

Enabling Mission Flexibility to Battery Driven Deep Space Endeavors With Generalized Battery-Health-Monitoring Using Physics-Based and Data-Driven Reduced-Order Models

Mohit R. Mehta^a, Michael Khasin^b, John W. Lawson^b

^a KBR Inc., Intelligent Systems Division, NASA Ames Research Center, Moffett Field, 94041, California, U.S.

^b NASA, Intelligent Systems Division, NASA Ames Research Center, Moffett Field, 94041, California, U.S.A

- I. Introduction
- II. Need for physics informed data led reduced-order-model
- III. Thermal reduced order model (TROM) for a single cell
- IV. Extending TROM to a pack (in-series)
- V. Next steps (looking for data to validate models)

Concept: Battery Health Dashboard

Moving Beyond Conventional “Capacity Driven” Health Monitoring

Proposed Battery State-of-Health Dashboard

Electrode
Health

Electrolyte
Health

Thermal
Health

Ohmic
Health

Electrochemical
Interface Health

Various Stresses in Deep Space Missions:

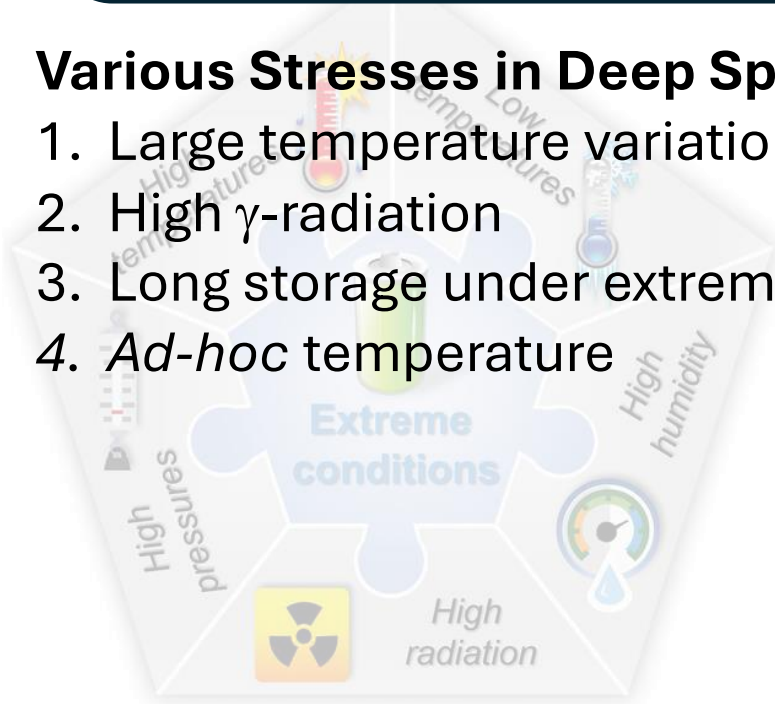
1. Large temperature variations
2. High γ -radiation
3. Long storage under extreme conditions
4. *Ad-hoc* temperature

Commonly monitored signatures:

1. Voltage
2. Time
3. Average current
4. *Ad-hoc* temperature

Challenges:

1. Dependence on data or physics
2. Lack of model adaptability
3. Models lack adaptability based on information content



Simple Lumped Thermal Model

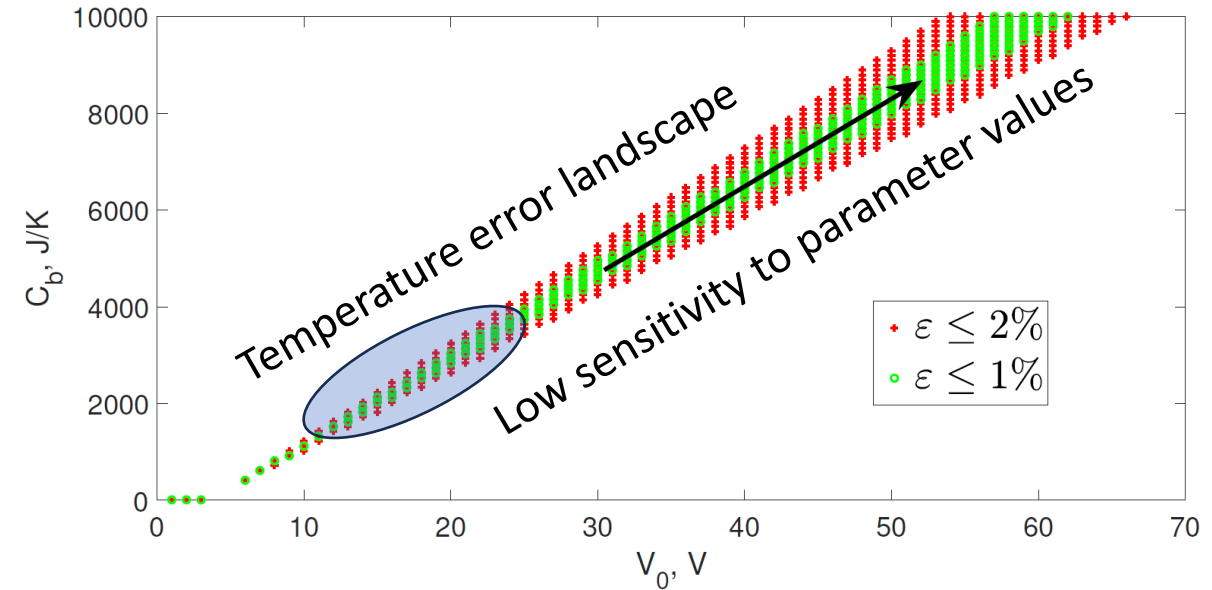
**Voltage and temperature contains a lot of information,
but can it be extracted?**

$$\frac{\partial T}{\partial t} = \frac{1}{\rho C_p} \left[\underbrace{q_{\text{rev}}}_{\text{Thermodynamic}} + \underbrace{q_{\text{irr}}}_{\text{Electrochemical}} + \underbrace{q_{\text{ohm}}}_{\text{Ohmic and short-circuit}} + \underbrace{q_{\text{chem}}}_{\text{Chemical decomposition}} - \underbrace{q_{\text{conv}} - q_{\text{rad}} - q_{\text{cond}}}_{\text{Heat loss}} \right]$$

- Field data lacks information to provide reliable contributions from individual sources
- Difficult to extract cooling and heating terms from a single discharge profile
- Amount of information is dependent on cycling profile and reference performance tests

Example for Model Reduction on a Single Cell

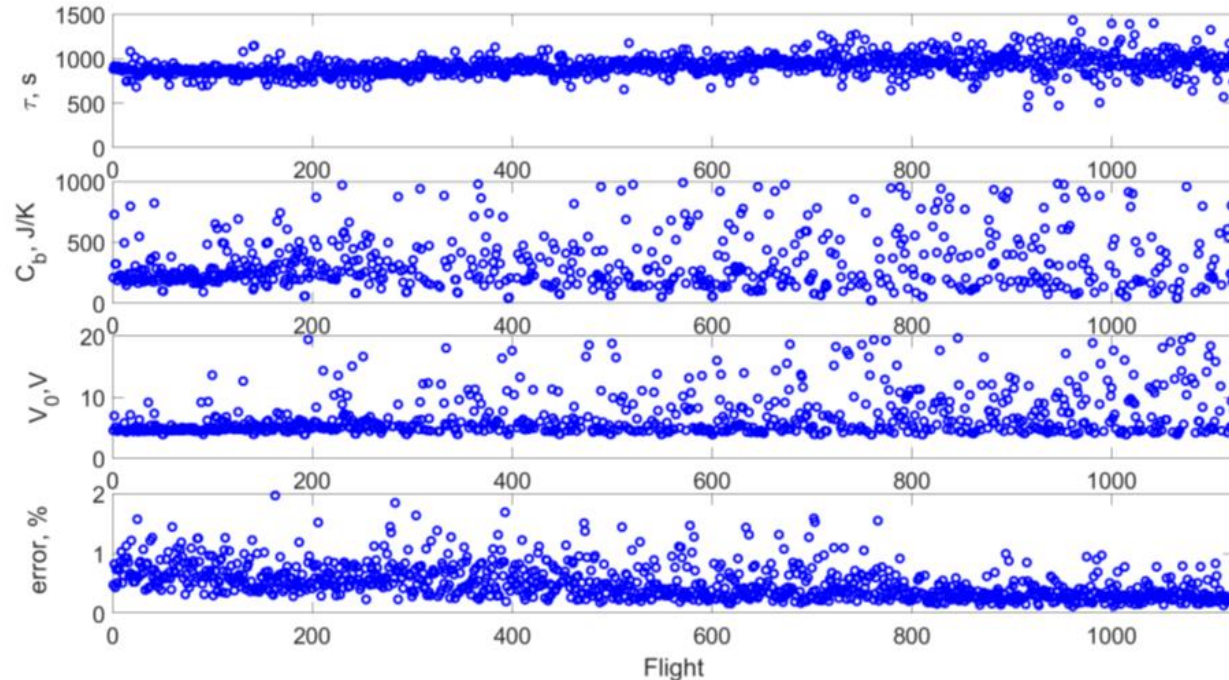
1. Field data does not constrain the parameters of the higher fidelity model
2. Consequently, the RMSE landscape is almost degenerate in certain directions in parameter space
3. Moving along the degenerate direction allows to reduce the model with a small penalty in RMSE
4. Adding data will impose additional constraints and partially lift the degeneracy, leading to different reduced-order model (ROM)



Benefits of ROM: *Identifiability*

3pTROM:

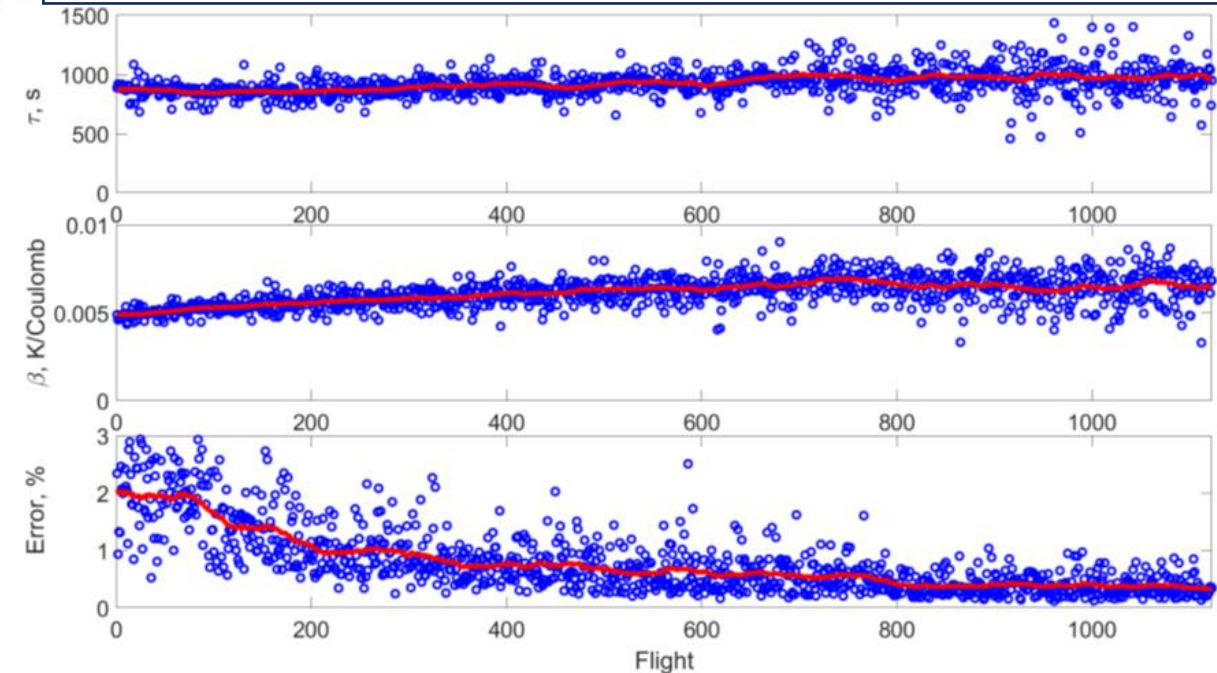
$$T = T_a + [T(0) - T_a]e^{-t/\tau} + C_b^{-1} \int_0^t I(t') [V_0 - V(t')] e^{\frac{t'-t}{\tau}} dt',$$



Non-identifiable.

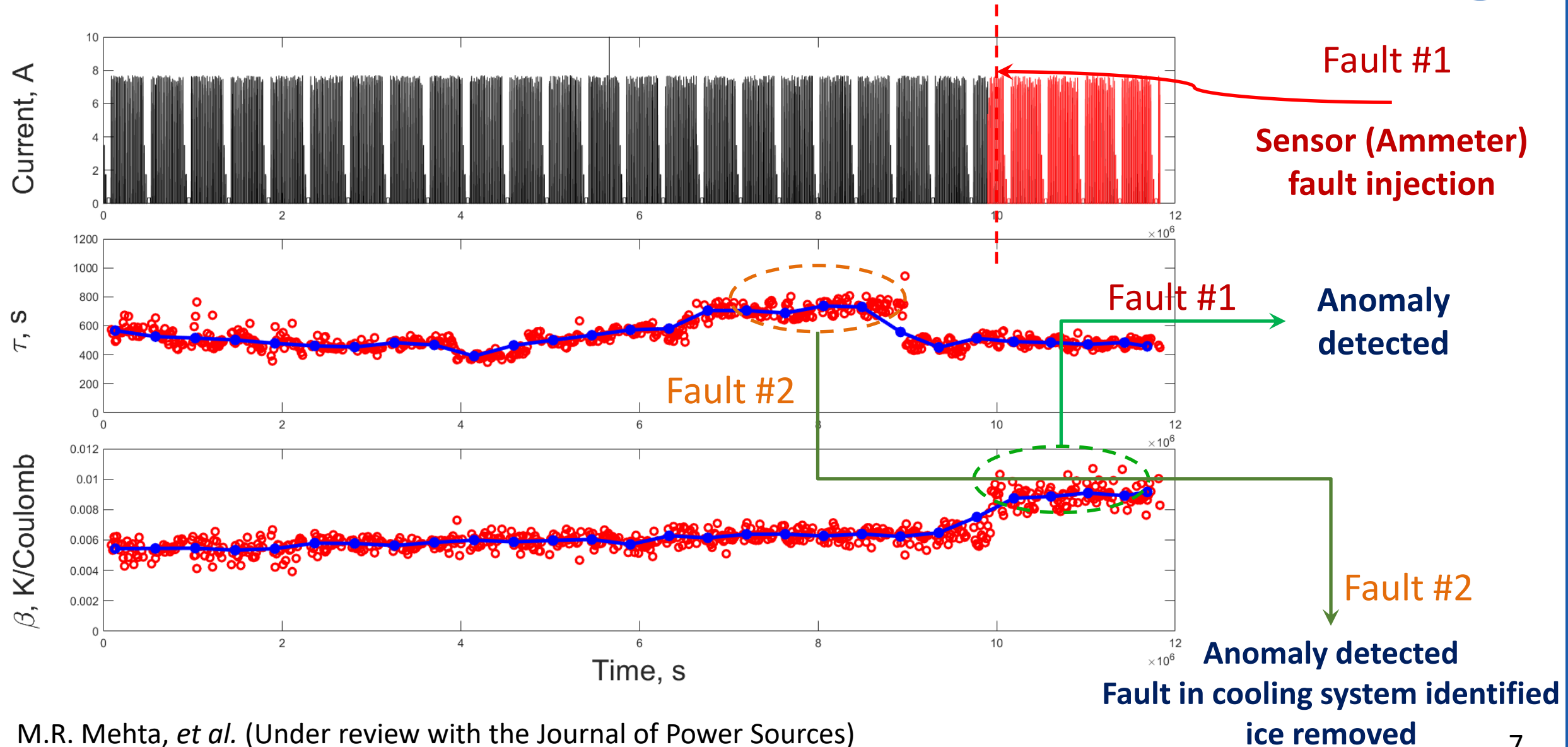
2pTROM:

$$T = T_a + [T(0) - T_a]e^{-t/\tau} + \beta \int_0^t I(t') e^{\frac{t'-t}{\tau}} dt'$$



Identifiable

Benefits of ROM: *Anomaly Detection*

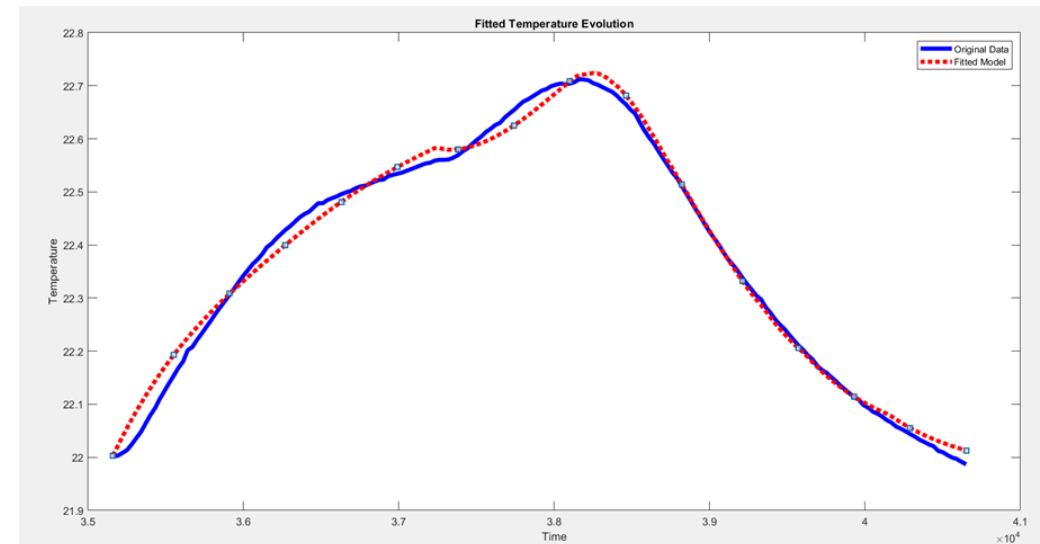
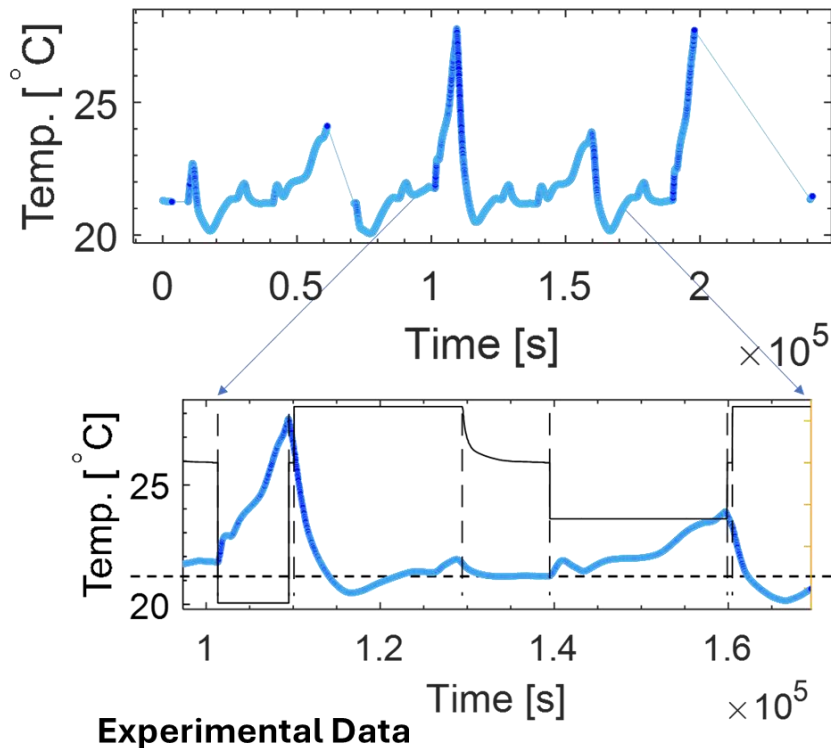


Data specifics:

- High-energy space batteries operating at low C-rates ($< C/5$)
- Data includes partial charge and discharge
- Unfortunately, no battery anomalies were observed

Modeling:

- Modified two-parameter needed to fit both charge and discharge
- Most of the heat contribution comes from thermodynamics



pseudo3pTROM: 2pTROM with discharge/charge heating term and a single cooling rate

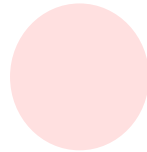
$$T = T_a + [T(0) - T_a]e^{-t/\tau} + \beta_{dis/chg} \int_0^t I(t')e^{\frac{t'-t}{\tau}} dt'$$

N cells connected in series

- Full model: $\frac{dT_i}{dt} = \beta_i I - \frac{T_i}{\tau_i} - \sum_{j=1}^N \frac{T_i - T_j}{\tau_{ij}}$
- ROM I: $\frac{dT_{i*}}{dt} = \tilde{\beta}_{i*} I(t) - \frac{T_{i*}}{\tilde{\tau}_{i*}}$
- ROM II: $\frac{dT_{i*}}{dt} = \tilde{\beta}_{i*} I(t) - \frac{T_{i*}}{\tilde{\tau}_{i*}} + \tilde{\gamma}_{i*} \int_0^t I(t') e^{\frac{t'-t}{\tilde{\delta}_{i*}}} dt'$



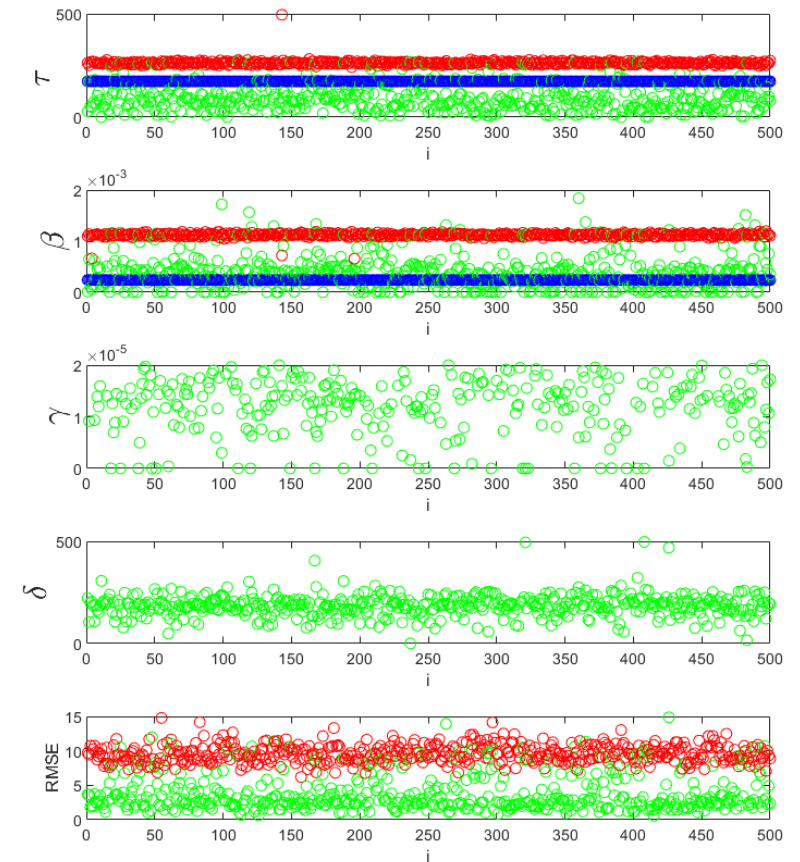
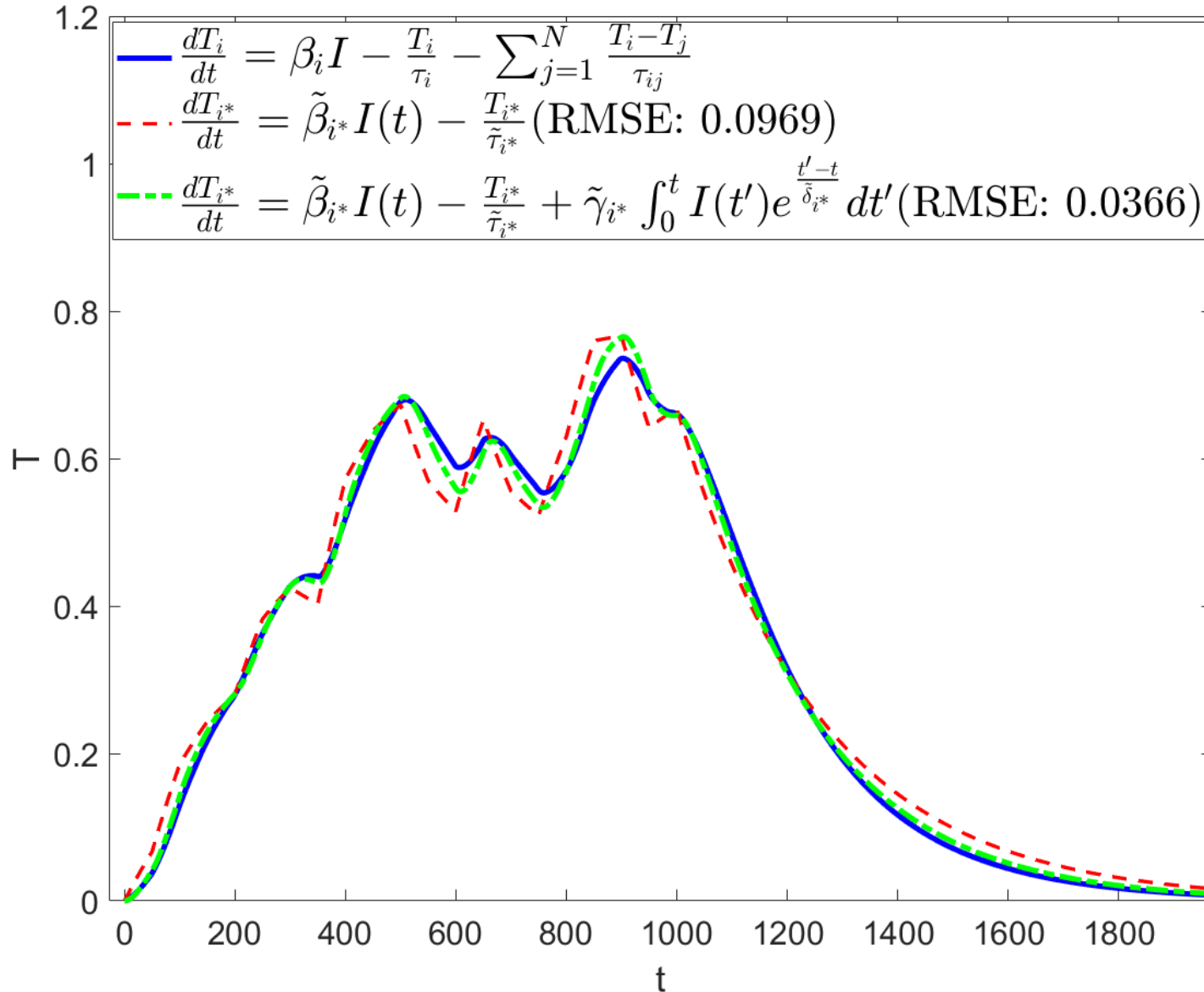
N+1 parameters



2 parameters



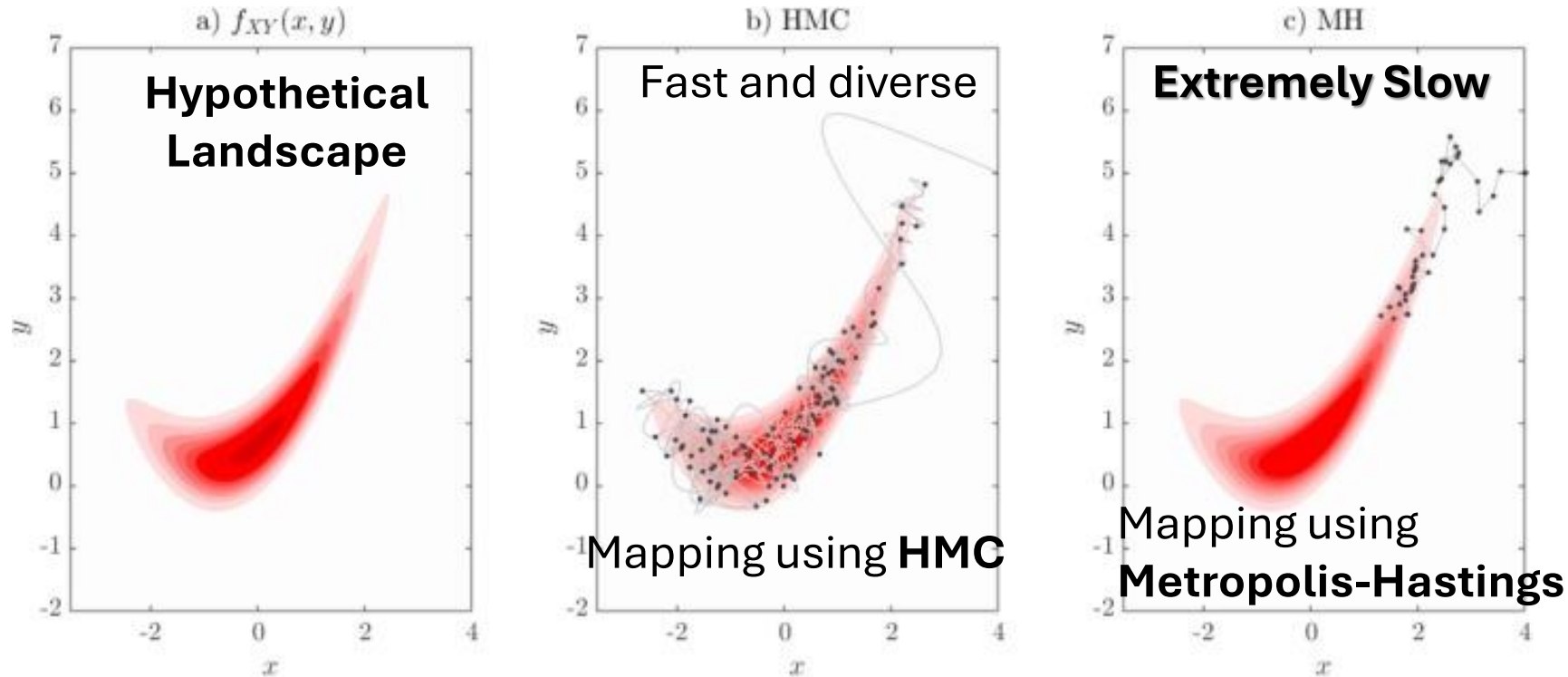
4 parameters



- Full model, local parameters (blue)
- ROM I (red) : identifiable but not accurate
- ROM II (green) accurate but non-identifiable

Automating ROM search with HMC

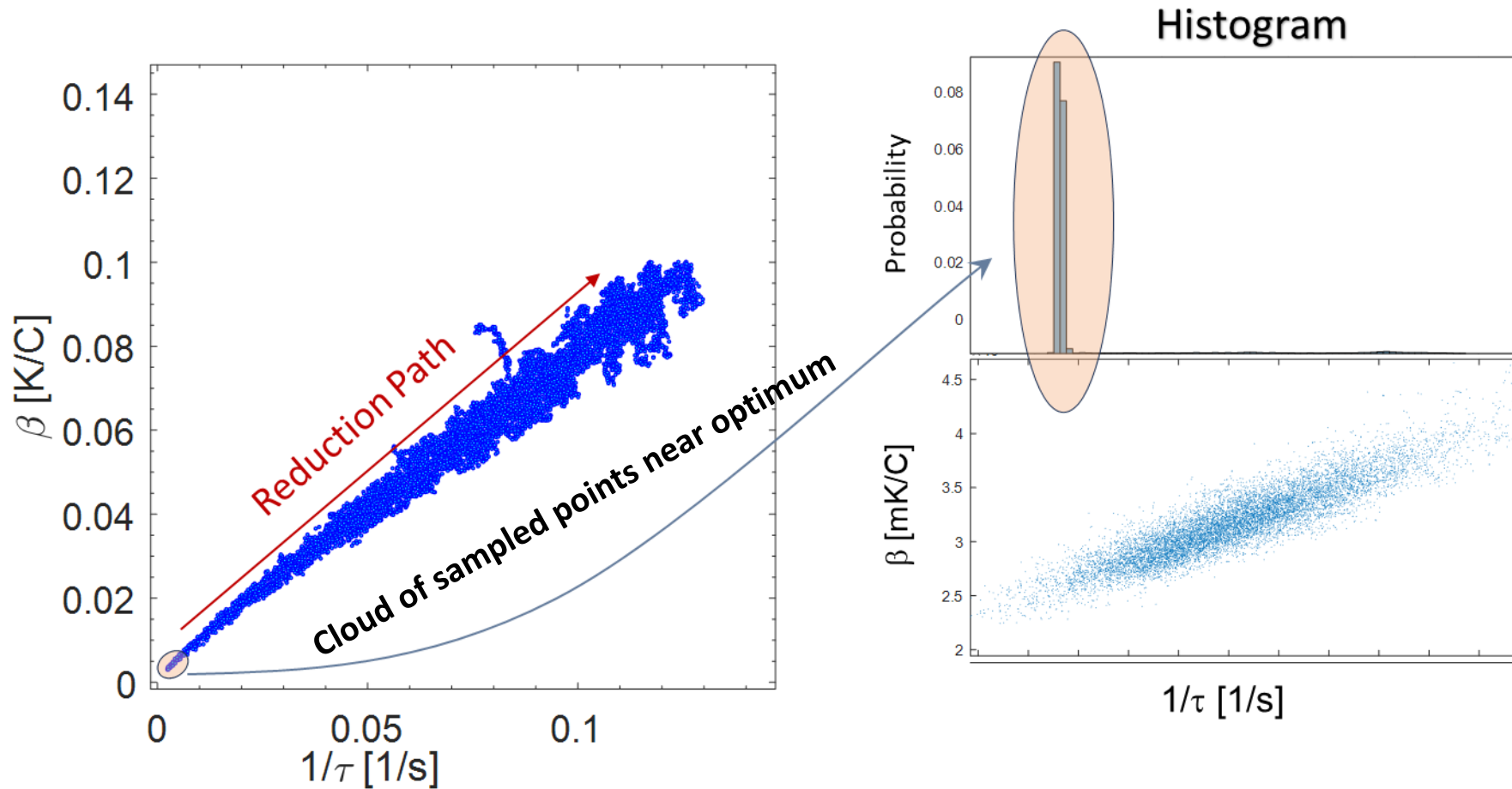
Hamiltonian Monte Carlo



Goal of using HMC: to map out parameter landscape to instruct path for model reduction

Implementation: 1) Matlab using `hmcSampler`, 2) Matlab from scratch, 3) Python using `pymc`

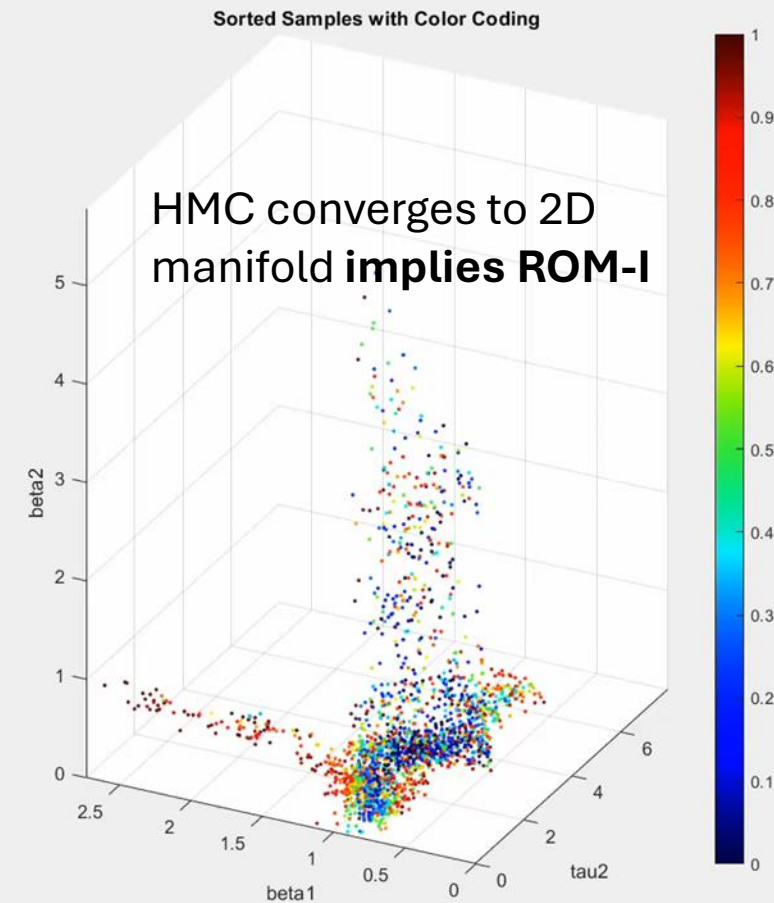
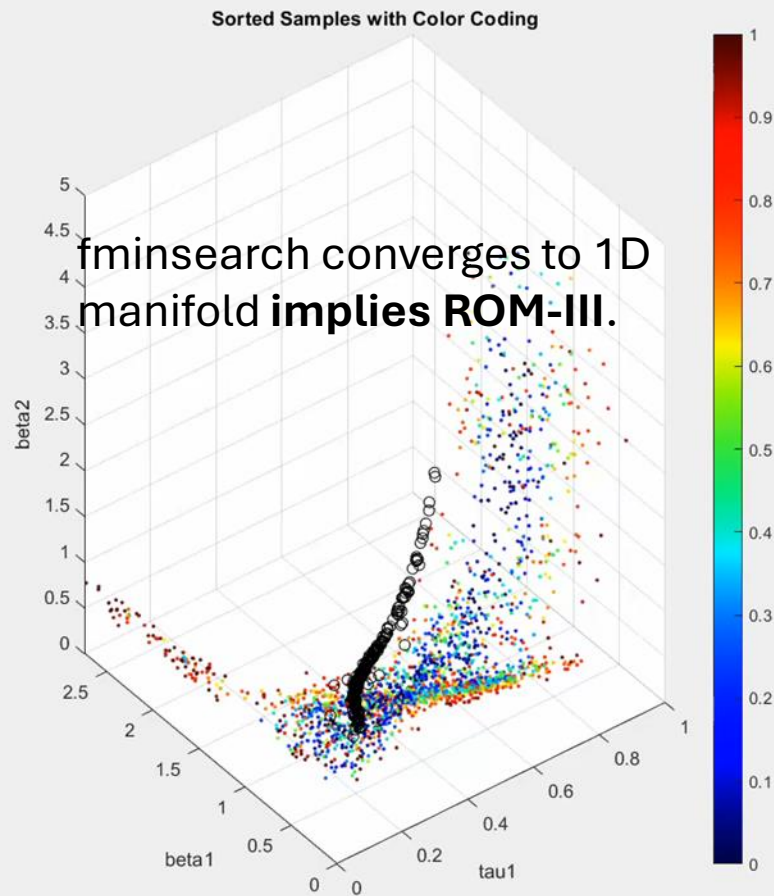
Searching Reduction Path using HMC



Combining all the sampled points
NumSamples: 358,400
Simulation time: Over 4 days

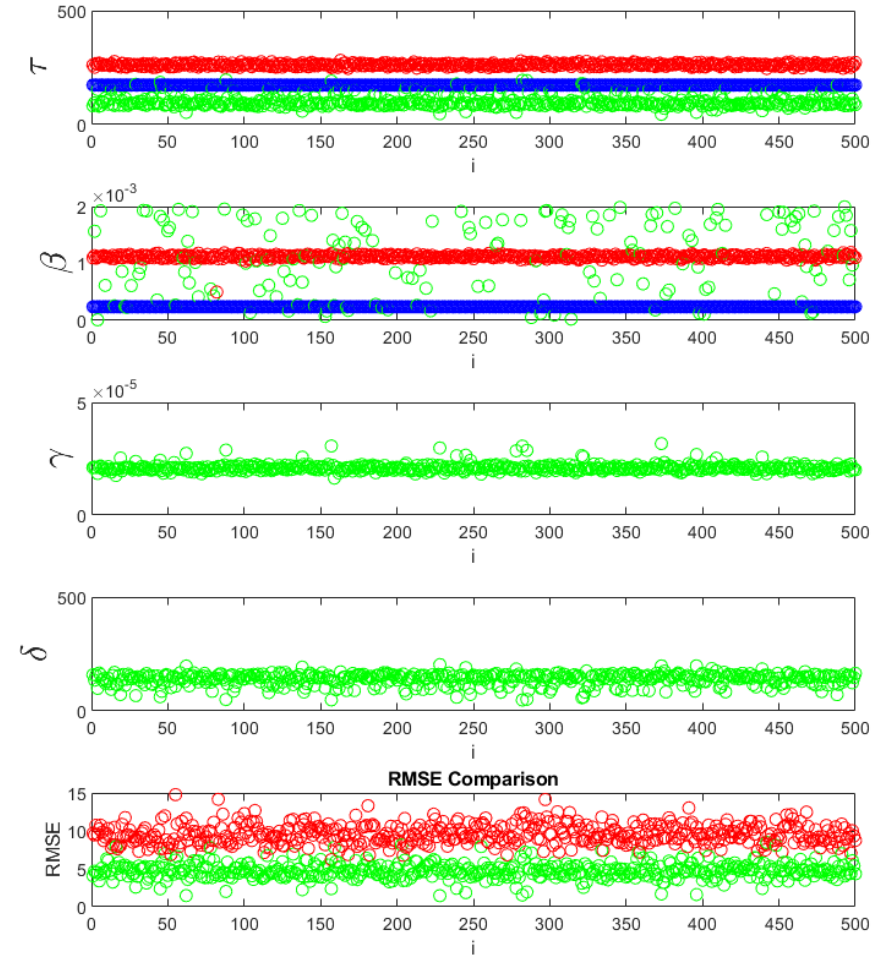
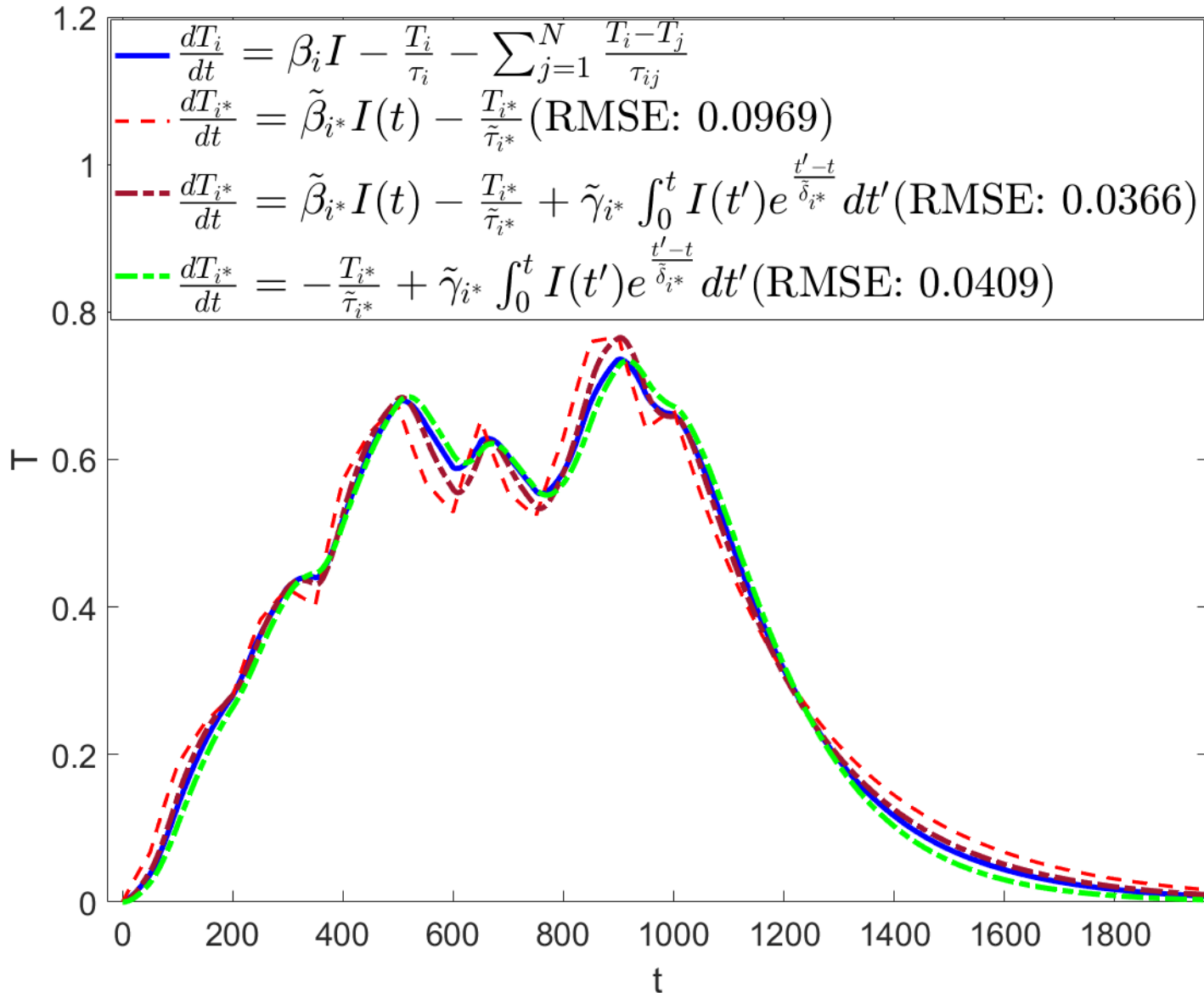
- Custom Objective Function is developed for our integral equation
- Multiple Random Starts using HMC sampler in Matlab
- Large number of samples are needed to identify reduction paths
- Low execution algorithm are needed for automation

Comparing HMC vs. fminsearch

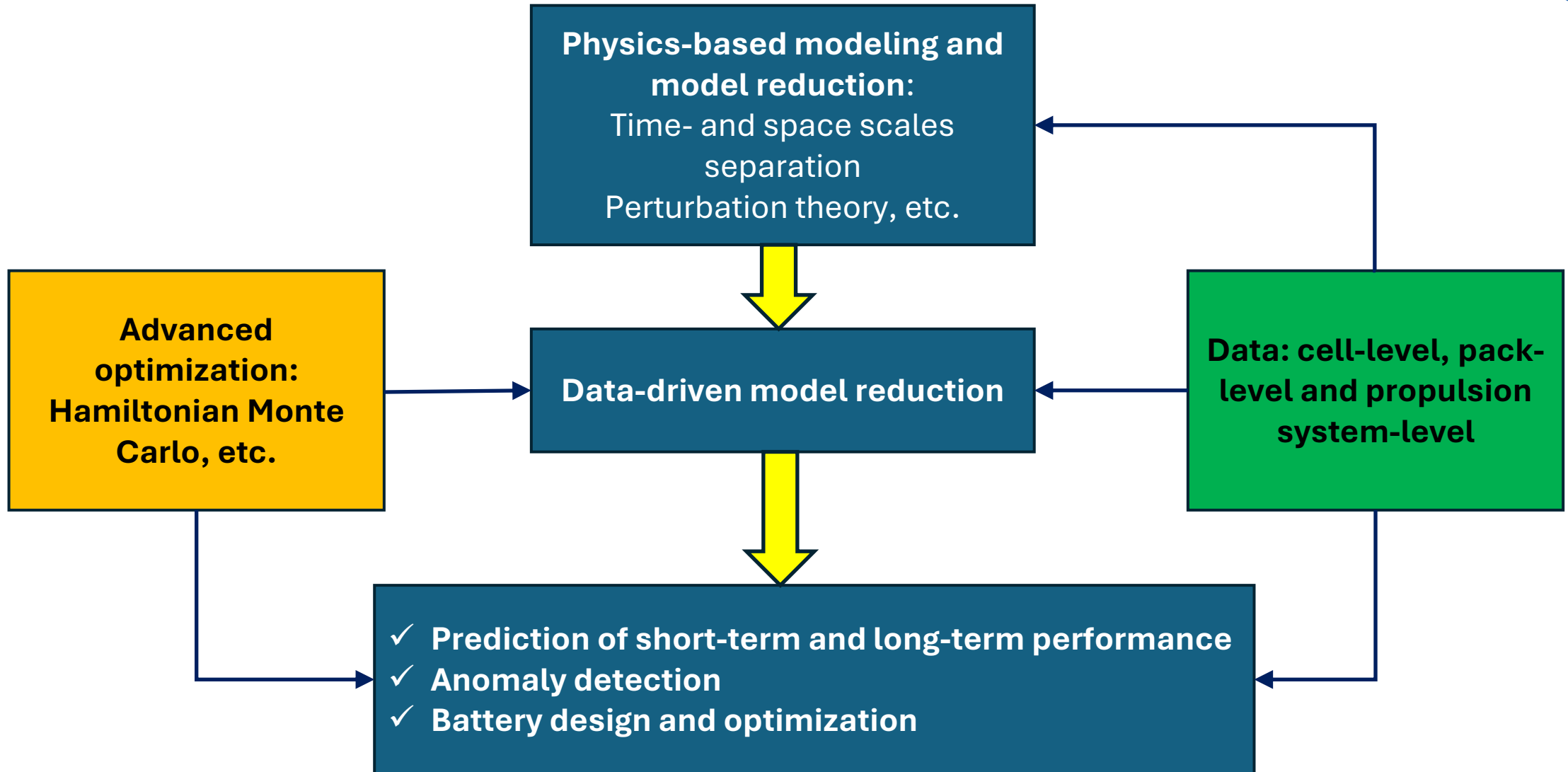


Inadequate sampling for high dimensional problem can lead to a non-identifiable reduced order model

Comparing ROMs (synthetic dataset)



- Full model, local parameters (blue)
- ROM I (red) : identifiable but not accurate
- ROM II (brown) accurate but non-identifiable
- ROM III (green) accurate and identifiable



- I. The Battery Dashboard offers insights into various aspects of a battery, enabling better control over discharge time, discharge rate, and storage duration
- II. Physics (thermodynamics focused) informed reduced models 3pTROM and 2pTROM are identifiable, thus allowing to monitor internal battery states
- III. The 2pTROM model, initially developed for aviation, has been adapted for space batteries to parameterize thermal data related to charging and discharging
- IV. Various Reduced Order Models were developed to predict thermal response of a series battery pack
- V. Hamiltonian Monte Carlo and Hessian-based automation techniques were developed to efficiently map the parameter landscape and identify paths to model reduction

Backup Slides

Concept: Battery Health Dashboard

Moving Beyond Conventional “Capacity Driven ” Health Monitoring

Conventional Battery State-of-Heath

Loss of
cyclable ions

Change in Cell
Resistance

Proposed Battery State-of-Heath Dashboard

Electrode
Health

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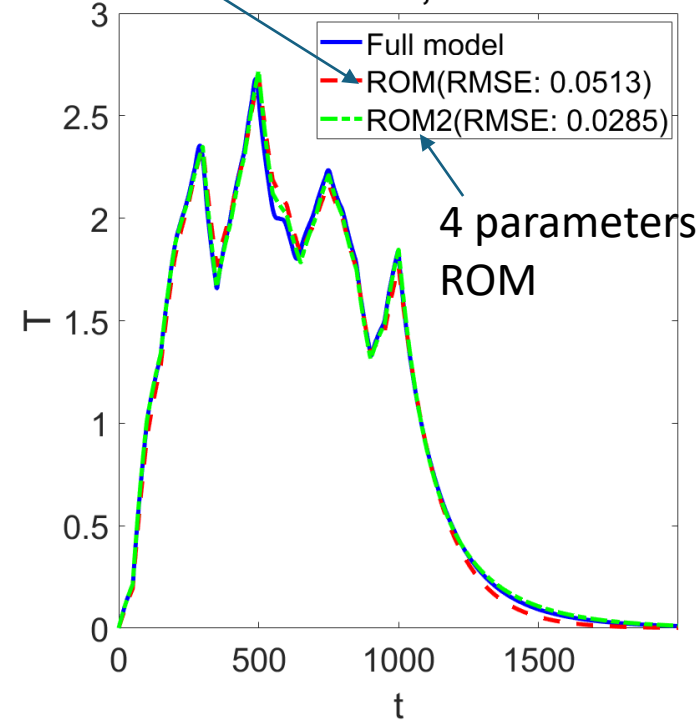
Testing thermal ROM for in-series pack

Thermal ROMs vs. synthetic data (full thermal model)

2 parameters

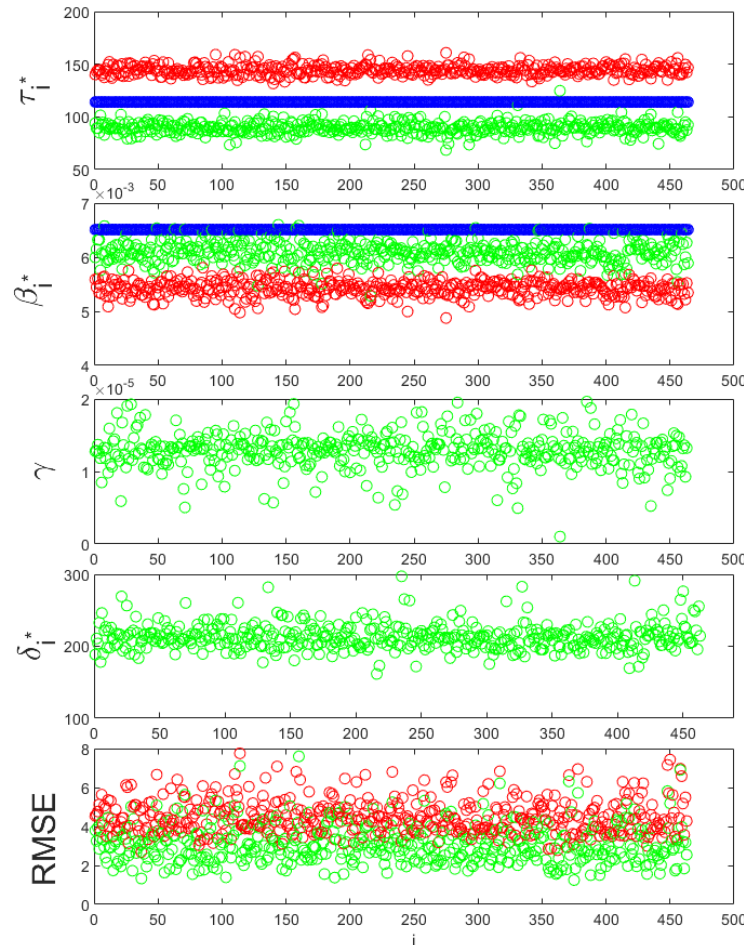
ROM

Current 4; Cell 5



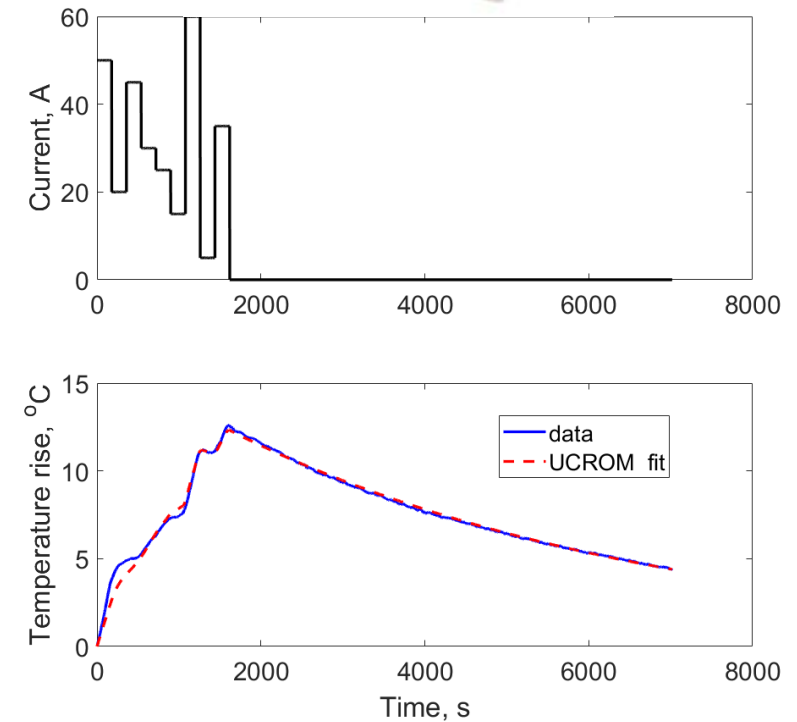
4 parameters
ROM

ROMs are accurate



Parameters can be identified

ROM vs lab thermal data



Thermal modeling of in-series packs.

UAV flight test



$$\frac{dT}{dt} = -(T - T_a)a\omega(t)^\alpha - \frac{T - T_m(t)}{\tau},$$

$$T_m(t) = T_m(0) + R \int_0^t I(t')^2 dt', \quad T_m(0) = T(0).$$

