The TOPSAR Interferometric Radar Topographic Mapping Instrument

HOWARD A. ZEBKER, SØREN N. MADSEN, JAN MARTIN
Jet Propulsion Laboratory
California Institute of Technology

GIOVANNI ALBERTI AND SERGIO VETRELLA
CO.RI.S.T.A.

ALESSANDRO CUCCI
Alenia S.p.A.

Abstract

We have augmented the NASA DC-8 AIRSAR instrument with a pair of C-band antennas displaced across track to form an interferometer sensitive to topographic variations of the Earth's surface. The antennas were developed by the Italian consortium Co.Ri.S.T.A., under contract to the Italian Space Agency (ASI), while the AIRSAR instrument and modifications to it supporting TOPSAR were sponsored by NASA. A new data processor was developed at JPL for producing the topographic maps, and a second processor was developed at Co.Ri.S.T.A. All the results presented below were processed at JPL. During the 1991 DC-8 flight campaign, data were acquired over several sites in the United States and Europe, and topographic maps were produced from several of these flight lines. Analysis of the results indicate that statistical errors are in the 2-3 m range for flat terrain and in the 4-5 m range for mountainous areas.

Introduction

We have implemented an interferometric synthetic aperture radar system for topographic mapping applications (TOPSAR) on the NASA DC-8 aircraft. NASA/JPL currently operates a multifrequency (P, L, and C bands), multipolarimetric radar (AIRSAR) on board this aircraft. The TOPSAR implementation uses much of the existing AIRSAR hardware, although several modifications were required to optimize performance in the topographic mapping mode. When in use, TOPSAR effectively replaces the C-band polarimeter instrument, but the remaining L- and P-band systems are undisturbed and operate together with the topographic mapper; therefore the combined instrument produces simultaneous L- and P-band fully polarimetric plus C-band VV polarization backscatter images in addition to the topographic product. In this paper we first describe our implementation, including system design parameters, required modifications to the existing AIRSAR hardware, and new antenna design. We then discuss data processing strategy and display some example interferometric images. Finally, we analyze an image acquired over Ft. Irwin, California, in terms of height accuracy.

Background

Interferometric radar has been proposed and successfully demonstrated as a topographic mapping technique by Graham [1], Zebker and Goldstein [2], and Gabriel and Goldstein [3]. Two distinct implementation approaches have been discussed for topographic radar interferometers which differ in how the interferometric baseline is formed. In one case a single antenna and radar system illuminates a given surface at two different times but with nearly the same viewing geometry, forming a synthetic interferometer. This case has been implemented both from spaceborne platforms (see, for example, Goldstein et al., [4]) and also from aircraft (Gray and Farris-Manning [5]). In the second approach the baseline is formed by two physical antennas which illuminate a given area on the ground simultaneously. This is the approach used originally by Graham [1] and also by Zebker and Goldstein [2] for the NASA CV-990 radar; it is the approach used here.

Review of theory

Consider a set of two antennas A1 and A2 as shown in figure 1. The surface topography is given by z(y),
h is the aircraft altitude, the baseline distance is B, the range to a point on the ground is \( r \), the look angle \( \theta \), and the angle of the baseline with respect to horizontal is \( \alpha \). Radar echoes are transmitted from antenna A1 and received simultaneously at A1 and A2, thus the difference in path lengths is \( \delta \), which depends on the baseline distance, baseline angle, range, look angle, and the height of the point \( z(y) \). The measured phase of the interferometer is directly proportional to this distance, with the constant of proportionality \( \frac{2\pi}{\lambda} \). A little algebra and geometry yield the following equations for height as a function of these parameters:

\[
\delta = \frac{\lambda \phi}{2\pi} \quad (1)
\]

\[
\sin(\alpha - \theta) = \frac{(\rho + \delta)^2 - \rho^2 - B^2}{2\rho B} \quad (2)
\]

\[
z(y) = h - \rho \cos\alpha \cos(\alpha - \theta) - \rho \sin\alpha \sin(\alpha - \theta) \quad (3)
\]

where \( \phi \) is the measured phase, and \( \lambda \) is the wavelength.

Differentiation of (1-3) yields the error in height estimate as a function of the error in phase estimate:

\[
\sigma_h = \frac{\lambda \rho}{2\pi B} \sin\alpha \cos\alpha \tan(\alpha - \theta) \sigma_\phi \quad (4)
\]

where \( \sigma_h \) and \( \sigma_\phi \) are the standard deviations of height and phase, respectively.

There exists an optimum baseline distance that minimizes the total height error [Rodriguez and Martin, 6]; this optimum is quite broad and for high (\( \geq 10 \) dB) signal to noise ratio systems can be anywhere in the range of 0.2 - 0.8 of the critical value which may be calculated by (Zebker and Villasenor [7]):

\[
B_c = \frac{\lambda \rho}{R_o} \tan \theta \quad (5)
\]

where \( R_o \) is the slant range resolution. Note that this equation differs from that of Zebker and Villasenor by a factor of \( 2 \cos \theta \sin \theta \) as they were restricted to horizontal baselines, used the ground rather than slant range resolution, and assumed a single antenna in repeat-track configuration rather than two physical antennas as we use in TOPSAR. Another significant error source results from errors in knowledge of the aircraft attitude, the most important component of which is roll angle, which in our system translates directly to an error in look angle. Again differentiation yields

\[
\sigma_h = \rho \sin \theta \sigma_\theta \quad (6)
\]

Thus, good motion compensation is a prerequisite of any practical system.

**TOPSAR design**

Several TOPSAR system parameters are summarized in Table 1.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>TOPSAR value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Peak power, watts</td>
<td>1000</td>
</tr>
<tr>
<td>Pulse rate, Hz</td>
<td>600 nominal</td>
</tr>
<tr>
<td>Pulse length, ( \mu ) sec</td>
<td>5.0</td>
</tr>
<tr>
<td>Antenna length, m</td>
<td>1.6</td>
</tr>
<tr>
<td>Antenna width, m</td>
<td>0.11</td>
</tr>
<tr>
<td>Antenna gain, dB</td>
<td>25</td>
</tr>
<tr>
<td>Range bandwidth, MHz</td>
<td>40</td>
</tr>
<tr>
<td>Receiver noise temperature, K</td>
<td>2100</td>
</tr>
<tr>
<td>Antenna baseline, m</td>
<td>2.58</td>
</tr>
<tr>
<td>Baseline angle (( \alpha )), deg</td>
<td>62.77</td>
</tr>
</tbody>
</table>

Given the baseline separation and the signal to noise ratio we can then analyze performance of the interferometer. Figure 2 (Li and Goldstein [8], Zebker and Villasenor [7]) gives the expected phase error as
a function of signal to noise ratio and number of looks for a radar interferometer. Thus we may expect a phase error of 3.3° if the data are processed to 16 looks assuming an SNR of 13 dB, which implies a statistical error of 1.50 m in height precision. Knowledge of the roll angle is also needed for accurate data reduction. At present our system can correct for attitude errors to the 0.015° level, which (6) implies a height error of 1.9 m.

Figure 3 shows a set of data acquired over Fort Irwin in the Mojave Desert in California. The data shown represent ground coordinate rectified topographic maps in which the color contour interval is 6 m, thus one trip around the color wheel corresponds to 96 m for our 16 entry color table. The spatial resolution is 10 m. The accuracy of the map obtained over Ft. Irwin is described in the next section.

Verification procedure

Verification of this mapping technique involves comparing the radar-derived height map with pre-existing high-resolution digital elevation models (DEMs) and characterizing the differences. Comparison was done on a data set from the Ft. Irwin area in California using the USGS 7.5' DEM with 30 m grid spacing and 7 m rms height error. Figures 4 shows a sample cut through the DEM and radar-generated terrain maps, and the difference between them is shown in the upper part of the figure. It is clear from examination of this figure that the error is dominated by differences in areas of high relief, and is caused both by errors in overall cross-track slope and by position errors.

References

3. Topographic data acquired over Fort Irwin in the Mojave Desert in California. The data shown represent ground coordinate rectified topographic maps in which the color contour interval is 6 m.

4. DEM / radar map height difference from the Fort Irwin data.