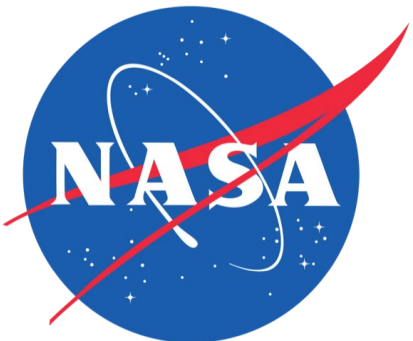

A Formal Verification Framework for Runtime Assurance

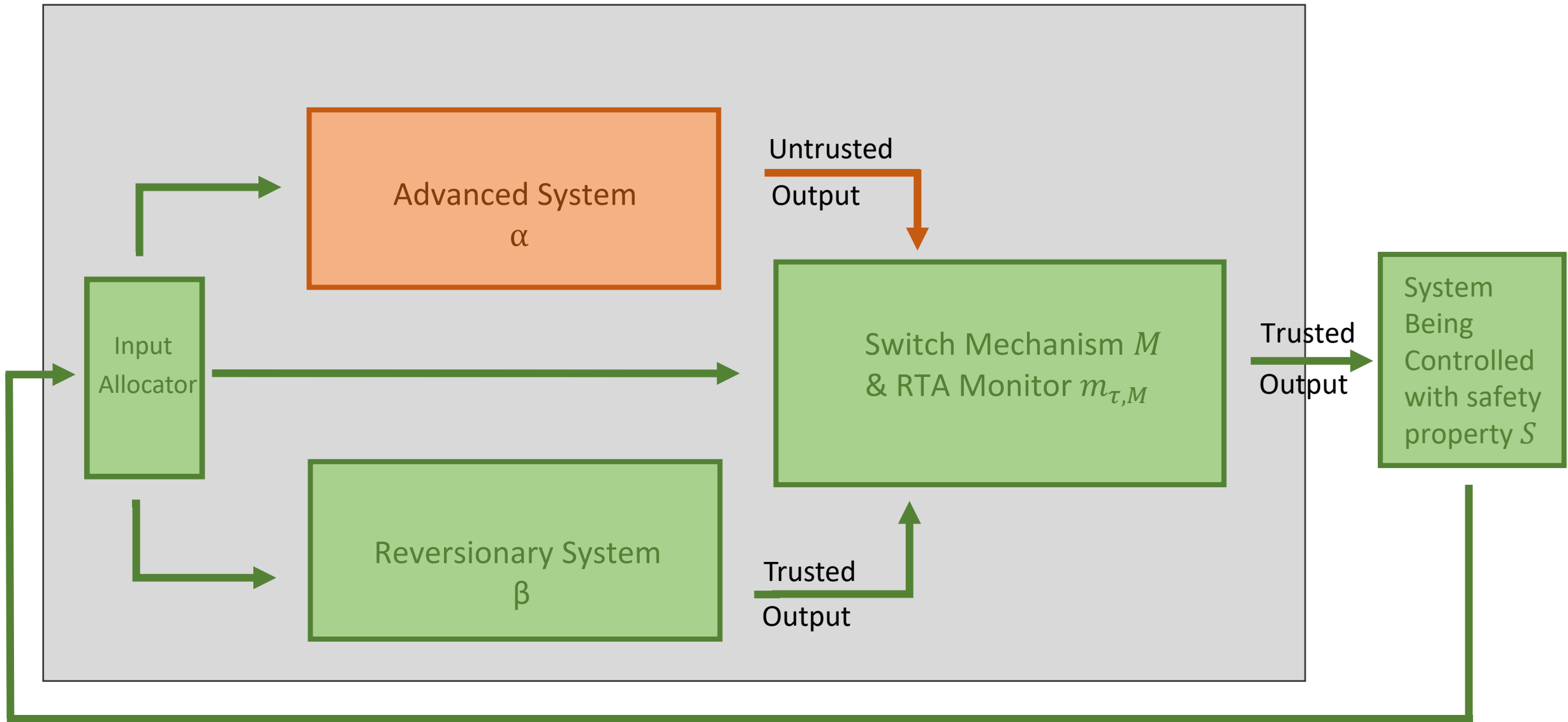
J. Tanner Slagel, Lauren M. White, Aaron Dutle,
César A. Muñoz, and Nicholas Crespo

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Hampton, VA, USA

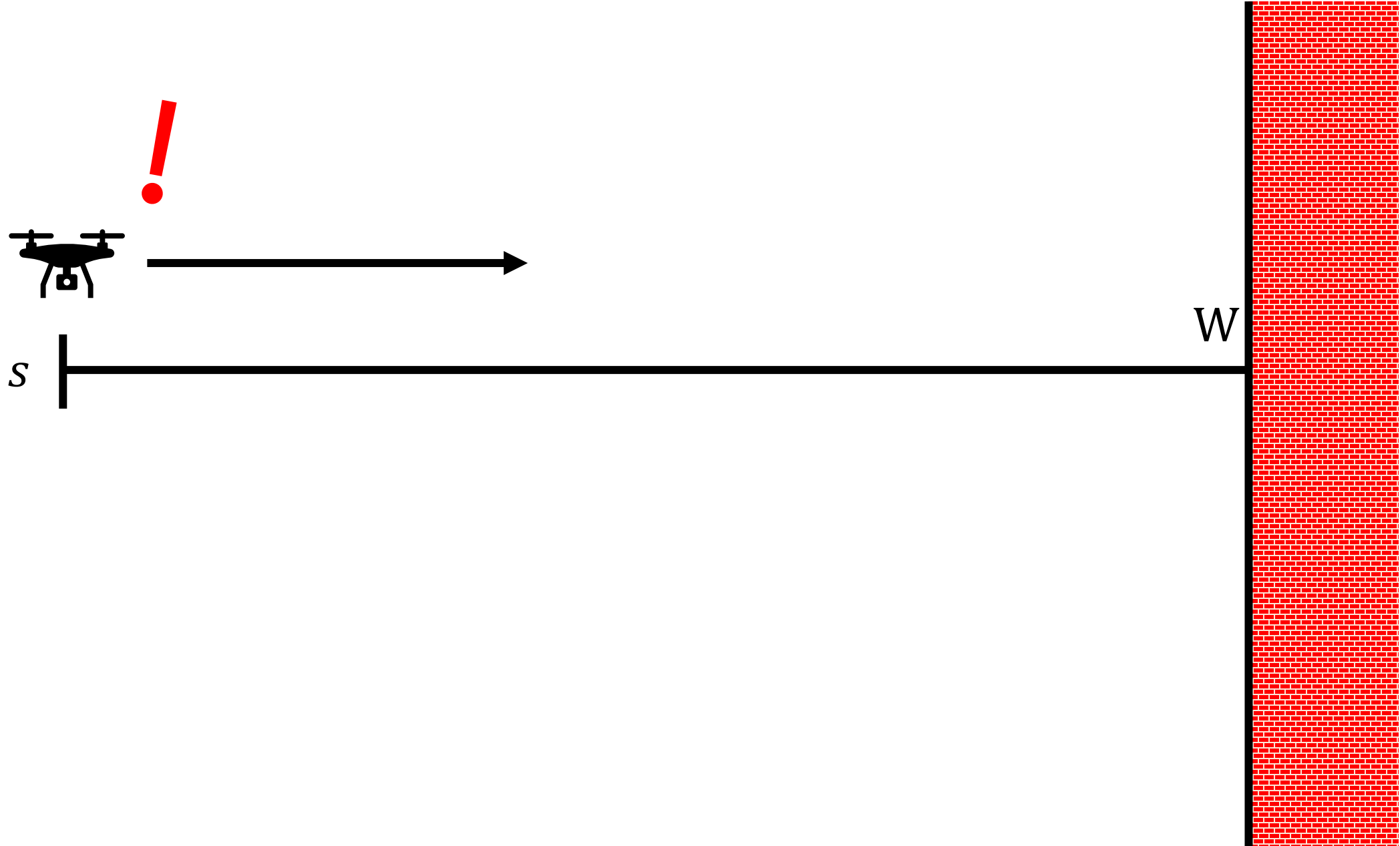
email: lauren.m.white@nasa.gov



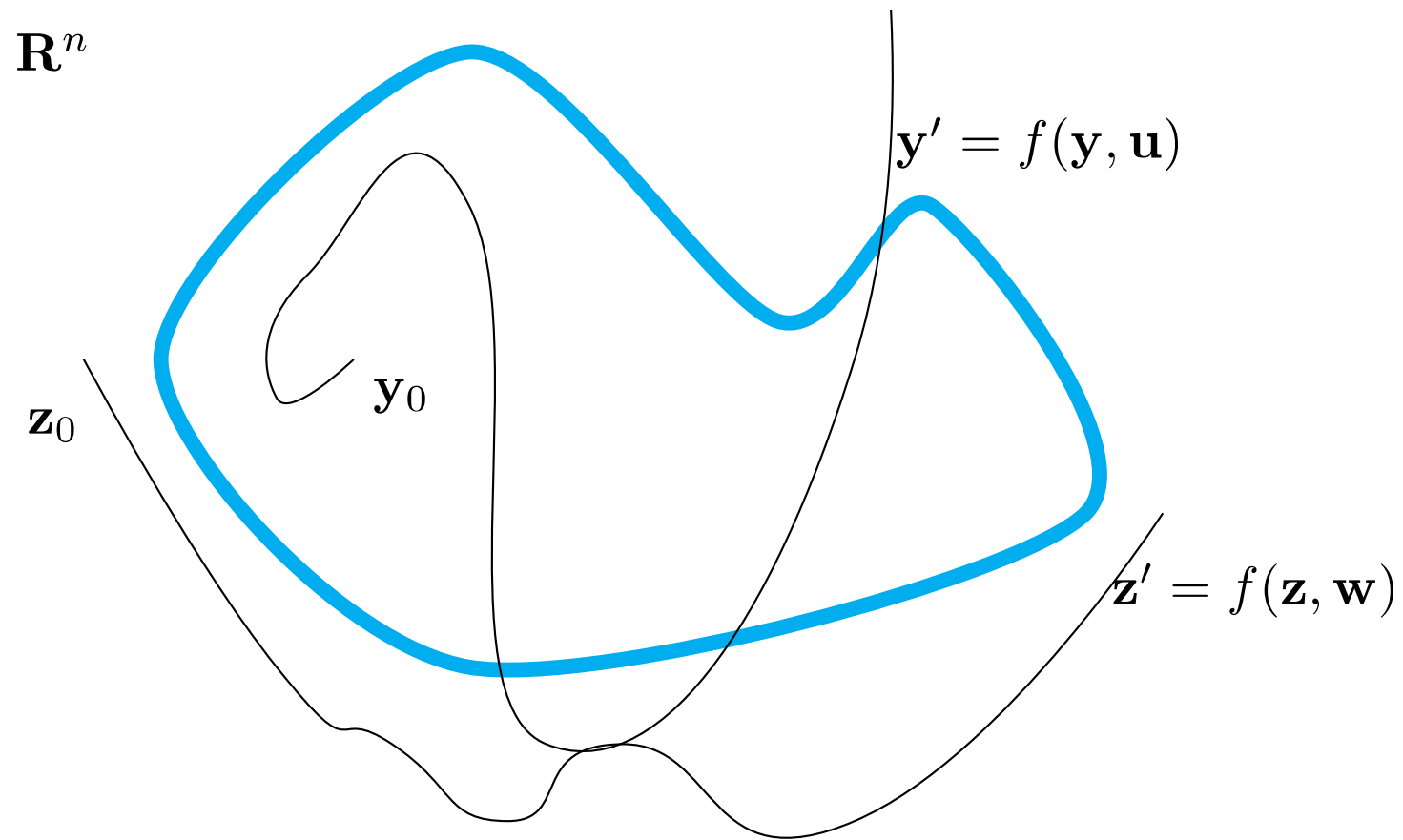
Simplex Runtime Assurance (RTA)



A UAV approaching a wall



Hybrid Programs and Differential Dynamic Logic



Hybrid Programs

Hybrid programs allow **formal specification** of hybrid systems:

- Discrete jump set:

$$(x_1 := \theta_1, \dots, x_n := \theta_n)$$

- Differential equations:

$$\{x'_1 := \theta_1, \dots, x'_n := \theta_n \ \& \ \chi\}$$

- $\{x_i\}_{i=1}^n$ variables
- $\{\theta_i\}_{i=1}^n$ assignments (e.g. – functions of existing variable values)
- χ first order formula that describes domain

Example:

$$(x := 0, y := c); \{x' = y, y' = -x \ \& \ y \geq 0\}$$

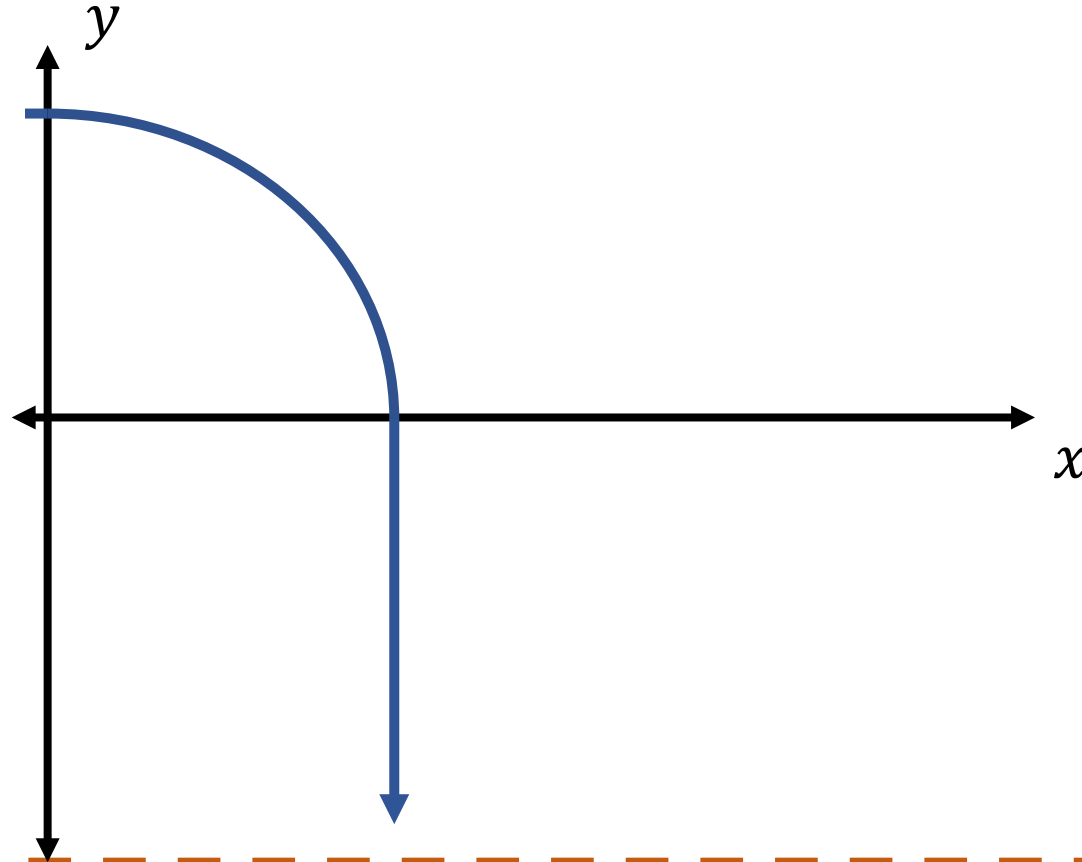
Hybrid Programs (continued)

For hybrid programs Hp_1, Hp_2 , first-order formula χ :

- Choice $(Hp_1 \cup Hp_2)$
- Sequence $(Hp_1; Hp_2)$
- Repeat $(Hp_1)^*$
- Test $(? \chi)$

Example: $\left\{ \begin{array}{c} ((? y > 0); \{x' = y, y' = -x \ \& \ y \geq 0\}) \\ \cup \\ ((? y \leq 0); \{y' = -c\}) \end{array} \right\}^*$

Hybrid Programs (continued)



Example: $\left\{ \begin{array}{l} ((? y > 0); \{x' = y, y' = -x \ \& \ y \geq 0\}) \\ \cup \\ ((? y \leq 0); \{y' = -c\}) \end{array} \right\}^*$

dL: Differential Dynamic Logic

dL allows **formal reasoning** of hybrid programs:

- For hybrid program Hp and predicate P
 - All runs $[Hp]P$
 - Some runs $\langle Hp \rangle P$

Example: Let

$$Hp \equiv ((? y > 0); \{x' = y, y' = -x \ \& \ y \geq 0\})$$
$$P = (x^2 + y^2 = c^2),$$

then

$$y = c, x = 0 \rightarrow [Hp]P \quad y = c, x = 0 \rightarrow \langle Hp \rangle (y = 0)$$

dL: Differential Dynamic Logic – Rule Schema

Union axiom:

$$\frac{[Hp_1]P \wedge [Hp_2]P}{[Hp_1 \cup Hp_2]P}$$

Loop rule:

$$\frac{\Gamma \vdash J \quad J \vdash [\alpha]J \quad J \vdash P}{\Gamma \vdash [\alpha^*]P}$$

Differential invariant
rule:

$$\frac{\Gamma, q(x) \vdash p(x) \quad q(x) \vdash [x' := f(x)](p(x))'}{\Gamma \vdash [x' = f(x) \ \& \ q(x)]p(x)}$$

....and many more! ^[4]

[2] Differential Dynamic Logic, Logical Foundations of Cyber-Physical Systems. André Platzer. 2018 <https://doi.org/10.1007/978-3-319-63588-0>

[3] **dL** “Cheat Sheet,” André Platzer, <https://symbolaris.com/logic/dL-sheet.pdf>

dL: Proof

Differential invariant
rule:

$$\Gamma, q(x) \vdash p(x)$$

$$q(x) \vdash [x' := f(x)](p(x))'$$

$$\Gamma \vdash [x' = f(x) \ \& \ q(x)]p(x)$$

$$\vdash 0 = 0$$



Arithmetic!



$$\vdash 0^2 + c^2 = c^2$$

$$\vdash 2xy + 2y(-x) = 0$$

Apply
substitution

$$y = c, x = 0 \vdash x^2 + y^2 = c^2$$

$$\vdash [x' := y, y' := -x](2xx' + 2yy' = 0)$$

Apply Di rule

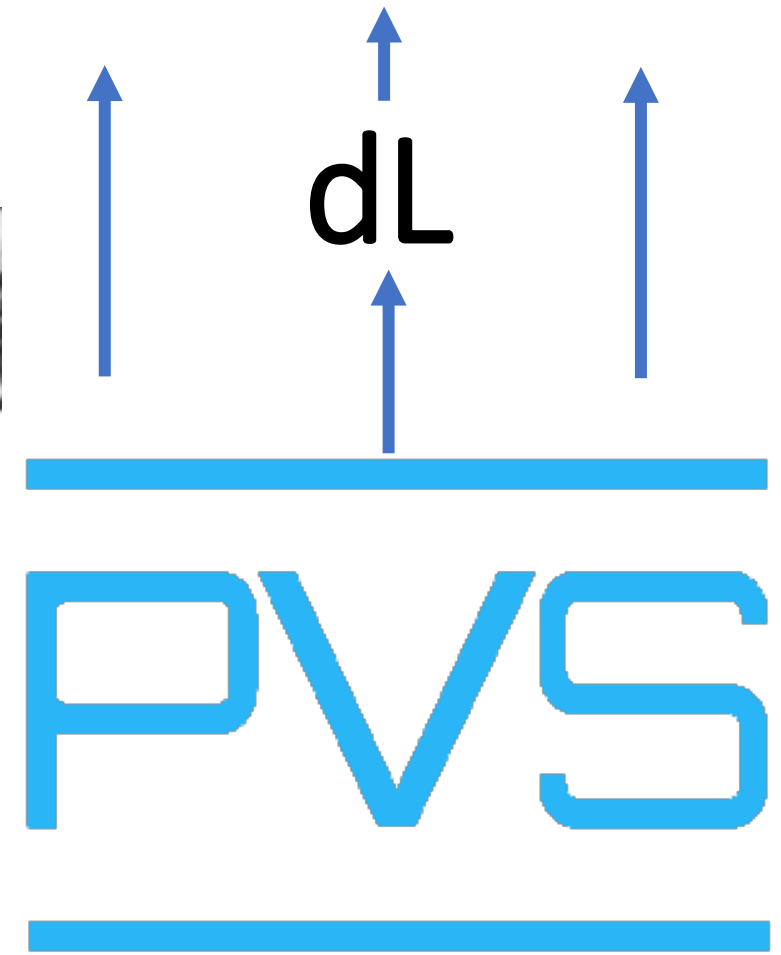
$$y = c, x = 0 \vdash [\{x' = y, y' = -x\}](x^2 + y^2 = c^2)$$

[4] Differential Dynamic Logic, Logical Foundations of Cyber-Physical Systems. André Platzer. 2018 <https://doi.org/10.1007/978-3-319-63588-0>

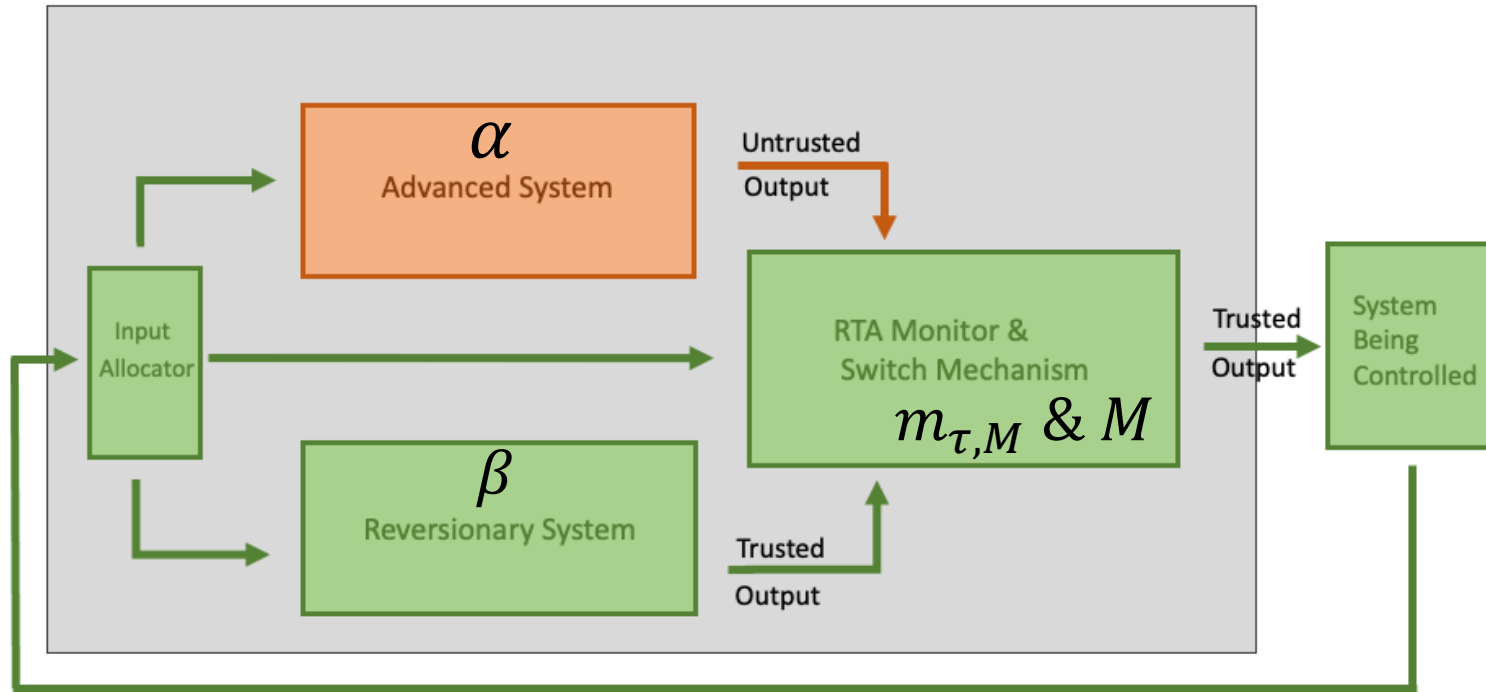
[5] dL “Cheat Sheet,” André Platzer, <https://symbolaris.com/logic/dL-sheet.pdf>



Plaidypvs



RTA in Plaidypvs



Define

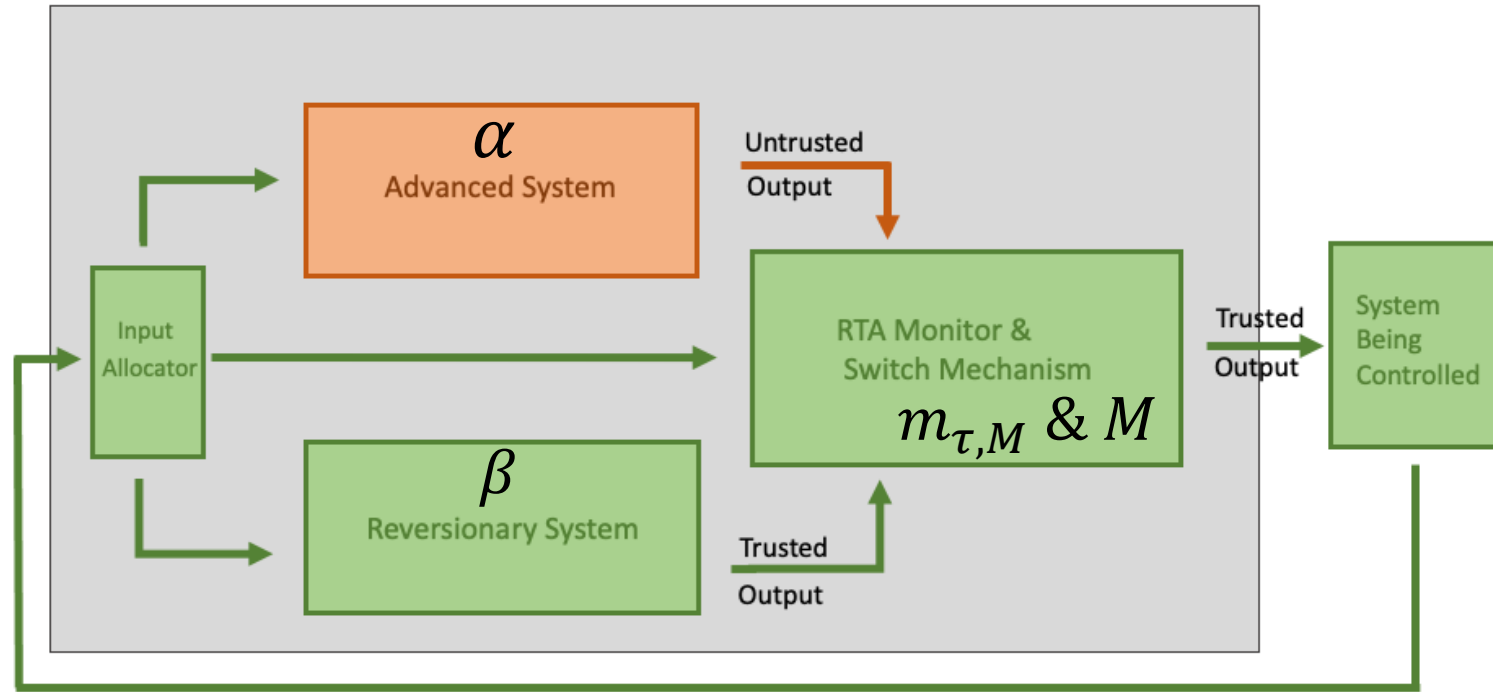
$$\left(\left(? M ; m_{\tau,M}(\alpha) \right) \cup \left(? \neg M ; \beta \right) \right)^*$$

While M is true run α with
timed monitor

and

While M is not true run β
with monitor

RTA in Plaidypvs



Goal:

$$\left[\left(\left(? M ; m_{\tau,M}(\alpha) \right) \cup \left(? \neg M ; \beta \right) \right) \right] S$$

Want to show that for all runs of the system, some safety property S is satisfied.

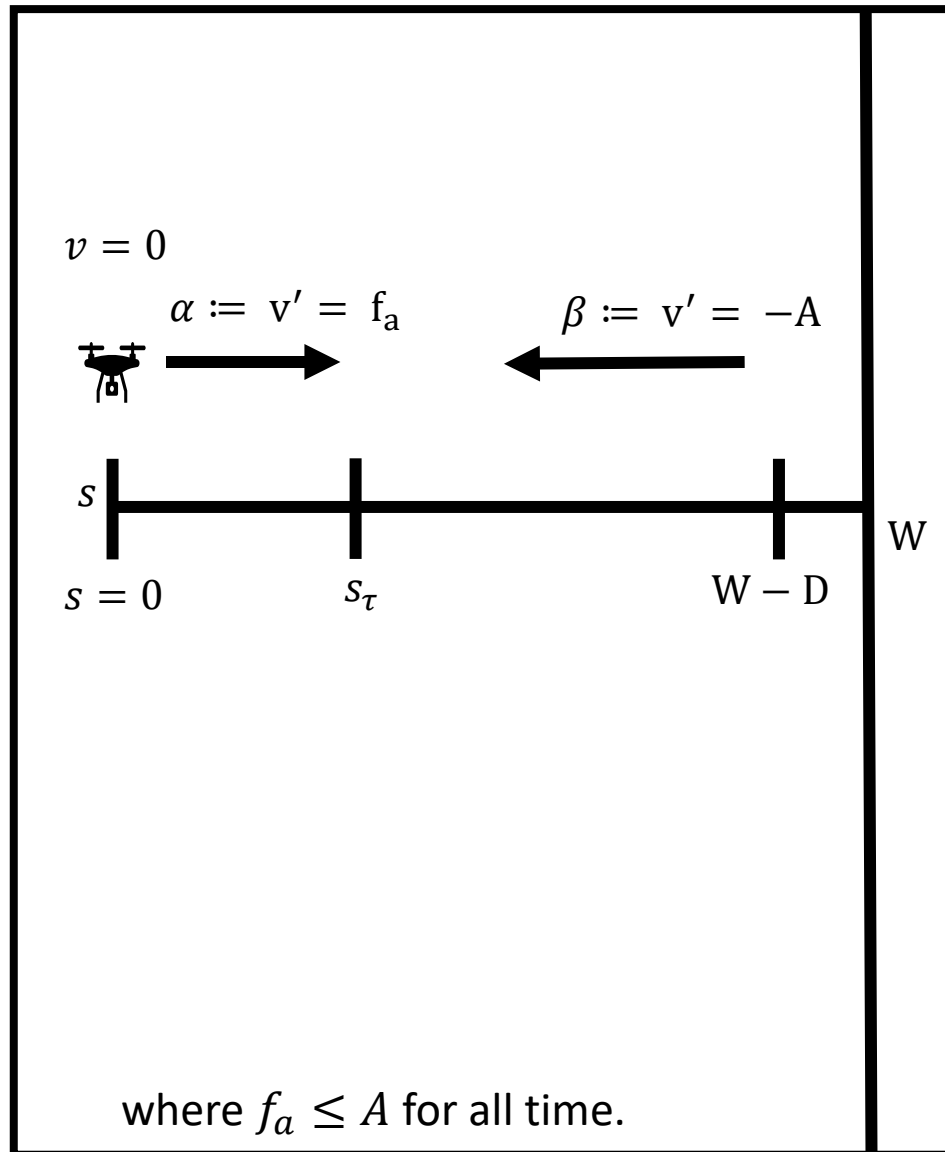
RTA rule in Plaidypvs

$$\frac{\Gamma \vdash S \wedge (M \vee G), \quad S \vdash [m_{\tau,M}(\alpha)](S \wedge (M \vee G)), \quad G \vdash [\beta^*]S}{\Gamma \vdash \left[\left((? M ; m_{\tau,M}(\alpha)) \cup (? \neg M ; \beta) \right)^* \right] S}$$

Where

1. G is a user-instantiated condition that represents a property that carries over when switching from the advanced system to the reversionary system
2. M is the switch Boolean expression
3. S is the safety Boolean expression
4. $m_{\tau,M}(\alpha)$ is the monitored advanced controller
5. β is the reversionary system.

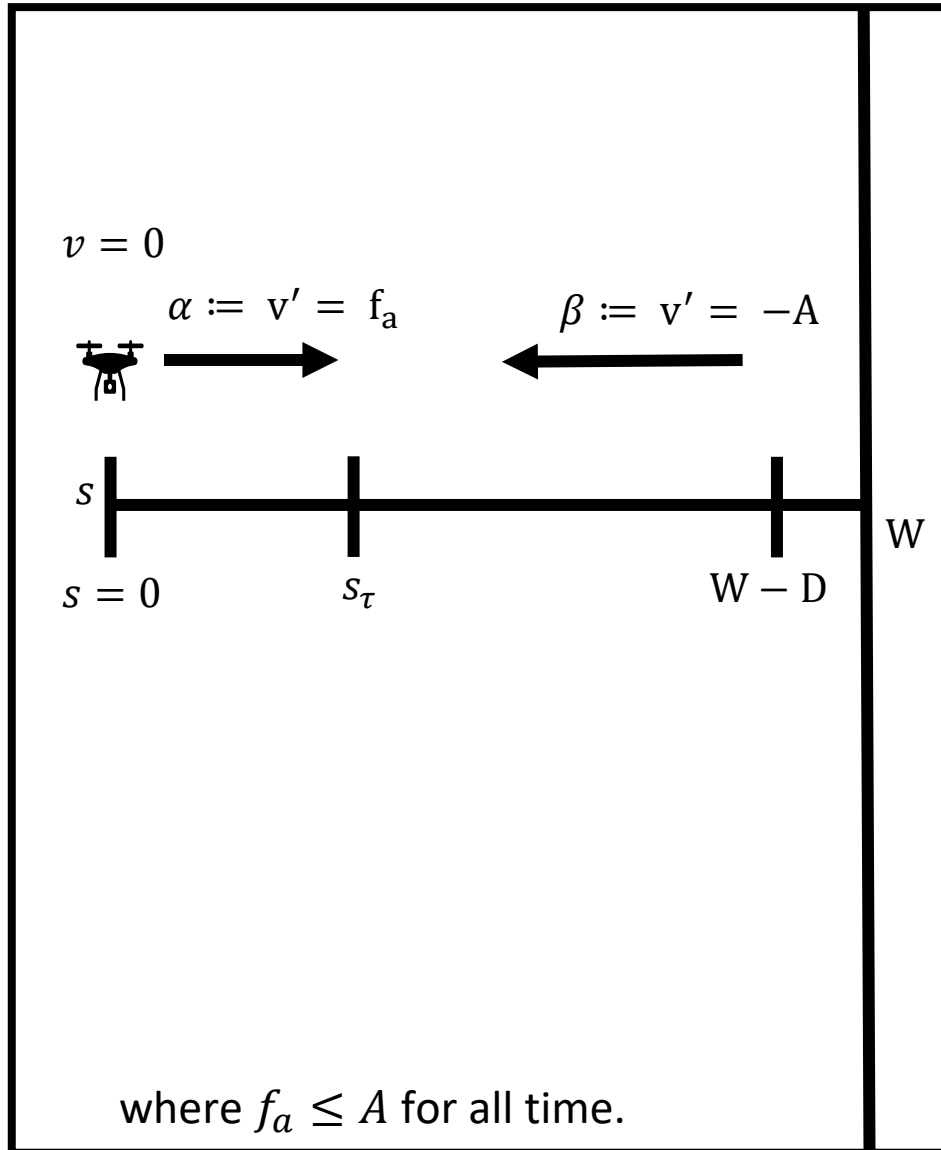
Breaking example RTA



$$[(\text{? } M ; m_{\tau, M}(\alpha)) \cup (\text{? } \neg M ; \beta))^*](s \leq W - D)$$

“The aircraft stays at least D distance from the wall.”

Breaking example RTA



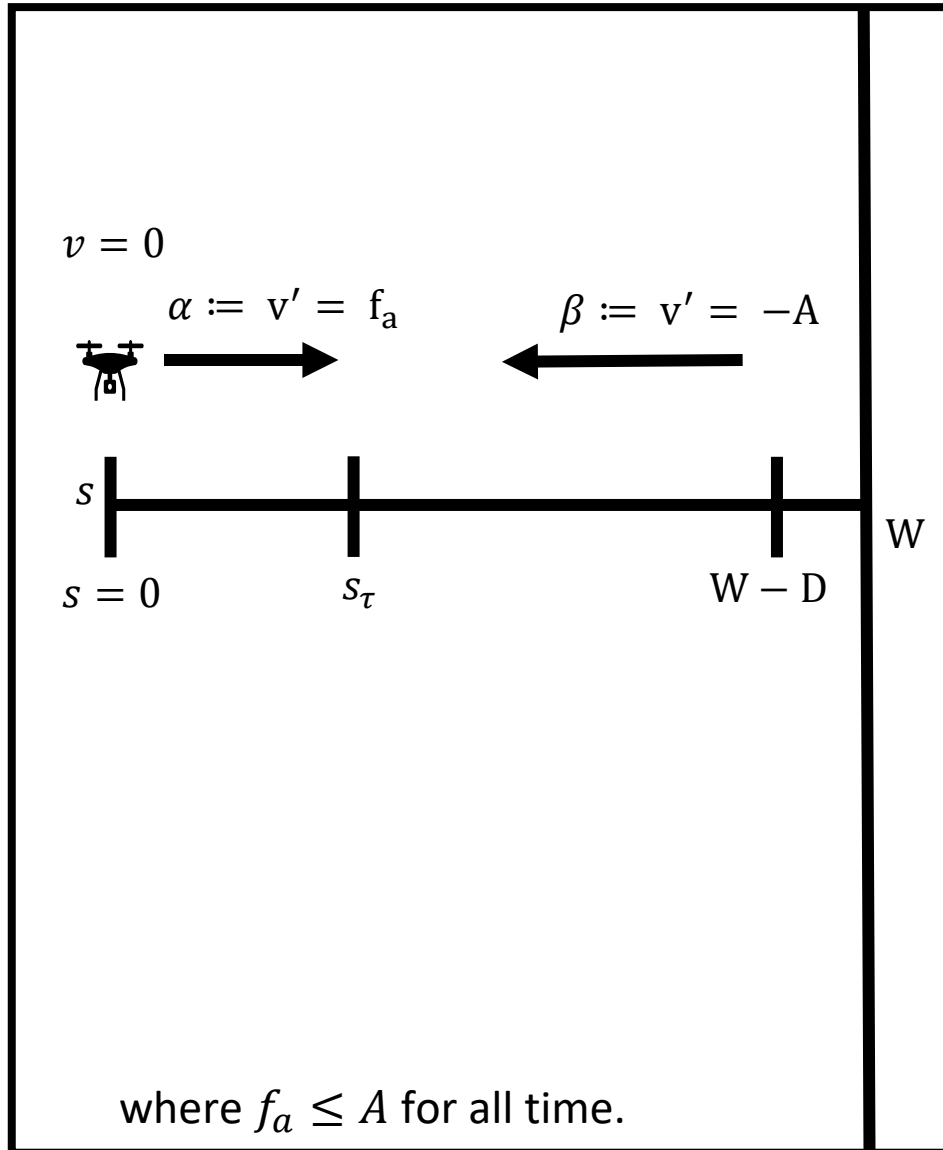
Using the RTA rule in Plaidypvs with

$$G = s \leq \frac{W - D}{2} \wedge v \leq \sqrt{A(W - D)}$$

results in the three subgoals:

- I.* $s = 0, v = 0 \vdash (s \leq (W - D)) \wedge (M \vee G)$
- II.* $s \leq (W - D) \vdash [m_{\tau, M}(\alpha)] (s \leq (W - D)) \wedge (M \vee G)$
- III.* $G \vdash [\beta^*] (s \leq (W - D))$

Breaking example RTA



The three subgoals in the proof impose requirements for the sample rate and monitor condition:

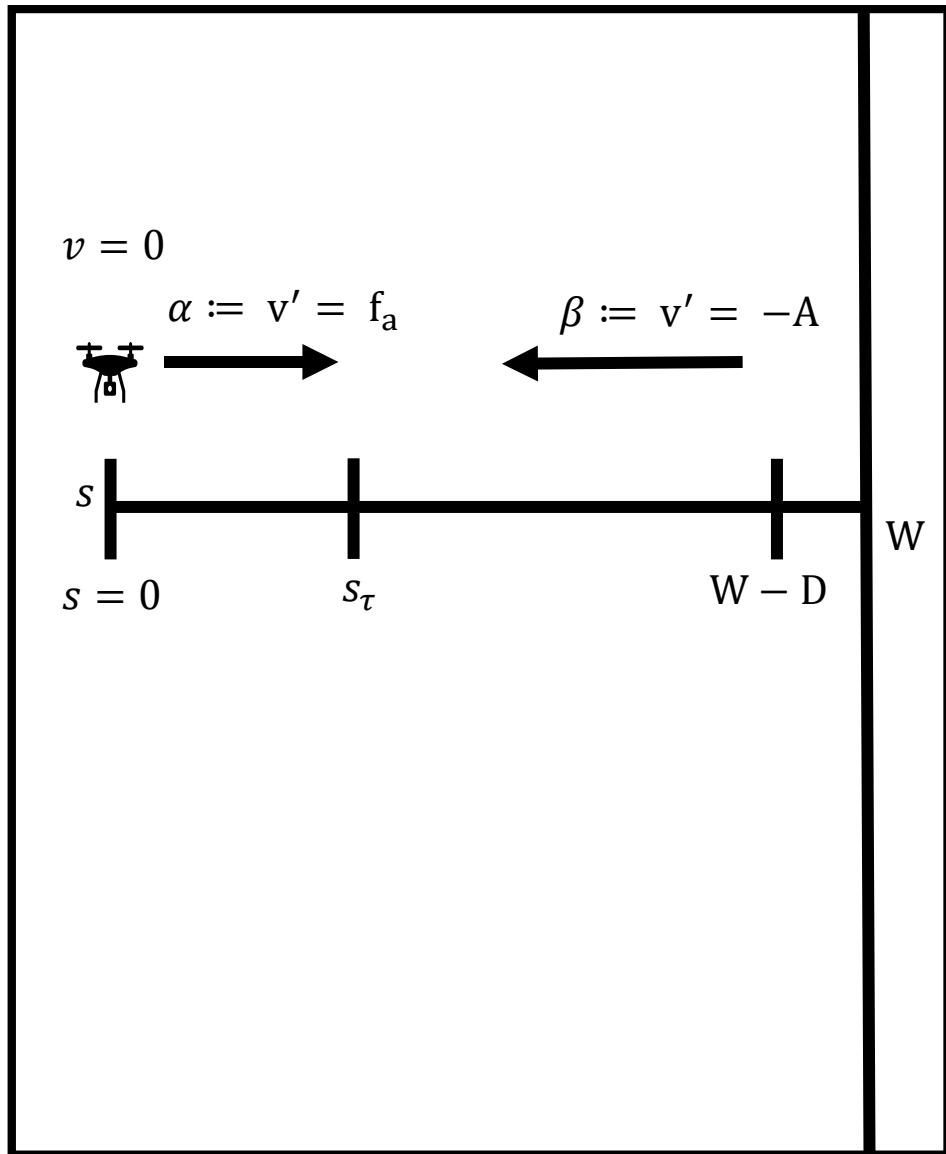
$$\tau \leq \sqrt{\frac{W - D}{A}},$$

$$M = s \leq s_\tau \wedge v \leq \sqrt{A(W - D)}$$

where

$$s_\tau = \frac{\left(\sqrt{A(W - D)} - \tau\right)^2}{2}$$

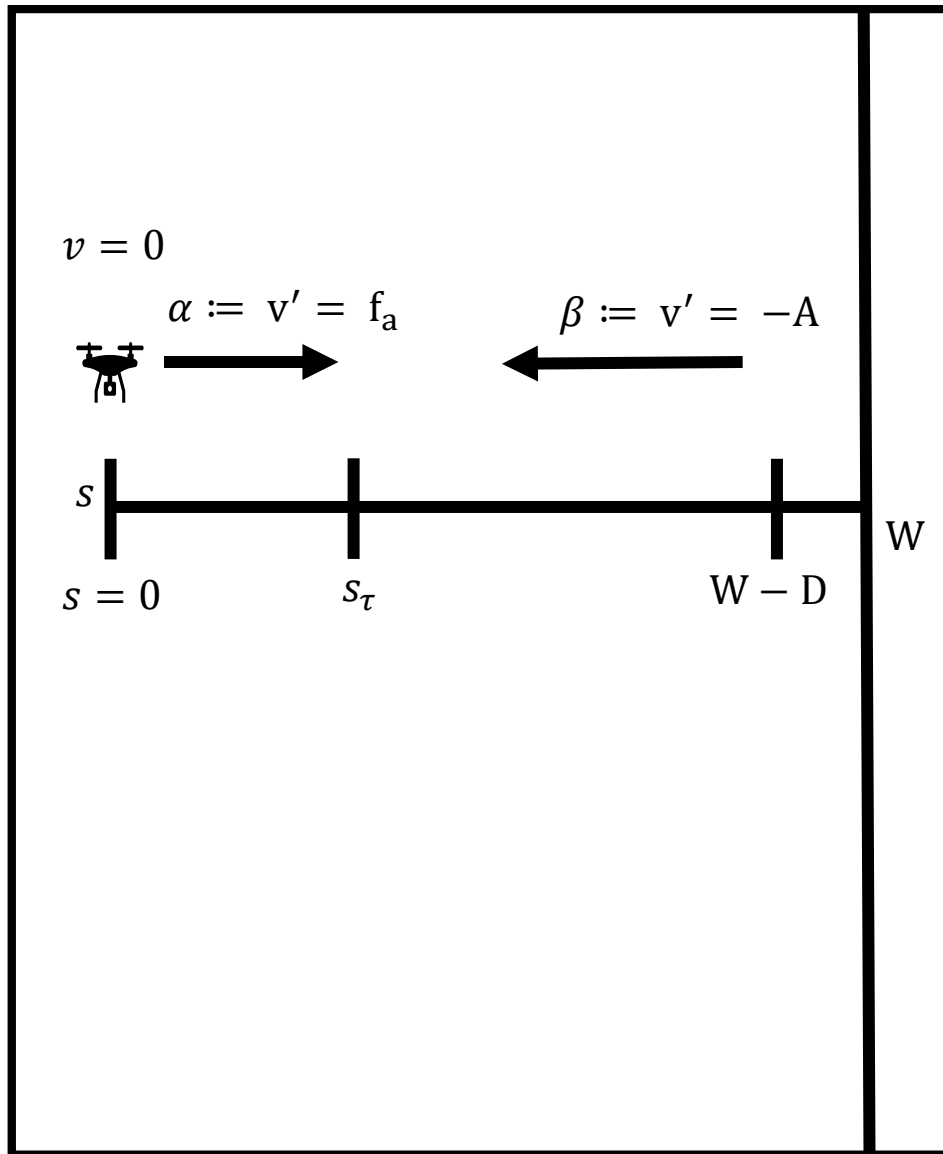
Summary



$$[((? M ; m_{\tau, M}(\alpha)) \cup (? \neg M ; \beta))^*](s \leq W - D)$$

- Plaidypvs can
- model and formally reason about RTA Systems
 - help extract and define requirements from the system

Summary



$$[((? M ; m_{\tau, M}(\alpha)) \cup (? \neg M ; \beta))^*](s \leq W - D)$$

- Plaidypvs can
- model and formally reason about RTA Systems
 - help extract and define requirements from the system

Thank you for paying attention!
Questions, comments?