

# Methods of Determining Electron Concentrations in the Magnetosphere from Nose Whistlers

by

C. G. Park

January 1972

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## NOMENCLATURE AND NOTATION

$c$	: speed of light in vacuo	$(2.9978 \times 10^{10} \text{ cm sec}^{-1})$
$e$	: electronic charge	$(1.6021 \times 10^{-19} \text{ coulombs})$
$m_e$	: electron mass	$(9.1066 \times 10^{-28} \text{ grams})$
$m_p$	: proton mass	$(1837 m_e)$
$k$	: Boltzmann's constant	$(1.3805 \times 10^{-16} \text{ erg deg}^{-1})$
$g_o$	: acceleration of gravity at sea level	$(980.67 \text{ cm sec}^{-2})$
$\epsilon_o$	: permittivity of vacuum	$(8.854 \times 10^{-12} \text{ farads m}^{-1})$
$R_E$	: mean earth's radius	$(6370 \text{ km})$
$\phi$	: dipole latitude	
$\theta$	: dipole colatitude	
$r$	: geocentric distance	
$L$	: McIlwain's parameter	
$\delta$	: magnetic dip angle	
$h$	: altitude	
$S$	: arc length of field line	
$n$	: electron concentration	
$N_T$	: electron tube content	
$f_H$	: electron gyrofrequency	
$f_p$	: plasma frequency	
$f_n$	: whistler nose frequency	
$f'_n$	: nose frequency corrected for dispersion due to the ionosphere	
$t_n$	: whistler travel time at nose frequency	
$t'_n$	: travel time at nose corrected for dispersion due to the ionosphere.	

D : whistler dispersion ( $tf^{1/2}$ )

SUBSCRIPTS:

o : earth's surface  
l : 1000 km altitude  
eq : equatorial plane

LIST OF ELECTRON CONCENTRATION MODELS

	Abbreviation	Temperature	Ionic composition at 1000 km altitude		
			O <sup>+</sup>	H <sup>+</sup>	He <sup>+</sup>
Diffusive Equilibrium Model	DE-1	1600 <sup>o</sup> K	90%	8%	2%
	DE-2	3200	90	8	2
	DE-3	1600	50	40	10
	DE-4	800	50	40	10
Collisionless Model	CL	1600	-	100	-
	R-4	$n \propto r^{-4}$			
Hybrid Model	HY	DE-2 for $\phi > 30^{\circ}$			
		CL for $\phi < 30^{\circ}$			

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## I. INTRODUCTION

### A. INTRODUCTION

When Storey successfully explained whistlers in 1953 as radiation from lightning propagating from hemisphere to hemisphere along geomagnetic lines of force, he also discovered that an equatorial electron concentration of  $\sim 400 \text{ cm}^{-3}$  at a geocentric distance of  $3 R_E$  was required to explain the observed whistler dispersion [Storey, 1953]. This was the first evidence of such large electron concentrations far beyond normal F2 layer heights, and it opened a new region of interest for exploration which later came to be called the protonosphere, magnetosphere or plasmasphere. Since then whistlers have provided a powerful and unique tool for probing this remote region of space, and much progress in our understanding of the morphology and dynamics of the inner magnetosphere has been made through ground-based whistler observations.

The discovery of nose whistlers [Helliwell et al., 1956] added the possibility of determining whistler path latitude and permitted more detailed studies of plasma distribution in the magnetosphere [Smith, 1960]. This led to the discovery of the plasmopause [Carpenter, 1962, 1963] and later to detailed descriptions of its dynamical behavior and to measurements of electron concentration profiles inside and outside the plasmopause [Carpenter, 1966; Angerami and Carpenter, 1966; Carpenter, 1970; Park and Carpenter, 1970]. More recently, the whistler technique has been used to measure cross-L motions of thermal plasma in the plasmasphere [Carpenter and Stone, 1967; Park and Carpenter, 1970; Carpenter et al, 1971] and coupling fluxes of ionization between the protonosphere and the ionosphere [Park, 1970].

As the whistler technique finds more applications in magnetospheric research, there is a growing need for simple means of processing the data and for improvements in accuracy. This report describes various ways of deducing information on path latitude and electron concentration from whistler nose frequency and travel time at the nose. The whistlers are assumed to be received on the ground. When the nose is not visible on a spectrogram, the nose frequency and travel time at the nose can be extrapolated from the visible portion of the whistler trace by the techniques such as those developed by Smith and Carpenter [1961], Dowden and Allcock [1971] and by Bernard [1971].

This work is an extension of the work of Angerami [1966], who refined the whistler technique by obtaining empirical support for a diffusive-equilibrium model of the field-line distribution of electrons inside the plasmopause and an idealized collisionless model outside the plasmopause. The basic approaches and formulations used here are similar to his, and many of the ideas for improvements developed out of conversations with him.

For the theory of whistler propagation and other background material, the reader is referred to a book by Helliwell [1965] or a review paper by Carpenter and Smith [1964]. For a detailed development of models of electron distribution along geomagnetic field lines, see Angerami and Thomas [1963] and Angerami [1966].

Figure 1 shows an example of a multi-component whistler train. At the top is a frequency-time spectrogram of a recording from Eights, Antarctica. The causative spheric is identified and marked  $t = 0$ . The middle panel shows a tracing of three nose whistler components, while the bottom panel is a sketch of their field line paths. The purpose of this report is to develop methods of determining accurately and economically the path latitude

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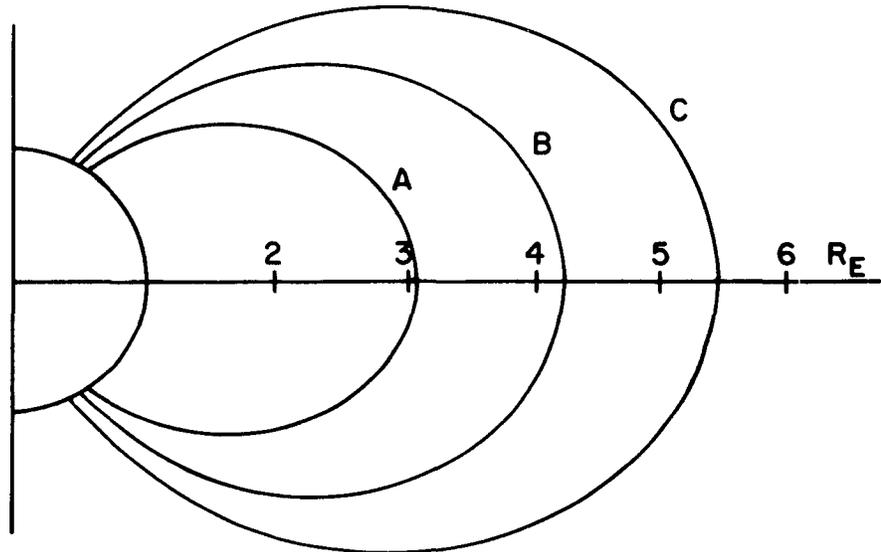
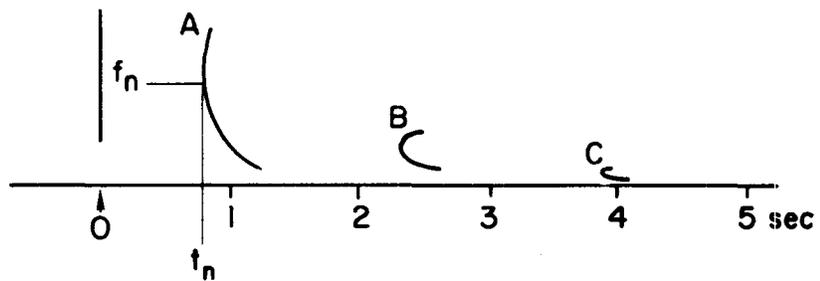
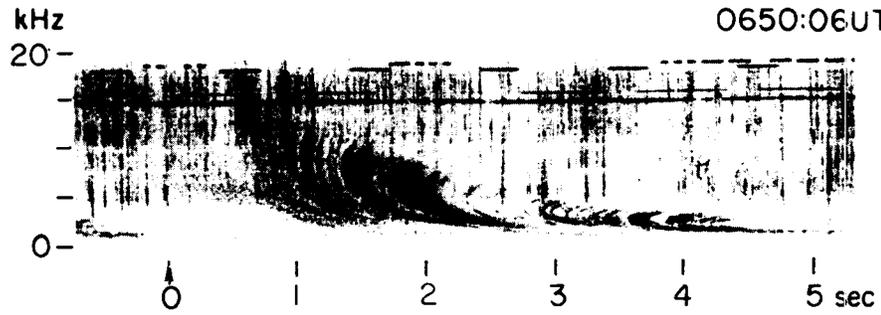


Figure 1. An example of a multicomponent whistler recorded at Eights, Antarctica on June 6, 1963. The top panel is a frequency-time spectrogram showing many discrete whistler components all excited by a sferic at  $t = 0$ . The middle panel is a tracing of 3 whistler components and the causative sferic. The bottom panel is a sketch of corresponding field-aligned propagation paths in the magnetosphere.

and electron concentration along the path from whistler parameters  $f_n$  and  $t_n$ .

#### B. THE WHISTLER INTEGRAL

In the case of longitudinal propagation of a whistler wave, the refractive index  $\mu$  is given by

$$\mu = \left[ 1 + \frac{f_p^2}{ff_H \left( 1 - \frac{f}{f_H} \right)} \right]^{1/2} \quad (1.1)$$

where  $f$  is the wave frequency,  $f_p$  the plasma frequency, and  $f_H$  the electron gyrofrequency. If  $\mu \gg 1$ , calculations can be greatly simplified by neglecting the 1 inside the bracket. This is a good approximation inside the plasmopause, where plasma frequencies are relatively high. For example, assume an equatorial electron concentration of  $100 \text{ cm}^{-3}$  at  $L = 4$  ( $f_p = 90 \text{ kHz}$  and  $f_H = 13.5 \text{ kHz}$ .) At  $f = 0.5 f_H$ ,  $\mu \cong 25$  and the error introduced by neglecting the 1 is less than 0.1%. In the plasma trough region beyond the plasmopause, the error is larger because of low plasma densities there. Angerami [1966] examined in detail the validity of this approximation when applied to 'knee whistlers' propagating just outside the plasmopause. He found that on an occasion when the equatorial electron concentration was as low as  $2 \text{ cm}^{-3}$  at  $L = 4$ , the above approximation introduced an error of  $\sim 40\%$  in the calculated electron concentration. When electron concentrations are that low, errors due to other factors which are negligible under normal conditions also become serious (see Chapter 6). For extremely low electron concentrations, all sources of error including the 1 in Eqn. (1.1) should be carefully evaluated.

The refractive index is then written as

$$\mu = \frac{f_p}{f^{1/2} f_H^{1/2} \left(1 - \frac{f}{f_H}\right)^{1/2}},$$

and the group refractive index as

$$\mu_G = \frac{d}{df}(\mu f) = \frac{f_p}{2f^{1/2} f_H^{1/2} \left(1 - \frac{f}{f_H}\right)^{3/2}}$$

The travel time of a whistler wave propagating along a geomagnetic field line is

$$t(f) = \frac{1}{2c} \int \frac{f_p}{f^{1/2} f_H^{1/2} \left(1 - \frac{f}{f_H}\right)^{3/2}} ds \quad (1.2)$$

where  $c$  is the speed of light in free space and  $ds$  is an element of path length along the field line. The integral is over the entire length of the field line path. If a centered dipole approximation is used for the earth's magnetic field,  $f_H$  is a simple function of  $s$  (see Appendix). In order to express  $f_p$  as a function of  $s$ , however, it is necessary to adopt a model of the plasma distribution along field lines. Since the exact distribution along a field line at a given time is not known, the choice of a model is somewhat arbitrary. The results, however, are remarkably insensitive to the choice of models as will be seen in the next chapter.

The solutions of Eq. (1.2) show that  $t$  has a minimum value  $t_n$  at some frequency  $f_n$  for all reasonable models of  $f_p$ . In other words, Eq. (1.2) describes the shape of a nose whistler. Our task is to establish quantitative relationships between  $(f_n, t_n)$  and  $(f_H, f_p)$ , so that the information in the whistler path latitude and on electron concentration

along the path can be determined from observed whistler parameters. The integral in Eq. (1.2) can be evaluated analytically only for extremely simple models of  $f_p$ , i.e.  $f_p = \text{constant}$  or  $f_p \propto f_H^{1/2}$  (gyrofrequency model). For more realistic models adopted in this report, numerical techniques must be used.

Because of the gyrofrequency term in Eq. (1.2), electrons at low altitudes such as in the ionospheric F2 region make a relatively small contribution to the total integral, in spite of their large concentrations. It will be shown in Chapter 6 that if the plasma is in diffusive equilibrium along magnetic field lines, nearly 80% of the propagation delay occurs within  $30^\circ$  of the magnetic equator. For this reason, whistlers can be used to measure electron concentrations near the equatorial plane with great accuracy, without a precise knowledge of concentration profiles in the F region and the topside ionosphere up to several thousand kilometers. Further discussion on this point follows in Chapter 6.

### C. AN OUTLINE OF STRATEGY

This section contains an outline of the methods of attacking the problem and of the organization of the remainder of this report.

As pointed out in the previous section, the ionospheric F2 region, with its large electron concentrations and great variability, makes a relatively small contribution to the whistler integral. Therefore, the conjugate path segments through the F region will be treated as a small correction term. An arbitrary boundary is drawn at 1000 km altitude, and the path of integration in Eq. (1.2) is divided into the 'ionospheric' part below 1000 km and the 'magnetospheric' part above (this will serve as the definition of the ionosphere and the magnetosphere throughout this report, unless otherwise stated). Equation (1.2) is then rewritten as

$$t(f) = \frac{1}{2c} \int_{\text{mag}} \frac{f_p}{f^{1/2} f_H^{1/2} \left(1 - \frac{f}{f_H}\right)^{3/2}} ds + \frac{1}{2c} \int_{\text{iono}} \frac{f_p}{f^{1/2} f_H^{1/2} \left(1 - \frac{f}{f_H}\right)^{3/2}} ds \quad (1.3)$$

The two integrals are illustrated schematically in Figure 2. The dashed curves 1 and 2 represent the ionospheric and the magnetospheric contributions, respectively, and the solid curve 3 is the sum of the two. The relative contribution by the ionosphere is exaggerated for the purpose of illustration. An actual whistler with observed  $f_n$  and  $t_n$  would have  $f'_n$  and  $t'_n$  if it had traveled through the magnetospheric path only.

In Chapter 2, the ionosphere is ignored, and methods are developed to obtain the whistler path latitude and electron concentration along the path from  $f'_n$  and  $t'_n$ . In Chapter 3, the ionospheric part of Eq. (1.3) is considered, and methods are developed to obtain  $f'_n$  and  $t'_n$  from  $f_n$  and  $t_n$ . If  $t_n$  is measured from the spheric on a whistler spectrogram (see Figure 34), the subionospheric propagation time must be taken into account. This is discussed in Chapter 4. Chapter 5 summarizes the results with step by step instructions for calculating magnetospheric parameters from  $f_n$  and  $t_n$ . In Chapter 6, uncertainties in the whistler method are discussed in detail and a few suggestions are made for future improvements. Finally for purposes of easy reference an appendix presents a collection of formulas and graphs involving some frequently used parameters of a dipole geomagnetic field.

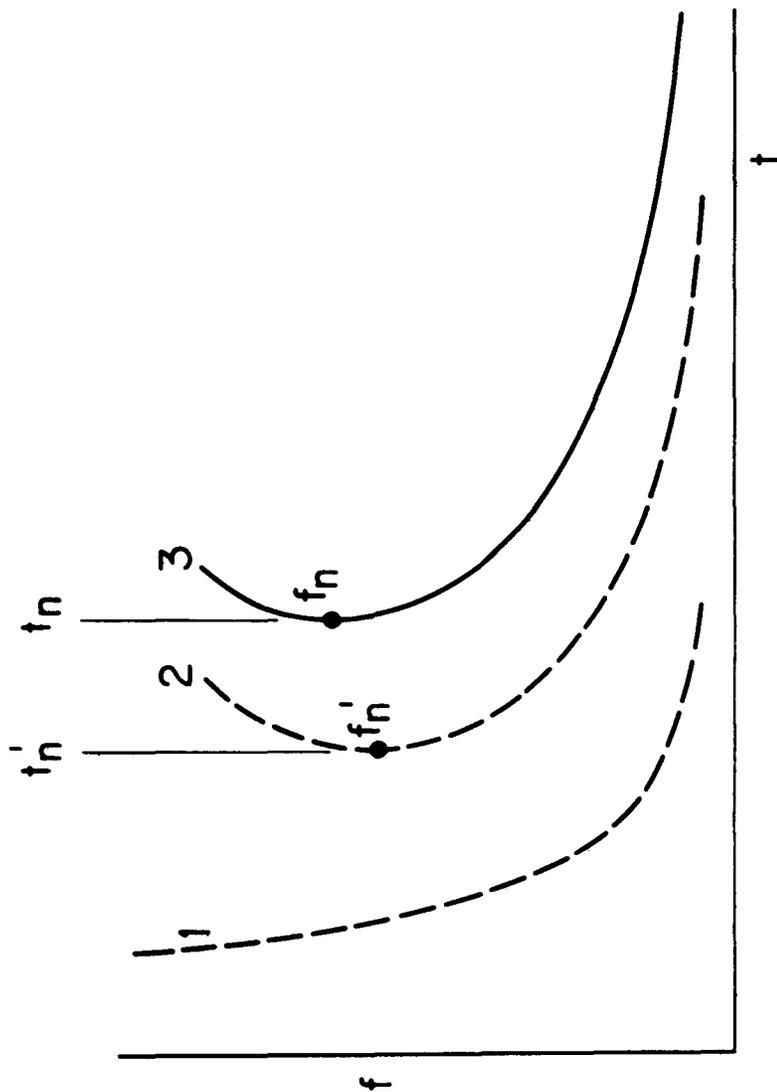


Figure 2. A sketch illustrating whistler dispersion in the ionosphere and magnetosphere. The dashed curve 1 representing the ionospheric contribution is shown exaggerated for illustration. The dashed curve 2 represents the travel time through the magnetosphere and the solid curve 3 is the sum of 1 and 2.

## II. CALCULATIONS AND RESULTS

### A. OUTLINE OF THE CHAPTER

In this chapter, we consider whistler wave propagation in the magnetosphere from 1000 km altitude in one hemisphere to the 1000 km level in the conjugate hemisphere. We assume that the magnetosphere is symmetrical about the equator and write

$$t(f) = \frac{1}{c} \int_{1000 \text{ km}}^{\text{equator}} \frac{f_p}{f^{1/2} f_H^{1/2} \left(1 - \frac{f}{f_H}\right)^{3/2}} ds \quad (2.1)$$

Several different models for the distribution of  $f_p$  along field lines will be adopted in the next section. With a model for  $f_p$ , the integral in Eq. (2.1) can be evaluated numerically for a given magnetic field line. The wave frequency  $f$  is varied in search for the frequency  $f'_n$  which gives the minimum time delay  $t'_n$ . This is repeated for different magnetic field lines ( $L = 2, 3, 4$ , etc.,  $L$  being McIlwain's parameter [McIlwain, 1961] and for different models of plasma distribution along the field lines. From these results, relationships will be found between the whistler parameters  $f'_n$  and  $t'_n$  and the parameters of the medium,  $L$  and  $f_p$ . The results will be presented in various forms so that, depending on the desired accuracy, the whistler path latitude and the electron concentration along the path can be determined by the most economical means.

We will briefly examine the properties of Eq. (2.1) and justify in advance the form in which the results are presented in Sections 2-D, E and G. Calculations in the next section will show that  $f'_n$  is approximately proportional to the minimum electron gyrofrequency along the propagation path,  $f_{\text{Heq}}$ , so that

$$f_{\text{Heq}} = Kf'_n \quad (2.2)$$

where K is a quasi-constant that varies slowly with  $f'_n$  and models of  $f_p$ . The L-value corresponding to  $f_{\text{Heq}}$  for a dipole field can be easily obtained from the relation,

$$L = \left( \frac{f_{\text{Hoeq}}}{f_{\text{Heq}}} \right)^{1/3} = \left( \frac{8.736 \times 10^5}{f_{\text{Heq}}} \right)^{1/3}$$

( $f_{\text{Hoeq}}$  is the electron gyrofrequency at the earth's equator and its numerical value given above corresponds to a magnetic field strength of 0.312 gauss.) Since the bulk of the whistler propagation delay occurs in the equatorial region where  $f_p$  and  $f_H$  are slow functions of s, Eq. (2.1) can be roughly approximated by a simple algebraic equation,

$$t(f) = \frac{f_{\text{peq}} S_1}{c f^{1/2} f_{\text{Heq}}^{1/2} \left( 1 - \frac{f}{f_{\text{Heq}}} \right)^{3/2}}$$

where  $f_{\text{peq}}$  is the plasma frequency at the equator, and  $S_1$  the path length between 1000 km altitude and the equator. At the nose frequency  $f'_n$ , it follows from Eq. (2.2) that the quantity inside the parentheses  $1 - \frac{f'_n}{f_{\text{Heq}}}$  is very nearly constant. Since  $S_1$  is roughly proportional to L and  $f_{\text{Heq}} \propto L^{-3}$ , we can write

$$t'_n(f'_n) \propto \left( \frac{n_{\text{eq}} L^5}{f'_n} \right)^{1/2}$$

where  $n_{\text{eq}}$  is electron concentration at the equator. For a given field line

distribution model,  $n_{eq}$  is proportional to electron concentration at 1000 km altitude  $n_1$ . Therefore, we can also write

$$t'_n(f'_n) \propto \left( \frac{n_1 L^5}{f'_n} \right)^{1/2}$$

The above two equations can be written

$$n_{eq} = K_{eq} \frac{f'_n t'^2_n}{L^5} \quad (2.3)$$

and

$$n_1 = K_1 \frac{f'_n t'^2_n}{L^5} \quad (2.4)$$

where  $K_{eq}$  and  $K_1$  are quasi-constants varying slowly with  $f'_n$  and electron concentration models.

A very useful parameter in studying the magnetosphere is tube content, the total number of electrons in a tube of force. For the purpose of defining tube content the tube of force is chosen to have  $1 \text{ cm}^2$  cross-sectional area at 1000 km altitude and to extend to the dipole equatorial plane. Tube content is then

$$N_T = \int n A ds$$

where  $n$  is the electron concentration and  $A$  the cross-sectional area of the tube of force. The integral extends from 1000 km altitude to the equator. Since most of the tube volume is near the equator, we expect tube content to be roughly proportional to  $n_{eq} A_{eq} S$ . Since  $S$  is roughly proportional to  $L$ , and  $A_{eq} \propto \frac{1}{f_{Heq}} \propto L^3$ ,  $N_T$  varies roughly as  $n_{eq} L^4$ .

Using Eq. (2.3), we write

$$N_T = K_T \frac{f'_n t^2}{L} \quad (2.5)$$

where  $K_T$  is again a quasi-constant. In Eq. (2.2) through (2.5), the  $K$ 's are nearly constant so that once they are evaluated accurately as a function of  $f'_n$  and for various electron concentration models, their value can be read from graphs or approximated by empirical formulae with a high degree of precision.

#### B. ELECTRON CONCENTRATION MODELS

Four different models of electron distribution along magnetic field lines will be used in the next section. Angerami and Carpenter [1966] compared whistler results with electron concentrations at 1000 km altitude measured by the topside sounder aboard the Alouette I satellite, and found that a diffusive equilibrium model is a good approximation inside the plasmopause where equatorial electron concentrations are  $10^2$ - $10^3$   $\text{cm}^{-3}$ . Outside the plasmopause, where equatorial electron concentrations are  $\sim 10^0$ - $10^1$   $\text{cm}^{-3}$ , collisions may not be sufficiently frequent to establish a diffusive equilibrium distribution. Angerami and Carpenter [1966] showed that outside the plasmopause, an idealized collisionless model was more appropriate than diffusive equilibrium model. However, the choice of an appropriate model for low concentration regions remains to be put on firm ground.

The idealized collisionless model to be used in this report probably represents an extreme case as compared to most circumstances that occur outside the plasmopause. The actual distribution may fall somewhere between a diffusive-equilibrium and collisionless distribution. Because of the dynamic nature of the plasmopause region, some flux tubes undergo

large fluctuations in electron concentration, and the field line distribution must change accordingly. For example, during magnetic storms when the plasmapause moves inward, a collisionless model may temporarily be adequate to describe the low concentration region outside the storm-time plasmapause. During the recovery phase, the depleted flux tubes fill gradually from the ionosphere, and the field line distribution must become more like a diffusive equilibrium distribution as the electron concentration increases. For a distribution that might exist during the transition, we will consider a hybrid model in which the plasma is assumed to be in diffusive equilibrium from 1000 km altitude up to  $30^\circ$  in dipole latitude, but no collisions are allowed within  $30^\circ$  of the equator.

In the fourth model to be considered,  $n \propto r^{-4}$  along a field line, where  $r$  is the geocentric distance. As pointed out by Angerami [1966], this model closely approximates the collisionless model adopted here, and it has the advantage of computational simplicity. There now follows a description of each model and the abbreviations by which each model will be identified throughout this report.

#### 1. Diffusive Equilibrium (DE model)

Detailed treatment of the problem of a multi-component plasma in hydrostatic equilibrium along geomagnetic field lines can be found elsewhere in the literature (see, for example, Angerami and Thomas [1963] or Angerami [1966]). Here we adopt Angerami's [1966] formulation as given by his Eqs. (2.4) through (2.7).\*

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\*Angerami stated in a private communication that his Eq. (2.7b) is in error and should read

$$a = r_o \left[ 1 - \frac{1}{R} - \frac{\frac{2}{g_o} r_o}{2g_o} \left( R^2 \cos^2 \theta - \cos^2 \theta_o \right) \right]$$

$$n = n_1 \left[ \sum_i \xi_{i1} \exp\left(-\frac{z}{H_i}\right) \right]^{1/2} \quad (2.6)$$

$$z = r_1 - \frac{r_1^2}{r} - \frac{\Omega^2}{2g_1} \left( r^2 \cos^2\phi - r_1^2 \cos^2\phi_1 \right) \quad (2.7)$$

$$H_i = \frac{kT}{m_i g_1} \quad (2.8)$$

where

$\xi$  = fractional abundance of ionic species

$r$  = geocentric distance

$\Omega$  = angular rotational speed of the earth

$g$  = acceleration of gravity

$\phi$  = dipole latitude

$k$  = Boltzman's constant

$T$  = temperature

$m$  = mass

The subscript  $i$  refers to the  $i$ th ionic species ( $O^+$ ,  $He^+$  and  $H^+$ ), and the subscript  $1$  refers to the reference level at 1000 km altitude. Figure 3 illustrates the coordinates and the symbols used in Eqs. (2.6) through (2.8). The geopotential height  $z$  takes into account variations of gravitational force with distance and the centrifugal force due to corotation of the plasma with the earth. The centrifugal force term becomes important only for  $L \gtrsim 6$  [Angerami, 1966]. For simplicity, it is assumed that the magnetospheric plasma corotates with the earth at all latitudes of interest here. At high latitudes, particularly outside the plasmopause,

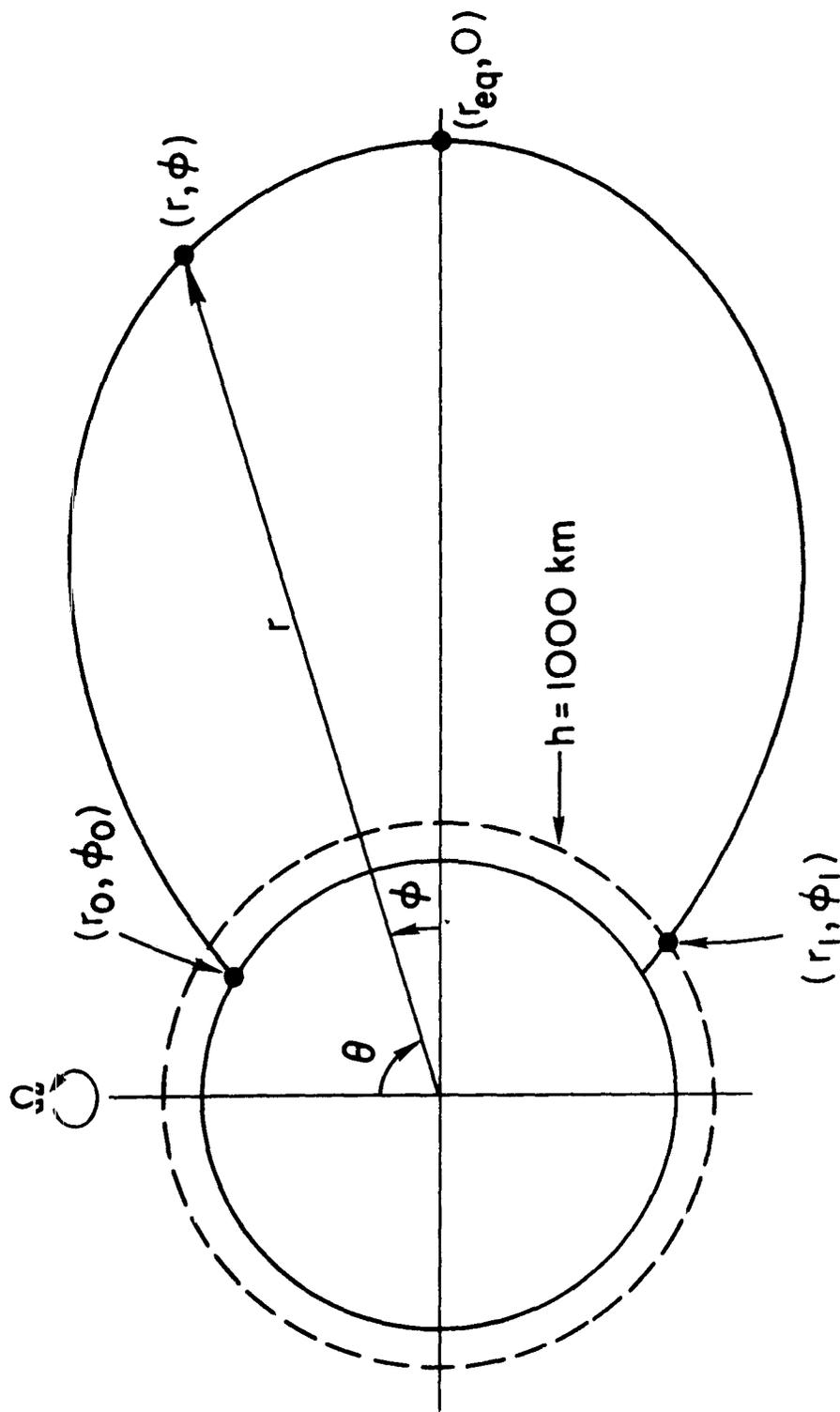


Figure 3. A sketch of the earth and a magnetic field line illustrating the coordinate system and symbols used in this report.

this may not be a good assumption. The effects on the field-line distribution of plasma of centrifugal force associated with convective motions in the outer magnetosphere need further investigation.

Equations (2.6) through (2.8) show that electron concentration depends on the temperature and the ionic composition at the reference level as well as on geometric factors. We will adopt several different combinations of the temperature and ionic composition at 1000 km altitude as summarized in Table 1. It is assumed that  $T = T_e = T_i$  and that  $T$  is constant with altitude. Since whistlers essentially measure electron concentrations near the equator, it is preferable to normalize  $n$  to the equatorial concentration rather than to the concentration at the base of the magnetosphere. Equation (2.6) is rewritten as

$$n = n_{eq} \left[ \frac{\sum_i \xi_{i1} \exp\left(-\frac{z}{H_i}\right)}{\sum_i \xi_{i1} \exp\left(-\frac{z_{eq}}{H_i}\right)} \right]^{1/2} \quad (2.9)$$

where the subscript eq refers to the dipole equator. Figure 4 illustrates how electron concentration varies along lines of force for different  $L$ -values according to the DE-1 model (see Table 1). The distance along the field line  $S$  is measured from the equatorial plane, and the terminal points of the curves correspond to the base level at 1000 km altitude. Near the base level,  $O^+$  and  $He^+$  concentrations decrease rapidly with altitude and  $H^+$  soon becomes the major ion. Beyond that point, electron concentration varies slowly with distance because of the large plasma scale height. If the temperature or ionic composition is changed, the curves in Figure 4 remain essentially unchanged at distances more than a few hundred kilometers above the base level. The only significant changes in electron

TABLE I. PARAMETERS OF DIFFUSIVE EQUILIBRIUM MODELS

Abbreviation	Temperature	Composition at 1000 km.		
		O <sup>+</sup>	H <sup>+</sup>	He <sup>+</sup>
DE- 1	1600 <sup>o</sup> K	90%	8%	2%
DE- 2	3200	90	8	2
DE- 3	1600	50	40	10
DE- 4	800	50	40	10

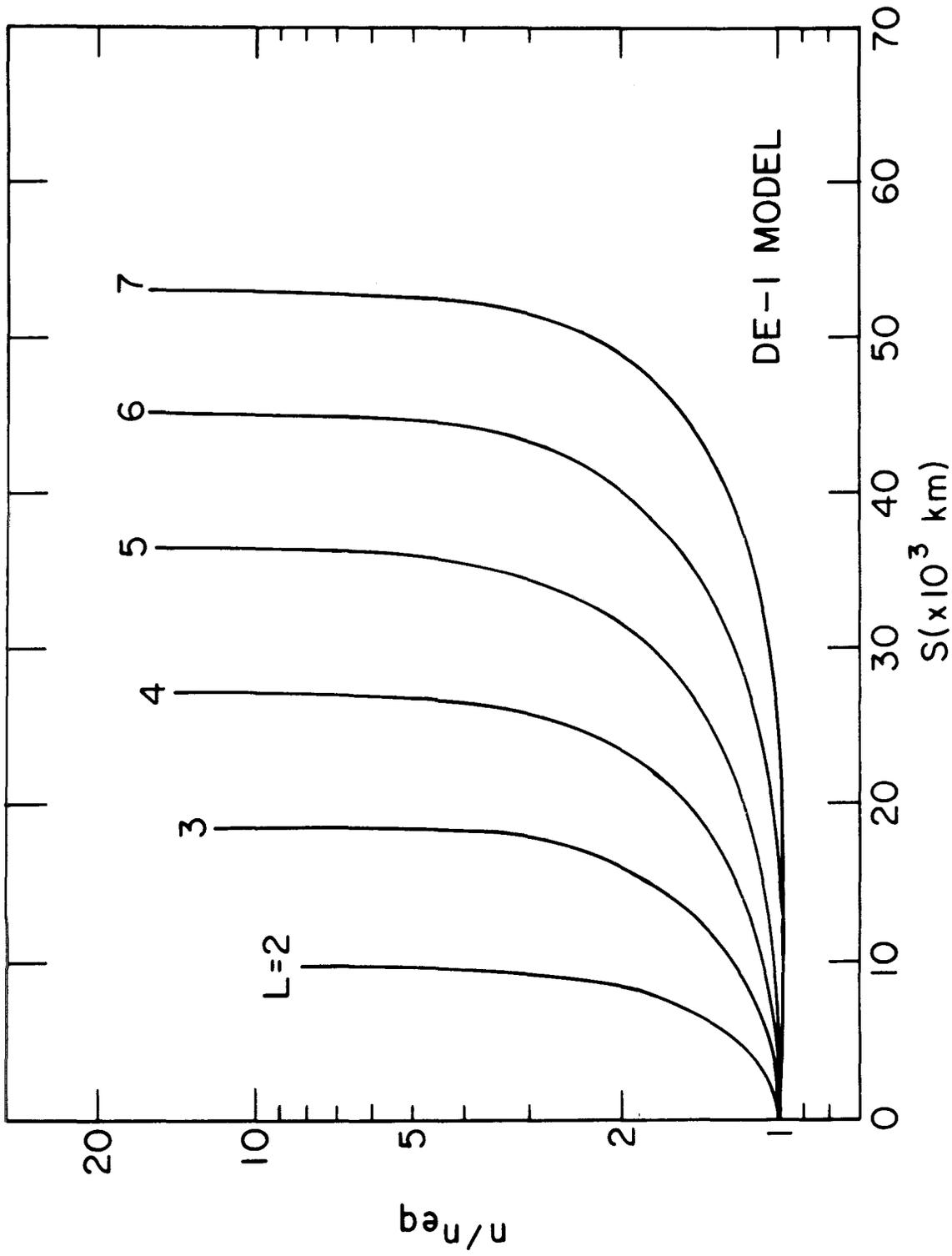


Figure 4. A plot of normalized electron concentration versus distance along field lines at several L values according to a diffusive equilibrium model. ( $T_i = T_e = 1600^\circ\text{K}$ , 90%  $\text{O}^+$ , 8%  $\text{H}^+$  and 2%  $\text{He}^+$  at 1000 km) the arc length is measured from the equator, and the end points of the curves correspond to 1000 km altitude.

distribution occur where  $O^+$  and  $He^+$  concentrations are important.

## 2. Collisionless Model (CL model)

We adopt the formulation of Angerami [1966] for the distribution of a proton-electron plasma along lines of force above 1000 km altitude under the influence of gravitational force, a charge separation electric field, and centrifugal force due to corotation with the earth, but not the effects of collisions between particles. The concentration is given by

$$n = n_1 \left\{ \exp\left(-\frac{z}{2H}\right) - \left(1 - \frac{B}{B_1}\right)^{1/2} \exp\left[-\frac{z}{2H\left(1 - \frac{B}{B_1}\right)}\right] \right\} \quad (2.10)$$

where  $B$  is the magnetic field strength and  $z$  and  $H$  are given by Eqs. (2.7) and (2.8), respectively. Eliminating  $n_1$  in Eq. (2.10),

$$n = n_{eq} \frac{\left\{ \exp\left(-\frac{z}{2H}\right) - \left(1 - \frac{B}{B_1}\right)^{1/2} \exp\left[-\frac{z}{2H\left(1 - \frac{B}{B_1}\right)}\right] \right\}}{\left\{ \exp\left(-\frac{z_{eq}}{2H}\right) - \left(1 - \frac{B_{eq}}{B_1}\right)^{1/2} \exp\left[-\frac{z_{eq}}{2H\left(1 - \frac{B_{eq}}{B_1}\right)}\right] \right\}} \quad (2.11)$$

We assume a constant temperature of  $3200^\circ K$  for both electrons and protons. Figure 5 shows the normalized electron concentration as a function of distance along lines of force for several L-values. The CL model differs from DE models in presenting a much faster variation in electron concentration with distance, particularly near the equator.

## 3. $n \propto r^{-4}$ Model (R-4 model)

This model approximates the collisionless model very closely, and

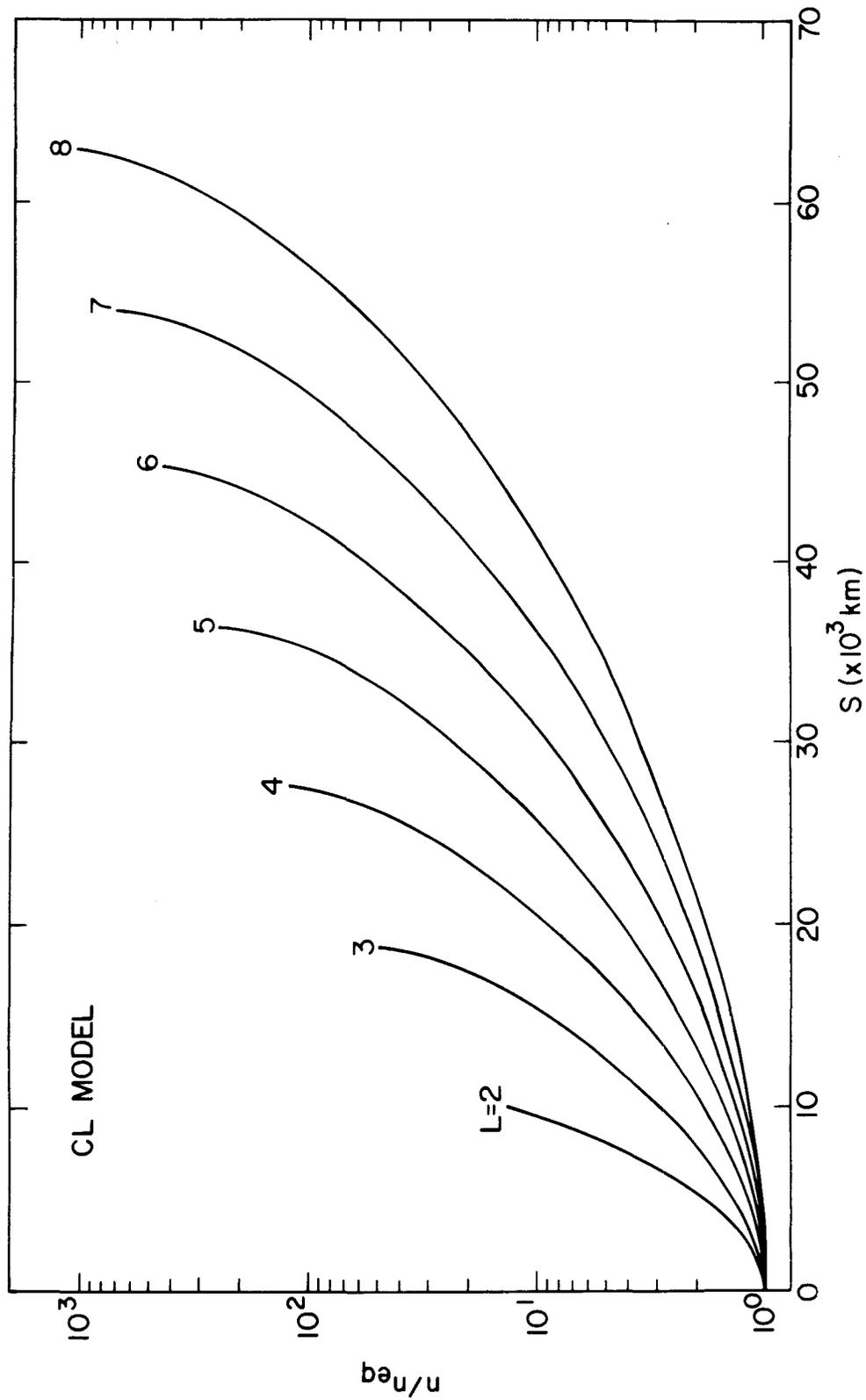


Figure 5. A plot of normalized electron concentration in a format similar to that of Figure 4 but according to an idealized collisionless model.

has the advantage of being much simpler.

$$n = n_1 r_1^4 r^{-4} \quad (2.12)$$

or

$$n = n_{eq} r_{eq}^4 r^{-4} \quad (2.13)$$

#### 4. Hybrid Model (HY model)

This model combines a diffusive equilibrium model and a collisionless model. We apply DE-2 model (90% O<sup>+</sup>, 2% He<sup>+</sup> and 8% H<sup>+</sup> at 1000 km and T = 3200<sup>o</sup>K) from 1000 km altitude up to 30<sup>o</sup> dipole latitude and CL model (collisionless H<sup>+</sup> and electrons at 3200<sup>o</sup>K) from 30<sup>o</sup> to the dipole equator. This is an attempt to recognize the fact that when the magnetospheric concentrations are low, the plasma may not be in diffusive equilibrium, but at the same time, it is unrealistic to assume no collisions down to 1000 km altitude, as is done in the CL model. The electron concentration according to this hybrid model is illustrated in Figure 6.

The models described above are listed on page xi for quick reference.

#### C. CALCULATIONS

Eq. (2.1) is rewritten as

$$t(f) = \frac{1}{c} \int_0^{\phi_1} \frac{f_p}{f^{1/2} f_H^{1/2} (1 - \frac{f}{f_H})^{3/2}} \frac{ds}{d\phi} d\phi \quad (2.14)$$

where  $\phi$  is the magnetic latitude, and  $\phi_1$  the magnetic latitude at 1000 km altitude. For a dipole field (see Appendix),

$$r = r_o L \cos^2 \phi \quad \text{and} \quad \frac{dr}{d\phi} = -2r_o L \cos\phi \sin\phi$$

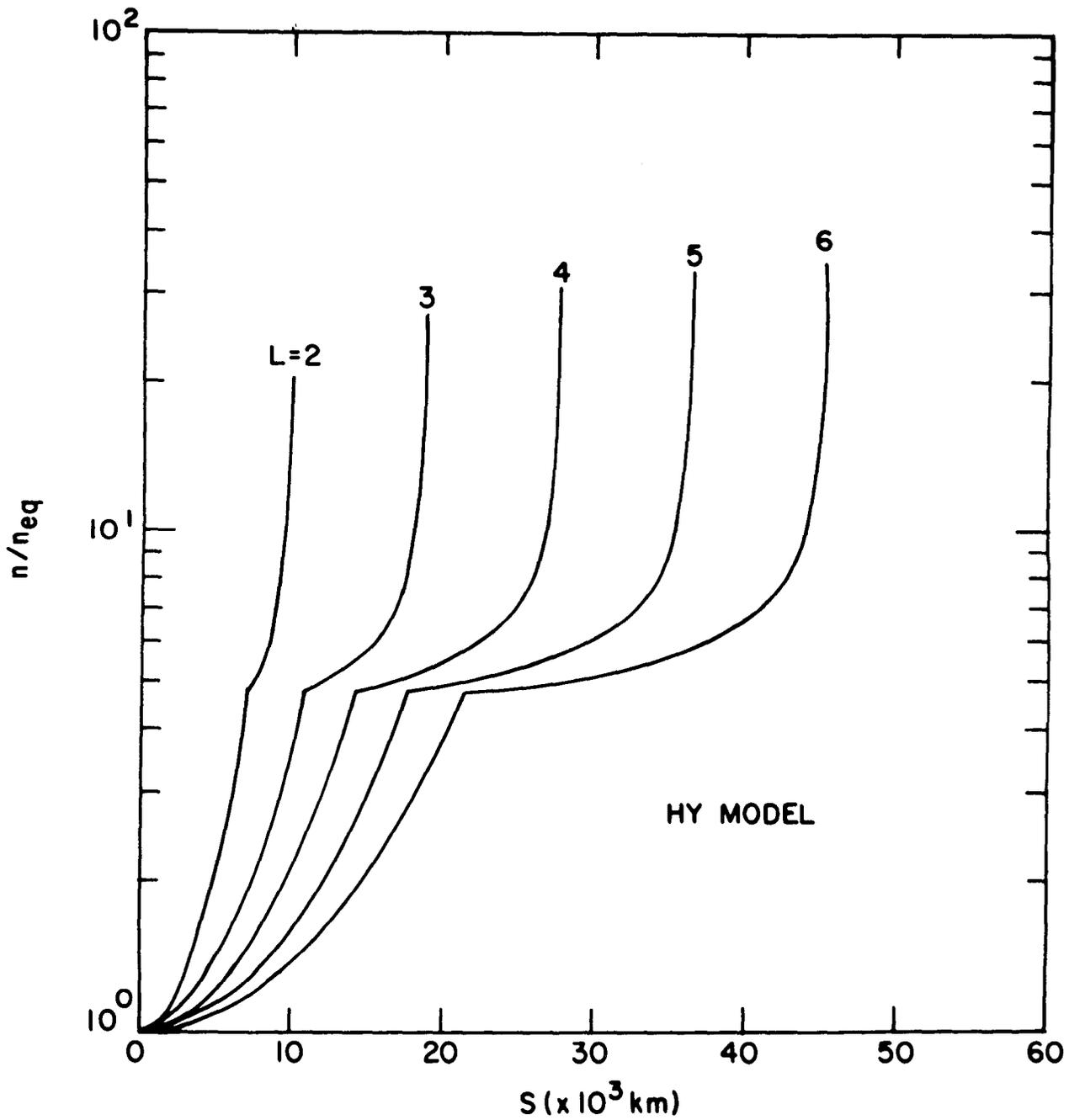


Figure 6. A plot of normalized electron concentration in a format similar to that of Figure 4 but according to a hybrid model (see the text for details).

so that

$$\frac{ds}{d\phi} = \left[ \left( \frac{dr}{d\phi} \right)^2 + r^2 \right]^{1/2} = r_0 L \cos\phi \left( 1 + 3 \sin^2\phi \right)^{1/2} \quad (2.15)$$

The electron gyrofrequency can be expressed as (see Appendix)

$$f_H = f_{Hoeq} \left( \frac{r_0}{r} \right)^3 \left( 1 + 3 \sin^2\phi \right)^{1/2} \quad (2.16)$$

where  $f_{Hoeq}$  is the electron gyrofrequency at the earth's equator, or

$8.736 \times 10^5$  Hz. The plasma frequency is

$$f_p = \alpha n^{1/2} = \alpha \left[ n_{eq} \lambda(\phi) \right]^{1/2} \quad (2.17)$$

where  $\alpha$  is a constant, and  $\lambda(\phi)$  represents various electron concentration models described in the previous section. The upper limit in the integration  $\phi_1$  is obtained from the relation

$$\cos\phi_1 = \left( \frac{r_1}{r_0 L} \right)^{1/2} \quad (2.18)$$

Using Eq. (2.15) through (2.17), Eq. (2.14) can be written as

$$t(f) = \beta n_{eq}^{1/2} \int_0^{\phi_1} g(\phi, f) d\phi \equiv \beta n_{eq}^{1/2} I(f) \quad (2.19)$$

where  $\beta$  is a constant.

For a given field line, say  $L = 4$ ,  $\phi_1$  is evaluated to the nearest even tenth of a degree (the maximum error in  $\phi_1$  is then  $0.1^\circ$ ). The integral is evaluated numerically by Simpson's rule with  $\Delta\phi = 0.1^\circ$ , and by

iteration, we search for the wave frequency  $f'_n$  which gives the minimum value of the integral  $I(f'_n)$ . The iteration is continued until the error in  $f'_n$  is reduced to less than 0.2%. This procedure is repeated for various L-values and various electron concentration models. The results are tabulated in the second column of Tables 2 through 8 in the next section. Following the reasoning given in Section 2A, we express the numerical results in the same form as in Eqs. (2.2) through (2.5). The values of various K's are also tabulated in Tables 2 through 8.

Eq. (2.19),

$$n_{eq} = \left[ \frac{t'_n}{\beta I(f'_n)} \right]^2$$

when expressed in the form of Eq. (2.3), yields

$$K_{eq} = \frac{L^5}{f'_n \left[ \beta I(f'_n) \right]^2}$$

The electron concentration at 1000 km altitude is easily calculated from  $n_{eq}$  and the electron concentration model  $\lambda(\phi)$ :

$$n_1 = n_{eq} \lambda(\phi_1) = K_1 \frac{f'_n t'_n}{L^5}$$

$K_1$  and  $K_{eq}$  are then related by

$$K_1 = K_{eq} \lambda(\phi_1)$$

The tube content is

$$N_T = \int_0^{\phi_1} n A \frac{ds}{d\phi} d\phi \quad (2.20)$$

where A is the cross-sectional area of a tube of force with  $1 \text{ cm}^2$  area at

TABLE 2. RESULTS FOR THE DE-1 MODEL

L	$f'_n$	$K = \frac{f_{Heq}}{f'_n}$	$K_{eq} = \frac{n_{eq}^5 L}{f'_{t,2} n n}$	$K_1 = \frac{n_L^5}{f'_{t,2} n n}$	$K_T = \frac{N_T^L}{f'_{t,2} n n}$	$\frac{N_T}{n_{eq}}$
2	$3.870 \times 10^4$	2.821	27.55	227.4	$8.924 \times 10^9$	$5.184 \times 10^9$
2.5	$2.046 \times 10^4$	2.733	24.34	252.2	$8.533 \times 10^9$	$1.369 \times 10^{10}$
3	$1.195 \times 10^4$	2.708	23.58	282.8	$8.476 \times 10^9$	$2.911 \times 10^{10}$
4	$5.063 \times 10^3$	2.696	23.53	333.9	$8.560 \times 10^9$	$9.313 \times 10^{10}$
5	$2.586 \times 10^3$	2.703	24.06	370.5	$8.723 \times 10^9$	$2.266 \times 10^{11}$
6	$1.493 \times 10^3$	2.708	24.70	393.4	$8.872 \times 10^9$	$4.655 \times 10^{11}$
7	$9.361 \times 10^2$	2.721	25.49	407.3	$9.041 \times 10^9$	$8.517 \times 10^{11}$
8	$6.235 \times 10^2$	2.737	26.34	413.1	$9.210 \times 10^9$	$1.432 \times 10^{12}$

TABLE 3. RESULTS FOR THE DE-2 MODEL.

L	$f'_n$ (Hz)	$K = \frac{f_{Heq}}{f'_n}$	$K_{eq} = \frac{n_{eq} L^5}{f'_{t,1}{}^2 n_n}$	$K_1 = \frac{n_1 L^5}{f'_{t,1}{}^2 n_n}$	$K_T = \frac{N_T L}{f'_{t,1}{}^2 n_{eq}}$	$\frac{N_T}{n_{eq}}$
2	$3.832 \times 10^4$	2.850	29.60	158.4	$8.998 \times 10^9$	$4.864 \times 10^9$
2.5	$2.015 \times 10^4$	2.775	26.62	160.3	$8.688 \times 10^9$	$1.275 \times 10^{10}$
3	$1.177 \times 10^4$	2.750	25.74	167.1	$8.674 \times 10^9$	$2.730 \times 10^{10}$
4	$5.000 \times 10^3$	2.730	25.30	178.9	$8.785 \times 10^9$	$8.889 \times 10^{10}$
5	$2.560 \times 10^3$	2.730	25.43	187.3	$8.938 \times 10^9$	$2.197 \times 10^{11}$
6	$1.478 \times 10^3$	2.736	25.72	192.7	$9.082 \times 10^9$	$4.576 \times 10^{11}$
7	$9.291 \times 10^2$	2.741	26.08	195.8	$9.211 \times 10^9$	$8.479 \times 10^{11}$
8	$6.219 \times 10^2$	2.743	26.44	196.6	$9.312 \times 10^9$	$1.443 \times 10^{12}$

TABLE 4. RESULTS FOR THE DE-3 MODEL

L	$f'_n$ (Hz)	$K = \frac{f_{Heq}}{f'_n}$	$K_{eq} = \frac{n_{eq}^5 L}{f'^2 t'^2 n}$	$K_1 = \frac{n_1^5 L}{f'^2 t'^2 n}$	$K_T = \frac{N_{TL}}{f'^2 t'^2 n}$	$\frac{N_T}{n_{eq}}$
2	$3.851 \times 10^4$	2.836	28.08	103.7	$8.945 \times 10^9$	$5.096 \times 10^9$
2.5	$2.041 \times 10^4$	2.740	24.62	114.1	$8.552 \times 10^9$	$1.357 \times 10^{10}$
3	$1.195 \times 10^4$	2.709	23.70	127.1	$8.482 \times 10^9$	$2.899 \times 10^{10}$
4	$5.063 \times 10^3$	2.696	23.58	149.7	$8.568 \times 10^9$	$9.301 \times 10^{10}$
5	$2.586 \times 10^3$	2.703	24.10	165.9	$8.729 \times 10^9$	$2.264 \times 10^{11}$
6	$1.493 \times 10^3$	2.708	24.73	176.1	$8.878 \times 10^9$	$4.653 \times 10^{11}$
7	$9.361 \times 10^2$	2.721	25.50	182.3	$9.045 \times 10^9$	$8.516 \times 10^{11}$
8	$6.235 \times 10^2$	2.737	26.35	184.8	$9.213 \times 10^9$	$1.432 \times 10^{12}$

TABLE 5. RESULTS FOR THE DE-4 MODEL

L	$f'_n$ (Hz)	$K = \frac{f_{Heq}}{f'_n}$	$K_{eq} = \frac{n_{eq} L^5}{f'^5_n n}$	$K_1 = \frac{n_1 L^5}{f'^5_n n}$	$K_T = \frac{N_T L}{f'^5_n n}$	$\frac{N_T}{n_{eq}}$
2	$3.977 \times 10^4$	2.746	22.78	196.7	$8.793 \times 10^9$	$6.176 \times 10^9$
2.5	$2.117 \times 10^4$	2.641	19.65	267.1	$8.302 \times 10^9$	$1.650 \times 10^{10}$
3	$1.239 \times 10^4$	2.611	19.10	347.7	$8.141 \times 10^9$	$3.452 \times 10^{10}$
4	$5.240 \times 10^3$	2.605	19.70	501.8	$8.106 \times 10^9$	$1.054 \times 10^{11}$
5	$2.657 \times 10^3$	2.630	21.02	630.1	$8.260 \times 10^9$	$2.456 \times 10^{11}$
6	$1.523 \times 10^3$	2.655	22.49	721.5	$8.455 \times 10^9$	$4.873 \times 10^{11}$
7	$9.478 \times 10^2$	2.687	24.15	780.3	$8.705 \times 10^9$	$8.653 \times 10^{11}$
8	$6.282 \times 10^2$	2.716	25.90	805.7	$8.959 \times 10^9$	$1.417 \times 10^{12}$

TABLE 6. RESULTS FOR THE CL MODEL

L	$f'_n$ (Hz)	$K = \frac{f_{Heq}}{f'_n}$	$K_{eq} = \frac{n_{eq} L^5}{f'_{t'}{}^2 n n}$	$K_1 = \frac{n_1 L^5}{f'_{t'}{}^2 n n}$	$K_T = \frac{N_T L}{f'_{t'}{}^2 n_{eq}}$	$\frac{N_T}{n_{eq}}$
2	$4.131 \times 10^4$	2.644	16.79	$2.223 \times 10^2$	$8.861 \times 10^9$	$8.444 \times 10^9$
2.5	$2.259 \times 10^4$	2.475	12.34	$3.733 \times 10^2$	$8.375 \times 10^9$	$2.651 \times 10^{10}$
3	$1.357 \times 10^4$	2.385	10.46	$6.061 \times 10^2$	$8.155 \times 10^9$	$6.316 \times 10^{10}$
4	$5.943 \times 10^3$	2.297	8.782	$1.366 \times 10^3$	$7.947 \times 10^9$	$2.317 \times 10^{11}$
5	$3.102 \times 10^3$	2.253	8.052	$2.612 \times 10^3$	$7.831 \times 10^9$	$6.078 \times 10^{11}$
6	$1.814 \times 10^3$	2.229	7.680	$4.453 \times 10^3$	$7.750 \times 10^9$	$1.308 \times 10^{12}$
7	$1.148 \times 10^3$	2.220	7.535	$7.032 \times 10^3$	$7.702 \times 10^9$	$2.454 \times 10^{12}$
8	$7.710 \times 10^2$	2.213	7.481	$1.041 \times 10^4$	$7.653 \times 10^9$	$4.190 \times 10^{12}$

TABLE 7. RESULTS FOR THE R-4 MODEL

L	$f'_n$ (Hz)	$K = \frac{f'_{Heq}}{f'_n}$	$K_{eq} = \frac{n_{eq} L^5}{f'_{t,2} n n}$	$K_1 = \frac{n_1 L^5}{f'_{t,2} n n}$	$K_T = \frac{N_T L}{f'_{t,2} n n}$	$\frac{N_T}{n_{eq}}$
2	$4.080 \times 10^4$	2.677	18.45	$1.648 \times 10^2$	$7.004 \times 10^9$	$6.073 \times 10^9$
2.5	$2.237 \times 10^4$	2.500	13.38	$2.917 \times 10^2$	$6.380 \times 10^9$	$1.863 \times 10^{10}$
3	$1.347 \times 10^4$	2.403	11.13	$5.031 \times 10^2$	$6.136 \times 10^9$	$4.466 \times 10^{10}$
4	$5.943 \times 10^3$	2.297	8.939	$1.277 \times 10^3$	$5.923 \times 10^9$	$1.696 \times 10^{11}$
5	$3.125 \times 10^3$	2.236	7.832	$2.732 \times 10^3$	$5.832 \times 10^9$	$4.654 \times 10^{11}$
6	$1.842 \times 10^3$	2.196	7.140	$5.164 \times 10^3$	$5.780 \times 10^9$	$1.049 \times 10^{12}$
7	$1.177 \times 10^3$	2.164	6.687	$8.960 \times 10^3$	$5.770 \times 10^9$	$2.072 \times 10^{12}$
8	$7.949 \times 10^2$	2.147	6.372	$1.457 \times 10^4$	$5.789 \times 10^9$	$3.721 \times 10^{12}$

TABLE 8. RESULTS FOR THE HY MODEL

L	$f'_n$ (Hz)	$K = \frac{f_{Heq}}{f'_n}$	$K_{eq} = \frac{n_{eq} L^5}{f'^2 n n}$	$K_1 = \frac{n_1 L^5}{f'^2 n n}$	$K_T = \frac{N_{TL}}{f'^2 n n}$	$\frac{N_T}{n_{eq}}$
2	$4.161 \times 10^4$	2.624	16.10	329.4	$8.924 \times 10^9$	$8.870 \times 10^9$
2.5	$2.220 \times 10^4$	2.518	13.34	324.5	$8.307 \times 10^9$	$2.432 \times 10^{10}$
3	$1.303 \times 10^4$	2.483	12.43	337.2	$8.136 \times 10^9$	$5.304 \times 10^{10}$
4	$5.572 \times 10^3$	2.450	11.69	363.9	$8.066 \times 10^9$	$1.767 \times 10^{11}$
5	$2.859 \times 10^3$	2.444	11.55	385.5	$8.120 \times 10^9$	$4.395 \times 10^{11}$
6	$1.658 \times 10^3$	2.440	11.54	400.2	$8.170 \times 10^9$	$9.173 \times 10^{11}$
7	$1.060 \times 10^3$	2.403	9.810	436.0	$8.238 \times 10^9$	$2.016 \times 10^{12}$

1000 km. It is inversely proportional to the magnetic field strength and can be written as

$$A = \frac{B_1}{B} = \left(\frac{r}{r_1}\right)^3 \left[ \frac{1 + 3 \sin^2 \phi_1}{1 + 3 \sin^2 \phi} \right]^{1/2} = \left[ \frac{\cos \phi}{\cos \phi_1} \right]^6 \left[ \frac{1 + 3 \sin^2 \phi_1}{1 + 3 \sin^2 \phi} \right]^{1/2}$$

$\frac{ds}{d\phi}$  is given by Eq. (2.15). Substituting these and  $n = n_{eq} \lambda(\phi)$  into Eq. (2.20),

$$N_T = \frac{n_{eq} r_o L (1 + 3 \sin^2 \phi_1)^{1/2}}{\cos^6 \phi_1} \int_0^{\phi_1} \lambda(\phi) \cos^7 \phi d\phi \quad (2.21)$$

The integral is evaluated numerically by Simpson's rule with  $\Delta\phi = 0.1^\circ$ , and the results are expressed in the form of Eq. (2.5),

$$N_T = K_T \frac{f'_n t_n'^2}{L} \quad (2.5)$$

#### D. TABULATION OF RESULTS

The results of the calculations are listed in Tables 2 through 8 for various electron concentration models. These tables represent numerical relationships between  $(f'_n, t'_n)$  and  $(L, n_{eq}, n_1, N_T)$  for selected L-values. The tables can be stored in a computer, and corresponding relationships for intermediate values of L can be calculated by interpolation. Parabolic interpolations involving  $t'_n$  and  $\log(f'_n)$  are usually satisfactory.

#### E. GRAPHICAL PRESENTATION OF RESULTS

In this section, the results of calculations are presented in various graphical forms whose usefulness depends on desired speed and accuracy. In Figure 7,  $f'_n$  is plotted versus L for a diffusive equilibrium model and

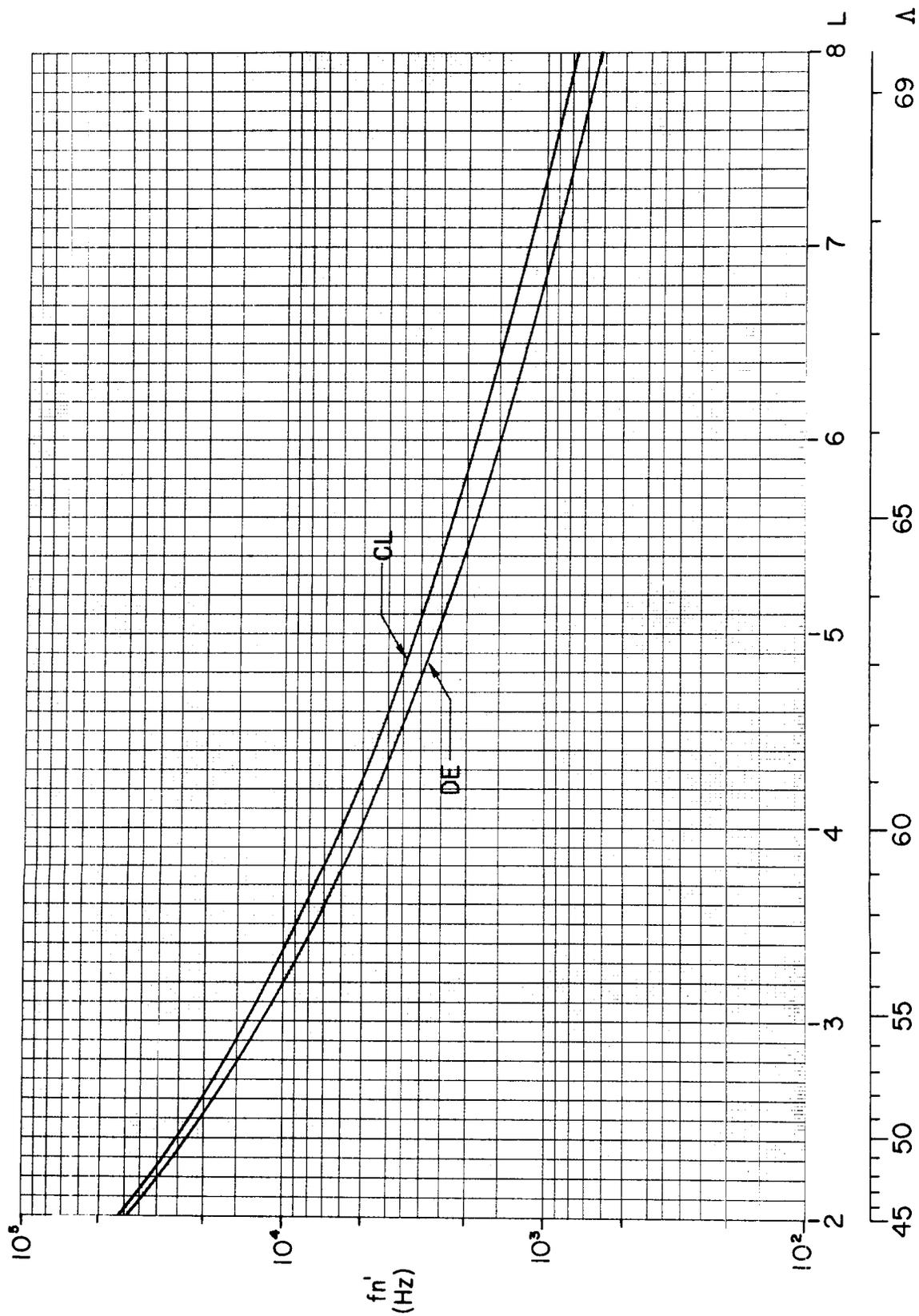


Figure 7. A plot of  $f_n'$  versus  $L$  and invariant latitude  $\Lambda$  for diffusive equilibrium and collisionless models of field-line plasma distribution.

collisionless model. The differences among various DE models and the difference between the CL model and the R-4 model cannot be resolved on plots of this scale. The curve for the hybrid model would lie about midway between the two curves shown.

Figures 8 through 11 can be used for a quick determination of  $n_{eq}$  and  $N_T$  from  $f'_n$  and  $t'_n$ . Figures 8 and 9 represent a diffusive equilibrium model, and Figures 10 and 11 a collisionless model. Again, the differences among various DE models and the differences between the CL Model and R-4 Model are too small to be shown in plots of this scale. It is not practical to obtain  $n_1$  directly from  $f'_n$  and  $t'_n$  in the manner of  $n_{eq}$  and  $N_T$ . The reason is that  $n_1$  depends sensitively on the electron concentration model, and thus will require a large number of graphs, each corresponding to a different model. An alternative approach is to obtain  $n_1$  from  $n_{eq}$  or  $N_T$ . Figures 12 and 13 show the relationships between  $n_{eq}$ ,  $n_1$  and  $N_T$  for various electron concentration models.

As pointed out in Section 2A, a greater precision can be achieved by the use of quasi-constants  $K$ ,  $K_{eq}$ ,  $K_1$  and  $K_T$ . Figures 14 through 21 show plots of various  $K$ 's versus  $f'_n$  for various electron concentration models. These values of  $K$ 's are then used in Eqns. (2.2) through (2.5) to calculate  $L$ ,  $n_{eq}$ ,  $n_1$ , and  $N_T$ .

#### F. OVERLAY METHOD

For a quick estimate of  $L$ ,  $n_{eq}$  and  $N_T$  directly from a whistler spectrogram, transparent overlays have been devised as illustrated in Figures 22 and 23. These overlays are for a diffusive equilibrium model, but similar overlays can be easily constructed for other models from the results in the previous section. The differences among various DE models

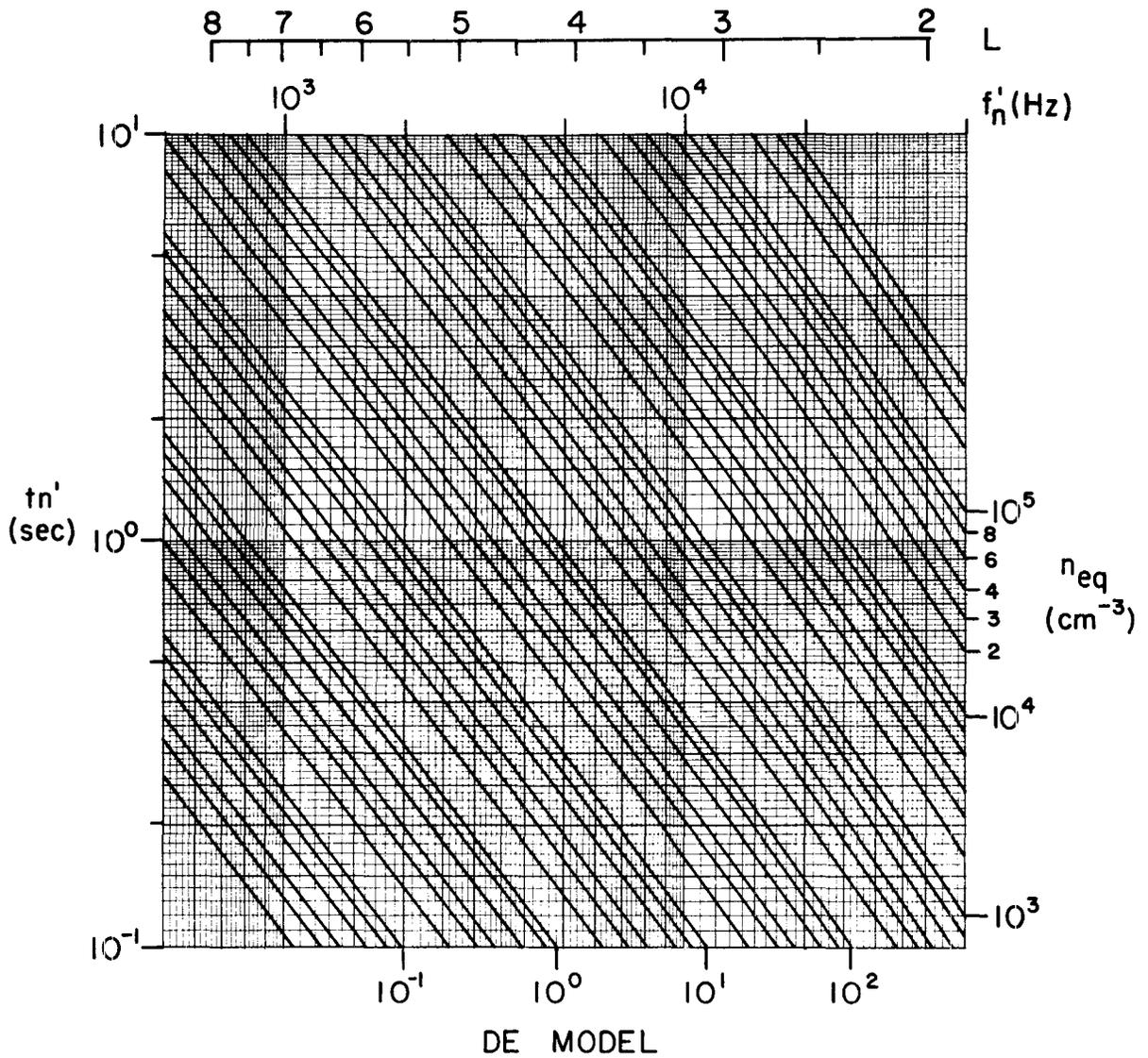


Figure 8. Curves of constant equatorial electron concentration for a diffusive equilibrium model plotted in  $t'_n - f'_n$  coordinates. The scale at top shows L-values.

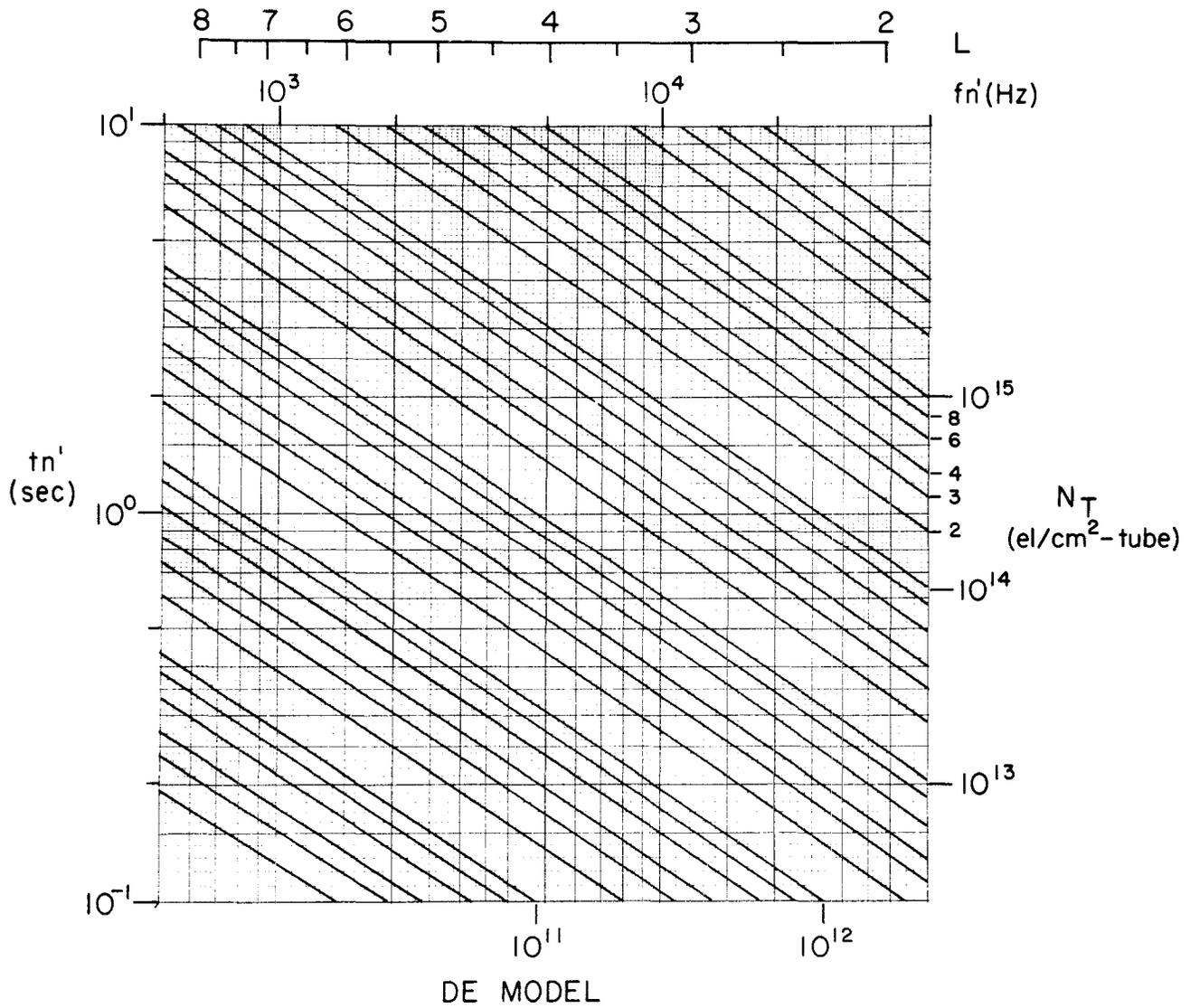


Figure 9. Curves of constant tube content for a diffusive equilibrium model. The format is similar to that of Figure 8.

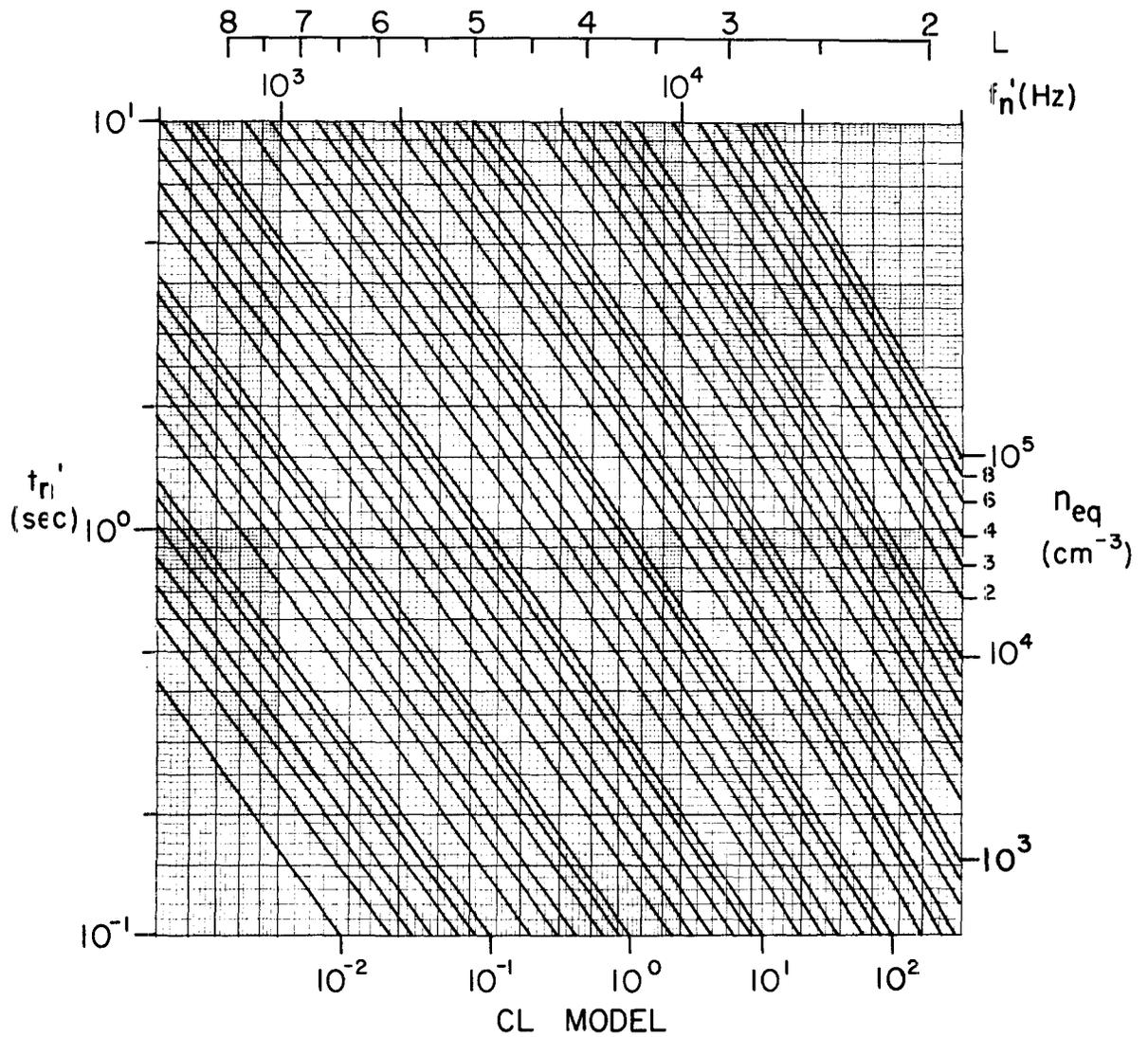


Figure 10. Curves of constant equatorial electron concentration for a collisionless model. The format is similar to that of Figure 8.

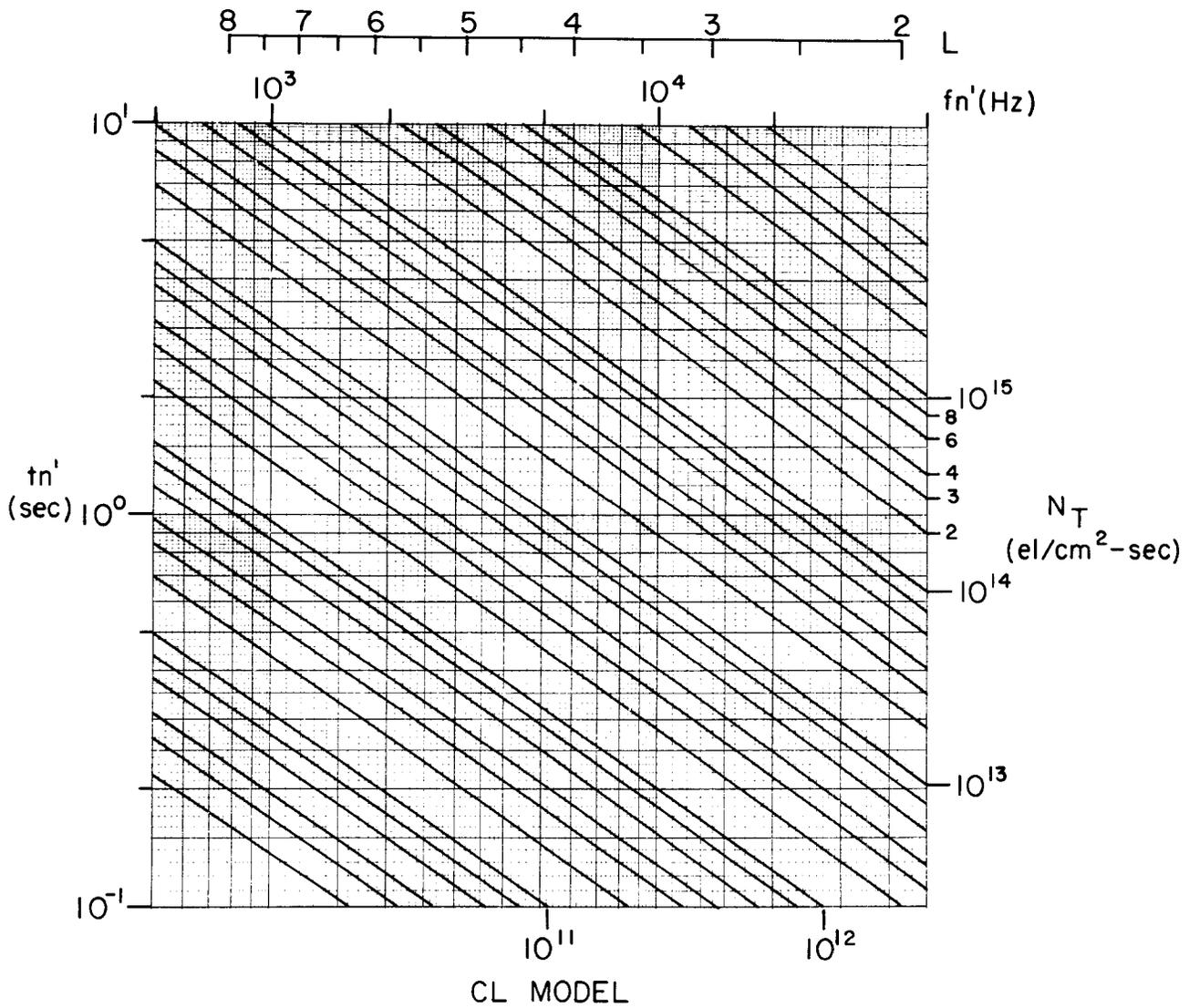


Figure 11. Curves of constant tube content for a collisionless model. The format is similar to that of Figure 8.

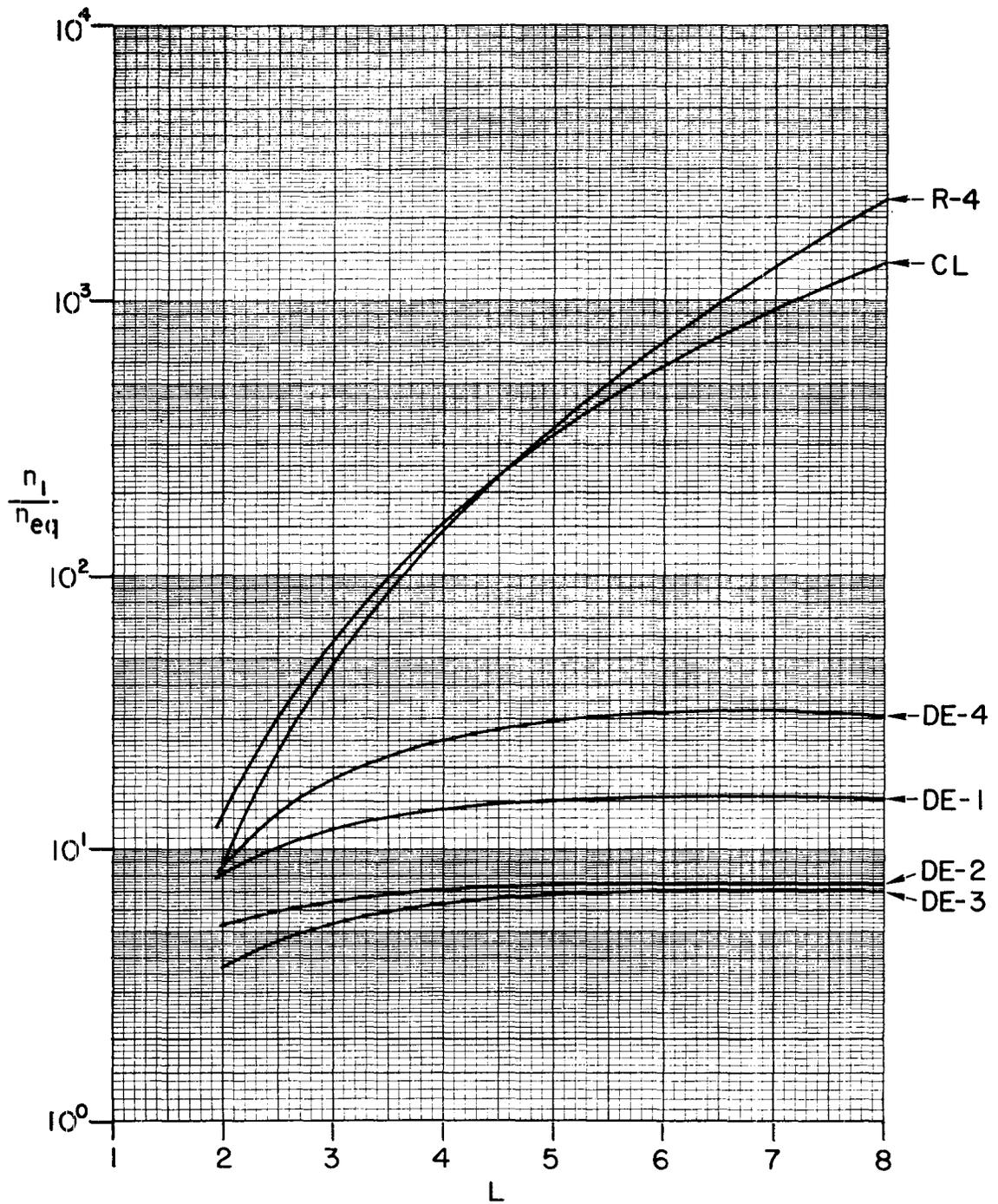


Figure 12. A plot of the ratio between the electron concentration at 1000 km altitude and the equatorial concentration as a function of  $L$  for different field-line distribution models.

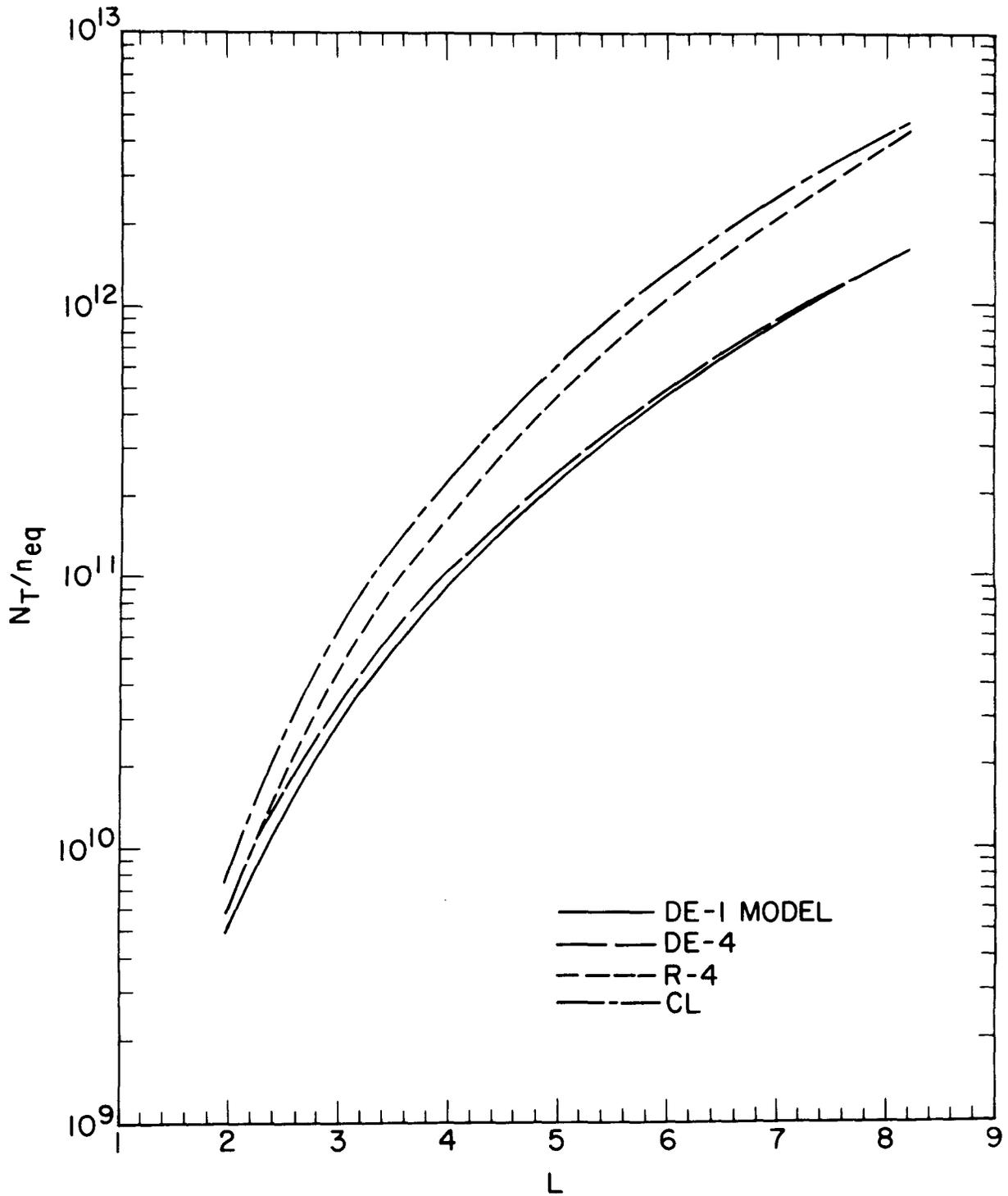


Figure 13. A plot of the ratio between the tube content and the equatorial electron concentration as a function of  $L$  for different field-line distribution models.

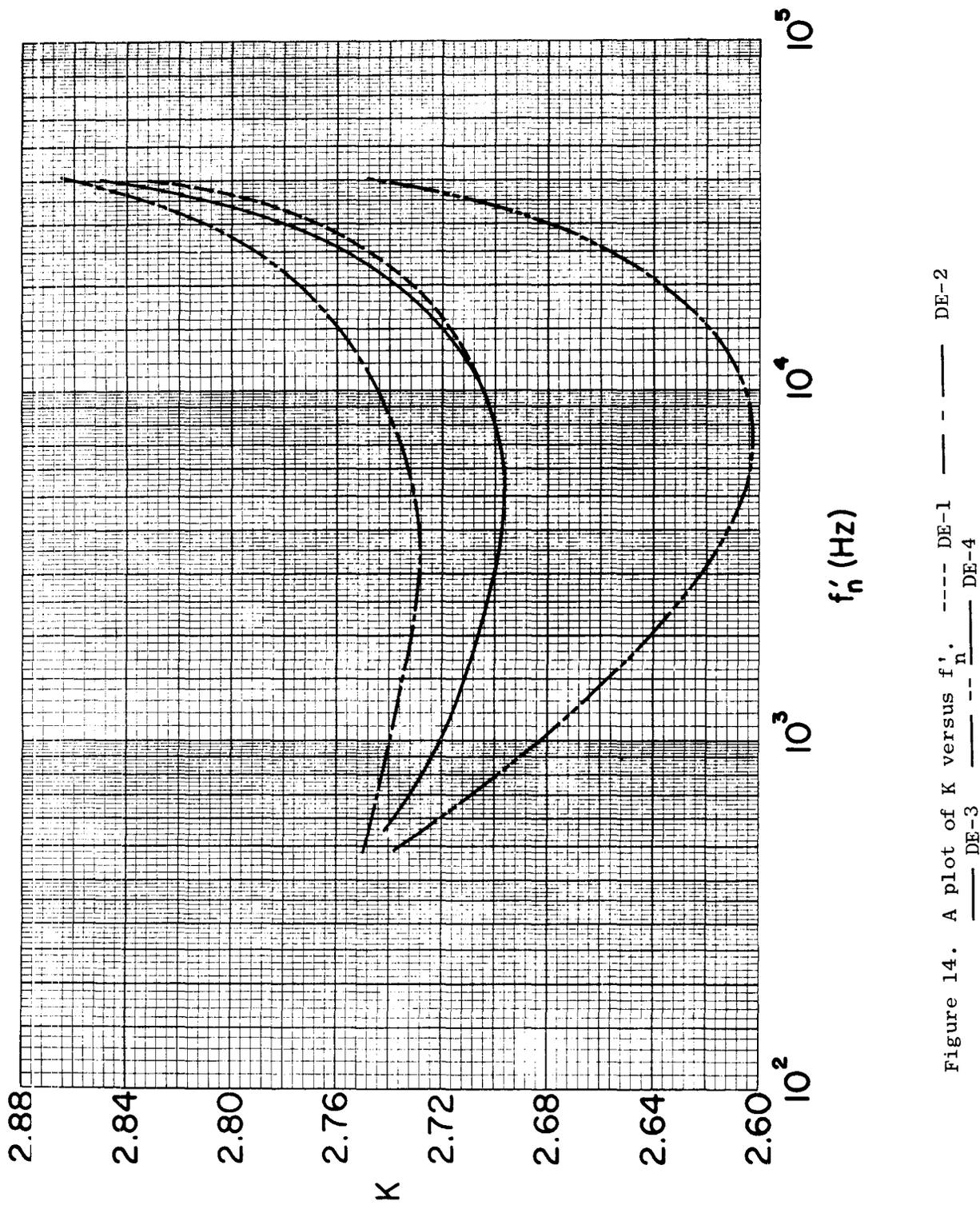


Figure 14. A plot of  $K$  versus  $f'_n$ . --- DE-1 - - - DE-2  
 — DE-3 — — — DE-4

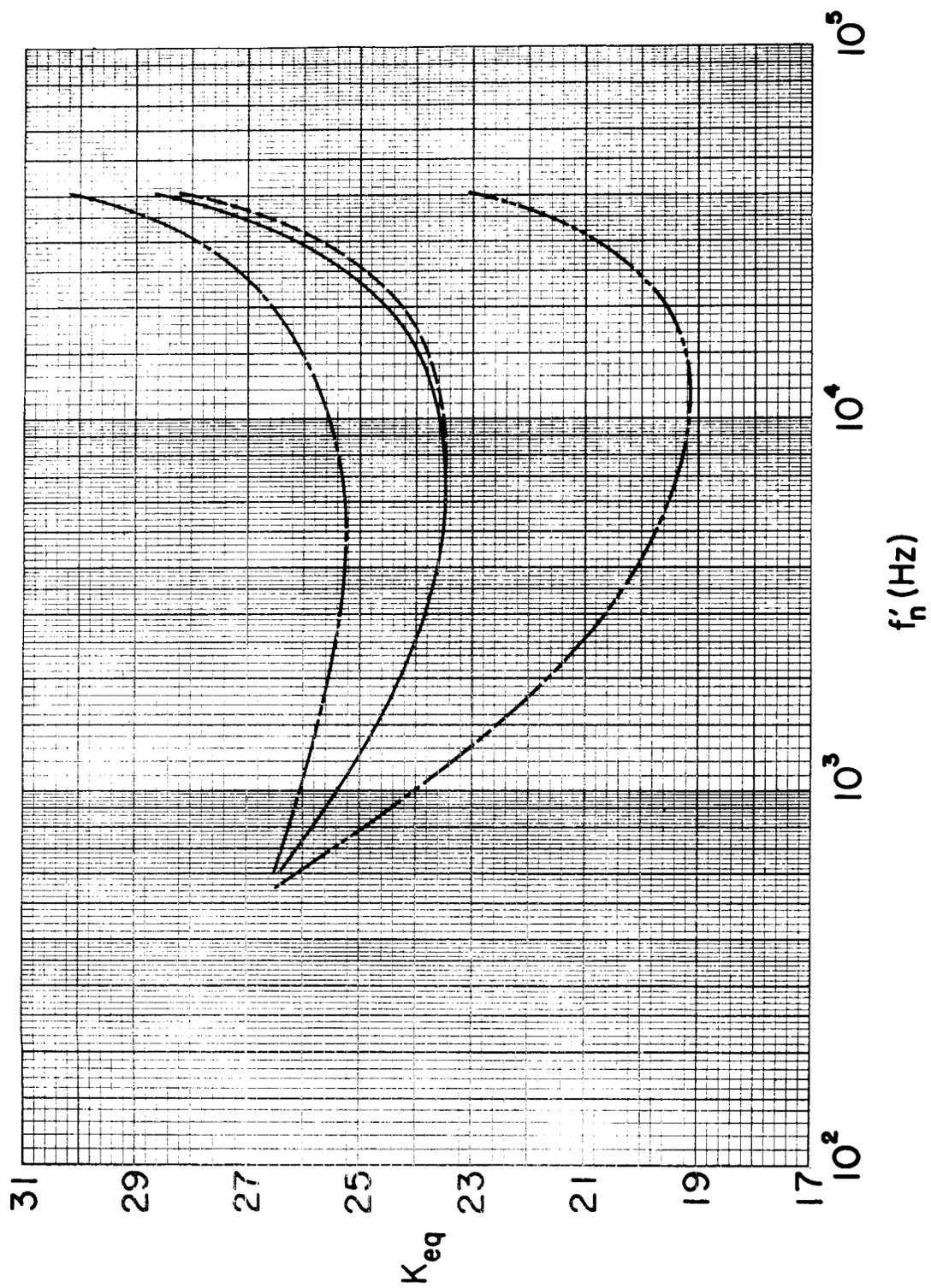


Figure 15. A plot of  $K_{eq}$  versus  $f'_n$ . --- DE-1 - - - DE-2  
 — DE-3 — — — DE-4

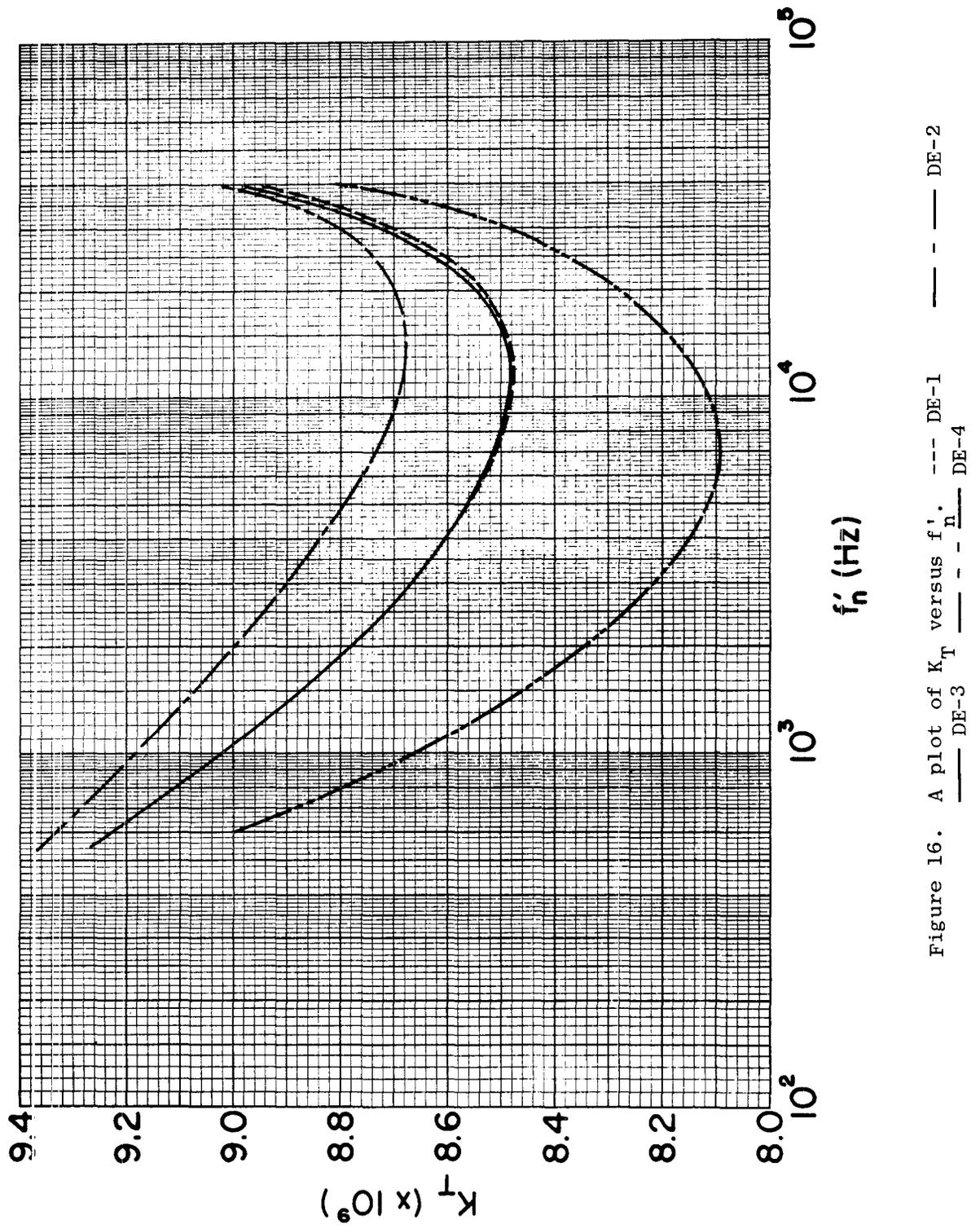


Figure 16. A plot of  $K_T$  versus  $f_n$ . --- DE-1 - - - DE-2  
 — DE-3 - - - DE-4

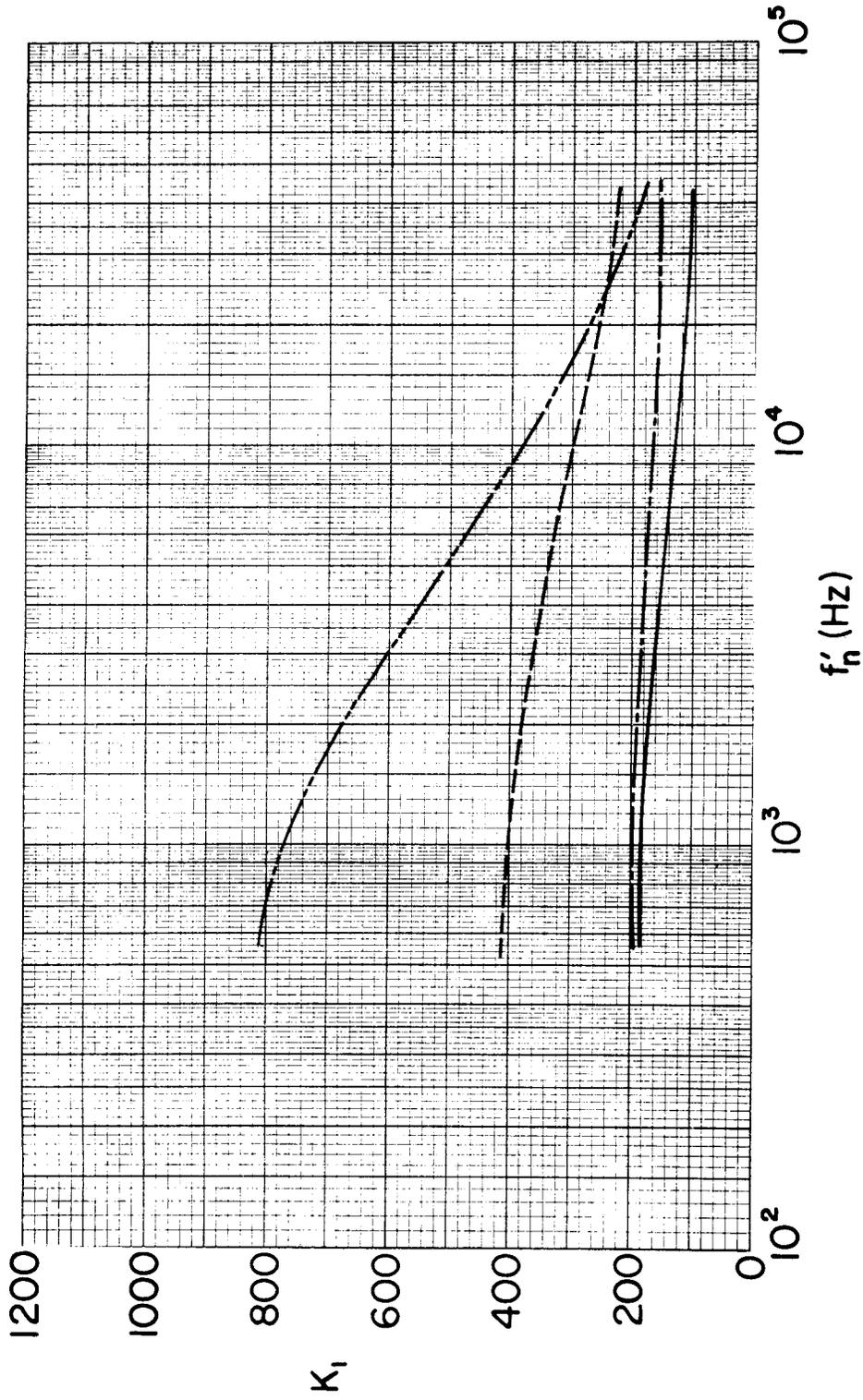


Figure 17. A plot of  $K_1$  versus  $f'_n$ . --- DE-1 - - - DE-2  
 - · - DE-3 - - - - DE-4

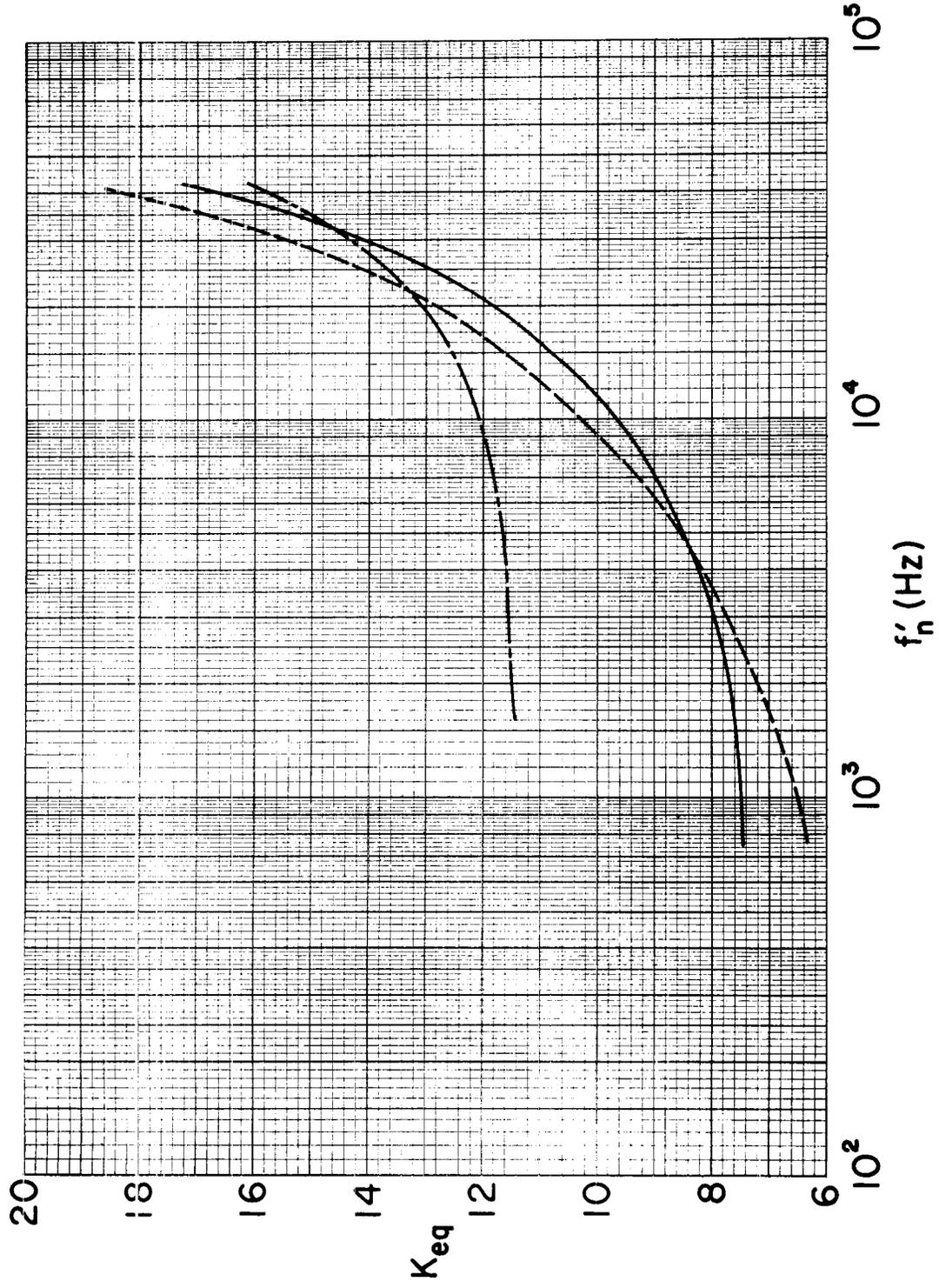


Figure 19. A plot of  $K_{eq}$  versus  $f'_n$ . — CL --- R-4 - - - - HY

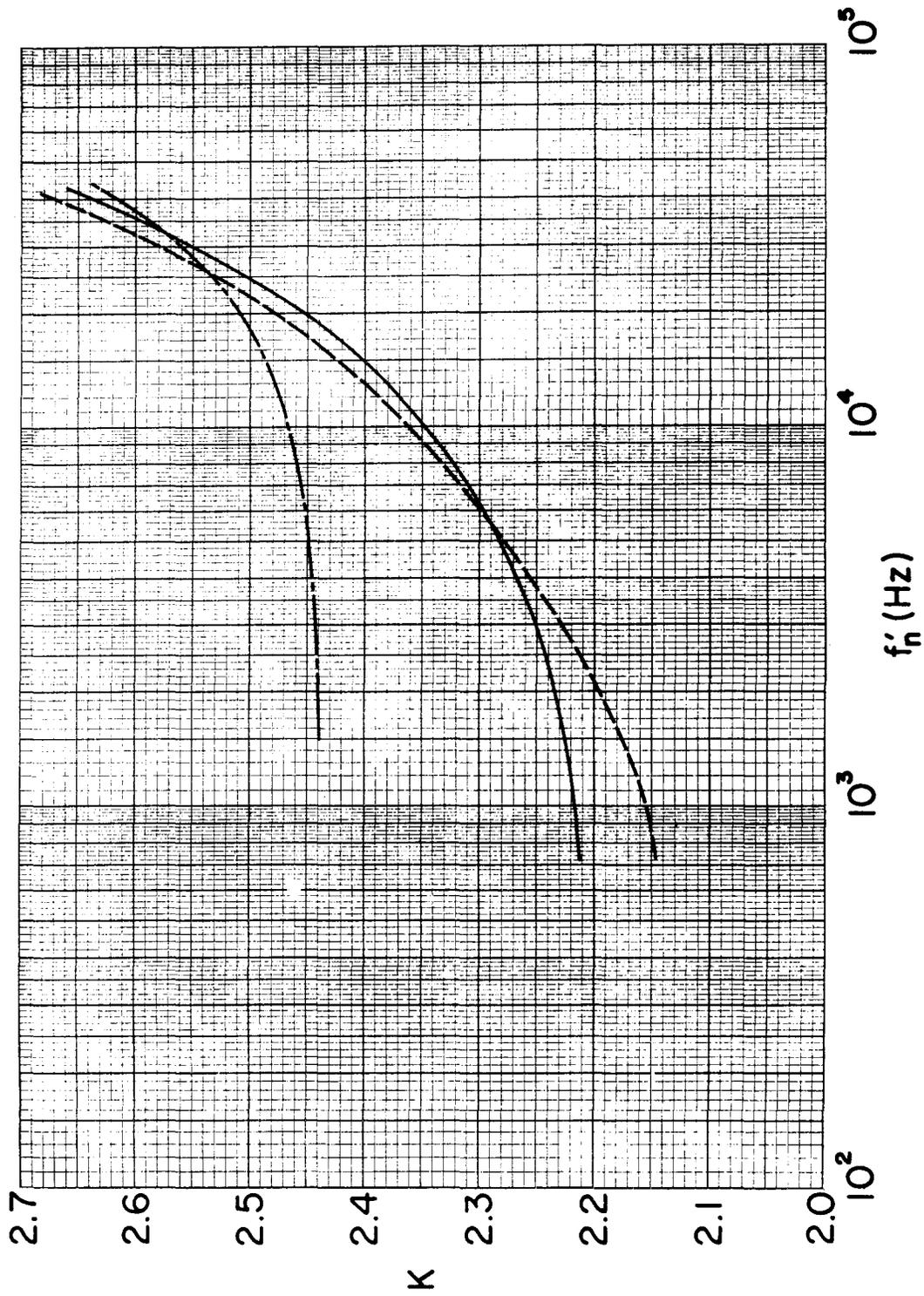


Figure 18. A plot of  $K$  versus  $f_n'$ . — CL — - - R-4 — - - HY

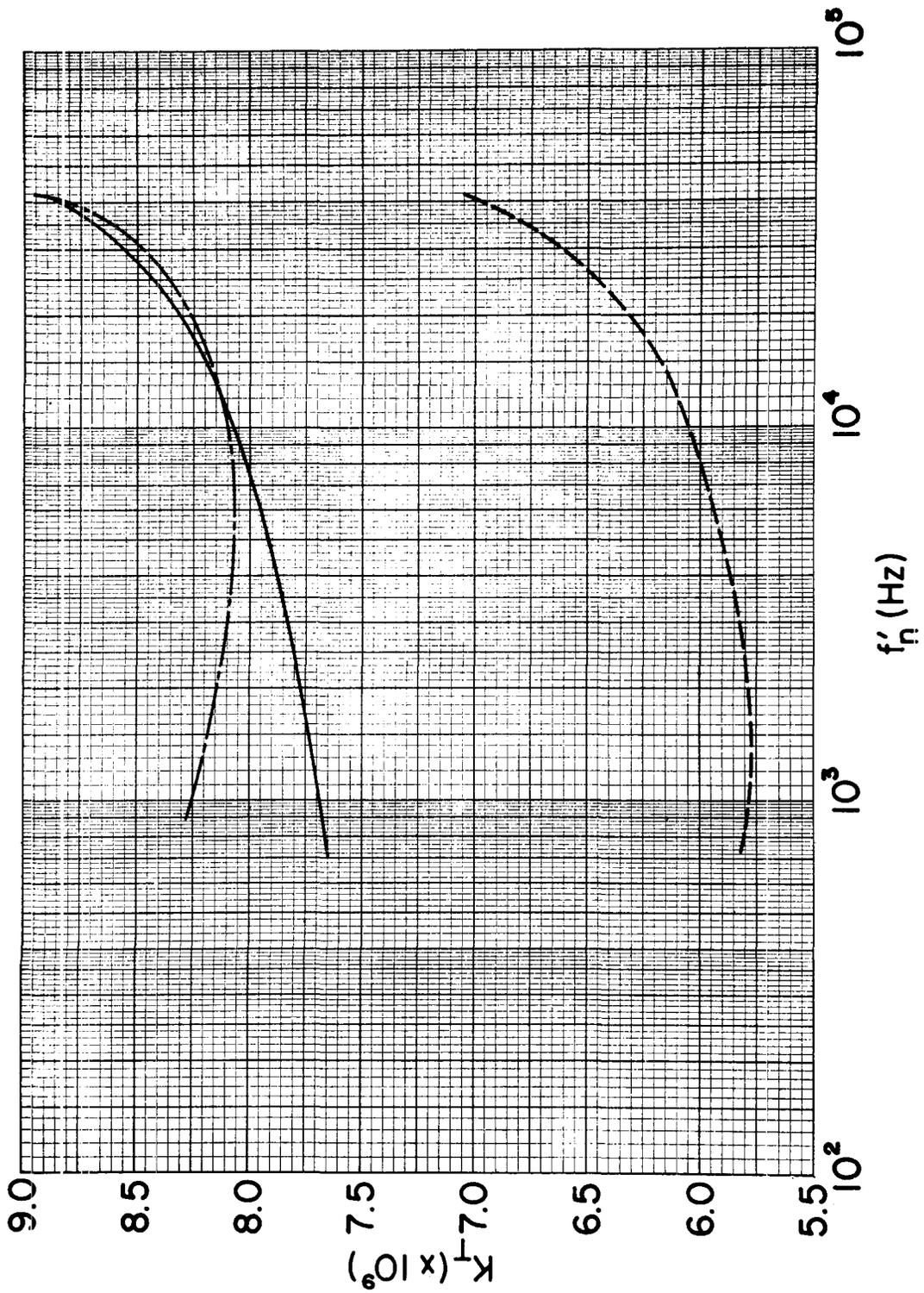


Figure 20. A plot of  $K_T$  versus  $f'_n$ . — CL — R-4 — — HY

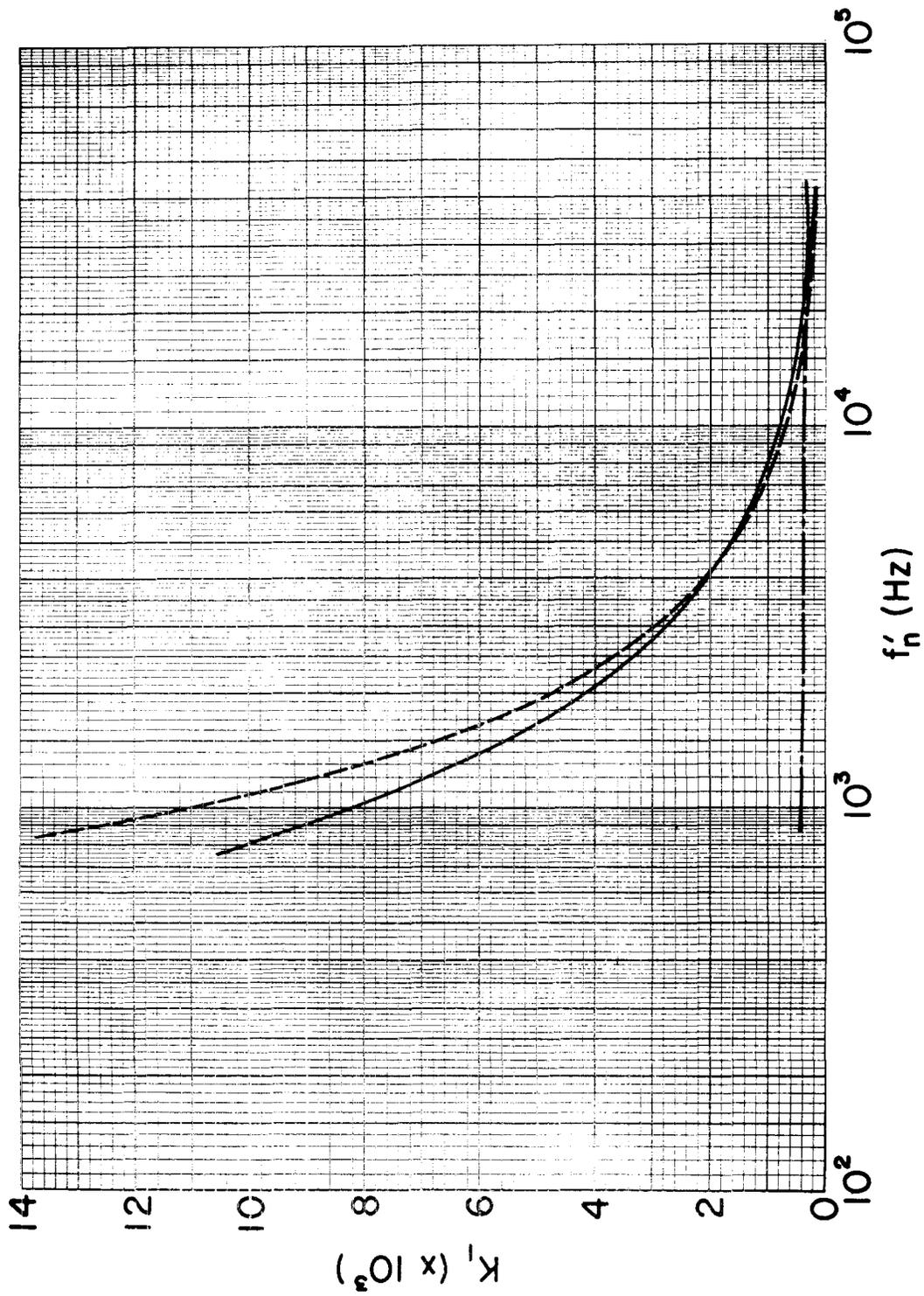


Figure 21. A plot of  $K_1$  versus  $f'_n$ . — CL    - - - R-4    - · - · - HY

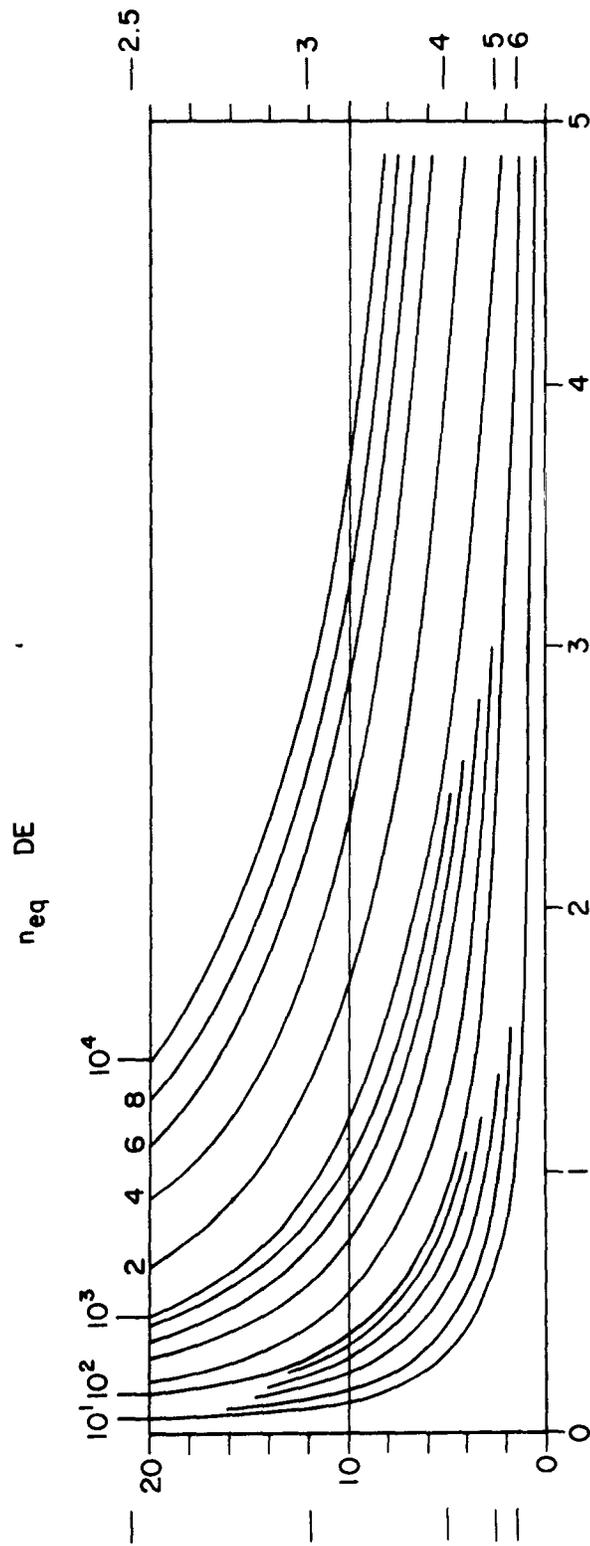


Figure 22. An overlay designed to be used on whistler spectrograms. The vertical scales are frequency in kHz (left) and L-value (right), and the horizontal scale is time in seconds. The sloping curves represent constant values of equatorial electron concentration assuming diffusive equilibrium distribution of plasma along field lines.

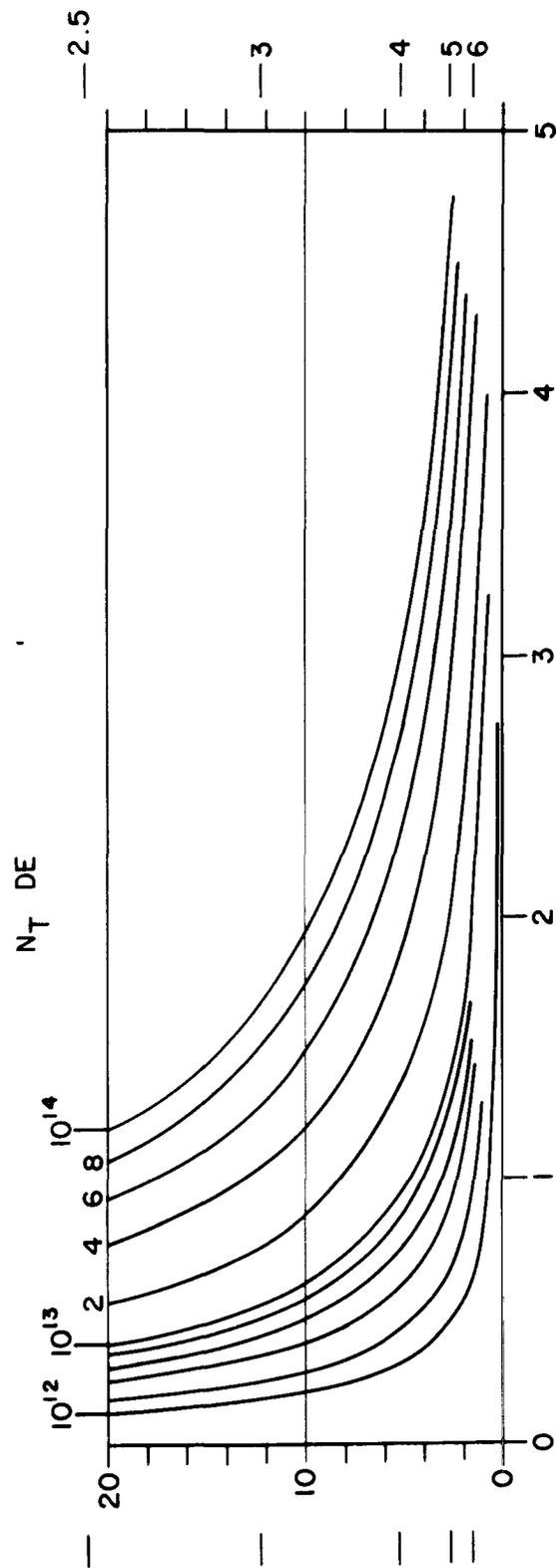


Figure 23. An overlay designed to be used on whistler spectrograms. The vertical scales are frequency (left) and L-value (right), and the horizontal scale is time in seconds. The sloping curves represent constant values of tube content assuming diffusive equilibrium distribution of plasma along field lines.

cannot be resolved on figures of this scale. The horizontal bars at the edges of the figure show L-values, and the sloping curves represent constant values of  $n_{eq}$  and  $N_T$ . These overlays are designed to be used on 35 mm Rayspan records with 0-20 kHz per 30 mm vertical scale and 20 mm per second of real time horizontal scale. An overlay may be placed over a spectrogram with the  $t = 0$  line on the causative spheric. The L-value and values of  $n_{eq}$  or  $N_T$  can be read directly from the position of the whistler nose on the spectrogram. This method neglects corrections for dispersion in the conjugate ionospheres (Chapter 3) and for sub-ionospheric propagation time (Chapter 4). This is not very serious, however, because the two corrections tend to cancel one another and because of the relatively poor precision inherent in this method. As mentioned in the previous section,  $n_1$  depends sensitively on electron concentration models and would require a large number of overlays. It is therefore preferable to obtain  $n_1$  from  $n_{eq}$  using Figure 12.

An important advantage of this method is that it permits a quick identification of any structures in  $n_{eq}$  or  $N_T$  profiles from a train of nose whistlers. For example, if  $n_{eq}$  is constant with L in some part of the magnetosphere penetrated by whistlers, the train of corresponding noses will follow a  $n_{eq} = \text{constant}$  curve in Figure 22. Electron concentration profiles in the magnetosphere frequently exhibits complex structures such as those reported by Park and Carpenter [1970]. These structures can be easily identified on whistler spectrograms with the aid of the overlays.

#### G. EMPIRICAL FORMULAS

As shown in Figures 14 through 21,  $K$ ,  $K_{eq}$ ,  $K_T$  and  $K_1$  vary only slowly with  $f'_n$ . These quasi-constants can be approximated by various curve

fitting techniques to achieve desired accuracy. For slide rule calculations, they can be approximated by constants. For the DE-1 model, the following approximate formulas can be used:

Formulas	Maximum Error 2.5 ≤ L ≤ 7
$f_{\text{Heq}} = 2.7 f'_n$	1.1%
$L = \left( \frac{8.74 \times 10^5}{f_{\text{Heq}}} \right)^{1/3}$	0.4
$n_{\text{eq}} = 24 \left( \frac{f'_n t'^2}{L^5} \right)$	7.6
$N_T = 8.6 \times 10^9 \left( \frac{f'_n t'^2}{L} \right)$	5.4
$n_1 = 340 \left( \frac{f'_n t'^2}{L^5} \right)$	25

and for the CL model,

Formulas	Maximum Error 2.5 ≤ L ≤ 7
$f_{\text{Heq}} = 2.3 f'_n$	7.6%
$L = \left( \frac{8.74 \times 10^5}{f_{\text{Heq}}} \right)^{1/3}$	2.5
$n_{\text{eq}} = 10 \left( \frac{f'_n t'^2}{L^5} \right)$	35.9
$N_T = 7.9 \times 10^9 \left( \frac{f'_n t'^2}{L} \right)$	7.8

These approximations by constant coefficients are reasonably good for diffusive equilibrium model, but they lead to somewhat larger errors in the case of the collisionless model. In particular, an attempt to use a constant coefficient  $K_1$  for the collisionless model may lead to errors as large as a factor of 4.

For machine processing, a parabolic approximation of the following form gives high accuracy with a reasonable amount of computation.

$$K = a_0 + a_1 F + a_2 F^2 \quad (2.22)$$

where  $F = \log_{10} \frac{f}{n}$  and the a's are constants. The coefficients  $a_0, a_1, a_2$  can be obtained from a least squares fit to the values of the K's in Tables 2 through 8. Tables 9 and 10 give the results of a least squares fit for the range  $2.5 \leq L \leq 7$  for the DE-1 model and R-4 model, respectively. The last column in the tables shows the maximum error due to this approximation in the range  $2.5 \leq L \leq 7$ .

TABLE 9. COEFFICIENTS IN EQN. (2.22) FOR THE DE-1 MODEL

	$a_0$	$a_1$	$a_2$	$\frac{\text{max.error}}{2.5 \leq L \leq 7}$
K	3.5475	$-4.7351 \times 10^{-1}$	$6.5879 \times 10^{-2}$	0.14%
$K_{eq}$	$6.5517 \times 10^1$	$-2.2064 \times 10^1$	2.8976	0.61%
$K_T$	$1.5778 \times 10^{10}$	$-3.5512 \times 10^9$	$4.3313 \times 10^8$	0.27%
$K_1$	$3.8873 \times 10^2$	$9.3285 \times 10^1$	$-2.9088 \times 10^1$	0.95%

TABLE 10. COEFFICIENTS IN EQN. (2.22) FOR THE R-4 MODEL

	$a_0$	$a_1$	$a_2$	max. error $2.5 \leq L \leq 7$
K	3.0156	$-6.5114 \times 10^{-1}$	$1.2217 \times 10^{-1}$	0.3%
$K_{eq}$	$3.6330 \times 10^1$	$-1.9974 \times 10^1$	3.3715	1.9%
$K_L$	$1.3347 \times 10^5$	$-6.5024 \times 10^4$	$7.9264 \times 10^3$	107%
$K_T$	$1.0186 \times 10^{10}$	$-2.7711 \times 10^9$	$4.3506 \times 10^8$	0.4%

### III. CORRECTIONS FOR DISPERSION IN THE IONOSPHERE

#### A. OUTLINE OF THE CHAPTER

We use  $f_n$  and  $t_n$  to denote the whistler nose frequency and travel time at the nose observed after propagation through the ionosphere and the magnetosphere. Because the ionosphere has great variability, but relatively small effects on whistler propagation, we have chosen to account for the ionosphere by making 'corrections' to the observed  $f_n$  and  $t_n$ , obtaining quantities  $f'_n$  and  $t'_n$  which would result from propagation through the magnetosphere only. Once  $f'_n$  and  $t'_n$  are obtained, the path latitude and the electron concentration along the path in the magnetosphere can be calculated by one of the methods described in the previous chapter. The purpose of this chapter is to examine in detail effects of the ionosphere on whistler propagation and to develop methods for obtaining  $f'_n$  and  $t'_n$  from  $f_n$  and  $t_n$ .

#### B. WHISTLER DISPERSION IN THE IONOSPHERE

The whistler travel time through the conjugate ionospheres, or the second term in Eq. (1.3) is

$$t_{ci}(f) = \frac{1}{2c} \int_{ci} \frac{f_p}{f^{1/2} f_H^{1/2} \left(1 - \frac{f}{f_H}\right)^{3/2}} ds$$

where the subscript  $ci$  refers to the two conjugate ionospheres. The integration extends through both ionospheres below 1000 km altitude. Several simplifying assumptions and approximations will be used in this chapter in treating ionospheric effects on whistler propagation. Some of them are justified partly on grounds that the ionospheric contribution  $t_{ci}$  to

the total whistler travel time is small (typically less than 10%).

Since  $\frac{f}{f_H} \ll 1$  in the ionosphere, we can write

$$D_{ci} \equiv t_{ci} f^{1/2} \approx \frac{1}{2c} \int_{ci} \frac{f_p}{f_H^{1/2}} ds \quad (3.1)$$

The quantity  $D$  is called dispersion, and Eq. (3.1) shows that the dispersion in the ionosphere is independent of wave frequency. The dispersion is additive, so that

$$D_{ci} = (D_i)_{\text{northern hemisphere}} + (D_i)_{\text{southern hemisphere}}$$

In the remainder of this section, we will compute  $D_i$  from the ionospheric parameters.

Assuming a horizontally stratified ionosphere and neglecting curvatures of magnetic field lines, we write

$$D_i = \frac{1}{2c \sin \delta} \int \frac{f_p}{f_H^{1/2}} dh \quad (3.2)$$

where  $\delta$  is the magnetic dip angle, and  $h$  is the altitude. If we further neglect small changes in latitude as we move along inclined magnetic field lines,  $f_H$  can be expressed as

$$f_H = f_{Ho} \left( 1 + \frac{h}{r_o} \right)^{-3}$$

where  $f_{Ho}$  is the electron gyrofrequency at  $h = 0$  and at the latitude of interest. Substituting this into Eq. (3.2), we obtain

$$D_i = \frac{1}{2c f_{Ho}^{1/2} \sin \delta} \int f_p \left(1 + \frac{h}{r_o}\right)^{3/2} dh \quad (3.3)$$

Equation(3.3) has been evaluated numerically for several electron concentration profiles at  $L = 4$  where  $f_{Ho} = 1.57 \times 10^6$  Hz and  $\sin \delta = 0.957$ . The ionosphere was assumed to be a simple  $\alpha$ -Chapman layer described by

$$n = n_{\max} \exp\left\{\frac{1}{2} [1 - z - \exp(-z)]\right\} \quad (3.4)$$

$$z = \frac{h-h_o}{H}$$

where  $n_{\max}$  is the maximum electron concentration and  $h_o$  the height of the maximum concentration. Three different profiles corresponding to scale height  $H = 50, 75$  and  $100$  km have been considered. Figure 24 illustrates the three profiles with  $h_o = 300$  km and  $n_{\max}$  adjusted so as to involve the same height-integrated content. The results are summarized in Table 11.

Table 11 shows that the dispersion does not depend sensitively on the shape of the electron concentration profile. A comparison between the constant  $n_{\max}$  case (A, B and C) and the constant integrated content case (D, E and F) shows that the dispersion varies more with the content than it does with  $n_{\max}$ . In estimating  $D_i$  it is therefore preferable to use integrated columnar content information obtained from Doppler shift measurements or Faraday rotation measurements of satellite beacon signals.

Since about two thirds of the integrated content is above the F layer peak, topside sounder results are preferred over bottomside sounder results. The desired information on the ionosphere near a whistler path of interest is usually not available, particularly in the case of satellite data, and

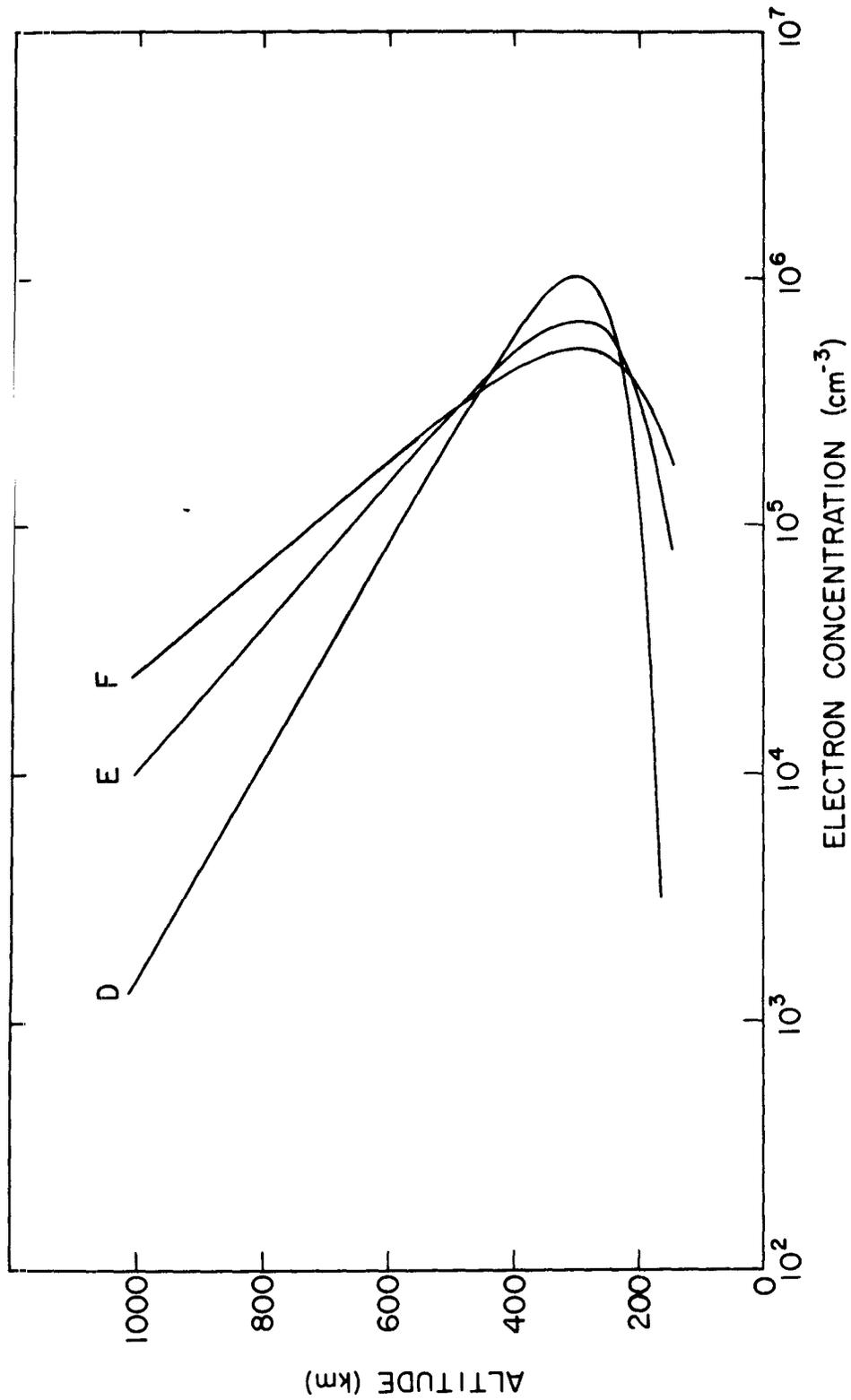


Figure 24. A sketch of model electron concentration profiles in the ionosphere.

TABLE 11. IONOSPHERIC DISPERSION

	Scale height (km)	$n_{\text{max}}^{-3}$ (el cm <sup>-3</sup> )	Columnar Content <sub>2</sub> (el cm <sup>-2</sup> )	$D_i$ (sec <sup>1/2</sup> )
A	50	$10^6$	$2.06 \times 10^{13}$	4.43
B	75	$10^6$	$3.08 \times 10^{13}$	6.31
C	100	$10^6$	$4.01 \times 10^{13}$	7.72
D	50	$10^6$	$2.06 \times 10^{13}$	4.43
E	75	$6.68 \times 10^5$	$2.06 \times 10^{13}$	5.17
F	100	$5.13 \times 10^5$	$2.06 \times 10^{13}$	5.53

an 'average' ionosphere must often be used.

The following formulas are offered for estimating  $D_i$  from columnar content  $N$  or the F2 layer critical frequency  $f_o F2$ .

$$D_i = 1.15 N^{1/2} \quad (3.5)$$

$$D_i = 0.7 f_o F2 \quad (3.6)$$

In the above equations,  $N$  is in units of  $10^{12}$   $e1/cm^2$  and  $f_o F2$  in MHz. A typical value of  $D_{ci}$  (for two conjugate ionospheres) may be 8 during the day and 4 during the night near solar-cycle minimum. The corresponding values for solar cycle maximum may be 16 and 8.

The values of  $f_{Ho}$  and  $\sin\delta$  in Eq. (3.3) vary with magnetic latitude. However, in the principal range of whistler propagation  $2 < L < 7$  they can be regarded as constants, because their variations are not important compared to the uncertainties in electron concentration profile usually encountered. The height of the F layer peak makes little difference in the calculated value of  $D_i$ . A change in  $h_o$  by 200 km results in a change of only  $\sim 5\%$  in  $D_i$ .

### C. CALCULATIONS AND RESULTS

The whistler time delay is written as

$$t(f) = \epsilon_{n_{eq}}^{1/2} \int_0^{\phi_1} J(\phi, f) d\phi + D_{ci} f^{-1/2} \quad (3.7)$$

The first term on the right hand side is the magnetospheric part from Eq. (2.19), and the second term the propagation delay in two conjugate ionospheres. Equation (3.7) is evaluated numerically by the same procedures

used in Section 2C in search of the minimum time delay. In the present case, however,  $f_n$  depends on  $n_{eq}$  and  $D_{ci}$  as well as on the whistler path latitude. The dependence of  $f_n$  on  $n_{eq}$  for constant  $L$  and  $D_{ci}$  is illustrated schematically in Figure 25. The two dashed curves A and B represent the first term in Eq. (3.7), or the travel time through the magnetosphere. The two whistlers propagate on the same magnetic shell, and hence exhibit the same  $f'_n$ . The travel time for curve B is assumed to be 9 times as large. The curve marked C represents the travel time through conjugate ionospheres, or the second term in Eq. (3.7). The solid curves are the result of adding C to A and B. Evidently the effect of the ionosphere on  $f_n$  is less for larger magnetospheric electron concentrations. It is also apparent that the larger the value of  $D_{ci}$ , the larger its effect will be on  $f_n$ .

The calculations of  $f_n$  are repeated for variations in either  $D_{ci}$ ,  $t'_n$ , or  $L$  while the other two are held constant. The values of  $D_{ci}$  used are  $D_{ci} = 2, 4, 8, 16$  and  $32$ . The results of such calculations for  $L = 2.5, 4$  and  $6$  are shown in Figures 26 through 28 where the quantity  $(f_n - f'_n)/f'_n$  is plotted against  $D_{ci}$  for several values of  $t'_n$ . The quantity  $(t_n - t'_n)$  is also calculated from Eq. (3.7) and plotted in Figures 29 and 30.

#### D. EMPIRICAL FORMULAS

Although  $f'_n$  and  $t'_n$  can be obtained from  $f_n$ ,  $t_n$  and  $D_{ci}$  with the aid of graphs illustrated in Figures 26 through 30, such a process is obviously cumbersome and time-consuming. In this section, we construct a few simple empirical formulas to approximate the results of the previous section.

We expect from the earlier discussion that the difference between  $f_n$  and  $f'_n$  would increase with  $D_{ci}$  and decrease with  $t_n$ , so we try an equation of the form

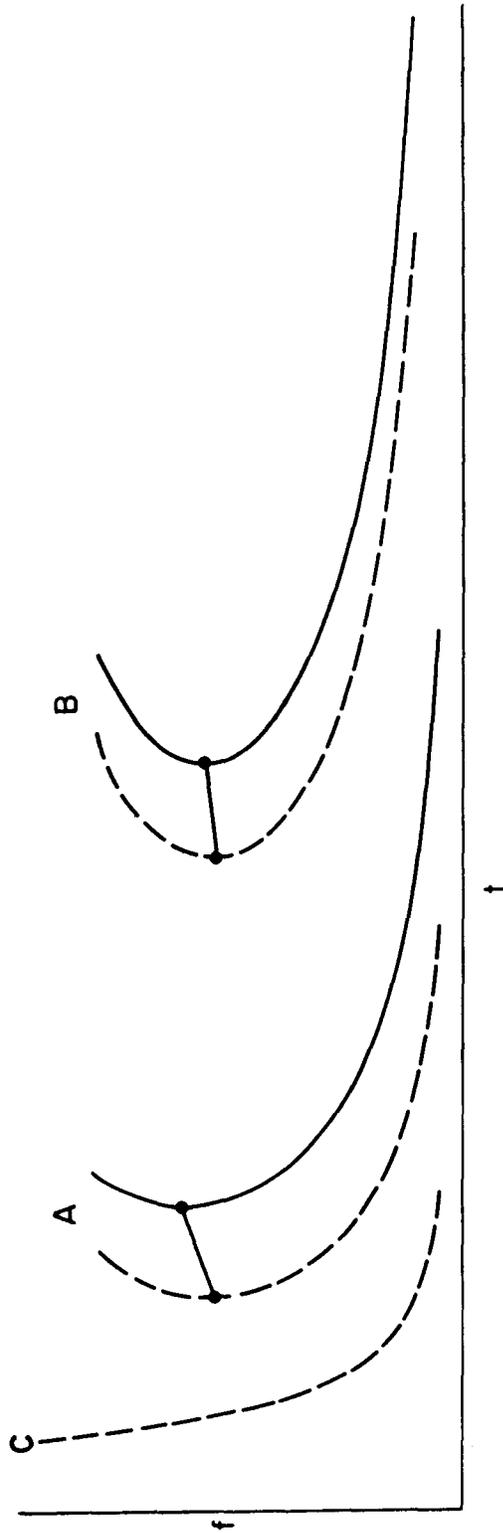


Figure 25. A sketch illustrating the effects of ionospheric dispersion on nose whistlers. The dashed curves A and B result from propagation through the magnetospheric part of the path. The path latitude is assumed to be identical in both cases, but the electron concentration for B is 9 times that for A. The solid curves result from adding the ionospheric propagation delay C to A and B. The effects of the ionosphere on the nose frequency decreases with increasing electron concentration in the magnetosphere.

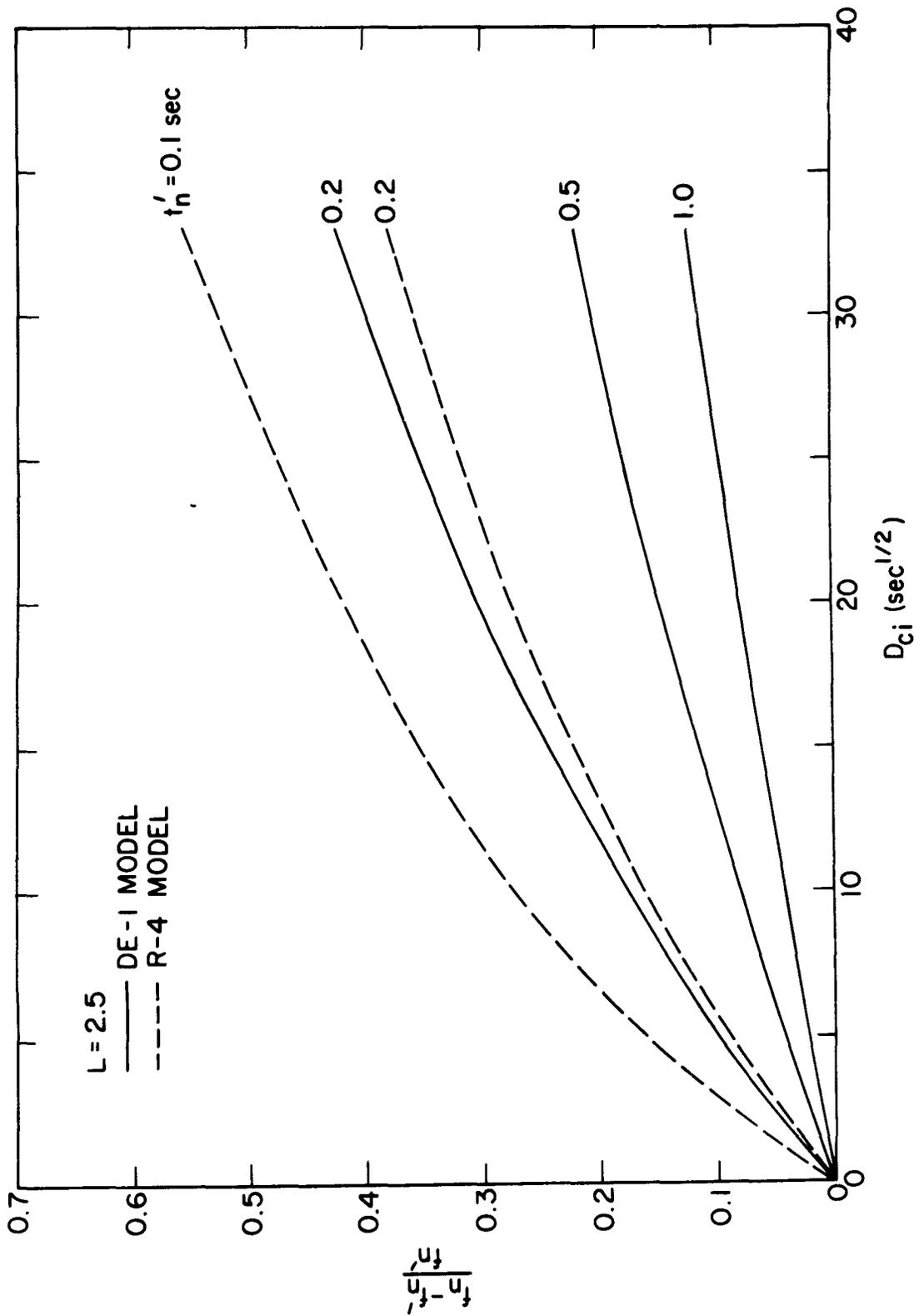


Figure 26. A plot showing the effects of ionospheric dispersion on the nose frequency of a whistler propagating along an  $L = 2.5$  field line for different values of  $t'_n$  and for two different field-line distribution models.

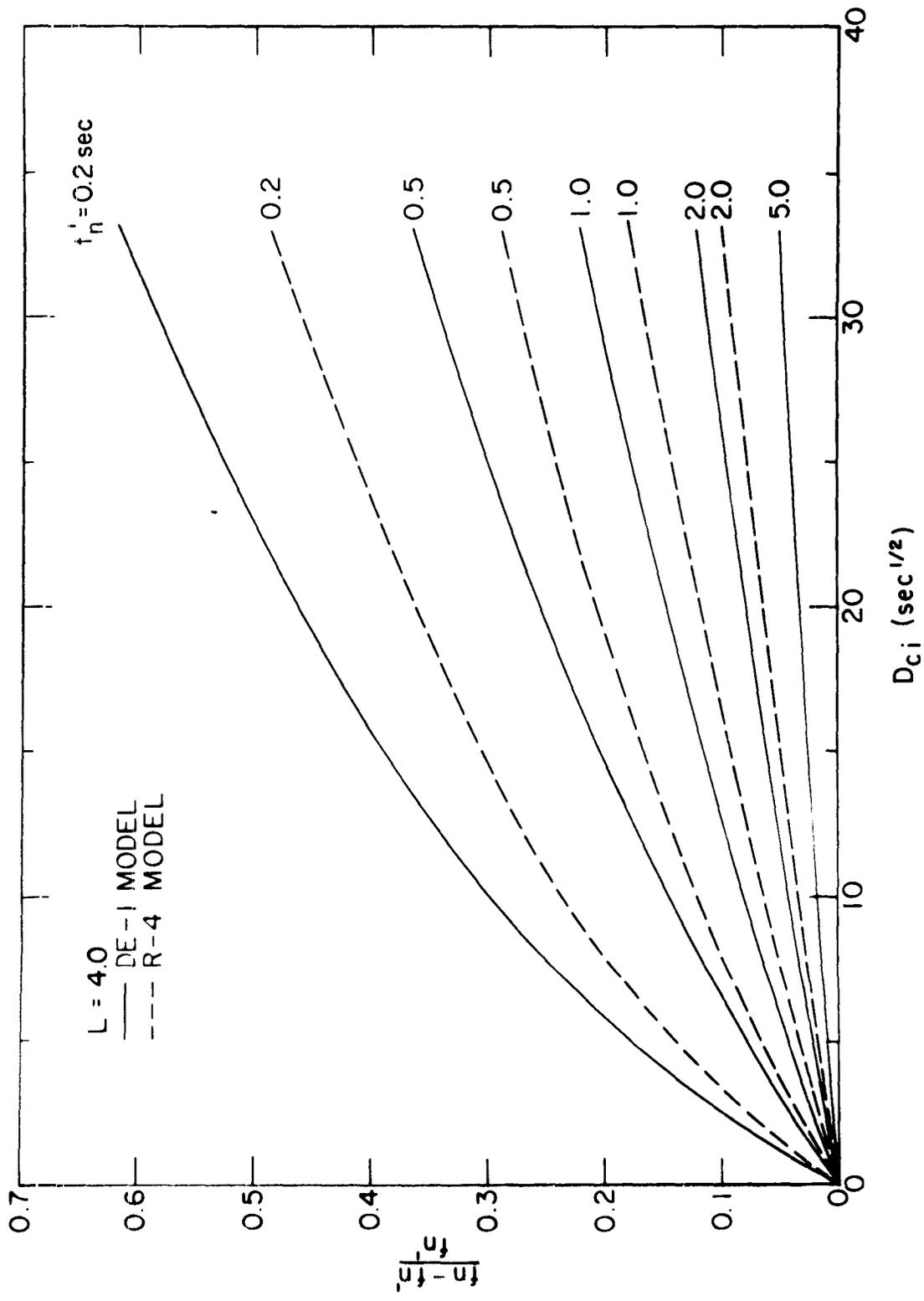


Figure 27. A plot similar to Figure 26 but for an  $L = 4$  field line.

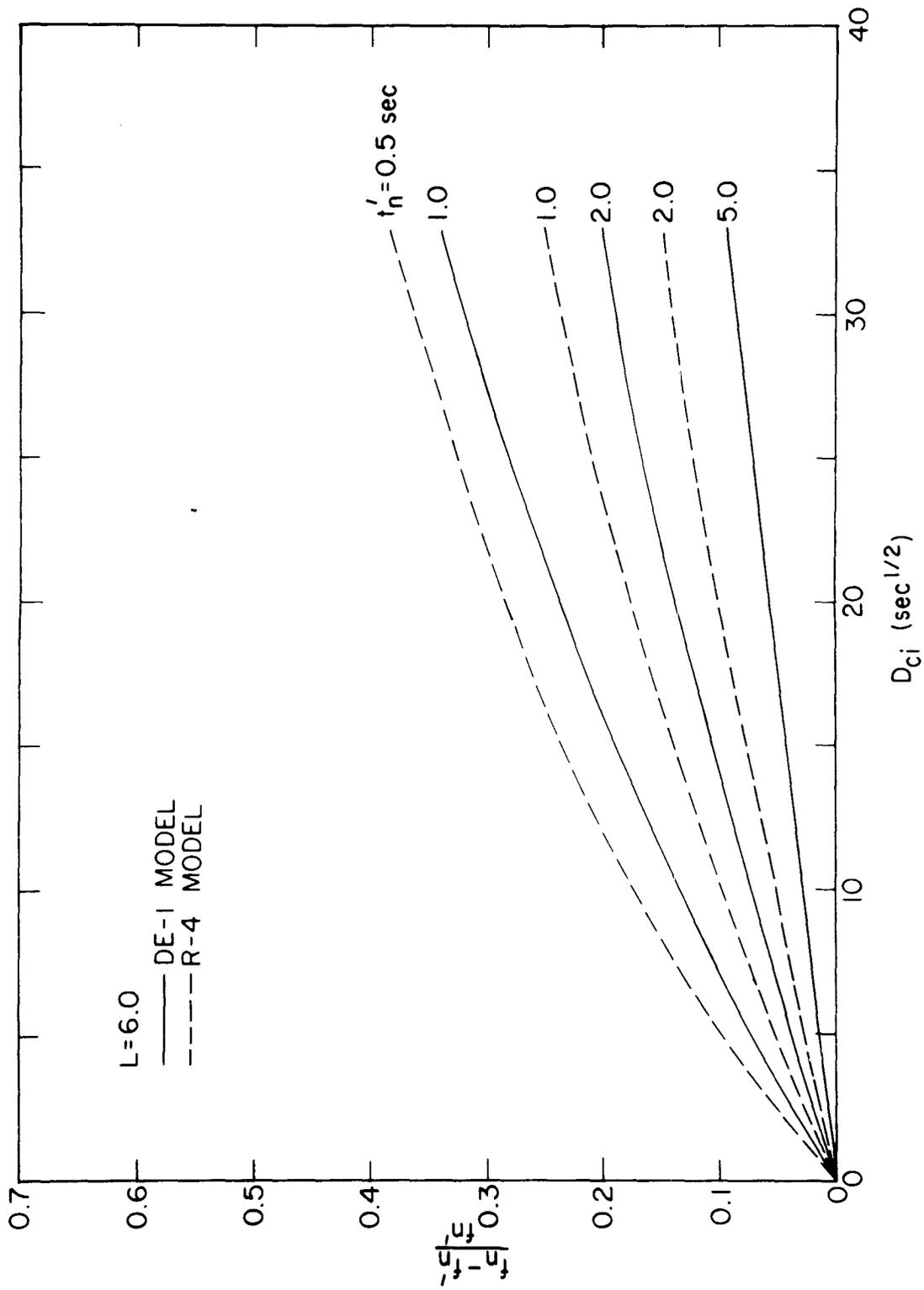


Figure 28. A plot similar to Figure 26 but for an  $L = 6$  field line.

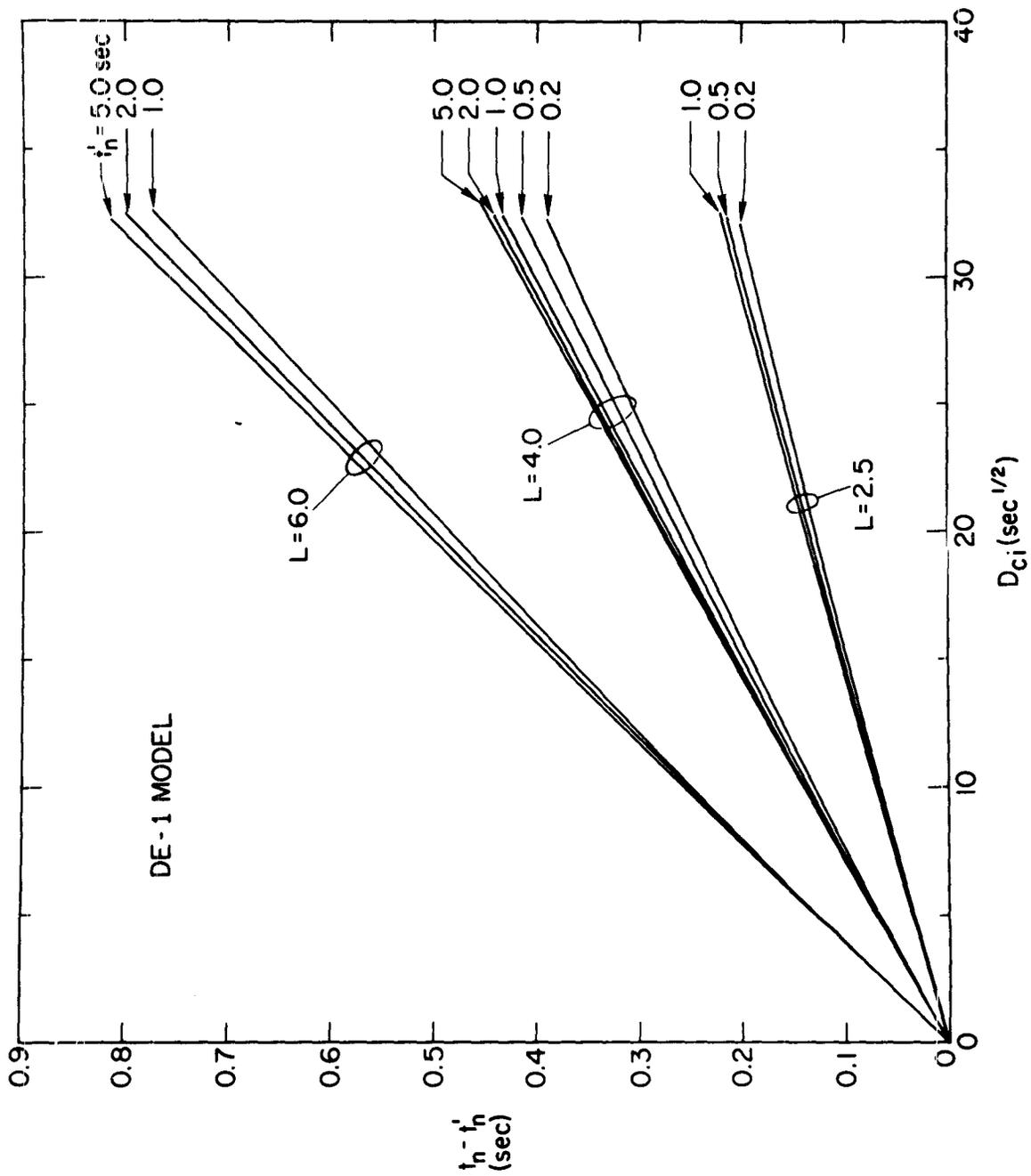


Figure 29. A plot showing the effects of ionospheric dispersion on the whistler  $t_n$  for a diffusive equilibrium model and for several different values of  $L$  and  $t'_n$ .

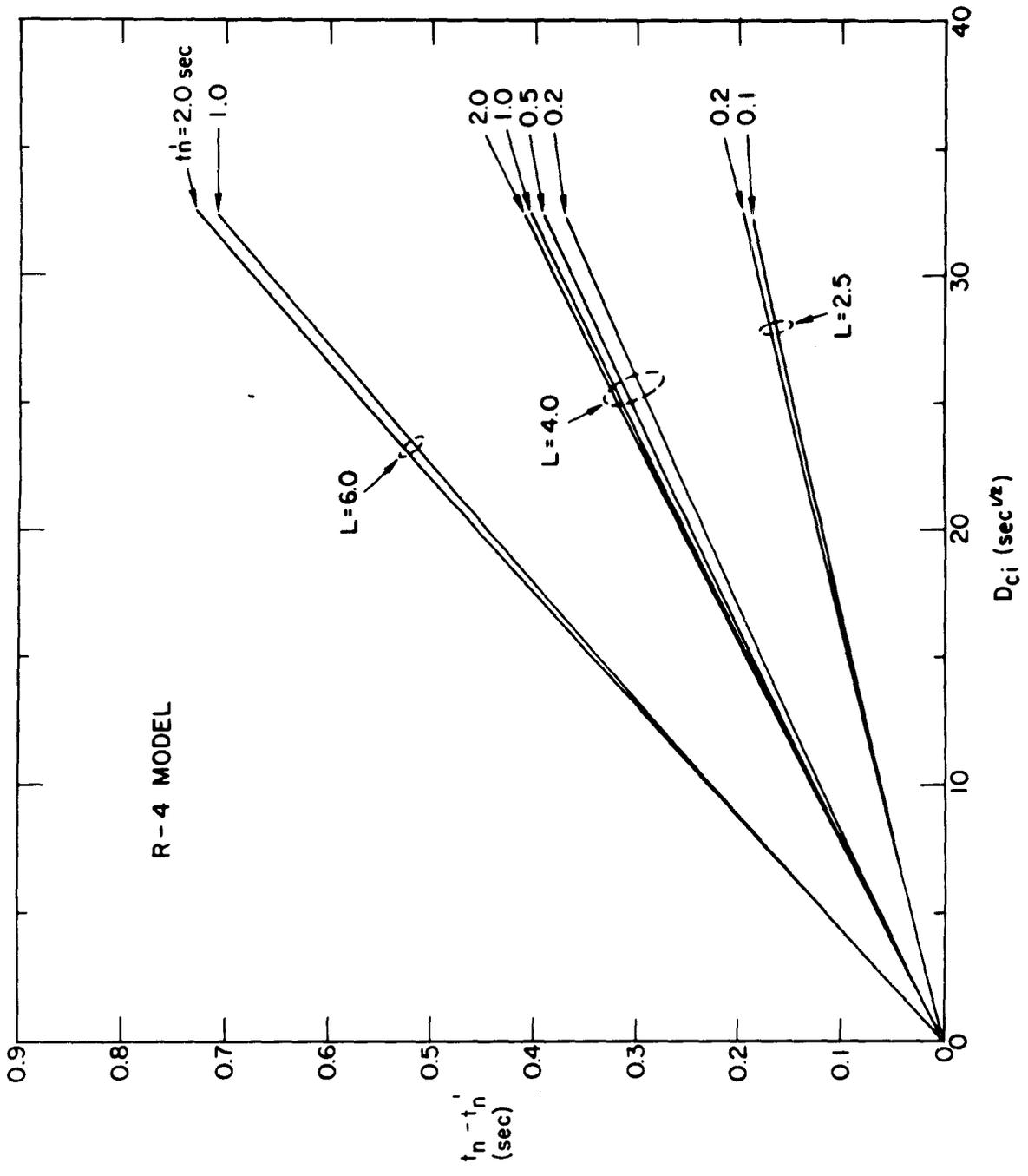


Figure 30. A plot similar to Figure 29 but for the R-4 model.

$$\frac{f_n - f'_n}{f'_n} = \gamma' \frac{D_{ci}}{t_n}$$

It is found that  $\gamma'$  is nearly constant for a given L-value, but that it decreases with increasing L. Since  $L \propto f_{\text{Heq}}^{-1/3}$ , and  $f_{\text{Heq}}$  is roughly proportional to  $f_n$ , we write

$$\frac{f_n - f'_n}{f'_n} = \gamma \frac{D_{ci}}{f_n^{1/3} t_n} \quad (3.8)$$

Equation (3.8) with a constant  $\gamma$  is a good approximation to the results of the previous section for a wide range of  $f_n$ ,  $t_n$  and  $D_{ci}$ . Empirically, the best value of  $\gamma$  is found to be 0.17 for DE models and 0.15 for the CL or R-4 model. Thus,

$$f'_n = \frac{f_n}{1 + 0.17 (D_{ci}/t_n f_n^{1/3})} \quad (3.9)$$

for DE models, and

$$f'_n = \frac{f_n}{1 + 0.15 (D_{ci}/t_n f_n^{1/3})} \quad (3.10)$$

for the collisionless model. The error in  $f'_n$  due to the above approximation is plotted in Figures 31 and 32 against  $D_{ci}$  for a range of values of  $t'_n$  and L. The fact that the per cent error is generally larger for larger  $D_{ci}$  and for smaller  $t'_n$  means that the approximation by Eqs. (3.9) and (3.10) is better when the ionospheric part of the whistler dispersion is relatively small. For all realistic values of  $D_{ci}$  and  $t'_n$ , the error in  $f'_n$  is less than  $\sim 3\%$ . Several curves that surpass the 3% error level in Figures 31

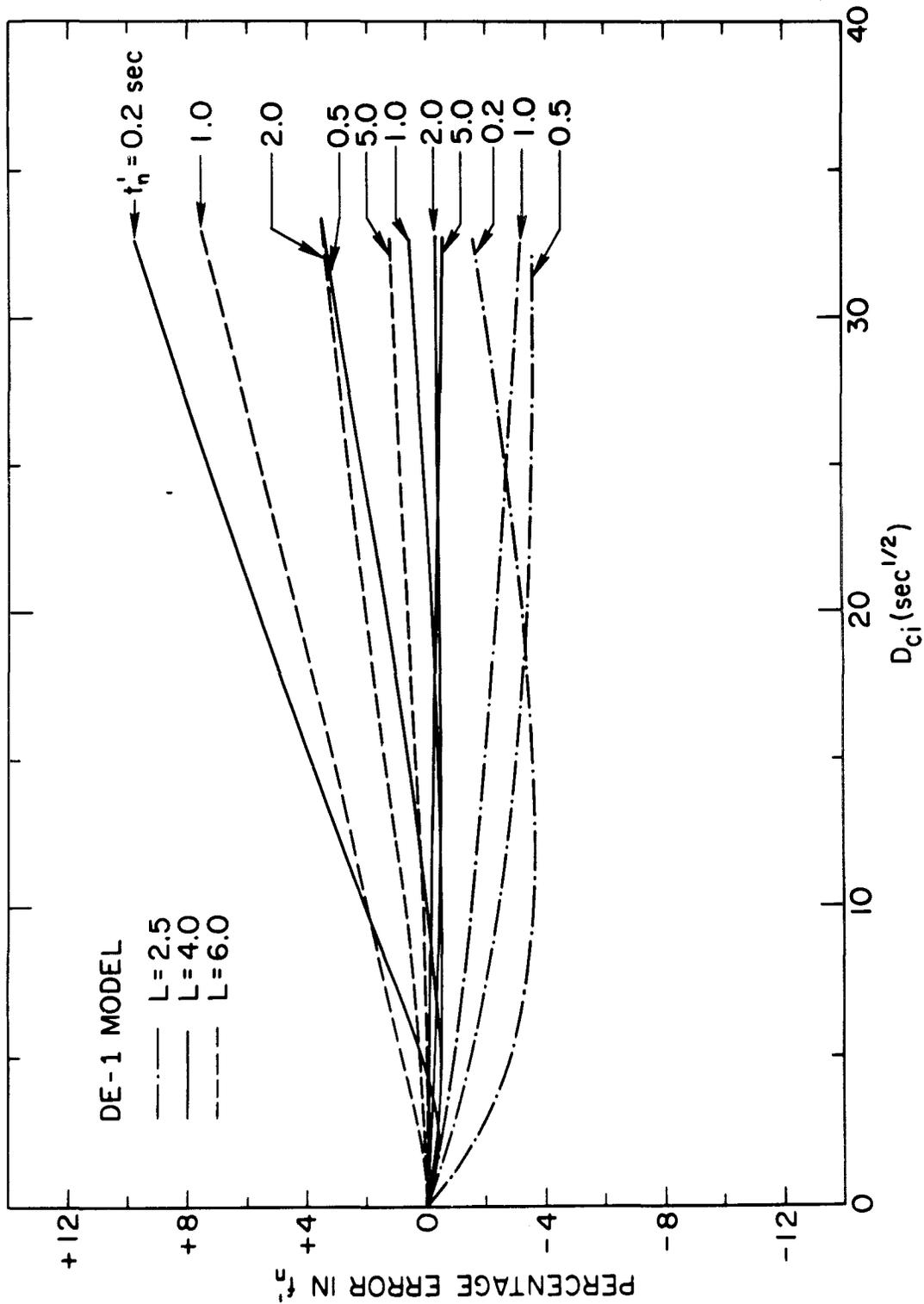


Figure 31. A plot of the percentage error in  $f'_n$  due to Eqn. (3.9) against  $D_{ci}$  for a range of values of  $L$  and  $t'_n$ .

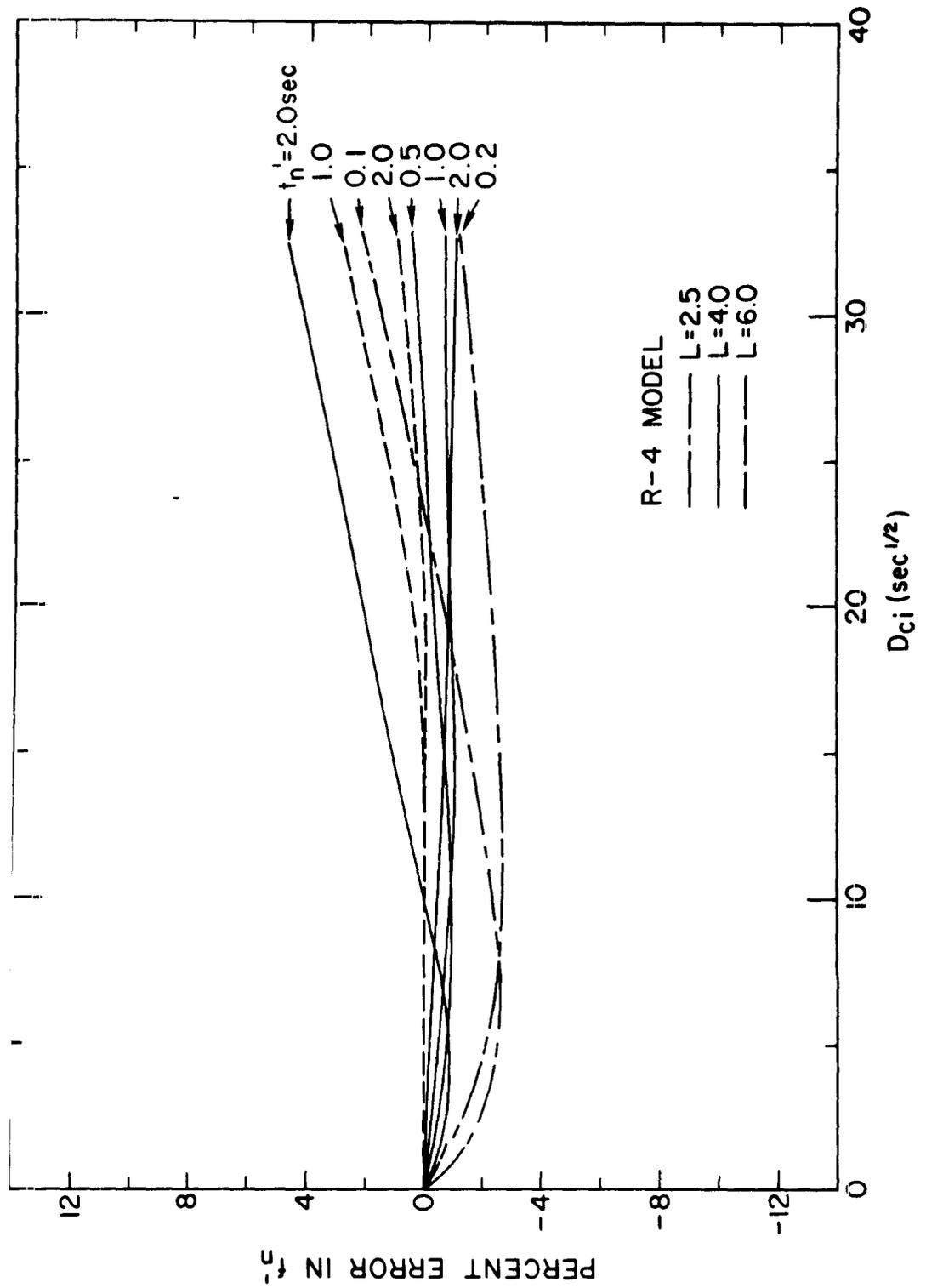


Figure 32. A plot of the percentage error in  $f_n'$  due to Eqn. (3.10) against  $D_{ci}$  for a range of values of  $L$  and  $t_n'$ .

and 32 correspond to extremely low electron concentrations in the magnetosphere. For example, the solid curves marked  $t'_n = 0.2$  sec are approaching the theoretical low limit of 0.18 sec which is the propagation time along the length of an  $L = 4$  field line in free space. When electron concentrations are that low, errors due to other sources become more important than the error due to the empirical formulas given by Eqs. (3.9) and (3.10) (see Chapter 6).

We now consider expressions for  $t'_n$ . From Eq. (3.7),

$$t_n \cong t'_n + D_{ci} f_n^{-1/2} \cong t'_n + D_{ci} f_n'^{-1/2}$$

Figure 33 is similar to Figure 25 except that the ionospheric dispersion is exaggerated in order to illustrate details near the nose frequency. It is evident in the figure that  $t_n - t'_n$  is slightly less than  $D_{ci} f_n'^{-1/2}$  but slightly greater than  $D_{ci} f_n^{-1/2}$ . A compromise is thus made:

$$t'_n = t_n - D_{ci} \left( \frac{f_n + f'_n}{2} \right)^{-1/2} \quad (3.11)$$

Equation (3.11) approximates the results of the previous section with less than 1 msec error for wide ranges of  $f_n$ ,  $t_n$  and  $D_{ci}$ .

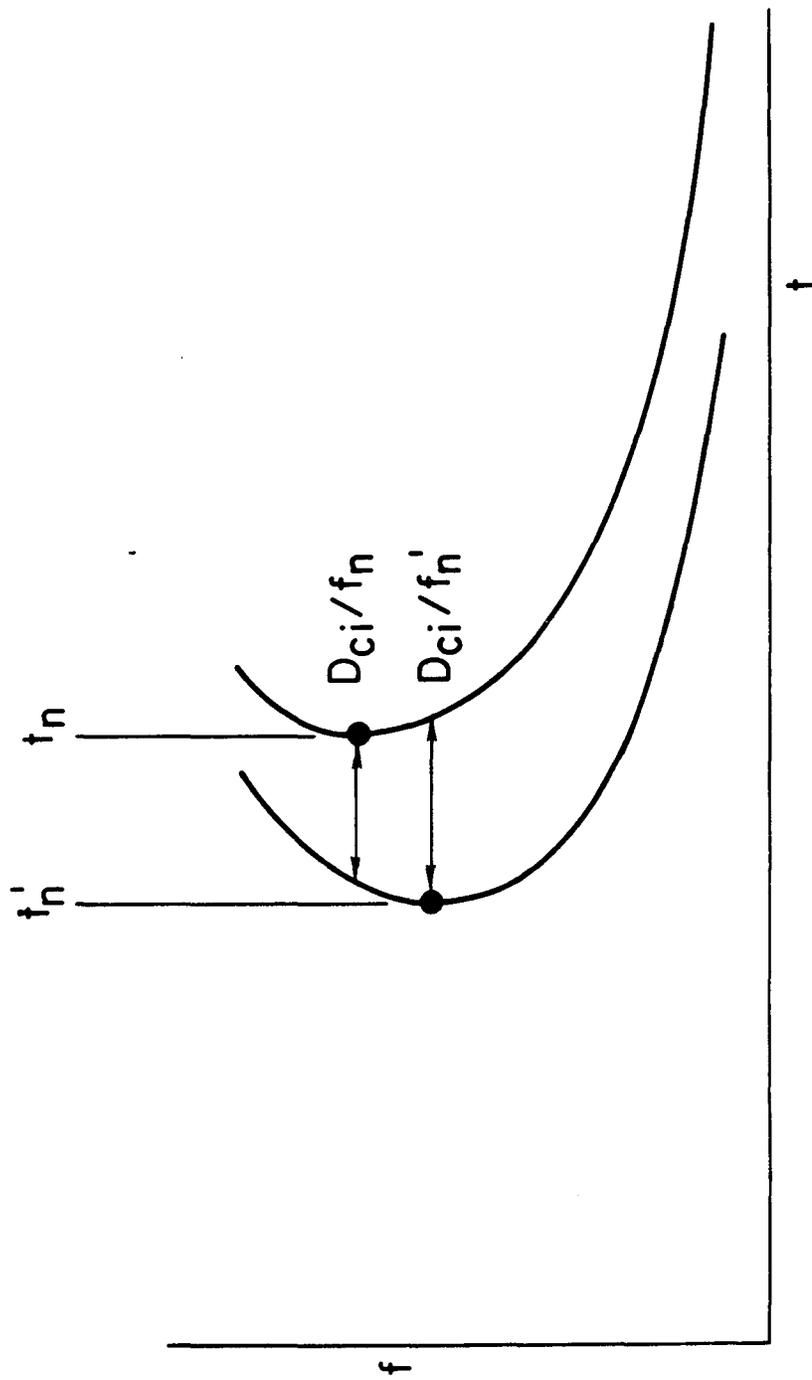


Figure 33. A sketch showing the effect of ionospheric dispersion on the minimum time delay of a nose whistler.

#### IV. SUBIONOSPHERIC PROPAGATION

The whistler travel time is usually measured with respect to the causative sferic on a whistler spectrogram, and it is necessary to account for the travel time of the signals in the earth-ionosphere waveguide. Figure 34 illustrates the geometry and the appearance of the sferic on a frequency-time spectrogram. At the top of the figure, R and T represent the locations of the whistler receiver and the sferic, respectively. A sferic often shows a small dispersion and a sharp low frequency cutoff as illustrated at the bottom of the figure. The cutoff occurs at the earth-ionosphere waveguide cutoff frequency which is 1.67 kHz for a lossless waveguide with a height of 90 km. The reader is referred to Helliwell [1965] for more detailed discussion of the dispersive effects of the earth-ionosphere waveguide. In this chapter, we estimate the subionospheric propagation time of the lightning signals that reach the whistler receiver. We will use  $t$  to denote the whistler travel time through the ionosphere and the magnetosphere and  $\tau$  to denote the travel time of the whistler measured on a spectrogram with respect to the sferic.

We consider a simple case in which the lightning, whistler duct and the receiver are all in a magnetic meridional plane. The distance traveled by the direct wave can be written

$$l_D = \frac{\pi r_0}{180} (\phi_T + \phi_R) \quad (4.1)$$

where  $\phi_T$  and  $\phi_R$  are the magnetic latitude in degrees of the sferic and the receiver, respectively. The sign of the latitude is positive in both the northern and the southern hemispheres. The subionospheric distance traveled by the whistler wave is

$$\ell_w = \frac{\pi r_o}{180} (|\phi_T - \phi_D| + |\phi_R - \phi_D|) \quad (4.2)$$

where  $\phi_D$  is the latitude of the end points of the whistler duct. The correction necessary for the subionospheric propagation is then

$$t - \tau = \frac{\ell_D - \ell_w}{c} \quad (4.3)$$

where  $c$  is the speed of light in a vacuum. Using Eqs. (4.1) and (4.2), the following equations are obtained:

$$t - \tau = 6.65 \times 10^{-4} \phi_D \text{ sec} \quad \text{for } \phi_T \geq \phi_D \text{ and } \phi_R \geq \phi_D \quad (4.4)$$

$$t - \tau = 6.65 \times 10^{-4} \phi_R \text{ sec} \quad \text{for } \phi_T \geq \phi_D \text{ and } \phi_R \leq \phi_D \quad (4.5)$$

$$t - \tau = 6.65 \times 10^{-4} \phi_R \text{ sec} \quad \text{for } \phi_T \leq \phi_D \text{ and } \phi_R \geq \phi_D \quad (4.6)$$

$$t - \tau = 6.65 \times 10^{-4} (\phi_T + \phi_R - \phi_D) \text{ for } \phi_T \leq \phi_D \text{ and } \phi_R \leq \phi_D \quad (4.7)$$

For whistler receivers at high latitudes,  $\phi_R$  is usually larger than  $\phi_D$ , and therefore, Eq. (4.4) or Eq. (4.6) would apply. For  $\phi_D = 60^\circ$  ( $L = 4$ ),  $t - \tau$  may vary from 0 ( $\phi_T = 0$ ) to 0.04 sec ( $\phi_T = 60^\circ$ ) depending on  $\phi_T$ . Since  $\phi_T$  is usually not known, a constant value of  $t - \tau = 0.03$  sec may be used for all medium to high latitude whistlers as a first order correction.

If we remove the assumption that the spheric and the duct lie in the same meridian plane as the receiver, the distances  $\ell_D$  and  $\ell_w$  should be great circle distances. However, in view of the fact that no means of identifying the location of sferics and the longitude of whistler ducts are available at present, we will not consider such refinements here.

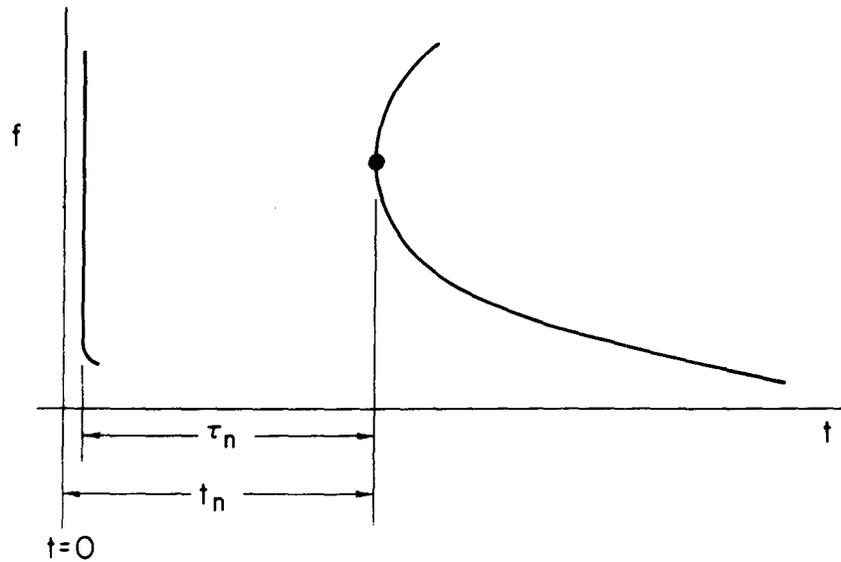
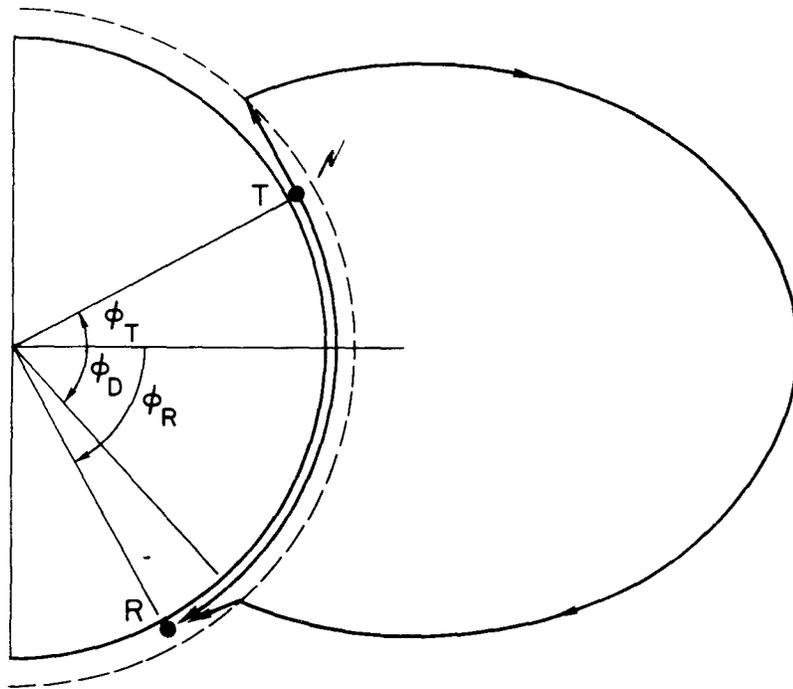


Figure 34. The sketch at the top shows a whistler mode and a waveguide mode propagation paths of lightning signal from its source T to the receiver R in the conjugate hemisphere. The bottom sketch shows how the received signal appears on a frequency-time spectrogram. The waveguide mode signal shows the effect of the waveguide cutoff at low frequencies.

## V. SUMMARY

In this chapter, we briefly summarize the procedures for determining the path latitude and electron concentration along the path from the whistler parameters  $f_n$  and  $t_n$ .

1. Scale  $f_n$  and  $\tau_n$  from whistler spectrogram.
2. Make corrections for subionospheric propagation as discussed in Chapter 4 to obtain  $t_n$ . Typically,  $t_n = \tau_n + 0.03$  sec.
3. Decide on a magnetospheric electron concentration model to be used.
4. Estimate dispersion in the two conjugate ionospheres  $D_{ci}$  as discussed in Chapter 3.
5. Make corrections for the ionospheric dispersion to obtain  $f'_n$  and  $t'_n$  as follows:

(a) for diffusive equilibrium models (DE-1 through DE-4)

$$f'_n = \frac{f_n}{1 + \left( \frac{0.17 D_{ci}}{t_n f_n^{1/3}} \right)} \quad (5.1)$$

$$t'_n = t_n - D_{ci} \left( \frac{f_n + f'_n}{2} \right)^{-1/2} \quad (5.2)$$

(b) for collisionless models (CL and R-4 models)

$$f'_n = \frac{f_n}{1 + \left( \frac{0.15 D_{ci}}{t_n f_n^{1/3}} \right)} \quad (5.3)$$

$$t'_n = t_n - D_{ci} \left( \frac{f_n + f'_n}{2} \right)^{-1/2}$$

6.  $L$ ,  $n_{eq}$ ,  $N_T$  and  $n_1$  can be obtained from  $f'_n$  and  $t'_n$  by one of the following methods depending on the desired precision and availability of a computer or a calculator.

(a)  $L$ ,  $n_{eq}$  and  $N_T$  are read off the graphs in Figures 7, 8 and 9 for DE models and Figures 7, 10 and 11 for the CL model or R-4 model.  $n_1$  is obtained from  $n_{eq}$  using Figure 12.

(b) Calculate  $L$ ,  $n_{eq}$ ,  $N_T$  and  $n_1$  using the following formulas

$$f_{Heq} = K f'_n \quad (5.4)$$

$$L = \left( \frac{8.736 \times 10^5}{f_{Heq}} \right)^{1/3} \quad (5.5)$$

$$n_{eq} = K_{eq} \frac{f'_n t_n'^2}{L^5} \quad (5.6)$$

$$N_T = K_T \frac{f'_n t_n'^2}{L} \quad (5.7)$$

$$n_1 = K_1 \frac{f'_n t_n'^2}{L^5} \quad (5.8)$$

using the values of  $K$ ,  $K_{eq}$ ,  $K_T$  and  $K_1$  obtained from Figures 14 through 21.

(c) Use Eqs. (5.4) through (5.8) above, but obtain the values of  $K$ 's from empirical formulas of the form  $K = a_0 + a_1 F + a_2 F^2$  where  $F = \log_{10} f'_n$ . The coefficient  $a$ 's are given in Tables 9 and 10 for the DE-1 model and R-4 model.

## VI. UNCERTAINTIES IN THE WHISTLER METHOD

### A. INTRODUCTION

In this chapter, we discuss uncertainties in the whistler method described in this report. A few general remarks will be followed by more detailed examination of various sources of error.

As mentioned earlier in Chapter 2, whistlers are most sensitive to the conditions at the top of the propagation path. To illustrate this, we return to Eq. (1.2). At the nose frequency,

$$t_n = \frac{1}{c f_n^{1/2}} \int \frac{f_p}{f_H^{1/2} \left(1 - \frac{f_n}{f_H}\right)^{3/2}} ds$$

From the results of Chapter 2,  $f_n \cong 0.377 f_{Heq}$  for diffusive equilibrium models, so  $t_n$  is approximately proportional to

$$\int \frac{f_p}{f_H^{1/2} \left(1 - 0.377 \frac{f_{Heq}}{f_H}\right)^{3/2}} ds$$

Figure 35 is a plot of the value of the integrand,

$$I = \frac{f_p}{f_H^{1/2} \left(1 - 0.377 \frac{f_{Heq}}{f_H}\right)^{3/2}}$$

normalized to the equatorial value, as a function of distance along lines of force for several L-values. The DE-1 Model was used for the electron distribution along field lines. The terminal point of each curve corresponds to 1000 km altitude, and the circle on each curve to  $30^\circ$  dipole latitude. The whistler time delay is proportional to the area under the curve, and it can be seen that nearly 80% of the time delay occurs within

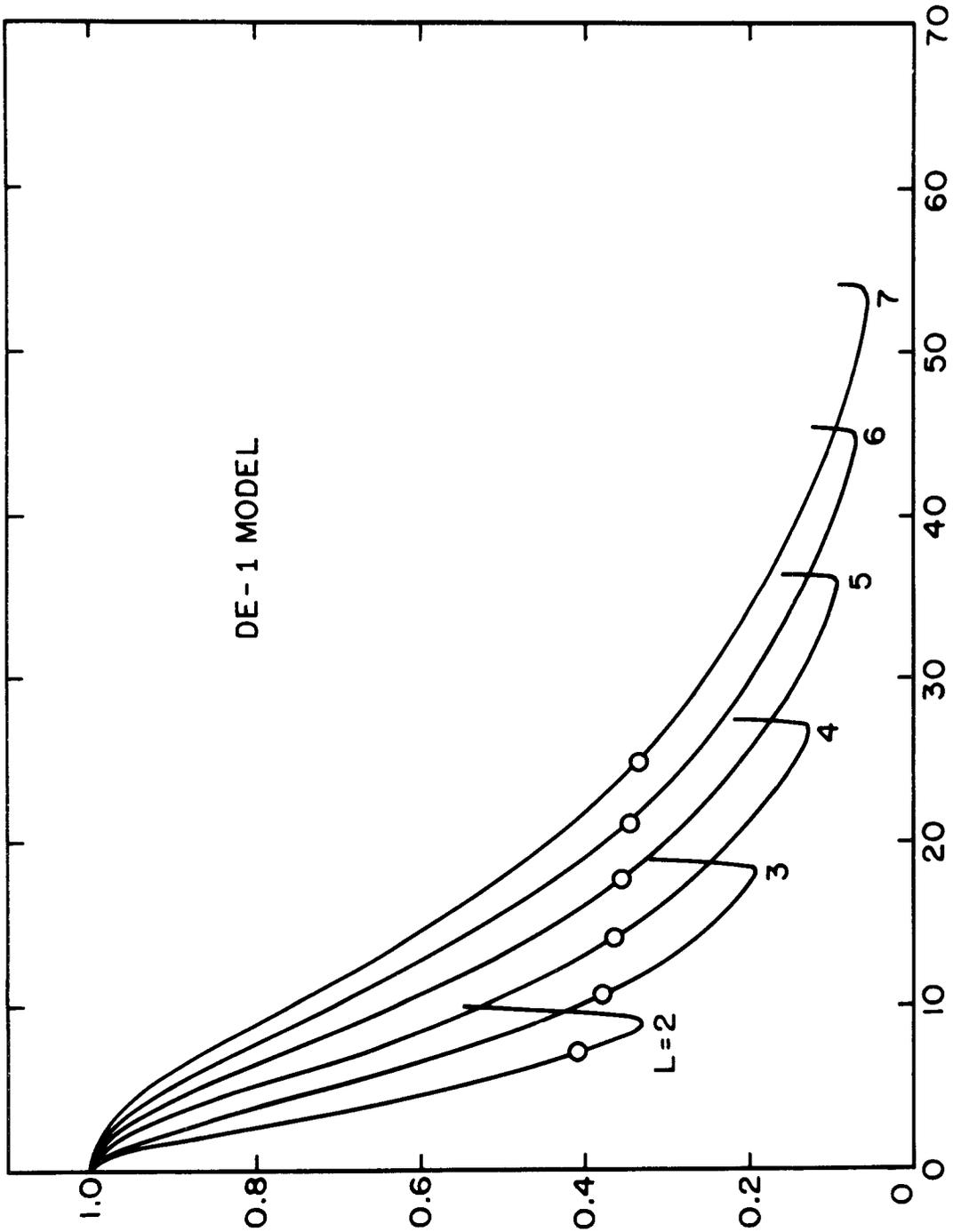


Figure 35. A plot of the whistler integrand I (see text) as a function of distance along field lines at several L values. The distance is measured from the equator, and the values of I are normalized to the equatorial value. The curves terminate at 1000 km altitude, and the circles indicate 30° in latitude.

$\sim 30^\circ$  of the equator. The sharp 'hooks' in the curves are due to rapid increases in electron concentration near the base of the magnetosphere. If a diffusive equilibrium model with different parameters (temperature and ionic composition) is used, there are changes in the shape of the 'hooks', but little effect at distances greater than 1000 km from the base of the magnetosphere. For collisionless models,  $f_n \cong 0.41 f_{\text{Heq}}$ , and the

quantity  $I = \frac{f_p}{f_H^{1/2} \left( 1 - 0.41 \frac{f_{\text{Heq}}}{f_H} \right)^{3/2}}$  has been calculated at several

L-values and is plotted in Figure 36. In this case,  $\sim 60\%$  of whistler propagation delay occurs within  $30^\circ$  of the equator. Because of these facts, electron concentration near the equator as measured by the whistler technique is remarkably independent of assumed electron distribution along field lines. On the other hand, electron concentration near the base of the magnetosphere depends sensitively on assumed models.

Information on electron concentration in the magnetosphere usually involves extrapolation along magnetic field lines by assumed models. For example, electron concentration measured near the base of the magnetosphere by incoherent backscatter radars, satellite-borne sounders or probes can be extrapolated to the equatorial plane. When computing magnetospheric tube content, however, the whistler technique has the advantage of measuring electron concentration accurately near the equatorial plane where most of the tube volume lies.

We now examine more quantitatively various sources of uncertainties in the whistler method.

#### B. UNCERTAINTIES DUE TO THE ASSUMED ELECTRON CONCENTRATION MODEL

For given  $f'_n$  and  $t'_n$ , Eqs. (5.4) through (5.8) can be written in dif-

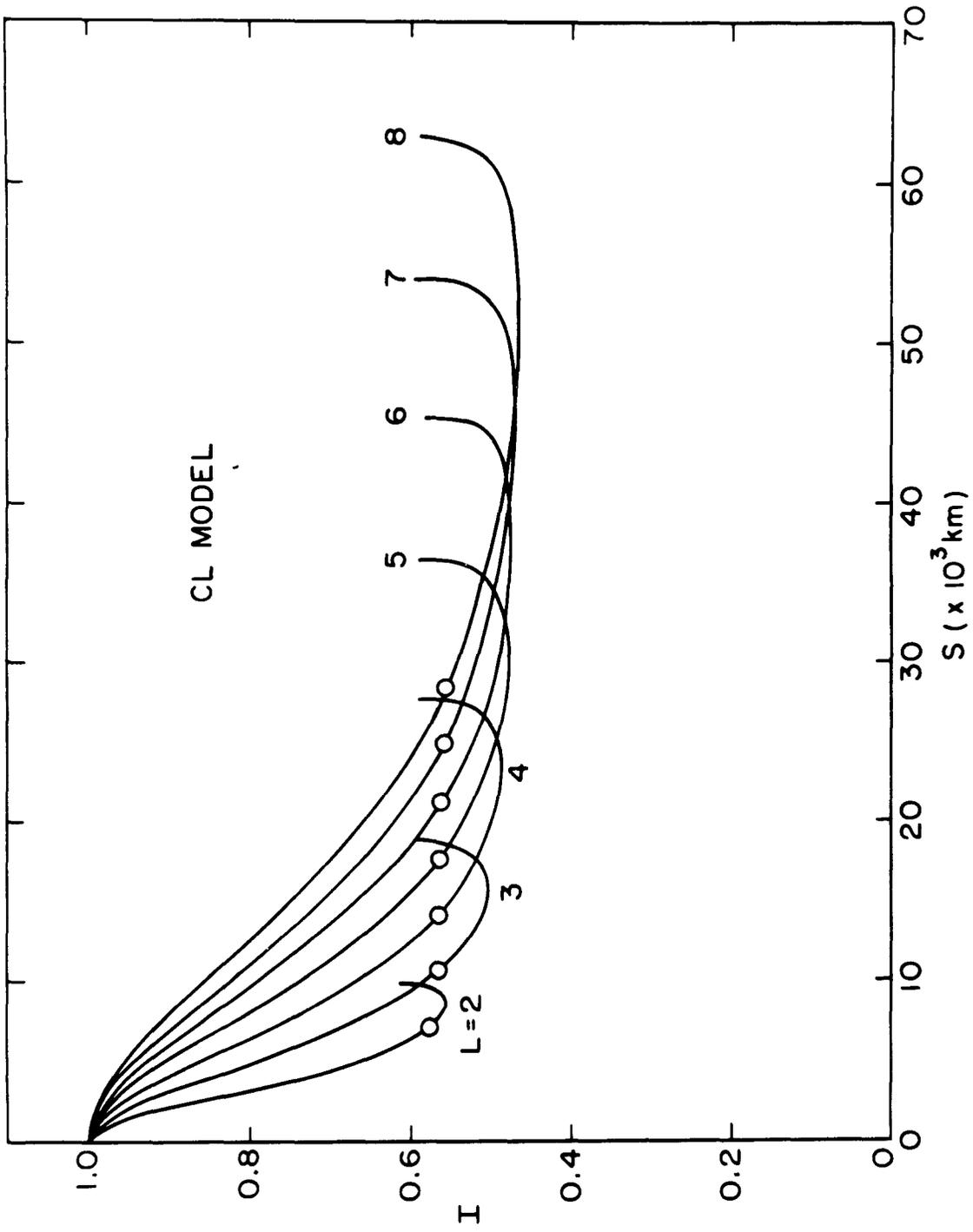


Figure 36. A plot similar to Figure 35 but for the CL model of field-line distribution of plasma.

ferential form as follows:

$$\frac{\Delta f_{\text{Heq}}}{f_{\text{Heq}}} = \frac{\Delta K}{K}$$

$$\frac{\Delta L}{L} = -\frac{1}{3} \frac{\Delta f_{\text{Heq}}}{f_{\text{Heq}}} = -\frac{1}{3} \left( \frac{\Delta K}{K} \right)$$

$$\frac{\Delta n_{\text{eq}}}{n_{\text{eq}}} = \left( \frac{\Delta K_{\text{eq}}}{K_{\text{eq}}} \right) + \frac{5}{3} \left( \frac{\Delta K}{K} \right)$$

$$\frac{\Delta N_{\text{T}}}{N_{\text{T}}} = \left( \frac{\Delta K_{\text{T}}}{K_{\text{T}}} \right) + \frac{1}{3} \left( \frac{\Delta K}{K} \right)$$

$$\frac{\Delta n_1}{n_1} = \left( \frac{\Delta K_1}{K_1} \right) + \frac{5}{3} \left( \frac{\Delta K}{K} \right)$$

The  $\Delta K$ 's in the above equations represent differences between electron concentration models, and their values can be obtained from Figure 14 through 21. For a whistler with observed  $f_n$ ,  $f'_n$  depends somewhat on the electron concentration model used (see Eqs. 5.1 and 5.3), but this is a small effect and will be ignored. An example given below illustrates the effects of changing the model from DE-2 (3200  $^{\circ}K$ , 90%  $O^+$ , 8%  $H^+$ , 2%  $He^+$ ) to DE-3 (1600  $^{\circ}K$ , 50%  $O^+$ , 40%  $H^+$ , 10%  $He^+$ ) for a whistler with  $f'_n = 5$  kHz. From Figures 14 through 17, we obtain

$$\frac{\Delta K}{K} = -0.012, \quad \frac{\Delta K_{\text{eq}}}{K_{\text{eq}}} = -0.071, \quad \frac{\Delta K_{\text{T}}}{K_{\text{T}}} = -0.027 \quad \text{and} \quad \frac{\Delta K_1}{K_1} = -0.17$$

Substitution of these values into the above equations yields

$$\frac{\Delta L}{L} = -0.004, \quad \frac{\Delta n_{eq}}{n_{eq}} = -0.091, \quad \frac{\Delta N_T}{N_T} = -0.031 \text{ and } \frac{\Delta n_1}{n_1} = -0.19$$

It is seen that  $N_T$  and  $n_{eq}$  are relatively insensitive to changes in model, whereas  $n_1$  is more model-dependent.

### C. MEASUREMENT ERROR

We assume that measurement errors in  $f_n$  and  $t_n$  result in the same percentage errors in  $f'_n$  and  $t'_n$ . Since the K's in Eqs. (5.4) through (5.8) are only very slow functions of  $f'_n$ , we further assume that the K's are constant within the range of measurement errors in  $f_n$ . Equations (5.4) through (5.8) can then be written in differential form as

$$\frac{\Delta f_{Heq}}{f_{Heq}} = \frac{\Delta f'_n}{f'_n}$$

$$\frac{\Delta L}{L} = -\frac{1}{3} \left( \frac{\Delta f'_n}{f'_n} \right) \tag{6.1}$$

$$\frac{\Delta n_{eq}}{n_{eq}} = 2 \left( \frac{\Delta t'_n}{t'_n} \right) + \frac{8}{3} \left( \frac{\Delta f'_n}{f'_n} \right) \tag{6.2}$$

$$\frac{\Delta N_T}{N_T} = 2 \left( \frac{\Delta t'_n}{t'_n} \right) + \frac{4}{3} \left( \frac{\Delta f'_n}{f'_n} \right) \tag{6.3}$$

$$\frac{\Delta n_1}{n_1} = 2 \left( \frac{\Delta t'_n}{t'_n} \right) + \frac{8}{3} \left( \frac{\Delta f'_n}{f'_n} \right) \tag{6.4}$$

In routine scaling, measurement errors can be easily maintained below  $\sim 3\%$  for  $f'_n$  and  $\sim 1\%$  for  $t'_n$ . Equations (6.1) through (6.4) show that a 1% error in  $t'_n$  causes a 2% error in computed  $n_{eq}$ ,  $N_T$  and  $n_1$ . An error of 3% in  $f'_n$  causes a 1% error in the computed L-value, a 4% error in  $N_T$  and 8% errors in  $n_{eq}$  and  $n_1$ . With high quality whistler traces and sufficient care in scaling, measurement accuracies can be improved over the figures quoted above.

#### D. UNCERTAINTIES DUE TO THE IONOSPHERE

Uncertainties in ionospheric dispersion appear as uncertainties in  $f'_n$  and  $t'_n$ . From Eqs. (5.1) and (5.3), we can write

$$\frac{\Delta f'_n}{f'_n} = \frac{-0.17}{t'_n f_n^{1/3}} \Delta D_{ci} \quad \text{for DE models and}$$

$$\frac{\Delta f'_n}{f'_n} = \frac{-0.15}{t'_n f_n^{1/3}} \Delta D_{ci} \quad \text{for collisionless models.}$$

From Eq. (5.2)

$$\frac{\Delta t'_n}{t'_n} = - \frac{1}{t'_n f_n^{1/2}} \Delta D_{ci} \quad \text{for both DE models and collisionless models.}$$

Equations (6.1) through (6.4) can then be used to estimate uncertainties in L,  $n_{eq}$ ,  $N_T$  and  $n_1$ .

As an example, consider a whistler propagating in the plasmasphere (DE model) with  $f_n = 6$  kHz ( $L \sim 4$ ) and  $t_n = 1$  sec. An overestimate of  $D_{ci}$  by  $1 \text{ sec}^{-1/2}$  results in  $\frac{\Delta f'_n}{f'_n} = -0.009$  and  $\frac{\Delta t'_n}{t'_n} = -0.002$ . Substituting these values into Eqs. (6.1) through (6.4), we obtain

$$\frac{\Delta L}{L} = 0.003, \quad \frac{\Delta n_{eq}}{n_{eq}} = -0.028, \quad \frac{\Delta N_T}{N_T} = -0.016 \quad \text{and} \quad \frac{\Delta n_1}{n_1} = -0.028$$

In addition to uncertainties in  $D_{ci}$ , the empirical approximation of Eqs. (5.1) and (5.3) introduces small errors in  $f'_n$ . The magnitude of this error depends on magnetospheric parameters and  $D_{ci}$  (see Section 3D) and can be estimated with the aid of Figures 31 and 32.

#### E. UNCERTAINTIES DUE TO SUBIONOSPHERIC PROPAGATION

Whistler propagation in the earth-ionosphere wave guide has negligible effects on  $f_n$  unless  $f_n$  approaches the wave guide cutoff frequency of  $\sim 1.6$  kHz. Corrections for subionospheric propagation, however, must be made to time delays measured on a whistler spectrogram. As discussed in Chapter 4, the amount of correction necessary is typically  $\sim 0.03$  sec with uncertainties of  $\sim 0.015$  sec due to uncertainties in the location of lightning. For  $t_n = 1.5$  sec, this corresponds to  $\frac{\Delta t'_n}{t'_n} \sim 0.01$ , and from Eqs. (6.2) through (6.4),  $\frac{\Delta n_{eq}}{n_{eq}} = \frac{\Delta N_T}{N_T} = \frac{\Delta n_1}{n_1} \sim 0.02$ .

#### F. SUGGESTIONS FOR FURTHER WORK

A number of simplifying assumptions were made in this study in order to facilitate calculations and arrive at simple recipes for routine processing of whistler data. It was also necessary to use several models for electron distribution along geomagnetic lines of force. When a need arises for improved accuracy in whistler methods, these models and assumptions must be re-examined critically.

As mentioned previously, there is empirical evidence in support of a diffusive equilibrium model inside the plasmopause under normal conditions. Outside the plasmopause, however, the idealized collisionless model used

here is probably extreme, and the actual situation may be better represented by a model falling between the collisionless model and the diffusive equilibrium model (see Section 2B). Banks, et al [1971] recently pointed out a theoretical possibility that a low plasma pressure outside the plasmopause following a magnetic storm may cause the ionospheric plasma to flow upward at supersonic speeds. The field line distribution of plasma may be very complicated under such circumstances. More detailed theoretical and experimental studies are needed to improve the electron concentration models used outside the plasmopause.

The expression for refractive index used in this study is for a cold plasma, and it is further simplified by neglecting the additive 1 in Eq. (1.1) on the assumption that plasma frequency is much higher than electron gyrofrequency. These assumptions need further critical examination outside the plasmopause, where electron concentrations are low and the temperatures are high.

Another important simplification made in this study is the use of a dipole magnetic field. At large L values and during magnetic disturbances, the earth's magnetic field is distorted significantly by the solar wind, and the ring current. A detailed investigation should be made of whistler propagation in distorted magnetic fields and the possibility of detecting such distortions by the whistler technique.

An improvement is desired in estimating subionospheric propagation time and correcting for the effect of wave guide dispersion on nose frequency when the nose frequency is close to the wave guide cutoff frequency.

## APPENDIX. DIPOLE GEOMETRY

### A. MAGNETIC FIELD STRENGTH

In a centered dipole approximation, the earth's magnetic field strength is given by

$$B = 0.312 (r_o/r)^3 (1 + 3 \sin^2 \phi)^{1/2} \text{ gauss} \quad (\text{A.1})$$

where  $\phi$  is the latitude,  $r$  the geocentric distance and  $r_o$  the mean radius of the earth, 6370 km. Figure 3 illustrates the dipole geometry and the symbols used. The electron gyrofrequency is given by

$$f_H = \frac{1}{2\pi} \frac{Be}{m} = 8.736 \times 10^5 (r_o/r)^3 (1 + 3 \sin^2 \phi)^{1/2} \text{ Hertz} \quad (\text{A.2})$$

Figure 37 shows the equatorial field strength  $B_{eq}$  (and  $f_{Heq}$ ) plotted against  $r_{eq}$ , while Figure 38 shows the latitudinal variation of the field strength at the earth's surface  $B_o$ .

### B. L-VALUE

A dipole field line is described by

$$\frac{r}{\cos^2 \phi} = \frac{r_o}{\cos^2 \phi_o} \quad (\text{A.3})$$

where the subscript  $o$  refers to the earth's surface. In a dipole,

McIlwain's  $L$  parameter is

$$L = \frac{r_{eq}}{r_o} = \frac{1}{\cos^2 \phi_o} \quad (\text{A.4})$$

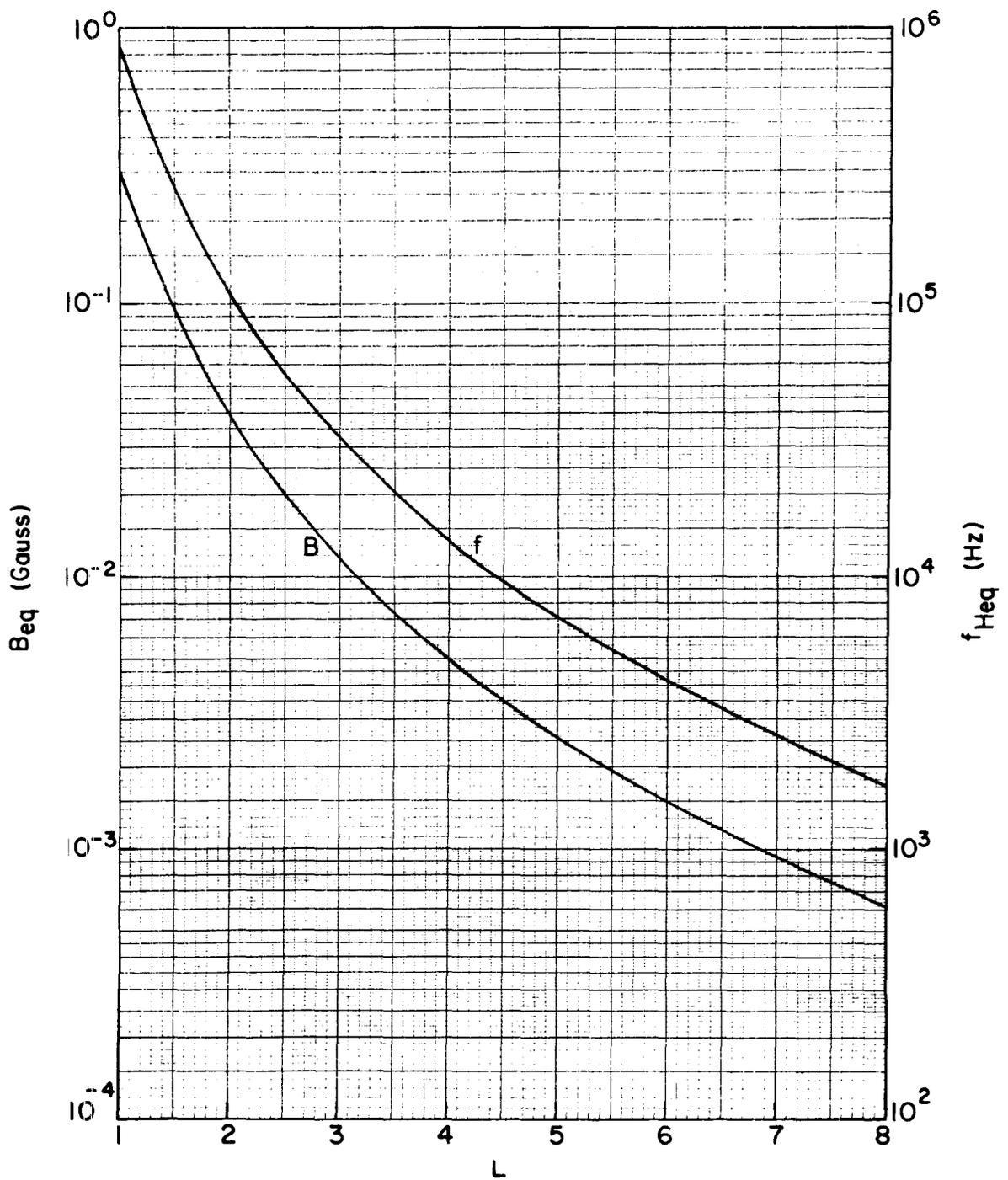


Figure 37. A plot of the earth's magnetic field strength and electron gyrofrequency at the equator as a function of L according to a centered dipole model.

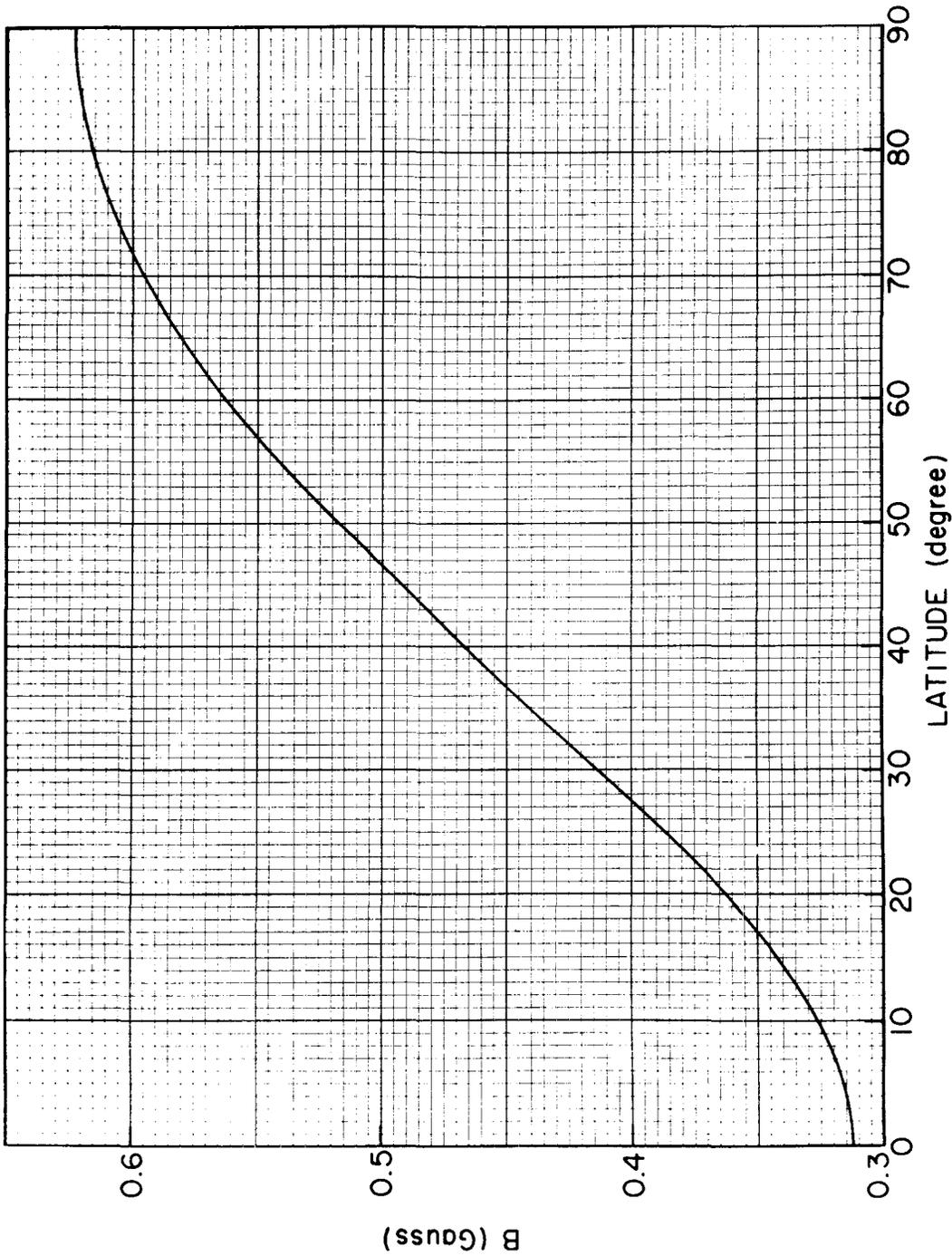


Figure 38. A plot of a dipole magnetic field strength on the earth's surface as a function of latitude.

If the reference level is changed to 1000 km altitude,  $\phi_1$  can be substituted for  $\phi_0$  by using Eq. (A.3) and we obtain

$$L = \frac{r_1}{r_0} \frac{1}{\cos^2 \phi_1} \quad (\text{A.5})$$

Figure 39 shows plots of  $\phi_0$  and  $\phi_1$  versus L-value.

### C. DIP ANGLE

The radial and tangential components of B are given by

$$\left. \begin{aligned} B_r &= 0.624 (r_0/r)^3 \cos\theta \\ B_\theta &= 0.312 (r_0/r)^3 \sin\theta \end{aligned} \right\} \quad (\text{A.6})$$

These vector components are related to dip angle  $\delta$  by

$$\tan\delta = \frac{B_r}{B_\theta} = 2 \cot\theta = 2\tan\phi \quad (\text{A.7})$$

Figure 40 is a plot of  $\delta$  as a function of  $\phi$ . The horizontal scale at top is the L-value at the earth's surface.

### D. LENGTH OF FIELD LINES

The arc length along a dipole field line between the equator and  $\phi$  can be written as (see Helliwell [1965])

$$S = \frac{1}{2\sqrt{3}} \frac{r_0}{\cos^2 \phi_0} (x + \sinh x \cosh x) \quad (\text{A.8})$$

where  $\sinh x = \sqrt{3 \sin\phi}$ . By substituting  $\sinh x = (e^x - e^{-x})/2$  and  $\cosh x =$

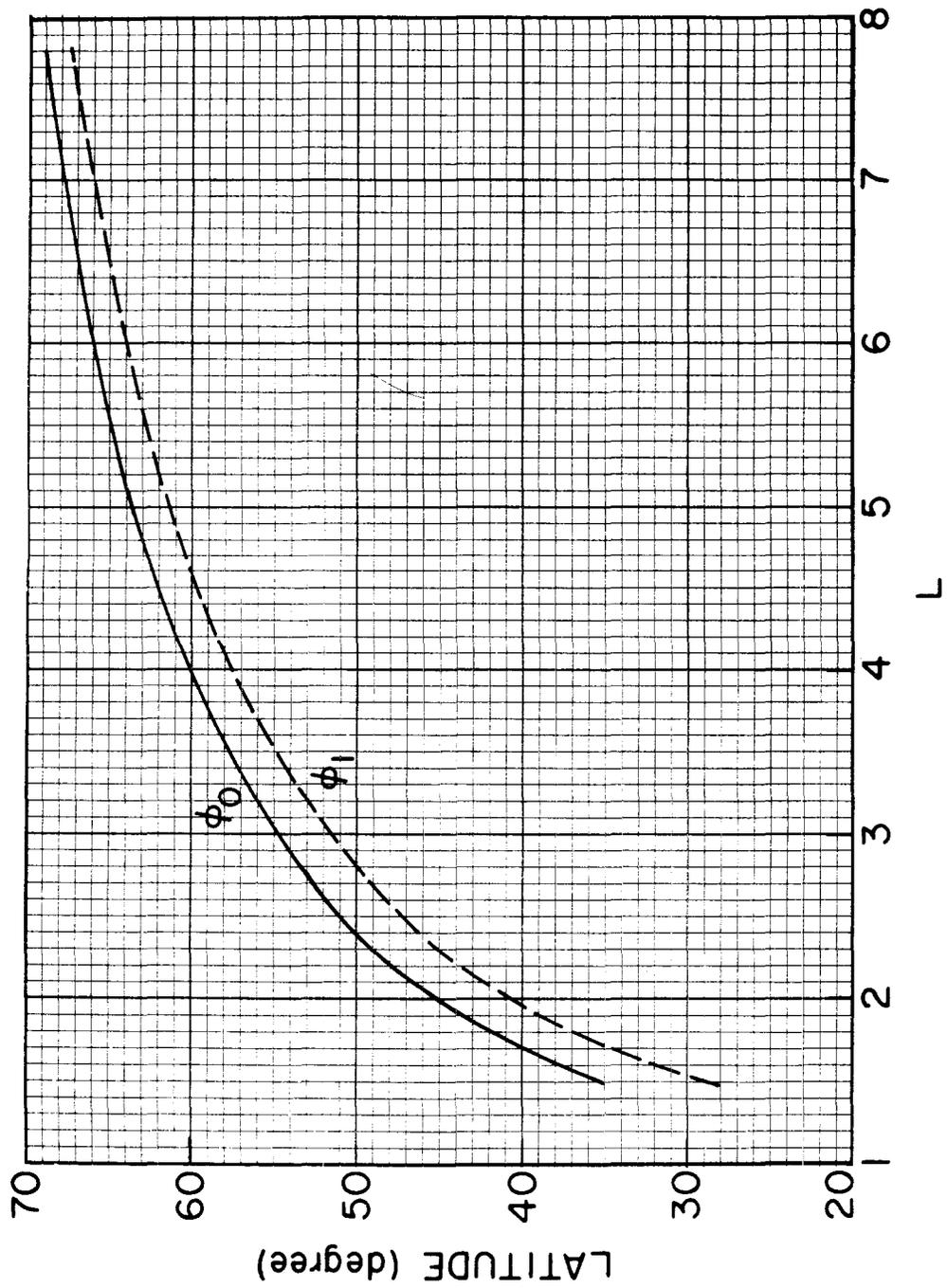


Figure 39. A plot of the latitude where the earth's magnetic field lines intersect the earth's surface ( $\phi_0$ ) and the 1000 km level ( $\phi_1$ ) as a function of dipole L parameter.

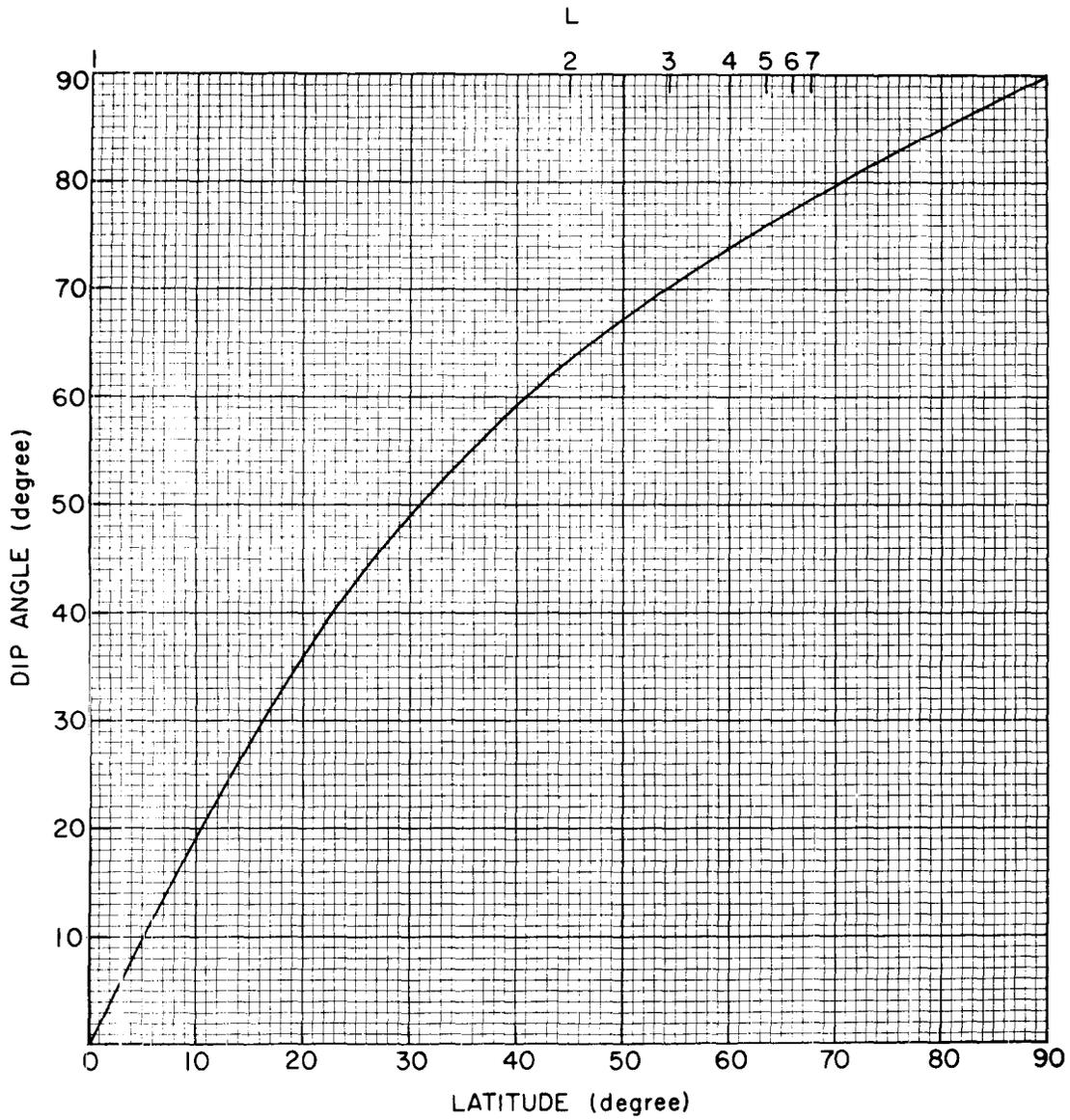


Figure 40. A plot of the dip angle as a function of latitude for a dipole field. The horizontal scale at the top shows dipole L parameter.

$(e^x + e^{-x})/2$ , and using the identity  $\cosh^2 x - \sinh^2 x = 1$ . Eq. (A.8) can be rewritten as

$$S = \frac{1}{2\sqrt{3}} \frac{r_o}{\cos^2 \phi_o} \left[ \ln \left( \sqrt{3} \sin \phi + \sqrt{1 + 3 \sin^2 \phi} \right) + \sqrt{3} \sin \phi \sqrt{1 + 3 \sin^2 \phi} \right]$$

(A.9)

Figure 41 shows a plot of the arc length measured from the dipole equator as a function of latitude for several L-values. Figure 42 shows the arc length from the equator to the 1000 km level  $S_1$  plotted against L.

#### E. TUBE VOLUME

We define V as the volume of a tube of force with  $1 \text{ cm}^2$  cross-sectional area at 1000 km and extending to the equator. We write

$$V_T = \int_{1000 \text{ km}}^{\text{equator}} \frac{B_1}{B} ds$$

and use Eqs. (A.1) and (2.15) to obtain

$$V_T = \frac{r_o^4 L^4}{r_1^3} \left( 1 + 3 \sin^2 \phi_1 \right)^{1/2} \int_0^{\phi_1} \cos^7 \phi d\phi$$

The integration yields

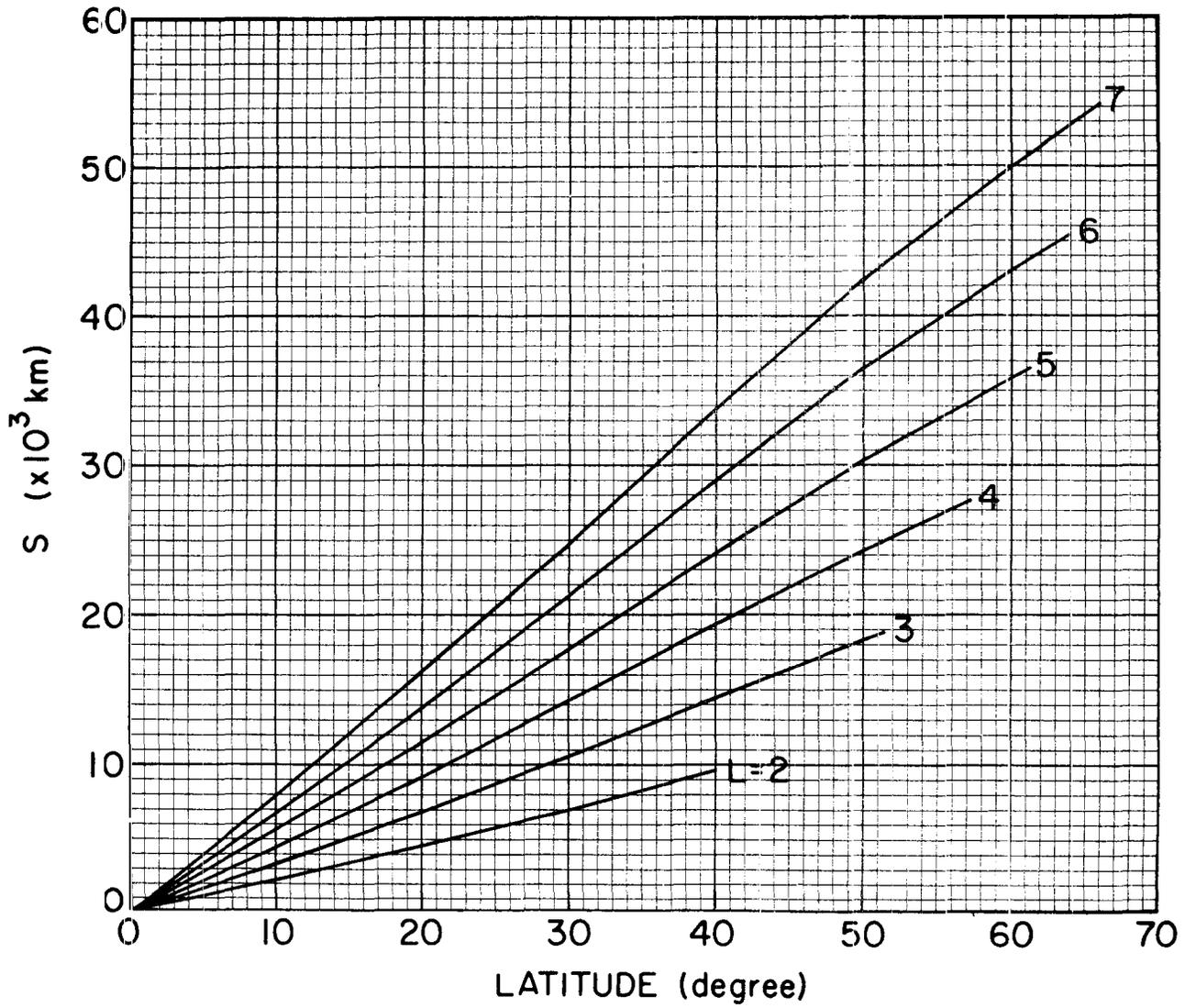


Figure 41. A plot of the arc length of the earth's dipole field lines as a function of latitude for several L values.

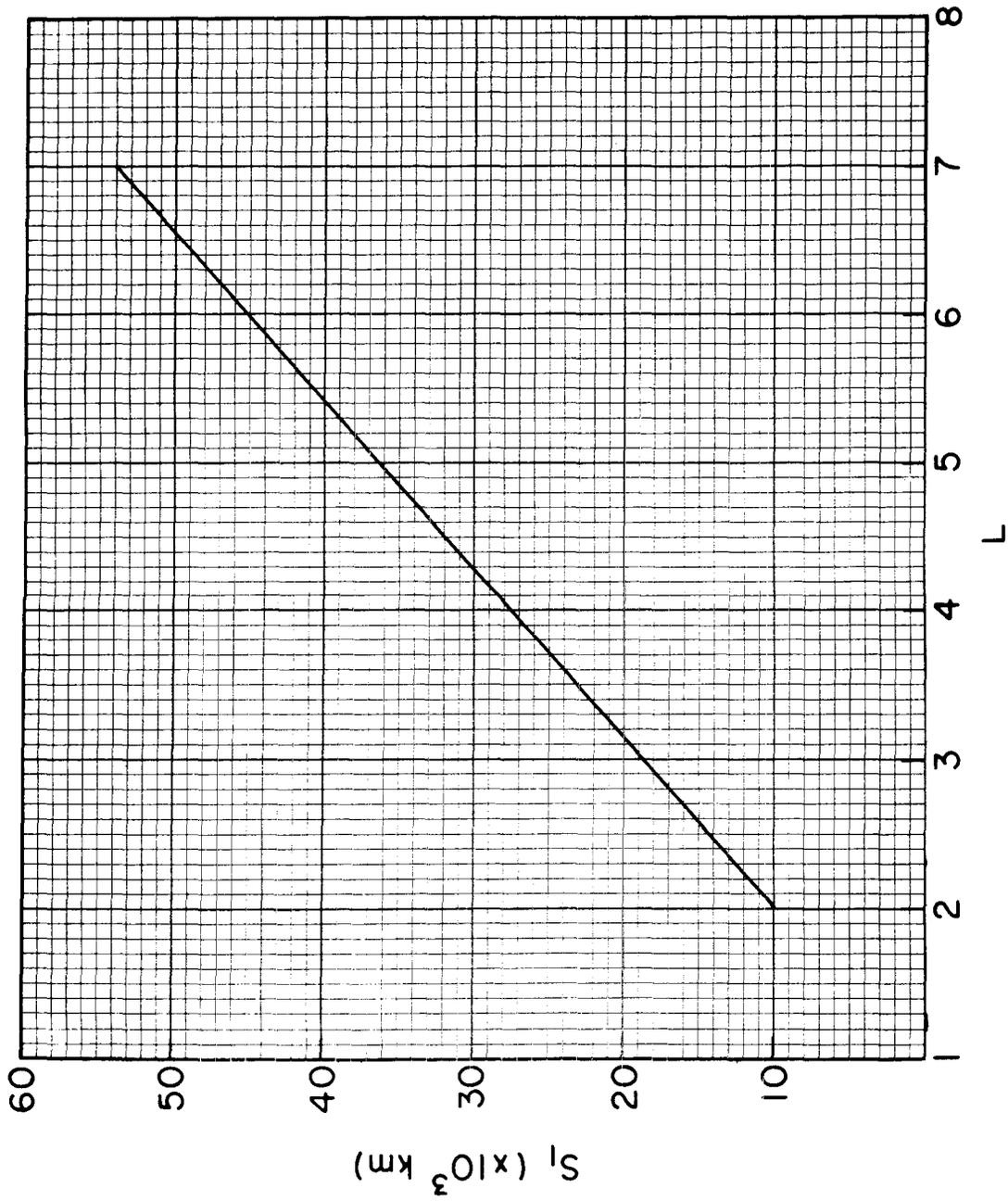


Figure 42. A plot of the arc length of the earth's dipole field lines between the equator and 1000 km altitude as a function of dipole L parameter.

$$V_T = \frac{r_o^4 L^4}{r_1^3} (1 + 3 \sin^2 \phi)^{1/2} \left[ \frac{35}{64} \sin \phi_1 + \frac{7}{64} \sin 3\phi_1 + \frac{7}{320} \sin 5\phi_1 + \frac{1}{448} \sin 7\phi_1 \right] \quad (A.10)$$

Figure 43 shows a plot of  $V_T$  versus  $L$ .

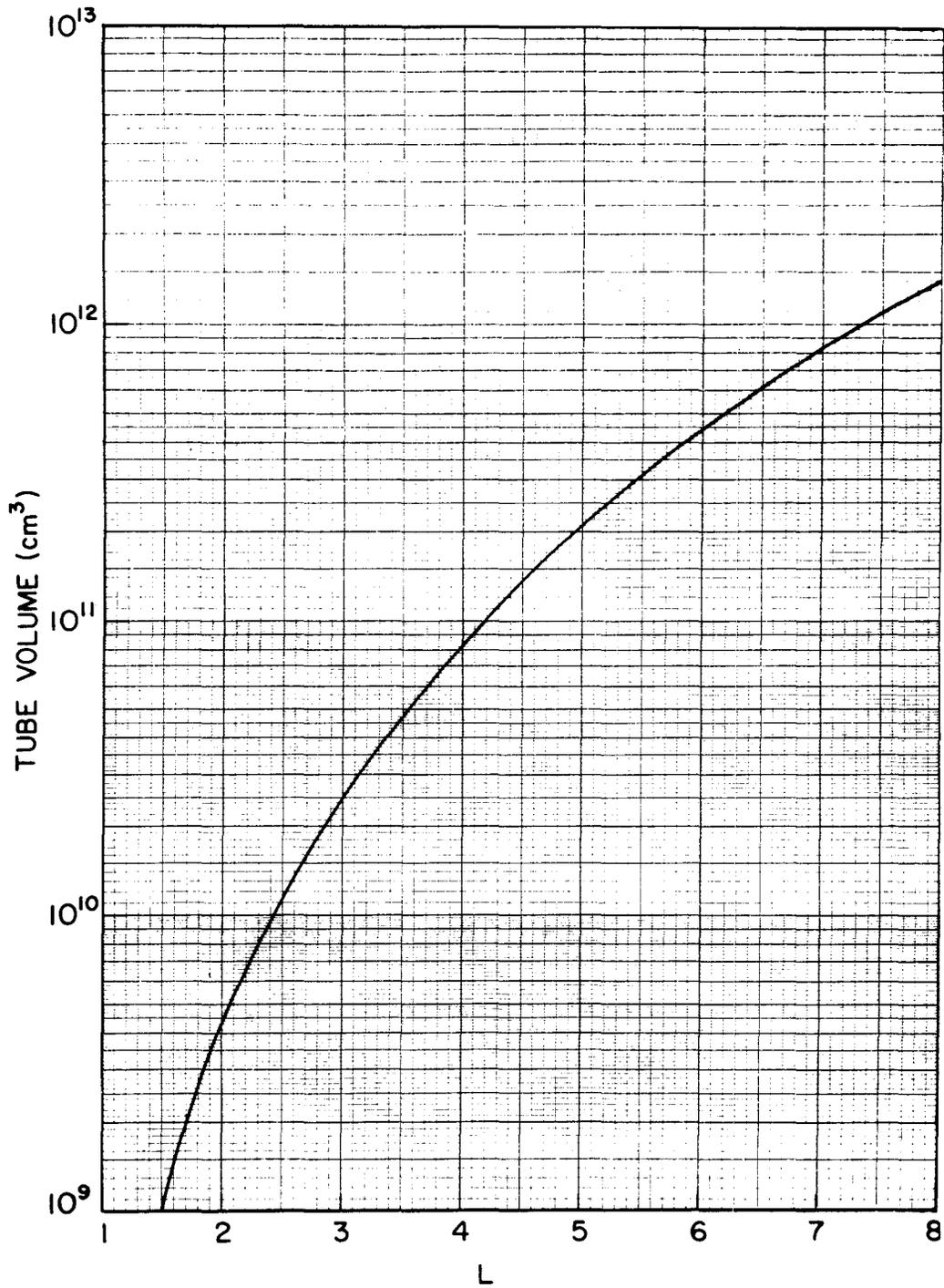


Figure 43. A plot of tube volume against dipole L parameter. The tube has  $1 \text{ cm}^2$  cross-sectional area at 1000 km altitude and extends to the equatorial plane.

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