

1 A multi-satellite framework to rapidly evaluate extreme biosphere cascades: the Western US  
2 2021 drought and heatwave  
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4 Andrew F. Feldman<sup>1,2</sup>, Zhen Zhang<sup>3</sup>, Yasuko Yoshida<sup>4</sup>, Pierre Gentine<sup>5</sup>, Abhishek Chatterjee<sup>6</sup>,  
5 Dara Entekhabi<sup>7</sup>, Joanna Joiner<sup>8</sup>, Benjamin Poulter<sup>1</sup>  
6

7 <sup>1</sup>Biospheric Sciences Laboratory, NASA Goddard Space Flight Center, Greenbelt, MD, USA

8 <sup>2</sup>NASA Postdoctoral Program, NASA Goddard Space Flight Center, Greenbelt, MD, USA

9 <sup>3</sup>Earth System Science Interdisciplinary Center, University of Maryland, College Park, MD,  
10 USA

11 <sup>4</sup>Science Systems and Applications, Inc. (SSAI), Lanham, MD, USA

12 <sup>5</sup>Department of Earth and Environmental Engineering, Columbia University, New York, New  
13 York, USA

14 <sup>6</sup>Jet Propulsion Laboratory, California Institute of Technology, Pasadena, CA, USA

15 <sup>7</sup>Department of Civil and Environmental Engineering, Massachusetts Institute of Technology, 15  
16 Vassar St., Cambridge, Massachusetts, 02139, USA

17 <sup>8</sup>Atmospheric Chemistry and Dynamics Laboratory, NASA Goddard Space Flight Center,  
18 Greenbelt, MD, USA  
19

20 **Abstract**

21 The increasing frequency and intensity of climate extremes and complex ecosystem responses  
22 motivate the need for integrated observational studies at low-latency to determine biosphere  
23 responses and carbon-climate feedbacks. Here, we develop a satellite-based rapid attribution  
24 workflow and demonstrate its use at a 1–2-month latency to attribute drivers of the carbon cycle  
25 feedbacks during the 2020-2021 Western US drought and heatwave. In the first half of 2021,  
26 concurrent negative photosynthesis anomalies and large positive column CO<sub>2</sub> anomalies were  
27 detected with satellites. Using a simple atmospheric mass balance approach, we estimate a  
28 surface carbon efflux anomaly of 132 TgC in June 2021, a magnitude corroborated  
29 independently with a dynamic global vegetation model. Integrated satellite observations of  
30 hydrologic processes, representing the soil-plant-atmosphere continuum (SPAC), show that these  
31 surface carbon flux anomalies are largely due to substantial reductions in photosynthesis because  
32 of a spatially widespread moisture-deficit propagation through the SPAC between 2020 and  
33 2021. A causal model indicates deep soil moisture stores partially drove photosynthesis,  
34 maintaining its values in 2020 and driving its declines throughout 2021. The causal model also  
35 suggests legacy effects may have amplified photosynthesis deficits in 2021 beyond the direct  
36 effects of environmental forcing. The integrated, observation framework presented here provides  
37 a valuable first assessment of a biosphere extreme response and an independent testbed for  
38 improving drought propagation and mechanisms in models. The rapid identification of extreme  
39 carbon anomalies and hotspots can also aid mitigation and adaptation decisions.  
40

41 **1. Introduction**

42 There is a growing need for timely information on terrestrial carbon flux response to  
43 climate extremes to better understand their drivers through attribution studies and to inform  
44 climate mitigation and adaptation policies (Ciais et al., 2014; Frank et al., 2015; Schimel et al.,  
45 2015; Sellers et al., 2018). Low-latency studies, within 2-3 months of an event, can enable rapid  
46 attribution and facilitate monitoring and management either during or directly following extreme

47 events (Reichstein et al., 2013; Zscheischler et al., 2013). The terrestrial biosphere removes the  
48 equivalent of about 25% of global annual anthropogenic emissions (Friedlingstein et al., 2021)  
49 and drives inter-annual variability in atmospheric CO<sub>2</sub> concentrations (Wang et al., 2013). While  
50 increasing anthropogenic CO<sub>2</sub> concentrations have been shown to increase biosphere carbon  
51 uptake with CO<sub>2</sub> fertilization of vegetation (Ainsworth and Long, 2005; Keenan et al., 2014),  
52 increasing drought frequency and intensity (Sheffield and Wood, 2008) may offset this response  
53 substantially (Reichstein et al., 2013; Jakob Zscheischler et al., 2014). These extreme events may  
54 leave long-lasting negative imprints on the biosphere (Ciais et al., 2005; Green et al., 2019)  
55 because slow biospheric carbon uptake can be compensated by rapid carbon releases due to  
56 extremes and disturbances (Körner, 2003). These extremes can also cause crossing of landscape  
57 thresholds and activation of biosphere mechanisms not typically experienced at that location  
58 (Grünzweig et al., 2022). Furthermore, droughts and heatwaves can amplify carbon uptake  
59 interannual variability (Ahlström et al., 2015; Luo and Keenan, 2022; Poulter et al., 2014),  
60 confounding how fossil CO<sub>2</sub> mitigation will be detected in the atmosphere at interannual  
61 timescales. As such, monitoring and improving the short-term attribution of extreme biosphere  
62 responses is becoming increasingly important for the global carbon cycle community.

63 Traditional remote sensing vegetation indices from the Moderate Resolution Imaging  
64 Spectroradiometer (MODIS) and others provide a means to rapidly identify vegetation anomalies  
65 as a proxy for extreme photosynthetic fluxes (Zscheischler et al., 2013). Additionally, satellite  
66 observations of column-integrated dry mole fraction of CO<sub>2</sub> (XCO<sub>2</sub>) could provide a  
67 complementary capability to detect total biosphere carbon flux anomalies, which also integrate  
68 respiration and wildfire emissions in natural ecosystems (Crisp et al., 2004). Satellite XCO<sub>2</sub>  
69 retrievals are limited by instrument noise and confounding background atmospheric transport  
70 (Buchwitz et al., 2021, 2017; Hakkarainen et al., 2016), and are mainly used to constrain the  
71 global terrestrial carbon cycle interannual variability (Patra et al., 2017). Nevertheless, satellite  
72 XCO<sub>2</sub> observations are available at the low latency (~1-2 months) and global scale needed to  
73 monitor biosphere response to large scale climate extremes (Peters et al., 2007; Schimel et al.,  
74 2015). Amongst debate in how sensitive XCO<sub>2</sub> anomalies are to surface fluxes (Chevallier, 2018;  
75 Schuh et al., 2022), there is evidence that spatially concentrated, extreme surface CO<sub>2</sub> fluxes  
76 imprint on sub-yearly variability of NASA's Orbiting Carbon Observatory-2 (OCO-2) XCO<sub>2</sub>  
77 retrievals (Chatterjee et al., 2017; Feldman et al., 2023; Liu et al., 2017; Weir et al., 2021).

78 To identify drivers of biosphere extremes, the existing constellation of Earth-observing  
79 satellites can monitor the soil-plant-atmosphere-continuum (SPAC) including surface soil  
80 moisture (Soil Moisture Active Passive; SMAP), terrestrial water storage (TWS from Gravity  
81 Recovery and Climate Experiment; GRACE), vegetation water content (vegetation optical depth  
82 (VOD) from SMAP), gross primary productivity (GPP from MODIS), boundary layer vapor  
83 pressure deficit (Atmospheric Infrared Sounder; AIRS), and precipitation (Global Precipitation  
84 Measurement; GPM). Since these data are available globally within 1–2-month latency, these  
85 observations alone can rapidly attribute major drivers of biospheric anomalies, supporting  
86 ground network and modeling efforts. Recent studies have revealed that the drought impacts on  
87 the biosphere are complex, including compounded and lagged biosphere legacy effects  
88 (Anderegg et al., 2020; Bastos et al., 2020). Given that these biosphere responses to extremes are  
89 not well characterized in Earth system models (ESMs) and dynamic global vegetation models  
90 (DGVMs) (Bastos et al., 2021; De Kauwe et al., 2015; Egea et al., 2011; Powell et al., 2013),  
91 satellite observations can be used as a benchmark for these biosphere models in attributing  
92 biosphere responses to droughts and heatwaves (Anderegg et al., 2015; Byrne et al., 2021).

93 Here, our objective is to demonstrate a satellite-based workflow that can detect extreme  
94 biospheric flux anomalies and identify their climatic drivers at low latency. Apart from its low  
95 latency, the workflow's observation use provides fundamental insights into terrestrial biosphere  
96 behavior under extreme climate conditions and benchmarks for modeled behavior. Furthermore,  
97 the workflow's use of a breadth of observations at sub-seasonal timescales allows pinpointing  
98 specific and/or combinations of soil-plant-atmosphere variables responsible for carbon flux  
99 anomalies, given complex behavior during extremes that obscures attribution.

100 We specifically apply this framework to address: how did the Western US biosphere  
101 respond to the 2020-2021 extreme climate event? This event was characterized by unprecedented  
102 agricultural and municipal water shortages (Fountain, 2021; Williams et al., 2022) as well as a  
103 1000-year heatwave in the Pacific Northwest in spring 2021 (Philip et al., 2021). The Western  
104 US is becoming a hotspot for megadroughts and increasing drought frequency (Cook et al.,  
105 2021) and its biosphere dynamics are strongly linked to water availability (Short Gianotti et al.,  
106 2019). This combination will result in the Western US increasingly contributing to the global  
107 carbon cycle interannual variability, similarly to Australia (Haverd et al., 2017). To demonstrate  
108 the low-latency feature of the workflow, the analysis took place with data available by  
109 September 2021.

## 110 **2. Materials and Methods**

### 111 *2.1 Extreme Biosphere Cascade Detection and Analysis Workflow*

112 We organize our observational analysis into a low-latency framework for evaluating  
113 effects of extreme climate anomalies on the terrestrial biosphere that is transferable to future  
114 events. The workflow is purposely flexible and guides the main analyses that should be  
115 conducted in a rapid attribution study to inform other analyses of the same event, to provide  
116 ample information for future meta-analyses, and to aid in biosphere model development and  
117 testing. All methods were independently developed and validated to varying degrees previously  
118 but are organized here into four steps.

119 The first two steps include identifying the spatiotemporal domain of climatic and CO<sub>2</sub>  
120 flux anomalies (Fig. 1; Panels I and II). The purpose of these steps is to initially define the  
121 spatiotemporal domain of the carbon-climate feedback and initially identify the interacting  
122 carbon and climate variables. The spatiotemporal domain should be defined using a standardized  
123 anomaly metric (i.e. z-score, percentiles) (Slette et al., 2019), though we acknowledge a range of  
124 available anomaly detection methods and subjectivity given challenges in defining  
125 spatiotemporal bounds of extreme events (Flach et al., 2017; Meroni et al., 2019; Nicolai-Shaw  
126 et al., 2017; Vicca et al., 2016; Zscheischler et al., 2014).

127 Step I's purpose is to determine where and when a carbon flux anomaly occurs and which  
128 carbon components may be responsible (Fig. 1 Panel I). Such large anomalies can be searched  
129 for based on knowledge of an ongoing climatic event (i.e., known ongoing drought in a region)  
130 or based on a global search for anomalies with low-latency datasets (Zscheischler et al., 2013).  
131 "Extreme" has different definitions across the literature. We recommend detecting anomalies at  
132 the tails of the variable's distribution outside of typical variability based on user-defined z-score  
133 or percentile thresholds (Smith, 2011; Yang et al., 2023; Zscheischler et al., 2013). Note that the  
134 carbon anomaly's magnitude, duration, and/or spatial extent can be the extreme feature to be  
135 investigated (Reichstein et al., 2013; Yang et al., 2023). In our framework, Step I first includes  
136 detecting the total carbon anomaly (i.e., with XCO<sub>2</sub>), indicating a large total CO<sub>2</sub> flux anomaly,  
137 and then detection of individual CO<sub>2</sub> flux components. However, given that some CO<sub>2</sub> flux  
138

139 anomalies may not be observed with XCO<sub>2</sub> from greenhouse gas satellites (Feldman et al.,  
140 2023), individual CO<sub>2</sub> component anomaly detection (i.e., vegetation indices) should be initiated,  
141 especially if there is a known climate anomaly. Furthermore, we demonstrate a rough estimation  
142 of surface carbon fluxes that can be used in this step, acknowledging its uncertainty and its  
143 usefulness only under specific spatiotemporal conditions (Feldman et al., 2023).

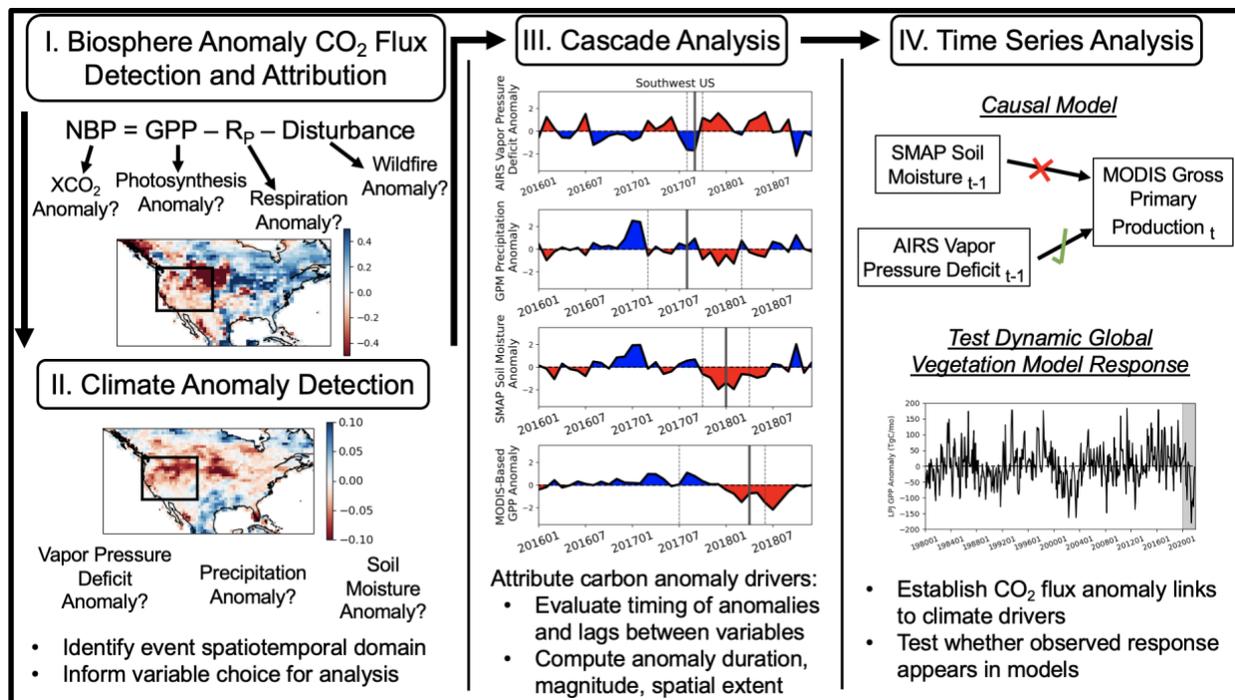
144 Step II involves determining the driving climate anomaly variables (Fig. 1 Panel II). It  
145 should also expand on the spatiotemporal domain determined in Step I. For temporal  
146 considerations, with an expected time lag in the biosphere response, this step should determine  
147 the event beginning when the climate anomaly initiates a cascade before the identified carbon  
148 anomaly. For spatial considerations, climate anomalies may extend beyond the spatial domain of  
149 the Step I identified carbon response since different biomes respond to extreme climate to  
150 varying degrees (Smith, 2011). Some subjectivity is expected when defining the spatiotemporal  
151 bounds of the study. Note that Step II can be completed before Step I if the interest is in  
152 determining whether a climate anomaly resulted in a carbon anomaly.

153 Following the spatiotemporal domain definition, Step III is a cascade analysis evaluating  
154 how climate anomalies temporally propagate from atmosphere to soil to the surface carbon flux  
155 component (Fig. 1; Panel III). The purpose is to attribute carbon anomaly drivers by establishing  
156 links between climate and carbon variables and identifying timing and magnitude attributes of  
157 the anomalies. Climatic extremes, especially droughts, typically exhibit a hydrologically-linked  
158 cascade, or an anomaly propagation from atmosphere to land surface variables with a time lag  
159 and changing amplitude in each variable it effects (Farahmand et al., 2021). We extend the  
160 cascade definition here to study how the hydrologic cascade propagates into the biosphere and  
161 focus on the utility of evaluating the time lags. The choice of variables to evaluate in the cascade  
162 analysis should be informed by Steps I and II, but it is suggested that at least precipitation, soil  
163 moisture, and VPD are consulted to evaluate observation-based propagation of a warm and/or  
164 dry anomaly into the biosphere. The use of more variables may become limited by computational  
165 needs (Ciais et al., 2014), though the datasets presented here are all available on public, user-  
166 friendly databases. The spatial extent, duration, and magnitude of all anomalies should be  
167 computed in this step for ease of comparison to other events and application in meta-analyses  
168 (Reichstein et al., 2013; Slette et al., 2019).

169 The fourth step is a targeted time series analysis to statistically test detailed links between  
170 dry/hot anomalies and a biosphere response within the cascade. Results from the statistical  
171 analyses of observed ecosystem response to extreme climate can also be used to test aspects of  
172 DGVM outputs. Regression models can be consulted, such as those that detect causality via  
173 Granger Causality using observations (Feldman et al., 2020; Green et al., 2017). While links  
174 between these variables can be known from previous analyses, it is suggested that a causal model  
175 is used to evaluate the anomaly during the event due to potential changes in ecosystem function  
176 during extremes (Bastos et al., 2020).

177 Each analysis step progressively allows more detailed analysis of biosphere response  
178 drivers. Additionally, given that each analysis partially relies on results from the previous step,  
179 each step increases in need for adaptation to the specific location and event. As such, it is  
180 expected that the cascade analysis and time series analysis will require more adaptation for use  
181 on different events and locations.

182



183  
 184 Fig. 1. Low-latency, remote sensing-based framework to evaluate extreme carbon-climate  
 185 feedbacks and attribute drivers. (I) Detection and attribution of CO<sub>2</sub> flux anomaly during the  
 186 extreme event. (II) Spatiotemporal detection of climatic anomaly. (III) Cascade analysis of  
 187 environmental drivers of the CO<sub>2</sub> flux anomaly. (IV) Time series analysis to determine linkages  
 188 between environmental drivers and CO<sub>2</sub> flux during the event.

189  
 190 **2.2 Study Domain**

191 Based on our analysis in Steps I and II, we identified the study region as the Western US  
 192 (latitude: 33°N-49°N, longitude: 125°W-104°W), which is characterized by predominantly semi-  
 193 arid ecosystems and localized temperate forest ecosystems. These steps also identified the main  
 194 study period as January 2020 to July 2021. We applied the framework to the extreme drought  
 195 and heatwave of spring 2021 that is superimposed on a year of large water deficits and high  
 196 temperatures beginning in 2020 (Dannenberg et al., 2022; Williams et al., 2022). Note that this  
 197 study took place using data available in September 2021.

198  
 199 **2.3 Datasets**

200 Our workflow uses satellite-based carbon cycle variables responding to climate  
 201 anomalies including OCO-2 XCO<sub>2</sub> and solar induced fluorescence (SIF) between 2014-2021 at  
 202 16-day and 3kmx3km resolution (OCO-2-Science-Team et al., 2020a, 2020b), and MODIS-  
 203 based FluxSat GPP between 2003-2021 at daily and 1°x1.25° resolution (Joiner and Yoshida,  
 204 2021, 2020). GPP is mainly used to represent vegetation response while SIF is only used as an  
 205 independent photosynthesis proxy in Step IV. Quick Fire Emissions Dataset (QFED) biomass  
 206 burning emissions at daily and 0.1°x0.1° resolution (Koster et al., 2015) and CarbonMonitor  
 207 fossil fuel emissions reported in the Western US states in our region (Liu et al., 2020) were used  
 208 as auxiliary datasets to assess other contributions to surface carbon fluxes (Fig. S1).

209 The workflow also attempts to represent diverse components of the soil-plant-atmosphere  
 210 continuum to attribute complex drivers of extreme carbon anomalies. These satellite observations

211 include atmospheric variables from AIRS boundary layer air temperature, specific humidity, and  
212 vapor pressure deficit (VPD) between 2003-2021 at daily and  $1^{\circ}\times 1^{\circ}$  resolution (Teixeira, 2013)  
213 and from GPM rainfall between 2010-2021 at daily and  $0.1^{\circ}\times 0.1^{\circ}$  resolution (Huffman et al.,  
214 2019). We also used land surface variables including deeper water stores from GRACE TWS  
215 between 2002-2021 at monthly and  $0.5^{\circ}\times 0.5^{\circ}$  resolution (Wiese et al., 2019) as well as surface  
216 soil moisture and vegetation water content (VOD) from SMAP between 2015-2021 at 1-3 day  
217 and  $9\text{km}\times 9\text{km}$  grid scales using an alternative algorithm that is independent of MODIS  
218 measurements (Feldman et al., 2021).

219 Several other datasets were used as ancillary support. CarbonTracker reanalysis  
220 (CT2019B) assimilated XCO<sub>2</sub> profiles and total surface carbon fluxes at 3-hourly and  $1^{\circ}\times 1^{\circ}$   
221 resolution were used to test OCO-2 XCO<sub>2</sub>-based flux estimate methods (Peters et al., 2007).  
222 Global Precipitation Climatology Project (GPCP) rainfall between 1979-2021 at monthly and  
223  $2.5^{\circ}\times 2.5^{\circ}$  resolution were used to report a drought index, or standard precipitation index (SPI)  
224 (Mckee et al., 1993; Slette et al., 2020). Though at longer latency, GPCP monthly rainfall data  
225 were used in the causal regression analysis given its greater sampling period (Adler et al., 2003).  
226 We additionally used estimated maximum rooting depths at a  $0.5^{\circ}$  resolution (Fan et al., 2017).

227 Datasets were linearly resampled to monthly and to  $1^{\circ}\times 1^{\circ}$  grid scales. FluxSat GPP and  
228 GPCP were retained on their  $1^{\circ}\times 1.25^{\circ}$  and  $2.5^{\circ}\times 2.5^{\circ}$  grid scales, respectively. Standardized z-  
229 score anomalies were computed for each variable by subtracting seasonal long-term climatology  
230 and dividing by their standard deviation. Z-score anomalies are a standard metric to detect larger  
231 anomalies at the tails of the variable's distribution, especially those more than a standard  
232 deviation from the mean, though more sophisticated non-parametric methods can be alternatively  
233 used (Meroni et al., 2019). For consistency between datasets, anomalies were computed based on  
234 climatological monthly means between January 2015 and December 2020. We removed long-  
235 term and seasonal amplitude trends in XCO<sub>2</sub> by detrending each individual month separately.  
236

#### 237 *2.4. Step I: CO<sub>2</sub> Flux Anomaly Detection and Component Analysis*

238 We evaluated OCO-2 XCO<sub>2</sub> anomalies first to detect CO<sub>2</sub> flux anomalies during 2020-  
239 2021, when known hot and dry anomalies were occurring in the Western US. We then evaluated  
240 CO<sub>2</sub> flux components that would contribute to the total CO<sub>2</sub> flux anomaly, including MODIS-  
241 based GPP and QFED biomass burning anomalies.  
242

#### 243 *2.5 Step II: Climate Anomaly Detection*

244 We initially evaluated SMAP soil moisture and AIRS vapor pressure deficit anomalies  
245 before the detected carbon anomalies to augment the spatiotemporal definition as well as to  
246 initially attribute the carbon component anomalies to specific climatic anomalies.  
247

#### 248 *2.6 XCO<sub>2</sub> Profile Analysis and Estimation*

249 We evaluated the ability for XCO<sub>2</sub> anomalies to detect surface biosphere anomalies in the  
250 Western US, which would ultimately augment Step I. These XCO<sub>2</sub> anomalies were correlated  
251 against biosphere-only fluxes (i.e., excluding anthropogenic and land-use land cover changes  
252 emissions): namely monthly fluxes modeled by LPJ NBP and MODIS-based FluxSat GPP  
253 estimates. Given strong influences of atmospheric transport on XCO<sub>2</sub>, we identified background  
254 regions that contribute air that mixes with XCO<sub>2</sub> within the Western US region. MERRA2 wind  
255 vectors reveal the Pacific Ocean as the consistent upwind region of the Western US (Feldman et  
256 al., 2023). Therefore, concurrent analysis of background Pacific Ocean XCO<sub>2</sub> conditions offer

257 insights into whether spatially integrated XCO<sub>2</sub> anomalies within the Western US have  
258 contributions from a surface instead of upwind source.

259 We additionally used an established mass balance model to translate the XCO<sub>2</sub> anomaly  
260 to a rough estimation of the surface CO<sub>2</sub> flux anomaly. Specifically, XCO<sub>2</sub> anomaly  
261 enhancements (difference between Western US and background Pacific Ocean XCO<sub>2</sub> anomalies)  
262 were used to estimate the total surface carbon anomalies in the Western US using:

$$263 \quad Q = (\Delta XCO_2)(V)(L)(M_{exp})(M)(C) \quad (1)$$

264 where the carbon efflux anomaly from the surface (Q) depends on the enhancement of the target  
265 area's XCO<sub>2</sub> anomaly above the upwind region's XCO<sub>2</sub> anomaly ( $\Delta XCO_2$ ; ppmCO<sub>2</sub> units), the  
266 wind velocity (V; km/mo units), and effective length of the surface emission region (L; km units)  
267 (Buchwitz et al., 2017; Liu et al., 2021; Pandey et al., 2021). The other terms (i.e.,  $M_{exp}$ , M, C;  
268 units of TgC/km<sup>2</sup>/ppmCO<sub>2</sub>, unitless, and unitless, respectively) are conversion factors and  
269 atmospheric transport assumptions (see SI). A bootstrapping approach was used to estimate the  
270 90% confidence intervals of Q.

271 This approach was evaluated in detail in a recent study and we note that it is only feasible  
272 under select conditions, especially under simpler advection conditions as in the Western US and  
273 for extreme fluxes (Feldman et al., 2023). We emphasize that XCO<sub>2</sub> anomalies serve as a  
274 complementary, independent form of detecting carbon-climate feedbacks and thus inability of  
275 XCO<sub>2</sub> anomalies to detect and/or estimate an extreme flux does not hinder the procedure here.

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### 277 *2.7 Reference DGVM Run*

278 The Lund-Potsdam-Jena Wald Schnee and Landschaft (LPJ-wsl) Biosphere Model was  
279 used here as an independent model-based source of information about surface CO<sub>2</sub> flux  
280 anomalies, acknowledging uncertainty in individual GPP and respiration response to extremes  
281 (Sitch et al., 2003). We evaluated LPJ for its estimates of cumulative net biome productivity  
282 (NBP) and contribution from GPP. LPJ serves as an independent DGVM to compare outputs  
283 with, which is informative given its carbon flux performance typically near the average of multi-  
284 model ensembles (Friedlingstein et al., 2022).

285 LPJ was run between January 1980 and July 2021 at a daily timestep and 0.5° resolution  
286 and was driven with MERRA2 reanalysis forcing (Gelaro et al., 2017; Zhang et al., 2018). The  
287 reanalysis data (total precipitation, 2-meter air temperature, downward shortwave radiation, and  
288 downward longwave radiation) were aggregated to a common daily time-step and downscaled to  
289 0.5° spatial resolution grid using first order conservative interpolation. The LPJ-wsl state  
290 variables (i.e., carbon in vegetation, litter, and soils) were simulated to reach equilibrium by  
291 using a 1000-year spin-up with fire dynamics. Spin-up was completed using randomly selected  
292 climate inputs from 1980–2000 for MERRA2 with fixed atmospheric CO<sub>2</sub> to the 1860 value.  
293 After equilibrium, a transient simulation with fire effects and constant land cover was performed  
294 for the years 1980-2021, forced with changing climate conditions from MERRA2 reanalysis and  
295 varying atmospheric CO<sub>2</sub> concentration.

296

### 297 *2.8 Step III: Cascade Analysis and Vegetation Anomaly Attribution*

298 To investigate the potential for a temporal cascade through the Western US SPAC, AIRS  
299 humidity, AIRS temperature, AIRS VPD, GPM precipitation, SMAP soil moisture, SMAP VOD,  
300 GRACE TWS, and MODIS-based GPP time series were evaluated mainly between January 2020  
301 and July 2021. We estimated the timing of anomalies across each variable as the month that each  
302 variable first becomes anomalous, or its z-score anomaly first deviates by more than one

303 standard deviation from the mean ( $|z\text{-score}| > 1$ ). Only months were considered that had z-score  
304 magnitudes consecutively greater than one before the maximum z-score anomaly. This step is  
305 similar to low pass filter methods by removing isolated anomalies due to noise. Furthermore,  
306 cross correlations were determined by computing the correlation between the variables at month  
307 offsets between -5 to 5 months of lag. For each pixel, we report the time lag of the maximum  
308 absolute correlation as well as the correlation at that time lag between each variable. Our method  
309 is objective in not specifying a search for positive or negative correlations only.

310 To attribute vegetation anomalies to features of environmental variable anomalies, we  
311 computed the maximum magnitude, spatial extent, and duration of anomalies within the SPAC in  
312 the Western US in 2020-2021. Specifically, the anomalies of each normalized variable in their  
313 available time series were sorted and ranked empirically. The extreme magnitude metric for each  
314 variable is the ranking of the most extreme normalized monthly anomaly between 2020-2021.  
315 For spatial extent, the same ranking procedure for each variable was repeated on a spatial extent  
316 metric: the areal extent of normalized z-scores below -1. Finally, the duration of anomalies  
317 below normalized z-scores of -1 between August 2020 and July 2021 were computed and  
318 compared against the mean anomaly durations from years before this period.

319

#### 320 *2.9: Step IV: Time Series Analysis using Causal Model*

321 As a result of the cascade analysis, we used a causal model to test whether terrestrial  
322 water storage (TWS) has a causal influence on GPP across the region and whether direct  
323 influence of climate (within 1–3-month lags) drove large GPP deficits in 2021. Namely, Granger  
324 Causality (GC) tests (Granger, 1969) were chosen for this purpose. GC evaluates whether  
325 perturbations in one variable consistently result in future responses in another variable, a feature  
326 of causality. These perturbations need to influence the response variable beyond what can be  
327 predicted from the response variable's history. As such, GC relies on an autoregressive model:

$$328 \quad GPP_t = \alpha + \sum_p \beta_{GPP} GPP_{t-p} + \beta_{TWS} TWS_{t-p} + \beta_{VPD} VPD_{t-p} + \beta_P P_{t-p} + \varepsilon_{t-p} \quad (2)$$

329 where p represents time lag (months). This equation is performed on the deseasonalized monthly  
330 anomalies of these variables between January 2003 and December 2019 to provide out of sample  
331 testing of the 2020-2021 study period. Seasonality was explicitly removed because it is known to  
332 spuriously inflate GC detection (Tuttle and Salvucci, 2017).

333 TWS, VPD, and P were selected to predict GPP based on the cascade analysis because of  
334 their known physical link to plant function, their observation availability, and length of time  
335 series, which are essential criteria for GC tests. A caveat is that P is meant to represent surface  
336 soil moisture here to isolate effects of deeper layer moisture sources from TWS. We do not  
337 directly use SMAP soil moisture due to its monthly sample size being too low for GC tests with  
338 SMAP retrievals being available after April 2015, while GPCP precipitation is available between  
339 2003 and 2019. Monthly GPCP precipitation anomalies are correlated with SMAP soil moisture  
340 anomalies across the region (mean correlation of 0.53) between April 2015 and December 2020.  
341 Nevertheless, as a test, we repeated the analysis with SMAP soil moisture in place of GPCP  
342 precipitation in Eq. 2, but less confidence is placed in these results with a lower sample size. We  
343 also avoid reanalysis soil moisture products given their prescribed relationships with vegetation  
344 within their model frameworks.

345 Time lags (p) are optimized using quasi-information criterion. Statistical significance  
346 tests were performed in the GC framework by assessing the degree of residual sum of squares  
347 (RSS) inflation when leaving one target regressor (TWS, VPD, or P) out of the autoregressive  
348 model:

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$$S = n \left( \frac{RSS_{Restricted} - RSS_{Full}}{RSS_{Full}} \right) \quad (3)$$

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Here,  $n$  is number of observation pairs and the RSS of the unrestricted (full) model is compared to RSS of the restricted model with the target variable removed. The  $S$  statistic is chi-squared with lag number  $p$  as the degree of freedom.  $p$ -values less than 0.05 indicate that including a lagged variable such as TWS as an explanatory factor significantly increases the model's variance explained of GPP variations 1-3 months into the future. This would suggest TWS has a causal influence on GPP. Based on the cross-correlation analysis, we confirm that lags of 1-3 months are appropriate with GPP lagging  $P$  and VPD nearly identically by one month and TWS with only a one-month lag difference (Fig. S3). Despite the lag choice being the same across the variables in the autoregressive model by its construction, we don't anticipate inherent differences in lags between the predictors ( $P$ , VPD, TWS) creating biases given their one-month differences (Fig. S3) and the fact that repeating results with a constant lag of one month produces nearly identical results. Furthermore, as an independent test, we evaluate whether maximum rooting depths are deeper in Western US pixels where TWS Granger-causes GPP (based on Eq. 3) than pixels where TWS does not Granger-cause GPP.

We also used this causal model to explain why GPP anomalies were determined to be more negative in 2021 than in 2020 as "resilience tests". These tests used the Eq. 2 estimated parameter results from 2003-2019 to predict out-of-sample GPP anomalies in 2020-2021 using observed 2020-2021 inputs. We first tested if TWS is buffering GPP negative responses in 2020 by setting all 2020 TWS anomalies to the more negative TWS mean anomaly values between January and July 2021. TWS is buffering the GPP response in 2020 if the GPP model driven by more negative TWS anomaly inputs (from 2021) substantially lowers the GPP anomalies in 2020 compared to GPP anomalies driven by the baseline observed 2020 TWS anomalies. The analysis was performed on the pixels with statistically significant GC of TWS on GPP.

In "extreme amplification tests" to determine drivers of more negative GPP anomalies in 2021, we used Eq. 2 to determine whether GPP's typical response to land-atmosphere forcings (i.e., VPD, precipitation, TWS) between 2003-2019 would explain the magnitude of negative GPP response in 2021. If the model underestimates the magnitude of the observed negative GPP anomalies and there is evidence of prolonged SPAC dry anomalies carrying over between two years, this can partly indicate non-linear or compound effects (i.e., legacy effects) from prolonged anomalies in the SPAC. Namely, large deviations of Eq. 2 modeled GPP from observation-based FluxSat GPP would indicate effects other than linear response to the environment. In both tests, we further isolated the linear effect of the environment by removing the GPP autoregressive component ( $\beta_{GPP} GPP_{t-p}$ ). We refer the reader to the SI for further discussion of the robustness of the GC method, resilience tests, and amplification tests.

### **3. Results and Discussion**

#### ***3.1. Step I: Initial CO<sub>2</sub> Flux Anomaly Attribution***

In June 2021 in the Western US, OCO-2 observed a +0.8 ppm XCO<sub>2</sub> anomaly (Fig. 2a), which co-occurred with large negative MODIS-based photosynthesis anomalies (Fig. 2b). The XCO<sub>2</sub> anomaly is not likely to be due to anomalously transported CO<sub>2</sub> from outside the region; the upwind Pacific Ocean had a negative XCO<sub>2</sub> anomaly at this time (Fig. 2a). As such, the June 2021 West US XCO<sub>2</sub> anomaly is an enhancement of +1.1 ppm above the background Pacific Ocean conditions, which is the largest anomaly in the available OCO-2 time series at three standard deviations above the mean. We estimate that this XCO<sub>2</sub> anomaly translates to a total CO<sub>2</sub> flux anomaly of  $132 \pm 50$  TgC/mo in June 2021 based on Eq. 1 (see Section 3.4). The

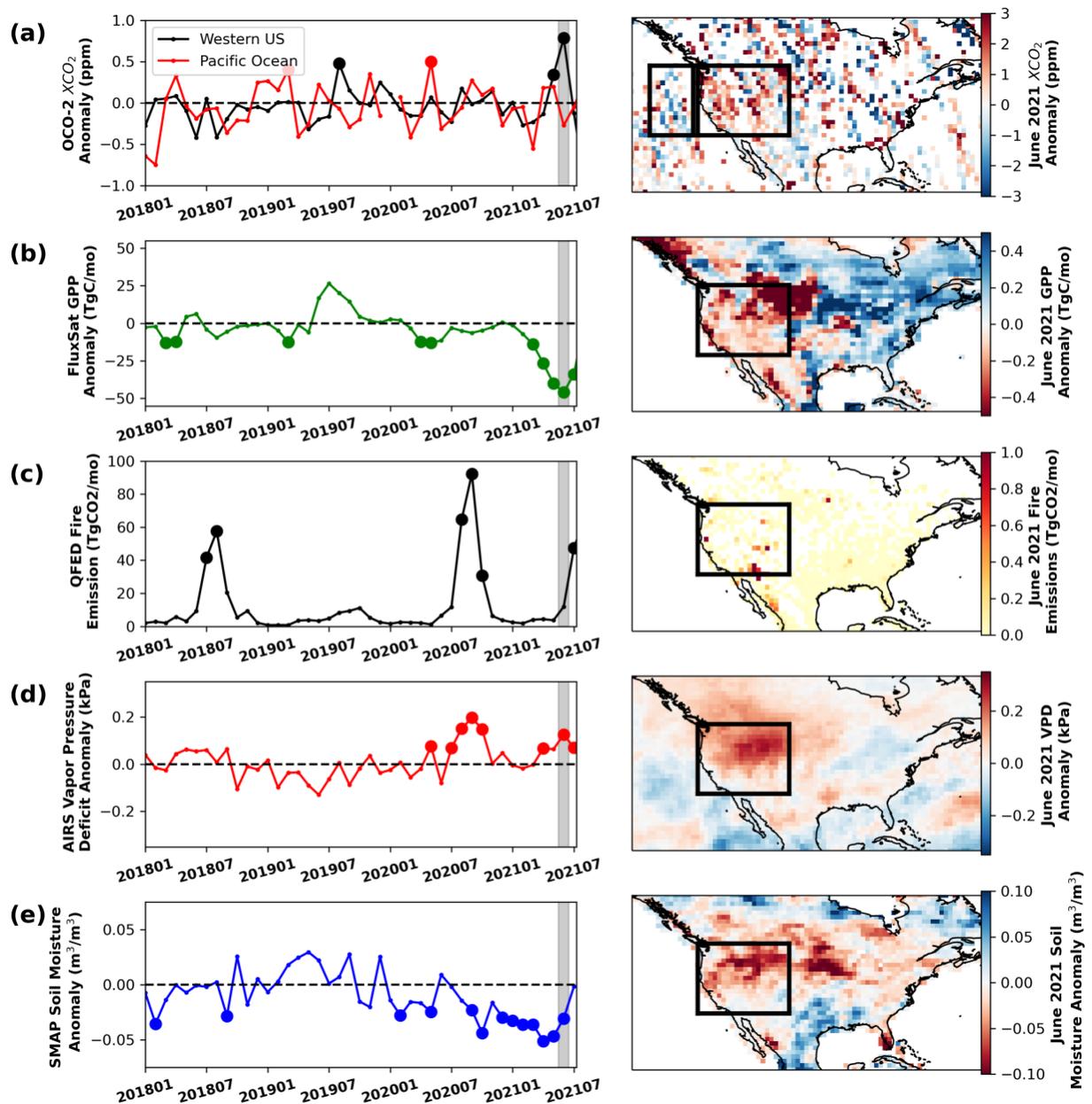
395 negative GPP anomaly in June 2021 is also about three standard deviations below the mean.  
396 Together, the satellite-based GPP and XCO<sub>2</sub> anomalies suggest a weakened Western US carbon  
397 uptake, likely due to decreased plant uptake of carbon.

398 Other flux components may not have been as much of a factor given that biomass  
399 burning emissions appeared to strengthen after July 2021 (Fig. 2c) and fossil fuel emissions were  
400 relatively constant (Fig. S1). Disturbance or land use change is not likely to be driving the results  
401 given there is not a step-change in GPP or XCO<sub>2</sub> observed in the anomaly time series. We  
402 acknowledge that ecosystem respiration anomalies may be partly driving the positive XCO<sub>2</sub>  
403 anomaly, but respiration is challenging to directly observe and its attribution within our  
404 framework is left for future work. Ultimately, these initial findings motivate quantifying the  
405 contribution of the biosphere anomalies to the 2021 carbon budget as well as identifying climatic  
406 drivers of ecosystem stress that at least partially drove positive atmospheric carbon concentration  
407 anomalies.

408

### 409 *3.2 Step II: Climate Anomaly Detection*

410 The Western US experienced high VPD and low soil moisture conditions beyond one  
411 standard deviation beginning in early 2020 and continuing into 2021 (Figs. 2d and 2e). Some of  
412 the driest soil moisture anomalies occurred in Spring 2021 before appearing to recover with  
413 rewetting by July 2021. VPD anomalies were of high magnitude in both summer 2020 and spring  
414 2021. As such, we further attribute the carbon flux anomalies initially to climate-driven plant  
415 stress, given concurrent hot and dry anomalies (Figs. 2d and 2e) and photosynthesis anomalies  
416 (Fig. 2d). Based on the timing and spatial extent of these anomalies, we define this West US  
417 domain and January 2020 to July 2021 as the study domain for the remainder of the analysis.

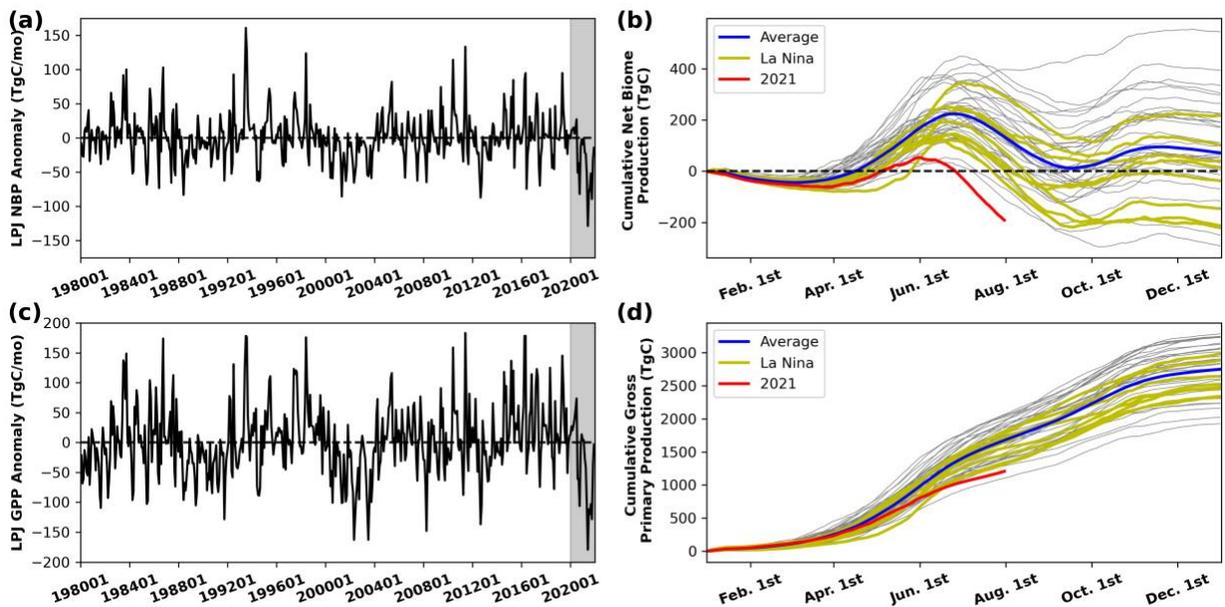


418  
 419 Fig. 2. Observations indicate large CO<sub>2</sub> flux anomalies in the Western US in spring 2021  
 420 originating mainly from water-stressed photosynthesis. Step I includes CO<sub>2</sub> flux anomaly  
 421 detection from (A) OCO-2 XCO<sub>2</sub> column (CO<sub>2</sub>) anomalies (ppm) with components from (B)  
 422 MODIS-based FluxSat GPP anomalies and (C) QFED fire emissions. Step II includes  
 423 identification of climatic anomalies from (D) AIRS vapor pressure deficit and (E) SMAP soil  
 424 moisture anomalies. Time series are shown between January 2018 to July 2021. Larger dot  
 425 symbols are those that exceed a one standard deviation anomaly for the region. June 2021 is  
 426 shaded in the left column and shown spatially on right column. Time series plots exclude Pacific  
 427 Ocean pixels.

428  
 429 *3.3 Dynamic global vegetation model run*

430 We use the LPJ DGVM as a reference to assess the value of integrated, low-latency  
 431 satellite observations as well as the workflow's ability to inform DGVMs. LPJ agrees with our  
 432 attribution in Fig. 2 that a large negative carbon flux anomaly from the biosphere occurred in  
 433 spring 2021 (Fig. 3). Between January 1<sup>st</sup> and July 31<sup>st</sup>, 2021, the model simulates a cumulative  
 434 NBP of -191 TgC. Since the 1980-2020 NBP average in this time range is 134 TgC ( $\pm 123$ ), this  
 435 translates to a cumulative NBP anomaly of -320 TgC between January 1<sup>st</sup> and July 31<sup>st</sup>.  
 436 Photosynthesis deficits made a large contribution to this negative CO<sub>2</sub> uptake anomaly where  
 437 LPJ simulates a cumulative GPP anomaly of -480 TgC between January 1<sup>st</sup> and July 31<sup>st</sup> (Fig.  
 438 3d).

439 This carbon flux anomaly is similar in magnitude to that of the drought and wildfire event  
 440 in Australia in 2019-2020 (Byrne et al., 2021) and about half of that of the severe 2003 Europe  
 441 drought (Ciais et al., 2005). Therefore, given a global annual terrestrial carbon sink of 2-3  
 442 PgC/yr (Friedlingstein et al., 2021), these Western US 2021 NBP anomaly magnitudes are  
 443 equivalent to 10-15% of the global terrestrial sink and thus the event potentially presents such  
 444 large carbon loss if cumulative NBP remains relatively constant beyond August as it has in  
 445 previous years in this region. This LPJ implementation further motivates understanding water-  
 446 limited regions characterized by low mean annual uptake, but large interannual variability  
 447 (Ahlström et al., 2015; Poulter et al., 2014). Specifically, the Western US biosphere's annual  
 448 mean carbon uptake has a relatively low contribution to the mean annual global terrestrial carbon  
 449 uptake (<2%). However, the Western US biosphere's high sensitivity to climate variability  
 450 results in a high variance of its annual mean uptake; LPJ simulates that the Western US is a  
 451 carbon source in approximately one third of years and appears prone to large carbon efflux  
 452 extremes that perturb the mean annual global carbon budget as in cases like 2021.  
 453



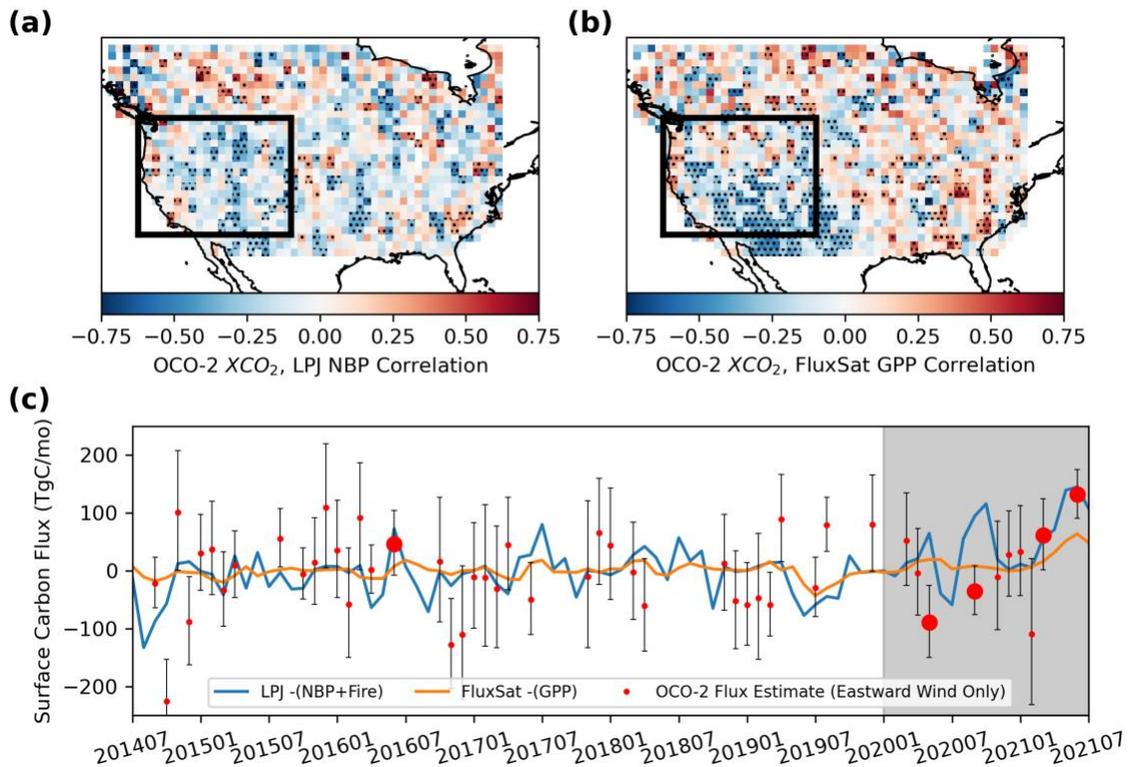
454 Fig. 3. LPJ model outputs of net biome production and gross primary production show biosphere  
 455 carbon effluxes in 2021 in the Western US. (A and C) Monthly anomalies and (B and D) annual  
 456 cumulative fluxes for each year beginning January 1<sup>st</sup> for both NBP and GPP. Gray lines in B  
 457 and D show cumulative NBP or GPP for each year in the 1980-2021 range. La Nina years based  
 458 on the Southern Oscillation Index which result in suppressed monsoon rainfall in the region are  
 459 highlighted for comparison.  
 460

461  
462 *3.4 Greenhouse-gas satellites can detect and roughly estimate carbon cycle response to extreme*  
463 *biosphere anomalies*  
464

465 Despite satellite XCO<sub>2</sub> retrieval noise and various contributions to XCO<sub>2</sub>, the Western  
466 US satellite XCO<sub>2</sub> anomalies are consistently correlated with surface carbon flux anomalies,  
467 especially natural GPP and NBP sources (Figs. 4a and 4b). This is in part due to the consistent  
468 advection from the Pacific Ocean into the Western US (Feldman et al., 2023). This coupling  
469 gives credence to detection of a large CO<sub>2</sub> flux with an extreme XCO<sub>2</sub> anomaly.

470 We quantify extreme CO<sub>2</sub> effluxes during Spring 2021 using XCO<sub>2</sub> anomalies and Eq. 1  
471 to estimate their magnitudes. We estimate an anomaly CO<sub>2</sub> flux of  $132 \pm 50$  TgC/mo during June  
472 2021 (Fig. 4c), when GPP anomalies are most negative (Fig. 2b). This compares with the LPJ-  
473 based estimate of 145 TgC/mo. Similarly, in March 2021, the OCO-2 mass balance estimate is  
474  $61 \pm 57$  TgC/mo, while the LPJ+QFED estimate is 56 TgC/mo. There is disagreement between  
475 OCO-2 XCO<sub>2</sub> and LPJ flux estimates during 2020, a year we find that LPJ NBP may have  
476 overestimated efflux responses (see Section 3.6). Nevertheless, the overall correlation between  
477 the LPJ NBP reference and XCO<sub>2</sub> estimated surface fluxes has a magnitude 0.31, which  
478 highlights limitations using the flux estimation for nominal flux conditions as well as emphasizes  
479 the large uncertainty of each estimate. Tests with CarbonTracker show that the correlation  
480 improves to 0.55 without instrument noise, suggesting increased utility with improvements to  
481 XCO<sub>2</sub> sampling.

482 Fig. 4 suggests that there are opportunities to use XCO<sub>2</sub> satellite retrievals directly to  
483 augment Step I in rapidly detecting the monthly timing of surface carbon cycle anomalies. The  
484 rapid mass balance flux estimation methods have been developed and tested previously  
485 (Buchwitz et al., 2017; Feldman et al., 2023; Pandey et al., 2021). However, we emphasize  
486 limitations originating from atmospheric transport (Basu et al., 2018), simplistic assumptions of  
487 the mass balance approach (Varon et al., 2018), and OCO-2 instrument noise (Buchwitz et al.,  
488 2021). A recent investigation showed that these methods may only be used in regions with  
489 temporally consistent and unidirectional mean transport conditions, averaged over large enough  
490 areas, and under extreme surface flux conditions that place a CO<sub>2</sub> imprint on the atmosphere  
491 (Feldman et al., 2023). As such, these XCO<sub>2</sub> methods should be used in tandem with other  
492 datasets, and their lack of success does not hinder the overall framework.  
493



494  
 495 Fig. 4. Satellite retrieved XCO<sub>2</sub> provides an opportunity to evaluate extreme biosphere fluxes. (A  
 496 and B): OCO-2 XCO<sub>2</sub> anomaly correlation with (A) LPJ NBP and (B) FluxSat GPP anomalies  
 497 between June 2014 and July 2021. The Western US study region is delineated with black lines.  
 498 Statistically significant correlations are stippled (p<0.05). (C) Flux estimates based on observed  
 499 OCO-2 XCO<sub>2</sub> enhancements using XCO<sub>2</sub> anomalies in Fig. 2a and the mass balance in Eq. 1  
 500 plotted with biosphere flux anomalies from LPJ NBP and FluxSat GPP. Larger symbols are the  
 501 cases of top five positive surface flux anomalies based on LPJ NBP + QFED (>85<sup>th</sup> percentile).  
 502 Positive values are effluxes. The study period is highlighted in gray. Only months with a mainly  
 503 eastward wind component are shown (<60° and >-60°, where 0° is eastward). Error bars show 5<sup>th</sup>  
 504 and 95<sup>th</sup> percentile uncertainty bounds.

505  
 506 *3.5 Step III Cascade Analysis: Satellites observe an anomaly cascade through the soil-plant-*  
 507 *atmosphere system*

508 An anomaly cascade is evident from 2020 to 2021 where anomalous meteorological  
 509 conditions (Figs 5a to 5d) precede land moisture anomalies (Figs. 5e to 5g), followed by  
 510 photosynthesis anomalies (Fig. 5h and S2). The low latency, integrated satellite observations  
 511 here thus initially attribute the large carbon efflux to plant water and heat stress. Specifically, the  
 512 most negative photosynthesis anomalies in June 2021 can be qualitatively attributed to declines  
 513 in soil moisture throughout 2020, TWS and VOD declines starting January 2021, and extreme  
 514 VPD throughout the Western US that is partially due to the Pacific Northwest heatwave during  
 515 spring 2021 (Philip et al., 2021). While VOD is a function of both dry biomass and plant  
 516 saturation changes (Konings et al., 2021, 2019), we find that VOD anomalies tend to precede  
 517 GPP anomalies (Figs. 5 and S2). Therefore, late 2020 VOD declines here likely indicate mainly

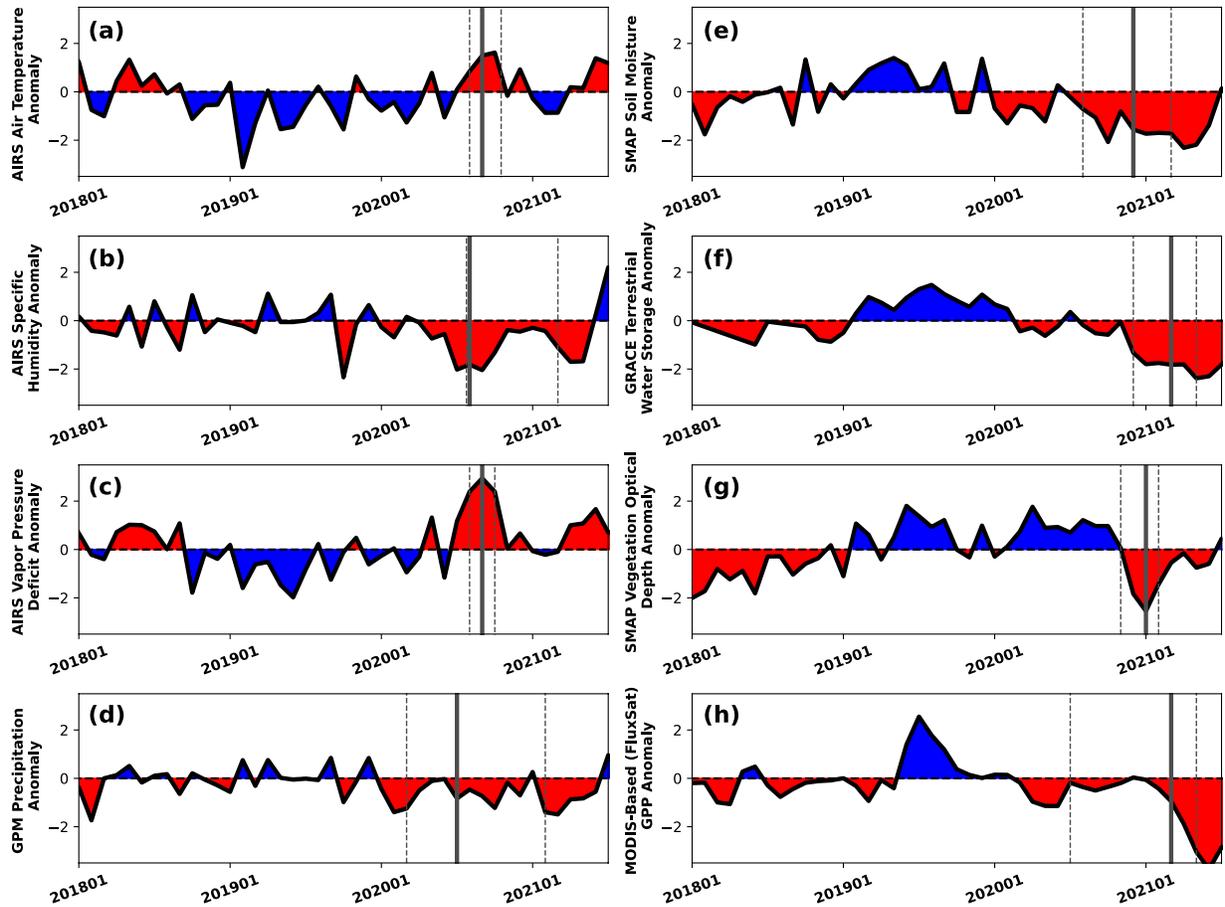
518 plant saturation loss rather than biomass loss given that loss of biomass would be concurrent  
519 with or follow photosynthetic losses (Rao et al., 2019). Additionally, although GPP showed  
520 declines in 2020 especially in the Southeast part of the domain as previously investigated  
521 (Dannenberg et al., 2021), the GPP declines throughout the West US were three times greater on  
522 average in 2021 than in 2020 (Fig. 5h). In Step IV, we investigate why there is a large difference  
523 in GPP anomalies between 2021 and 2020 despite extreme dry and hot conditions in both years  
524 (see Section 3.6).

525 Cross correlations show a lagged coupling between all components of the SPAC,  
526 confirming that a dry/hot anomaly cascade nominally takes place from the atmosphere to the soil  
527 and then to plants (Fig. S3). However, while anomalies typically propagate through the SPAC  
528 over less than 4-month timescales in this region (Fig. S3), peak atmospheric, soil, and vegetation  
529 anomalies during this extreme event are spread across a longer period of 12 months (Figs. 5 and  
530 S3). This lengthening of lags suggests a difference in the dynamics of this extreme hot-dry  
531 cascade within the SPAC than for typical anomalies. For example, we note that while monthly  
532 soil moisture and VPD anomalies are coupled in this region across the available time series  
533 (Pearson's correlation = -0.61;  $p < 0.05$ ), they become uncoupled during this extreme event; VPD  
534 had more abrupt anomalies with recovery of atmospheric conditions on average during the 2020-  
535 2021 winter months while soil moisture had persisting anomalies that did not recover until later  
536 spring 2021 (Fig. 5).

537 We find that the large Western US negative GPP anomalies are attributable to the warm,  
538 dry anomalies' extreme spatial extent. Specifically, most atmosphere and land anomalies in 2021  
539 had the most extreme spatial extent of moderate anomalies in their available time series (Fig.  
540 6b). However, the most extreme individual monthly magnitudes tended to be ranked in the top  
541 ten of their respective available time series, but not first (Fig. 6a). An exception is the maximum  
542 VPD anomalies that were the highest in their time series (Fig. 6a), but their timing primarily in  
543 2020 may have only indirectly influenced 2021 GPP anomalies. Furthermore, anomaly durations  
544 were only 1-3 months longer than average years (Fig. 6c), with anomalies confined to specific  
545 months in summer 2020 and spring 2021. These findings are consistent with expectations that  
546 the spatial extent of terrestrial biosphere extremes, over extreme magnitude in a given month or  
547 their duration, will make the largest contributions to perturbing the global carbon cycle  
548 (Reichstein et al., 2013).

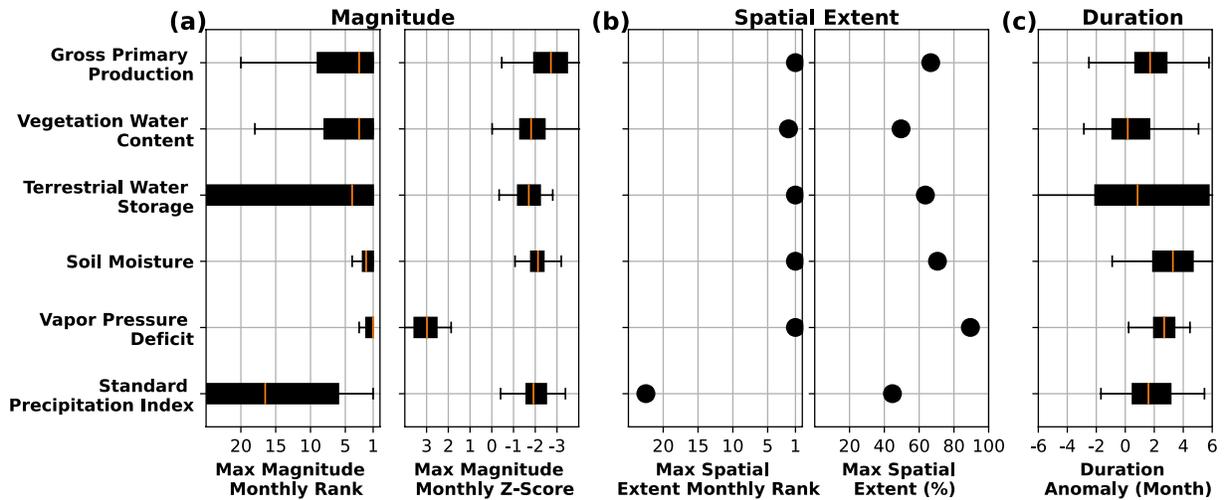
549 Cascades and their propagation are a common feature of hydrologic anomalies  
550 (Farahmand et al., 2021; Mukherjee et al., 2023), though their connection to the biosphere has  
551 not been investigated. We note that biosphere cascades are readily observed in other global  
552 locations and time periods (Figs. S4 and S5).

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Fig. 5. A dry, hot anomaly propagation through the Western US soil-plant-atmosphere continuum is observed from Earth-observing satellite remote sensing platforms. Anomaly time series of atmospheric, soil, and biosphere factors averaged in the Western US region: (A) AIRS air temperature, (B) AIRS specific humidity, (C) AIRS vapor pressure deficit, (D) GPM precipitation, (E) SMAP soil moisture, (F) GRACE terrestrial water storage, (G) SMAP vegetation optical depth (vegetation water content), (H) MODIS-based FluxSat gross primary production. Values are normalized by subtracting their mean monthly climatology and dividing by their standard deviations. Vertical lines denote the initial timing of the warm/dry anomaly of each variable across the pixels in the study region with the solid line denoting median initial timing and dashed lines denoting the 25<sup>th</sup> and 75<sup>th</sup> percentiles.



566  
 567 Fig. 6. The Western US atmosphere and land anomalies appear most characterized by an extreme  
 568 spatial extent. (A) Maximum monthly anomaly magnitude. (B) Maximum monthly spatial extent  
 569 of anomaly in the region based on percentage of the West US region that has a z-score less than -  
 570 1 (above 1 for VPD). (C) Duration of the anomaly estimated as the number of consecutive  
 571 months the respective z-score was less than -1 between August 2020 and July 2021 relative to  
 572 anomaly durations in previous years. All plots share the same y-axis. The rank is based on high  
 573 to low sorting of the monthly values in the time series where higher values indicate the largest  
 574 respective dry or hot metric in the available time series occurred between between August 2020  
 575 and July 2021. Results are based on the empirical probability distributions of the monthly  
 576 anomalies for the available time series ranging between years 2000 and 2021. Boxplots are the  
 577 distribution of values from individual pixels within the Western US.

578  
 579 *3.6 Step IV Causality Test: Western US biosphere response driven by connection to deeper soil*  
 580 *moisture and amplification effects*

581 The cascade analysis motivates a more specific investigation into why negative  
 582 photosynthetic anomalies were larger in 2021 than in 2020 despite similar atmospheric forcing  
 583 (Fig. 5). We find that the resilience conveyed by terrestrial water storage in 2020 to GPP as well  
 584 as the compounding negative effects in 2021 partly drove the differing GPP responses between  
 585 2020 and 2021 (Fig. 7).

586 We find that terrestrial water storage Granger-causes GPP in 22% of the region over 1–3-  
 587 month timescales, increasing to 32% in spring and summer (Fig. 7a). Note that this result is  
 588 based on our GC model (Eq. 2) which adequately fits the observations in these regions with  
 589 TWS Granger-causal influence with an average  $R^2$  of 0.60 over all months and 0.69 during the  
 590 spring and summer months. These model fits are high considering the removal of the seasonal  
 591 cycle as well as use of mainly satellite observation datasets that inherently include noise. A  
 592 potential source of uncertainty in the GC model is that GPCP precipitation anomalies were used  
 593 to isolate the effects of plant-available surface soil moisture, due to SMAP soil moisture having  
 594 too short of a monthly time series for data length requirements of the GC tests. However, despite  
 595 the low sample size, repeating the analysis with SMAP soil moisture in place of precipitation  
 596 results in an even higher area of the West US showing TWS Granger-causal influence on GPP  
 597 providing more confidence in the results (Fig. S6). SMAP soil moisture significantly Granger-  
 598 caused GPP in 58% of the region, which is not substantially more than GPCP precipitation which  
 599 explained 50% of the region's GPP variations. There is too low of a sample size to assess spring

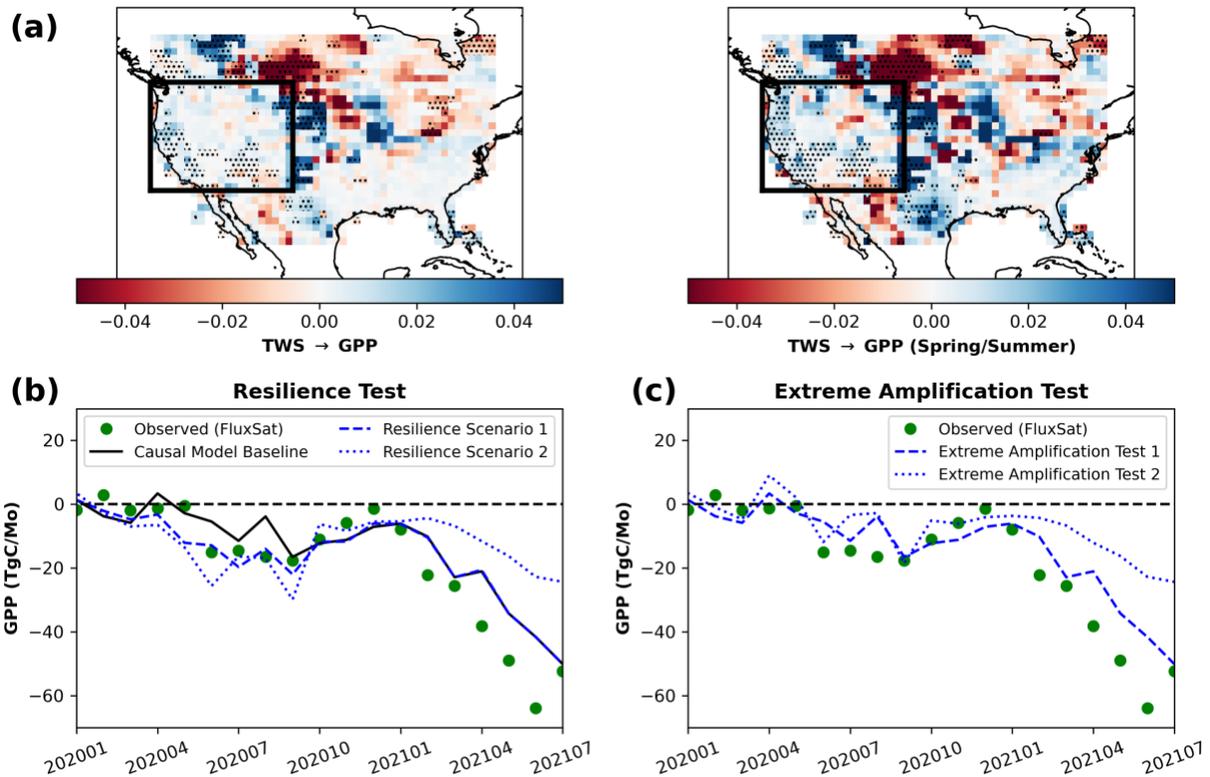
600 and summer months when using SMAP (Fig. S6). As such, use of GPCP precipitation instead of  
601 observed soil moisture does not appear to confound the result that TWS partially drives GPP in  
602 the region in addition to surface moisture.

603 Based on these GC results, we conclude that maintained TWS offered GPP resilience in  
604 2020, but negative TWS anomalies in 2021 partly drove GPP declines. This suggests plant  
605 access to deeper soil moisture because TWS has been shown to represent intermediate-to-deep  
606 level soil moisture above the water table (Rodell and Famiglietti, 2001). Indeed, in the pixels  
607 where TWS Granger-causes GPP, we find that previously estimated maximum rooting depths  
608 (Fan et al., 2017) are deeper by 0.55m than in pixels where the causality is not detected ( $p < 0.05$   
609 based on student t-test of means). Additionally, several recent studies confirm plant water use of  
610 deeper soil stores across the Western US, especially along the west coast (Kannenberg et al.,  
611 2023; McCormick et al., 2021; Miguez-Macho and Fan, 2021; Stocker et al., 2023). As a result,  
612 despite similar rainfall deficits between 2020 and 2021, maintained TWS in 2020 resulted in  
613 smaller negative GPP anomalies throughout 2020; if TWS anomalies in 2020 were set to those in  
614 2021, the negative GPP anomalies in 2020 would have at least doubled (Fig. 7b). Maintained  
615 vegetation water status despite negative surface soil moisture anomalies in 2020 gives additional  
616 evidence for this finding (Fig. 5g). Nevertheless, there was a gradual, prolonged depletion of  
617 deeper soil stores between 2020 and 2021 with continued deficits in precipitation forcing,  
618 similarly to TWS dynamics during the 2018-2019 Europe drought (Boergens et al., 2020).  
619 Therefore, the large negative TWS anomalies in 2021 at least partially drove the spring 2021  
620 negative GPP anomalies. In this case, deeper moisture sources were no longer able to offer  
621 resilience to GPP with moisture losses observed throughout the soil column in both surface soil  
622 moisture and TWS in 2021.

623 The causal model also shows that GPP's direct response to environmental conditions  
624 (VPD, precipitation, deeper soil moisture) within the previous 1-3 months are insufficient to  
625 explain the extreme negative GPP anomalies in Spring 2021, suggesting a nonlinear change of  
626 plant behavior due to persisting climatic effects (Fig. 7c). Namely, the causal model captures the  
627 observation-based GPP response to the environment before and throughout 2020, but cannot  
628 fully explain the largest negative GPP anomaly in spring 2021 using only 1-3 month lagged  
629 climatic anomalies (Fig. 7c). This is especially the case after removing the autoregressive  
630 component of GPP in Eq. 2 ( $\beta_{GPP} GPP_{t-p}$ ), which represents the 1–3-month persistence of GPP  
631 and indirectly integrates longer-term biospheric memory responses (Fig. 7c; “Extreme  
632 Amplification Test 2”). Even with this lagged memory incorporated, which is expected to best  
633 explain the observations, the peak negative GPP anomaly magnitude in Spring 2021 is  
634 underestimated (Fig. 7c; “Extreme Amplification Test 1”), supporting presence of a nonlinear  
635 interactive or compounding effect not observed in the available time series. This test was  
636 performed in pixels that should result in the most negative GPP anomalies: pixels where TWS  
637 Granger-causes GPP that coincidentally also have more negative TWS deficits (Fig. S7). The  
638 exacerbated 2021 GPP anomalies may have been due to legacy effects of persisting climatic  
639 anomalies over multiple seasons and years starting in 2020 (Anderegg et al., 2020, 2015; A  
640 Bastos et al., 2020; Wolf et al., 2016) with little time for biosphere recovery (Schwalm et al.,  
641 2017; Werner et al., 2021), amplifying effects of land-atmosphere feedbacks on the biosphere  
642 (Feldman et al., 2020; Miralles et al., 2014; Zhou et al., 2019), and/or anomaly timing during  
643 plant-active phenological stages (Felton and Goldsmith, 2023).

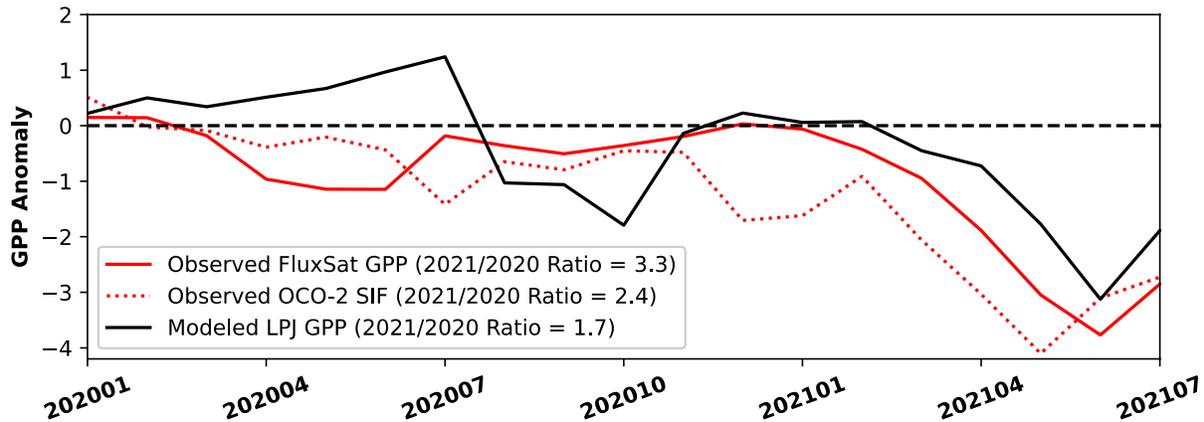
644 LPJ modeled GPP anomalies do not show as large of a difference between 2020 and 2021  
645 as that observed, suggesting the DGVM's lack of connection of GPP to intermediate-to-deeper

646 soil stores and/or inability to capture multi-year or multi-season legacy effects (Fig. 8). LPJ GPP  
 647 negative anomalies in 2021 were 1.7 times higher than the 2020 GPP deficits. However,  
 648 observation-based FluxSat GPP and OCO-2 SIF 2021 negative anomalies were 2.4 and 3.3 times  
 649 their 2020 negative anomalies, respectively (Fig. 8), where SIF is discussed as a proxy for GPP  
 650 here (Smith et al., 2018). We suspect the LPJ biosphere model potentially overestimated GPP  
 651 loss in 2020 because of too high of biosphere sensitivity to surface soil moisture deficits, as has  
 652 been found previously in DGVMs in water-limited regions (De Kauwe et al., 2015; Fisher et al.,  
 653 2007). Due to interactive or compounding effects detected in the observations (Fig. 7c), LPJ may  
 654 have also underestimated the negative GPP response in Spring 2021.  
 655



656  
 657 Fig. 7. GPP has a Granger-causal (GC) connection to deeper moisture sources in portions of the  
 658 Western US. (A) Maps of Granger-causal influence of GRACE TWS on GPP. Values are  
 659 regression coefficients (Eq. 2) with stippling indicating GC statistical significance ( $p < 0.05$ ), for  
 660 all months and only spring/summer (April to September). (B) Tests for GPP resilience in 2020  
 661 from TWS. Lower predicted GPP anomalies in Scenarios 1 and 2 in 2020 than that of the  
 662 baseline indicates GPP resilience conferred by deeper moisture. “Causal Model Baseline” is the  
 663 null case demonstrating fit of the autoregressive model in Eq. 2 to the satellite based GPP.  
 664 “Resilience Scenario 1” is the same as the null case but replacing TWS values in 2020 with the  
 665 more negative 2021 TWS values. (C) Tests for effects other than direct climate influences on  
 666 GPP in 2021. Less negative GPP anomalies predicted in the amplification tests than in satellite  
 667 based GPP suggest an inability of 1-3 month lagged climate influences to explain the 2021 GPP  
 668 anomalies. “Extreme Amplification Test 1” is the fit of the Eq. 2 linear model to GPP. In (B) and  
 669 (C), the second scenario is the same as the first scenario but removes the autoregressive

670 component of GPP to isolate direct, linear effects of the environment on GPP. Tests are  
671 conducted only in pixels where GC is detected.



672 Fig. 8. Modeled versus observed GPP anomalies during the 2020-2021 Western US event. Time  
673 series are in the same z-score format as in Fig. 5. The “2021/2020 ratio” values reported in the  
674 legend are the most negative GPP or SIF anomaly magnitude between January and July 2021  
675 divided by the most negative GPP or SIF anomaly magnitude between June and December 2020.  
676  
677

#### 678 **4. Conclusions**

679 With increasing frequency and intensity of droughts and heatwaves and their complex  
680 impacts on terrestrial biosphere responses, it is becoming more important to observe, understand,  
681 and predict biosphere responses to extremes, especially at low latency (Reichstein et al., 2013).  
682 Detection and estimation of extreme carbon-climate feedbacks is also essential with an  
683 increasing need to monitor and partition anthropogenic and natural carbon fluxes. We  
684 demonstrate a low-latency workflow to evaluate biosphere response to extreme events using  
685 multiple satellite observations. Namely, it involves detecting carbon cycle anomalies and  
686 associated climate anomalies, conducting a cascade analysis to pinpoint specific environmental  
687 drivers of the anomaly, and conducting a time series analysis to establish more specific linkages  
688 between variables informed from the previous steps. The workflow and analysis is more detailed  
689 compared to global attributions (Mukherjee et al., 2023; Yang et al., 2023) in order to evaluate  
690 complex sub-seasonal interactions between a breadth of climate variables and terrestrial  
691 biosphere variables. These interactions might change substantially in time and space. We focus  
692 on an extreme 2020-2021 event in the Western US, which is a hotspot for projected increased  
693 frequency and intensity of droughts (Cook et al., 2021) that will translate to terrestrial biosphere  
694 responses because of the region’s strong water-carbon cycle coupling (Short Gianotti et al.,  
695 2019).

696 We show how satellite-based photosynthesis and atmospheric carbon concentration  
697 anomalies can be used to attribute carbon anomalies to biosphere stress and, in some cases,  
698 roughly estimate large CO<sub>2</sub> flux anomalies. Furthermore, our cascade analysis demonstrates how  
699 the constellation of remote sensing platforms can attribute biosphere anomalies to detailed  
700 environmental features. We then use the cascade analysis to demonstrate the importance of the  
701 extreme anomaly spatial extent rather than magnitude or duration, negative photosynthesis  
702 anomaly amplification due to potential legacy effects, and biosphere resilience likely due to plant  
703 connection to deeper soil moisture in some regions of the West US. Finally, global models  
704 (ESMs, DGVMs) tend to have challenges characterizing the terrestrial biosphere response to

705 extremes and we show that such an observational framework at the spatiotemporal scales of  
706 these models presents an independent testbed for modeling drought response mechanisms. For  
707 example, we find here that the LPJ biosphere model may have overestimated photosynthesis  
708 negative anomalies in 2020 and/or underestimated negative anomalies in 2021.

709 We ultimately recommend that detailed observation-based case studies such as this are  
710 conducted on drought and heatwave events to understand biosphere responses to extremes,  
711 which are not typically predictable from nominal ecosystem function. As such, these  
712 observation-based studies can inform model representation of biosphere response to climatic  
713 extremes. The low-latency of the model workflow can also be used for rapid attribution  
714 applications.

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### 724 **Data Availability**

726 The data that support the findings of this study are openly available in Zenodo at  
727 10.5281/zenodo.7825590. These data were derived from resources available in the public domain  
728 including OCO-2 data (<https://disc.gsfc.nasa.gov>), GRACE data (<https://grace.jpl.nasa.gov>),  
729 AIRS data (<https://disc.gsfc.nasa.gov>), QFED outputs (<https://disc.gsfc.nasa.gov>), SMAP-based  
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732 (<https://gml.noaa.gov/ccgg/carbontracker/>), MERRA2 data (<https://disc.gsfc.nasa.gov>), and  
733 Carbon Monitor data (<https://carbonmonitor.org>).

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