REMOTE SENSING INFORMATION SCIENCES RESEARCH GROUP

SANTA BARBARA INFORMATION SCIENCES RESEARCH GROUP
FINAL REPORT - YEAR 3

MAY 1, 1986

PRINCIPAL INVESTIGATOR
DR. JOHN E. ESTES

CO-INVESTIGATOR / CONTRIBUTORS
DR. TERENCE SMITH
DR. JEFFREY L. STAR

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION
OFFICE OF UNIVERSITY AFFAIRS
WASHINGTON D.C. 20546

GRANT NO. NASA NAGW - 455

(NASA-CR-179769) REMOTE SENSING INFORMATION SCIENCES RESEARCH GROUP, SANTA BARBARA INFORMATION SCIENCES RESEARCH GROUP, YEAR 3 Final Report (California Univ.) 87 p

CSCL: 05B H1/43 44633
Table of Contents
TABLE OF CONTENTS

I. Introduction
   John E. Estes and Jeffrey L. Star

II. Reports
   Support for Global Science: Remote Sensing's Challenge
       John E. Estes and Jeffrey L. Star
   The Need for Improved Information Systems
       John E. Estes
   KBGIS-II: A Knowledge-Based Geographic Information System
       Terence Smith, Donna Peuquet, Sudhakar Menon, and Pankaj Agarwal
   NASA Pilot Land Data System
       Jeffrey L. Star and John E. Estes
   Performance Analysis of Image Processing Algorithms
       for Classification of Natural Vegetation in the
       Mountains of Southern California
       Stephen Yool, Jeffrey L. Star, John E. Estes, Daniel B. Botkin, David W. Eckhardt
       and Frank W. Davis

III. Summary
   John E. Estes and Jeffrey L. Star

Final Report -- Year 3
IV. Appendices

1. Methodologies of Mapping and Accuracy Determination for Regional Assessments of Natural Vegetation

2. A Knowledge Based System for the Classification of Agricultural Lands

3. Evaluation of Thematic Mapper Simulator Data for Commercialization and Time Dynamics

4. Evaluation of Thematic Mapper Simulator Data for Commercial Application to Natural Vegetation of Southern California

5. Geographic Information System for Scholars

6. Committee Memberships

7. Presentations and Symposia
Introduction

John E. Estes and Jeffrey L. Star
Introduction

In May 1983, the Office of University Affairs of the National Aeronautics and Space Administration (NASA) signed a grant establishing a Remote Sensing Information Sciences Research Group (ISRG) at the University of California, Santa Barbara (UCSB). Research conducted under this grant has been used to extend and expand existing remote sensing research activities at UCSB in the areas of georeferenced information systems, machine assisted information extraction from image data, artificial intelligence, and vegetation analysis and modeling. This document represents a final report of work conducted under this grant (Grant # NASA NAGW-455) during the period May 1, 1985 to April 30, 1986.

ISRG research continues to focus on improving the type, quantity, and quality of information which can be derived from remotely sensed data. As we move into the coming year of our research, we will continue to focus on the needs of the remote sensing research and application community which will be served by the Earth Observing System (EOS) and Space Station, including associated polar and co-orbiting platforms. As discussed in the following material, we have begun to integrate, extend, and expand existing remote sensing research activities at UCSB in the areas of Global Science, Georeferenced Information Systems, Machine Assisted Information Extraction from Image Data, and Artificial Intelligence.

As world population continues to increase, there is an ever expanding need for systems and techniques capable of acquiring,
integrating, and analyzing information concerning the extent, use of, and changes in the major components of the earth's surface. NASA is playing an important role in the development of systems such as EOS which have significant data acquisition capabilities. To achieve the full potential of such systems, however, requires farsighted fundamental research be directed towards the scientific application of information systems technologies. These technologies can improve the base upon which assessments may be made of both the current and changing status of the components of the biosphere, hydrosphere, lithosphere, and atmosphere.

This report documents accomplishments at UCSB in what we consider to be a five to ten year effort to prepare to take full advantage of the capabilities of the platforms and systems associated with Space Spatlon (e.g., EOS). Through this work, we have targeted fundamental research aimed at improving our basic understanding of the role of information systems technologies and artificial intelligence techniques in the integration, manipulation and analysis of remotely sensed data for global scale studies. This coordinated research program is possible as UCSB due to a unique combination of researchers with experience in all these areas.

During the early years of this effort, the focus was on the integration of these existing research activities at UCSB and the initiation and conduct of a number of research activities with a variety of NASA centers. We have also worked on background assessments of research and technology, as well as beginning
steps towards implementation of a Pilot Land Data System (PLDS) for NASA Headquarters.

We continue to be involved in PLDS development efforts, both through the Science Steering Group and a small research contract with NASA Ames Research Center. In addition, UCSB personnel have been, and are involved with: the EOS Data Systems Panel; Space Station Data User Working Group; Global Resources Information Systems; The World Bank; the United Nations Environment Programs Global Resources Information Database program; and, the Committee on Data Management and Computation (CODMAC) of the National Academy of Science.

Furthermore, during this year we have been told that funds from NASA Code E/1 will be provided to supplement ISRG activities. These funds were proposed in September, 1985, to cover a range of tasks. Funding for this effort has just been received. Work accomplished in connection with this effort will be reported in our upcoming progress report in December, 1986.

The material which follows details ongoing work directly aided by this grant during the past year. Several of the projects have used this funding as a catalyst to aid other NASA offices in the research, in the integration of remotely sensed and other data into an information sciences framework. The following sections discuss the details of the projects dealing with:

* Pilot Land Data System;

* Performance Analysis of Image Processing Algorithms for Classification of Natural Vegetation in the Mountains of Southern California;

* KBGIS-II: A Knowledge-Based Geographic Information System;
* The Need for Improved Information Systems;
* Support for Global Science: Remote Sensing's Challenge;

These projects are discussed in some detail in the following section. Additional information on many of these projects can be found in our January 1, 1986 Progress Report and Proposal. In this report, we include copies of several new journal articles, funded in part by this grant. The appendices which follow contain committee memberships held by our staff with relevance to information sciences, and recent presentations and symposia.
Support for Global Science: Remote Sensing's Challenge

John E. Estes and Jeffrey L. Star
Support for Global Science: Remote Sensing's Challenge

John E. Estes
Jeffrey L. Star
Department of Geography
University of California
Santa Barbara, California 93106
U.S.A.

Abstract
Remote sensing today uses a wide variety of techniques and methods. Resulting data are analyzed by man and machine, using both analog and digital technology. The newest and most important initiatives in the U.S. civilian space program currently revolve around the Space Station complex, which includes the core station as well as co-orbiting and polar satellite platforms. This proposed suite of platforms and support systems offers a unique potential for facilitating long term, multi-disciplinary scientific investigations on a truly global scale.

Unlike previous generations of satellites, designed for relatively limited constituencies (e.g., Landsat for the land scientist and Seasat for the oceanographic community), Space Station offers the potential to provide an integrated source of information which recognizes the scientific interest in investigating the dynamic coupling between the oceans, land surface, and atmosphere.

Earth scientists already face problems that are truly global in extent. Problems such as the global carbon balance and regional deforestation and desertification require new approaches, which combine multi-disciplinary, multi-national teams of researchers, employing advanced technologies to produce a type, quantity, and quality of data not previously available.

The challenge before the international scientific community is to continue to develop both the infrastructure and expertise to, on the one hand, develop the science and technology of remote sensing, while on the other hand, develop an integrated understanding of our global life support system, and work toward a quantitative science of the biosphere.

Introduction

The newest and most important initiatives in the U.S. civilian space program currently revolve around the Space Station complex. The Space Station complex includes a space station, and its associated co-orbiting and polar satellite platforms. This proposed suite of platforms and support systems offers a unique potential for facilitating long term, multi-disciplinary scientific investigations on a truly global scale.

Basically, the man-tended systems which are proposed for the various platforms have the capability of providing a wide range of data from both operational and research sensors. The large volumes of multispectral, multitemporal data from these systems supported by efficient and effective data systems provide the potential for data continuity which has, to a large degree, been lacking from sensor systems operating on independent free flying platforms. The challenge to the remote sensing community is, in essence, two-fold. The first challenge is to get ready to handle the large volumes of data which will become available in the 1990 time frame. The second challenge to the remote sensing community is to bring the science and technology we are developing to broader constituency, in the service of what we call global science: or as discussed by Botkin et al. (1984), "The Science of the Biosphere". The biosphere is the large scale planetary system that includes and sustains life.

From the perspective of scientists studying the earth's surface, the most important component of the Space Station complex is the Earth Observing System (EOS) (NASA, 1984a; NASA, 1984b). EOS, based on the current design concept, has both active and passive earth surface imaging sensor systems as well as atmospheric sounding systems (Table 1). EOS is an evolutionary step in our capabilities for remote sensing of the earth, and
### TABLE 1  EOS SURFACE IMAGING AND SOUNDING (Taken from NASA 1984a)

<table>
<thead>
<tr>
<th>INSTRUMENT</th>
<th>MEASUREMENT</th>
<th>SPATIAL RESOLUTION</th>
<th>COVERAGE</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Moderate Resolution Imaging Spectrometer (MODIS)</td>
<td>Surface and Cloud Imaging visible and infrared 0.4 nm - 2.2 nm, 3-5 µm, 8-14 µm resolution varying from 10 nm to .5 µm.</td>
<td>1 km x 1 km pixels (4 km x 4 km open ocean)</td>
<td>global, every 2 days during daytime plus IR nighttime</td>
</tr>
<tr>
<td>2. High Resolution Imaging</td>
<td>Surface Imaging 4-2.2 nm, 10-20 nm spectral resolution</td>
<td>30 m x 30 m pixels</td>
<td>pointable to specific targets, 50 km swath width</td>
</tr>
<tr>
<td>3. High Resolution Multifrequency Microwave Radiometer (HMMR)</td>
<td>Visible and near infrared laser backscattering to measure atmospheric water vapor, surface topography, atmospheric scattering properties</td>
<td>1 km at 36.5 GHz</td>
<td>global, every 2 days</td>
</tr>
<tr>
<td>4. Lidar Atmospheric Sounder and Altimeter (LASA)</td>
<td>L, C, and X-Band Radar images of land, ocean, and ice surfaces at multiple incidence angles.</td>
<td>30 m x 30 m pixels</td>
<td>200 km swath width daily coverage in regions of shifting sea ice</td>
</tr>
<tr>
<td>5. Synthetic Aperture Radar (SAR)</td>
<td>Surface topography of oceans and ice, significant wave height</td>
<td>10 cm in elevation over oceans</td>
<td>global with precisely repeating ground tracks every 10 days</td>
</tr>
<tr>
<td>6. Radar Altimeter</td>
<td>Sea surface wind stress to 1 m/s, 10° in direction</td>
<td>one sample at least every 50 km</td>
<td>global, every 2 days</td>
</tr>
</tbody>
</table>

may provide the earth, ocean, and atmospheric science communities with data to support integrated investigations among disciplines and scientists from many nations on an unprecedented scale. Unlike the previous generation of satellites, designed for relatively limited constituencies (e.g., Landsat for the land scientist and Seasat for the oceanographic community), EOS has the potential to provide an integrated source of information which recognizes the scientific interest in investigating the dynamic coupling between the oceans, land surface, and atmosphere.

In the same way that EOS represents an evolution in earthward-looking satellite technology, we believe the scientific objectives which EOS may help to accomplish can produce an evolutionary improvement in our understanding of our planet. Traditional branches of the earth sciences have been limited in scope to modest areas, and to the relatively narrow ranges of biophysical, geochemical and socioeconomic processes by the extent technology to measure, map, monitor, and model those processes. It is our hope and indeed appears to be the hope of the United States (U.S.) National Aeronautics and Space Administration (NASA) that EOS will foster and expand collaboration between scientific disciplines, continuing recent trends within the remote sensing community toward interdisciplinary science on an international scale.

### Historical Perspective

The history of science shows a general trend towards specialization: individuals developing greater expertise in increasingly narrow fields. A portion of this specialization has been enhanced by technological developments. The microscope expanded our horizons inward; early optical microscopes evolved into today’s computer-controlled electron microscopes and microprobes. The telescope expanded our horizons outward; technology has brought us to a time of electronically controlled active mirror telescopes and radio telescopes to probe the distant reaches of the universe. Early timepieces permitted navigation...
over the high seas and a time of rapid developments in the science of cartography. Today's geographers and map makers use the tools of high technology, including both advanced digital computers and satellites, both for finding and then locating and plotting objects on the earth's surface.

Over the last decade, however, society has become more aware of problems which are fundamentally inter-disciplinary: the greenhouse effect, regional deforestation, and groundwater pollution are only a few examples. An understanding of the greenhouse effect requires not only knowledge of the effect of the atmosphere's composition on radiative heat balance, but also atmospheric circulation, land/atmosphere interactions, ocean/atmosphere interactions, as well as biogeochemical cycles on the land, in the air, and in the ocean. The EOS program as presently constituted represents both a means to provide the data needed for such complex, large-area problems and an attempt to develop the infrastructure needed to address these problems.

The history of remote sensing mirrors those trends which have occurred in science and technology at large (Figure 1). The tethered balloons of the 1850's and 1860's were the first remote sensing platforms. Balloons evolved to the aircraft of the early 1900's, and then to the first satellite platforms which became available in the 1960's. The Space Station currently being planned for the 1990's includes a permanent manned presence in space. This station complex with its manned core, co-orbiting and polar platforms represents a major step in our observational potential. The earliest sensors were the human eye, and the earliest recording devices tablet and scribes; panchromatic films developed in the 1830's lead to the color films of the 1920's and these evolved into the electro-optical real synthetic aperture sensors of the 1950's and 1960's. Until the 1960's, data produced by remote sensor systems were analyzed using analog techniques. In the 1960's and continuing through to the present, the digital computer has become an increasingly important analytic tool.

Today's remote sensing practice uses virtually every technique developed in the past 100 years. Balloons, aircraft, and satellites all carry sensors ranging from cameras to electronic scanners and sounders, and synthetic aperture radars using virtually all of the electromagnetic spectrum. Resulting data are analyzed by man and machine, using both analog and digital techniques sharing portions of the tasks. In a modern remote sensing laboratory, the light table and stereo viewer are found next to the computer terminal – and the modern student of remote sensing science recognizes the potential of each.

The field of statistics developed in the 17th and 18th centuries provided science with a vital tool for understanding natural processes. In the 1920's and 1930's, the development of sampling theory furthered applications of statistics. These developments, along with computer technology in the 1950's and 1960's, provided the remote sensing community with necessary tools, for hypothesis testing and the design of field work to both verify and provide confidence limits on the products of our analyses. Further, statistics provides the theoretical background to move from simple identification of single source data to complex problem solving using multiple data sources. The distinction between data and information is elusive, and we realize that one scientist's data may be another's information. Within the context of the science of the biosphere, vigorous application of sampling theory and statistical accuracy verification are required for at least two reasons. First, we are beginning to unambiguously demonstrate that existing maps are woefully inadequate to the task of providing baseline information for monitoring and modeling those dynamic processes that help to sustain life on the Earth (Botkin et al. 1984; Mann, 1985). Second, the multidisciplinary work we anticipate in the future must be rigorously based on ground truth and accuracy verification.

Applications of multivariate data are most important in modern remote sensing, and we often use the phrase "information system" to describe our concept (Estes, 1984). An information system encompasses the entire flow of data, from sensor systems, through calibration and processing, through dissemination of derived information, to some end user and a decision process (see Figure 2). An important element of a new direction in remote sensing research is found in the recommendations of the EOS Science and Mission Requirements Working Group: "The Earth Observing System should be established as an information system..." (NASA, 1984a). The statement recognizes that if EOS is viewed simply as a sensor platform, without considering the processing and distribution of resulting data and information to a user community the potential of EOS will never be realized.

Figure 1. Simplified diagram of trends which have occurred in remote sensing over the past 150 years.

TRENDS IN REMOTE SENSING

LOCAL INVENTORY ——> GLOBAL SURVEYS

SINGLE SOURCE DATA ——> MULTIPLE SOURCE DATA

SIMPLE IDENTIFICATION ——> COMPLEX PROBLEM SOLVING

MANUAL TECHNIQUES ——> MACHINE ASSISTED TECHNIQUES

PHOTOGRAPHS ——> IMAGES

ANALOG RECORDING ——> DIGITAL RECORDING

CAMERAS ——> ELECTRONIC SENSOR SYSTEMS

BALLOONS ——> SATELLITES

COMPLEXITY
The variety of data types and levels of data sources from which users may acquire data for a remote sensing analysis task.
Current Trends

Naisbitt in his book Megatrends (1984) discusses the new directions which he believes are transforming modern man and the planet on which we all live. Several of these megatrends are directly relevant to the challenges to be met by the remote sensing community as we move to take full advantage of evolving remote sensing and related information science technology. Megatrends discussed by Naisbitt include: the move from an industrial society towards an information society; from force technology to high technology with high touch (i.e. counter-balancing human response); short term to long term; centralized to decentralized; hierarchies to networking; either/or to multiple options; and, finally, with apologies to Mr. Naisbitt for not using “national economy to world economy”, we are moving from addressing local and regional science issues to topics of global concern.

The first megatrend discussed by Naisbitt (1984) is what he calls our global move from an industrial to an information society. In Naisbitt's own words, “None (of these megatrends) is more subtle, yet more explosive than the megashift from an industrial to an information society”. This information society, says Naisbitt, had its beginning in 1956 and 1957. It is interesting to note here that this is the time frame for the launch of Sputnik and about the time we began to move from using the term aerial photographic interpretation to the term remote sensing.

Remote sensing is an information generating technology. One only has to examine the Applications volume of the recent Manual of Remote Sensing (Estes and Thorley, 1983) to find eleven chapters and over eleven hundred pages, written by over one hundred and fifty authors, to see the tremendous variety of information being generated from this technology. However, many of us deeply involved in this field feel frustrated. We feel that if we could only find our data more efficiently, manage it better, and use it in a better fashion we could do so much more. Better information systems are needed which link scientists at institutions not only with the U.S. but around the globe.

In remote sensing we are also moving, albeit in this area most slowly, from forced technology to high tech with high touch. To see that remote sensing is high tech we need only to look again to the second edition of the Manual of Remote Sensing (Simonett and Ulaby, 1983). Yet in the development of this technology users have not always been well served. Often, we as scientists, have been presented with systems by the engineering community and asked “What can you do with this?” While this has changed somewhat in recent years, science and applications data users must be brought into the mission planning process at the earliest possible moment. There is still a nagging suspicion on the part of many in the remote sensing community that our voices are not always heard.

It is obvious that we, as scientists interested in our own data needs, may ask for too much. However, we hope that NASA, ESA, and other agencies involved in the forefront of remote sensing will listen to a community which recognizes the information potential of remote sensing, yet is leery of the impacts of commercialization on our long term science access to satellite data – a community fearful that space stations and its associated systems, even including EOS, will further erode what is currently a bare minimum and patently inadequate funding for basic and applied remote sensing oriented research. We have the high tech, yes, but what is needed, as Naisbitt says, is more high touch, a counter-balancing human response that recognized the needs and concerns of the scientists and applications of remotely sensed data. Our goal is to do the best science possible (Estep, 1968), to employ the fruits of our marvelous technology to provide an adequate standard of living for mankind.

In a more subtle way within this high tech/high touch trend, we also see an increase in the use of techniques from artificial intelligence as a trend towards high touch. Particularly, work in the area of expert systems and natural languages is showing potential for making complex processing of remotely sensed data easier and more understandable for science and application users alike. These techniques, if properly applied, show potential for allowing the less-trained individual to take full advantage of the range of services offered by a system such as EOS. Research and development in this whole area is, and should be, directed at letting scientists and users act more like scientists and users than librarians, communications specialists, computer scientists, and so on.

Analogous to Naisbitt's short term/long term are the trends we have seen in the shifts from applied to basic research within NASA since the launch of Landsat 1. Prior to 1972, many researchers in the U.S. and around the world in the field of remote sensing were doing fundamental work on the digital processing of aircraft multispectral scanner data. Overnight, Landsat 1 provided a large volume of data in digital format which was not a research, but an operational satellite. Instead of building a solid research foundation, we in the U.S. moved directly towards applications with a new sensor which had an inadequate information system, and basic research foundation to support of large number of applications.

In recent years (1979-1980), we have seen a shift within NASA back to a more basic research emphasis, looking at the use of remote sensing concerning problems requiring long range research. The recent Global Biology/Global Habitability and the EOS science and mission requirements documents produced by NASA make this trend clear (NASA 1983a, NASA 1983b, NASA 1984a, NASA 1984b). This trend may not be as clear in NASA's actions in the information sciences. The current data pilots funded by NASA code EI are aimed at employing existing
technologies to improve access to processing of, and interaction with, remote sensing data and scientists using that data. We believe that this is proper in this case. There is a very large and compelling need here to do this. Yet, NASA must not lose sight of the need for basic research in the information sciences as well. If we are to gain the maximum benefit from new EOS sensor systems (such as the multifrequency, multiple look angle Synthetic Aperture Radar and the High Resolution Imaging Spectrometer), let alone combine data from these space-based sensors with other ancillary data types, a great deal of fundamental thought and work is needed.

The next two trends are centralized to decentralized, and hierarchies to distributed systems. These trends also illustrate a change from single-investigation research to multi-disciplinary, multi-institutional research as expressed in the EOS Science and Mission Requirements documents (NASA 1984a, NASA 1984b). In the past, only a few countries and research centers (principally federal laboratories and a few universities) had the computing capability to acquire and deal effectively with satellite data. We take hierarchies in Naisbitt’s sense to be individual organizations geared toward working independently, in contrast to networking which attempts to facilitate the interaction of these organizations. What we have in remote sensing today are hierarchies, where central facilities distribute data and processing knowledge to the community. Today countries and institutions in all parts of the world have acquisition and processing capabilities. This presents a new protocol, associated with the idea of networks as opposed to hierarchies. What is required are more efficient and effective networks for the exchange of data on a global scale. Data/Information Systems which facilitate communication among scientists around the world are working to improve our understanding of biogeochemical processes.

The megashift from “either/or” to “multiple option” can be related to the use of geographic information systems which facilitates the multi-options, we have in remote sensing today. Early on in machine assisted processing of remotely sensed data, there was a push to obtain all information on a given problem from a single multispectral satellite image alone. When researchers began to realize that the information in the spatial and spectral domains represented in a single image was insufficient to many tasks, we began to explore the multi-temporal aspects of the data. Once we exhausted this possibility, we began to explore the potential of incorporating digital terrain data. Later we digitized soils, geologic and landuse maps. Crop phenologies were plotted as trajectories and processed. Prior probabilities and logic were employed to assess the nature and magnitude of change in a given area.

Many researchers now employ a wide variety of spatially-referenced data in remote sensing research. The synergy between geographic information system technology and remote sensing truly enhances the potential of each. For remote sensing data to be most useful they must typically be combined with other data types. In contrast, the quality of geographic information systems depends on the currency of the data they contain. Remote sensing can update GIS data planes while GIS can provide for the efficient use of the ancillary data required by remote sensing (Estes, 1984).

Finally, in the use of remote sensing, we are moving toward addressing issues which are truly global in nature. That is, we now have the potential to collect consistent global-scale data sets from which information may be derived and whose accuracy is verifiable. Past estimates of important global parameters (such as vegetation types, primary productivity, and biomass) have been difficult to develop and virtually impossible to verify. EOS can be one of the keys to unlocking global science. Yet, to continue this metaphor, it will be information systems which will allow us to turn this key in the lock. Improved information systems will facilitate our ability to conduct global research in an effective manner.

Analytic Forms and Objectives

This is particularly important as we look to the types of analyses that will be conducted using the EOS information system. Examples of these analyses will generally take one of four explanatory forms and be oriented toward at least three objects which will be discussed in some detail here. Explanatory forms include: (1) morphometric analysis, (2) cause-and-effect analysis, (3) temporal analysis, and (4) functional and ecological systems analysis (Estes, Jensen and Simonett, 1980).

Objectives include: (1) inventory, (2) mapping, (3) monitoring, and (4) modeling (Estes, 1985).

Morphometric Analysis

Scientific studies typically require measurement to determine the morphology of phenomena, i.e., their form and structure. Measured properties of phenomena may be generally classified as physical, spatial (geographical), or temporal properties. It is important to obtain quantitative information concerning these parameters in addition to descriptive evaluation.

Scientific investigations may require data ranging from simple in situ observations where the spatial properties are not important, to complex analyses where the properties of phenomena are most significant when viewed in relation to their spatial association with other phenomena. Field investigations are typically costly and site specific, providing only point observations that must be interpolated to yield a geographical surface. Remote sensing, however, can provide both point (per picture element) areal physical property information. Remote sensing can play an important role in providing information on a
number of biophysical properties, such as geometry (size, shape, arrangement, etc.), color or visual appearance, temperature, dielectric nature, moisture content, and organic and inorganic composition (Jensen, 1983).

A fundamental characteristic of remote sensing when applied to morphometric analysis is that a given scale of observation may provide specific types of categorical information by itself, and it can be used as a method of stratifying an area for subsequent analysis.

**Cause-and-Effect Analysis**

Man has always examined the processes acting on his surroundings and attempted rational explanations of the causes. The synoptic view has important implications for regional studies which attempt to identify cause-and-effect relationships. The establishment of cause-and-effect relationships is important to researchers in all branches of science. Increasing our ability to perceive effects which may be beyond direct visual experience can provide insights which may lead to improved understanding of environmental phenomena and processes.

EOS and remote sensing in general offers scientists the capability to extend our understanding of effects which were until now beyond the limits of our perception and effective measurement. This may include recording a given wavelength of energy outside the visible spectrum and/or assume a viewing perspective for a sufficient period of time (e.g., geostationary satellite) to adequately monitor phenomena. For example, thermal infrared scanners can record temperature differences in a river to pinpoint the location and provide a spatial perspective on a thermal plume undetectable by the unaided eye (Estes, et al, 1983). Similarly, the reflective near infrared has been employed to detect biophysical stress (i.e., effect) before the cause (e.g., loss of moisture from pathogens) is detectable in the visible spectrum (Jensen, 1983).

**Temporal Modes of Explanation**

While in many scientific studies spatial variations are prime concern we must also consider the temporal domain. EOS sensor system for surface imaging and sounding show a variety of temporal resolutions consistent with science needs (see Table 1). A concern with time in science stems from two principal considerations:

(a) Explanation of observed phenomena typically involve an analysis of processes and sequences which occur through time.

(b) The rates of change for a given phenomenon constitute an important characteristic.

Change in many scientific studies is synonymous with process and sequence. To be able to identify and monitor change accurately and consistently within a spatial framework is important. The ability to view objects and/or phenomena in their spatial context through time in a consistent manner is an important contribution of remote sensing to global science. Inconsistent data plague temporal studies. EOS data will be our internally consistent, longitudinal (i.e., temporal) data set.

The acquisition of a single datum or multi-temporal data depends upon the application. If the study is primarily concerned with relatively static phenomena (e.g., soils, slopes, rock types), single or widely spaced observations may be sufficient. If, on the other hand, dynamic phenomena (e.g., runoff, flooding, crop growth, moisture response) are involved, the temporal resolution of EOS provide data to meet a variety of science requirements. For an example see Table 2. In addition, by interrogating an interaction matrix between static and dynamic phenomena developed from remote sensing supplied data, much detailed information concerning the functioning of both static and dynamic elements present in a given landscape can be achieved (Estes, Jensen and Simonett, 1980).

**Functional and Ecological Systems Analysis**

Data must be transformed into useable information in order to understand a process or to make a decision. While researchers often require spatially accurate data for both micro- and macroscale phenomena, efficient or accurate methods commonly do not exist for collecting these data. Remote sensing systems offer the means to acquire such data, and are beginning to be applied to systems analysis at both ends of the spatial continuum. Researchers at the University of California, Santa Barbara (UCSB), have been working with NASA personnel to understand the relationship between reflectance from major species in the North American Boreal Forest as well as leaf area index and biomass. The research involves the gathering of detailed field data and correlating the information derived with data acquired using helicopters, aircraft, and satellites.

In addition to these studies, UCSB and NASA researchers have been examining the potential of using advanced very high resolution radiometer (AVHRR) and Landsat imagery to map within known accuracy limits the areal extent and spatial distributions of major forest types in the North American Boreal Forest (see Figure 3). The combination of these research projects is directed at improving our scientific understanding of the cycling of carbon and other elemental materials (Atjay et al, 1979; and NASA, 1983a). In addition, scientists with remote sensing backgrounds are examining the information gained by the application of models to a number of physical processes and cultural phenomena (e.g., crop inventories, monitoring snowmelt runoff, developing models for monitoring urban expansion, and energy consumption). EOS will greatly facilitate these types of studies.

The use of remotely sensed data as input to numerical models together is complex to implement, but attractive
### TABLE 2 SAMPLE SCIENCE OBSERVATIONAL NEEDS (Taken from NASA 1984a)

<table>
<thead>
<tr>
<th>PARAMETER</th>
<th>APPLICATION</th>
<th>ACCURACY</th>
<th>APPROACH</th>
<th>SPATIAL RES.</th>
<th>OBSERVATION FREQUENCY</th>
<th>SPECTRAL RES.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Soil Features</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>o Moisture</td>
<td>Hydrologic &amp; geochemical cycles</td>
<td>5%</td>
<td>10%</td>
<td>Microwave Radiometer Model</td>
<td>1-10 km</td>
<td>2 day</td>
</tr>
<tr>
<td>o Surface</td>
<td></td>
<td>5%</td>
<td>10%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>o Root Zone</td>
<td></td>
<td>5%</td>
<td>10%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>o Types-Areal Extent (peat, wet lands)</td>
<td>Geochemical cycles Agricultural &amp; Forestry</td>
<td>10%</td>
<td>10%</td>
<td>Visible/SAR</td>
<td>30 m</td>
<td>annual</td>
</tr>
<tr>
<td>o Texture-Color</td>
<td>Agriculture &amp; Forestry</td>
<td>10%</td>
<td>10%</td>
<td>Visible/SAR</td>
<td>30 m</td>
<td>annual</td>
</tr>
<tr>
<td>o Erosion</td>
<td>Geochimical cycles</td>
<td>10%</td>
<td>10%</td>
<td>Visible/SAR</td>
<td>30 m</td>
<td>monthly</td>
</tr>
<tr>
<td>o Elemental storage</td>
<td>Geochimical cycles</td>
<td>10%</td>
<td>10%</td>
<td>Visible/SAR</td>
<td>30 m</td>
<td>monthly</td>
</tr>
<tr>
<td>o Carbon</td>
<td></td>
<td>10%</td>
<td>10%</td>
<td>Visible/SAR</td>
<td>30 m</td>
<td>monthly</td>
</tr>
<tr>
<td>o Nitrogen</td>
<td></td>
<td>10%</td>
<td>10%</td>
<td>Visible/SAR</td>
<td>30 m</td>
<td>monthly</td>
</tr>
<tr>
<td>Vegetation</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>o Identification</td>
<td>Hydrologic cycle, biomass distributions &amp; change, primary production, plant productivity, vegetation-climate interaction, microclimate</td>
<td>1%</td>
<td>5%</td>
<td>Visible, Near IR, Thermal IR</td>
<td>1 km</td>
<td>7 day</td>
</tr>
<tr>
<td>o Areal Extent</td>
<td></td>
<td>1%</td>
<td>10%</td>
<td>Visible, Near IR, Thermal IR</td>
<td>30 m</td>
<td>30 day</td>
</tr>
<tr>
<td>o Condition (stress, morphology, phytomass)</td>
<td>Nutrient cycling, trace gas, source sinks, vegetation-climate interaction, microclimate</td>
<td>10%</td>
<td>15%</td>
<td>Visible, Near IR, Thermal IR, SAR</td>
<td>30 m</td>
<td>3 day</td>
</tr>
<tr>
<td>o Leaf area index canopy structure and density</td>
<td></td>
<td>10%</td>
<td>20%</td>
<td>Visible, Near IR, Thermal IR, SAR</td>
<td>30 m</td>
<td>3 days</td>
</tr>
</tbody>
</table>

In several ways. First, remote sensing data are inherently distributed (i.e., spatially disaggregated). As such they are incompatible with many conventional models of environmental processes wherein values for a given area are "lumped" in some fashion or assigned to a specific node. Typically, these models do not readily accommodate remote sensing inputs.

Second, distributed models (both because of their greater spatial specificity and because they often are more of the deterministic than of the nodal or index type) may offer the potential of greater forecasting power under extreme conditions. Finally, the combination of remote sensing and modeling within a geographic information system framework (where inputs are organized employing geographic coordinates) has special appeal because it appears that each needs the other to realize their maximum contribution. Thus remote sensing may play an integral part in functional and ecological systems analyses wherein it may act as a key to the interfacing of biophysical, geochemical, social, and economic data for effective modeling purposes.
Figure 3. The variation in areal extent of the North American Boreal Forest derived from "reliable" conventional sources. Minimum extent common to all sources is in hatched pattern. Maximum extent from sources used is represented by dot pattern.

Inventory

While the modes of explanation discussed above are examples of the scientific analyses which will be conducted employing EOS system, the objective of these studies will be to achieve an improved knowledge of those biochemical, geophysical, and socioeconomic processes that affect life on this planet. EOS can provide significant help in this area. EOS will improve our ability to inventory and map critical resources, facilitate monitoring of critical resources and processes occurring over both large and small areas of the globe, and improve the accuracy of our models of the complex processes which impact life on this planet.

Mapping

Most users involved in geographic analyses want to see a map of information relevant to their application. Basemaps today are largely derived using photogrammetric techniques. It is in the area of thematic mapping (e.g., land cover, hydrology, soils, etc.) that considerable research is occurring on the use of remotely sensed data. Thematic mapping is an important component of any land resources investigation (Simonett, 1976). The Federal Mapping Task Force identifies Mapping Charting, and Geodesy (MC&G) tasks as being:

* Land Surveys (point positioning for geodesy, cadastral, engineering);
* Land Mapping (planimetric, topographic, thematic);

The above list could serve as general cartographic requirements for most countries of the world. Currently, such tasks are carried out within the United States' national mapping programs primarily by the Defense Mapping Agency, the U.S. Geological Survey, and the National Oceanic and Atmospheric Administration. The U.S. federal MC&G task force, however, cannot meet the current requirements for maps, charts, and geodetic information. For example, the U.S. Geological Survey can satisfy only about 16 percent of the first priority needs for new mapping and 39 percent for revision of outdated maps (Donelson, 1973).

The ability to produce thematic maps from remotely sensed data is directly related to our ability to extract data on the classes of thematic data of interest to a given user employing either manual or machine-assisted processing techniques. It is important to note that most maps produced for operational applications of a geographic nature are derived from visual image analysis techniques. Researchers in many disciplines are working to improve machine-assisted classification accuracies (Rosenfeld, et al, 1981; Rosenfeld, 1982; and Estes et al, 1983). This task, however, is formidable, and there has been a general overselling of remote sensing’s ability to provide accurate thematic data in a rapid fashion. This overselling has made it difficult at times to obtain funds required to gain an in-depth understanding of the steps needed to improve existing thematic mapping capabilities.

**Monitoring**

The ability to detect changes in land cover patterns or biophysical characteristics is central to our ability to use remotely sensed data for planning and management purposes (Anderson, 1977). Monitoring of agricultural crops during a growing season can lead to the prediction of regional production. Rates of change of environmental parameters are highly variable by category and location. As an example, the encroachment of urban land use onto prime agricultural land at the rural-urban fringe occurs at a much faster rate than that of the regeneration of clearcut land to forest. Thus variation in rates of change must be carefully assessed from both functional and spatial perspectives in order to provide appropriately stratified units amenable to the systematic extraction of change information.

Interest has increased in recent years in the potential of remote sensing for monitoring environmental phenomena. Recent NASA programmatic interest in Global Biology and Global Habitability and the National Academy’s proposed International Geosphere Biosphere Program (IGBP) are largely predicated on the ability of remote sensing to monitor selected environmental conditions on a global scale (NASA, 1983a; NASA, 1983b; and Waldrop, 1984). These programs propose to collect information which has significant geographic applications. From research on desertification and deforestation to estimates of global elemental cycling and factors affecting climate, these programs call for monitoring and modeling research on an unprecedented scale. It is encouraging to note that these programs recognize the need for long-term research. Yet, from a reading of these and other similar documents it appears that there is a feeling that at least within research funding agencies within the U.S., the image analysis techniques and processing, storage, and retrieval systems required to support these efforts are in place and only need to be applied. This is unfortunately not the case.

Research using Landsat data for the detection and mapping of changes in land cover have demonstrated some potential, but much more needs to be done. To date, change detection studies employing machine-assisted processing techniques have demonstrated a potential for detecting and identifying areas of certain types of environmental change (Christensen and Lachowski, 1977; Friedman, 1978; Place, 1979; and Computer Systems Corporation, 1979). They have not, however, demonstrated the capability to detect changes consistently and with field verified absolute accuracies in the 80- to 90-percent range in a variety of geographic environments (Estes, Stow and Jensen, 1982; Estes, 1985).

**Modeling**

An important aspect of remote sensing has been to develop models which can be driven by inputs derived from remotely sensed data. Models which employ machine-assisted processing of remotely sensed data to address specific geographic applications are still largely in the development stage. Considerable research emphasis must take place if we are to extend our understanding from the realm of systems structure into the area of systems processes and dynamics.

The ability to predict consequences of trends in environmental conditions and to assess the potential impacts of management decisions through simulations is an important step towards understanding the state and dynamics of a variety of geographic phenomena.

Remote sensing techniques have been applied to provide inputs to land capability and suitability models. Most operational usage, however, is limited to manual interpretation of aerial photographs. In many instances, acquiring and processing aerial survey data and their subsequent interpretation create the current bottleneck in the timely and effective operation of both land capability and suitability models. Land use updates typically cost 50-75 percent of the original survey costs which severely restricts their number (Anderson, 1977). Many researchers
consider the potential for semi-automated digital updates of land use surveys as the major, unfulfilled promise and potential advantage of satellite remote sensing.

All land resources have inherent temporal and spatial components. It is necessary to predict both the quantity of aggregate change which is likely to occur in the future (i.e. the amount of land area likely to leave or enter a particular land cover category) and the most probable geographic location of change. The existing literature on the application of remote sensing to land cover spatial predictive modeling is very limited (Estes, Jensen and Simonett, 1980). So too is the literature on all modeling using remote sensing which documents the potential of remote sensing inputs to models on a quantitative basis (Lulla, 1981; Barker, 1983; Lulla, 1983). Research in this area must occur if the application of remotely sensed data to research on the biosphere is to achieve its true potential.

Conclusions

In conclusion, both earth science and technology development have progressed to a point where the conduct of global science appears feasible. Indeed, the earth sciences community is already faced with problems that are truly global in extent. Such problems require new approaches, which combine multi-disciplinary, multinational teams focused on these problems, employing advanced technologies which can generate a type quantity and quality of data not previously available to the scientific community. EOS and the EOS program has this potential. Yet if we are to fully employ the potential of EOS it must be done within an information systems context, linking scientists together with both required facilities and each other. Such an approach can improve the global science community's access to data sources and processing capabilities. The science of the biosphere is a data-intensive activity and in its broadest sense EOS as an information system can provide a tool for improved understanding of our planet (NASA, 1984a).

EOS is a complex system. It is currently planned to fly on the polar orbiting platform as part of the total United States Space Station effort. The Space Station complex offers the global science community great potential, but a number of problems as well. There are still a number of unanswered questions concerning the operational and commercial uses of the sensor systems on polar platforms. What will the United States National Oceanic and Atmospheric Administration's role be? Will the commercial Landsat vendor EOSAT be a major factor in sensor decisions? These and other technical problems (e.g. the 300 megabit per second downlink limitation of the Tracking and Data Relay Satellite System (TDRSS) when the EOS Synthetic Aperature Radar (SAR) and High Resolution Imaging Radiometer (HIRIS) data rates are projected between 700 and 800 megabits per second) must be carefully weighed. International scientific and technical cooperation and the role of the European Space Agency, SPOT Image Corporation, and the Japanese Earth and Marine Observing Systems must also be evaluated.

The challenge before the international scientific community is to continue to develop both the infrastructure and expertise which will allow the EOS information system to work properly. On the one hand, we must continue to develop the science and technology of remote sensing. This includes improved communications and advanced processing techniques, to natural language interfaces and advanced scientific workstations, as well as new sensor technology. On the other hand, we must embrace the concept of global biology, and work toward a quantitative science of the biosphere. Finally, we must put more stress on accuracy assessment and the qualification of the results of our studies. For only if we do this will we truly begin to understand the nature of the only known closed life support system capable of sustaining life for more than a few decades.

References


Christenson, J. W., and H. M. Lachowski. "Urban area delineation and detection of change along the urban-rural boundary as derived from Landsat digital data", presented at Fall Meet. of the American Soc. Photogramm., 1977, Falls Church, VA.


Acknowledgements

Work on this paper was supported in part by the U.S. National Aeronautics and Space Administration through NASA Grant NASW-455. A portion of this article is adapted from a paper presented at "Improved Information in Support of Global Science", AIAA/NASA Earth Observing Systems Conference, Virginia Beach, VA, October, 1985.
KBGIS-II: A Knowledge-Based Geographic Information System

Terence Smith, Donna Peuquet, Sudhakar Menon
and Pankaj Agarwal
KBGIS-II: A Knowledge-Based Geographic Information System

Terence Smith
Donna Peuquet
Sudhakar Menon
Pankaj Agarwal

College of Engineering
KBGIS-II : A KNOWLEDGE-BASED GEOGRAPHIC INFORMATION SYSTEM

Terence Smith
Donna Peuquet
Sudhakar Menon
Pankaj Agarwal

University of California, Santa Barbara

ABSTRACT

In this paper we describe the architecture and working of a recently implemented knowledge-based GIS (KBGIS-II) that was designed to satisfy several general criteria for GIS. The system has four major functions that include query-answering, learning and editing. The main query finds constrained locations for spatial objects that are describable in a predicate-calculus based spatial object language. The main search procedures include a family of constraint-satisfaction procedures that use a spatial object knowledge base to search efficiently for complex spatial objects in large, multilayered spatial data bases. These data bases are represented in quadtree form. The search strategy is designed to reduce the computational cost of search in the average case. The learning capabilities of the system include the addition of new locations of complex spatial objects to the knowledge base as queries are answered, and the ability to learn inductively definitions of new spatial objects from examples. The new definitions are added to the knowledge base by the system. The system is currently performing all its designated tasks successfully, although currently implemented on inadequate hardware. Future reports will detail the performance characteristics of the system, and various new extensions are planned in order to enhance the power of KBGIS-II.

May 19, 1986
1. INTRODUCTION

In its simplest form, a geographical information system (GIS) may be viewed as a database system in which most of the data is spatially indexed, and upon which a set of procedures operate in order to answer queries about spatial entities represented in the database. On the basis of previous research concerning the design and implementation of GIS, one may infer several requirements that a GIS should satisfy, as well as several principles of design and implementation that permit the satisfaction of such requirements. In this essay, we examine both the requirements and the associated principles, first in general terms and then in terms of a knowledge-based GIS (KBGIS-II) that has been recently implemented.

1.1. Requirements of GIS

Previous research (see, for example, Marble[14], Caulkins[3] and Peuquet[17]) suggests that the following general requirements should be satisfied in the design and implementation of most GIS:

a) an ability to handle large, multilayered, heterogeneous databases of spatially indexed data

b) an ability to query such databases about the existence, location and properties of a wide range of spatial objects

This work was partially supported by USGS under the grant USDI-USGS-21167-5, NASA under NCC2-359 and NSF under NSFSES84-00799
c) an efficiency in handling such queries that permits the system to be interactive

d) a flexibility in configuring the system that is sufficient to permit the system to be easily
tailored to accommodate a variety of specific applications and users.

The preceding requirements imply that any GIS satisfying them to a significant degree will be a
large and complex software system, designed to run on a hardware system with both extensive
memory and fast processing capabilities. Hence the design, construction and testing of the
software will be a large and complex task requiring the systematic application of techniques
developed in computer science.

1.2. Principles for satisfying the requirements

There are several general principles that may be applied in order to facilitate the design and
implementation of a GIS satisfying the four requirements listed above. A first principle, relating
to all four of the requirements, involves the systematic application of techniques and approaches
developed in a variety of subfields of computer science (CS). To date, few GIS have been con-
structed on the basis of such systematic knowledge. Five subfields of CS appearing to have par-
ticular relevance for GIS include:

a) Software engineering, which provides a set of techniques to aid in the design, implementa-
tion and testing of large software systems. Only recently have GIS researchers (eg Aron-
son[1], Caulkins[3], and Marble[14]), described the applicability of software engineering tech-
niques to the construction of GIS.

b) Database theory, which provides a selection of data models (see Peuquet[17]), data struc-
tures and database management techniques that may be used in satisfying the first three
requirements listed above.

c) The study of algorithms and complexity is applicable to GIS in its provision of a theoretical
basis for algorithms that will search large spatial databases for complex spatial objects in an
efficient manner. In particular, the emerging subfield of computational geometry (see
Preparata and Shamos[18]) promises much in the way of efficient spatial algorithms.
d) Artificial intelligence studies computational techniques for solving problems which are either computationally intractable or for which there are no well-understood algorithms. The complexity of spatial objects and the size of the spatial databases suggests the applicability of AI techniques in designing data-structures and procedures for answering queries.

e) Computer graphics and natural language processing are subfields of CS that provide techniques for constructing efficient and appropriate interfaces to GIS.

A second principle, relating to the first three requirements listed above, involves the integration of approaches and procedures developed in a variety of disciplines that are related to GIS. These disciplines include computer vision, image understanding and digital cartography (see, for example, Ballard and Brown[2]). Two reasons for this integration are:

a) these disciplines all study the same basic problem of recognizing and reasoning about spatial objects implicitly encoded in spatially indexed data sets. Since their evolution has been somewhat independent, GIS research would benefit from the integration of approaches and procedures developed in these other disciplines.

b) There has been a recent and growing realization that it is often a practical necessity to merge image data sets, such as LANDSAT scenes, with the more traditional datasets of GIS, such as digitized maps and vectorized representations of map features (see Jackson[11]). Computer vision and image understanding have developed techniques that will allow the integration of such capabilities into GIS.

A third principle, relating to the third requirement, involves the application of procedures that reduce the search effort involved in answering queries, particularly by avoiding simple, exhaustive search strategies. As we note below, responding to queries about complex spatial objects in a large database is an inherently difficult computational task. One approach to reducing search effort involves the application of various knowledge-based search techniques developed in AI research that employ the empirical and theoretical knowledge developed in several substantive fields of study, such as forestry, geography, geology and geophysics.
A final principle, relating to the fourth requirement, is to construct GIS in such a way that they may be easily tailored to specific applications and/or users by the users themselves. In particular, one may provide editors that allow users to augment and modify the system's data and knowledge structures. One may also provide "learning" procedures that automatically augment the system's data and knowledge structures as queries are processed.

1.3. Structure of the Essay

In the main body of this essay, we discuss these requirements and principles in terms of a knowledge-based GIS (KBGIS-II) which has just been implemented. We first provide an overview of the system, including the main system functions and the system architecture. We then describe the language in which we represent spatial objects. In the sections following, we provide descriptions of the main components of the system, including the user interface, the spatial object knowledge base, the system editors, the high-level search procedures, the constraint-satisfaction search procedure, the low-level search procedures and the learning procedures. We conclude with a summary of the system, and its relationship to the four requirements and the associated principles.

2. OVERVIEW OF KBGIS

In this section, we provide an overview of the main functions and architecture of KBGIS-II, together with a summary of the manner in which the four requirements discussed above are met in the system.

2.1. System Functions

KBGIS-II is able to perform four main functions over which the user has control:

a) In query mode, the system answers queries concerning spatial objects that are represented, usually in implicit form, in the spatial database. At present, there are two main forms of query, which may be viewed as functional inverses. The first query takes the general form:
and is satisfied when the system finds sets of spatial locations at which the spatial object description is satisfied for the required number of examples in a specified spatial window.

The spatial object is specified in terms of the spatial object language (SOL) defined below.

The inverse query takes the general form:

(FIND objects <spatial window> <object class>)

which is satisfied when the system finds all spatial objects that belong to a given class of spatial objects and that exist in a specified spatial window.

A very large class of spatial database queries may be expressed in terms of queries (1) and (2), which include queries relating to decision-making tasks in which one seeks sets of locations that satisfy various constraints or optimality conditions. The first query, for example, may be used to find solutions to the travelling salesman problem. Furthermore, it is easy to satisfy an even broader set of queries, such as requests for statistical summaries of the spatial objects in given areas, by further processing the outputs resulting from queries (1) and (2).

b) In learn mode, the system modifies and augments its knowledge base. In one form of learning, which occurs by default in query mode, the system augments its knowledge base with the locations of a selected subset of newly discovered spatial objects. In a second form of learning, that currently must be invoked by the user, the system learns inductively how to define new spatial objects. The definitions of these new objects, and related information, are then added to the system's knowledge base.

c) In edit mode the user is able to modify and augment the SOL and associated procedures as well as modifying the system's knowledge base.

d) In trace mode the user is able to follow the processing steps being executed by the system. Trace mode may be invoked in query, learn or edit modes.
2.2. Architecture of the System

The basic architecture of the system is illustrated in Figure 1. The user interface is a general module that controls the I/O behaviour of the system, including the parsing of user queries. Each of the four sets of procedures corresponds to one of the four main functions of the system. The function knowledge base contains knowledge about the functions that define the SOL, and is modifiable by the user. The spatial object knowledge base contains knowledge about spatial objects (such as their definitions and various heuristics), while the location tree data base contains the basic spatial data layers.

2.3. KBGIS-II and GIS Requirements

The requirement that the system handle very large, multilayered databases must be met partly in terms of the software system and partly in terms of the hardware on which the software runs. The requirement that the system be able to respond to queries about complex spatial objects is met in terms of the SOL, the search procedures adopted and the knowledge and data base structures employed. The requirement concerning search efficiency is also met in terms of the search procedures and data structures chosen, while the requirement of system flexibility is satisfied in terms of the editors available to the user. The requirement that the system handle large, multilayered databases must be met partly in terms of the software system and partly in terms of the hardware on which the software runs. The software design entailed by the requirements described above has of necessity made the current hardware (VAX 11-750) sub-optimal for the task, and the size of the databases that can be handled at interactive speeds is thus limited.

3. THE SPATIAL OBJECT LANGUAGE

Before describing the components of the system represented in Figure 1, we provide a description of the spatial object language (SOL) that is used to represent objects in KBGIS-II. The choice of SOL is important for several reasons, including:
a) The SOL defines the class of spatial objects about which the system may learn and about which the system may be queried.

b) The choice of SOL has practical implications for the ease with which various computational tasks, such as search, may be carried out.

c) The SOL is of value in revealing the computational complexity of the problem of finding spatial objects in the systems data bases.

In this section, we describe the SOL in terms of its ability to represent spatial objects.

An important feature of the SOL described below is the flexibility that it offers the user. As in similar predicate calculus-based languages (see, for example, Charniak and McDermott[5]) the syntax is relatively simple and inference mechanisms are well-known. The user, however, has the option of defining a large numbers of predicates, functions, variables and constants in order to provide the language with an expressive power that is appropriate for a given spatial domain.

3.1. The SOL defined

A spatial object is defined as a set of spatial locations together with a set of properties characterizing those locations. In its most basic form, we define a location to be a set composed of some collection of the smallest spatial units, or "pixels", that partition the area represented in the database. A location is not necessarily a connected set of pixels. One may then extend this definition of a location to include sets of locations.

We employ three classes of properties in defining the SOL:

a) Pixel properties, or PPROPs, are properties that characterize individual pixels in the database. Each layer in the spatial database has at least one associated PPROP. Examples of PPROPs are Landuse, Geology and Elevation. It is evident that the type of landuse, lithology or elevation are all properties that may be used to characterize either a single pixel or each pixel in a collection of pixels.
b) Pixel-group properties, or GPROPs, are properties that characterize the collection of pixels comprising some location, but do not characterize each single pixel in the collection. Examples of GPROPs are Size, Shape and Orientation.

c) Relational properties, or RPROPs, are properties that describe the relationship between two locations or between the properties of two locations. Examples of RPROPs include Distance, Direction and Containment.

In the SOL, a spatial object is described as a conjunction of members of the three classes of properties that are applicable in characterizing a given set of spatial locations. We represent these properties in terms of predicates that may be interpreted in terms of relationships between one spatial location and a set of property values, between two spatial locations and a set of property values or between the property values of two spatial locations. PPROP and GPROP properties may be represented in the form:

\[
\text{EQUAL } ((\text{U-FUNCTION LOCI}) \text{ VAL})
\]

while RPROP properties may be represented either in the form:

\[
\text{EQUAL } ((\text{B-FUNCTION LOCI LOC2}) \text{ VAL})
\]

or in the form

\[
\text{EQUAL } ((\text{B-FUNCTION <function of LOCI> <function of LOC2>}) \text{ VAL})
\]

In these definitions, LOCI is a constant or variable representing a location; VAL is a constant or variable representing the value of some property; U-FUNCTION is a unary function of one location; B-FUNCTION is a binary function of two locations; and EQUAL is a predicate that indicates the truth or falsity of the statement.

We now provide examples of the three classes of predicates:

a) To describe a location whose landuse is agriculture, we use the PPROP predicate

\[
\text{EQUAL } ((\text{LAND LOC1}) \text{ AGRICULTURE})
\]

This predicate is satisfied when the variable LOC1 is bound to a location (ie a set of spatial
indices) for which it is true that the value of the landuse property is AGRICULTURE for each spatial index in the location. It is possible to verify the truth value of a PPROP predicate based on information stored in the appropriate layer of the spatial database.

b) To describe a location whose area is between 50 and 60 resolution units we use the GPROP predicate

\[ \text{EQUAL} ((\text{AREA LOC1}) (50 \ 60)) \]

This predicate is satisfied if the variable LOC1 is bound to a location having an area of between 50 and 60 pixels. The truth value of a GPROP predicate may be verified using computed or stored information. The system has a function for each GPROP, that computes the value of the corresponding property.

c) To describe an object consisting of two locations that are separated by a distance of 10 to 20 resolution units we use the RPROP predicate

\[ \text{EQUAL} ((\text{DISTANCE LOC1 LOC2}) (10 \ 20)) \]

which is true when the locations bound to LOC1 and LOC2 are separated by 10 to 20 units. The system has a function that computes the value of the property corresponding to each RPROP.

The language also permits relational comparisons to be made between the properties of two groups of spatial indices using the arithmetic comparison operations EQ, GT, LT, GE, LE corresponding to \( = \), \( > \), \( < \), \( \geq \) and \( \leq \) respectively. To specify, for example, that the area of one component of an object is greater that the area of another component, we may write:

\[ \text{EQUAL} ((\text{GT} (\text{AREA LOC1}) (\text{AREA LOC2})) \ \text{TRUE}) \]

Any of the predicates described above may be combined using the logical connectives \( \land \) (AND) and \( \lor \) (OR). Logical negation \( \neg \) may be combined with any PPROP or GPROP predicate by using the NOT-EQUAL predicate in place of the EQUAL predicate in the above expressions. As a simple example, we may choose to model a city as a commercial core ( LOC1 )
surrounded by a residential annulus (LOC2), in terms of the SOL representation

\[
\text{EQUAL } ((\text{LAND LOC1}) \text{ COMMERCIAL})
\]
\[
\land \text{EQUAL } ((\text{AREA LOC1}) (30 40))
\]
\[
\land \text{EQUAL } ((\text{LAND LOC2}) \text{ RESIDENTIAL})
\]
\[
\land \text{EQUAL } ((\text{AREA LOC2}) (50 60))
\]
\[
\land \text{EQUAL } ((\text{CONTAINS LOC2 LOC1}) \text{ TRUE})
\]

It is to be emphasised that the set of functions and arguments with which a spatial object may be represented in the system is definable by the user by way of the various editors.

3.2. The Spatial Object Hierarchy

We now define a special GPROP called "TYPE" that allows us to define high-level spatial objects that are themselves defined in terms of the basic P-, G- and R-PROPs. Hence we may partially order spatial objects, and so impose a hierarchical structure on them. In its simplest application, TYPE ascribes a name to a spatial object that is defined as a conjunction of PPROPS, GPROPS and RPROPS with specified values. An example of a high-level spatial object is:

\[
\text{((TYPE X) GEOL-OBJ1)}
\]

\[
\iff
\]
\[
\land ((\text{LAND X1}) \text{ FOREST})
\]
\[
\land ((\text{AREA X1}) \text{ LARGE})
\]
\[
\land ((\text{SHAPE X1}) \text{ CIRCULAR})
\]
\[
\land ((\text{GEOL X2}) 4)
\]
\[
\land ((\text{ELEV X2}) (50 100))
\]
\[
\land ((\text{AREA X2}) \text{ MEDIUM})
\]
The above definition states that any set of locations X₁ and X₂ satisfying the unary and binary constraints specified on the right hand side, constitute a location X of the high-level object named GEOL-OBJ₁. The relationship between the location X and the locations X₁ and X₂ may be chosen in some appropriate manner. For example X may be the convex hull of X₁ and X₂, the union of X₁ and X₂, or the centroid of X₁ and X₂. The unary constraints on the location X₁ are specified by the two GPROP functions Area and Shape, and on the location X₂ by the GPROP function Area. Constraints on the locations X₁ and X₂ are specified by the two RPROP functions Distance and Direction.

In general, high-level spatial objects may be defined in terms of other high-level objects using the TYPE property, in conjunction with other PROPs, GPROPs and RPROPS.

The use of the TYPE property in assigning a name to a high-level spatial object accomplishes two objectives:

a) it provides a convenient shorthand notation by means of which objects may be defined in terms of previously defined objects. Given for example that two objects named LAND-1 and LAND-2 have been defined, it is then possible to specify a new high-level spatial object LAND-3 as follows:

\[
\begin{align*}
\text{((TYPE X) LAND-3)} \\
\iff \\
\wedge \text{((TYPE X₁) LAND-1)} \\
\wedge \text{((TYPE X₂) LAND-2)} \\
\wedge \text{((DISTANCE X₁ X₂) (20 30))}
\end{align*}
\]
b) The TYPE property allows us to store newly found locations for high-level objects in a
database indexed by object name and location. The indexing by location is achieved with a
discrimination net, with each high-level object having its own discrimination net. These
data structures are described below.

Any high-level spatial object may thus be seen to form the root of a tree, the complete
expansion of which yields leaves which are PPROPs, GPROPs and RPROPs. On this basis, we
may then assign each high-level spatial object some measure of its complexity that takes into
account the height of the tree that links it to the leaves, the number of component objects at
each level in the tree, and the complexity of the spatial relations (RPROP predicates) at each
level.

For the purposes of describing the spatial object search process (see below), it proves con-
venient to distinguish between high level spatial objects and primitive spatial objects. Any object
that has been defined in the Spatial Object Database and hence has a name which is the value of
the TYPE property, will be referred to as a high level spatial object. The term primitive spatial
object will be used to refer to any connected set of pixels represented by some conjunction of
PPROPS. It is easy to see that any high-level object may be ultimately defined in terms of primi-
tive spatial objects, and an appropriate set of RPROPS and GPROPS.

4. THE USER INTERFACE

The User Interface allows the user to select from among the four main functions of the sys-
tem (querying, editing, learning and tracing), and to supply the appropriate inputs and outputs.
At present most user inputs into the system are by way of a key board, while outputs from query-
ing the system are displayed on a graphics device.
4.1. Querying

In query mode the user may select one of the two fundamental queries (1), (2). Queries of both types may be entered either interactively or from a file.

4.2. Editing

In edit mode, the user may modify either the SOL and associated procedures, using the Function Editor, or the system's knowledge base, using the Object Editor.

4.3. Learning

In learn mode, the user may cause the system to learn a definition of a new spatial object from given examples. Either the system searches for and generates these examples, or the user provides the examples.

5. THE KNOWLEDGE AND DATA BASES

5.1. The Spatial Object Knowledge Base

The Spatial Object Knowledge Base stores both the definitions of, and useful information about, all objects known to the system. This knowledge base is implemented in terms of a slot and filler data structure (Nilsson [16]) and a discrimination net data structure (Charniak et al.[4]). Information concerning object definitions, search heuristics, object classification, and object complexity, as well as low level search procedures that may be directly invoked in searching for spatial objects, is stored in the knowledge base. Information concerning known locations of spatial objects that have been previously found are stored in the discrimination net database.

The slot names and information stored in the slot and filler data structures are shown in Figure 2. The information in each slot can be augmented, modified or deleted by means of the spatial object knowledge base editor. The information stored in this database may also be modified in the inductive learning mode of the system.
The discrimination net database is used to store locations of known examples of spatial objects that are generated by the system during the course of answering user queries. Each object has its own discrimination net. The keys for the discrimination net are derivable given the name of a spatial object and the desired location tree address in the database.

5.2. The Function Knowledge Base

The Function Knowledge Base stores information on functions used by the system in searching for spatial objects. Information on the functions that evaluate the GPROP and RPROP properties of spatial objects are stored in this knowledge base.

The user has the ability to add, modify and delete information from this database using a function editor. Information on the ability to propagate constraints, the computational complexity, subroutine names, symmetry, range and learning related information are stored for each GPROP and RPROP function. The slot names and information stored in the knowledge base are shown in Figure 3. The system utilizes this information to control search for spatial objects and to generate information in learn mode.

5.3. The Location Tree Data Base

The Location Tree Data Base stores information on the spatial distribution of both region based PPROPS and linear features existing within the area covered by the database.

5.3.1. Region Data

The raw input for the region based PPROPS from which the location tree data base is built consists of a raster image for each layer such as landuse, geology or elevation. The conceptual data model utilized for data storage is the quadtree structure. This data structure is based on a recursive partitioning of space into four quadrants, and has been discussed extensively in the literature (see, for example, Samet[19, 20, 21, 22], Hunter and Steiglitz [10] and Tanimoto and Pavlidis [24]) The location tree database extends the quadtree concept allowing for the encoding
of multiple layers of thematic information, with more than one class of information on each layer being stored at an internal node of the location tree. As discussed in the section on the spatial object language, the PPROPS represent primitive pixel properties such as landuse, geology and elevation. There is a layer in the location tree corresponding to each such PPROP in the database. Each node in the location tree is structured as a three dimensional frame. One slot is allocated for each PPROP in the database. Each layer (slot) in turn is a frame which contains the following slots:

a) The VALUE slot stores the data values that occur in the area represented by the node. Each PPROP is quantized to have a maximum of fifty discrete values. At each intermediate node in the tree, a list of values occurring below the node, (together with the areal extent of each value) is stored. The data values are not averaged before storing as in the construction of the pyramid data structure described in Tanimoto[24]. The availability of the areal extent of each data value allows the dynamic computation of the color of a node. Thus a node may be classified as black, white or grey with respect to a particular data value depending on a variable percentage threshold.

b) The DISTRIBUTION slot stores information on the areal extent of each data value in the area represented by the node. The DISTRIBUTION slot may be used to store more than one statistic for describing various aspect of the distribution of data values. The information stored in the DISTRIBUTION slot is used to compute node color based on flexible criteria as described above.

During search to satisfy a query, each node visited by the search process is tagged using a search-tag. Allocation of space for these search-tag fields is a dynamic process and occurs during search. A unique search-tag field is used for each primitive object (connected region) that is part of a query. The information stored in the search-tag field is valid only during the dynamic extent of a query and may be removed and the space deallocated on completion of the search.
5.3.2. Linear Data

The raw input for the linear based data consists of binary raster images of each linear feature such as roads and streamlines. This data is converted to vector form through edge following procedures and the resulting vector representations are stored in spatially indexed form, as properties of the nodes in the higher levels of the Location Tree Data Base. Each vector representation of a linear feature consists of a series of straight line segments. These segments are stored in an array and cursors uniquely identify each breakpoint between segments. It is these cursors that are stored in the nodes of the of the Location Tree Data Base, permitting efficient retrieval of the subset of streams or other linear features within any specified block of the database.

6. EDITORS

KBGIS-II provides two editors, the Function Editor and the Spatial Object Knowledge Base Editor. The Function Editor permits the user to modify the function knowledge base and the Spatial Knowledge Base Editor permits the user to update the spatial object knowledge base. These editors are menu driven, and the user may alter the knowledge bases by selecting any of five modes.

a) In ADD mode, the Object Editor may create a new spatial object. It queries the user for the FeatureType of the new object. An object definition package is then invoked and the user is guided through the construction of the object's DefinedBy slot in terms of the SOL. Besides the definition, the editor also asks for other information such as class, heuristics, and linear and areal dimensions. In this mode, The Function Editor adds a new GPROP or RPROP. The user specifies the file name which contains the definition of the functions. If the new function propagates the constraint, the file should contain a function which can return a new search window. Besides the function definition, the editor also asks for associated parameters such as complexity, symmetry and domain.

b) In DELETE mode the Object Editor deletes spatial objects from the knowledge base. The deletion of an object is allowed by the system only if it is not currently used as a component
in the definition of any other spatial object in the knowledge base. The Function Editor deletes GPROPS and RPROPS from the function knowledge base.

c) In MODIFY mode the user may modify the contents of any slot of either a selected spatial object or a function. The system ensures that logical consistency is maintained before allowing modifications to be made.

d) In DISPLAY mode the user is allowed to browse through the knowledge base, examining selected components of selected objects or functions.

e) In HELP mode the user is provided with aid in using the editors.

f) In END mode, the user may save the changes made in the current session.

7. SPATIAL SEARCH

It is clear from the preceding discussion that procedures that search for spatial objects lie at the core of GIS in general and of KBGIS-II in particular. In this section of the essay, we briefly outline the major principles and procedures that underly the search for spatial objects in KBGIS-II. It should be recalled that search efficiency is a major requirement in most GIS.

7.1. Principles of Search

Smith and Peuquet [23] outlined five principles that underlie the search procedures in KBGIS-II. We repeat those principles here with one further addition:

a) The use of hierarchical decompositions in both data structures and in the search procedures applied to the data structures.

b) The availability of different search strategies that may be chosen as the most efficient in a given search context.

c) The application of best first search procedures in which domain-specific knowledge is used to reduce the sets of locations that need to be searched in answering queries.
d) The use of a constraint-satisfaction approach

e) The use of recursion

f) The use of dynamic updating of the system's knowledge base in response to query satisfaction.

The application of these principles is implicitly described in the detailed descriptions of the search procedures that are provided in following the sections.

7.2. Search Procedures

For convenience, we now provide a brief overview of the search procedures, based on the six principles enunciated above, that are employed in KBGIS-II when satisfying queries of type (1). When a query is entered by a user, it is parsed and checked for syntactic correctness and the user is prompted for any modifications. The (high-level) object of the query is then transformed into a semantic network representation, in which links represent RPROP relations (or constraints) between the subobjects of the query that must be satisfied. The network is then augmented with heuristic knowledge and the subobjects at the nodes are ordered. A constraint satisfaction procedure is then applied to the nodes in the designated order. Search first occurs in the system knowledge base for specific subobjects that are known to satisfy the relational and spatial constraints. If the satisfaction of the query cannot be accomplished by this lookup procedure, the search procedure is recursively called on the subobjects of the node. The recursion terminates in procedures that search the location tree database of the system. When a query is ultimately satisfied by a search of this database and when the search is considered computationally expensive, the result is stored in the system's knowledge base for use in future search.

In the above search process constraint satisfaction procedures are used to satisfy all unary (GPROP) and binary (RPROP) constraints used in the definition of an object, and as such provide the core of our approach to spatial search. The general constraint satisfaction problem (CSP) has been studied by many researchers, including Mackworth [13, 15] and Haralick et. al.[6, 7] The problem may be stated as follows[15]
Given a set of m variables each with an associated domain and a set of constraining relations each involving a subset of the variables, find all possible m-tuples such that each m-tuple is an instantiation of the m variables satisfying the relations.

Mackworth considers only CSP's that are discrete, finite and for which the relations are unary and binary.

The classical approach to the CSP entails the use of backtracking. The variables are instantiated in sequential order using labels selected from an ordered representation of the domain. Backtracking therefore corresponds to a depth first search of the combinatorial search space, with the truth values of intermediate predicates being tested in order to terminate unsuccessful searches as early as possible. As soon as the variables of any predicate are instantiated, the truth value of the predicate is tested. If true, then the process of testing and instantiation continues, but if false the process falls back to the variable last instantiated that has untried values in its domain and and reinstantiates it to its next value.

Although the intrinsic merit of backtracking is that substantial portions of the generate and test search space (the cartesian product of all the variable domains) are eliminated by a single failure, it may still be very inefficient. Various improvements to the procedure have been suggested, such as preprocessing the network for node, arc and path consistency (see Mackworth[13, 15]) and forward looking tree search which prunes the search space through the use of a look ahead procedure (see Haralick[8])

We discuss our approach to spatial search in more detail in the following sections, first in terms of the high level search procedures that control search, then in terms of the constraint satisfaction procedures and finally in terms of the low-level procedures that search the location tree database.
7.3. The SOL and Search Procedures

The structure of the SOL may now be viewed in terms of its relation to the search procedures. First, the use of a language that involves only unary (PPROP, GPROP) and binary (RPROP) relations allows the immediate construction of semantic network representations of the spatial objects. These representations have a natural spatial interpretation and provide a data structure upon which constraint satisfaction techniques may be naturally applied. Second, the use of the TYPE predicate in the SOL permits the natural use of recursive calls during the process of query satisfaction.

7.4. The Complexity of Spatial Object Search

As noted above, the SOL is of value in indicating the computational complexity of the search for spatial objects. By the complexity of search for a given object, we shall mean a measure of the computational time that is required to find such an object, stated as a function of some measure of the object's size. We now provide a simple and heuristic argument indicating that the search for spatial objects is in general a very difficult computational problem. We show by way of an example that it is easy to construct spatial objects that have a very simple representation in terms of the SOL defined above, and a very high order of search complexity.

We may conceive of a spatial object that is comprised of n subobjects, which are linked in such a manner as to give rise to a connected graph. We shall use the number of subobjects (n) as the measure of the size of the spatial object. The links between subobjects may be represented in terms of some RPROP. We may further assume that each of the subobjects is characterized by some GPROP that can take on two values with equal probability. If we assume that the subobjects are distributed at random in our spatial database, then the probability that any given location satisfies a GPROP constraint, (and hence constitutes an example of the corresponding subobject) is 1/2. The probability that n locations, in the configuration specified by the RPROPS, satisfy the GPROP constraints is hence \((\frac{1}{2})^n\). In the absence of preprocessing, and assuming that subobjects are located at random in our spatial database, it is necessary to examine each n-
tuple of locations (that lie in the configuration specified by the RPROPS) to check whether the GPROP constraints are satisfied. It follows that we will have to search $O(2^n)$ times on average before finding an object with a set of nodes having the prescribed GPROP values. Furthermore, search could take significantly longer in some cases, and it is easy to express much more complicated objects in the SOL.

Reduction in this time complexity is possible if additional information is available to the search process. If subobjects are not distributed at random in the database then such information may be created by preprocessing and/or by making heuristic knowledge on the distribution patterns of objects available to the search process. Heuristic knowledge, in the above example, may consist of storing windows for each subobject where the probability of a location satisfying the GPROP (PPROP) constraints necessary to make it an example of the subobject are higher than for the rest of the database. Similarly a stored window for the parent spatial object will indicate a higher probability, within the window, that $n$-tuples of locations that lie in the spatial configurations specified by the RPROPS satisfying the GPROP constraints. Within this stored window there is thus exploitable correlation in the locations of subobject.

Despite the possible speedup in search made possible by such preprocessing, the inevitable conclusion of the preceding remarks is that the search for arbitrary spatial objects describable in terms of our SOL is a problem with a high order of computational complexity.

8. HIGH LEVEL OBJECT SEARCH

High Level Object Search is the procedure used to search for locations of any high level object and is used to satisfy a query of type (1). It is first called upon to find examples of the 'Query' object. It may be called recursively if the 'Query' object has other high-level objects as its descendents. The level of recursion permitted in the search process is unlimited.

The first step in spatial search is to reduce the size of the search window using available knowledge concerning the locations of objects. This is accomplished by accessing the object knowledge base to find other high-level objects that are contextually related to the object sought.
The system then determines if any known examples of such ancilliary objects exist within the search window. If so, sub-windows are constructed around each of the ancilliary locations, and are employed as likely areas for search. Hence a queue of windows is constructed, and the system searches sequentially for the object in each of these windows until the required number of examples of the object are found. For any one window this task may be accomplished by the high-level object search procedure in two ways:

a) Known locations of the object in the specified window of the spatial database may be retrieved from the spatially indexed knowledge base of known examples described above. The set of known locations stored in this knowledge base is not complete, and depends on the history of previous searches. At any time, this set generally contains only a fraction of the examples of the objects that exist implicitly in the spatial database.

b) New locations of the object may be discovered through the process of search in the window. The process of searching for a new location of a high-level object with \( m \) sub-objects entails discovering \( m \) locations, one for each of its sub-objects, such that this set of locations satisfy all unary and binary constraints that define the parent object. If the query requires searching for \( n \) examples of the parent object, then \( n \) such sets of \( m \) locations each must be found. Searching for a new location of the parent object given a set of candidate locations for each of the \( m \) sub-objects is a constraint satisfaction problem. This problem consists of an allocation of locations to sub-objects from their candidate sets such that when all \( m \) assignments have been made, all constraints on and between sub-objects are satisfied. The next section will provide details on the design of the constraint satisfaction procedure implemented in KBGIS II.

The task of determining which candidate locations for any one of the \( m \) sub-objects to employ in the constraint satisfaction procedure, is a recursive specification of the task of determining locations of a high-level spatial object. The recursion terminates in the task of determining the locations of a primitive spatial object. Known examples of such objects are not stored and their locations are always determined through a search of the spatial database. This search
involves the determination of a connected set of pixels satisfying a conjunction of disjunctions of PPROP predicates and is achieved through an appropriate region growing process. Details of this primitive object search procedure are presented in a later section.

High level object search may be represented in terms of the tree shown in Figure 4. We consider the task of finding new locations of the root high-level object O, shown in Figure 4, in a window. It is assumed that heuristic knowledge has already been applied to constrain the size of the window as described above. The number of sub-objects of a parent object is not bounded, and varies with the TYPE of the object, but has been taken as three in this example. This task may be addressed by using a constraint satisfaction procedure taking as input the locations of its sub-objects o1, o2 and o3, and as constraints the binary spatial relations that link o1, o2 and o3. The locations of the sub-objects o1, o2 and o3 that serve as input to the constraint satisfaction procedure may be known examples from the spatially indexed database of known examples or new locations discovered by search.

Searching for new locations of o1, for example, is a recursive application of this task with o1 as the parent object and o11, o12 and o13 as the sub-objects. The recursion terminates, for example, at o11, which is a primitive object and is searched for directly in the spatial database.

The above procedure is followed in the search for new examples of all defined high level objects except in those cases where special purpose search procedures exist. Information on these procedures is stored in the Spatial Object Knowledge Base and is available to the control process. In these cases the special search function is directly called. The examples returned are absorbed into the constraint satisfaction process if the object in question was a subobject of some parent object being searched. This is the way in which defined objects that are linear features are searched for. This ability to interface to external search routines allows the system to utilize efficient special purpose algorithms that may be applicable in the search for a user defined object. In these cases the user may provide the system with necessary knowledge concerning the special purpose function through the function editor.
9. CONSTRAINT SATISFACTION

We now consider the task of constraint satisfaction at any intermediate level in the hierarchy shown in Figure 4. For concreteness, we consider the procedure operating on the sub-objects o₁, o₂ and o₃. These sub-objects are subject to both unary (GPROP) and binary (RPROP) constraints. The high-level object search on the parent object O converts its definition into a semantic network, as shown in Figure 4 and this network, with o₁, o₂ and o₃ as nodes, is passed to the constraint satisfaction procedure. Each node is linked by spatial relations (constraining arcs) to its siblings, and by parent and child links to the nodes immediately above and below it in the hierarchy. The child nodes are created only if the search procedure is recursively called on any of o₁, o₂ or o₃. The constraint satisfaction procedure is concerned only with the spatial relations and operates on the set of nodes that are siblings (i.e. o₁, o₂ and o₃).

The above constraint satisfaction problem for spatial objects may be mapped onto the general constraint satisfaction problem described in a previous section of the paper. The variables represent the locations of the m sub-objects of a parent object while the domain of each variable is the set of candidate locations for the sub-object. A feature of the spatial search problem is that the knowledge possessed by the constraint satisfaction procedure concerning the variable domains (the set of candidate locations of each sub-object) may be partial. The spatial constraint satisfaction procedure may not generally assume that it is working with all the possible values of each of the m variables. Through exhaustive search, it is possible to determine all locations of each sub-object in the window, before beginning the backtracking search for m tuples of locations that satisfy the constraints necessary to form an example of the sought for parent object. This may be appropriate if one is searching for all examples of the parent object in the window, but is inappropriate if one is searching for a small number of instances of the parent object. In the latter case the cost of exhaustive search for all examples of sub-objects in a large database before beginning the constraint satisfaction task for the parent objects may be computationally expensive and unwarranted. The spatial search procedure in KBGIS II therefore dynamically selects a constraint satisfaction strategy based on the nature of the spatial search to be performed.
If a large number of examples of the parent object are to be found then all locations of sub-objects in the window are first determined before searching for consistent m-tuples of locations. Backtracking is used to discover the set of consistent m-tuples and this search may be speeded up using consistency and forward looking criteria as discussed above.

If the number of examples of the parent object sought for in the window is small (in relation to the anticipated existing number) then we adopt a different strategy in which we alternate between recursive search for new locations of sub-objects and backtracking search for a consistent allocation of found locations to sub-objects. At any instant, the constraint satisfaction process operates on a subset of found locations of each sub-object within the window. The procedure explores this space in an attempt to find a consistent allocation. If it fails, the next task is to search for more labels that may be assigned to the sub-objects. The selection of which sub-objects to search for, and the selection of sub-windows of the original window in which to search is done so as to maximize the probability of finding consistent allocations corresponding to locations of the parent object. Once new locations for some of the sub-objects have been found the constraint satisfaction procedure resumes on the augmented variable domains. The process oscillates between constraint satisfaction and the search for new sub-object locations till the desired number of consistent allocations corresponding to examples of the parent object are found, or the procedure announces failure.

We believe that the use of these two alternative strategies is an efficient way to accomplish spatial search. Studies involving this and other control issues will be presented in a forthcoming paper.

10. PRIMITIVE OBJECT SEARCH

The task given to the primitive search procedure is the determination of a specified number of locations of a primitive object. Each instance of the primitive object corresponds to a connected region in the search window. The primitive object is represented using a conjunctive normal form expression involving only PPROP properties. An example of such an expression is:
((LAND X) (10 11)) ∨ ((GEOL X) (1 2)))
∧ (((ELEV X) (50 90)) ∨ ((ASPECT X) (30 40)))

The primitive object search procedure has two alternative strategies available, depending on the desired task:

a) To find a small number of individual instances of a primitive object it uses region growing by SEED EXPANSION.

b) To exhaustively find examples of a primitive object in a window it uses region growing by CONNECTED COMPONENT LABELLING.

For each strategy the primitive object search procedure can also select a cutoff resolution level in the location tree database. At this resolution level all nodes are classified as either black or white.

Each node in the location tree database may be classified as WHITE, BLACK or GREY with respect to the primitive object the area of the node that satisfies the specified PPROP predicates.

Each node in the location tree has an area depending on its height in the tree. Let N denote the number of levels in the location tree. Then a node at level N, referred to as the lowest level, has a height of 0, and an area of 1 unit (pixel). A node at height H (level : N - H) has an area of \(2^H\) units.

The selection of the area that must satisfy the predicates may be made using an absolute limit in the following manner. A node with an area of Y pixels may be considered BLACK only if it has more than \((Y - X)\) pixels satisfying the predicates; GREY if it has between \(X\) and \((Y - X)\) pixels satisfying the predicates; and WHITE if it has less than \(X\) pixels satisfying the predicates. This decision rule ensures that a node corresponding to a level with a node area of \(X\) units will be classified as only BLACK or WHITE preventing further descent of the tree by the region growing algorithm and fixing the resolution at the desired level. Such a rule enables the region growing procedure to take full advantage of compaction in the higher levels of the location trees and also...
restricts the resolution to the desired level. Selecting \( X \) equal to 0 allows the search to be carried out at full resolution.

If a procedure wishes to view only a single level in the tree as in the case of a raster pyramid with no father-son links, then we may employ the following alternative rule. A node at some resolution level may be considered BLACK if more than \( X \% \) of the area of the node satisfies the PPROP predicates specified, and WHITE if the area satisfying the predicates is between 0 and \( X \% \). Such a rule enables each layer to be viewed independently as a raster at the desired resolution.

The first step in the primitive object search procedure is the selection of an appropriate region growing strategy and an appropriate resolution level. The selection of strategy and resolution level is based on:

a) The desired number of examples.

b) The average size of the desired object in relation to the search window.

If the search strategy selected is SEED-EXPANSION then the constraint satisfaction procedure that calls the primitive object search procedure narrows the search window through the propagation of binary spatial relations (RPROPS) involving the primitive object and other sub-objects of the queried object that have already been searched for. In this way focus of attention is achieved in the calls to the primitive object search procedure, using RPROP constraint propagation. The first step in SEED-EXPANSION is a systematic search for an initial seed in the search window. This search is done using heuristic knowledge based on the size of the object, which is an indicator of the depth at which black nodes might be expected to occur. This heuristic is used to control the search for the seed, causing it to switch from a depth first search of the tree to a breadth first search at the selected depth. Once a seed has been found, it is grown using a SEED EXPANSION procedure, that finds the complete areal coverage of the region within the window. The procedure followed ensures that the maximal block representation of the region grown is returned. The procedure is iterated till the desired number of seeds have been grown.
Each node visited is tagged with a search tag, allowing the above procedure to systematically search for and grow seeds till the entire window, has been searched or the desired number of examples have been found.

If exhaustive search for the object is to be carried out then the CONNECTED COMPONENT LABELLING algorithm is applied to the search window. This is an application of the conventional blob coloring region growing algorithm using the quad tree data structure. The procedure is applied top down, marking BLACK nodes and merging connected components. The procedure descends to the next resolution level only when a GREY node is found and considers only the sons of the GREY node. In this way maximum use is made of the hierarchical tree structure of the location tree database. The procedure descends no further than the appropriate resolution level where all nodes are classified as either BLACK or WHITE. All connected regions within the search window are returned by the procedure.

11. LEARNING

The main purpose of implementing learning procedures in KBGIS-II is to reduce query search time. It can be accomplished in two ways: either by remembering the results of previous search or by learning the definition of an object more precisely so that the search space may be pruned rapidly. Hence learning may be classified as either rote learning or inductive learning.

11.1. Rote Learning

Rote learning allows the system to memorize the examples of an object for which it has already searched, so that when it is asked to search for the same object again, it retrieves the previous examples instead of searching again. It stores only predefined high level objects.

Known examples are stored in a separate database that consists of a discrimination net for each defined spatial object. This database constitutes a part of the spatial object knowledge base. The discrimination nets used to store examples are basically pointer based quad trees. Each node in a discrimination net corresponds to a quad-tree window of the data base. Examples are stored
at the minimum containing block i.e. the lowest node which completely contains the example. Each object is stored in a different discrimination net. The data base also has one other discrimination net called the OBJECT-TREE that is used to store the name of the objects indexed by location. If the name of an object X is stored in a node Y, it implies that one or more examples the object X exist in the location tree database within the quad-tree window corresponding to Y. This information is useful in answering queries of type (2).

A query for the locations of an object in a quad-tree window is answered by returning all examples stored in the sub-tree under the query node. If the low level search returns a new example of an object, it is added to the proper discrimination net and the OBJECT-TREE is also updated. Obviously, all found examples cannot be stored because the space requirement will increase monotonically. Hence, after finding a new example the system has to make a decision as to whether the example should be stored. The decision taken depends on various factors. If the complexity of an object is low, it can be searched for easily and therefore it is not stored in the object base. If an object is recursively defined in terms of other high level objects, a decision must be made as to whether the subobjects should be stored or the parent object. Again the decision taken depends on the cost of reconstructing the object from its subobjects. Besides the complexity, another criterion for storing the examples is the frequency with which they are sought.

There are two ways of storing the object, either exact locations or rectangle approximation. The rectangle approximation of an object can be represented by specifying its area, eccentricity, centroid and orientation.

11.2. Inductive Learning

Inductive learning is used to provide a new definition of an object from a given set of examples so that search for the object can proceed more efficiently.

To learn a new definition of an object either user can give input definitions or system itself can generate new definitions. Since it is not possible to include all possible PPROPS, RPROPS and GPROPS to give definitions, the user specifies the appropriate values and system generates
the definitions using those properties.

The inductive learning submodule of KBGIS-II is based on INDUCE[9]. INDUCE is a general purpose inductive learning program that takes a set of input rules and generates one or more output rules which are simpler, more general and consistent with the input rules. Given a set of input rules, it first finds a set of alternative consistent generalizations by locating the most promising clauses (which are common) and adding new clauses to each of them until a set of consistent generalizations of the event is obtained. After getting the cover, it extends the response of the functions and then selects the best generalization from this set and removes the rules for which this is a generalization. The criteria for selecting the best rules as well as the number of the output rules can be changed by varying parameters.

INDUCE has the facility of providing background knowledge and the user can add arithmetic and logic rules for generalization. Besides background rules INDUCE also has the capability of adding new functions, equivalence predicates and extremity predicates.

The language of INDUCE is different from that of KBGIS-II. Hence translators are used to convert an object definition from one language to other. First we discuss the KBGIS-II-INDUCE translator and then INDUCE-KBGIS-II translator.

11.2.1. KBGIS-II - INDUCE

This translator takes a set of rules in KBGIS-II language and converts it into INDUCE format. Besides the syntax transformations, it performs the following tasks:

a) The current implementation of INDUCE does not allow disjunctions, therefore if an input rule has a disjunction, it splits the rule into two rules, e.g.

\[(X_1 \lor X_2) \land Y \implies [d=1]\]

becomes

\[X_1 \implies [d=1]\]
\[X_2 \implies [d=1]\]
In KBGIS-II GPROPS and RPROPS do not have disjunctions but PPROPs can have a clause which has disjunction of two layers. Hence, for each disjunction it generates a new rule, i.e. for the following input rule

\[
\begin{align*}
\text{(TYPE } O_1\text{) LAKE} \\
\land ((\text{LAND } O_2) 21) \lor ((\text{GEOL } O_2) 22)) \\
\land ((\text{ELEV } O_2) (100 200)) \lor ((\text{SLOPE } O_2) (20 40)))
\end{align*}
\]

the output will be

\[
\begin{align*}
[\text{TYPE } (O_1) = \text{LAKE}] & [\text{LAND } (O_2) = 21] & [\text{ELEV } (O_2) = 100..200] & \Rightarrow [d=1] \\
[\text{TYPE } (O_1) = \text{LAKE}] & [\text{GEOL } (O_2) = 21] & [\text{ELEV } (O_2) = 100..200] & \Rightarrow [d=1] \\
[\text{TYPE } (O_1) = \text{LAKE}] & [\text{LAND } (O_2) = 21] & [\text{SLOPE } (O_2) = 100..200] & \Rightarrow [d=1] \\
[\text{TYPE } (O_1) = \text{LAKE}] & [\text{GEOL } (O_2) = 21] & [\text{SLOPE } (O_2) = 100..200] & \Rightarrow [d=1]
\end{align*}
\]

b) INDUCE cannot handle real numbers and also the range of values should not be very large (typically < 100) therefore values of GPROPS and RPROPS are properly normalized.

11.2.2. INDUCE-KBGIS

This translator takes a set of rules in INDUCE language and converts it into KBGIS language. In the current implementation the language of KBGIS is not fully compatible with the language of INDUCE, therefore if there is any input clause that cannot be converted into KBGIS language it is ignored.

If the system has learnt the definition of a new object, it is directly stored in the Spatial Object Knowledge Base. Otherwise, the system compares the new definition with the old one and if it is better the Spatial Object Knowledge Base is modified. In the current implementation due to language incompatibilities, sometimes the system may not be able to handle the INDUCE output. In such cases the user may interpret the output and update the Knowledge Base, using the Knowledge Base Editor.
12. SUMMARY AND CONCLUSIONS

KBGIS-II, as described in this essay, is currently implemented and running on a VAX-11/750 under the VMS operating system at the University of California, Santa Barbara. The system is programmed in Common Lisp, Pascal and C. We now briefly summarize the degree to which KBGIS-II meets the four requirements, laid out above, and the manner in which the four general principles, also listed above, are used to meet these requirements. It should be emphasized that the properties of the currently-implemented system are still under investigation and that there are plans to continue development of KBGIS-II. We therefore discuss both current research that is being performed using the current system and planned extensions to the system.

12.1. Requirements and Principles.

The system is currently capable of handling large, multilayered, heterogeneous, spatially-indexed databases. The software design entailed by the overall system requirements described below has of necessity made the current hardware (VAX 11-750) a limiting factor in the size of the databases that can be handled at interactive speeds. The transfer of the system to more appropriate hardware (such as a LISP machine) would resolve much of this problem. The system has the capability of responding to all the queries of types (1) and (2) that are expressible in our spatial object language (SOL). Although research is still in progress on the matter, the processing of the queries appears to be relatively efficient in the sense of reducing the average complexity of the search for spatial objects. The hardware deficiencies, however, do not permit the system to be truly interactive in the case of queries concerning complex spatial objects. KBGIS-II is flexible with respect to both domains of application and users.

Concerning the role of the four sets of principles in allowing the system to satisfy these four requirements, we make the following comments:

a) The development of the system suffered from a failure to adhere to the principled use of the techniques of software engineering, although it benefitted from the systematic application of techniques from database management (in the construction and storage of the location tree
database, where the spatial image data is segmented into retrievable areas that are paged in
on demand, see Klinger[12]); from the use of the theory of algorithms and complexity (in the
construction of spatial search procedures); from the use of AI techniques (in the structuring
of the knowledge base, in the design of the spatial search procedures and in the application
of the learning procedures); and from the application of computer graphics techniques (in
terms of the system output).

b) The integration of techniques from computer vision and image processing provide the sys-
tem with an ability to handle queries of a type not typically found in GIS, while allowing
the system to integrate both image and digital cartographic data.

c) The six principles discussed in the general section on search greatly reduce the computational
effort of the system in responding to queries, as compared with standard, exhaustive raster-
based search procedures.

d) Finally the availability of various editors allows the system to be easily tailored for use in
various spatial domains and for various users.

12.2. Investigation of System Performance

Investigations of the system's ability to handle various queries concerning a large geological
database are currently underway, and will be reported in future publications. The main research
effort involves an empirical analysis of the efficiency of the search procedures, and of the effects of
varying various parameters that affect the efficiency of search.

12.3. Extensions to the system

Planning is currently underway concerning modifications to KBGIS-II that will both
improve the efficiency of its current processing capabilities and extend its current capabilities.
The planned extensions include:

a) Adding computer cartographic capabilities and ordinary polygon processing functions that
are similar to those found in such currently available systems, such as ARC/INFO.
b) Adding "fuzzy" spatial object definitions and "fuzzy" reasoning.

c) Adding a database and specialized processing functions for remotely-sensed data and an interface between this database and KBGIS-II; adding procedures for map-guided image interpretation; and providing data structures and procedures that permit joint querying of both the digitized cartographic and image databases.

d) Providing the system with the capability of answering a class of queries that involve detection of change over time.

e) Providing procedures and control structures that permit the inductive learning procedures of the system to operate autonomously.

ACKNOWLEDGEMENTS

We would like to acknowledge Ted Albert of USGS for his continued support of the project and UCSB graduate students Kam Chow, Yuan Lui, Zhan Xi-Chang and Micha Pazner for their help in developing the earlier versions of the system.

References


Figure 1
The Architecture of KBGIS II

USER INTERFACE

EDITING | QUERY | LEARNING | TRACING

PROCEDURES FOR OBJECT RULE AND FUNCTION KNOWLEDGE BASE EDITING

PROCEDURES FOR SEARCHING
- HIGH-LEVEL CONSTRAINT SATISFACTION
- LOW-LEVEL LOCATION TREE SEARCH

PROCEDURES FOR LEARNING

SPATIAL OBJECT KNOWLEDGE BASE
RULE KNOWLEDGE BASE
FUNCTION KNOWLEDGE BASE
SPATIAL LOCATION DATA BASE
Figure 2 The Spatial Object Data Structure

<table>
<thead>
<tr>
<th>SLOT-NAME</th>
<th>CONTENTS</th>
</tr>
</thead>
<tbody>
<tr>
<td>FeatureType</td>
<td>Distinguishes between linear and region based features.</td>
</tr>
<tr>
<td>DefinedBy</td>
<td>The definition of the object, a disjunctive normal form expression in the spatial object language.</td>
</tr>
<tr>
<td>Defines</td>
<td>A list of hierarchically higher objects that are defined in terms of the object.</td>
</tr>
<tr>
<td>Heuristics</td>
<td>Other objects whose locations are contextually related to the locations of the object, together with the nature of the spatial relation involved.</td>
</tr>
<tr>
<td>Complexity</td>
<td>A measure of the complexity of search for new examples of the object.</td>
</tr>
<tr>
<td>Size</td>
<td>An approximation to the linear and areal dimension of the object.</td>
</tr>
<tr>
<td>Procedures</td>
<td>Pointers to low level algorithms that can operate directly on the image without recourse to the definition of the object.</td>
</tr>
<tr>
<td>SLOT-NAME</td>
<td>CONTENTS</td>
</tr>
<tr>
<td>------------</td>
<td>------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Propogation</td>
<td>Indicates, in the case of GPROPS and RPROPS, if the function can be inverted to propagate constraints during search.</td>
</tr>
<tr>
<td>Complexity</td>
<td>A measure of the computational complexity of the function, used in the calculation of the complexity of spatial objects defined using the corresponding GPROP or RPROP.</td>
</tr>
<tr>
<td>Symmetry</td>
<td>Information on the symmetric properties of binary (RPROP) functions, permitting arguments to be switched by the search control, depending on dynamic object prioritization.</td>
</tr>
<tr>
<td>Range</td>
<td>The nature of the values that the user may specify as desired when the corresponding GPROP or RPROP is used to define an object.</td>
</tr>
<tr>
<td>Subroutines</td>
<td>The names of subroutines called by the function, used in system management.</td>
</tr>
</tbody>
</table>
Figure 4
Pilot Land Data System

Jeffrey L. Star and John E. Estes
The Pilot Land Data System (PLDS), funded by NASA's Information Systems Office, is a multi-institution effort directed towards solving the data access and management needs of scientists studying the land surface and working with NASA (NASA 1984). Researchers at the University of California, Santa Barbara have been involved in PLDS since the first planning workshops several years ago, and have contributed in several areas (Estes et al 1985).

Workstations and PLDS

The purpose of one small effort, in conjunction with Mr. William Likens at NASA Ames Research Laboratory, has been to continue PLDS development activity in the area of scientific workstations. This was funded in part through NASA grant NAG2-352, "Workstations and the NASA Pilot Land Data System". Within PLDS, workstations are viewed as the user interface to the network, providing local processing capabilities as well as remote access to communications, processing power, and data.

The staff at NASA Ames Research Center has developed a Workstation Subsystem Databook (Likens et al, 1985). This volume reviews some of the hardware and software which is now available from the commercial sector. A portion of our efforts under this contract has been to help gather data for the databook, as well as insure the technical accuracy of the book.
We have also participated in technical meetings sponsored by Mr. Likens at the Jet Propulsion Laboratory. The meeting in Pasadena on 7 March 85 considered workstations to be used in the funded PLDS science projects, and we discussed methods to use the expertise developed throughout the working group to assist the successful completion of the science scenarios. In particular, we met with Dr. Alex Goetz to discuss his needs for manipulating spectrometer datasets, with Mr. Mike Martin to examine the overlap between his efforts on the Pilot Planetary Data System workstations and ours on the PLDS, and with Mr. Fred Billingsley to discuss the DAVID catalog interchange system.

Recent efforts on workstation developments have included coordination with Mr. Billingsley, and Mr. Paylor from JPL. We have helped guide them to a set of specifications for the workstations they will be purchasing, and demonstrated several workstations and software systems which we own and operate to better acquaint them with commercial options.

On 18 December 85, Mr. Likens came to UCSB for a final meeting. We demonstrated desktop image processing systems we have purchased or developed, and provided additional information for the databook.

PLDS Science Steering Group

On 16 Sept 85, and on 15,16 April 86, we participated in the PLDS Science Steering Group (SSG) meeting at Goddard Space Flight Center. At these meetings, we both reviewed progress to date towards meeting PLDS objectives in supporting the science scenarios, as well as formulated plans for demonstration projects.
In the near future. At the April PLDS SSG meeting Dr. Estes, in Dr. Rossow's absence, acted as chair of the SSG. A significant part of the work at these meetings was to consider alternatives in the face of significantly less funding than anticipated. This included prioritizing portions of the program, as well as examining alternatives that build on efforts funded elsewhere. The draft of Dr. Estes' comments are included below.

References

Estes et al 1985

Likens et al 1985

NASA 1984
Summary

John E. Estes and Jeffrey L. Star
SUMMARY

John E. Estes and Jeffrey L. Star

This document represents a final report of work conducted under grant NASA NAGW-455 during the period May 1, 1985 to April 1, 1986. This document includes material on research undertaken and some indication of directions we propose will go in the coming year of this fundamental and applied research effort.

The Information System Research Group research continues to focus on improving the type, quantity, and quality of information which can be derived from remotely sensed data. As we move into the coming year of our research, we will continue to focus on information science research issues. In particular, we will focus on the needs of the remote sensing research and application community which will be served by the Earth Observing System (EOS) and Space Station. Research conducted under this grant has been used to extend and expand existing remote sensing research activities at UCSB in the areas of georeferenced information systems, machine assisted information extraction from image data, artificial intelligence, and vegetation analysis and modeling.

The program of research, documented in this progress report, is being carried forward by personnel of the University of California, Santa Barbara. The report documents our accomplishments in what we consider to be a five to ten year effort to prepare to take full advantage of the system's capabilities of the platforms and systems associated with Space
SUMMARY

Station (e.g., EOS). Through this work, we have targeted fundamental research aimed at improving our basic understanding of the role of information systems technologies and artificial intelligence techniques in the integration, manipulation and analysis of remotely sensed data for global scale studies. This coordinated research program is possible at UCSB due to a unique combination of researchers with experience in all these areas.

Several of our projects have used this grant as a catalyst to aid other NASA offices in the research, in the integration of remotely sensed and other data into an information sciences framework. During this year we have received funds from NASA Code E/I to supplement ISKG activities. These funds were proposed in September, 1985 (and received in late March, 1986) to cover a range of tasks. In addition, we have received partial funding from the World Bank to aid in their image processing and information systems activities.

The research currently being performed under this grant is significant. The committees in which Grant personnel are involved are also important. As we move into the Space Station era, we must constantly be aware that sensor systems being proposed for the Space Station Complex are, by in large, information systems. For them to achieve their full interdisciplinary potential, a great deal of fundamental and applied research is needed. This grant is facilitating this research.
Appendices
APPENDIX 1

Methodologies of Mapping and Accuracy Determination for Regional Assessments of Natural Vegetation

(Please see January 1, 1986 Progress Report for complete report).
Large Area Vegetation Mapping

Methodologies of Mapping and Accuracy Determination
for Regional Assessments of Natural Vegetation

John E. Estes
Michael J. Cosentino

The multi-resolution capabilities of the proposed Earth Observing Satellite (EOS) require that a conceptual framework for large area mapping needs to be established in order to realize the information potential of such a system. Within such a conceptual framework, techniques, procedures, and methods need to be developed: 1) to rapidly map large portions of the earth's surface; and 2) to quantify map accuracy and confidence intervals. Towards this end, we have produced several large area vegetation maps derived from satellite imagery and verified their accuracy by comparing mapped classes at selected sample points with direct observations (of actual vegetation) from the ground and low altitude aircraft.

We tested the usefulness of Landsat data in constructing accurate vegetation maps by developing maps for two study sites, Mt. Washington, N.H., and the Superior National Forest, MN. The results of these studies are discussed in detail in Appendices III and IV. Multidate Landsat scenes were used to manually identify and map vegetation cover classes in order to determine the spatial extent of vegetation patterns in the forest. The methodology developed for producing the vegetation maps involved two basic steps: classification and mapping of the Landsat images, followed by subsequent accuracy verification. Two Landsat scenes (winter and summer) were acquired for each area.
APPENDIX 2

A Knowledge Based System for the Classification of Agricultural Lands

(Please see January 1, 1986 Progress Report for complete report).
A KNOWLEDGE-BASED SYSTEM
FOR THE
CLASSIFICATION OF AGRICULTURAL LANDS

by
Charlene T. Sailer and John E. Estes

One of the main interests of the Remote Sensing Information Sciences Research Group, University of California, Santa Barbara, has been the use of machine-assisted processing of satellite data. As discussed in previous progress reports, there are a number of areas in which the field of artificial intelligence may be applied to the analysis problem. The rest of this chapter outlines a development effort, in which we are attempting to develop an expert system to classify Landsat data for agricultural lands. Such an expert system could significantly reduce a human analyst's time and cost in this specific problem domain. We believe this kind of approach has generality, and will be particularly useful in the years ahead when systems such as EOS will be able to provide orders of magnitude more data for us to use.

The objective of this research is to demonstrate the feasibility of incorporating reasoning into the computer-assisted classification of digital images. The model being developed will be structured as a rule-based production system which will simulate interaction with a digital image processing package. The system will focus on the digital classification of agricultural
APPENDIX 3

Evaluation of Thematic Mapper Simulator Data for Commercialization and Time Dynamics

(Please see January 1, 1986 Progress Report for complete report).
Evaluation of Thematic Mapper Simulator Data for Commercialization and Time Dynamics

by
L.J. Mann, C.T. Sailer, and J.E. Estes

INTRODUCTION

This section of this report summarizes research evaluating Thematic Mapper Simulator (TMS) data for an improved understanding of the potential commercial agricultural applications. The thrust of the research was to examine processing techniques and improved information potential available from multispectral data acquired with high temporal frequency. Such work will be valuable in analyzing the overall high resolution imaging system which is planned as part of the Earth Observing System (EOS) sensor compliment (e.g. High Resolution Imaging Spectrometer (HRS)).

Our approach in this research was to examine the TMS data for two diverse and highly productive agricultural areas in southern and central California. Supervised and unsupervised classifications were performed and evaluated in an effort to monitor change through time of the agricultural crops in the study site. The information potential inherent in the temporal dimension of TMS data was addressed in this study to examine agricultural management issues which arise during farm operations. The commercialization aspect of the study was addressed by identifying the potential market for data of this type, the market's data frequency requirements, and anticipated product needs. Product need was examined both from a hardware and software standpoint, with emphasis placed on remote sensing data products.

TMS data used in this evaluation was simulated by a Daedalus 074 high-altitude multispectral scanner flown on a U-2 and ER-2 aircraft at an approximate altitude of 65,000 ft. above ground datum. The Daedalus system has an IFOV of 1.3 mr and a ground resolution of 28 m.
APPENDIX 4

Evaluation of Thematic Mapper Simulator Data for Commercial Application to Natural Vegetation of Southern California

(Please see January 1, 1986 Progress Report for complete report).
This report briefly summarizes an evaluation of Thematic Mapper Simulator (TMS) data for commercial application to natural vegetation of southern California. The approach was to examine the TMS data for several dates (7/2, 8/6, 9/17) over the same area and to determine whether phenological changes in natural vegetation could be detected. Species discrimination within the chaparral brush stands of southern California has typically been extremely difficult using 80m resolution MSS data. Stands of one type of green shrubs are very difficult to discriminate from another type of green shrubs using 80m resolution data. The increased spatial and spectral resolution of TMS data, coupled with knowledge of phenological cycles of natural vegetation, could provide new and valuable data concerning the spatial distribution of key vegetation species.

The temporal sequence of TMS data for this study was acquired during a period (July - September) when the flowering heads of the chamise plant (Adenostoma fasciculatum) began to dry and harden and turn a distinctive red/brown. Chamise is an important chaparral species with a broad range over all of California. Spectral discrimination of the spatial distribution of stands of chamise would be highly desirable for
APPENDIX 5

Geographic Information Systems for Scholars

(Please see January 1, 1986 Progress Report for complete report).
Geographic Information System for Scholars

Prof. John E. Estes
Dr. Jeffrey L. Star

The Research Libraries Group Inc. (RLG) convened a meeting in January, 1986, at the University of California, Santa Barbara, to discuss the problems of managing geographic information. RLG is a corporation of 35 major research universities, which is owned by the member universities. RLG has experience in the design and operation of information systems and networked communications, which has been directed at problems of access to research materials for scholars.

RLG has been active in the development and implementation of standard descriptive formats for information about a range of research materials, as well as systems for the retrieval of information. In addition, RLG has an outstanding record in obtaining extramural funds for designated development projects, largely from private not-for-profit institutions.

The meeting focused on the growing need within the research library community to provide scholarly access to geographic or spatially distributed data, including satellite digital data, photography, and map data in both digital and analog formats. Important collections of such material are found at many universities which are part of RLG, as well as federal and state organizations. Representatives from NASA (M. Devirian), NOAA (J.
APPENDIX 6
Committee Memberships
APPENDIX 6 -- Committee Memberships

NASA Pilot Land Data System
Science Steering Group: John E. Estes
Technology Working Group: Jeffrey L. Star

NASA Earth Observing System Data Panel
John E. Estes, Jeffrey L. Star

National Academy of Sciences
Committee on Data Management and Computation: John E. Estes

National Bureau of Standards
Initial Graphics Exchange Standard: Jeffrey L. Star

NASA Data Interchange Formats
Jeffrey L. Star

Geocarto International
International Editorial Board: John E. Estes

International Conference on Advanced Technology for Monitoring and Processing Global Information
1985 Workshop Leader and Session Chairman: Terence R. Smith

Research Libraries Group
Task Force on Geographic Information: Jeffrey L. Star

Final Report -- Year 3
APPENDIX 7

Presentations and Symposia


Terence R. Smith. Invited Lecturer, Jacob Marshak Interdisciplinary Colloquium on Mathematics in the Behavioural Sciences, UCLA.