NASA PATENT ABSTRACTS BIBLIOGRAPHY

A CONTINUING BIBLIOGRAPHY

Section 2 • Indexes

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Indexes for the annotated references to NASA-owned inventions covered by U.S. patents and applications for patent that were announced in Scientific and Technical Aerospace Reports (STAR) between May 1969 and June 1987. This issue supersedes all previous index Sections.
This document is available from the National Technical Information Service (NTIS), Springfield, Virginia 22161, price code A21.
INTRODUCTION

Several thousand inventions result each year from the aeronautical and space research supported by the National Aeronautics and Space Administration. The inventions having important use in government programs or significant commercial potential are usually patented by NASA. These inventions cover practically all fields of technology and include many that have useful and valuable commercial application.

NASA inventions best serve the interests of the United States when their benefits are available to the public. In many instances, the granting of nonexclusive or exclusive licenses for the practice of these inventions may assist in the accomplishment of this objective. This bibliography is published as a service to companies, firms, and individuals seeking new, licensable products for the commercial market.

The NASA Patent Abstracts Bibliography (NASA PAB) is a semiannual NASA publication containing comprehensive abstracts and indexes of NASA-owned inventions covered by U.S. patents and applications for patent. The citations included in NASA PAB were originally published in NASA's Scientific and Technical Aerospace Reports (STAR) and cover STAR announcements made since May 1969.

For the convenience of the user, each issue of NASA PAB has a separately bound Abstract Section (Section 1) and Index Section (Section 2). Although each Abstract Section covers only the indicated six-month period, the Index Section is cumulative covering all NASA-owned inventions announced in STAR since 1969. Thus a complete set of NASA PAB would consist of the Abstract Sections of Issue 04 (January 1974) and Issue 12 (January 1978) and the Abstract Section for all subsequent issues and the Index Section for the most recent issue.

The 85 citations published in this issue of the Abstract Section cover the period January 1987 through June 1987. The Index Section references over 4600 citations covering the period May 1969 through June 1987.

ABSTRACT SECTION (SECTION 1)

This PAB issue incorporates the 1987 STAR category revisions which include 10 major subdivisions divided into 76 specific categories and one general category/division. (See Table of Contents for the scope note of each category under which are grouped appropriate NASA inventions.) This new scheme was devised in 1975 and revised in 1987 in lieu of the 34 category divisions which were utilized in PAB supplements (01) through (06) covering STAR abstracts from May 1969 through January 1974. Each entry in the Abstract Section consists of a STAR citation accompanied by an abstract and a key illustration taken from the patent or application for patent drawing. Entries are arranged in subject category in order of the ascending NASA Accession Number originally assigned to STAR to the invention. The range of NASA Accession Numbers within each issue is printed on the inside front cover.

Abstract Citation Data Elements: Each of the abstract citations has several data elements useful for identification and indexing purposes, as follows:

- NASA Accession Number
- NASA Case Number
- Inventor's Name
- Title of Invention
- U.S. Patent Application Serial Number
- U.S. Patent Number (for issued patents only)
- U.S. Patent Office Classification Number(s)
  (for issued patents only)

These data elements are identified in the Typical Citation and Abstract and in the indexes.
INDEX SECTION (SECTION 2)

The Index Section is divided into five indexes. These indexes are cross-indexed and are used to locate a single invention or groups of inventions.

Subject Index: Lists all inventions according to appropriate alphabetized technical term and indicates the related NASA Case Number, the Subject Category Number, and the Accession Number.

Inventor Index: Lists all inventions according to alphabetized names of inventors and indicates the related NASA Case Number, the Subject Category Number, and the Accession Number.

Source Index: Lists all inventions according to alphabetized source of invention (i.e., name of contractor or government installation where invention was made) and indicates the related NASA Case Number, the Subject Category Number, and the Accession Number.

Number Index: Lists inventions in order of ascending (1) NASA Case Number, (2) U.S. Patent Application Serial Number, (3) U.S. Patent Classification Number, and (4) U.S. Patent Number and indicates the related Subject Category Number and the Accession Number.

Accession Number Index: Lists all inventions in order of ascending Accession Number and indicates the related Subject Category Number, the NASA Case Number, the U.S. Patent Application Serial Number, the U.S. Patent Classification Number, and the U.S. Patent Number.

HOW TO USE THIS PUBLICATION TO IDENTIFY NASA INVENTIONS

To identify one or more NASA inventions within a specific technical field or subject, several techniques are possible with the flexibility incorporated into the NASA PAB.

(1) Using Subject Category: To identify all NASA inventions in any one of the subject categories in this issue of NASA PAB, select the desired Subject Category in the Abstract Section (Section 1) and find the inventions abstracted thereunder.

(2) Using Subject Index: To identify all NASA inventions listed under a desired technical subject index term, (A) turn to the cumulative Subject Index in the Index Section and find the invention(s) listed under the desired technical subject term. (B) Note the indicated Accession Number and the Subject Category Number. (C) Using the indicated Accession Number, turn to the inside front cover of the Index Section to determine which issue of the Abstract Section includes the Accession Number desired. (D) To find the abstract of the particular invention in the issue of the Abstract Section selected, (i) use the Subject Category Number to locate the Subject Category and (ii) use the Accession Number to locate the desired invention within the Subject Category listing.

(3) Using Patent Classification Index: To identify all inventions covered by issued NASA patents (does not include applications for patent) within a desired Patent Classification, (A) turn to the Patent Classification Number in the Number Index of Section 2 and find the associated invention(s), and (B) follow the instructions outlined in (2)(B), and (D) above.
A weightlessness simulator has a chamber and a suit in the chamber. O-rings and valves hermetically seal the chamber. A vacuum pump connected to the chamber establishes a pressure in the chamber less than atmospheric pressure. A water supply tank and water supply line supply a body of water to the chamber as a result of partial vacuum created in the chamber. In use, an astronaut enters the pressure suit through a port, which remains open to ambient atmosphere, thus supplying air to the astronaut during use. The pressure less than atmospheric pressure in the chamber is chosen so that the pressure differential from the inside to the outside of the suit corresponds to the pressure differential with the suit in outer space.
Subject Categories

(1969 – 1973)

01 Aerodynamics
Includes aerodynamics of bodies, combinations, internal flow in ducts and turbomachinery; wings, rotors, and control surfaces. For applications see: 02 Aircraft and 32 Space Vehicles. For related information see also: 12 Fluid Mechanics; and 33 Thermodynamics and Combustion.

02 Aircraft
Includes fixed-wing airplanes, helicopters, gliders, balloons, ornithopters, etc.; and specific types of complete aircraft (e.g., ground effect machines, STOL, and VTOL); flight tests; operating problems (e.g., sonic boom); safety and safety devices; economics; and stability and control. For basic research see: 01 Aerodynamics. For related information see also: 31 Space Vehicles; and 32 Structural Mechanics.

03 Auxiliary Systems
Includes fuel cells, energy conversion cells, and solar cells; auxiliary gas turbines; hydraulic, pneumatic and electrical systems; actuators; and inverters. For related information see also: 09 Electronic Equipment; 22 Nuclear Engineering; and 28 Propulsion Systems.

04 Biosciences
Includes aerospace medicine, exobiology, radiation effects on biological systems; physiological and psychological factors. For related information see also: 05 Biotechnology.

05 Biotechnology
Includes life support systems, human engineering; protective clothing and equipment; crew training and evaluation, and piloting. For related information see also: 04 Biosciences.

06 Chemistry
Includes chemical analysis and identification (e.g., spectroscopy). For applications see: 17 Materials, Metallic; 18 Materials, Nonmetallic; and 27 Propellants.

07 Communications
Includes communications equipment and techniques; noise; radio and communications blackout; modulation telemetry; tracking radar and optical observation; and wave propagation. For basic research see: 23 Physics, General; and 21 Navigation.

08 Computers
Includes computer operation and programming; and data processing. For applications, see specific categories. For related information see also: 19 Mathematics.

09 Electronic Equipment
Includes electronic test equipment and maintainability; component parts, e.g., electron tubes, tunnel diodes, transistors, integrated circuitry; microminiaturization. For basic research see: 10 Electronics. For related information see also: 07 Communications and 21 Navigation.

10 Electronics
Includes circuit theory; and feedback and control theory. For applications see: 09 Electronic Equipment. For related information see specific Physics categories.

11 Facilities, Research and Support
Includes airports; lunar and planetary bases including associated vehicles; ground support systems; related logistics; simulators; test facilities (e.g., rocket engine test stands, shock tubes, and wind tunnels); test ranges; and tracking stations.

12 Fluid Mechanics
Includes boundary-layer flow; compressible flow; gas dynamics; hydrodynamics; and turbulence. For related information see also: 01 Aerodynamics; and 33 Thermodynamics and Combustion.

13 Geophysics
Includes aeronomy; upper and lower atmosphere studies; oceanography; cartography; and geodesy. For related information see also: 20 Meteorology; 29 Space Radiation; and 30 Space Sciences.

14 Instrumentation and Photography
Includes design, installation, and testing of instrumentation systems; gyroscopes; measuring instruments and gages; recorders, transducers; aerial photography; and telescopes and cameras.

15 Machine Elements and Processes
Includes bearings, seals, pumps, and other mechanical equipment; lubrication, friction, and wear; manufacturing processes and quality control; reliability; drafting; and materials fabrication, handling, and inspection.

16 Masers
Includes applications of masers and lasers. For basic research see: 26 Physics, Solid-State.

17 Materials, Metallic
Includes cermets; corrosion; physical and mechanical properties of materials; metallurgy; and applications as structural materials. For basic research see: 06 Chemistry. For related information see also: 18 Materials, Nonmetallic; and 32 Structural Mechanics.

18 Materials, Nonmetallic
Includes corrosion; physical and mechanical properties of materials (e.g., plastics); and elastomers, hydraulic fluids, etc. For basic research see: 06 Chemistry. For related information see also: 17 Materials, Metallic; 27 Propellants; and 32 Structural Mechanics.
19 Mathematics
Includes calculation methods and theory; and numerical analysis. For applications see specific categories. For related information see also: 08 Computers.

20 Meteorology
Includes climatology; weather forecasting; and visibility studies. For related information see also: 13 Geophysics; and 30 Space Sciences.

21 Navigation
Includes guidance; autopilots; star and planet tracking; inertial platforms; and air traffic control. For related information see also: 07 Communications.

22 Nuclear Engineering
Includes nuclear reactors and nuclear heat sources used for propulsion and auxiliary power. For basic research see: 24 Physics, Atomic, Molecular, and Nuclear. For related information see also: 03 Auxiliary Systems; and 28 Propulsion Systems.

23 Physics, General
Includes acoustics, cryogenics, mechanics, and optics. For astrophysics see: 30 Space Sciences. For geophysics and related information see also: 13 Geophysics, 20 Meteorology, and 29 Space Radiation.

24 Physics, Atomic, Molecular, and Nuclear
Includes atomic, molecular and nuclear physics. For applications see: 22 Nuclear Engineering. For related information see also: 29 Space Radiation.

25 Physics, Plasma
Includes magnetohydrodynamics. For applications see: 28 Propulsion Systems.

26 Physics, Solid-State
Includes semiconductor theory; and superconductivity. For applications see: 16 Masers. For related information see also: 10 Electronics.

27 Propellants
Includes fuels; igniters; and oxidizers. For basic research see: 06 Chemistry; and 33 Thermodynamics and Combustion. For related information see also 28 Propulsion Systems.

28 Propulsion Systems
Includes air breathing, electric, liquid, solid, and magnetohydrodynamic propulsion. For nuclear propulsion see: 22 Nuclear Engineering. For basic research see: 23 Physics, General; and 33 Thermodynamics and Combustion. For applications see: 31 Space Vehicles. For related information see also: 27 Propellants.

29 Space Radiation
Includes cosmic radiation; solar flares; solar radiation; and Van Allen radiation belts. For related information see also: 13 Geophysics, and 24 Physics, Atomic, Molecular, and Nuclear.

30 Space Sciences
Includes astronomy and astrophysics; cosmology; lunar and planetary flight and exploration; and theoretical analysis of orbits and trajectories. For related information see also: 11 Facilities, Research and Support; and 31 Space Vehicles.

31 Space Vehicles
Includes launch vehicles; manned space capsules; clustered and multistage rockets; satellites; sounding rockets and probes; and operating problems. For basic research see: 30 Space Sciences. For related information see also: 28 Propulsion Systems; and 32 Structural Mechanics.

32 Structural Mechanics
Includes structural element design and weight analysis; fatigue; thermal stress; impact phenomena; vibration; flutter; inflatable structures; and structural tests. For related information see also: 17 Materials, Metallic; and 18 Materials, Nonmetallic.

33 Thermodynamics and Combustion
Includes ablation, cooling, heating, heat transfer, thermal balance, and other thermal effects; and combustion theory. For related information see also: 12 Fluid Mechanics; and 27 Propellants.

34 General
Includes information of a broad nature related to industrial applications and technology, and to basic research; defense aspects; information retrieval; management; law and related legal matters; and legislative hearings and documents.
### TABLE OF CONTENTS

**Subject Categories (1974- )**

#### AERONAUTICS

Includes aeronautics (general); aerodynamics; air transportation and safety; aircraft communications and navigation; aircraft design, testing and performance; aircraft instrumentation; aircraft propulsion and power; aircraft stability and control; and research and support facilities (air).

For related information see also Astronautics.

##### 01 AERONAUTICS (GENERAL)

#### 02 AERODYNAMICS

Includes aerodynamics of bodies, combinations, wings, rotors, and control surfaces; and internal flow in ducts and turbomachinery.

For related information see also 34 Fluid Mechanics and Heat Transfer.

#### 03 AIR TRANSPORTATION AND SAFETY

Includes passenger and cargo air transport operations; and aircraft accidents.

For related information see also 16 Space Transportation and 85 Urban Technology and Transportation.

#### 04 AIRCRAFT COMMUNICATIONS AND NAVIGATION

Includes digital and voice communication with aircraft; air navigation systems (satellite and ground based); and air traffic control.

For related information see also 17 Space Communications, Spacecraft Communications, Command and Tracking and 32 Communications and Radar.

#### 05 AIRCRAFT DESIGN, TESTING AND PERFORMANCE

Includes aircraft simulation technology.

For related information see also 18 Spacecraft Design, Testing and Performance and 39 Structural Mechanics. For land transportation vehicles see 85 Urban Technology and Transportation.

#### 06 AIRCRAFT INSTRUMENTATION

Includes cockpit and cabin display devices; and flight instruments.

For related information see also 19 Spacecraft Instrumentation and 35 Instrumentation and Photography.

#### 07 AIRCRAFT PROPULSION AND POWER

Includes prime propulsion systems and systems components, e.g., gas turbine engines and compressors; and onboard auxiliary power plants for aircraft.

For related information see also 20 Spacecraft Propulsion and Power, 28 Propellants and Fuels, and 44 Energy Production and Conversion.

#### 08 AIRCRAFT STABILITY AND CONTROL

Includes aircraft handling qualities; piloting; flight controls; and autopilots.

For related information see also 05 Aircraft Design, Testing and Performance.

#### 09 RESEARCH AND SUPPORT FACILITIES (AIR)

Includes airports, hangars and runways; aircraft repair and overhaul facilities; wind tunnels; shock tubes; and aircraft engine test stands.

For related information see also 14 Ground Support Systems and Facilities (Space).

#### ASTRONAUTICS

Includes astronautics (general); astrodynamics; ground support systems and facilities (space); launch vehicles and space vehicles; space transportation; space communications, spacecraft communications, command and tracking; spacecraft design, testing and performance; spacecraft instrumentation; and spacecraft propulsion and power.

For related information see also 04 Aeronautics.

##### 12 ASTRONAUTICS (GENERAL)

For extraterrestrial exploration see 91 Lunar and Planetary Exploration.

##### 13 ASTRODYNAMICS

Includes powered and free-flight trajectories; and orbital and launching dynamics.

##### 14 GROUND SUPPORT SYSTEMS AND FACILITIES (SPACE)

Includes launch complexes, research and production facilities; ground support equipment, e.g., mobile transporters; and simulators.

For related information see also 09 Research and Support Facilities (Air).

##### 15 LAUNCH VEHICLES AND SPACE VEHICLES

Includes boosters; operating problems of launch/space vehicle systems; and reusable vehicles.

For related information see also 20 Spacecraft Propulsion and Power.

##### 16 SPACE TRANSPORTATION

Includes passenger and cargo space transportation, e.g., shuttle operations; and space rescue techniques.

For related information see also 03 Air Transportation and Safety and 18 Spacecraft Design, Testing and Performance. For space suits see 54 Man/System Technology and Life Support.

##### 17 SPACE COMMUNICATIONS, SPACECRAFT COMMUNICATIONS, COMMAND AND TRACKING

Includes telemetry; space communications networks; astronavigation and guidance; and radio blackout.

For related information see also 04 Aircraft Communications and Navigation and 32 Communications and Radar.
18 SPACECRAFT DESIGN, TESTING AND PERFORMANCE
Includes satellites; space platforms; space stations; spacecraft systems and components such as thermal and environmental controls; and attitude controls.
For life support systems see 54 MAN/SYSTEM TECHNOLOGY AND LIFE SUPPORT. For related information see also 05 AIRCRAFT DESIGN, TESTING AND PERFORMANCE, 39 STRUCTURAL MECHANICS, and 16 SPACE TRANSPORTATION.

19 SPACECRAFT INSTRUMENTATION
For related information see also 06 AIRCRAFT INSTRUMENTATION and 35 INSTRUMENTATION AND PHOTOGRAPHY.

20 SPACECRAFT PROPULSION AND POWER
Includes main propulsion systems and components, e.g. rocket engines; and spacecraft auxiliary power sources.
For related information see also 07 AIRCRAFT PROPULSION AND POWER, 28 PROPELLANTS AND FUELS, 44 ENERGY PRODUCTION AND CONVERSION, and 15 LAUNCH VEHICLES AND SPACE VEHICLES.

CHEMISTRY AND MATERIALS
Includes chemistry and materials (general); composite materials; inorganic and physical chemistry; metallic materials; nonmetallic materials; propellants and fuels; and materials processing.

23 CHEMISTRY AND MATERIALS (GENERAL)

24 COMPOSITE MATERIALS
Includes physical, chemical, and mechanical properties of laminates and other composite materials.
For ceramic materials see 27 NONMETALLIC MATERIALS.

25 INORGANIC AND PHYSICAL CHEMISTRY
Includes chemical analysis, e.g., chromatography; combustion theory; electrochemistry; and photochemistry.
For related information see also 77 THERMODYNAMICS AND STATISTICAL PHYSICS.

26 METALLIC MATERIALS
Includes physical, chemical, and mechanical properties of metals, e.g., corrosion; and metallurgy.

27 NONMETALLIC MATERIALS
Includes physical, chemical, and mechanical properties of plastics, elastomers, lubricants, polymers, textiles, adhesives, and ceramic materials.
For composite materials see 24 COMPOSITE MATERIALS.

28 PROPELLANTS AND FUELS
Includes rocket propellants, igniters and oxidizers; their storage and handling procedures; and aircraft fuels.
For related information see also 07 AIRCRAFT PROPULSION AND POWER, 20 SPACECRAFT PROPULSION AND POWER, and 44 ENERGY PRODUCTION AND CONVERSION.

29 MATERIALS PROCESSING
Includes space-based development of products and processes for commercial application.
For biological materials see 55 SPACE BIOLOGY.

ENGINEERING
Includes engineering (general); communications and radar; electronics and electrical engineering; fluid mechanics and heat transfer; instrumentation and photography; lasers and masers; mechanical engineering; quality assurance and reliability; and structural mechanics.
For related information see also PHYSICS.

31 ENGINEERING (GENERAL)
Includes vacuum technology; control engineering; display engineering; cryogenics; and fire prevention.

32 COMMUNICATIONS AND RADAR
Includes radar; land and global communications; communications theory; and optical communications.
For related information see also 04 AIRCRAFT COMMUNICATIONS AND NAVIGATION and 17 SPACE COMMUNICATIONS, SPACECRAFT COMMUNICATIONS, COMMAND AND TRACKING. For search and rescue see 03 AIR TRANSPORTATION AND SAFETY, and 16 SPACE TRANSPORTATION.

33 ELECTRONICS AND ELECTRICAL ENGINEERING
Includes test equipment and maintainability; components, e.g., tunnel diodes and transistors; microminiaturization; and integrated circuitry.
For related information see also 60 COMPUTER OPERATIONS AND HARDWARE and 76 SOLID-STATE PHYSICS.

34 FLUID MECHANICS AND HEAT TRANSFER
Includes boundary layers; hydrodynamics; fluidics; mass transfer and ablation cooling.
For related information see also 02 AERODYNAMICS and 77 THERMODYNAMICS AND STATISTICAL PHYSICS.

35 INSTRUMENTATION AND PHOTOGRAPHY
Includes remote sensors; measuring instruments and gages; detectors; cameras and photographic supplies; and holography.
For aerial photography see 43 EARTH RESOURCES AND REMOTE SENSING. For related information see also 06 AIRCRAFT INSTRUMENTATION and 19 SPACECRAFT INSTRUMENTATION.

36 LASERS AND MASERS
Includes parametric amplifiers.
For related information see also 76 SOLID-STATE PHYSICS.

37 MECHANICAL ENGINEERING
Includes auxiliary systems (nonpower); machine elements and processes; and mechanical equipment.

38 QUALITY ASSURANCE AND RELIABILITY
Includes product sampling procedures and techniques; and quality control.

39 STRUCTURAL MECHANICS
Includes structural element design and weight analysis; fatigue; and thermal stress.
For applications see 05 AIRCRAFT DESIGN, TESTING AND PERFORMANCE and 18 SPACECRAFT DESIGN, TESTING AND PERFORMANCE.
GEOSCIENCES
Includes geosciences (general); earth resources and remote sensing; energy production and conversion; environmental pollution; geophysics; meteorology and climatology; and oceanography.
For related information see also Space Sciences.

42 GEOSCIENCES (GENERAL)

43 EARTH RESOURCES AND REMOTE SENSING
Includes remote sensing of earth resources by aircraft and spacecraft; photogrammetry; and aerial photography.
For instrumentation see 35 Instrumentation and Photography.

44 ENERGY PRODUCTION AND CONVERSION
Includes specific energy conversion systems, e.g., fuel cells; global sources of energy; geophysical conversion; and windpower.
For related information see also 07 Aircraft Propulsion and Power, 20 Spacecraft Propulsion and Power, and 28 Propellants and Fuels.

45 ENVIRONMENT POLLUTION
Includes atmospheric, noise, thermal, and water pollution.

46 GEOPHYSICS
Includes aeronomy; upper and lower atmosphere studies; ionospheric and magnetospheric physics; and geomagnetism.
For space radiation see 93 Space Radiation.

47 METEOROLOGY AND CLIMATOLOGY
Includes weather forecasting and modification.

48 OCEANOGRAPHY
Includes biological, dynamic, and physical oceanography; and marine resources.
For related information see also 43 Earth Resources and Remote Sensing.

LIFE SCIENCES
Includes life sciences (general); aerospace medicine; behavioral sciences; man/system technology and life support; and space biology.

51 LIFE SCIENCES (GENERAL)

52 AEROSPACE MEDICINE
Includes physiological factors; biological effects of radiation; and effects of weightlessness on man and animals.

53 BEHAVIORAL SCIENCES
Includes psychological factors; individual and group behavior; crew training and evaluation; and psychiatric research.

54 MAN/SYSTEM TECHNOLOGY AND LIFE SUPPORT
Includes human engineering; biotechnology; and space suits and protective clothing.
For related information see also 16 Space Transportation.

55 SPACE BIOLOGY
Includes exobiology; planetary biology; and extraterrestrial life.

MATHEMATICAL AND COMPUTER SCIENCES
Includes mathematical and computer sciences (general); computer operations and hardware; computer programming and software; computer systems; cybernetics; numerical analysis; statistics and probability; systems analysis; and theoretical mathematics.

59 MATHEMATICAL AND COMPUTER SCIENCES (GENERAL)

60 COMPUTER OPERATIONS AND HARDWARE
Includes hardware for computer graphics, firmware, and data processing.
For components see 33 Electronics and Electrical Engineering.

61 COMPUTER PROGRAMMING AND SOFTWARE
Includes computer programs, routines, algorithms, and specific applications, e.g., CAD/CAM.

62 COMPUTER SYSTEMS
Includes computer networks and special application computer systems.

63 CYBERNETICS
Includes feedback and control theory, artificial intelligence, robotics and expert systems.
For related information see also 54 Man/System Technology and Life Support.

64 NUMERICAL ANALYSIS
Includes iteration, difference equations, and numerical approximation.

65 STATISTICS AND PROBABILITY
Includes data sampling and smoothing; Monte Carlo method; and stochastic processes.

66 SYSTEMS ANALYSIS
Includes mathematical modeling; network analysis; and operations research.

67 THEORETICAL MATHEMATICS
Includes topology and number theory.

PHYSICS
Includes physics (general); acoustics; atomic and molecular physics; nuclear and high-energy physics; optics; plasma physics; solid-state physics; and thermodynamics and statistical physics.
For related information see also Engineering.

70 PHYSICS (GENERAL)
For precision time and time interval (PTTI) see 35 Instrumentation and Photography; for geophysics, astrophysics or solar physics see 46 Geophysics, 90 Astrophysics, or 92 Solar Physics.
71 ACOUSTICS
Includes sound generation, transmission, and attenuation.
For noise pollution see 45 Environment Pollution.

72 ATOMIC AND MOLECULAR PHYSICS
Includes atomic structure, electron properties, and molecular spectra.

73 NUCLEAR AND HIGH-ENERGY PHYSICS
Includes elementary and nuclear particles; and reactor theory.
For space radiation see 93 Space Radiation.

74 OPTICS
Includes light phenomena and optical devices.
For lasers see 36 Lasers and Masers.

75 PLASMA PHYSICS
Includes magnetohydrodynamics and plasma fusion.
For ionospheric plasmas see 46 Geophysics. For space plasmas see 90 Astrophysics.

76 SOLID-STATE PHYSICS
Includes superconductivity.
For related information see also 33 Electronics and Electrical Engineering and 36 Lasers and Masers.

77 THERMODYNAMICS AND STATISTICAL PHYSICS
Includes quantum mechanics; theoretical physics; and Bose and Fermi statistics.
For related information see also 25 Inorganic and Physical Chemistry and 34 Fluid Mechanics and Heat Transfer.

SOCIAL SCIENCES
Includes social sciences (general); administration and management; documentation and information science; economics and cost analysis; law, political science, and space policy; and urban technology and transportation.

80 SOCIAL SCIENCES (GENERAL)
Includes educational matters.

81 ADMINISTRATION AND MANAGEMENT
Includes management planning and research.

82 DOCUMENTATION AND INFORMATION SCIENCE
Includes information management; information storage and retrieval technology; technical writing; graphic arts; and micrography.
For computer documentation see 61 Computer Programming and Software.

83 ECONOMICS AND COST ANALYSIS
Includes cost effectiveness studies.

84 LAW, POLITICAL SCIENCE AND SPACE POLICY
Includes NASA appropriation hearings; aviation law; space law and policy; international law; international cooperation; and patent policy.

85 URBAN TECHNOLOGY AND TRANSPORTATION
Includes applications of space technology to urban problems; technology transfer; technology assessment; and surface and mass transportation.
For related information see 03 Air Transportation and Safety, 16 Space Transportation, and 44 Energy Production and Conversion.

SPACE SCIENCES
Includes space sciences (general); astronomy; astrophysics; lunar and planetary exploration; solar physics; and space radiation.
For related information see also Geosciences.

88 SPACE SCIENCES (GENERAL)

89 ASTRONOMY
Includes radio, gamma-ray, and infrared astronomy; and astrometry.

90 ASTROPHYSICS
Includes cosmology; celestial mechanics; space plasmas; and interstellar and interplanetary gases and dust.
For related information see also 75 Plasma Physics.

91 LUNAR AND PLANETARY EXPLORATION
Includes planetology; and manned and unmanned flights.
For spacecraft design or space stations see 18 Spacecraft Design, Testing and Performance.

92 SOLAR PHYSICS
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**Additional Information:**

- **Title:** Various patents and innovations related to aerospace, energy, and environmental technologies.
- **Authors:** Multiple authors listed, each associated with different projects or technologies.
- **Innovations:** Include variable-gain winged reentry vehicles, nuclear thermionic converters, solar powered aircraft, and more.
- **Patents:** Various patents are referenced, indicating the development and invention of new technologies.

**Note:** The table provides a concise representation of the document's content, focusing on key authors, titles, and technologies mentioned. This summary captures the essence of the document's contributions to the field of innovation and invention.
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Listings in this index are arranged numerically by NASA accession number. The category numbers indicate the category in Section 1 (Abstracts) in which the citation is located. The NASA accession number denotes the number by which the citation is identified within the subject category. A pound sign (#) indicates that the item is a NASA report. A question mark (?) indicates that the item is available on microfiche.
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The NASA Patent Counsel having cognizance of the invention is determined by the first three letters or prefix of the NASA Case Number assigned to the invention. The addresses of NASA Patent Counsels are listed alongside the NASA Case Number prefix letters in the following table.

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PATENT LICENSING REGULATIONS

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

14 CFR Part 1245

Licensing of NASA Inventions

AGENCY: National Aeronautics and Space Administration.

ACTION: Interim regulation with comments requested.

SUMMARY: The National Aeronautics and Space Administration (NASA) is revising its patent licensing regulations to conform with Pub. L. 96-517. This interim regulation provides policies and procedures applicable to the licensing of federally owned inventions in the custody of the National Aeronautics and Space Administration, and implements Pub. L. 96-517. The object of this subpart is to use the patent system to promote the utilization of inventions arising from NASA supported research and development.

EFFECTIVE DATE: July 1, 1981. Comments must be received in writing by December 2, 1981. Unless a notice is published in the Federal Register after the comment period indicating changes to be made, this interim regulation shall become a final regulation.


FOR FURTHER INFORMATION CONTACT: Mr. John G. Mannix, (202) 755-3954.

SUPPLEMENTARY INFORMATION:

PART 1245—PATENTS AND OTHER INTELLECTUAL PROPERTY RIGHTS

Subpart 2 of Part 1245 is revised to read as follows:

Subpart 2—Licensing of NASA Inventions

Sec.
1245.200 Scope of subpart.
1245.201 Policy and objective.
1245.202 Definitions.
1245.203 Authority to grant licenses.

Restrictions and Conditions

1245.204 All licenses granted under this subpart

Types of Licenses

1245.205 Nonexclusive licenses.
1245.206 Exclusive and partially exclusive licenses.

Procedures

1245.207 Application for a license.
1245.208 Processing applications.
1245.209 Notice to Attorney General.

1245.200 Scope of subpart.

This subpart prescribes the terms, conditions, and procedures upon which a NASA invention may be licensed. It does not affect licenses which were in effect prior to July 1, 1981; nor may exist at the time of the Government’s acquisition of title to the invention, including those resulting from the allocation of rights to inventions made under Government research and development contracts. (a) Are the result of an authorized exchange of rights in the settlement of patent disputes; or (b) Are otherwise authorized by law or treaty.

1245.201 Policy and objective.

It is the policy and objective of this subpart to use the patent system to promote the utilization of inventions arising from NASA supported research and development.

1245.202 Definitions.

(a) “Federally owned invention” means an invention, plant, or design which is covered by a patent, or patent application in the United States, or a patent, patent application, plant variety protection, or design patent, respectively, in a foreign country, title to which has been assigned to or otherwise vested in the United States Government.

(b) “Federal agency” means an executive department, military department, Government corporation, or independent establishment, except the Tennessee Valley Authority, which has custody of a Federally owned invention.

(c) “NASA Invention” means a Federally owned invention with respect to which NASA maintains custody and administration, in whole or in part, of the right, title, or interest in such invention on behalf of the United States Government.

(d) “Small business firm” means a small business concern as defined at section 2 of Pub. L. 85-536 (15 U.S.C. 632) and implementing regulations of the Administrator of the Small Business Administration. For the purpose of these regulations, the size standard for small business concerns involved in Government procurement contained in 15 CFR 121.3-8, and in subcontracting, contained in 13 CFR 121.3-12, will be used.

(e) Practical application” means to manufacture in the case of a composition or product, to practice, in the case of a process or method, or to operate in the case of a machine or system; and, in each case, under such conditions as to establish that the invention is being utilized and that its benefits are to the extent permitted by law or Government regulations available to the public on reasonable terms.

(f) “United States” means the United States of America, its territories and possessions, the District of Columbia, and the Commonwealth of Puerto Rico.

1245.203 Authority to grant licenses.

NASA inventions shall be made available for licensing as deemed appropriate in the public interest. NASA may grant nonexclusive, partially exclusive, or exclusive licenses thereto under this subpart on inventions in its custody.

Restrictions and Conditions

1245.204 All licenses granted under this subpart.

(a) Restrictions. (1) A license may be granted only if the applicant has supplied NASA with a satisfactory plan, for development or marketing of the invention, or both, and with information about the applicant’s capability to fulfill the plan.

(2) A license granting rights to use or sell under a NASA invention in the United States shall normally be granted only to a licensee who agrees that any products embodying the invention or produced through the use of the invention will be manufactured substantially in the United States.

(b) Conditions. Licenses shall contain such terms and conditions as NASA determines are appropriate for the protection of the interests of the Federal Government and the public and are not in conflict with law or this subpart. The following terms and conditions apply to any license:

(1) The duration of the license shall be for a period specified in the license agreement, unless sooner terminated in accordance with this subpart.

(2) The license may be granted for all or less than all fields of use of the invention or in specified geographical areas, or both.

(3) The license may extend to subsidiaries of the licensee or other parties if provided for in the license but shall be nonassignable without approval of NASA, except to the successor of that part of the licensee’s business to which the invention pertains.
(4) The license may provide the licensee the right to grant sublicenses under the license, subject to the approval of NASA. Each sublicense shall make reference to the license, including the rights retained by the Government, and a copy of such sublicense shall be furnished to NASA.

(5) The license shall require the licensee to carry out the plan for development or marketing of the invention, or both, to bring the invention to practical application within a period specified in the license, and to continue to make the benefits of the invention reasonably accessible to the public.

(6) The license shall require the licensee to report periodically on the utilization or efforts at obtaining utilization that are being made by the licensee, with particular reference to the plan submitted.

(7) All licenses shall normally require royalty or other consideration.

(8) Where an agreement is obtained pursuant to § 1245.204(a)(2) that any products embodying the invention or produced through use of the invention will be manufactured substantially in the United States, the license shall recite such agreement.

(9) The license shall provide for the right of NASA to terminate the license, in whole or in part, if:

(i) NASA determines that the licensee is not executing the plan submitted with its request for a license and the licensee cannot otherwise demonstrate to the satisfaction of NASA that it has taken or can be expected to take within a reasonable time effective steps to achieve practical application of the invention;

(ii) NASA determines that such action is necessary to meet requirements for public use specified by Federal regulations issued after the date of the license and such requirements are not reasonably satisfied by the licensee;

(iii) The licensee has willfully made a false statement of or willfully omitted a material fact in the license application or in any report required by the license agreement; or

(iv) The licensee commits a substantial breach of a covenant or agreement contained in the license.

(10) The license may be modified or terminated, consistent with this subpart, upon mutual agreement of NASA and the licensee.

(11) Nothing relating to the grant of a license, nor the grant itself, shall be construed to confer upon any person any immunity from or defenses under the antitrust laws or from a charge of patent misuse, and the acquisition and use of rights pursuant to this subpart shall not be immunized from the operation of state or Federal law by reason of the source of the grant.

Types of Licenses

§ 1245.205 Nonexclusive licenses.

(a) Availability of licenses. Nonexclusive licenses may be granted under NASA inventions without publication of availability or notice of a prospective license.

(b) Conditions. In addition to the provisions of § 1245.204, the nonexclusive license may also provide that, after termination of a period specified in the license agreement, NASA may restrict the license to the fields of use or geographic areas, or both, in which the licensee has brought the invention to practical application and continues to make the benefits of the invention reasonably accessible to the public. However, such restriction shall be made only in order to grant an exclusive or partially exclusive license in accordance with this subpart.

§ 1245.206 Exclusive and partially exclusive licenses.

(a) Domestic licenses.

(1) Availability of licenses. Exclusive or partially exclusive licenses may be granted on NASA inventions: (i) 3 months after notice of the invention's availability has been announced in the Federal Register; or (ii) without such notice where NASA determines that expeditious granting of such a license will best serve the interests of the Federal Government and the public; and (iii) in either situation, specified in (ii)(i)(i) or (ii) of this section only if:

(A) Notice of a prospective license, identifying the invention and the prospective licensee, has been published in the Federal Register, providing opportunity for filing written objections within a 60-day period;

(B) After expiration of the period in § 1245.206(a)(1)(iii)(A) and consideration of any written objections received during the period, NASA has determined that:

(I) The interests of the Federal Government and the public will best be served by the proposed license, in view of the applicant's intentions, plans, and ability to bring the invention to practical application or otherwise promote the invention's utilization by the public;

(II) The desired practical application has not been achieved, or is not likely expeditiously to be achieved, under any nonexclusive license which has been granted, or which may be granted, on the invention;

(2) Exclusive or partially exclusive licensing is a reasonable and necessary incentive to call forth the investment of risk capital and expenditures to bring the invention to practical application or otherwise promote the invention's utilization by the public; and

(3) The proposed terms and scope of exclusivity are not greater than reasonably necessary to provide the incentive for bringing the invention to practical application or otherwise promote the invention's utilization by the public;

(C) NASA has not determined that the grant of such license will tend substantially to lessen competition or result in undue concentration in any section of the country in any line of commerce to which the technology to be licensed relates, or to create or maintain other situations inconsistent with the antitrust laws; and

(D) NASA has given first preference to any small business firms submitting plans that are determined by the agency to be within the capabilities of the firms and as equally likely, if executed, to bring the invention to practical application as any plans submitted by applicants that are not small business firms.

(2) Conditions. In addition to the provisions of § 1245.204, the following terms and conditions apply to domestic exclusive and partially exclusive licenses:

(i) The license shall be subject to the irrevocable, royalty-free right of the Government of the United States to practice and have practiced the invention on behalf of the United States and on behalf of any foreign government or international organization pursuant to any existing or future treaty or agreement with the United States.

(ii) The license shall reserve to NASA the right to require the licensee to grant sublicenses to responsible applicants, on reasonable terms, when necessary to fulfill health or safety needs.

(iii) The license shall be subject to any licenses in force at the time of the grant of the exclusive or partially exclusive license.

(iv) The license may grant the licensee the right of enforcement of the licensed patent pursuant to the provisions of Chapter 29 of Title 35, United States Code, or other statutes, as determined appropriate in the public interest.

(b) Foreign licenses.

(1) Availability of licenses. Exclusive or partially exclusive licenses may be granted on a NASA invention covered by a foreign patent, patent application, or other form of protection, provided that:

(i) Notice of a prospective license,
identifying the invention and prospective licensee, has been published in the Federal Register, providing opportunity for filing written objections within a 60-day period and following consideration of such objections;

(ii) NASA has considered whether the interests of the Federal Government or United States industry in foreign commerce will be enhanced; and

(iii) NASA has not determined that the grant of such license will tend substantially to lessen competition or result in undue concentration in any section of the United States in any line of commerce to which the technology to be licensed relates, or to create or maintain other situations inconsistent with antitrust laws.

(2) Conditions. In addition to the provisions of § 1245.204, the following terms and conditions apply to foreign exclusive and partially exclusive licenses:

(i) The license shall be subject to the irrevocable, royalty-free right of the Government of the United States to practice and have practiced the invention on behalf of the United States and on behalf of any foreign government or international organization pursuant to any existing or future treaty or agreement with the United States.

(ii) The license shall be subject to any licenses in force at the time of the grant of the exclusive or partially exclusive license.

(iii) The license may grant the licensee the right to take any suitable and necessary actions to protect the licensed property, on behalf of the Federal Government.

(c) Record of determinations. NASA shall maintain a record of determinations to grant exclusive or partially exclusive licenses.

Procedures
§ 1245.207 Application for a license. An application for a license should be addressed to the Patent Counsel at the NASA installation having responsibility for the invention and shall normally include:

(a) Identification of the invention for which the license is desired, including the patent application serial number or patent number, title, and date, if known;

(b) Identification of the type of license for which the application is submitted;

(c) Name and address of the person, company, or organization applying for the license and the citizenship or place of incorporation of the applicant;

(d) Name, address, and telephone number of representative of applicant to whom correspondence should be sent;

(e) Nature and type of applicant’s business, identifying products or services which the applicant has successfully commercialized, and approximate number of applicant’s employees;

(f) Source of information concerning the availability of a license on the invention;

(g) A statement indicating whether applicant is a small business firm as defined in § 1245.202(c);

(h) A detailed description of applicant’s plan for development or marketing of the invention, or both, which should include:

(1) A statement of the time, nature and amount of anticipated investment of capital and other resources which applicant believes will be required to bring the invention to practical application;

(2) A statement as to applicant’s capability and intention to fulfill the plan, including information regarding manufacturing, marketing, financial, and technical resources;

(3) A statement of the fields of use for which applicant intends to practice the invention; and

(4) A statement of the geographic areas in which applicant intends to manufacture any products embodying the invention and geographic areas where applicant intends to use or sell the invention, or both;

(i) Identification of licenses previously granted to applicant under Federally owned inventions;

(j) A statement containing applicant’s best knowledge of the extent to which the invention is being practiced by private industry or Government, or both, or is otherwise available commercially; and

(k) Any other information which applicant believes will support a determination to grant the license to applicant.

§ 1245.208 Processing applications.

(a) Applications for licenses will be initially reviewed by the Patent Counsel of the NASA installation having responsibility for the invention. The Patent Counsel shall make a preliminary recommendation to the Director of Licensing, NASA Headquarters, whether to: (1) Grant the license as requested, (2) grant the license with modification after negotiation with the licensee, or (3) deny the license. The Director of Licensing shall review the preliminary recommendation of the Patent Counsel and make a final recommendation to the NASA Assistant General Counsel for Patent Matters. Such review and final recommendation may include, and be based on, any additional information obtained from applicant and other sources that the Patent Counsel and the Director of Licensing deem relevant to the license requested. The determination to grant or deny the license shall be made by the Assistant General Counsel for Patent Matters based on the final recommendation of the Director of Licensing.

(b) When notice of a prospective exclusive or partially exclusive license is published in the Federal Register in accordance with § 1245.206(a)(1)(iii)(A) or § 1245.206(b)(1)(i), any written objections received in response thereto will be considered by the Director of Licensing in making the final recommendation to the Assistant General Counsel for Patent Matters.

(c) If the requested license, including any negotiated modifications, is denied by the Assistant General Counsel for Patent Matters, the applicant may request reconsideration by filing a written request for reconsideration within 30 days after receiving notice of denial. This 30-day period may be extended for good cause.

(d) In addition to, or in lieu of requesting reconsideration, the applicant may also appeal the denial of the license in accordance with § 1245.211.

§ 1245.209 Notice to Attorney General.

A copy of the notice provided for in §§ 1245.206(a)(1)(iii)(A) and 1245.206(b)(1)(i) will be sent to the Attorney General.

§ 1245.210 Modification and termination of licenses.

Before modifying or terminating a license, other than by mutual agreement, NASA shall furnish the licensee and any sublicensee of record a written notice of intention to modify or terminate the license, and the licenses and any sublicensees shall be allowed 30 days after such notice to remedy any breach of the license or show cause why the license should not be modified or terminated.

§ 1245.211 Appeals.

(a) The following parties may appeal to the NASA Administrator or designee any decision or determination concerning the grant, denial, termination, modification, or interpretation, modification, or termination of a license:

(1) A person whose application for a license has been denied;

(2) A licensee whose license has been modified or terminated, in whole or in part;

(3) A person who timely filed a written objection in response to the notice required by §§ 1245.206(a)(1)(iii)(A) or
1245.206(b)(1)(i) and who can demonstrate to the satisfaction of NASA that such person may be damaged by the Agency action.

(b) Written notice of appeal must be filed within 30 days (or such other time as may be authorized for good cause shown) after receiving notice of the adverse decision or determination; including, an adverse decision following the request for reconsideration under § 1245.208(c). The notice of appeal, along with all supporting documentation should be addressed to the Administrator, National Aeronautics and Space Administration, Washington, DC 20546. Should the appeal raise a genuine dispute over material facts, fact-finding will be conducted by the NASA Inventions and Contributions Board. The person filing the appeal shall be afforded an opportunity to be heard and to offer evidence in support of the appeal. The Chairperson of the Inventions and Contributions Board shall prepare written findings of fact and transmit them to the Administrator or designee. The decision on the appeal shall be made by the NASA Administrator or designee. There is no further right of administrative appeal from the decision of the Administrator or designee.

§ 1245.212 Protection and administration of inventions.

NASA may take any suitable and necessary steps to protect and administer rights to NASA inventions, either directly or through contract.

§ 1245.213 Transfer of custody.

NASA having custody of certain Federally owned inventions may transfer custody and administration in whole or in part, to another Federal agency, of the right, title, or interest in any such invention.

§ 1245.214 Confidentiality of information.

Title 35, United States Code, section 209, provides that any plan submitted pursuant to § 1245.207(h) and any report required by § 1245.204(b)(6) may be treated by NASA as commercial and financial information obtained from a person and privileged and confidential and not subject to disclosure under section 552 of Title 5 of the United States Code.

James M. Beggs,
Administrator.
October 15, 1981.

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A subject index is provided for over 4600 patents and patent applications for the period May 1969 through June 1987. Additional indexes list personal authors, corporate authors, contract numbers, NASA case numbers, U.S. patent class numbers, U.S. patent numbers, and NASA accession numbers.