General Disclaimer

One or more of the Following Statements may affect this Document

- This document has been reproduced from the best copy furnished by the organizational source. It is being released in the interest of making available as much information as possible.

- This document may contain data, which exceeds the sheet parameters. It was furnished in this condition by the organizational source and is the best copy available.

- This document may contain tone-on-tone or color graphs, charts and/or pictures, which have been reproduced in black and white.

- This document is paginated as submitted by the original source.

- Portions of this document are not fully legible due to the historical nature of some of the material. However, it is the best reproduction available from the original submission.

Produced by the NASA Center for Aerospace Information (CASI)
NOTICE

The invention disclosed in this document resulted from research in aeronautical and space activities performed under programs of the National Aeronautics and Space Administration. The invention is owned by NASA and is, therefore, available for licensing in accordance with the NASA Patent Licensing Regulation (14 Code of Federal Regulations 1245.2).

To encourage commercial utilization of NASA-owned inventions, it is NASA policy to grant licenses to commercial concerns. Although NASA encourages nonexclusive licensing to promote competition and achieve the widest possible utilization, NASA will consider the granting of a limited exclusive license, pursuant to the NASA Patent Licensing Regulations, when such a license will provide the necessary incentive to the licensee to achieve early practical application of the invention.

Address inquiries and all applications for license for this invention to NASA Patent Counsel, Goddard Space Flight Center, Mail Code 204, Greenbelt, Maryland, 20771. Approved NASA forms for application for nonexclusive or exclusive license are available from the above address.
LOCKING MECHANISM FOR ORTHOPEDIC BRACES

A locking mechanism for orthopedic braces is described which automatically prevents or permits the relative pivotable movement between a lower brace member and an upper brace member, the locking mechanism also including a secondary manually operable latching device. The upper and lower brace members are provided with drilled bores within which a slidable pin is disposed, and depending upon the inclination of the brace members with respect to a vertical plane, the slidable pin will be interposed between both brace members so as to prevent the relative pivotable movement therebetween, or alternatively, be disposed solely within the bore of the upper brace member so as to permit such relative pivotable movement therebetween. The secondary or auxiliary latching device includes a spring-biased, manually operable lever bar arrangement whereby the same is manually unlatched and automatically latched under the influence of the spring.

FIGURES 1a-3b are side and front elevation views of the upper and lower brace members, as well as the notched plate members of the locking mechanism; FIGURE 4 is a perspective view of the assembled mechanism; FIGURE 5 is a side elevation view of the assembled mechanism disposed in a vertical plane; and FIGURE 6 is a side elevation view of the assembled mechanism in an inclined disposition relative to the vertical plane.

The novelty of the present invention appears to reside in the disposition of the slidable pin within the bores of the upper and lower brace members so as to automatically prevent or permit the relative pivotable movement between the brace members depending upon the inclination of the same relative to a vertical plane.

Inventor: Jireh I-Le Chao and Charles H. Epps, Jr.
Employer: HOWARD UNIVERSITY, Summer Institute of Biomedical Engineering
Evaluator: Jayne Coin
Filing Date: April 14, 1976
Serial No.: 676,958
APPLICATION FOR LETTERS PATENT

TO ALL WHOM IT MAY CONCERN:

BE IT KNOWN THAT JIREH I-LE CHAO and CHARLES H. EPPS, JR.

_citizens_ of the United States of America,

and residents of Philadelphia, Pennsylvania and Washington, D. C., respectively

have invented certain new and useful improvements in LOCKING

MECHANISM FOR ORTHOPEDIC BRACES

of which the following is a specification:
ABSTRACT OF THE DISCLOSURE

A locking mechanism for orthopedic braces includes upper and lower brace members pivotably joined together, notched or recessed plates being fixedly secured to the lower brace member while a U-shaped locking bar is pivotably secured to the upper brace member for lockingly cooperating with the notched or recessed plates, a spring-biased actuating lever being operatively associated with the U-shaped locking bar. The upper and lower brace members are also provided with drilled holes or bores which are angularly oriented with respect to the longitudinal axes of the upper and lower brace members, the bores being aligned with each other when the longitudinal axes of the brace members are likewise aligned.

A freely movable pin is slidably disposed within the bores, the outer ends of the bores being suitably capped so as to retain the pin therewithin, and when the brace is vertically disposed so as to simulate standing or walking conditions, both brace members also being longitudinally aligned, the U-shaped locking bar automatically lockingly engages the recessed or notched plates while the slidable pin is interposed between both brace members and within both bores thereof, thereby preventing the occurrence of relative pivoting between the brace members. When the brace is alternatively disposed in a suitably inclined position, corresponding to simulated sitting conditions, the pin moves solely into the bore of the upper brace member thereby permitting relative pivoting to occur between the brace members when the U-shaped locking bar is disengaged from the notched plates by means of the actuating lever.
ORIGIN OF THE INVENTION

The invention described herein was made in the performance of work under a NASA contract and is subject to the provisions of section 305 of the National Aeronautics and Space Act of 1958, Public Law 85-568 (72 Stat. 435; 42 U.S.C. 2457).

BACKGROUND OF THE INVENTION

Field of the Invention:

The present invention relates generally to orthopedic braces, and more particularly to an improved locking mechanism for such braces.

Description of the Prior Art:

While an innumerable variety of braces pervade the orthopedic field to assist the ambulation of handicapped and other afflicted persons, and while such braces may also include manually or automatically operable locking mechanisms for a variety of purposes, conventional locking mechanisms are capable of being unlocked under undesirable conditions and at undesirable times whereby the locking function of the brace may readily be circumvented and the constructive and rehabilitative purposes of the brace may likewise be thwarted.

The application of orthopedic braces, for example, to the lower extremities of children affected with spasticity and other neurological problems has been an effective means of preventing deformity and contracture while at the same time permitting ambulation under optimum extremity disposition. Unfortunately, however, as conventional orthopedic brace locking mechanisms characteristically exhibit the aforesaid disadvantage of being capable of being unlocked at inexpedient times, children are able to gain control over the opening and locking functions of the locking mechanisms of the braces and, despite admonitions from their parents, teachers, doctors, and the like, often unlock the same, whereupon walking, while such unlocked conditions prevail, causes for example, knee flexion and calcaneal deformities to recur.
SUMMARY OF THE INVENTION

Accordingly, it is an object of the present invention to provide a new and improved locking mechanism for orthopedic braces.

Another object of the present invention is to provide a new and improved orthopedic brace locking mechanism which, under standing or walking conditions, cannot be unlocked so as to effectively prevent bending of the knee portion of the brace, and consequently the knee portions of the person's braced lower extremities, yet nevertheless permitting the locking mechanism, under sitting conditions, to be simply unlocked, even by a child, so as to in fact permit bending of the patient's knees.

Still another object of the present invention is to provide a new and improved orthopedic brace locking mechanism which is rendered operable, and inoperable, in dependence upon the relative inclination of the brace with respect to the ground.

Yet another object of the present invention is to provide a new and improved orthopedic brace locking mechanism which is automatically locked under simulated standing or walking conditions and which may be simply manually unlocked under simulated sitting conditions.

A further object of the present invention is to provide a new and improved orthopedic brace locking mechanism which is light in weight and relatively small in size.

The foregoing and other objectives are achieved in accordance with the present invention through the provision of an orthopedic brace locking mechanism which includes upper and lower brace members pivotably joined together, notched, or recessed plates being fixedly secured to the lower brace member while a U-shaped locking bar is pivotably secured to the upper brace member for lockingly cooperating with the notched or recessed plates, a spring-biased actuating lever being operatively associated with the U-shaped locking bar. The upper and lower brace members are also provided with drilled holes or
bores which are angularly oriented with respect to the longitudinal axes of the upper and lower brace members, the bores being aligned with each other when the longitudinal axes of the brace members are likewise aligned.

A freely movable pin is slidably disposed within the bores, the outer ends of the bores being suitably capped so as to retain the pin therewithin, and when the brace is vertically disposed, so as to simulate standing or walking conditions, both brace members also being longitudinally aligned, the U-shaped locking bar automatically lockingly engages the recessed or notched plates while the slidable pin is interposed between both brace members and within both bores thereof, thereby preventing the occurrence of relative pivoting between the brace members. When the brace is alternatively disposed in a suitably inclined position, corresponding to simulated sitting conditions, the pin moves solely into the bore of the upper brace member thereby permitting relative pivoting to occur between the brace members when the U-shaped locking bar is disengaged from the notched plates by means of the actuating lever.

**BRIEF DESCRIPTION OF THE DRAWINGS**

Various other objects, features, and attendant advantages of the present invention will be more fully appreciated as the same becomes better understood from the following detailed description when considered in connection with the accompanying drawings, in which like reference characters designate like or corresponding parts throughout the several views, and wherein:

**FIGURES 1a and 1b** are side and front elevation views, respectively, of the upper brace member utilized within an orthopedic brace embodying the present invention;

**FIGURES 2a and 2b** are views similar to those of **FIGURES 1a and 1b**, respectively, showing however, the lower brace member utilized within the brace embodying the present invention;

**FIGURES 3a and 3b** are views similar to those of **FIGURES 1a and 1b**, respectively, showing however the notched plates utilized within the brace
embodi\ing the present invention;

FIGURE 4 is a perspective view of the assembled brace incorporating the locking mechanism of the present invention;

FIGURE 5 is a side elevation view of the brace of FIGURE 4 disclosing the operation of the locking mechanism of the present invention under locked conditions; and

FIGURE 6 is a side elevation view of the brace of FIGURE 4 disclosing the operation of the locking mechanism of the present invention under partially locked conditions.

DETAILED DESCRIPTION OF A PREFERRED EMBODIMENT

Referring now to the drawings, the orthopedic brace, within which the locking mechanism of the present invention is incorporated, is generally indicated by the reference character 10, as seen within FIGURES 4, 5 and 6, and is seen to include an elongate, upper plate member 12, as best seen in FIGURES 1a and 1b, and an elongate, lower plate member 14, as best seen in FIGURES 2a and 2b, pivotably secured together by means of a pivot pin 16, as seen in FIGURES 4, 5 and 6. As best seen within FIGURES 1a and 1b, the upper portion 18 of upper plate member 12 is substantially rectangular in configuration, while the lower portion 20 of plate member 12 is substantially circular in configuration, upper and lower portions 18 and 20, respectively, being integrally formed and secured together by means of a neck portion 22 which defines two arcuately configured shoulder portions 24 laterally disposed upon opposite sides of the longitudinal axis 26 of member 12.

As best seen within FIGURES 2a and 2b, the lower portion 28 of lower plate member 14 is likewise substantially rectangular in configuration, while the upper portion 30 of plate member 14 is substantially semi-circular in configuration. The interior surface 32 of portion 30 defines a semi-circular recess 34 having a radial or diametrical extent which is the same as, or slightly larger than, that of circular plate portion 20 of plate member 12 so as to pivotably
mate therewith and thereby facilitate pivotable movement to occur between
the plate members 12 and 14, and to limit the pivotable movement between
plate members 12 and 14 corresponding to the aligned and bent dispositions
of such members under simulated standing or sitting conditions, portion 30
of plate member 14 is additionally provided with diametrically opposed pro-
jections 36 and 38 which are adapted to mate with the shoulders 24 of plate
member 12, it being particularly noted, as best seen, for example within
FIGURE 5, that the configuration of projection 36 coincides precisely with that
of neck portion 22 and shoulder portion 24 of plate member 12 to positively
arrest the pivotable movement of the plate members relative to one another
when longitudinally aligned and to facilitate the locking of the same under
simulated standing conditions.

Circular plate portion 20 of plate member 12 is further provided with
a bore 40 disposed along a chord which is radially offset with respect to the
center of portion 20, and semi-circular plate portion 30 of plate member 14 is
likewise provided with a similarly disposed bore 42. Both bores are disposed
at an approximate angle of 55° with respect to the longitudinal axes of members
12 and 14 and are adapted to be aligned with each other, as best seen, for
example, within FIGURE 5, when members 12 and 14 are longitudinally aligned.

As illustrated within FIGURE 5, an elongate pin 44, having rounded end portions,
is freely slidable within bores 40 and 42, and to retain pin 44 therewithin,
threaded members 46 and 48, such as, for example, Allen screws, are threadedly
secured within the outer portions of the bores 40 and 42, respectively. The
length of member 48, for example, is such that, when bores 40 and 42 are aligned
as a result of plate members 12 and 14 being aligned and in the vertical position
simulating standing conditions, the movement of pin 44, which moves
downwardly within the bores under the influence of gravity, will be arrested at such a location that pin 44 will be interposed between portions 20 and 30 of plate members 12 and 14, respectively, and in this manner, pivoting of the plate members 12 and 14 with respect to each other is positively prevented. To the contrary, the length of member 46 is such that, when the plate members 12 and 14 are longitudinally aligned and are also inclined with respect to a horizontal plane, or the ground, as shown, for example, within FIGURE 6, simulating sitting conditions, the pin 44 again moves relatively downwardly under the influence of gravity and its movement is arrested such that the pin 44 is disposed solely within bore 40 of plate portion 20, the relative disposition of pin 44 with respect to plate members 12 and 14 thereby facilitating the relative pivotable movement between members 12 and 14.

As can best be seen within FIGURES 3a, 3b, and 4, the lower plate member 14 is also provided with a pair of plates 50 which are fixedly secured upon the opposite side surfaces thereof by suitable fastening means 52. The lower portion 54 of each plate 50 is substantially rectangular in configuration so as to correspond to portion 28 of member 14, while the upper portion 56 of each plate 50 is substantially circular so as to correspond to semi-circular portion 30 of member 14, V-shaped notches 58 being respectively defined within upper portions 56 of plates 50. A substantially U-shaped locking bar 60, as seen in FIGURE 4, the legs 62 of which, as seen within FIGURES 4-6, are disposed upon opposite sides of plate member 12, is pivotably secured to member 12, by means of a pivot pin 64, at a position substantially adjacent lower portion 20 of member 12 such that the cross-bar 66 of bar 60 is permitted to be seated within the notched portions 58 of plates 50.

Referring to FIGURES 4, 5 and 6, a cylindrical projection 68 is fixedly secured, and extends perpendicularly to one side surface of the upper portion 18 of plate member 12, and a stud 70 is similarly disposed upon a side surface of locking bar 60 so as to be parallel
with projection 68. Projection 68 is provided with a bore, not shown, and an actuating lever 72, having one end thereof secured, by suitable means, to stud 70, is slidably moveable through the bore of projection 68, the opposite end of lever 72 being formed with a fingertip ring portion 74. A coil spring 76 is disposed about the portion of lever 72 interposed between projection 68 and stud 70, and in this manner, stud 70 and locking bar 60 will be spring-biased in a clockwise manner about pivot pin 64.

The operation of the locking mechanism of the orthopedic brace is thus quite apparent from the foregoing description. When the patient to which the brace is secured is in a simulated standing condition, as seen, for example, within FIGURE 5, the plate members 12 and 14 will be longitudinally aligned and consequently, bores 40 and 42 will be similarly aligned whereby pin 44 will be interposed between members 12 and 14 thereby preventing pivotable movement therebetween. The actuating lever 72 is also disclosed within FIGURE 5 as being spring-biased downwardly and consequently, locking bar 60, as seen in FIGURE 4, is lockingly engaged with the notched portions 58 of plates 50.

If under these conditions, that is, simulated standing conditions, the patient were to desire to unlock the brace members so as to circumvent the locking function of the mechanism and to thwart the therapeutic purposes of the brace, the patient would grasp the fingertip portion 74 of lever 72 and pull vertically upwardly so as to rotate locking bar 60 in the counterclockwise direction, against the biasing force of spring 76, and thereby disengage the same from notched portions 58, whereby the upper member 12 could then be attempted to be pivoted relative to lower member 14. However, due to the gravitational disposition of pin 44, relative pivotable movement between members 12 and 14 is nevertheless prevented.
To the contrary, if the patient were desirous of unlocking members 12 and 14 so as to attain relative pivotable movement therebetween in an effort to bend the knee portion of the brace and the afflicted extremity, the patient, under simulated sitting conditions as seen, for example, within FIGURE 6, could grasp the fingergrip portion 74 of lever 72 and upon pulling in the direction away from lower plate member 14, rotate locking bar 60, as seen in FIGURE 4, in the counterclockwise direction, against the biasing force of spring 76, and upon bar 60 clearing the notched portions 58 of plates 50, the lower plate member 14 will in fact pivot downwardly, as the gravitational disposition of pin 44 has already been altered such that the pin now resides solely within bore 40 of upper plate portion 20, the pivotable movement of plate member 14 being limited by means of projection 38 of lower plate portion 30. Under these pivotable conditions, the locking bar 60 is now disposed in contact with the small arcuate portion 78 of plate portion 56 of plates 50, and upon realigning plate members 12 and 14, locking bar 60, under the biasing force of spring 76, is snapped into engagement with notched portions 58 of plate 50 whereby the locking mechanism is again partially locked as disclosed within FIGURE 6.

Upon the patient rising from the sitting position to the standing position, pin 44 will again alter its disposition with respect to bores 40 and 42 and upon standing conditions being obtained, the locking mechanism is fully locked as disclosed within FIGURE 5.

While the locking mechanism of the present invention has been particularly disclosed as including pin bores inclined with respect to the longitudinal axes of the plates members through an angle of approximately 55°, the mechanism will likewise operate efficiently if the angle is anywhere within the range of 50-60°, although the range may depend somewhat upon the
size of the patient's leg. It is to be noted that the pin is completely enclosed within the bores so as to protect the same from the environment whereby failsafe operation of the same is facilitated.

With respect to the materials employed within the various components of the mechanism, the gravity-actuated pin is preferably made of tungsten because of its high yield strength and stress resistance, and high mass, the pin only being subjected to stress if a patient tries to pivot the plate members while nevertheless standing. The pivot pin between the plate members is preferably made of steel, and based upon stress analysis, the brace can withstand the stresses imposed thereon by means of a 250 pound individual. The remaining components of the brace are made of aluminum which is substantially light in weight and malleable, the latter feature facilitating custom-fitting of braces to various patients, the braces being manufactured of prefabricated stock parts. While the tungsten pin might cause the pin bores of the aluminum brace members to wear and become oversized, a steel sleeve might be incorporated within the bores so as to line the same whereby the wear problem would be obviated.

Obviously, many modifications and variations of the present invention are possible in light of the above teachings. For example, in lieu of the gravity-pin mechanism, a spring-loaded, weight-bearing mechanism might be employed whereby, under standing conditions, the weight of the individual would compress the spring causing the latching mechanism to engage. Actuation of the locking bar type latching mechanism would nevertheless fail to disengage the spring-loaded mechanism and consequently, the brace would remain locked.

Upon sitting, as the weight or load has been removed from the spring mechanism, unlatching of the same is permitted for bending the knee portion of the brace and extremity. It is to be understood therefore that within the scope of the appended claims, the present invention may be practiced otherwise than as specifically described herein.