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ELECTRICAL PROPERTIES OF DEEP-LEVEL DEFECTS IN PHOTON IRRADIATED AlGaAs-GaAs SOLAR CELLS Final Report, 1
Sep. 1977 - 31 Aug. 1981 (Florida Univ.)
72 p HC A04/MF A01
CSCI 10A G3/44 27141
ELECTRONIC PROPERTIES OF DEEP-LEVEL DEFECTS IN PROTON IRRADIATED AlGaAs-GaAs SOLAR CELLS

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September 1981

NASA Grant No. NSG 1425
FINAL REPORT
For period September 1, 1977 through August 31, 1981

Sponsored by
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION
Langley Research Center
Hampton, Virginia 23665
Electronic properties of deep-level defects in proton irradiated AlGaAs-GaAs solar cells.

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<td>NSG-1425</td>
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<td>12. Sponsoring Agency Name and Address</td>
<td>National Aeronautics and Space Administration Langley Research Center Hampton, Virginia 23665</td>
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Technical Report Standard Title Page
This final report summarizes the major technical findings made in the research program at the University of Florida sponsored by NASA Langley Research Center under grant No. NSG-1425 during the period from September 1, 1977 through August 31, 1981. The main objective of this research program is to obtain detailed information concerning the electronic properties of radiation induced deep-level defects and their influence on the performance of the proton irradiated GaAs solar cells. Characterization of these defects by the Deep-Level Transient Spectroscopy (DLTS) and Capacitance-Voltage (C-V) techniques as well as analyzing the forward current-voltage (I-V) characteristics and SEM-EBIC data have been carried out in this work for the proton irradiated GaAs solar cells over a wide range of proton energies (i.e., 50, 100, 200, 290, 800 KeV, 1 and 10 MeV) and proton fluences (i.e., 10^10, 10^11, 5 x 10^11, 10^12, and 10^13 P/cm^2). Important defect and recombination parameters such as defect energy levels and density, carrier capture cross sections and lifetimes as well as diffusion lengths in the undoped n-GaAs LPE layers have been determined in this work. Good correlation between these defect parameters and solar cell performance parameters (V_{oc}, J_{sc}, and η_{c}) has also been obtained for GaAs solar cells irradiated by 200 and 290 KeV protons. From this study, it was found that 200 to 290 KeV protons will produce the most defects and damages to the GaAs solar cell structure used in this study. Thus, a detailed study has been conducted to investigate the influence of the low temperature (200 to 400° C) periodic thermal annealing on the deep-level defects and the performance of the 200 KeV proton irradiated solar Cells. The results of this study are discussed in this report. Most of the proton induced defects found in this study are attributed to vacancy or antisite related defects and can be effectively annealed out by low temperature annealing process. Damage coefficients for low energy protons (E_p ≤ 300 KeV) deduced from hole diffusion lengths were found to vary between 1 and 2 x 10^{-4}.
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I. INTRODUCTION AND SUMMARY

The objectives of this research program are: (1) to study the electronic properties of deep-level defects induced by proton irradiation in AlGaAs-GaAs solar cells; (2) to understand the basic recombination mechanisms associated with these deep-level defects; (3) to correlate the measured defect and recombination parameters with the solar cell performance parameters such as open circuit voltage and short circuit current, and (4) to study the effect of low temperature (200 to 400° C) thermal annealing process on the deep-level defects and the dark I-V characteristics in the AlGaAs-GaAs solar cells irradiated by 200 KeV protons of \(10^{11}\) P/cm\(^2\) proton fluence. Technical findings in conjunction with this study goal have been given in our previous NASA technical reports [1-3] as well as in our published journal and conference papers [4-13]. In this report, highlights of the results of our previous study on the I-V, C-V, and DLTS measurements versus proton energies (100, 200, 290, 800 KeV and 10 MeV) and proton fluences (\(10^{10}\), \(10^{11}\), \(10^{12}\), and \(10^{13}\) cm\(^{-2}\)) are given in this report. Detailed description of the results of our current study on the influence of low temperature periodic thermal annealing process (up to four cycles) on the deep-level defects and the I-V characteristics in the 200 KeV proton irradiated AlGaAs-GaAs solar cells will be given in this report.

Among all the proton energies studied, we have found that 200 to 290 KeV protons will produce the most damage and generate more defects in the AlGaAs-GaAs solar cells than other proton energies. This is due to the fact that 200 to 290 KeV protons penetrate 1 to 2 \(\mu\)m into the GaAs cell which produces the most damages and defects in the active region of the cell structure used in this study. Thus, a detailed study of the radiation induced defects and dark I-V characteristics in the 200 KeV proton irradiated AlGaAs-GaAs solar cells has been carried out in this research program, and means of reducing deep-level defects induced by the proton irradiation have also been studied. In this report, we shall describe the results
of our study on the influence of low temperature periodic thermal annealing process on the deep-level defects in the 200 KeV proton irradiated GaAs solar cells. The GaAs solar cells were first subjected to 200 KeV proton irradiation with proton fluence of $10^{11}$ cm$^{-2}$ and subsequently to isothermal annealing (at 200° C, 300° C, and 400° C). We performed four cycles of periodic thermal annealing and irradiation experiments in this study. The deep-level defects and dark I-V characteristics were measured and analyzed in each irradiation and annealing cycle. Details of the study are discussed in this report.

II. FABRICATION OF GaAs SOLAR CELLS FOR PROTON IRRADIATION STUDY

2.1 Fabrication and Structure of GaAs Mesa Diodes

The GaAs mesa diodes used in this study were fabricated by Dr. R. Y. Loo at Hughes Research Laboratories (HRL), using infinite solution-melt liquid phase epitaxial technique. Fig. 2.1 shows the physical structure and the dimensions of the GaAlAs-GaAs mesa diode. The wide bandgap Al$_{0.95}$Ga$_{0.05}$As epi-layer was used as a window layer to reduce the surface recombination at the p-GaAs surface; the p-n junction (GaAs) was formed by "Be" diffusion. The thickness of window layer and p$^+$GaAs layer was around 0.5 µm, and the undoped n-GaAs LPE layer was around 10 µm. The P$^+$-ohmic contact was formed by using Au-Zn-Ag, and the n$^+$-ohmic contact was formed by using Au-Ge-Ni-Ag metals. The diode area was $5.86 \times 10^{-4}$ cm$^2$ and the mesa structure was used to reduce the surface leakage in the diode. The fabrication processes and junction structure for these mesa diodes were identical to the large area (2x2 cm$^2$) GaAs solar cells fabricated at HRL for space applications. This is important since it allows us to compare our measured defect parameters with the solar cell performance parameters in the proton irradiated GaAs solar cells.

2.2 Proton Irradiation Procedure

The low energy (i.e., 50 to 290 KeV) proton irradiation was performed at HRL using hydrogen implantation. The medium-energy (0.8 to 10 MeV) proton irradiation
$p_{\text{GaAs}} = 10^{18} \text{ cm}^{-3} (\text{Be})$

$n_{\text{GaAs}} = 10^{17} \text{ cm}^{-3} (\text{Sn})$

$n'^{+}_{\text{GaAs}} = 10^{18} \text{ cm}^{-3} (\text{Te})$

$D < 0.5 \mu m$

$x_j \leq 0.5 \mu m$

$t > 10 \mu m$

$p$ contact: $\text{Au-Zn-Ag}$

$n$ contact $\text{Au-Ge-Ni-Ag}$

$p_{\text{Al}_x\text{Ga}_{1-x}\text{As}}: x = 0.95$

Diode area: $5.86 \times 10^{-4} \text{ cm}^2$

---

Fig. 2.1 (AlGa)As-GaAs Mesa diode structure used in study of the proton irradiation induced defects.
done at Caltec using their tandem accelerator. For low energy protons, the penetration depths were 0.5 \( \mu m \) for 50 KeV, 1 \( \mu m \) for 100 KeV, 1.8 \( \mu m \) for 200 KeV, 2.5 \( \mu m \) for 290 KeV, and over 10 \( \mu m \) for the 10 MeV protons. Thus, it is expected that proton energies between 200 and 300 KeV would create the most damage and generate more defects in the cells since these protons were stopped inside the active region (i.e., one diffusion length from junction) in the undoped n-GaAs LPE layer. Fig. 2.2 shows the proton penetration depth vs. proton energy for \( E_p < 300 \) KeV.

2.3 Periodic Thermal Annealing Process

In our previous NASA semiannual technical report [2] we have shown that a one-hour 300 °C thermal annealing in vacuum for the 200 KeV proton irradiated GaAs solar cells can result in a substantial reduction of the defect density. Thus, in the current research period we have focussed our efforts on the study of the influence of low temperature periodic thermal annealing (i.e., 200 to 400° C) process on the deep-level defects and the recombination current of the 200 KeV proton irradiated samples. In the periodic thermal annealing study, we limit our experiments to the simple proton energy of 200 KeV and a fluence of \( 1x10^{11} \) P/cm\(^2\) for the reason cited above. The choice of 200 KeV for the proton energy represents the worst case of proton damage in these GaAs solar cells. As far as proton fluence is concerned, the \( 10^{11} \) P/cm\(^2\) also represents the worst case in the geosynchronous orbit. The GaAs solar cells were first subjected to the 200 KeV proton irradiation (\( \phi_p = 10^{11} \) P/cm\(^2\)) and subsequently to isothermal annealings at 200° C for 24 hours, 300° C for 6 hours and 400° C for 6 hours, respectively. Up to four irradiation and annealing cycles were done on these samples, and the cumulative proton fluence at the end of the fourth irradiation cycle was \( 4x10^{11} \) P/cm\(^2\). Our deep-level transient spectroscopy (DLTS) measurements on these annealed samples showed that the defect density decreases nearly linearly with increasing annealing temperature, and the shallower traps were more easily to anneal out than the deeper level traps, as
Fig. 2.2. Proton energy versus projected range in GaAs.
will be discussed later.

2.4 Measurements Techniques (Diagnostic Tools)

In order to determine the defect and recombination parameters (i.e., defect density and energy levels, capture cross sections, lifetimes, and diffusion lengths) in the proton irradiated GaAs solar cells, we have performed the I-V, C-V, DLTS and SEM-EBIC measurements in these samples. Details of these measurement techniques and theories for each experiment have been described in our previous NASA technical reports [1-3] and will not be further elaborated here. In brief, the forward I-V measurements would allow us to identify the dominant current component in the solar cells under dark conditions and to evaluate the effective lifetimes in the junction space charge region of the cells [1-3]. The DLTS and C-V measurements would enable us to determine the defect parameters such as defect density and profile, energy levels, capture cross sections and carrier lifetimes as well as background dopant concentration. Fig. 2.3 shows the experimental set-up for the DLTS and C-V measurements. The SEM-EBIC measurement techniques (see Fig. 2.4 and Fig. 2.5) would provide us with a direct means for determining the minority carrier diffusion length (i.e., hole diffusion length) in n-GaAs LPE layers [1-3]. Thus, by combining the I-V, C-V, DLTS, and SEM-EBIC experiments, we can determine both the defect and recombination parameters in the proton irradiated GaAs solar cells, and enable us to correlate the measured defect parameters with the performance parameters of the large area solar cells.

III. DEFECTS PRODUCED BY PROTON IRRADIATION IN GaAs SOLAR CELLS

3.1 General Description of Defects in GaAs

In this section we shall briefly review some possible native defects resulting from vacancies, antisites, and interstitials in GaAs, while impurity related defects will not be considered here. We believe that proton irradiation induced defects are primarily due to the creation of vacancies, antisites, interstitials or complexes
Fig. 2.3 Experimental set up for Deep Level Transient Spectroscopy (DLTS) measurement
Fig. 2.4 Photograph of a JEOL-35C SEM Specimen Chamber and Vacuum Column
Fig. 2.5 SEM-EBIC curve displayed on an x-y recorder and the EEOS-II system
of impurity atoms (such as shallow donors) with these defects. Table 3.1 lists some possible native defects in GaAs [70]. The subscript indicates the lattice site where \( i \) stands for interstitial. \( "V" \) stands for vacancies, and \( V_A \) is a vacancy at an "A" site; \( A_i \) being "A" atom at an interstitial site. Note that the three component defects such as \( V_{Ga} \), \( V_{As} \), \( V_{Ga} \) and \( V_{Ga} \), \( V_{As} \), \( V_{Ga} \) etc. are not listed in table 3.1. Thus, it is obvious from table 2.1 that defects in GaAs and other III-V compounds are very complicated and the control of these native defects are nearly impossible. An important factor to consider in these defects is the charge states of the defect. The charge states for these native defects are important, since some of these defects formed deep electron and hole traps. These deep traps may act as recombination centers which will control the minority carrier lifetimes in the solar cells or other semiconductor devices. Thus, a brief discussion of the charge states in some of the defects listed in table 2.1 is useful in order to understand the recombination process in the proton irradiated GaAs solar cells. For example, the gallium vacancy, \( "V^X_{Ga}" \), is considered as an acceptor and in neutral charge states in its normal condition; it becomes negatively charged, \( "V^-_{Ga}" \) when it accepts an electron. The arsenic vacancy (or vacancy complex) \( "V^X_{As}" \) (zero charge) is considered to be a donor that is capable of shedding an electron to become positively charged arsenic vacancy, \( "V^+_{As}" \). The charge states for antisites are \( As_{Ga}^{+2} \) (arsenic-gallium antisite has two positive charges), \( Ga_{As}^{-2} \) (gallium-arsenic antisite has two negative charges) and the bound pairs of these, \( As_{Ga}^{-2} \), \( Ga_{As}^{+2} \), which constitute a neutral defect. Based on the quantum dielectric theory and those of Pauling's theory of electronegativities, the formation energy of GaAs antisite are given by 0.70 and 0.72 eV, respectively. This energy is much less than the energies of formation of vacancies or interstitials, and implies that antisite defects are easier to form in GaAs and can present with substantial concentrations. Indeed, the high concentrations of antisite defects have been
Table 3.1 Possible Native Defects in GaAs [70]

<table>
<thead>
<tr>
<th>Gallium Vacancies Involved</th>
<th>Arsenic Vacancies Involved</th>
<th>Antisite Defects</th>
<th>Interstitials Involved</th>
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<tr>
<td>$V_{Ga}$</td>
<td>$V_{As}$</td>
<td>$Ga_{As}$</td>
<td>$Ga_{i}$</td>
</tr>
<tr>
<td>($V_{Ga} V_{Ga}$)</td>
<td>($V_{As} V_{As}$)</td>
<td>$As_{Ga}$</td>
<td>$As_{i}$</td>
</tr>
<tr>
<td>($V_{Ga} V_{As}$)</td>
<td>($V_{Ga} V_{As}$)</td>
<td>-</td>
<td>($Ga_{i} As_{i}$)</td>
</tr>
<tr>
<td>-</td>
<td>-</td>
<td>($Ga_{As} As_{Ga}$)</td>
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</tr>
<tr>
<td>($Ga_{i} V_{Ga}$)</td>
<td>-</td>
<td>-</td>
<td>($Ga_{i} V_{Ga}$)</td>
</tr>
<tr>
<td>($Ga_{As} V_{Ga}$)</td>
<td>-</td>
<td>($Ga_{As} V_{Ga}$)</td>
<td>-</td>
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<tr>
<td>-</td>
<td>($Ga_{As} V_{As}$)</td>
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<td>($As_{Ga} V_{Ga}$)</td>
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<td>($As_{Ga} V_{Ga}$)</td>
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<td>-</td>
<td>($As_{Ga} V_{As}$)</td>
<td>($As_{Ga} V_{Ga}$)</td>
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<tr>
<td>-</td>
<td>($Ga_{i} V_{As}$)</td>
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<td>($Ga_{i} V_{As}$)</td>
</tr>
<tr>
<td>($As_{i} V_{Ga}$)</td>
<td>-</td>
<td>-</td>
<td>($As_{i} V_{Ga}$)</td>
</tr>
</tbody>
</table>

The Subscript indicates the lattice site where $i$ stands for interstitial, "$V$" denotes vacancy.
identified by infrared absorption and are inferred from EPR and other experiments [70]. Photoluminescence experimental data on GaAs reported by Chang et al. have yielded luminescence peaks of 1.35, 0.81 and 0.51 eV as possible \( V_{Ga} \) defect levels, and 1.40 eV as \( V_{As} \). In general it has been found that the energy of formation of defects in GaAs has been ranked as \( U_{Ga} > U_{As} > U_{V_{Ga}} > U_{As} \) [70]. A unified defect model for interface states and Schottky barriers for GaAs proposed by Spicer et al. [72] have shown that the \( E_c - 0.75 \) eV acceptor level was due to the missing arsenic while \( E_v + 0.5 \) eV (± 0.1 eV) donor level was attributed to the missing gallium.

In the GaAs liquid phase epitaxial layer grown from a Ga melt, normally results in two minority carrier (hole) traps in the n-type GaAs LPE layers. These hole traps termed "A" and "B" centers are at \( E_v + 0.41 \) eV and \( E_v + 0.71 \) eV, respectively. Recent report by Zou [70] has concluded that "A" center might be due to \( Ga_{As} V_{Ga} \) complex and "B" center might be \( As_{Ga} V_{Ga} \). These two levels are often being observed in our proton irradiated GaAs solar cells, as will be discussed later in this report.

3.2 Observation of Defect levels By the DLTS Technique in Proton Irradiated GaAs Solar Cells

We have conducted an extensive study of the deep-level defects in proton irradiated GaAs solar cells grown by infinite melt LPE technique over a wide range of proton energies (i.e., 50 KeV to 10 MeV) and proton fluences \((10^{10} \text{ to } 10^{13} \text{ P/cm}^2)\). In general, the observed deep-level electron and hole traps depend strongly on the proton energy and proton fluence used; the defect energy level and density were found to depend on both the proton energy and proton fluence. Table 3.2 lists the defect energy levels in the proton irradiated GaAs sample observed by the DLTS technique. Note that except the 1 MeV proton irradiated GaAs samples which are the bulk GaAs materials, the rest of the samples were all LPE grown GaAs solar cells.
Table 3.2 Deep-Level Defects Observed in the Proton Irradiated GaAs Solar Cells

<table>
<thead>
<tr>
<th>Defect Levels (eV)</th>
<th>Proton Energy/Fluence (P/cm²)</th>
<th>100 KeV</th>
<th>200 KeV</th>
<th>290 KeV</th>
<th>1 MeV*</th>
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<tr>
<td></td>
<td>10¹²</td>
<td>10¹¹</td>
<td>10¹²</td>
<td>10¹³</td>
<td>10¹¹</td>
</tr>
<tr>
<td>E&lt;sub&gt;c&lt;/sub&gt; -0.11</td>
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<td>-</td>
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<td>-</td>
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</tr>
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<td>-0.14</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>Δ</td>
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<tr>
<td>-0.20</td>
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<td>-</td>
<td>-</td>
<td>-</td>
<td>Δ</td>
</tr>
<tr>
<td>-0.31</td>
<td>Δ</td>
<td>-</td>
<td>Δ</td>
<td>Δ</td>
<td>-</td>
</tr>
<tr>
<td>-0.52</td>
<td>-</td>
<td>-</td>
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<td>-</td>
<td>-</td>
</tr>
<tr>
<td>-0.60</td>
<td>Δ</td>
<td>Δ</td>
<td>Δ</td>
<td>Δ</td>
<td>-</td>
</tr>
<tr>
<td>-0.71</td>
<td>-</td>
<td>Δ</td>
<td>-</td>
<td>-</td>
<td>Δ</td>
</tr>
<tr>
<td>E&lt;sub&gt;v&lt;/sub&gt; +0.17</td>
<td>Δ</td>
<td>-</td>
<td>Δ</td>
<td>-</td>
<td>Δ</td>
</tr>
<tr>
<td>+0.29</td>
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<td>+0.41</td>
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<td>+0.52</td>
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<td>-</td>
<td>-</td>
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<tr>
<td>+0.71</td>
<td>Δ</td>
<td>Δ</td>
<td>-</td>
<td>Δ</td>
<td>Δ</td>
</tr>
</tbody>
</table>

*Bulk GaAs materials; others are GaAs LPE layers grown at HRL. The Measured density of these defects was found to vary between 10¹² and 10¹⁴ cm⁻³ depending on the proton fluence and energy used.
The bulk GaAs samples apparently have more native defects than those of the LPE samples, and as a result the defect spectra were more complex for the bulk GaAs than the LPE samples. The dominant electron traps in these proton irradiated GaAs samples are the $E_c - 0.60$ and $E_c - 0.71$ eV levels which might be attributed to the GaAs antisite complex, as discussed in the preceding section while the dominant hole traps are due primarily to the $E_v + 0.52$ and $E_v + 0.71$ eV levels. These deep-level electron and hole traps can serve as effective recombination centers in the proton irradiated GaAs solar cells. The energy levels generated by the low energy protons are similar to those produced by the one-MeV electron irradiation as being reported in the literature [3].

IV. RESULTS AND DISCUSSION

The major technical findings made in this research program will be discussed in this chapter. We shall first present a brief summary of our previous study on the forward I-V and DLTS measurements on samples irradiated with different proton energies and proton fluences. We then focus our discussion on the periodic thermal annealing behavior of the 200 KeV proton irradiated samples. Calculations of the damage constants for the 200 KeV proton irradiated GaAs solar cells are made based on the hole diffusion length data deduced from the SEM-EBIC data and DLTS results.

4.1 I-V and DLTS Characteristics vs. Proton Energy and Fluence, and the Effect of 300° C Thermal Annealing

The open circuit voltage, $V_{oc}$, of a p-n junction solar cell depends strongly on the value of dark currents which consist mainly of recombination and diffusion current components [2]. In the radiation damaged cells, the recombination current in the junction space charge region of the cell plays a dominant role in controlling the dark current of the solar cell; this is usually the case for the GaAs solar cells. Thus, it is important to study the forward I-V characteristics of the proton irradiated GaAs solar cells under dark conditions to determine the dominant current.
component in the cells. From such a study, it is often possible to deduce the effective lifetimes in the junction space charge region of the cell and to correlate the results with the deep-level defects determined by the DLTS measurements. We shall discuss the results of our I-V measurements next. Fig. 4.1 shows the I-V characteristics curves under forward bias conditions as functions of proton energy and fluence with \( E_p = 200, 800 \text{ KeV}, \) and \( 10 \text{ MeV}, \) and \( \phi_p = 10^{11}, 10^{12}, \) and \( 10^{13} \text{ P/cm}^2. \) The results showed that the unirradiated sample has the lowest dark current, followed by 800 KeV irradiated samples with proton fluence of \( 10^{12} \text{ P/cm}^2 \) and 200 KeV irradiated samples with \( \phi_p = 10^{11} \text{ P/cm}^2. \) The unannealed 200 KeV proton irradiated samples with \( \phi_p = 10^{13} \text{ P/cm}^2 \) has the highest dark current, followed by the 10 and 0.8 MeV samples irradiated by the same proton fluence. A 300 °C thermal annealing for one hour greatly reduces the dark current of the 200 KeV irradiated samples. The reason for the decrease in the dark current of the 200 KeV proton irradiated samples followed by a 300 °C thermal annealing process can be attributed to the reduction of the defect density in the junction space charge region of these cells, as can be shown by the DLTS data. Fig. 4.2 and 4.3 showed the DLTS thermal scans of electron and hole traps in the 200 KeV proton irradiated samples with proton fluence of \( 5\times10^{11} \text{ P/cm}^2, \) for the unannealed and 300° C annealed samples. It is clearly shown that followed a 300 °C thermal annealing for one hour the densities of electron and hole traps are reduced significantly. Note that a good correlation exists between the observed dark current shown in Fig. 4.1 and the defect densities deduced from Fig. 4.2 and Fig. 4.3. Table 4.1 lists the measured defect parameters in the 200 KeV proton irradiated GaAs cells before and after 300 °C thermal annealing. Calculations of the effective lifetimes in the junction space charge region of the 100 and 200 KeV proton irradiated GaAs solar cells from the forward I-V characteristics curves are illustrated in Fig. 4.4, which showed the effective lifetimes varying
from 4 ns for the unirradiated sample to 0.025 and 0.07 ns for the 100 and 200 KeV irradiated samples with \( \phi_p = 10^{13} \, \text{P/cm}^2 \). It was found that a 300 °C thermal annealing for one hour can restore the effective carrier lifetimes by a factor of two to three. Thus low temperature thermal annealing is clearly beneficial in that it reduces the defect density and the dark current and recovers the lifetimes and power loss due to radiation damage. The result of this initial annealing study on the 200 KeV proton irradiated GaAs solar cells has led us to the final phase of study on the effects of periodic thermal annealing on the deep-level defects and the performance of 200 KeV proton irradiated GaAs solar cells. The results will be discussed in sections 4.2 and 4.4.

Fig. 4.5 and Fig. 4.6 showed the DLTS thermal scans of electron and hole traps respectively, for four different proton energies and proton fluence of \( 10^{12} \, \text{P/cm}^2 \). The results showed that both 200 and 290 KeV proton irradiated samples have two to three electron and hole trap levels, and the density of each defect level observed was higher than that of 100 KeV and 10 MeV proton irradiated samples. Among samples studied, the 10 MeV proton irradiated samples showed the least damage. This is due to the fact that 10 MeV protons penetrate much deeper into the cell (> 10 \( \mu \text{m} \)) than the low energy proton irradiated cells, and most of the damages were done outside the active region of the cell. On the contrary, in the 200 KeV and 290 KeV proton irradiated samples the penetration depths are within 1 to 2 \( \mu \text{m} \) from the junction and the damage falls in the active region of the n-GaAs LPE layer. Thus, damages produced by these low energy protons are far more extensive than other proton energies used in this study; this is clearly shown in Fig. 4.5 and Fig. 4.6. Fig. 4.7 and Fig. 4.8 are the DLTS thermal scans of electron and hole traps for the \( 10^{13} \, \text{P/cm}^2 \) proton fluence and for three different proton energies. The results again showed that defect density and defect energy levels are higher and more complex for the 200 KeV and 290 KeV irradiated
Fig. 4.1 Forward I-V characteristics vs. proton energies and proton fluence in proton irradiated GaAs mesa diodes.
Fig. 4.2 A comparison of the DLTS Scan of Electron Traps in the annealed and unannealed 200 KeV proton irradiated sample
Fig. 4.3 A comparison of DLTS scan of Hole Traps for the annealed and unannealed AlGaAs-GaAs Sample
Fig. 4.4 Effective Lifetimes vs. Proton Fluence in the 100 and 200 KeV proton irradiated AlGaAs-GaAs diodes
Table 4.1 Measured Defect Parameters in 200 KeV Proton Irradiated AlGaAs-GaAs Solar Cells Before and After 300° C Thermal Annealing

| Proton Fluence | Electron traps | | | Hole traps | | |
|----------------|----------------|----------------|----------------|----------------|----------------|
| 5x10^11 | | | | | |
| AsGa | 0.14 | 3.23x10^12 | 1.59x10^-10 | - | 2.2 | 6.9x10^-13 |
| V_3a | 0.31 | 5.2x10^13 | 1.79x10^-14 | - | 0.13 | 9.6x10^-12 |
| V + Ga As | 0.52 | 5.9x10^13 | 4.93x10^-14 | Fe | 0.32 | 6.8x10^-12 |
| "b" | 0.71 | 4.8x10^12 | 4.79x10^-14 | "b" | 0.71 | 7.8x10^-13 |
| U | 0.2 | 5.2x10^11 | 1.04x10^-16 | - | 5.14x10^-12 |
| V_3a | 0.3 | 2.1x10^12 | 2.4x10^-14 | - | 3.4 | 1.2x10^-13 |
| V + Ga As | 0.39 | 1.24x10^12 | 5.5x10^-14 | "a" | 3.4 | 1.2x10^-13 |
| "b" | 0.47 | 1.36x10^12 | 4.03x10^-15 | Fe | 3.5 | 1.7x10^-13 |
| 1x10^12 | | | | | |
| AsGa | 0.14 | 8.45x10^12 | 2.9x10^-16 | - | 2.1 | 6.1x10^-13 |
| V_3a | 0.31 | 7.15x10^13 | 2.2x10^-14 | - | 3.3 | 2.6x10^-13 |
| V + Ga As | 0.52 | 6.93x10^13 | 5.5x10^-15 | Fe | 3.5 | 1.7x10^-13 |
| "b" | 0.71 | 6.8x10^13 | 5.05x10^-14 | "b" | 0.71 | 9.8x10^-13 |
| 1x10^13 | | | | | |
| AsGa | 0.2 | 1.2x10^12 | 8.9x10^-16 | - | 3.19 | 7.7x10^-13 |
| V_3a | 0.3 | 4.78x10^12 | 2.26x10^-14 | - | 3.31 | 1.16x10^-13 |
| V + Ga As | 0.39 | 5.8x10^12 | 6.4x10^-14 | "a" | 4.4 | 1.3x10^-13 |
| "b" | 0.47 | 1.9x10^13 | 2.81x10^-15 | Fe | 3.5 | 1.7x10^-13 |
| 2x10^13 | | | | | |
| AsGa | 0.14 | 2.8x10^13 | 1.71x10^-16 | - | 3.2 | 2.25x10^-14 |
| V_3a | 0.31 | 2x10^13 | 2.39x10^-14 | - | 3.33 | 3.7x10^-14 |
| V + Ga As | 0.52 | 1.99x10^14 | 5.42x10^-15 | Fe | 3.52 | 3.47x10^-14 |
| "b" | 0.71 | 7.1x10^13 | 5.32x10^-14 | "b" | 0.71 | 1.35x10^-13 |
| 3x10^13 | | | | | |
| AsGa | 0.2 | 2.97x10^12 | 9.62x10^-16 | - | 3.19 | 7.3x10^-13 |
| V_3a | 0.3 | 6.48x10^12 | 1.72x10^-14 | - | 3.31 | 5.3x10^-13 |
| V + Ga As | 0.39 | 1.26x10^13 | 7.26x10^-14 | "a" | 3.4 | 1.1x10^-13 |
| "b" | 0.51 | 6.18x10^12 | 4.17x10^-15 | Fe | 3.51 | 1.22x10^-14 |
| 4x10^13 | | | | | |
Fig. 4.5 DLTS scans of electron traps vs. proton energy, with $\phi_p = 10^{12}$ P/cm$^2$.

$\phi_p = 10^{12}$ P/cm$^2$

![Graph showing DLTS scans with different proton energies and trap energies.](image)
Fig. 4.6 DLTS scans of hole traps vs. proton energy, with $\Phi_p = 10^{12}$ P/cm$^2$. 

$\Phi_p = 10^{12}$ P/cm$^2$

$E_V + 0.7$ eV

100 KeV

290 KeV

200 KeV

10 MeV

T(K)

DLTS SIGNAL
Fig. 4.7 DLTS scans of electron traps vs. proton energy, with $\Phi_p + 10^{13}$ P/cm$^2$.

$\Phi_p = 10^{13}$ P/cm$^2$
Fig. 4.8 DLTS scans of hole traps vs. proton energy, with $\phi_p = 10^{13}$ P/cm$^2$.

$\phi_p = 10^{13}$ P/cm$^2$
samples than the others.

Based on the results of Fig. 4.5 through Fig. 4.8 and with the solar cell structure shown in Fig. 2.1, it is concluded that the 200 KeV proton irradiation should represent the worst case proton damage. Thus, we have focussed our present research efforts on study of the influence of low temperature periodic thermal annealing process on the proton induced deep-level defects and the performance of GaAs solar cells. The results of this study are discussed next.

4.2 Periodic Thermal Annealing study in the 200 KeV Proton Irradiated GaAs solar cells

In the study of the influence of the periodic thermal annealing process on the deep-level defects in the 200 KeV proton irradiated GaAs solar cells, we have chosen the initial proton fluence of $10^{11}$ P/cm$^2$ which corresponds to the highest value for all protons capable of traversing a 12 mil coverglass during 11 years in geosynchronous orbit and represent the worst possible case. Three annealing temperatures of 200, 300, and 400 °C were used in this study. The samples were annealed at 200 °C for 24 hours, 300 °C and 400 °C for 6 hours, respectively. The I-V, C-V, and DLTS experiments were then performed on each of these annealed samples, and the results were compared with the unannealed samples. The same proton irradiation and thermal annealing processes were performed on the 200 KeV irradiated samples up to four cycles. Thus, for the fourth irradiation cycle, the cumulative proton fluence is $4 \times 10^{11}$ P/cm$^2$, and the samples were annealed four times at 200, 300, and 400 °C, respectively. The results of our I-V, C-V, and DLTS measurements are shown in Fig. 4.9 through Fig. 4.31. Fig. 4.9 through Fig. 4.12 showed the forward I-V characteristics curves for the first, second, third, and fourth irradiation and annealing cycles, respectively; the proton fluences are given respectively by $10^{11}$, $2 \times 10^{11}$, $3 \times 10^{11}$, and $4 \times 10^{11}$ P/cm$^2$ in each cycle. Fig. 4.9 shows the forward I-V curves for the first irradiation and annealing cycle with $\phi_p = 10^{11}$ P/cm$^2$ and annealed temperatures at 200, 300, and 400 °C, respectively. The results showed that the unannealed
Fig. 4.9 Forward I-V characteristics curves for the 200 KeV proton irradiated samples, with $\phi_p = 10^{11}$ P/cm$^2$ and $T_A = 0, 200, 300, 400$ °C. 

$E_p = 200$ KeV

$\phi_p = 10^{11}$ P/cm$^2$

A0 = UNANNEALED
A2 = ANNEALED at 200°C
A3 = ANNEALED at 300°C
A4 = ANNEALED at 400°C
$E_p = 200$ keV  
$\phi_p = 2 \times 10^{11}$ P/cm$^2$  
1. unannealed  
2. annealed at 300°C  
3. annealed at 400°C  
4. annealed at 200°C

Fig. 4.10 Forward I-V characteristics curves for the 200 KeV proton irradiated samples with $\phi_p = 2 \times 10^{11}$ P/cm$^2$ and $T_A = 0$, 200, 300, and 400°C.
Fig. 4.11 Forward I-V characteristic curves for the 200 KeV proton irradiated sample with $\phi_p = 3 \times 10^{11} \text{ P/cm}^2$ and $T_A = 0, 200, 300, \text{ and } 400^\circ \text{ C}$. 

- $E_p = 200 \text{ keV}$
- $\phi_p = 3 \times 10^{11} \text{ P/cm}^2$
- 1. 200°C (24 hrs) annealed
- 2. 300°C (6 hrs) annealed
- 3. 400°C (6 hrs) annealed
- 4. UNANNEALED
30

$E_p = 200 \text{ keV}$

$\phi_p = 4 \times 10^{11} \text{ P/cm}^2$

Fig. 4.12 Forward I-V characteristic curves for the 200 KeV proton irradiated samples with $\phi_p = 4 \times 10^{11} \text{ P/cm}^2$, and $T_A = 200$, 300, and 400$^\circ$ C.
sample has the highest dark current, followed by 200, 300, and 400 °C annealed samples. Thus, it is obvious that the effect of thermal annealing is to reduce the dark recombination current in the proton irradiated GaAs solar cells; increasing annealing temperature would further reduce the dark current. This same trend was observed in all the irradiation and annealing cycles shown in Fig. 4.9 to Fig. 4.12. The decrease in the dark recombination current with increasing annealing temperature can be attributed to the reduction of defect density in the junction space charge region with increasing annealing temperature as will be shown later in the DLTS data. The reverse I-V characteristics for the 200 KeV proton irradiated samples with four different irradiation and annealing cycles are shown in Fig. 13 through Fig. 16. The results are consistent with the forward I-V characteristics discussed above. Again, we see that the unannealed sample has the highest reverse dark current while sample annealed at 400 °C yields the lowest reverse leakage current. For the same annealing temperature, the periodic annealed samples appeared to have a slightly lower reverse dark current than the non-periodic annealed samples.

Fig. 4.17 through Fig. 4.19 showed the C-V data for the first, second, and third cycle proton irradiated and annealed samples. The results showed that the capacitance variation in these samples was less than 10%, indicating that the background dopant density in these n-GaAs LPE layers was relatively constant (∼ 3 to 4x10^{16} cm^{-3}), independent of the annealing temperature or proton fluence. This is an indicative of little or no carrier removal occurred as a result of the proton irradiation. We shall next discuss the results of our DLTS measurements on these periodically annealed and irradiated samples.

As discussed above, the decrease in the dark forward and reverse currents in the 200 KeV proton irradiated samples with increasing annealing temperature can be attributed to the reduction of defect densities in the junction space charge region of the cells with increasing annealing temperature; this can be verified by the DLTS
Fig. 4.13 Reverse I-V characteristic vs. annealing temperature for $E_p = 200$ KeV, $\phi_p = 10^{11}$ P/cm$^2$. 

A0 = UNANNEALED
A2 = ANNEALED at 200°C 24 hrs
A3 = ANNEALED at 300°C 6 hrs
A4 = ANNEALED at 400°C 6 hrs
Fig. 4.14 Reverse I-V characteristic vs. annealing temperature for $E_p = 200$ keV, $\phi_p = 2 \times 10^{11}$ P/cm$^2$. 

- $\phi_p = 2 \times 10^{11}$ P/cm$^2$
- $E_p = 200$ keV
- 1. unannealed
- 2. annealed at 300°C (6 hrs)
- 3. annealed at 400°C (6 hrs)
- 4. annealed at 200°C (4 hrs)
$E_p = 200\text{ keV}$
$\phi_p = 3 \times 10^{11} \text{ P/cm}^2$
1. annealed at $300^\circ\text{C} (6\text{ hrs})$
2. annealed at $200^\circ\text{C} (24\text{ hrs})$
3. annealed at $400^\circ\text{C} (6\text{ hrs})$
4. UNANNEALED

Fig. 4.15 Reverse I-V characteristic vs. annealing temperature for $E_p = 200\text{ keV}, \phi_p = 3 \times 10^{11} \text{ P/cm}^2$. 
Fig. 4.16 Reverse I-V characteristic vs. annealing temperature for $E_p = 200$ keV, $\phi_p = 4 \times 10^{11}$ P/cm$^2$. 

$E_p = 200$ keV
$\phi_p = 4 \times 10^{11}$ P/cm$^2$

1: 200°C Periodic annealing
2: 200°C Nonperiodic annealing
3: 300°C Periodic annealing
4: 400°C Nonperiodic annealing
5: 400°C Periodic annealing
Fig. 4.17 C-V characteristic vs. annealing temperature for $E_p = 200$ keV, $\phi_p = 10^{11}$ P/cm$^2$.

- $E_p = 200$ keV
- $\phi_p = 10^{11}$ P/cm$^2$
- $A_0 =$ UNANNEALED
- $A_2 =$ ANNEALED at 200°C
- $A_3 =$ ANNEALED at 300°C
- $A_4 =$ ANNEALED at 400°C
Fig. 4.18 C-V characteristic vs. annealing temperature for $E_p = 200$ keV, $\phi_p = 2 \times 10^{11}$ P/cm$^2$.

$E_p = 200$ keV
$\phi_p = 2 \times 10^{11}$ P/cm$^2$
1. annealed at 200°C (24 hrs)
2. annealed at 400°C (6 hrs)
3. unannealed
4. annealed at 300°C
$E_p = 200 \text{ keV}$
$
\phi_p = 3 \times 10^{11} \text{ P/cm}^2$

1. annealed at 300°C (6 hrs)
2. unannealed
3. annealed at 200°C (24 hrs)
4. annealed at 400°C (6 hrs)

4.19 C-V characteristic vs. annealing temperature for $E_p = 200 \text{ KeV}, \phi_p = 3 \times 10^{11} \text{ P/cm}^2$. 
data. Fig. 20 through Fig. 27 showed the DLTS thermal scans of electron and hole traps observed in the 200 KeV proton irradiated samples, periodically irradiated and annealed samples as well as unannealed samples. The main electron trap level observed was $E_C -0.71$ eV and the main hole trap level was $E_V +0.18$ eV. In addition, shallower electron trap such as $E_C -0.52$ eV was also observed in the unannealed samples with proton fluence of $4x10^{11}$ P/cm² (i.e., fourth cycle samples). Increasing annealing temperature would first anneal out the shallower traps and reduce the density of deeper trap level, $E_C -0.71$ eV. The results of these DLTS data clearly showed that the density of both electron and hole traps can be effectively reduced followed by a 200, 300, and 400 °C thermal annealing process. Fig. 4.28 showed the density of electron trap ($E_C -0.71$ eV) and hole trap ($E_V +0.18$ eV) vs. annealing temperature for the first, second, and third cycle irradiated and annealed samples. The results showed that the density of both electron and hole traps was found to decrease with increasing annealing temperature. For example, the density of electron trap for the first cycle sample was decreased from $8.8x10^{12}$ cm⁻³ for the unannealed sample to $4x10^{12}$ cm⁻³ for the 300 °C annealed sample; for the hole trap it decreases from $1.1x10^{13}$ to $7x10^{12}$ cm⁻³. For the third cycle annealed samples, the density of electron trap was decreased from $5.3x10^{13}$ for the unannealed sample to $8x10^{12}$ cm⁻³ for the 400 °C annealed sample. Thus, reduction of defect density by a factor of 2 to 6 can be achieved through low temperature periodic annealing process. Fig. 4.29 shows the defect density for the $E_C -0.71$ and $E_V +0.18$ eV levels vs. the proton fluence for the unannealed and 200, and 300 °C annealed samples. The results showed that density of both $E_C -0.71$ eV and $E_V +0.18$ eV traps was found linearly increased with increasing proton fluence for the unannealed and 200 °C annealed samples, as well as for the 300 °C annealed samples. In addition to the periodic thermal annealing and irradiation study, we have also studied the DLTS spectra for the nonperiodically annealed and irradiated samples (i.e., these samples were irradiated once with proton
Fig. 4.20 DLTS scans of electron trap vs. annealing temperature for $E_p = 200$ KeV, $\phi_p = 1 \times 10^{11}$ P/cm$^2$. 
HOLE TRAP

200 keV

$E_v + 0.18$ eV

$10^{11} P/cm^2$

$N_D = 4 \times 10^{16} \text{cm}^{-3}$

Unannealed:

$N_T = 1.13 \times 10^{13} \text{cm}^{-3}$

200°C (24 hrs):

$N_T = 7.9 \times 10^{12} \text{cm}^{-3}$

300°C (6 hrs):

$N_T = 7.55 \times 10^{12} \text{cm}^{-3}$

Fig. 4.21 DLTS scans of hole trap vs. annealing temperature for $E_p = 200$ keV, $\phi_p = 1 \times 10^{11} \text{P/cm}^2$. 
Fig. 4.22 DLTS scans of electron trap vs. annealing temperature for $E_p = 200$ KeV, $\phi_p = 2 \times 10^{11}$ P/cm².

DLTS SIGNAL

$E_p = 200$ KeV
1. $\phi_p = 2 \times 10^{11}$ P/cm²
2. UNANNEALED
3. ANNEALED AT 200°C (24 hrs)
4. ANNEALED AT 300°C (6 hrs)

$E = 0.52$ eV
$E = 0.71$ eV

T (K)

400
350
300
250
200
150
77
Fig. 4.23 DLTS scans of hole trap vs. annealing temperature for $E_p = 200$ KeV, $\phi_p = 2 \times 10^{11}$ P/cm$^2$. 
Fig. 4.24 DLTS scans of electron trap vs. annealing temperature for $E_p = 200$ KeV, $\phi_p = 3 \times 10^{11}$ P/cm$^2$. 

1. UNANNEALED
2. ANNEALED: $200^\circ$C (24 hrs)
3. ANNEALED: $300^\circ$C (6 hrs)
4. ANNEALED: $400^\circ$C (6 hrs)
Fig. 4.25 DLTS scans of hole trap vs. annealing temperature for $E_p = 200$ KeV and $\phi_p = 3 \times 10^{11}$ P/cm$^2$. 
Fig. 4.26 DLTS scans of electron trap vs. annealing temperature for $E_p = 200$ KeV and $\phi_p = 4 \times 10^{11}$ P/cm$^2$. 
Fig. 4.27 DLTS scans of hole trap vs. annealing temperature for $E_p = 200$ KeV and $\Phi_p = 4 \times 10^{11}$ P/cm$^2$. 
Fig. 4.28 Density of electron trap and hole trap vs. annealing temperature for the first, second, and third cycle 200 KeV proton irradiated samples.
Fig. 4.29 Density of electron and hole trap vs. proton fluence for $T_A = 0$, 200 and 300 °C.
fluence of $4 \times 10^{11}$ P/cm$^2$ and annealed once at 200, 300, and 400 °C, respectively),
Table 4.2 lists a comparison of the defect density between the fourth cycle per-
iodically annealed and nonperiodically annealed samples for annealing temperatures
of 200, 300, and 400 °C. No significant difference in defect density was observed
in both cases. Table 4.3 lists the performance parameters of the periodic thermal
annealed AlGaAs–GaAs solar cells irradiated by 200 KeV protons, as reported by HRL.
It is noted that the defect profile for both electron trap (i.e. $E_c - 0.71$ eV) and
hole trap ($E_v + 0.18$ eV) is not constant across the active region of the undoped
n-GaAs layer, as are shown in Fig. 4.30 and Fig. 4.31, respectively. Fig. 4.30
shows the DLTS scans of electron trap, $E_c - 0.71$ eV level, for three different reverse
biased conditions (i.e., $V_R = -2$, -4, and -6V). The results showed that the defect
density is highest near the edge of the junction and decreases with increasing dis-
tance in the undoped n-GaAs layer. A similar spatial distribution was also observed
for the shallower electron trap, $E_c - 0.52$ eV. On the contrary, the $E_v + 0.18$ eV hole
trap has an opposite spatial distribution; it has the lowest defect density near
the edge of the junction space charge region and increases with increasing dis-
tance from the junction of the n-GaAs LPE layer. Thus, the physical origins of
these electron and hole traps may be quite different. Based on the analysis given
in section 3.1, the most likely candidate for the $E_c - 0.71$ eV level may be due to
the As$_{Ga}$ or Ga$_{As}$ antisite defect, and the possible candidate for the $E_v + 0.18$ eV
hole trap may be due to the V$_{Ga}$ related defect. The $E_v + 0.18$ eV level has constantly
been observed in the Al$_x$Ga$_{1-x}$As LPE materials.

In short, from the study of the DLTS data for the periodically thermal annealed
samples, it is concluded that periodic thermal annealing performed at 200, 300, and
400 °C can effectively reduce the defect density for both $E_c - 0.71$ eV electron trap
and $E_v + 0.18$ eV hole trap. Both the defect density and dark recombination current
in the periodically annealed samples irradiated by 200 KeV protons decrease with
Table 4.2 Density of Electron and Hole Traps vs. Annealing Temperature in 200 keV proton Irradiated AlGaAs-GaAs Solar Cells ($\phi_p = 4 \times 10^{11}$ P/cm²).

<table>
<thead>
<tr>
<th>$T$ (°C)</th>
<th>Electron Trap Density ($cm^{-3}$) ($E_c - 0.71$ eV)</th>
<th>Hole Trap Density ($cm^{-3}$) ($E_v + 0.18$ eV)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>periodic annealing non-periodic annealing</td>
<td>periodic annealing non-periodic annealing</td>
</tr>
<tr>
<td>200</td>
<td>$3.23 \times 10^{13}$ $2.91 \times 10^{13}$</td>
<td>$5.34 \times 10^{13}$ $5.82 \times 10^{13}$</td>
</tr>
<tr>
<td>300</td>
<td>$2.24 \times 10^{13}$ -</td>
<td>$3.57 \times 10^{13}$ -</td>
</tr>
<tr>
<td>400</td>
<td>$9.8 \times 10^{12}$ $1.62 \times 10^{13}$</td>
<td>$2.2 \times 10^{13}$ $2.4 \times 10^{13}$</td>
</tr>
</tbody>
</table>
Table 4.3
Effect of Periodic Annealing on the AlGaAs-GaAs Solar Cell Performance Parameters

<table>
<thead>
<tr>
<th>Comments</th>
<th>Cell #</th>
<th>fluence</th>
<th>Annealing temp. (°C)</th>
<th>time (s)</th>
<th>$I_{sc}$ (mA)</th>
<th>$V_{oc}$ (V)</th>
<th>P.F.</th>
<th>$P_m$ (mW)</th>
<th>$N_c$ (%)</th>
<th>$P/P_0$ %</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>5549</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>114</td>
<td>1.0</td>
<td>0.75</td>
<td>85.3</td>
<td>15.8</td>
<td>100%</td>
</tr>
<tr>
<td></td>
<td>5549</td>
<td>1x10^11</td>
<td>0</td>
<td>0</td>
<td>90</td>
<td>0.84</td>
<td>0.74</td>
<td>55.8</td>
<td>10.3</td>
<td>65.3%</td>
</tr>
<tr>
<td></td>
<td>5549</td>
<td>2x10^11</td>
<td>200</td>
<td>24</td>
<td>95</td>
<td>0.87</td>
<td>0.75</td>
<td>61.8</td>
<td>11.4</td>
<td>73%</td>
</tr>
<tr>
<td></td>
<td>5552</td>
<td>1x10^11</td>
<td>300</td>
<td>6</td>
<td>101</td>
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<td>69</td>
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<td>5556</td>
<td>1x10^11</td>
<td>400</td>
<td>6</td>
<td>104</td>
<td>0.87</td>
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<td>72</td>
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<tr>
<td>First Cycle annealing &amp; irradiation</td>
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<td>2x10^11</td>
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<td>0</td>
<td>79</td>
<td>0.83</td>
<td>0.74</td>
<td>48.3</td>
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<td>200</td>
<td>20</td>
<td>89</td>
<td>0.86</td>
<td>0.75</td>
<td>57.2</td>
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<td>67%</td>
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<tr>
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<td>300</td>
<td>6</td>
<td>96</td>
<td>0.90</td>
<td>0.75</td>
<td>65</td>
<td>12.0</td>
<td>76%</td>
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<td>5556</td>
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<td>6</td>
<td>100</td>
<td>0.91</td>
<td>0.74</td>
<td>67.3</td>
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<td>47.6</td>
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<td>87.5</td>
<td>0.85</td>
<td>0.74</td>
<td>55.3</td>
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<td>90</td>
<td>0.88</td>
<td>0.75</td>
<td>59</td>
<td>10.9</td>
<td>69%</td>
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<tr>
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<td>5557</td>
<td>3x10^11</td>
<td>400</td>
<td>6</td>
<td>99</td>
<td>0.91</td>
<td>0.73</td>
<td>66.1</td>
<td>12.2</td>
<td>76%</td>
</tr>
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<tr>
<td>Third Cycle annealing &amp; irradiation</td>
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<td>0</td>
<td>80</td>
<td>0.81</td>
<td>0.73</td>
<td>47.5</td>
<td>8.8</td>
<td>55.7%</td>
</tr>
<tr>
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<td>5549</td>
<td>4x10^11</td>
<td>200</td>
<td>5</td>
<td>85</td>
<td>0.84</td>
<td>0.73</td>
<td>52.4</td>
<td>9.7</td>
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<td>300</td>
<td>6</td>
<td>86.5</td>
<td>0.86</td>
<td>0.75</td>
<td>56.1</td>
<td>10.4</td>
<td>66%</td>
</tr>
<tr>
<td></td>
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<td>400</td>
<td>6</td>
<td>93</td>
<td>0.91</td>
<td>0.75</td>
<td>63</td>
<td>11.6</td>
<td>74%</td>
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Fig. 4.30 DLTS scans of electron trap vs. reverse biased voltage, for $E_p = 200$ KeV, $\phi_p = 4 \times 10^{11}$ P/cm$^2$ and $T_A = 200$° C.
Fig. 4.31 DLTS scans of hole trap vs. reverse biased voltage, for $E_p = 200$ KeV, $\phi_p = 4 \times 10^{11}$ P/cm$^2$. 

HOLE TRAP

$E_p = 200$ KeV

$\phi_p = 4 \times 10^{11}$ P/cm$^2$
increasing annealing temperature. The periodic thermal annealing appears to have a slight edge over the non-periodic annealing process in terms of reducing the defect density and dark current in the 200 KeV proton irradiated GaAs solar cells.

4.3 Hole Diffusion Lengths, Damage Coefficients, and other Recombination Parameters in the Low Energy Proton Irradiated GaAs Solar Cells.

In addition to the I-V, C-V, and DLTS measurements discussed in the previous sections, we have also conducted the SEM-EBIC measurements on the low energy proton irradiated GaAs solar cells to determine the hole diffusion lengths in the undoped n-GaAs LPE layers. Fig. 4.32 shows a schematic diagram of a semiautomatic SEM-EBIC set-up for diffusion length measurements. Fig. 4.33 shows a typical trace of SEM-EBIC scans across the cleave plane of an AlGaAs-GaAs mesa diode. Fig. 4.34 shows the SEM-EBIC current vs. distance, x, for the low energy proton irradiated AlGaAs-GaAs solar cells irradiated by different proton energies and fluences. The effective hole diffusion length is deduced from the slope of the log I_sc vs. x plot. Fig. 4.35 shows the hole diffusion lengths vs. proton fluence for 50, 100, and 290 KeV samples. Table 4.4 summarizes the measured defect and recombination parameters in the low energy proton irradiated AlGaAs-GaAs solar cells. Table 4.5 lists the measured hole diffusion lengths and the calculated damage coefficients for the low energy proton irradiated GaAs solar cells studied in this research program. As can be seen from Tables 4.4 and 4.5 that the hole diffusion lengths measured by SEM-EBIC method are in good agreement with those deduced from forward I-V data. The damage coefficients calculated from the hole diffusion length data for the low energy proton irradiated GaAs cells vary between 1 to 2x10^{-4} for proton fluences of 10^{11} and 10^{12} P/cm^{2}. These values are about a few times higher than those reported by Anspaugh for the 8.3 MeV (K_p = 9.1x10^{-5} to 2.0x10^{-5}) and 17.5 MeV (K_p = 5.4x10^{-5} to 1.2x10^{-5}) proton irradiated GaAs solar cells. However, this appears to be reasonable since the low energy protons are expected to create more damages to the GaAs solar cell structure used in this study than those of medium and high energy
Fig. 4.32  Schematic diagram of a semiautomatic SEM-EBIC set-up for diffusion length measurement.
(a) Trace of EBIC and SEI (secondary electron image) curves across the same line perpendicular to the p-n junction.

(b) Multiple exposure of SEI of cleaved diode surface and EBIC curves.

Fig. 4.33 SEM-EBIC scans across an AlGaAs-GaAs diode.
Fig. 4.34 SEM-EBIC current versus distance $x$ for AlGaAs-GaAs mesa diodes irradiated by protons with different energies and fluences.
Fig. 4.35 Hole diffusion length vs proton fluence for the low energy proton irradiated GaAs solar cells as determined by the SEM-EBIC techniques.
Table 4.4 Hole Diffusion Lengths and Damage Coefficients in Low Energy Proton Irradiated AlGaAs-GaAs Solar Cells

<table>
<thead>
<tr>
<th>Proton Energy (KeV)</th>
<th>Fluence (P/cm²)</th>
<th>Hole diffusion length (µm)</th>
<th>Damage constant</th>
</tr>
</thead>
<tbody>
<tr>
<td>50</td>
<td>10¹¹</td>
<td>2.73</td>
<td>9.31x10⁻⁶</td>
</tr>
<tr>
<td>100</td>
<td>10¹¹</td>
<td>1.97</td>
<td>1.33x10⁻⁴</td>
</tr>
<tr>
<td>&quot;</td>
<td>10¹²</td>
<td>0.86</td>
<td>1.11x10⁻⁴</td>
</tr>
<tr>
<td>290 KeV</td>
<td>10¹¹</td>
<td>1.72</td>
<td>2.13x10⁻⁴</td>
</tr>
<tr>
<td>&quot;</td>
<td>10¹²</td>
<td>0.67</td>
<td>2.1x10⁻⁴</td>
</tr>
</tbody>
</table>

Table 4.5 Measured defect and recombination parameters in low energy proton irradiated AlGaAs-GaAs diodes

<table>
<thead>
<tr>
<th>Proton Energy (KeV)</th>
<th>Proton Fluence (P/cm²)</th>
<th>Trap Level Electron Hole</th>
<th>Trap Density Electron Hole</th>
<th>Capture cross section Electron Hole</th>
<th>Hole diffusion length (from ILTS)</th>
<th>Effective diffusion length (from I-V curve Lim)</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>0</td>
<td>--</td>
<td>--</td>
<td>--</td>
<td>2.83</td>
<td>3.1</td>
</tr>
<tr>
<td>50</td>
<td>10¹¹</td>
<td>--</td>
<td>--</td>
<td>--</td>
<td>2.73</td>
<td>2.6</td>
</tr>
<tr>
<td>10¹²</td>
<td>--</td>
<td>--</td>
<td>--</td>
<td>--</td>
<td>0.93</td>
<td>--</td>
</tr>
<tr>
<td>100</td>
<td>0.2 0.17</td>
<td>1.6x10⁻¹³ 5.6x10⁻¹³ 1x10⁻¹⁶ 5.9x10⁻¹⁵ 1.73</td>
<td>1.97</td>
<td>2.3</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>0.71 0.71</td>
<td>2.3x10⁻¹³ 1.09x10⁻¹³ 2.2x10⁻¹³ 1.2x10⁻¹²</td>
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</tr>
<tr>
<td>290</td>
<td>0.20 0.17</td>
<td>7.7x10⁻¹³ 5.2x10⁻¹²</td>
<td>--</td>
<td>--</td>
<td>2.36</td>
<td>2.6</td>
</tr>
<tr>
<td></td>
<td>0.71 0.71</td>
<td>1.2x10⁻¹³ 1.2x10⁻¹³ 1.2x10⁻¹³ 1.2x10⁻¹³ 1.44</td>
<td>1.72</td>
<td>2.6</td>
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<tr>
<td>10¹²</td>
<td>0.16 --</td>
<td>4.9x10⁻¹³ --</td>
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<td>--</td>
<td>2.36</td>
<td>2.6</td>
</tr>
<tr>
<td>100</td>
<td>0.31 0.44</td>
<td>1.5x10⁻¹⁴ 8.4x10⁻¹⁴ 3.8x10⁻¹⁴ 9.9x10⁻¹⁵ 0.90</td>
<td>0.96</td>
<td>0.8</td>
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</tr>
<tr>
<td></td>
<td>0.52 0.57</td>
<td>2.4x10⁻¹⁴ 2x10⁻¹⁴ 1.7x10⁻¹⁴ 2x10⁻¹⁴ 1.3x10⁻¹⁴ 2x10⁻¹³</td>
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<tr>
<td></td>
<td>0.11 --</td>
<td>2.4x10⁻¹⁴ --</td>
<td>--</td>
<td>--</td>
<td>2.36</td>
<td>2.6</td>
</tr>
<tr>
<td>290</td>
<td>0.31 0.44</td>
<td>2.2x10⁻¹⁴ 1.9x10⁻¹⁴ 8x10⁻¹⁵ 9.3x10⁻¹⁵ 0.84</td>
<td>0.96</td>
<td>0.8</td>
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<tr>
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<td>0.71 0.71</td>
<td>3.4x10⁻¹⁴ 2.4x10⁻¹⁴ 1.3x10⁻¹⁴ 2.4x10⁻¹⁴ 1.3x10⁻¹⁴ 2.4x10⁻¹³</td>
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protons, as discussed earlier.

V. CONCLUSIONS

Study of radiation induced deep-level defects and recombination properties in proton irradiated AlGaAs-GaAs solar cells fabricated at HRL has been carried out in this research program. Detailed analysis of the defect and recombination parameters in the proton irradiated GaAs solar cells has been made for a wide range of proton energy (i.e., 50 KeV, 100 KeV, 200 KeV, 290 KeV, 800 KeV, 1 and 10 MeV) and proton fluences (i.e., $10^{11}$, $5 \times 10^{11}$, $10^{12}$, $10^{13}$ P/cm$^2$). Experimental tools such as I-V, C-V, DLTS, and SEM-EBIC techniques have been employed to deduce the defect and recombination parameters in these proton irradiated samples. In addition, a systematic study of the low temperature (200 to 400°C) periodic thermal annealing behavior of deep-level defects in the 200 KeV proton irradiated GaAs solar cells has also been made in this work. The main conclusions obtained from this study are: (1) Among all proton energies studied, the 200 and 290 KeV protons produced the highest damages and defect density in the GaAs solar cell structure studied, (2) low temperature thermal annealing (200 to 400°C) process is beneficial for reducing defect density and dark recombination current, and is capable of recovering the power loss in the proton irradiated GaAs solar cells, (3) good correlation between our measured defect parameters and solar cell performance parameters has been obtained for the 200 and 290 KeV proton irradiated GaAs solar cells, (4) in the periodic thermal annealing study of the 200 KeV proton irradiated samples, the defect density was found decreased linearly with increasing annealing temperatures and increased with increasing proton fluence (from $10^{11}$ to $4 \times 10^{11}$ P/cm$^2$), (5) the observed energy levels for electron traps include $E_c - 0.11$, 0.14, 0.20, 0.31, 0.52, 0.60, and 0.71 eV, and for hole traps, $E_v + 0.17$, 0.29, 0.41, 0.52, 0.57, and 0.71 eV, for proton energies of 100 KeV to 10 MeV and fluences of $10^{11}$ to $10^{13}$ P/cm$^2$, (6) most of the deep-level defects observed in these proton
irradiated GaAs samples are believed to be due to vacancy, interstitial and antisite related native defects; identification of these defect is extremely difficult and required further studies, (7) the observed deep-level defects such as $E_c - 0.60$ or 0.71 eV electron traps and $E_v + 0.52$ and 0.71 eV hole traps are effective recombination centers in these proton irradiated GaAs LPE layers, (8) hole diffusion lengths in the undoped n-GaAs layer were found to vary between 3 \textmu m for the un-irradiated GaAs and 0.3 \textmu m for the 290 KeV proton irradiated samples with $10^{13}$ P/cm$^2$ proton fluences, (9) damage constants for the low energy proton irradiated samples are varied between 1 and $2 \times 10^{-4}$, (10) comparing periodic and non-periodic thermal annealing process no significant difference in defect density was observed in these 200 KeV proton irradiated samples.

In short, from the results of this study, a better understanding of the radiation induced deep-level defects in the proton irradiated AlGaAs-GaAs solar cells has been obtained in this research program. Low temperature thermal annealing study, aimed at reducing defect density and recovering power loss in GaAs solar cells due to proton irradiation, has yielded useful information for improving the solar cell performance in the radiation environment. A similar study for the one-MeV electron irradiation in GaAs solar cells should be taken for the future work.
VI. TECHNICAL REPORTS, PUBLICATION AND CONFERENCE PAPERS


Other Documentations:

1. One Ph.D. dissertation and four M.S. theses have been completed since 1978 which were supported by this research grant.
VII. REFERENCES


42. R. A. Smith, Semiconductors, Cambridge at the University Press, 1959.


70. A. G. Milnes, "Impurity and Defect Levels in GaAs," Advances in Electronics and Electron Physics, to be published 1981.


VIII. ACKNOWLEDGEMENT

In addition to Dr. Li, the principal investigator of this research project, Dr. D. W. Schoenfeld and several of Dr. Li's graduate students have made significant technical contributions during the course of this study. Dr. R. Y. Loo of Hughes Research Laboratories has fabricated all the AlGaAs-GaAs mesa diodes and performed the proton irradiation on all the samples used in this work. The collaboration of Dr. S. G. Kamath and R. Knechtli of Hughes Research Laboratories are also gratefully acknowledged. The author is also grateful to G. H. Walker of NASA Langley Research Center for his interest and support of this research project. The research was sponsored by NASA grant NSG-1425, Langley Research Center, Hampton, Virginia.