteith formulation is, formal 378 evapotranspiration for a well-watered crop rather than an $E_{P^{121}}$.
- 379 The problem with the offline approach, then, is that the diagnostic E_P calculation does not take
- reduced stomatal conductance under elevated CO_2 into account. While that process is included in
- the land model in modern GCMs, it is not part of simplified drought index calculations, and it is not
- always considered in offline hydrological models. This can lead to a spurious overestimate of future
- E, resulting in exaggerated drying trends in soil moisture and runoff^{101,118}. Other reasons that these
- 384 offline, E_P -based indices might overestimate drying include the fact that the indices are typically
- calculated on monthly timescales or longer; that resistances to *E* require multilayer vegetation and
- 386 land surface parameterizations to be captured effectively; and that offline indices ignore land-
- 387 atmosphere feedbacks, including those mediated by vegetation physiology, type, and
- 388 structure^{86,101,122}. For these reasons, use of E_p diagnosed from GCM output is problematic when
- applied to predictions of agricultural or hydrological aridification, including via the application of
- 390 simplified metrics like the aridity index.
- When considering trends in E, as opposed to E_P , one needs to engage the role of vegetation in
- 392 connecting subsurface water to the atmosphere. As noted above, increases in CO₂ enhance plant
- 393 water use efficiency¹²³⁻¹²⁶. This could have the effect of reducing the anticipated E across climate
- 394 zones, possibly leading to increases in runoff, groundwater recharge, and/or soil moisture^{122,127-131}.
- Another important mechanism for reduced water use is the fact that plants can limit transpiration
- 396 under dry conditions to avoid desiccation¹³². This implies a partial decoupling of increases in 397 atmospheric evaporative demand and evapotranspiration under soil moisture stress. Models
- indicate that both the CO_2 and soil moisture effects can result in substantial reductions in
- 390 atmospheric humidity⁸⁶.
- 400 These water efficiency effects, however, are counteracted by enhanced plant growth under elevated
- 401 CO₂, longer growing seasons in cold-limited climates, and increased soil moisture in regions
- 402 experiencing wetting^{127,128,133–137}. In recent decades there has been an observed contribution of
- 403 increased vegetation growth to a positive trend in terrestrial *E*, while evidence of increased
- 404 stomatal resistance is mixed across ecosystems and climate conditions^{1,138–142}.
- 405 On net, it is not clear to what extent the combined effects of CO₂-induced plant water use efficiency
- 406 and plant reduction of evapotranspiration under soil drying will lead to global reductions in
- 407 hydrological aridity—that is, a tendency towards a globally-averaged "warmer is wetter" trend.
- 408 Studies of GCM output indicate that global runoff increases with greenhouse gas warming. This

trend, in part, can be understood as a function of regional increases in precipitation, a shift from

- 410 frozen to liquid precipitation in some regions, and a concentration of precipitation in more extreme
- 411 events¹. Many of these studies, however, depend on models that do not include the potential for
- structural vegetation or ecological change. This means that they do not fully account for the
- 413 potential for E to increase with greening in an elevated greenhouse gas world⁸⁸ and may be
- 414 systematically biased by accounting for physiological water use efficiency effects while ignoring
- 415 increased water use due to plant growth 136 .
- 416 Cui et al.¹⁴³ examined these vegetation influences in GCM experiments. They found that in wet areas
- 417 simulated plant structural response to CO₂ saturates: after a certain point, nutrient, temperature,
- and light limitations prevent continued increase in vegetation growth. This allows the water use
- 419 efficiency effect to dominate. In drylands, meanwhile, increases in vapor pressure deficit driven by
- 420 water vapor divergence and land-sea warming contrasts are reinforced by CO₂-induced increases in
- 421 stomatal resistance and reductions in $E^{86,144,145}$. But in these ecosystems the plant structural
- 422 response is far from saturated, and water use efficiencies gained from elevated CO_2 can offset water
- 423 limitations on photosynthesis^{146–148}. This can lead to significant greening even as atmospheric
- 424 aridity increases¹⁴⁹. The implication is that the combined physiological and ecological impacts of
- elevated CO₂ support a hydrological WWDD rather than GA, as wetter areas retain more water due
 to plant physiological effects while dry areas experience offsetting physiological and structural
- 427 influence on *E*. This feature is confirmed in other studies^{133,134}, but with variability in geography and
- 428 aridity thresholds.
- 429 A CMIP5 GCM study by Lemordant et al.¹⁴⁴ yielded geographic patterns similar to Cui et al.¹⁴³ at
- 430 large scale, reflecting the relative magnitudes of physiological and structural effects. Lemordant et
- 431 al.¹⁴⁴ particularly emphasized the magnitude of influence that plant physiological and structural
- 432 responses have on hydrology and the coupled carbon cycle. *E*, *P E*, and evaporative fraction all
- 433 exhibited greater sensitivity to physiological change than they did to changes in *P* or in direct
- radiative effects of elevated greenhouse gases. This strong influence of vegetation was found
- despite the fact that GCMs tend to underestimate vegetation-mediated land-atmosphere
- 436 feedbacks¹⁵⁰. These feedbacks can be particularly strong in regions that are transitional between
- 437 water limited and energy limited regimes^{132,150-153} and during ecologically significant extreme
- 438 events^{154–157}.
- 439 The results of these studies are consistent with paleoclimate evidence of "warmer is greener," as
- 440 plant leaf area index and vegetation cover increase in dry, warm areas. From the perspective of
- 441 available water—that is, hydrological aridity—patterns are geographically mixed and, if anything,
- 442 appear to be more consistent with a vegetation tendency to reinforce WWDD than to drive the
- system towards either systematic GA, as one might infer from an analysis of atmospheric demand,
- 444 or systematic wetting, as one might infer from physiological effects alone.
- It is worth noting that most studies of GA (and of WWDD) focus on natural vegetation responses
- and feedbacks related to greenhouse gas warming. But other anthropogenic influences, including
- 447 aerosol loading—which on the balance appears to mitigate GA¹⁵⁸—and land cover change can also
- 448 matter^{159,160}. Interactions between aridity trends and water management may also have significant
- implications for water resources in some regions (**Box 1**).
- 450
- 451 **Box 1: Irrigation and aridification**

- 452 Irrigation distributes water across the surface, resulting in increased evapotranspiration. This can
- 453 affect aridity at regional scale. In some cases it is a buffering effect: increased humidity can reduce
- 454 evaporative demand, and under some conditions can enhance precipitation locally or downwind.
- Irrigation also alters surface energy fluxes through changes in albedo and turbulent fluxes, with
- 456 potential impacts on mesoscale circulations and planetary boundary layer conditions relevant to
- both atmospheric demand and precipitation^{161,162}.
- 458 Another aridity-irrigation interaction relevant to water management is "climate-induced pumping,"
- in which water withdrawals increase during dry periods. In the context of aridification, this means
- that water resources will be depleted faster than anticipated. The influences of rainfall deficit and
 evaporative demand on climate-induced pumping are geographically specific¹⁶³ and depend on
- 461 evaporative demand on climate-induced pumping are geographically specific¹⁶³ and depend on
 462 management strategy and regulations. The ultimate impact of aridification on the sustainability of
- 463 agricultural production in irrigation-dependent regions depends, in part, on these dynamics. In a
- 464 modeling study, Nie et al.¹⁶³ found that climate-induced pumping in the United States was greatest
- 465 in transitional climate zones rather than semi-arid to arid regions. This suggests that increased
- 466 irrigation demand under climate change could amplify water stress in regions that are not currently
- the focus of efforts to reduce unsustainable groundwater use.
- 468

469 Aridification and Drought

470 Studies of GA generally focus on trends in time-mean conditions. In this context, "aridification"

- refers to the average state, and not necessarily to the frequency of dry (or wet) extremes. As
- already noted, however, the processes that contribute to a trend in average aridity play out over a
- range of timescales. Globally, the intensity of precipitation extremes increases with warming at a
- 474 greater rate than total precipitation¹⁶⁴⁻¹⁶⁶. In regions affected by increased extremes, we expect a
- 475 larger percentage of rainfall will occur under conditions of soil saturation or overwhelmed
 476 infiltration capacity, increasing the average runoff fraction and leading to high streamflow at the
- 477 expense of local water recharge. At seasonal scale, wetting of wet seasons and drying of dry
- seasons^{27,30,33} also favors runoff over recharge. These intensity and seasonality trends help to
- 479 explain why GCMs can predict widespread reduction in soil moisture even when the spatial extent
- 480 of precipitation and runoff reductions is more limited⁸⁵, though the extent of this divergence
- depends on method of analysis¹⁰¹. Changes in intraseasonal variability¹⁶⁷ and snow accumulation
- and melt¹⁶⁸ also have substantial implications for hydrology and agroecology. Forecasts of future
- regional¹⁶⁹⁻¹⁷² or general global aridification, then, involve a combination of processes across
- 484 timescales.
- 485 The relevance of shorter timescales to GA brings us to the question of global trends in
- 486 drought^{2,85,101}. In contrast to aridification, drought is a transient phenomenon defined relative to
- 487 prevailing conditions. As with GA, discussion of drought trends can be complicated by definitions.
- 488 *Meteorological drought*, defined in terms of precipitation deficit, *agricultural or ecological drought*,
- 489 most commonly defined in terms of soil moisture anomaly, and *hydrological drought*, defined in
- 490 terms of surface or groundwater supply, develop on different timescales and are sensitive to
- 491 different atmospheric and surface processes. Studies of drought can also differ in baseline, input
- 492 data, thresholds, and focus on intensity, duration, or frequency of events.

- 493 Drought trends have been addressed in recent reviews^{101,173} and IPCC AR6². These reviews have
- 494 noted a global tendency towards increased evaporative demand over land—meteorological
- aridification—that exerts a positive forcing on drought. Overall, however, trends in all classes of
- drought are regionally-specific rather than global, on account of regional hydrological processes
- 497 and precipitation trends. Drought increases, like aridification, are most widespread when defined in
- 498 terms of soil moisture deficits, on account of soil moisture sensitivity to increased evaporative
- demand and, in some regions, runoff partitioning. The geographic pattern of observed and
 predicted drought trends generally looks similar to patterns found in studies of aridification. This
- 500 predicted drought trends generally looks similar to patterns found in studies of aridification. This is 501 not inherently obvious, since drought trends are sensitive to climate variability as well as overall
- 502 trends, though this might be a product of the uncertainty in predicted changes in climate
- 503 variability⁵³: there simply isn't confidence in model predictions of the processes that might
- 504 decouple drought risk from long-term water balance trends.

505 Outlook

- 506 So, are WWDD and GA useful frameworks? Both fail when applied as all-encompassing statements
- 507 on climate trends, but each is valid in specific contexts. WWDD applies best for studies of seasonal
- trends (or trends in seasonally migrating wet and dry zones) rather than for regions defined as
- 509 "wet" or "dry" based on long-term average conditions. GA may hold for meteorological aridification
- 510 or, in some analyses, for hydrological aridification defined in terms of soil moisture, but appears not
- 511 to hold for runoff or for agroecological aridity.
- 512 From a research perspective, the idealizations that underlie the appropriately contextualized
- versions of WWDD and GA can offer an entry point for studying process, including in cases where
- 514 the frameworks fail. At regional scale, for example, one can consider how changes in atmospheric
- 515 humidity, atmospheric stability and circulations, land-atmosphere interactions, or vegetation
- 516 change may influence a trend that is consistent or inconsistent with WWDD, and how those
- 517 processes might relate to a more nuanced framework such as wet seasons becoming wetter and dry
- seasons drier (WSWDSD) or wet events to becoming wetter while dry events become drier
 (WEWDED)¹⁷⁴. Considering the meteorological processes proposed to drive GA, meanwhile, can be
- 519 (WEWDED)^{1/4}. Considering the ineteorological processes proposed to drive GA, meanwhile, can t 520 useful when studying climate regime shifts (CRS), in particular as humid regions become
- 521 transitional and transitional regions enter dry climate regimes. These shifts to drier climate
- 522 regimes are critically important and offer a more specific and targeted framing of aridification
- 523 trends.
- 524 This utility when formulating and testing hypotheses, however, is counterbalanced by the risk that
- 525 catchy phrases like WWDD or GA may continue to be adopted as short-hand and misapplied in
- 526 other fields and in public communication of climate science. Recognizing this risk, we conclude that
- 527 it would be more useful for climate scientists to refer directly to the processes and variables that
- underlie these frameworks rather than resorting to WWDD or GA shorthand. Discussion of positive
- trends in evaporative demand over land or of thermodynamic influence on water vapor transport
- are more both more specific and less likely to be miscommunicated, even if (and perhaps because)
- they don't roll of the tongue quite so easily.
- 532 Moving forward, the focus on disentangling processes rather than assuming generalized outcomes,
- 533 as well as on carefully assessing regional specificities, will continue to be of high relevance for
- predictions of water availability and to water resource management under climate change. In
- 535 pursuing this predictive capability, it is important to distinguish between descriptive explanation,

- 536 including results presented in many of the diagnostic studies reviewed here, and predictive
- 537 explanation, in which theory has been embodied in models with predictive skill. Finally, the
- 538 interference of human activities on the water cycle does not only manifest through increases in
- 539 greenhouse gases and associated global warming and climate change, but also through direct
- 540 perturbations of the water cycle from irrigation and land use and land cover change. A
- 541 comprehensive assessment of expected water cycle changes in the coming decades thus needs to
- 542 integrate the different dimensions of human impacts on the global and regional water exchanges.

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