

**Anomalous Net Biome Exchange over Amazonian Rainforests Induced by the 2015/16 El Niño: Temperature-dominated Total Flux but Soil Dryness-shaped Spatial Pattern**

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**Key Points:**

(1) NBE anomalies over Amazonian rainforests induced by the 2015/16 El Niño were investigated  
based on thirteen atmospheric inversion models

(2) The total positive NBE anomaly was estimated at about 0.4 PgC yr<sup>-1</sup> in 2015/16, predominantly  
caused by higher temperature

(3) The spatial pattern of NBE anomaly was regulated by soil water with larger anomalous NBE  
over the eastern and northern Amazonian rainforests

**Abstract**

The magnitude and spatial pattern of anomalous net biome exchange (NBE) induced by the 2015/16 El Niño over Amazonian rainforests remains uncertain. We here investigated them using multi-model posterior NBE products in the Orbiting Carbon Observatory-2 (OCO-2) version 10 modeling intercomparison project (MIP). We find that relative to the annual NBE average in 2017/18, larger anomalous carbon release occurred over the eastern and northern Amazonian rainforests in 2015/16, with a total flux of approximately  $0.4 \text{ PgC yr}^{-1}$  after assimilating satellite-observed column  $\text{CO}_2$  concentrations ( $\text{XCO}_2$ ) over land. This total positive NBE anomaly was dominated by higher temperature with its contributions of approximately 60% in LNLG and 54% in LNLGIS experiments, respectively. However, its anomalous spatial pattern was predominantly determined by soil dryness with the highest spatial partial correlation coefficient. We believe that atmospheric inversions assimilating satellite-observed  $\text{XCO}_2$  can better decipher regional carbon flux variations although discrepancies exist among different models.

**Plain Language Summary**

We investigated the magnitude and spatial pattern of anomalous net biome exchange (NBE) induced by the 2015/16 extreme El Niño over Amazonian rainforests, based on multi-model posterior NBE products in the Orbiting Carbon Observatory-2 (OCO-2) version 10 modeling intercomparison project (MIP). The multi-model ensemble NBE anomalies showed larger carbon release over the eastern and northern Amazonian rainforests, which was predominantly regulated by soil water availability. Further, we estimated a total anomalous carbon flux of approximately  $0.4 \text{ PgC yr}^{-1}$ . Linear decomposition analysis revealed that this total NBE anomaly was controlled, however, by higher temperature. We believe that these atmospheric inversions assimilating satellite-observed  $\text{XCO}_2$  can better decipher regional carbon flux variations associated with their underlying mechanisms.

**Keywords: net biome exchange, Amazonian rainforests, OCO-2 MIP, 2015/16 El Niño, climate drivers**

## **1. Introduction**

The interannual variability of tropical and global land-atmosphere carbon flux, linked to the El Niño-Southern Oscillation (ENSO), has been the topic of many scientific studies [Bowman *et al.*, 2017; Jung *et al.*, 2017; Liu *et al.*, 2017; Palmer *et al.*, 2019; Peylin *et al.*, 2013; Piao *et al.*, 2020; Rodenbeck *et al.*, 2018; J Wang *et al.*, 2016; J Wang *et al.*, 2018b; Zeng *et al.*, 2005]. In particular, these year-to-year variations reveal how terrestrial ecosystems cope with the abiotic stresses induced by ENSO events, and may shed some light on the future changes of terrestrial carbon cycle under greenhouse warming [Arora *et al.*, 2020; Cox *et al.*, 2018; Friedlingstein *et al.*, 2006]. The tropical rainforests, with the largest areas located over Amazonia, make the second largest contribution (approximately 28%) to the interannual variability of global net biome productivity (NBP) based on the TRENDY multi-model simulations [Ahlstrom *et al.*, 2015]. Amazonia has been long recognized as a hot spot of carbon cycle research due to its interannual anomalies [Koren *et al.*, 2018; Phillips *et al.*, 2009; van Schaik *et al.*, 2018] and long-term changes [Cox *et al.*, 2004; Gatti *et al.*, 2021; Green *et al.*, 2020].

During the recent 2015/16 extreme El Niño, the gross primary productivity (GPP) and solar-induced fluorescence (SIF) were suppressed over Amazonia due to anomalously higher temperature and lower soil moisture [Koren *et al.*, 2018; van Schaik *et al.*, 2018]; however, forest canopy greenness showed a small increase due to enhanced solar radiation [Yang *et al.*, 2018]. As for the net carbon flux to atmosphere, an inverse modeling study suggested approximately 0.5 PgC release anomaly from Amazonia from September 2015 to June 2016, by assimilating in situ

observations and vertical profile data in the Amazon [Gloor *et al.*, 2018]. Liu *et al.* [2017] suggested  $0.9 \pm 0.29$  Pg more carbon into atmosphere in 2015 than in the previous La Niña year 2011 over tropical South America, which was estimated by an atmospheric inversion constrained with CO<sub>2</sub> observations from the Orbiting Carbon Observatory-2 (OCO-2), SIF, and carbon monoxide (CO) observations from Measurements of Pollution in the Troposphere (MOPITT). However, these two studies did not show the spatial characteristics of anomalous net land-atmosphere carbon flux, and related climate drivers were mainly qualitatively discussed.

Recently, net biome exchange (NBE) from the OCO-2 version 10 modeling intercomparison project (MIP) have become available. The NBE is sum of net ecosystem exchange (NEE) and wildfire-induced carbon emissions ( $F_{fire}$ ). We expect that these models can better capture the characteristics of regional carbon flux anomalies after assimilating satellite-observed XCO<sub>2</sub> data, compared with the traditional atmospheric inversions used in previous studies [Bastos *et al.*, 2018; J Wang *et al.*, 2018a]. Therefore, based on the posterior NBE optimized by these multiple inversion models, we revisit the magnitude and spatial pattern of anomalous NBE over Amazonian rainforests induced by the extreme 2015/16 El Niño. Further, we will attempt to quantitatively reveal the contributions of different climate drivers.

## 2. Materials and methods

### 2.1 Posterior NBE from multiple atmospheric inversion models

This study used the posterior NBE optimized by thirteen atmospheric inversion models (Table 1) from the OCO-2 v10 MIP, which is an international collaboration of CO<sub>2</sub> flux inversion modelers. Modelers performed a standard suit of inversion experiments, constrained by CO<sub>2</sub> observations

from OCO-2 and in situ [Byrne *et al.*, 2022; Crowell *et al.*, 2019; Peiro *et al.*, 2022], reported results for years 2015–2020. We here adopted results from three experiments, including: (1) IS: Assimilation of in situ CO<sub>2</sub> measurements from an international observational network; (2) LNLG: Assimilation of OCO-2 ACOS v10 land nadir and land glint XCO<sub>2</sub> retrievals [OCO-2 Science Team/Gunson and Eldering, 2020]; (3) LNLGIS: Assimilation of in situ CO<sub>2</sub> measurements and OCO-2 ACOS v10 land nadir and land glint XCO<sub>2</sub> retrievals. For each inversion experiment, modelers prescribed a common fossil fuel emission, but adopted independent other prior surface carbon flux estimates (net ecosystem exchange, ocean, and wildfire emissions) [Peiro *et al.*, 2022]. The common fossil fuel emission adopted the Open-source Data Inventory for Anthropogenic CO<sub>2</sub> (ODIAC) emission data product with the monthly gridded 1°×1° emissions up to 2019 [Oda *et al.*, 2018] and extrapolated emissions in 2020 with additional information from the Carbon Monitor (CM) emission product (<https://carbonmonitor.org/>). Specifications for each atmospheric inversion model are listed in Table 1.

The posterior NBE provided by the OCO-2 v10 MIP community has a horizontal resolution at 1°×1°. In order to lower the noise in space to some extent, we in this study performed the analyses at 2.5°×2.5° which were interpolated by using the Climate Data Operators (CDO) tool based on the approach of the first order conservative remapping scheme [Jones, 1999]:

$$\overline{F}_k = \frac{1}{A_k} \int f A \quad (1)$$

where  $\overline{F}_k$  represents the area-averaged destination terrestrial carbon flux.  $A_k$  is the area of grid  $k$ , and  $f$  is the original carbon flux. Additionally, we also provide the main results at 1°×1° in the supplementary (Fig. S1). By comparison, results at these two resolutions are consistent.

**Table 1.** Specifications for atmospheric inversion models participated in OCO-2 v10 MIP.

No.	Inverse model	Inverse method	Transport model	Meteorology	Resolution	References
1	Ames	4D-Var	GEOS-Chem	MERRA-2	4°×5°	<i>Philip et al.</i> [2022]
2	Baker	4D-Var	PCTM	MERRA-2	4°×5°	<i>Baker et al.</i> [2006]
3	CAMS	Variational	LMDZ	ERA5	1.9 x 3.75	<i>Chevallier et al.</i> [2005]
4	CMS-Flux	4D-Var	GEOS-Chem	MERRA-2	4°×5°	<i>J Liu et al.</i> [2021]
5	COLA	EnKF	GEOS-Chem	MERRA-2	4°×5°	<i>Z Liu et al.</i> [2022]
6	CSU	Bayesian synthesis	GEOS-Chem	MERRA-2	4°×5°	<i>Schuh et al.</i> [2010]
7	CT	EnKF	TM5	ERA5	2°×3° / 1°×1°	<i>Jacobson et al.</i> [2020]
8	JHU	geostatistical/4D-Var	GEOS-Chem	MERRA-2	4°×5°	<i>Chen et al.</i> [2021]
9	NIES	4D-Var	NIES-TM/FLEXPART	ERA-5/JRA-55	3.75°×3.75° / 0.1°×0.1°	<i>Maksyutov et al.</i> [2021]
10	OU	4D-Var	TM5	ERA-Interim	4°×6°	<i>Crowell et al.</i> [2018]
11	TM5-4DVar	4D-Var	TM5	ERA-Interim	2°×3°	<i>Basu et al.</i> [2013]
12	UT	4D-Var	GEOS-Chem	GEOS-FP	4°×5°	<i>Deng et al.</i> [2016]
13	WOMBAT	Synthesis with MCMC	GEOS-Chem	MERRA-2	2°×2.5°	<i>Zammit-Mangion et al.</i> [2022]

## 2.2 Meteorological and land cover datasets

We used land surface air temperature from the Climatic Research Unit gridded Time Series (CRU TS) v.4.05 at 0.5°×0.5° [Harris et al., 2020], which was generated by the interpolation of monthly climate anomalies from global weather station observations.

The soil dryness condition was indicated by the terrestrial water storage (TWS) from a reconstructed product of the Gravity Recovery and Climate Experiment (GRACE-REC) which was generated by a statistical model trained with GRACE observations [Humphrey and Gudmundsson, 2019]. The GRACE-REC product was provided in six reconstructed TWS datasets

of 100 ensemble members each at both daily and monthly timesteps over the period of 1901 to present with a  $0.5^\circ \times 0.5^\circ$  resolution, based on two different GRACE products (JPL and GSFC mascons) and three meteorological forcing datasets. Considering the time span and product evaluation [Humphrey *et al.*, 2021], this study adopted the ensemble mean of the GSFC-ERA5 monthly product which had the time span from January 1979 to July 2019. In order to independently assess this product, we further compared it with other three soil moisture products, and found that they consistently have high spatial correlation coefficients in pairs over Amazonian rainforests (Table S1).

The vapor pressure deficit (VPD) was first calculated based on the ERA5 hourly data on single levels at  $0.25^\circ \times 0.25^\circ$  [Hersbach *et al.*, 2020]. Specifically, we used the following equations:

$$VPD = (SVP - AVP)/1000 \quad (2)$$

where SVP and AVP represented the saturated and actual vapor pressure in pascals, respectively. The derived VPD was in kPa. And SVP and AVP were calculated with a commonly used parameterization as follows:

$$\begin{cases} SVP = 610.78 \times e^{\frac{17.27T_{as}}{T_{as}+237.29}} \\ AVP = 610.78 \times e^{\frac{17.27T_d}{T_d+237.29}} \end{cases} \quad (3)$$

where  $T_{as}$  and  $T_d$  were surface air temperature and dew-point temperature in degrees Celsius, respectively. Then we aggregated calculated hourly VPD into monthly and annual averages.

The Oceanic Niño Index (ONI) was adopted here to infer the ENSO conditions, which was the running 3-month area-averaged sea surface temperature anomalies (SSTA) for the Niño3.4 region ( $5^\circ\text{S}$ – $5^\circ\text{N}$ ,  $120^\circ\text{W}$ – $170^\circ\text{W}$ ).

In order to retrieve the domain of Amazonian rainforests in this study, we adopted the Terra and Aqua combined Moderate Resolution Imaging Spectroradiometer (MODIS) Land Cover Climate

Modeling Grid (CMG) (MCD12C1) Version 6 data product [*Friedl et al.*, 2015], which were also consistently resampled into  $2.5^{\circ} \times 2.5^{\circ}$  by the approach of the largest area fraction remapping.

### 2.3 Calculation of NBE and climate anomalies

According to the ONI table ([https://origin.cpc.ncep.noaa.gov/products/analysis\\_monitoring/ensostuff/ONI\\_v5.php](https://origin.cpc.ncep.noaa.gov/products/analysis_monitoring/ensostuff/ONI_v5.php)), an extreme El Niño occurred in 2015/16 with the maximum ONI of  $2.6^{\circ}\text{C}$ , followed by two weak La Niña events in 2016/17 and 2017/18. Owing to the short period of posterior NBE products, the climatology cannot be accurately derived which can be greatly influenced by the extreme El Niño event. Hence, we derived the anomalies of NBE and climate factors in each grid over Amazonian rainforests by calculating the difference between the averages during 2015/16 and those during 2017/18. This simple method is analogous to the approach used by *Liu et al.* [2017] in which they calculated the anomaly in 2015 relative to the value in 2011.

### 2.4 Contributions of climate drivers to NBE anomalies

Surface air temperature, soil moisture, and VPD are the main climate factors, driving the interannual variability of land-atmosphere carbon flux [*He et al.*, 2022; *Humphrey et al.*, 2018; *Jung et al.*, 2017; *J Wang et al.*, 2016; *W Wang et al.*, 2013]. In order to quantitatively calculate the contributions of these three climate drivers to NBE anomalies over Amazonian rainforests induced by the 2015/16 extreme El Niño, we calculated their second-order partial correlation coefficients and adopted the multiple linear regression to decompose NBE anomalies in space. To derive the second-order partial correlation coefficient, we first calculated the first-order partial

183 correlation (denoted as  $r_{12,3}$ ) which is a measure of the relationship between variable  $X_1$  and  $X_2$ ,  
 184 controlling for the other variable  $X_3$ :

$$185 \quad r_{12,3} = \frac{r_{12} - r_{13}r_{23}}{\sqrt{(1-r_{13}^2)(1-r_{23}^2)}} \quad (4)$$

186 Then, we can derive the second-order partial correlation (denoted as  $r_{12,34}$ ) which is a measure of  
 187 the relationship between variable  $X_1$  and  $X_2$ , controlling for the other two variables  $X_3$  and  $X_4$ :

$$188 \quad r_{12,34} = \frac{r_{12,3} - r_{14,3}r_{24,3}}{\sqrt{(1-r_{14,3}^2)(1-r_{24,3}^2)}} \quad (5)$$

189 Decomposition of NBE anomalies in space into individual contributions was achieved as  
 190 follows:

$$191 \quad NBE = \beta^T T + \beta^{SM} SM + \beta^{VPD} VPD + \varepsilon \quad (6)$$

192 where  $\beta^T$ ,  $\beta^{SM}$ , and  $\beta^{VPD}$  represent the sensitivities of NBE to temperature, soil moisture, and  
 193 VPD anomalies, respectively. This method needs to assume the same climate sensitivities in  
 194 space. Of course, it seems reasonable because we here focus on Amazonian rainforests (broadleaf  
 195 forests in MODIS land cover). The term of  $\varepsilon$  represents the residual. We can further rewrite  
 196 Equation 6 using the more compact symbols:

$$197 \quad NBE = NBE^T + NBE^{SM} + NBE^{VPD} + \varepsilon \quad (7)$$

198 where  $NBE^T$ ,  $NBE^{SM}$ , and  $NBE^{VPD}$  denote the NBE anomalies induced by temperature, soil  
 199 moisture, and VPD, respectively.

200

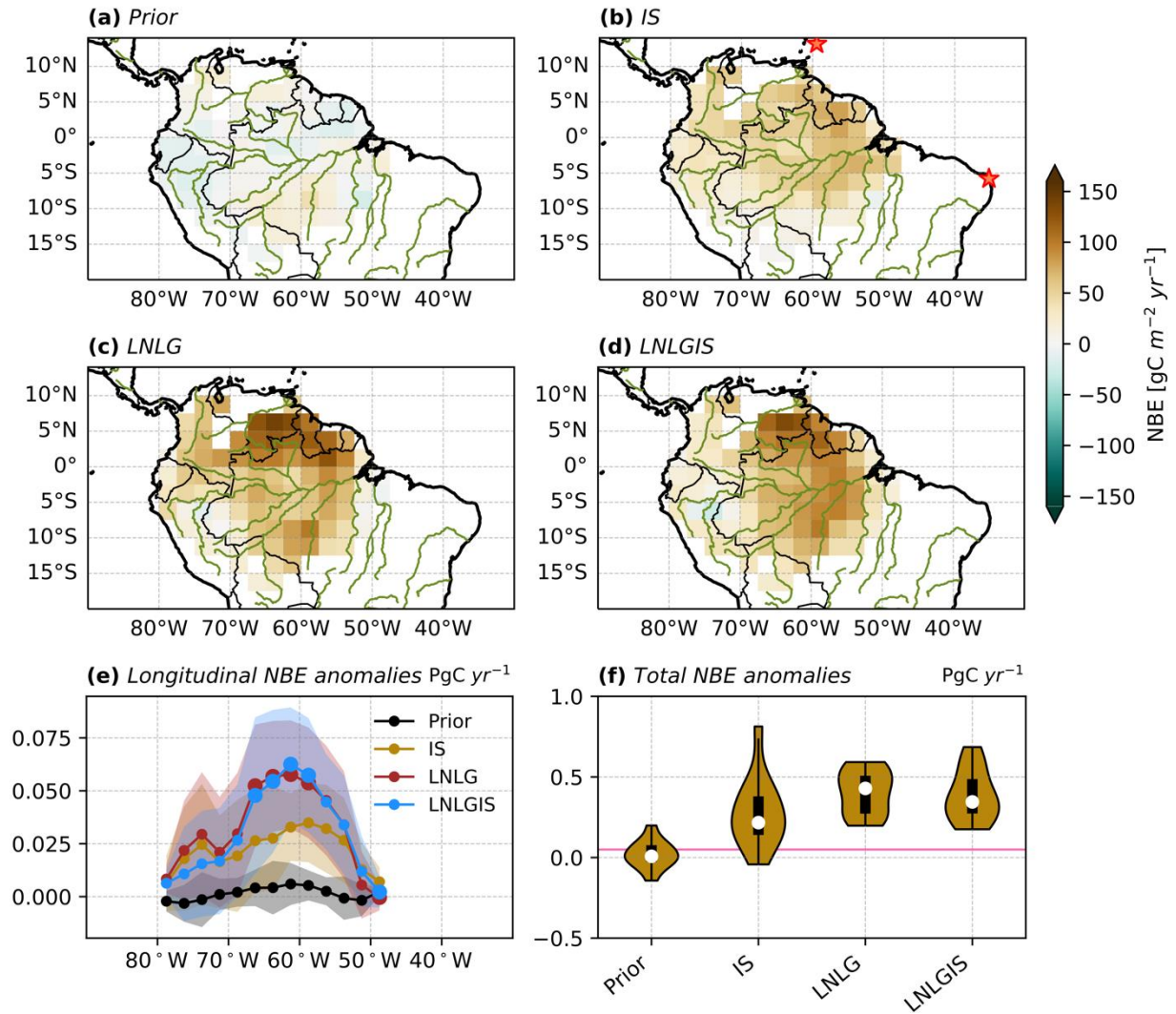
### 3. Results and discussion

#### 3.1 Anomalous posterior NBE over Amazonian rainforests

The geographical distributions of calculated annual NBE anomalies over Amazonian rainforests related to the extreme 2015/16 El Niño are presented in Fig. 1. The ensemble NBE anomalies in OCO-2 v10 MIP Prior were nearly neutral (Fig. 1a), indicating that the obvious posterior NBE anomalies originated from the information of the in situ and satellite-observed atmospheric CO<sub>2</sub> concentration. The ensemble NBE anomalies constrained by in situ CO<sub>2</sub> observations (IS experiment) showed moderate anomalous carbon release (positive values) with the slightly stronger magnitudes over the eastern Amazonia (Fig. 1b). Compared to the limited stations of in situ CO<sub>2</sub> observations, the OCO-2 XCO<sub>2</sub> product largely increases the coverage of atmospheric CO<sub>2</sub> observations over the tropical South America, even though it is limited to clear areas and much hampered by the cosmic rays from the South Atlantic Anomaly [Byrne *et al.*, 2022]. The ensemble posterior NBE anomalies in LNLG and LNLGIS had the similar spatial patterns, showing the much stronger carbon release over the eastern and northern parts of Amazonian rainforests (Figs. 1c and d).

More specifically, longitudinal changes of NBE anomalies showed that although large inter-model spread existed, the ensemble posterior NBE anomalies in LNLG and LNLGIS had significantly stronger carbon release (approximately double, 0.05 PgC yr<sup>-1</sup>) from 57.5°W to 67.5°W than results in IS ( $p < 0.1$ ) (Fig. 1e). The ensemble NBE anomalies over the entire Amazonian rainforests were 0.02±0.09 PgC yr<sup>-1</sup> in Prior and 0.28±0.22 PgC yr<sup>-1</sup> in IS. After assimilating OCO-2 XCO<sub>2</sub> observations over land, the total ensemble NBE anomalies were 0.42±0.14 PgC yr<sup>-1</sup> in LNLG and 0.39±0.15 PgC yr<sup>-1</sup> in LNLGIS, showing their enhanced carbon release by approximately 50% and 39%, respectively, relative to the estimated magnitude in IS

224 (Fig. 1f). The NBE anomaly composed of NEE and  $F_{fire}$  anomalies, in which  $F_{fire}$  anomaly was  
 225 estimated at  $0.05 \text{ PgC yr}^{-1}$  based on GFEDv4.1s product (Fig. 1f), suggesting the dominant  
 226 contribution of NEE to NBE anomaly. Additionally, the inter-model spread in the total NBE  
 227 anomalies in IS was obviously larger than that in LNLG, indicating that larger discrepancies  
 228 existed in assimilating in situ observations in these atmospheric inversion models.



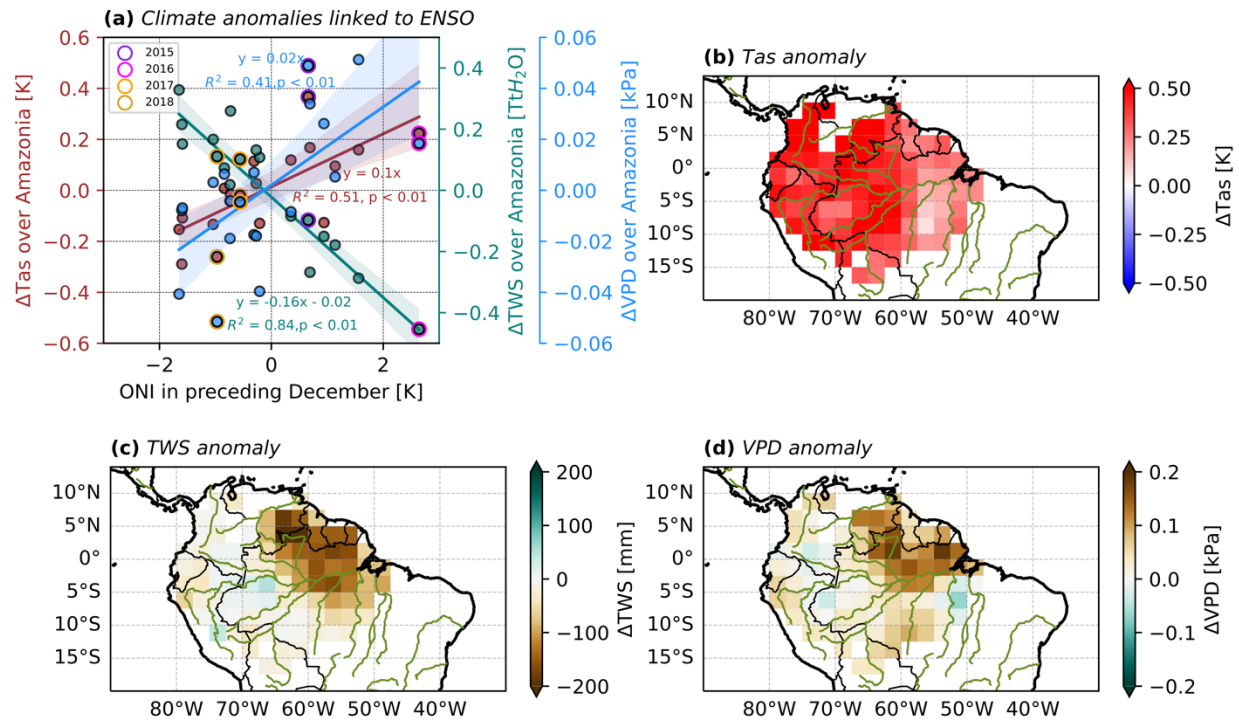
229  
 230 **Figure 1.** Geographical distributions of anomalous annual net biome exchange (NBE) induced by  
 231 the 2015/16 El Niño over the Amazonian rainforests. Prior (a) and posterior ensemble NBE  
 232 anomalies at  $2.5^\circ \times 2.5^\circ$  grids in inversion experiments of IS (b), LNLG (c), and LNLGIS (d) in the

OCO-2 v10 MIP. These annual anomalies were calculated by their differences between averaged annual NBE during 2015–2016 and that during 2017–2018. The unit of NBE anomalies in (a–d) is  $\text{gC m}^{-2} \text{yr}^{-1}$ . The red stars in (b) show the locations of in situ observations in this focused domain. (e) Longitudinal total ensemble NBE anomalies in the unit of  $\text{PgC yr}^{-1}$ . The shaded areas represent the standard deviation ( $1-\sigma$ ) of multi-model inversion results in each experiment. The bigger dots in LNLG and LNLGIS represent that they are significantly different from those in IS with statistical significance at  $p < 0.1$  level estimated by the two-sided Student's  $t$  test. (f) Violin plots of multi-model total NBE anomalies over entire Amazonia rainforests. The total NBE is in the unit of  $\text{PgC yr}^{-1}$ . The red line represents the anomalous carbon emissions induced by wildfires estimated by the GFEDv4.1s dataset.

### 3.2 Climate drivers

Interannual NBE anomalies were predominantly controlled by climate variations, mainly by temperature, soil moisture, and VPD [He *et al.*, 2022; Humphrey *et al.*, 2018; Jung *et al.*, 2017; J Wang *et al.*, 2016; W Wang *et al.*, 2013; Zeng *et al.*, 2005]. Regression analysis revealed that 1K increase of ONI in the preceding December can increase the annual temperature by 0.1 K ( $R^2 = 0.51$ ,  $p < 0.01$ ), enhance VPD by 0.02 kPa ( $R^2 = 0.41$ ,  $p < 0.01$ ), and reduce TWS by 0.16  $\text{TtH}_2\text{O}$  ( $R^2 = 0.84$ ,  $p < 0.01$ ) over the entire Amazonian rainforests (Fig. 2a). Accordingly, the climate conditions showed the higher temperature and VPD, and drier soil moisture in 2015/16, but in contrast the lower temperature and VPD, and wetter soil moisture in 2017/18 (Fig. 2a). Relative to the averages in 2017/18, temperature showed the positive anomalies over the entire Amazonian rainforests with the higher temperature anomalies located over the central part (Fig. 2b), which can potentially inhibit the vegetation photosynthesis and enhance soil respiration [Crowther *et al.*,

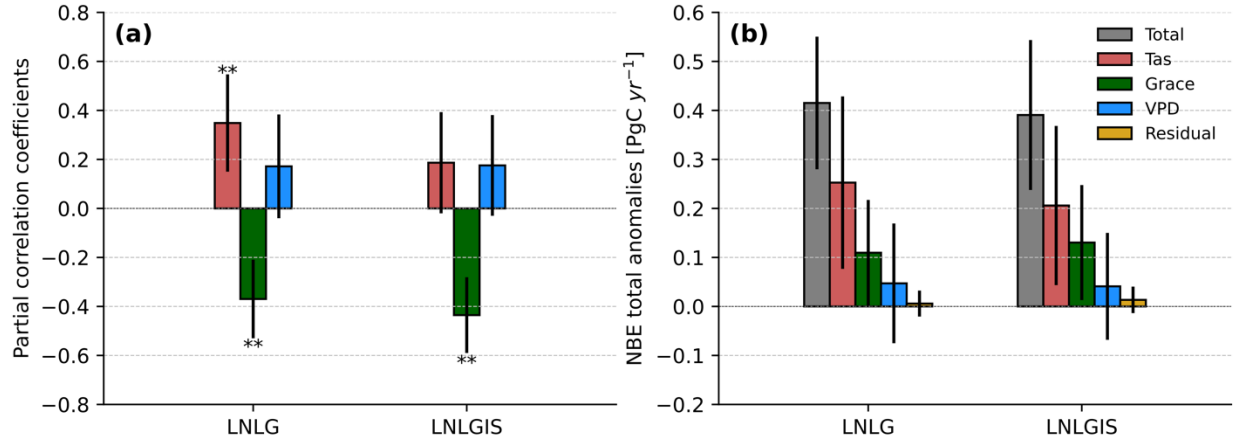
2016; Zeng *et al.*, 2005]. The soil and atmospheric dryness mainly occurred over the northeastern Amazonia albeit with differences in their spatial patterns (Figs. 2c and d), which both can reduce vegetation photosynthesis [Lopez *et al.*, 2021; Stocker *et al.*, 2019; Werner *et al.*, 2021; Yuan *et al.*, 2019]. Therefore, these three climate drivers simultaneously contributed to the positive NBE anomalies (Figs. 1c and d).



**Figure 2.** Anomalous climate factors over the Amazonian rainforests. (a) Relationship between detrended climate anomalies, including surface air temperature ( $T_{as}$ , red), terrestrial water storage (TWS, green), and vapor pressure deficit (VPD, blue), and Oceanic Niño Index (ONI) in the preceding December from 2000 to 2018. Anomalous climate patterns of  $T_{as}$  (b), TWS (c), and VPD (d) linked to the 2015/16 El Niño were consistently calculated by their differences between annual mean during 2015–2016 and that during 2017–2018.

In space, the stronger positive ensemble NBE anomalies over the eastern and northern parts of Amazonian rainforests (Figs. 1c and d) were visually more consistent with the pattern of TWS anomaly (Fig. 2c). Quantitatively, the partial correlation coefficients between gridded NBE and TWS anomalies, controlling for the temperature and VPD, reached  $-0.37$  (confidence interval from  $-0.53$  to  $-0.18$ ,  $p < 0.05$ ) in LNLG and  $-0.44$  (confidence interval from  $-0.59$  to  $-0.25$ ,  $p < 0.05$ ) in LNLGIS, respectively, which were followed by the partial correlation coefficients between NBE and temperature anomalies with  $0.35$  (confidence interval from  $0.15$  to  $0.52$ ,  $p < 0.05$ ) in LNLG and  $0.19$  (confidence interval from  $-0.02$  to  $0.38$ ,  $p = 0.08$ ) in LNLGIS, respectively (Fig. 3a). Consistently, these higher values of the partial correlation coefficients between NBE and TWS anomalies both in LNLG and LNLGIS confirmed that TWS anomalies predominantly shaped the anomalous NBE pattern related to the extreme 2015/16 El Niño.

However, linear decomposition analysis (Equation 7) revealed that the total NBE anomaly over the entire Amazonian rainforests of  $0.42 \pm 0.14 \text{ PgC yr}^{-1}$  in LNLG composed of  $0.25 \pm 0.18 \text{ PgC yr}^{-1}$  induced by temperature,  $0.11 \pm 0.11 \text{ PgC yr}^{-1}$  induced by TWS, and  $0.05 \pm 0.12 \text{ PgC yr}^{-1}$  induced by VPD, respectively, with the approximately 60% contribution from temperature (Fig. 3b). Similarly, the total NBE anomaly of  $0.39 \pm 0.15 \text{ PgC yr}^{-1}$  in LNLGIS was predominantly contributed to by temperature-induced anomaly of  $0.21 \pm 0.16 \text{ PgC yr}^{-1}$  with the approximately 54% contribution. Our result of temperature-dominated total NBE anomaly was different from the finding by *Liu et al.* [2017] that the NBE anomaly over tropical South America was largely controlled by extreme precipitation anomalies, where of course there were some differences in the anomaly calculation and research domain.

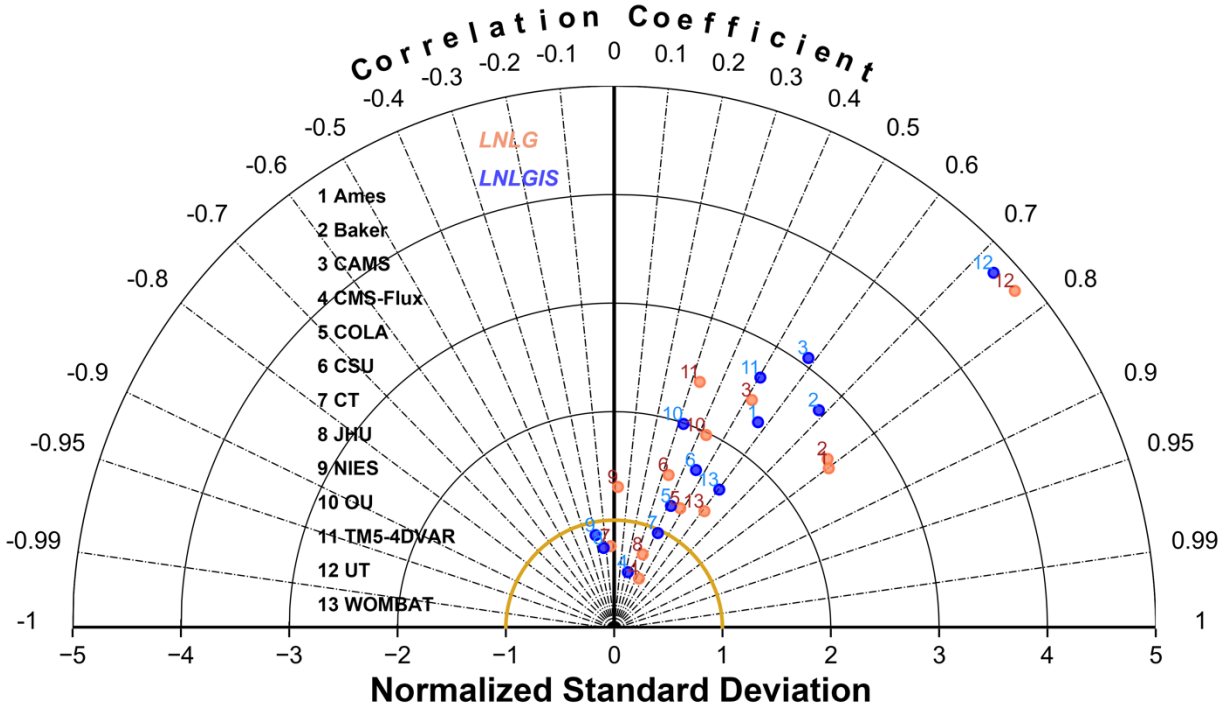


**Figure 3.** Associations between ensemble annual NBE anomalies and climate factors over Amazonian rainforests. **(a)** Partial correlation coefficients in space between ensemble annual NBE anomalies and individual climate factor, controlling for the other two factors. The error bars represent 95% parametric confidence intervals. The symbol of \*\* denotes the statistical significance at  $p < 0.05$  level. **(b)** Decomposed contributions of individual climate factors to total NBE anomalies induced by the 2015/16 El Niño over entire Amazonian rainforests. The error bars represent the 1- $\sigma$  of multi-model inversion results.

### 3.3 Uncertainty in multi-model inverted NBE anomalies

This study mainly focuses on the multi-model ensemble NBE anomalies, but we should realize that inter-model spread existed in magnitudes and spatial patterns of NBE anomalies (Figs. 3b, S2, and S3). Although these inversion models performed experiments following the same protocols in the OCO-2 v10 MIP, the uncertainties among different models can be mainly attributed to the settings of errors in prior surface carbon fluxes, the errors in the atmospheric transport induced by different transport models and meteorological products used, and choices in the optimization techniques (Table 1) [Basu *et al.*, 2018; Chevallier *et al.*, 2010; Schuh *et al.*, 2019]. Here, a Taylor

309 diagram for NBE anomalies in individual inversion models from LNLG and LNLGIS relative to  
310 their respective ensemble NBE anomalies is presented in Fig. 4. In LNLG, except CT and NIES,  
311 most of models show high spatial correlation coefficients with their ensemble NBE anomalies ( $>$   
312 0.30) in which Ames has the highest correlation coefficient of 0.80 ( $p < 0.05$ ). In other words,  
313 these models can capture the main characteristics of the spatial pattern of the ensemble NBE  
314 anomalies. But most of them have stronger standard deviation in space than that of ensemble NBE  
315 anomalies, indicating larger spatial difference of NBE anomalies in these individual models over  
316 Amazonian rainforests (Fig. 1 and S2). For example, obvious positive NBE anomalies occurred  
317 over northern Amazonia and negative anomalies occurred over southwestern Amazonia in UT (Fig.  
318 S2), making it have the highest normalized standard deviation of 4.86. Similar performance is  
319 exhibited in LNLGIS. Interestingly, the spatial correlation coefficient in JHU decreased from 0.36  
320 in LNLG to  $-0.13$  in LNLGIS, while correlation coefficient in CT increased from  $-0.04$  in LNLG  
321 to 0.42 in LNLGIS. More detailed spatial characteristics of posterior NBE anomalies induced by  
322 the extreme 2015/16 El Niño for each model in LNLG and LNLGIS can be referred to in Figs. S1  
323 and S2.



**Figure 4.** Taylor diagram for NBE anomalies in individual inversion models from LNLG and LNLGIS relative to their respective ensemble NBE anomalies. The values in the arc and x-axis represent the spatial correlation coefficients and normalized standard deviation, respectively. The red and blue dots show the individual inversion result in LNLG and LNLGIS, respectively.

#### 4. Conclusions

Based on the posterior NBE anomalies provided by thirteen atmospheric inversion models participated in OCO-2 v10 MIP, we find a total NBE anomaly of  $0.42 \pm 0.14 \text{ PgC yr}^{-1}$  in LNLG and  $0.39 \pm 0.15 \text{ PgC yr}^{-1}$  in LNLGIS induced by the extreme 2015/16 El Niño relative to the baseline of 2017/18, showing the stronger carbon release over the eastern and northern parts of Amazonian rainforests. These anomalous spatial patterns of NBE in LNLG and LNLGIS were consistently controlled by the soil water availability with the highest partial correlation coefficients

between NBE and TWS of  $-0.37$  ( $p < 0.05$ ) in LNLG and  $-0.44$  ( $p < 0.05$ ) in LNLGIS, respectively. However, linear decomposition analysis suggested the dominant role of temperature in the total NBE anomaly over the entire Amazonian rainforests with its contributions of approximately 60% in LNLG and 54% in LNLGIS, respectively.

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## Conflict of Interest

The authors declare no competing interests.

## Data Availability

The multi-model gridded posterior land-atmosphere carbon flux datasets in OCO-2 v10 MIP are available at [https://gml.noaa.gov/ccgg/OCO2\\_v10mip/index.php](https://gml.noaa.gov/ccgg/OCO2_v10mip/index.php). Land surface air temperature

from CRU TS v. 4.05 is available at <https://crudata.uea.ac.uk/cru/data/hrg/>. GRACE-REC datasets can be accessed at [https://figshare.com/articles/dataset/GRACE-REC\\_A\\_reconstruction\\_of\\_climate\\_driven\\_water\\_storage\\_changes\\_over\\_the\\_last\\_century/7670849](https://figshare.com/articles/dataset/GRACE-REC_A_reconstruction_of_climate_driven_water_storage_changes_over_the_last_century/7670849). The ERA5 hourly data on single levels are stored at <https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels?tab=form>. MODIS land cover dataset of MCD12C1v006 is available at <https://lpdaac.usgs.gov/products/mcd12c1v006/>. The ONI data are provided at [https://origin.cpc.ncep.noaa.gov/products/analysis\\_monitoring/ensostuff/ONI\\_v5.php](https://origin.cpc.ncep.noaa.gov/products/analysis_monitoring/ensostuff/ONI_v5.php).

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