

1 Lucy L’Ralph In-Flight Calibration and Results at (152830) Dinkinesh

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6

7 Abstract

8 The L’Ralph instrument is a key component of NASA’s Lucy mission, intended to provide  
9 spectral image data of multiple Jupiter Trojans. The instrument operates from ~0.35 to 4  $\mu\text{m}$   
10 using two focal plane assemblies: a 350 to 950-nm multispectral imager, MVIC, and an  
11 0.97 to 4- $\mu\text{m}$  imaging spectrometer, LEISA. Instrument calibration was established through  
12 ground testing before launch and has been monitored during cruise utilizing internal  
13 calibration sources and stellar targets. In-flight data have shown that the instrument  
14 thermal performance is exceeding expectations, allowing for early updates to LEISA  
15 radiometric and pointing calibrations. MVIC radiometric performance remains stable more  
16 than three years since launch. The serendipitous identification of a new flyby target,  
17 (152830) Dinkinesh, allowed testing of instrument performance and interleaved LEISA and  
18 MVIC acquisitions on an asteroid target. Both MVIC and LEISA obtained data of Dinkinesh  
19 and its moon, Selam, demonstrating that they show good spectral agreement with an S or  
20 Sq-type asteroid, along with evidence of a 3- $\mu\text{m}$  absorption feature.

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## 21 1. Introduction

22

23 Lucy is a NASA Discovery-class mission that launched in 2021 to investigate a previously  
24 unexplored class of bodies, the Jupiter Trojan asteroids. Lucy will fly past a number of these  
25 objects, visiting the Jupiter-leading L4 swarm in 2027 and the trailing L5 swarm in 2033 (H.  
26 Levison et al. 2021; C. Olkin et al. 2024). A major goal of the mission is to visit multiple  
27 Trojan asteroids with different spectral types to understand the compositional diversity of  
28 these bodies and their implications for solar system formation (C. Olkin et al. 2021). As  
29 these bodies are at 5 AU, and the flyby geometries vary, the Lucy instruments were  
30 designed to operate under low illumination conditions with science requirements to  
31 observe effective surface brightness (intensity divided by incident solar flux,  $I/F$ ) from as  
32 low as 0.0014 (visible) to 0.0019 (IR) to as high as 0.4 (both spectral regions) (D. Reuter et  
33 al. 2023).

34

35 The Lucy L’Ralph instrument is two instruments in one: a multi-channel visible to near-  
36 infrared wavelength imager and a shortwave IR imaging spectrometer (D. Reuter et al.  
37 2023). It draws on heritage from the New Horizons Ralph and the OSIRIS-REx OVIRS  
38 instruments (D. Reuter et al. 2008; D. Reuter et al. 2018). The Multi-spectral Visible Imaging  
39 Camera (MVIC) uses time-delay integration (TDI) across six separate 5024x64 pixel CCDs  
40 on a single substrate to construct images with spatial instantaneous field of view (iFOV) of  
41  $\sim 29 \mu\text{rad pixel}^{-1}$ . Filters over each CCD define the wavelength ranges of the six channels:  
42 the panchromatic (pan, Band 1: 350 – 950 nm), violet (Band 2: 375 – 480 nm), green (Band  
43 3: 480 – 520 nm), orange (Band 4: 520 – 625 nm), phyllosilicate (Band 5: 625 – 750 nm), and  
44 near-infrared (Band 6: 750 – 950 nm). The Linear Etalon Imaging Spectral Array (LEISA)  
45 operates from 0.97 to 4.01  $\mu\text{m}$  using three linear variable filters (LVFs), with overlapping  
46 wavelengths, mounted above 1024x1472 pixels of a 2048x2048 HgCdTe infrared detector  
47 (H2RG), with an iFOV of  $\sim 40 \mu\text{rad pixel}^{-1}$  (D. Reuter et al. 2023). The LEISA effective  
48 wavelength varies with row down the array, as well as changing a small amount in the  
49 cross-track direction due to filter smile (Figure 1).

50 The MVIC CCDs span a total of  $\sim 145$  mrad in the cross-track and  $\sim 16$  mrad in the TDI/along-  
51 track direction, with 0.9 mrad spacing between CCDs. The LEISA array spans  $\sim 41$  mrad in  
52 the cross-track and  $\sim 60$  mrad in the spectral/along-track direction (Figure 1). Both  
53 detectors share the same optical path, and an internal scan mirror is used to build up  
54 image cubes of the target (Figure 1) and can scan  $\pm 4^\circ$  ( $\pm 70$  mrad) on the sky in the along-  
55 track direction. Because LEISA uses an IR sensor, the focal plane assembly (FPA) must be  
56 passively cooled to reduce the background dark current (R. Blank et al. 2012); nominal  
57 LEISA FPA operating temperature is between 95 and 105 K, though it was calibrated over a  
58 range of 90 to 117 K. Nominal thermal conditions for the MVIC FPA are  $\sim 150$  to 200K,  
59 though it can operate at ambient temperatures. The instrument design and operation are  
60 described further in D. Reuter et al. (2023).

61  
62 L'Ralph development followed a standard integration and test campaign that included  
63 performance verification tests to show the instrument would achieve its science  
64 requirements. This campaign was composed of multiple smaller campaigns that included  
65 ambient testing for MVIC and thermal vacuum cryogenic testing for both MVIC and LEISA.  
66 This paper describes the ground test campaigns and their limitations in Section 2. In  
67 Section 3 we will discuss the in-flight calibration campaigns and their results. Section 4 will  
68 demonstrate the performance verification conducted during the 152830 Dinkinesh flyby in  
69 November 2023. Section 5 compares the Dinkinesh spectral data with that of other  
70 asteroids and ordinary chondrites. We conclude with upcoming calibrations in anticipation  
71 of the first Trojan asteroid flybys in 2027.

72

## 73 2. Ground Calibration Campaign

74

75 Instrument integration and testing occurred primarily in 2020 and was hampered by the  
76 global pandemic. As such, the testing was performed under very different conditions and  
77 restrictions than usual: testing teams were smaller, facilities were not operating at full  
78 capacity, finding or ordering needed equipment was challenging, and special care had to

79 be taken to avoid illness while balancing constrained schedules (M. Garrison et al. 2022).  
80 To best optimize the testing time, experienced individuals were brought on from previous  
81 instrument calibration campaigns and the testing was planned in detail before execution.  
82 The calibration team utilized three 8-hour shifts, with overlap time, to minimize the  
83 downtime during testing.

84  
85 For thermal vacuum (TVac) testing, we utilized calibration ground support equipment  
86 (calGSE) that was developed for use on previous IR instruments and expanded for visible  
87 wavelength coverage for OSIRIS-REx OVIRS (M. Montanaro et al. 2014; A. Simon et al. 2018;  
88 A. Simon et al. 2021). This large, liquid-nitrogen cooled, system can be pushed into the  
89 thermal vacuum chamber with the instrument for radiometric, geometric, spectral, and  
90 pointing calibrations and is described in detail in D. Reuter et al. (2023). TVac was split into  
91 two campaigns each covering multiple thermal cycles, with vibration tests in between. One  
92 difficulty with L’Ralph testing is that while additional testing can be run for MVIC under  
93 ambient conditions, LEISA performance can only be checked under cryogenic  
94 temperatures.

95  
96 The calGSE uses several sources to cover the full spectral range of L’Ralph. At short  
97 wavelengths, the calGSE uses a variable-attenuation, fiber optic-fed, visible integrating  
98 sphere (VIS) coated with a Spectraflect lining (Labsphere 2017). Prior to use, the VIS was  
99 calibrated for single fiber use at various attenuation levels and covering the spectral range  
100 from 250 to 2500 nm. The radiometric uncertainty was  $\leq 1\%$  between 450 and 2300 nm.  
101 For infrared wavelengths, the calGSE includes a wide aperture IR Flood source that can be  
102 operated at blackbody temperatures from 180 to 360K. The IR source is National Institute  
103 of Standards and Technology (NIST) calibrated to better than 0.2%, confirmed prior to TVac  
104 (A. Pearlman et al. 2020). Additional sources were used to confirm pointing, pixel point  
105 spread functions, and spectral calibration (D. Reuter et al. 2023).

106

107 Despite the available calibration equipment, there were still challenges in replicating the  
108 deep space environment in the chamber, which led to several calibration uncertainties.  
109 First, the large amount of equipment in the chamber meant that, even with blankets and  
110 light shields, non-flight-like glints and reflections can cause apparent scattered light  
111 features. These scattered light features were especially problematic for MVIC with its very  
112 wide field of view. Next, although the calGSE does include steerable small sources for  
113 pointing verification, L’Ralph includes an internal along-track scan mirror, and the  
114 instrument itself is mounted on a moving platform on the spacecraft, so a pointing vector  
115 must account for all three factors. Thermal effects added uncertainty to the TVac pointing  
116 vector measurements: the calGSE has a known pointing shift (in the L’Ralph along track  
117 direction) from ambient metrology as it transitions to cryogenic temperatures (adds up to 2  
118 mrad uncertainty) and we noted a thermal pointing shift internal to L’Ralph (up to 0.45  
119 mrad over a 30K change), as well. These uncertainties have a bigger effect on LEISA than  
120 MVIC because of its larger along track field of view.

121  
122 Lastly, LEISA is dependent on a combination of the VIS and the IR Flood sources for  
123 accurate radiometric calibration in the 2 to 3- $\mu\text{m}$  range, where important spectral features  
124 occur, but also where the signal falls off rapidly from both calibration sources. Additionally,  
125 with a notional detector cutoff (50% response) of 3.95  $\mu\text{m}$ , the LEISA detector has lower IR  
126 sensitivity when it is warm (e.g., R. Blank et al. 2012). At IR Flood source temperatures  $>$   
127 270K, the LEISA detector saturated at longest wavelengths and, when the detector was  
128 cold, it also showed evidence of out-of-band signal at these important wavelengths  
129 (infrared photons leaking into shorter wavelength regions). This out-of-band signal is  
130 dependent on the source IR brightness and spectral shape, as well as the detector  
131 temperature-dependent response, and is common in these broad linear variable filters (A.  
132 Simon et al. 2021). However, out-of-band signal will not pose a problem for Lucy’s cold  
133 Main Belt and Trojan targets, as they will have few photons near 4  $\mu\text{m}$ .

134

135 An additional calGSE issue was discovered during testing that mainly affected LEISA  
136 radiometric calibration. The electrical currents recorded from the VIS source during  
137 radiometric testing showed a discrepancy with pre-TVac calibration; these currents are  
138 used to convert the VIS output to known radiance levels and were above the values  
139 expected. After testing concluded, the chamber configuration was dismantled, and it was  
140 noted that the baffle on the critical variable-attenuation VIS fiber was out of alignment.  
141 During testing this would result in some light exiting the VIS with fewer surface reflections  
142 and causing non-uniformity recorded as larger measured current values. This  
143 misalignment most affects calibration for wavelengths  $> \sim 1100$  nm, where the Spectrafect  
144 lining reflectance curves differ the most from the Spectralon baffle surface (LabSphere  
145 2017). As the vendor calibration curves were based on an integrated power response  
146 across all wavelengths, we expected an in-flight calibration correction would be needed,  
147 particularly to LEISA performance below  $\sim 2.6$   $\mu\text{m}$ .

148

### 149 3. In-flight calibration

150

#### 151 3.1 LEISA

152

153 LEISA was expected to be too warm for routine operations until after the spacecraft passes  
154 beyond 3 AU from the Sun (D. Reuter et al. 2023). However, pointing the instrument radiator  
155 away from the spacecraft solar arrays does allow the focal plane to cool sufficiently to  
156 obtain useable signal, even above the maximum calibrated temperature (117 K). LEISA  
157 operation was first tested during the October 2022 Earth gravity assist. At 150 K, the  
158 detector was well above its nominal operational temperature, but valid spectral data were  
159 collected at some wavelengths (D. Reuter et al. 2023; J. Spencer et al. 2024). Subsequently,  
160 several tests and updated thermal models showed that LEISA could achieve its nominal  
161 operational temperatures at 2 AU, if allowed to pre-cool before science observations.

162

163 The ability to pre-cool LEISA to operational levels was tested in July 2023 with a series of  
164 Arcturus stellar scans. In this campaign, the instrument pointing platform was off pointed,  
165 allowing LEISA to cool to  $\sim 110\text{K}$ , well within its nominal range. Using five separate cross  
166 track positions on LEISA, the L'Ralph mirror scanned from dark space across the star and  
167 back to dark space, effectively moving Arcturus along the LEISA filters in the spectral  
168 direction from red to blue wavelengths. Several things were noted in the scans: 1) the star  
169 did not cross the entire focal plane, 2) the star was scanned to move 1.5 pixels per  
170 integration, but the motion did not align with what was observed, with about a 2%  
171 difference, and 3) the calibration radiances for Arcturus were lower than reference values  
172 from 1.1 to 2.5  $\mu\text{m}$ , as expected. Failure to scan the star across the entire focal plane (it  
173 stopped at about 1.1  $\mu\text{m}$ ,  $\sim 50$  pixels from the detector end), would indicate either an offset  
174 in the pointing vector or incorrect scan length parameters were used. However, the  
175 concurrent mismatch in star position and scan rate would mean that the scan mirror did  
176 not scan as commanded, or the pixel angular size was incorrect.

177

178 The LEISA stellar scans were repeated in March 2024, offsetting the scan rate by 2% and  
179 the pointing by 1.6 mrad. In these new scans, the star tracked exactly one pixel per frame,  
180 confirming that the scan rate was now correct. However, the scan still stopped short of the  
181 end of the LEISA filters, by about 10 pixels, indicating that the scan length was incorrect.  
182 Fixed pointing checks were also run against the LORRI camera with fixed positions rather  
183 than scans to confirm relative pointing knowledge, as well as confirming the LEISA full  
184 width half maximum (FWHM) of the point spread function (PSF) as  $\sim 2$  pixels. The combined  
185 tests proved that the pixel iFOV (and scan length) should be adjusted by 2%, from the TVac-  
186 derived rough angular size of  $40 \pm 3 \mu\text{rad}$  per pixel to  $40.75 \pm 0.05 \mu\text{rad}$  per pixel. The LEISA  
187 pointing vector was also updated by 1.8 mrad relative to the internal scan mirror zero  
188 position.

189

190 For the radiance calibration, the flux from Arcturus was summed over 9x9 pixels for each  
191 wavelength, to account for the full extent of the PSF, as LEISA calibration was defined for

192 extended sources. The summed values were converted to radiance using the TVac-derived  
193 calibration coefficients and then compared with a reference Arcturus spectrum (J. Rayner  
194 et al. 2009) convolved to the LEISA spectral resolution. The ratio of the measured to  
195 reference spectrum was found at each cross-track position that was scanned and  
196 interpolated across the entire LEISA field of view. The ratio of expected to measured  
197 radiance was  $\sim 1.01$  at  $1.1 \mu\text{m}$ , to a maximum of  $\sim 1.8$  near  $2.1$  to  $2.2 \mu\text{m}$  (Figure 2). The in-  
198 flight calibration below  $\sim 1.0 \mu\text{m}$  is not yet verified, as we do not have LEISA stellar data at  
199 these wavelengths.

200

201 A last effect to note for LEISA is optical fringing. During TVAC testing, some observations  
202 showed evidence of a repeating brightness variation, particularly at low light levels. This  
203 effect is seen in the Arcturus stellar scans, as well as in internal calibration source data  
204 (Figure 3). Although antireflective coatings are applied to all surfaces, optical modeling  
205 shows the likely cause is a reflection off the detector to the back of the filters and back to  
206 the detector. The effect can be mitigated by creating fringe flats that can be applied after  
207 the data are calibrated.

208

209 Currently, fringe flats are created using the data itself for each observation. For the internal  
210 sources (Figure 3), the full frame was already illuminated, but for a smaller object, such as  
211 an asteroid, a master frame was constructed that sums together the source illumination as  
212 it moved across the field of view (in effect, a “smeared” flat). Single pixel artifacts were  
213 removed, and 2D median filters were applied to smooth the data in each filter. Next, a  
214 sliding Gaussian was iteratively fit, row-by-row and across each filter, and a local mean  
215 background level was calculated across multiple fringes. The resulting fringe flat was  
216 constructed by dividing the Gaussian model at each fringe by the local mean background.  
217 Attempts are underway to determine if the fringe pattern can be more accurately modeled  
218 from optical properties for better removal, but the current method does significantly  
219 reduce the fringes from up to 20% of the brightness value to a few percent or better. These  
220 team-derived Dinkinesh flats, and their documentation, were delivered with the calibrated

221 data to the Planetary Data System (PDS) for post-calibration processing by end users (D.  
222 Reuter et al. 2024a).

223

### 224 3.2 MVIC

225

226 MVIC operates at higher temperatures than LEISA, which has allowed for more extensive in-  
227 flight calibration while the spacecraft is in the inner Solar System. Calibration data were  
228 taken of the Earth and Moon during Lucy's first Earth gravity assist (EGA-1), each acquired  
229 at five cross track positions. Other routine calibrations were completed on multiple  
230 occasions: May 2022 (0.97 AU), March 2023 (1.74 AU), and March 2024 (2.02 AU). At each  
231 opportunity, radiometric stability was checked with an internal filament source, a solar  
232 calibration, and with observations of three different star clusters, M6 (Butterfly Cluster,  
233 NGC6405), M7 (NGC 6475) and the Wishing Well (NGC 3532). M6/M7 and Wishing Well are  
234 each scanned at five cross track positions to assess variation across the detector; pointing  
235 is repeated between each calibration opportunity leading to comparable image sets from  
236 each of our routine calibrations.

237

238 Early analysis demonstrated MVIC performance conforms with expectations from TVac  
239 testing and meets or exceeds mission requirements. The PSF does not vary across the  
240 detector and, with a FWHM of  $\sim 1.7$  pixels ( $50 \mu\text{rad}$ ), is consistent with requirements (D.  
241 Reuter et al. 2023). Lunar data from EGA-1 show good agreement with RObotic Lunar  
242 Observatory (ROLO) standard modeled data and with Moon Mineralogy Mapper  
243 hyperspectral images over the same lunar surface regions (D. Reuter et al. 2023),  
244 demonstrating that the radiometric calibration coefficients derived from TVac data are  
245 accurately calibrating data in all bands from counts to radiance. We were also able to show  
246 that the signal from scattering is  $\ll 1\%$  for the angular range of observations pertinent to  
247 the Trojan encounters using the saturated EGA-1 Earth observations (D. Reuter et al. 2023).

248

249 Since the initial in-flight calibration results reported in D. Reuter et al. (2023), we have  
250 continued performance trending. The MVIC FPA temperature was 212 K in May 2022, 156 K  
251 in March 2023, and 160 K in March 2024; we use TVac data taken at 152 K for comparison.  
252 Internal filament calibration data taken at similar temperature (TVac, March 2023, March  
253 2024) shows better agreement than for the early higher temperature data (May 2022),  
254 which are offset from the rest as the instrument electronics and focal planes has not yet  
255 reached nominal flight temperatures. Fractional bias is  $\pm 2\%$  for each of 5024 pixels across  
256 the detector in panchromatic, orange, phyllo, and NIR channels. The shortest visible  
257 channels, violet and green, have much higher fractional bias because they have very low  
258 signal ( $<100$  counts over background), but otherwise show the expected agreement.

259

260 For the stellar calibrations, we used astrometry to find the celestial coordinates of each  
261 image to co-register images from the three calibration opportunities (May 2022, March  
262 2023, March 2024). We then identified 400 stars that can be found in all three observations.  
263 Data are converted to calibrated radiance using calibration coefficients that were derived  
264 from TVac and tested on the Moon EGA-1 data. We find the center pixel for each star and  
265 sum over a  $5 \times 5$  pixel area to account for the full extent of the PSF. When data from all three  
266 calibration opportunities are compared, there is  $\pm 5\%$  agreement in radiance for the same  
267 star at similar positions across datasets (Figure 4). As with the internal calibration data,  
268 agreement is best between the March 2023 and 2024 datasets taken at similar MVIC and  
269 electronics temperatures. Although MVIC was intended for extended rather than point  
270 sources, these stellar calibrations place an upper limit on radiometric uncertainty. When  
271 stars are tracked at multiple positions across the detector, radiance values are also  $\pm 5\%$ ,  
272 indicating consistent calibration across the detectors.

273

#### 274 4. Dinkinesh flyby

275

276 On 1 November 2023, Lucy encountered Main Belt asteroid (152830) Dinkinesh, which was  
277 added to the mission to provide an earlier opportunity to test flyby target tracking and

278 science operations (H. Levison et al. 2024). Although the encounter occurred at 2.26 AU, it  
279 was again hoped that LEISA could be pre-cooled to allow for unsaturated data. Due to flyby  
280 constraints on pointing and timing, optimal LEISA pre-cooling was limited, but the FPA  
281 cooled to 119 K, just above the calibrated operating range. The two focal planes cannot be  
282 commanded at the same time, and require different scan parameters, so this flyby also  
283 tested the ability to interleave LEISA and MVIC observations (D. Reuter et al. 2024a; 2024b).  
284 For each focal plane, three scans were conducted around closest approach (C/A) with  
285 varying range and phase angles (Table 1).

286

287 As the Lucy system tracking performance was not yet characterized, the scans were  
288 padded for significant uncertainty in pointing positions. Both LEISA and MVIC conducted  
289 three target scans and used short exposure times as Dinkinesh is more reflective and  
290 closer to the Sun than the Trojan targets for which the instrument is optimized. For the  
291 LEISA scans, the exact pointing and scan rate were still in question at the time of Dinkinesh  
292 planning. To adjust for the limited knowledge, and to keep the scan length reasonable, we  
293 scanned at a rate equivalent to  $\sim 1.55$  (assuming 40- $\mu$ rad per pixel) pixels per frame with  
294 integration times of 70 to 80 ms per frame, Table 1. This scan rate accounted for the 2%-  
295 pixel size/scan rate issue identified in the July 2023 stellar calibrations, while keeping  
296 Dinkinesh within the extended point spread function of a pixel. Dinkinesh's satellite, Selam,  
297 was unknown at the time of planning (H. Levison et al. 2024), but other flyby aspects, such  
298 as changing phase angle and range during observations, were included in the planning  
299 process.

300

301 While MVIC can only be scanned across the object in the TDI (positive scan) direction,  
302 LEISA can be scanned in either direction. The first LEISA observation was conducted  
303 scanning from short to long wavelengths, while the other two scanned from long to short  
304 wavelengths. The scan direction primarily matters during the period of rapidly changing  
305 phase angles near closest approach, when the shorter wavelengths may saturate but the  
306 longer wavelengths can benefit from increased illumination at lower phase angles (see

307 Table 1 and Figure 5). Figure 5 also shows an individual frame from the LEISA C/A scan, as  
308 well as the panchromatic (Band 1) C/A image from MVIC.

309

310 During the flyby, MVIC collected data with its shortest possible integration time (7.2 ms per  
311 TDI line). Because of the pointing margin, the MVIC datasets cover ~1500 pixels in the  
312 along-track direction even though Dinkinesh only spans ~50 MVIC pixels at C/A. Dinkinesh  
313 and Selam are well-exposed in all channels and images except for a small number of  
314 saturated pixels in the C/A image panchromatic channel. Calibrated radiance was  
315 converted to  $I/F$  by dividing by the CALSPEC solar flux (R. Bohlin et al. 2014) integrated over  
316 the MVIC band passes and scaled to Dinkinesh's solar distance (Figure 6a). There is a 96-  
317 pixel offset between each MVIC channel in a scan (64 pixels per detector plus 32-pixel  
318 space between detectors). Each channel is shifted by the appropriate offset to align the six  
319 bands so that spatially related pixels are aligned; limb data do not match exactly due to the  
320 changing viewing conditions and target size within the dataset.

321

322 Using disk-averaged spectra from the three MVIC scans, we calculate a phase curve and  
323 find ~0.029 mag per degree change related to phase angle. Because of the fast scan rate  
324 and the timing of the images, MVIC does not see significant phase change within a dataset;  
325 there is a 2° phase change between the leading channel (pan) and trailing channel (NIR) in  
326 the C/A image and a <1° change in the Approach and Departure images (Table 1). The  
327 phase curve for each band is assessed individually and there is ~0.009 mag per degree  
328 difference between the reddest and bluest portion of the MVIC spectrum. As Dinkinesh and  
329 Selam spanned only a small number of illuminated pixels, we focused on analyzing the C/A  
330 and Departure scans to generate spectra for comparisons. We used the average 0.029  
331 magnitude per degree change to correct all spectra to a 30° viewing geometry (Figure 6b).

332

333 LEISA spectrum construction is a bit more complex. As each LEISA along-track pixel is a  
334 different wavelength, spectrum generation requires tracking a surface area across each  
335 frame of the scan. To simplify this process, image cubes can be constructed for Dinkinesh

336 and Selam from each scan by taking each column of each LEISA frame, corresponding to a  
337 distinct wavelength, and re-projecting it to the corresponding wavelength layer of an output  
338 cube. For our analysis, the re-projection was to the apparent sky plane at the time of each  
339 frame, centered on the target, and accounting for target size changes during the scan. We  
340 did not account for the changing sub-spacecraft longitude and latitude on the target, nor  
341 were the data projected onto a shape model, limiting our ability to look for spectral  
342 variations on Dinkinesh's surface. Additionally, we did not model nor remove any thermal  
343 tail from the data as the Dinkinesh radiances show no evidence of thermal emission. This  
344 is not surprising, since at 2.3 AU the LEISA signal is dominated by reflected solar radiation  
345 for objects colder than  $\sim 250$  K, even at low  $I/F$ , and all of our spectra are disk-averaged,  
346 including regions much cooler than the sub-solar point.

347

348 The LEISA data were converted to  $I/F$  using the CALSPEC solar flux (R. Bohlin et al. 2014)  
349 convolved over the LEISA band passes and scaled to 2.26 AU. The LEISA spectra were then  
350 generated by averaging over the illuminated pixels at each wavelength in each cube and  
351 then removing outliers two standard deviations from the mean and along filter boundaries  
352 (Figure 6c). We applied conservative  $\pm 7\%$  error bars on the  $I/F$ , from the root mean square  
353 of 5% uncertainty from the LEISA radiance and an assumed 5% uncertainty from the solar  
354 flux. Selam and Dinkinesh are spectrally similar, as expected if they share a similar origin  
355 (H. Levison et al. 2024). However, the brightness of the two bodies cannot be directly  
356 compared as phase angle changed throughout the observations, particularly at closest  
357 approach; that is, Selam crossed the same spectral element at higher phase angles than  
358 Dinkinesh, and in some scans, did not cross all wavelengths.

359

360 The LEISA Departure scan occurred when Dinkinesh was at a higher phase angle than the  
361 C/A scan (Table 1), but it did not change as significantly throughout the observation, only  
362  $\sim 3^\circ$ . To determine the Dinkinesh near-IR phase curve, we found the value that resulted in  
363 the best match between the two Dinkinesh scans when corrected to the phase angle at  
364 end of the C/A scan ( $\sim 30^\circ$ ). This resulted in a best fit photometric phase correction of

365 0.0316 magnitude per degree with a  $\chi^2 = 0.41$ , ignoring any possible phase reddening or  
366 other surface parameters. A best fit phase reddening was found by comparing the phase  
367 angle of the two scans and spectral slope between 1.1 and 3.7  $\mu\text{m}$ . With so many  
368 parameters simultaneously changing during the scans and the reduced long wavelength  
369 sensitivity, the uncertainties are large, but we find a best-fit phase reddening  $I/F$  slope  
370 change of  $\sim 0.0002$  per  $\mu\text{m}$  per degree (Figure 6d). No other surface parameters (i.e.,  
371 roughness) could be reliably fit due to the changing object geometry and illumination in  
372 these limited scans.

373

## 374 5. Discussion

375

376 Visible and near-infrared spectra of the Dinkinesh system from the Keck and Gemini  
377 observatories show a red (i.e., positively increasing) spectrum from 0.45 to 0.8  $\mu\text{m}$  and an  
378 absorption band centered near 1  $\mu\text{m}$  (B. Bolin et al. 2023). These observations predicted  
379 that Dinkinesh is an S- or Sq-type asteroid. The phase-corrected, disk-averaged, MVIC  
380 spectra show increasing reflectance with wavelength that flattens in the NIR channel  
381 (Figure 6b). The NIR filter subtends part of the 1- $\mu\text{m}$  absorption feature typical of S-type  
382 asteroids as indicated by the spectral flattening, but the effective wavelength of NIR is  
383  $\sim 0.75$   $\mu\text{m}$ , which is too short to show a more significant downturn from a 1- $\mu\text{m}$  absorption  
384 feature.

385

386 We also performed an initial analysis of spatial color variation on Dinkinesh using all  
387 channels in the MVIC closest approach image which had a spatial resolution of  $\sim 14$  m per  
388 pixel. Given Dinkinesh's small size in our scans, MVIC would be more likely to show spatial  
389 variation than LEISA, which had a best spatial resolution of  $\sim 25$  to 35 m per pixel near  
390 closest approach. To first order, there is little variation in any channel or channel ratio  
391 across the surface. However, neither the LEISA nor MVIC image cubes have been registered  
392 to a shape model, and therefore an individual pixel's ground location and phase angle may

393 not be accurate across bands or spectral channels. Instead, we focus on full-disk spectral  
394 comparisons with other S-complex asteroids.

395

396 To better compare the spectral type of Dinkinesh, we combined the MVIC and LEISA data to  
397 obtain a complete spectrum from 0.4 to 3.7  $\mu\text{m}$ . For each focal plane, the Departure scan  
398 data were corrected to 30° phase with their respective phase curves (Figure 7a), as these  
399 data had fewer uncertainties from varying phase angle during the LEISA scan. The  
400 Dinkinesh spectrum has a clear 2- $\mu\text{m}$  absorption band, the suggestion of the 1- $\mu\text{m}$  band  
401 (Figure 7b), and a possible absorption near 3  $\mu\text{m}$  (Figure 7c). From 0.4 to 2.5  $\mu\text{m}$ ,  
402 Dinkinesh's spectrum shows a reasonable spectral shape for an S-complex asteroid, for  
403 example, (433) Eros, (25143) Itokawa, (65803) Didymos, and (1036) Ganymed (R. Binzel et  
404 al. 2001, 2004; L. McGraw et al. 2022; D. Polishook et al. 2023). Note that the Dinkinesh 1-  
405  $\mu\text{m}$  band depth is highly uncertain, as the LEISA calibration near these wavelengths needs  
406 to be repeated, as discussed in Section 3.1.

407

408 The S-complex asteroids' 1 and 2- $\mu\text{m}$  absorption bands are due to pyroxene and are  
409 common in stony meteorites; S-complex subtypes are distinguished by the varying depth  
410 and central wavelength of the 1 and 2- $\mu\text{m}$  absorption bands, as well as spectral slopes.  
411 (Figure 7b). Dinkinesh's 2- $\mu\text{m}$  band is centered near 1.95  $\mu\text{m}$  with a depth of ~6% and  
412 FWHM of ~0.45  $\mu\text{m}$ . Unfortunately, the parameters of the 2- $\mu\text{m}$  band alone cannot  
413 generally be used to assess the pyroxene chemistry or determine analog asteroid or  
414 meteorite sub-types. We therefore turned to direct spectral comparisons (i.e., curve  
415 matching), between the LEISA spectra of Dinkinesh and published spectra of ordinary  
416 chondrite meteorites (T. Dunn et al. 2010) and S-complex near-Earth and Main Belt  
417 asteroids (L. McGraw et al. 2022; M. McAdam et al. 2024).

418

419 Curves were compared after dividing out a spectral slope, computed as the best-fit line of  
420 each spectrum from 1.2 to 2.45  $\mu\text{m}$ . Although S-complex asteroids show a continuum of 1  
421 and 2- $\mu\text{m}$  band centers, several provide good matches to L, LL, and H-chondrite

422 meteorites, for example (6) Hebe (H), (20) Massalia (L), and (15) Eunomia (LL) (R. Binzel et  
423 al 2019; M. Marsset et al. 2024). The MIT-Hawaii Near-Earth Object Spectroscopic Survey  
424 (MITHNEOS) spectra of these asteroids compare well with Dinkinesh's 2- $\mu$ m band,  
425 particularly for Massalia and Eunomia (R. Binzel et al. 2019) (Figure 8a). In the meteorite  
426 collection, the average LL- and L-chondrite meteorites provide better matches to  
427 Dinkinesh than H-chondrites (Figure 8b). The poorer fits of the H-chondrites are mostly due  
428 to the peak near 1.5  $\mu$ m (i.e., between the two absorption bands) being at a shorter  
429 wavelength than in the other ordinary chondrites. The H-chondrite 2- $\mu$ m band center also  
430 tends to be at a shorter wavelength than in Dinkinesh.

431  
432 We also computed the location of the  $\sim$ 1.5- $\mu$ m peak and 2- $\mu$ m band center in the spectra  
433 of the meteorites and Dinkinesh (Table 2 and Figure 9). The ordinary chondrite meteorites  
434 cluster by sub-type in the parameter space of 1.5- $\mu$ m peak center vs 2- $\mu$ m band center  
435 similarly to how they cluster in 1- $\mu$ m band center vs 2- $\mu$ m band center (T. Dunn et al. 2010).  
436 The similar clustering makes sense, in that the location of the 1.5- $\mu$ m peak is set by the  
437 locations of the two absorption bands that bound it, so is heavily influenced by the 1- $\mu$ m  
438 band. As such, even though we are not able to directly measure the 1- $\mu$ m band center in  
439 the Dinkinesh spectra, we can use the 1.5- $\mu$ m peak as a proxy in terms of meteorite  
440 comparisons. The measured band parameters of Dinkinesh suggest it is mineralogically  
441 similar to LL/L-chondrites, but it is not consistent with H-chondrite spectra. LL/L  
442 chondrites have the highest olivine content among ordinary chondrite meteorite classes  
443 (e.g., H. McSween et al. 1991) consistent with Dinkinesh's location in the inner Main  
444 Asteroid belt (e.g., P. Vernazza et al. 2014; E. Cloutis et al. 2015)

445  
446 Lastly, Dinkinesh's 3.1- $\mu$ m band has an apparent depth of  $\sim$ 6% (Figure 7c). However, we  
447 note that LEISA was operating outside of its designed calibration range and temperature  
448 effects are non-linear. We therefore urge caution in interpreting these longer wavelengths.  
449 We consider it likely that an absorption is present, but the accuracy of the depth and shape  
450 cannot be verified without other observations, including validation of any small thermal

451 emission. Absorptions in this wavelength range are generally associated with hydration, so  
452 it may seem unexpected to see such an absorption on an S-complex asteroid. However, 3-  
453  $\mu\text{m}$  bands have been detected on a number of other nominally anhydrous bodies including  
454 the Moon (e.g., C. Pieters, et al., 2009; J. Sunshine et al. 2009), (4) Vesta (M. De Sanctis et  
455 al. 2012), near-Earth asteroids (NEAs; A. Rivkin et al. 2018; L. McGraw et al. 2022, 2024), L-  
456 type Main Belt asteroids (MBAs; J. Gomez Barrientos et al. 2024), and S-complex MBAs (M.  
457 McAdam et al. 2024). Hydration on these bodies has been attributed to interactions with  
458 the solar wind and/or physical contamination from impacts with more hydrated material.  
459 Furthermore, ordinary chondrite meteorite analogs (J. Grossman et al. 2000, R. Jones et al.  
460 2014) and even samples returned from a similar L/LL type ordinary chondrite-like asteroid,  
461 Itokawa (Z. Jin and M. Bose 2019), show evidence of hydration among nominal anhydrous  
462 mineralogy.

463  
464 Dinkinesh's 3- $\mu\text{m}$  band appears deeper than the bands detected on several NEAs (some of  
465 which are similar size as Dinkinesh) and does not match any of the spectral shapes  
466 identified by L. McGraw et al. (2022). The Dinkinesh band also has no good matches among  
467 MBAs, being deeper than most of the 3- $\mu\text{m}$  bands detected on larger S-complex MBAs (M.  
468 McAdam et al. 2024) but less deep than C-complex MBAs such as (24) Themis (A. Rivkin  
469 and J. Emery 2010) (Figure 7c). We again point out, however, that the depth and shape of  
470 the observed band may not be accurate due to the operating temperature issues  
471 described. While the details of the 3- $\mu\text{m}$  band are uncertain, it is important to note that the  
472 3- $\mu\text{m}$  bands on Dinkinesh and Selam are indistinguishable from each other. This suggests  
473 that either the hydration is intimately integrated into the bulk composition of Dinkinesh, i.e.  
474 inherent or accumulated, likely via space weathering, since Selam was formed, which  
475 based on dynamic simulation is estimated to be  $\sim 1\text{-}10$  Ma (H. Levison et al. 2024; C. Merrill  
476 et al. 2024). We are unable to distinguish between possible sources of the band on  
477 Dinkinesh.

478

479

480 6. Conclusions

481

482 L’Ralph in-flight performance has been stable to date. MVIC has shown good in-flight  
483 radiometric stability and its performance meets requirements in every channel with no  
484 major changes from ground calibration. LEISA’s flight thermal performance has been better  
485 than expected, allowing for earlier calibration campaigns than originally planned. The  
486 LEISA radiometric calibration and pixel size have been updated since ground calibration  
487 using scans of Arcturus. Applying the new calibration to Dinkinesh flyby data show the  
488 asteroid spectra are in reasonable agreement with those of other S and Sq-type asteroids,  
489 with the presence of 1 and 2- $\mu\text{m}$  pyroxene bands. Dinkinesh is spectrally, and likely  
490 mineralogically, similar to LL/L-type ordinary chondrite meteorites. We also show evidence  
491 for a 3- $\mu\text{m}$  band, though calibration uncertainties limit its interpretation. Follow-up spectral  
492 observations (e.g., by James Webb Space Telescope) would be valuable for confirming and  
493 identifying the origin and significance of this band.

494

495 Calibration performance and trending work are ongoing and will include regular, brief,  
496 stellar and solar calibrations. A larger, comprehensive, calibration campaign is planned for  
497 when the spacecraft reaches 4 AU and instruments reach their full operating conditions,  
498 similar to those expected at the Trojans. These planned activities include a full radiometric  
499 calibration of L’Ralph using a range of standard stars. These future calibrations, as well as  
500 observations of the Main Belt asteroid (52246) Donaldjohanson in April 2025, may also  
501 facilitate future recalibration of the Dinkinesh data.

502

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504

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506 instrument even after launch, particularly the thermal team who correctly predicted the  
507 LEISA operating temperatures. The Lucy mission is funded through the NASA Discovery  
508 Program on contract No. NNM16AA08C. All calibrated L’Ralph data can be retrieved from

509 the PDS small bodies node at doi.org/10.26007/99kk-6s03 and doi.org/10.26007/f61e-0f52  
510 (D. Reuter et al. 2024a; 2024b).

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637

638 Table 1. L’Ralph Dinkinesh observations

Time	Scan	Phase Angle Start (°)	Phase Angle End (°)	Range Start (km)	Range End (km)	Frame Rate (ms)	MVIC TDI per band
-368 s	MVIC Approach	104		1700		7.2	4, 8, 8, 8, 8, 8
-289 s	LEISA Approach	101	90	1372	897	80	
-55 s	MVIC C/A	60	58	485		7.2	4, 4, 4, 4, 4, 8
+92 s	LEISA C/A	16	31	614	860	70	
+250 s	MVIC Departure	40		1215		7.2	4, 4, 4, 4, 4, 8
+337 s	LEISA Departure	46	49	1675	2033	75	

639

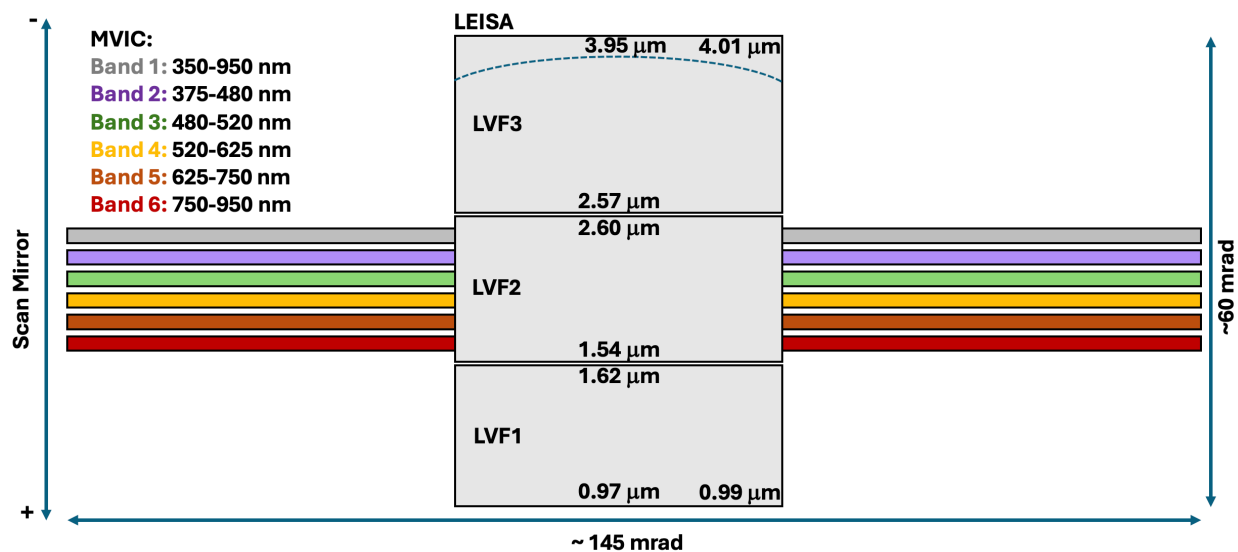
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641 Table 2. Dinkinesh wavelength fits for the 1.5- $\mu\text{m}$  peak and the 2- $\mu\text{m}$  absorption.

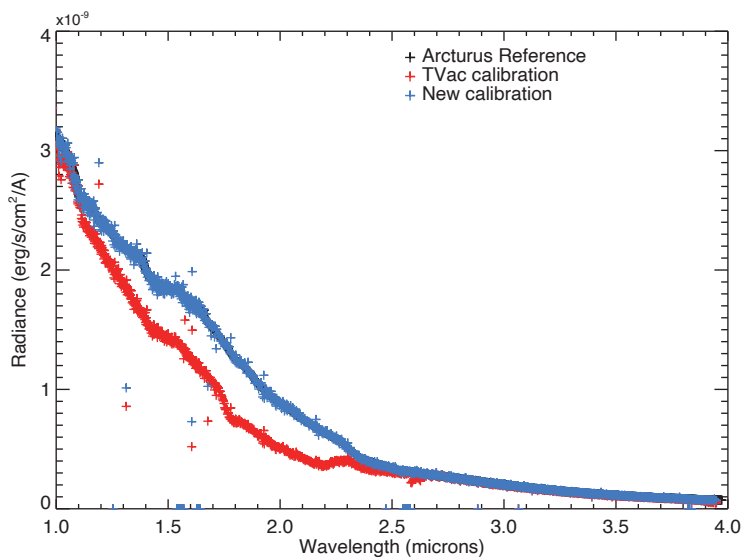
	1.5- $\mu\text{m}$ peak center ( $\mu\text{m}$ )	2- $\mu\text{m}$ band center ( $\mu\text{m}$ )
Close Approach	1.51 $\pm$ 0.02	1.95 $\pm$ 0.02
Departure	1.52 $\pm$ 0.02	1.94 $\pm$ 0.06

642

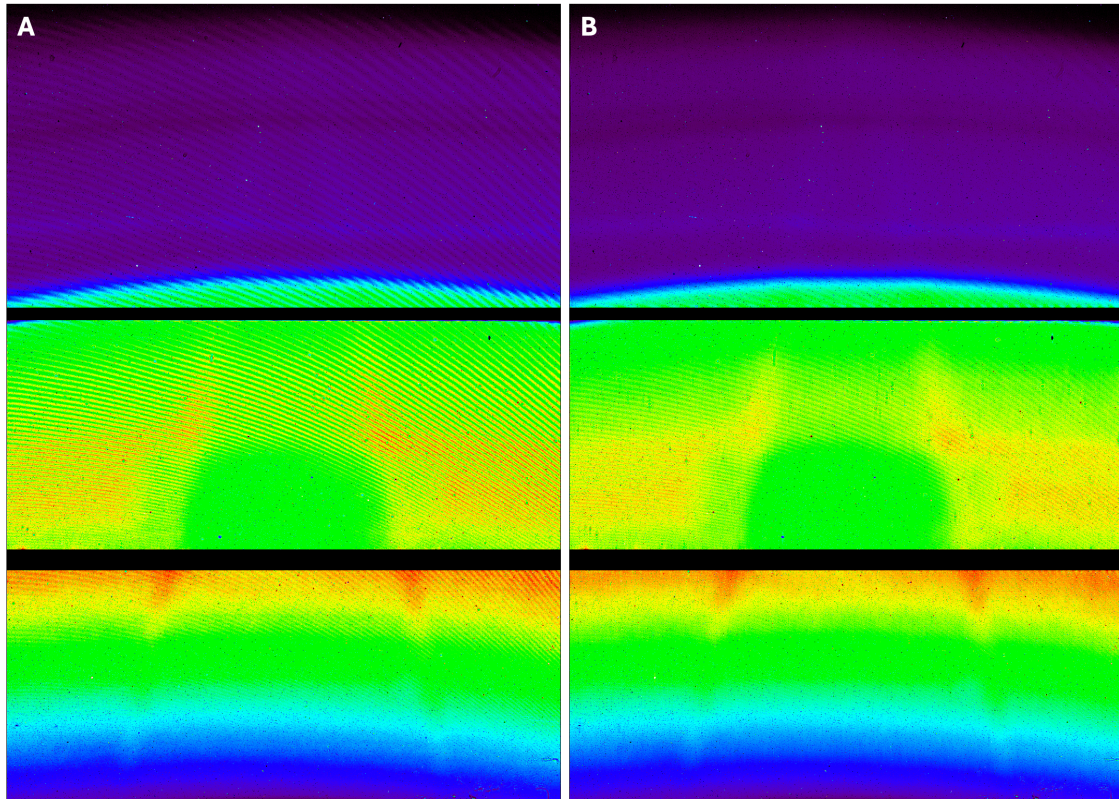
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644  
 645 Figure 1. The L'Ralp focal planes to scale. LEISA and MVIC share the same optical path  
 646 but have different fields of view and spatial resolutions. The instrument scan mirror is used  
 647 to scan a target across the MVIC or LEISA filters to build up spectral image cubes.  
 648



649  
 650 Figure 2. LEISA Arcturus calibration. The LEISA stellar scans were used to compare  
 651 calibrated radiance with an Arcturus reference spectrum; the calibration coefficients from  
 652 TVac (red points) were adjusted (blue points) to match the known radiance.  
 653

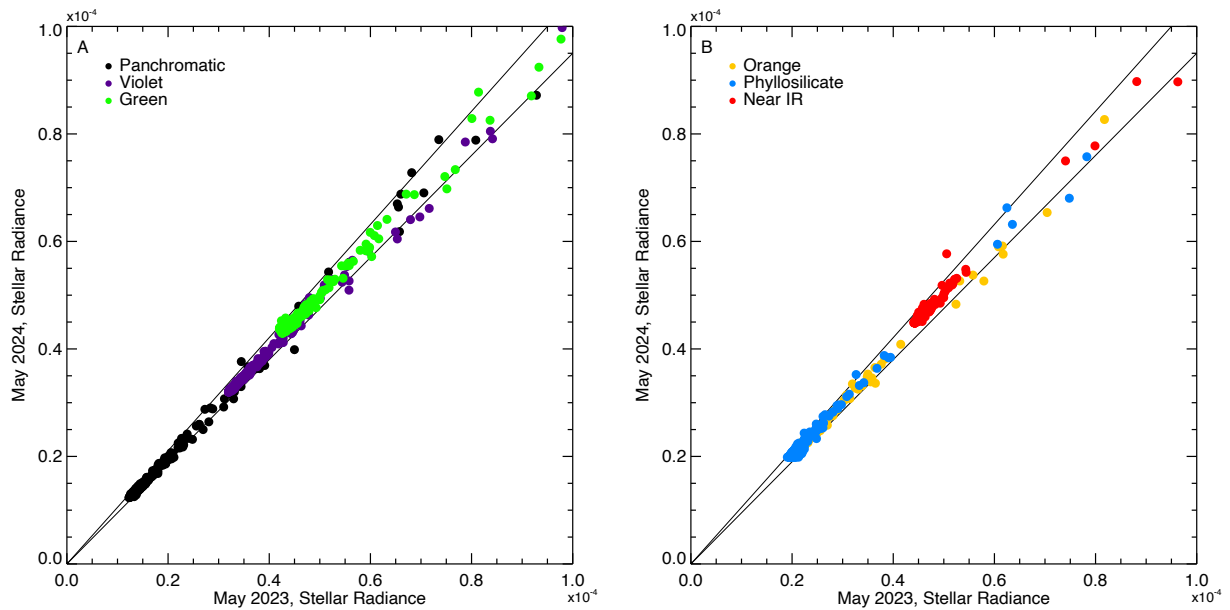


654

655 Figure 3. LEISA optical fringes in calibration data. For both frames, the long wavelength end  
656 is at the top, short wavelength end at the bottom. The round feature near the center is  
657 caused by the calibrator scatter plate and the inverted V-shaped feature across the bottom  
658 filters is from reflections off the cold snout surrounding LEISA. A) Internal filament  
659 calibrator data show significant optical fringing apparent as higher frequency diagonal  
660 lines. B) Fringing is greatly reduced after applying a fringe flat correction.

661

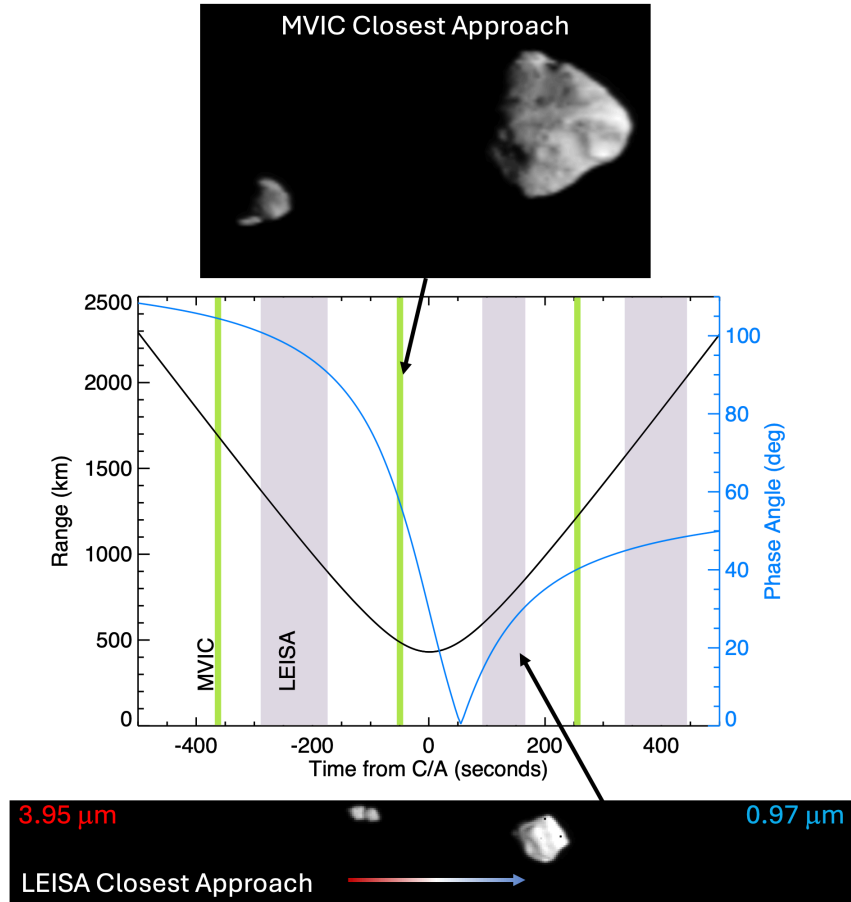
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664 Figure 4. MVIC stellar calibrations from March 2023 and March 2024. A) Panchromatic,  
665 Violet, and Green channels and B) Orange, Phyllosilicate, and Near-IR channels. Stars were  
666 chosen separately for each channel based on signal level, and all bands show stable  
667 radiometric performance  $\pm 5\%$  over that time period.

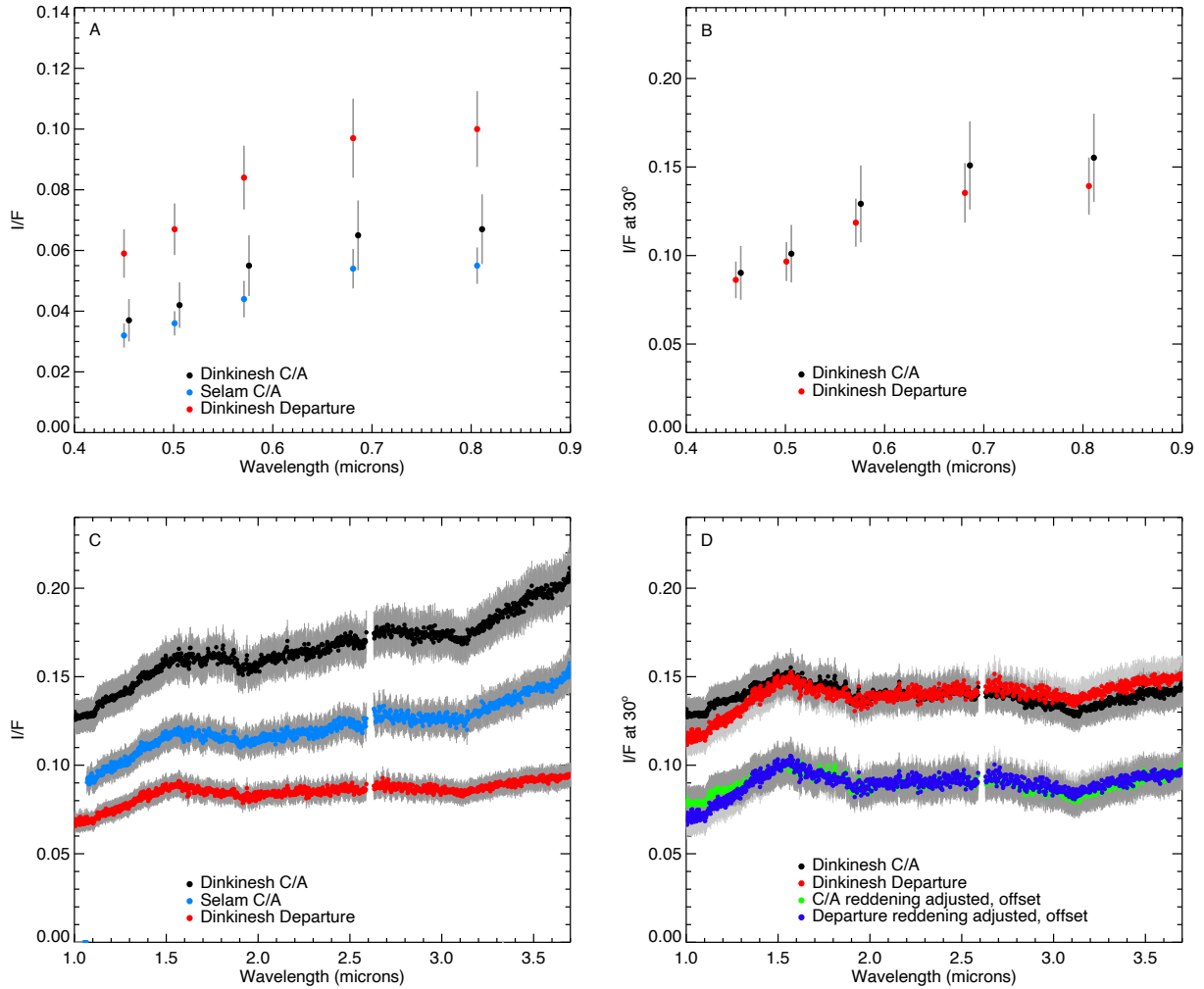
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669

670 Figure 5. Dinkinesh closest approach views from MVIC and LEISA. The top image is the  
 671 MVIC pan band image showing Dinkinesh (right) and Selam (left), while the bottom image  
 672 shows a single frame acquired near the center of the LEISA scan. The central plot shows  
 673 the changing range and phase angles during each of the LEISA and MVIC observations.

674



675

676 Figure 6. Spectra of Dinkinesh and Selam. A) MVIC  $I/F$  for Dinkinesh closest approach (red)

677 and at lower phase on departure (black). Selam is shown at closest approach and has a

678 similar spectral shape to Dinkinesh (blue). B) Simultaneously fit MVIC  $I/F$  scans phase

679 corrected to  $30^\circ$ . C) LEISA Dinkinesh spectrum from closest approach (black) and at higher

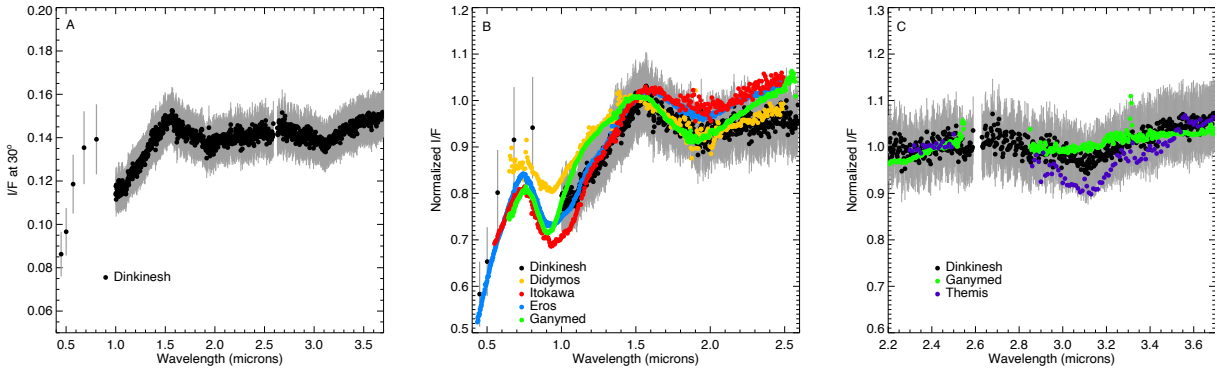
680 phase on departure (red). Selam at closest approach again shows a nearly identical

681 spectral shape to Dinkinesh. D) Simultaneously fit LEISA scans phase corrected to  $30^\circ$  and

682 with a phase reddening correction.

683

684

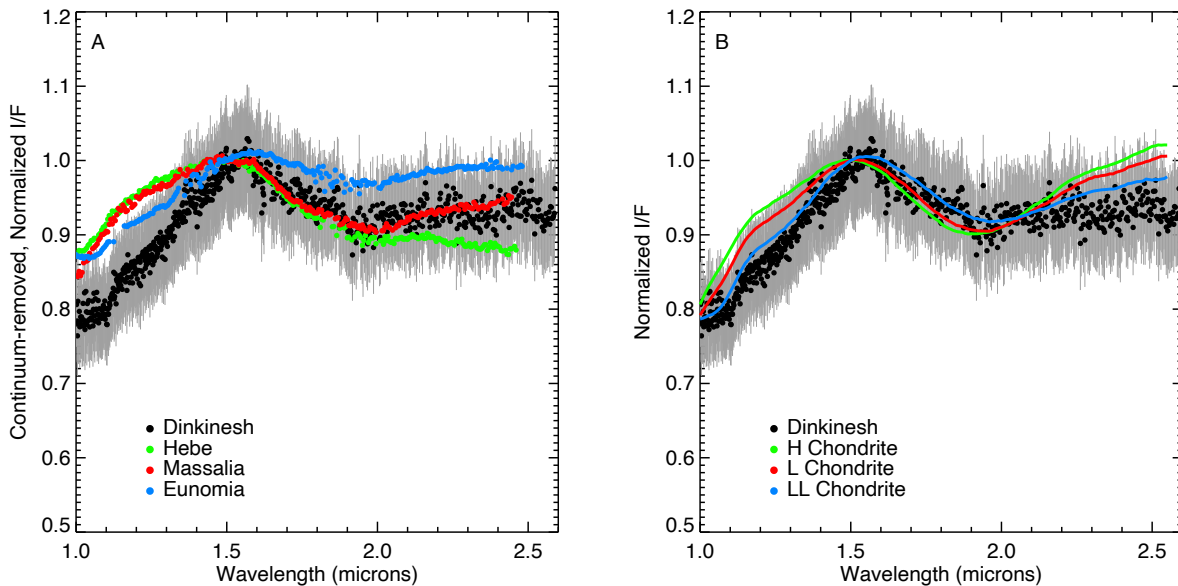


685

686 Figure 7. Dinkinesh spectral comparisons. A) The composite MVIC and LEISA departure  
 687 scan Dinkinesh data corrected to 30° phase. B) The short wavelength spectrum,  
 688 normalized at 1.5 μm, with comparisons to other S and Sq-type asteroid spectra (R. Binzel  
 689 et al. 2001, 2004, L. McGraw et al. 2022, D. Polishook et al. 2023). C) Dinkinesh’s 3-μm  
 690 absorption band, normalized at 2.9 μm, compared with that of Ganymed and Themis (A.  
 691 Rivkin & J. Emery 2010, L. McGraw et al. 2022).

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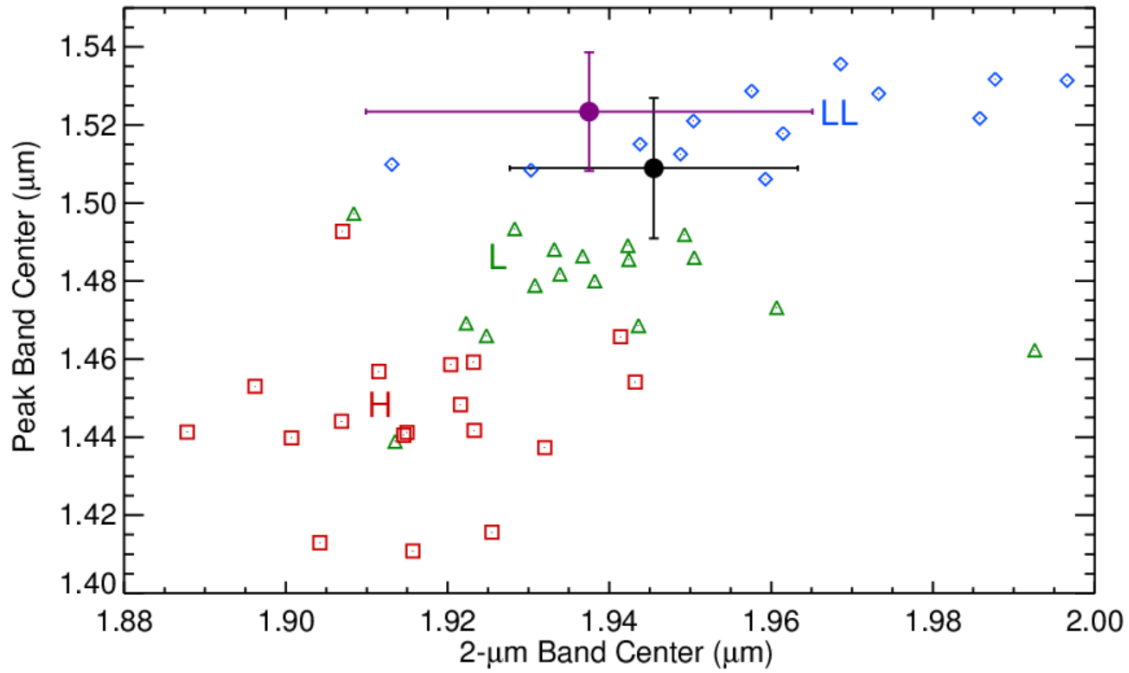
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695 Figure 8. Comparison of Dinkinesh with representative asteroids and their corresponding  
 696 chondrites. A) Dinkinesh’s spectrum (black points), continuum-removed and normalized at  
 697 1.5 μm, compared with those of Hebe, Massalia, and Eunomia (R. Binzel et al. 2019). B) The

698 same comparison, but with average ordinary chondrite sub-type spectra (T. Dunn et al.  
699 2010).  
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701  
702 Figure 9. Locations of the centers of the 1.5-μm peak and 2-μm absorption in LL (blue  
703 diamonds), L (green triangles), and H (red squares) ordinary chondrites. These ordinary  
704 chondrite sub-types are distinguishable in this parameter space. The measured  
705 parameters for the Dinkinesh spectra (filled circles – black is the close approach spectrum  
706 and magenta is the departure spectrum) are shown to agree with LL and L chondrites.  
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