This paper presents an analytical method to determine static and dynamic characteristics of annular parallel-grooved seals. The governing equations were derived by using the turbulent lubrication theory based on the law of fluid friction. Linear zero- and first-order perturbation equations of the governing equations were developed, and these equations were analytically investigated to obtain the reaction force of the seals. An analysis is presented that calculates the leakage flow rate, the torque loss, and the rotordynamic coefficients for parallel-grooved seals. To demonstrate this analysis, we show the effect of changing number of stages, land and groove width, and inlet swirl on stability of the boiler feed water pump seals. Generally, as the number of stages increased or the grooves became wider, the leakage flow rate and rotordynamic coefficients decreased and the torque loss increased.

INTRODUCTION

Annular pressure seals can significantly influence the dynamic behavior of rotating machinery by the presence of a high-pressure difference in the close clearance spaces of the leakage path.

Black and Jenssen (refs. 1 to 3) have explained the influence of seal forces on the rotodynamic behavior of pumps. Childs has analyzed the short seal (ref. 4) and has made finite-length analyses (ref. 5) based on Hirs' governing equation, which yields an analytical expression for the seal dynamic coefficients incorporating all of Black and Jenssen's various developments.

Although these results apply only for small seal motion about a centered position, Allaire, et al. (ref. 6), the authors (ref. 7) have expanded these analyses to calculate dynamic coefficients at large eccentricities.

Fleming (ref. 8) has developed an analysis for gas seals with a constant clearance or with convergently tapered geometries. Child (ref. 9) investigated dynamic coefficients for convergently tapered seals both analytically and experimentally.
Previous analytical and experimental developments have generally examined
dynamic characteristics of the annular straight seal and the tapered seal. But
the dynamic characteristics of the parallel-grooved seal have not been analyzed
theoretically. Bolleter (ref. 10) experimentally investigated stability limits
for balance pistons with two different types of serration. He showed that serr-
rations that are deep and wide prevent seizure.

In this paper the static and dynamic characteristics of the multistage
parallel-grooved seal operating within the turbulent flow region are analyzed
theoretically with consideration of the inertia effect. The present analysis
combines the previous analysis of the straight seal with the analysis of the
labyrinth seal performed by the authors. Namely, land analysis is used for the
straight seal and groove analysis is used for the labyrinth seal.

**SYMBOLS**

\[ C_{x0} \]  
nominal seal radial clearance, cm

\[ C_{xx}, C_{yy} \]  
seal damping coefficients, N s/m

\[ D \]  
journal diameter, cm

\[ F \]  
fluid force, N

\[ H \]  
seal radial clearance, cm

\[ K_{xx}, K_{yy} \]  
seal stiffness coefficients, N/m

\[ L \]  
seal length, cm

\[ L_z \]  
land width, cm

\[ l_g \]  
groove width, cm

\[ M_{xx}, M_{yy} \]  
seal add mass coefficients, N s^2/m

\[ M_G \]  
fluid mean depth, cm

\[ P \]  
fluid pressure, MPa

\[ Q \]  
rate of leakage, m^3/s

\[ R \]  
seal radius, cm

\[ R_a \]  
axial Reynolds number

\[ R_r \]  
circumferential Reynolds number

\[ S \]  
number of stages

\[ T \]  
groove depth, cm

\[ t \]  
time, s
Torque, N m

Tangential and axial fluid velocity components, m/s

Journal surface velocity, m/s

Circumferential coordinate

Radial coordinate

Axial coordinate

Small eccentricity ratio

Diverging angle of stream behind land section

Friction loss coefficient

Fluid viscosity, mPa s

Fluid kinematic viscosity, m²/s

Loss coefficient

Fluid density, kg/m³

Shear stress, Pa

Journal angular velocity, rad/s

Subscripts:

a     axial direction

c     radial direction

d     groove defined in equation (13)
ex     exit

f     between clearance flow and cavity flow
g     groove

in     inlet

j     journal

l     land

r     circumferential direction

s     casing
GOVERNING EQUATION AND ANALYSIS

Governing Equation

Figure 1 illustrates the geometry of the parallel-grooved seal. Under the usual assumptions for problems of throughflow across annul with a fine clearance, the momentum and continuity equations are, respectively, as follows:

\[
\rho \left( \frac{\partial \bar{u}}{\partial t} + \bar{u} \frac{\partial \bar{u}}{\partial x} + \omega \frac{\partial \bar{u}}{\partial z} \right) = -\frac{\partial P}{\partial x} + \mu \frac{\partial^2 \bar{u}}{\partial y^2} - \rho \frac{\partial \bar{u} \bar{v}^2}{\partial y} \quad (x\text{-direction})
\]

\[
\rho \left( \frac{\partial \bar{v}}{\partial t} + \bar{u} \frac{\partial \bar{v}}{\partial x} + \omega \frac{\partial \bar{v}}{\partial z} \right) = -\frac{\partial P}{\partial z} + \mu \frac{\partial^2 \bar{v}}{\partial y^2} - \rho \frac{\partial \bar{u} \bar{w}^2}{\partial y} \quad (z\text{-direction})
\]

\[
\frac{\partial \bar{u}}{\partial x} + \frac{\partial \bar{v}}{\partial y} + \frac{\partial \bar{w}}{\partial z} = 0
\]

The fluid velocities \( \bar{u} \) and \( \bar{w} \) are integrated across the film and are transformed into the mean velocities \( \bar{u}_m \) and \( \bar{w}_m \).

\[
\bar{u}_m = \frac{1}{H} \int_0^H \bar{u} \, dy \quad \bar{w}_m = \frac{1}{H} \int_0^H \bar{w} \, dy \quad \int_0^H \frac{\partial \bar{w}}{\partial z} \, dy = \frac{2}{\partial z} \int_0^H \bar{w} \, dy = \frac{3}{\partial t} (H\bar{w}_m)
\]

For a fully developed turbulent flow regime the velocity profile shape becomes flat, and the quantity is close to unity as shown in Burton's experiments (ref. 11).

\[
\Gamma_{rr} = \Gamma_{ar} = \Gamma_{ra} = \Gamma_{aa} = 1
\]

Equations (1) to (3) can be rewritten as
\[
\frac{\partial (H u_m)}{\partial t} + \frac{\partial (H u_m^2)}{\partial x} + \frac{\partial (H u_m w_m)}{\partial y} = -H \frac{\partial P}{\partial x} + \tau_{tr} \bigg|_0^H \tag{5}
\]
\[
\frac{\partial (H w_m)}{\partial t} + \frac{\partial (H u_m w_m)}{\partial x} + \frac{\partial (H w_m^2)}{\partial y} = -H \frac{\partial P}{\partial y} + \tau_{ta} \bigg|_0^H \tag{6}
\]
\[
\frac{\partial H}{\partial t} + \frac{\partial (H u_m)}{\partial x} + \frac{\partial (H w_m)}{\partial y} = 0 \tag{7}
\]

Assumptions for Analysis

The assumptions for this analysis are as follows:

1. The fluid is liquid and incompressible.
2. The fluid flows into the groove chamber with a constantly diverging angle (ref. 12). This is illustrated in figure 2.
3. For a small motion of the seal journal about a centered position, the streamline in the groove moves with the journal.
4. The groove cross section is rectangular.

**DERIVATION OF SHEAR STRESS IN MOMENTUM EQUATION**

The shear stress terms of equations (4) and (5) are discussed here.

Let the axial components \(\tau_{lsa}, \tau_{lja}\) of shear stress at the casing and journal are given by

\[
\tau_{lsa} = -\tau_{lja} = \tau_{la} = \frac{1}{2} \rho \lambda_{la} \omega_{lm}^2
\tag{8}
\]

where \(\lambda_{la}\) defines the friction coefficient between the flow and the wall surface:

\[
\lambda_{la} = 0.068 \left( \frac{u_{lm} H_i}{\nu} \right)^{0.25} \left( \frac{\gamma V}{I_{\delta} \omega_{lm}} \right)^2 t_e
\tag{9}
\]

The circumferential components \(\tau_{lsr}, \tau_{ljr}\) of shear stress at the casing and journal are given by

\[
\tau_{lsr} = \tau_{lra} \frac{u_{lm}}{\omega_{lm}} = \frac{1}{2} \rho \lambda_{la} \omega_{lm} \omega_{lm} u_{lm}
\]
\[
\tau_{lr} = \tau_{la} \frac{R_\omega - u_{lm}}{u_{lm}} = \frac{1}{2} \rho \lambda a \omega \frac{R_\omega - u_{lm}}{2}(R_\omega - u_{lm})
\]  

This equation is strictly applicable only for \( R_a >> R_f \). Therefore the shear stress of the land can be written as follows:

\[
\begin{align*}
\tau_{tr} \bigg|_0^H &= \tau_{lr} - \tau_{lsr} = -\rho \lambda a \omega \frac{u_{lm} - \frac{1}{2} R_\omega}{2} \\
\tau_{ta} \bigg|_0^H &= \tau_{ja} - \tau_{lsa} = -\frac{12 \mu a \omega_{lm}}{H}
\end{align*}
\]  

Where the effective viscosity \( \nu_a \) (ref. 13) is

\[
\nu_a = 0.01(7 - 38) R_a \lambda a \mu
\]

Groove. - The crossflow in the groove is considered to be the cavity flow plus the clearance flow (fig. 2). The exchange of energy within a small mixing area between both flows is influenced by the entrance velocity and the geometric shape of the groove. The clearance flow can be described by a stream tube if this mixing area is replaced by a separating layer. The cavity flow assumes that the momentum that is supplied from the journal is balanced by the sum of the momentum lost by fluid friction at the separating layer and the momentum due to cavity flow. If the cavity flow is replaced with flow through a circular pipe, the fluid mean depth of groove \( MG \) is given as

\[
MG = \frac{L_g \{T + (T - L_g \tan \theta)\}}{2(\frac{T}{L_g + T} + T - L_g \tan \theta + L_g / \cos \theta)}
\]  

The friction coefficient between the groove wall and the fluid is

\[
\lambda_d = 0.0781 \left( \frac{u_{dm} \cdot 4 MG}{\nu} \right)^{0.25}
\]  

Therefore the momentum that is supplied from the side and root of the groove is expressed, where

\[
\frac{1}{2} \rho \lambda_d \left\{ \left( \frac{R - T + 4 MG}{2} \right) u_{wm} \right\}^2 \times \left\{ T + (T - L_g \tan \theta) + L_g \right\}
\]  

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And the momentum that is lost from the fluid friction between the clearance flow and the cavity flow is

\[ \frac{1}{2}\rho \lambda_f (u_{dm} - u_{gm})^2 \cdot L_g / \cos \theta \]  \hspace{1cm} (15)

where \( \lambda_f \) defines the fluid friction loss coefficient between the cavity flow and clearance flow, and \( \lambda_f = 0.1 \) (ref. 14). Using equations (14) and (15), one can calculate the circumferential fluid velocity of the cavity flow \( U_{dm} \).

The axial component of shear stress is given by

\[ \tau_{gsa} = \frac{1}{2} \rho \lambda_g \frac{w^2}{g_m}, \quad \tau_{gfa} = \frac{1}{2} \rho \lambda_f (u_{gm} - u_{dm})^2 = \frac{1}{2} \rho \lambda_f (u_{gm} - 0.5u_{gm})^2 \]  \hspace{1cm} (16)

and the circumferential component by

\[ \tau_{gsr} = \tau_{gsa} \frac{u_{gm}}{w_{gm}} = \frac{1}{2} \rho \lambda_g \frac{w}{g_m} u_{gm} \]

\[ \tau_{gfr} = \tau_{gfa} \frac{u_{dm} - u_{gm}}{w_{gm}} = \frac{1}{2} \rho \lambda_f \frac{w}{g_m} (u_{dm} - u_{gm}) \]

\[ \lambda_g = 0.065 \left( \frac{w_{gm} H}{v} \right)^{0.25} \left[ 1 + \left( \frac{7u_{dm}}{16w_{gm}} \right)^2 \right]^{0.6} \]

Therefore the shear stress of the groove can be written as

\[ \tau_{tr} \bigg|_0^H = \tau_{gfr} - \tau_{gsr} = \frac{1}{2} \rho 0.25 \lambda_f \frac{w}{g_m} (u_{dm} - u_{gm}) - \frac{1}{2} \rho \lambda_g \frac{w}{g_m} u_{gm} \]

\[ \tau_{ta} \bigg|_0^H = \tau_{gfa} - \tau_{gsa} = -\frac{1}{2}\rho(\lambda_g + 0.25\lambda_f)\frac{w^2}{g_m} \]

**Derivation of Static Characteristics**

**Axial fluid velocity.** - The pressure loss is stated as follows: The inlet loss at the land entrance is

\[ \Delta P_{\text{lin}} = \frac{1}{2} \rho \xi_1 \frac{w^2}{l_0} \]  \hspace{1cm} (19)

where

\[ \xi_1 = 1.5 \quad \text{(at 1st stage)} \]
The wall friction loss in the land is

\[ \Delta P_L = 2 \frac{L_{lo}}{C_{lo}} \frac{1}{2} \rho \lambda a \omega_{lo}^2 \]  

(20)

The exit loss due to diverging flow behind the land is

\[ \Delta P_{lex} = \frac{1}{2} \rho \xi_2 \omega_{lo}^2 \]  

(21)

where \( \xi_2 \) is the exit loss coefficient

\[ \xi_2 = \left(1 - \frac{C_{lo}}{C_{lo} + L_g \tan \theta}\right)^2 \]  

(at each stage)

\[ \xi_2 = \left(1 - \frac{C_{lo}}{C_{lo} + T}\right)^2 \]  

(at seal exit)

The friction loss at the wall and the separating layer of the groove is

\[ \Delta P_g = \frac{1}{2} \rho \omega_{lo}^2 \left(\lambda a + 0.25 \lambda f \left(\frac{C_{lo}}{C_{lo} + L_g / 2 \cdot \tan \theta}\right)^2 \frac{L_g}{C_{lo} + L_g / 2 \cdot \tan \theta} \right) \]  

(22)

The pressure restoration due to deceleration at the groove is

\[ \Delta P_{gup} = 2 \frac{C_{lo}}{C_{lo} + L_g \tan \theta} \left(1 - \frac{C_{lo}}{C_{lo} + L_g \tan \theta}\right)^2 \frac{1}{2} \rho \omega_{lo}^2 \]  

(23)

The pressure drop across the seal is equal to the sum of each pressure loss and is stated as follows:
\[ P_{in} - P_{ex} = \Delta P^*_{in} + S(\Delta P_L^* + \Delta P_{lex}^* + \Delta P_g^* + \Delta P_{up}^* + \Delta P_{lin}^*) + \Delta P_L^* + \Delta P_{lex}^* \]

\[ = \frac{1}{2} \rho \xi_1 \omega_1^2 + S \left[ \frac{1}{2} \rho \omega_0^2 \frac{2L_1}{C_1^o} \lambda_1 a + \frac{1}{2} \rho \omega_0^2 \left( 1 - \frac{C_1^o}{C_1^o + L_g \tan \theta} \right)^2 \right] \]

\[ + \frac{1}{2} \rho \omega_0^2 \left( \lambda_g a + 0.25 \lambda_f \right) \left( \frac{C_1^o}{C_1^o + L_g / 2 \tan \theta} \right)^2 \]

\[ - \frac{1}{2} \rho \omega_0^2 \frac{2C_1^o}{C_1^o + L_g \tan \theta} \left( 1 - \frac{C_1^o}{C_1^o + L_g \tan \theta} \right) \]

\[ + \frac{1}{2} \rho \omega_0^2 \xi_1 \]

\[ + \frac{1}{2} \rho \omega_0^2 \frac{2L_1}{C_1^o} \lambda_1 a + \frac{1}{2} \rho \omega_0^2 \left( 1 - \frac{C_1^o}{C_1^o + L_g \tan \theta} \right)^2 \]  \hspace{1cm} (24)

**Rate of leakage.** - The main advantage of the grooved seal is that leakage flow can be minimized but seal components need never rub. Seal leakage flow may also be denoted by \( Q \), where

\[ Q = \int_R^{R+T} u_0 d\theta = \frac{\pi \omega_0}{2} C_1^o \left( 2R + C_1^o \right) \]  \hspace{1cm} (25)

Figure 3 shows the calculated results of leakage flow. As the number of stages increased or the land became narrower, the leakage flow decreased.

**Circumferential fluid velocity.** - From the momentum equation (5) for the land

\[ \frac{3u_1^o}{3z} + \frac{1}{l} u_1^o = \frac{1}{l} \frac{V}{2} \]  \hspace{1cm} (26)

where \( Z = C_1^o / \lambda_1 \) and \( V = R \omega \). The boundary condition is \( z = z_{n-1} / 2 \);

\[ u_1^o = u_1^o(z_{n-1} / 2) \]. The circumferential fluid velocity \( u_1^o \) at the land is

\[ u_1^o = \frac{V}{2} - \left( \frac{V}{2} - u_1^o(z_{n-1} / 2) \right) e^{-2z/l} \]  \hspace{1cm} (27)

From the momentum equation (5) for the groove

\[ \frac{3u_0^o}{3z} + \frac{u_0^o}{2C_0^o} (\lambda g a + 0.25 \lambda_f) = \frac{\lambda_f u^d m}{C_g^o} \]  \hspace{1cm} (28)
where \( u_{\text{dm}} \) can be calculated from equations (14) and (15) and the boundary condition is

\[
 z = n \quad u_{g0} = u_{g0}(z_{n})
\]

The circumferential fluid velocity \( u_{g0} \) at the groove is

\[
 u_{g0} = u_{z} - u_{g} C_{g0} \frac{A}{\tan \theta}
\]  

(29)

where

\[
 u_{g} = u_{l} - u_{g}(z_{n}) \quad A = \lambda_{a} + 0.25\lambda_{f} \quad u_{l} = \frac{f \mu_{\text{dm}}}{8A}
\]

Figure 4 shows the axial distribution of circumferential fluid velocity \( \Delta P = 0.49 \) MPa, \( N = 4000 \) rpm, \( L = 55 \) mm, and \( S = 20 \). The results indicate that the circumferential velocity approaches one-half of the shaft angular velocity exponentially.

**Torque loss.** - The method for estimating the torque loss in a grooved seal treats the loss as frictional dissipation by viscous shear in an annulus. From equation (10) the torque loss of the land is

\[
 \text{Torq}_{L} = \int_{0}^{2\pi} \int_{0}^{L} \tau \omega \times R \times Rd\theta ds
\]

\[
 = \pi R^{2} \lambda_{a} \omega \left( \frac{V_{R}}{L} \tau \left( \frac{1}{2} - u_{g0}(z_{n} - 1) \right) \right) \cdot \left( e^{-L_{R}/L} - 1 \right)
\]  

(30)

The torque loss of the groove assumes that the groove is divided into four parts as shown in figure 5. The shear stress of part 1 is

\[
 \tau_{g1} = \frac{1}{2} \rho \lambda_{d} u_{\text{dm}}^{2}
\]  

(31)

Therefore the torque loss of part 1 is given as follows:

\[
 \tau_{g1} = \int_{0}^{2\pi} \int_{R}^{R} \tau_{g1} \times r \times rd\phi dr = \frac{2\pi}{3} \left( R^{3} - (R-T)^{3} \right) \cdot \frac{1}{2} \rho \lambda_{d} u_{\text{dm}}^{2}
\]

Similarly

\[
 \tau_{g2} = \int_{0}^{2\pi} \int_{0}^{L} \tau_{g2} \times (R-T) \times (R-T) d\phi dz = \rho \pi (R-T)^{2} L_{g} \lambda_{d} u_{\text{dm}}^{2}
\]
Therefore the torque loss of the groove is

\[ \text{Torq}_{g} = T_{g1} + T_{g2} + T_{g3} + T_{g4} \quad (32) \]

And the total torque loss of the parallel-grooved seal is defined by the following equation:

\[ \Delta T = \sum_{i=1}^{S+1} \text{Torq}_{L} + \sum_{i=1}^{S} \text{Torq}_{g} \quad (33) \]

Figure 6 shows the torque loss of the parallel-grooved seal for \( \Delta P = 0.49 \) MPa and \( L = 55 \) mm without inlet swirl. As the number of stages increased or the seal clearance decreased, the torque loss increased.

Derivation of Pressure Distribution

For a small motion about a centered position the clearance, pressure, and velocity are expanded in the perturbation variables as follows:

\[ H = C_{o} + \varepsilon \psi \quad P = P_{o} + \varepsilon P_{1} \quad \omega_{m} = \omega_{o} + \varepsilon \omega_{1} \quad u_{m} = u_{o} + \varepsilon u_{1} \quad (34) \]

where \( C_{o}, P_{o}, \omega_{o}, u_{o} \) are steady-state values and \( \psi, P_{1}, \omega_{1}, u_{1} \) are small perturbations. The short-bearing solution (ref. 4) is developed for the first-order equation by neglecting \( u_{1} \), the pressure-induced circumferential velocity component.

Static pressure distribution. - The steady-state equation described is static, has zero eccentricity, and is solved analytically. Substitution of equation (6) into equations (11), (18), and (34) yields steady-state, axial-direction momentum equations for the land

\[ \frac{\partial P_{L0}}{\partial z} = \frac{-12 u_{L0} \omega_{L0}}{C_{L0}^{2}} \quad (35) \]

and for the groove
\[ \frac{\partial P_{li}}{\partial z} = -\frac{\rho C_{lo}^2 \omega_l^2 \lambda_{sa}}{2(C_{lo} \tan \theta)^2z} \]  

(36)

where \( \lambda_{sa} \) defines the equivalent friction coefficient of the groove.

\[ \lambda_{sa} = \lambda_{ga} + 0.25 \lambda_f \tan \theta \]

Pressure distribution of nonsteady state. - Substitution of the perturbation variables of equations (6) and (7) yields the following perturbation equation for the land:

\[ \frac{\partial P_{li}}{\partial z} = \frac{\psi}{C_{lo}} \frac{\partial P_{lo}}{\partial z} - \frac{12u \omega_{li}}{C_{lo}} \]

\[ -\rho \left( \frac{\partial \omega_{li}}{\partial t} + \frac{\omega_{li}}{C_{lo}} \frac{\partial \psi}{\partial z} + u_{lo} \frac{\partial \omega_{li}}{\partial x} + \frac{u_{lo} \omega_{li} \partial \psi}{\partial x} + 8u_{lo} \frac{\partial \omega_{li}}{\partial z} \right) \]

(37)

\[ \frac{\partial \omega_{li}}{\partial z} = -\frac{1}{C_{lo}} \left( \frac{\partial \psi}{\partial t} + u_{lo} \frac{\partial \psi}{\partial x} \right) \]

(38)

Equation (38) can be integrated by using the boundary condition \( z = z_{n-1/2}, \) \( w_{li} = w_{li}(z_{n-1/2}) \) as follows:

\[ \omega_{li} = \omega_{li}(z_{n-1/2}) - \frac{1}{C_{lo}} f_l(x,t)(z - z_{n-1/2}) - \frac{L}{C_{lo}} u_{ul} \frac{\partial \psi}{\partial x} \left( e^{-z/l} - 1 \right) \]

(39)

where

\[ f_l(x,t) = \frac{\partial \psi}{\partial t} + \frac{V}{2} \frac{\partial \psi}{\partial x} \quad \quad \quad \quad u_{ul} = \frac{V}{2} - u_{lo}(z_{n-1/2}) \]

Equation (19) yields the following perturbation-variable boundary condition at the seal inlet:

\[ P_{li}(z_{n-1/2}) = -\rho \xi w_{lo} \omega_{li}(z_{n-1/2}) \]

(40)

The perturbation-variable boundary condition at the seal exit is given as

\[ z = z_n; P_{li}(z_n) = 0 \]

(41)

The complete solution for the perturbation pressure is obtained as
\[
P_{L1} = \frac{12u}{\alpha l_0} \psi \frac{PRL1}{C_1} + \frac{\delta u}{C_1} f_1(x,t) \cdot PRL2
\]

\[
- \frac{12u \mu u L}{c_1} \psi \frac{PRL6}{c_1} + \frac{\rho}{2c_1} \left( \frac{\partial}{\partial t} + \frac{V}{2} \frac{\partial}{\partial x} \right) \frac{\partial \psi}{\partial x} PRL6 + \frac{\rho \mu u L}{c_1} \frac{\partial}{\partial x} f_1(x,t) PRL2
\]

\[
- \frac{\rho u^2 L^2}{c_1} \left( \frac{\partial}{\partial x} \psi \right) PRL8 + \frac{\rho \nu_0}{c_1} f_1(x,t) PRL1 + \frac{\rho \nu_0 u L}{c_1} \cdot \frac{\partial \psi}{\partial x} PRL2
\]

(42)

where PRL1 to PRL8 are provided in appendix A.

Similarly for the groove the axial-momentum equation and the continuity equation reduce to

\[
\frac{\partial P}{\partial z} = - \psi \frac{\partial P}{\partial z} - \frac{\rho}{C_g} (\lambda a + \delta x) \frac{\partial}{\partial x} \frac{\partial \psi}{\partial x} + g_1
\]

\[
\frac{\partial}{\partial x} \left( \frac{\partial \psi}{\partial x} + \frac{\partial g_1}{\partial t} + \lambda g_1 + \lambda g_1 \frac{\partial g_1}{\partial x} + \lambda g_1 \frac{\partial g_1}{\partial t} \right)
\]

(43)

\[
\frac{\partial g_1}{\partial x} + \frac{\partial g_1}{\partial z} + \frac{\partial g_1}{\partial t} = 0
\]

(44)

Substitution of equation (29) and the boundary condition \( z = z_n, w_{g1} = w_{g1}(z_n) \) into equation (44) yields

\[
\omega g_1 = \omega g_1(z_n) \frac{C_1}{C_0} - f_1(x,t) \frac{z - z_n}{C_0} - \frac{\mu u_0}{C_0} \left( \frac{g_{a + 1}}{C_0} - \frac{g_{a + 1}}{C_0} \right) \frac{\partial \psi}{\partial x} + \frac{\psi g_1(z - z_n) \tan \theta}{C_0}
\]

(45)

where

\[
f_1(x,t) = \frac{\partial \psi}{\partial t} + u \frac{\partial \psi}{\partial x} \quad \alpha = A / \tan \theta
\]

The pressure boundary condition is

\[
z = z_n \quad P_{g1} = P_{g1}(z_n)
\]

(46)

The perturbation-variable boundary condition is obtained as
The complete solution for the perturbation pressure is obtained as

\[
P_{g1}(z_n) = -\rho \xi^2 \omega_0 \omega g1(z_n)
\]

(47)

The complete solution for the perturbation pressure is obtained as

\[
P_{g1} = \frac{\rho C_0 \omega_0^1 \lambda \theta a}{\theta \tan \theta} \cdot PRG1 + \frac{\rho C_0 \omega_0^1 \lambda \theta a}{A + \tan \theta} \cdot f_g(x,t) \cdot PRG14 + \frac{\rho C_0 \omega_0^1 \lambda \theta a}{A + \tan \theta} \cdot \frac{\partial \psi}{\partial x} \cdot PRG6
\]

\[
- \frac{\rho u \omega_0 \tan \theta \lambda a}{A + \tan \theta} \cdot \frac{\partial \psi}{\partial x} \cdot PRG18 - \frac{\rho C_0 \omega_0^1 \lambda \theta a}{A + \tan \theta} \cdot f_g(x,t) \cdot PRG16 + \frac{\rho u \omega_0 \lambda a}{A + \tan \theta} \cdot \frac{\partial \psi}{\partial x} \cdot PRG21
\]

\[
+ \rho \left[ \frac{\partial}{\partial t} + u \frac{\partial}{\partial x} \right] f_g(x,t) \cdot PRG18 - \frac{\rho C_0 \omega_0^1 \lambda \theta a}{A + \tan \theta} \cdot \frac{\partial \psi}{\partial x} \cdot PRG19
\]

\[
- \frac{\rho C_0 \omega_0^1 \lambda \theta a}{A + \tan \theta} \cdot PRG13 - \frac{\rho C_0 \omega_0^1 \lambda \theta a}{A + \tan \theta} \cdot f_g(x,t) \cdot PRG120 - \frac{\rho C_0 \omega_0^1 \lambda \theta a}{A + \tan \theta} \cdot f_g(x,t) \cdot PRG15
\]

(48)

where PRG1 to PRG2 are provided in appendix B.

Dynamic Force

For a small motion about a centered position the clearance function is defined in terms of the radial seal displacement (ΔX, ΔY).

\[
\psi = - \Delta X \cos \phi - \Delta Y \sin \phi
\]

(49)

The components of the reaction force acting on the seal journal are defined by the integrals

\[
F_X = \left\{ \sum_{n=1}^{S+1} \int_{z_{n-1/2}}^{z_n} \int_{z_{n+1/2}}^{z_{n+1}} \epsilon_P g_1 + \int_{z_{S+1/2}}^{z_{S+1}} \epsilon_P g_1 \int_0^{2\pi} \epsilon_P r \sin R d\phi ds \right\}
\]

(50)

\[
F_Y = \left\{ \sum_{n=1}^{S+1} \int_{z_{n-1/2}}^{z_n} \int_{z_{n+1/2}}^{z_{n+1}} \epsilon_P g_1 + \int_{z_{S+1/2}}^{z_{S+1}} \epsilon_P g_1 \int_0^{2\pi} \epsilon_P r \cos R d\phi ds \right\}
\]

Substituting for \( \psi \) and its derivatives into equations (42) and (48) yields the following form for the seal coefficients:

\[
- \left[ \begin{array}{c} F_X \\ F_Y \end{array} \right] = \left[ \begin{array}{cc} K_{XX} & K_{XY} \\ K_{YX} & K_{YY} \end{array} \right] \left[ \begin{array}{c} X \\ Y \end{array} \right] + \left[ \begin{array}{cc} C_{XX} & C_{XY} \\ C_{YX} & C_{YY} \end{array} \right] \left[ \begin{array}{c} \dot{X} \\ \dot{Y} \end{array} \right] + \left[ \begin{array}{cc} M_{XX} & N_{XY} \\ M_{YX} & N_{YY} \end{array} \right] \left[ \begin{array}{c} \ddot{X} \\ \ddot{Y} \end{array} \right]
\]

(51)
Because the seal coefficients of each stage are different, the following definitions are used. The dynamic coefficients of the parallel-grooved seal become

\[
\begin{align*}
K_{XX} &= \sum_{n=1}^{S+1} K_{XXn} + \sum_{n=1}^{S} K_{Xg} \\
K_{YY} &= \sum_{n=1}^{S+1} K_{YXn} + \sum_{n=1}^{S} K_{Yg} \\
C_{XX} &= \sum_{n=1}^{S+1} C_{XXn} + \sum_{n=1}^{S} C_{Xg} \\
C_{YY} &= \sum_{n=1}^{S+1} C_{YXn} + \sum_{n=1}^{S} C_{Yg} \\
M_{XX} &= \sum_{n=1}^{S+1} M_{XXn} + \sum_{n=1}^{S} M_{Xg} \\
M_{YY} &= \sum_{n=1}^{S+1} M_{YXn} + \sum_{n=1}^{S} M_{Yg} \\
M_{XY} &= \sum_{n=1}^{S+1} M_{YXn} + \sum_{n=1}^{S} M_{Yg} = 0
\end{align*}
\]

(52)

where

\[
\begin{align*}
K_{XX} &= K_{YY} & K_{YY} &= -K_{XX} & C_{XX} &= C_{YY} \\
C_{YY} &= -C_{XY} & M_{XX} &= M_{YY} & M_{XY} &= -M_{XY} = 0
\end{align*}
\]

The dynamic seal coefficients for the land are

\[
K_{XXl} = -\frac{\pi R}{c_{lo}} \left[ \frac{12 \mu \omega \omega_{lo}}{c_{lo}^2} IPRL1 - \frac{\rho V^2}{8R^4} IPRL2 \\
+ \frac{\rho u_1 l V}{2R^2} (IPRL4 - IPRL7) + \frac{\rho u_1 l^3}{R^4} IPRL8 \right] = K_{Yyl}
\]

\[
K_{YYl} = \frac{\pi}{c_{lo}} \left[ \frac{6 \mu a V}{c_{lo}^2} IPRL2 - \frac{12 \mu a u_1 l}{c_{lo}^2} IPRL4 \\
+ \frac{\rho \omega_{lo} l V}{2} IPRL1 + \rho \omega_{lo} u_1 l IPRL3 \right] = -K_{XYl}
\]

\[
C_{XXl} = -\frac{\pi R}{c_{lo}} \left[ \frac{6 \mu a}{c_{lo}^2} IPRL2 + \rho \omega_{lo} IPRL1 \right] = C_{YY}
\]
where IPRL1 to IPRL8 are provided in appendix C. The dynamic seal coefficients for the groove are

\[
K_{Xg} = \frac{\rho \pi R}{C_{lo}} \left[ \frac{C_e^2 \omega^2 \lambda \sigma_a}{\delta \tan \theta} \cdot IPRL1 + \frac{C_{lo} \omega^2 \tan \theta \sigma_a}{\delta} \cdot IPRL13 \\
+ \frac{u^2}{R^2} \cdot IPRL16 - \frac{u \mu \lambda}{(A + \tan \theta)R^2} \cdot IPRL17 \\
+ \frac{u \mu \lambda}{A R^2} \cdot IPRL20 + \frac{u^2}{(A - \tan \theta)R^2} \cdot IPRL21 \right] = K_{Yg}
\]

\[
K_{Yg} = \frac{\rho \pi C_e \omega}{C_{lo}} \left[ \frac{u \lambda \sigma_a}{A - \tan \theta} \cdot IPRL14 + \frac{u \mu \lambda \sigma_a}{A - \tan \theta} \cdot IPRL19 \\
- \frac{u \tan \theta}{C_{lo}} \cdot IPRL15 - \frac{u \mu \tan \theta}{C_{lo} (A + \tan \theta)} \cdot IPRL18 \\
- \frac{u \mu}{\tan \theta} \cdot IPRL21 + \frac{u \mu \lambda}{A + \tan \theta} \cdot IPRL22 \right] = -K_{XYg}
\]
\[
C_{xxg} = \rho \pi R C_{lo} \omega_{lo} \left[ -\lambda_{sa} IPRG14 + \frac{\tan \theta}{C_{lo}} IPRG15 + \frac{1}{\tan \theta} IPRG2 \right] = C_{yyg}
\]

\[
C_{yxx} = \rho \pi \left[ 2u_z IPRG16 - \frac{u_z}{A \tan \theta} IPRG17 + \frac{u_z}{A} IPRG20 \right] = -C_{xyg}
\]

\[
M_{xxg} = -\rho \pi R \cdot IPRG16 = M_{yyg}
\]

\[
M_{yxx} = M_{xyg} = 0
\]

where IPRG1 to IPRG21 are provided in appendix C.

**NUMERICAL EXAMPLE**

Seal coefficients were calculated for a pump seal with the characteristics shown in table I. The dynamic coefficients decreased with an increase in the number of stages (fig. 7); that is, the axial Reynolds number became small because the pressure loss increased with an increase in the number of stages. The cross-coupling terms were relatively sensitive to swirl at the seal entrance; as the inlet swirl was propagated right through, the mean circumferential fluid velocity approaches one-half the journal speed exponentially (ref. 15).

Figure 8 illustrates the influence of the ratio \( L_7 g \) of land width \( L_7 \) to groove width plus land width \( (L_7 + L_g) \) for \( N = 4000 \) rpm and \( L/D = 0.25 \). As the clearance ratio \( C_7/C_g \) became small, the dynamic coefficients became large with an increase in the ratio \( L_7 g \).

**CONCLUSIONS**

The static and dynamic characteristics of the annular parallel-grooved seal were theoretically investigated with consideration for the effect of the turbulent flow and the inertia term.

As the number of stages increases or the land becomes narrower, the dynamic coefficients and the leakage flow rate decrease. But torque loss increases rapidly.
APPENDIX A

\[ q_{l1} = \rho \omega_{l0} \left\{ 1 + 0.8245 \frac{H_2}{H_1} - (1 + 0.8245) \left( \frac{H_2}{H_1} \right)^2 \right\} + \frac{12u_a L_1}{c_{l0}} \]

\[ q_{l2} = \rho \omega_{l0} \left\{ 1 + 0.8245 \frac{H_2}{H_1} - (1 + 0.8245) \left( \frac{H_2}{H_1} \right)^2 \right\} \]

\[ m = \frac{1}{q_{l1}} \left( q_{l2} + \frac{12u_a}{c_{l0}} (z - z_{\frac{n}{2}}) \right) \]

\[ PRL1 = z - z_{\frac{n}{2}} - m L_l \]

\[ PRL2 = (z - z_{\frac{n}{2}})^2 - m \cdot L_l^2 \]

\[ PRL3 = e^{-z/l} - 1 - m \cdot (e^{-L_l/l} - 1) \]

\[ PRL4 = e^{-2z/l} - 1 - m \cdot (e^{-2L_l/l} - 1) \]

\[ PRL5 = (z - z_{\frac{n}{2}}) \cdot e^{-z/l} - m L_l \cdot e^{-L_l/l} \]

\[ PRL6 = PRL1 + L PRL3 \]

\[ PRL7 = L \cdot PRL3 + PRL5 \]

\[ PRL8 = PRL3 - \frac{1}{2} PRL4 \]
\[ q_{g1} = \rho \omega_{lo} \left\{ \left( 1 - \frac{C_{1o}}{C_1} \right)^2 - \frac{C_{1o} \lambda_{sa}}{2 \tan \theta} \left( \frac{1}{C_1} - \frac{1}{C_{1o}} \right) \right\} \]

\[ q_{g2} = \rho \omega_{lo} \left\{ \left( 1 - \frac{C_{1o}}{C_1} \right)^2 + \frac{\lambda_{sa}}{2 \tan \theta} \right\} \]

\[ m = \frac{1}{q_{g1}} \left( q_{g2} - \frac{\rho \omega_{lo} C_{1o} \lambda_{sa}}{2 \tan \theta} \right) \frac{1}{C_{go}} \]

\[ J_1 = \frac{1}{C_1} - \frac{1}{C_{1o}} \]

\[ J_2 = \frac{1}{C_1^2} - \frac{1}{C_{1o}^2} \]

\[ J_3 = \frac{1}{C_1^3} - \frac{1}{C_{1o}^3} \]

\[ J_4 = \ln \left( \frac{C_1}{C_{1o}} \right) \]

\[ J_5 = C_1^{-a-1} - C_{1o}^{-a+1} \]

\[ J_6 = C_1^{-a+2} - C_{1o}^{-a+2} \]

\[ J_7 = C_1^{-a+1} - C_{1o}^{-a+1} \]

\[ J_8 = C_1^{-a} - C_{1o}^{-a} \]

\[ J_9 = C_1^{-2a+1} - C_{1o}^{-2a+1} \]

\[ J_{10} = \frac{1}{q_{g1}} \rho \omega_{lo} \left\{ \left( 1 - \frac{C_{1o}}{C_1} \right)^2 \frac{\lambda_{sa}}{2 \tan \theta} \right\} \]

\[ J_{11} = \frac{1}{q_{g1}} \frac{\rho C_{1o} \omega_{lo} \lambda_{sa}}{2 \tan \theta} \]

\[ J_{12} = (J_{10} \cdot L_g + J_{11} \cdot J_1) \]

\[ J_{13} = C_1^{-a+3} - C_{1o}^{-a+3} \]

\[ J_{14} = C_1^{-2a+2} - C_{1o}^{-2a+2} \]
\[ \text{PRG}_1 = \frac{1}{C_{go}^3} - \frac{1}{C_{lo}^3} - m \cdot J3 \]
\[ \text{PRG}_2 = \frac{1}{C_{go}} - \frac{1}{C_{lo}} - m \cdot J1 \]
\[ \text{PRG}_3 = \frac{1}{C_{go}^2} - \frac{1}{C_{lo}^2} - m \cdot J2 \]
\[ \text{PRG}_4 = \ln \left( \frac{C_{go}}{C_{lo}} \right) - m \cdot J4 \]
\[ \text{PRG}_5 = z - z_n - m \cdot L \]
\[ \text{PRG}_6 = C_{go}^{-a-1} - C_{lo}^{-a-1} - m \cdot J5 \]
\[ \text{PRG}_7 = C_{go}^{-a+2} - C_{lo}^{-a+2} - m \cdot J6 \]
\[ \text{PRG}_8 = (z - z_n) C_{go}^{-a-1} - m \cdot L \cdot C_{lo}^{-a-1} \]
\[ \text{PRG}_9 = C_{go}^{-a+1} - C_{lo}^{-a+1} - m \cdot J7 \]
\[ \text{PRG}_{10} = C_{go}^{-a} - C_{lo}^{-a} - m \cdot J8 \]
\[ \text{PRG}_{11} = (z - z_n) C_{go}^{-a} - m \cdot L \cdot C_{lo}^{-a} \]
\[ \text{PRG}_{12} = C_{go}^{-2a+1} - C_{lo}^{-2a+1} - m \cdot J9 \]
\[ \text{PRG}_{13} = \frac{1}{\tan^2 \theta} \left( \frac{C_{lo}}{3} \text{PRG}_1 - \frac{1}{2} \text{PRG}_3 \right) \]
\[ \text{PRG}_{14} = \frac{1}{\tan^2 \theta} \left( - \text{PRG}_2 + \frac{C_{lo}}{3} \text{PRG}_3 \right) \]
\[ \text{PRG}_{15} = \frac{1}{\tan^2 \theta} \left( C_{lo} \text{PRG}_2 + \text{PRG}_4 \right) \]
\[ \text{PRG}_{16} = \frac{1}{\tan^2 \theta} \left( -C_{lo} \text{PRG}_4 + \tan \theta \cdot \text{PRG}_5 \right) \]
\[ \text{PRG}_{17} = \frac{1}{A - \tan \theta} \text{PRG}_9 + \frac{C_{lo}^{-a+1}}{\tan \theta} \text{PRG}_4 \]
\[ \text{PRG}_{18} = \text{PRG}_8 + \frac{1}{A} \text{PRG}_{10} \]
\[ PRG10 = - \frac{1}{A \tan \theta} \text{PRG3} + \frac{C_{lo}^{-a} + 1}{2 \tan \theta} \text{PRG9} \]

\[ PRG20 = PRG11 + \frac{1}{A \tan \theta} \text{PRG9} \]

\[ PRG21 = \frac{1}{2A \tan \theta} \text{PRG12} - \frac{C_{lo}^{-a} + 1}{A} \text{PRG10} \]

**APPENDIX C**

\[ I1 = \frac{1}{q_{ll}} \left( q_{l2} L_l + \frac{6 \mu L_l}{C_{lo}} \right) \]

\[ IPRL1 = L_l^{1/2} - L_l \cdot I1 \]

\[ IPRL2 = L_l^{1/3} - L_l^{1/2} \cdot I1 \]

\[ IPRL3 = -L_l e^{-L_l/L_l} - 1 - L_l \cdot (e^{-L_l/L_l} - 1) \cdot I1 \]

\[ IPRL4 = 2 \cdot IPRL3 + IPRL1 \]

\[ IPRL5 = -L_l e^{-L_l/L_l} - 1 - (e^{-L_l/L_l} - 1) \cdot I1 \]

\[ IPRL6 = -\frac{1}{2} (e^{-2L_l/L_l} - 1) - L_l \cdot (e^{-2L_l/L_l} - 1) \cdot I1 \]

\[ IPRL7 = 1 \cdot IPRL3 + IPRL5 \]

\[ IPRL8 = IPRL3 - \frac{1}{2} IPRL6 \]

\[ C_l = C_{lo} + L_g \tan \theta \]

\[ IPRG1 = -\frac{J2}{2 \tan \theta} - \frac{L_q}{C_{lo}} - J3 \cdot J12 \]

\[ IPRG2 = \frac{J4}{\tan \theta} - \frac{L_q}{C_{lo}} - J1 \cdot J12 \]

\[ IPRG3 = -\frac{J1}{\tan \theta} - \frac{L_q}{C_{lo}} - J2 \cdot J12 \]

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\[ IPRG_4 = \frac{C_1}{\tan \theta} J_4 - L g - J_4 \cdot J_12 \]
\[ IPRG_5 = -\frac{L^2}{2} - L g \cdot J_12 \]
\[ IPRG_6 = -\frac{J_6}{A} - L g^2 - \tan \theta \cdot \tan \theta - J_5 \cdot J_12 \]
\[ IPRG_7 = -\frac{J_6}{A-3\tan \theta} - L g^2 - \tan \theta - J_6 \cdot J_12 \]
\[ IPRG_8 = -\frac{L g^2}{A} C^{-a} - \frac{J_7}{A(A-\tan \theta)} - L g^2 \cdot J_12 \]
\[ IPRG_9 = -\frac{J_6}{A-2\tan \theta} -L g^2 - \tan \theta - J_6 \cdot J_12 \]
\[ IPRG_{10} = -\frac{J_7}{A-\tan \theta} - L g^2 - \tan \theta - J_6 \cdot J_12 \]
\[ IPRG_{11} = -\frac{L g^2}{A-\tan \theta} - \frac{J_6}{(A-\tan \theta)(A-2\tan \theta)} - L g^2 \cdot J_12 \]
\[ IPRG_{12} = -\frac{J_{14}}{2(A-\tan \theta)} - L g^2 - \tan \theta - J_9 \cdot J_12 \]
\[ IPRG_{13} = \frac{1}{\tan^2 \theta} \left( \frac{C_1}{3} IPRG_1 - \frac{1}{2} IPRG_3 \right) \]
\[ IPRG_{14} = \frac{1}{\tan^2 \theta} \left( -IPRG_2 + \frac{C_1}{2} IPRG_3 \right) \]
\[ IPRG_{15} = \frac{1}{\tan^2 \theta} (C_1 IPRG_2 + IPRG_4) \]
\[ IPRG_{16} = \frac{1}{\tan^2 \theta} \left( -C_1 \tan \theta \cdot IPRG_4 + \tan \theta \cdot IPRG_5 \right) \]
\[ IPRG_{17} = \frac{1}{A-\tan \theta} \cdot IPRG_9 + \frac{C_{-a+1}}{2\tan \theta} \cdot IPRG_4 \]
IPRG18 = IPRG8 + \frac{1}{A} IPRG10

IPRG19 = - \frac{1}{A + \tan \theta} IPRG8 + \frac{c_{l_0}^{a+1}}{2 \tan \theta} \cdot IPRG3

IPRG20 = \frac{1}{A - \tan \theta} IPRG9 + IPRG11

IPRG21 = \frac{1}{2A - \tan \theta} IPRG12 - \frac{c_{l_0}^{a+1}}{A} \cdot IPRG10

REFERENCES


<table>
<thead>
<tr>
<th>TABLE I. - NUMERICAL CALCULATION MODEL</th>
</tr>
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<tbody>
<tr>
<td>Working fluid ...................................................... Water</td>
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<td>Fluid temperature, K ............................................... 293.15</td>
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<td>Density, ρ, kg/m³ .................................................... 9.982x10²</td>
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<td>Viscosity, μ, mPa s .................................................. 1.009</td>
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<td>Kinematic viscosity, ν, m²/s ...................................... 1.006x10⁻⁶</td>
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<td>Journal radius, R, mm ............................................... 100.0</td>
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<td>Seal radial clearance, C₀, mm ..................................... 0.4</td>
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<td>Seal length, L, mm ................................................... 55 to 205</td>
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<td>Groove depth, T, mm ................................................ 3.0</td>
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<td>Divergent flow angle, θ, deg ....................................... 4.0</td>
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<td>Journal rotating frequency, N, rpm ................................ 2000 to 8000</td>
</tr>
<tr>
<td>Pressure difference, ΔP, MPa ...................................... 0.49 to 4.9</td>
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</tbody>
</table>
Figure 1. - Geometry of parallel-grooved seal.

Figure 2. - Streamlines and coordinate system for seal analysis.
Figure 3. - Leakage flow rate.

Figure 4. - Axial distribution of circumferential fluid velocity.
Figure 5. - Torque loss of groove.

Figure 6. - Torque loss.

- $S=5, C_o=0.04\, \text{cm}$, $L_t=0.5\, \text{cm}$
- $S=20, C_o=0.04\, \text{cm}$
- LAND SEAL, $C_o=0.04\, \text{cm}$
- LAND SEAL, $C_o=0.05\, \text{cm}$
- $L=5.5\, \text{cm}$
- $\Delta P=0.49\, \text{MPa}$
Figure 7. - Influence of number of stages.
Figure 8. - Influence of ratio of land width to groove width plus land width $L_{lg}$.