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Global Atmospheric Composition Needs from Future Ultraviolet-Visible-Near-Infrared (UV-Vis-NIR) NOAA Satellite Instruments

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32	INFORMATION BOX
33	2022 NOAA UV-Vis-NIR Workshop
34	What: Stakeholders and end users with diverse backgrounds in atmospheric science
35	gathered to provide the state of the science and user needs for operational atmospheric
36	composition measurements to inform future NOAA Low Earth Orbit satellite missions.
37	When: 14-15 June 2022
38	Where: Virtual (https://cpo.noaa.gov/News/ArtMID/7875/ArticleID/2541/UV-VIS-NIR-
39	Workshop)
40	KEYWORDS
41	Satellite observations; atmospheric composition; air pollution; aerosols/particulates; air
42	quality and health; ozone; trace gases.

43 **1. Introduction**

44 The US National Oceanic and Atmospheric Administration (NOAA) has a long history of 45 satellite observations, including for atmospheric composition. Stratospheric ozone 46 measurements have been made by NOAA since the 1980s and, over the years, NOAA's 47 weather satellites have added other atmospheric composition capabilities, particularly 48 volcanic ash, dust, smoke aerosols, and limited tropospheric trace gas measurements (e.g., 49 Zhang et al. 2022; Nalli et al. 2020; Shepherd et al. 2020; Wells et al. 2022; Li et al. 2015). 50 These products already support a number of applications, especially timely information about aerosols and wildfire smoke observations provided through AerosolWatch. 51

52 Expanding its spaceborne atmospheric composition focus, NOAA has made plans for a 53 dedicated ultraviolet-visible (UV-Vis) instrument aboard its next-generation geostationary 54 constellation, GeoXO, expected to launch in the 2030s. As NOAA begins planning for the 55 next generation of low Earth orbit (LEO) satellites, it is users' input on the needs for LEO 56 satellite data in the 2040s and beyond, when NOAA's current operational Joint Polar Satellite 57 System (JPSS) series of satellites will reach end of life. The (virtual) workshop that took place on June 14-15, 2022, discussed applications that require atmospheric composition 58 59 products from space-based ultraviolet (UV), Visible (Vis), and Near-Infrared (NIR) 60 measurements.

61 **2.** Workshop structure and atmospheric composition applications overview

62 The workshop consisted of two half-days of virtual presentations and discussion. 63 Presentations spanned a range of applications: the public health impacts of poor air quality 64 and environmental justice; greenhouse gas measuring, monitoring, reporting and verification 65 (GHG MMRV); stratospheric ozone monitoring; and various applications of satellite 66 observations to improve models, including data assimilation in global Earth System models. 67 Presentations ranged in scope from large-scale, long-term improvements to NOAA's 68 capabilities in Earth System modeling to tracking and forecasting specific events, such as the 69 impact of western wildfires on air quality in Connecticut and the effects of COVID-19 70 restrictions on air quality.

71 The NOAA JPSS Program opened the workshop and provided the charge for gathering 72 user requirements, with encouragement to consider NOAA's international partnerships with 73 the European Organisation for the Exploitation of Meteorological Satellites (EUMETSAT) 74 and Japan Aerospace Exploration Agency (JAXA) to deliver LEO measurements for NOAA 75 applications. In this collaborative context, future NOAA LEO satellite data users can expect a 76 more disaggregated architecture, wherein the approach of flying multiple sensors on a single 77 spacecraft would be replaced by individual spacecraft dedicated to individual instruments for 78 greater flexibility and agility within a constellation of satellites. The workshop also 79 encouraged discussion on GEO-LEO synergies, consideration of commercial data, and other 80 opportunities that might emerge in the future.

81 The first session started with a historical overview of UV-Vis-NIR atmospheric

82 composition measurements, including the Ozone Monitoring Instrument (OMI), the

83 TROPOspheric Monitoring Instrument (TROPOMI), Orbiting Carbon Observatory (OCO)-2

84 and -3, GOME, SCIAMACHY, and the Greenhouse Gases Observing Satellite (GOSAT).

85 Examples of available products included ozone, sulfur dioxide (SO₂), formaldehyde, nitrogen

86 dioxide (NO₂), and GHGs, and their applications in recent years, such as the use of OMI NO₂

87 data for air quality monitoring.

88 The second keynote presentation highlighted NOAA's National Air Quality Forecasting
89 Capability (NAQFC), which currently provides 72-hr forecast guidance for ozone, fine

90 particulate matter (PM_{2.5}), smoke, and dust. The NAQFC is being enhanced to ingest satellite

91 NO₂ and aerosol optical depth (AOD) data for improved emissions inventories and better

92 forecast accuracy. Timely delivery of consistent data was highlighted as critical for93 operational forecasting applications.

94 The third keynote presentation focused on the needs for GHG monitoring as part of an 95 integrated urban monitoring system to complement current ground-based and aircraft 96 measurements. The other two keynote presentations by public health experts highlighted the 97 capabilities of satellite data to both improve the understanding of pollution exposure on 98 human health and address environmental injustice. Existing satellite data (particularly NO₂ 99 from TROPOMI) demonstrate the unequal distribution of air pollution in US cities. For 100 example, satellite data show that disparities in NO2-attributable pediatric asthma are 101 widening. Future operational satellite measurements could provide detailed, timely, high-102 resolution information about air pollution at the census tract scale, potentially supporting 103 future science-based decisions to lower air pollution exposure

104 **3. Current measurement capabilities**

Subsequent sessions of the workshop, summarized below, focused on particular products
and applications, including the need for expanding the set of retrieved species, particularly
ammonia and volatile organic compounds (VOCs).

108 *3.1 Air quality impacts of trace gases*

109 Currently, tropospheric column retrievals of trace gases that are criteria pollutants 110 impacting air quality are available from a number of LEO instruments, e.g. OMI, TROPOMI, 111 and the Ozone Mapping and Profiler Suite (OMPS). Applications of these data include air 112 quality forecasting, tracking power plant and wildfire smoke plumes, addressing urban air 113 quality challenges, and identifying and quantifying emissions. Operational air quality 114 forecasting at global and regional scales using these satellite products is taking place at the 115 Copernicus Atmosphere Monitoring Service (CAMS), and similar capabilities are emerging 116 at the National Weather Service.

117 NOAA and the US Environmental Protection Agency (EPA) use similar satellite data for 118 air quality model evaluation. The combination of methane (CH₄), carbon dioxide (CO₂), 119 carbon monoxide (CO), and NO₂ retrievals can improve confidence in emissions inventories 120 and model performance, and together these data products would be of use in future air quality 121 management tools. EPA is moving towards an integrated observing system of trace gases 122 observed in the UV/Vis (i.e., NO₂ and formaldehyde) combining surface and satellite measurements of these species. A similar integrated observing system could be envisioned for gases detectable in the NIR spectrum such as CO, CO₂, and CH₄. The combined suite of longer- and shorter-lived trace gas retrievals and measurement scales would enhance capacity to assess air quality models and emission inventories.

127 The ability to retrieve additional trace gases (e.g., ethane, isoprene, and ammonia) in the 128 thermal IR along with those measured in the UV-Vis-NIR region would be extremely useful 129 for air quality applications, including source apportionment analysis (e.g. for oil/natural gas 130 extraction, biogenic, and agricultural sources). High-resolution near and thermal IR 131 observations on LEO platforms would greatly complement the UV-Vis instruments on 132 current and planned GEO platforms.

133 COVID-19 related lockdowns and the associated reduction in human activity showed the 134 need for near-real-time emission estimates, especially for use in air quality forecasts. The 135 emissions discussed were those from the transportation sector, oil & natural gas production 136 and distribution, and the use of volatile chemical products in personal care, cleaning, 137 construction, and manufacturing. NO₂, CO₂, CH₄, and VOCs (e.g., formaldehyde and 138 glyoxal) satellite products would be of most value for quantifying those emission changes.

Another specific example highlighting the need for timely satellite products is air pollution exposure inequalities, such as urban NO₂. Typically this type of work relies on the time averaging of LEO datasets to produce higher spatial resolution products. However, coarser products at daily resolution can still provide useful assessments of the inequalities and temporal variations in pollution distributions. Daily satellite observations capture the degree of intra-urban pollution inequalities, provided at least 30-60% of the city is covered to ensure sufficient sampling of population groups (Dressel et al. 2022).

Even more serious air pollution problems are seen globally, in cities without the network of ground-based measurements available in US cities. LEO observations provide the ability to study and intercompare air pollution in the world's urban areas, especially the growing megacities on the African continent that are not covered by the current plans for GEO constellations.

Although neither measured routinely nor required operationally so far, VOCs are
important species for identifying chemical processes that lead to the formation of ozone and
aerosols, and VOCs can help in identifying the sources of air pollution (e.g. anthropogenic vs.
biogenic). One such example is tracking emissions from oil & gas production. Satellite NO₂

155 products, such as from OMI or TROPOMI, can track changes in activity in oil & gas 156 producing basins on timescales of days, seasons, and years (Dix et al. 2020). Satellite CH₄ 157 retrievals, measured most recently by TROPOMI, can help identify methane leaks and hot 158 spots of drilling and oil & gas production activity (de Gouw et al. 2020). Meanwhile, VOCs 159 such as formaldehyde, observable from OMPS and TROPOMI, are a potentially useful proxy 160 for other hydrocarbons co-emitted during the oil & gas production process and that produce 161 formaldehyde in the atmosphere. Ideally, formaldehyde retrievals would continue on 162 NOAA's post-JPSS LEO platforms.

163 Ozone is produced in the atmosphere through reactions of many of the emitted species 164 discussed above. Ground-level ozone is one of six criteria pollutants for which the EPA sets 165 National Ambient Air Quality Standards (NAAQS) to protect against human health and 166 welfare effects. While monitoring networks generally measure surface-level ozone, these 167 networks are spatially sparse. Retrieving near-surface ozone from Vis LEO observations 168 would be extremely valuable for improving model performance and assessing the impacts of potential emissions control strategies, as well as for monitoring in regions with inaccurate 169 170 emission inventories and noticeable lack of measurements (i.e. the tropics).

The retrieved species discussed in this section would ideally feed into an integrated data
assimilation system, although significant challenges to multi-species chemical data
assimilation remain.

174 *3.2 Stratospheric ozone*

Stratospheric ozone monitoring using satellites has been a long-term, reliable, and accurate way to assess the status of the ozone hole recovery. Globally, stratospheric ozone and climate change are coupled in complex ways, requiring continuous monitoring to detect long-term trends. For these reasons, data from multiple LEO satellite missions are needed, since no single instrument will cover the period of stratospheric ozone depletion and recovery, while anthropogenically-driven climate change trends extend over decades.

181 One of the desired future improvements over current satellite capabilities includes an 182 ozone profiling capability in both the troposphere and stratosphere. This could be 183 accomplished with increased vertical resolution, particularly by including both nadir and limb 184 measurement capabilities. Additionally, a constellation of small satellite instruments could 185 allow for increased sampling coverage and/or a look at diurnal capabilities.

186 *3.3 Aerosols*

187 Satellite aerosol products are some of the many operational atmospheric composition 188 products provided by NOAA. As noted by keynote speakers, these products have proven to 189 be very useful for the prediction of air quality, especially smoke emitted from wildfires. 190 NOAA has a mandate to provide forecast guidance for concentrations of $PM_{2.5}$ near the 191 Earth's surface across the US. Because of the detrimental impacts of PM_{2.5} on human health, 192 the EPA has established NAAQS for PM2.5 which includes a daily standard set at a 24-hr 193 average concentration of 35 μ g/m3. NOAA provides hourly forecast guidance of surface 194 PM_{2.5} over a 72-hr time period. The forecast guidance is, in turn, used by state and local air 195 quality forecasters to issue Air Quality Index forecasts and issue Air Quality Alerts. 196 Speakers in the aerosol session identified emissions of aerosols and their precursors, both

anthropogenic and natural, as critical inputs to the global and regional air quality forecast
models. This input can be provided to the models in near real time. Additionally, satellite
derived AOD or UV-Vis-NIR reflectances are assimilated into models to adjust aerosol
concentrations and provide initial conditions for air quality forecasts.

201 While current NOAA operational satellites produce near-real-time information on 202 biomass burning emissions sources and AOD, the adjustment of aerosol species in current 203 data assimilation schemes can be challenging due to limited information content of Vis-NIR 204 passive sensors and the lack of well-calibrated, vertically-resolved extinction profiles from 205 elastic backscatter lidars. Though techniques to derive aerosol layer height using oxygen 206 absorption bands in the visible are emerging, the full vertical profile is not well resolved (Xu 207 et al. 2017). Having a UV-Vis-NIR sensor on operational satellites allows for the expansion 208 of the current capabilities, allowing for better constraints on aerosol speciation, vertical 209 structure, and absorbing/scattering properties. These improvements, in turn, will advance 210 aerosol data assimilation in atmospheric composition models, leading to better predictions of 211 surface PM_{2.5} and more accurate characterization of aerosol impacts on radiation, clouds, and 212 precipitation. Speakers showed case studies where the knowledge of aerosol composition 213 and height could advance AOD data assimilation in air quality models to improve PM2.5 214 predictions.

215 *3.4 Greenhouse gases (CO₂ and CH₄)*

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216 NOAA's global surface network measuring GHGs is relatively sparse and could be 217 complemented by routine and reliable satellite observations with high spatial and temporal 218 coverage, such as from future NOAA operational satellites. To track changes in GHG 219 emissions and distinguish very small biosphere and fossil fuel signals requires high accuracy 220 and precision over time scales of decades. For surface carbon flux estimates, the key 221 instrument feature is spectral coverage in the NIR regions between 0.75 and 3 μ m, which is 222 dominated by reflected sunlight. Measurements of GHGs at wavelengths longer than 3 μ m, 223 where the Earth's thermal emissions dominate, have little sensitivity near the surface. For 224 CH₄, the 1.65 μ m band may yield more accurate retrievals as compared to its 2.3 μ m band, by 225 references to CO₂ absorption that occurs at close wavelengths, thereby limiting interferences 226 from aerosols, clouds, and surface reflectance.

227 NOAA has looked to existing satellite measurements provided by other agencies, such as 228 those from the NASA OCO-2 to evaluate its CarbonTracker data assimilation system. NOAA 229 provides validation of those satellite datasets through its various in-situ measurements made 230 routinely (e.g. bi-weekly aircraft observations, AirCore) and in targeted field campaigns. 231 NOAA's AirCore in particular has been useful in validating existing CO₂ products from the 232 JPSS Cross-Track Infrared Sound (CrIS) instrument (Nalli et al. 2020). NOAA also 233 cooperates with other institutions carrying out ground-based remote-sensing measurements of 234 GHGs (e.g. the Total Carbon Column Observing Network) that can provide a link between 235 the in-situ observations and satellite retrievals. NOAA's plans for returnable gliders and 236 commercial aircraft measurements offer the promise of expanded validation opportunities for 237 its future space-based GHG capabilities.

238 In addition to existing instruments deployed by NASA, NOAA, and their European and 239 Asian partners, several NGO and private sector entities have focused on delivering space-240 borne GHG measurements, particularly of methane (e.g., GHGSat, MethaneSat). Carbon 241 Mapper was the primary example discussed at the workshop, which delivers CH₄ and CO₂ 242 point-source data that can both inform GHG emission inventories for stock-takes and trend 243 analyses and provide direct GHG mitigation guidance. While informing large-scale 244 inventories is an important use of GHG monitoring data, a large fraction of anthropogenic 245 methane emissions come from a relatively small number of sources. Thus, high-resolution 246 and low-latency data are critical in enabling rapid targeted mitigation of CH₄ emissions.

247 Greater availability of satellite GHG data could enable current and future global data 248 assimilation efforts (e.g. with OCO-2, GHGSat, TROPOMI), with the goal of improving the 249 understanding of human emissions and the natural exchange of CO₂ between the land, ocean, 250 and atmosphere. Data assimilation also provides the ability to track global and regional 251 changes in GHG concentrations as well as to quantify radiative forcing and temperature 252 impacts. As data availability increases, merging multiple satellites should provide a more 253 complete picture of global and regional carbon fluxes. NASA plans to include CO₂ and CH₄ 254 state estimation in its upcoming Reanalysis of the 21st Century (R21C), and its evaluations of 255 assimilations of TROPOMI and CrIS retrievals for CH4 are ongoing. NOAA, whose 256 CarbonTracker data assimilation system currently delivers CO₂ and CH₄ global and regional 257 fluxes, plans to connect these capabilities with its operational Unified Forecast System. As 258 demand for carbon fluxes and concentrations grow, especially for MMRV purposes, it will be 259 important to expand cyberinfrastructure and data services to support easier access and greater 260 interoperability of CO₂ flux and concentration products.

261 **4. Future instrument recommendations**

Each of the above applications comes with a specific set of observational requirements, some of which are being addressed with current capabilities that should be continued in post-JPSS LEO constellations. New capabilities should be added as well. Of particular importance for improving NOAA's operational capabilities are greater spectral coverage that includes the entire Vis-NIR region, higher spectral resolution, and higher spatial resolution.

Recent NOAA plans for GeoXO, GOES-R's future replacement, have already
demonstrated the need for and value of space-based atmospheric composition products.
While NOAA's GEO measurements will add tremendous value with their high temporal
resolution over much of North America, global coverage of atmospheric composition will
still need to be delivered from LEO. LEO observations, especially in the afternoon orbit, will
complement morning-orbit instruments deployed by European and Asian partners and those
planned by the private sector, especially for GHGs (e.g. MethaneSAT).

NOAA is uniquely positioned within the US Government to deliver continuous and
reliable operational data. Future NOAA LEO observations should provide information on
global air quality, near-real-time estimates of GHG fluxes, surface gas and aerosol pollutants,
and monitoring of stratospheric ozone. To that end, it will be important to enhance NOAA's

current LEO capabilities with an instrument similar to TROPOMI that is capable of
 measuring at high spatial and spectral resolution across the UV-Vis-NIR spectral ranges.

The workshop highlighted the many challenges of combining satellite and in situ data sets and the ongoing needs for robust satellite validation. NOAA's ground and aircraft-based measurements are well positioned to provide all manner of validation for future space-based observations (e.g., Nalli et al. 2020; Ciren and Kondragunta 2022). Presenters and participants agreed that U.S.-based measurement assets for validation continue to improve and that an integrated approach across the agencies involved in air quality would aid in improving validation resources (CEOS 2019).

287 **5. Final remarks**

288 NOAA's LEO satellites have been and will continue to be a reliable source of operational 289 data for decades, especially for weather forecasting, monitoring of the stratospheric ozone 290 hole recovery, and critical global hazards like the Pinatubo eruption or the 2020 Australian 291 fires. As technology for measuring atmospheric composition from space matures and the 292 demand for such information grows, NOAA should be expected to continue and expand the 293 delivery of atmospheric composition information with the next generation of its LEO 294 satellites. This workshop is a first step in NOAA's planning process by engaging the broader 295 community in refining the requirements for future LEO atmospheric composition capabilities 296 and working with users to maximally exploit these products when they become available.

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