Dual-Shaped Offset Reflector Antenna Designs
From Solutions of the Geometrical Optics
First-Order Partial Differential Equations

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In obtaining solutions to the first-order nonlinear partial differential equations (PDEs) for synthesizing offset dual-shaped reflectors, it is found that previously observed computational problems can be avoided if the integration of the PDEs is started from an inner projected perimeter and integrated outward rather than starting from an outer projected perimeter and integrating inward. This procedure, however, introduces a new parameter, the main reflector inner perimeter radius $r_0$, when given a subreflector inner angle $\theta_0$. Furthermore, a desired outer projected perimeter (e.g., a circle) is no longer guaranteed. “Stability” of the integration is maintained if some of the initial parameters are determined first from an approximate solution to the PDEs. A one-, two-, or three-parameter optimization algorithm can then be used to obtain a “best” set of parameters yielding a close fit to the desired projected outer rim. Good low cross-polarization mapping functions are also obtained. These methods are illustrated by synthesis of a high-gain offset-shaped Cassegrainian antenna and a low-noise offset-shaped Gregorian antenna.

I. Introduction

The problem of synthesizing dual-shaped offset reflector antennas has received considerable attention in recent years, not only because it is theoretically challenging but also because it is of considerable practical interest. Galindo-Israel et al. [1] presented an exact algorithm for simultaneously synthesizing the shapes of the sub and main reflectors for an arbitrary feed pattern, aperture amplitude, and phase distributions by solving a set of nonlinear first-order partial differential equations (PDEs). Jervase et al. [2] discussed an extension of the numerical method presented in [1] and gave some computed results for the reflector shapes. It has been observed that numerical difficulties may arise when applying these techniques, especially in the vicinity of the center region of the reflectors. This occurs when the PDEs’ integration is initialized on the outer rims of the reflectors and proceeds “inward” [1].
In this article, we investigate the possibility of circumventing these difficulties by starting the synthesis procedure not from the periphery, but from inner or central rims of the reflectors with the integration then proceeding "outward" to the outer rims. The success of this procedure depends significantly on the initial conditions chosen at the inner rims. An approximate solution to the synthesis problem provides one good choice for the initial conditions. Numerical results for symmetric feed patterns and aperture distributions are included to illustrate the application of the synthesis procedure based on a "center" start. A uniform aperture distribution for a high-gain dual-offset shaped Cassegrainian-type reflector antenna is illustrated first. Next, an aperture distribution that is uniform over most of the aperture, but is given a Gaussian taper near the outer rim, is shown in a Gregorian-type configuration. This distribution is useful for a low-noise (but high-gain) large ground antenna where very low spillover is desired past the main reflector into a "hot" ground background.

Starting the integration in the central region of the reflectors and integrating outward does not guarantee a circular projected outer rim as integrating inward does (if that is desirable). It is possible to optimize one or more initial parameters to obtain a desired circular outer rim (or other desired results). This approach was investigated for obtaining a circular projected outer rim with very good results.

II. Numerical Method

We choose the specified feed power pattern \( I(\theta) \) and the desired aperture power distribution \( V(\rho) \) to be circularly symmetric. For the antenna system shown in Fig. 1, the differential equations for synthesizing the dual reflector system are given by [1]:

\[
\begin{align*}
  r_\theta &= r_\theta(\theta, \phi | \rho, \psi, r) \quad (1) \\
  r_\phi &= r_\phi(\theta, \phi | \rho, \psi, r) \quad (2) \\
  \rho_\theta &= \rho_\theta(\theta, \phi | \rho, \psi, r; \rho_\psi, \psi_\phi) \quad (3) \\
  \psi_\theta &= \psi_\theta(\theta, \phi | \rho, \psi, r; \rho_\psi, \psi_\phi) \quad (4)
\end{align*}
\]

Equations (1) and (2) are obtained by applying Snell's Law to the subreflector while \( z \) is calculated by using the path length condition viz., \( r + S - z = \text{constant} \). Equations (3) and (4) are obtained from the following three conditions:

(A) Conservation of energy on a pointwise basis for geometrical optics:

\[
V_c V(\rho) \rho [\rho_\theta \psi_\phi - \rho_\phi \psi_\theta] = I(\theta) \sin \theta \quad (5)
\]

(B) Total differentiability at all points \((\theta, \phi, \rho, \psi)\)

\[
r_\theta = r_\phi \quad (7)
\]

(C) Snell's Law applied to the main reflector.

Equations (1) through (4) are solved by starting with some initial values for \( \rho, \phi \) (very small), and \( \psi, \) and then increasing \( \theta \) in small increments to obtain the main and the subreflector surfaces in a progressive manner. The procedure is identical to that in [1] except that the integration is outward (increasing \( \theta \) and \( \rho \)).

III. Numerical Results for the Cassegrainian-Type System

Results are presented for the following system parameters: \( a = 11, \Omega = 21^\circ, \theta_M = 16^\circ, \rho_M = 5, \rho_l = 7 \) (see Fig. 1). The optical path length is assumed to be 22, the specified or object aperture power distribution is uniform, and the feed pattern is taken to be \( \cos^n \theta \) with \( n = 151 \) (yielding a subreflector edge illumination level at \( -25.9 \text{ dB} \) below the center illumination).

As mentioned above, the reflector shapes depend strongly on the initial values chosen for the solution of the differential Eqs. (1) through (4). For a given \( \theta_0 \) (minimum \( \theta \) rim value for the subreflector), a critical initial parameter is the value chosen for \( \rho_0 \) (minimum \( \rho \) rim value for the main reflector). As an example, Fig. 2 shows two results for the same system parameters but with different initial values of \( \rho_0 \). It is observed from this figure that \( \rho_0 \) becomes very large near the center point \((\theta \approx 0)\) for one of the \( \rho_0 \) choices (case B). This leads to unacceptable reflector shapes.

One approach to finding good initial values is to start with an approximate solution (see [3], for example). An approximate solution can be found by relaxing the total differentiability condition (i.e., Eq. 7), and then prescribing the mapping [1,3] in advance as \( \psi = \phi, \psi_\theta = 1, \rho_\phi = 0, \) and \( \psi_\phi = 0 \). Under these conditions the mapping from \((\theta, \phi)\) to \((\rho, \psi)\) is forced to be concentric. Thus the conservation of energy reduces to

\[
V_c V(\rho) \rho \phi \psi = I(\theta) \sin \theta \quad (8)
\]
The above approximate solution [3] allows a value of \( P_0 \) to be found for a given \( \theta_0 \) which then yields very good and stable numerical solutions by the exact method discussed earlier. While this value of \( P_0 \) is not critical for obtaining a "good" solution, it is critical if a perfectly circular (or other shape) is required for the outer rim of the main reflector. Alternate methods for choosing \( P_0 \) will be discussed in the next section.

Figure 3 presents the results for \( \psi_0 \) versus \( \theta \) for two cases in order to illustrate the advantage of starting from a central rim instead of the outer edge. Note that the outward solution (solid line) exhibits a much better behavior than the corresponding inward solution (dashed line). Figure 4 shows the main and subreflector shapes derived by using the outward approach. Note that not only are the surfaces well-behaved, but also that the maximum value of \( \rho \) of the main reflector in this case does not deviate significantly from \( \rho_{MAX} \), the value for maximum \( \rho \), which was desired to be 5. Thus, the outer periphery condition, that the projected aperture be circular, is approximated very well in this solution.

Figure 5 shows a comparison between the radiation patterns in the offset plane of the shaped reflector (solid line) and a comparable Cassegrainian antenna. The diameter of the main reflectors of the two antennas is 80\( \lambda \). The system parameters of the shaped reflector are \( A = 2200 \), \( \Omega = 23^\circ \), \( \theta_{MAX} = 8.5^\circ \), \( \rho_{MAX} = 1000 \), \( r_1 = 1000 \) (see Fig. 1). The optical units of length can be converted to wavelengths by dividing by 25. The optical path length is assumed to be 4000. The specified main reflector aperture amplitude distribution was uniform and the feed pattern was taken to be \( \cos^n \theta \), with \( n = 151 \). The radiation patterns are calculated by using geometrical optics for the subreflector and physical optics for the main reflector scattering. Some radiation characteristics of the two antennas are given in Table 1.

### IV. Obtaining a Gregorian Design by Optimization of Parameters

In this section, we will discuss a special offset dual-shaped Gregorian design wherein the parameter \( P_0 \) and other initial parameters as well are set by an optimization procedure.

The synthesis software used herein can go through a complete integration in less than a half minute on a 386 20-MHz processor when approximately eight Fourier terms are used to represent differences in the functions

\[
\rho(\phi) \text{ and } \psi(\phi)
\]

between adjacent \( \theta \) values. Since an FFT is used for representation of these functions, the increase in time with increasing number of Fourier terms is linear. Eight Fourier terms was sufficient for the case to be presented.

This very rapid two-dimensional integration of the nonlinear PDEs reasonably allows for the possibility of performing the integration many times in an optimization algorithm to "optimize" one or more initial parameters.

One parameter that can be optimized is the choice of \( P_0 \) for a given \( \theta_0 \) value. Ideally, a value of \( P_0 \) can be chosen at the start of the optimization by solving for the approximate solution to the PDEs as discussed earlier.

The question immediately arises as to what object function the optimization algorithm should attempt to minimize. One useful object is a perfectly circular projected perimeter of the main reflector at \( \theta = \theta_{MAX} \). Thus, the function

\[
f = SQRT \left[ \frac{\sum_{n=1}^{N} (\rho_{M,i} - \rho_{M})^2}{N} \right]
\]

(10)

can be minimized, where

\[
(\rho_{M,i} : i = 1, \ldots, N)
\]

(10a)

are the perimeter values obtained at the set

\[
(\phi : i = 1, \ldots, N) \text{ or } (\psi : i = 1, \ldots, N)
\]

(10b)

for any given integration of initial parameter values. The value \( \rho_{MAX} \) need not be a constant unless a circular perimeter is desired. The object function could be expanded to obtain a prescribed set of mapping functions

\[
\rho(\theta, \phi) , \psi(\theta, \phi)
\]

(11)

as closely as possible in order to minimize cross-polarized currents in the aperture, for example.

In addition to the parameter \( P_0 \), we have chosen two other initial parameters for optimization in a three-dimensional space. A second parameter permits one degree of freedom in the choice of the initial function \( \psi_{\theta_0} \) (see [1]). For the Cassegrainian design discussed earlier, the value of \( \psi_{\theta_0} \) was selected as unity. The parameter \( A_\psi \) is introduced such that

\[
\psi_{\theta_0} \equiv 1 + A_\psi \left( \frac{\pi}{180} \right) \sin \phi
\]

(12)
where $A_\psi$ is given in degrees. The allowable field of $A_\psi$ is bounded to prevent caustics from occurring where not desired. A higher order Fourier series could be used with more parameters available for optimization.

A third parameter used herein was the value of $\Omega$ (see Fig. 1 or Fig. 6).

Figure 6 presents the profiles of a three-dimensionally optimized dual-shaped Gregorian reflector pair in the offset plane. A uniform phase in the main reflector aperture was required as indicated by the rays in Fig. 6. The object function was that in Eq. (10). The value $\theta_0$ was chosen as 0.1 deg, while the optimum parameters were found as

$$\rho_0 = 0.015636$$

$$A_\psi = 23.53905^\circ$$

and

$$\Omega = -15.0858^\circ$$

The starting values for the above optimization were chosen from an approximate solution and “manual” optimization through several iterations. Table 2 presents an extract of the mapping functions obtained (see Eq. 11).

It is not clear whether this set of “optimum” parameters is global or local. Achieving a rim that is more circular and/or closer to the desired value of $\rho_M = 3$ with better than three significant figures may require greater control of the $\psi_\phi$ function (Eq. 12) than one parameter, $A_\psi$, can provide. Nevertheless, the mapping is approximately circular throughout, i.e.,

$$\rho(\theta, \phi) \approx \rho(\theta)$$

indicating that a low cross-polarization exists in the aperture. Another measure of this low cross-polarization mapping is the nearly point caustic illustrated between the Gregorian reflectors in Fig. 6.

Figure 7 illustrates the desired and the achieved aperture power distributions. The desired uniform phase was achieved exactly (see [1]). The small “hole” in the main reflector near $\rho = 0$ is determined by the “optimum” choice of $\rho_0$. The difference between the geometrical optics (GO) computed power distribution and the desired power near $\rho \approx \rho_M = 3.0$ is at least in part due to the approximate GO analyses used. (The GO analyses utilized a polar grid wherein very wide ray “tubes” occur for larger $\rho$ when $\Delta \phi$ is fixed. As $\Delta \phi$ was decreased, it was found that the discrepancy grew smaller for the same set of reflectors.) Similarly, and for the same reason, the small discrepancy near $\rho = 0$ decreased as $\Delta \theta$ was decreased.

A two-dimensional optimization with $\Omega$ fixed at $\Omega = -15.0858$ deg, the value found with the three-dimensional optimization, which yields essentially the same reflector pair as illustrated in Fig. 6. In this case, however, the other values chosen for the start of the optimization were $\rho_0 = 0.0001$ and $A_\psi = 0.0$ deg.

Thus, we did not take advantage of the values of $\rho_0$ and $A_\psi$ known from an approximate solution. The final values of the parameters are shown in Table 3.

It should be noted that in order to achieve the optimized values shown in Table 2 from very poor starting values, a careful construction of constraints had to be built into the optimization algorithm. However, the constraints were general and not limited to any particular geometry.

Table 4 shows the resultant mapping obtained from the two-dimensional algorithm. It is seen that the reflectors obtained in this way differ by very little from those obtained and illustrated in Table 2.

One-dimensional optimizations for an optimum value of $\rho_0$, with both $A_\psi$ and $\Omega$ fixed, are generally less successful unless a reasonably “good” value of $A_\psi$ is chosen. Several cases wherein the starting value of $\rho_0$ is chosen as:

$$\rho_0(\text{start}) = 0.0001$$

are illustrated in Table 5. Values of

$$A_\psi = 0^\circ$$

$$A_\psi = 10^\circ$$

$$A_\psi = 20^\circ$$

were selected. When either $A_\psi = 0$ deg or $A_\psi = 10$ deg is used, a correct solution is not obtained for the PDEs. This is seen by the values of $\rho_M$ obtained at $\theta = 16$ deg. However, when $A_\psi = 20$ deg is chosen, a good solution is obtained. This points up the utility of using the results for $\rho_0$ and $A_\psi$ from an approximate solution as starting values in any optimization algorithm.

V. Numerical Results for the Gregorian-Type System

The synthesized Gregorian-type low-noise/high-gain dual-shaped offset reflector system was analyzed by GO
VI. Conclusions

When integrating the nonlinear PDEs for offset dual-shaped reflector synthesis from a prescribed outer projected perimeter inwards, it was found earlier that computational problems do arise in the central region of the reflectors [1]. It is found herein that these computational problems are largely bypassed if the integration of the PDEs is started from a prescribed projected inner rim and integrated outward. In this case, however, a circular, or otherwise prescribed, outer rim is not guaranteed. In addition, a new parameter of the PDEs is introduced, the radius, $r_0$, of the inner projected rim of the main reflector when the inner value of $\theta = \theta_0$ for the subreflector is prescribed. This parameter exists in addition to other initial parameters (e.g., $\Omega$; see Figs. 1 and 6) and the initial function $\psi_\theta(\phi)$ (see [1]).

A poorly selected inner radius parameter $r_0$, or other poorly selected initial parameters will preclude the obtaining of useful solutions to the PDEs by the method discussed herein or in [1]. Two complementary methods for choosing the parameter $r_0$ and other parameters are discussed. The first method utilizes the initial values found from an approximate solution to the PDEs. A second method utilizes an optimization algorithm which searches for the parameters of the PDEs that minimize a prescribed object function. Such an object function may measure the deviation of the projected outer perimeter from circular (or some other desired shape). Alternatively, a minimum cross-polarization distribution in the aperture (optimum mapping function) may be chosen as the object function.

An offset dual-shaped Cassegrainian-type high-gain antenna is synthesized to illustrate the first method described above. The second method of optimization is illustrated by synthesizing a Gregorian low-noise/high-gain offset dual-shaped reflector antenna. One-parameter [$r_0$, two-parameter [$r_0$ and a parameter $A_\psi$ describing $\psi_\phi(\phi)$], and three-parameter [$r_0$, $A_\psi$, and $\Omega$] optimizations are illustrated. Analyses of the synthesized reflectors by GO, GTD, and PO are shown to verify the utility of the results.

It should be noted that the method of using an approximate solution to the PDEs to find the initial parameters is complementary to utilization of the optimization algorithm since it provides an excellent set of starting values for the parameters in the optimization procedure.
Acknowledgments

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References


Table 1. Comparison of the radiation characteristics of the shaped reflector antenna and the Cassegrainian antenna (see Fig. 5)

<table>
<thead>
<tr>
<th></th>
<th>Shaped Reflector</th>
<th>Cassegrainian Antenna</th>
</tr>
</thead>
<tbody>
<tr>
<td>Edge illumination level</td>
<td>-4 dB</td>
<td>-16 dB</td>
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<tr>
<td>-3 dB beamwidth</td>
<td>0.77 deg</td>
<td>0.01 deg</td>
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<td>1st sidelobe level</td>
<td>-10 dB</td>
<td>-32 dB</td>
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Table 2. Mapping function for three-dimensional optimization, values in Eq. (13)

<table>
<thead>
<tr>
<th>Values of $\rho$ at:</th>
<th>$\phi = -90^\circ$</th>
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<td>0.156359E-01</td>
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$\rho_M = 0.301701E+01$

Table 3. Initial value parameters obtained by two-dimensional optimization: starting point: $\rho_0 = 0.0001$, $A_{\psi} = 0.0^\circ$

<table>
<thead>
<tr>
<th>Parameters from Two-Dimensional Optimization</th>
</tr>
</thead>
</table>
| Values Eq. (13)                             | Values Two-
|                                             | Dimensional Optimization |
| $\rho_0 = 0.015636$                         | $\rho_0 = 0.015734$ |
| $A_{\psi} = 23.53905^\circ$                | $A_{\psi} = 23.30467^\circ$ |
Table 4. Mapping function for two-dimensional optimization, values in Table 3

<table>
<thead>
<tr>
<th>Values of ρ at:</th>
<th>( \phi = -90^\circ )</th>
<th>( \phi = 0^\circ )</th>
<th>( \phi = +90^\circ )</th>
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<tr>
<td>( \psi = 270^\circ )</td>
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</table>

\( \rho_M = 0.301779E+01 \)

Table 5. Parameters and perimeter values from one-dimensional optimization of \( \rho_0 \) with \( A_\phi \) fixed. Starting point for \( \rho_0 = 0.0001 \).

<table>
<thead>
<tr>
<th>Values of ρ at:</th>
<th>( \phi = -90^\circ )</th>
<th>( \phi = 0^\circ )</th>
<th>( \phi = +90^\circ )</th>
<th>( \psi \approx 180^\circ )</th>
<th>( \psi = 270^\circ )</th>
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<tbody>
<tr>
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<td>( \psi = 270^\circ )</td>
<td>( A_\phi = 20.0^\circ ) Fixed</td>
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\( \rho_M = 0.301779E+01 \)
Fig. 1. Geometry of the Cassegrainian-type dual-shaped reflector antenna system.

Fig. 2. Variation of $\rho$ and $\rho_0$ with $\theta$: (a) Initial values of $\rho_0$ obtained from approximate solution and (b) arbitrarily chosen $\rho_0$.

Fig. 3. Variation of $\psi/\theta$ with $\theta$ for an outward and an inward solution.
Fig. 4. Cassegrainian-type dual-reflector shape obtained from the synthesis procedure.

Fig. 5. Comparison of the radiation patterns—Cassegrainian-type case.

Fig. 6. Dual offset Gregorian reflectors with optimized parameters, $\rho_0$, $A_\psi$, and $\Omega$. 

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Fig. 4.

Outer Perimeter Values of Main Reflector

(a) $\rho = 5.13$ ($+X$)
(b) $\rho = 5.12$ ($X=5.12, Y=-0.12$)
(c) $\rho = 5.14$ ($+Y$)

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Fig. 5. Comparison of the radiation patterns—Cassegrainian-type case.
Fig. 7. Main reflector aperture power distributions—desired and computed.

Fig. 8. Feed power pattern—$\cos^{1.50}/$, projected on $X_s - Y_s$ plane normal to axis of feed.

Fig. 9. Equivalent current aperture distribution. Subreflector analysis by GO (see Figs. 7 and 11).
Fig. 10. Equivalent current aperture distribution. Subreflector analysis by GTD (PO analysis yields very similar result, see Fig. 11). Scale: 1 unit = 20\(\lambda\); radius = 60\(\lambda\).

Fig. 11. Gregorian-type dual-reflector far-field power patterns, where \(D = 120\lambda\) and feed \(E_F = \cos(68.3\theta)\) (−20 dB at edge of subreflector). Analysis by: (a) aperture PO integration (see Fig. 7); (b) GO for sub and PO for main (see Figs. 7 and 8); (c) GTD/PO (see Fig. 10); and (d) PO/PO (see Fig. 10).