



# Moon-to-Mars: Managing Knowledge Gaps and Enabling Missions

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- Reintroduction to Planetary Protection for Crewed Mars Missions
- Review of the COSPAR Workshop Process and Findings on Knowledge Gaps
- Review of Contamination Threat Assessment for a Crewed Mission Concept
- Framework for Managing Knowledge Gaps and Enabling Missions
- Summary

# International Planetary Protection Process



# Planetary Protection Categorization



| Types of Planetary Bodies                                                                                                                                                                                                                                                                    | Mission Type                               | Mission Category           |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|----------------------------|
| Not of direct interest for understanding the process of chemical evolution. No protection of such planets is warranted.                                                                                                                                                                      | Any                                        | I                          |
| Of significant interest relative to the process of chemical evolution, but only a remote chance that contamination by spacecraft could jeopardize future exploration. Documentation is required.                                                                                             | Any                                        | II<br>IIa, IIb (Moon)      |
| Of significant interest relative to the process of chemical evolution, and/or the origin of life or for which scientific opinion provides a significant chance of contamination which could jeopardize a future biological experiment. Substantial documentation and mitigation is required. | Flyby, Orbiter<br>Mars, Europa, Enceladus  | III                        |
| As above                                                                                                                                                                                                                                                                                     | Lander, Probe<br>Mars, Europa, Enceladus   | IV<br>IVa, IVb, IVc (Mars) |
| Any solar system body. Unrestricted applies only to bodies deemed by scientific opinion to have no indigenous life forms.                                                                                                                                                                    | Earth Return<br>Restricted or Unrestricted | V                          |





## Typical implementation - Orbiter:

- Probability of Mars impact assessment for launcher upper stage and spacecraft
- Launch, cruise to Mars, MOI and orbital mission phases
- Hardware, software and operational reliability
- Micrometeoroid impact and effect analysis

Alternative approach is bioburden control of spacecraft, including break-up/burn-up analysis, to meet and impacted numeric bioburden limit



## Typical implementation - Lander:

- Bioburden reduction of flight hardware using solvent cleaning, dry heat, ionizing radiation and gases
- Recontamination prevention using flight and non-flight filters and barrier systems
- Bioburden control of assembly, test and launch operations
- Bioburden verification with assays

Intent is to meet numeric bioburden limit (with the limit being more stringent for IVb/c missions)

- a. “Safeguarding the Earth from potential back[ward] contamination is the highest planetary protection priority in Mars exploration.”
- b. “The greater capability that human explorers can contribute to the astrobiological exploration of Mars is only valid if human-associated contamination is controlled and understood.”
- c. “For a landed [human] mission conducting surface operations, it will not be possible for all human-associated processes and mission operations to be conducted within entirely closed systems.”
- d. “[Humans] exploring Mars, and/or their support systems, will inevitably be exposed to Martian materials.”

(Originally excerpted as “guidance” from  
COSPAR 2008 policy language)

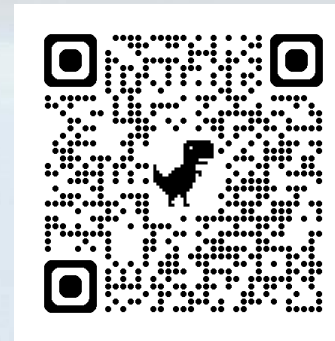
# COSPAR guidelines for crewed missions



Current guidelines, in place since 2008, address:

- Forward contamination
  - *Orders of magnitude greater threat than robotic missions – crew as “biogenerators”*
  - *Crewed spacecraft systems are not sealed*
- Backward contamination
  - *Want the crew to return home*
  - *Earth’s biosphere must be protected*

... But do not yet provide enough detail for engineering design requirements



COSPAR Policy on Planetary Protection, July 2024



# Assessment of Knowledge Gaps for future crewed missions



...to establish the right quantitative and implementable planetary protection requirements for safe and sustainable exploration and utilization of Mars.



## Assumptions used in development of Knowledge Gaps for future crewed missions

- Human spaceflight hardware leaks (in nominal and off-nominal operation), so the old robotic paradigm of managing a fixed bioload is inappropriate.
- The introduction of a maintained temperate terrestrial environment at the Martian surface affords the opportunity for many more organisms (in type and quantity) to escape into the Martian environment.
- This exploration is taking place in a post-Mars Sample Return (MSR) context where Martian life was NOT (yet?) discovered at the Martian surface/shallow subsurface in returned Mars material, but we know a lot more about Mars from those samples.
- Knowledge gaps need to be understood and preferably closed before launch to protect science return and the Earth.

\* Developed as ground rules for the 2020 COSPAR “4<sup>th</sup> Workshop on Refining Planetary Protection Requirements for Human Missions” – see the Conference Documents section at <https://sma.nasa.gov/sma-disciplines/planetary-protection>

# Knowledge Gap Areas



## ▪ Microbial and human health monitoring

- *Evaluation and monitoring of microbial communities associated with human systems, both for their initial state and changes over time*

## ▪ Technology and operations for contamination control

- *Designs, methods and procedures for controlling contamination release of human spacecraft systems*

## ▪ Natural transport of contamination on Mars

- *Understanding the environmental processes on Mars that contribute to transport, survival and replication of microbes released by human activities*

| Microbial & Human Health Monitoring                                                                                           |
|-------------------------------------------------------------------------------------------------------------------------------|
| 1A. Microbial monitoring of the environment                                                                                   |
| 1B. Microbial monitoring of humans                                                                                            |
| 1C. Mitigation of microbial growth in spacecraft systems                                                                      |
| 1D. Operational guidelines for planetary protection and crew health                                                           |
| Technology & Operations for Contamination Control                                                                             |
| 2A. Bioburden/transport/operations during short vs. long stays                                                                |
| 2B. Microbial/organic releases from humans and support systems                                                                |
| 2C. Protocols for decontamination & verification procedures                                                                   |
| 2D. Design of quarantine facilities/methodologies at different mission phases                                                 |
| 2E. Martian environmental conditions variation over time with respect to growth of Earth microorganisms                       |
| 2F. Research needed to make ISRU & planetary protection goals compatible                                                      |
| 2G. Acceptable contamination level from wastes left behind, including constraints on vented materials                         |
| ORIGINAL 2H. DELETED (merged with 2B.)                                                                                        |
| 2I. Approaches to achieve "Break the chain" requirements                                                                      |
| 2J. Global distribution/depth of subsurface ice and evidence of extant life                                                   |
| 2K. Evolution of planetary protection requirements/goals from robotic precursor through to human missions & exploration zones |
| Natural Transport of Contamination on Mars                                                                                    |
| 3A. Measurements/models needed to determine atmospheric transport of contaminants                                             |
| 3B. Measurements/models for subsurface transport of contaminants                                                              |
| 3C. Effect of biocidal factors on survival/growth/adaptation of microorganisms                                                |
| 3D. Determination of acceptable contamination rates & thresholds                                                              |
| 3E. Protection mechanisms for organisms on Mars                                                                               |
| 3F. Degradation of landed materials by Martian environment                                                                    |
| 3G. Induced environmental conditions around structures                                                                        |
| 3H. Sensitivity of non-culturable species to biocidal factors                                                                 |



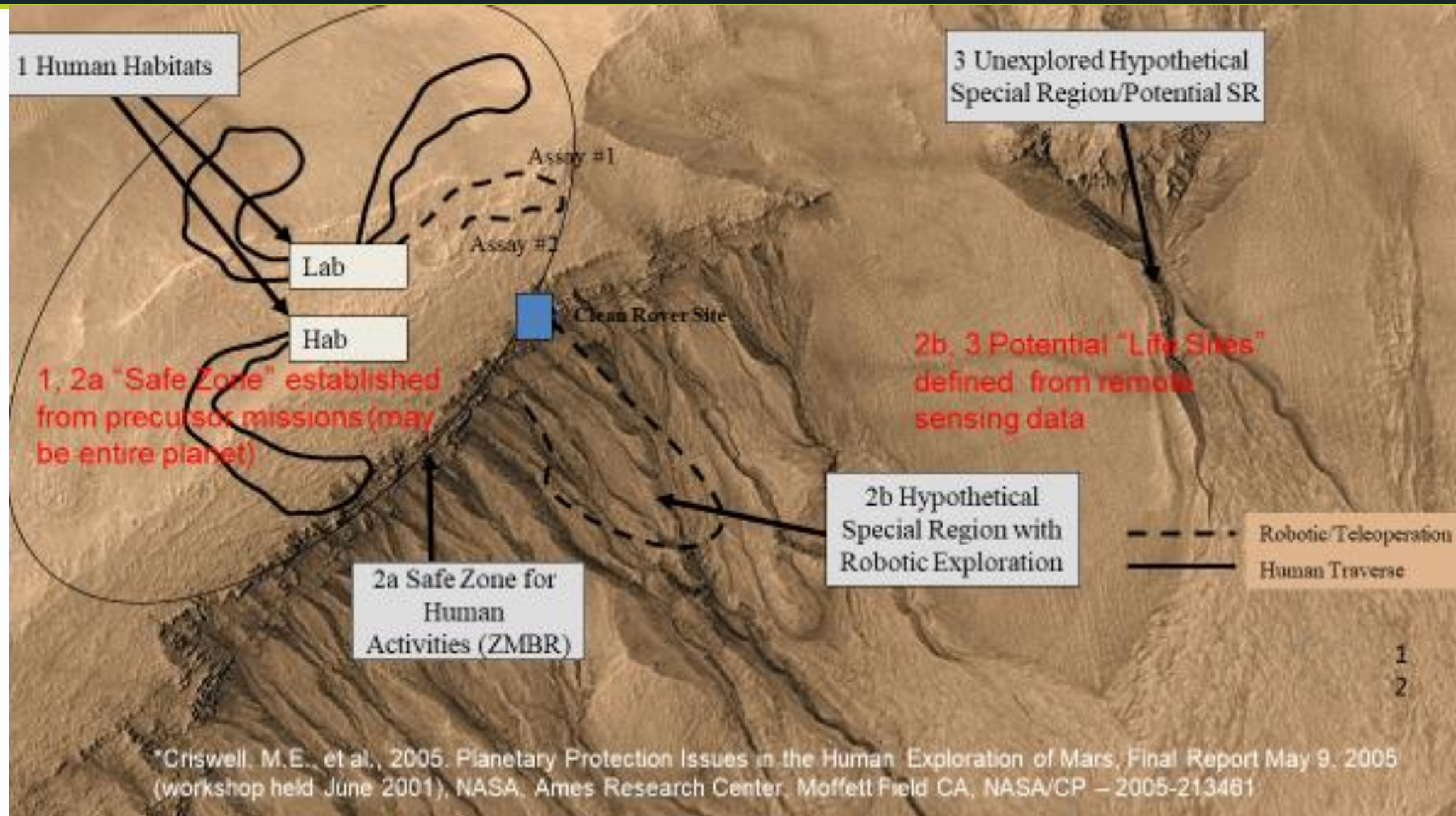
# Knowledge Gap tracking at NASA and ESA



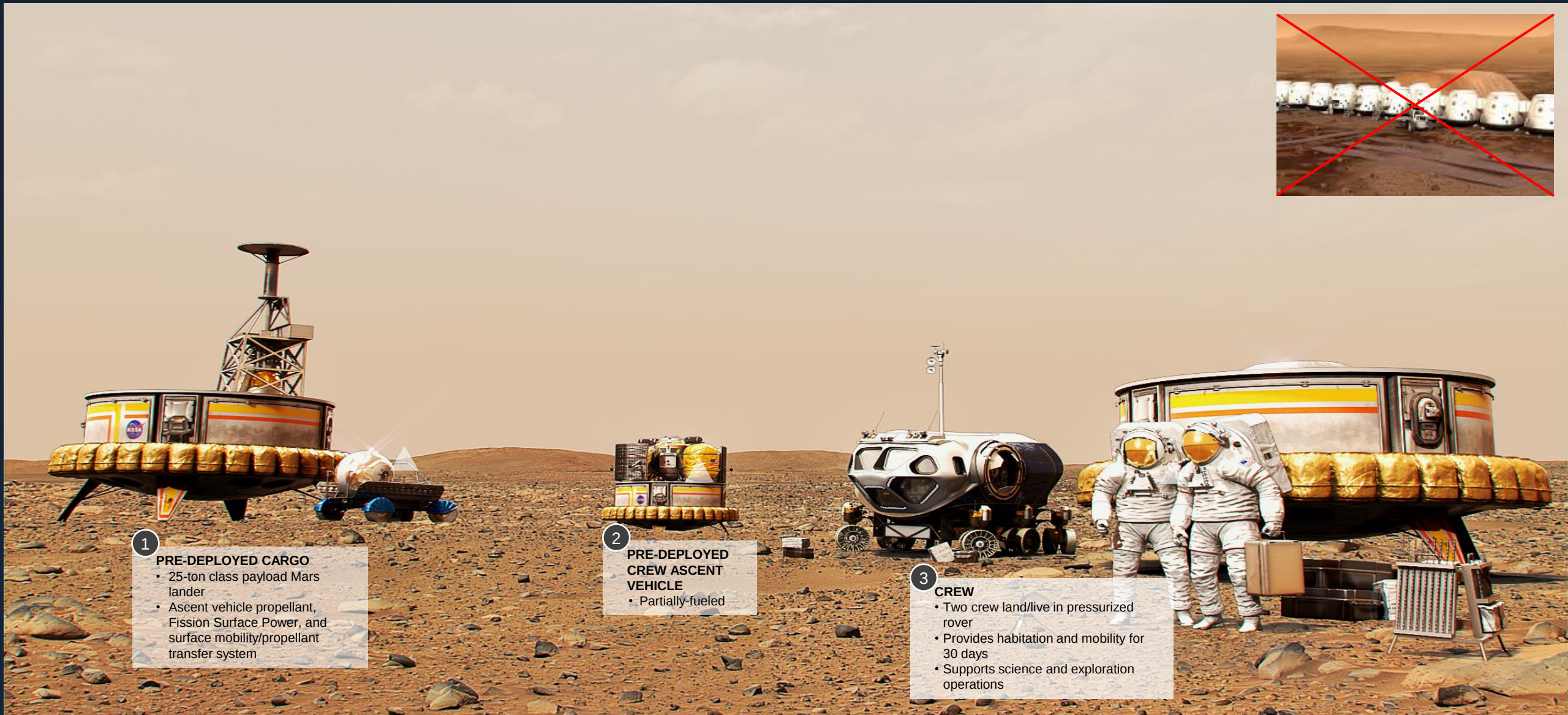
- Knowledge Gaps
  - Subgap, stages, TRL
- Priority/schedule
- Related work
- Mitigation approach
- Lab, analog environments, flight technology demonstration

| Knowledge Gaps                                                             | Mapping out Solutions                                                                                                                                                                                                                                                                                  | Fwd | Back | Subgaps                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Step 1                                                                                                                                                                                                                                               | Step 2                                                                                                                        | Step 3                                                                                                                                                                                                                                             | Step 4                                                                                                                  | Outcome                                                                                                                                                                                                                                                              | Priority Schedule Technical Cost           |
|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|
| <b>Microbial &amp; Human Health Monitoring</b>                             |                                                                                                                                                                                                                                                                                                        |     |      |                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                      |                                                                                                                               |                                                                                                                                                                                                                                                    |                                                                                                                         |                                                                                                                                                                                                                                                                      |                                            |
| <b>1A. Microbial monitoring of the environment</b>                         | Sampling and test and MinION Processor development<br>Reference database generation and testing<br>Decision support processor (Linked to 1B or appropriate)                                                                                                                                            | Y   | Y    | What systematic microbial monitoring is required? MinION based DNA seq & analysis                                                                                                                                                                                                                                                                                                                                                                             | Ground development of DNA knowledge library (establishment of model sample suite working in an environment with continuous and on-demand sampling opportunity e.g. JSC cafeteria or crew longitudinal study)                                         | Analog and/or in-space demonstration of system using DNA knowledge library (establishment of space normal) 2 YEARS            | Update for deployment on MTV 1 YEAR                                                                                                                                                                                                                |                                                                                                                         | DNA-based decision making tool for monitoring of environment at acceptable confidence limits TBD                                                                                                                                                                     | Subgrade H Risk H Cost H                   |
|                                                                            |                                                                                                                                                                                                                                                                                                        | Y   | Y    | What monitoring is required on human mission? TBD based on analog findings and micro monitoring tech dev                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                      | CHAPEA Preliminary study to establish baseline end-to-end monitoring approach (sampling locations/frequency, depth) N=1 YEAR  | In-space demonstration of system 1 YEAR                                                                                                                                                                                                            |                                                                                                                         | DNA-based decision making tool for monitoring of environment at acceptable confidence limits TBD                                                                                                                                                                     | Subgrade H Risk H Cost H                   |
|                                                                            |                                                                                                                                                                                                                                                                                                        | Y   | Y    | What is the mutation rate of micro-organisms in flight? TBD based on ISS and (TBD) Gateway/fluor data                                                                                                                                                                                                                                                                                                                                                         | In-space research to reach decision 3x1 YEAR                                                                                                                                                                                                         |                                                                                                                               |                                                                                                                                                                                                                                                    |                                                                                                                         | DNA-based analysis of pathogenicity threat change based on the monitoring of environment TBD                                                                                                                                                                         | Subgrade L Risk H Cost H                   |
| <b>1B. Microbial monitoring of humans</b>                                  | (Linked to 1A or appropriate)<br>Establishment of healthy crew baseline microbiome (on ground, in analog related indoor/outdoor environment TBD, and in flight)<br>Need to demonstrate fluctuations of course & effect.<br>Need to establish link to orthohealth health indicators (if other illness). | Y   | Y    | What signals would indicate the introduction of an unknown for human health? Change in microbiome of baseline                                                                                                                                                                                                                                                                                                                                                 | (cell L3 & M4 precursor activities)                                                                                                                                                                                                                  | Ground Study to detect change in microbiome of baseline and link to orthohealth indicators and discriminate from other health | In-space verification of Ground Study to detect change in microbiome of baseline and link to orthohealth indicators and discriminate from other                                                                                                    |                                                                                                                         | DNA-based decision making tool for monitoring crew health at acceptable confidence limits                                                                                                                                                                            | Subgrade H Risk H Cost H                   |
|                                                                            |                                                                                                                                                                                                                                                                                                        | Y   | Y    | What exactly are we concerned about? Crew response to Pathogen organism than public health response to Pathogen organism? Microbiome response to Mission? Is there a problem for human health that may be caused by keeping things too clean? Is beneficial microbiology being lost?                                                                                                                                                                          |                                                                                                                                                                                                                                                      | This is covered in cell M6 above                                                                                              |                                                                                                                                                                                                                                                    |                                                                                                                         | N/A                                                                                                                                                                                                                                                                  | Coordinated PE                             |
|                                                                            |                                                                                                                                                                                                                                                                                                        | Y   | Y    | Should we leverage an analog environment (e.g. how the ecology of Navy submarine evolve)? Or what has the Air Force learned from monitoring air force cadets? Should we look at                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                      | Healthy biome definition will emerge from medical research - need for NASA to do new work: adapt best                         |                                                                                                                                                                                                                                                    |                                                                                                                         | Update of current knowledge and update into M6 best practice                                                                                                                                                                                                         | Coordinated PE                             |
|                                                                            |                                                                                                                                                                                                                                                                                                        | Y   | Y    | Gateway - which could largely be understood. It is also requested that unless cannot be developed that could be deployed on the ISS and on new                                                                                                                                                                                                                                                                                                                | Should be done as part of contamination knowledge capture                                                                                                                                                                                            | This can feed into other Ground Studies (NASA prefers Antarctic to submarine analog)                                          |                                                                                                                                                                                                                                                    |                                                                                                                         | DNA-based decision making tool for monitoring crew health at acceptable confidence limits developed through deployment on ground analog. Feed forward into PE                                                                                                        | Coordinated PE                             |
| <b>1C. Mitigation of microbial growth in spacecraft systems</b>            | Design and select materials that inhibit microbial growth on Earth and test final candidate in space environment.<br>Design sterilization methods and disinfectants, taking into account HMI interaction.<br>Advance science understanding human space travel. Advance and standardize techniques for  | Y   | Y    | How do we design for planetary protection? Testing of materials in analog environment of Schriever Lab. Chassis as an ISS<br>How do we design for planetary protection? Testing of sterilization methods on ground is needed.<br>What do we need to do to develop PP measures for human mission? Decontaminate from available (developed in 1A-1C) technologies to develop tailored                                                                           | Standard practice, but need to integrate with future requirements.<br>Testing of methods in breadboard hardware setting to demonstrate 2 YEARS<br>Develop tailored protocols for each decontaminated hardware system/dry system/operational activity | Space environment testing 5x1 YEAR<br>Ground analog with selected methodology 1 YEAR                                          | Approved PP equipment list for relevant Parts & Materials, giving confidence that flight material will be as dirtier than test articles<br>Flight selected and tested decontamination method (ar 2, ar 3)<br>We NASA can do this, or other partner |                                                                                                                         | Approved PP equipment list for relevant Parts & Materials, giving confidence that flight material will be as dirtier than test articles<br>Flight selected and tested decontamination method (ar 2, ar 3)<br>We NASA can do this, or other partner                   | Subgrade H Risk H Cost H                   |
| <b>1D. Operational guidelines for planetary protection and crew health</b> |                                                                                                                                                                                                                                                                                                        | Y   | Y    |                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                      |                                                                                                                               |                                                                                                                                                                                                                                                    |                                                                                                                         | Workable operational guidelines developed and tested ahead of deployment                                                                                                                                                                                             | Subgrade L Risk H Cost H                   |
| <b>Technology &amp; Operations for Contamination Control</b>               |                                                                                                                                                                                                                                                                                                        |     |      |                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                      |                                                                                                                               |                                                                                                                                                                                                                                                    |                                                                                                                         |                                                                                                                                                                                                                                                                      | N/A                                        |
| <b>2A. Bioburden/transport/operations during short vs. long</b>            | Solution not mapped due to low priority assignment                                                                                                                                                                                                                                                     | Y   |      | None listed                                                                                                                                                                                                                                                                                                                                                                                                                                                   | N/A                                                                                                                                                                                                                                                  |                                                                                                                               |                                                                                                                                                                                                                                                    |                                                                                                                         | N/A                                                                                                                                                                                                                                                                  |                                            |
| <b>2B. Microbial/organic releases from humans and support systems</b>      | What is the allowable microbial level on the Mission surface? (No consensus on how much is too much - need to develop approach HERE)<br>How do allowable microbial levels translate into allowable leak rates from the vehicle? For air? For liquid? For waste? For heat? For other parameters?        |     |      | 1. What are acceptable levels for releasing contamination? (No consensus on how much is too much - need to develop approach HERE, potential includes bioburden)<br>2. What is the likelihood of transport of biological matter into a Special Region at Mars? (Need understand transport/recovery which is addressed in group 3A-H)<br>3. Bioburden assessment of existing Mars analog locations, i.e. Antarctic field sites, Haughton Crater - North Pole to | Understand release rates from hardware elements (ground research with multiple elements increasing in fidelity) through to end of mission disposal of period of biological info<br>Lead into L16 above 5 YEARS                                       | Understand disposition (Section 3)                                                                                            | Understand growth rates (Section 3)                                                                                                                                                                                                                | Understand release rates from hardware elements using flight tests with actual flight hardware [Mean & Gateway] 2 YEARS | For each leak source, nominal and non-nominal microbial leak rates, total leaked amounts and characterized release of microbial population is described. N/A<br>understanding of occurrence and dispersion/distribution of microbial populations at analog locations | Subgrade H Risk H Cost H<br>Coordinated PE |

# Planetary Protection Concept\* for a Crewed Mission to Mars



# Assessment focused in a “realistic” first crewed mission concept



1

## PRE-DEPLOYED CARGO

- 25-ton class payload Mars lander
- Ascent vehicle propellant, Fission Surface Power, and surface mobility/propellant transfer system

2

## PRE-DEPLOYED CREW ASCENT VEHICLE

- Partially-fueled

3

## CREW

- Two crew land/live in pressurized rover
- Provides habitation and mobility for 30 days
- Supports science and exploration operations



# Disposition of Planetary Protection KGs at the end of the COSPAR Meeting Series – 1) Microbial and Human Health Monitoring



| Microbial & Human Health Monitoring Knowledge Gaps                  | Parameter                                                                                 | Figure of Merit/Current Best Estimate                                                                   | Notes                                                                                                                                        |
|---------------------------------------------------------------------|-------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|
| 1A. Microbial monitoring of the environment                         | Detection and monitoring of microorganisms inside the habitat and in the Mars environment | TBD based on data from analog research to establish baseline information and decision-making strategies | MinION technology with appropriate front-end (sampling) and back-end (bioinformatics) processing (Conclusion of the 3 <sup>rd</sup> Meeting) |
| 1B. Microbial monitoring of humans                                  | Detection and monitoring of microorganisms on/in crew                                     | TBD based on data from analog research to establish baseline information and decision-making strategies | MinION technology with appropriate front-end (sampling) and back-end (bioinformatics) processing (Conclusion of the 3 <sup>rd</sup> Meeting) |
| 1C. Mitigation of microbial growth in spacecraft systems            | Monitoring of microorganisms inside the habitat and establishment of action limits.       | Establish (sub)-system requirements based on (sub)-system design and release limits (2B)                | Conclusion of 5 <sup>th</sup> Meeting                                                                                                        |
| 1D. Operational guidelines for planetary protection and crew health | Ability to distinguish between benign and hazardous fluctuations in metagenome data       | TBD: Outcome dependent on 1A & 1B                                                                       | MinION technology with appropriate front-end (sampling) and back-end (bioinformatics) processing. Discussion at the 3 <sup>rd</sup> Meeting. |

- Needed technology is identified to be able to address KGs in Microbial & Human Health Monitoring
  - Demonstrated on ISS for crew monitoring
  - Data needs to be generated to create a framework for developing PP decision-making processes

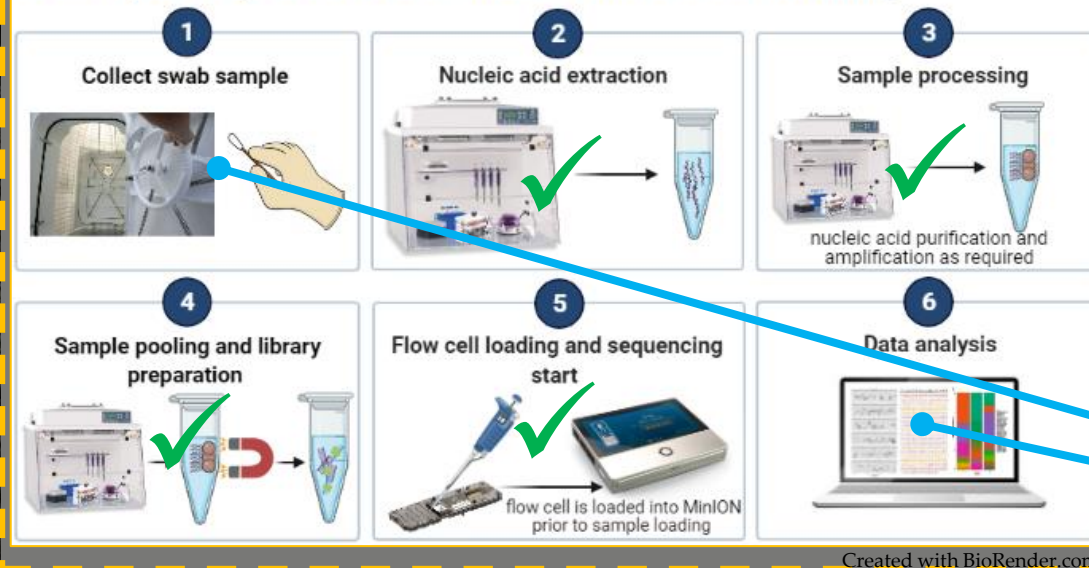
| Key: |                                                                                                                            |
|------|----------------------------------------------------------------------------------------------------------------------------|
|      | Knowledge Gap response approach is mature and/or addressable as policy                                                     |
|      | Knowledge Gap response is actively being addressed and planetary protection application and outcome is clear               |
|      | Knowledge Gap response or path to closure is identified but planetary protection acceptability and/or outcome is not clear |
|      | Knowledge Gap is not being addressed or work to closure is not started or new data acquisition is still needed             |



# Microbial Monitoring Technologies for Planetary Protection



## Concept of Operations for in situ Microbial Profiling



Front-end Sample acquisition and back-end Bioinformatics will likely be different for CHP and PP



# Disposition of Planetary Protection KGs at the end of the COSPAR Meeting Series – 3) Natural Transport of Contamination on Mars



| Natural Transport of Contamination on Mars Knowledge Gaps                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Parameter                                                                                                                  | Figure of Merit/Current Best Estimate                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Notes                                                            |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| 3A. Measurements/models needed to determine atmospheric transport of contaminants                                                                                                                                                                                                                                                                                                                                                                                                                                            | Measurements to establish a mesoscale predictive model (baseline performance levels assuming appropriate instrument suite) | Air Pressure 4Hz cf MSL<br>Air Temp. 4Hz 150-300K +/-0.1K<br>Ground Temp. 1/Hr 150-300K +/-1K<br>Wind (in 3D) 10Hz 0-50m/s +/-0.5m/s: 360deg +/-5deg<br>Humidity 1/Hr 0-100% +/-5%<br>Upwelling shortwave & IR 1/hr w/ TBD Range & Accuracy<br>Downwelling Solar flux 4Hz w/ TBD Range & Accuracy<br>UV-C flux 4Hz with TBD Range & Accuracy<br>Total dust opacity 4Hz 0-6 +/-0.03<br>Dust size & conc. 4Hz >0.2um +/-0.05um @1-5000/cm <sup>3</sup><br>Dust saltation mass flux 4Hz >0.65um +/- 10um @1-30m/s | Conclusion at the 2 <sup>nd</sup> Meeting (minimum specs quoted) |
| 3A. Measurements/models needed to determine atmospheric transport of contaminants                                                                                                                                                                                                                                                                                                                                                                                                                                            | Instrument suite to establish a mesoscale predictive model                                                                 | Few 10s of Kgs high fidelity instrument suite supported by three low fidelity instrument suites                                                                                                                                                                                                                                                                                                                                                                                                                | Conclusion at the 2 <sup>nd</sup> Meeting                        |
| 3A. Measurements/models needed to determine atmospheric transport of contaminants                                                                                                                                                                                                                                                                                                                                                                                                                                            | Application of a mesoscale predictive model                                                                                | TBD time/distance concern for viable organisms in the Martian atmosphere/surface                                                                                                                                                                                                                                                                                                                                                                                                                               | Discussion at the 2 <sup>nd</sup> Meeting                        |
| 3B. Measurements/models for subsurface transport of contaminants                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Develop and prove drill sterilization strategies                                                                           | TBD case-by-case development of planetary protection compatible operational plan                                                                                                                                                                                                                                                                                                                                                                                                                               | Conclusion at the 2 <sup>nd</sup> Meeting                        |
| 3B. Measurements/models for subsurface transport of contaminants                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Analyze contamination pathways for sterile drilling                                                                        | TBD time/distance/depth concern for viable organisms in the Martian subsurface                                                                                                                                                                                                                                                                                                                                                                                                                                 | Discussion at the 2 <sup>nd</sup> Meeting                        |
| <div> <ul style="list-style-type: none"> <li>Understanding the Natural Transport of Contamination on Mars allows us to answer the question “How much contamination is too much?”                             <ul style="list-style-type: none"> <li>Data needs to be generated to create models of transport at Mars (particularly for the aeolian distribution case)</li> <li>Data is also needed on the ability of contaminant terrestrial microorganisms to survive in the Mars environment</li> </ul> </li> </ul> </div> |                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                  |
| 3H. Sensitivity of non-culturable species to biocidal factors                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Demonstration of equivalent sensitivity compared to cultivable population                                                  | Establishment of a factor (if not 1.0 cf 3C data) for lethality to allow assessments under 3D to be made                                                                                                                                                                                                                                                                                                                                                                                                       | Discussion at the 2 <sup>nd</sup> Meeting                        |

mission  
mission  
6



| Technology & Operations for Contamination Control Knowledge Gaps | Parameter                                                                              | Figure of Merit/Current Best Estimate                                                         | Notes                                                                                                             |
|------------------------------------------------------------------|----------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|
| 2A. Bioburden/transport/ operations during short vs. long stays  | N/A                                                                                    | N/A                                                                                           | Since only short stay missions are considered, this KG was left open. (Discussion at 4 <sup>th</sup> Meeting)     |
| 2B. Microbial/organic releases from humans and support systems   | Is it required for an airlock volume to be sterilized prior to egress.                 | Yes, degree of filtration/ sterilization processing TBD based on threat of organisms released | Expectation that Hydrogen Peroxide vapor and UV technologies might be suitable for this purpose. Conclusion       |
| 2B. Microbial/organic releases from humans and support systems   | Is it required for an airlock volume to be sterilized prior to ingress.                | Yes, degree of filtration/ sterilization processing TBD based on threat of organisms released | Expectation that Hydrogen Peroxide vapor and UV technologies might be suitable for this purpose. Conclusion       |
| 2B. Microbial/organic releases from humans and support systems   | Is it required for suits/ tools/ instruments/ robots to be sterilized prior to egress  | Yes, if required for pristine sample acquisition/processing                                   | Consideration that pass-through glove box technology with hydrogen peroxide technology might be suitable for this |
| 2B. Microbial/organic releases from humans and support systems   | Is it required for suits/ tools/ instruments/ robots to be sterilized prior to ingress | Yes, if exposed to pristine/Special Region or unknown Mars environments/materials             | Consideration that pass-through glove box technology with hydrogen peroxide technology might be suitable for this |
| 2C. Protocols for decontamination & verification procedures      | Bioburden reduction technology compatible with spaceflight systems                     | TBD based on data from analog research to establish performance of candidate technologies     | Conclusion of 5 <sup>th</sup> Meeting                                                                             |

- The COSPAR meeting series considered Technology and Operations for the first crewed Mars mission, leading to paths forward to address:
  - Contamination from spacecraft systems
  - Mitigation of contamination
  - Waste handling
- The discussions and findings give confidence that these topics are a tractable problem set for an end-to-end planetary protection implementation solution.

| Technology & Operations for Contamination Control Knowledge Gaps              | Parameter                                                                                                             | Figure of Merit/Current Best Estimate                                                                   | Notes                                 |
|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|---------------------------------------|
| 2D. Design of quarantine facilities/methodologies at different mission phases | Crew Quarantine                                                                                                       | Crew quarantine considered as a unit (not as individuals)                                               | Conclusion of 6 <sup>th</sup> Meeting |
| 2D. Design of quarantine facilities/methodologies at different mission phases | Crew Quarantine                                                                                                       | Crew isolated from Mars samples on mission Earth-return leg                                             | Conclusion of 6 <sup>th</sup> Meeting |
| 2D. Design of quarantine facilities/methodologies at different mission phases | Crew Quarantine                                                                                                       | Crew isolated on return (21 days [tbd] cf. Apollo)                                                      | Conclusion of 6 <sup>th</sup> Meeting |
| 2I. Approaches to achieve 'Break the chain' requirements                      | Pristine sample containment (defined as a sample that could be used to test for extant and (TBD) extinct Martian life | Consistent with current Special Region containment for "pristine" samples                               | Conclusion of 6 <sup>th</sup> Meeting |
| 2I. Approaches to achieve 'Break the chain' requirements                      | "Regular" sample containment                                                                                          | TBD by policy for determining Consistent with current Special Region containment for "pristine" samples | Discussion in 6 <sup>th</sup> Meeting |

- The Technology and Operations to address backward planetary protection for the first crewed mission reflects a conservative approach
  - Containment of Mars samples (even if a prior MSR mission detected no life)
  - Quarantine of crew on return

# COSPAR Perspective

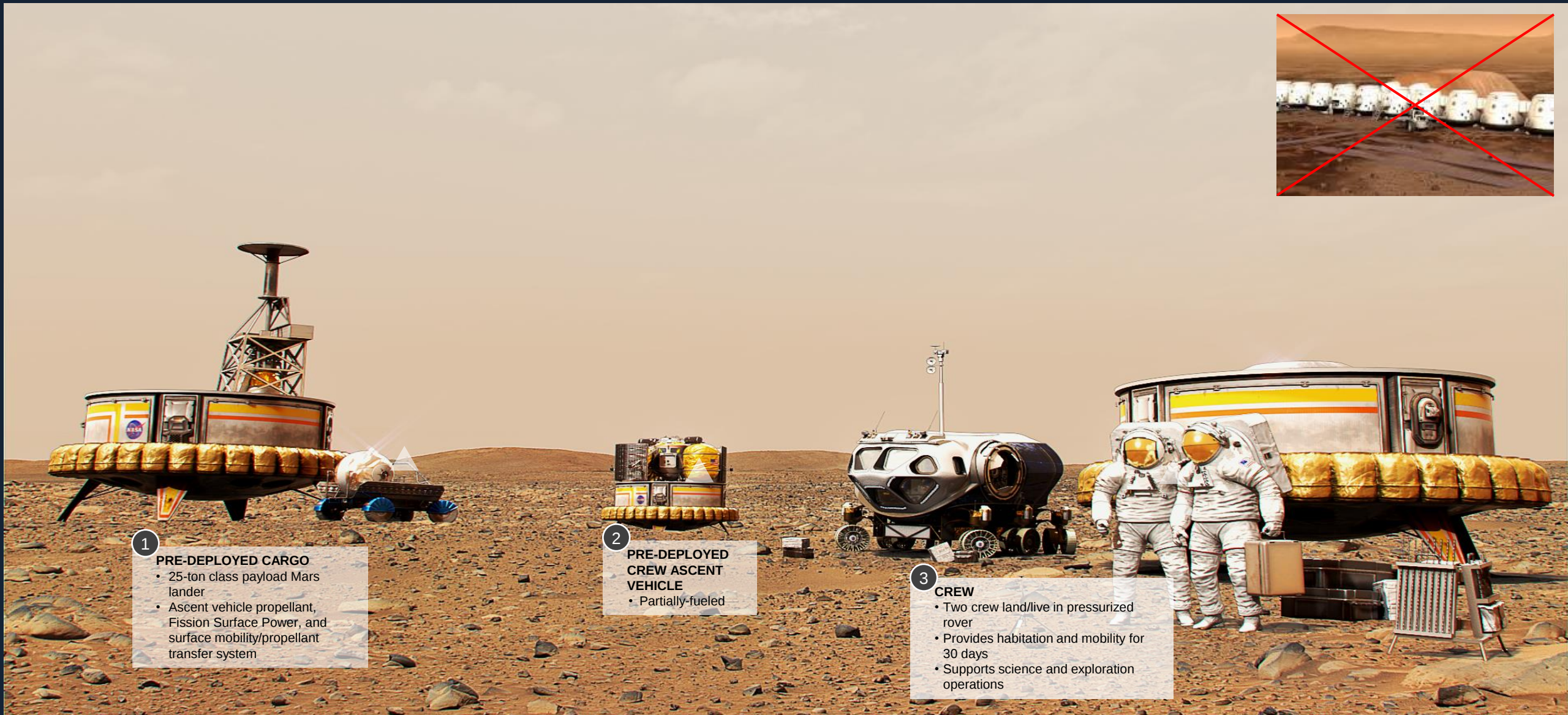


The COSPAR Panel on Planetary Protection will continue to work with the different national and international space agencies, the scientific community, and other stakeholders (e.g., the private sector and industry) to develop a roadmap for coordinating research activities addressing the identified knowledge gaps.

Olsson-Francis, et al. (2023) Life Sciences in Space Research, 36, 27-35.



# Assessment focused in a “realistic” first crewed mission concept



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## PRE-DEPLOYED CREW ASCENT VEHICLE

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- Two crew land/live in pressurized rover
- Provides habitation and mobility for 30 days
- Supports science and exploration operations





# Returning to the “How Much is too Much?” Question: Contamination Assessment – Detailed Analysis



| Item                                        | Basis                                                                                                   | Specification (if any)              | Notional                                       | Conversion factor (mass etc. to CFU)                                                                          | Start Condition:Day -3 (DST Hatch Closure) | End condition: Day 33 (MAV Arrival at DST & Waste left behind) |  |
|---------------------------------------------|---------------------------------------------------------------------------------------------------------|-------------------------------------|------------------------------------------------|---------------------------------------------------------------------------------------------------------------|--------------------------------------------|----------------------------------------------------------------|--|
| Food for 36 days                            | 0.294kg/crew/day reduced stock over time arrives with lander 3                                          | <10000cfu/kg                        | 10000cfu/kg                                    | 2.94kg/d                                                                                                      | 2116800                                    | 0<br>TBD based on margin policy                                |  |
| Crew Microbiome                             | constant, arrive with lander 3                                                                          | 1E+13/person                        | 1E+13/person                                   | 2 crew                                                                                                        | 2.00E+13                                   | 2.00E+13                                                       |  |
| Urine (0.320ml/d)                           | accumulates over time: dropoffs at logistics/waste transfer EVAs                                        | <10000/ml                           | 1000/ml                                        | 1000ml = 1kg; 320ml/d                                                                                         | 1920000                                    | 21120000                                                       |  |
| Solid (fecal) Waste (Kg)                    | 0.3kg/crew/day accumulates over time: dropoffs at logistics/waste transfer EVAs                         | 1E+14/kg                            | 1E+14/kg                                       | 0.6kg/day                                                                                                     | 1.8E+14                                    | 1.98E+15                                                       |  |
| Shed Waste (all sources)                    | accumulates over time: skin cells, hair, etc.: air filter dropoffs at logistics/waste transfer EVAs TBD | NA                                  | TBD                                            | Estimate to difficult, based on Ganesh et al 2019 and Checinska et al. 2015 + others: use Equilibrated Crewed | NA                                         | NA                                                             |  |
| Equilibrated Crewed Environment (PR =10 m3) | Assume PR is equilibrated to ISO9 cleanliness by the time it reaches the Martian surface                | 8320000/m3 @>2um<br>293000/m3 @>5um | 10% of particles >2um                          | Estimate, based on Ganesh et al 2019 and Checinska et al. 2015 + others                                       | 8320000                                    | 8320000                                                        |  |
| Clothing                                    | Starts clean, goes to dirty/waste                                                                       | NA                                  | 400g clothing/astronaut/ 2days<br>=30x400=12kg | Whitehead et al. 2023 dirty = 415 bacteria/g/day                                                              | (Assume sterilized)                        | 9960000                                                        |  |
| Hygiene Products 0.4L                       | Starts clean, goes to dirty/waste                                                                       |                                     | 100                                            | 400g, not cleaner than                                                                                        | 2640                                       | 1095600                                                        |  |
| Drinking Water (36d?)                       | Starts clean ends up as urine                                                                           |                                     | 0                                              | 2.79L/d min.                                                                                                  |                                            | 0 (margin?)                                                    |  |
| Breathable Air (36d?)                       | Starts clean. ends as                                                                                   |                                     | 0                                              |                                                                                                               |                                            | 0 (margin?)                                                    |  |



# Returning to the “How Much is too Much?” Question: Contamination Assessment – Key Takeaways



| Item                    | Basis                                                       | Specification (if any) | Notional                                    | Conversion factor (mass etc. to CFU)                 | Start Condition:Day -3 (DST Hatch Closure) | End condition: Day 33 (MAV Arrival at DST & Waste left behind) |
|-------------------------|-------------------------------------------------------------|------------------------|---------------------------------------------|------------------------------------------------------|--------------------------------------------|----------------------------------------------------------------|
| Food for 36 d           |                                                             |                        |                                             |                                                      |                                            |                                                                |
| Crew Microbi            |                                                             |                        |                                             |                                                      |                                            |                                                                |
| Urine (0.320m           |                                                             |                        |                                             |                                                      |                                            |                                                                |
| Solid (fecal) V         |                                                             |                        |                                             |                                                      |                                            |                                                                |
| Shed Waste (sources)    |                                                             |                        |                                             |                                                      |                                            |                                                                |
| Equilibrated C          |                                                             |                        |                                             |                                                      |                                            |                                                                |
| Environment (PR =10 m3) | ISO9 cleanliness by the time it reaches the Martian surface | 293000/m3 @>5um        |                                             | Ganesh et al 2019 and Checinska et al. 2015 + others |                                            |                                                                |
| Clothing                | Starts clean, goes to dirty/waste                           | NA                     | 400g clothing/astronaut/ 2days =30x400=12kg | Whitehead et al. 2023 dirty = 415 bacteria/g/day     | (Assume sterilized)                        |                                                                |
| Hygiene Products 0.4L   | Starts clean, goes to dirty/waste                           |                        | 100                                         | 100 400g, not cleaner than                           | 2640                                       |                                                                |
| Drinking Water (36d?)   | Starts clean ends up as urine                               |                        | 0                                           | 0 2.79L/d min.                                       |                                            | 0 (margin?)                                                    |
| Breathable Air (36d?)   | Starts clean. ends as                                       |                        | 0                                           | 0                                                    |                                            | 0 (margin?)                                                    |

## Key Contamination Risk Assessment Takeaways:

~ 2.0 x10<sup>15</sup> Organisms introduced to Mars in 3-lander crewed mission

Comprising:

~ 1.98 x10<sup>15</sup> Organisms associated with solid (fecal) metabolic waste

~ 2.0 x10<sup>13</sup> Organisms associated with the crew (who leave at EOM)

~ 2.1 x10<sup>7</sup> Organisms associated with urine waste storage

~ 2.29 x10<sup>8</sup> Organisms associated with pressurized/unpressurized hardware

~ 1.5 x10<sup>7</sup> Organisms associated with other waste articles

~ 2.0 x10<sup>7</sup> Organisms associated with potential operational activities

Siegel, B., Spry, J. A., Seasily, E., & Benardini, J. N. (2025). Status update of NASAs assessment of the biological contamination threat of crewed Mars surface missions. *Life Sciences in Space Research*, 45, 25-33.



# Knowledge Gap/Policy Framework



|               | Pre-Mission<br>(Earth- Mars) | Mars Surface Mission (Ops)                                    | Post-Mission<br>(Mars- Earth)                    | Post-Mission<br>(Earth Return)                                              |
|---------------|------------------------------|---------------------------------------------------------------|--------------------------------------------------|-----------------------------------------------------------------------------|
| Hardware      | Bioburden Management         | Bioburden Management<br>Containment<br>Monitor and Mitigation | Bioburden Management<br>Containment<br>- Isolate | Bioburden Management<br>Containment<br>- Facility                           |
| Mars Environ. | Mission Design               | Monitor and Mitigation                                        | N/A                                              | N/A                                                                         |
| Crew          | Monitor                      | Monitor<br>Containment                                        | Monitor<br>Isolation                             | Monitor<br>Quarantine                                                       |
| Samples       | Bioburden Management         | Containment                                                   | Containment                                      | Containment<br>- Facility<br>Sample Safety Analysis<br>Bioburden Management |



# Knowledge Gap/Policy Framework: Hardware



| Pre-Mission<br>(Earth- Mars)                                                                                                                                                                   | Mars Surface Mission<br>(Ops)                                                                                                                                                                                                                                                                                                                  | Post-Mission<br>(Mars- Earth)                                                                                                                                                       | Post-Mission<br>(Earth Return)                                                                                                                        |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Bioburden Management</b><br>At launch threshold(s)<br>At Mars threshold(s)<br>Differential thresholds<br>-(Static vs Mobile H/W)<br>-(SR vs Av Mars)<br>Nominal vs Off-nominal<br>Scenarios | <b>Bioburden Management</b><br>In theater performance<br>threshold(s)<br><br><b>Containment</b><br>In theater operational<br>performance<br>Differential performance<br>-(Static vs Mobile H/W)<br>-(SR vs Av Mars)<br><br><b>Monitor and Mitigation</b><br>Comparison vs baseline<br>Decision-making support<br>Local cleanup capability reqt | <b>Bioburden Management</b><br>In theater performance<br>threshold(s)<br><br><b>Containment</b><br>- <b>Isolate</b><br>Containment hardware<br>functional design and<br>performance | <b>Bioburden Management</b><br>N/A (assumes passive<br>containment @Mars)<br><br><b>Containment</b><br>- <b>Facility</b><br>Analogous to Mars Samples |
| 1A, 1C, 1D, 2K                                                                                                                                                                                 | B: 1A, 1C, 1D, 3D<br>C: 2B, 2E, 3A, 3B, 3C, 3D<br>M: 2C, 2D, 2J                                                                                                                                                                                                                                                                                | B: 1A, 1C, 1D, 3D<br>C: 2C, 2D, 2J                                                                                                                                                  | B: 1D, 2G<br>C: 2C, 2D, 2J                                                                                                                            |



# Knowledge Gap/Policy Framework: Mars Environment



| Pre-Mission<br>(Earth- Mars)                                                                        | Mars Surface Mission<br>(Ops)                                                                                       | Post-Mission<br>(Mars- Earth) | Post-Mission<br>(Earth Return) |
|-----------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|-------------------------------|--------------------------------|
| <b>Mission Design</b><br>Landing site selection<br>Arrival timeline (cadence,<br>day/night, season) | <b>Monitor and Mitigation</b><br>Comparison vs baseline<br>Decision-making support<br>Local cleanup capability reqt | N/A                           | N/A                            |
| <b>2E, 3A, 3B, 3G</b>                                                                               | <b>1C, 2B, 2C, 2J, 3A, 3B,<br/>3C, 3D, 3E, 3G, 3H</b>                                                               |                               |                                |



# Knowledge Gap/Policy Framework: Crew



Crew health is principally a HMTA responsibility: PP is a stakeholder for missions to Mars

| Pre-Mission<br>(Earth- Mars)         | Mars Surface Mission<br>(Ops)                                                                                            | Post-Mission<br>(Mars- Earth)                                                                                                                                                       | Post-Mission<br>(Earth Return)                                                                                                         |
|--------------------------------------|--------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|
| <b>Monitor</b><br>Establish baseline | <b>Monitor</b><br>vs established baseline<br>Decision support tool<br><br><b>Containment</b><br>Performance reqt. on h/w | <b>Monitor</b><br>vs established baseline<br>Decision support tool<br><br><b>Isolation</b><br>Performance/capability reqt.<br>(NB: This may be considered<br>a HMTA, not PP, topic) | <b>Monitor</b><br>vs established baseline<br>Decision support tool<br><br><b>Quarantine</b><br>Performance/capability reqt.<br>cf. MSR |
| <b>1B</b>                            | <b>M: 1B, 1D, 2B, 2C, 2K,<br/>3D<br/>C: 2G</b>                                                                           | <b>M: 1B, 1D, 2C, 2I<br/>I: 2D</b>                                                                                                                                                  | <b>M: 1B, 1D, 2C, 2I<br/>Q: 2D</b>                                                                                                     |



# Knowledge Gap/Policy Framework: Samples



| Pre-Mission<br>(Earth- Mars)                                                                                                 | Mars Surface Mission<br>(Ops)                                 | Post-Mission<br>(Mars- Earth)                                 | Post-Mission<br>(Earth Return)                                                                                             |
|------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------|---------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|
| <b>Bioburden Management</b><br>(and contam. knowledge<br>capture of sample<br>containment hardware:<br>pristine vs av. Mars) | <b>Containment</b><br>(life detn vs non-life detn<br>samples) | <b>Containment</b><br>(life detn vs non-life detn<br>samples) | <b>Containment</b><br><b>- Facility</b><br>Life detn. samples have<br>Sample Safety Analysis<br>Bioburden Management reqt. |
| <b>1A, 1D</b>                                                                                                                | <b>1D, 2B, 2C, 2D, 2I, 2J,<br/>2K, 3G</b>                     | <b>1D, 2I, 2K</b>                                             | <b>1D, 2I, 2K</b>                                                                                                          |

# Summary



- The COSPAR Planetary Protection Policy and Guidelines include approaches for controlling forward and backward contamination at Mars.
- Approaches for robotic missions are well developed and have successfully guided exploration and preserved scientific integrity for over 50 years.
- Approaches for crewed missions are still in development, but require a paradigm shift from robotic methods.
- A path to achieving that shift is already identified through closure of knowledge gaps identified in the COSPAR workshop series.
- Work to develop a knowledge-based Risk-informed Decision Making process is under way using the Framework as a management tool.
- Seeking to leverage planetary protection KGs to inform technology developments and parameters.
- Knowledge gap closure will be a team effort – with room for everyone to contribute!





Questions?

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