Error Estimation for ORION Baseline Vector Determination

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Effects of error sources on ORION baseline vector determination are studied. Partial derivatives of delay observations with respect to each error source are formulated. Covariance analysis is then performed to estimate the contribution of each error source to baseline vector error. System design parameters such as antenna sizes, system temperatures and provision for dual-frequency (S- and X-band) operation are discussed.

I. Introduction

System design of an Operational Radio Interferometry Observing Network (ORION) is underway. The basic network will consist of a base station having a relatively large antenna and a mobile station having a smaller antenna. Nominal operating frequencies will be at X-band with a span bandwidth of up to 400 MHz. Among the cost-effective parameters to be designed are the antenna sizes, system temperatures and the provision for S- and X-band operation for possible need of charged media calibration. To understand how these parameters will affect the ultimate baseline measurement accuracy, the effects not only of these parameters but of all other possible error sources need to be studied. This article provides a covariance analysis to give an estimate of the effects of each individual error source. The ultimate baseline determination accuracy is estimated by taking the root-sum-square (rss) of the effects from all error sources. Baseline lengths of from 300 km to 5000 km are to be considered. Both 3-D baseline vector accuracy and baseline length accuracy are to be estimated.

II. Delay Observation

The information for the solution of baseline vector is derived from delay observations, over the baseline, of extragalactic radio sources (EGRS). The error-free information content of a single observation can be written as

\[ \tau = -B_x \cos \delta \sin HA - B_y \cos \delta \cos HA + B_z \sin \delta \]

where \( \delta \) = EGRS declination angle, \( HA \) = EGRS hour-angle at baseline center, \( B_x, B_y, B_z \) = baseline components with \( B_z \) parallel to the Earth's spin axis, \( B_y \) perpendicular to the meridian plane at baseline center and \( B_x \) completing a right-hand Cartesian coordinate system as shown in Fig. 1, and \( \tau \) is delay over the baseline in km.

To determine the three baseline components at least three observations with non-coplanar lines-of-sight are needed, with orthogonal observations (perpendicular lines-of-sight) being optimum (in the sense of decoupling the three baseline components from one another).

In general, observations are sensitive to such systematic parameters as epoch offset, frequency drift, etc. Such sensitivity can be alleviated by including these parameters as additional estimated parameters (Ref. 1). Furthermore, it is well known that the sensitivity of a solution vector to observation error decreases as the number of independent observations increases. On the other hand, increasing the number of independent observations increases the total observation time and the data volume to be processed. Hence a compromise needs to be made.

When the number of observations is greater than three, the optimum combination is such that the direction vectors of the EGRS being observed have equal spreads in all three orthogonal directions. On the other hand, the availability of EGRS within a viewing window is limited. Specifically, the 14 sources listed
in Table 1 have been found to have correlated flux densities higher than 4 Janskys at X-band over a 300-km baseline. Hence an optimum observation sequence is not always attainable. A near optimum sequence, however, may be approached by proper scheduling. Table 2 is an observation sequence out of the 14 sources over a 24-hour period. Any 6-hour subset of this sequence provides a reasonable coverage of source position vectors in any three orthogonal directions. The sequence is in terms of the mean right ascension (RA) of the baseline center. The nominal observation time is 12 minutes except for the two sources having flux densities higher than 20 Janskys for which 4-minute observation time is allotted. A 1°/sec antenna slew rate has been assumed without considering cable wrapup allowances.

III. Covariance Analysis

The sensitivities of a delay observation to a perturbation of the baseline vector components are the partial derivatives of Eq. (1) with respect to these components:

\[
\frac{dt}{dB_x, y, z} = \begin{bmatrix}
\frac{\partial t}{\partial B_x} \\
\frac{\partial t}{\partial B_y} \\
\frac{\partial t}{\partial B_z}
\end{bmatrix} = \begin{bmatrix}
-cos \delta sin HA \\
-cos \delta cos HA \\
sin \delta
\end{bmatrix}
\]  

(2)

It is felt that perturbations in the length \(L\) and in the lateral \(LT\) and vertical \(VT\) directions of a baseline would provide better physical meaning than those in \(B_x, B_y\), and \(B_z\). The partial derivatives of a delay observation with respect to these three physically meaningful components of a baseline can be derived from Eq. (2) with the aid of the following transformation matrix, which is straightforward in view of Fig. 2:

\[
\frac{\partial B_{x,y,z}}{\partial B_{L,LT,VT}} = \begin{bmatrix}
\frac{\partial B_x}{\partial B_L} & \frac{\partial B_x}{\partial B_{LT}} & \frac{\partial B_x}{\partial B_{VT}} \\
\frac{\partial B_y}{\partial B_L} & \frac{\partial B_y}{\partial B_{LT}} & \frac{\partial B_y}{\partial B_{VT}} \\
\frac{\partial B_z}{\partial B_L} & \frac{\partial B_z}{\partial B_{LT}} & \frac{\partial B_z}{\partial B_{VT}}
\end{bmatrix}
\]

As mentioned in Section II, effects of clock parameters can be alleviated by estimating these parameters. These include the epoch offset \(T_0\) and as many as 12 segments of clock rate offsets, \(f_1, f_2, \ldots, f_{12}\). The sensitivities of a delay observation to these parameters can easily be formulated: Let \(f_n\) applies to observations within the time segment \(t_{n-1} < t < t_n\); then the sensitivities of a delay observation to these additional parameters within this time segment are

\[
\frac{\partial T}{\partial T_0} = c
\]

and

\[
\frac{\partial T}{\partial f_i} = \begin{cases}
c(t_i - t_{i-1}) , & i \leq n \\
c(t_i - t_{n-1}) , & i = n \\
0 , & i > n
\end{cases}
\]  

(6)

where \(c\) is the velocity of light.

For the solutions of \(N_e\) estimated parameters from \(M\) independent delay observations, an \(N_e \times N_e\) information
matrix \( J = A^T W A \) can be constructed the inverse of which is the computed covariance matrix

\[
P_x = J^{-1} = (A^T W A)^{-1}
\]  

(7)

Here, \( A \) is an \( M \times N_e \) sensitivity matrix with elements calculated according to Eqs. (4) - (6) for the \( M \) observations; \( W \) is an \( M \times M \) diagonal weighting matrix with \( W_{mm} = \sigma_{e,m}^{-2} \), the inverse of the error variance of the \( m \)th delay observation. The diagonal elements of the computed covariance matrix (7) are the variances of the estimated parameters due to random errors in delay observations. Such errors include the effects of system noise and random tropospheric and ionospheric delay errors. Effects of systematic errors, such as atmospheric delay model errors, clock instability and source position errors, can be accounted for by including these parameters as "consider" parameters. The covariance matrix due to such systematic errors is given (Ref. 2) by

\[
P'_x = P_x A^T W C^T W A P_x
\]

(8)

where \( P_c \) is the covariance matrix of the \( N_e \) consider parameters and \( C \) is the sensitivity matrix to these parameters of the \( M \) delay observations.

Platform parameter errors (polar motion, UT1) and antenna location error are directly related to baseline vector error independent of observation sequence. Hence no covariance analysis of such errors is necessary.

Note that the effects of each individual error source on baseline vector components are of interest. These are calculated one at a time by setting all other error sources to be zero.

IV. Error Sources

This section calculates the variances \( \sigma^2 \) of a delay observation due to random error sources and the sensitivities of the observation to systematic error sources. The variances \( \sigma^2 \) will be used to construct the weighting matrix \( W \) in Eq. (7) and the sensitivities will be used in the construction of sensitivity matrix \( C \) in Eq. (8). Covariance matrices can then be calculated. The errors in the three baseline components are, respectively, given by the square roots of the first three diagonal elements of the corresponding covariance matrix. The following paragraphs study the effects of each error source.

A. System Noise

Delay observation error due to the system noise in the receiver front ends at the two sites of a baseline can be derived from Ref. 3 as

\[
\sigma_r = \frac{\sqrt{2} c}{2 \pi f_{\text{span}}} \frac{1}{2.05 \times 10^{-4} S D_i D_e e^{1/2} (T_{s,i}(T_{s,i}+T_{s,i}))^{1/2}}
\]  

(9)

where

\[
\begin{align*}
& c = \text{speed of light} \\
& f_{\text{span}} = \text{span bandwidth, Hz} \\
& D_i = \text{antenna diameter at site } i, \text{m} \\
& T_{s,i} = \text{system noise temperature at site } i, \text{K} \\
& B = \text{channel bandwidth, Hz} \\
& e_i = \text{antenna efficiency at site } i \\
& S = \text{correlated flux density of EGRS, Janskys} \\
& T = \text{observation (integration) time, sec}
\end{align*}
\]

This is a random error and its effects on baseline vector components are calculated from the computed covariance matrix of Eq. (7).

B. Ionosphere

Ionospheric error can be divided into two types, systematic and random. Systematic error is a mismodeling error which can be expressed as

\[
\sigma_r = [f(X_1) \frac{g(Y_1)}{g(Y_2)} - f(X_2) \frac{g(Y_1)}{g(Y_2)}] \Delta \text{peak}
\]  

(10)

where \( f(X) \) and \( g(Y) \) are the solar-zenith-angle factor and elevation-angle factor of site \( i \), and \( \Delta \text{peak} \) is the modeling error of the ionospheric peak at zenith. The solar-zenith-angle factor can be represented by the empirical function

\[
f(X) = 0.2 + 0.8 \cos^{2.3} X
\]  

(11)

and the elevation-angle factor is given by (Ref. 4)

\[
g(Y) = \frac{\frac{1}{2}[(R_e + h_2)^2 - R_e^2 \cos^2 Y]^{1/2} - [(R_e + h_1)^2 - R_e^2 \cos^2 Y]^{1/2}}{(h_2 - h_1)}
\]  

(12)

\( A "fudge factor" \) of 0.8 has been included to account for possible imperfect bandpass overlap and data processing loss.
Random ionospheric error is the result of fluctuations from the nominal values which can be represented by

\[ a_r = \frac{1}{2} \left[ f(X_1) \, e(\gamma_1) + f(X_2) \, e(\gamma_2) \right] e'_{\text{peak}} \]  

(13)

where \( e'_{\text{peak}} \) is the standard deviation of the peak zenith fluctuation difference between the two sites.

**C. Troposphere**

Both dry and wet components of tropospheric error can be divided into systematic and random parts. Systematic error can be written as

\[ a_r = \left( \frac{e^2_{z,1}}{\sin^2 \gamma_1} + \frac{e^2_{z,2}}{\sin^2 \gamma_2} \right)^{1/2} \]  

(14)

where \( e_{z,i} \) is the zenith tropospheric calibration error at site \( i \).

Random tropospheric error due to inhomogeneity can be written as

\[ a_r = \left( \frac{1}{\sin^2 \gamma_1} + \frac{1}{\sin^2 \gamma_2} \right)^{1/2} e'_z \]  

(15)

where \( e'_z \) is the 1-σ standard deviation of the zenith fluctuation on each site.

**D. Clock Instability**

The effect of imperfect clocks on a delay observation is directly related to the difference between the drifts of the two clocks. It consists of an epoch offset and a relative drift. Epoch offset is an estimated parameter which is well isolated from baseline vector components and needs no further discussion. Clock drift effect is of a flicker random noise (as integrated over an observation time of \( \sim 12 \) minutes). It differs from a white random noise in that its Allan variance remains constant over all integration time instead of a linear decrease with integration time as for white random noise. Hence its effects cannot be treated as random effects. Instead, it is to be considered as systematic. The sensitivities of delay observations to such error can be simulated by a simple flicker noise model:

\[ a_r = f(N_m) \left[ \frac{\Delta f}{f} \right]_1^2 + \left( \frac{\Delta f}{f} \right)_2^2 c(\Delta t) \]  

(16)

where \( (\Delta f/f)^2 \) is the Allan variance of the clock at site \( i \) and \( f(N_m) \) is a discrete function, at sampling time \( \Delta t \), simulating a flicker noise of unity Allan variance given by the following recurrence formula:

\[ f(j) = 1.95 \frac{f(j - 1) - 0.95 f(j - 2)}{0.74} \]  

(17)

with \( e_j \) being the \( j^{th} \) sample of a Gaussian random number of unity standard deviation and \( g_j = 0 \) for \( j < 1 \). For a 60-sec sampling time \( N_m \) becomes the time of observation in minutes rounded to the nearest integer.

**E. Source Positions**

The angular position error of any single EGRS can be considered to be fixed. The right ascension and declination components of this error are assumed to be uncorrelated to and independent of each other and of any other sources. Hence the effects of each component of a source should be treated as an independent consider parameter and yet the effects of all sources can be included in a single estimation.

The sensitivities of a delay observation to the right ascension and declination errors of an EGRS can be formulated from Eq. (1):

\[ \frac{\partial \tau}{\partial \alpha} = B_x \cos \delta \cos HA - B_y \sin \delta \sin HA \]  

(18)

\[ \frac{\partial \tau}{\partial \delta} = B_x \sin \delta \sin HA + B_y \sin \delta \cos HA + B_z \cos \delta \]

**F. Polar Motion and UT1**

The effects of polar motion error and of UT1 error on a baseline are independent of observation sequence and need no covariance analysis for their determination. It has been readily shown (Ref. 5) that \( x- \) and \( y- \) components of polar motion...
error can be translated into the following baseline component errors:

\[ e_{B_{x}} = \frac{-B_{z}}{a} e_{x} \]
\[ e_{B_{y}} = \frac{B_{z}}{a} e_{y} \]
\[ e_{B_{z}} = \frac{B_{x}}{a} e_{x} - \frac{B_{y}}{a} e_{y} \]  \hspace{1cm} (19)

where \( a \) is the Earth's radius at the pole.

These can be translated, with the aid of Fig. 2, into errors in baseline length and in lateral and vertical directions:

\[ e_{B_{L}} = 0 \]
\[ e_{B_{LT}} = \frac{B}{a} \cos \phi \ e_{x} \]  \hspace{1cm} (20)
\[ e_{B_{VT}} = \frac{B}{a} \left[ (\cos \Omega \sin \phi) e_{x} - (\sin \Omega) e_{y} \right] \]

Therefore, the effects of polar motion error will be on baseline orientation only; baseline length will not be affected.

The effects of UT1 error on baseline vector can be derived from Eq. (1). Let \( B_{x} = B_{e} \cos \lambda \) and \( B_{y} = B_{e} \sin \lambda \); then (1) can be rewritten as

\[ \tau = -B_{e} \cos \delta \sin (HA + \lambda) + B_{z} \sin \delta . \]  \hspace{1cm} (21)

A perturbation in UT1 of \( \epsilon_{UT1} \) corresponds to a perturbation in \( HA \) of \( \omega_{e} \epsilon_{UT1} \) where \( \omega_{e} \) is the Earth's spinning speed. Such error will result in an error in \( \lambda \) of equal magnitude but of opposite sign. In turn, an error in \( \lambda \) of \( \epsilon_{\lambda} = -\omega_{e} \epsilon_{UT1} \) will result in errors in \( B_{x} \) and \( B_{y} \) of

\[ e_{B_{x}} = \frac{\partial B_{x}}{\partial \lambda} e_{\lambda} \]  \hspace{1cm} (22a)
\[ = \omega_{e} B_{y} \epsilon_{UT1} \]

and

\[ e_{B_{y}} = \frac{\partial B_{y}}{\partial \lambda} e_{\lambda} \]
\[ = -\omega_{e} B_{x} \epsilon_{UT1} \]  \hspace{1cm} (22b)

As before, these can be translated into errors in baseline length and in lateral and vertical directions:

\[ e_{B_{L}} = 0 \]
\[ e_{B_{LT}} = -\omega_{e} B_{x} \epsilon_{UT1} \]  \hspace{1cm} (23)
\[ e_{B_{VT}} = \omega_{e} B_{x} \cos \Omega \cos \phi \epsilon_{UT1} \]

Therefore, UT1 error will affect only baseline orientation but not baseline length.

G. Antenna Location

Antenna location may vary from one visit to another. Such error in repeatability translates directly into baseline error.

H. Phase Accountability

This is a random phase variation in the receiver bandpass. Its effects on baseline are estimated in the same way as system noise effects.

V. Results

In this section, covariance analysis will be performed for the determination of a 300-km baseline at mid-latitude (\( \phi = 35^\circ \)) with a sequence of 32 delay observations. Such 32-observation (~6-hr) sequence is picked out of Table 2. To examine the dependence on the observation sequence, the first of the 32 consecutive observations runs through Table 2. Baseline error due to each error source will then be shown as a function of the starting RA of the baseline center. The following assumptions are to be made:

Baseline: 300 km at \( \phi = 35^\circ \)
Antenna diameters: 26 m (base)
3 m (mobile)
Antenna efficiencies: 50%
System temperatures: 40 K (base)
130 K (mobile)
Channel bandwidth: 2 MHz
Span bandwidth: 400 MHz
EGRS flux densities: As in Table 1
Observation times: As in Table 2
Phase accountability: 0.2 cm each site (phase calibration assumed)
Clock instability: $\Delta f/f = 10^{-14}$ (hydrogen maser)
Zenith dry tropospheric error: 0.5 cm each site (systematic)
Zenith wet tropospheric error: $0.5/\sqrt{2}$ cm each site (systematic)
$0.5/\sqrt{2}$ cm each site (random)
Zenith ionospheric error: 10 cm peak (systematic)
2 cm difference per 1000 km (random)
Polar motion error: 30 cm
UT1 error: 0.6 msec
EGRS angular position error: $0.01''/\sqrt{2}$ in RA and dec
Antenna location error: 0.5 cm (mobile site only)

Figures 3–11 display the results of covariance analysis. Here only the results of 3-D baseline errors are shown. Their mean values are summarized in Fig. 12 together with the effects due to platform parameter errors and antenna location error. Also shown in Fig. 12 are the corresponding errors in baseline length. It is observed that baseline length error accounts for only 30% of the total (3-D) baseline error. When baselines of different length are considered, it is found that errors due to ionosphere, source positions, polar motion and UT1 increase nearly linearly with baseline length. Such errors are drawn cross-hatched in Fig. 12. Errors due to other error sources essentially remain the same for all baseline lengths. (Tropospheric errors may increase slightly due to lower elevation angles for longer baselines.)

**VI. Discussions**

The above analysis provides an estimate of the effects of each possible error source on baseline vector determination. However, such information may not be of direct benefit to system design. In particular, one would need to look into the requirements on front-end system temperatures and antenna sizes to meet a given overall precision. These two parameters are among a few that are cost effective and controllable in the

ORION System design. Other error sources are limited by state-of-the-art capabilities: for instance, clock stability, media and platform parameter calibrations and the knowledge of EGRS angular positions. Both antenna sizes and system temperatures affect baseline vector determination through Eq. (9), the equation for system noise effect. The variation of such effect with antenna sizes and system temperatures will be studied, keeping all other effects unchanged. To account for reduced correlated flux densities over longer baselines and for full capability of 14 pairs of 2-MHz channels of the Mark III VLBI System, the following changes of parameters are assumed:

EGRS correlated flux densities: 2 Janskys for all sources.
Total channel bandwidth: 14 X 2 MHz per sideband.
Observation times: 10 min.

Figure 13 displays the effects of system noise as functions of antenna sizes. Three combinations of system temperatures are considered: 130 K/130 K, 40 K/130 K, and 40 K/40 K. The higher temperature refers to an uncooled receiver front end and the lower temperature to a cooled front end. The tick marks along the abscissa indicate typical combinations of antenna diameters in meters. The absolute maximum of the system noise effect is limited by the minimum fringe phase signal-to-noise ratio (SNR) below which phase tracking may fail. This corresponds to a baseline vector error of ~3.1 cm and a baseline length error of ~1.2 cm. This threshold is shown as a dashed line in Fig. 13.

For a base station with $D = 26$ meters and $T_s = 40$ K and a mobile station with $D = 3$ meters and $T_s = 130$ K, the system noise effects are found to be ~0.8 cm on baseline vector and ~0.3 cm on baseline length. These are comparable with the results shown in Fig. 12 for a 300-km baseline. It is observed that for such, and shorter, baseline lengths the effects of system noise are comparable with other error sources and consideration of antenna sizes and system temperatures may play an important role in overall baseline determination accuracy. For baselines longer than 300 km, those errors which are cross-hatched in Fig. 12 are to be scaled directly by baseline length$^3$. Hence, for baselines 1000 km or longer, effects from ionosphere, source positions, polar motion and UT1 will dominate. It becomes relatively immaterial as to what combination of antenna sizes and system temperatures are used. This is illustrated in Figs. 14 and 15 for baseline vector error and baseline length error respectively. For a 5000-km baseline, the overall errors are effectively constant regardless of antenna sizes and system temperatures. Unless the knowledge of source positions, polar motion and UT1 can

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$^2$ Assuming water vapor radiometers are used. Bias errors will appear as clock epoch error which is to be estimated.

$^3$ Error from troposphere will also increase slightly due to lower elevation angles for longer baselines.
be improved by a factor of $\sim 3$, consideration of antenna sizes and system temperatures is insignificant for baselines longer than 2000 km, as long as the signals are sufficiently strong to maintain a fringe phase SNR exceeding the minimum threshold.

The above study has been based on observations over an integration time of 600 sec. Of course, tradeoffs can be made between antenna sizes and observation time and between system temperatures and observation time: A decrease in observation time by a factor of 2 corresponds to a decrease in the product of antenna diameters by a factor of $\sqrt{2}$ or an increase in one of the system temperatures by a factor of 2. Therefore, antenna sizes greater than or system temperatures smaller than are needed to attain a specified precision allow a shorter integration time (and hence smaller data volume) per observation. Figures 13–15 can be used for integration times $T$ other than 600 sec by simply moving the scale on the abscissa to the left by a factor $(600/T)^{1/2}$.

In regard to Fig. 12, the S/X calibration of ionospheric error needs to be mentioned. With the projected capabilities of source-position, polar-motion and UT1 calibrations assumed, the effects on baseline vector determination of these errors are much higher than that of ionospheric error (with Faraday rotation calibration). The dominance of these errors over ionospheric error will remain for all baseline lengths since they all increase with baseline length. Hence the need of S/X calibration does not seem justified unless the knowledge of source positions, polar motion and UT1 can be improved. This statement is not true in the case of baseline length determination since polar motion and UT1 do not affect its accuracy as shown in the lower part of Fig. 12. Ionospheric error without S/X calibration becomes a major error source in the determination of baseline length 1000 km or longer.

References

Table 1. X-band VLBI extragalactic radio sources

<table>
<thead>
<tr>
<th>Source</th>
<th>Right-ascension</th>
<th>Declination</th>
<th>Correlated flux density over 300-km baseline, a</th>
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</thead>
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<td>49.12°</td>
<td>41.33°</td>
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<td>DA 193</td>
<td>88.01</td>
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<td>91.40</td>
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<td>132.99</td>
<td>20.30</td>
<td>4.7</td>
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*aCorrelated flux density may vary with time; for the current analysis, however, these crude estimates can be used without introducing unrealistic error.
<table>
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<tr>
<th>Source</th>
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Fig. 1. Geometry of baseline and EGRS

\[ B_x = B \cos \Omega \]
\[ B_y = B \sin \Omega \cos \xi \]
\[ B_z = B \sin \Omega \sin \xi \]

\[ dB_x = dB \]
\[ dB_y = B d\Omega \]
\[ dB_z = B \sin \Omega d\xi \]

\[ \pi/2 - \xi = \phi = \text{BASELINE CENTER LATITUDE} \]

Fig. 2. Transformation of baseline component differentials

Mean baseline error = 0.72 cm

Fig. 3. System noise effects on baseline vector determination
Fig. 4. Clock instability effects on baseline vector determination

Fig. 5. Effects of systematic ionospheric error on baseline vector determination
Fig. 6. Effects of random ionospheric error on baseline vector determination

Fig. 7. Effects of dry tropospheric error on baseline vector determination
Fig. 8. Effects of random wet tropospheric error on baseline vector determination

Fig. 9. Effects of EGRS position errors on baseline vector determination
Fig. 10. Effects of EGRS position errors on baseline vector determination

Fig. 11. Effects of system phase accountability error on baseline vector determination
Fig. 12. Baseline vector and length determination errors

Fig. 13. Contribution of system noise to baseline determination error
Fig. 14. Total baseline vector determination error

Fig. 15. Total baseline length determination error