REPLY TO ATTN OF: GP

TO: KSI/Scientific & Technical Information Division
   Attn: Miss Winnie M. Morgan

FROM: GP/Office of Assistant General Counsel for Patent Matters

SUBJECT: Announcement of NASA-Owned U.S. Patents in STAR

In accordance with the procedures agreed upon by Code GP and Code KSI, the attached NASA-owned U.S. Patent is being forwarded for abstracting and announcement in NASA STAR.

The following information is provided:

U.S. Patent No. : 3,849,554

Government or Corporate Employee

Supplementary Corporate Source (if applicable)

NASA Patent Case No. : NPO-12191

NOTE - If this patent covers an invention made by a corporate employee of a NASA Contractor, the following is applicable:

YES ☑ NO □

Pursuant to Section 305(a) of the National Aeronautics and Space Act, the name of the Administrator of NASA appears on the first page of the patent; however, the name of the actual inventor (author) appears at the heading of column No. 1 of the Specification, following the words "...with respect to an invention of..."

Bonnie L. Woerner

Enclosure
REDUCTION OF BLOOD SERUM CHOLESTEROL

Filed July 28, 1969

CARBOHYDRATE COMPOSITION
100 wt% glucose

CARBOHYDRATE COMPOSITION
75 wt% glucose 25 wt% sucrose

CARBOHYDRATE COMPOSITION
100 wt% glucose

SERUM CHOLESTEROL LEVEL (mg percent)
0 1 2 3 4 5 6 7 8 18 19 20
TIME ON DIET (weeks)
100 125 150 175 200 225 250

INVENTOR.
MILTON WINITZ
ATTORNEY
By feeding a human subject as the sole source of sustenance a defined diet wherein the carbohydrate consists substantially entirely of glucose, maltose or a polysaccharide of glucose, the blood serum cholesterol level of the human subject is substantially reduced. If 25 percent of the carbohydrate is subsequently supplied in the form of sucrose, an immediate increase from the reduced level is observed. The remainder of the defined diet normally includes a source of amino acids, such as protein or a protein hydrolysate, vitamins, minerals and a source of essential fatty acid.

This application is a continuation-in-part of my earlier application, Ser. No. 510,778, filed Dec. 1, 1965, now abandoned.

This invention relates to the reduction of blood serum cholesterol levels and more particularly to the reduction of serum cholesterol levels by controlling the specific type of carbohydrate ingested by a subject.

High serum cholesterol levels have been considered to be a possible causative factor in cardiovascular diseases such as atherosclerosis. Accordingly, various attempts have been made to lower the blood serum cholesterol levels. These previous attempts have concentrated primarily on limiting the dietary fat intake of the patient, or upon the use of various chemotherapeutic agents and drugs.

Carbohydrates in a typical dietary regimen are provided in the form of starches, which are high molecular-weight and relatively water-insoluble polymers of glucose. Other sources of carbohydrate, such as lactose (a disaccharide of fructose and glucose), as well as monosaccharides, such as fructose and glucose, occur in natural dietary regimens, but to a much more limited extent. The carbohydrates are employed by the animal organism as a prime source of energy. Prior to utilization by the animal organism, the polymeric or dimeric forms of the carbohydrates are degraded to the constituent monomers by enzymatic action in the gastrointestinal tract in order that they may be absorbed through the gastrointestinal tract. It is an object of this invention to provide a method for reducing blood serum cholesterol levels.

It is another object of this invention to provide a method for reducing the blood serum cholesterol level by controlling the kind of carbohydrate in the diet of the subject.

It is another object of this invention to provide a novel diet formulation which is suitable for use in reducing blood serum cholesterol levels.

These and other objects of this invention will be readily apparent from the following detailed description when read in conjunction with the accompanying drawing wherein FIG. 1 is a graphical representation of the results obtained from one series of experiments according to this invention.

It has been unexpectedly found that blood serum cholesterol levels of subjects confined to defined diets can be substantially reduced by the appropriate selection of the carbohydrate component of the diet. It has been found that when glucose is the carbohydrate component of such diets, either in the mono, di, or polysaccharide form, marked reductions in the blood serum cholesterol level occurs. Of course, only glucose polysaccharides which metabolize, such as starches and dextrins, would be used. When other carbohydrates, such as sucrose for example, are substituted for glucose, blood serum cholesterol levels increase. This result is observed even though no change is made in the composition or concentration of any of the other components of the diet.

As used in this patent application, the term "defined diet" refers to a diet formulation consisting essentially of highly purified nutrients. If the nutrients of the defined diet are all present in the form of compounds whose precise molecular configuration is known, this defined diet is termed a "chemically defined diet." Nutrients whose precise molecular configuration may not be known and whose inclusion would remove the diet from the chemically-defined-diet category, include, for example, proteins, peptones, starches, dextrins and fats. On the other hand, nutrients whose molecular configurations are precisely known and may be a part of chemically defined diets include, for example, amino acids and their simple precursors, such as purified peptides, mono- and disaccharides and esters of pure fatty acids.

Diet for human consumption should supply all of the requirements of the essential amino acids and nitrogen needed for growth and sustenance of normal physiological activity. There is also a requirement for essential fatty acid which is relatively low in necessary amount. Nutritionally adequate diet formulations will provide a source of amino acids, fats, minerals, vitamins and a source of calories generally in the form of carbohydrates. Proteins are high molecular weight, highly complex polymers composed of a variety of the so-called essential and non-essential amino acids. Utilization of protein by the animal organism requires that the protein be degraded by the proteolytic enzymes of the gastrointestinal tract to the constituent individual amino acids because the amino acids can be absorbed through the gastrointestinal tract only in the free, uncombined form. The essential amino acids, of which there are considered to be ten in number (leucine, isoleucine, valine, methionine, tryptophan, phenylalanine, threonine, arginine, lysine and histidine), are a vital requirement of the animal species. For a dietary regimen to be considered adequate for the support of all normal physiological functions, it should contain these essential amino acids in the appropriate levels and in the proper proportion of one to the other. The function of the non-essential amino acids is to provide a source of metabolizable nitrogen required by the animal organism for the biosynthesis of proteins, purines, nucleic acids, and other metabolites. Examples of the non-essential amino acids include alanine, glycine, proline, glutamic acid, aspartic acid, and serine. Proper nutritional balance requires that these non-essential amino acids be provided in sufficient quantity and within a range of proportions to each other that is less restrictive or critical than the balance required for the essential amino acids. As used in this application, the term "free amino acid" or the named amino acid should be understood to also include the simple reaction product of the amino acid and another chemical compound (for example, esters, amides and salts of amino acids) in which form the amino acids may also be employed without detracting from their nutritional utilization.

In chemically defined diets, the amino acid components, in the form of free essential and non-essential amino acids or suitable precursors thereof, such as purified peptides, are provided at total levels sufficient to satisfy normal physiological requirements for nitrogen.
Accordingly, the balance among the various amino acids is selected to meet the normal metabolic needs of the human subject and to maintain the desired nitrogen balance. Because of strong interdependencies between the required level of a given amino acid and the level of one or more of the other amino acids present in the diet, it is not practicable to establish a precise range of levels for each of the amino acids. Generally, the ratios of the levels of amino acids in the diets should approximate those of a high quality protein, such as meat, eggs, or milk, for example.


The present invention is not limited to chemically defined diets but is intended to include the employment as a source of amino acids, appropriate substances will be converted to form amino acids by the metabolic processes of the human body. Suitable proteins, such as casein or lactalbumin, for example, may be employed as the source of amino acids. Likewise, protein hydrolysates and peptides from high quality proteins, such as soy protein, whey, lactalbumin, fish protein, and gelatin for example, may also be used in a diet formulation designed to have the effect of lowering blood serum cholesterol levels.

Lipids typically appear in a natural dietary regime as fats and oils in the form of triglycerides of three molecules of fatty acids in combination with one molecule of glycerol. The common fatty acids in such triglycerides are those having between 12 and 24 carbon atoms, such as palmitic, stearic, myristic, oleic, linoleic, and arachidonic acids. Of these, only linoleic, linolenic, and arachidonic acids have been found to be essential to normal physiological function of animal organisms. The essential fatty acid requirement can be satisfied either by their synthetic linoleate or linolenate, or by combinations of the two, or by linolenic acid in combination with sufficient quantities of either or both of the other two. Degradation of fats prior to absorption through the gastrointestinal tract is accomplished by the enzymatic action of the lipases of the gastrointestinal tract, through which enzymatic action free fatty acids are formed. A discussion of the essential fatty acid requirements appears in Chapter 7 of the publication entitled The Vitamins, W. H. Sebrell and R. S. Harris, Academic Press, Inc., New York (1954) and also in a 1968 publication of The National Academy of Sciences, Washington, D.C., entitled Recommended Dietary Allowances. Other than the essential fatty acids for which there is a vital requirement by the body, fats, like carbohydrates, serve as a source of energy.

The fat component of the diet composition may be a fat, i.e., a glycerol ester of a fatty acid having between 12 and 24 carbon atoms, or a fat substitute, such as a simple alkyl ester of a fatty acid having between 12 and 24 carbon atoms, the alkyl group having 6 or less carbon atoms, such as palmitic, stearic, myristic, oleic, linoleic, and arachidonic acids. Of these, only linoleic, linolenic, and arachidonic acids have been found to be essential to normal physiological function of animal organisms. The essential fatty acid requirement can be satisfied either by their synthetic linoleate or linolenate, or by combinations of the two, or by linolenic acid in combination with sufficient quantities of either or both of the other two. Degradation of fats prior to absorption through the gastrointestinal tract is accomplished by the enzymatic action of the lipases of the gastrointestinal tract, through which enzymatic action free fatty acids are formed. A discussion of the essential fatty acid requirements appears in Chapter 7 of the publication entitled The Vitamins, W. H. Sebrell and R. S. Harris, Academic Press, Inc., New York (1954) and also in a 1968 publication of The National Academy of Sciences, Washington, D.C., entitled Recommended Dietary Allowances. Other than the essential fatty acids for which there is a vital requirement by the body, fats, like carbohydrates, serve as a source of energy.

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Table I. The fat component was administered as a separate daily supplement. These patients were administered a natural foodstuff diet and the chemically defined diet as a separate dialysis supplement. These patients were administered the results revealed a lowering of the serum cholesterol level during each of the three periods that the chemical diet was administered and a return to the baseline level during each period that the natural diet was provided.

Confirmation of these results was obtained in subsequent experiments with large numbers of subjects. In one series of experiments, eighteen normal adult subjects were confined to the diet of Table I as the sole source of sustenance. Ingestion of anything else other than distilled water was prohibited. The aqueous portion of the diet was provided at four daily intervals to each experimental subject who ingested an amount daily that was sufficiently constant to eliminate caloric intake as a variable. The fat component was given to each subject as a separate daily supplement. Each subject followed a daily activity schedule that included regulated moderate physical exercise. Fasting blood samples were withdrawn once weekly from each patient, and the blood serum cholesterol level was measured. The tabulated results of this experiment are shown in Table Ia, and Fig. 1 is a graphical representation of the mean values of cholesterol levels noted. The results of this experiment are statistically significant having a 95% confidence level.

As can be seen from Table Ia and Fig. 1, during the first four weeks of the experiment, when 100 weight percent of the sugar in the diet was glucose, the serum cholesterol of each of the eighteen subjects fed this diet exhibited a decrease from the pre-experimental level of 227 mg. percent to 160 mg. percent at the end of the seventh week of the test, the mean average had risen to 208 mg. percent. At the end of the seventh week of the test, the mean average had risen to 233 mg. percent shown by the group as a whole, indicating a decrease from the pre-experimental level of 227 mg. percent to 160 mg. percent after the fourth week. After the fourth week, the diet was modified by substitution of sucrose on a weight-for-weight basis for 25 weight percent of the glucose in Diet Formulation I. On being fed this otherwise identical diet, a progressive increase in the total serum cholesterol levels of each subject was observed and at the end of the seventh week of the test, the mean average had risen to 208 mg. percent. At the end of the seventh week, the diet was again modified to include 100 percent glucose as the sole source of caloric intake as a variable. The fat component was given to each subject as a separate daily supplement. Each subject followed a daily activity schedule that included regulated moderate physical exercise. Fasting blood samples were withdrawn once weekly from each patient, and the blood serum cholesterol level was measured. The tabulated results of this experiment are shown in Table Ia, and Fig. 1 is a graphical representation of the mean values of cholesterol levels noted. The results of this experiment are statistically significant having a 95% confidence level.

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ment, the average serum cholesterol level for the group as a whole declined from an initial baseline value of 202 mg. percent to 135 mg. percent. This experiment, employing the diet formulation of Table I, in which the nature and levels of some of the components other than carbohydrates have been altered, represents a confirmation of the previously observed decrease in serum cholesterol levels with the diet formulation of Table I.

As is readily apparent from the above description, confining a subject to a defined diet in which glucose is the sole source of dietary sugar results in a marked lowering of serum cholesterol levels, as long as the diet contains components sufficient for the maintenance of normal health, and limits the source of carbohydrates to glucose, either as its pure form or as polysaccharides of glucose in starches or dextrins.

TABLE II.—DIET FORMULATION II

<table>
<thead>
<tr>
<th>Amino acids:</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>L-lysine-HCl</td>
<td>g 3.58</td>
</tr>
<tr>
<td>L-leucine</td>
<td>g 3.83</td>
</tr>
<tr>
<td>L-isoleucine</td>
<td>g 2.42</td>
</tr>
<tr>
<td>L-valine</td>
<td>g 2.67</td>
</tr>
<tr>
<td>L-phenylalanine</td>
<td>g 2.75</td>
</tr>
<tr>
<td>L-arginine-HCl</td>
<td>g 5.70</td>
</tr>
<tr>
<td>L-histidine-HCl·H2O</td>
<td>g 1.58</td>
</tr>
<tr>
<td>L-methionine</td>
<td>g 2.47</td>
</tr>
<tr>
<td>L-alanine</td>
<td>g 2.58</td>
</tr>
<tr>
<td>L-aspartic acid</td>
<td>g 5.50</td>
</tr>
<tr>
<td>L-threonine</td>
<td>g 2.42</td>
</tr>
<tr>
<td>L-proline</td>
<td>g 3.42</td>
</tr>
<tr>
<td>Glycine</td>
<td>g 4.20</td>
</tr>
<tr>
<td>L-serine</td>
<td>g 1.78</td>
</tr>
<tr>
<td>L-tyrosine ethyl ester·HCl</td>
<td>g 4.10</td>
</tr>
<tr>
<td>L-tryptophan</td>
<td>g 0.75</td>
</tr>
<tr>
<td>L-glutamine</td>
<td>g 9.15</td>
</tr>
</tbody>
</table>

Vitamins:

- Thiamine·HCl          mg 1.00
- Riboflavin            mg 1.50
- Pyrodoxin·HCl         mg 1.67
- Nicinamide            mg 10.00
- Inositol              mg 0.83
- d-Ca pantothenate      mg 8.33
- d-Biotin               mg 0.83
- Folic acid             mg 1.67
- Ascorbic acid          mg 62.50
- Cyanocobalamin         mg 1.67
- p-Aminobenzoic acid    mg 416.56
- Choline bitartrate     mg 231.25

Salts:

- Potassium iodide      mg 0.25
- Manganese acetate·4H2O mg 18.30
- Zinc chloride         mg 1.25
- Cupric acetate·H2O    mg 2.50
- Cobaltous acetate·4H2O mg 1.67
- Sodium glycerophosphate mg 5.23
- Potassium sorbate      mg 1.00
- Ammonium molybdate·4H2O mg 0.42 |
- Potassium hydroxide    mg 4.00
- Sodium hydroxide       mg 0.38
- Magnesium oxide        mg 1.68
- Sodium hydrosulfite    mg 0.68
- Ferrous ammonium sulfate·6H2O mg 2.44 |
- Calcium chloride·2H2O  mg 5.33

Carbohydrates:

- Glucose               g 570
- Glucono-δ-lactone     g 17.20

Fats and fat-soluble vitamins:

- Ethyl linoleate        g 2.00
- Vitamin A acetate      mg 3.64
- Vitamin D             mg 0.057
- α-Tocopherol acetate  mg 77.29
- Menadione              mg 4.58

Flavoring: Synthetic flavoring agents and distilled water are added in amounts compatible with optimal palatability.

EXAMPLE III

Another group of adult subjects are confined to the diet formulation shown in Table III. During the first four weeks, a decline in the average serum cholesterol level of the group as a whole similar to that experienced in Example II is witnessed. After the fourth week, the diet is modified by substitution of sucrose, on a weight-for-weight basis, for 25 weight percent of the glucose in Diet Formulation III. A progressive increase in the total serum cholesterol levels of each subject is observed. At the end of the seventh week, the subjects are returned to the original diet formulation containing 100 percent glucose and no sucrose. A substantial drop in the blood serum cholesterol levels of each subject is again observed.

TABLE III.—DIET FORMULATION III

<table>
<thead>
<tr>
<th>Amino acids:</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>L-lysine·HCl</td>
<td>g 2.45</td>
</tr>
<tr>
<td>L-leucine</td>
<td>g 2.61</td>
</tr>
<tr>
<td>L-isoleucine</td>
<td>g 1.65</td>
</tr>
<tr>
<td>L-valine</td>
<td>g 1.88</td>
</tr>
<tr>
<td>L-phenylalanine</td>
<td>g 1.67</td>
</tr>
<tr>
<td>L-arginine·HCl</td>
<td>g 3.98</td>
</tr>
<tr>
<td>L-histidine·HCl·H2O</td>
<td>g 1.08</td>
</tr>
<tr>
<td>L-methionine</td>
<td>g 1.69</td>
</tr>
<tr>
<td>L-alanine</td>
<td>g 1.76</td>
</tr>
<tr>
<td>L-aspartic acid</td>
<td>g 3.75</td>
</tr>
<tr>
<td>L-threonine</td>
<td>g 1.65</td>
</tr>
<tr>
<td>L-proline</td>
<td>g 2.35</td>
</tr>
<tr>
<td>Glycine</td>
<td>g 2.87</td>
</tr>
<tr>
<td>L-serine</td>
<td>g 1.21</td>
</tr>
<tr>
<td>L-tyrosine ethyl ester·HCl</td>
<td>g 2.80</td>
</tr>
<tr>
<td>L-tryptophan</td>
<td>g 0.51</td>
</tr>
<tr>
<td>L-glutamine</td>
<td>g 6.19</td>
</tr>
</tbody>
</table>

Water-soluble vitamins:

- Thiamine·HCl          mg 1.20
- Riboflavin phosphate, NA salt mg 1.64
- Pyrodoxin·HCl         mg 2.00
- Nicinamide            mg 13.3
- Inositol              mg 116.5
- d-Ca pantothenate      mg 0.20
- d-Biotin               mg 0.20
- Folic acid             mg 1.18
- Ascorbic acid          mg 70.0
- Cyanocobalamin         mg 5.0
- p-Aminobenzoic acid, K salt mg 354.9
- Choline chloride       mg 85.0

Minerals:

- Potassium chloride     g 2.33
- Potassium hydroxide    g 1.27
- Sodium chloride        g 1.21
- Sodium hydroxide       g 1.13
- Calcium chloride       g 2.93
- Citric acid            g 12.11
- Sorbic acid            g 0.90
- Sodium glycerophosphate·5H2O mg 2.58
- Potassium iodide       mg 0.190
- Manganese acetate·4H2O mg 12.49
- Cupric acetate·H2O     mg 6.12
- Acetic acid            mg 5.95
- Zinc chloride          mg 0.83
- Ferrous ammonium sulfate·6H2O mg 7.00
- Cobaltous acetate·4H2O mg 1.18
- Ammonium molybdate·4H2O mg 0.29
Carbohydrates:

Glucose .................................................. 400.5
Glucono-δ-lactone ........................................ 6.31

Fat and fat-soluble vitamins and emulsifier:

Saflower oil .............................................. 1.33
Vitamin A acetate ........................................ 1.72
Vitamin D₂ .................................................. 10.0
Menadione .................................................. 3.22
α-Tocopherol acetate .................................... 20.0
Polyoxyethylene sorbitan monoleate ................... 66.7

Flavoring: Synthetic flavoring agents are added.

EXAMPLE IV

A further group of adult subjects are confined to a diet formulation the same as that shown in Table III except that 50 grams of casein hydrolysate plus 1 gram of L-methionine and 0.6 gram of L-tryptophan are substituted for the amino acid mixture and 400.5 grams of maltose is substituted for the glucose. During the first four weeks, a decline in the average serum cholesterol level of the group as a whole similar to that experienced in Example II is witnessed.

EXAMPLE V

Still another group of adult subjects are confined to a diet formulation the same as that shown in Table III, except that 50 grams of casein hydrolysate plus 1 gram of L-methionine and 0.6 gram of L-tryptophan are substituted for the amino acid mixture set forth therein and about 3.6 grams of purified corn oil are added. During the first four weeks, a decline in the average serum cholesterol level of the group as a whole similar to that experienced in Example II is witnessed. After the fourth week, the diet is modified by substitution of sucrose on a weight-for-weight basis for 25 weight percent of the glucose. A progressive increase in the total serum cholesterol levels of each subject is again observed. At the end of the seventh week, the subjects are returned to the original diet formulation containing 100 percent glucose and no sucrose. A substantial drop in the blood serum cholesterol levels of each subject is again observed.

EXAMPLE VI

A still further group of adult subjects are confined to a diet formulation the same as that shown in Table III, except that 55 grams of peptones obtained by the partial hydrolysis of soy protein are substituted for the amino acid mixture and 400.5 grams of dextrins are substituted for the glucose. During the first four weeks, a decline in the average serum cholesterol level of the group as a whole similar to that experienced in Example II is witnessed.

In summary, this invention involves the discovery that if glucose in its mono, di, or polysaccharide form is the sole source of sustenance for the human species (and thus is adequate to maintain normal human physiological function), a substantial reduction in blood serum cholesterol level can be obtained by confining a subject to such a diet. When substantial amounts of other sugars, such as sucrose, are included in the diet, no such lowering is noted. It is to be expected that, as a diet that contains a given level of sucrose would be modified by replacing the sucrose with glucose, a decrease in serum cholesterol levels would be observed before all the sucrose is replaced; however, the maximal effect on cholesterol level should be obtained when all of the sucrose is replaced. Accordingly, it is preferred to utilize a diet containing only glucose although low levels of sucrose can be tolerated without departing from the scope of this invention.

As the examples show, the effect of lowering the serum cholesterol level is not dependent upon a specific mixture of amino acids, as diet compositions utilizing protein, peptones and protein hydrolysates function to achieve the desired effect. Likewise, employment of particular minerals and vitamins in amounts well known in the art is not considered to affect the cholesterol lowering, as evidenced by the fact that, even when these components remain unchanged, the substitution of 25 percent sucrose negates the cholesterol-lowering effect and causes an actual increase.

While this invention has been described with respect to certain specific embodiments thereof, these embodiments are included for the purpose of illustration and should not be construed as limiting the invention because various modifications to the dietary formulations can be made as would be apparent to one ordinarily skilled in this art.

Various of the features of the invention are set forth in the claims which follow:

What is claimed is:

1. A method for lowering the blood serum cholesterol level of a human in need of such therapy, which method consists essentially of administering to the human as the sole source of sustenance a defined diet composition consisting essentially of vitamins, minerals, a source of amino acids, a source of essential fatty acid and a carbohydrate component selected from the group consisting of glucose, maltose, polysaccharides of glucose, and mixtures thereof.

2. A method in accordance with Claim 1 wherein said source of amino acids is selected from the group consisting of proteins, protein hydrolysates, peptones, peptides, free amino acids, and mixtures thereof.

3. A method in accordance with Claim 1 wherein said source of essential fatty acid consists essentially of glycerol esters of fatty acids or simple esters of fatty acids or mixtures thereof.

4. A method in accordance with Claim 3 wherein said source of essential fatty acid is present in the defined diet composition in an amount not greater than about one percent by weight.

5. A method in accordance with Claim 1 wherein said defined diet composition is in the form of an emulsion with said carbohydrate, said minerals, the water-soluble members of said vitamins and said source of amino acids being present in an aqueous continuous phase and said source of essential fat and the fat-soluble members of said vitamins being present as a dispersed phase.

6. A method in accordance with Claim 1 wherein said defined diet composition is a chemically defined diet composition.

7. A method in accordance with Claim 6 wherein said source of amino acids consists essentially of free amino acids.

8. A method in accordance with Claim 7 wherein said carbohydrate component consists essentially of glucose.

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