

### Outline



- Introduction
  - Project description
  - Knowledge graph
- Exploratory Approaches
- Comparison, Lessons Learned, and Next Steps

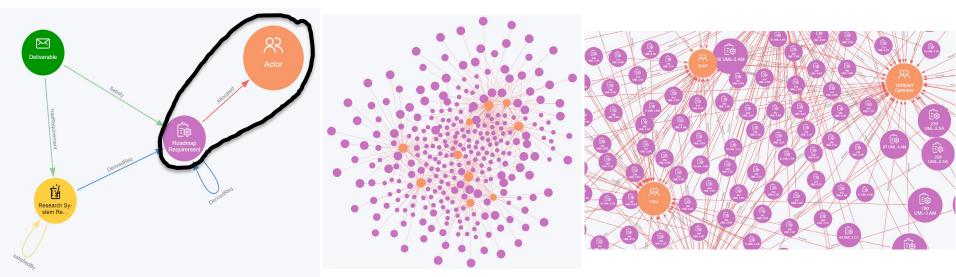
# NASA

### Introduction

- NASA's Air Traffic Management-Exploration (ATM-X) Urban Air Mobility (UAM) Subproject is conducting research that evolves UAM airspace towards a highly automated and operationally flexible system of the future.
  - See <a href="https://www.nasa.gov/uam-overview/">https://www.nasa.gov/uam-overview/</a> for more information
- The complexity of UAM airspace evolution requires a **plan** to effectively organize, integrate, and communicate NASA's research and development.
  - This planning tool is called the UAM airspace research roadmap.
  - Implemented through Model-Based Systems Engineering (MBSE) methodology
  - Leveraging machine learning natural language processing (ML NLP, or just NLP),
     Knowledge Graph data science, and Large Language Model (i.e., ChatGPT)



# Knowledge Graph for Roadmap Development



- Schema (left) showing graph structure between nodes.
  - Node represents the type of objects (e.g., Actor, Roadmap Requirement, etc.)
  - Link represents the relationship types.
- Due to the CUI nature of data, only focus on Roadmap Requirement and Actor for this study.
- Knowledge graph (middle and right)
   displays complexity beyond human user's
   ability to perform Roadmap's requirement
   discovery and analysis to identify potential
   missing requirements.



### Requirement Discovery Using Embedded Knowledge Graph with ChatGPT

#### Challenges:

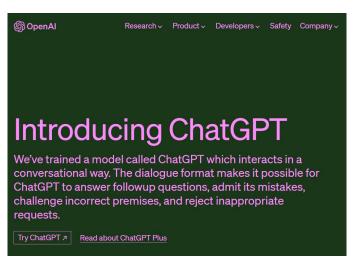
- SE is worried that we might **miss** some requirements in the roadmap.
- SE has a limited bandwidth to perform requirement analysis.

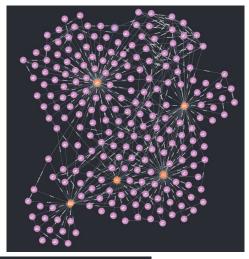
#### Solution:

Using Knowledge Graph structure with ChatGPT capability

### **Expected Impact:**

Revolutionize the way SE works





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Off Nominal Proce- For operations in controlled airspace, the FAA will approve off-nominal procedures that accommodate the appropriate aircraft performance characteristics. (268 UML-2.AD)

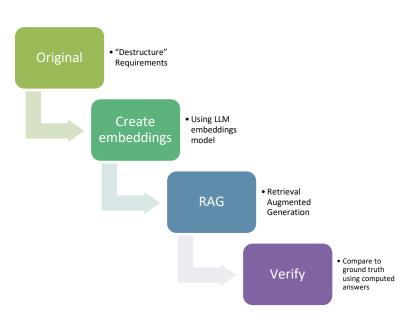
The UAM Operator shall establish a plan to resolve off-nominal conditions with the PIC, Fleet Manager, or Vertiport Manager, without ATC involvement. (150 UML-3.AD)



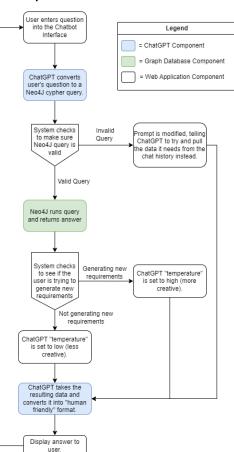


# **Approaches**

ChatGPT (Large Language Model (LLM) Approach)



### Graph Database + ChatGPT





# ChatGPT (Azure OpenAl GPT 3.5)

Applied Stereotype ReqID Actor Requirement Text

Allocate [Abstraction] 19 UML-2.AM ATC When ATC is the designated separator; The Fleet Manager and PIC will receive services from ATC.

Requirement number "19 UML-2.AM" states "When ATC is the designated separator; The Fleet Manager and PIC will receive services from ATC." and has an Allocate relationship to the actor "ATC".

### Query submitted to LLM after retrieval of relevant requirements information

How many unique requirements do we have for the System?

How many unique system actors do we have?

Please list the unique system actors

Give me 5 requirements with multiple actors. List them using a Python list of Requirement Numbers

List all existing requirements for UAM Aircraft

Predict five requirements for Urban Air Mobility systems that I may have missed

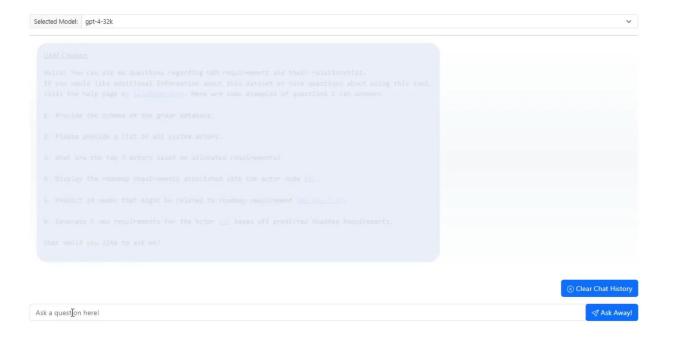
Create ten new requirements based on these predictions.



# Graph Database (neo4j) + ChatGPT (Azure OpenAI GPT 4.0)

#### **UAM Chatbot**

#### FOR PUBLIC DATA ONLY, DO NOT SEND ANY SENSITIVE NASA DATA THROUGH THIS SEARCH ENGINE





### Graph Database + ChatGPT

User:

List 3 existing requirements for the ATC.

ChatGPT generates an appropriate cypher query



MATCH r = (a:Actor {name:'ATC'})-[:Allocated]-(`Roadmap Requirement`) RETURN r LIMIT 3

Database runs the query and returns the result

#### UAM Chatbot:

Based on the information provided, here are three existing requirements for the Air Traffic Control ( $\underline{\text{AIC}}$ ):

- Requirement 89 UML-4.55: The FAA will approve flight rules that allow ATC to delegate separation responsibility to the PIC, Fleet Manager, or Vertiport Manager, or PSU under appropriate conditions. This requirement is part of the '07\_5S. Separation Services and Standards' component and is expected to be implemented in FY22.
- Requirement 297\_UML-3.AD: The FAA will establish emergency procedures that include how to alert ATC and how to execute clearing procedures or other appropriate actions. This requirement falls under the '02\_AD. Airspace and Procedure Design' component and is slated for FY23.
- 3. Requirement 333\_UML-4\_AM: The PSU shall coordinate airspace configuration actions with ATC when necessary. This requirement is part of the '01\_AM. Airspace Management Systems and Services' component and is expected to be implemented in FY22 and FY23.

ChatGPT converts the response to something "human readable"

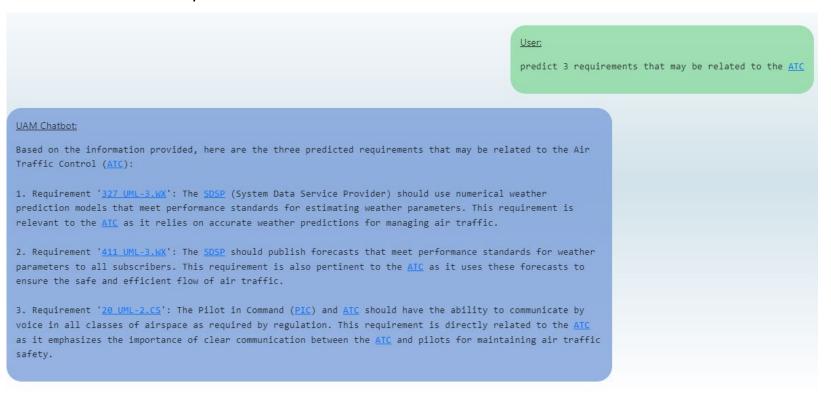


[[<Node element id='215' labels=frozenset({'Roadmap Requirement'}) properties={'owner': 'UAM Airspace RAC Details', 'pageRank': 0.6946460391326209, 'community': 350, 'rml': 2.0, 'combined index': 2.2, 'actors': ['PIC', 'ATC', 'Fleet Manager', 'FAA', 'PSU', 'Vertiport Manager'], 'component': '07 SS.C04. Roles and Responsibilities', 'fy': 'FY22', 'uml': 'UML-4 [NamedElement]', children': ['Initial UAM Airspace Structure Concept DASC2022', 'InitialAirspaceStructureConcept-4 ATC separation services', 'Fleet Operator'], 'name': '89 UML-4.SS', 'trl\_min': '', 'text': 'The FAA will approve flight rules that allow ATC to delegate separation responsibility to the PIC, Fleet Manager, or Vertiport Manager, or PSU under appropriate conditions.', 'id': 89, 'element': '07 SS. Separation Services and Standards'}>]. [<Node element id='199' labels=frozenset({'Roadmap Requirement'}) properties={'owner': 'UAM Airspace RAC Details', 'pageRank': 0.3978375937584387, 'community': 347, 'rml': 2.0, 'combined\_ ...



### Graph Database + ChatGPT

 The system predicts requirements using Adamic-Adar link prediction provided by the Neo4J Graph Data Science Library.





### Graph Database + ChatGPT

• The UAM Chatbot combines the information from the ATC and the predicted requirements to generate a whole new set of requirements catered towards the ATC.

#### User:

Using these predicted requirements, generate 3 new requirements for the ATC

#### **UAM Chatbot:**

Based on the predicted requirements, here are three new requirements for the Air Traffic Control (ATC):

- 1. New requirement based on '411 UML-3.WX': The ATC shall utilize the weather forecasts published by the SDSP to manage the safe, orderly, and expeditious flow of air traffic.
- 2. New requirement based on '327 UML-3.WX': The ATC shall rely on the numerical weather prediction models used by the SDSP, which meet performance standards for estimating weather parameters, to aid in air traffic control decisions.
- 3. New requirement based on '20 UML-2.CS': The ATC shall maintain the ability to communicate by voice with the Pilot in Command (PIC) in all classes of airspace as required by regulation, ensuring effective coordination for air traffic safety.



# **Preliminary Comparison Results**

Aspects	ChatGPT (Azure OpenAl GPT 3.5)	Graph Database / Data Science + ChatGPT (Azure OpenAl GPT 4.0)
Implementation	Moderate: Retrieval-augmented generation pattern using LLM and vector store	Difficult and more expensive
Cost for cloud server and developer	OpenAl subscription	<ul> <li>Open Al subscription</li> <li>Server for graph database</li> <li>Server for web-application</li> <li>Application / system administrator</li> </ul>
Quality of solutions	Varies based on type of query and structure of embedding vector store: better on general open queries, worse on numerical counting	Using the graph database provides a ground truth, chances of hallucination are much lower (almost 0 but not quite).
Lessons Learned	CUI content not permitted	<ul> <li>CUI content not permitted</li> <li>Difficult to get consistent, complex, cypher queries from GPT.</li> <li>Capacity issues during peak hours.</li> </ul>



### **ChatGPT**

Continue testing through better prompt engineering

### Graph Database + ChatGPT

- Optimize performance of ChatGPT interface, currently some responses can take 30+ seconds to come back to the user.
- Improve requirement prediction algorithm, utilize a combination of community detection and link prediction.