A HYBRID-STRESS FINITE ELEMENT APPROACH
FOR STRESS AND VIBRATION ANALYSIS
IN LINEAR ANISOTROPIC ELASTICITY

by

J. TINSLEY ODEN, GERALD W. FLY and L. MAHADEVAN

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Abstract

A hybrid-stress finite element method is developed for accurate stress and vibration analysis of problems in linear anisotropic elasticity.

A modified form of the Hellinger-Reissner principle is formulated for dynamic analysis and an algorithm for the determination of the anisotropic elastic and compliance constants from experimental data is developed. These schemes have been implemented in a finite element program for static and dynamic analysis of linear anisotropic two-dimensional elasticity problems.

Specific numerical examples are considered to verify the accuracy of the hybrid-stress approach and compare it with that of the standard displacement method, especially for highly anisotropic materials.

It is that the hybrid-stress approach gives significantly better results than the displacement method. Preliminary work on extensions of this method to three-dimensional elasticity is discussed, and the stress shape functions necessary for this extension are included.
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INTRODUCTION

Objectives

Stress-hybrid finite elements are developed for the study of equilibrium and vibration problems in two- and three-dimensional linear anisotropic elasticity.

It has been observed that standard displacement-type finite element methods may produce very poor approximations of stresses, displacements, natural frequencies and mode shapes for strongly anisotropic elastic bodies, such as structures composed of single metallic crystals. The possibility of resolving these deficiencies by using elements based on assumed stresses is explored in this study.

Further motivation of this work is a result of problems faced in the analysis of single crystal turbine blades subjected to very large centrifugal and fluid forces as in the fuel pump for the space shuttle main engine, where the high degree of anisotropy in the crystal leads to very poor results using standard displacement-type finite elements.

The static problem is solved using a form of the Hellinger-Reissner energy functional where the displacements and stresses may be independently interpolated. To accommodate anisotropic materials, an algorithm for computing the elasticity and compliance constants from experimental data is developed. For the analysis of vibration problems, a special Hellinger-Reissner-type variational principle, valid for dynamic problems in linear elasticity, is also developed. The eigen pairs are extracted using a standard subroutine package.

Some numerical examples in two-dimensional linear elasticity are chosen to verify the accuracy of the assumed-stress approach and compare the results obtained therein with those obtained using the standard displacement-type finite element method. Specifically, end loaded cantilever beams are chosen to simulate in a crude way turbine blades without unnecessary complexity in geometry or loading. Various degrees of anisotropy are assumed for the materials and the results obtained
are compared with analytic solutions whenever the latter are available.

It is observed that there is a significant difference in the results obtained using conventional and assumed-stress finite elements, especially as the degree of anisotropy increases and the orientation of the local axes changes with respect to the global axes. The hybrid-stress elements behave very consistently and give good approximations of the stresses, natural frequencies and mode shapes, independent of the degree of anisotropy and element orientation while displacement elements are found to be sensitive to changes in material properties and element orientation.

**Historical Comments**

The mathematical, and practical aspects of assumed-displacement finite elements have been the subject of extensive research for many years. As a result of the sound theoretical fundamentals, the existence of a good mathematical basis and the ease of element formulation has resulted in the widespread use of such formulations. Indeed, most general-purpose computer programs employ the classical displacement approach.

However, the displacement finite element model has some shortcomings which are evident in constrained media problems such as those involving incompressible materials and plate elements requiring only $C^0$ continuity interpolations (instead of the more difficult $C^1$ continuity interpolations that are based on thin plate theories). In these cases, "element locking" may occur as a result of very stiff solutions that arise as the constraint condition is approached. Reduced integration or selective-reduced integration can alleviate the problem partially, but an undesirable consequence may be the appearance of unwanted spurious energy modes.

An alternative approach is to use the hybrid-stress model initiated by Pian [1], who independently interpolated intra-element stresses and compatible boundary displacements, using a variational principle akin to the principle of minimum
complementary energy.

The ability to interpolate the stresses independently led to the solution of problems in fracture mechanics [2], thick, laminated composite materials [3], and constrained media (nearly incompressible materials) [4]. However, the versatility of independently interpolating stresses also leads to serious numerical difficulties.

A minimum number of stress parameters is required to ensure correct stiffness rank and care has to be taken to make sure that the stiffness is invariant under simple transformations of the coordinates, and to prevent the entry of spurious energy modes into the element stiffness. Work on a systematic approach to the selection of these stress parameters has been done by Spilker, Maskeri and Kania [5] (for complete stress polynomials) and recently by Rubinstein, Punch and Atluri [6] and Punch and Atluri [7,8] using group theoretical methods to minimize the number of stress parameters and still satisfy rank and invariance conditions, for both two- and three-dimensional isoparametric elements.

In addition, Pian and Chen [12] as well as Pian, Chen and Kang [10] have proposed new formulations for the hybrid-stress method, where the Hellinger-Reissner principle is used to generate elements in which equilibrium is not satisfied a-priori. Stress equilibrium is introduced in these methods by means of Lagrange multipliers. Another formulation, following the Hu-Washizu principle, is also suggested in [19].

Outline

Following a brief discussion of some variational principles, the variational formulation for dynamic problems in linear anisotropic elasticity, based on a Hellinger-Reissner-type principle, is developed.

Our third section, entitled "The Discrete Problem: Hybrid Stress Formulation", deals with the finite element discretization of the continuum problem, and the calculation of the element stiffness and mass matrices. A separate section is
devoted to the development of an algorithm for determining the elastic and compliance constants from experimental data in the form of Young's moduli and Poisson's ratios in different directions.

In a further section, some numerical examples are presented and the hybrid-stress results are compared with those obtained using the displacement model. Problems involving materials with varying degrees of anisotropy are also considered to compare the two models.

Conclusions and suggestions for further work, especially in three dimensions, are discussed in our final section.
THE CONTINUUM PROBLEM: VARIATIONAL FORMULATION

Introduction

In this section, following a brief description of some general variational principles, a modified form of the Hellinger-Reissner principle valid for dynamic problems in linear elasticity is developed.

The classical stress-hybrid models for finite element analysis [1] are derived from the principle of minimum complementary energy for which the functional to be varied is given by

\[ \pi_C = \int_V \frac{1}{2} \sigma^T S \sigma \, dv - \int_{S_u} T^T \mathbf{u} \, ds \]  \hspace{1cm} (2.1)

where \( \sigma \) is the stress tensor (here a vector of stress components) which satisfies the equilibrium equation \( D^T \sigma + f = 0 \), \( f \) are the body forces, \( S \) is the compliance matrix, \( u \) are the prescribed displacements on the boundary \( S_u \), and \( T \) is the surface traction vector, where \( D^T \) is the matrix divergence operator.

Alternatively, the equilibrium conditions may be regarded as a constraint and a term of the form \( \lambda^T (D^T \sigma + f) \) can be introduced into the functional with the Lagrangian multipliers \( \lambda \) being identified with the displacement field. Then,

\[ \pi_C = \int_V \frac{1}{2} \sigma^T S \sigma \, dv + \int_V \lambda^T (D^T \sigma + f) \, dv - \int_{S_u} T^T \mathbf{u} \, ds \]  \hspace{1cm} (2.2)

Integrating the second term by parts, we get

\[ \pi_{HR} = \int_V \frac{1}{2} \sigma^T S \sigma \, dv - \int_V \sigma^T (Du) \, dv - \int_{S_u} T^T (u - \mathbf{u}) \, ds \]  \hspace{1cm} (2.3)
where $D$ is the differential operator associated with the strain-displacement relations
($S \sigma = \epsilon = D u$). The functional is in fact the one from which the Hellinger-Reissner principle is developed.

Pian and Chen [12] have recently proposed that the Hu-Washizu principle may also be used in the derivation of hybrid-stress elements. The functional to be varied is

$$\pi_{HW} = \int_V \left[ \frac{1}{2} \epsilon^T C \epsilon - \sigma^T e + \sigma^T (Du) \right] dv - \int_S T^T (u - \bar{u}) ds \quad (2.4)$$

where $\epsilon$ is the strain tensor, and $C = S^{-1}$ is the elasticity matrix. Here, the constitutive relation for linear elasticity and equilibrium are not pre-supposed but fall out as the Euler-Lagrange equations for the functional $\pi_{HW}$.

The last two variational formulations, though involving more computation time, have an advantage over the classical formulation in that equilibrium is not necessarily satisfied throughout the domain but only at selected points (integration points) in a weighted sense. This leads to a stiffness matrix that is less sensitive to coordinate transformation.

**Variational Formulation for the Dynamic Problem**

The variational principle that is developed is a modified form of the Hellinger-Reissner functional and is given by

$$\pi_{MHR} = \int_{\tau_1}^{\tau_2} \int_V \frac{1}{2} \sigma^T S \sigma \ dv \ dt - \int_{\tau_1}^{\tau_2} \int_V \sigma^T Du \ dv \ dt + \int_{\tau_1}^{\tau_2} \int_S u^T T \ ds \ dt$$

$$- \int_{\tau_1}^{\tau_2} \int_V \frac{1}{2} \rho \dot{u}^T \dot{u} \ dv \ dt \quad (2.5)$$
for a time interval \([\tau_1, \tau_2]\), where \(T\) is the prescribed traction vector on the surface \(S_\sigma\), \(\rho\) is the mass density of the material, and \(u\) is the velocity vector. The last term represents the kinetic energy of the body.

Since we are primarily interested here in the eigenvalue problem, which is a quasi steady-state problem, the integration with time is of little consequence.

Upon taking the first variation of the functional with respect to \(\mathbf{\sigma}\) and \(u\), we get

\[
\delta\pi_{MHR} = \int_{\tau_1}^{\tau_2} \left[ \int_V \mathbf{S} \delta \mathbf{\sigma}^T \, dv - \int_V \mathbf{D} u \delta \mathbf{\sigma}^T \, dv - \int_V \mathbf{\sigma}^T \mathbf{D} (\delta u) \, dv \right. \\
\left. + \int_{S_\sigma} (\delta u)^T T \, ds - \int_V \rho \delta \mathbf{u} \dot{\mathbf{u}}^T \, dv \right] \, dt
\]  

Equating the first variation to zero, we obtain the following equations:

\[
\begin{align*}
\mathbf{S} \mathbf{\sigma} &= \mathbf{D} \mathbf{u} \quad \text{on } V \\
\mathbf{\sigma} \cdot \mathbf{n} &= T \quad \text{on } S_\sigma \\
\mathbf{D}^T \mathbf{\sigma} - \rho \mathbf{\dot{u}}^T &= 0 \quad \text{on } V
\end{align*}
\]  

These are recognized as the constitutive relations for the elastic material, the traction boundary conditions, and the linear momentum equation, without body forces, respectively.

For the static case, the kinetic energy term drops out and the functional reduces to

\[
\pi_{\text{st.}} = \int_V \frac{1}{2} \mathbf{\sigma}^T \mathbf{S} \mathbf{\sigma} \, dv - \int_V \mathbf{\sigma}^T \mathbf{D} u \, dv - \int_{S_\sigma} \mathbf{u}^T \mathbf{T} \, ds
\]  

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where it is understood that \( u = \bar{u} \) on \( S_U \), which is similar in form to the functional \( \pi_{HR} \) of (2.3).
THE DISCRETE PROBLEM:  
HYBRID STRESS FORMULATION

Introduction
In this section, we discuss the discrete hybrid-stress approximation to the continuous problem defined in the previous section. A detailed account of the calculations of the stiffness and mass matrix are given, along with derivations of the stress shape functions for both two- and three-dimensional finite elements.

Following this, an extended discussion of the algorithm for the determination of the elasticity and compliance constants from experimental data is presented.

The section ends with a note on the algorithm used to write a general finite element program using either displacement or hybrid-stress elements to solve static and dynamic problems in two-dimensional linear elasticity.

Discrete Formulation
The functional to be minimized in the continuum problem is given by

$$\pi_{\text{MHR}} = \int_{V} \frac{1}{2} \sigma^T S \sigma \, dv - \int_{V} \sigma^T (D \mathbf{u}) \, dv + \int_{S_{\sigma}} \mathbf{u}^T \mathbf{T} \, ds - \int_{V} \frac{1}{2} \rho \dot{\mathbf{u}}^T \dot{\mathbf{u}} \, dv$$

(3.1)

If the continuum is discretized into \( n \) elements then the discrete form of the continuous functional is given by

$$\pi_{\text{MHR}} = \sum_{i=1}^{n} \left( \int_{\Omega_{m}} \frac{1}{2} \sigma^T S \sigma \, dv - \int_{\Omega_{m}} \sigma^T (D \mathbf{u}) \, dv \right)$$

$$- \int_{\Omega_{m}} \frac{1}{2} \rho \dot{\mathbf{u}}^T \dot{\mathbf{u}} \, dv + \int_{\partial \Omega_{\sigma_{m}}} \mathbf{u}^T \mathbf{T} \, ds$$

(3.2)

where \( \Omega_{m} \) represents the volume of the elements, and \( \partial \Omega_{\sigma_{m}} \) represents the boundary over which the surface traction is prescribed.
As shown in the previous section, the above functional (for the static case) reduces to the conventional assumed-stress model when the stresses are forced to satisfy equilibrium (in the absence of body forces). This was noted by Pian \[13\] who also demonstrated its equivalence to the Hellinger-Reissner functional with the stresses satisfying equilibrium.

Thus, the stresses in each element $\Omega_m$ are interpolated in terms of stress parameters, $\beta$, in the form

$$\sigma = P \beta$$  \hspace{1cm} (3.3)

where $P = P(x,y,z)$ contains polynomial terms such that the homogeneous equilibrium equations

$$D^T \sigma = D^T (P \beta) = 0$$  \hspace{1cm} (3.4)

are satisfied exactly and identically throughout the domain $\Omega_m$.

The displacement field $u$ is interpolated using the standard shape functions that are used in isoparametric elements. Hence,

$$u = N(\xi, \eta, \zeta) q$$  \hspace{1cm} (3.5)

(3.5) where $N(\xi, \eta, \zeta)$ contains the element shape functions in terms of the local coordinates $\xi$, $\eta$, $\zeta$, and $q$ is the vector of the element nodal displacements, such that the global coordinates are expressed in terms of the master element coordinates as

$$x = \sum_i N_i (\xi, \eta, \zeta) x_i$$

$$y = \sum_i N_i (\xi, \eta, \zeta) y_i$$

$$z = \sum_i N_i (\xi, \eta, \zeta) z_i$$  \hspace{1cm} (3.6)

where $N_i$ are the appropriate shape functions and $(x_i, y_i, z_i)$ are the coordinates of
the $i^{th}$ node, the summation being over all the nodes in an element. Then, the strain-displacement relation gives rise to

$$\varepsilon = Du = D(N(\xi, \eta, \zeta) \mathbf{q}) = B(\xi, \eta, \zeta) \mathbf{q} = \frac{1}{|J|} \mathbf{B}^*(\xi, \eta, \zeta) \mathbf{q}$$  \hspace{1cm} (3.7)

where $\mathbf{B}(\xi, \eta, \zeta) = D(N(\xi, \eta, \zeta))$ and $|J|$ is the Jacobian of the transformation from local to global coordinates.

Substituting equations (3.5), (3.3) and (3.7) into equation (3.1), and after noting that $dv = |J| d\xi d\eta d\zeta$ and defining the following matrices:

$$H = \int_0^1 \int_0^1 \int_0^1 P^T S P |J| d\xi d\eta d\zeta$$  \hspace{1cm} (3.8)

$$G = \int_0^1 \int_0^1 \int_0^1 P^T \mathbf{B}^* d\xi d\eta d\zeta$$  \hspace{1cm} (3.9)

$$Q = \int_S N^T T ds$$  \hspace{1cm} (3.10)

and

$$M = \int_0^1 \int_0^1 \int_0^1 N^T N |J| d\xi d\eta d\zeta$$  \hspace{1cm} (3.11)

We obtain

$$\pi_{MHR} = \sum_{i=1}^n \left\{ \frac{1}{2} \mathbf{B}^T \mathbf{H} \mathbf{B} - \mathbf{B}^T \mathbf{G} \mathbf{q} + \mathbf{q}^T \mathbf{Q} \mathbf{q} - \frac{1}{2} \mathbf{q}^T \mathbf{M} \mathbf{q} \right\}$$  \hspace{1cm} (3.12)

**Calculation of Element Stiffness and Mass Matrices**

As the stresses are independent from element to element, the vector of stress parameters $\mathbf{\beta}$ may be eliminated at the element level by taking the first
variation of the functional in (3.12) and equating it to zero, thus solving for $\beta$ in that element. Taking the first variation with respect to $\beta$, we get

$$\delta \pi_{\text{MHR}} = \sum_{i=1}^{n} \{ H \beta \delta \beta^T - Gq \delta \beta^T \} = 0 \quad (3.13)$$

Since the $\beta$'s in every element are arbitrary,

$$(H \beta - Gq) \delta \beta^T = 0$$

and $\beta = H^{-1} Gq$. \hfill (3.14)

Substituting back into equation (3.12), we get

$$\pi_{\text{MHR}} = \sum_{i=1}^{n} \{ -\frac{1}{2} q^T G^T H^{-1} H H^{-1} Gq + q^T G^T H^{-1} Gq + q^T Q - \frac{1}{2} \dot{q}^T M \ddot{q} \} \quad (3.15)$$

$$= \sum_{i=1}^{n} \{ -\frac{1}{2} q^T G^T H^{-1} Gq + q^T Q - \frac{1}{2} \dot{q}^T M \ddot{q} \} \quad (3.16)$$

Equating the first variation of the above functional to zero, we obtain

$$(-G^T H^{-1} Gq + Q - M \ddot{q}) \delta q^T = 0 \quad (3.17)$$

Thus $M \ddot{q} + Kq = Q$ \hfill (3.18)

so that

$$K = G^T H^{-1} G \quad (3.19)$$

is the element stiffness matrix, and $M$ as defined in (3.11) is the element mass matrix.
Displacement and Stress Shape Functions

The displacement functions are the same as those in the assumed-displacement model. For two-dimensional elements, two kinds of elements are chosen: the four-noded bilinear quadrilateral elements and the eight-noded quadratic quadrilateral elements (serendipity elements). These are shown in Figure 1.

Since the stresses inside each element are interpolated independently of the displacement, a multitude of choices exists for the stress polynomials that constitute the $P$ matrix. A number of factors govern the choice of these polynomials, however.

In the assumed displacement model, the stiffness matrix is automatically free from zero-energy or kinematic deformation (rigid body) modes, and is invariant with respect to simple transformations of the reference/natural coordinates.

In addition to the advantages of a hybrid-stress model, viz., accurate stress evaluation and a stiffness matrix that is not overly rigid, it is important that the inherent properties of the assumed displacement model, namely, invariance with respect to coordinate transformations and freedom from rigid body modes of the stiffness matrix are preserved when the stress polynomials are chosen, for the hybrid-stress model to have any real use in finite element analysis.

To avoid the presence of kinematic deformation modes, it is necessary that the stiffness matrix satisfy the following condition that determines its minimum rank (Pian [1], and Atluri and Punch [7]).

If the number of stress parameters per element is $s$, the matrix $H$ defined in equation (3.8) will be positive-definite and of rank $s$ (as the energy density functional is always positive-definite). The rank of the stiffness matrix is then determined by that of the $G$ matrix as defined in equation (3.9).

The order of the $G$ matrix is $s \times d$ where $d$ is the number of generalized degrees of freedom per element. If there are $r$ rigid body modes per element, then at best the rank of the $G$ matrix is given by $\min(s, d-r)$, and as a consequence the rank of the stiffness matrix is given by $\min(s, d-r)$.

Since any stiffness matrix should include all the rigid body modes of the element, the rank of $K$ in equation (3.19) should be $d-r$. 

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For a linear quadrilateral element, the minimum number of stress parameters is

\[ s = d - r = 2 \times 4 - 3 = 5. \]

After satisfying equilibrium, the 9 \( \beta \) field reduces to a seven parameter linear stress approximation given by

\[ \sigma_\xi = \beta_1 + \beta_2 \eta + \beta_6 \xi \]
\[ \sigma_\eta = \beta_3 + \beta_4 \xi + \beta_7 \eta \]  \hspace{1cm} (3.23)
\[ \sigma_{\xi \eta} = \beta_5 + \beta_7 \xi + \beta_6 \eta \]

where \( \xi \) and \( \eta \) are the local coordinates as shown in Fig.1.

For a quadratic quadrilateral element with 8 nodes, the minimum number of stress parameters is

\[ s = d - r = 2 \times 8 - 3 = 13. \]

Choosing a complete cubic stress approximation with 30 \( \beta \)'s, upon enforcing equilibrium we get the following 18 parameter stress field:

\[ \sigma_\xi = \beta_1 + \beta_6 \xi + \beta_2 \eta + \beta_8 \eta^2 + 2\beta_9 \xi \eta + \beta_{10} \xi^2 + \beta_{13} \xi^3 + 3\beta_{14} \xi^2 \eta + 3\beta_{15} \xi \eta^2 + \beta_{17} \eta^3 \]
\[ \sigma_\eta = \beta_3 + \beta_4 \xi + \beta_7 \eta + 2\beta_{11} \xi \eta + \beta_{12} \xi^2 + \beta_{10} \eta^2 + 3\beta_{13} \xi \eta^2 + \beta_{14} \eta^3 + 3\beta_{16} \xi^2 \eta + \beta_{18} \xi^3 \]
To reduce the number of parameters still further, we impose the Beltrami-Michell compatibility conditions for isotropic materials or the stress field. For the more general case of plane strain, in addition to equilibrium, this requires that (Sokolnikoff [11])

\[ \nabla^2 (\sigma_\xi + \sigma_\eta) = 0 \] (3.25)

when there are no body forces.

Substituting (3.24) into (3.25), eliminating any redundant \( \beta \)'s and renumbering, we get a 15 \( \beta \) stress field given by

\[
\sigma_\xi = \beta_1 + \beta_6 \xi + \beta_2 \eta + \beta_8 \eta^2 + 2\beta_9 \xi \eta + \beta_{10} \xi^2 + \beta_{12} \xi^2 + \beta_{13}(3\xi^2 \eta - 2\eta^3)
+ 3\beta_{14} \xi \eta^2 - \beta_{15} \xi^3
\]

\[
\sigma_\eta = \beta_3 + \beta_4 \xi + \beta_7 \eta + 2\beta_{11} \xi \eta - \beta_8 \xi^2 + \beta_{10}(\eta^2 - 2\xi^2) + \beta_{12}(3\xi^2 \eta - 2\xi^3)
+ \beta_{13} \eta^3 + 3\beta_{15} \xi^2 \eta - \beta_{14} \xi^3
\]

\[
\sigma_{\xi\eta} = \beta_5 - \beta_7 \xi - \beta_6 \eta - \beta_{11} \xi^2 - \beta_9 \eta^2 - 2\beta_{10} \xi \eta - 3\beta_{12} \xi^2 \eta
- 3\beta_{13} \xi \eta^2 - \beta_{14} \eta^3 - \beta_{15} \eta^3
\] (3.26)

However, as mentioned earlier, the Beltrami-Michell equations in the form (3.25) are valid only for isotropic materials. For the general case of anisotropy, the compatibility conditions as expressed in terms of the strain are given by

\[ \nabla \times \nabla \times E = 0 \] (3.27)
For plane strain problems, this reduces to

\[
\frac{\partial^2 \varepsilon_x}{\partial y^2} + \frac{\partial^2 \varepsilon_y}{\partial x^2} = \frac{\partial^2 \gamma_{xy}}{\partial x \partial y}
\]

(3.28)

where \( \varepsilon_x, \varepsilon_y \) are the components of the strain in the \( x \) and \( y \) directions and \( \gamma_{xy} \) is the shear strain.

For the most general case of plane anisotropy, there are six independent constants of elasticity, and the constitutive relation is given by:

\[
\begin{align*}
\varepsilon_x &= a_{11} \sigma_x + a_{12} \sigma_y + a_{16} \sigma_{xy} \\
\varepsilon_y &= a_{21} \sigma_x + a_{22} \sigma_y + a_{26} \sigma_{xy} \\
\gamma_{xy} &= a_{61} \sigma_x + a_{62} \sigma_y + a_{66} \sigma_{xy}
\end{align*}
\]

(3.29)

where \( \sigma_x, \sigma_y \) and \( \sigma_{xy} \) are the stress components in the plane and

\[
\begin{align*}
a_{11} &= \frac{1}{E_{xx}} \\
a_{12} &= \frac{\nu_{yx}}{E_{xx}} = \frac{-\nu_{xy}}{E_{yy}} = a_{21} \\
a_{16} &= \frac{\eta_{xy,x}}{E_{xx}} = \frac{\eta_{x,xy}}{G_{xy}} = a_{61} \\
a_{22} &= \frac{1}{E_{yy}} \\
a_{26} &= \frac{\eta_{xy,y}}{E_{yy}} = \frac{\eta_{y,xy}}{G_{xy}} = a_{62}
\end{align*}
\]
\[ a_{66} = \frac{1}{G_{xy}} , \]  

(3.30)

given

\[ E_{xx} = \text{Young's modulus in the x-direction} \]
\[ E_{yy} = \text{Young's modulus in the y-direction} \]
\[ \nu_{yx} = \text{Poisson's ratio when the tensile load is along the y-direction} \]
\[ \nu_{xy} = \text{Poisson's ratio when the tensile load is along the x-direction} \]
\[ \eta_{xy,x} \text{ and } \eta_{xy,y} = \text{coefficients of mutual influence of the first kind that characterize stretching due to shear stresses} \]
\[ \eta_{x,xy} \text{ and } \eta_{y,xy} = \text{coefficients of mutual influence of the second kind that characterize shear stresses due to normal loads.} \]

The matrix of elastic constants is symmetric because of the existence of an elastic potential which necessitates that the energy be an invariant.

Substituting equation (3.24) i.e. the self-equilibrated stress field into (3.29) and thence into (3.28), we get the following stress field for a completely anisotropic material (in two-dimensional elasticity)

\[
\sigma_\xi = \beta_1 + \beta_6 \xi + \beta_2 \eta + \beta_8 \eta^2 + 2 \beta_9 \xi \eta + \beta_{10} \xi^2 + \beta_{12}(\xi^2 + \frac{2a_{26}}{a_{11}} \eta^3) \\
+ \beta_{13}(3\xi^2 \eta - \frac{2a_{12} + a_{66}}{a_{11}} \eta^3) + \beta_{14}(3\xi \eta^2 + \frac{2a_{16}}{a_{11}} \eta^3) - \frac{a_{22}}{a_{11}} \beta_{15} \eta^3
\]

\[
\sigma_\eta = \beta_3 + \beta_4 \xi + \beta_7 \eta - \frac{a_{11}}{a_{22}} \beta_8 \xi^2 + \beta_{10}(\eta^2 - \frac{a_{66} + 2a_{12}}{a_{22}} \xi^2) + \beta_{11}(2\xi \eta + \frac{2a_{26}}{a_{22}} \xi^2) \\
+ \beta_{12}(3\xi \eta^2 - \frac{2a_{12} + a_{66}}{a_{22}} \xi^3) + \beta_{13}(\eta^3 + \frac{2a_{16}}{a_{22}} \xi^3) - \frac{a_{11}}{a_{22}} \beta_{14} \xi^3
\]
For the 8-noded serendipity element, Punch and Atluri [7,8] have used group theoretical methods to minimize the number of parameters in the stress field to an optimum 13, by using arguments of symmetry to remove unwanted terms from the cubic polynomial without affecting the rank and invariance properties of the stiffness.

This stress field with 13 $\beta$'s is given by

$$\sigma_{\xi} = \beta_5 - \beta_7 \xi - \beta_6 \eta - \beta_{11} \xi^2 - \beta_9 \eta^2 - 2\beta_{10} \xi \eta - 3\beta_{12} \xi^2 \eta$$

$$- 3\beta_{13} \xi \eta^2 - \beta_{14} \eta^3 - \beta_{15} \xi^3$$

(3.31)

For the 8-noded serendipity element, Punch and Atluri [7,8] have used group theoretical methods to minimize the number of parameters in the stress field to an optimum 13, by using arguments of symmetry to remove unwanted terms from the cubic polynomial without affecting the rank and invariance properties of the stiffness.

Comparing this field with that obtained using compatibility, we see that although the stresses are complete in quadratic terms, they are all incomplete cubics.

For three-dimensional elements, viz., 8-noded and 20-noded bricks, the algebra involved in reducing the number of stress parameters is very tedious. Moreover, as shown, the compatibility conditions would change for different material nodes (anisotropy). Rubinstein, Punch and Atluri [6] have arrived at stress fields with just enough $\beta$'s to ensure sufficiency for rank for the stiffness matrix.

For 8-noded bricks, shown in Fig. 2 (a)

$$s_{\text{min}} = d-r = 3 \times 8 - 6 = 18$$
The stress approximation using a self-equilibrated field is given by:

\[
\begin{align*}
\sigma_\xi &= \beta_1 + \beta_3 + \beta_7 \xi + \beta_{18} \eta \xi \\
\sigma_\eta &= \beta_1 + \beta_4 + 2\beta_8 \eta - \beta_{17} \xi \xi - \beta_3 \\
\sigma_\zeta &= \beta_1 - \beta_4 + 2\beta_9 \zeta + \beta_{16} \xi \eta \\
\sigma_{\xi \eta} &= \beta_2 \zeta + \beta_5 \zeta - \beta_7 \eta - \beta_8 \xi + \beta_{10} + \beta_{13} \eta + \beta_{14} \xi \\
\sigma_{\xi \zeta} &= \beta_2 \eta + \beta_6 \eta - \beta_7 \xi - \beta_9 \zeta + \beta_{11} - \beta_{13} \zeta + \beta_{15} \xi \\
\sigma_{\eta \zeta} &= \beta_2 \zeta - \beta_5 \xi - \beta_6 \xi - \beta_8 \zeta - \beta_9 \eta + \beta_{12} - \beta_{14} \zeta + \beta_{15} \eta
\end{align*}
\]

(3.33)

For 20-node bricks, shown in Fig. 2 (b)

\[s_{\text{min}} = d-r = 20 \times 3 - 8 = 54\]

Following Rubinstein, Atluri and Punch [6], the stress field that satisfies the equilibrium conditions is given by

\[
\begin{align*}
\sigma_{\xi \xi} &= \beta_1 + \beta_3 + \beta_7 \eta + \beta_9 \zeta + 2\beta_{10} \xi + \beta_{16} \eta \xi + \beta_{22} \xi^3 + \beta_{23} (\eta^2 + \xi^2) + \beta_{24} (-\eta^2 - \xi^2) \\
&\quad + 2\beta_{25} (\eta^2 + \xi^2) - \beta_{26} (\eta^2 + \xi^2) + 2\beta_{27} (\eta^2 - \xi^2) + \beta_{28} (\xi^2 - \eta^2) \\
&\quad + \beta_{29} \xi \eta + 2\beta_{30} \xi \zeta - 6\beta_{45} \xi \xi \eta + 2\beta_{46} \eta \zeta^2 + \beta_{48} \xi \eta^2
\end{align*}
\]

\[
\begin{align*}
\sigma_{\eta \eta} &= \beta_1 - \beta_3 + \beta_4 + \beta_8 \xi + \beta_9 \zeta + 2\beta_{11} \eta + \beta_{17} \xi - \beta_{18} \xi + \beta_{22} \xi^2 + \beta_{23} (\xi^2 + \xi^2) \\
&\quad - \beta_{24} (\xi^2 - \xi^2) - \beta_{25} (\xi^2 + \xi^2) + 2\beta_{26} (\xi^2 + \xi^2) + \beta_{27} (\xi^2 - \xi^2) \\
&\quad - 2\beta_{29} \xi \eta + 2\beta_{31} \xi \zeta + \beta_{42} \xi \xi \xi + 6\beta_{44} \xi \xi \eta + 6\beta_{45} \xi \xi \xi + \beta_{47} \xi \xi \xi + \beta_{48} \xi \xi \xi
\end{align*}
\]

\[
\begin{align*}
\sigma_{\xi \xi} &= \beta_1 - \beta_4 + \beta_7 \eta + \beta_8 \xi + 2\beta_{12} \zeta - \beta_{16} \eta - \beta_{17} \xi + \beta_{22} \xi^2 + \beta_{23} (\xi^2 + \eta^2) \\
&\quad + \beta_{24} (\eta^2 - \xi^2) - \beta_{25} (\xi^2 + \eta^2) - \beta_{26} (\xi^2 + \eta^2) + \beta_{27} (\eta^2 - \xi^2) \\
&\quad + \beta_{28} (\eta^2 - \xi^2) - 2\beta_{30} \xi \xi - 2\beta_{31} \xi \zeta + \beta_{41} \xi \eta + 6\beta_{44} \xi \xi \eta + \beta_{45} \xi \xi \eta + \beta_{46} \xi \xi \eta
\end{align*}
\]

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Elastic Constants from Experimental Data

For an isotropic medium, the measurement of the elastic constants is a straightforward process. The measurements can be made along the specimen axes without regard to the crystal structure of the material, as the properties are the same in all directions. By contrast, there could be as many as 21 independent constants of elasticity in a fully anisotropic material instead of just 2 as in an isotropic material. Measurement of the elastic (Young's) moduli and shear moduli is a standard process, but direct measurement of the off-diagonal terms such as the coefficients of mutual influence, the coefficients of Chentsov and Poisson's ratio is difficult, to say the least. Thus, to determine these coefficients it is necessary to take advantage of other measurements of the elastic constants of various materials such as sound velocity, or to use numerical methods such as finite element analysis.

For an isotropic medium, the measurement of the elastic constants is a straightforward process. The measurements can be made along the specimen axes without regard to the crystal structure of the material, as the properties are the same in all directions. By contrast, there could be as many as 21 independent constants of elasticity in a fully anisotropic material instead of just 2 as in an isotropic material. Measurement of the elastic (Young's) moduli and shear moduli is a standard process, but direct measurement of the off-diagonal terms such as the coefficients of mutual influence, the coefficients of Chentsov and Poisson's ratio is difficult, to say the least. Thus, to determine these coefficients it is necessary to take advantage of other measurements of the elastic constants of various materials such as sound velocity, or to use numerical methods such as finite element analysis.
interrelationship under rotation.

If the measurements of the material properties are taken along an orthogonal coordinate system which is rotated with respect to the primary material axes, the compliance (or elastic) matrix with respect to the rotated coordinate system will be a transformation of the matrix with respect to the original coordinate system dependent solely on the direction cosines of the rotation of the coordinate system from the primary material axes to the global coordinate axes.

In the realm of linear isothermal elasticity, there are a maximum of 21 independent constants. Hence, any quantity that is measured in the rotated (global) frame of reference is a function of all 21 components of the compliance matrix in the unrotated frame. For a unique determination of the coefficients of the unrotated compliance matrix, 21 independent equations in 21 unknowns are required. These could be obtained by the measurement of the same quantity in 21 independent directions or by measuring more than one quantity in many independent directions so that there are at least 21 independent equations. Thus, a least square fit can be carried out on the data to determine the best approximation to the elastic constants in the compliance matrix.

Using indicial notation in a Cartesian coordinate system, the position of the rotated coordinate axis with respect to the unrotated axis is given by the direction cosine matrix as shown in Table 3.1.

| Table 3.1  Direction Cosines |
|---|---|---|
| x | y | z |
| x' | $\lambda_{11}$ | $\lambda_{12}$ | $\lambda_{13}$ |
| y' | $\lambda_{21}$ | $\lambda_{22}$ | $\lambda_{23}$ |
| z' | $\lambda_{31}$ | $\lambda_{32}$ | $\lambda_{33}$ |

Letting $x'$, $y'$, $z'$ refer to the rotated and $x$, $y$, $z$ refer to the unrotated axes, then $\lambda_{ij}$ denotes the direction cosine of the angle between the $x_i$ axis and the $x_j$ axis.
Then, the stresses in the rotated frame of reference are given by:

\[
\sigma_{11}' = \sigma_{11}\lambda_{11}^2 + \sigma_{22}\lambda_{21}^2 + \sigma_{33}\lambda_{31}^2 + 2\tau_{12}\lambda_{11}\lambda_{12} + 2\tau_{13}\lambda_{11}\lambda_{13} + 2\tau_{23}\lambda_{12}\lambda_{13}
\]

\[
\sigma_{22}' = \sigma_{11}\lambda_{21}^2 + \sigma_{22}\lambda_{22}^2 + \sigma_{33}\lambda_{23}^2 + 2\tau_{12}\lambda_{21}\lambda_{22} + 2\tau_{13}\lambda_{21}\lambda_{23} + 2\tau_{23}\lambda_{22}\lambda_{23}
\]

\[
\sigma_{33}' = \sigma_{11}\lambda_{31}^2 + \sigma_{22}\lambda_{32}^2 + \sigma_{33}\lambda_{33}^2 + 2\tau_{12}\lambda_{31}\lambda_{32} + 2\tau_{13}\lambda_{31}\lambda_{33} + 2\tau_{23}\lambda_{32}\lambda_{33}
\]

\[
\tau_{12}' = \sigma_{11}\lambda_{11}\lambda_{21} + \sigma_{22}\lambda_{12}\lambda_{22} + \sigma_{33}\lambda_{13}\lambda_{23} + \tau_{12}(\lambda_{11}\lambda_{22} + \lambda_{21}\lambda_{12})
\]

\[
+ \tau_{13}(\lambda_{11}\lambda_{23} + \lambda_{21}\lambda_{13}) + \tau_{23}(\lambda_{12}\lambda_{23} + \lambda_{22}\lambda_{13})
\]

\[
\tau_{13}' = \sigma_{11}\lambda_{11}\lambda_{31} + \sigma_{22}\lambda_{12}\lambda_{32} + \sigma_{33}\lambda_{13}\lambda_{33} + \tau_{12}(\lambda_{11}\lambda_{32} + \lambda_{21}\lambda_{12})
\]

\[
+ \tau_{13}(\lambda_{11}\lambda_{23} + \lambda_{21}\lambda_{13}) + \tau_{23}(\lambda_{12}\lambda_{23} + \lambda_{22}\lambda_{13})
\]

\[
\tau_{23}' = \sigma_{11}\lambda_{21}\lambda_{31} + \sigma_{22}\lambda_{22}\lambda_{32} + \sigma_{33}\lambda_{23}\lambda_{33} + \tau_{12}(\lambda_{21}\lambda_{32} + \lambda_{31}\lambda_{22})
\]

\[
+ \tau_{13}(\lambda_{12}\lambda_{33} + \lambda_{31}\lambda_{23}) + \tau_{23}(\lambda_{22}\lambda_{33} + \lambda_{32}\lambda_{23})
\]

Similarly, the stresses in the unrotated frame may be expressed in terms of the stresses in the rotated frame as

\[
\sigma_{11} = \sigma_{11}\lambda_{11}^2 + \sigma_{22}\lambda_{21}^2 + \sigma_{33}\lambda_{31}^2 + 2\tau_{12}\lambda_{11}\lambda_{21} + 2\tau_{13}\lambda_{11}\lambda_{31} + 2\tau_{23}\lambda_{12}\lambda_{31}
\]

\[
\sigma_{22} = \sigma_{11}\lambda_{12}^2 + \sigma_{22}\lambda_{22}^2 + \sigma_{33}\lambda_{23}^2 + 2\tau_{12}\lambda_{12}\lambda_{22} + 2\tau_{13}\lambda_{12}\lambda_{32} + 2\tau_{23}\lambda_{22}\lambda_{32}
\]

\[
\sigma_{33} = \sigma_{11}\lambda_{13}^2 + \sigma_{22}\lambda_{32}^2 + \sigma_{33}\lambda_{33}^2 + 2\tau_{12}\lambda_{13}\lambda_{23} + 2\tau_{13}\lambda_{13}\lambda_{33} + 2\tau_{23}\lambda_{23}\lambda_{33}
\]

\[
\tau_{12} = \sigma_{11}\lambda_{11}\lambda_{21} + \sigma_{22}\lambda_{21}\lambda_{22} + \sigma_{33}\lambda_{31}\lambda_{32} + \tau_{12}(\lambda_{11}\lambda_{22} + \lambda_{21}\lambda_{12})
\]

\[
+ \tau_{13}(\lambda_{11}\lambda_{32} + \lambda_{31}\lambda_{12}) + \tau_{23}(\lambda_{21}\lambda_{32} + \lambda_{31}\lambda_{22})
\]

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Now, in linear elasticity there exists an elastic potential which is a quadratic form in the stress components given by

\[
\tau_{13} = \sigma_{11}' \lambda_{11} \lambda_{13} + \sigma_{22}' \lambda_{21} \lambda_{23} + \sigma_{33}' \lambda_{31} \lambda_{33} + \tau_{12}' (\lambda_{11} \lambda_{23} + \lambda_{21} \lambda_{13})
\]

\[
+ \tau_{13}' (\lambda_{11} \lambda_{33} + \lambda_{31} \lambda_{13}) + \tau_{23}' (\lambda_{21} \lambda_{33} + \lambda_{31} \lambda_{23})
\]

\[
\tau_{23} = \sigma_{11}' \lambda_{12} \lambda_{13} + \sigma_{22}' \lambda_{22} \lambda_{23} + \sigma_{33}' \lambda_{32} \lambda_{33} + \tau_{12}' (\lambda_{12} \lambda_{23} + \lambda_{22} \lambda_{13})
\]

\[
+ \tau_{13}' (\lambda_{12} \lambda_{33} + \lambda_{32} \lambda_{13}) + \tau_{23}' (\lambda_{22} \lambda_{33} + \lambda_{32} \lambda_{23})
\]  (3.36)

Instead of expressing the stresses as second order tensors and the elasticity constants as a fourth order tensor, we consider the stresses as vectors of order $6 \times 1$ and the elasticity matrix as a matrix of size $6 \times 6$. Then,

\[
V = 2 \sum a_{ijkl} \sigma_{ij} \sigma_{kl} = 2 \sum a'_{ijkl} \sigma'_{ij} \sigma'_{kl}
\]  (3.37)

where, as in tensor notation, all the indices range from 1 to 3.

Substituting the expressions for the stresses in the unrotated frame of reference from equation (3.37) into (3.38) we can get equations for each of the compliance constants in the rotated frame, i.e. $a'_{ij}$, in terms of the compliance constants in the unrotated frame, i.e. $a_{ij}$, and the direction cosine of the rotation.

Then,

\[
a'_{ij} = \sum_{m} \sum_{n} a_{mn} q_{im} q_{jn}
\]  (m,n = 1, ... , 6)  (3.39)
where $q_{im}$, $q_{jn}$ are the components of the transformation matrix given by

$$Q = \begin{bmatrix}
\lambda_{11}^2 & \lambda_{21}^2 & \lambda_{31}^2 & 2\lambda_{11}\lambda_{21} & 2\lambda_{11}\lambda_{31} & 2\lambda_{21}\lambda_{31} \\
\lambda_{12}^2 & \lambda_{22}^2 & \lambda_{32}^2 & 2\lambda_{12}\lambda_{22} & 2\lambda_{12}\lambda_{32} & 2\lambda_{22}\lambda_{32} \\
\lambda_{13}^2 & \lambda_{23}^2 & \lambda_{33}^2 & 2\lambda_{13}\lambda_{23} & 2\lambda_{13}\lambda_{33} & 2\lambda_{23}\lambda_{33} \\
\lambda_{11}\lambda_{12} & \lambda_{21}\lambda_{22} & \lambda_{31}\lambda_{32} & \lambda_{11}\lambda_{22} + \lambda_{21}\lambda_{12} & \lambda_{11}\lambda_{32} + \lambda_{31}\lambda_{22} & \lambda_{21}\lambda_{32} + \lambda_{31}\lambda_{22} \\
\lambda_{11}\lambda_{13} & \lambda_{21}\lambda_{23} & \lambda_{31}\lambda_{31} & \lambda_{11}\lambda_{23} + \lambda_{21}\lambda_{13} & \lambda_{11}\lambda_{33} + \lambda_{31}\lambda_{23} & \lambda_{21}\lambda_{33} + \lambda_{31}\lambda_{23} \\
\lambda_{12}\lambda_{13} & \lambda_{22}\lambda_{23} & \lambda_{32}\lambda_{33} & \lambda_{12}\lambda_{23} + \lambda_{22}\lambda_{13} & \lambda_{12}\lambda_{33} + \lambda_{32}\lambda_{13} & \lambda_{22}\lambda_{33} + \lambda_{32}\lambda_{23}
\end{bmatrix}
$$

(3.40)

Hence, when the compliance constants in the unrotated frame of reference are known, it is possible to calculate the compliance constants in any other orthogonal coordinate system, given the appropriate direction cosines.

In general, the material is defined in the coordinate system of maximum symmetry using a bare minimum number of required parameters. However, arbitrary rotations can cause the generation of off-diagonal terms in the compliance matrix even if they were absent in the compliance matrix as defined in the primary coordinate system. It is thus necessary that the analysis always have the ability to deal with a fully anisotropic compliance matrix.

The experimental data given was in the form of Young's moduli and the shear moduli, measured in several independent directions. As the rotation angles are known for a given measurement, the direction cosines can be calculated and the set of equations given by (3.39) becomes linear in the coefficients of the unrotated compliance matrix. Since more than the minimum number of measurements is made, a least squares approximation to the compliance matrix can be made.
For the problem at hand, viz., the turbine blade of the fuel pump in the Space Shuttle Main Engine, the material is a PWA nickel alloy, each blade consisting of 2 or 3 crystals. These crystals exhibit cubic syngony and have only three independent constants, the Young's modulus (E), and the shear modulus (G) which are the same in all three primary directions and Poisson's ratio (v) which is independent of the other two constants. The compliance matrix along the material's primary axes is

\[
\begin{bmatrix}
a_{11} & a_{12} & a_{12} & 0 & 0 & 0 \\
a_{12} & a_{11} & a_{12} & 0 & 0 & 0 \\
a_{12} & a_{12} & a_{11} & 0 & 0 & 0 \\
0 & 0 & 0 & a_{44} & 0 & 0 \\
0 & 0 & 0 & 0 & a_{44} & 0 \\
0 & 0 & 0 & 0 & 0 & a_{44}
\end{bmatrix}
\]

where \(a_{11} = 1/E\) ; \(a_{12} = -v/E\) ; \(a_{44} = 1/G\) ; but when measured in arbitrary directions, the cross-coupling terms no longer remain zero. Given a sufficient number of equations, it is then possible to calculate \(a_{11}, a_{12}\) and \(a_{44}\) using a standard least squares technique.

**Program Description and Algorithm**

A simple finite element code is developed to compare the performance of the hybrid-stress model with that of the standard displacement model. The algorithm detailing the flow in the program is depicted in Fig. 3.

The program consists of four main sections:

i) the pre-processor which reads in the data that define the finite element mesh and the material properties as well as the boundary conditions. The pre-processor also sets up the element integration point co-ordinates, the appropriate weights and the coefficients of the compliance matrix in the global co-ordinate system.
ii) the processor which calculates the individual element stiffness matrices as in equation (3.19) and assembles them to form a global stiffness matrix. It also calculates the individual force vectors and assembles them to form a global force vector. The processor then enforces the various boundary conditions, viz., prescribed displacement, surface traction and nodal forces, and finally solves for the unknown nodal displacements using a standard solver.

iii) the post-processor which calculates the stresses in each element once the nodal displacements are known. Unlike in the standard displacement model, where the strains are calculated first and then the stresses are obtained by using the stress-strain law, in the hybrid model, the stresses are calculated directly using equation (3.14), i.e.

\[ \beta = H^{-1} G q \]  \hspace{1cm} (3.14)

where the \( q \)'s are the generalized nodal displacements in an element. Once the \( \beta \)'s are known, the stresses are given as

\[ \sigma = P \beta \]  \hspace{1cm} (3.3)

and are calculated at the Gauss points of integration.

iv) the eigensolver, which sets up the element mass matrices as in equation (3.11), assembles them to form a global mass matrix, and after weighting the diagonal terms that correspond to prescribed displacement boundary conditions, calls a generalized eigensolver subroutine to calculate the eigen-pairs for the specified problem.

The Eigensolver used for these calculations was based on the Householder scheme.

The main feature of the complete code is that even though it has been set up to solve 2-dimensional static and dynamic problems, it is possible to solve 3-dimensional problems by making only minor modifications.

The number of degrees of freedom per node may be easily changed by means of a parameter statement, and the addition of 3-dimensional shape functions,
for displacements and stresses as well as Gaussian integration can make this program completely general.
NUMERICAL RESULTS

Introduction

In this section, initially, a brief discussion of the standard displacement model is presented, since it is used as a yardstick of comparison for the hybrid-stress model. The primary differences between the two schemes of analysis are also highlighted.

Following this, some examples for the statically loaded case are studied, with an emphasis on the displacements and stresses in a cantilever beam subjected to an end shear. Both hybrid-stress and displacement finite elements are used to analyze beams that are isotropic and anisotropic.

Then, an eigenvalue analysis of the cantilever beams is carried out to determine the first few natural frequencies and mode shapes, using both models for isotropic and anisotropic materials.

All the results are compared to analytical solutions whenever the latter are available.

The Assumed Displacement Approach

The variational principle that is used in the standard displacement approach is akin to the principle of minimum potential energy and is of the form

$$\pi_{PE} = \int_{\tau_1}^{\tau_2} \frac{1}{2} \rho \hat{u}^T \dot{u} \, dv \, dt + \int_{\tau_1}^{\tau_2} \int_{V} \frac{1}{2} \varepsilon^T C \varepsilon \, dv \, dt$$

$$- \int_{\tau_1}^{\tau_2} \int_{\partial V} \sigma^T \bar{u} \, ds \, dt \quad (4.1)$$

when there are no body forces, where

\(\varepsilon\) = the strain tensor
\( C = \text{the elasticity tensor} = S^{-1} \)

\( \bar{u} = \text{prescribed displacement on the boundary} \ S_u \)

\( \bar{T} = \text{prescribed traction on the boundary} \ S_\sigma \)

\( S_u \cup S_\sigma = \partial \nu \), the total boundary.

On taking the first variation of the functional given in (4.1) and equating it to zero, we get the equations of motion and the traction boundary condition, provided that the variation in the displacement \( \delta u \) is zero on the boundary \( S_u \), where the displacements are prescribed, i.e.

\[
\begin{align*}
\rho \ddot{u} - D^T \sigma &= 0 \quad \text{on} \ V \\
u &= \bar{u} \quad \text{on} \ S_u \\
\sigma \cdot n &= \bar{T} \quad \text{on} \ S_\sigma
\end{align*}
\]

(4.2)

If the continuum is divided into \( n \) discrete elements, then the discrete form of the functional \( \pi_{PE} \) is given by

\[
\pi_{PE} = \sum_{i=1}^{n} \left\{ \int_{\tau_1}^{\tau_2} \int_{\Omega_m} \frac{1}{2} \rho \dot{\mathbf{u}}^T \ddot{\mathbf{u}} \, dv \, dt + \int_{\tau_1}^{\tau_2} \int_{\Omega} \frac{1}{2} \varepsilon^T C \varepsilon \, dv \, dt - \int_{\tau_1}^{\tau_2} \int_{\partial \Omega_m} \sigma^T \mathbf{u} \, ds \, dt \right\}
\]

(4.3)

where \( \Omega_m \) represents the volume of the element and \( \partial \Omega_m \) is the part of the boundary of the element that has either prescribed displacement or prescribed traction.
As the problem being solved is not truly transient but that of steady-state vibration, the integration in time may be left out without altering the results, so that

\[
\pi_{SSPE} = \sum_{i=1}^{n} \left\{ \int_{\Omega_{m}} \frac{1}{2} \rho \hat{\mathbf{u}}^T \hat{\mathbf{u}} \, dv + \int_{\partial\Omega_{m}} \frac{1}{2} \mathbf{e}^T \mathbf{C} \mathbf{e} \, ds \right\}
\]

Interpreting the displacements within each element by means of shape functions that are expressed in terms of Legendre polynomials, we have

\[
\mathbf{u} = N(\xi, \eta, \zeta) \mathbf{q}
\]

where \(N(\xi, \eta, \zeta)\) contain the element shape functions in terms of the local co-ordinates \(\xi, \eta, \zeta\) and \(\mathbf{q}\) is the vector of generalized nodal displacements.

The global co-ordinates expressed in terms of the shape functions are

\[
x = \sum_{i} N_{i}(\xi, \eta, \zeta) x_{i}
\]

\[
y = \sum_{i} N_{i}(\xi, \eta, \zeta) y_{i}
\]

\[
z = \sum_{i} N_{i}(\xi, \eta, \zeta) z_{i}
\]

the summation being carried out over all the nodes in each element.

The strain-displacement relation then leads to

\[
\mathbf{e} = D\mathbf{u} = D[N(\xi, \eta, \zeta) \mathbf{q}]
\]

\[
= B(\xi, \eta, \zeta) \mathbf{q}
\]
where $B(\xi, \eta, \zeta) = D[N(\xi, \eta, \zeta)]$.

Substituting equations (4.5) and (4.7) into (4.4) and defining the following matrices

$$K = \int_0^1 \int_0^1 \int_0^1 B^T C B \| \| d\xi \ d\eta \ d\zeta$$

$$M = \int_0^1 \int_0^1 \int_0^1 \rho N^T N \| \| d\xi \ d\eta \ d\zeta$$

and

$$Q = \int_S N^T T \ ds$$

we get

$$\pi_{SSPE} = \sum_{i=1}^n \left\{ \frac{1}{2} q_i^T M \dot{q} + \frac{1}{2} q_i^T K q - q_i^T Q \right\}$$

Taking the first variation of the above functional and equating it to zero, we get

$$\sum_{i=1}^n (M\ddot{q}_i + Kq_i - Q) \delta q^T = 0$$

Since the $\delta q$ are independent from element to element, this implies that

$$M\dddot{q} + Kq = Q$$

so that $K$ and $M$ as defined by equations (4.8) and (4.9) respectively are the element stiffness and mass matrices respectively, and $Q$ as defined in (4.10) is the force vector.
For the case of static loading, the acceleration is zero, so that

\[ Kq = Q \quad (4.14) \]

while for the case of free vibration,

\[ M\ddot{q} + Kq = 0 \quad (4.15) \]

For purposes of comparison with the hybrid stress model, two types of displacement elements are considered, namely, the four-noded linear quadrilateral and the eight-noded serendipity element. A finite element program incorporating these assumed displacement-type elements has been developed by modifying the stiffness matrix calculations as well as the stress evaluation routine.

Unlike in the hybrid-stress model, where intra-element stresses are calculated without numerical differentiation of the displacements, the strains (and hence the stresses) in the assumed-displacement model are obtained in the following way:

\[ \varepsilon = D [N(\xi, \eta, \zeta)] q \quad (4.16) \]

and the stresses are thus

\[ \sigma = C \varepsilon \quad (4.17) \]

being calculated at the integration points in each element.

Numerical examples are considered in the following paragraphs.

**Static Analysis**

Some problems in plane elasticity are analyzed using both the displacement and the hybrid models, and the results are compared.
Since a cantilever beam clamped at one end and in plane stress is the closest two dimensional analogue to the three dimensional turbine blade that is to be eventually analyzed, it is thoroughly analyzed using the two models for isotropic and anisotropic cases.

The clamped beam subjected to an end shear load is shown in Fig. 4.

For the case when the beam is fully isotropic, classical beam theory gives the following results for the tip displacement, maximum bending stress and maximum shear stress:

\[
\begin{align*}
    u_{\text{tip}} &= \frac{W l^3}{3EI} \\
    \sigma_{\text{bending}} &= \frac{W y_{\text{max}}}{I} \\
    \tau_{\text{shear}} &= \frac{V Q_{\text{max}}}{Ib}
\end{align*}
\]

where following usual notation is used:

- \(W\) = end shear load
- \(l\) = length of the beam
- \(E\) = Young's modulus
- \(I\) = moment of inertia of the cross-section about the neutral (centroidal) axis.
- \(y_{\text{max}}\) = distance from the neutral axis to the farthest point on the beam
- \(\frac{b}{2}\) = half the depth of the beam
- \(V\) = shear force at the particular cross section
- \(Q_{\text{max}}\) = first moment of the area above the neutral axis with respect to the neutral axis
In the case at hand,

\[ W = 250 \text{ lb.}; \quad h = 1''; \quad E = 3 \times 10^7 \text{ lb./in.}^2 \]

For a rectangular cross section, \[ I = \frac{1}{12}bh^3; \quad b = 1'' \]

\[ u_{\text{tip}} = 0.03333'' \]
\[ \sigma_{\text{bending max.}} = 15000 \text{ lb./in.}^2 \]
\[ \tau_{\text{shear max.}} = 375 \text{ lb./in.}^2 \]

**Linear Element Results**

Using the displacement and the hybrid-stress models, five different cases were run for an isotropic beam, with the number of linear four-noded elements varying from 10 to 80. The various meshes used in the analysis are shown in Fig. 5.

For comparison with analytically obtained results, the normalized tip displacement \((u_{\text{hybrid}}/u_{\text{anal.}})\) and the normalized maximum bending stress \((\sigma_{\text{hybrid}}/\sigma_{\text{anal.}})\) are plotted against the number of elements, and are shown in Fig. 6 and Fig. 7.

It is seen that even for the isotropic case, the hybrid model converges to the analytical solution faster than the assumed displacement model, for identical finite element meshes. Both displacements and stresses obtained using the hybrid-stress model are consistently better than those obtained using the assumed displacement model.

For the anisotropic case, the material model chosen is that of cubic syngony to simulate the single crystal turbine blade made of the nickel alloy.

From the experimental data supplied, the following material properties were obtained using the materials subroutine described in the previous section discussing Elastic Constants from Experimental Data.
\[ E_1 = 1.9716 \times 10^7 \text{ lb./in.}^2 \]
\[ E_2 = 1.9716 \times 10^7 \text{ lb./in.}^2 \] [neglecting the small difference from \( E_1 \) due to possible errors in measurement].
\[ \nu_{12} = 0.2875 \]
\[ G_{12} = 5.4758 \times 10^6 \text{ lb./in.}^2 \]

Using these material properties, the same problem, viz., an end-loaded cantilever, is solved using hybrid and displacement methods.

Since the mesh with 40 elements gave very good results for the isotropic case without taking up too much computation time, it is used. In the absence of an analytical solution, the comparison between the two models is made on the basis of a rotation of the material axes through various angles relative to the global axes. Since the two moduli of elasticity \( E_1 \) and \( E_2 \) are identical, it is to be expected that any rotation by pairs of angles that are complementary produce the same result as the physical problem remains unchanged. On rotation of the axis coinciding with \( E_1 \) by 30°, 45°, 60°, and 90° and comparing the results, shown in Table 4.1, it is just as expected. While the results for rotation of the material axes by 30° and 60° using hybrid elements are exactly the same, there is quite a variation in the results obtained using displacement elements. This is also seen for the rotation by 90° as compared to no rotation.

<table>
<thead>
<tr>
<th>Orientation of the Axes</th>
<th>( u_{tip}(\text{disp}) )</th>
<th>( u_{tip}(\text{hybrid}) )</th>
<th>( \sigma_{\text{max}(\text{disp})} )</th>
<th>( \sigma_{\text{max}(\text{hybrid})} )</th>
</tr>
</thead>
<tbody>
<tr>
<td>0°</td>
<td>0.046742&quot;</td>
<td>0.049624&quot;</td>
<td>11089 psi</td>
<td>11117 psi</td>
</tr>
<tr>
<td>30°</td>
<td>0.047149&quot;</td>
<td>0.057905&quot;</td>
<td>10981 psi</td>
<td>10579 psi</td>
</tr>
<tr>
<td>45°</td>
<td>0.051876&quot;</td>
<td>0.061880&quot;</td>
<td>12160 psi</td>
<td>11023 psi</td>
</tr>
<tr>
<td>60°</td>
<td>0.048390&quot;</td>
<td>0.057905&quot;</td>
<td>10662 psi</td>
<td>10579 psi</td>
</tr>
<tr>
<td>90°</td>
<td>0.050489&quot;</td>
<td>0.049624&quot;</td>
<td>10618 psi</td>
<td>11117 psi</td>
</tr>
</tbody>
</table>

Table 4.1

Anisotropic cantilever solution using 40 linear elements for various orientations of the material axes.
It is observed that there is an error of as much as 8-percent in the tip displacement, when the material axes are rotated by 90°, using the displacement model, while there is no change when the results of the hybrid model are compared. A similar error is noticed on comparing the results for 30° and 60° rotation when the displacement model is used.

To study the difference between the two models further, an arbitrary anisotropic material model is considered where,

\[
\begin{align*}
E_1 &= 3.0 \times 10^7 \text{ lb./in.}^2 \\
E_2 &= 3.0 \times 10^6 \text{ lb./in.}^2 \\
\nu_{12} &= 0.3 \\
G_{12} &= 1.5 \times 10^6 \text{ lb./in.}^2
\end{align*}
\]

The same problem is solved using both 40 and 80 linear elements for various orientations of the material axes, and the tip displacements are tabulated in Table 4.2.

<table>
<thead>
<tr>
<th>Orientation of the Axis</th>
<th>40 Linear Elements</th>
<th>80 Linear Elements</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>(u_{\text{tip(hybrid)}})</td>
<td>(u_{\text{tip(displ.)}})</td>
</tr>
<tr>
<td>0°</td>
<td>0.03312&quot;</td>
<td>0.02970&quot;</td>
</tr>
<tr>
<td>30°</td>
<td>0.03991&quot;</td>
<td>0.14505&quot;</td>
</tr>
<tr>
<td>45°</td>
<td>0.07179&quot;</td>
<td>0.10085&quot;</td>
</tr>
<tr>
<td>60°</td>
<td>0.14492&quot;</td>
<td>0.03075&quot;</td>
</tr>
<tr>
<td>90°</td>
<td>0.18661&quot;</td>
<td>0.11522&quot;</td>
</tr>
</tbody>
</table>

From Table 4.2, it is observed that while the tip displacement
obtained using the assumed displacement method changes drastically on refining the mesh, the tip displacement obtained using the hybrid-stress method does not change much when the number of elements is increased, indicating that the hybrid model converges faster than the standard displacement model even for completely anisotropic materials.

Another observation made is that the tip displacement continuously increases as the angle of rotation is changed from 0° to 90° for the hybrid-stress model, while it fluctuates arbitrarily for the displacement model. Since $E_2$ is a tenth of $E_1$, it is to be expected that the displacement increase as the rotation increases, reaching a maximum when the orientation of the material axes is 90° away from the global axes.

The hybrid model thus gives good results even for arbitrary anisotropic materials with material axes not coinciding with the global axes.

**Quadratic Element Results**

To compare the actual values of the tip displacements and bending stresses, 8 noded quadratic elements of the assumed-displacement and assumed-stress type are used to solve the same problem. The number of elements is varied from 3 to 20 and the different finite element meshes used are shown in Fig. 8.

The normalized tip displacement ($u_{\text{hybrid}}/u_{\text{anal.}}$) and the normalized bending stress ($\sigma_{\text{hybrid}}/\sigma_{\text{anal.}}$) are plotted against the number of elements, and are shown in Fig. 9 and Fig. 10.

For the isotropic case, using identical grids, it is observed that the hybrid model converges to the analytical solution faster than the displacement model, both the tip displacement and the bending stress being consistently better for the hybrid model.

The anisotropic material model chosen is the same as before, viz. a crystal with cubic syngony, to simulate the nickel alloy turbine blade.

The 10 element mesh is chosen, and the results for various rotations of the material axes are presented in Table 4.3 which follows.
Table 4.3

Anisotropic cantilever solution using 10 quadratic elements for various orientations of the material axes.

<table>
<thead>
<tr>
<th>Orientation of the Axes</th>
<th>$u_{\text{tip (displ.)}}$</th>
<th>$u_{\text{tip (hybrid)}}$</th>
<th>$\sigma_{\text{max (displ.)}}$</th>
<th>$\sigma_{\text{max (hybrid)}}$</th>
</tr>
</thead>
<tbody>
<tr>
<td>0°</td>
<td>0.050798&quot;</td>
<td>0.051166&quot;</td>
<td>11489 psi</td>
<td>11532 psi</td>
</tr>
<tr>
<td>30°</td>
<td>0.051840&quot;</td>
<td>0.060461&quot;</td>
<td>11857 psi</td>
<td>12272 psi</td>
</tr>
<tr>
<td>45°</td>
<td>0.060356&quot;</td>
<td>0.063769&quot;</td>
<td>12014 psi</td>
<td>12566 psi</td>
</tr>
<tr>
<td>60°</td>
<td>0.054663&quot;</td>
<td>0.060464&quot;</td>
<td>12099 psi</td>
<td>12273 psi</td>
</tr>
<tr>
<td>90°</td>
<td>0.058364&quot;</td>
<td>0.051166&quot;</td>
<td>12112 psi</td>
<td>11532 psi</td>
</tr>
</tbody>
</table>

It is seen that for rotations of the material axes by 30° and 60° respectively, the hybrid model gives the same tip displacement and maximum bending stress as expected since the moduli of elasticity $E_1$ and $E_2$ are identical. The results from the displacement model however vary by about 6 percent for the tip displacement for the same rotations of the axes, and comparing the results between no rotation and a 90° rotation, the difference is observed to be as much as 15 percent.

In Table 4.4 the values of the tip displacement for the cantilever with the cubic syngony material model using both 40 linear elements and 10 quadratic elements are shown. It is seen that while the results of the hybrid elements for both elements are very close, this is not true of the displacement elements.
Table 4.4

Comparison of tip displacements for linear and quadratic hybrid and displacement finite elements

<table>
<thead>
<tr>
<th>Orientation of Axis</th>
<th>Linear elements</th>
<th>Quadratic elements</th>
<th>Linear elements</th>
<th>Quadratic elements</th>
</tr>
</thead>
<tbody>
<tr>
<td>0°</td>
<td>0.046742&quot;</td>
<td>0.050798&quot;</td>
<td>0.049624&quot;</td>
<td>0.051166&quot;</td>
</tr>
<tr>
<td>30°</td>
<td>0.047149&quot;</td>
<td>0.051840&quot;</td>
<td>0.057905&quot;</td>
<td>0.060461&quot;</td>
</tr>
<tr>
<td>45°</td>
<td>0.051876&quot;</td>
<td>0.060356&quot;</td>
<td>0.061880&quot;</td>
<td>0.063769&quot;</td>
</tr>
<tr>
<td>60°</td>
<td>0.048390&quot;</td>
<td>0.054663&quot;</td>
<td>0.057905&quot;</td>
<td>0.060464&quot;</td>
</tr>
<tr>
<td>90°</td>
<td>0.050489&quot;</td>
<td>0.058364&quot;</td>
<td>0.049624&quot;</td>
<td>0.051166&quot;</td>
</tr>
</tbody>
</table>

This difference in the results of the displacement elements as compared to the hybrid elements is shown in Fig. 11.

Next a cantilever beam with a highly anisotropic material is considered with the following material properties:

\[
E_1 = 3 \times 10^7 \text{ lb./in.}^2 \\
E_2 = 3 \times 10^5 \text{ lb./in.}^2 \\
\nu_{12} = 0.3 \\
G_{12} = 1.5 \times 10^7 \text{ lb./in.}^2
\]

Two meshes are used, one with 10 elements and another with 20 elements. The tip displacements using hybrid and displacement elements are shown in Table 4.5.
Table 4.5

Convergence of tip displacement on refining the mesh

<table>
<thead>
<tr>
<th>Orientation of Axis</th>
<th>10 quadratic elements</th>
<th>20 quadratic elements</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>(u_{\text{tip(hybrid)}})</td>
<td>(u_{\text{tip(displ.)}})</td>
</tr>
<tr>
<td>0°</td>
<td>0.033597</td>
<td>0.033487</td>
</tr>
<tr>
<td>30°</td>
<td>0.21054</td>
<td>2.8188</td>
</tr>
<tr>
<td>45°</td>
<td>0.77374</td>
<td>1.3933</td>
</tr>
<tr>
<td>60°</td>
<td>1.7581</td>
<td>0.055362</td>
</tr>
<tr>
<td>90°</td>
<td>3.3327</td>
<td>1.7271</td>
</tr>
</tbody>
</table>

The displacement method gives results which are very different from those of the hybrid method. As with linear elements, since \(E_2\) is one hundredth of \(E_1\), the tip displacement should increase with rotation, reaching a maximum when \(0 = 90°\). This behavior, however, is exhibited only by the hybrid model, suggesting that it is far more stable under rotations for highly anisotropic materials.

Vibration Analysis

A cantilever beam is next analyzed for its first few natural frequencies (eigenvalues) and mode shapes using the assumed-displacement and the hybrid-stress method.

As already discussed, a consistent mass is generated and the generalized eigenvalue problem

\[ Mq'' + Kq = 0 \]  

is solved for its eigenpairs which are the natural frequencies and mode shapes of the physical system.
Since the size of the matrices is not very large, a solver from IMSL that determines all the eigenvalues and eigenvectors is used instead of the sub-space iteration scheme suggested by Bathe [13].

For Bernoulli-Euler beams made of isotropic materials, neglecting the effect of shear deformation and rotatory inertia as we will consider only the first two modes where the correction introduced as a result of these effects is small, the equation of motion for transverse vibration is

\[
\frac{d^2}{dx^2} \left( EI \frac{d^2v}{dx^2} \right) + \rho A \frac{d^2v}{dt^2} = 0
\]

where

- \( v = v(x,t) \) is the transverse displacement,
- \( A \) = area of cross section of the beam
- \( x \) = axial distance from the point of support
- \( \rho \) = mass density of the material
- \( I \) = centroidal moment of inertia of the cross-section

The boundary conditions for the cantilever are:

\[
v = 0 \quad \text{and} \quad \frac{dv}{dx} = 0 \quad \text{At the fixed end;}
\]

\[
\frac{d^2v}{dx^2} = 0 \quad \text{and} \quad \frac{d^3v}{dx^3} = 0 \quad \text{At the free end;}
\]

Substituting the boundary conditions into general solution, we get three homogeneous linear algebraic equations which yield a non-trivial solution only if the
The determinant of the coefficients vanishes, i.e.

$$1 + \cos \lambda L \cosh \lambda L + 1 = 0$$  \hspace{1em} (4.24)$$

which is the characteristic equation whose roots are the eigenvalues $\lambda$ times length $L$. A numerical solution exists for the above equation, determined by Craig and Chang [14]. The first few values are

$$\lambda_1 L = 1.8751$$
$$\lambda_2 L = 4.6941$$

and the natural frequencies for the cantilever are given by

$$\omega_1 = \frac{(\lambda_1 L)^2}{L^2} \left( \frac{EI}{\rho A} \right)^{1/2}$$

so that

$$\omega_1 = \frac{3.516}{L^2} \left( \frac{EI}{\rho A} \right)^{1/2}$$

and

$$\omega_2 = \frac{22.03}{L^2} \left( \frac{EI}{\rho A} \right)^{1/2}$$  \hspace{1em} (4.25)$$

Substituting the numerical values for the given problem, we get

$$\omega_1 = 17.58 \text{ Hz.} \hspace{1em} \omega_2 = 110.15 \text{ Hz.}$$

The mode shapes are given by

$$V_f(x) = \cosh(\lambda x) - \cos(\lambda x) - k_L [\sinh(\lambda x) - \sin(\lambda x)]$$  \hspace{1em} (4.26)$$

where
as in Craig [20].

The first two mode shapes for a cantilever in free vibration are shown in Fig. 12.

**Linear Element Results**

The meshes that were used for the static problem are used here, with the number of elements varying from 10 to 80.

The normalized natural frequencies \( \omega_{1\text{ anal./}f.e.} \) and \( \omega_{2\text{ anal./}f.e.} \) are plotted against the number of elements, and are shown in Fig. 13.

For the isotropic case, the hybrid model converges to the analytical solution faster than the assumed displacement model. The mode shapes however do not seem to vary much, as seen in Fig. 14 (for the mesh with 80 elements).

The material model chosen for the anisotropic case is that of cubic syngony with the same properties as in the static case, and the 40 element mesh. The first two natural frequencies, for various angles of rotation of the material axes, using both finite element approximations are tabulated in Table 4.6.

**Table 4.6**

**Natural frequencies for an anisotropic cantilever beam using 40 linear elements for different material axes' orientations.**

<table>
<thead>
<tr>
<th>Orientation of the Axes</th>
<th>( \omega_1 \text{ (hybrid)} )</th>
<th>( \omega_1 \text{ (displ.)} )</th>
<th>( \omega_2 \text{ (hybrid)} )</th>
<th>( \omega_2 \text{ (displ.)} )</th>
</tr>
</thead>
<tbody>
<tr>
<td>0°</td>
<td>14.351 Hz</td>
<td>14.785 Hz</td>
<td>85.950 Hz</td>
<td>88.341 Hz</td>
</tr>
<tr>
<td>30°</td>
<td>13.307 Hz</td>
<td>14.726 Hz</td>
<td>80.673 Hz</td>
<td>88.0945 Hz</td>
</tr>
<tr>
<td>45°</td>
<td>12.873 Hz</td>
<td>14.058 Hz</td>
<td>78.379 Hz</td>
<td>85.011 Hz</td>
</tr>
<tr>
<td>60°</td>
<td>13.307 Hz</td>
<td>14.547 Hz</td>
<td>80.673 Hz</td>
<td>87.318 Hz</td>
</tr>
<tr>
<td>90°</td>
<td>14.351 Hz</td>
<td>14.247 Hz</td>
<td>85.950 Hz</td>
<td>85.934 Hz</td>
</tr>
</tbody>
</table>
From the above table it is observed that the hybrid model gives identical results for a rotation of 90° and no rotation of the material axes, 30° and 60° of the axes. The displacement method however gives results that vary, even though the moduli $E_1$ and $E_2$ are equal.

The first two mode shapes for the anisotropic cantilever, obtained using both the models do not vary much as shown in Fig. 15 (even for the case when the the difference between the eigen values is a maximum, viz., for $\theta = 45^0$).

**Quadratic Element Results**

The natural frequencies and mode shapes of the isotropic and anisotropic cantilever beams are now calculated using an 8-noded finite element mesh with the number of elements varying from 3 to 20. The meshes used are the same as for the static case and are shown in Fig. 8.

The normalized natural frequencies ($\omega_1$ anal./$\omega_1$ f.e. and $\omega_2$ anal./$\omega_2$ f.e.) are plotted against the number of elements, and are shown in Fig. 16. Again, it is observed that the hybrid model converges to the analytical solution faster than the assumed-displacement method. The mode shapes however are very similar in both models, except for the maximum "amplitude" (when 10 quadratic elements are used) as shown in Fig. 17.

The first two natural frequencies for various angles of rotation of the material axes, in an anisotropic cantilever beam, using both the displacement and hybrid approximations are tabulated in Table 4.7. The material properties and the material model assumed are the same as for the static anisotropic case, viz. 3 independent constants in a crystal with cubic syngony, where

$$E_1 = E_2 = 1.9716 \times 10^7 \text{ lb./in.}^2$$
$$\nu_{12} = 0.2875$$
$$G_{12} = 5.4758 \times 10^6 \text{ lb./in.}^2$$
Table 4.7

Natural frequencies for an anisotropic cantilever beam using 10 quadratic elements for different material axes orientations.

<table>
<thead>
<tr>
<th>Orientation of the Axes</th>
<th>$\omega_1$ (hybrid)</th>
<th>$\omega_1$ (displ.)</th>
<th>$\omega_2$ (hybrid)</th>
<th>$\omega_2$ (displ.)</th>
</tr>
</thead>
<tbody>
<tr>
<td>0°</td>
<td>14.130 Hz</td>
<td>14.197 Hz</td>
<td>83.675 Hz</td>
<td>84.682 Hz</td>
</tr>
<tr>
<td>30°</td>
<td>13.020 Hz</td>
<td>13.058 Hz</td>
<td>82.211 Hz</td>
<td>78.911 Hz</td>
</tr>
<tr>
<td>45°</td>
<td>12.679 Hz</td>
<td>12.707 Hz</td>
<td>78.264 Hz</td>
<td>78.264 Hz</td>
</tr>
<tr>
<td>60°</td>
<td>13.020 Hz</td>
<td>13.707 Hz</td>
<td>76.499 Hz</td>
<td>83.675 Hz</td>
</tr>
<tr>
<td>90°</td>
<td>14.130 Hz</td>
<td>13.276 Hz</td>
<td>83.675 Hz</td>
<td>80.023 Hz</td>
</tr>
</tbody>
</table>

The results of the hybrid model are as expected, with frequencies falling as the angle of rotation is increased, reaching a minimum at a rotation of 45°, and then increasing symmetrically (since $E_1 = E_2$) up to a rotation of 90°.

The results of the displacement model do not show any symmetry about $\theta = 45°$, and are not as invariant under a rotation of the axes.

Again, there is not much difference in the mode shapes obtained from the hybrid and displacement models for the anisotropic cantilever, for $\theta = 45°$, as shown in Fig. 18.

A Specific Numerical Example

A tapered cantilever beam consisting of 3 crystals of the same material but with different orientations of the material axes is considered next, and analyzed for its displacements, stresses, natural frequencies and mode shapes. The beam is shown in Fig. 19.

Since the Ni alloy for which experimental data was provided exhibits cubic syngony in its crystals, the same material properties as used in the previous sections are considered. Also, since of all the elements tested, the 8 noded hybrid-stress element gave the best results, it is used in the mesh shown in Fig. 20.

The results are tabulated as follows:
Table 4.8
Comparison of hybrid and displacement model results for a tapered, 3-crystal anisotropic cantilever beam:

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Hybrid Method</th>
<th>Displacement Method</th>
</tr>
</thead>
<tbody>
<tr>
<td>$u_{\text{tip}}$</td>
<td>0.0539</td>
<td>0.0502&quot;</td>
</tr>
<tr>
<td>$\sigma_{\text{bending (max.)}}$</td>
<td>$1.632 \times 10^5$ psi</td>
<td>$1.519 \times 10^4$ psi</td>
</tr>
<tr>
<td>$\tau_{\text{max}}$</td>
<td>$1.643 \times 10^5$ psi</td>
<td>$1.511 \times 10^4$ psi</td>
</tr>
<tr>
<td>$\omega_1$</td>
<td>30.198 Hz</td>
<td>30.869 Hz</td>
</tr>
<tr>
<td>$\omega_2$</td>
<td>131.482 Hz</td>
<td>143.976 Hz</td>
</tr>
</tbody>
</table>

The displacements and stresses differ at most by about 6.5 percent, and the natural frequencies by even less. However, if the 30° rotation is changed to a 60° rotation and no rotation is changed to a 90° rotation, all errors increase rapidly to almost 12-percent at most.

The location of the points of maximum bending and shear stress are predicted accurately by both models, although the magnitudes predicted differ. The maximum bending stress is observed in elements 1,6 while the maximum shear stress is observed in elements 5,10 as the material axes in these two elements is rotated by 45° relative to the global frame.

The mode shapes of the first two modes are shown in Fig. 21 but do not differ much, as in the previous cases discussed.
SUMMARY AND CONCLUSIONS

In this work, a hybrid-stress finite element method is developed for equilibrium and vibration analysis of problems in two-dimensional anisotropic elasticity.

A number of sample problems are solved using the hybrid-stress method and the standard displacement method and the results are compared. Emphasis is placed on a cantilever beam loaded in end shear because of its similarity to a turbine blade.

It is observed that even for the isotropic case, the hybrid-stress model gives more accurate displacements, stresses and natural frequencies as compared to the results of the displacement method, although the variation between the two is not large.

For anisotropic materials, especially when the material axes are rotated relative to the global axes, the hybrid-stress model is observed to be stable and invariant, while the displacement model shows some variation for pairs of rotations that are complementary when the two Young's moduli $E_1$ and $E_2$ are equal.

In the absence of analytical solutions for anisotropic cantilever beams, comparisons are made by increasing the number of elements and checking for convergence. The hybrid model behaves well even if the number of elements used is not too large, although if the degree of anisotropy is very high, e.g. $E_1/E_2 = 10^4$, both models do not seem to converge rapidly.

Work is now in progress to extend the finite element code to include three dimensional problems. The stress shape functions for 8-noded linear bricks and 20-noded quadratic brick elements as proposed by Rubinstein, Punch and Atluri [6] will be implemented in the hybrid-stress finite element code and compared with the 8-noded and 20-noded brick elements using a displacement approximation.

Instead of using group theoretical methods to determine stable, invariant stress fields, complete stress polynomials may be chosen and the number of stress parameters reduced by forcing equilibrium and compatibility conditions to be satisfied. Although the algebra involved is tedious and the matrices are slightly stiffer, the element matrices will be very stable under rotation and the results thus
obtained may be compared with those obtained using the group theoretical stress polynomials.

Once a three-dimensional finite element mesh has been generated for the anisotropic crystalline turbine blade, the above scheme may be used to analyze it statically and dynamically for stresses, displacements, natural frequencies and mode shapes.

Another suggested development would be to investigate the use of triangular elements for two-dimensional hybrid-stress finite element analysis, and tetrahedrons for three-dimensional problems.
APPENDIX A

CALCULATION OF THE POLYNOMIAL STRESS FUNCTIONS
FOR LINEAR (7 $\beta$) AND QUADRATIC (15 $\beta$) QUADRILATERAL ELEMENTS

(a) linear quadrilateral elements:

The stress polynomial is complete in linear terms and is given by

$$\begin{align*}
\sigma_x &= \beta_1 + \beta_2 x + \beta_3 y \\
\sigma_y &= \beta_4 + \beta_5 x + \beta_6 y \\
\tau_{xy} &= \beta_7 + \beta_8 x + \beta_9 y
\end{align*}$$

In plane elasticity, the equilibrium conditions reduce to just two equations, viz.

$$\frac{\partial \sigma_x}{\partial x} + \frac{\partial \tau_{xy}}{\partial y} = 0$$

and

$$\frac{\partial \sigma_y}{\partial y} + \frac{\partial \tau_{xy}}{\partial x} = 0$$

in the absence of body forces.

Substituting (2) into (1) to ensure that the stress polynomials are equilibrated, we get

$$\begin{align*}
\beta_9 + \beta_2 &= 0 \\
\beta_6 + \beta_8 &= 0
\end{align*}$$

Eliminating $\beta_8$ and $\beta_9$ and renumbering the $\beta$'s, we get the following equilibrated stress field for 4-noded quadrilateral elements:
\[ \sigma_x = \beta_1 + \beta_2 y + \beta_6 x \]
\[ \sigma_y = \beta_3 + \beta_4 x + \beta_7 y \]
\[ \tau_{xy} = \beta_5 - \beta_7 x - \beta_6 y \]  

(4)

b) quadratic quadrilateral elements:

The stress polynomial is complete in cubic terms and is given by
\[ \sigma_x = \beta_1 + \beta_2 x + \beta_3 y + 2\beta_4 xy + \beta_5 x^2 + \beta_6 y^2 + \beta_7 x^3 + \beta_8 y^3 \]
\[ + 3\beta_9 xy^2 + 3\beta_{10} x^2 y \]
\[ \sigma_y = \beta_{11} + \beta_{12} x + \beta_{13} y + 2\beta_{14} xy + \beta_{15} x^2 + \beta_{16} y^2 + \beta_{17} x^3 \]
\[ + \beta_{18} y^3 + 3\beta_{19} xy^2 + 3\beta_{20} x^2 y \]
\[ \tau_{xy} = \beta_{21} + \beta_{22} x + \beta_{23} y + 2\beta_{24} xy + \beta_{25} x^2 + \beta_{26} y^2 + \beta_{27} x^3 \]
\[ + \beta_{28} y^3 + 3\beta_{29} xy^2 + 3\beta_{30} x^2 y . \]  

(5)

Substituting this stress field into the equilibrium equations (2), we get
\[ [\beta_{2} + 2\beta_{4} y + 2\beta_{5} x + 3\beta_{7} x^2 + 3\beta_{9} y^2 + 6\beta_{10} xy] + [\beta_{23} + \]
\[ 2\beta_{24} x + 2\beta_{26} y + 3\beta_{28} y^2 + 6\beta_{29} xy + 3\beta_{30} x^2 ] = 0 \]
and
\[ [\beta_{13} + 2\beta_{14} x + 2\beta_{16} y + 3\beta_{18} y^2 + 6\beta_{19} xy + 3\beta_{20} x^2 ] + [\beta_{22} + \]
\[ 2\beta_{24} y + 2\beta_{25} x + 3\beta_{27} x^2 + 6\beta_{30} xy + 3\beta_{29} y^2 ] = 0 \]

Equating coefficients of the polynomial terms separately to zero, we get
\( \beta_2 + \beta_{23} = 0 ; \quad \beta_5 + \beta_{24} = 0 ; \quad \beta_4 + \beta_{26} = 0 ; \)
\( \beta_9 + \beta_{28} = 0 ; \quad \beta_{10} + \beta_{29} = 0 ; \quad \beta_7 + \beta_{30} = 0 ; \)
\( \beta_{22} + \beta_{13} = 0 ; \quad \beta_{24} + \beta_{16} = 0 ; \quad \beta_{14} + \beta_{25} = 0 ; \)
\( \beta_{27} + \beta_{20} = 0 ; \quad \beta_{29} + \beta_{18} = 0 ; \quad \beta_{30} + \beta_{19} = 0 . \)  \( \text{(7)} \)

Eliminating \( \beta_{22} \) through \( \beta_{30}, \beta_{16}, \beta_{18}, \) and \( \beta_{19} \) and renumbering, we get the following 188 equilibrated stress field:

\[
\sigma_x = \beta_1 + \beta_6 x + \beta_2 y + \beta_8 y^2 + 2\beta_9 xy + \beta_{10} x^2 + \beta_{13} x^3 + \\
+ 3\beta_{14} x^2 y + 3\beta_{15} xy^2 + \beta_{17} y^3
\]

\[
\sigma_y = \beta_3 + \beta_4 x + \beta_7 y + 2\beta_{11} xy + \beta_{12} x^2 + \beta_{10} y^2 + 3\beta_{13} xy^2 \\
+ \beta_{14} y^3 + 3\beta_{16} x^2 y^3 + \beta_{18} x^3
\]

\[
\tau_{xy} = \beta_5 - \beta_7 x - \beta_6 y - \beta_{11} x^2 - \beta_9 y^2 - 2\beta_{10} xy - 3\beta_{13} x^2 y \\
- 3\beta_{14} xy^2 - \beta_{15} y^3 - \beta_{16} x^3
\]  \( \text{(8)} \)

To reduce the number of \( \beta \)'s still further, the stresses are allowed to satisfy the compatibility conditions necessary for the existence of a displacement field.

In plane strain, there is just one compatibility relation, given by

\[
\frac{\partial^2 \varepsilon_x}{\partial y^2} + \frac{\partial^2 \varepsilon_y}{\partial x^2} = \frac{\partial^2 \gamma_{xy}}{\partial x \partial y}
\]  \( \text{(9)} \)

as expressed in terms of the strains \( \varepsilon_x, \varepsilon_y \) and \( \gamma_{xy} \). Plane stress has additional
compatibility conditions, but these are in a sense more "restrictive" and not applied.

For the most generalized anisotropic case, the stress-strain relations for plane elasticity are given by

\[
\varepsilon_x = \frac{1}{E_{xx}} [\sigma_x - v_{yx} \sigma_y + \eta_{xy,y} \tau_{xy}]
\]

\[
\varepsilon_y = \frac{1}{E_{yy}} [-v_{xy} \sigma_x + \sigma_y + \eta_{xy,y} \tau_{xy}]
\]

\[
\gamma_{xy} = \frac{1}{G_{xy}} [\eta_{x,xy} \sigma_x + \eta_{y,xy} \sigma_y + \tau_{xy}]
\]

or, defining \( \mathbf{\varepsilon} = \mathbf{C} \mathbf{\sigma} \)

where \( \mathbf{C} \) is the compliance matrix whose coefficients are

\[
\begin{align*}
    a_{11} &= \frac{1}{E_{xx}} ; \\
    a_{22} &= \frac{1}{E_{yy}} ; \\
    a_{66} &= \frac{1}{G_{xy}} ; \\
    a_{12} &= \frac{v_{yx}}{E_{xx}} - \frac{v_{xy}}{E_{yy}} ; \\
    a_{16} &= \frac{\eta_{x,xy}}{E_{xx}} = \frac{\eta_{x,xy}}{E_{xy}} ; \\
    a_{26} &= \frac{\eta_{x,xy}}{E_{yy}} = \frac{\eta_{y,xy}}{G_{xy}}
\end{align*}
\]

and

\[
\mathbf{C} = \begin{pmatrix}
    a_{11} & a_{12} & a_{16} \\
    a_{22} & a_{26} & \, \\
    \text{sym.} & a_{66} & \,
\end{pmatrix}
\]

\( \eta_{x,xy} \) and \( \eta_{y,xy} \) are coefficients of mutual influence of the second kind, and
\( \eta_{xy,x}, \eta_{xy,y} \) are coefficients of mutual influence of the first kind.

To get the compatibility condition in terms of the stresses, we substitute equation (10) into (9) so that

\[
\frac{\partial^2 \sigma_x}{\partial y^2} + a_{12} \frac{\partial^2 \sigma_y}{\partial y^2} + a_{16} \frac{\partial^2 \tau_{xy}}{\partial y^2} + a_{12} \frac{\partial^2 \sigma_x}{\partial x^2} + a_{22} \frac{\partial^2 \sigma_y}{\partial x^2} + \frac{\partial^2 \tau_{xy}}{\partial x^2}
\]

\[
+ a_{26} \frac{\partial^2 \tau_{xy}}{\partial x^2} = a_{16} \frac{\partial^2 \sigma_x}{\partial x \partial y} + a_{26} \frac{\partial^2 \sigma_y}{\partial x \partial y} + a_{66} \frac{\partial^2 \tau_{xy}}{\partial x \partial y}
\]

For an equilibrated stress field,

\[
\frac{\partial^2 \sigma_x}{\partial x^2} + \frac{\partial^2 \sigma_y}{\partial y^2} = \frac{2\partial^2 \tau_{xy}}{\partial x \partial y}
\]  

so that equation (12) becomes

\[
a_{11} \frac{\partial^2 \tau_{xy}}{\partial y^2} + a_{22} \frac{\partial^2 \sigma_y}{\partial x^2} + (a_{12} + a_{66}) \frac{\partial^2 \sigma_y}{\partial y^2} + (a_{12} + \frac{a_{12}}{2}) \frac{\partial^2 \sigma_x}{\partial x^2}
\]

\[
+ a_{16} \frac{\partial^2 \tau_{xy}}{\partial y^2} + a_{26} \frac{\partial^2 \tau_{xy}}{\partial x^2} = a_{16} \frac{\partial^2 \sigma_x}{\partial x \partial y} + a_{26} \frac{\partial^2 \sigma_y}{\partial x \partial y}
\]

Substituting the polynomial approximations for the equilibrated stresses from equation (8),
Equating the appropriate coefficients on both sides, we get

\[
a_{11} (2B_8 + 6B_{15}x + 6B_{17}y) + a_{22} (2B_{12} + 6B_{16}y + 6B_{18}x) \\
+ 2 \left( a_{12} + \frac{a_{66}}{2} \right) [2B_{10} + 6B_{13}x + 6B_{14}y] + a_{16} (-2B_9 - 6B_{14}x - 6B_{15}y) \\
+ a_{26} (-2B_{11} - 6B_{13}y - 6B_{16}x) = a_{16} (2B_9 + 6B_{14}x + 6B_{15}y) \\
+ a_{26} (2B_{11} + 6B_{13}y + 6B_{16}x)
\]

(15)

Eliminating \(B_{12}, B_{17}\) and \(B_{18}\) from the above equations, and renumbering, we get a 15 \(B\) cubic approximation for the intra-element stresses:

\[
\sigma_x = B_1 + B_{6}x + B_{2}y + B_{8}y^2 + 2B_{9}xy + B_{10}x^2 \\
+ B_{12} (x^3 + \frac{2a_{26}}{a_{11}} y^3) + B_{13} \left[ 3x^2y - \frac{(2a_{12} + a_{66})}{a_{11}} y^3 \right] \\
+ B_{14} (3xy^2 + \frac{2a_{16}}{a_{11}} y^3) - \frac{a_{22}}{a_{11}} B_{15} y^3
\]

\[
\sigma_y = B_3 + B_{4}x + B_{7}y - \frac{a_{11}}{a_{22}} B_{8}x^2 + B_{10} \left[ y^2 - \frac{(2a_{12} + a_{66})}{a_{22}} x^2 \right] \\
+ B_{12} [3xy^2 - \frac{(2a_{12} + a_{66})}{a_{22}} x^3] - \frac{a_{11}}{a_{22}} B_{14}x^3 + B_{15} \left[ 3x^2y + \frac{2a_{26}}{a_{22}} x^3 \right]
\]

55
\[ + \beta_{13} \left( y^2 + \frac{2a_{16}}{a_{22}} x^3 \right) + \frac{2a_{16}}{a_{22}} \beta_9 x^2 + \beta_{11} \left( 2xy + \frac{2a_{26}}{a_{22}} x^2 \right) \]

\[ \tau_{xy} = \beta_5 - \beta_7 x - \beta_6 y - \beta_{11} x^2 - \beta_9 y^2 - 2\beta_{10} xy - 3\beta_{12} x^2 y \]

\[ - 3\beta_{13} x y^2 - \beta_{14} y^3 - \beta_{15} x^3 \]  

(17)

For an isotropic material,

\[ a_{16} = a_{26} = 0 , \text{ and } 2a_{12} + a_{66} = 2a_{11} \]

\[ a_{11} = a_{22} , \text{ so that the stress field loses all dependence on the compliance constants and becomes simply} \]

\[ \sigma_x = \beta_1 + \beta_6 x + \beta_2 y + \beta_6 y^2 + 2\beta_9 xy + \beta_{10} x^2 + \beta_{12} x^3 \]

\[ + \beta_{13} \left( 3x^2 y - 2y^3 \right) + 3\beta_{14} x y^2 - \beta_{15} y^3 \]

\[ \sigma_y = \beta_3 + \beta_4 x + \beta_7 y + 2\beta_{11} xy - \beta_8 x^2 + \beta_{10} (y^2 - 2x^2) \]

\[ + \beta_{12} \left( 3xy^2 - 2x^3 \right) + \beta_{13} y^3 + 3\beta_{15} x^2 y - \beta_{14} x^3 \]

\[ \tau_{xy} = \beta_5 - \beta_7 x - \beta_6 y - \beta_{11} x^2 - \beta_9 y^2 - 2\beta_{10} xy - 3\beta_{12} x^2 y \]

\[ - 3\beta_{13} x y^2 - \beta_{14} y^3 - \beta_{15} x^3 \]  

(18)
REFERENCES


Fig. 1 Geometry and nomenclature for (a) 4- and (b) 8-node plane Isoparametric elements
Fig. 2  Geometry and nomenclature for (a) 8- and (b) 20- node 3-dimensional brick elements
ALGORITHM

START

Set Integration Points and Weights

Read in Control Parameters (no. of nodes, elements etc.)

Set Nodal /Element Definitions

Read Material Properties /Boundary Conditions etc.

Calculate Element Stiffness Matrix /Force Vector

Assemble into Global Stiffness Matrix and Force Vector

Looped over all the Elements

Apply the Boundary Conditions

Solve the Static Problem for Displacements and Stresses

Print the Nodal Displacements

Calculate the Element Mass Matrix

Assemble into the Global Mass Matrix

Looped over all the Elements

Solve the Generalized Eigen-Value Problem $K*X = L*M*X$

Print The Eigen Values and Eigen Vectors required

STOP

Fig. 3 Algorithm detailing Program Flow
Fig. 4  Clamped Cantilever Beam subjected to an end shear load, with the material axes inclined at an angle to the global axes
Fig. 5 Various Meshes used with Linear 4-noded Elements
**Fig. 6** Convergence of Beam Tip Displacements using 4-noded linear elements for Hybrid and Displacement models - Isotropic case

**Fig. 7** Convergence of maximum bending stress in the beam using 4-noded linear elements for Hybrid and Displacement models - Isotropic case
Fig. 8  Various Meshes used with 8-noded Quadratic Elements
Fig. 9 Convergence of Beam Tip Displacement using 8-noded quadratic elements for Hybrid and Displacement models - Isotropic case

Fig. 10 Convergence of maximum bending stress in the beam using 8-noded elements for Hybrid and Displacement models - Isotropic case
Fig. 11 Comparison of Beam Tip displacements for various rotations of the material axes using linear and quadratic Hybrid and Displacement elements
Fig. 12 The first two modes of vibration of an isotropic Cantilever Beam, determined analytically
Fig. 13 Convergence of the first two Eigen values using 4-noded linear elements for Hybrid and Displacements models - Isotropic case
Fig. 14  The first two modes of vibration of an isotropic Cantilever Beam, obtained using 80 linear elements of the stress-hybrid and displacement model (not to scale)
Fig. 15 The first two modes for an anisotropic Cantilever Beam, (material axes rotated by 45 degrees), obtained using 40 linear elements of the stress-hybrid and displacement model (not to scale)
Fig. 16 Convergence of the first two Eigen values using 8-noded quadratic elements for Hybrid and Displacement models - Isotropic case
Fig. 17  The first two modes of an isotropic Cantilever Beam, using 10 quadratic elements of the stress-hybrid and displacement model (not to scale)
Fig. 18 The first two mode shapes of an anisotropic Cantilever Beam (material axes rotated by 45 degrees), obtained using 10 quadratic elements of the stress-hybrid and displacement model (not to scale)
Fig. 19: Tapered Cantilever Beam made up of 3 crystals of the same anisotropic material but with different orientations of the material axes.
Fig. 20 Finite Element Mesh used for the Tapered Beam Problem
10 Elements, 45 Nodes
The first two modes of the tapered Cantilever Beam made up of 3 anisotropic crystals, obtained by using 10 quadratic elements of the stress-hybrid and displacement model (not to scale).