Evaluation of Performance of Select Fusion Experiments and Projected Reactors

George H. Miley

PURCHASE ORDER C-2438-D
OCTOBER 1978
Evaluation of Performance of Select Fusion Experiments and Projected Reactors

George H. Miley
University of Illinois
Urbana, Illinois

Prepared for
Lewis Research Center
under Purchase Order C-2438-D

NASA
National Aeronautics and Space Administration
Scientific and Technical Information Office
1978
# Table of Contents

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Introduction</td>
<td>1</td>
</tr>
<tr>
<td>2. Performance Criteria</td>
<td>1</td>
</tr>
<tr>
<td>3. Performance Plots.</td>
<td>3</td>
</tr>
<tr>
<td>a. Gain Performance</td>
<td>3</td>
</tr>
<tr>
<td>b. Average Power Performance</td>
<td>15</td>
</tr>
<tr>
<td>4. Alternate Criteria</td>
<td>17</td>
</tr>
<tr>
<td>5. Summary</td>
<td>20</td>
</tr>
<tr>
<td>6. References</td>
<td>23</td>
</tr>
<tr>
<td>7. Appendices</td>
<td>25</td>
</tr>
<tr>
<td>A. Derivation of Gain Criterion</td>
<td>25</td>
</tr>
<tr>
<td>B. Nomenclature</td>
<td>27</td>
</tr>
</tbody>
</table>
1. Introduction

As indicated in Figure 1, since the start of Controlled Thermonuclear Research in 1950, steady progress has been made towards the goal of fusion power\(^{(1,2)}\). It appears that we are now entering the engineering development phase of this work, but most researchers agree that a commercial fusion reactor will not be available before the year 2000. Large Tokamak experiments such as TFTR (USA), T-20 (USSR) and JET are expected to be operational around 1980 and produce 10's of MWs of thermal output when fueled with DT plasma. They should approach breakeven energy conditions, but further R&D to achieve practical power-producing devices will be demanding and time consuming.

The objective of the present study is to place the performance of the NASA experiments (the NASA-Bumpy Torus and SUMMA devices)\(^{(3-11)}\) in perspective in relation to the goal of achieving fusion power.*

2. Performance Criteria

Two key indications of performance are the gain (fusion power produced/energy input) and the time-averaged power. Gains well above unity are required for fusion reactors while time average powers in the range of 100 to 1000 MW are desired. It appears that achievement of both objectives is one to two decades off. Gain is, in a sense, a measure of the degree of confinement achieved, while the average power represents a test of the engineering of the device and its dependability under practical operating conditions.

As derived in Appendix A, a plot of \(\frac{n}{(P_o/V_p)^{1/2}}\) vs \(T_i\) provides a simple (virtually model independent) criterion for gain.\(^{+}\) (For a pulsed device, \(n/(E_o/\sqrt{V_p})^{1/2}\) is plotted vs \(T_i\).)

*The goal of NASA's work was space propulsion, but the energy considerations discussed here for land-based power are equally applicable.

+ Nomenclature is summarized in Appendix B.
Figure 1
FUSION ENERGY EVOLUTION
(from Ref. 1)

PROPOSED OR
ACTUAL EFFORT

ENGINEERING
DEVELOPMENT

PHYSICS RESEARCH

2000

1970

1960

1950

1940

1930

1920

1910

1900

1900

1890

1880

1870

1860

1850

1840

1830

1820

1810

1800

YEAR

FUSION POWER
DEVELOPMENT PLANS

USA

USSR

JAPAN

TFTR—USA

T-20—USSR

JET

JAPAN

PEPP/ITH

EPR—USA

EPR—USSR

EXPERIMENTAL FUSION POWER REACTOR
10's OF MEGAWATTS ELECTRIC

EXPERIMENTAL FUSION POWER REACTOR—JAPAN
100 MEGAWATTS ELECTRIC TRITIUM BREEDING

USA

USSR

JAPAN

COMMERCIAL SCALE
DEMONSTRATION PLANT
> 500 MEGAWATTS ELECTRIC

REACTOR-LIKE CONDITIONS IN HYDROGEN PLASMA

DEUTERIUM-TRITIUM BURNING TO PRODUCE
TENS OF MEGAWATTS THERMAL OR FISSION REACTOR FUEL (T-20)
Most reactor designs involve plants with average powers in the range of 1000 MW or more. Current experiments however, have produced at the most, only 10's of watts output. For pulse devices such as laser-fusion plants, duty cycles of the order of $10^{-8}$ with peak powers exceeding $10^{17}$ W are required. A useful description of this criterion is obtained by plotting duty cycle vs average fusion power with iso-lines showing peak power values.

3. Performance Plots
   a. Gain Performance

   The measured gains for the NASA devices are shown on Fig. 2 along with data from other operational experiments as well as projected data for future experiments and conceptual reactors. The NASA devices have gains on the order of $10^{-10}$ which places them in the same range of the French TFR experiment but above the EBT and Doublet IIA devices. However, they fall well below the high performance experiments such as 2X-IIB and Alcator that have gains on the order of $10^{-4}$.

   Data used in constructing Fig. 2 are summarized in Tables 1 through 4. Data from existing experiments were selected to provide a range of device sizes and types. The four tokamak experiments (Alcator, Doublet-IIA, ORMAK, TFR, and PLT) range from a modest 54-cm major-radius up to 130 cm (see Fig. 3) and have power inputs ranging from 300 kW to ~1 MW. Besides tokamaks, a mirror experiment (2X-IIB) and an electron-ring stabilized bumpy torus (EBT) are included. Data for these tables were obtained through personal discussions (12-17) with workers in the laboratories involved and, to a lesser extent, from reports in the 1976 IAEA Berchtesgaden Conference along with 1975 European Fusion Conference at Lausanne. (18-26)
Figure 2. Requirements for a Breakeven (Zero Net Power) Fusion Reactor
<table>
<thead>
<tr>
<th>Parameter</th>
<th>2X-IIB</th>
<th>D-IIA</th>
<th>EBT</th>
<th>ORMAK With Injection</th>
<th>ORMAK With Injection &amp; Gas Puff</th>
<th>PLT</th>
<th>Alcator</th>
<th>TFR</th>
</tr>
</thead>
<tbody>
<tr>
<td>$n$, cm$^{-3}$</td>
<td>$1.5 \times 10^{14}$</td>
<td>$3 \times 10^{13}$</td>
<td>$4 \times 10^{12}$</td>
<td>$3 \times 10^{13}$</td>
<td>$3 \times 10^{13}$</td>
<td>$6 \times 10^{13}$</td>
<td>$3 \times 10^{13}$</td>
<td>$7.3 \times 10^{14}$</td>
</tr>
<tr>
<td>$E_0$, kJ</td>
<td>$220^a$</td>
<td>$400^b$</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>$P_0$, kW</td>
<td>-</td>
<td>-</td>
<td>$90^c$</td>
<td>$480^e$</td>
<td>$900$</td>
<td>$669$</td>
<td>-</td>
<td>$1180^f$</td>
</tr>
<tr>
<td>$\tau_p$, sec</td>
<td>$10^{-2}$</td>
<td>$6 \times 10^{-3}$</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>$V_p$, cm$^3$</td>
<td>$4.5 \times 10^3$</td>
<td>$7 \times 10^5$</td>
<td>$4 \times 10^5^d$</td>
<td>$8.4 \times 10^5$</td>
<td>$8.4 \times 10^5$</td>
<td>$8.4 \times 10^5$</td>
<td>$4.1 \times 10^6$</td>
<td>$1.5 \times 10^5$</td>
</tr>
<tr>
<td>$T_i$, keV</td>
<td>$13$</td>
<td>$0.2$</td>
<td>$0.1$</td>
<td>$0.4$</td>
<td>$1.1$</td>
<td>$0.9$</td>
<td>$0.8$</td>
<td>$0.6$</td>
</tr>
<tr>
<td>$\sqrt{\frac{n}{(E_0/V_p)^{1/2}}}$ or $\frac{n}{(P_0/V_p)^{1/2}}$</td>
<td>$2.14 \times 10^{12}$</td>
<td>$3.07 \times 10^{12}$</td>
<td>$8.43 \times 10^{12}$</td>
<td>$3.97 \times 10^{13}$</td>
<td>$2.91 \times 10^{13}$</td>
<td>$6.72 \times 10^{13}$</td>
<td>$5.59 \times 10^{13}$</td>
<td>$5.20 \times 10^{14}$</td>
</tr>
</tbody>
</table>

(a) Includes injector accelerator and arc power supplies, but not filament power supply (.72 MJ) nor magnetic power (.37 MJ).
(b) Includes ohmic heating power but not magnetic field. (300 MJ).
(c) Only includes 18 and 28 GHz microwave power input; neglects magnetic field power of 12 MW.
(d) Toroidal plasma volume only (corresponding n and $T_i$ neglect hot electron annulus).
(e) Averaged over a pulse length of ~0.1 sec (injection ~0.02 sec).
(f) Averaged over a pulse length of ~1 sec.
(g) Averaged over a 0.7-sec pulse.
(h) Estimated; 2.5 keV electron $T$ measured.
<table>
<thead>
<tr>
<th>$T_i$, eV</th>
<th>$P_o$, kW</th>
<th>$n$, $10^{12}$ cm$^{-3}$</th>
<th>$\tau_p$, msec</th>
<th>$\frac{\bar{n}}{(P_o/V_p)^{1/2}}$, $10^{12}$ cm$^{-3}$/(W/cm$^3$)$^{1/2}$</th>
</tr>
</thead>
<tbody>
<tr>
<td>412 (a)</td>
<td>7.1</td>
<td>0.05</td>
<td>0.28</td>
<td>0.17</td>
</tr>
<tr>
<td>1233 (a)</td>
<td>2.8</td>
<td>0.006</td>
<td>0.25</td>
<td>0.035</td>
</tr>
<tr>
<td>653 (a)</td>
<td>13.5</td>
<td>0.07</td>
<td>0.22</td>
<td>0.16</td>
</tr>
<tr>
<td>680 (a)</td>
<td>1.8</td>
<td>0.025</td>
<td>0.41</td>
<td>0.17</td>
</tr>
<tr>
<td>406</td>
<td>47.</td>
<td>1.6</td>
<td>1.2</td>
<td>2.1</td>
</tr>
<tr>
<td>417</td>
<td>24.</td>
<td>0.8</td>
<td>1.18</td>
<td>1.5</td>
</tr>
<tr>
<td>373</td>
<td>42.</td>
<td>1.9</td>
<td>1.36</td>
<td>2.7</td>
</tr>
<tr>
<td>186</td>
<td>12.</td>
<td>2.9</td>
<td>2.8</td>
<td>7.7</td>
</tr>
<tr>
<td>395</td>
<td>15.</td>
<td>1.0</td>
<td>2.5</td>
<td>2.4</td>
</tr>
<tr>
<td>200 (a)</td>
<td>140.</td>
<td>5.</td>
<td>0.145</td>
<td>0.50</td>
</tr>
<tr>
<td>500</td>
<td>67.5</td>
<td>2.</td>
<td>0.192</td>
<td>0.30</td>
</tr>
<tr>
<td>1000 (a)</td>
<td>240.</td>
<td>1.</td>
<td>$\geq$ 0.38</td>
<td>0.25</td>
</tr>
<tr>
<td>2500 (d)</td>
<td>4000.</td>
<td>50</td>
<td>0.13</td>
<td>1.0</td>
</tr>
</tbody>
</table>

(a) Measured temperatures. Other values, based on scaling laws, are thought to be lower bounds. Maximum projected performance for the BT is given in Table 2A.

(b) Based on measured $\bar{n}$, $V_p$, and current to electrode rings.

(c) Assumes $\bar{n} = \hat{n}/2$.

(d) Extrapolated values. Scaling relations for Summa are summarized in Table 2B.
Table 2A
Projected Bumpy Torus Operating Points

<table>
<thead>
<tr>
<th>Parameter</th>
<th>High Plasma Impedance Extrapolation</th>
<th>Low Plasma Impedance Extrapolation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Electrode Voltage $V_a$, kV</td>
<td>4.5</td>
<td>0.5</td>
</tr>
<tr>
<td>Electrode Currents, $I_a$, amps</td>
<td>100</td>
<td>100</td>
</tr>
<tr>
<td>Input Power, $P_0$, MW</td>
<td>0.45</td>
<td>0.05</td>
</tr>
<tr>
<td>Ion Temperature $T_i$, keV</td>
<td>0.64</td>
<td>0.14</td>
</tr>
<tr>
<td>Average Plasma Density, $\bar{n}$, cm$^{-3}$</td>
<td>$7 \times 10^{12}$</td>
<td>$3 \times 10^{13}$</td>
</tr>
<tr>
<td>Particle Containment Time, $\tau_p$, msec.</td>
<td>1.8</td>
<td>4.0</td>
</tr>
<tr>
<td>Lawson Parameter, $\bar{n} \tau_p$, sec/cm$^3$</td>
<td>$1.3 \times 10^{10}$</td>
<td>$1.2 \times 10^{11}$</td>
</tr>
<tr>
<td>$\bar{n}/(P_0/V)$, cm$^{-3}$/(watts/cm$^3$)$^{1/2}$</td>
<td>$3 \times 10^{12}$</td>
<td>$3.8 \times 10^{13}$</td>
</tr>
<tr>
<td>$(\sigma V)^{-1}$ cm$^3$/sec</td>
<td>$6.5 \times 10^{-22}$</td>
<td>--</td>
</tr>
</tbody>
</table>

*High and low impedance modes represent two distinct regimes of the discharge that differ by base pressure and voltage.
Table 2B
Scaling Relations for Summa*

<table>
<thead>
<tr>
<th></th>
<th>Metal Floating Shields:</th>
<th>Boron Nitride Floating Shields:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$T = 6.46 I^{0.27} B^{-1.05} V^{1.53}$</td>
<td>$T = 0.97 I^{0.127} V^{2.33}$</td>
</tr>
<tr>
<td></td>
<td>$n = 1.75 \times 10^{12} I^{0.95} V^{-0.22}$</td>
<td>$n = 1.2 \times 10^{12} I^{0.7}$</td>
</tr>
<tr>
<td>$0.3 &lt; I &lt; 2.1 \ A$</td>
<td>$0.14 &lt; I &lt; 3.0 \ A$</td>
<td></td>
</tr>
<tr>
<td>$7 &lt; V &lt; 20 \ kV$</td>
<td>$4.5 &lt; V &lt; 22 \ kV$</td>
<td></td>
</tr>
<tr>
<td>$0.7 &lt; B &lt; 3.1 \ T$</td>
<td>$B = 1.38 \ T$</td>
<td></td>
</tr>
<tr>
<td></td>
<td>$B = 2.0 \ T$ (tentative)</td>
<td></td>
</tr>
</tbody>
</table>

*The metal floating-shield case provides the most complete correlation, but this was not the optimum configuration. Correlations for boron nitride shields are less accurate due to fewer data points.
### Table 3: Projected Performance of Next Generation Large Tokamaks

<table>
<thead>
<tr>
<th></th>
<th>TFTR</th>
<th>JT-60</th>
<th>JET</th>
<th>T-20</th>
</tr>
</thead>
<tbody>
<tr>
<td>( \bar{n} ), cm(^{-3} )</td>
<td>( \geq 5 \times 10^{13} )</td>
<td>(2-10) \times 10^{13}</td>
<td>( \sim 10^{14} )</td>
<td>5 \times 10^{13}</td>
</tr>
<tr>
<td>( T_{i} ), keV</td>
<td>6</td>
<td>5-10</td>
<td>5-10</td>
<td>5-10</td>
</tr>
<tr>
<td>( P_{o} ), MW</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Neutral beams</td>
<td>( \sim 20 )</td>
<td>20</td>
<td>(10-25)</td>
<td>50</td>
</tr>
<tr>
<td>Ohmic heating</td>
<td>70</td>
<td>200</td>
<td>2-300</td>
<td>600</td>
</tr>
<tr>
<td>RF</td>
<td>-</td>
<td>15</td>
<td>( \geq 3 )</td>
<td>50</td>
</tr>
<tr>
<td>( P_{TF} ), MW</td>
<td>500</td>
<td>390</td>
<td>250-380</td>
<td>1200</td>
</tr>
<tr>
<td>( \tau_{F} ), sec</td>
<td>1-3</td>
<td>5</td>
<td>10-20</td>
<td>15</td>
</tr>
<tr>
<td>( \tau_{E} ), sec</td>
<td>0.3</td>
<td>0.2-1</td>
<td>0.4-2</td>
<td>2</td>
</tr>
<tr>
<td>( V ), ( 10^{6} ) cm(^3 )</td>
<td>35</td>
<td>54</td>
<td>173</td>
<td>279</td>
</tr>
<tr>
<td>( \bar{n}/(P_{o}/V)^{1/2} ), cm(^{-3} )(W/cm(^3))^{1/2} )</td>
<td>( \geq 3 \times 10^{13} )</td>
<td>(1.0-4.9) \times 10^{13}</td>
<td>( \sim 7 \times 10^{13} )</td>
<td>3 \times 10^{13}</td>
</tr>
</tbody>
</table>


Table 4: Projected Reactor Performance

<table>
<thead>
<tr>
<th></th>
<th>TNS (GA)</th>
<th>UWMAK-I</th>
<th>PPPL</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\bar{n}$, cm$^{-3}$</td>
<td>1.5x10$^{14}$</td>
<td>8x10$^{13}$</td>
<td>5x10$^{13}$</td>
</tr>
<tr>
<td>$T_i$, keV</td>
<td>~8</td>
<td>11.1</td>
<td>30</td>
</tr>
<tr>
<td>$V_p$, 10$^6$cm$^3$</td>
<td>334</td>
<td>6415</td>
<td>2190</td>
</tr>
<tr>
<td>$P_o$, MW$^+$</td>
<td>74</td>
<td>520</td>
<td>938</td>
</tr>
<tr>
<td>$\tau_F$, min$^*$</td>
<td>0.5</td>
<td>90</td>
<td>100</td>
</tr>
<tr>
<td>$\tau_E$, sec$^*$</td>
<td>2.4</td>
<td>14.2</td>
<td>-</td>
</tr>
<tr>
<td>$\tau_d$, min$^*$</td>
<td>4.5</td>
<td>-</td>
<td>3.0</td>
</tr>
<tr>
<td>$n/(P/V)^{1/2}$, cm$^{-3}/(W/cm^3)^{1/2}$</td>
<td>3.1x10$^{14}$</td>
<td>2.8x10$^{14}$</td>
<td>7.6x10$^{13}$</td>
</tr>
</tbody>
</table>

$^+P_o$: time-averaged auxiliary power input required, including injection, ohmic heating, cryogenics, coolant pump.

$^*\tau_F$, pulse length; $\tau_E$, energy confinement time; $\tau_d$, down time between pulses.
### Present Tokamak Research Devices

<table>
<thead>
<tr>
<th>Major Radius</th>
<th>Minor Radius</th>
<th>Device Name</th>
<th>Country</th>
<th>First Year of Operations</th>
</tr>
</thead>
<tbody>
<tr>
<td>150 cm</td>
<td>40 cm</td>
<td>T-10</td>
<td>USSR</td>
<td>'75</td>
</tr>
<tr>
<td>130</td>
<td>45 cm</td>
<td>PLT</td>
<td>USA</td>
<td>'75</td>
</tr>
<tr>
<td>112</td>
<td>23 cm</td>
<td>DITE</td>
<td>UNITED KINGDOM</td>
<td>'74</td>
</tr>
<tr>
<td>109</td>
<td>14 cm</td>
<td>ST</td>
<td>USA</td>
<td>'70</td>
</tr>
<tr>
<td>100</td>
<td>17 cm</td>
<td>T-4</td>
<td>USSR</td>
<td>'70</td>
</tr>
<tr>
<td>90</td>
<td>20 cm</td>
<td>TFR</td>
<td>FRANCE</td>
<td>'73</td>
</tr>
<tr>
<td>90</td>
<td>28 cm</td>
<td>JFT-2</td>
<td>JAPAN</td>
<td>'72</td>
</tr>
<tr>
<td>90</td>
<td>18 cm</td>
<td>CLEO</td>
<td>UNITED KINGDOM</td>
<td>'72</td>
</tr>
<tr>
<td>82</td>
<td>22 cm</td>
<td>FRASCATI</td>
<td>ITALY</td>
<td>'75</td>
</tr>
<tr>
<td>86</td>
<td>23 cm</td>
<td>ORMAK</td>
<td>USA</td>
<td>'71</td>
</tr>
<tr>
<td>72</td>
<td>19 cm</td>
<td>PETULA</td>
<td>GERMANY-FRANCE</td>
<td>'74</td>
</tr>
<tr>
<td>70</td>
<td>25 cm</td>
<td>7-8</td>
<td>USSR</td>
<td>'71</td>
</tr>
<tr>
<td>70</td>
<td>11 cm</td>
<td>PULSATOR</td>
<td>GERMANY</td>
<td>'73</td>
</tr>
<tr>
<td>66</td>
<td>15X45 cm</td>
<td>DOUBLET II A</td>
<td>USA</td>
<td>'74</td>
</tr>
<tr>
<td>60</td>
<td>10 cm</td>
<td>TTT</td>
<td>USA</td>
<td>'72</td>
</tr>
<tr>
<td>60</td>
<td>12 cm</td>
<td>TO-1</td>
<td>USSR</td>
<td>'72</td>
</tr>
<tr>
<td>54</td>
<td>12 cm</td>
<td>ALCATOR</td>
<td>USA</td>
<td>'73</td>
</tr>
<tr>
<td>40</td>
<td>8 cm</td>
<td>TM-3</td>
<td>USSR</td>
<td>'63</td>
</tr>
<tr>
<td>36</td>
<td>10.7-17 cm</td>
<td>ATC</td>
<td>USA</td>
<td>'72</td>
</tr>
</tbody>
</table>

### Present Bumpy Tori

<table>
<thead>
<tr>
<th>Radius</th>
<th>Device Name</th>
<th>Country</th>
<th>Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>76 cm</td>
<td>NASA-BT</td>
<td>USA</td>
<td>'73</td>
</tr>
<tr>
<td>9 cm</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>12 cm</td>
<td>ELMO</td>
<td>USA</td>
<td>'71</td>
</tr>
</tbody>
</table>
In calculating input energy requirements for Fig. 2, only the plasma heating power has been included, i.e., ohmic and neutral beam heating for tokamaks, neutral beam heating for the mirror, and rf heating for EBT. In all cases, much larger power requirements are necessary for the magnetic field coils. It is assumed, however, that these coils can eventually be made superconducting and hence should not be included in this analysis.*

The effect of coil power supplies in present experiments can be illustrated by considering the Doublet-IIA. An additional 300 MJ is required to energize the toroidal and vertical field coils (vs only 400 kJ for plasma heating). If this were included in the input energy $E_0$, the parameter $n/(E_0/\tau F V_p)^{1/2}$ would be reduced from $3 \times 10^{12}$ to $\sim 10^{11} \text{cm}^{-3}/(\text{W/cm}^3)^{1/2}$. A similar reduction would occur for the other tokamak devices (except Alcator) were coil supplies included in the analysis (27).

Likewise extraneous losses in 2X-IIB dominate the energy balance in present experiments but can be eliminated in future devices. Inclusion of the magnetic field energy of 0.37 MJ and injector filament heating (0.72 MJ) could reduce $n/(E_0/\tau F V_p)^{1/2}$ from $2 \times 10^{12}$ to $\sim 8 \times 10^{11} \text{cm}^{-3}/(\text{W/cm}^3)^{1/2}$. An additional 10 MJ used for gettering prior to the experiment might also be added. However, inclusion of this energy does not seem proper since gettering must eventually be eliminated or only used for initial start-up of a reactor.

In most cases, the pulse length in tokamak experiments is sufficiently long to achieve a *quasi* steady-state condition relative to plasma parameters and power input. Average parameters during this period have been used in

*It is significant to note that the NASA group has pioneered in superconducting magnet technology for fusion experiments, such coils being employed for both Summa and the NASA Bumpy Torus. Thus, during its 5 year history, the NASA Bumpy Torus has provided over 2000 hours of experimental running time with superconducting coils.
the present evaluation. This is somewhat optimistic since losses during
the startup phase are neglected. Since, however, the burn time will be
long compared to startup in later devices, this assumption is in principle
consistent with neglecting the magnet power.

An indication of the startup requirement can be obtained by con-
sidering ORMAK. If the power required during startup is added, the time-
average power is increased by a factor of 2.4. Consequently the ORMAK
case without injection would have \( \bar{n}/(P_0/V_p)\sqrt{2} \) reduced from \( 4 \times 10^{13} \) to
\( \sim 2.7 \times 10^{13} \) were this effect included. Thus this consideration is not so
significant as the assumption that the magnetic field coil energy should
be excluded.

The next generation of tokamaks included in Fig. 2 and Table 3
(namely TFTR, JT-60, JET, and T-20) are intended to reach a domain of
plasma parameters close to those of a reactor in order to determine the
scaling laws. As illustrated in Fig. 4, the major radii of these devices
vary from 2.48 m for TFTR to 5.0 m for the large T-20. The corresponding
plasma radii range from 0.85 m to 2 m with toroidal fields from 26 kG to
60 kG.

Another way of comparing these tokamaks is by the magnitude of the plasma
current, which can be linked to the plasma parameters. Up to now the
maximum plasma current obtained in the present tokamaks is of the order
of 0.5 mega-amperes (MA). This current will reach 1 MA with T-10 and PLT
working at full performance. The plasma current, \( I_p \), for the next generation
of tokamaks will be between 2 and 6 MA, and the estimated plasma current in
a reactor is between 8 and 12 MA.

An excellent summary of the parameters anticipated for the next generation
tokamaks is contained in Ref. 28. Data for Table 3 is taken from this reference
and also from various scattered project reports. The projected gains for
these devices indicated on Fig. 2 all fall in the range near \( 10^{-1} \). This is
below the breakeven point (unity gain), mostly due to injector inefficiencies.
If the energy entering the plasma (vs. the injector energy) were used to compute
Figure 4

CROSS SECTIONS OF FUTURE RESEARCH TOKAMAKS

- T-20
  - a = 0.45 m
  - b = 1.5 m

- JET
  - a = 0.85 m
  - b = 1.0 m

- JT-60
  - a = 1.25 m
  - b = 2.0 m

- TFTR
  - a = 0.35 m
  - b = 1.0 m
the input, breakeven would be predicted. Any projections of this nature must be viewed with great caution, however, since important uncertainties in injector performance, containment scaling, etc. remain.

Finally, for perspective, gains predicted for several reactor designs are included in Fig. 2. The corresponding data, summarized in Table 4, is based on Refs. 29-32. The gain values indicated for these designs are surprisingly low. Values over 10 are desired for minimum recirculation.* These were, however, early design efforts and more recent studies such as UWMAK-III have attempted to place more emphasis on optimization of the gain.

A feeling for the size of the reactors involved is given by Table 5. The GA-TNS (The Next Step) design is intended as an early demonstration reactor and as such has a power output that is roughly $1/10^4$ of that of the UWMAK-1 and PPPL commercial reactor designs.

b. Average Power Performance

Figure 5 compares the average power output of the NASA devices to that for other experiments, the latter data being taken from Ref. 1. (Data shown are calculated assuming D-T fuel is used and measured H or D plasma conditions are achieved). Several points are obvious from this figure. Even the "best" experiment to date -- PLT -- is about six orders of magnitude below conceptual reactor requirements in average power and three orders of magnitude low in duty factor. The NASA devices have the strong advantage of steady-state operation, i.e. a duty cycle (fraction of operating time consumed by the fusion burn) equal to or better than that eventually required for economic power plants as envisioned for magnetically confined plasmas. Both SUMMA and the Bumpy Torus have recorded

*A recirculation power flow in excess of 30% of the output is generally thought to be uneconomic as well as inefficient.
Figure 5. Progress in Average Power Production for Fusion Devices Assuming Operation with DT Fuel.
numerous runs of duration of hours or more. On the other hand, mainly due to their relatively small volume, the total power from the NASA devices is over four orders of magnitude below devices like PLT, Alcator, and 2X-IIB.

While it might be argued that once a satisfactory gain is achieved, the average power can be increased quite simply by increasing the plasma volume, it must be remembered that a number of crucial engineering considerations are involved. First, efficiencies, magnetic fields, etc. must be maintained in the larger sizes. Second, and most crucial, the device must hold together for extended periods (months to years) of operation under fusion conditions, i.e., with heavy currents at electrodes, with intense radiation and neutron fields, etc. Achievement of such performance is not at all straightforward and must be developed through a series of scaled experiments. This can be both expensive and time consuming.

4. Alternate Criteria

The gain and average power plots presented in the preceding section, when considered simultaneously, provide a definitive measure of performance. However, to provide some further insight, as well as a basis for comparison to other widely used criteria, several other presentations are noted here.

Reference 1 and various EPRI reports have frequently concentrated on relative fractional burnup. For a D-T plasma, the fractional burnup \( f \) is given by:
Figure 6. Progress in Fractional Burn Assuming Operation with DT Fuel.

Note: (HI) refers to high impedance plasma mode
(NB) refers to operation with neutral beam injection added.
Figure 7. Advances in Single Parameters
where \( n \) is the plasma density, \( \tau_p \) is the particle confinement time, and \( \langle \sigma v \rangle \) is the averaged fusion "reactivity" (or effective cross section \( x \) relative particle speed). Equation (1) suggests a plot of \( n\tau_p \) vs \( \langle \sigma v \rangle \) as shown in Fig. 6.

Fractional burnups of the order of \( 10^{-1} \) (10\%) are necessary for an attractive reactor. Experimental devices such as 2X-IIB has achieved the equivalent of \( f_b \sim 10^{-5} \), placing them within four orders of magnitude of the goal (Note the similarity of this assessment to the departure from gain breakeven as discussed earlier). The NASA devices have equivalent burnups in the range of \( 10^{-11} \), placing them ten orders of magnitude away from power plants.

In some presentations (e.g. see Ref. 2), advances in individual parameters are reviewed. Graphs, taken from Rev. 2 for representative DOE devices are shown in Fig. 5 for \( n\tau, \tau, T_i, \) and run time vs year. Data points for the NASA BT and Summa devices have been superimposed. Clearly the strong points of the NASA experiment, as already suggested, are the steady-state operation and good heating (high \( T_i \)). It is also noteworthy that while the NASA experiments remain below the leading magnetic devices in \( n\tau \) and \( \tau_p \), the slopes of the curves in these figures for NASA work are as steep as (or steeper) than for the other devices. In other words, the rate of progress has been comparable to that at other fusion laboratories. To match other devices in absolute values of these parameters would require, however, an investment in another generation of larger experimental devices.

5. Summary.
The NASA experiments are unique in being the only devices in this survey that are heated by direct current (d.c.) discharges. Further, a majority of the other devices are tokamaks, the only other mirror-type experiment being LLL's 2X-II (cf. the NASA Summa) and the only other bumpy torus being ORNL's Elmo Bumpy Torus or EBT (cf. the NASA BT). Focusing on the latter two devices in Figures 2, 5, and 6, we observe that NASA device performance has generally been comparable to that for EBT but falls below that for 2X-II. The advantage of the latter is not too surprising, however, since it employs a volume and heating power which are about five times that of Summa.

When comparing various devices using the performance charts in this report, several points must be kept in mind. First, as noted above, at this state in development, performance is strongly related to monetary investment (i.e. device size, power supplies available, and manpower). On this basis, the NASA fusion program, as an alternative approach with relatively low funding, has been at a disadvantage relative to the main-line DOE fusion efforts.* With an expanded program to enable construction of a new generation of larger devices, the efficient heating technique employed combined with the steady-state character of the NASA Summa/BT approach offers the potential for competitive performance with the main-line experiments.

A second point relative to performance evaluation is that now, with all devices well below reactor conditions, the accurate understanding of scaling relations is even more important than establishing a performance "record" per se. On this basis too, the NASA program competes well. Much of the work has been aimed at basic studies of fundamental mechanisms associated in both heating and confinement. Consequently there is

*As stressed earlier, the NASA experiments compete quite favorably with non-mainline DOE experiments (e.g. EBT and Tormac) where funding is more comparable.
considerable confidence in the ability to scale-up to larger, higher performance devices.

A final point, however, is the inevitable question of whether or not the approach will make a good reactor, either in the context of economic commercial power or for space propulsion. This is a very difficult question to evaluate for any device, and it is especially difficult for the NASA concepts since no detailed reactor studies have been performed (vs a number of such studies for tokamaks as reflected by the listing in Table 5). Such a study should be an essential aspect of any extension of the NASA program.

It should also be noted that, as in all high-level scientific programs, considerable (and often unexpected) "fall-out" information is generated that may help advance scientific endeavors other than the one immediately involved. It is too early to evaluate this aspect of the NASA fusion program, but certainly one obvious contribution is in superconducting magnet technology. The NASA devices have provided more operating experience with superconducting coils than any other fusion experiment in the U. S. This data should not only be important to the fusion community but also to allied fields using superconducting technology.
References


*Abbreviated at IAEA-B in subsequent references.
Appendix A

Derivation of Gain Criterion*

A simple box diagram of the power, $P$, (or energy, $E$) cycle is shown below.

For breakeven, $P_{\text{NET}} = 0$. The energy balance is then

$$P_0 = n_T (P_{\text{INJECTED}} + P_{\text{LOSS}} + P_{\text{FUSION}}) = n_T (P_0 + P_{\text{FUSION}})$$

Rearranging the last equation gives

$$\frac{P_F}{P_0} = \frac{1}{n_T} - 1 \equiv \text{GAIN}$$

(1)

If the system is a pulsed machine, replace the $P$ with $E$ to get

$$\frac{E_F}{E_0} = \frac{1}{n_T} - 1 \equiv \text{GAIN}$$

(1')

The fusion power released, $P_F$, (or energy, $E_F$) is

$$P_F = \frac{n^2}{4} \langle \sigma v \rangle \ v$$

(2)

or

$$E_F = \frac{T_F}{4} \int_0^n \frac{n^2}{4} \langle \sigma v \rangle \ v \ dt = \frac{n^2}{4} \langle \sigma v \rangle \ v \ T_F$$

(2')

where $\tau_F$ is the time interval during which most of the fusion energy is released, namely, when both $T_i$ and $n$ are high simultaneously.

Substituting Eqn. 2 into 1 and rearranging gives

$$\frac{n^2}{\left(\frac{P_0}{V}\right)} = \frac{4 \times \text{GAIN}}{<\sigma v> \varepsilon_F} = \text{function of } T_i \quad (3)$$

$$\frac{n^2}{\left(\frac{E_0}{\tau_F V}\right)} = \frac{4 \times \text{GAIN}}{<\sigma v> \varepsilon_F} = \text{function of } T_i \quad (3')$$

Eqn. 3 is a simple (almost model-independent) criterion for breakeven. This criterion is plotted in Fig. 2 as

$$\left(\frac{n}{\left(\frac{P_0}{V}\right)}\right)^\frac{1}{2} \quad \text{or} \quad \left(\frac{n}{\left(\frac{E_0}{\tau_F V}\right)}\right)^\frac{1}{2} \quad \text{versus} \quad \left(\frac{4 \times \text{GAIN}}{<\sigma v> \varepsilon_F}\right)^\frac{1}{2}$$

Curves are shown for several values of GAIN. Since overall plant thermal efficiencies of about $1/3 < n_T < 1/2$ are to be expected, a real fusion reactor must operate with parameters above the curves shown for a GAIN of 1 or 2. The other GAIN curves are plotted so that when real experimental parameters are placed on this plot, one can determine how many orders of magnitude the experiment departs from a breakeven device.

Note that $\tau_F$ is the time during which $n$ and $T$ are both simultaneously high. It is not necessarily the time during which the external energy sources are on, nor the particle or energy containment times.

For Fig. 2, $<\sigma v>\tau_T$ was calculated from $S_\sigma$ of the reference: Hively, L. M.: Nuclear Fusion, 17, 4 (1977). $\varepsilon_F$ was set equal to the total energy released in a D-T reaction, i.e., 14.7 MeV.
Appendix B

Nomenclature

\( \hat{n}, \bar{n} \): peak (average) plasma density, electrons/cm\(^3\)

\( E_o, P_o \): energy (or power) input to plasma and necessary auxiliaries, kJ, kW.

\( E_f, P_f \): fusion energy (power) released, kJ, kW.

\( \tau_F \): length of burn where significant fusion occurs, sec

\( \tau_E, \tau_p \): energy (particle) confinement time, sec

\( V_p \): plasma volume, cm\(^3\)

\( \bar{T}_i, \bar{T}_e \): average ion (electron) temperature, keV

\( \eta_T \): thermal conversion efficiency

\( \varepsilon_F \): energy released per fusion, MeV

\( \langle \sigma v \rangle \): fusion reactivity for D-T reactions, cm\(^3\)/sec

\( P_{TF} \): total fusion power thermal output, MW

\( \tau_d \): down time between pulses
The performance of NASA Lewis fusion experiments (SUMMA and Bumpy Torus) is compared with other experiments and that necessary for a power reactor. Key parameters cited are gain (fusion power/input power) and the time average fusion power, both of which may be more significant for real fusion reactors than the commonly used Lawson parameter. The NASA devices are over 10 orders of magnitude below the required powerplant values in both gain and time average power. The best experiments elsewhere are also as much as 4 to 5 orders of magnitude low. However, the NASA experiments compare favorably with other "alternate approaches" that have received less funding than the mainline experiments. The steady-state character and efficiency of plasma heating are strong advantages of the NASA approach. The problem, though, is to move ahead to experiments of sufficient size to advance in gain and average power parameters.