

A Finite Element Approach for Simplified 2D Nonlinear Dynamic Contact/Impact Analysis

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Abstract

In this paper, a simplified numerical approach for finite element dynamic analysis of an inelastic solid structure subjected to solid object impact is presented. The approach approximates the impacting solid as the selected multiple nodes, for which mass of the impactor is distributed. The node-to-segment contact formulation with the penalty constraint technique incorporated is employed to impose contact conditions between the nodes and the surface of the receiver structure. The node-to-segment algorithm is integrated into Newton-Raphson time integration scheme and the Lagrange multiplier technique is applied to enforce the identical displacements for the selected nodes throughout the analysis process. The approach is verified using two-dimensional plane strain models considering elastic-perfectly-plastic material behavior. The results obtained using the proposed approach are in a good agreement with those simulated using a commercial finite element code, ABAQUS Dynamic/Implicit, in terms of displacements and stress distribution fields. The proposed approach

047 is shown to be computationally superior to general finite element method-
048 based contact/impact analysis without significantly sacrificing the accuracy.

049 **Keywords:** Impact, Contact, Node-to-segment, Newton-Raphson method, Lagrange
050 multiplier, Elastic-plastic material

051 052 053 054 **1 Introduction** 055

056 Finite element method (FEM) is widely used to perform analysis of contact/impact
057 problems in solids (Laursen, 2013). Accurate simulations of contact problems require
058 accurate calculation of contact resistance between two contacting/colliding solids
059 discretized in finite elements (see Fig. 1a). The contact discretization and tracking
060 method that seek for numerical solution to resistance at the contact interface can
061 be classified into three groups: (i) node-to-node (NTN) contact formulation (ii) node-
062 to-segment (NTS) contact formulation and (iii) segment-to-segment (STS) contact
063 formulation (Neto et al, 2016). NTN formulation establishes the contact interaction
064 between the two pair nodes defined at the pre-processing stage. Despite having the
065 simplest formulation among the three methods, this approach has a drawback of not
066 being able to capture large deformations, as the initial pair of the nodes may change
067 under such large deflections (Francavilla and Zienkiewicz, 1975; Stadter and Weiss,
068 1979). NTS formulation defines a *slave* node on one side of the contact interface
069 and a *master* surface on the opposite side. At the contact interface, *slave* node inter-
070 acts with a point of projection on the *master* surface (Wriggers et al, 1990; Zavarise
071 and De Lorenzis, 2009b). In this formulation, several *slave* nodes are needed to rep-
072 resent the surface geometry, located at the opposite to the *master* surface. Finally,
073 STS formulation is the most elaborated formulation, in which the contact constraint
074 is imposed in an average sense over regions of the *master* and *slave* surfaces (Puso
075 and Laursen, 2004). The main feature of this technique is that it enforces the contact
076 conditions in the weak form integration, not directly in nodal points as done in the
077 other two methods (i.e., NTN and NTS). Typically, STS formulation provides more
078 accurate simulation than NTS formulation (Zavarise and De Lorenzis, 2009a).

079 Besides the contact formulations, material nonlinearity augments the complexi-
080 ties to the contact/impact problems. Many research work has investigated responses
081 associated with the deformations, and contact stresses and pressures resulting from
082 nonlinear material properties (Jackson and Green, 2005; Ghaednia et al, 2016, 2017).
083 Because of wide variations in nonlinear material constitutive behaviors, most of
084 studies have only focused on the responses with idealized elastic-plastic material
085 models, serving as the basis for understanding the contact characteristics in problems
086 of colliding/contacting solids. Typically, 2D finite element (FE) model, in which,
087 deformable 2D flat surface is in contact with (deformable or rigid) circle, was used
088 to study the effects of the parameters, including geometry, boundary conditions, and
089 material properties, on the contact stress (force), contact area, and initiation of plas-
090 tic deformation. A simple yield criterion, such as von Mises criterion, was adopted to
091 define the onset of plastic deformation. In some cases, experimental data supported
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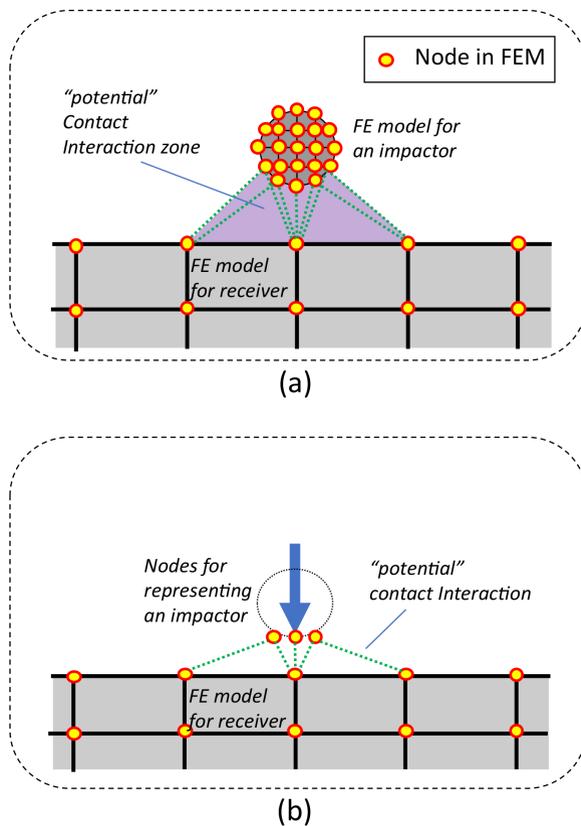


Fig. 1. Schematic description of (a) typical FEM-based contact/impact analysis approach (b) proposed contact/impact analysis approach

the findings from finite element analysis (FEA). Result from the aforementioned numerical and experimental studies were often formulated in analytical expressions for ease of use (Brake, 2012; Alves et al, 2015; Big-Alabo et al, 2015). However, many of these analytical models are limited to “quasi-static” contact mechanisms. In addition, impact problems (or dynamic transient contact problems) are problem-oriented due to their various forms of material nonlinearities and irregular geometric shapes as well as a wide range of impacting velocity, mass, and the associated inertia effects. As such, many impact problems were individually analyzed by means of FEM (Her and Liang, 2004; Zhang et al, 2006; Kumar and Shukla, 2012; Sha and Hao, 2012).

Typically, refined mesh in the vicinity of the contact/impact zone is inevitable to simulate the progressive structural/material response over problem evolution. Accuracy of FEA result is closely dependent on the mesh quality. In some cases, such as hail impact or drop weight impact on structures, the impact object is very small when compared to the entire volume of a receiver structure, while it impacts with high enough inertia (e.g., mass and speed) to create damage within the localized zone of

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139 a structure. As a result, creating finer FE mesh in accordance with small impacting
140 object all over the receiver structure could be computationally expensive. This study
141 was motivated by such cases to deal with the problems in a computationally efficient
142 way.

143 The present study aims at suggesting a numerical scheme for FE dynamic anal-
144 ysis of an inelastic solid structure subjected to “small” solid object impact. A 2D
145 NTS-based approach for formulating an impact problem is proposed, in which FE
146 modeling and meshing for the body of the impacting object is not required, unlike
147 the traditional FEM-based contact/impact analysis (Fig. 1a). Instead, the body of the
148 impactor is represented with one or more selected node(s) (Fig. 1b) and the mass of
149 the impactor is applied to the considered node(s) in a distributed fashion based on the
150 geometry of the impact body. The implicit time integration scheme, Newton-Raphson
151 method, is chosen for solving the equation of motion for the impact problem. The
152 proposed approach is verified by applying the method to 2D plane strain example
153 models and comparing with the corresponding results simulated with a commercial
154 FEA software, ABAQUS Dynamic/Implicit.

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156 **2 Methodology**

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158 **2.1 Modeling Assumptions**

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160 Finite element contact/impact analysis requires refined mesh resolution for both an
161 impactor and a receiver in the vicinity of the contact/impact zone to provide accurate
162 results. This refined analysis is particularly needed when failure stresses and defor-
163 mations of the receiver structure is of the interest to investigate. When the impactor
164 is tiny relative to the volume of the receiver, say less than 1% of the receiver, a gener-
165 ally accepted modeling strategy is not to physically model the impactor and instead
166 to assume it as a concentrated point load. Such an approximation method can cause
167 less accurate and inconsistent responses.

168 A computationally efficient and robust FE formulation is suggested, effective to
169 the following impact problem cases: (i) the impacting body is sufficiently “small”
170 compared to the receiver structure, and (ii) the impact event causes only a localized
171 structural defect (i.e., localized material plasticity). For the numerical scheme to be
172 proposed, two prerequisite assumptions are made: (i) the size of the impact object is
173 smaller than that of one finite element size in the receiver structure, as shown in Fig.
174 1, and (ii) the impactor is assumed to be rigid.

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176 **2.2 Enforcement of Contact Constraints Using NTS Algorithm**

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178 The governing assumption is that the physical body of an impacting solid object can
179 be approximated by nodal point load. As such, to deal with the contact condition
180 between the nodal point load and the surface of the receiver structure (see Fig. 2a),
181 a well-known NTS contact algorithm is adopted, as it has shown its ability to prop-
182 erly simulate the actual contact mechanism in many engineering applications (Khoei
183 et al, 2013; Lee et al, 2016; King et al, 2019). For detailed information about the NTS
184 algorithm, see the work of Zavarise and De Lorenzis (2009b). Within the context of

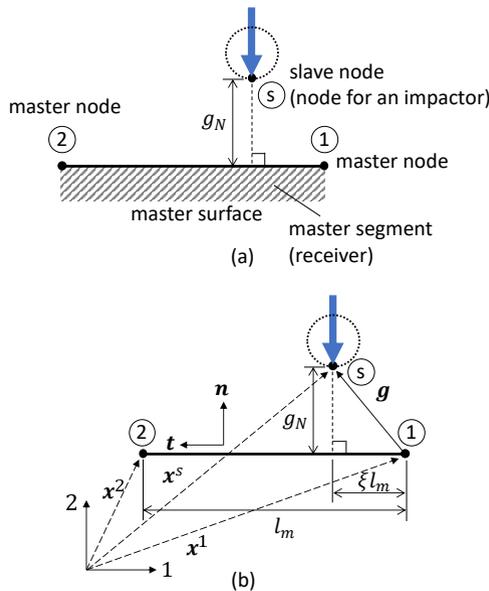


Fig. 2. NTS contact approach: (a) contact geometry of a receiver element in contact with an impactor node s (b) geometrical meanings of various scalar and vector variables defined in the NTS geometry.

NTS contact algorithm, enforcement of contact constraint between the contact surface and the impactor node is typically carried out using the penalty method (Zavarise and De Lorenzis, 2009b).

A schematic view of the NTS geometry is presented in Fig. 2b, in which the impactor node, s (*slave*), is not perfectly aligned with one of the nodes (1 and 2) in the receiver (*master*) surface in the normal direction. The normal distance between the impactor (*slave*) node and the receiver (*master*) surface is called “gap”, g_N , and is given by: (Zavarise and De Lorenzis, 2009b)

$$g_N = \mathbf{g} \cdot \mathbf{n} = (\mathbf{x}^s - \mathbf{x}^1) \cdot \mathbf{n} \quad (1)$$

where \mathbf{n} is the normal unit vector orthogonal to the *master* surface, \mathbf{x}^s and \mathbf{x}^1 are the vectors identifying the current positions, respectively, of nodes s and 1, and \mathbf{g} is the distance vector between the nodes s and 1.

As intuitively expected from Fig. 2, the contact between the impactor and receiver is physically initiated when $g_N = 0$ and it remains in active only if $g_N \leq 0$ (i.e., when indentation exists). Following the penalty method, the contact contribution to the potential Φ^{con} is defined as: (Zavarise and De Lorenzis, 2009b)

$$\Phi^{con} = \frac{1}{2} \epsilon_N g_N^2 \quad (2)$$

where ϵ_N is the penalty parameter. The choice of penalty parameter, ϵ_N , should be made carefully, as it can influence the contact-induced duration, force, and indentation (degree of penetration). Following the sensitivity analysis (see Appendix A for

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231 details), this study used the value of ϵ_N to be equal to the modulus of elasticity of the
232 receiver.

233 The matrix-form of the nonlinear equation of motion represented by the FE dis-
234 cretization of the receiver coupled with the discretized contact area can be written as:
235 (Suwannachit et al, 2012)

$$236 \quad 237 \quad M\ddot{\mathbf{u}} + C\dot{\mathbf{u}} + \mathbf{f}^{int} + \mathbf{f}^{con} = \mathbf{f}^{ext} \quad (3)$$

238 where M is the mass matrix and C is the damping matrix. \mathbf{u} is the displacement
239 vector, with the overdot ($\dot{\cdot}$) denoting the time derivative. \mathbf{f} represents the nodal force
240 vector. The superscripts *int*, *con*, and *ext* on \mathbf{f} are the abbreviations for internal,
241 contact, and external forces.

242 The residual (or called the out-of-balance) force vector, which will be used for
243 time integration scheme to be presented in the following section, is constructed using
244 the foregoing force vectors such that:

$$245 \quad 246 \quad \mathbf{R} = \mathbf{f}^{ext} - \mathbf{f}^{int} - \mathbf{f}^{con} \quad (4)$$

247 The mass matrix is constructed as:

$$248 \quad 249 \quad M = \begin{bmatrix} M^{rec} & \mathbf{0} \\ \mathbf{0} & M^{imp} \end{bmatrix} \quad (5)$$

250 where M^{rec} is the mass matrix of a receiver structure only and M^{imp} is the mass
251 matrix or scalar value depending upon the number of nodal points representing the
252 impacting solid.

253 Similarly, displacement vector \mathbf{u} is set up such that:

$$254 \quad 255 \quad \mathbf{u} = \begin{Bmatrix} \mathbf{u}^{rec} \\ \mathbf{u}^{imp} \end{Bmatrix} \quad (6)$$

256 where $\mathbf{u}^{rec} = \{u_x^1, u_y^1, \dots, u_x^n, u_y^n\}^T$ is the displacement vector for the receiver
257 structure, in which the subscripts x and y stand for the x -direction and y -direction,
258 respectively, and n is total number of nodes for the receiver structure. $\mathbf{u}^{imp} =$
259 $\{u_x^s, u_y^s\}^T$ is the displacement vector of the impactor, in which the superscript s rep-
260 represents the impactor's node, and as intuitively expected, it follows that in this case,
261 $s = n + 1$.

262 Solving Eq. 3 using the Newton-Raphson method requires the stiffness matrix
263 given by the exact Jacobian of \mathbf{R} for each iteration j (Laursen, 2013). At the current
264 time step $t + \Delta t$ with Δt being the simulation time increment, the nonlinear equation,
265 Eq. 3, is linearized as Eq. 7 presented below.

$$266 \quad 267 \quad 268 \quad M^{t+\Delta t} \ddot{\mathbf{u}}_j + C^{t+\Delta t} \dot{\mathbf{u}}_j + {}^{t+\Delta t} \mathbf{K}_{T_{j-1}} \Delta \mathbf{u}_j = {}^{t+\Delta t} \mathbf{f}^{ext} - {}^{t+\Delta t} \mathbf{f}_{j-1}^{con} - {}^{t+\Delta t} \mathbf{f}_{j-1}^{int} \quad (7)$$

269 where \mathbf{K}_T is the algorithmic tangent operator.

270 Using the time integration scheme to be discussed in the following section, Eq.
271 7 is iteratively solved within a time step $t + \Delta t$ until the convergence tolerance is

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satisfied; e.g., the out-of-balance force \mathbf{R}_j^{eff} or the displacement increment Δu_j is sufficiently small (Suwannachit et al, 2012; Bathe, 2016).

In Eq. 7, $\mathbf{K}_{T_{j-1}}$ is evaluated using the force and state variables of the previous iteration $j - 1$, that is,

$$\mathbf{K}_{T_{j-1}} = \mathbf{K}_{T_{j-1}}^{int} + \mathbf{K}_{T_{j-1}}^{con} = \frac{\partial \mathbf{f}_{j-1}^{int}}{\partial \mathbf{u}_{j-1}} + \frac{\partial \mathbf{f}_{j-1}^{con}}{\partial \mathbf{u}_{j-1}} \quad (8)$$

with $\mathbf{K}_{T_0} = \mathbf{K}$, in which \mathbf{K} is the initial stiffness matrix, and \mathbf{K}_T^{int} and \mathbf{K}_T^{con} denote the tangent stiffness matrices contributed by both the impactor and receiver themselves and from the contact interaction, respectively.

For the case of employing a consistent tangent stiffness matrix, it follows that $\mathbf{K}_T^{int} = \mathbf{K}$ and thus, \mathbf{K}_T^{int} can be kept constant throughout the simulation. The contributions of the contact interaction to \mathbf{K}^{con} and to \mathbf{f}^{con} must be known and can be implicitly defined as follows when the effect of tangential friction is neglected (Zavarise and De Lorenzis, 2009b).

$$\mathbf{K}_{T_j}^{con} = \epsilon_N \mathbf{N}_S \mathbf{N}_S^T - \frac{\epsilon_N g_{N_{j-1}}^2}{l_m^2} \mathbf{N}_0 \mathbf{N}_0^T \quad (9)$$

and

$$\mathbf{f}_{j-1}^{con} = \epsilon_N g_{N_{j-1}} \mathbf{N}_S \quad (10)$$

where

$$\mathbf{N}_0 = \{\mathbf{0}, -\mathbf{n}, \mathbf{n}\}^T \quad (11)$$

$$\mathbf{N}_S = \{-\mathbf{n}, -(1 - \xi)\mathbf{n}, \xi\mathbf{n}\}^T \quad (12)$$

In the above equations, $\mathbf{n} = \{0, 1\}^T$ and $\mathbf{0} = \{0, 0\}^T$. ξ is the tangential projection of g , normalized to the *master* segment length l_m as shown in Fig. 2b. When g_N is sufficiently small to be negligible, Eq. 9 can be simplified by dropping the second term in the right hand side of the equation.

2.3 Time Integration of Nonlinear Equation of Motion Using Newton-Raphson Method

The classical Newton-Raphson method (Cook et al, 2001) is used to solve the nonlinear equation of motion described in the previous section. In this method, the velocity and acceleration at the iteration j within a time step $t + \Delta t$ are approximated by means of Taylor series expansion. According to Newmark method, these approximates become

$$\mathbf{u}_j = \mathbf{u}_{j-1} + \Delta t \dot{\mathbf{u}}_{j-1} + \frac{1}{2} \Delta t^2 [2\beta \ddot{\mathbf{u}}_j + (1 - 2\beta) \ddot{\mathbf{u}}_{j-1}] \quad (13)$$

$$\dot{\mathbf{u}}_j = \dot{\mathbf{u}}_{j-1} + \Delta t [\gamma \ddot{\mathbf{u}}_j + (1 - \gamma) \ddot{\mathbf{u}}_{j-1}] \quad (14)$$

with

$${}^{t+\Delta t} \mathbf{u}_0 = {}^t \mathbf{u} \quad (15)$$

$${}^{t+\Delta t} \dot{\mathbf{u}}_0 = {}^t \dot{\mathbf{u}}$$

$${}^{t+\Delta t} \ddot{\mathbf{u}}_0 = {}^t \ddot{\mathbf{u}}$$

where β and γ are the numerical factors that control characteristics of the algorithm in terms of numerical accuracy, stability, and damping.

Solving Eq. 14 for $\ddot{\mathbf{u}}_j$ and substituting it into Eq. 13, respectively, yield

$$\ddot{\mathbf{u}}_j = \frac{1}{\beta\Delta t^2} (\Delta\mathbf{u}_j - \Delta t\dot{\mathbf{u}}_{j-1}) - \left(\frac{1}{2\beta} - 1\right) \ddot{\mathbf{u}}_{j-1} \quad (16)$$

$$\dot{\mathbf{u}}_j = \frac{1}{\beta\Delta t} \Delta\mathbf{u}_j - \left(\frac{\gamma}{\beta} - 1\right) \dot{\mathbf{u}}_{j-1} - \Delta t \left(\frac{\gamma}{2\beta} - 1\right) \ddot{\mathbf{u}}_{j-1} \quad (17)$$

with

$$\Delta\mathbf{u}_j = \mathbf{u}_j - \mathbf{u}_{j-1} \quad (18)$$

in which, $\Delta\mathbf{u}_j$ is the increment in the displacements.

Now, after plugging Eq. 16 and Eq. 17 into Eq. 7, bringing the terms with $\Delta\mathbf{u}_j$ to the left-hand side and the others to the right-hand side of the equation gives

$$\mathbf{K}_{j-1}^{eff} \Delta\mathbf{u}_j = \mathbf{R}_{j-1}^{eff} \quad (19)$$

where

$$\mathbf{K}_{j-1}^{eff} = \frac{1}{\beta\Delta t^2} \mathbf{M} + \frac{1}{\beta\Delta t} \mathbf{C} + \mathbf{K}_{T_{j-1}} \quad (20)$$

$$\mathbf{R}_{j-1}^{eff} = \begin{cases} \mathbf{f}^{ext} - \mathbf{f}_{j-1}^{int} - \mathbf{f}_{j-1}^{con} + \mathbf{M} \left[\frac{1}{\beta\Delta t} \dot{\mathbf{u}}_{j-1} + \left(\frac{1}{2\beta} - 1\right) \ddot{\mathbf{u}}_{j-1} \right] \\ \quad + \mathbf{C} \left[\left(\frac{\gamma}{\beta} - 1\right) \dot{\mathbf{u}}_{j-1} + \Delta t \left(\frac{\gamma}{2\beta} - 1\right) \ddot{\mathbf{u}}_{j-1} \right] & \text{for } j = 1 \\ \mathbf{f}^{ext} - \mathbf{f}_{j-1}^{int} - \mathbf{f}_{j-1}^{con} - \mathbf{M}\ddot{\mathbf{u}}_{j-1} - \mathbf{C}\dot{\mathbf{u}}_{j-1} & \text{for } j \geq 2 \end{cases} \quad (21)$$

Once \mathbf{K}_{j-1}^{eff} and \mathbf{R}_{j-1}^{eff} are computed, the displacement increment $\Delta\mathbf{u}_j$ can be obtained using Eq. 19 as given by

$$\Delta\mathbf{u}_j = (\mathbf{K}_{j-1}^{eff})^{-1} \mathbf{R}_{j-1}^{eff} \quad (22)$$

Then, displacement \mathbf{u}_j is updated as

$$\mathbf{u}_j = \mathbf{u}_{j-1} + \Delta\mathbf{u}_j \quad (23)$$

Lastly, velocity and acceleration are updated via Eq. 16 and Eq. 17, respectively.

2.4 Multiple Nodes Representation of Impacting Solid

As mentioned earlier, approximating the entire body of the impacting object as a single nodal point and applying its inertia properties (object mass and speed) to this node can lead to significant stress concentration on the localized impact zone of the receiver structure. This is obvious since the nodal point representing the impactor ignores the effect of contact area and the associated resistance. To overcome this limitation, multiple nodes parallel to the contact surface, are used to exert the equivalent inertia force of the impactor in a distributive way to the receiver structure. At the same time, the mass of the impactor is distributed at these selected nodes based on the impactor geometry.

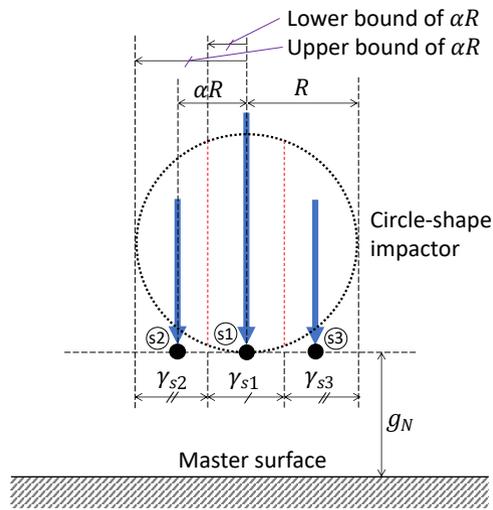


Fig. 3. Nodal points distribution based on the impactor's geometry

As an example, one can consider a circle-shape impactor to be substituted with three nodal points, s_1 (for center), s_2 (for left), and s_3 (for right), positioned in parallel to the contact or receiver surface, as shown in Fig. 3. The impactor body is geometrically sectioned into three vertical parts or sections ($\gamma_{s1} : \gamma_{s2} : \gamma_{s3}$) perpendicular to the contact surface with the width of the left and the right sections being the same (i.e., $\gamma_{s2} = \gamma_{s3}$). The mass distribution ratio for the selected nodes is determined based on the relative area proportion of each part. For example, in the case of $\gamma_{s1} : \gamma_{s2} : \gamma_{s3} = 1 : 1 : 1$, the mass distribution ratio for nodes, s_1, s_2, s_3 , becomes about 0.42 : 0.29 : 0.29. Finally, the position of the left/right nodal point is defined as αR , in which R is the circle radius and α is the ratio factor determining the position. The factor α is bounded by the left/right part of the impactor geometry: the lower bound is the x -direction distance from the center of the impactor to the boundary of the left (or right) node, normalized by R , and the upper bound is the left/right-end distance normalized by R , that is $1.0 (= R/R)$. Since the method approximates the physical geometry of the impactor and α is the key parameter that accounts for such approximation, appropriate α has to be determined. This can be done using a trial-and-error approach within the suggested range (upper/lower bounds). However, based on comprehensive analyses as will be seen in Sections 3.1.2 and 3.2, α that gives accurate results is found as about 0.90 for practical impactor geometries, such as circle and square, when $\gamma_{s1} : \gamma_{s2} : \gamma_{s3} = 1 : 1 : 1$. Effect of α on the receiver displacements and stresses will be addressed in those sections.

2.5 Displacement Adjustment Using Lagrange Multiplier Technique

Since the impactor is represented by the selected multiple nodes, these nodes are assumed to have the identical displacements over the impact process. However,

415 applying the NTS algorithm to each of these nodes and solving Eq. 7 by means of
 416 the Newton-Raphson iteration scheme does not guarantee that the displacements of
 417 all these nodes would be identical throughout the simulation, as they are assigned
 418 different distributions of mass depending on different geometrical partitions. There-
 419 fore, to impose the identical displacements between those nodes, Lagrange multiplier
 420 equation is introduced to the nonlinear time integration scheme described above.
 421 This is done following the technique suggested by Leon et al (2012) and it is briefly
 422 described below for the particular case considered in this study.

423 For the impactor represented by three nodes shown in Fig 3, an additional
 424 constraint equation for the displacement increment $\Delta \mathbf{u}_j$ is given by:

$$425 \quad 426 \quad \mathbf{a}^T \Delta \mathbf{u}_j = 0 \quad (24)$$

427 where

$$428 \quad \mathbf{a} = \left\{ \underbrace{0, 0, \dots, 0, 0}_{\text{corresp. to } \Delta \mathbf{u}^{rec}}, \underbrace{0, 2, 0, -1, 0, -1}_{\text{corresp. to } \Delta \mathbf{u}^{imp}} \right\}^T \quad (25)$$

430 Thus, Eq. 24 yields

$$432 \quad 2\Delta u_{y_j}^{s1} - \Delta u_{y_j}^{s2} - \Delta u_{y_j}^{s3} = 0 \quad (26)$$

433 Introducing this constraint condition gives rise to the additional force term in the
 434 residual force vector \mathbf{R}^{eff} , such that Eq. 19 is amended as:

$$436 \quad \mathbf{K}_{j-1}^{eff} \Delta \mathbf{u}_j = \overline{\mathbf{R}}_{j-1}^{eff} + \Delta \lambda_j \mathbf{f}^{ref} \quad (27)$$

437 in which, λ is the Lagrange (multiplier) parameter, which controls the increment of
 438 the reference force vector \mathbf{f}^{ref} . $\overline{\mathbf{R}}_{j-1}^{eff}$ is the “new” residual (out-of-balance) force
 439 incorporating the contribution from \mathbf{f}^{ref} .

441 The addition of $\Delta \lambda_j \mathbf{f}^{ref}$ can be regarded as the addition of external force and it
 442 is accumulated throughout the iteration process. It follows that

$$443 \quad \mathbf{f}^{Lag} \leftarrow \mathbf{f}^{Lag} + \Delta \lambda_j \mathbf{f}^{ref} \quad (28)$$

444 As such, the effective residual force vector of Eq. 21 is amended such that

$$447 \quad \overline{\mathbf{R}}_{j-1}^{eff} = \mathbf{R}_{j-1}^{eff} + \mathbf{f}^{Lag} \quad (29)$$

448 Since the displacement change due to the enforcement of Eq. 26 directly affects
 449 the associated contact force, \mathbf{f}^{ref} has to be set up using the contact force relation
 450 between the receiver and the impactor \mathbf{N}_S (Eq. 12), given by:

$$452 \quad \mathbf{f}^{ref} = 2\mathbf{N}_S^{s1} - \mathbf{N}_S^{s2} - \mathbf{N}_S^{s3} \quad (30)$$

453 where the coefficients for each \mathbf{N}_S of the three nodes are determined to be the same
 454 as those in Eq. 26.

455 Combining Eq. 24 and Eq. 27 gives a well-known matrix-form equation incorpo-
 456 rated with constraint equation, as follows.

$$458 \quad \begin{bmatrix} \mathbf{K}_{j-1}^{eff} & -\mathbf{f}^{ref} \\ \mathbf{a}^T & 0 \end{bmatrix} \begin{Bmatrix} \Delta \mathbf{u}_j \\ \Delta \lambda_j \end{Bmatrix} = \begin{Bmatrix} \overline{\mathbf{R}}_{j-1}^{eff} \\ 0 \end{Bmatrix} \quad (31)$$

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To solve this nonsymmetric system of equations, [Batoz and Dhatt \(1979\)](#) presented a technique, which decomposes the iterative displacement vector into two parts.

$$\Delta \mathbf{u}_j = \Delta \lambda_j \Delta \mathbf{u}_{l_j} + \Delta \mathbf{u}_{r_j} \quad (32)$$

where

$$\Delta \mathbf{u}_{r_j} = (\mathbf{K}_{j-1}^{eff})^{-1} \overline{\mathbf{R}}_{j-1}^{eff} \quad (33)$$

$$\Delta \mathbf{u}_{l_j} = (\mathbf{K}_{j-1}^{eff})^{-1} \mathbf{f}^{ref} \quad (34)$$

With some further manipulation, detailed in [Leon et al \(2012\)](#), $\Delta \lambda_j$ is derived as given by.

$$\Delta \lambda_j = \frac{-\mathbf{a}^T \Delta \mathbf{u}_{r_j}}{\mathbf{a}^T \Delta \mathbf{u}_{l_j}} \quad (35)$$

with $\Delta \lambda_1 = 0$.

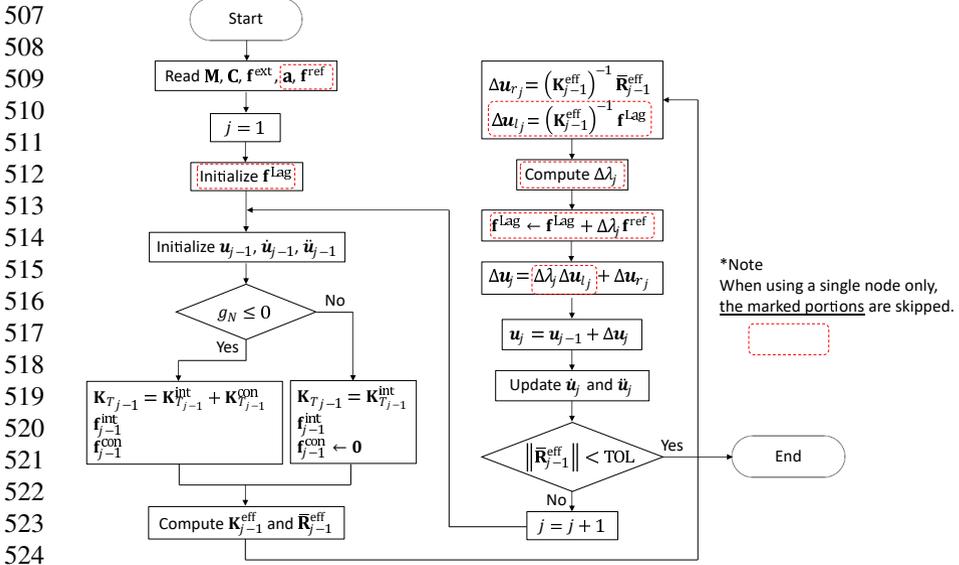
To summarize, for an iteration j at a given time step, $\Delta \mathbf{u}_{r_j}$ and $\Delta \mathbf{u}_{l_j}$ in Eq. 33 and Eq. 34 are computed. Then, $\Delta \lambda_j$ is computed via Eq. 35. Finally, the displacement increment with the Lagrange constraint condition imposed is obtained by means of Eq. 32.

2.6 NTS-Based Multi-Nodes Contact/Impact Newton-Raphson Scheme

Up to now, it has been discussed how the NTS method, Newton-Raphson method, and Lagrange method are coupled among each other to solve the contact/impact problems considered. The integrated solution scheme is illustrated step-by-step in the flow chart in Fig. 4. The scheme describes the iterative procedure for solving the so-called nonlinear NTS-based multi-nodes contact/impact Newton-Raphson scheme at a given time step only. Finally, the scheme is repeated for each time step, as the simulation time increases.

3 Verification

Two-dimensional plane strain models were used to test the verification of the proposed approach for dynamic analysis of impact problems. An in-house FEA code was written in MATLAB language ([MATLAB, 2020](#)) to implement the NTS-based multi-nodes contact/impact Newton-Raphson scheme discussed earlier. The verification of the proposed modeling approach was done by comparison with the commercial FEA software code, ABAQUS ([Dassault Systèmes, 2014](#)). In the following sections, the proposed approach will be applied to each of the two different impact problem cases: (i) indentation model, in which a rigid object hits a deformable flat (receiver), and (ii) simply-supported beam model, subjected to transverse impact loading due to rigid object. It should be noted that the indentation model, in which translations at three sides of the receiver structure are restrained (see Fig. 5a), is an idealized model designed to investigate pure elastic-plastic behavior of the structure subjected to rigid impact object, found in many of the published models ([Ghaednia et al, 2017](#)). On the



525 **Fig. 4.** NTS-based multi-nodes contact/impact Newton-Raphson scheme at a given time step
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529 other hands, the beam model can be regarded as a simple representation for a more
530 realistic behavior of the (receiver) structure that actual overall motion and deflection
531 are accounted for.

532 533 3.1 Indentation Model

534 To build the indentation example shown in Fig. 5, both models (in-house FE model
535 and ABAQUS FE model) used the 8-nodes (quadratic) elements (Q8 elements) with
536 the mesh size of $0.05m$ for the receiver, consisting of a total number of 400 elements.
537 The boundary conditions were modeled such that all sides of the flat structure except
538 the impact side were constrained in the x and y directions (i.e., pinned support).
539 The deformable flat receiver was modeled with an J2 isotropic hardening elastic-
540 perfectly-plastic material model. The following material properties were assumed:
541 $E = 200GPa$, $\rho = 7700kg/m^3$, $\mu = 0.3$, and $\sigma_y = 350MPa$, in which E , ρ , μ , and
542 σ_y are, respectively, Elastic modulus, mass density, Poisson's ratio, and yield strength.
543 No damping was applied to the model. The circle-shape impactor body with its radius
544 of $0.025m$ was considered, whose mass and initial velocity was assumed as $0.5kg$
545 and $500m/s$, respectively. The velocity of $500m/s$ was chosen as the applied veloc-
546 ity of the impactor, as it is high enough to generate the material plastic behavior. In
547 the ABAQUS model, the Dynamic/Implicit solver with the Newton-Raphson method
548 adopted was chosen as the solution solver. The impactor was geometrically model-
549 ed and were meshed with Q8 elements with the mesh size of $0.01m$ (Fig. 5b), and
550 the surface-to-surface contact formulation (identical to STS formulation discussed
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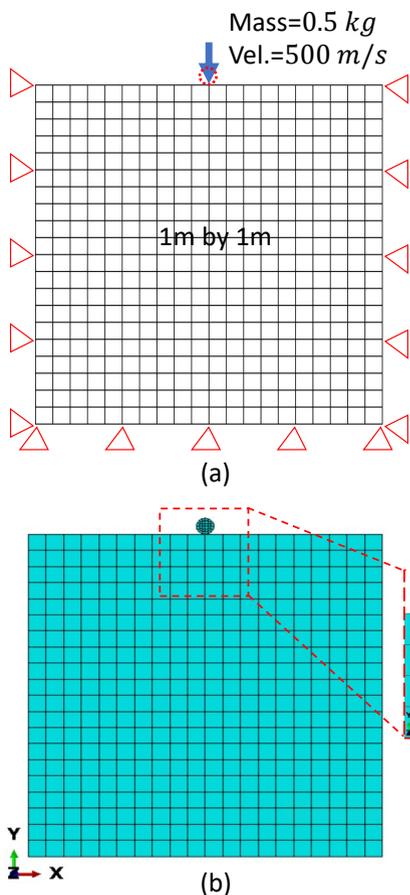


Fig. 5. FE mesh of indentation model and its boundary conditions: (a) In-house FE model, in which the impactor is represented by node(s) (b) ABAQUS model, in which the impactor is modeled using finite element mesh

earlier) was applied at the potential contact interface to account for the contact interaction between the discretized mesh of the receiver and impactor. The rigid body was applied to the impactor of the ABAQUS model. For all the analyses, the simulation time increment was set as 10^{-6} s.

3.1.1 Analysis Using Single Node Representation of Impacting Solid

The first numerical analysis was performed using the proposed approach with a single nodal point impactor and the ABAQUS FEA software. As shown in Fig. 6, the comparison of the results from the two analyses shows that the computed impact forces were reasonably in agreement, while the displacement of the node in contact was overpredicted by the approach using a single-node impactor. The discrepancy in the displacement was attributed to the fact that in the proposed approach, the entire

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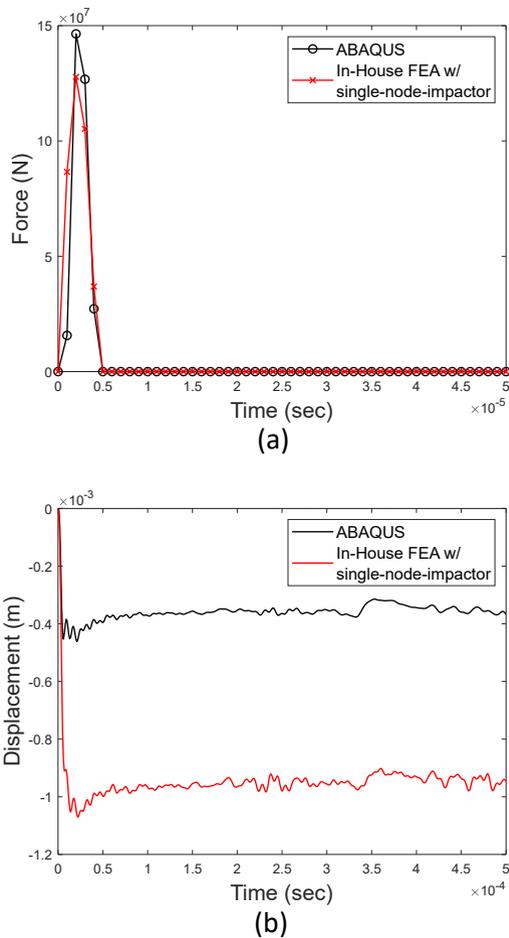


Fig. 6. Comparison of results simulated with ABAQUS subjected to circle-shape impactor and with in-house FEA code subjected to single-node-impactor: (a) Impact force and (b) y-direction (vertical) displacement of the receiver node in impact

impact force was concentrated on the one nodal point in impact, whereas in the ABAQUS, the impact force was exerted on the surface of the receiver in a distributed fashion during the contact interaction.

Fig. 7 presents the von Mises stress (S_{vm}) field distribution developed within the ABAQUS model, at the time of the maximum vertical (i.e., y -dir.) displacement of the center node at the top surface occurring. The corresponding stress distribution fields with respect to four stress components, S_{11} , S_{22} , S_{33} , and S_{12} , are shown in Fig. 8. Fig. 7 can be compared with Fig. 9, in which stress distribution field of S_{vm} developed within the in-house FEA code is depicted. It should be noted that the brightness and saturation used in the colorbar schemes of the ABAQUS and the MATLAB are slightly different. As expected, the maximum stress of the in-house FEA model was

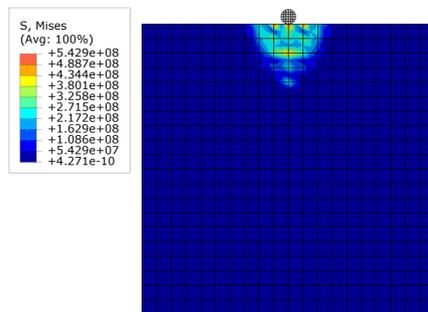


Fig. 7. von Mises stress (S_{vm}) field distribution simulated with ABAQUS

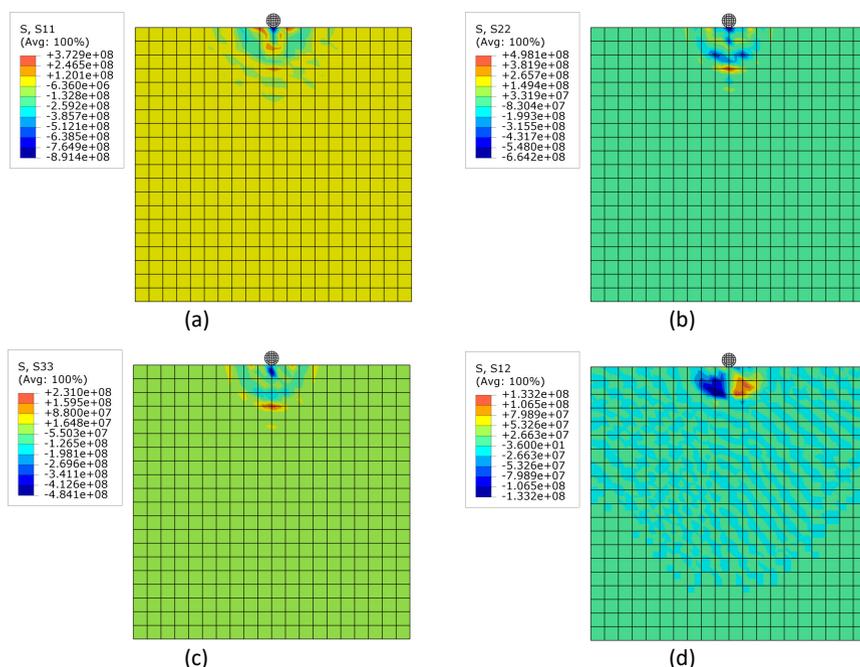
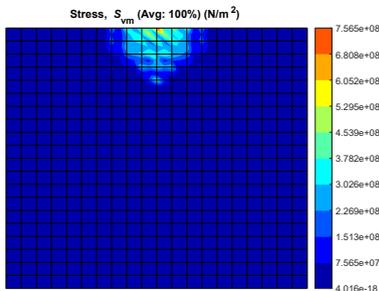


Fig. 8. Stress field distribution simulated with ABAQUS: (a) S_{11} (b) S_{22} (c) S_{33} and (d) S_{12}

higher than that of the ABAQUS model, due to the stress concentration resulting from the single node impact load.

For further investigation, an additional ABAQUS analysis was performed by applying the user-defined impact force-time history, that was obtained from the in-house FEA code for the single-node impact analysis, directly on the receiver without modeling the physical body of impactor with finite element mesh. As shown in Fig. 10b, the results of ABAQUS model and in-house FE model were in a good agreement in terms of the vertical displacement of the node in impact with respect to the

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701 **Fig. 9.** von Mises stress (S_{vm}) field distribution from in-house FEA code with a
702 single node of impactor

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705 simulation time, when subjected to the identical impact force-time history (see Fig.
706 10a). This verifies the formulations of the in-house FEA code, giving the confidence
707 of the developed code.

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709 3.1.2 Analysis Using Three Nodes Representation of Impacting Solid

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711 To incorporate the effects of the geometry of the impactor body into the node-based
712 approach, three nodes of impactor were applied to the receiver structure. As described
713 in Section 2.4. The circle-shape impactor depicted in Fig. 3 were partitioned into
714 three parts having the identical widths, $\gamma_{s1} : \gamma_{s2} : \gamma_{s3} = 1 : 1 : 1$, resulting in the
715 mass distribution ratio of 0.42 : 0.29 : 0.29 for the three nodes. This geometry parti-
716 tion ratio gave rise to α that can range from 0.33 to 1. Thus, the following analyses
717 were carried out using three different α values, i.e., 0.33, 0.9, 1.0. α of 0.33 and α of
718 1.0 were, respectively, the lower and upper bounds for the given geometry partition.
719 Based on the trial-and-error method, $\alpha = 0.9$ was determined to give a match to the
720 ABAQUS results.

721 Fig. 11 shows the comparison of the impact force-time history obtained using the
722 ABAQUS and the in-house FEA with the aforementioned three different α values. It
723 was found that the three nodes of impactor approach produced the impact force time
724 profile comparable to ABAQUS analysis, with little effect of α . In Fig. 12b through
725 Fig. 12d, displacement histories with respect to time are presented for the nodes from
726 the top to the bottom shown in Fig. 12a. The comparisons indicate that use of $\alpha = 0.9$
727 gave a good agreement with ABAQUS analysis results, while slight discrepancy was
728 observed with increasing time and being far away from the impact zone. Overall,
729 considering severe transient dynamic response, such differences seemed reasonable.

730 As shown in Fig. 13, the von Mises stress field distribution obtained for $\alpha = 0.9$ at
731 the maximum displacement of the first top node presented reasonably accurate results
732 compared with Fig. 7. The stress distribution fields of the four stress components of
733 the plane strain model is depicted in Fig. 14, which showed good consistency with
734 the ABAQUS analysis results presented in Fig. 8.

735 In order to check whether the ratio parameter $\alpha = 0.9$ found above is also valid
736 for different impactor geometry, an indentation model subjected to the square-shape

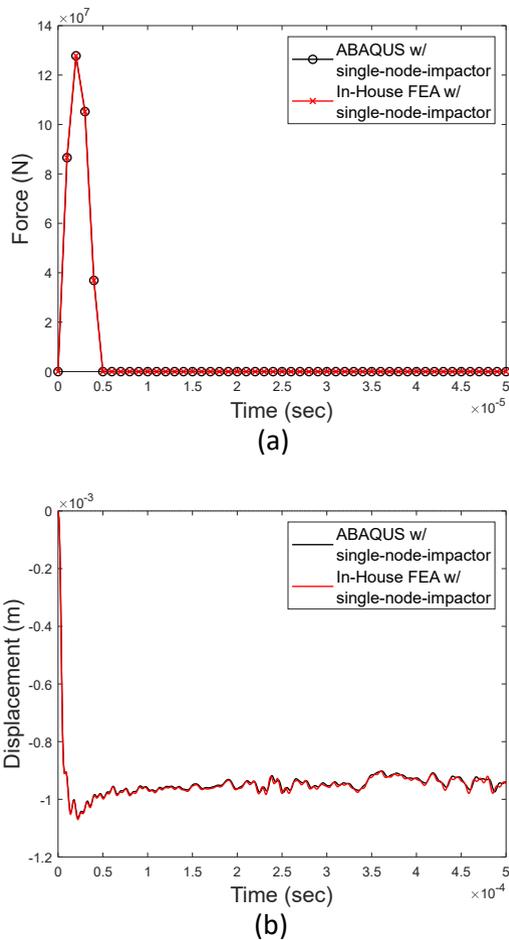


Fig. 10. Comparison of results simulated with ABAQUS and with in-house FEA code (both subjected to single-node-impactor): (a) Impact force and (b) y-direction (vertical) displacement of the receiver node in impact

impactor was built and studied. In the ABAQUS model, the impactor was built as a square-shaped plate with its side $0.0443m$ long, of which dimension was determined to have the same area as its circle-shaped counterpart. In the corresponding in-house FE model, the impactor was again treated as three-nodes and their mass distribution ratio was made as $1 : 1 : 1$, assuming the square-shape impactor divided into three equal parts. Effect of α on displacements was studied by taking three values: 0.33, 0.9, 1.0, where 0.33 and 1.0 are the lower and upper bounds, respectively. The results presented in Fig. 15 show that results with $\alpha = 0.9$ are in good agreement with the corresponding ABAQUS results for the nodal displacement histories measured at the same three different positions shown in Fig. 12a. This indicates that there is a no need

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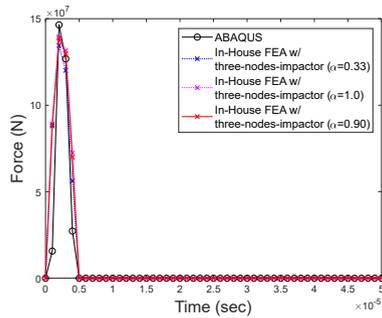


Fig. 11. Impact force simulated with ABAQUS and in-house FEA code considering three nodes representation of impactor, each with different α values, 0.33, 0.9, and 1.0

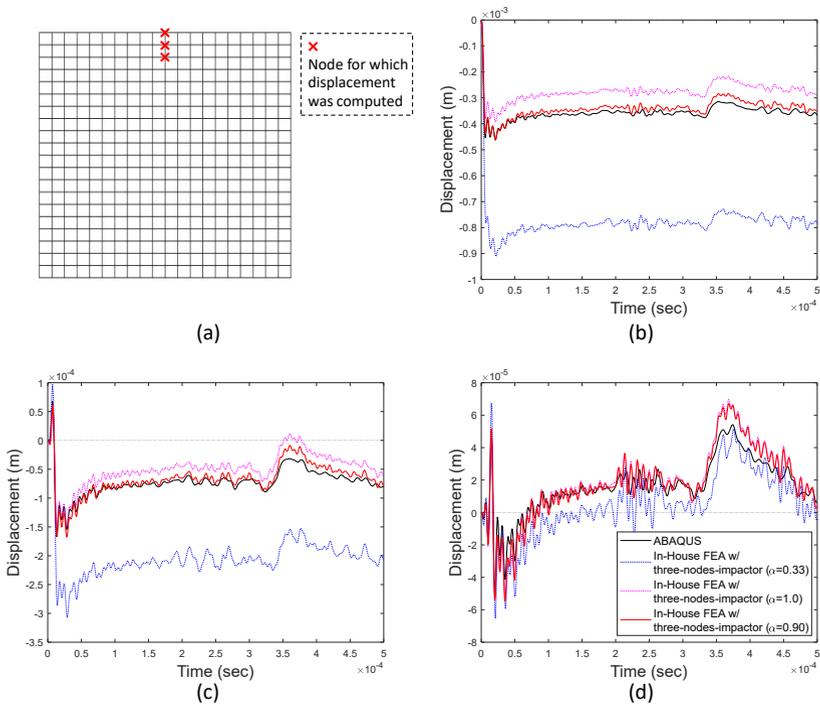


Fig. 12. Comparison of displacements simulated with ABAQUS subjected to circle-shape impactor and with in-house FEA code subjected to three-nodes-impactor: (a) nodes for which displacement were measured (b) vertical displacement of the first node from the top (c) vertical displacement of second node (d) displacement of the third node

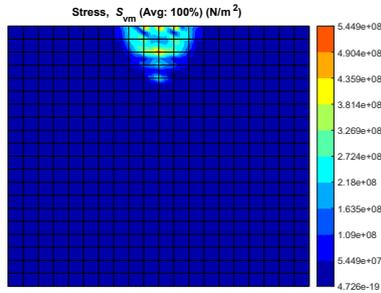


Fig. 13. von Mises stress (S_{vm}) field distribution from in-house FEA code with three nodes representation of impactor and $\alpha = 0.9$

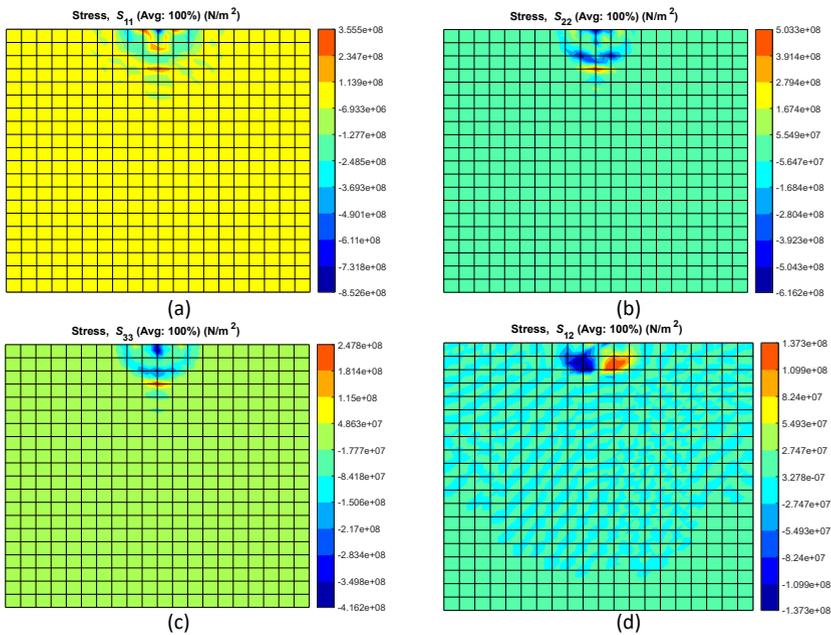
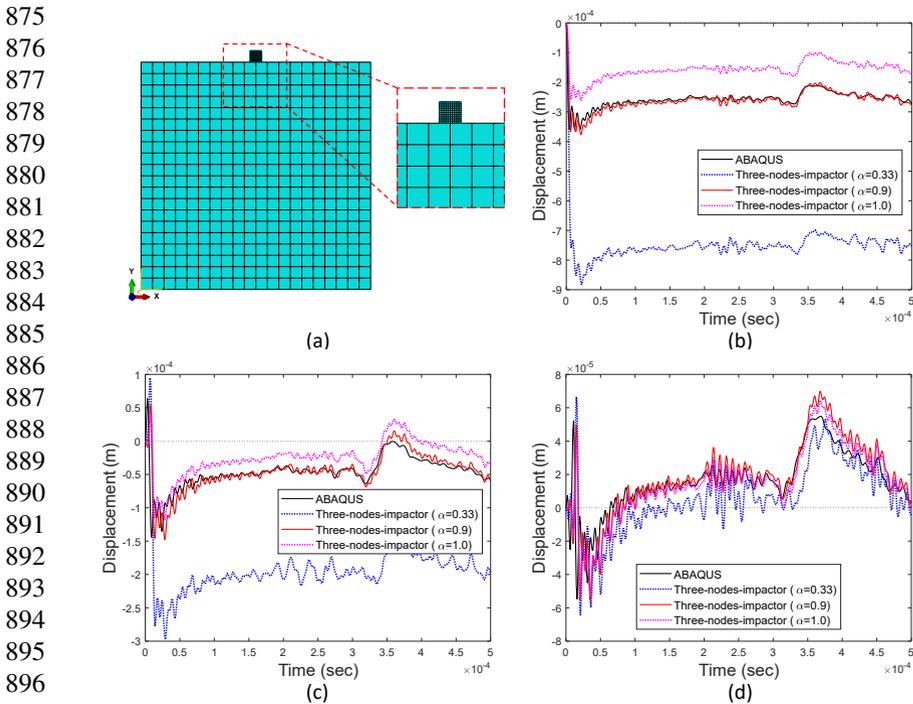


Fig. 14. Stress field distribution from in-house FEA code considering three nodes representation of impactor with $\alpha = 0.9$: (a) S_{11} (b) S_{22} (c) S_{33} and (d) S_{12}

for additional calibration for α and only the redistribution of mass as per the considered impactor geometry is needed when there is a change in impactor geometry. A reason for this is that the impact inertia is applied through the individual masses at the nodal positions considered.



897 **Fig. 15.** Comparison of displacements from the ABAQUS model with a square-shape
898 impactor and in-house FEA code with impactor represented by three-nodes: (a) FE
899 mesh (b) vertical displacement of the first node from the top (c) vertical displacement
900 of the second node (d) displacement of the third node (nodes positions presented in
901 Fig. 12a)

902 903 904 **3.2 Simply-Supported Beam Model**

905 A simply-supported beam model with $3m$ long and $0.3m$ depth, shown in Fig. 16,
906 was analyzed for additional verification to account for the realistic situation incorpo-
907 rating the deflection of the receiver. As with the previous indentation model, the beam
908 was created using 8-nodes quadratic elements (Q8) with the mesh size of $0.05m$ and
909 J2 elastic-perfectly-plastic material behavior. Material properties and applied mass
910 and velocity were the same as those of indentation model. No damping was consid-
911 ered. To serve as reference, ABAQUS model was created using the aforementioned
912 geometric and material properties in addition to modeling a physical impact object
913 and applying the surface-to-surface formulation (i.e., STS formulation) at the con-
914 tact interface. Then, an in-house FEA model with the three nodes impact approach
915 was created and was simulated for different α values: 0.3, 0.9, and 1. Note that α of
916 0.9 was the value found from the above verification example. Fig. 17 compares the
917 analysis results obtained using the ABAQUS and the in-house FEA code. As shown
918 in Fig. 17a, the impact force-time histories computed using in-house FEA code with
919 $\alpha = 0.9$ reasonably matches the force obtained using ABAQUS analysis, similarly
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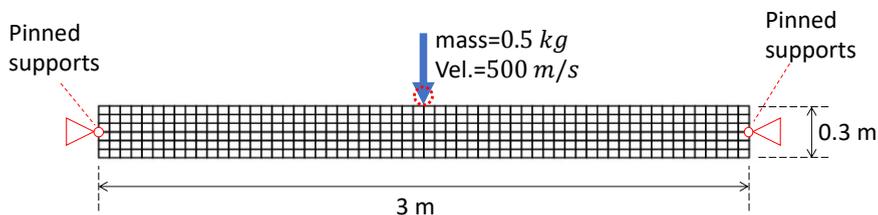


Fig. 16. Finite element mesh of beam model and its boundary conditions, created using in-house FEA code

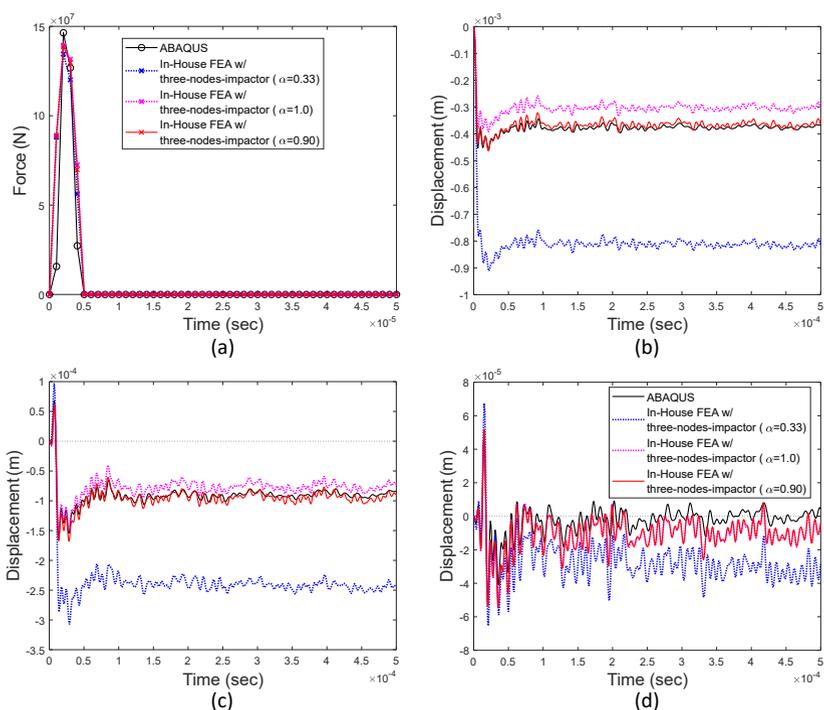


Fig. 17. Comparison of displacements simulated with ABAQUS and in-house FEA code: (a) Impact force (b) vertical displacement of the first node from the top (c) vertical displacement of second node (d) displacement of the third node

to the previous indentation case. Fig. 17b through Fig. 17d show the displacements of the nodes at the midspan, that are, the first, second, and third nodes from the top surface. The comparison results indicate that the displacements obtained using the proposed approach with $\alpha = 0.9$ reasonably agreed with those obtained using ABAQUS analysis. More discrepancies occurred in the third node from the top with increasing time. This is attributed to the accumulation of discrepancies as a node gets far away from the impact location.

967 **3.3 Discussion on the Proposed Approach**

968 In short, although it is simple and straightforward to implement, the presented impact
969 analysis approach achieves an excellent accuracy on the analysis of the impactor
970 hitting a deformable (elastic-perfectly-plastic) body. Based on the presented verifi-
971 cation examples with a circle-shaped or square-shaped impact solid, it was found
972 that α value of about 0.9 gave best matching results to the corresponding ABAQUS
973 Dynamic/Implicit analysis results. The presented approach has the advantage of
974 being computationally efficient over classical finite element impact analysis. Further-
975 more, in the classical approach, creating finer element mesh over a receiver body, as
976 needed owing to the small size of an impacting object, could be not only computa-
977 tionally expensive but also create unforeseen modeling challenges, and thus can take
978 significant time and effort to achieve desirable results. In fact, the computational time
979 between the classical approach and the proposed approach, measured from the inden-
980 tation model example with a circle-shape impactor, was compared. To run the total
981 simulation time of 0.002 s, the proposed approach took 147 s, while the classical
982 approach taking 562 s; thus, showing the proposed approach almost four times faster.
983

984 **4 Conclusions**

986 This study presents a simplified finite element approach for the analysis of impact
987 problems, which requires less computational effort than typical finite element con-
988 tact/impact analysis and is straightforward to implement. The approach approximates
989 the impacting solid as the selected multiple nodes placed in parallel to the contact
990 surface, at which mass of the impactor is distributed in certain proportion according
991 to the sectioned geometry of the impacting solid. The proposed numerical solu-
992 tion scheme is based on Newton-Raphson time integration method that is integrated
993 with the node-to-surface contact algorithm incorporating penalty constraint method
994 and Lagrange multiplier technique, which allows to account for contact interaction
995 between the selected nodes and the surface of the receiver structure. The proposed
996 approach is verified using 2D plane strain models considering elastic-perfectly-
997 plastic material behavior for two specific cases: (i) indentation model and (ii) beam
998 model, each subjected to impacting solid. The simulation results obtained using the
999 approach are in good agreement with ABAQUS Dynamic/Implicit analysis results,
1000 e.g., in terms of the impact force, displacements, and stress distribution fields.

1001 In the proposed formulation, the parameter α determines the positions of addi-
1002 tional nodes, which are used to approximately represent the contact area resulting
1003 from an impact solid. The sensitivity analysis results on varying α values indicated
1004 that α has a significant impact on the simulated results. However, for most of the
1005 practical purpose, the impactor geometry can be assumed as a circle, oval (with two
1006 similar length diameters), or square, and α value of 0.9 is found to be a good esti-
1007 mate that can represent those impactor geometries. When the impactor geometry was
1008 changed, for example, from a circle-shape to a square-shape, the only parameter that
1009 needs to be adjusted for this change is the mass distribution ratio to the selected
1010 nodes, which can be explicitly determined based on the geometrical partition ratio.
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The proposed approach is computationally much more superior to the typical finite element contact analysis, without significantly sacrificing the accuracy. This is possible made by omitting physical modeling of the impactor and the associated mesh discretization. In particular, the present approach is expected to be efficient for case where there are multiple impact events to the receiver.

5 Acknowledgments

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6 Declarations

Conflicts of interest/Competing interests: The authors declare that they have no conflict of interest. Financial interests: The authors have no relevant financial or non-financial interests to disclose.

Appendix

A Sensitivity Analysis of Penalty Parameter

Many studies (for example, [Asano, 1986](#); [Goudreau and Hallquist, 1982](#); [Hallquist et al, 1985](#); [Kulak, 1989](#); [Pham et al, 2018](#)) have been extensively conducted to find the optimum value or range for the penalty parameter (in Eq. 2) that ensures reliable and accurate analysis results. Comparison of the suggested ranges for the penalty parameter in these studies shows the penalty parameter can differ by at most the order of magnitude 10^7 times depending upon the used materials, contact geometries, element types, etc. Unfortunately, no universal analytical expression for determining appropriate penalty parameter value exists. Therefore, this study carried out sensitivity analysis for the choice of penalty stiffness.

The penalty stiffness values were adjusted proportional to the Young's modulus of the receiver material, as $\epsilon_N = \kappa E^{rec}$, where E^{rec} is the Young's modulus of the receiver material and κ is the associated scale factor. A wide range of κ was considered. To this end, κ was set to increase 10 times for each individual run from 10^{-2} to 10^{+2} . The indentation model (Section 3.1.2) was used for this sensitivity test. Fig. A1 shows results of the computed impact force and displacement of the node in impact for different κ values considered. As expected, both the impact force and the local nodal displacement were very sensitive to variation in the penalty parameter. Out of the five simulation runs, the run with $\kappa = 1$ (i.e., $\epsilon_N = E^{rec}$) provided the force and displacement histories the most comparable to the ABAQUS results. It should be mentioned that a more accurate result was obtained with $\kappa = 1.1$ but the difference was not significant when compared with $\kappa = 1$.

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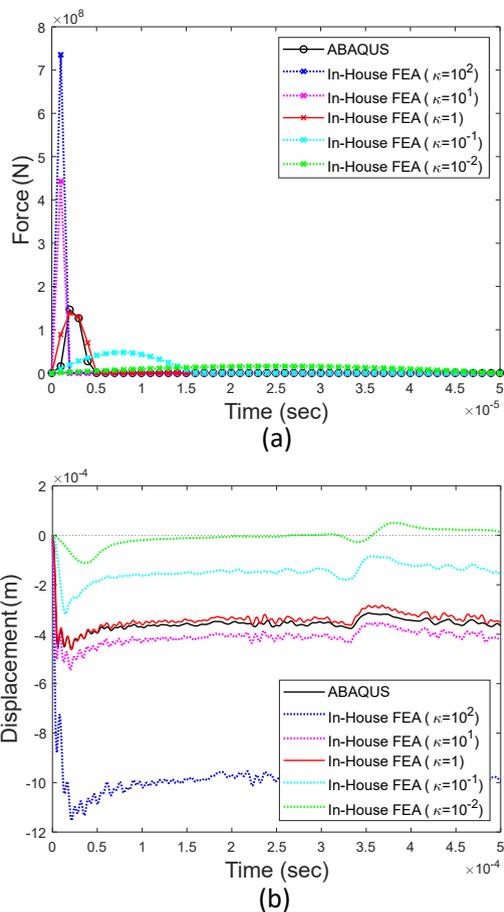


Fig. A1. Sensitivity analysis results of the penalty parameter ϵ_N : (a) Impact force and (b) y-direction (vertical) displacement of the receiver node in impact

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