

1 **Future increases in Amazonia water stress from CO<sub>2</sub> physiology**  
2 **and deforestation**

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19 Several different drivers are contributing to climate change within the Amazon basin,  
20 including forcing from greenhouse gases and aerosols, plant physiology responses to rising  
21 CO<sub>2</sub>, and deforestation. Attribution among these drivers has not been quantified for  
22 shared socioeconomic pathway (SSP) climate simulations. Here, we identify the  
23 contribution of CO<sub>2</sub> physiology and deforestation to future hydroclimate change in the  
24 Amazon basin by combining information from three experiments and eight different  
25 Earth system models in CMIP6. Together, forcing from CO<sub>2</sub> physiology and deforestation  
26 account for about 44% of the projected annual precipitation decline, 48% of surface  
27 relative humidity decline, and 11% of warming over the Amazon basin by 2100 for SSP3-  
28 7.0. Other CMIP6 SSP simulations have similar contributions from the two drivers.  
29 Insight from our attribution analysis can aid in identifying research priorities aimed at  
30 reducing uncertainty in future projections of water availability, carbon dynamics, and  
31 wildfire risk.

32  
33 Climate change is a major threat to Amazon rainforests as warming and drying contribute  
34 to higher levels of tree mortality in intact forests<sup>1,2</sup> and to more destructive fires that escape  
35 human control<sup>3,4</sup>. To explore both future climate change and its impacts within the Amazon  
36 basin, Earth system model (ESM) simulations from the 5<sup>th</sup> and the 6<sup>th</sup> Phases of the Coupled  
37 Model Intercomparison Project (CMIP)<sup>5-12</sup> are widely used. Specifically, simulations from  
38 ScenarioMIP<sup>13</sup> for different future shared socioeconomic pathway (SSP)<sup>14</sup> scenarios have been  
39 analyzed extensively to assess climate change impacts on ecosystem composition<sup>15</sup>, carbon  
40 storage<sup>16</sup>, the hydrological cycle<sup>17</sup>, fire risk<sup>18</sup>, and socio-economic systems<sup>19</sup>, often with the

41 CMIP simulations serving as external forcing for a set of downstream models that resolve the  
42 basin with a higher spatial resolution or greater process representation (for example, Koch &  
43 Kaplan<sup>16</sup>). Another important application of the CMIP simulations is their use in the  
44 development of emergent constraints<sup>20</sup>, which allow for a better understanding of the individual  
45 models from the broader ensemble that are more likely to accurately predict the sign and  
46 magnitude of future change<sup>21-23</sup>. Despite the extensive use of SSP simulations for these purposes,  
47 we do not clearly understand how different forcing agents within the simulations contribute to  
48 projected future changes in climate and the hydrological cycle in the Amazon basin.

49 Identifying the forcing agents responsible for projected future changes in climate is  
50 important for identifying research priorities to reduce uncertainties in key model components.  
51 In CMIP6 SSP simulations, critical forcing agents include well mixed greenhouse gases,  
52 aerosols, and land use change. Particularly for the Amazon basin, it is well established that the  
53 surface evapotranspiration changes from plant stomatal responses to rising atmospheric CO<sub>2</sub>  
54 and deforestation are important drivers of the precipitation response<sup>24-29</sup>, yet studies analyzing  
55 SSP simulations may include an implicit assumption that most of the projected change in the  
56 basin is associated with the climate system response to radiative forcing from greenhouse gases  
57 and aerosols, since these are the main forcing agents at a global scale (for example, Zhao &  
58 Dai<sup>12</sup>). To ensure a successful and informative assessment for policy- and decision-makers, it  
59 is essential to provide comprehensive reports on both the magnitude of climate change and its  
60 consequences. Additionally, it is crucial to clearly quantify the factors that contribute to future  
61 regional change. Failing to fully understand these key drivers hinders progress in reducing  
62 uncertainties within climate models<sup>30</sup>.

63 Future precipitation changes in Amazonia will likely be influenced by increased  
64 atmospheric CO<sub>2</sub> and deforestation<sup>31,32</sup>. The CO<sub>2</sub> impacts on Amazonian precipitation can be  
65 separated into radiative and plant physiological effects. The CO<sub>2</sub> radiative effect alters physical  
66 and dynamical processes, with regional Amazonian precipitation responding to large-scale  
67 thermodynamical adjustments of the ocean-atmosphere system, including the “wet regions  
68 getting wetter” mechanism identified in past work<sup>33,34</sup>. By contrast, the plant physiological  
69 effect in response to rising CO<sub>2</sub> is associated with a reduction in plant stomatal conductance  
70 and land surface evapotranspiration, that in turn, influence boundary layer processes, the  
71 frequency of deep convection, and interactions with the tropical jet<sup>35</sup>. Though sharing similar  
72 mechanisms of reducing surface evapotranspiration and boundary layer humidity, deforestation  
73 additionally increases surface albedo and reduces surface roughness, two processes that play  
74 major roles in altering precipitation patterns in various parts of the Amazon basin<sup>36-38</sup>. Across  
75 the basin as a whole, it has been suggested that increasing the deforestation fraction may cause  
76 a linear decline in regional average precipitation<sup>39</sup>, and that for some scenarios of future change,  
77 this decline in precipitation may be similar in magnitude to that caused by forcing from CO<sub>2</sub>  
78 physiology<sup>40</sup>.

79 Despite the well-understood mechanisms of the rainfall reductions due to CO<sub>2</sub>  
80 physiology<sup>25,35,40</sup> and deforestation<sup>41</sup>, there remains a lack of comprehensive and quantitative  
81 understanding of their contributions to future rainfall and other climate variable changes in  
82 future (21<sup>st</sup> century) simulations conducted as a part of ScenarioMIP for different SSPs. This  
83 attribution is challenging, in part, because each SSP has a different level of atmospheric CO<sub>2</sub>  
84 and prescribed forest cover change. In this study, we attribute changes in Amazonian

85 precipitation, surface relative humidity, and climate warming in the SSP simulations to forcings  
86 from CO<sub>2</sub> physiology and deforestation. For this purpose, we analyzed idealized model  
87 simulations from two model comparison projects (MIPs) that were undertaken as a part of  
88 CMIP6 (see Methods), namely, the Coupled Climate–Carbon Cycle Model Intercomparison  
89 Project (C4MIP<sup>42</sup>) and the Land-Use Model Intercomparison Project (LUMIP<sup>43</sup>). Idealized  
90 experiments of the land surface response to rising CO<sub>2</sub> in C4MIP (known as the  
91 biogeochemically coupled or BGC simulations) and to deforestation in LUMIP enabled us to  
92 first quantify the climate response of Amazon rainforest to these two mechanisms under  
93 uniform simulation protocols. We specifically analyzed transient simulations from eight models  
94 participating in C4MIP and six models participating in LUMIP (Supplementary Tables 1 and  
95 2). This analysis revealed that regional annual mean precipitation, surface relative humidity,  
96 and air temperature respond linearly to atmospheric CO<sub>2</sub> concentration and forest cover fraction  
97 in the Amazon basin. In a second step, we applied linear models of the climate response to the  
98 absolute change in CO<sub>2</sub> concentration or forest cover fraction to quantify the contribution of  
99 these mechanisms to climate change in the Amazon basin for different CMIP6 SSP simulations.

100

### 101 **Isolating climate response to rising CO<sub>2</sub> or deforestation**

102 We find that for the influence of rising CO<sub>2</sub> on plant physiology, the models show a  
103 significant (and mostly linear) decline in mean annual precipitation of  $-0.91 \pm 0.07\%$  ( $P < 0.001$ ,  
104 t-test) for a CO<sub>2</sub> increase of 100 ppm (Fig. 1a). Multiplied by the quadrupling increase in CO<sub>2</sub>  
105 (that is, from 285 ppm to 1140 ppm between last and first 20 years of the C4MIP BGC  
106 simulations) and a basin-wide mean annual precipitation climatology of 6.1 mm d<sup>-1</sup>, this

107 precipitation response to the CO<sub>2</sub> physiological forcing is equivalent to -0.47 mm d<sup>-1</sup>, which is  
108 broadly consistent with estimates for this response from the mean of previous CMIP5 models  
109 (for example, -0.48 mm d<sup>-1</sup> in Kooperman et al. <sup>25</sup>). We also find all the individual CMIP6  
110 models used in this study show a significant negative precipitation response to CO<sub>2</sub>  
111 physiological forcing (ranging from -0.5% to -1.6% per 100 ppm CO<sub>2</sub> increase), highlighting a  
112 reasonably coherent response of Amazonian precipitation to CO<sub>2</sub> physiological forcing within  
113 CMIP6 (Supplementary Table 2).

114 Deforestation also significantly decreases mean annual precipitation in the Amazon basin  
115 (Fig. 1b). The multi-model average response is  $-1.0 \pm 0.3\%$  per 10% deforestation ( $P < 0.001$ ),  
116 relatively linear, and equivalent to about 10% or  $-0.61 \pm 0.18$  mm d<sup>-1</sup> for 100% (complete)  
117 deforestation of the whole basin. The sign and magnitude of the multi-model average  
118 precipitation response from the fully coupled LUMIP simulations for complete deforestation is  
119 nearly identical and with a lower uncertainty compared to the mean estimate of  $-12 \pm 11\%$  (per  
120 100% deforestation) from a recent meta-analysis synthesizing information from climate models  
121 with various degrees of ocean, ice, and atmospheric coupling<sup>39</sup>. Moreover, we find all models  
122 agree on the sign of the response with their magnitude ranging from -0.15% to -2.3% in  
123 response to a 10% loss of forest cover, despite important structural differences in the CMIP6  
124 models with respect to the representation of vegetation-hydrology coupling and biophysical  
125 responses to land use change<sup>28,29</sup>.

126 Spatially, the precipitation response to forcing from a 100 ppm CO<sub>2</sub> increment is strongest  
127 in the northeastern part of the basin (Fig. 2a), with a pattern consistent with previous reports<sup>34</sup>.  
128 Whereas the climate response to the basin-wide 10% deforestation is strongest in central and

129 western Amazonia, adjacent to the Andes Mountain range (Fig. 2b). Further, the CO<sub>2</sub>  
130 physiological forcing and deforestation also influence seasonality of the precipitation response.  
131 Across the annual cycle, the CO<sub>2</sub> physiology impacts on precipitation are somewhat uniform,  
132 when expressed as a percent change. However, the precipitation response in the southeastern  
133 part of the basin is stronger toward the end of the dry season (August and September) than at  
134 the beginning of the dry season (June and July) (Supplementary Fig. 1). The negative  
135 precipitation response to deforestation appears to be most robust across the models during the  
136 wet season (December to May), although there is also a strong response and high level of  
137 agreement across models in the northern and eastern part of the basin during August, September,  
138 and October (Supplementary Fig. 2).

139 The negative precipitation response to forcing from CO<sub>2</sub> physiology and deforestation  
140 implies greater future risks for meteorological drought and fire. To provide more insight into  
141 potential changes in these risks caused by CO<sub>2</sub> physiology and deforestation forcing, we  
142 performed a similar regression analysis (see Methods) for surface relative humidity (RH) from  
143 the five models with available output from C4MIP and the four models with available output  
144 from LUMIP. Basin-wide RH decreases at a rate of  $-0.91 \pm 0.02\%$  ( $P < 0.001$ ) in response to a  
145 100 ppm CO<sub>2</sub> increase and by  $-0.5 \pm 0.1\%$  ( $P < 0.001$ ) in response to a 10% loss of tree cover  
146 in the Amazon basin (Fig. 1c, d). Regressions for each available model also confirm that the  
147 RH response is consistently negative in response to these drivers, although not every model  
148 exhibits a statistically significant trend (Supplementary Table 2). The spatial pattern of RH  
149 response to CO<sub>2</sub> physiology and deforestation is more homogeneous than for precipitation, with  
150 the largest signal occurring in the central Amazon basin (Supplementary Fig. 3a, b).

151 Similar to precipitation and RH, the surface air temperature response in the Amazon basin  
152 to forcing from CO<sub>2</sub> physiology is mostly linear (Fig. 1e), with a regional average warming rate  
153 of  $0.13 \pm 0.01$  °C per 100 ppm increase in CO<sub>2</sub> ( $P < 0.001$ ). All models agree on a significantly  
154 positive surface air temperature response to rising CO<sub>2</sub> (Supplementary Table 2). This warming  
155 signal is likely to increase the saturation vapor pressure, which, combined with the declined  
156 surface moisture availability due to declined stomatal conductance, contributes to the RH  
157 declines for CO<sub>2</sub> physiology.

158 The surface air temperature response to deforestation is considerably noisier than for the  
159 other climate variables shown in Figure 1f, with a 10% loss in forest fraction contributing to a  
160 basin-scale warming of  $0.03 \pm 0.02$  °C ( $P = 0.058$ ). Further regression analysis was performed  
161 for each model, revealing that the sign and magnitude of deforestation impacts on surface air  
162 temperature diverge considerably from model to model ( $-0.19$  °C to  $0.15$  °C in response to 10%  
163 deforestation, Supplementary Table 2). Specifically, the CanESM2 and UKESM1 show  
164 decreases in surface air temperature from deforestation in contrast to the other models that show  
165 a warming trend (Supplementary Table 2). Some of this variation may be linked to cooling from  
166 deforestation in the extratropics in the LUMIP simulations (ref. <sup>28</sup>). As a result, the mean  
167 estimate of climate warming from deforestation reported here is likely a lower bound (that is,  
168 CanESM2 and UKESM1 contribute negatively to the ensemble mean warming, Supplementary  
169 Table 2) and has a high level of uncertainty associated with model-to-model variability (see  
170 Discussion for further information). Compared to the spatial pattern of the precipitation  
171 response, the spatial patterns for the warming response to CO<sub>2</sub> physiology and deforestation are



172 diffuse and broadly similar, with the strongest response in the central part of the basin  
173 (Supplementary Fig. 3c, d).

174 The climate responses to CO<sub>2</sub> physiology and deforestation are not directly comparable in  
175 Figs. 1 and 2, with the slopes having different units. However, we can compare relative impacts  
176 of the two drivers by specifying a fixed increment of atmospheric CO<sub>2</sub> and then identifying the  
177 equivalent level of deforestation necessary to generate the same magnitude of climate change.  
178 For precipitation, a 100 ppm CO<sub>2</sub> increase is equivalent to a 9% increase in deforestation in  
179 terms of generating an equivalent amount of climate change for the set of CMIP6 models  
180 analyzed here. Similarly, for relative humidity a 100 ppm CO<sub>2</sub> increase is equivalent to an 18%  
181 increase in deforestation, and for temperature, a 100 ppm CO<sub>2</sub> increase is equivalent to a 43%  
182 increase in deforestation.

183

#### 184 **Contributions of CO<sub>2</sub> physiology and deforestation in SSPs**

185 The analysis shown in Figure 1 provides evidence that the climate response to atmospheric  
186 CO<sub>2</sub> concentration or deforestation is mostly linear in CMIP6 models for the domain of the  
187 Amazon basin. As a next step, we used these linear relationships to separately isolate climate  
188 change arising from these two drivers in widely used SSP scenarios<sup>13</sup> by the end of the 21st  
189 century. We estimated their contributions as the product of the multi-model average climate  
190 response from Fig. 1 and the changes in future atmospheric CO<sub>2</sub> concentration or deforestation  
191 fraction from each SSP simulation (Fig. 3, Methods). Contributions from the CO<sub>2</sub> physiology  
192 and deforestation have not been systematically identified for ScenarioMIP SSP simulations that  
193 integrate the forcing from many different climate change drivers.

194 SSP scenarios have different pathways of future atmospheric CO<sub>2</sub> concentration and land  
195 use, depending on different assumptions about the strength of international cooperation,  
196 technology, and economic development<sup>14</sup>. SSP1-2.6 has been described as the most sustainable  
197 future with global temperature stabilizing below 2°C of warming by 2081-2100 (ref. <sup>44</sup>). In this  
198 scenario, atmospheric CO<sub>2</sub> increases slowly, reaching a maximum of 474 ppm in 2063, and  
199 then declining to a mean level of 456 ppm by 2081-2100. By contrast, atmospheric CO<sub>2</sub>  
200 concentrations under the other three scenarios keep rising throughout the 21st century, reaching  
201 597 ppm for SSP2-4.5, 792 ppm for SSP 3-7.0, and 1005 ppm for SSP5-8.5. The CO<sub>2</sub>  
202 increments for these SSPs, relative to the background level in 1850 for the pre-industrial control,  
203 are summarized in Fig. 3.

204 Although SSP5-8.5 has the highest atmospheric CO<sub>2</sub> increase, its assumptions regarding  
205 global energy development are not closely coupled to land use change, and therefore the  
206 deforestation fraction in the Amazon basin remains nearly constant at 6.4% from 2021-2040  
207 through 2081-2100. This projection is similar to the 6.1% deforestation fraction for SSP1-2.6.  
208 For SSP2-4.5, Amazonian deforestation first increases to 8.3% during 2041-2060 and then  
209 decreases to 5.2% during 2081-2100 as a consequence of forest recovery (Fig. 3b). The greatest  
210 Amazonian forest cover loss occurs under SSP3-7.0 where deforestation increases to 12.4% by  
211 2081-2100 (Fig. 3c).

212 Precipitation decreases by 4.8% (-0.26 mm d<sup>-1</sup>) for SSP1-2.6 by 2081-2020 relative to the  
213 pre-industrial mean level in 1850 (5.5 mm d<sup>-1</sup>). For this scenario, we find that the sum of  
214 contributions from CO<sub>2</sub> physiology and deforestation account for 46% (-0.12 mm d<sup>-1</sup>) of future  
215 precipitation decline over the Amazon basin (Fig. 4a). Similarly, of the 13.2% decline (-0.72

216 mm d<sup>-1</sup>) in Amazonia precipitation occurring by 2100 for SSP3-7.0, 44% of this decrease (-0.32  
217 mm d<sup>-1</sup>) can be attributed to the combined effect of CO<sub>2</sub> physiology and deforestation. Across  
218 all the different future scenarios and time intervals shown in Fig. 4, the combined contributions  
219 of CO<sub>2</sub> physiology and deforestation to Amazonian precipitation change vary between 34% and  
220 56% (Fig. 4). For surface RH, a key driver of fire risk<sup>45,46</sup>, the impact of CO<sub>2</sub> physiology and  
221 deforestation is even greater in magnitude, accounting for 48% of the RH decline for SSP3-7.0  
222 and 52% for SSP5-8.5 (Supplementary Fig. 4). These findings highlight the importance of  
223 decreases in surface evapotranspiration due to both CO<sub>2</sub> physiology and deforestation  
224 (Supplementary Fig. 5a, b) as key model drivers influencing the future hydroclimate of the  
225 Amazon basin (Fig. 5).

226 In contrast, for surface air temperature, the contribution from the two drivers to warming  
227 is relatively small, primarily because of the stronger regional and global temperature response  
228 to radiative forcing from greenhouse gases. For example, for SSP3-7.0 about 11% of future  
229 Amazonian warming can be attributed to forcing from CO<sub>2</sub> physiology and deforestation by the  
230 end of the century (Fig. 5, Supplementary Fig. 6).

231 Solely considering contributions from the response of physiology to rising CO<sub>2</sub>,  
232 precipitation declines ranged between 33% for SSP1-2.6 to 46% for SSP5-8.5 (Fig. 4a, d).  
233 Deforestation contributions to precipitation declines varied between 4% for SSP5-8.5 to 13%  
234 for SSP1-2.6. CO<sub>2</sub> physiology also had a much larger impact than deforestation for relative  
235 humidity and temperature changes within the different SSP simulations.

236

237 **Discussion**

238 CO<sub>2</sub> physiology and deforestation are found to account for over 40% of the declines in both  
239 precipitation and surface relative humidity in the Amazon basin by the end of the 21<sup>st</sup> century  
240 (Fig. 4, Supplementary Fig. 4). These results indicate that a considerable amount of future  
241 Amazonian precipitation and meteorological drought<sup>7-12</sup> can be attributed to drivers other than  
242 the radiative effects of greenhouse gases and aerosols. The important role of climate forcing  
243 from the land surface could enable a relatively fast (and positive) hydroclimate response in the  
244 Amazon basin if climate policies are enacted that allow for reforestation or a decline in  
245 atmospheric CO<sub>2</sub> levels. This contrasts with the considerably slower (multi-decadal) response  
246 time of climate to radiative forcing from greenhouse gases as a consequence of long-term  
247 adjustments in ocean heating<sup>47</sup>. The estimated contributions of deforestation to future  
248 precipitation at the basin scale vary between 4% and 13% across the different SSPs. These  
249 estimates also serve as a range for the potential co-benefits in hydroclimate that could be  
250 achieved by preventing further deforestation, complementing carbon and ecological co-benefits  
251 reported in previous work<sup>48</sup>.

252 As a consequence of land-atmosphere interactions, past work has identified a loss of 40%  
253 of forest within the Amazon basin as a “tipping point”, beyond which hydroclimate changes  
254 would threaten the viability of remaining forests<sup>31</sup>. Our analysis also points to the negative  
255 consequences of deforestation for precipitation, but additionally suggests that at least for widely  
256 analyzed SSPs, threats to the future hydroclimate of the Amazon basin are even larger from the  
257 radiative effects of greenhouse gases and aerosols and from direct ecosystem responses to rising  
258 levels of atmospheric CO<sub>2</sub>.

259 The significant contributions of CO<sub>2</sub> physiology to future Amazonian precipitation change  
260 in CMIP6 SSP simulations highlight the importance of improving our knowledge on key  
261 processes of vegetation effects on precipitation and meteorological drought within the Amazon  
262 basin<sup>49</sup>. We have shown in this study the model evidence of rising CO<sub>2</sub> impacts on  
263 evapotranspiration, albedo, and leaf area index (Supplementary Fig. 5a, c, e). More  
264 observational and model explorations are needed to understand the resulting changes in  
265 boundary layer, deep convection, and regional circulation in order to reduce model uncertainties.  
266 While CMIP models provided a coherent and robust response to CO<sub>2</sub> forcing associated with  
267 plant physiology, the magnitude of this response remains highly uncertain mainly because there  
268 are relatively few ecosystem-level observations from tropical forests available for model testing.  
269 This highlights the importance of new, sustained stomatal conductance and evapotranspiration  
270 measurements at different CO<sub>2</sub> levels, such as those planned as a part of the Amazon FACE  
271 experiment<sup>50</sup>. Additionally, acclimation of stomatal conductance responses to long-term  
272 increasing levels of atmospheric CO<sub>2</sub> remains a key unresolved issue in this respect<sup>51</sup>.

273 Other key process-based uncertainties include the representation of land-atmosphere  
274 coupling and atmospheric convection that influence the precipitation recycling ratio in the  
275 Amazon basin<sup>52</sup>, and the ability of the models to capture the influence of changing ocean  
276 dynamics on future atmospheric circulation (and precipitation). For example, a recent study  
277 reported there is a systematic bias in CMIP6 models in capturing the cooling signal over the  
278 eastern equatorial Pacific in the past four decades<sup>53</sup>. Such a cooling pattern resembles a La-  
279 Niña-like condition that could increase the precipitation in the Amazon basin through changes  
280 in local Walker circulation<sup>54</sup>. Some of the model-to-model differences in the magnitude of the

281 SSP precipitation response (shown with the error bars in Fig. 4, Supplementary Table 3) can  
282 likely be traced back to the ocean response to radiative forcing from greenhouse gases and  
283 aerosols<sup>55,56</sup>, which also needs further exploration in future work.

284 For deforestation, paths for reducing uncertainty in coupled model estimates of the  
285 Amazonian climate response include more extensive comparison of models with observations  
286 and refinement of the LUMIP protocol for CMIP7. In this study, we report that the local  
287 biophysical temperature effects range from -0.19 °C to 0.15°C in response to 10% deforestation  
288 in the Amazon basin (Supplementary Table 2). Although the multi-model mean warming  
289 response is consistent with past work<sup>41</sup>, variability in the magnitude of the response across the  
290 different CMIP models is large and stems from at least three possible sources. First, there is a  
291 difference in the level of calibration and validation efforts from each modelling group to  
292 improve the biophysical temperature effects of deforestation. For example, land component of  
293 CESM2, the Community Land Model (CLM), has been improved through parameter  
294 optimization<sup>57</sup> and benchmarking with satellite observations<sup>58</sup>. Second, there are still a limited  
295 number of observations in the Amazon basin to help with the model calibration. For instance,  
296 a recent comparison of biogeochemical and biophysical climate effects of deforestation<sup>59</sup>  
297 includes observational datasets from Bright et al. <sup>60</sup> and Duveiller et al. <sup>61</sup>, which are still limited  
298 to a paucity of paired forested and non-forested eddy-covariance sites and relatively sparse  
299 satellite data coverage due to frequently cloudy conditions. Third, the CMIP6 LUMIP  
300 deforestation protocol is global in scope<sup>28</sup>. In designing the future LUMIP protocol for CMIP7,  
301 consideration of a tropical-only experiment with an increased number of ensemble members  
302 may provide a stronger basis for robustly characterizing regional climate responses. Further

303 analysis of drought and fire metrics in LUMIP simulations, including soil moisture and burned  
304 area, is also needed to understand better the processes of regional-scale dynamic vegetation  
305 feedbacks to Amazonian hydroclimate from changes in forest cover.

306 By recognizing the relatively fast adjustment time and linear relationship between land  
307 surface forcing and Amazonian climate response, we developed a first attempt to separate CO<sub>2</sub>  
308 physiology and deforestation contributions to climate change in CMIP6 SSP simulations. While  
309 the assumption of linearity and independence of the two forcing agents simplified our analysis,  
310 it is important to recognize potential interactions and feedback between these two drivers and  
311 target these interactions in future work. Further deforestation, for example, may weaken the  
312 regional climate response to rising CO<sub>2</sub> as forests are replaced with pastures and grasslands that  
313 have a smaller roughness and canopy fraction for transpiration. Across the SSPs, the  
314 deforestation fraction is generally small, as predicted in the SSPs by the end of the 21<sup>st</sup> century  
315 and ranges from 5.2% in SSP-2-4.5 to 12.4% in SSP3-7.0. To estimate the potential magnitude  
316 of some of these interactions, we performed a back-of-the-envelope calculation. Specifically,  
317 for the SSP3-7.0 scenario, we reduced the CO<sub>2</sub> physiological contribution by 12% to reflect the  
318 concurrent loss of total forest cover. With this simple assumption, which is likely an upper  
319 bound due to the largest deforestation fraction of 12%, the precipitation decline attributed to  
320 CO<sub>2</sub> physiology decreases from 35% to 31%. Some additional non-linearities are likely to be  
321 introduced from interactions between the radiative effects of greenhouse gases and the land  
322 surface forcing mechanisms explored here. Supplementary Fig. 7, for example, shows that CO<sub>2</sub>  
323 physiology effect on precipitation is largely independent of the deforestation effect but has a  
324 weak relationship with precipitation response to CO<sub>2</sub> radiative effect. These illustrative

325 calculations and analysis suggest that interactions may slightly reduce our estimated magnitude  
326 of precipitation effects but are unlikely to change our study's main findings qualitatively. This  
327 also highlights the need to explore feedback between forcing agents in future work. One  
328 effective way to accomplish this in CMIP7 would be to add a CO<sub>2</sub> physiology simulation (for  
329 example, a BGC simulation) and a land use simulation to the DAMIP<sup>62</sup> for historical and 1-2  
330 SSPs to 2100.

331 In this study we provide an attribution analysis of Amazonian climate change in widely  
332 used SSP simulations by isolating contributions from the plant physiological response to rising  
333 CO<sub>2</sub> and deforestation. We accomplish this by combining information from two different  
334 idealized experiments from CMIP6. From the idealized (biogeochemically-coupled) CO<sub>2</sub>  
335 experiment from C4MIP and the idealized deforestation experiment from LUMIP, we identify  
336 that the climate change response to feedbacks from changes in the land surface are rapid and  
337 mostly linear across the basin and across the dynamic range of CO<sub>2</sub> concentration and land  
338 cover change captured by the SSPs. The combined effects from the two drivers account for  
339 more than 40% of future basin-wide precipitation and surface relative humidity declines, but  
340 less than 11% of warming over the Amazon basin by the end of the 21<sup>st</sup> century. This implies a  
341 substantial contribution from CO<sub>2</sub> physiology and deforestation to increasing risk of future  
342 meteorological drought and wildfire. Our findings provide insight about the sources of  
343 uncertainty of climate model projections and may help with identifying the full scope of climate  
344 benefits associated with forest conservation policies in the Amazon basin.



345 **Methods**

346 We isolated the climate change response in the Amazon basin to CO<sub>2</sub> physiology and  
347 deforestation using output from two idealized CMIP6 experiments. From C4MIP<sup>42</sup> we analyzed  
348 the idealized 140-year simulations (1pctCO2-bgc) in which CO<sub>2</sub> concentrations increase by 1%  
349 per year, but the CO<sub>2</sub> increases are not radiatively active (that is, all models' radiation code uses  
350 a constant atmospheric CO<sub>2</sub> concentration that was held constant at the pre-industrial level).  
351 The 1pctCO2-bgc experiment from C4MIP allows for the isolation of the climate response  
352 resulting from plant physiological responses to rising CO<sub>2</sub>. From LUMIP<sup>43</sup> we analyzed a  
353 global idealized deforestation experiment (deforest-glob). The LUMIP deforest-glob simulation  
354 has an 80-year duration with a total forest area of 20 million km<sup>2</sup> linearly removed from each  
355 model's top 30% of forest grid cell across the globe during the first 50 years. This results in  
356 about a 0.9% per year decline in tree cover fraction across the Amazon basin as a whole (that  
357 is, the deforestation was mostly spatially homogeneous in the simulations). Since there are only  
358 deforestation effects in this experiment, changes in Amazonian climate can be attributed solely  
359 to this driver.

360 In a second step, we identified the contribution of plant physiology responses to rising CO<sub>2</sub>  
361 and deforestation to Amazonian climate change within CMIP6 future scenario experiments  
362 (ScenarioMIP)<sup>13</sup>. We focused on CMIP6 simulations for 4 widely used shared socioeconomic  
363 pathways (SSPs)<sup>14</sup>. These SSP simulations have different radiative forcing levels by 2100. They  
364 are: SSP1-2.6, SSP2-4.5, SSP3-7.0, and SSP5-8.5. The number behind each future scenario (for  
365 example, 8.5 for SSP5-8.5) indicates the radiative forcing level (unit: W/m<sup>2</sup>) that occurs in the  
366 scenario by 2100. To quantify the relative change in Amazonian climate in the future, we also

367 include the pre-industrial control (piControl) experiment of CMIP6 that uses fixed radiative  
368 forcing identical to the level during the year of 1850. The year of 1850 is also the reference year  
369 in our study.

370 Monthly air temperature (tas), precipitation (pr), surface relative humidity (hurs), and tree  
371 cover (treeFrac) during the historical and future periods from the above CMIP6 experiments  
372 were downloaded from the archive of Earth System Grid Federation (ESGF). Before analysis,  
373 all variables were remapped to a 1-degree grid using the bilinear interpolation method from  
374 Climate Data Operator (CDO<sup>63</sup>). Because not all CMIP6 modeling centers participated in all  
375 four experiments as described above, we chose to use eight models that have the maximum  
376 availability of these variables (Supplementary Table 1). They include BCC-CSM2-MR (Wu et  
377 al. <sup>64</sup>), CanESM5 (Swart et al. <sup>65</sup>), CESM2 (Danabasoglu et al. <sup>66</sup>), CNRM-ESM2-1 (Seferian  
378 et al. <sup>67</sup>), IPSL-CM6A-LR (Boucher et al. <sup>68</sup>), GISS-E2-1-G (Kelley et al. <sup>69</sup>), UKESM1-0-LL  
379 (Sellar et al. <sup>70</sup>), and MPI-ESM1-2-LR (Mauritsen et al. <sup>71</sup>). To obtain the most robust climate  
380 response to CO<sub>2</sub> physiology and deforestation as possible, climate variables were averaged for  
381 each model across ensemble members based on their availability in both the C4MIP and LUMIP  
382 experiments (Supplementary Table 1). The ensemble mean approach helps improve the signal-  
383 to-noise ratio of the climate response either to CO<sub>2</sub> physiology or deforestation in the Amazon  
384 basin considering the different influences from interannual variability from each model. Yet, it  
385 also relies on the mechanism coherence and traceability across these models. For future SSP  
386 scenarios, atmospheric CO<sub>2</sub> concentrations during the 21<sup>st</sup> century were obtained from the input  
387 datasets for Model Intercomparison Projects (input4MIPS) and their land use including the

388 fraction of forest in the Amazon basin was obtained from the Land Use Harmonization dataset  
389 version 2 (LUHv2f, ref. <sup>72</sup>).

390 To isolate the precipitation response to either plant physiological response to increasing  
391 CO<sub>2</sub> or deforestation within the eight CMIP6 models, the relative precipitation changes in  
392 percent were computed relative to the pre-industrial average for each model in each experiment  
393 before determining the average across models. We used simple linear regression equations to  
394 describe the response of precipitation, surface relative humidity, and surface air temperature to  
395 CO<sub>2</sub> concentration and forest cover percentage.

$$396 \quad Y = \alpha + \beta \times x$$

397 where y indicates the climate variables such as precipitation, surface relative humidity, or  
398 surface air temperature, x indicates either CO<sub>2</sub> concentration change or deforestation fraction  
399 over the Amazon basin. B and  $\alpha$  are the slope and y-intercept as estimated from the above  
400 equation, respectively. As shown in Fig. 1, the estimated  $\beta$  at the basin scale was used as the  
401 climate sensitivity to either CO<sub>2</sub> concentrations in C4MIP 1pctCO2-bgc or deforestation  
402 fraction in LUMIP deforest-glob. The y-axis intercept value in Fig. 1 may not be identical to  
403 100% for precipitation and to 0 for surface air temperature, probably from the influence of the  
404 internal variability. We chose not to force the regressions through a specified y-axis intercept to  
405 avoid overestimating contributions from CO<sub>2</sub> physiology and deforestation in our attribution  
406 analysis. To assess the spatial pattern of the Amazonian climate response, we also performed  
407 the linear regression analysis for each model pixel.

408 To estimate the contribution of plant physiological response to CO<sub>2</sub> to future climate  
409 change in the Amazon basin, we first computed the changes in the atmospheric CO<sub>2</sub>

410 concentration from the pre-industrial era (that is, 1850) to different future periods (that is, 2021-  
411 2040, 2041-2060, 2061-2080, and 2081-2100). We then multiplied this CO<sub>2</sub> change with the  
412 slope derived from the linear regression describing the response of each climate variable to  
413 atmospheric CO<sub>2</sub> concentration from the C4MIP 1pctCO<sub>2</sub>-bgc simulations (left column in Fig.  
414 1). In the 1pctCO<sub>2</sub>-bgc simulations, land cover was held constant throughout the simulations at  
415 1850 levels. Similarly, the deforestation contributions were computed as the product of the  
416 basin-scale average deforestation fraction from each of the future SSPs scenarios relative to  
417 1850 forest cover, and the slope derived from the linear regression describing the response of  
418 each climate variable to Amazonian deforestation fraction from the LUMIP deforest-glob  
419 simulations (right column in Fig. 1). In the LUMIP simulation atmospheric CO<sub>2</sub> concentration  
420 was held constant at 1850 levels<sup>43</sup>. The regression approach was applied for the purpose of  
421 deriving the sensitivity of the climate response to CO<sub>2</sub> concentration or deforestation fraction,  
422 respectively, using the different C4MIP and LUMIP simulations. The contribution by either  
423 CO<sub>2</sub> physiology or deforestation was estimated for the whole Amazon basin as shown in Fig. 4,  
424 Supplementary Figs. 4 and 6.

425 We assumed that the climate response to CO<sub>2</sub> physiological forcing and deforestation could  
426 be isolated from the CMIP6 simulations because climate responses to land surface forcing,  
427 including adjustments in boundary layer height and convection from changes in surface  
428 evapotranspiration, are known to be relatively fast, occurring over timescales of days to weeks<sup>35</sup>.

429

430 **Data availability**

431 All CMIP6 simulations used in this study are publicly available at [https://esgf-](https://esgf-node.llnl.gov/projects/cmip6/)  
432 [node.llnl.gov/projects/cmip6/](https://esgf-node.llnl.gov/projects/cmip6/). Atmospheric CO<sub>2</sub> concentrations for future SSP scenarios were  
433 downloaded from <https://esgf-node.llnl.gov/projects/input4mips/>. Future land use datasets  
434 LUHv2f were downloaded from <https://luh.umd.edu/data.shtml>.

435

#### 436 **Code availability**

437 All computer codes used in this study are available via GitHub at  
438 [https://github.com/YueLi92/Contributions\\_CO2Phys\\_Def\\_SSP](https://github.com/YueLi92/Contributions_CO2Phys_Def_SSP).

439

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448

#### 449 **Author Contributions Statement**

450 Y.L. and J.T.R. designed the research; Y.L. performed data analysis and figure illustrations;  
451 Y.L. and J.T.R. drafted the manuscript, with discussions and contributions from J.C.A.B.,

452 P.M.B., F.M.H., D.M.L., D.C.M., A.L.S.S., M.R.U.; All authors reviewed and revised the  
453 manuscript.

454

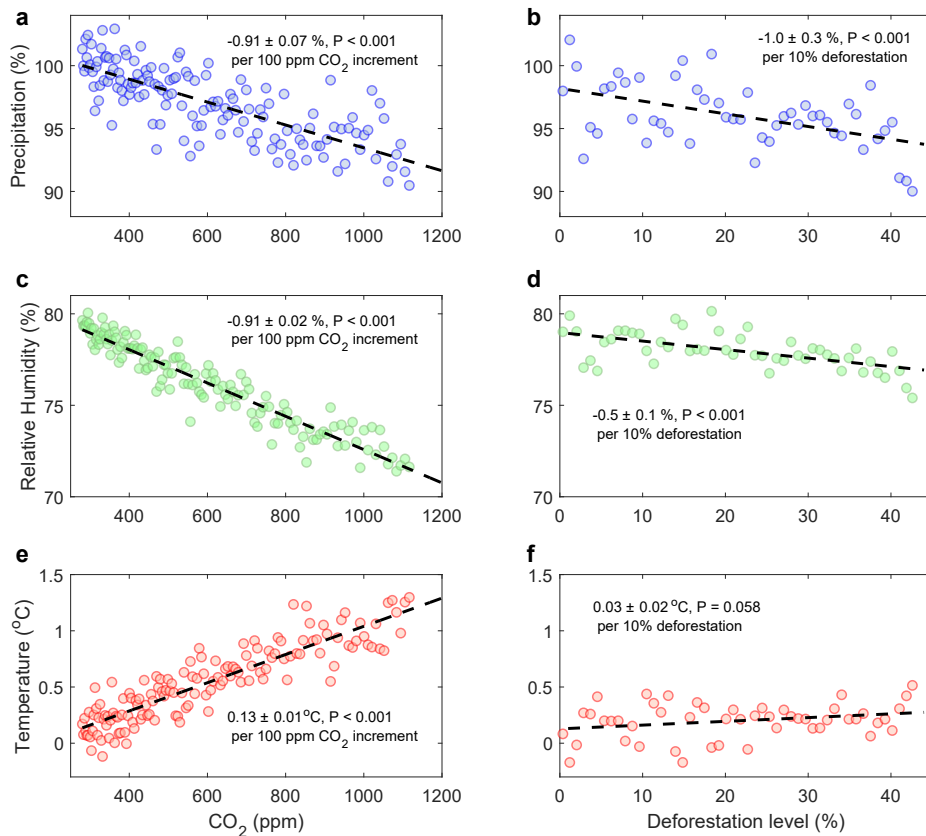
### 455 **Competing Interests Statement**

456 The authors declare no competing interests.

457

### 458 **Figure Captions**

459



460

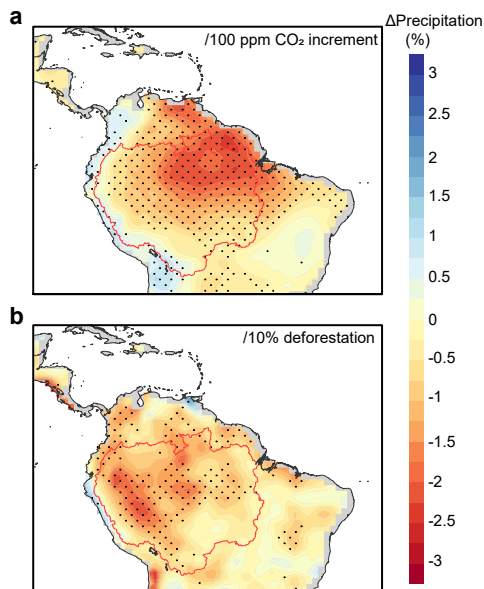
461 **Figure 1. Transient response of annual mean precipitation, surface relative humidity, and**

462 **air temperature to CO<sub>2</sub> physiology and deforestation in the Amazon basin. The**

463 precipitation changes were computed in percentage from each model, and then averaged across

464 eight CMIP6 models. Each data point represents the cross-model regional average that was  
465 computed for each year from their 140-year and 50-year simulations from the C4MIP and  
466 LUMIP experiments, respectively (see Methods). Climate changes are solely due to CO<sub>2</sub>  
467 physiology (no radiative effects) in the left column and deforestation in the right column. The  
468 exact *P* values for regression slope by t-test are  $4.5 \times 10^{-28}$  for (a),  $2.1 \times 10^{-4}$  for (b),  $8.8 \times 10^{-73}$  for  
469 (c),  $6.0 \times 10^{-6}$  for (d),  $9.4 \times 10^{-51}$  for (e), and 0.058 for (f).

470

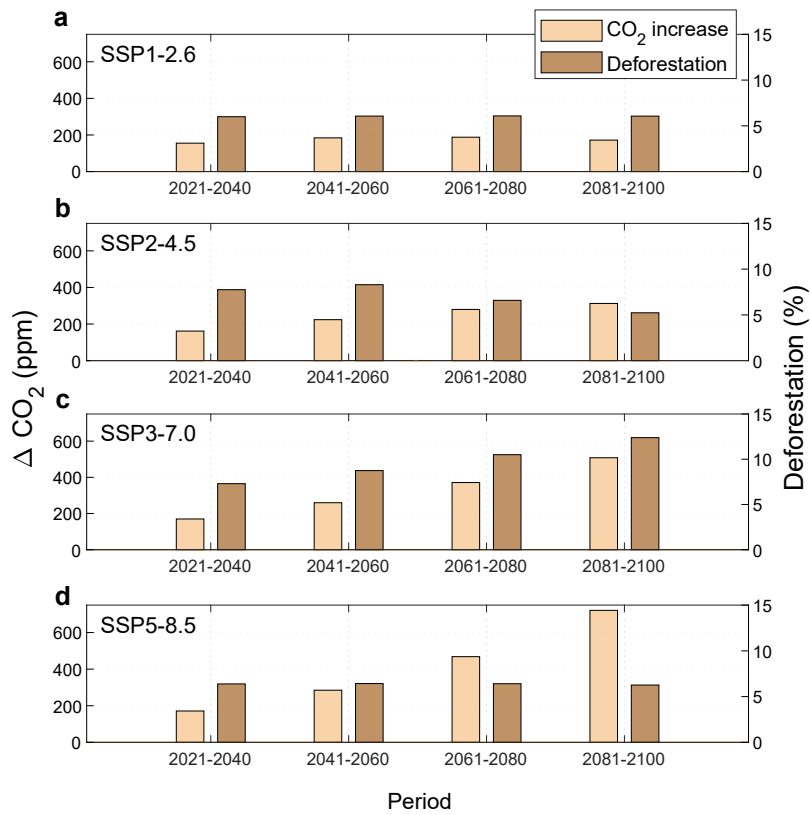


471

472 **Figure 2. Spatial distribution of the mean annual precipitation response to forcing from**  
473 **CO<sub>2</sub> physiology and deforestation.** Precipitation response to (a) 100 ppm CO<sub>2</sub> increase, (b)  
474 10% loss in forest fraction. The precipitation changes were computed in percent from each  
475 model, and then averaged across CMIP6 models. Linear regressions were performed for the  
476 precipitation at each pixel against (a) atmospheric CO<sub>2</sub> concentrations and (b) basin-scale  
477 average in forest cover loss from their 140-year and 50-year simulations of the C4MIP and  
478 LUMIP experiments, respectively (see Methods). Dotted area indicates the model agreement,

479 with at least six out of eight models agreeing on the sign of the precipitation response.

480



481

482 **Figure 3. Changes in CO<sub>2</sub> concentrations and deforestation fraction of the Amazon basin**

483 **in Shared Socioeconomic Pathways (SSPs).** Both CO<sub>2</sub> increase (light brown) and loss in forest

484 fraction of the Amazon basin (red brown) were computed as the difference between future

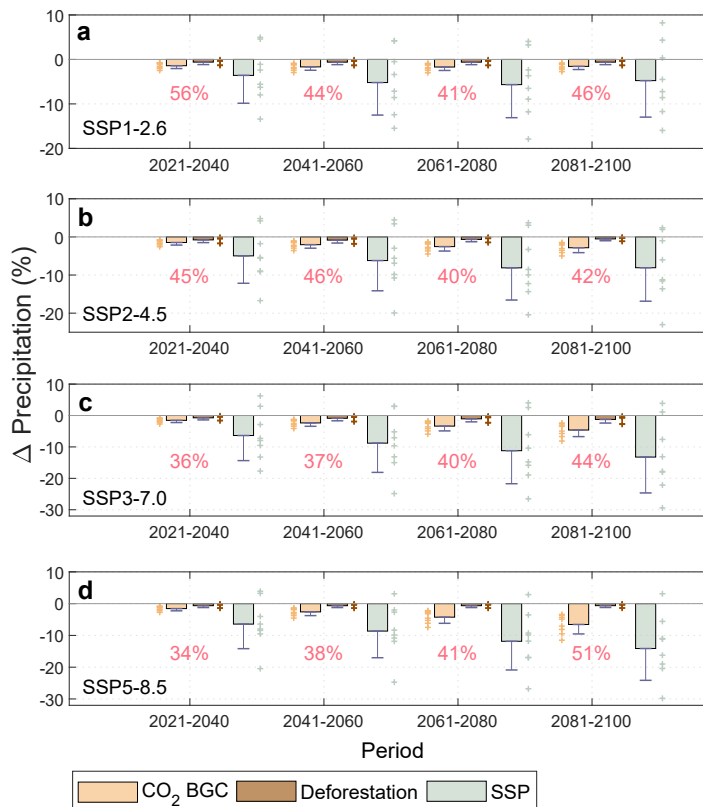
485 projections and the preindustrial levels for (a) SSP1-2.6, (b) SSP2-4.5, (c) SSP3-7.0, and (d)

486 SSP5-8.5. Future projections were derived from CMIP6 ScenarioMIP for different future shared

487 socio-economic pathways (SSPs).

488





489

490 **Figure. 4 Climate contributions of CO<sub>2</sub> physiology and deforestation to future changes in**

491 **precipitation over the Amazon basin.** Future changes in Amazonian precipitation (%) due to

492 CO<sub>2</sub> physiological effects (light brown) and deforestation (red brown) were computed from the

493 precipitation response from these two drivers (see Methods). Light grey indicates the future

494 Amazonian precipitation changes under the four Shared Socioeconomic Pathways (SSPs)

495 during different periods. They are: (a) SSP1-2.6, (b) SSP2-4.5, (c) SSP3-7.0, and (d) SSP5-8.5.

496 Red numbers indicate the percentage of total precipitation changes for each time period and

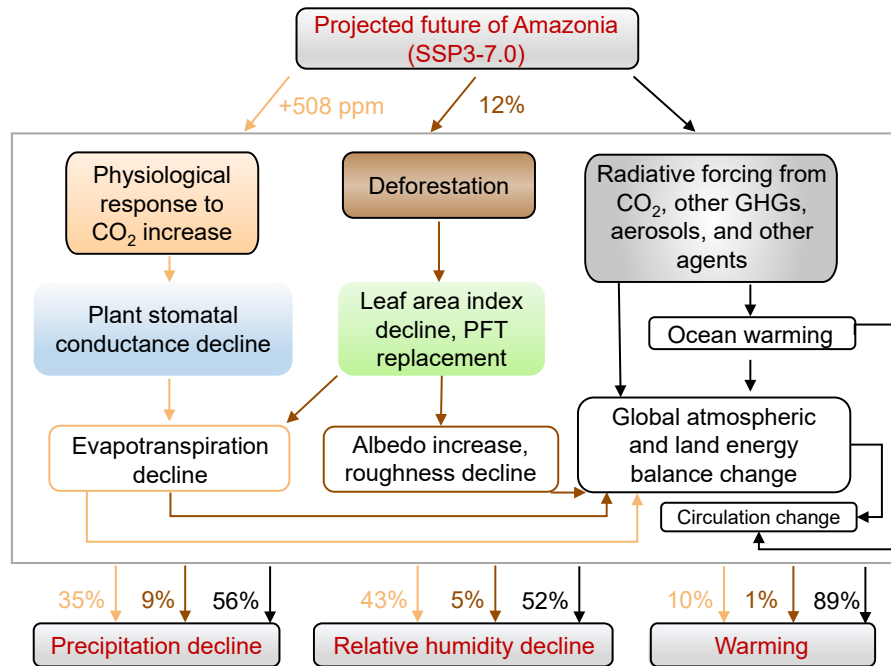
497 SSP attributed to CO<sub>2</sub> physiology and deforestation. Each error bar indicates 1 standard

498 deviation (SD) being added to the mean values across the CMIP6 models with available output

499 (n = 8 for CO<sub>2</sub> physiology and SSP simulation, n = 6 for deforestation). Data point for each

500 model has been shown along with the bar as indicated by plus sign. Relative precipitation

501 changes in percent can be converted to absolute changes in  $\text{mm d}^{-1}$  by being multiplied by a  
 502 multi-model mean annual precipitation of  $5.5 \text{ mm d}^{-1}$  for the pre-industrial period.  
 503



504  
 505 **Figure 5. Schematic diagram of the mechanisms by which CO<sub>2</sub> physiology and**  
 506 **deforestation influence climate change in the Amazon basin.** Taking the SSP3-7.0 as an  
 507 example, the contributions of CO<sub>2</sub> physiology and deforestation to Amazonian climate change  
 508 by the end of the 21<sup>st</sup> century (2081-2100) were quantified using CMIP6 idealized experiments  
 509 as described in the methods. More information about evapotranspiration, albedo, and leaf area  
 510 index model responses, which play a key role in regulating the integrated climate response, can  
 511 be found in Supplementary Fig. 5. Additional analysis of the underlying mechanisms can be  
 512 found in previous work by Swann et al. <sup>24</sup>, Zhou et al. <sup>27</sup>, and Boysen et al. <sup>28</sup>.

513  
 514

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