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And
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# Table of Contents

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Introduction and Summary</td>
<td>2</td>
</tr>
<tr>
<td>Grant Technical Objectives (NAG3-998 and NCCOO38)</td>
<td>3</td>
</tr>
<tr>
<td>Potential Sources of Airframe/Engine Interactions (Review)</td>
<td>4</td>
</tr>
<tr>
<td>Analysis Methodology (Review)</td>
<td>5</td>
</tr>
<tr>
<td>Control Synthesis Methodologies (Review)</td>
<td>7</td>
</tr>
<tr>
<td>Limitations of Decentralized Control Law Architectures</td>
<td>8</td>
</tr>
<tr>
<td>- (NCCOO38 Results))</td>
<td></td>
</tr>
<tr>
<td>System Description, Control Architectures</td>
<td>9</td>
</tr>
<tr>
<td>Stability Limitations</td>
<td>9</td>
</tr>
<tr>
<td>Performance Limitations</td>
<td>11</td>
</tr>
<tr>
<td>Case Study</td>
<td>13</td>
</tr>
<tr>
<td>Summary and Suggestions for Future Effort</td>
<td>17</td>
</tr>
<tr>
<td>List of Publications Generated Under The Grants</td>
<td>18</td>
</tr>
<tr>
<td>Graduate Students Supported Under The Grants</td>
<td>20</td>
</tr>
<tr>
<td>Appendix - Copies of Publications</td>
<td>21</td>
</tr>
</tbody>
</table>
Cooperative Control Theory And Integrated Flight And Propulsion Control

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Introduction and Summary

This constitutes a final technical report, documenting the activities and research results obtained under grants (NAG3-998 and NCCO038) from the NASA Lewis Research Center. The first grant was awarded to Arizona State University. The second phase of the research was supported under NCCO038, awarded to the University of Maryland, after the principal investigator relocated to that institution. The principal investigator was Dr. David Schmidt, and the grant technical monitor was Dr. Sanjay Garg of NASA Lewis. The focus of the research was the investigation of dynamic interactions between airframe and engines for advanced ASTOVL aircraft configurations, and the analysis of the implications of these interactions on the stability and performance of the airframe and engine control systems. In addition, the need for integrated flight and propulsion control for such aircraft was addressed.

The major contributions of this research was the exposition of the fact that airframe and engine interactions could be present, and their effects could include loss of stability and performance of the control systems. Also, the significance of two-directional, as opposed to one-directional, coupling was identified and explained. A multivariable stability and performance analysis methodology was developed, and applied to several candidate aircraft configurations. In these example evaluations, the significance of these interactions was underscored. Also exposed was the fact that with interactions present along with some integrated control approaches, the engine command/limiting logic (which represents an important non-linear component of the engine control system) can impact closed-loop airframe/engine system stability. Finally, a brief investigation of control-law synthesis techniques appropriate for the class of systems was pursued, and it was determined that multivariable techniques, included model-following formulations of LQG and/or H∞ methods showed promise. However,
for practical reasons, decentralized control architectures are preferred, which is an architecture incompatible with these synthesis methods.

The major contributions of the second phase of the grant (NCCO038) was the development of conditions under which no decentralized controller could achieve closed-loop system requirements on stability and/or performance. Sought were conditions that depended only on properties of the plant and the requirement, and independent of any particular control law or synthesis approach. Therefore, they could be applied a priori, before synthesis of a candidate control law. Under this grant, such conditions were found regarding stability, and encouraging initial results were obtained regarding performance. (It should be noted that since the expiration of the second phase of this grant, specific conditions regarding performance have been obtained by the authors. These conditions are not reported herein, but are consistent with the initial results obtained under this grant.)

**Review of Grant Technical Objectives**

Historically, little dynamic interactions occurred between airframe and propulsion subsystems, in terms of the high-frequency attitude response. And this allows the airframe and engine control laws to be separately designed, built, and tested. However, the dynamic interactions between the airframe and engine may be substantial in advanced fighter aircraft, for example, which utilize the propulsion system for augmenting the lift and maneuvering capabilities of the vehicle. For such aircraft, separate airframe and engine control law designs may or may not be viable, and this question has generated the study of Integrated Flight and Propulsion Control (IFPC). Adding to the complexity of the control problem, it has been recognized that the interactions between these two subsystems are not currently well understood, and uncertainty in the dynamic models of these interactions may be significant.

Four major technical objectives of this research grant were identified from the outset, and they include the following:

(1) To identify and understand potential sources of dynamic interactions between the airframe and propulsion subsystems.

(2) To develop a theoretical framework to evaluate the significance of the potential interactions, from the perspective of integrated flight and propulsion control.

(3) To develop control synthesis methodologies yielding control laws that are robust against modeling uncertainty in the interactions between the airframe and engine.

(4) To determine limitations of decentralized control law architectures. Specifically, can it be determine if and when centralized control laws are required?
All four of these topics have been addressed in the research, with major contributions advanced in each. The main results are summarized below, and documented in greater detail in the papers that appear in the Appendix to this report.

**Potential Sources of Airframe/Engine Interactions**

Clearly, engines interact with all airframes, since their purpose is to provide propulsive thrust. But some advanced vehicle designs are considering the use of the engine for lift augmentation and/or for attitude control. Potential sources of unique and unusual airframe/engine interactions were explored for such aircraft, in the scope of integrated flight/propulsion control in [1], [2], [5], [6]. Two primary vehicular models were used in case studies. The first was representative of an F/A-18A fighter aircraft equipped with a 2-D Thrust-Vectoring/Thrust-Reversing (TVTR) aft nozzle and, later, with reaction control system (RCS) jets drawing bleed air from the engine's compressor. The second was representative of an E7-D ASTOVL aircraft equipped with RCS jets, a 2-D TVTR nozzle, a ventral nozzle and ejectors which redirect engine core and bypass flow.

It was shown that for such aircraft designs the potential for two-directional interactions between the airframe and engine subsystems may be significant. Thrust vectoring, RCS jets and redirected engine flow are all systems designed to augment the lift and/or control attitude of the airframe. Therefore, engine thrust can influence the lift and attitude dynamics in the bandwidth of the attitude control loops. On the other hand, commands to control the airframe responses through the use of propulsive augmentation can influence the engine dynamics in the same frequency range. These and other potential airframe/engine interactions are elaborated in [5].

Although it was initially believed that only engine-to-airframe interactions would be significant (one-directional coupling), analysis of both the F/A-18A and E7-D vehicle models demonstrated substantial airframe-to-engine interactions as well (or two-directional coupling). Further analysis demonstrated that configurations that redirect engine flow (through the use of RCS jets, a ventral nozzle and ejectors) are more problematic in this regard than other configurations. The implications of one versus two-directional coupling was then explored. It was seen that if the system exhibits two-directional coupling, stability as well as performance may be compromised, whereas if the coupling is primarily one-directional, only performance can be seriously affected.

Several system representations and control law architectures were investigated. One significant result found was that if an "auto-throttle" is implemented to regulate the...
airframe's forward speed, then the engine control system's non-linear command logic and limit protection can affect the stability robustness of the integrated airframe/engine system.

Finally, nonlinear aspects of engine control, such as engine limit protection through control mode switching logic, was investigated in the context of IFPC. This topic was further discussed in [5].

**Analysis Methodology**

An analysis methodology was developed to further reveal how the interactions between the airframe and engine manifest themselves, and to assess their significance. This analysis method was presented in [1], [2], [5]-[7], and was later denoted as the Interacting Subsystem (IS) Analysis Method in [8]. The analysis allows for the investigation of both the airframe's effects on the engine control loops and the engine's effects on the flight control loops. The analysis has been demonstrated on both scalar and multivariable airframe and engine subsystems, and can be utilized for either centralized or decentralized control systems.

This analysis method involves reflecting the airframe/engine interactions into what have been denoted as the "additive, multiplicative and disturbance interaction matrices." The "sizes" of these critical interaction matrices, measured by their singular values, quantifies effects of airframe/engine coupling on closed-loop stability and/or performance. The additive and multiplicative interaction matrices were shown to affect both stability and performance, whereas the disturbance interaction matrix affects only disturbance rejection performance. The maximum allowable "size" of the additive or multiplicative interaction matrix to assure stability was established for multivariable systems. The maximum allowable magnitude of the additive or multiplicative interaction term to assure acceptable performance was established for scalar loops. These interaction matrices were shown to be explicit functions of the dynamic cross-coupling between airframe and engine subsystems. Because of this, it was seen that the analysis technique could be easily extended to assess stability and performance robustness against modeling uncertainties in the airframe/engine coupling.

Although primarily a linear analysis technique, the IS methodology was conceptually expanded to embody quasi-linear approximations of nonlinear systems in [5]. Analogous to the critical interaction matrices, sinusoidal input describing function matrices were utilized to quantify the effects of airframe/engine coupling on the susceptibility of the system to possess limit cycles.
The IS analysis method was demonstrated using both the F/A-18A and E7-D airframe/engine systems, as noted previously, and proved useful in identifying critical frequency ranges where the interactions between the airframe and engine were especially problematic, [1], [2], [5]-[8]. The analysis indicated potentially poor stability robustness within these critical frequency ranges due to uncertainty in the interactions. Sensitivity studies proved that the analysis method accurately predicted the frequencies at which instability would first occur with increased airframe/engine coupling. Gain cross-over frequencies for classical single-loop analyses did not, however, correspond to these critical frequencies. It was also demonstrated that the analysis accurately assessed the effects of disturbances encountered in each loop due to the airframe/engine interactions. Finally, for the E7-D model, it was also shown that the magnitude of allowable uncertainty to assure acceptable engine performance was smaller than that which was allowed to assure acceptable stability robustness.

The IS analysis methodology was also compared and contrasted to the Singular Value (SV) and Structured Singular Value (SSV) analysis approaches in [8]. With regard to the stability robustness analysis, the accuracy of the IS analysis method was, in general, comparable to the SSV analysis method. However, it was seen that the SV analysis method gave conservative measures of stability robustness and predicted critical frequencies that did not correspond to the frequencies of instability. Further, the IS analysis was able to indicate an accurate measure of performance robustness (although only for scalar loops), whereas the SV and SSV methods were found to give conservative measures of performance robustness. The major benefit seen in the IS analysis approach was that valuable information can be provided by this method without necessarily requiring uncertainty models, which may be difficult to model or estimate.

Finally, the analysis framework embodied by the IS method was compared and contrasted to a synthesis approach developed by Northrop and Systems Control Technology, as presented in,


In this reference, the engine subsystem was considered as a "generalized" actuator for flight control. Because of this, the airframe dynamics could not influence the engine dynamics and, consequently, only one-directional coupling was considered. It was shown by the IS analysis method, however, that by assuming the system to only have one-directional dynamic coupling could be very inappropriate, and lead to catastrophic results.
Control Synthesis Methodologies

Two centralized control synthesis methodologies were developed specifically for integrated flight/propulsion control. Control laws were synthesized for the F/A-18A aircraft/engine model, and the results were presented in [3] and [4]. The first methodology was designated the Extended-Implicit-Model-Following/Loop-Transfer-Recovery (EIMF/LTR) design approach, whereas the second method was designated the EIMF/H_\infty approach.

Model following was an integral part of the formulations considered - due to the desire that certain airframe responses closely approximate classical airframe dynamics which reflect excellent handling qualities. This design goal implies that the engine dynamics should not be observable in airframe responses in spite of potential open-loop airframe/engine dynamic coupling. Hence, another design goal was that the control system should decouple airframe and engine responses. However, engine temperature and pressure limits should not be exceeded, and stable combustion should be maintained. Therefore, the control law must also regulate responses such as fan and compressor speeds, and temperatures and pressures throughout the engine. Further, it was assumed desirable to regulate aircraft velocity. This was therefore a hybrid control problem - one of dynamically shaping certain airframe responses while simultaneously regulating engine responses and aircraft velocity. The term "Extended" above was used to denote that this new model-following approach addressed this hybrid control problem. Finally, implicit rather than explicit model following was utilized to eliminate the dynamic pre-filter present in the latter control structure. This led to a closed-loop system of lower dynamic order that is easier to evaluate and simpler to implement.

The EIMF/LTR synthesis method was a two-step process in which a state feedback control law was designed via minimization of a Linear Quadratic (LQ) loss function. Compensators were then obtained to realize an output-feedback control law by using standard loop-transfer-recovery procedures - which give stability robustness similar to that of the state feedback control law. However, in the EIMF/H_\infty synthesis method, output-feedback compensation was directly realized in one step. This method involved a unique H_\infty formulation that reflected the EIMF design goals.

Both control synthesis approaches delivered excellent model following and regulation performance with modest gain crossover frequencies, thus keeping actuation bandwidth requirements to a minimum. The airframe responses closely approximated those desired, and good disturbance-rejection performance was seen in the engine loops. As defined by singular value tests, the EIMF/LTR control law delivered reasonable
multivariable robustness. However, the multivariable robustness for the EIMF/H_\infty control law was poor, and further research is suggested here. For both methods, design parameters could be varied to improve the robustness, but this came at a cost of degraded model following performance.

**Limitations of Decentralized Control Law Architectures**

It would be very desirable to determine if and when centralized or integrated control systems are required - based solely on the open-loop airframe/engine plant and closed-loop feedback system requirements. Implementation of centralized integrated airframe/engine control laws could potentially be quite complex, and decentralized controllers that would meet the overall design objectives may be a more favorable alternative. However, design freedom is more limited in decentralized control due to the absence of cross-feeds between the airframe and engine subsystems.

It has been recognized that there is a need to develop necessary conditions for decentralized control laws to be able to potentially deliver the required feedback system properties (stability, adequate stability robustness, acceptable performance and adequate performance robustness). Such necessary conditions should highlight limitations of achievable performance and robustness of decentralized controllers. If these necessary conditions are not met, no decentralized control law design can achieve all required feedback system properties - and the design must turn to centralized approaches. In order to be utilized prior to the control law synthesis, these necessary conditions cannot be explicit functions of the control laws.

We have begin the investigation into such limitations of decentralized control laws, and this effort is basically the topic of the second phase of this project, funded under grant NCCOO38.

One such limitation addressed in both [10] and [11] was the inability to stabilize a system with decentralized control. Specific conditions on the plant were presented that, if met, indicate it is impossible to stabilize the system with decentralized control. Other plant properties of interest are those that lead to the inability to meet certain closed-loop performance requirements. The performance requirements include achieving certain loop shapes and complementary sensitivity functions. An illustrative numerical example demonstrated potential limitations on the achievable performance and performance robustness of decentralized control laws. It was seen that the "size" of the interactions between the airframe and engine subsystems, as well as the "size" of uncertainty in these interactions, may be an important limiting factor in achieving the desired performance with decentralized control. These topics are discussed in more detail below.
System Description, Control Law Architectures - As a review, the overall system's input-output characteristics are defined at one operating point by the matrix of transfer functions

\[
\begin{bmatrix}
y_A \\
y_E
\end{bmatrix} =
\begin{bmatrix}
G_A & G_{AE} \\
G_{EA} & G_E
\end{bmatrix}
\begin{bmatrix}
u_A \\
u_E
\end{bmatrix}, \text{ or } y(s) = G(s)u(s)
\] (1)

This system models two coupled or interacting subsystems. The subscript "A" has been used to denote the airframe and the subscript "E" the engine. However, for the work presented below, the system may be any general plant with two interacting subsystems.

The centralized control law architecture is defined here as

\[
\begin{bmatrix}
u_A \\
u_E
\end{bmatrix} =
\begin{bmatrix}
K_A & K_{AE} \\
K_{EA} & K_E
\end{bmatrix}
\begin{bmatrix}
y_{Ac} - y_A \\
y_{Ec} - y_E
\end{bmatrix}, \text{ or } u(s) = K(s)\{y_c(s) - y(s)}
\] (2)

where \(K(s)\) can be a fully populated matrix.

The decentralized control law architecture is defined here as

\[
\begin{bmatrix}
u_A \\
u_E
\end{bmatrix} =
\begin{bmatrix}
K_A & 0 \\
0 & K_E
\end{bmatrix}
\begin{bmatrix}
y_{Ac} - y_A \\
y_{Ec} - y_E
\end{bmatrix}
\] (3)

in which case \(K_{AE}(s)\) and \(K_{EA}(s)\) are zero.

Stability - In both [10] and [11] eigenvalues of the system (Eq. (1)) that are unaffected by decentralized feedback control were denoted as "decentralized fixed modes." A formal definition of such an eigenvalue is given in these references. Note that eigenvalues associated with uncontrollable and/or unobservable modes are decentralized fixed modes, since if these eigenvalues cannot be affected via centralized control, they certainly cannot be affected via decentralized control. However, decentralized fixed modes exist that are both (centralized) controllable and observable. Eigenvalues of this type are of most interest here. If an eigenvalue \(p\) is a controllable and observable decentralized fixed mode, then its closed-loop value can be different from \(p\) if a centralized control law is used. However, this eigenvalue remains at \(p\) for all decentralized control laws. It is evident that if all modes of a system are controllable and observable, but the system contains an unstable decentralized fixed mode, then this
system cannot be stabilized by any decentralized control law. However, stabilizing centralized control laws exist for all controllable and observable systems. Clearly, this is one limitation of decentralized control laws.

A simple rank test involving the state-space matrices of the system is presented in [10] and [11] which identifies controllable and observable decentralized fixed modes. However, an academic example presented in [11] shows the specific relationship between the poles and zeros of a system with a controllable/observable decentralized fixed mode. This example involved a system with three masses connected by dashpots. The state-space matrices and numerical values assigned to the parameters are given in [11]. Attention here is focused on the transfer functions of the system. It is shown that \( G(s) \) for this system is

\[
\begin{bmatrix}
  g_A & g_{AE} \\
  g_{EA} & g_E
\end{bmatrix} = \begin{bmatrix}
  \frac{2(s+1.25)(s-2)}{(s+0.22)(s-2)(s+2.3)} & \frac{1.5(s-3)}{(s+0.22)(s-2)(s+2.3)} \\
  -\frac{(1/3)(s-2)(s-2)}{(s+0.22)(s-2)(s+2.3)} & \frac{(s+1)(s-2)}{(s+0.22)(s-2)(s+2.3)}
\end{bmatrix}
\] (4)

The eigenvalue at 2 rad/sec is a controllable/observable decentralized fixed mode. A pole-zero cancellation occurs at 2 rad/sec in \( g_A(s) \), \( g_{EA}(s) \) and \( g_E(s) \). Further, \( g_{EA}(s) \) has an additional zero at 2 rad/sec. It is discussed in [11] that because there is no pole-zero cancellation at 2 rad/sec in \( g_{AE}(s) \), \( s-2 \) need not be a factor in the closed-loop characteristic polynomial if a centralized control law is used. However, because of the additional zero at 2 rad/sec in \( g_{EA}(s) \), \( s-2 \) is a factor of the closed-loop characteristic polynomial if a decentralized control law is used.

Finally, for the dual situation, a controllable/observable decentralized fixed mode exists at say \( p \) rad/sec, if a pole-zero cancellation occurs at \( p \) in \( g_A(s) \), \( g_{AE}(s) \) and \( g_E(s) \), and \( g_{AE}(s) \) has an additional zero at \( p \), and no pole-zero cancellation occurs at this location in \( g_{EA}(s) \).

It was discussed in [11] that the E-7D airframe/engine vehicle model, analyzed in [6]-[8], nominally has no controllable/observable decentralized fixed modes. Further, only large, physically unrealistic perturbations from the nominal state-space model would produce a system with a controllable/observable decentralized fixed mode. Therefore, it is unlikely that a decentralized fixed mode is present in this vehicle due to modeling uncertainties. This topic is discussed in further detail in [11].
Performance - This section presents potential limitations of decentralized control laws with regard to particular closed-loop performance requirements. A more detailed discussion of this topic can be found in [10]. Although not discussed here, limitations of decentralized control laws in achieving an acceptable loop transfer matrix are also covered in [10].

The classical feedback loop with pre-filter is shown in Fig. 1. The pre-filter will be discussed later. However, the closed-loop responses from pre-filter outputs are defined as

\[ y(s) = T(s) \hat{y}_c(s) \]  

(5)

where \( T(s) \) is the complementary sensitivity transfer function matrix. It can be shown that

\[ T(s) = (I + G(s)K_c(s))^{-1} G(s)K(s) \]  

(6)

In terms of the airframe/engine partitioning of Eq. (1),

\[ T(s) = \begin{bmatrix} T_A & T_{AE} \\ T_{EA} & T_E \end{bmatrix} \]  

(7)

Note that the following analysis will focus strictly on the complementary sensitivity. However, an analogous development can be made for the sensitivity transfer function matrix \( S(s) \) (responses from disturbances), where \( T(s) + S(s) = I \). In [10], nominal closed-loop performance was considered acceptable if the magnitudes of the elements of \( T(j\omega) \) all lie within specified upper and/or lower allowable bounds for all frequency \( \omega \). Example bounds are illustrated in [10].

\[ y'(s) \quad y_c(s) \quad K(s) \quad u(s) \quad G(s) \quad y(s) \]

\[ y_c(s) \quad + \quad P(s) \quad K(s) \quad G(s) \]

\[ \text{Figure 1 - The Feedback System With Pre-Filter} \]

For the decentralized control law of Eq. (3), it can be shown that \( T(s) \) in Eq. (6) is
\[
\begin{bmatrix}
T_A & T_{AE} \\
T_{EA} & T_E
\end{bmatrix} =
\begin{bmatrix}
(I+G_A'K_A)^{-1}G_A'K_A & (I+G_A'K_A)^{-1}D_E \\
(I+G_E'K_E)^{-1}D_A & (I+G_E'K_E)^{-1}G_E'K_E
\end{bmatrix}
\]

where,

\[
G_A' = G_A + E_E, \quad G_E' = G_E + E_A
\]

\[
E_E = -G_{AE}K_E\Phi_EG_{EA}, \quad E_A = -G_{EA}K_A\Phi_AG_{AE}
\]

\[
D_E = G_{AE}K_E\Phi_E, \quad D_A = G_{EA}K_A\Phi_A
\]

\[
\Phi_A = (I+G_A'K_A)^{-1}, \quad \Phi_E = (I+G_E'K_E)^{-1}
\]

The notation in Eq. (8) is taken from, for example, [1], [2], and was used extensively in the IS Analysis Method described in Section 2.2. \(E_E(s)\) and \(E_A(s)\) are the "additive interaction" matrices since they act as additive dynamics to the airframe and engine plants, \(G_A(s)\) and \(G_E(s)\). These matrices arise due to the interactions between the airframe and engine (i.e. \(G_{AE}(s)\) and \(G_{EA}(s)\)). \(D_E(s)\) and \(D_A(s)\) are the "disturbance interaction" matrices. Because these matrices are nonzero, engine (airframe) commands act as disturbances to the airframe (engine) responses.

From Eq. (8) it can be observed that it may be difficult to find matrices \(K_A(j\omega)\) and \(K_E(j\omega)\) such that the magnitudes of the elements of \(T(j\omega)\) all lie within the specified upper/lower allowable bounds for all frequency. For example, consider that \(K_E(j\omega)\) is specified. This then specifies \(D_E(j\omega)\). Now consider that the upper allowable bounds on the elements of \(T_{AE}(j\omega)\) are specified to be small for all frequency. From Eq. (8), since \(T_{AE} = (I+G_A'K_A)^{-1}D_E\), this indicates that \(K_A(j\omega)\) must be "large enough" so that the magnitude of each element in \(T_{AE}(j\omega)\) lies below its allowable upper bound. However, the "size" of \(K_A(j\omega)\) may be limited due to, for example, actuation limitations. Further, since \(T_A = (I+G_A'K_A)^{-1}G_A'K_A\), "large" \(K_A(j\omega)\) will cause \(T_A(j\omega)\) to approximate the identity matrix. Although this is typically desired at lower frequencies, it may not be allowed at higher frequencies due to bandwidth limitations. That is, the diagonal elements of \(T_A(j\omega)\) must "roll off" beyond specified frequencies. Therefore, there may be certain critical frequency ranges in which algebraically it is not possible that the elements of \(T_{AE}(j\omega)\) be below their upper bounds, while the diagonal elements of \(T_A(j\omega)\) are below their upper bounds. (Dual arguments apply for \(T_{EA}(j\omega)\) and \(T_E(j\omega)\).) It can be shown that this "algebraic limitation" is due to the block-diagonal structure of
decentralized control laws (i.e. $K_{AE}(s) = K_{EA}(s) = 0$, Eq. (3)). It is discussed in [10] that the above potential limitations of decentralized control are more likely to occur for highly coupled systems in which $G_{AE}(j\omega)$ and $G_{EA}(j\omega)$ are comparatively "large" to $G_{E}(j\omega)$ and $G_{A}(j\omega)$.

Finally, it was noted in [10] that "classical" Quantitative Feedback Theory (QFT) is a decentralized control law synthesis methodology. In investigating the QFT formulation, the same limitations of decentralized control laws were found as discussed above. Briefly, one objective of the QFT methodology is to find the "smallest" feedback gains such that the closed-loop responses are sufficiently decoupled. If the plant is highly coupled, the QFT method may result in unacceptably large feedback gains. Further, this method may result in a complementary sensitivity matrix that approximates the identity matrix beyond specified bandwidth frequencies. The QFT method was used in the case study presented next. The purpose of this study is to illustrate the potential limitations of decentralized control laws, as noted above.

Case Study - The E7-D airframe/engine vehicle model presented and analyzed in [8] is considered here. For this particular model, four responses and four controls were considered, and these are listed in Table 1. The first three responses listed in this table are airframe responses, while the fan speed, $N_2$, is the engine response. Therefore, the airframe and engine response vectors are (see Eq. (1)):

$$y_A(s) = [\theta, \gamma, V]^T \quad \text{and} \quad y_E(s) = N_2$$

The airframe and engine control vectors were selected as (see Eq. (1)):

$$u_A(s) = [A_q, \eta, A_8]^T \quad \text{and} \quad u_E(s) = w_f$$

**Table 1 - System Responses and Controls**

<table>
<thead>
<tr>
<th>System Responses</th>
<th>System Control Inputs</th>
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<tbody>
<tr>
<td>$\theta$ - pitch attitude (deg)</td>
<td>$A_q$ - pitch RCS area (in$^2$)</td>
</tr>
<tr>
<td>$\gamma$ - long, flight path angle (deg)</td>
<td>$\eta$ - ejector butterfly valve angle (deg)</td>
</tr>
<tr>
<td>$V$ - true airspeed (ft/sec)</td>
<td>$A_8$ - aft nozzle throat area (in$^2$)</td>
</tr>
<tr>
<td>$N_2$ - fan speed (rpm's)</td>
<td>$w_f$ - fuel flow rate (lbm/hr)</td>
</tr>
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With this selection, $G_A$, $K_A$ and $T_A$ are 3x3, $G_E$, $K_E$ and $T_E$ are scalars, $G_{AE}$ and $T_{AE}$ are 3x1, and $G_{EA}$ and $T_{EA}$ are 1x3. Thus, $T(s)$ is a 4x4 matrix.

Fig. 2 presents the closed-loop pitch attitude ($\theta$) and fan speed ($N_2$) frequency response magnitudes from the pitch attitude ($\theta_C$) and fan speed ($N_{2c}$) commands, as well as their upper and lower allowable bounds, indicated by dashed lines. These bounds were taken from the analysis presented in [8]. These frequency responses are the circled elements in $T(j\omega)$ as shown:

\[
\begin{bmatrix}
\theta \\
\gamma \\
V \\
N_2
\end{bmatrix} =
\begin{bmatrix}
T_A(1,1) & T_A(1,2) & T_A(1,3) & T_{AE}(1) \\
T_A(2,1) & T_A(2,2) & T_A(2,3) & T_{AE}(2) \\
T_A(3,1) & T_A(3,2) & T_A(3,3) & T_{AE}(3) \\
T_{EA}(1) & T_{EA}(2) & T_{EA}(3) & T_E
\end{bmatrix}
\begin{bmatrix}
\theta_C \\
\gamma_C \\
V_C \\
N_{2c}
\end{bmatrix}
\]

Therefore, one element from $T_A(j\omega)$, $T_{AE}(j\omega)$ and $T_{EA}(j\omega)$, and $T_E(j\omega)$ are shown in Fig. 2. These responses are for one algebraic solution to $K_A$ and $K_E$. This solution was derived using the QFT formulation in [10]. Briefly, $K_A(j\omega)$ and $K_E(j\omega)$ were "designed" so that the magnitudes of all elements in $T_{AE}(j\omega)$ and $T_{EA}(j\omega)$ were lower than their allowable upper bounds. However, the "sizes" of $K_A(j\omega)$ and $K_E(j\omega)$ required to achieve "small" $T_{AE}(j\omega)$ and $T_{EA}(j\omega)$ were so "large" at higher frequencies that the diagonal elements of $T_A(j\omega)$ and $T_E(j\omega)$ violate their upper bounds at higher frequencies, and this is indicated in the figure. Finally, the magnitudes of the elements in $K_A(j\omega)$ and $K_E(j\omega)$ for this "design" were seen to be unreasonably large for all frequency when compared to an actual centralized control law design which gives an acceptable $T(j\omega)$ (see [8]).
Fig. 3 presents the frequency response magnitudes of the same elements of \( T(j\omega) \) as in Fig. 2, however for a different choice of \( K_A(j\omega) \) and \( K_E(j\omega) \). Here, an actual control law design was performed simply using loop shaping techniques. Briefly, \( K_A(j\omega) \) and \( K_E(j\omega) \) were designed to stabilize the system and have all elements of \( T_A(j\omega) \) and \( T_E(j\omega) \) lie within their allowable bounds. Here, the magnitudes of each element in \( K_A(j\omega) \) and \( K_E(j\omega) \) were seen to be of reasonable size throughout the frequency range. However, the magnitudes of all elements in both \( T_{AE}(j\omega) \) and \( T_{EA}(j\omega) \) violate their upper bounds, indicated in the figure. This was due to the reduced "sizes" of \( K_A(j\omega) \) and \( K_E(j\omega) \) (see previous arguments, Eq. (8)).
Figs. 2 and 3 illustrate the difficulty in attempting to use decentralized control to deliver closed-loop responses that are all within their allowable bounds. Although these results do not conclusively prove that no algebraic solutions exist for $K_A$ and $K_E$ that will deliver closed-loop responses within specified bounds, none could be found.

From the above discussion, it may be unreasonable to expect a decentralized control law to deliver acceptable closed-loop responses without the utilization of a pre-filter, $P(s)$, as shown in Fig. 1. Here, the pre-filter can aid in "shaping" the closed-loop responses from commands. However, the pre-filter is open-loop compensation, and if the feedback system is not robust to uncertainties in the plant, the closed-loop performance with pre-filter may be degraded. Recall that $K_A$ and $K_E$ may be required to be "large" in order to obtain nominal decoupled response characteristics without a pre-filter. It is discussed in [10] that with a pre-filter, performance robustness may as well require "large" $K_A$ and $K_E$. In [10], a pre-filter was designed, and plant uncertainty was investigated for the case study above. The benefit of using a pre-filter was illustrated for the nominal system. However, it was seen that the performance robustness was poor for the decentralized control law used in Fig 3.
Summary and Directions for Future Research

An investigation of the limitations of using decentralized, rather than centralized, control laws was presented in the last section. Sought were conditions or properties of the system under which decentralized control laws cannot achieve particular feedback requirements. One such condition is the inability to stabilize the system with decentralized control. A simple example indicated certain relationships between the poles and zeros of the plant transfer functions such that it is impossible to stabilize the system with decentralized control.

Other plant properties of interest were those that render it impossible to meet certain closed-loop performance requirements with decentralized control. Our initial phase of investigation indicated that decentralized control laws may potentially be unable to achieve desired complementary sensitivities due to an "algebraic limitation" which arises from the block-diagonal structure of the control compensation matrix. Further, limitations placed on the "size" of the feedback gains can also degrade the achievable closed-loop performance. Finally, it was noted that a pre-filter can help in obtaining desirable closed-loop tracking performance. However, in this case it was seen that performance robustness to plant uncertainties can be a potential limiting factor to decentralized control laws. Although a case study of an airframe/engine system indicated the predicted potential limitations of decentralized control laws, conditions or tests are still sought which can conclusively determine when decentralized control cannot achieve acceptable loop-transfer properties, and this work will be pursued further.

Although the current focus of this work is on the limitations of decentralized control laws, there can be overriding advantages in utilizing them. A more clear understanding of their limitations may help provide better methods for synthesizing decentralized control laws, and this is a suggested direction for future research.
List of Publications Generated Under this Grant

The following is a list of conference and journal publications that have resulted from this research grant. Copies of these papers are included in the Appendix to this report. This research grant has resulted in one archival journal publication and nine refereed conference proceeding publications. Finally, one doctoral dissertation will result from this research grant.

Archival Journal Publication


Conference Proceeding Publications


Graduate Students Supported Under The Grant

Graduate students supported under this grant include the following.

Mr. Alan Lovell, MSAE, Arizona State University, August, 1994.
Mr. John Schierman, PhD Arizona State University, December, 1995, (expected)
Appendix

Copies of Publications
Analysis of Airframe and Engine Control Interactions and Integrated Flight/Propulsion Control

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A framework is presented for the analysis of dynamic cross-coupling between airframe and engine control systems. This approach is developed for assessing the significance of airframe/engine interactions with regard to system stability, performance, and critical frequency ranges where interactions are especially problematic. The stability robustness against airframe/engine interactions are of particular interest, and a robustness analysis approach is developed and presented. The difference between systems exhibiting two-directional vs one-directional coupling is also discussed. Two control configurations of a vehicle previously considered in several integrated flight/propulsion control studies are then evaluated using the technique, and it is shown that the baseline configuration reflects little significant airframe/engine interactions. Consequently, classical decentralized airframe and engine control laws appear to be quite adequate. However, analysis of the other system configuration shows significant performance degradation in the engine loop because of airframe/engine coupling.

Introduction

Advanced concepts for highly maneuverable fighter aircraft and those capable of short takeoff and vertical landing utilize the propulsion system for augmenting the lift and maneuvering capabilities of the vehicle. The integrated flight and propulsion control (IFPC) problem addressed herein and elsewhere1-5 focuses on the interactions between airframe and engine systems, especially in control law synthesis and analysis of such configurations.

The main purpose of this paper is not to discuss any particular IFPC control law synthesis procedure but first to present an analysis framework that will expose how the interactions manifest themselves and second to determine if cross-coupling dynamics between the airframe and engine are of sufficient "magnitude" to significantly affect stability and/or performance of the feedback systems. The analysis technique also addresses the issue of the system's robustness against uncertainties in these interactions. Airframe/engine interactions are often a significant source of uncertainty in the system's dynamics.

Another objective of the paper is to use the analysis approach to evaluate airframe/engine cross-coupling on a vehicle that has been the subject of several studies in IFPC. The analysis reveals that critical cross-coupling is not present for this vehicle, as modeled, for the operating condition and control configuration evaluated. As a result, the classical control laws considered in this example would appear to deliver adequate stability robustness and performance. A second control configuration is then considered, and the analysis shows increased cross-coupling due to an added reaction control system (RCS) causing a significant degradation in engine loop performance.

Potential Sources of Airframe/Engine Interactions

The airframe/engine interactions highlighted in this section are elaborated on in Refs. 1-9. Consider for discussion purposes the vehicle system in Fig. 1. Thrust reversing nozzles may be considered for improving forward speed control of the aircraft. Vectors of the engine's aft nozzle may be used to augment attitude control power, and ventral nozzle thrust may augment aerodynamic lift. Left and right ejectors, drawing primary thrust from the engine's mixed flow (core and bypass flow) and secondary thrust from intakes over the top of the fuselage may also augment lift and enhance pitch and roll control power. The lift and attitude responses of the airframe will be influenced by thrust disturbances in these sources, and effects of the ejector's secondary flow may significantly influence the airframe aerodynamics.

On the other hand, commands in thrust reversing, thrust vectoring, and ventral and ejector thrust may cause pressure disturbances in the augmentor or mixing plane. If the nozzle is operating in an unchoked condition, these pressure disturbances may propagate through the fan bypass duct and cause engine transients such as a reduction in fan surge margin. Reaction control system jets, used for airframe attitude control, as well as upper wing surface blowing, used for lift augmentation, usually draw bleed air from the engine's compressor. Thus, core flow dynamics can also influence the lift and attitude responses of the airframe. Increased RCS thrust will cause reduced core pressure due to compressor bleed flow demand, creating engine flow disturbances. Also, flight dynamic pressure, angle of attack, sideslip angle, and inlet flow distortions can influence the effectiveness of the RCS control jets and cause reduced fan surge margin.

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Fig. 1 Typical vehicle configuration.
It is important to note here that, for the type of vehicle being considered, the propulsion system not only affects the (slower responding) transitional velocity of the vehicle but also may be both a lift and moment "actuator," affecting the vehicle's (faster responding) attitude dynamics. All of these interactions just described between the airframe and engine are shown in Fig. 2.

Analysis Framework

The technique to be presented is a quasilinear approach for assessing airframe and engine interactions. This procedure seeks to provide a better understanding of the effects of these interactions. It is recognized that many of the interactions discussed previously involve nonlinear phenomena, and detailed nonlinear simulations will ultimately be required. However, the justification for the quasilinear analysis and the treatment of engine limits is specifically noted herein.

Consider the airframe/engine nonlinear system similar to that discussed in Refs. 11-13 and shown in Fig. 3. $y_A$ is the vector of commands to the flight control system, and $y_E$ is the vector of commands to the engine control system. $u_A$ is the vector of aircraft control inputs (flap deflection $\delta_F$, thrust vector nozzle deflection $\delta_T$, etc.), and $u_E$ is the vector of engine control inputs (fuel flow rate $w_f$, nozzle area $A_1$, etc.). Finally, $y_A$ is the vector of aircraft responses (angle of attack $\alpha$, pitch rate $q$, etc.), and $y_E$ is the vector of engine responses (turbine temperature $T_4$, fan speed $N_2$, etc.).

Implicit in the feedback portion of this system is that the matrix $G(s)$, the quasilinear input/output mapping of the vehicular system, is a member of a set of such mappings, $G(s)$, and strongly depends on the particular flight and engine operating condition. In fact, each such operating point manifests a particular quasilinear system model and control architecture, which define the matrices $G(s)$ and $K(s)$. Furthermore, these mappings may reflect a particular control mode, such as "riding an engine limit." In such a case, the controlled responses $y_E(s)$ depend on the operating limit. In the discussion to follow, it is implied that the analysis is being performed for a specific operating condition and a specific engine control mode.

If it can be assumed here that any gain scheduling leads to slowly time-varying gains, then the particular feedback system being considered can be treated as (approximately) time invariant. In this case, the system nonlinearities reside primarily outside the feedback loop, and the purpose of feedback is to force approximately linear behavior between $y$ and $y_C$. The analysis framework that follows focuses only on the feedback portion of the system. However, this does not imply that the prefilters, gain scheduling, limit logic, etc., outside the feedback loop are not important to the system design, but that stability and performance of the feedback loops are fundamental to a successful design. Furthermore, since the feedback control loops for the airframe and engine are, under current practice, developed by different organizations, it could be argued that interactions in these loops would constitute the most difficult design challenge.

Now, more specifically, consider the aircraft dynamics isolated from the engine dynamics, with input/output characteristics defined in terms of a matrix of transfer functions $G_A(s)$, where

$$y_A(s) = G_A(s)u_A(s)$$

Likewise, let the isolated engine's input/output characteristics be defined in terms of a matrix of transfer functions $G_E(s)$, where

$$y_E(s) = G_E(s)u_E(s)$$

Consider that each of these systems will be acted on by feedback control compensation matrices $K_A(s)$ for the aircraft flight control system, and $K_E(s)$ for the engine control system. The associated engine feedback system is shown in Fig. 4 [note again that $K_E(s)$ and $G_E(s)$ are, in general, matrices]. The closed-loop quasilinear responses of this system are given by

$$y_E(s) = [I + G_E(s)K_E(s)]^{-1}G_E(s)K_E(s) y_E(s)$$

and the closed-loop characteristic polynomial is

$$\phi(s) = \det[I + G_E(s)K_E(s)]$$

where the roots of $\phi(s)$ are an aggregate of the poles of $G_E(s)$ and $K_E(s)$.

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where the roots of $\phi(s)$ are an aggregate of the poles of $G_E(s)$ and $K_E(s)$.
But since the airframe/engine system dynamics are in fact coupled, their input/output characteristics are more accurately represented as

\[
\begin{bmatrix}
  y_A(s) \\
  y_E(s)
\end{bmatrix} =
\begin{bmatrix}
  G_A(s) & G_{AE}(s) \\
  G_{EA}(s) & G_E(s)
\end{bmatrix}
\begin{bmatrix}
  u_A(s) \\
  u_E(s)
\end{bmatrix} =
\begin{bmatrix}
  G(s) \\
  G(s)
\end{bmatrix}
\begin{bmatrix}
  u_A(s) \\
  u_E(s)
\end{bmatrix}
\]  

(5)

where, again, \( G_A(s), G_E(s), G_{AE}(s), \) and \( G_{EA}(s) \) are, in general, matrices. Note also that \( G_A(s) \) and \( G_E(s) \) may differ from the decoupled subsystem models \( G_A^*(s) \) and \( G_E^*(s) \) by some amount \( \Delta_A(s) \) and \( \Delta_E(s) \), respectively, due to the cross-coupling actually present between the airframe and engine systems. That is,

\[
G_A(s) = G_A^*(s) + \Delta_A(s)
\]

\[
G_E(s) = G_E^*(s) + \Delta_E(s)
\]  

(6)

Further, \( G_{AE}(s) \) and \( G_{EA}(s) \) represent any input coupling that leads to the open-loop engine control inputs influencing airframe responses or the open-loop airframe control inputs influencing the engine responses, respectively. Now, if both \( G_{AE}(s) \) and \( G_{EA}(s) \) are "large," the system is said to exhibit two-directional coupling. If only one is "large," the coupling between the subsystems is primarily one-directional.

The actual coupled system, under the influence of the airframe and engine control feedback compensation \( K_A(s) \) and \( K_E(s) \), is then shown in Fig. 5. In this figure the lower portion of the block diagram is the original engine loop, but it is no longer isolated from the airframe as in Fig. 4.

Figure 5 reveals how, for example, the coupling dynamics \( G_{AE}(s) \) and \( G_{EA}(s) \) and the airframe dynamics \( G_A(s) \), augmented with the airframe compensator \( K_A(s) \), interact with the engine loops. (Note that a dual exists for the effects of the coupling and augmented engine dynamics on the airframe loops.) Through block diagram manipulation, the system in Fig. 5 may be represented as in Fig. 6, where

\[
E_A(s) = \Delta_E - G_{EA}[I + K_A(G_A^* + \Delta_A)]^{-1}K_A G_{AE}
\]

(7)

\[
D_A(s) = G_{EA}[I + K_A(G_A^* + \Delta_A)]^{-1}K_A
\]  

(8)

(9)

(10)

(11)

Comparison of the decoupled engine system's input/output relationship of Eq. (3) with the truly coupled system's input/output relationship of Eq. (9) reveals that the additive interaction matrix \( E_A(s) \) can affect both stability and performance of the engine feedback system. However, the disturbance interaction matrix \( D_A(s) \) does not affect stability of the quasi-linear system, since (as shown later) the characteristic polynomial of the closed-loop coupled system is independent of this matrix. Clearly, however, \( D_A(s) \) has an impact on the engine control system performance. Commands into the flight control system \( y_A(s) \) disturb the engine responses through \( D_A(s) \) and appear as output disturbances to the engine control loops. Thus, if \( D_A(s) \) is large, the closed-loop engine performance will suffer.

Quite significant is the fact that \( E_A(s) \) can affect the interacting system's closed-loop stability. The closed-loop characteristic polynomial for the coupled system is

\[
\phi_0(s) = \phi_0(s) \det \left[ I + [G_{EA}(s) + E_A(s)] K_E(s) \right]
\]

(10)

Here the roots of \( \phi_0(s) \) are an aggregate of the poles of \( K_E(s) \) and the poles of the system with only the airframe loops closed with \( K_A(s) \), or the values of \( s \) for which \( \det[I + G_A(s)K_A(s)] = 0 \). These facts are derived in Appendix A. Now it can be seen from Nyquist stability theory that the closed-loop system in Fig. 6 is assured to remain stable if the feedback loop is stable for \( E_A(s) = 0 \), and if

\[
\det \left[ I + [G_E^*(j\omega) + E_A(j\omega)] K_E(j\omega) \right] \neq 0, \quad [0 < \omega < 1]
\]

(11)

for all frequencies \( \omega > 0 \). It can further be shown that this is assured if

\[
\tilde{\omega} \left[ E_A(j\omega)K_E(j\omega) \right] < \epsilon \left[ I + G_E^*(j\omega) K_E(j\omega) \right] \quad \text{for all } \omega > 0
\]

(12)
or if
\[
\delta \left[ E_A(j\omega)G_E(j\omega)^{-1} \right] < \gamma \left[ I + [G_E(j\omega)K_E(j\omega)^{-1}] \right] \\
\text{for all } \omega > 0
\] (13)

where \( \delta \) and \( \gamma \) denote the maximum and minimum singular values of a matrix, respectively.

These key inequalities are measures of the overall system's stability robustness with respect to uncertainties in airframe/engine interactions. In fact, the system's robustness can be indicated by plotting both sides of Eq. (12) or (13). It is evident that there will be loss of robustness at frequencies where \( E_A(s) \) is "large" (i.e., if its maximum singular value is large). At these critical frequencies, a stability robustness margin may be defined as the distance between the left- and right-hand sides of Eq. (12) or (13). Since \( E_A(s) \) is a strong function of the cross-coupling dynamics \( G_{AE}(s) \) and \( G_{EA}(s) \), small variations in elements of either \( G_{AE}(s) \) or \( G_{EA}(s) \) at some critical frequency may reduce this margin to zero and thus lead to the failure of the aforementioned stability criteria.

The significance of the preceding results may be seen more clearly by considering a single-input/single-output engine control system. Let the regulated engine response of interest be, for example, fan speed \( N_2 \), and, for a fixed nozzle area, let the control input be the main burner fuel flow rate \( W_F \). In this case, the transfer function matrices \( G_E(s) \), \( D_A(s) \), \( K_{EA}(s) \), and \( K_{AE}(s) \), as well as \( D_A(s) \), reduce to scalars, denoted by \( E_A(s) \), \( e_A(s) \), \( K_{EA}(s) \), \( K_{AE}(s) \), and \( d_A(s) \). Then Eq. (9) reduces to the scalar relationship
\[
y_E(s) = \left[ \frac{g_{EA}k_A}{1 + k_A(g_{EA} + \delta_A)} \right] y_{AE} + \left[ \frac{1}{1 + (g_{EA} + e_A)k_E} \right] d_A y_{AE}
\] (14)

Also, if all system transfer functions are assumed to be scalars, Eqs. (7) and (8) reduce to
\[
e_A(s) = \delta_E - \frac{g_{EA}k_A}{1 + k_A(g_{EA} + \delta_A)}
\] (15)

Equation (15) shows clearly that \( e_A(s) \) is a strong function of the frequency-dependent (weighted) product of \( g_{EA}(s) \) and \( g_{AE}(s) \). Hence, if either \( g_{AE}(s) \) or \( g_{EA}(s) \) (or both) are small and \( \delta_A \) is small at critical frequencies, then \( e_A(s) \) will tend to be small at those frequencies.

The characteristic equations in Eq. (14) also show that if \( e_A(s) \) is large, then gain and phase margins present in the decoupled engine loop transfer \( [K_{EA}(s) \delta^R_E(s)] \) may be eroded in the coupled engine loop transfer, as depicted in Fig. 7. However, from Eq. (12), stability of the coupled system is assured if
\[
|e_A(j\omega)k_E(j\omega)| < |1 + g_{EA}(j\omega)k_{EA}(j\omega)| \\
\text{for all } \omega > 0
\] (17)

which is the scalar form of Eq. (12).

Note that the focus of this analysis has been the effect of airframe dynamics on the engine loop. A dual analysis reveals how the interactions affect the airframe attitude loops. That is, the dual of Eq. (9) gives the airframe responses for the interacting system as
\[
y_A(s) = \left[ I + \delta A(s) \right] y_{AE} + \left[ I + \delta A(s) \right] K_{AE}(s)
\]

where the interaction matrices \( F_E(s) \) and \( D_E(s) \), given below, are the duals of \( E_A(s) \) and \( D_A(s) \):
\[
E_E(s) = \delta^R_E - \delta A(s) \left[ I + K_{EA}(s) \right]^{-1} K_{AE}(s)
\]

\[
D_E(s) = \delta A(s) \left[ I + K_{EA}(s) \right]^{-1} K_{AE}(s)
\]

The airframe loops are assured to remain stable in the presence of interaction uncertainties as long as
\[
|e_A(j\omega)K_{AE}(j\omega)| < \gamma \left[ 1 + \delta A(s) \right] K_{AE}(j\omega) \\
\text{for all } \omega > 0
\] (21)
which is the dual of Eq. (12). Also, "large" $D_{E}(s)$, for example, will degrade the flying qualities of the flight control system due to disturbances arising from engine commands.

As a final note, this analysis does not necessarily require analytical models of the airframe and/or engine. Input/output mappings of the system could conceivably be experimentally obtained, and graphical data could be used exclusively to obtain plots of Eqs. (7), (8), and (12), for example.

**Two Case Studies**

The techniques just presented will now be used in the analysis of an airframe/engine system that has been the subject of several investigations of integrated flight and propulsion control. The baseline vehicle to be considered is representative of a high-performance Short Takeoff and Landing (STOL) fighter aircraft equipped with a thrust-vectoring/thrust-reversing nozzle. The operating point under consideration is the approach-to-landing flight condition at an airspeed of $V_0 = 120$ kt and flight-path angle $\gamma_0 = -3$ deg. The quasi-linear vehicle system model is that given in Refs. 10, 15, and 16.

A second configuration will also be considered, which is identical to the baseline but with a high-pressure RCS added. Although significant airframe/engine coupling may be expected, the analysis will show that little critical interactions exist for the baseline configuration, and only one-directional coupling is present for the configuration that includes the RCS. Note also that, although the analysis herein involves only single-input/single-output systems, the last section presented a multivariable methodology and thus is not restricted to scalar systems.

For both cases the airframe's dynamics are aerodynamically unstable. The airframe flight control design objective is to stabilize the airframe's dynamics and obtain classical pitch responses from pilot pitch stick inputs. The objective of the engine control law is to regulate the fan speed. The control laws for both cases are given in Appendix B.

**Case 1**

The open-loop system is described as

\[
\begin{bmatrix}
\frac{(K_{bb}/K_{bq})\alpha + q}{N_2} \\
\end{bmatrix} = \begin{bmatrix}
g_{AE}(s) & g_{BE}(s) \\
g_{EA}(s) & g_{E}(s) \\
\end{bmatrix} \begin{bmatrix}
\delta_{\text{pitch}} \\
w_f \\
\end{bmatrix} \begin{bmatrix}
\delta_{\text{stick}} \\
\end{bmatrix}
\]

(22)

where, for example,

\[
g_{A}(s) = -\frac{14(s + 0.03 \pm 0.07 j)(s + 0.6)(s + 1.4)(s + 3.6)(s + 7)(s + 90)}{(s + 0.06 \pm 0.2j)(s + 1.4)(s - 1.5)(s + 2)(s + 3.6)(s + 7)(s + 90)}
\]

\[
g_{E}(s) = \frac{1.3(s + 0.06 \pm 0.2j)(s - 1.5)(s + 2)(s + 16 + 6j)(s + 37)}{(s + 0.06 \pm 0.2j)(s + 1.4)(s - 1.5)(s + 2)(s + 3.6)(s + 7)(s + 90)}
\]

(23)

Note the unstable mode at 1.5 rad/s. From Appendix B, the control law is

\[
\begin{bmatrix}
\delta_{\text{pitch}} \\
w_f \\
\end{bmatrix} = \begin{bmatrix}
K_{q} & 0 \\
0 & (K_{bb}/K_{bq})\alpha + q \\
\end{bmatrix} \begin{bmatrix}
\delta_{\text{stick}} \\
\end{bmatrix}
\]

(24)

where $w_f$ fuel flow rate, and the pitch attitude control $\delta_{\text{pitch}}$, the feedback gains $K_{bb}$ and $K_{bq}$, and the pilot stick gain $K_{\text{stick}}$ are given in Appendix B. These control laws lead to gain cross-over frequencies in the engine and aircraft pitch loops of approximately 3 and 5 rad/s, respectively.

Shown in Fig. 8 are the magnitudes of the input/output mappings in Eq. (22), as well as the mappings for the decoupled airframe and engine $g_{A}(s)$ and $g_{E}(s)$. To properly evaluate the relative sizes of the input/output relationships of the airframe and engine, the system must be normalized by, for example, estimates of the maximum values of the controls and responses. The values used to normalize this plant are given in Table 1 and are taken from Ref. 9.

Figure 8 reveals that the cross-coupling terms $g_{AE}(s)$ and $g_{EA}(s)$ are both smaller than the diagonal elements in Eq. (22) by approximately 40 dB for frequencies above 1 rad/s. Recall that the loop gain cross-over frequencies are around 3-5 rad/s. Also, since there are no visible differences in the plots of $g_{A}(s)$ and $g_{E}(s)$ and $g_{A}(s)$ and $g_{E}(s)$, $\Delta_{u}(s)$ and $\Delta_{v}(s)$ are quite small. Hence, from Eqs. (7), (8), (19), and (20), $e_{A}(s)$, $d_{A}(s)$, $e_{E}(s)$, $d_{E}(s)$ should all be quite small, and it might be expected that airframe/engine interactions will be negligible. However, the complete analysis requires knowledge of candidate control laws, since feedback compensation could increase critical cross-coupling.

Shown in Fig. 9 are plots of both sides of the key inequality of the stability robustness analysis, Eq. (12) or (17). This figure shows that $|e_{A}k_{E}|$ for the baseline configuration is much less than $1 + g_{E}k_{E}$ throughout the frequency range shown. The stability margin, defined here as the minimum distance between the left- and right-hand sides of the inequality of Eq. (12) or (17), occurs near 0.2 rad/s and is approximately 40 dB for the baseline configuration. Therefore, the analysis indicates significant engine loop stability robustness against uncertainties in airframe/engine interactions.

Figure 10 presents the magnitude of the engine's fan speed sensitivity function $1/[1 + (g_{E} + e_{E})k_{E}]$ along with the magnitude of the engine loop disturbance interaction due to pilot input $d_{E}(j\omega)$ [Eq. (8) or (16)] for the baseline configuration. The spectrum of the engine response because of these disturbances, or $N_{3}/\delta_{\text{pitch}}$, is shown in Fig. 11, also labeled as the baseline configuration. This response is, of course, the product of the two terms plotted in Fig. 10. These plots reveal that the fan speed loop will reject disturbances arising from pilot pitch inputs, since $g_{EA}(j\omega)$ is small.

In summary, the analysis of this airframe/engine system description indicates that the additive and disturbance interaction effects $e_{A}(s)$ and $d_{A}(s)$ are small [and although not shown, $e_{E}(s)$ and $d_{E}(s)$ are small as well]. Hence, the coupling in this vehicle will not significantly degrade the closed-loop performance of both the airframe and engine subsystems; the system is therefore robust against interaction uncertainties and decentralized control laws appear quite adequate.

![Fig. 12 Open-loop normalized transfer function magnitudes with pitch RCS control included.](image-url)
The airframe/engine system’s closed-loop airframe transfer functions [see Eq. (18)] are

\[
\alpha(s) = \frac{-0.1(s + 0.06 \pm 0.2)(s + 30)}{(s + 0.05 \pm 0.2)(s + 2.8 \pm 2.8j)} T_1(s) \left( \frac{\text{deg}}{\text{lb}} \right) \delta_{\text{nick}}(s) + \frac{-4e - 4(s + 2 \pm 0.6)(s + 4)(s + 5)(s - 76)}{(s + 0.05 \pm 0.2)(s + 2.8 \pm 2.8j)} T_2(s) \left( \frac{\text{deg}}{\text{rpm}} \right) N_{2r}(s)
\]

\[
\beta(s) = \frac{-0.05(s + 0.07)(s + 0.5)}{(s + 0.05 \pm 0.2)(s + 2.8 \pm 2.8j)} T_1(s) \left( \frac{\text{rad/s}}{\text{lb}} \right) \delta_{\text{nick}}(s) + \frac{-4e - 5(s + 2)(s + 3)(s + 7 \pm 2j)(s - 21)}{(s + 0.05 \pm 0.2)(s + 2.8 \pm 2.8j)} T_3(s) \left( \frac{\text{rad/s}}{\text{rpm}} \right) N_{2c}(s)
\]

where

\[
T_1(s) = \frac{(s + 0.4)(s + 2 \pm 4j)(s + 8)(s + 90)}{(s + 0.4)(s + 2 \pm 4j)(s + 8)(s + 90)}
\]

\[
T_2(s) = \frac{s}{(s + 0.4)(s + 2 \pm 4j)(s + 8)(s + 90)}
\]

\[
T_3(s) = \frac{s}{s + 0.4(s + 3 \pm 2j)(s + 2.8 \pm 2.8j)}
\]

and where \(T_1(s)\) is unity to the accuracy displayed, indicating that engine modes are essentially unobservable in the airframe responses. The transfer functions between the airframe responses and commanded fan speed \(N_{2c}\) are also quite small since the disturbance interaction effect \(d_{2e}(s)\) is small.

The closed-loop fan speed response [see Eq. (9) or (14)] for the airframe/engine system is

\[
N_{2c}(s) = \frac{-2(s + 2)(s + 4 \pm 2j)(s + 90)}{(s + 0.4)(s + 2 \pm 4j)(s + 8)(s + 90)} T_1(s) \left( \frac{\text{rpm}}{\text{rpm}} \right) N_{2c}(s) + \frac{-0.06(s - 6)(s + 7)(s - 258)}{(s + 0.4)(s + 2 \pm 4j)(s + 8)(s + 90)} T_2(s) \left( \frac{\text{rpm}}{\text{lb}} \right) \delta_{\text{nick}}(s)
\]

where

\[
T_1(s) = \frac{(s + 0.05 \pm 0.2)(s + 2.8 \pm 2.8j)}{(s + 0.05 \pm 0.2)(s + 2.8 \pm 2.8j)}
\]

\[
T_2(s) = \frac{s}{s + 0.4(s + 3 \pm 2j)(s + 2.8 \pm 2.8j)}
\]

As with the airframe responses, \(T_1(s)\) is unity, indicating that airframe modes are essentially unobservable in the engine response. The fan speed response from pilot pitch stick input is quite small since \(d_{2e}(s)\) is small.

Case 2

Now consider the same vehicle with similar control laws but with pitch attitude control power enhanced by a combination of thrust vectoring and pitch RCS jets. RCS jets, which draw bleed flow from the engine’s compressor, will directly influence the quality of airflow through the engine, thus increasing airframe/engine interactions. Models of the effects of bleed flow on the propulsion system were provided by the NASA Lewis Research Center. The control laws for this configuration are also detailed in Appendix B and are such that the airframe and engine control loops, cross-over frequencies, etc., are essentially the same as those for the baseline configuration.

The magnitudes of the elements of the plant transfer function matrix [Eq. (22)] are shown in Fig. 12. Again, the plant was normalized using the maximum values of control inputs and responses given in Table 1, and the maximum value of the pitch RCS jet nozzle area \(A_n\) was 1 in.\(^2\). When compared with Fig. 8, this figure shows that the addition of pitch RCS control increases the magnitude of \(g_{AE}(j\omega)\) by approximately 50 dB over the frequency range shown. Hence, strong one-directional coupling is indicated.

The large increase in the magnitude of \(g_{AE}(j\omega)\) causes the magnitude of \(d_{ae}(j\omega)\) to significantly increase [see Eq. (16)], as shown in Fig. 10. This figure indicates that the engine loop can no longer effectively reject fan speed disturbances arising from pilot pitch stick inputs. In fact, Fig. 11 shows the significant increase in the magnitude of the fan speed response due to pilot pitch stick input over the baseline case.

Furthermore, the increase in magnitude of \(g_{AE}(j\omega)\) causes an increase in magnitude of \(e_{AE}(j\omega)\) over the baseline configuration as well, as indicated in Fig. 9. Hence, stability robustness against uncertainties in airframe/engine interactions is reduced. Figure 9 shows that the stability margin is reduced from the baseline configuration to approximately 20 dB, again measured at 0.2 rad/s. It is worth noting that this critical frequency is well removed from the cross-over frequencies of the airframe and engine loops (3 and 5 rad/s). Note that in

- Table 1: Estimates of maximum values of controls and responses

<table>
<thead>
<tr>
<th>Case</th>
<th>(q_{max})</th>
<th>(v_{max})</th>
<th>(N_{2r,\max})</th>
<th>(\delta_{YV,\max})</th>
<th>(W_{max})</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>0.06 rad/s</td>
<td>3 deg</td>
<td>570 rpm</td>
<td>10 deg</td>
<td>5000 lb/h</td>
</tr>
</tbody>
</table>

- Table 2: Additive perturbations of \(g_{AE}(j\omega)\)

<table>
<thead>
<tr>
<th>Case</th>
<th>(\delta[g_{AE}, (\text{rad/s})/(\text{lb/h})])</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1.6</td>
</tr>
<tr>
<td>2</td>
<td>3.2</td>
</tr>
<tr>
<td>3</td>
<td>4.7</td>
</tr>
<tr>
<td>4</td>
<td>6.3</td>
</tr>
<tr>
<td>5</td>
<td>6.7</td>
</tr>
</tbody>
</table>

Fig. 13 Plot of Eq. (17) for various magnitudes of engine-to-airframe interactions, \(|g_{AE}(j\omega)|\).
Fig. 14 Locus of the airframe/engine system's closed-loop poles as the magnitude of $g_{AE}(j\omega)$ is increased.

thrust transients will not generate large pitching moments, and this is the reason $g_{AE}(s)$ is small in this case. If the vehicle configuration was such that the trim thrust-vectoring angle was large, thus increasing the component of the thrust vector perpendicular to the airframe's longitudinal axis, engine thrust transients would create larger pitching moments. In such a case, $g_{AE}(s)$ would be larger.

Figure 13, like Fig. 9, shows the inequality of Eq. (17). This figure, however, displays $|e_Ak_E|$ for various values of the magnitude of $g_{AE}(j\omega)$. Here,

$$g_{AE}(j\omega) = (|g_{AE}|_{\text{nominal}} + \delta g_{AE}) e^{j\omega e}$$

(27)

Table 2 lists the additive perturbations of the magnitude of $g_{AE}(j\omega)$ corresponding to the dashed curves in Fig. 13.

Figure 13 shows that $|e_Ak_E|$ is much less than $|1 + g_{AE}k_E|$ throughout the frequency range for the nominal magnitude of $g_{AE}(j\omega)$, and stability of the system is not in jeopardy. However, the stability margin reduces to zero ($|e_Ak_E| = |1 + g_{AE}k_E|$) at $0.2$ rad/s when the magnitude of $g_{AE}(j\omega)$ is increased by only $6.7$ (rad/s)/lb (case 5). From Fig. 12, note that $g_{AE}(j\omega)$, thus increased, would become comparable in magnitude to the other transfer functions in the system.

Figure 14 shows how the closed-loop eigenvalues of the system vary as the magnitude of $g_{AE}(j\omega)$ is increased. Higher frequency engine poles are not shown and do not vary to any great extent. However, this figure shows that a low-frequency (phugoid mode) instability does indeed occur at a frequency of $0.2$ rad/s. Further, this instability occurs precisely for the increase in magnitude of $g_{AE}(j\omega)$ corresponding to case 5 in Fig. 13. It is also significant that the critical frequency of instability ($0.2$ rad/s) is not near the engine or airframe loop cross-over frequencies where phase margin is measured and that Eq. (17) correctly indicated that instability will first occur at this critical frequency due to variations in airframe/engine interactions.

Conclusions

Expressions were derived for additive and disturbance interaction matrices that may be used to quantify the significance of airframe/engine interactions on either the engine control loops or, for the dual analysis, the flight control loops. A technique for determining the stability robustness of the system against uncertainties in these interactions was presented. The size of the interaction matrices in critical frequency ranges, measured, for example, by their singular values, quantifies the effect of airframe/engine coupling on closed-loop stability and/or performance. The critical interaction matrices were shown to depend on the control compensation as well as the input/output characteristics of the airframe/engine system. If the system exhibits two-directional coupling, stability as well as performance may be compromised. Systems with one-directional coupling may preserve adequate stability robustness, although performance can be seriously affected.

This analysis was then applied to an airframe/engine system considered in previous integrated control studies, and two cases were presented. The baseline configuration was shown to exhibit few interactions. Classical decentralized control laws therefore appear quite suitable. However, the analysis revealed significant one-directional cross-coupling for a second control configuration with a reaction control system added. Inclusion of the RCS jets led to significant disturbances in the fan speed loop arising from pilot pitch inputs, and reduction in the stability robustness against variations in airframe/engine interactions was also recorded. The analysis accurately indicated the frequency at which instability would first occur due to these variations. Frequently, only engine-to-airframe interactions are thought to be of concern; however, this case clearly indicates strong airframe-to-engine coupling. In some previous IFPC studies only engine-to-airframe interactions were thought to be of concern. Although this may have been a valid assumption for the vehicle configurations examined, analysis methodologies should, in general, consider two-directional coupling.

Appendix A: Derivation of Eq. (10)

Let a state-space realization of the input/output mapping for the fully coupled aircraft/engine system be defined as

$$\begin{bmatrix} x_A \\ x_E \end{bmatrix} = \begin{bmatrix} A_A & A_{AE} \\ A_{EA} & A_E \end{bmatrix} \begin{bmatrix} x_A \\ x_E \end{bmatrix} + \begin{bmatrix} B_A & B_{AE} \\ B_{EA} & B_E \end{bmatrix} \begin{bmatrix} u_A \\ u_E \end{bmatrix}$$

$$y_A = C_A x_A$$

and the mapping given as

$$y_A(s) = [G_A(s) \ G_{AE}(s) \ G_E(s)] \begin{bmatrix} u_A(s) \\ u_E(s) \end{bmatrix} = [G(s)] \begin{bmatrix} u_A(s) \\ u_E(s) \end{bmatrix}$$

with system characteristic polynomial

$$\phi(s) = \det(sI - A_A - A_{AE})$$

$$\phi_E(s) = \det(sI - A_E)$$

Also let the state-space descriptions of the aircraft and engine compensation $K_A(s)$ and $K_E(s)$ be, respectively,

$$\begin{bmatrix} x_A \\ x_E \end{bmatrix} = \begin{bmatrix} A_k x_k + B_k u_k \\ C_k x_k \end{bmatrix}$$

$$y_A(s) = C_k x_k$$

$$y_E(s) = C_k x_k$$

where $e_{AE}(s) = y_A(s) - y_A(s)$ and $e_{AE}(s) = y_{AE}(s) - y_E(s)$ are the inputs to the aircraft and engine compensators. The characteristic polynomials of these compensators are

$$\phi_A(s) = \det(sI - A_k)$$

$$\phi_E(s) = \det(sI - A_k)$$

Sought now is the state-space description of $G_{AE}(s) + E_{AE}(s)$, as presented in Fig. 6. Using Eqs. (A1) and (A4), and referring to Fig. 5, yields the desired result, or

$$\begin{bmatrix} x_A \\ x_E \end{bmatrix} = \begin{bmatrix} A_A & A_{AE} \\ A_{EA} & A_E \end{bmatrix} \begin{bmatrix} x_A \\ x_E \end{bmatrix} + \begin{bmatrix} B_{AE} \\ B_E \end{bmatrix} \begin{bmatrix} u_A \\ u_E \end{bmatrix}$$

$$y_A = C_A x_A$$

$$y_E = C_E x_E$$

(A6)
Denoting this system as
\[ x_1 = A_1 x_1 + B_1 u_E + B_2 y_{AC} \]
\[ y_E = C_1 x_1 \]  
(A7)

it can be shown\(^\text{17}\) that the characteristic polynomial of this system \([G_E(s) + E_A(s)]\) is

\[ \phi_1(s) = \det(sI - A_1) = \phi_3(s)\phi_{A_k}(s) \det[I + G_A(s)K_A(s)] \]  
(A8)

Appending the state equation for the engine compensator \(K_E(s)\) to the state equation for \(G_E^2(s) + E_A(s)\) gives the state-space description of the open-loop system of Fig. 6 (or \([G_E(s) + E_A(s)]K_E(s)\)) as

\[ \begin{bmatrix} x_1 \\ x_{C_k} \end{bmatrix} = \begin{bmatrix} A_1 & B_1 C_{k_E} \\ 0 & A_{k_k} \end{bmatrix} \begin{bmatrix} x_1 \\ x_{C_k} \end{bmatrix} + \begin{bmatrix} 0 \\ B_{k_k} \end{bmatrix} y_{AC} + \begin{bmatrix} B_2 \\ 0 \end{bmatrix} y_E \]
\[ y_E = [C_1 0] \begin{bmatrix} x_1 \\ x_{C_k} \end{bmatrix} \]  
(A9)

The characteristic polynomial of this system is

\[ \phi_2(s) = \det(sI - A_1 - B_1 C_{k_k}) = \phi_3(s)\phi_{k_k}(s) \]  
(A10)

Closing the (engine) loop in Fig. 6, the state-space equation for the entire closed-loop system is then

\[ \begin{bmatrix} x_1 \\ x_{k_k} \end{bmatrix} = \begin{bmatrix} A_1 & B_1 C_{k_k} \\ -B_{k_k} C_1 & A_{k_k} \end{bmatrix} \begin{bmatrix} x_1 \\ x_{k_k} \end{bmatrix} + \begin{bmatrix} 0 \\ B_{k_k} \end{bmatrix} y_{AC} + \begin{bmatrix} B_2 \\ 0 \end{bmatrix} y_E \]
\[ y_E = [C_1 0] \begin{bmatrix} x_1 \\ x_{k_k} \end{bmatrix} \]  
(A11)

and the characteristic polynomial for this closed-loop system is

\[ \phi_3(s) = \det(sI - A_1 - B_1 C_{k_k}) = \phi_3(s)\phi_{k_k}(s) \det[I + (G_E^2 + E_A)K_E] \]  
(A12)

or

\[ \phi_3(s) = \phi_3(s)\phi_{k_k}(s) \det[I + G_A(s)K_A(s)] \]
\[ \times \phi_{k_k}(s) \det[I + (G_E^2 + E_A)K_E] \]  
(A13)

Defining

\[ \phi_0(s) = \phi_3(s)\phi_{k_k}(s) \det[I + G_A(s)K_A(s)] \]  
(A14)

gives

\[ \phi_3(s) = \phi_0(s) \det[I + (G_E^2 + E_A)K_E] \]  
(A15)

which is the result presented as Eq. (10). Note that \(\det[I + G_A(s)K_A(s)]\) is a rational function with denominator equal to \(\phi_3(s)\phi_{k_k}(s)\). Thus, the roots of \(\phi_0(s)\) are the roots of \(\phi_{k_k}(s)\), which are the poles of \(K_E(s)\), and the values of \(s\) for which \(\det[I + G_A(s)K_A(s)]\) equals zero.

### Appendix B: Case Study Control Laws

The following defines the controls and measured responses for the case study vehicular system used in the analysis.

The aircraft control inputs are: \(\delta_{TV} = \) nozzle thrust-vectoring angle, deg; \(\delta_{pitch} = \) pitch RCS jet nozzle area, in.\(^2\); \(\delta_{flaps} = \) trailing-edge/leading-edge flap deflection angle, in.\(^2\).

The engine control input is \(w_f = \) main burner fuel flow rate, lb/h.

The aircraft responses are \(\alpha = \) angle of attack, deg, and \(q = \) pitch rate, rad/s.

The engine response is \(N_e = \) engine fan speed, rpm.

Two cases are presented with different control architectures for pitch attitude control, defined as \(\delta_{pitch}\). For the first case, pitch is controlled only by thrust vectoring, thus, \(\delta_{pitch} = \delta_{TV}\). For the second case, pitch is controlled by a "blend" of both thrust vectoring and pitch RCS jet nozzle area, defined as \(\delta_{pitch} = \delta_{TV} - 8A_q\).

The airframe’s short period mode is unstable, and the control objective is to stabilize the short period mode and obtain a desired modal frequency near 4 rad/s and a damping ratio of 0.7. This is achieved by feeding back angle of attack and pitch rate to pitch control. The other airframe control objective is to increase the flight-path time constant (usually denoted as \(1/r_{q}\)) to approximately 0.5 rad/s. This is achieved by feeding back angle of attack to the flaps. Finally, the pilot stick force gain is adjusted to give an approximate Bode gain on \(g(s)/\delta_{pitch}(s)\) of 0.03 (rad/s)/lb. In summary, the airframe control laws are

\[ \delta_{flaps} = -K_{\alpha}\alpha \]
\[ \delta_{pitch} = -K_{\alpha}\alpha - K_{\alpha q}q - K_{\alpha\delta_{pitch}} \]  
(B1)

The values of the gains for both pitch control case are given in Table B1. Note that increased control power in using RCS jets led to the reduced feedback gains.

Finally, to regulate fan speed, fan speed is fed back through proportional plus integral compensation, with gains of \(-6 (\text{lb/h})/\text{rpm} \) and \(-3 (\text{lb/h})/\text{rpm} \), respectively.

The effects on system stability of the low gain flap loop are minimal. Therefore, the two-by-two system shown in Eq. (22) is obtained by first closing the flap loop and then combining the two aircraft attitude responses (\(\alpha\) and \(q\)) to form one blended aircraft response.

### Acknowledgments

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### References


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large flow disturbances around the airframe is another example of airframe/engine interactions. Flight data was used to estimate that a double engine unstart, experienced by an XB-70 during a turn at Mach 3, would have produced a 2.5 g acceleration and 30 deg/sec roll rate if the pilot had not taken corrective action. Data from a YF-12 airplane showed a yaw acceleration due to engine unstart was approximately 88% of the acceleration produced by maximum rudder deflection. The engine's bypass doors (BPD) were also seen to be as effective as the aileron and rudder controls in producing rolling and yawing accelerations. The rolling and yawing acceleration derivatives with respect to bypass door opening (measured as % of maximum opening) of the YF-12 at Mach 3 are 0.35 deg/sec^2/(percent max BPD) and 0.11 deg/sec^2/(percent max BPD), respectively. These derivatives with respect to aileron and rudder deflections (measured as % of maximum deflection) are 0.295 deg/sec^2/(percent max \( \delta_{\text{ailerom}} \)) and 0.073 deg/sec^2/(percent max \( \delta_{\text{rudder}} \)), respectively.

In the design of the control systems for such aircraft, as well as for the propulsion system, one must properly account for the dynamic coupling between the airframe and the engine, [2,3]. The purpose of this paper is not to discuss the design of a particular control system, but to indicate how the cross coupling dynamics between the airframe and engine can effect the airframe/engine system stability and performance, and to present methods for determining the significance of the cross coupling from the perspective of control system design. This problem is similar to that discussed in [4], for example. However, this cited reference does not fully explore the problem of two-directional coupling between the airframe and engine systems. In this paper, the more general problem involving two-directional coupling is specifically treated. In the next section, the systems theory to be used will be developed and presented, followed by a demonstration of the theory and further discussion on the effects of the coupling. Finally, a classical decentralized control law, developed for a vehicle that has been the subject of several studies on integrated flight/propulsion control, will be evaluated, and it will be shown that for this vehicle system, critical cross coupling is not present.

System Analysis Preliminaries

Let the aircraft perturbation dynamics defined in the neighborhood of the relevant flight condition be described in terms of a matrix of transfer functions \( G_A(s) \), where,

\[
y_A(s) = G_A(s)u_A(s)
\]

with \( y_A(s) \) the vector of aircraft responses (angle of attack, \( \alpha \), pitch rate, \( \dot{\alpha} \), etc.), and \( u_A(s) \) the vector of aircraft control inputs, (flap deflection, \( \delta_f \), thrust vector nozzle deflection, \( \delta_T \), etc.) Likewise, let the engine dynamics defined in the neighborhood of the relevant operating condition be described in terms of a matrix of transfer functions \( G_E(s) \), where,
with $y_E(s)$ the vector of engine responses (turbine temperature, $T_4$, fan speed, $N_2$, etc.), and $u_E(s)$ the vector of engine control inputs, (fuel flow rate, $W_F$, nozzle area, $A_7$, etc.)

Each of these subsystems will be acted upon by feedback systems with control compensation matrix $K_A(s)$, for the aircraft flight control system, and $K_E(s)$, for the engine control system, as shown below, for example, where $y_E$ is the vector of desired or commanded responses.

$$y_E(s) = G_E(s)u_E(s)$$

(2)

Here $d(s)$ represents any outside disturbances acting on the system.

More generally, however, the aircraft/engine system input/output dynamics are

$$\begin{bmatrix} y_A(s) \\ y_E(s) \end{bmatrix} = \begin{bmatrix} G_A^*(s) & G_{AE}(s) \\ G_{EA}(s) & G_E^*(s) \end{bmatrix} \begin{bmatrix} u_A(s) \\ u_E(s) \end{bmatrix} = [G(s)] \begin{bmatrix} u_A(s) \\ u_E(s) \end{bmatrix}$$

(3)

where $G_A^*(s)$ and $G_E^*(s)$ are different from $G_A(s)$ and $G_E(s)$ above by the amounts $\Delta_A(s)$ and $\Delta_E(s)$, respectively, due to dynamic cross-coupling between the engine and airframe subsystems. Thus,

$$\begin{align*}
G_A^* &= G_A + \Delta_A \\
G_E^* &= G_E + \Delta_E
\end{align*}$$

(3a)

Further, $G_{AE}(s)$ and $G_{EA}(s)$ represent input coupling also due to airframe/engine dynamic interactions. Specific examples of these coupling effects will follow. Note that $G_{A}^*(s)$, $G_{E}^*(s)$, $G_{AE}(s)$ and $G_{EA}(s)$ all have the same characteristic polynomial, denoted as $\phi_{0l}(s)$.

In the most general case, the control compensation matrix may have the form,

$$K(s) = \begin{bmatrix} K_A(s) & K_{AE}(s) \\ K_{EA}(s) & K_E(s) \end{bmatrix}$$

(4)

where the off-diagonal terms, $K_{AE}(s)$ and $K_{EA}(s)$, represent control cross-feeds between the airframe and engine subsystems. The entire system is then representable in the following block diagram.

Figure 2 - Block Diagram of the Airframe/Engine Feedback System

The above expressions represent a very general case. Typically, the approach used in the control design is simpler, in that control cross-feeds may be absent, (i.e. $K_{AE}(s)$ and $K_{EA}(s) = 0$). This implies the compensator matrix, $K(s)$, is block diagonal. This situation may be represented as shown in the following block diagram, and will be the configuration considered in the remainder of this paper. The case with cross-feeds, although more complex algebraically, may be addressed in a manner similar to that presented here.

Each of the terms arising from the effects of the airframe/engine coupling are apparent in the above figure. Note that if the compensation $K_A(s)$ and $K_E(s)$ are synthesized assuming that the system is decoupled, the engine loop would be as shown in Fig. 1. For this system, the responses would be given by

$$y_E(s) = [I + G_E(s)K_E(s)]^{-1}d(s)$$

which of course differ from those given by Eqn. (5) if coupling is present. Also, the closed loop characteristic polynomial for the system in Fig. 1 is

$$\Delta(s) = \phi_{0l}(s) \det[I + G(s)K(s)]$$

(6)

Again, $d(s)$ represents any disturbances acting on the system, such as atmospheric turbulence. This closed loop system is governed by the following input-output relationship, [5],

$$\begin{align*}
\begin{bmatrix} y_A(s) \\ y_E(s) \end{bmatrix} &= [I + G(s)K(s)]^{-1}G(s)K(s) \begin{bmatrix} y_A(s) \\ y_E(s) \end{bmatrix} + [I + G(s)K(s)]^{-1}d(s)
\end{align*}$$

(5)

For a tracking and regulation feedback system, the closed loop performance is defined in terms of how well the system's responses follow the commanded inputs and, at the same time, reject unwanted disturbances acting on the system. Thus, from the above equation, the performance objective of the control design is to make the matrix $[I + G(s)K(s)]^{-1}G(s)K(s)$ approximate the identity matrix in a certain frequency range, and make $[I + G(s)K(s)]^{-1} = 0$ over the frequency range where $d(s)$ has significant power. Finally, the characteristic polynomial, $\Delta(s)$, for this closed loop system is, [5],

$$\Delta(s) = \phi_{0l}(s) \det[I + G(s)K(s)]$$

(6)

where the open loop system, $G(s)K(s)$, has the characteristic polynomial $\phi_{0l}(s)$, which has roots equal to the poles of $G(s)$ and $K(s)$.

The above expressions represent a very general case. Typically, the approach used in the control design is simpler, in that control cross-feeds may be absent, (i.e. $K_{AE}(s)$ and $K_{EA}(s) = 0$). This implies the compensator matrix, $K(s)$, is block diagonal. This situation may be represented as shown in the following block diagram, and will be the configuration considered in the remainder of this paper. The case with cross-feeds, although more complex algebraically, may be addressed in a manner similar to that presented here.

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$$y_E(s) = [I + G_E(s)K_E(s)]^{-1}d(s)$$

which of course differ from those given by Eqn. (5) if coupling is present. Also, the closed loop characteristic polynomial for the system in Fig. 1 is

$$\Delta(s) = \phi_{0l}(s) \det[I + G_E(s)K_E(s)]$$

(8)

where the roots of $\phi_{0l}(s)$ are the poles of $G_E(s)$ and $K_E(s)$. Generally, the roots of this polynomial would not be a subset of
those for $\phi_0(s)$ of Eqn. (6).

Figure 4 shows how the coupling dynamics, $G_{AE}(s)$ and $G_{EA}(s)$, and the airframe dynamics, $G_A(s)$, augmented with the airframe compensator, $K_A(s)$, can all be grouped together to form a transfer function that will be denoted as $E_A(s)$.

$$E_A(s) = \Delta - G_{EA}[I + K_A(G_A + \Delta_A)]^{-1}K_AG_{AE}$$ (9)

$$d_A(s) = G_{EA}[I + K_A(G_A + \Delta_A)]^{-1}K_A\gamma_{AC}(s)$$ (10)

The critical closed-loop coupling matrix $E_A(s)$ depends most importantly on the product of the input coupling transfer functions $G_{EA}(s)G_{AE}(s)$, as well as on the airframe control law, $K_A(s)$, the airframe dynamics, $G_A(s) + \Delta_A(s)$, and the change in the engine transfer function, $\Delta_E(s)$. Therefore, if $\Delta_E$ is "small", and if either $G_{AE}(s)$ or $G_{EA}(s)$ or both are "small", then $E_A(s)$ is "small." Also note that if the airframe subsystem includes no feedback ($K_A(s)=0$), $E_A(s)$ simply equals $\Delta_E(s)$, and $d_A(s)=0$.

Note further that the disturbance $d_A(s)$ is independent of $G_{AE}(s)$. Hence this disturbance may be significant even though $G_{AE}(s)$ is small.

It can also be shown that the input/output characteristics of the system in Fig. 5, including the coupling effects, is

$$y_E(s) = [1 + (G_E+E_A)K_E]^{-1}[(G_E+E_A)K_E y_E(s) + [1 + (G_E+E_A)K_E]^{-1}(d_A(s)+d(s))]$$ (11)

and the closed loop characteristic polynomial for this system is

$$\Delta(s) = \phi_0(s) \det[I + (G_E + E_A)K_E]$$ (12)

Here the roots of $\phi_0(s)$ are the poles of $K_E(s)$ and the poles of the system augmented with $K_A(s)$, or the values of $s$ for which $\det[I + K_AG^*(s)] = 0$. Eqn. (12) also reveals how $E_A(s)$ can degrade engine control system performance. Also note how commands into the flight control system, $y_{AC}(s)$, are transmitted to the engine responses through $d_A(s)$. Eqn. (11) also shows that this term enters into the engine responses the same way as any other disturbances, $d(s)$. Thus, the commanded inputs into the aircraft (from the pilot) act as additional disturbances to the engine.

Perhaps more significant, Eqn. (12) shows that the system's closed-loop characteristic polynomial is affected by $E_A(s)$, thus $E_A(s)$ can clearly effect the stability. It can be shown from Nyquist stability theory, [5,6], that the closed loop system in Fig. 5 is assured to remain stable if the loop is stable for $E_A(s)=0$, and if

$$\det[I + (G_E + E_A)K_E] > 0, \ 0 < e < 1$$ (13)

for all frequency. It can further be shown that Eqn. (13) is assured if

$$\sigma_{max}(E_AK_E) < \sigma_{min}(I+G_EK_E)$$ (14)

for all frequency, where $\sigma$ denotes the singular value of a matrix. Thus, it is evident from this inequality that there will be loss of stability robustness for "large" $E_A(s)$, (i.e., if its maximum singular value is large.)

Consider now a single-input/single-output engine control system. For example, the engine response of interest may be fan speed, $N_2$, and, for a fixed nozzle area, the input to control the fan speed may be the main burner fuel flow rate, $W_F$. In this case, the transfer function matrices $G_E(s)$, $K_E(s)$, and $E_A(s)$, as well as $d_A(s)$, reduce to scalars, denoted by $g_E(s)$, $k_E(s)$ and $c_A(s)$, etc. Then Eqn. (11) reduces to the scalar relationship

$$y_E(s) = \frac{(g_E + c_A)k_E y_E(s) + \frac{1}{1 + (g_E + c_A)k_E}(d_A(s) + d(s))}{1 + (g_E + c_A)k_E}$$ (15)

If all transfer functions are assumed for the moment to be scalars, Eqns. (9) and (10) reduce to,

$$c_A(s) = \delta_E \frac{r_{EAEAK_A}}{1 + k_A(g_A + \delta_A)}$$ (16)

$$d_A(s) = \frac{r_{EAK_A}}{1 + k_A(g_A + \delta_A)} y_A(s)$$ (17)

Note again that if $\delta_E$ is small, and if either $g_{EAE}$ or $r_{EAE}$ or both are small, then $c_A(s)$ is small.

Eqn. (15) shows that if $c_A(s)$ is large, then gain and phase margins present in the $k_E(s)g_E(s)$ loop transfer may be eroded, as depicted in Fig. 6. But from Eqn. (14), (15) will not occur if

$$|k_Eg_E| < \frac{1}{|1 + g_Ek_E|}$$ (18)

for all frequencies.
In all the above discussion, the focus has been on the effect of the airframe on the engine loop. Of course, a dual situation is present in that the engine also affects the airframe loop. In designing $K_A(s)$, the flight control designer must obtain airframe responses to pilot inputs that meet the flying quality specifications. These specifications require a pure aircraft-like modal response, and certain frequencies and dampings for these modes. Consider the dual of Eqn. (15), that is, the equation for the aircraft response,

$$y_A(s) = \frac{(a_A + e_g)k_A}{1+(a_A + e_g)k_A} y_{ac}(s) + \frac{1}{1+(a_A + e_g)k_A} (d_g(s) + d(s))$$

where the coupling term $e_g(s)$ models the effect of the engine on the airframe attitude loop. If $e_g(s)$ is small, the aircraft response transfer functions will exhibit almost perfect pole-zero cancellations of the engine modes. Thus, only airframe modes will be dominant, as desired. This cannot be assured if $e_g(s)$ is large. Furthermore, if the disturbance from the engine, $d_g(s)$, is significant, it will degrade the flying qualities.

The final topic is that of model uncertainty, or uncertainty in all the system model transfer functions. Returning the focus to the engine loop, uncertainty can be modeled as additive dynamics just like $E_A(s)$. However, uncertainty just adds directly to $E_A(s)$ and therefore has the same effect on the loop. With modeling uncertainty, the engine loop in Fig. 5 may be considered changed to that shown below.
The thrust vectoring is used to control the aircraft's pitching motion. However, if the nozzle is not choked, and the augmentor pressure changes due to the re-directed engine exhaust, this may change the back pressure on the turbine, which will affect the fuel flow rate. These dynamics are represented in the above figure by $G_f(s)$. The airframe pitching motion will affect the air flow at the inlet, which will affect the flow conditions at the compressor face. This effect is represented by $G_a(s)$. In this example, it is considered that the nozzle area is fixed so that the engine fan speed would be controlled by the fuel flow rate, $w_f$.

Let the fan speed dynamics be modeled here as a first order lag with a time constant of $T_f$, and let the fan speed equation of motion be

$$N = -T_f N + T_f w_f + C_A \delta_n + C_A \theta \quad (20)$$

where the parameter $C_A$ reflects interactions from the pitching dynamics and the parameter $C_\delta$ reflects the effect of $\delta_T V$ on the fan speed. Although for this model these coupling terms are considered constants, these effects may actually turn out to have dynamics.

Considering the outputs of interest to be pitch angle and engine speed, the linearized model leads to the following

$$\begin{bmatrix} \delta(s) \\ N(s) \end{bmatrix} = \begin{bmatrix} g_A(s) & g_{AE}(s) \\ g_{AE}(s) & g_E(s) \end{bmatrix} \begin{bmatrix} \delta_T V \\ w_f \end{bmatrix} \quad (21)$$

where,

$$g_A(s) = \frac{K_E}{s(s+T_f)} + C_E \delta_n$$

$$g_{AE}(s) = \frac{C_E \delta_n}{\phi_0(s)}$$

$$g_{AE}(s) = \frac{C_E \delta_n}{\phi_0(s)}$$

and the open loop characteristic polynomial is

$$\phi_0(s) = s(s+C_\delta)(s+T_f) - C_A C_E \quad (23)$$

where:

$$C_\delta = C_\delta / \tau_y$$

$$K_E = (C_1 \cos(\delta_{nv}))/\tau_y$$

$$C_E = -(C_1 \sin(\delta_{nv}))/\tau_y$$

$$\tau_t = \text{trim thrust}$$

$$\delta_{nv} = \text{trim thrust vectoring nozzle angle}$$

$$T = C_i N = \text{small perturbation thrust}$$

Note that the term $C_E$ reflects the engine's influence on the airframe's dynamics.

Under the assumption that no interactions between the airframe's attitude dynamics and the engine dynamics exist, or $C_A$, $C_E$, and $C_\delta$ are all zero, the input coupling dynamics, $g_{AE}(s)$ and $g_{AE}(s)$ are both zero, and the airframe transfer function reduces to

$$g_A(s) = \frac{K_E}{s(s+C_\delta)} \quad (24)$$

Likewise, the engine transfer function reduces to

$$g_E(s) = \frac{T_0}{s(s+T_f)} \quad (25)$$

Now take the following for the airframe pitch compensation:

$$k_A(s) = \frac{(\omega_c / K_E)}{s(1+\omega_c)} \quad (26)$$

This leads to an augmented airframe transfer function that is a first order lag, with a pole at $-\omega_c$. Finally, let the engine compensator, $k_E(s)$, be simply a gain $k_g$.

With the model and these control laws,

$$e_A(s) = \frac{-\omega_c C_E (T_E / K_E)(s(t+C_\delta + C_\delta)}{\phi_0(s) \phi_0(s) + \frac{\phi_0(s)}{\phi_0(s) (s+T_f)}}\quad (27)$$

Clearly, if $C_E$ is small, or if $g_{AE}(s)$ is small, then $e_A(s)$ is small.

Note further that as the airframe crossover frequency $\omega_c$ is increased, $e_A(s)$ is increased. Hence for all other things equal, a tighter airframe control loop can have a dilatable effect on the engine loop.

Using the following numerical values, the system's open-loop transfer function magnitudes are shown in Fig. 10.

Model parameters: $K_E = -0.08$, $C_\delta = 1.1$, $T_E = 0.78$, $T_f = 1.4$

Design parameters: $\omega_c = 6$, $k_g = 9$

Coupling parameters: $C_E = 1.0$, $C_A = 10$, $C_\delta = 0$

These parameter values were chosen by approximately matching the frequency responses of the more complete system model to be discussed in the case study of the next section. Note that $g_{AE}(s)$ is very small, as are $A_{\delta}(s)$ and $A_{\delta}(s)$.

The size of the product of the input coupling transfer functions, and the coupling transfer function $e_A(s)$ are shown in Fig. 11. Clearly both are small as expected, and hence, the coupling effects will not be significant. The size of $k_E A(s)$, compared to the loop transfer, or open loop Bode, for the engine loop is shown in Fig. 12. The fact that the loop transfer is much larger at all frequencies, along with Eqns. (15) and (18), assures that the coupling effects are truly small. The gain and phase margins of the engine loop are unaffected, as are the closed loop transfer functions, as shown below. For the completely decoupled system, the closed-loop transfer functions are...
while with the parameter values given above, the closed loop transfer functions for the coupled system are

\[
\frac{\Theta(s)}{\delta_p(s)} = \frac{6(s+1.1)(s+8.42)}{(s+7.02)(s+1.1)(s+6)(s+8.42)}
\]

\[
N(s) = \frac{7.02(s+1.1)(s+6)}{(s+8.42)}
\]

Clearly, for the parameter values selected for this system, the coupling is not significant.

Now consider increasing the coupling parameters to

\[ C_E = 0.025, \quad C_A = 12, \quad C_E = -3.5 \]

The closed loop transfer functions become

\[
\frac{\Theta(s)}{\delta_p(s)} = \frac{6(s+1.1)(s+9.514)}{(s+1.093)(s+7.214\pm2.267j)}
\]

\[
N(s) = \frac{7.02(s+1.1)(s+6)}{(s+1.093)(s+7.214\pm2.267j)}
\]

Now, there is no longer accurate cancellations of the engine modes in the airframe response, and airframe modes in the engine response. However, in this case the stability robustness is still not greatly affected. As shown in Fig. 13, \( e_A \theta_E(s) \) is still quite small near the engine loop cross-over frequency region (\( \approx 7 \text{ rad/sec.} \)) so gain and phase margins of this loop would not be eroded.
Using the techniques just presented, attention will be directed to the analysis of an airframe/engine system that has been the subject of several studies of integrated flight and engine control, [e.g. 7]. The vehicle to be considered is representative of a high performance fighter aircraft with 2-D thrust vectoring directed to the analysis of an airframe/engine system that has thrust reversing. The vehicle dynamics are linearized about control, [e.g. 7]. The vehicle to be considered been the subject of several studies of integrated flight and engine responses given by.

The airframe dynamics are aerodynamically unstable. The flight path angle > = -3°. The system states are

\[ x = [u, w, q, \theta, N_2, N_{2.5}, P_6, T_{41B}]^T \]

where, the "aircraft" states are:

- \( u \) = body axis forward velocity (ft/sec)
- \( w \) = body axis plunge velocity (ft/sec)
- \( q \) = pitch rate (rad/sec)
- \( \theta \) = pitch angle (radians)

and the "engine" states are:

- \( N_2 \) = engine fan speed (rpm's)
- \( N_{2.5} \) = engine compressor speed (rpm's)
- \( P_6 \) = engine mixing plane pressure (psia)
- \( T_{41B} \) = high pressure turbine temperature (°R)

The control inputs used in this study are:

\[ \tilde{u} = [\delta_{\text{flaps}}, \delta_{\text{TV}}, w_f]^T \]

where,

- \( \delta_{\text{flaps}} \) = trailing edge and leading edge flap deflection (deg)
- \( \delta_{\text{TV}} \) = nozzle thrust vectoring angle (deg)
- \( w_f \) = main burner fuel flow rate (lb/hr)

The state space description for the system is given in Appendix B. The aircraft's attitude dynamics are to be controlled by thrust vectoring. For this model the nozzle throat area is not considered as an input, therefore, only the fuel flow rate is used to control the engine fan speed.

Classical feedback control laws were synthesized for the airframe and engine by considering each subsystem separately, and treating them as non-interacting. The open loop thrust airframe and engine by considering each subsystem separately, considered as an input, therefore, only the fuel flow rate is used to control the engine fan speed. Proportional plus integral compensation will be used, with gains of -6 (lb/hr)/rpm and -3 ((lb/hr)/sec)/rpm, respectively. With these control laws, the loop gain crossover frequencies for the thrust vectoring and the engine loops are both between 1 and 10 rad/sec, and gain and phase margins of the fuel flow rate loop appear adequate. The open-loop Bode plots for these loops are shown in Figs. 14 and 15 below.

The objective of the engine control design is to regulate the fuel speed. Proportional plus integral compensation will be used, with gains of -6 (lb/hr)/rpm and -3 ((lb/hr)/sec)/rpm, respectively. With these control laws, the loop gain crossover frequencies for the thrust vectoring and the engine loops are both between 1 and 10 rad/sec, and gain and phase margins of the fuel flow rate loop appear adequate. The open-loop Bode plots for these loops are shown in Figs. 14 and 15 below.

The airframe dynamics are aerodynamically unstable. The flight control design objective is to obtain classical longitudinal aircraft responses given by,

\[ \frac{q(s)}{\delta_{\text{flaps}}(s)} = 0.0797(s+0.1894\pm 0.101j)(s+1.472) \text{ (rad/sec)} \]

\[ \frac{N_2(s)}{\delta_{\text{TV}}(s)} = 0.02127(s+0.02456)(s+6.984) \text{ (deg)} \]

\[ \frac{\delta_{\text{flaps}}(s)}{q(s)} = 0.0797(s+0.1894\pm 0.101j)(s+1.472) \text{ (rad/sec)} \]

\[ \frac{\delta_{\text{TV}}(s)}{N_2(s)} = 0.02127(s+0.02456)(s+6.984) \text{ (deg)} \]

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\[ \frac{N_2(s)}{\delta_{\text{TV}}(s)} = 0.02127(s+0.02456)(s+6.984) \text{ (deg)} \]

\[ \frac{\delta_{\text{TV}}(s)}{N_2(s)} = 0.02127(s+0.02456)(s+6.984) \text{ (deg)} \]

The control inputs used in this study are:

\[ \tilde{u} = [\delta_{\text{flaps}}, \delta_{\text{TV}}, w_f]^T \]

where,

- \( \delta_{\text{flaps}} \) = trailing edge and leading edge flap deflection (deg)
- \( \delta_{\text{TV}} \) = nozzle thrust vectoring angle (deg)
- \( w_f \) = main burner fuel flow rate (lb/hr)

The state space description for the system is given in Appendix B. The aircraft's attitude dynamics are to be controlled by thrust vectoring. For this model the nozzle throat area is not considered as an input, therefore, only the fuel flow rate is used to control the engine fan speed.

Classical feedback control laws were synthesized for the airframe and engine by considering each subsystem separately, and treating them as non-interacting. The open loop thrust vectoring to pitch rate and airframe plunge acceleration transfer functions are

\[ \frac{q(s)}{\delta_{\text{flaps}}(s)} = 0.0797(s+0.1894\pm 0.101j)(s+1.472) \text{ (rad/sec)} \]

\[ \frac{\delta_{\text{flaps}}(s)}{q(s)} = 0.0797(s+0.1894\pm 0.101j)(s+1.472) \text{ (rad/sec)} \]

\[ \frac{N_2(s)}{\delta_{\text{TV}}(s)} = 0.02127(s+0.02456)(s+6.984) \text{ (deg)} \]

\[ \frac{\delta_{\text{TV}}(s)}{N_2(s)} = 0.02127(s+0.02456)(s+6.984) \text{ (deg)} \]

The airframe dynamics are aerodynamically unstable. The flight control design objective is to obtain classical longitudinal aircraft responses given by,
the closed-loop performance is likewise not expected to be significant for this system.

\[ q(s) = \frac{-0.05369s(s+0.07279)(s+0.5232)}{(s+0.03029\pm0.1704j)(s+2.885\pm2.893j)} T(s) \]

\[ \delta(s) = \frac{0.01687s(s+0.003275)(s+10.46)}{(s+0.03029\pm0.1704j)(s+2.885\pm2.893j)} T(s) \]

where,

\[ T(s) = \frac{(s+0.4198)(s+1.99\pm3.535j)(s+8.014)(s+89.67)}{(s+0.4198)(s+1.99\pm3.535j)(s+8.014)(s+89.67)} \]

(Note that these transfer functions are 9th order. The additional pole is due to the integral control of engine fan speed.) The transfer function \( T(s) \) is essentially unity. The transfer function for the engine fan speed response to a commanded engine fan speed for the decoupled system is

\[ N_2(s) = \frac{0.1469s(s+16.44\pm5.89j)(s+36.93)}{(s+0.0303\pm0.1704j)(s+2.885\pm2.893j)} T(s) \]

The companion transfer function for the same control laws on the coupled system is

\[ N_2(s) = \frac{0.1469s(s+16.43\pm5.89j)(s+36.94)}{(s+0.0303\pm0.1704j)(s+2.885\pm2.893j)} \]

Clearly for this system, no significant coupling between the engine and the airframe attitude dynamics is present, and the control systems suffer little performance degradation.

If model uncertainty is considered in the coupling dynamics, large effects of course are possible. This will be evaluated briefly below. Let the coefficient on the mixing plane pressure in the pitch-rate state equation of motion be varied from -0.03 to 0.01 (rad/sec^2)/(psia). Also let the coefficients on the plunge-velocity in all the engine state equations be varied ±20 times their nominal values. Finally, let the coefficient on the thrust vectoring angle input to the mixing plane pressure equation of motion be varied from -25 to 25 (psia/sec)/(deg).

The ranges of these parameter variations are only first order approximations, based on studies of other coupled aircraft/engine models as well as simple engineering considerations [8,9]. For example, the parameter \( C_E \) in the conceptual model of the last section is analogous to the coefficient on the mixing plane pressure in the pitch rate state equation. Since \( C_E \) is a function the trim thrust vectoring nozzle angle, it can therefore change sign depending on the trim value. These variations form a "three-dimensional parameter space" in which all the parameters are varied at the same time. Root loci of the full 8th order closed loop system due to all these parameter variations shows that this range of variations will not cause instability, and the variation in the magnitude of the coupling transfer function is still quite small (though not shown).

The effect on the closed-loop system transfer functions will now be assessed. For example, selecting the following set of parameter variations,

\[-0.03 \text{ (rad/sec)}^2/(\text{psia}), -20 \text{ times}, \text{ and } -25 \text{ (psia/sec)/(deg)} \]

the closed loop transfer functions become
\[
\begin{align*}
q(s) &= \frac{-0.05369(s+0.0656)(s+0.5346)}{(s+0.02811\pm0.1646j)(s+2.523\pm2.68j)} T(s) \\
\delta_p(s) &= T(s) = \frac{(s+0.4317)(s+2.009\pm3.48j)(s+8.055)(s+80.17)}{(s+0.4122)(s+2.124\pm3.58j)(s+7.999)(s+90.16)} \\
N_e(s) &= \frac{-0.09057s(s+0.001526)}{(s+0.02811\pm0.1646j)(s+2.523\pm2.68j)} T(s) \\
T(s) &= \frac{(s+0.4175)(s+2.011\pm3.55j)(s+7.266\pm0.35j)(s+24.05)}{(s+0.4122)(s+2.124\pm3.58j)(s+7.999)(s+90.16)} \\
N_e(s) &= \frac{0.1469s(s+15.87\pm6.14j)(s+38.64)}{(s+0.4122)(s+2.124\pm3.58j)(s+7.999)(s+90.16)} T(s) \\
T(s) &= \frac{(s+0.02777\pm0.1649j)(s+2.598\pm2.719j)}{(s+0.02811\pm0.1646j)(s+2.523\pm2.68j)} \\
\end{align*}
\]

which differ from the transfer functions of Eqns. (29) and (30), and \(T(s)\) is now no longer unity. However, the effect of these parameter variations on the flying qualities has been evaluated, and they are minimal.

For the parameter variations selected as in Eqn. (31), the open-loop airframe/engine transfer functions are shown in Fig. 19, and the magnitude of the cross-coupling transfer function is shown in Fig. 20. Finally, \(e_A k_A(s)\) is again compared to the engine loop transfer in Fig. 21. These plots show that although the coupling has increased from the nominal system, as presented in Figs. 16 through 18, it is still quite small. The performance, as measured by the closed-loop transfer functions is somewhat affected, but the stability robustness is not, for this case.

**Conclusions**

Two coupling transfer function matrices were derived that quantify, in a meaningful way, the significance of airframe/engine interactions on the engine control loop. (These matrices each have duals for quantifying the effects on the flight control loop.) The size of these matrices, measured, for example, by their singular values, quantify the effect of coupling on closed-loop performance and stability robustness. These cross coupling terms were shown to depend on the control compensation transfer functions and the transfer functions for the airframe/engine system. In particular, they are functions of the off-diagonal transfer functions in the system's transfer function matrix. When the critical coupling terms are small compared to the magnitude of the loop transfer function (matrix), cross coupling effects are minimal. A conceptual model was offered to demonstrate the method. A case study of an airframe/engine system used in earlier studies of integrated control techniques was then presented. This study revealed that this particular vehicle, as modeled, exhibited very little critical interactions. A classical decentralized control system synthesized assuming the airframe and engine subsystems are totally non-interacting was quite suitable in this case. Other vehicle configurations, and/or more accurate models of the cross-coupling effects may reveal much more significant airframe/engine interactions. These interactions, however, may be evaluated with the analytical framework presented herein.

**Appendix A - Derivation of Equation (11)**

Let the state space description of the fully coupled aircraft/engine system presented be defined as

\[
\begin{align*}
\dot{x}_A &= \begin{bmatrix} A_A & A_{AE} \\ A_{EA} & A_E \end{bmatrix} \begin{bmatrix} x_A \\ x_E \end{bmatrix} + \begin{bmatrix} B_A & B_{AE} \\ B_{EA} & B_E \end{bmatrix} \begin{bmatrix} u_A \\ u_E \end{bmatrix} \\
\begin{bmatrix} y_A \\ y_E \end{bmatrix} &= \begin{bmatrix} C_A & 0 \\ 0 & C_E \end{bmatrix} \begin{bmatrix} x_A \\ x_E \end{bmatrix}
\end{align*}
\]

leading to

\[
\begin{align*}
\begin{bmatrix} x_A \\ x_E \end{bmatrix} &= \begin{bmatrix} A_A & A_{AE} \\ A_{EA} & A_E \end{bmatrix} \begin{bmatrix} x_A \\ x_E \end{bmatrix} + \begin{bmatrix} B_A & B_{AE} \\ B_{EA} & B_E \end{bmatrix} \begin{bmatrix} u_A \\ u_E \end{bmatrix} \\
\begin{bmatrix} y_A \\ y_E \end{bmatrix} &= \begin{bmatrix} C_A & 0 \\ 0 & C_E \end{bmatrix} \begin{bmatrix} x_A \\ x_E \end{bmatrix}
\end{align*}
\]
\[ \begin{align*}
\begin{bmatrix} y_A(s) \\ y_E(s) \end{bmatrix} &= \begin{bmatrix} G_A(s) & G_{AE}(s) \end{bmatrix} \begin{bmatrix} u_A(s) \\ G_{EA}(s) & G_E(s) \end{bmatrix} \begin{bmatrix} u_A(s) \\ u_E(s) \end{bmatrix} = \begin{bmatrix} G(s) \end{bmatrix} \begin{bmatrix} u_A(s) \\ u_E(s) \end{bmatrix} \\
(\text{A2})
\end{align*} \]

with characteristic polynomial,

\[ \phi(s) = \det \left[ sI - A_A - A_{AE} \right] \]

\[ \text{(A3)} \]

Also let the state space descriptions of the aircraft and engine compensators, \( K_A(s) \) and \( K_E(s) \), be, respectively,

\[ \begin{align*}
\dot{x}_{k_A} &= A_{k_A} x_{k_A} + B_{k_A} y_{AC} \\
u_{k_A} &= C_{k_A} x_{k_A} \\
\dot{x}_{k_E} &= A_{k_E} x_{k_E} + B_{k_E} y_{EC} \\
u_{k_E} &= C_{k_E} x_{k_E}
\end{align*} \]

\[ \text{(A4)} \]

where \( y_{AC}(s) = y_A(s) - y_A(s) \) and \( y_{EC}(s) = y_{EC}(s) - y_{EC}(s) \) are the inputs to the aircraft and engine compensators. The characteristic polynomials of these compensators are

\[ \phi_{k_A}(s) = \det (sI - A_{k_A}) \]

\[ \phi_{k_E}(s) = \det (sI - A_{k_E}) \]

\[ \text{(A5)} \]

Sought now is the state space description of \( G_E(s) + E_A(s) \), as presented in Fig. 5. Using Eqns. (A1) and (A4), and referring to Fig. 3, yields

\[ \begin{align*}
\begin{bmatrix} x_A \\ x_E \\ x_{k_A} \\ x_{k_E} \end{bmatrix} &= \begin{bmatrix} A_A & A_{AE} & B_{AE} & C_{AE} \\ A_{AE} & A_E & B_{EA} & C_{EA} \\ -B_{k_A} C_A & 0 & A_{k_A} & 0 \\ -B_{k_E} C_E & 0 & 0 & A_{k_E} \end{bmatrix} \begin{bmatrix} x_A \\ x_E \\ x_{k_A} \\ x_{k_E} \end{bmatrix} + \begin{bmatrix} B_A \\ B_E \\ 0 \\ B_{k_E} \end{bmatrix} y_{AC} \\
y_{E} &= \begin{bmatrix} C_E & 0 \end{bmatrix} \begin{bmatrix} x_A \\ x_E \\ x_{k_A} \\ x_{k_E} \end{bmatrix}
\end{align*} \]

\[ \text{(A6)} \]

Denoting this system as

\[ \begin{align*}
\dot{x}_1 &= A x_1 + B_1 u_E + B_2 y_{AC} \\
y_{E} &= C_1 x_1
\end{align*} \]

\[ \text{(A7)} \]

it can be shown, [10], that the characteristic polynomial of this system, \( (G_E(s)+E_A(s)) \), is

\[ \phi_1(s) = \det (sI - A_1) = \phi_{k_A}(s) \phi_{k_E}(s) \det [I + G_A(s)K_A(s)] \]

\[ \text{(A8)} \]

Appending the state equation for the engine compensator, \( K_E(s) \), to the state equation for \( G_E(s)+E_A(s) \) gives the state space description of the open loop system of Fig. 5, \( (G_E(s)+E_A(s))K_E(s) \),

\[ \begin{align*}
\dot{x}_1 &= \begin{bmatrix} A_1 & B_1 C_E \\ 0 & 0 \end{bmatrix} x_1 + \begin{bmatrix} 0 \\ B_{k_E} \end{bmatrix} y_{EC} + \begin{bmatrix} B_2 \end{bmatrix} y_{AC} \\
y_{E} &= \begin{bmatrix} C_1 & 0 \end{bmatrix} x_1
\end{align*} \]

\[ \text{(A9)} \]

It can further be shown that the characteristic polynomial of this system is

\[ \phi_2(s) = \det \left[ sI - A_1 - B_1 C_E \right] = \phi_1(s) \phi_{k_E}(s) \]

\[ \text{(A10)} \]

Closing the (engine) loop in Fig. 5, the state space equation for the closed loop system is

\[ \begin{align*}
\dot{x}_1 &= \begin{bmatrix} A_1 & B_1 C_k & A_k \\ -B_k C_k & A_k & 0 \\ 0 & C_k & 0 \end{bmatrix} x_1 + \begin{bmatrix} 0 \\ B_k \\ 0 \end{bmatrix} y_{EC} + \begin{bmatrix} B_2 \end{bmatrix} y_{AC} \\
y_{E} &= \begin{bmatrix} C_1 & 0 \end{bmatrix} x_1
\end{align*} \]

\[ \text{(A11)} \]

and the characteristic polynomial for this closed loop system is

\[ \Delta(s) = \det \left[ sI - A_1 - B_1 C_k \right] = \phi_1(s) \phi_{k_E}(s) \det [I + (G_E+E_A)K_E] \]

\[ \text{(A12)} \]

or,

\[ \Delta(s) = \phi_1(s) \phi_{k_E}(s) \det [I + G_A(s)K_A(s)] \phi_{k_E}(s) \]

\[ \text{(A13)} \]

Defining

\[ \phi_0(s) = \phi_{k_A}(s) \phi_{k_E}(s) \]

\[ \text{(A14)} \]

gives

\[ \Delta(s) = \phi_0(s) \det [I + (G_E+E_A)K_E] \]

\[ \text{(A15)} \]

which is the result presented as Eqn. (12). Note that \( \det [I + G_A(s)K_A(s)] \) is a rational function with denominator equal to \( \phi_0(s) \phi_{k_A}(s) \). Thus, the roots of \( \phi_0(s) \) are the roots of \( \phi_{k_A}(s) \), which are the poles of \( K_A(s) \), and the values of \( s \) for which \( \det [I + G_A(s)K_A(s)] \) equals zero.

**Appendix B - State Space Model for the Case Study**

Using Eqn. (A1), the airframe/engine system is modeled in the following form

\[ \begin{align*}
\dot{x} &= Ax + Bu \\
A &= \begin{bmatrix} A_A & A_{AE} \\ A_{AE} & A_E \end{bmatrix} \\
B &= \begin{bmatrix} B_A \\ B_{AE} \end{bmatrix}
\end{align*} \]

\[ \text{with states, } x = [u (ft/sec), w (ft/sec), q (rad/sec), \theta (radians), N_2 (rpm's), N_2.3 (rpm's), P_6 (psi), T_4,1B (°R)] \\
\text{and inputs, } u = [\delta_{flap}(deg), \delta_v(deg), \delta_{flap}(deg), \delta_{flap}(deg)]^T
\]

For the vehicle in question, the model is given below, [7].

\[ \begin{align*}
0 & 0 & 1.0000e+00 & 0 \end{bmatrix} \\
A_{AE} &= \begin{bmatrix} 7.78206-01 & 1.5420e-01 & 0 & 0 \\ 1.5180e-01 & 3.0080e-02 & 0 & 0 \\ -1.0050e-01 & -1.9920e-02 & 0 & 0 \\ -4.1910e+00 & 6.0220e+00 & -3.4340e+02 & 4.2630e-01 \\
\end{bmatrix}
\end{align*} \]
$$B_A = \begin{bmatrix}
-4.1830e-04 & -8.4280e-02 \\
-3.4520e-01 & -2.1475e-01 \\
-7.9700e-02 & 8.8132e-03
\end{bmatrix} \quad \begin{bmatrix}
3.4360e-05 \\
1.5380e-08 \\
2.2700e-08 \\
0
\end{bmatrix}$$

$$B_{AE} = \begin{bmatrix}
0 & 0 \\
0 & 0 \\
0 & 0
\end{bmatrix} \quad B_E = \begin{bmatrix}
1.4690e-01 \\
5.3600e-02 \\
1.8130e-02 \\
1.6430e-01
\end{bmatrix}$$

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References


Extended Implicit Model Following As Applied To Integrated Flight and Propulsion Control*  

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Abstract  
An extended model following control synthesis methodology, including loop transfer recovery, is presented and applied to synthesize control laws for integrated flight and propulsion control (IFPC). The vehicle considered is representative of an unstable modern fighter aircraft, with a 2D thrust-vectoring and thrust-reversing nozzle. The linearized design model includes both airframe and engine dynamics. The fact that it is necessary to regulate some responses as well as dynamically shape others is discussed, thus leading to a hybrid-control-problem formulation. A previously developed model-following formulation of the LQR problem is extended to handle this hybrid problem. Compensators are then obtained to realize an output-feedback control law, by using a loop-transfer-recovery procedure. The airframe and engine responses are decoupled, and perfect airframe response following is obtained. The loop transfers also reveal good stability robustness and reasonable loop crossover frequencies that would not lead to excessive actuation requirements. The approach also yields compensators of dynamic order lower than the plant, thus easing their implementation. When compared to the results for a classically designed control law, the performance of the multivariable design was superior to that of the classical, while the loop shapes were quite similar.

Introduction  
Enhancement of maneuvering capabilities of high performance aircraft by propulsion systems capable of delivering forces and moments to the flight control process is considered a viable engineering approach. For aircraft such as those capable of short take-off and vertical landing (STOVL), significant dynamic interactions between the airframe and the engine are present, and some configurations may lead to interactions in critical frequency ranges. Recently, Schmidt and Schierman discussed the difference between the more common one-directional coupling between airframe and engine, and the critical two-dimensional variety. A measure of the critical interaction was developed that was expressed in terms of the size of an interaction matrix compared to the magnitude of the loop transfer. For aircraft/engine systems which do not have significant dynamical interactions, separate designs of the flight and propulsion control systems have been quite adequate. However, if this coupling is large and not taken into account when designing the control laws, then these dynamical interactions will lead to loss of system performance and stability robustness, or in severe cases to instabilities. 

This problem is referred to here, and elsewhere, as the Integrated Flight and Propulsion Control (IFPC) problem. During the past several years, design integration methods have been proposed that were intended to synthesize integrated control laws, while in a variety of ways dealing with the potential dynamic interactions.

In Ref. 4 a centralized off-line approach was considered, by which the flight control laws for the airframe, plus the required generalized actuation bandwidths were obtained via Linear Quadratic Regulator (LQR) theory. The propulsion system was considered to be a component of these generalized actuators, and its control system was later designed. However, this approach can only directly account for one-directional dynamic interactions between the airframe and engine. It cannot directly take into account how the airframe’s dynamics influence those of the engine. The allowable unmodeled or ignored interactions these designs can tolerate was the subject, for example, of Ref. 3. Finally, although the resulting control laws were validated in a manned simulation, an analytical validation of the flying qualities was not performed, so compliance with the military specification was not considered.

In Ref. 4 a centralized approach was exercised that directly applied Linear Quadratic Gaussian/Loop Transfer Recovery (LQG/LTR) methodology, using a linear fully integrated airframe/propulsion dynamical model. This approach can account for two-directional dynamic coupling. The resulting control laws were not evaluated analytically in terms of the resulting flying qualities, due in part to the complexity of the closed-loop systems obtained via this method. It also tends to result in high order compensators that may be difficult to implement. Also, in both these studies, simulations revealed high actuation requirements, indicative of high loop-crossover frequencies.

The issue of simpler feedback compensation was the subject of Ref. 5, in which LQG/LTR was again applied to synthesize full-order compensation. These compensators were then partitioned and simplified via order reduction. Except for the resulting loop shapes, these control laws have not been further evaluated.

In this paper a new synthesis approach is offered, and explored via a case study. The design objectives will be presented at the outset, the justification is given for considering this synthesis approach in light of these design goals, the synthesis methodology is presented, and the case study is addressed. A pseudo-classical design is also developed for the purposes of comparison. The results of this study will be discussed via a vis the aforementioned design goals, and conclusions presented.

It will be shown that the two control laws so developed both satisfy the goals stated, and in fact lead to similar results for the vehicular system considered. This is considered a positive result since one goal of developing the new technique was to obtain somewhat classical-like control laws. The fact that the results for both control laws are similar is also due to the fact that, as shown in Ref. 1, this particular vehicle model possesses little of the critical two-directional coupling. This model was selected here in spite of this fact because it was used in Refs. 2 and 5, and further comparison of results is therefore possible.

Design Goals and Methodology Motivation  
The goals or design objectives for control laws that are aimed at addressing the IFPC problem involve system performance, robustness, and implementation issues.

Performance - Foremost among the performance issues is the fact that the control systems must deliver excellent handling qualities, in spite of the potential airframe/engine dynamic coupling. The handling qualities criteria are quantified in terms of specified time constants, damping ratios and frequencies for the airframe modes, as well as closed-loop frequency from pilot input. Control laws that produce closed-loop...
loop airframe responses that reflect classical airframe dynamics are desirable. In fact, how well the resulting airframe responses approximate certain frequency responses of a conventional aircraft with the desired modal characteristics is one step in meeting the military specifications. One implication of this design goal is that the control system should decouple the airframe and engine responses. If the engine's dynamics are observable in the aircraft responses, then classical airframe dynamical properties are not obtained. Note that these design goals are not those of a regulator.

Engine control, on the other hand, requires regulation of responses about an operating point, with gain scheduling and transition control from one point to the next within the operating envelope. For example, in order to maintain stable combustion, it is important that the fan and compressor do not exceed their surge limits. For structural considerations, the main burner and the high pressure turbine should not exceed specified pressure and temperature limits. Therefore, stable, robust regulation of responses such as fan and compressor speeds, temperatures, and pressures, is a primary goal in the control design of the engine.

Finally, these performance objectives must be met with minimum actuation requirements, such that rate and deflection limits are avoided. Not only are high actuation requirements taxing on the hardware, rate and deflection limiting degrade both performance and stability by introducing unmodeled non-linear effects into the loops. Therefore, control bandwidths or crossover frequencies must be as low as possible.

Robustness - The system must possess adequate stability margins so that it is robust against unmodeled or inaccurately modeled dynamics. Usually, this requires minimum gain and phase margins in all loops, although singular-value-based robustness analysis is currently popular. Also, the loop transfers must roll off sufficiently to handle high-frequency unmodeled dynamics or non-linearities.

Implementation - The compensation should be easily implementable. This implies that it should be of low dynamics order, and preferably should be similar to classical control laws. If so, the results can yield additional insight with regard to the control system's interactions with the overall airframe/engine system. Furthermore, the existing techniques for control law validation and verification, as well as the necessary gain scheduling may still be utilized.

The synthesis approach to be presented will be referred to as the Extended Implicit Model Following/Loop Transfer Recovery (EIMF/LTR) technique. Model following is an integral part of the formulation so that the closed-loop airframe responses may be shaped to take on the desired dynamics. This method does not yield a regulator, and may not necessarily give loop transfers with classical (k/s) loop shapes. However, the design goals were not those for a regulator, and classical stability augmentors (e.g., pitch dampers) do not yield regulator loop shapes either. Implicit model following rather than explicit model following is utilized to eliminate the dynamic prefilter that is part of the control system. This leads to closed-loop airframe response of lower dynamic order that are simpler and easier to validate in terms of handling-qualities assessments, and simpler to implement. Also, perfect model-following concepts are exploited to minimize loop gains and crossover frequencies.

The implicit-model-following formulation of Refs. 6 and 7 are herein extended to address the hybrid problem of model following for some responses and regulation of others. As noted earlier, engine responses, as well as aircraft velocity in some cases, must be regulated. Consequently, for an integrated synthesis approach to the IFPC problem, regulation as well as model following must be admitted in the formulation.

Loop-transfer recovery is employed to synthesize the compensators, utilizing the state-feedback gains obtained from the solution to the EIMF problem. This may be accomplished by exploiting the asymptotic properties of the Kalman filter, as in the standard LQG/LQR approach, or by using a direct recovery technique as presented in Ref. 9. Either technique yields the compensators necessary to realize an output feedback structure, as depicted in Fig. 1. Since such LTR procedures recover the state-feedback loop shapes at the input to the plant, the robustness properties of the state-feedback control law are recovered there. Further, since the state-feedback control law is obtained via an LQR formulation of the model-following problem, compensators with the robustness properties of the LQR solution result.

Case Study Vehicular System

The vehicle to be considered in this investigation is the same as in Refs. 2 and 5. It is representative of a high performance fighter aircraft with the capabilities of 2-D thrust vectoring and thrust reversing. The vehicle dynamics are linearized about the Short Take Off and Landing (STOL) approach-to-landing reference condition at an airspeed of $V_0 = 120$ Knots and flight path angle $\gamma = -3^\circ$. The states, controls and responses are listed below. This model, with the same control and measurement vectors is used for both the classical design and the EIMF/LTR design presented in the next sections.

The state vector is $\bar{x} = [u, w, q, \theta, N_2, N_{25}, P_6, T_{41B}]^T$

where, the aircraft states are

$u = $ body axis forward velocity (ft/sec)  
$w = $ body axis plunge velocity (ft/sec) 
$q = $ pitch rate (rad/sec) 
$\theta = $ pitch angle (radians) 

and the engine states are

$N_2 = $ engine fan speed (rpm's)  
$N_{25} = $ engine compressor speed (rpm's) 
$P_6 = $ engine mixing plane pressure (psia) 
$T_{41B} = $ high pressure turbine temperature ($^\circ$R)

The control inputs to be considered are

$\bar{u} = [A_{TV}, \delta_{TV}, \delta_{flap}, w_f]$

where, the aircraft controls are

$A_{TV} = $ thrust reverser port area (in$^2$)  
$\delta_{TV} = $ nozzle thrust vectoring angle (deg)  
$\delta_{flap} = $ trailing edge flap deflection angle minus leading edge flap deflection angle (deg) - see Reference [3]

and the single engine control to be considered here is

$w_f = $ main burner fuel flow rate ($\theta$/hr)

(Note that the main nozzle throat area control used in Ref. 2 is not used in this study.) The aircraft's forward velocity is to be essentially regulated with the thrust reverser, while the attitude dynamics are controlled by thrust vectoring. The flaps are direct lift devices which are used to control the flight-path-to-attitude response, and the fuel-flow rate is used to control the engine fan speed. The measurements used for feedback are

$y = [u, w, q, N_2]^T$

The vehicle model, partitioned in the following manner, is given in Appendix A:

$[\dot{x}_A, \dot{x}_E] = [A_A, A_E][x_A, x_E] + [B_A, B_E][u_A, u_E]$  

where the subscript A denotes aircraft subsystem and controls, and the subscript E denotes engine subsystem and controls. Results from a modal analysis are shown in Table 1. This table presents the open loop poles and the responses dominated by these modes.
Table 1 - Modal Analysis of the Open Loop System

<table>
<thead>
<tr>
<th>Open Loop Poles</th>
<th>Mode Shapes</th>
</tr>
</thead>
<tbody>
<tr>
<td>-0.0571 ± 0.2154j</td>
<td>phugoid mode (u)</td>
</tr>
<tr>
<td>-1.472</td>
<td>short period mode (w,q,δ)</td>
</tr>
<tr>
<td>+1.065</td>
<td></td>
</tr>
<tr>
<td>-1.401</td>
<td>highly coupled engine modes</td>
</tr>
<tr>
<td>-3.569</td>
<td>involving all the engine states</td>
</tr>
<tr>
<td>-8928</td>
<td>mostly associated with P6</td>
</tr>
</tbody>
</table>

The open-loop thrust-vectoring-angle-to-pitch-rate, airframe plunge-acceleration (at the center of rotation), as well as the fuel flow-to-fan-speed transfer functions are

\[ q(s) = \frac{-0.0797(s+0.1897\pm0.1013)T(s)}{(s+0.05709\pm0.2153)(s-1.065)(s+1.472)} \quad \text{(deg)} \]

\[ \delta_p(s) = \frac{-0.1542(s+0.0429\pm0.1957)(s-28.65)\delta(s)}{(s+0.05709\pm0.2153)(s-1.065)(s+1.472)} \quad \text{(deg)} \]

\[ T(s) = \frac{(s+1.401)(s+3.569)(s+6.958)(s+89.28)}{(s+1.401)(s+3.569)(s+6.958)(s+89.28)} \quad \text{(deg)} \]

From the above transfer functions and Table 1 it can be seen that the short period mode is unstable. Note that the poles of T(s) in the airframe transfer functions are predominantly those for the engine modes, and the engine dynamics are essentially unobservable in these airframe responses. The converse is true in the engine transfer function.

**Performance Objectives**

The flight control synthesis objective is to obtain classical longitudinal aircraft responses to pilot stick input, given by,

\[ q(s) = K_G(s+1/\tau_{q,p}) \quad \text{(rad/sec)} \]

\[ \delta_p(s) = K_G(s+1/\tau_{\delta_p}) \quad \text{(deg)} \]

\[ \alpha(s) = K_G(s+1/\tau_{\alpha_G}) \quad \text{(deg)} \]

The short-period mode must be stabilized, achieving a specified frequency and damping ratio. Also, a desirable value for the real flight-path time constant, 1/\tau_{q,p}, (not present in the open-loop transfer function) should be obtained. Table 2 lists the desired values selected for these parameters in this analysis, and are believed to be consistent with the military specification.\(^{10}\)

Table 2 - Desired Attitude Modal Parameters

<table>
<thead>
<tr>
<th>(\omega_p)</th>
<th>2 Rad/Sec</th>
</tr>
</thead>
<tbody>
<tr>
<td>(\zeta_p)</td>
<td>0.707</td>
</tr>
<tr>
<td>1/\tau_{q,p}</td>
<td>0.52 Rad/Sec</td>
</tr>
</tbody>
</table>

The value for the flight path time constant is driven by handling requirements, but is also consistent with Ref. 5, which states that it should not be increased above this value due to excessive flap deflections.

The requirements on the phugoid mode will be met by achieving some modest damping for this mode, and by rendering this mode essentially unobservable in the attitude response. The desired attitude response may be defined in terms of the following dynamic model,

\[ \alpha_m(s) = \frac{Z_{\alpha}}{s^2 + 2\zeta_{\alpha_p}\omega_{\alpha_p}s + \omega_{\alpha_p}^2} \]

or, in state space form:

\[ \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ -\omega_{\alpha_p}^2 & -2\zeta_{\alpha_p}\omega_{\alpha_p} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \end{bmatrix} \delta_p \]

\[ \begin{bmatrix} \alpha_m \\ q_m \end{bmatrix} = \begin{bmatrix} Z_{\alpha} & 0 \\ M_G & M_5 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} \]

Here, \(\delta_p\) is the input from the pilot (e.g., stick deflection). The remaining terms to be selected are

\[ Z_{\alpha} = -4.42 \text{ deg/(slug-ft/sec)} \]

\[ M_G = -0.0797 \text{ /lbs} \]

These terms are obtained from the short-period approximation for the study vehicle. With this approximation, the model in Appendix A yields

\[ \alpha(s) = \frac{-0.1542(s+28.67)}{(s-1.003)(s+1.464)} = \frac{-4.42}{(s=0)} \quad \text{(deg)} \]

\[ \delta_{\alpha_p}(s) = \frac{-0.1542(s+28.67)}{(s-1.003)(s+1.464)} = \frac{-4.42}{(s=0)} \quad \text{(deg)} \]

The objective of the engine control design taken here is to regulate the fan speed. However, quantitative specifications on disturbance responses of the fan speed, such as maximum overshoot allowed or desired settling time, have not been formulated at this time. The response characteristics will be selected to yield engine-loop crossover frequencies close to those in the attitude loop, thereby maximizing the potential for dynamic interactions, the basic issue in this research.

The following block diagram presents the closed loop system and shows the measurement and control vectors.

**Figure 1 - Block Diagram of the Feedback Control Structure**

where,

\[ A_{78} = \begin{bmatrix} k_{11} & k_{12} & k_{13} & k_{14} \\ k_{21} & k_{22} & k_{23} & k_{24} \\ k_{31} & k_{32} & k_{33} & k_{34} \\ k_{41} & k_{42} & k_{43} & k_{44} \end{bmatrix} \]

\[ \begin{bmatrix} u \\ w \\ q \\ N_x \end{bmatrix} = -K_{\delta_p} \delta_p \]

\[ u = -K(s) y - K_{\delta_p} \delta_p \]
Note that the structure of the compensator, $K(s)$, will be the same for both the classical and EIMF/LTR designs presented in the next sections. Also note, $K_{dp}$ will be a $4 \times 1$ vector of constant gains (for both designs) on the pilot stick input, $\delta_p$. Finally, because the plunge velocity, $w$, is a state used in the vehicular model, it is used, instead of angle of attack, $\alpha$, in the measurement vector. The response of interest, $\alpha$, may be obtained simply by the relationship, $\alpha = w/V_0$.

### Classical Control Law Synthesis

First, the desired $1/\tau_{eq}$ can be obtained via augmenting the lift effectiveness of the airframe, or by increasing $Z_a$. This may be achieved by feeding back angle of attack to the flaps, with a gain corresponding to $k_3/\sqrt{V_0}$ in Eq. 4. The necessary $Z_a$ is obtained with a feedback gain of 2.9 (deg/deg). Next, to stabilize the attitude response, angle-of-attack (or $w/\sqrt{V_0}$) will be fed back to the thrust-vectoring nozzle, with gain $k_2/\sqrt{V_0}$ in Eq. 4. A root locus of this transfer function (with the flap loop closed) would reveal that such a loop closure would yield the desired short-period frequency with a gain of 1.32 (deg)/(deg). Then, to augment the damping of the resulting short-period mode, pitch rate will also be fed back to the thrust-vectoring nozzle, with gain $k_23$. Again, a root locus for this loop closure would reveal that the required gain is 24.7 (deg)/(rad/sec).

Finally, feeding back forward speed with a small gain to the thrust-reverser port area can be used to help regulate forward speed, which will help damp the phugoid mode, force a front-side response, and eliminate a non-minimum phase flight path. At the slow flight velocity, the vehicle's trim condition is "on the back side of the power curve," as shown in Fig. 2.

This leads to nonminimum phase behavior associated with a right-half plane transmission zero in the attitude transfer function, or a right-half plane $1/\tau_{eq}$, (see Eq. (1)). It turns out that the airframe transfer function matrix considered later in the multivariable case also has a transmission zero at the same location. This feature limits robustness recovery in the LTR procedure. Regulation of forward velocity eliminates this problem. A speed-loop gain on the thrust reversing loop, or $k_{11}$ of 0.5 (in$^2$/ft/sec) is selected here.

Finally, the engine response must be regulated to reject disturbances. A simple proportional-plus-integral loop is used, with gains of -6 (lb/hr)/rmp and -3 ((lb/hr)/sec)/rmp, respectively, to close the loop on engine speed to fuel flow. So $k_{44}(s)$ is $6(s+0.5)/s$. Designs with additional engine loop closures are currently under investigation.

The closed-loop airframe response transfer functions using this control law are

$$\alpha(s) = \frac{-0.096(s+0.1067+0.04648)}{(s+0.08664+0.09438)(s+1.408+1.409)} T(s)$$

$$\delta(s) = \frac{-0.096(s+0.1067+0.04648)}{(s+0.08664+0.09438)(s+1.408+1.409)} T(s)$$

where $T(s)$, which reflects the effects of the engine dynamics, is approximately unity for each response. Therefore, the engine response is decoupled from that of the airframe's attitude and flight-path response. The closed loop $1/\tau_{eq}$ achieved is about 0.63 (1/s), and the short-period damping and frequency achieved are 0.7075 and 1.99 rad/sec, respectively. Thus these design goals all appear to be adequately met.

Figs. 3 and 4 present the closed-loop frequency responses for angle of attack and pitch rate from pilot stick input. Also plotted in the dashed lines are the responses of the desired dynamics presented earlier.

![Figure 2 - Example Power-vs-Velocity Curve](image)

![Figure 3 - Closed Loop Frequency Response of Angle of Attack-to-Pilot Stick Input (Deg/lbs)](image)

![Figure 4 - Closed Loop Frequency Response of Pitch Rate-to-Pilot Stick Input (Rad/Sec)/lbs)](image)

These responses show good agreement, especially in the critical frequency range between 0.5 and 10 rad/sec.

Fig. 5 shows the disturbance rejection performance, in terms of the closed-loop sensitivity function relating engine speed to a speed disturbance, or $\text{mag}[1/(1+\text{g})]$ at the engine speed output. Engine speed disturbances will be rejected below about 4 rad/sec. Fig. 6 shows the response of the fan speed to a one RPM step disturbance. This plot shows good regulation performance with a settling time to 2% of the final value of approximately 5 seconds.
The open-loop Bode plots for these control laws are shown in Figs. 7 through 10, where each loop transfer shown reflects the fact that all other loops are closed.

The thrust-reverser loop has a gain cross-over frequency of 0.2 rad/sec, a phase margin of 110°, and an infinite gain margin. The thrust-vectoring loop has a gain cross-over frequency of 2.2 rad/sec, a phase margin of 45°, and a low-gain margin of approximately -6 dB. The flap loop has a magnitude less than one for all frequency, and a gain margin of approximately 6 dB. Finally, the fuel-flow-rate loop has a cross-over frequency of 3 rad/sec, a phase margin of 64°, and infinite gain margin.

These results can be compared with those for the LQG/LTR control design recorded in Ref. 5. For the fuel-flow-rate loop, for example, that design had a 15 dB gain margin and 50° phase margin. The cross-over frequencies of the thrust-reverser, thrust-vectoring and fuel-flow-rate loops from the same study were 1.7, 6.2 and 3.2 rad/sec, respectively.

EIMF/LTR Control Synthesis Methodology\textsuperscript{6,7}

Consider the control of the linear time-invariant aircraft/engine dynamic system modeled as
The model of the desired dynamics to be followed is represented as

\[ \dot{x}_m = A_m x_m + B_m \delta_p \\
\dot{y}_m = C_m x_m \]  

(7)

where \( \delta_p \) is the stick input from the pilot.

The error vector to be chosen is

\[ e = y - y_m \]  

(8)

and the error dynamics to be selected in the synthesis are

\[ \dot{e} = -G e \]  

(9)

Defining the quadratic loss function to be:

\[ J = \int_0^\infty (e^T Q e + u^T R u) \, dt \]  

(10)

the solution of this linear quadratic problem is the state-feedback control law

\[ u = -K_{fb} x - K_{ff} x_m - K_b \delta_p \]  

(11)

Implicit model following results when the gains on the model states are zero. This can be assured if \( C_m \) is chosen to be square and invertible, and the error dynamics are chosen to be

\[ G_e = -C_m A_m C_m^T \]  

(12)

Perfect model following results when the error vector is exactly zero for all time, and is achievable when \( C_B \) is full rank. If perfect model following is achievable and the system has no non-minimum phase transmission zeros, the above LQ formulation will asymptotically approach the perfect model following result as \( R \to 0 \). Fig. 11 presents the closed-loop system implied by Eq. (11), for implicit model following.

Figure 11 - Model Following State-Feedback Control Block Diagram

Although the matrices \( Q \) and \( R \) in the above loss function can be used to adjust the gains, it must be emphasized that the choice of desired dynamics to be followed and the error vector to be minimized is the most critical part of the synthesis. The transmission zeros of the system are determined by the choice of inputs and followed responses, thus, by the choice of the error vector. As Reference [6] states, for a square system, some of the closed-loop poles approach the finite open loop transmission zeros, and, under the conditions of perfect model following, the rest of the closed loop poles approach the poles of the error dynamics, \( G_e \). Further, for implicit model following Eq. 12 reveals how the error dynamics are directly related to the desired model dynamics. The choice of desired dynamics and error vector can also greatly influence the shapes of the loop transfers. Finally, formulating the problem such that perfect model-following is achievable keeps the loop gains and crossover frequencies down. If perfect model following is not achievable the performance is achieved via arbitrarily high gains.

The synthesis approach just described must now be extended to allow regulation of some of the system's responses. Regulation is incorporated into the model following synthesis by simply defining the desired model to be followed by the regulated responses as the constant zero. For example, if responses \( y_1 \) and \( y_2 \) are to follow a desired model with responses \( y_m \), while responses \( y_3 \) and \( y_4 \) are to be regulated, then the error vector becomes simply

\[ \tilde{e} = \begin{bmatrix} y_1 - y_m \\ y_2 - y_m \\ y_3 \\ y_4 \end{bmatrix} \]  

(13)

Otherwise, the formulation and solution to the LQ problem proceeds as above.

Once the EIMF state-feedback gains are found from this procedure, compensators may then be synthesized using the loop-transfer-recovery procedures of Ref. 5, 9 or 11. The approach of Ref. 9 yields a closed-form solution and exact recovery, while the more familiar approach of Ref. 5 or 11 yields asymptotic recovery. Proceeding as in Ref. 9, a singular value decomposition of the control input matrix for the plant, \( B \), is used to formulate a reduced order observer, described as:

\[ \dot{\hat{x}} = \hat{A} \hat{x} + \hat{B} \dot{y} \]

\[ u = \hat{C} \dot{x} + \hat{D} y \]  

(14)

from which the LTR compensator matrix is obtained as shown below.

\[ K(s) = K_{fb} \hat{C}(sI - \hat{A})^{-1} \hat{B} + \hat{D} \]  

(15)

The algorithm to obtain this compensator is presented in Appendix A. Note that via standard LQG/LTR, the compensator is of the same order as the plant and order reduction may be considered. In this LTR procedure, a reduced order observer is obtained directly. However, it does not guarantee any high-frequency roll off, so this would be added, if necessary, as the final step in the synthesis.

With the compensation \( K(s) \) so obtained, and the pilot-input gains taken from Eq. 11, the augmented system becomes that shown in Fig. 1.

**EIMF Control Law Synthesis**

The desired dynamic model to be followed by the aircraft's attitude response is, consistent with Eq. 2,

\[ m(s) = \frac{M_g(s + 1/\omega_p)}{s^2 + 2\omega_\delta \omega_p s + \omega_\delta^2} \]

\[ \alpha_m(s) = \frac{Z_m}{s^2 + \omega_\delta^2} \]  

(16)

or

\[ \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ -\omega_\delta & -2\omega_\delta \omega_p \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \end{bmatrix} \delta_p \]

\[ \begin{bmatrix} \alpha_m \\ \delta_m \end{bmatrix} = \begin{bmatrix} Z_m & 0 \\ M_\delta & M_\delta \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} \]  

(17)

with
With regulation of forward speed and engine fan speed also desired, the error vector is:

$$
\mathbf{e} =
\begin{bmatrix}
u \\
w - \omega_m \\
q - q_m \\
N_2 + \int N_2
\end{bmatrix}
$$

(18)

Note that integral of fan speed is added in the above. Addition of this term is associated with the fact that integral action on $N_2$ is desired. Again, note that plunge velocity is used, where $w = \omega/N_0$. With this error vector, the finite transmission zeros of the open-loop system are shown in Table 3.

Table 3 - Finite Transmission Zeros of the Open Loop System

<table>
<thead>
<tr>
<th>Transmission Zeros</th>
</tr>
</thead>
<tbody>
<tr>
<td>-68.612</td>
</tr>
<tr>
<td>-13.0491 ± 5.5632j</td>
</tr>
<tr>
<td>-1.0</td>
</tr>
<tr>
<td>0.0</td>
</tr>
</tbody>
</table>

The transmission zero at -1 is due to the inclusion of the integral of engine fan speed in the error vector, as explained in Appendix C. The transmission zero at the origin is due to the fact that pitch-rate is used in the error vector. If pitch rate plus integral of pitch rate, or $\theta$, were used, this zero would move into the left half of the complex plane.

The error dynamics are now selected to be

$$
G_e =
\begin{bmatrix}
\mathbf{0} & 0 & 0 \\
0 & \mathbf{0} & \mathbf{0} \\
0 & 0 & \mathbf{0}
\end{bmatrix}
$$

(19)

This choice of error dynamics reflects the desire to decouple the attitude dynamics from the engine speed and forward speed, as well as implicitly model follow the desired short period model. Finally, the forward-speed and engine-speed responses will include a mode with time constants $\lambda_4$ and $\lambda_{60a}$, respectively. Values for these time constants were chosen to be 0.1 and 1 rad/sec, respectively.

EIMF Results

Before synthesizing the dynamic compensation via the LTR procedure, the frequency responses of the loop transfers, using state feedback gains obtained from the EIMF control laws, $K_{fd}$, are investigated. This is done, for example, to check the performance, controller bandwidths, and stability robustness. Since loop transfer recovery will be used later, the bandwidths and robustness of the state-feedback control laws will be recovered, by definition. Also, for the control laws implemented as in Fig. 1, it can be shown that the responses to pilot input are unchanged due to the inclusion of estimation in the manner described herein.

For the results presented below, the values of $Q$ and $R$ in the loss function of Eq. (10) are

$$
Q = 1 \times 10^6 \text{diag}(0.4, 1, 100, 0.1)
$$

and

$$
R = 1 \times 10^4 \text{diag}(1.0, 2.0, 2.1 \times 10^{-3})
$$

These values were chosen primarily on the basis of the resulting Bode loop shapes, with special attention to stability margins and loop cross-over frequencies. The resulting EIMF control gains, $K_{fd}$ and $K_{fb}$, are listed in Appendix C.

Figs. 12 through 15 show the individual loop transfers.

For the results presented below, the values of $Q$ and $R$ in the loss function of Eq. (10) are
With the state-feedback gains now available, the compensation is synthesized as outlined in Appendix D. The responses taken for feedback are $u$, $w$, $q$, and $N_2$, identical to the classical case. Again, this leads to a $4 \times 4$ compensator matrix, $K(s)$, as in Fig. 1, which describes the closed-loop system.

Recalling that the desired pitch rate and angle-of-attack-to-pilot input transfer functions are

$$\alpha(s) = \frac{\alpha_m(s)}{\delta_p(s)} = \frac{-0.0797(s+0.52)}{(s+1.414\pm 1.414j)} \ [\text{deg}]$$

$$\delta_p(s) = \frac{-4.422}{(s+1.414\pm 1.414j)} \ [\text{deg}]$$

the closed-loop transfer functions obtained using this control law are,

$$\frac{q(s)}{\delta_p(s)} = \frac{-0.0797(s+0.52)}{(s+1.414\pm 1.414j)} \ T(s) \ [\text{rad/sec}]$$

$$\frac{\alpha(s)}{\delta_p(s)} = \frac{-4.422}{(s+1.414\pm 1.414j)} \ T(s) \ [\text{deg}]$$

shown in Fig. 18 is the performance of the control law in rejecting fan speed disturbances, again expressed in terms of the magnitude of the sensitivity function for fan speed $\text{mag} \{1/(1+gk)\}$. It is noted that speed disturbances will be rejected below about 15 rad/sec. This performance is better than that shown for the classical control law.

Fig. 19 shows the response of the fan speed to a one RPM step fan speed disturbance. Very accurate pole-zero cancellations in the closed-loop transfer function leads to the following transfer function for this disturbance response.

$$\frac{N_2(s)}{d(s)} = \frac{s(s+1.251)(s+3.518)(s+6.805)(s+97.64)}{(s+1)(s+13.05\pm 5.563)(s+68.61)} \ [\text{RPM}]$$
Conclusions

A control law synthesis technique was presented that was developed to achieve excellent handling qualities, decoupling the engine and airframe dynamics, with modest control bandwidths or crossover frequencies. The robustness properties of the LQR solution were exploited by formulating the implicit model following problem in the LQ framework, and utilizing a novel loop transfer recovery procedure to obtain the feedback compensation. The methodology was applied to the integrated flight and propulsion control problem in the form of a case study, utilizing the linear model of an unstable fighter aircraft, with engine dynamics and a 2D thrust-vectoring and thrust-reversing nozzle. A classically designed control law was developed for comparison.

The results revealed that both control laws would appear to deliver adequate performance, as defined herein, with modest gain crossover frequencies, thus keeping actuation requirements to a minimum. Although the airframe responses obtained using the new technique were somewhat superior to those for the classical design, the individual loop transfers of the two control laws were quite similar. Both of these are considered to be positive attributes of the new procedure offered. The airframe responses with the new control law were exactly those desired, thus demonstrating the performance achievable, subject to actuation bandwidth, with this approach. Finally, engine control laws were simultaneously synthesized, along with those for the airframe, and would appear to deliver good disturbance-rejection performance. This was also accomplished with reasonable crossover frequencies. The simplicity of the classically designed compensators was superior to the new controller, the latter being fourth-order while the former consisted primarily of constants. If different vehicle configurations ultimately exhibit more bi-directional coupling than that considered here, a classical control synthesis may, however, encounter considerably more difficulty than that demonstrated here. Whether the difficulty involved with the newer approach is significantly increased as well is an open question.

Appendix A. Linear Model for the Case-Study Vehicle

The states are defined as:

\[ x = [u \text{ (ft/sec)}, \omega \text{ (ft/sec)}, \phi \text{ (rad/sec)}, \theta \text{ (radians)}, N_2 \text{ (rpm)}, N_3 \text{ (rpm)}, P_b \text{ (psia)}, T_{d1B} \text{ (°R})]^T \]

with inputs:

\[ u = [A_78 \text{ (in²)}, \delta_{nap} \text{ (deg)}, \delta_{TV} \text{ (deg)}, \omega_r \text{ (#/hr})]^T \]

For the vehicle in question, the model is:

\[ A_x = \begin{bmatrix} -5.8930 & 0.10670 & 0.03860 \times +01 & -3.1840 \times +01 \\ -2.6590 \times +01 & -2.6650 \times +01 & 1.9480 \times +02 & -4.5990 \times +00 \\ -1.5410 \times -03 & 7.8060 \times -03 & -1.9490 \times -01 & -4.8180 \times -04 \\ 0 & 0 & 1.0000 \times +00 & 0 \end{bmatrix} \]
Appendix B: Transmission Zeros

Given an output to a linear system as:

\[ y = c_1 x_1 + c_2 x_2 \]

with,

\[ x_2 = \int x_1 dt \]

then one of the finite transmission zeros of the system is:

\[ z = -c_2/c_1 \]

Limited Proof:

For the following system

\[
\begin{bmatrix}
  x_1 \\
  x_2
\end{bmatrix} = \begin{bmatrix} a_1 & a_2 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\
  x_2
\end{bmatrix} + \begin{bmatrix} b \\
  0
\end{bmatrix} u
\]

\[ y = \begin{bmatrix} c_1 & c_2 \end{bmatrix} \begin{bmatrix} x_1 \\
  x_2
\end{bmatrix} \]

it can be shown that the transmission zero, z, solves the following generalized eigenvalue/eigenvector problem, (Reference [13]):

\[
\begin{bmatrix}
  1 & 0 & 0 & m_1 \\
  0 & 1 & 0 & m_2 \\
  0 & 0 & 1 & v
\end{bmatrix} = \begin{bmatrix} a_1 & a_2 & b \\
  1 & 0 & 0 \\
  c_1 & c_2 & 0 \end{bmatrix} \begin{bmatrix} m_1 \\
  m_2 \\
  v
\end{bmatrix}
\]

from which it can be seen that:

\[ m_1 = z m_2 \]

\[ c_1 m_1 + c_2 m_2 = 0 \]

which implies that \( z = -c_2/c_1 \). Note that this proof can be extended to a general nth order system.

Appendix C. Gains From EIMF Synthesis

\[ K_{E_p} = \]

(COLUMNS 1 THROUGH 5)

\[
\begin{bmatrix}
-5.8088e-01 & -3.6615e-01 & 1.6462e+02 & 1.4829e+02 & -4.0719e-03 \\
1.2147e-01 & -3.8190e-02 & 2.2500e-03 & 1.4829e+02 & -4.0719e-03 \\
3.8190e-02 & 2.2500e-03 & 1.4829e+02 & -4.0719e-03 & 0 \\
-1.5780e-05 & -2.9570e-06 & 3.6680e-05 & 2.6760e-06 & 0 \\
9.4600e-07 & 3.7440e-07 & 3.6680e-05 & 2.6760e-06 & 0
\end{bmatrix}
\]

(COLUMNS 6 THROUGH 9)

\[
\begin{bmatrix}
5.6152e+03 & -5.7903e-01 & 2.2716e-01 & 1.1436e+01 & -8.1261e-01 \\
2.4741e+01 & -2.2433e-02 & 2.0176e-01 & 4.7736e-06 & -7.8155e-01 \\
-5.85920e-05 & 5.5120e-03 & -3.6180e-05 & -1.3355e-05 & 1.9853e+01 \\
4.3600e+00 & 1.0497e+00 & -3.2672e-05 & -3.4348e-05 & -1.4915e+01 \\
0 & 0 & 0 & 0 & 0
\end{bmatrix}
\]

Note that the gains in the 9th column are the gains on the integral of fan speed.

Appendix D. Algorithm for Obtaining the EIMF/LTR Compensator of Fig. 1

Under the assumption that CB is of full rank, obtain the singular value decomposition of B,

\[ B = U \Sigma V^T \]

Defining,

\[ \begin{bmatrix} U_2^T \end{bmatrix}^{-1} \begin{bmatrix} C \end{bmatrix} = \begin{bmatrix} L_1 & L_2 \end{bmatrix} \]

the state space matrices for the LTR compensator of Eqns. (14) and (15) are,

\[ \hat{A} = U_2^T A L_1 \]

\[ \hat{B} = U_2^T A L_2 \]

\[ \hat{C} = K_{E_p} L_1 \]

\[ \hat{D} = K_{E_p} (C(sI - \hat{A})^{-1} \hat{B} + \hat{D}) \]

Appendix E. EIMF/LTR Compensator State Space Realization

\[ \hat{A} = \]

(COLUMNS 1 THROUGH 5)

\[
\begin{bmatrix}
-4.8190e+00 & 6.0220e+00 & -3.4340e+02 & 1.1600e+01 & 0 \\
4.2630e+01 & -5.7070e+00 & 2.7160e+01 & 1.0400e+01 & 0 \\
2.2950e+01 & -9.0240e+01 & 8.4760e+00 & 0 & 0 \\
3.7400e-02 & -1.9920e+02 & -7.9570e+00 & -1.9920e+02 & 0 \\
9.4600e-07 & 3.7440e-07 & 3.6680e-05 & 2.6760e-06 & 0
\end{bmatrix}
\]

(COLUMNS 6)

\[
\begin{bmatrix}
-1.2198e+00 & -3.6856e+00 & -8.8132e-03 & -1.9920e+02 & 0 \\
-1.4950e-04 & -3.8498e-01 & -1.7434e-01 & -2.1055e-01 & 0 \\
-4.3600e-02 & -3.6030e+01 & -1.7434e-01 & -2.1055e-01 & 0 \\
-8.5134e+01 & -4.9011e+01 & -1.0254e+02 & -8.5134e+01 & 0
\end{bmatrix}
\]

Note that the gains in the 9th column are the gains on the integral of fan speed.
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References


Robust Control Synthesis for
Integrated Flight and Propulsion Control

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Abstract

Two control synthesis methodologies are presented and applied to synthesize control laws for integrated flight and propulsion control (IFPC). The vehicle considered is representative of an unstable modern fighter aircraft equipped with a 2D thrust-vectoring and thrust-reversing nozzle. The linearized model of this vehicle includes both airframe and engine dynamics. It is necessary to regulate some responses and dynamically shape others, thus leading to a hybrid control problem formulation. A linear quadratic (LQ) model following formulation is the first approach to this hybrid problem. Compensators are then obtained to realize an output-feedback control law, by using standard loop-transfer-recovery procedures. An $H^\infty$ formulation is also presented. For the LQ formulation, near-perfect airframe response following can be obtained while good stability robustness and reasonable loop cross-over frequencies are found in the individual loop transfers. The trade-off between model following performance and multivariable stability robustness, as measured by singular value tests, is specifically addressed. Results obtained via the $H^\infty$ control formulation are shown to be similar to those from the LQ formulation.

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Honolulu, Hawaii.
Robust Control Synthesis for Integrated Flight and Propulsion Control*

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1. Introduction

Conventional aircraft typically do not experience significant dynamical interactions between the airframe and propulsion subsystems. Separate control designs of these subsystems are quite adequate. However, new aircraft configurations are under development in which the propulsion systems are capable of delivering forces and moments to the flight control process to enhance the maneuvering capabilities. For such aircraft, significant dynamic interactions between the airframe and the engine can occur and some configurations may experience interactions in critical frequency ranges. If this coupling is large and not taken into account when designing the control laws, then these dynamical interactions can lead to loss of system performance and stability robustness, or to instabilities, as discussed in Ref. 1.

This problem is referred to here, and elsewhere, as the Integrated Flight and Propulsion Control (IFPC) problem. During the past several years, design integration methods2-5 have been proposed that were intended to synthesize integrated control laws, while in a variety of ways dealing with the potential dynamic interactions.

In this paper a design approach different from those in Refs. 2-5 is offered, and explored via a case study. This new approach will be referred to as Extended Implicit Model Following (EIMF). Two design methodologies will be presented which implement this new approach. First, EIMF control laws will be synthesized by linear quadratic (LQ) with Loop Transfer Recovery (LTR) techniques, designated as the EIMF/LTR design6. Then, a unique $H_\infty$ formulation will be developed and used to synthesize a second set of control laws, referred to as the EIMF/$H_\infty$ design.

The design objectives will be presented at the outset, the justification is given for considering this design approach in light of these goals. Then the synthesis methodologies are presented, and the case study is addressed. The results will then be discussed vis à vis the aforementioned design goals, and conclusions presented.

2. Design Goals and Methodology Motivation

The design objectives for the IFPC problem involve system performance, stability robustness, and implementation issues.

Performance - Foremost among the performance issues is the fact that the control systems must deliver excellent handling qualities, in spite of the potential airframe/engine dynamic coupling. The handling qualities criteria are quantified in terms of specified time constants, damping ratios and frequencies for the airframe modes, as well as closed-loop frequency responses from pilot input. Control laws that produce closed-loop airframe responses that reflect classical

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airframe dynamics are desirable. In fact, how well the resulting airframe responses approximate certain frequency responses of a conventional aircraft with the desired modal characteristics is one step in meeting the military specifications\textsuperscript{7}. One implication of this design goal is that the control system should decouple the airframe and engine responses. If the engine's dynamics are observable in the aircraft responses to pilot inputs, then classical airframe dynamical properties are not obtained. Note that these design goals are not those of a regulator.

Engine control, on the other hand, requires regulation of responses about an operating point, with gain scheduling and transition control from one point to the next within the operating envelope. For example, in order to maintain stable combustion, it is important that the fan and compressor do not exceed their surge limits. For structural considerations, the main burner and the high pressure turbine should not exceed specified pressure and temperature limits. Therefore, stable, robust regulation of responses such as fan and compressor speeds, temperatures, and pressures, is a primary goal in the control design of the engine.

Finally, these performance objectives must be met with minimum actuation requirements such that rate and deflection limits are avoided. Not only are high actuation requirements taxing on the hardware, but rate and deflection limiting also degrade both performance and stability by introducing unmodeled non-linear effects into the loops. Therefore, control bandwidths or crossover frequencies must be as low as possible.

**Robustness** - The system must possess adequate stability margins so that it is robust against unmodeled or inaccurately modeled dynamics. Usually, this requires minimum gain and phase margins in all loops, although singular value based\textsuperscript{8,9} robustness analysis can be performed as well. The results in this paper include both single-loop and multivariable robustness margins. Also, the loop transfers must roll off sufficiently to handle high-frequency unmodeled dynamics or non-linearities.

**Implementation** - The compensation should be easily implementable. This implies that it should be of low dynamic order, and preferably should be similar to classical control laws. If so, the results can yield additional insight with regard to the control system's interactions with the overall airframe/engine system. Furthermore, the existing techniques for control law validation and verification, as well as the necessary gain scheduling may still be utilized.

**Motivation** - Model following is an integral part of the formulation considered here so that the closed-loop airframe responses may be shaped to take on desired dynamics. Model following design goals are not those for a regulator and this method may not necessarily yield loop transfers with classical ($k/s$) loop shapes, just as classical stability augmentors (e.g., pitch dampers) do not yield regulator loop shapes. Implicit rather than explicit model following is utilized to eliminate the dynamic pre-filter that is present in the latter control structure. This leads to closed-loop airframe responses of lower dynamic order that are easier to evaluate in terms of handling-qualities assessments, and simpler to implement. Also, perfect model-following concepts\textsuperscript{10} are exploited to minimize loop gains and crossover frequencies.

For an integrated synthesis approach to the IFPC problem, regulation as well as model following must be admitted in the formulation. Typically, engine responses, and perhaps aircraft velocity must be regulated. The implicit-model-following formulation of Refs. 10 and 11 are herein extended to address the hybrid problem of model following for some responses and regulation of others.

The standard LTR procedure\textsuperscript{8,9} is employed to synthesize compensators necessary to realize an output feedback structure, utilizing the state-feedback gains obtained from the LQ solution to the EIMF problem. This LTR procedure recovers the state-feedback loop shapes, and hence robustness, at the input to the plant.

Compensators are also directly synthesized by a new $H^\infty$ formulation to realize an EIMF/$H^\infty$ control law design. It will be shown that the control laws developed by the EIMF/LTR and EIMF/$H^\infty$ methods have similar characteristics. It will be shown that with both synthesis techniques there is an explicit trade-off between model-following performance and stability robustness. This interesting result is one of the more significant theoretical aspects of this research and is currently under further consideration.
3. Case Study Vehicular System

The vehicle to be considered in this investigation is the same as in Ref. 6. It is representative of a high performance fighter aircraft with the capabilities of 2-D thrust vectoring and thrust reversing. The vehicle dynamics are linearized about the Short Take Off and Landing (STOL) approach-to-landing reference condition at an airspeed $V_0 = 120$ Knots and flight path angle $\gamma_0 = -3^\circ$. The states, controls and responses are listed below. This model, with the same control and measurement vectors is used for both the EIMF/LTR and EIMF/$H^\infty$ designs.

The state vector of the model, and the control inputs to be considered are, respectively,

$$\bar{x} = [u, \alpha, q, \theta, N_2, N_{2.5}, P_6, T_{41B}]^T$$ and $$\bar{u} = [A_{78}, \delta_{TV}, \delta_{flaps}, w_f]^T$$

These variables are defined in the following table.

**Table 3.1 - States and Controls of the Case Study Vehicular System**

<table>
<thead>
<tr>
<th>The aircraft states are:</th>
<th>The engine states are:</th>
</tr>
</thead>
<tbody>
<tr>
<td>$u$ = body axis forward velocity (ft/sec)</td>
<td>$N_2$ = engine fan speed (rpm's)</td>
</tr>
<tr>
<td>$\alpha$ = angle of attack (deg)</td>
<td>$N_{2.5}$ = engine compressor speed (rpm's)</td>
</tr>
<tr>
<td>$q$ = pitch rate (rad/sec)</td>
<td>$P_6$ = engine mixing plane pressure (psia)</td>
</tr>
<tr>
<td>$\theta$ = pitch angle (radians)</td>
<td>$T_{41B}$ = high pressure turbine temperature ($^\circ$R)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>The aircraft controls are:</th>
<th>The single engine control is:</th>
</tr>
</thead>
<tbody>
<tr>
<td>$A_{78}$ = thrust reverser port area (in$^2$)</td>
<td>$w_f$ = main burner fuel flow rate (#/hr)</td>
</tr>
<tr>
<td>$\delta_{TV}$ = nozzle thrust vectoring angle (deg)</td>
<td></td>
</tr>
<tr>
<td>$\delta_{flaps}$ = trailing edge flap deflection angle minus leading edge flap deflection angle (deg) - see Ref. [5]</td>
<td></td>
</tr>
</tbody>
</table>

The aircraft's forward velocity is to be regulated essentially with the thrust reverser, while the attitude dynamics are controlled by thrust vectoring. The flaps are direct lift devices which are used to control the flight-path-to-attitude response, and the fuel-flow rate is used to control the engine fan speed. The measurements used for feedback are

$$\bar{y} = [u, \alpha, q, N_2]^T$$

The vehicle model, partitioned in the following manner, is given in Appendix A,

$$\begin{bmatrix} \dot{x}_A \\ \dot{x}_E \end{bmatrix} = \begin{bmatrix} A_A & A_{AE} \\ A_{EA} & A_E \end{bmatrix} \begin{bmatrix} x_A \\ x_E \end{bmatrix} + \begin{bmatrix} B_A & B_{AE} \\ B_{EA} & B_E \end{bmatrix} \begin{bmatrix} u_A \\ u_E \end{bmatrix}$$

(3.1)

where the subscript A denotes aircraft subsystem and controls, and the subscript E denotes engine subsystem and controls. Results from a modal analysis are shown in Table 3.2. This table presents the open loop poles and the responses dominated by these modes. Note that the short period mode is unstable.
Table 3.2 - Modal Analysis of the Open Loop System

<table>
<thead>
<tr>
<th>Open Loop Poles</th>
<th>Mode Shapes</th>
</tr>
</thead>
<tbody>
<tr>
<td>-0.0571 ± 0.2154j</td>
<td>phugoid mode (u)</td>
</tr>
<tr>
<td>-1.472</td>
<td>short period mode (w,qθ)</td>
</tr>
<tr>
<td>+1.065</td>
<td>highly coupled engine modes</td>
</tr>
<tr>
<td>-1.401</td>
<td>involving all the engine states</td>
</tr>
<tr>
<td>-3.569</td>
<td>mostly associated with P6</td>
</tr>
<tr>
<td>-6.958</td>
<td></td>
</tr>
<tr>
<td>-89.28</td>
<td></td>
</tr>
</tbody>
</table>

4. Performance Objectives for the Case Study

The flight control synthesis objective is to obtain classical fourth-order longitudinal aircraft responses to pilot stick input, given by,

\[
\frac{q(s)}{\delta_p(s)} = \frac{K_q (s + 1/\tau_{\theta_1})(s + 1/\tau_{\theta_2})}{(s^2 + 2\zeta_{ph}\omega_{ph}s + \omega_{ph}^2)(s^2 + 2\zeta_{sp}\omega_{sp}s + \omega_{sp}^2)}
\]

This implies the engine modes should not contribute to these responses. The short-period mode must be stabilized, achieving a specified frequency and damping ratio. Also, a desirable value for the real flight-path time constant, \(1/\tau_{\theta_2}\), should be obtained. Table 4.1 lists the desired values selected for these parameters in this analysis, and are believed to be consistent with the military specification.\(^7\)

Table 4.1 - Desired Attitude Modal Parameters

<table>
<thead>
<tr>
<th>(\omega_{sp})</th>
<th>2 Rad/Sec</th>
</tr>
</thead>
<tbody>
<tr>
<td>(\zeta_{sp})</td>
<td>0.707</td>
</tr>
<tr>
<td>(1/\tau_{\theta_2})</td>
<td>0.52 Rad/Sec</td>
</tr>
</tbody>
</table>

The value for the flight path time constant is driven by handling requirements, but is also consistent with Ref. 5, which states that it should not be increased above this value due to excessive flap deflections.

The requirements on the phugoid mode will be met by achieving some modest damping for this mode, and by rendering this mode essentially unobservable in the attitude response. Therefore, the desired attitude response may be defined in terms of the following dynamic model in state space form:
\[
\begin{bmatrix}
\dot{x}_1 \\
\dot{x}_2
\end{bmatrix} = \begin{bmatrix}
0 & 1 \\
-\omega^2_{sp} & -2\zeta_{sp}\omega_{sp}
\end{bmatrix} \begin{bmatrix}
x_1 \\
x_2
\end{bmatrix} + \begin{bmatrix}
0 \\
1
\end{bmatrix} \delta_p
\]

\[
\begin{bmatrix}
\alpha_m \\
q_m
\end{bmatrix} = \begin{bmatrix}
Z_\alpha & 0 \\
M_\delta/\tau_{\theta_2} & M_\delta
\end{bmatrix} \begin{bmatrix}
x_1 \\
x_2
\end{bmatrix}
\]

(4.2)

which yields the following transfer functions:

\[
\frac{\alpha_m(s)}{\delta_p(s)} = \frac{Z_\alpha}{s^2 + 2\zeta_{sp}\omega_{sp}s + \omega^2_{sp}}
\]

\[
\frac{q_m(s)}{\delta_p(s)} = \frac{M_\delta(s + 1/\tau_{\theta_2})}{s^2 + 2\zeta_{sp}\omega_{sp}s + \omega^2_{sp}}
\]

(4.3)

Here, \(\delta_p\) is the input from the pilot (e.g., stick deflection). The remaining terms to be selected are \(Z_\alpha\) and \(M_\delta\). These values are obtained from the short-period approximation for the study vehicle. This approximation yields

\[
\frac{\alpha(s)}{\delta_{tv}(s)} = \frac{-0.1526(s+28.67)}{(s-1.003)(s+1.464)} = \frac{-4.376 \text{ (for } s=0)}{(s-1.003)(s+1.464)}
\]

and

\[
\frac{q(s)}{\delta_{tv}(s)} = \frac{-0.0797(s+0.3199)}{(s-1.003)(s+1.464)}
\]

from which,

\[
Z_\alpha = -4.376 \text{ deg/(slug-ft/sec)}
\]

\[
M_\delta = -0.0797 \text{ /lbs}
\]

Therefore, Eqn. (4.3) becomes:

\[
\frac{\alpha_m(s)}{\delta_p(s)} = \frac{-4.376}{(s+1.414\pm1.414j)} \text{ (deg/lbs)}
\]

\[
\frac{q_m(s)}{\delta_p(s)} = \frac{-0.0797(s+0.52)}{(s+1.414\pm1.414j)} \text{ (rad/sec/lbs)}
\]

(4.4)

The objective of the engine control design here is to simply regulate the fan speed. Quantitative specifications on the disturbance response of the fan speed, such as maximum overshoot allowed or desired settling time, have not been formulated at this time. So, the response characteristics will be selected to yield engine-loop crossover frequencies close to those in the attitude loop, thereby maximizing the potential for dynamic interactions, the basic issue in this research.
5. Control Law Structure for the Case Study

The following block diagram represents the closed-loop system.

![Block Diagram of the Feedback Control Structure](image)

Figure 5.1 - Block Diagram of the Feedback Control Structure

where, the control law is

\[ u = -K(s) y - K_{\delta p} \delta_p \]  

(5.1)

6. EIMF/LTR Control Synthesis Methodology

Model Following - Consider the control of the aircraft/engine modeled as linear time-invariant dynamical system, or

\[ \dot{x} = Ax + Bu \\
\dot{y} = Cx \]  

(6.1)

The model of the desired dynamics to be followed is represented as

\[ \dot{x}_m = A_m x_m + B_m \delta_p \]

\[ y_m = C_m x_m \]

\[ \delta_p = A_p \delta_p \]  

(6.2)

where \( \delta_p \) represents the (unknown) stick input from the pilot. Since \( \delta_p \) is not known a priori, it is modeled as low-pass white noise.

The model following error vector is the difference between the vehicle's responses and the responses of the desired model,

\[ e = y - y_m \]  

(6.3)

with error dynamics
The error dynamics matrix, $G_e$, is selected by the designer. The quadratic loss function to be minimized is

$$J = \int_0^\infty \left( (\dot{e} + G_e e)^T Q (\dot{e} + G_e e) + u^T R u \right) dt$$

(6.5)

where the weighting matrices on the error dynamics and control inputs, $Q$ and $R$, are also to be selected.

The solution of this LQ problem is the constant-gain control law,

$$u = -K_{fb} x - K_{ff} x_m - K_{ge} \delta_p$$

(6.6)

Perfect model following results when the error vector is zero for all time, and is guaranteed achievable when $CB$ is square and of full rank. The perfect model following control law can be obtained by algebraically solving for $u$ which yields $\dot{e} + G_e e = 0$. However, this control law will result in closed loop pole-zero cancellations of any right half plane transmission zeros. The above LQ control law will asymptotically approach the perfect model following control law as $R$ approaches zero, if perfect model following is achievable and the system has no right half plane transmission zeros. If right half plane transmission zeros are present, the LQ formulation will give closed loop poles located at the stable mirror images of the right half plane transmission zeros.

Implicit model following results when the gains on the model states, $K_{ff}$, are zero. This can be assured if $C_m$ is chosen to be square and invertible, and the error dynamics are chosen to be

$$G_e = -C_m A_m C_m^{-1}$$

(6.7)

The matrices $Q$ and $R$ in the above loss function can be used to adjust the control law design, but the choice of desired dynamics to be followed and the error dynamics are the most critical part of the synthesis.

The synthesis approach just described must now be extended to allow regulation of some of the system's responses. Regulation is incorporated into the model following synthesis by defining the desired responses to be "followed" by the regulated responses as the constant zero. For example, if responses $y_1$ and $y_2$ are to follow a desired model with responses $y_{1m}$ and $y_{2m}$, while responses $y_3$ and $y_4$ are to be regulated, then the error vector becomes:

$$\vec{e} = \begin{bmatrix} y_1 - y_{1m} \\ y_2 - y_{2m} \\ \cdots \\ y_3 \\ y_4 \end{bmatrix}$$

(6.8)

Otherwise, the formulation and solution to the LQ problem proceeds as above.

Robustness - In Appendix B, one form of the LQ guaranteed singular-value-robustness margin is presented. Unfortunately, the model following linear quadratic design does not deliver such a guarantee. The solution of the state-feedback gain matrix, $K_{fb}$, of Eqn. (6.6) is,

$$K_{fb} = \hat{R}^{-1} [(C B)^T Q C_1 + B^T P_1]$$
where,
\[
\hat{R} = R + (CB)^TQCB, \quad C_1 = CA + G_c C
\]
and \(P_1\) is the solution to the following matrix Riccati equation,
\[
0 = P_1A_1 + A_1^TP_1 - P_1BR^{-1}B^TP_1 + C_1^TQ_1C_1
\]
with,
\[
A_1 = A - BR^{-1}(CB)^TCP_1 \quad \text{and} \quad Q_1 = Q - QCBR^{-1}(CB)^TQ
\]
Now, just as Kalman's Inequality, Eqn. (B.6), can be derived from the associated LQR Riccati Eqn. (B.5), the following inequality can be derived from the above Riccati equation:
\[
[I + \hat{R}^{-1/2}K_{fb}(I+Z)BR^{-1/2}]^T[I + \hat{R}^{-1/2}K_{fb}(I+Z)BR^{-1/2}] \geq I
\]
where,
\[
Z = P_1^1C_1^TQC = P_1^1(CA + G_c C)^TQC
\]
Note, \(\phi = (sI-A)^{-1}\) is the resolvent matrix of the system of Eqn. (6.1) evaluated at \(s=j\omega\) (\(\omega = \text{frequency}\)), and \(\bar{\phi}\) is its complex conjugate. The following guarantee results from this inequality:
\[
\sigma^2[\hat{R}^{-1/2}[I + (K_{fb}(I+Z)B)^{-1}]\hat{R}^{-1/2}] \geq 1/2 (-6 \text{ dB}) \text{ for all } \omega
\]
where, \(\sigma = \text{minimum singular value.}\)

Although the guaranteed stability robustness of this system is less than that for LQ regulators, when \(\hat{R} = r_{\omega}I\), where \(r_{\omega} = \text{scalar, and } \sigma(Z) < 1\), Eqn. (6.13) will approach the LQR robustness guarantee of Eqn. (B.7).

The above reveals the trade-off between multivariable robustness and model following performance. Model following performance may be improved by either increasing \(Q\) or decreasing \(R\). Increasing \(Q\) will directly increase \(Z\). Decreasing \(R\) will decrease \(P_1\), increasing \(P_1^{-1}\), thus also increasing \(Z\). As \(Z\) gets larger the guarantee offered by Eqn. (6.13) moves further away from the LQR guarantees. Recall that if \(R\) is set to zero, and if there are no right half plane transmission zeros, then perfect model following results. In this case, \(Q_1\) in the above Riccati equation becomes zero. Hence, \(P_1 = 0\), \(Z\) becomes infinite, and no guarantees can be given by Eqn. (6.13).

If \(R\) is chosen to be \(r_{\omega}I\), and \(Q\) is decreased, then Eqn. (6.13) will approach the LQR robustness guarantees. However, reducing \(Q\) degrades the model following performance.

**Scaling Effects** - Since the loss function \(J\), of Eqn. (6.5) is a scalar, the minimization of \(J\) must be formulated so that it will appropriately minimize the model following errors and control efforts according to their relative sizes of units. This may be achieved by normalizing or scaling the control inputs and system responses by dividing each by their maximum value, and choosing \(Q = q_0I\), and \(R = r_{\omega}I\). For example, nominal values of fan speed are of the order of 10,000 RPM's, and nominal values of angle of attack are of the order of less than 10°. Therefore, a unity change in fan speed is insignificant, whereas a unity change in angle of attack can be a large perturbation. By scaling, a unity change in fan speed will be equivalent in size to a unity change in angle of attack.

It can be shown that the following choice of weighting matrices is equivalent to scaling the control inputs and system responses.
\[
Q = q_0Q', \quad q_0 = \text{scalar}, \quad Q' = S_0^2
\]
\[
R = r_0R', \quad r_0 = \text{scalar}, \quad R' = S_0^2
\]

(6.14)
where,
\[ S_e = \text{diag}\left\{ \frac{1}{(e_i)_{\text{max}}} \right\} \quad S_u = \text{diag}\left\{ \frac{1}{(u_i)_{\text{max}}} \right\} \]  

(6.15)

\((e_i)_{\text{max}}\) is the maximum allowable magnitude of the \(i\)'th model following error, and \((u_i)_{\text{max}}\) is the maximum control effort available from the \(i\)'th control. This choice of \(Q\) and \(R\) is effectively Bryson's rule\(^{13}\) for choosing weights in the LQR quadratic loss function. It may be a difficult task to choose the matrices \(S_e\) and \(S_u\) from a trial and error approach. These values should be chosen in an intelligent manner from an understanding of the system.

Once \(Q'\) and \(R'\) are fixed, the only design "dial" left is the ratio \(q_0/r_0\). It has been found that only the ratio, not the individual values of \(q_0\) and \(r_0\), determines the robustness/performance trade-off in the design. If this ratio is decreased, the guarantee given by Eqn (6.13) approaches the LQR robustness guarantees, but model following performance degrades.

LTR - Assuming that weighting matrices \(Q\) and \(R\) can be found that give a satisfactory trade-off between performance and robustness using the EIMF state-feedback gains, compensators may then be synthesized using the standard LTR procedure\(^8\) to obtain output feedback control laws. With the compensation \(K(s)\) so obtained, and the pilot-input gains, \(K_8\), taken from Eqn. (6.6), the augmented system becomes that shown in Fig. 5.1.

7. EIMF/LTR Control Law Synthesis for the Case Study

With the desired attitude model to follow, presented previously, and the desire to regulate forward speed and engine fan speed, the error vector is:

\[
\begin{bmatrix}
\alpha - \alpha_m \\
q - q_m \\
u \\
N_2 + \int N_2
\end{bmatrix}
\]  

(7.1)

Note that integral of fan speed is added in the above. Addition of this term is associated with the fact that integral action on \(N_2\) is desired. With this error vector, the finite transmission zeros of the open-loop system are shown in Table 7.1.

<table>
<thead>
<tr>
<th>Transmission Zeros</th>
</tr>
</thead>
<tbody>
<tr>
<td>-68.612</td>
</tr>
<tr>
<td>-13.0491 ± 5.5632j</td>
</tr>
<tr>
<td>-1.0</td>
</tr>
<tr>
<td>0.0</td>
</tr>
</tbody>
</table>

Table 7.1 - Finite Transmission Zeros of the Open Loop System

The transmission zero at -1 is due to the inclusion of the integral of engine fan speed in the error vector, as explained in Ref. 6. The transmission zero at the origin is due to the fact that pitch-rate is used in the error vector. If pitch rate plus integral of pitch rate, or \(\theta\), were used, this zero would move into the left half of the complex plane.

The error dynamics are now selected to be
This choice of error dynamics reflects the desire to decouple the attitude dynamics from the engine speed and forward speed, as well as implicitly follow the desired short period model. \( A_\text{m} \) and \( C_\text{m} \) are given by Eqn. (4.2). Finally, the forward-speed and engine-speed responses will include a mode with time constants \( \tau_\text{f} \) and \( \tau_\text{e} \), respectively. Values for these time constants were chosen to be 0.1 and 1 rad/sec, respectively.

Some design results are given for two different values of the ratio \( q_\text{o}/r_\text{o} \). Note that for the vehicular case study, the scaling matrices \( S_\text{y} \) and \( S_\text{u} \), used in the weighting matrices \( Q \) and \( R \), have been chosen, from Ref. 5, to be

\[
S_\text{y} = \text{diag}[0.05, 0.3, 17.189, 1.7446 \times 10^{-3}] \\
S_\text{u} = \text{diag}[0.02, 0.1, 0.1, 2.0 \times 10^{-4}]
\]

(7.3)

8. EIMF/LTR Design Results

The first results presented are for the ratio \( q_\text{o}/r_\text{o} = 1 \times 10^4 \). Using the EIMF state feedback gains, \( K_\text{fb} \), the compensator is obtained from the standard LTR procedure. Comparisons of singular value plots of the loop transfers using the state feedback control law, or \( K_\text{fb}(sI-A)^{-1}B \), and the LTR compensation, or \( K(s)C(sI-A)^{-1}B \), revealed complete robustness recovery. The compensator transfer-function matrix, or \( K(s) \) in Fig. 5.1, is given in Table 8.1 for this control law after some straightforward order reduction. The transfer functions presented in this table are all fifth order, with poles at the finite transmission zeros of the plant, plus one additional pole at the origin due to integral control on fan speed. Note that many of these compensators can be simplified further.

For \( q_\text{o}/r_\text{o} = 1 \times 10^4 \), near-perfect model following performance is achieved. The closed-loop transfer functions obtained using the above feedback compensation are,

\[
\frac{\alpha(s)}{\delta_p(s)} = \frac{-4.389}{(s+1.414\pm1.414j)} T_1(s) \quad \text{(deg)} \\
\frac{\nu(s)}{\delta_p(s)} = \frac{-0.0797(s+0.521)}{(s+1.414\pm1.414j)} T_2(s) \quad \text{(rad/sec)}
\]

where the poles \( T_1(s) \) and \( T_2(s) \) are the poles of the phugoid mode and all engine modes. Both \( T_1(s) \) and \( T_2(s) \) are very close to unity due to accurate pole-zero cancellations. Comparing these results to the desired responses given by Eqn. (4.4), near-perfect model following is evident.

Figs. 8.1 and 8.2 present the closed-loop frequency responses for angle of attack and pitch rate from pilot stick input. Also plotted are the desired frequency responses, which are not visible, since they are essentially the same as the closed-loop responses.

The fan speed disturbance rejection performance is indicated by the magnitude of the engine loop's sensitivity function, shown in Figure 8.3. It can be seen that disturbances with frequency content below 20 rad/sec will be rejected.

Table 8.2 summarizes the cross-over frequencies, phase margins and gain margins for all four individual loops, with each loop broken at the input to the plant, and all others closed. Note that the magnitude of the flap loop is less than one throughout the frequency range.
### Table 8.1 - EIMF/LTR Compensation Matrix

<table>
<thead>
<tr>
<th>Controls</th>
<th>Numerators for the Individual Compensator Transfer Functions</th>
<th>Bode Gain</th>
<th>Measurements</th>
<th>Units of Compensator</th>
</tr>
</thead>
<tbody>
<tr>
<td>A78</td>
<td>-123.3(0)(0)(0.90)(0.93,9.5)</td>
<td>-0.7</td>
<td>u</td>
<td>sq-in/(ft/sec)</td>
</tr>
<tr>
<td></td>
<td>142.3(0)(-1.8e-04)(-0.61)(0.93,10.1)</td>
<td>-0.6</td>
<td>α</td>
<td>sq-in/deg</td>
</tr>
<tr>
<td></td>
<td>-110.3(0)(1.5)(13.2)(-25.2)(31.1)</td>
<td>120.0</td>
<td>q</td>
<td>sq-in/(rad/sec)</td>
</tr>
<tr>
<td></td>
<td>-0.04([-0.2,0.4)(-0.06,8.5)(13.4)</td>
<td>-3.8e-4</td>
<td>N2</td>
<td>sq-in/(RPM)</td>
</tr>
</tbody>
</table>

| δtv      | -0.4(0)(0)(0.9,11.8)(-29.5)                                  | 0.1       | u            | deg/(ft/sec)        |
|          | -84.8(0)(0)(0.92,13.6)                                       | -1.1      | α            | deg/deg            |
|          | -21.1(0)(-0.1)(0.92,14.0)(67.2)                              | 2.1       | q            | deg/(rad/sec)      |
|          | 1.2e-05(0.7,1.0)(17.2)(26.1)(35.6)                           | -6.5e-6   | N2           | deg/(RPM)          |

| δflap    | 1.6(0)(0)(0.9,13.9)(50.4)                                    | 1.1       | u            | deg/(ft/sec)        |
|          | -1.9(0)(-1.2-05)(0.9,13.9)(51.3)                              | -1.4      | α            | deg/deg            |
|          | 58.8(0)(0.3)(0.9,14.2)(68.3)                                  | 17.9      | q            | deg/(rad/sec)      |
|          | -3.4e-05(0.01,2.3)(0.9,13.8)(63.4)                            | 5.7e-5    | N2           | deg/(RPM)          |

| Wf       | -6.4e+05(0)(2.9e-05)(-0.06)(2.2)(4.3)                         | -20.0     | u            | (#/hr)/(ft/sec)    |
|          | 5.4e+05(0)(-4.0e-05)(0.7)(2.2)(4.3)                           | 250.0     | α            | (#/hr)/deg        |
|          | -1.0e+06(0)(0.3)(2.2)(4.3)(46.9)                              | -1.1e+4   | q            | (#/hr)/(rad/sec)  |
|          | 8269(0.005)(1.0,1.1)(10.4)                                    | 7.1       | N2           | (#/hr)/(RPM)      |

Characteristic Polynomial of Compensator:

\[ A(s) = (0)(0)(0.9,14.2)(68.6) \]

Note: (a) = (s+a), and \([a,b]\) = complex mode with damping ratio = a, and frequency = b

---

**Figure 8.1** - Closed Loop Frequency Response of Angle of Attack from Pilot Stick Input (Deg/lbs)
The cross-over frequencies and stability margins in all the loops are quite good. The thrust vectoring and fuel flow loop transfers are presented below, for example.
The following figure presents $\sigma(I + (KG)^{-1})$, scaled at each frequency to obtain the least conservative results, as discussed in Appendix B. Again, since full robustness recovery was obtained, this plot is the same whether implemented with full state-feedback or LTR compensation.
This plot shows that this system has "LQ-like" multivariable robustness for frequencies above \(0.3\) rad/sec. For piloted aircraft, loss of robustness in the low-frequency range, or the phugoid mode is not as critical as loss of robustness at higher frequencies.

It is noted that the results (not shown) for the unscaled singular value test are quite poor. The original units led to widely separated singular values of the loop transfer, and previous work\(^\text{14}\) has shown that these multivariable robustness tests work best when plant and loop transfer singular values are closely spaced. Recall that scaling the controls by the matrix \(S_u\) gives approximate equivalence in the sizes of the units on the controls. This produces singular values of the scaled loop transfer that are much closer together, and the singular value robustness test, which plots \(\gamma(I + (S_uKGS_u^{-1}))\), shows much improved results compared to the unscaled singular value test.

Decreasing the \(q_o/r_o\) ratio from \(1 \times 10^4\) to \(2/3\) leads to improved low-frequency robustness, with singular values greater than the LQ guarantee. However, the high-frequency robustness degrades. The results using frequency dependent scaling are shown below.

![Figure 8.6 - Scaled Multivariable Singular Value Robustness Test](image)

The model following performance also degrades. The corresponding closed loop responses are,

\[
\begin{align*}
\frac{\alpha(s)}{\delta_p(s)} &= \frac{-0.1376(s+32.27)}{(s+1.352\pm1.323j)} \quad T_1(s) \quad \text{deg} \\
\frac{q(s)}{\delta_p(s)} &= \frac{-0.07897s(s+0.2738\pm0.1479j)}{(s+0.1241\pm0.1477j)(s+1.352\pm1.323j)} \quad T_2(s) \quad \text{rad/sec}
\end{align*}
\]

and \(T_1(s)\) and \(T_2(s)\) are only approximately unity. Comparing these results with the desired responses (Eqn. (4.4)) and the responses of the previous case (Eqn. (8.1)) it can be seen that the short period and phugoid modes are no longer decoupled, there is no longer a real \(1/\tau_{\theta 2}\) zero, and the desired short period mode's frequency and damping are not achieved. Note, however, the engine's disturbance rejection performance, as measured by the fan speed sensitivity function (not shown), remains approximately the same as that shown in Fig. 8.3.

The individual thrust-vectoring and flap loop transfers also remain approximately the same. The cross-over frequency of the fuel flow loop, on the other hand, decreased to \(0.5\) rad/sec.

In summary, the first control law gives near perfect model following performance at the expense of low frequency multivariable robustness, and larger cross-over frequency in the fuel
flow loop. The second case led to improved low-frequency multivariable robustness at the expense of both model following performance and high frequency multivariable robustness.

9. $H^\infty$ Theory\textsuperscript{15}

An EIMF control synthesis technique can also be formulated using an $H^\infty$-norm minimization framework. The following figure displays the general $H^\infty$ control block diagram structure.

![General Block Diagram for the $H^\infty$ Control Problem](image)

Here, the plant $P(s)$ represents the plant dynamics, plus any frequency dependent weighting functions. The exogenous inputs, $w$, represent external inputs to the system, which may include commanded inputs, low frequency disturbances, and high frequency measurement noises. The outputs of interest, $z$, are those variables to be controlled, which may include plant responses as well as control inputs, $u$.

The design objective is to find a compensator, $K_\infty(s)$ that stabilizes the closed loop system and minimizes the $H^\infty$-norm of the transfer function matrix from the outputs of interest, $z$, to the exogenous inputs, $w$. The $H^\infty$-norm of a matrix $T(j\omega)$ is defined as

$$\|T\|_\infty = \sup_{\omega} \sigma(T(j\omega))$$

(9.1)

Typically, the $H^\infty$ control methodology is used as a multivariable control approach to meet classical control design objectives, namely, loop shaping. Weightings $W_1(s)$ and $W_2(s)$, in the figure below, are chosen, for example, to shape the singular values of the sensitivity and complementary sensitivity matrices.

![Example $H^\infty$ Control Block Diagram](image)
Once all weighting functions are defined, the "plant," $P(s)$ is defined and the $H^\infty$ compensator can be obtained from Ref. 15. This involves iteratively solving two Riccati equations.

10. EIMF/$H^\infty$ Control Law Methodology and Synthesis

In Section 6 the EIMF control law design methodology utilized LQR theory to minimize the quadratic loss function involving model-following errors, regulation errors, and control inputs. The EIMF design objectives can also exploit $H^\infty$ theory to minimize the $H^\infty$-norm of a transfer function, again involving model-following errors, regulation errors, and control inputs.

Here, an approximate equivalence will be developed between the EIMF/LTR and the EIMF/$H^\infty$ procedures so that comparisons can be made. The following block diagram presents just one EIMF/$H^\infty$ formulation.

\[
\begin{align*}
w &= \begin{bmatrix} \delta_p \\ \omega_n \end{bmatrix} \\
\begin{array}{c}
\delta_p \\
G_m(s) \\
\eta \omega_n \\
\eta \omega_n (Weighted Noise)
\end{array}
\end{align*}
\]

Figure 10.1 - EIMF/$H^\infty$ Control Design Block Diagram

$G_v(s)$ represents the airframe/engine system, Eqn. (6.1), and $G_m(s)$ represents the desired model to follow, Eqn. (6.2). A vector of fictitious measurement noise inputs, $\omega_n$, must be included in the vector of exogenous inputs, $w$. This noise is weighted by some small number, $\eta$. The matrices $I_p$ and $I_{p1}$ are used so that the only exogenous input into both the desired model and the vehicle model is the pilot stick input, $\delta_p$. For the case study, since the pilot stick input is a scalar, and there are four measurements, $(y = [u, \alpha, q, N_2]^T)$ and four controls, $(u = [\theta, v, \delta_{flaps}, w_f]^T)$ then,

\[
I_p = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \end{bmatrix} \quad I_{p1} = \begin{bmatrix} 1 & 0 & 0 & 0 \end{bmatrix}
\]

The model following error is formed by subtracting the responses of the vehicle and desired model,

\[
e = Z_v - Z_m
\]

Thus, the intermediate output vector is the model following errors and the control inputs, or,
\[ z' = [Z'_1, Z'_2]^T = [e \ u]^T \]  

Note from the block diagram that implicit model following is a result. This formulation will not, however, produce stick gains, such as \( K_{\delta p} \) in Eqn. (6.6). Stick gains could possibly be incorporated into the matrices \( I_p \) and \( I_{p1} \) above. To date, these have been simply chosen to be unity "gains."

The intermediate output vector, \( z' \), is then weighted as shown in Fig. 10.1 to form the final output vector, \( z \). Note that \( q_0 \) and \( r_0 \) are scalars, and \( W_1(s) \) and \( W_2(s) \) are matrices which may contain frequency dependent weighting functions. Some parallel can be drawn between the weighting scheme used in the EIMF/LTR design method (see Eqns. (6.14) and (6.15)) here, by choosing

\[ q_0 W_1(s) = q_o S_y^2, \quad r_0 W_2(s) = r_o S_u^2 \]  

(10.4)

However, for the results presented in the next section, the control inputs and responses are scaled according to:

\[ y_{\text{new}} = S_y y, \quad u_{\text{new}} = S_u u \]  

(10.5)

where \( S_y \) and \( S_u \) are given in Eqn. (7.3). Then the following weightings are used in conjunction with this scaled system:

\[ q_0 W_1(s) = q_o I, \quad r_0 W_2(s) = r_o I, \quad \eta_o = 1 \times 10^{-8} \]  

(10.6)

This implementation is closely related to that of Eqn. (10.4), and, for numerical reasons, gives improved results.

From the block diagram, the state space description for this \( H^\infty \) model following formulation is,

\[
\begin{bmatrix}
    \dot{x}_v \\
    \dot{x}_m
\end{bmatrix} =
\begin{bmatrix}
    A_v & 0 \\
    0 & A_m
\end{bmatrix}
\begin{bmatrix}
    x_v \\
    x_m
\end{bmatrix} +
\begin{bmatrix}
    B_v I_p \\
    B_m I_{p1}
\end{bmatrix}
\begin{bmatrix}
    \delta_p \\
    w
\end{bmatrix} +
\begin{bmatrix}
    B_v \\
    0
\end{bmatrix} u
\]

\[
\begin{bmatrix}
    \dot{z}_1 \\
    \dot{z}_2
\end{bmatrix} =
\begin{bmatrix}
    C_v & -C_m \\
    0 & 0
\end{bmatrix}
\begin{bmatrix}
    x_v \\
    x_m
\end{bmatrix} +
\begin{bmatrix}
    0 \\
    I
\end{bmatrix} u
\]

(10.7)

\[ y = \begin{bmatrix}
    C_v & 0 \\
    0 & \eta_o I
\end{bmatrix}
\begin{bmatrix}
    x_v \\
    x_m
\end{bmatrix} +
\begin{bmatrix}
    0 \\
    \eta_o I
\end{bmatrix} \begin{bmatrix}
    \delta_p \\
    w
\end{bmatrix} \]

Frequency-dependent weighting functions can also be augmented to this realization, as desired, and the \( H^\infty \) compensator can then be obtained from Ref. 15.

11. EIMF/\( H^\infty \) Results

Some results are presented below for two different values of \( q_o/r_0 \). For the first case, \( q_o/r_0 = 1 \times 10^6 \), and the compensator transfer-function matrix for this case is given in Table 11.1, after some straight-forward order reduction. The transfer functions presented in this table are seventh order, with some poles at the finite transmission zeros of the plant. Again, inclusion of integral control on fan speed leads to one additional pole at the origin.
### Table 11.1 - EIMF/H^\infty Compensation Matrix

<table>
<thead>
<tr>
<th>Controls</th>
<th>Numerators for the Individual Compensator Transfer Functions</th>
<th>Bode Gain</th>
<th>Measurements</th>
<th>Units of Compensator</th>
</tr>
</thead>
<tbody>
<tr>
<td>A78</td>
<td>0.01(0)(0.08)(1.0)(-1.4)(8.4)(0.9,14.1)(69.6)</td>
<td>-0.3</td>
<td>u</td>
<td>sq-in/(ft/sec)</td>
</tr>
<tr>
<td></td>
<td>0.05(0)(0.2)(1.0)(-8.0)(0.9,15.7)(61.1)</td>
<td>-0.2</td>
<td>a</td>
<td>sq-in/deg</td>
</tr>
<tr>
<td></td>
<td>1.4(0)(2.9e-03)(1.0)(0.2,4.9)(0.9,14.3)(68.8)</td>
<td>76.0</td>
<td>q</td>
<td>sq-in/(rad/sec)</td>
</tr>
<tr>
<td></td>
<td>-3.0e-04(0.04)(0.9)(1.0)(0.9,13.7)(82.0)</td>
<td>-6.9e-3</td>
<td>N2</td>
<td>sq-in/(RPM)</td>
</tr>
<tr>
<td>&amp;tv</td>
<td>2.0e-03(0)(8.0e-03)(1-1.8)(-4.9)(0.9,14.2)(68.6)</td>
<td>-0.04</td>
<td>u</td>
<td>deg/(ft/sec)</td>
</tr>
<tr>
<td></td>
<td>8.3e-03(0)(-0.02)(0.4)(1)(0.9,14.1)(28.3)(68.3)</td>
<td>0.18</td>
<td>a</td>
<td>deg/deg</td>
</tr>
<tr>
<td></td>
<td>0.2(0)(9.3e-04)(1)(0.6,2.4)(0.9,14.2)(68.6)</td>
<td>2.70</td>
<td>q</td>
<td>deg/(rad/sec)</td>
</tr>
<tr>
<td></td>
<td>-4.8e-05(6.8e-03)(1)(-0.04,2.1)(0.9,14.2)(68.9)</td>
<td>-4e-3</td>
<td>N2</td>
<td>deg/(RPM)</td>
</tr>
<tr>
<td>&amp;lap</td>
<td>4.6e-05(0)(0.8,0.2)(1)(0.9,14.2)(-14.5)(68.6)</td>
<td>4.7e-5</td>
<td>u</td>
<td>deg/(ft/sec)</td>
</tr>
<tr>
<td></td>
<td>0.02(0)(-0.02)(0.5)(1)(0.9,14.2)(68.2)</td>
<td>0.02</td>
<td>a</td>
<td>deg/deg</td>
</tr>
<tr>
<td></td>
<td>5.1e-03(0)(0.5,0.1)(1)(2.2)(0.9,14.2)(68.6)</td>
<td>4.4e-4</td>
<td>q</td>
<td>deg/(rad/sec)</td>
</tr>
<tr>
<td></td>
<td>-1.1e-06(-0.2,0.3)(1)(1)(3.0)(0.9,14.2)(68.1)</td>
<td>4.5e-7</td>
<td>N2</td>
<td>deg/(RPM)</td>
</tr>
<tr>
<td>Wf</td>
<td>3.99(0)(-0.5,0.3)(1)(0.6,5)(0.5,15.3)</td>
<td>0.6</td>
<td>u</td>
<td>(#/hr)/(ft/sec)</td>
</tr>
<tr>
<td></td>
<td>3.3e+04(0)(1.0,0.3)(1)(0.9,4.4)</td>
<td>6.8</td>
<td>a</td>
<td>(#/hr)/deg</td>
</tr>
<tr>
<td></td>
<td>41.9(0)(-0.02)(0.8)(0.8,1.9)(0.9,13.4)(85.3)</td>
<td>276.0</td>
<td>q</td>
<td>(#/hr)/(rad/sec)</td>
</tr>
<tr>
<td></td>
<td>-5.2(-0.02)(0.7)(1)(1)(5.9)(0.7,8.0)</td>
<td>0.2</td>
<td>N2</td>
<td>(#/hr)/(RPM)</td>
</tr>
<tr>
<td></td>
<td>Characteristic Polynomial of Compensator :</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>A(s) = (0)(0)(0.5)(1)(0.9,14.2)(68.6)</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Note: (a) = (s+a), and [a,b] = complex mode with damping ratio = a, and frequency = b

Comparing this table of compensators with Table 8.1, the Bode gains of the compensators for the thrust reverser and thrust vectoring controls are quite similar for both designs. However, the Bode gains above are much smaller for the flap and fuel flow compensators. The poles at (0)(0.9199,14.19)(68.61), the transmission zeros of the plant, are present for both designs. However, they are approximately cancelled in all but the fuel flow compensators above, whereas they are only cancelled in the fan speed-to-thrust vectoring and flap compensators in the EIMF/LTR design. Also, the above design contains the additional poles at (0.5)(1).

These differences in compensation lead to differences in the individual loop transfers. For this design, the thrust vectoring loop transfer has large gain at high frequencies. However, the other three loops are all low-gain loops. The cross-over frequencies, and stability margins of the other three loops are summarized in the table below.

### Table 11.2 - Individual Loop Characteristics

<table>
<thead>
<tr>
<th>Loop</th>
<th>Cross-Over Frequency (rad/sec)</th>
<th>Phase Margin (degrees)</th>
<th>Gain Margin (dB)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Thrust Reversing</td>
<td>1.08</td>
<td>80</td>
<td>-6</td>
</tr>
<tr>
<td>Flap</td>
<td>—</td>
<td>—</td>
<td>+11</td>
</tr>
<tr>
<td>Fuel Flow</td>
<td>0.2</td>
<td>40</td>
<td>-35/\omega=0.13/s</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>+10/\omega=0.35/s</td>
</tr>
</tbody>
</table>

Further research involving other weighting schemes may help add roll-off to the thrust vectoring loop shape and increase the magnitudes of the other loops.

This value of q_c/r_c gives near-perfect model following and the results match those of the EIMF/LTR design given by Eqn. (8.1) and Figs. 8.1 and 8.2.
Although the performance is excellent, the multivariable robustness is quite poor, as seen in the next figure.

![Graph](image1)

**Figure 11.1 - Scaled Multivariable Singular Value Robustness Test**

$q_o/r_o = 1 \times 10^6$

Similarities in the design results between the EIMF/LTR method and the EIMF/$H^\infty$ method have been found. Just as in the EIMF/LTR design method, once the weightings $W_1(s)$ and $W_2(s)$ are fixed, the ratio $q_o/r_o$ determines the model following performance and multivariable robustness achieved. Decreasing this ratio will increase the multivariable robustness. If this ratio is made small enough, the robustness can be made as large as the LQR guaranteed margins, however, the model following performance degrades.

Reducing the $q_o/r_o$ ratio to a value of 0.05 dramatically improves the multivariable robustness, as shown in the figure below.

![Graph](image2)

**Figure 11.2 - Scaled Multivariable Singular Value Robustness Test**

$q_o/r_o = 0.05$

It can be seen that, not only does the robustness satisfy the guarantees of LQ regulators, but the high frequency robustness (roll-off) is excellent. Thus, unlike the EIMF/LTR design (Fig. 8.6), decreasing the $q_o/r_o$ ratio here seems to improve the multivariable robustness for all frequencies. Also, the individual loop shapes all have low cross-over frequencies and good gain and phase margins.

Unfortunately, improvement in the robustness comes at the cost of the model following performance, as seen in the next two plots.
Conclusions

Control law synthesis techniques were presented that were developed to achieve excellent handling qualities, decoupling the engine and airframe dynamics. However, a clear trade-off between performance and multivariable robustness has been recognized and discussed. The methodology was applied to an integrated flight and propulsion control case study.

The EIMF/LTR approach led to control laws that deliver excellent model following and regulation performance with modest gain crossover frequencies, thus keeping actuation requirements to a minimum. The airframe responses were exactly those desired, thus demonstrating the performance achieved. The engine control laws were simultaneously synthesized, along with those for the airframe, and would appear to deliver good disturbance-rejection performance. The results also indicate reasonable multivariable robustness, as defined herein. However, an increase in low frequency robustness comes at the cost of decreases in both model following performance and high frequency robustness.

The EIMF/\(\infty\) approach led to control laws that also deliver excellent model following performance. However, the multivariable robustness was poor. As with the EIMF/LTR design, the multivariable robustness can be improved, yet this reduces the model following performance. Other \(\infty\) formulations which may, for example, take advantage of loop shaping techniques, offer future areas of research.

Appendix A. Linear Model for the Case-Study Vehicle

The states are defined as
\[
x = [u \text{ (ft/sec)}, \alpha \text{(deg)}, q \text{(rad/sec)}, \theta \text{(radians)}, N_2 \text{(rpm's)}, N_{2.5} \text{(rpm's)}, P_6 \text{(psia)}, T_{41B} \text{(^°R)}]^{T}
\]

with inputs,
\[
u = [A_{78} \text{(in}^2\text{)}, \delta_{\text{flaps}} \text{(deg)}, \delta_{\text{TV}} \text{(deg)}, w_f \text{(#/hr)}]^{T}
\]

For the vehicle in question, the model is
\[
A_A = \begin{bmatrix}
0 & 1.0000e+00 & 0 & 0 & 0 & 0 & 0 \\
\end{bmatrix}
\]

\[
A_{AE} = \begin{bmatrix}
8.1058e-01 & 5.5150e-01 & 0 & 0 & 0 & 0 & 0 \\
1.5812e-01 & 1.0758e-01 & 0 & 0 & 0 & 0 & 0 \\
8.2641e-01 & 5.6223e-01 & 0 & 0 & 2.2950e-01 & 1.1550e-01 & 9.0240e-01 \\
-1.0468e-01 & -7.1244e-02 & 0 & 0 & 3.7400e-02 & -1.0360e-01 & -7.9540e-00 \\
\end{bmatrix}
\]

\[
A_E = \begin{bmatrix}
-4.1910e+00 & 6.0220e+00 & -3.4340e+02 & 1.1600e+01 \\
4.2630e-01 & -5.7070e+00 & 2.7160e+01 & 1.0400e+01 \\
2.2950e-01 & 1.1550e-01 & 9.0240e+01 & 8.4760e+01 \\
-1.0468e-01 & -7.1244e-02 & 3.7400e-02 & -1.0360e-01 \\
\end{bmatrix}
\]

\[
[B_{A \ \ B_{AE}}] = \begin{bmatrix}
-2.0550e-01 & -4.1830e-04 & -8.4280e-02 & 3.4360e-05 \\
1.2018e-02 & -1.5241e-01 & -5.5082e-02 & -2.0197e-06 \\
1.0680e-04 & -7.9700e-02 & 8.8132e-03 & 5.5070e-08 \\
0 & 0 & 0 & 0 \\
\end{bmatrix}
\]

\[
[B_{EA \ \ B_E}] = \begin{bmatrix}
-4.3020e-04 & -1.5241e-01 & -7.9700e-02 & 8.4280e-02 \\
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 \\
1.4690e-01 & 0.5360e-02 & 3.7400e-02 & -1.0360e-01 \\
\end{bmatrix}
\]

Appendix B. Multivariable Singular Value Robustness

Several singular value tests are often used to measure the stability robustness of multivariable systems. For example, the following test may be used to measure the robustness of the system to multiplicative uncertainty at the plant input. First, it is assumed that the nominal closed loop system is stable, and multiplicative perturbations, E, in the loop do not change the encirclement requirements of the critical point in the Nyquist plot. Under these assumptions, if

\[
\overline{\sigma}(E) < \sigma(I + (KG)^{-1}) \text{ for all frequency, } \omega \tag{B.1}
\]

then the closed loop system is guaranteed to be stable in the presence of E, at the input to the plant, where the true plant is G(I+E). Note that \( \overline{\sigma} = \text{maximum singular value}, \text{ and } \sigma = \text{minimum singular value}.\]

Linear quadratic regulators guarantee a minimum value for the right hand side of the above inequality. Given the following linear time-invariant system,
\[
\dot{x} = Ax + Bu \\
y = Cx
\]

minimization of the quadratic loss function,
\[
J = \int_0^\infty [y^T Q y + u^T R u] \, dt \tag{B.3}
\]

leads to the following state-feedback control law,
where $K_{fb}$ is the matrix of regulator state feedback gains, and $P$ is the solution to the algebraic Riccati equation,

$$0 = A^TP + PA - PBR^{-1}BP + CTQC$$

Kalman's Inequality,

$$[I + R^{1/2}K_{fb}\Phi BR^{-1/2}]^T [I + R^{1/2}K_{fb}\Phi BR^{-1/2}] \geq I$$

is derived from this Riccati equation. Note, $\Phi = (sI - A)^{-1}$ is the resolvent matrix of the plant, evaluated at $s = j\omega$, and $\bar{\Phi}$ is its complex conjugate. Under the assumption that $R$ is diagonal and that the inputs can be scaled such that $R = \rho I$, the guaranteed singular value robustness margin for LQ regulators can then be derived from the Kalman Inequality, and is given as

$$\sigma(I + (K_{fb}B)^{-1}) \geq 1/2 \text{ (-6 dB) for all } \omega$$

Thus, in the absence of a model for the uncertainty, $E$, it may be desirable to find control laws that make the right hand side of Eqn. (B.1) as large as possible, and LQ regulators guarantee the above minimum value.

Furthermore, singular values of a transfer function matrix are not independent of the units of that matrix. Therefore, the choice of units for the system will directly influence the results of the singular value test of Eqn. (B.1). The following block diagram shows the inclusion of a scaling matrix $S_u$ at the input to the plant, with input multiplicative uncertainty.

![Figure B.1 - Addition of Control Input Scaling to the Loop](image)

If $S_u$ is diagonal, this is equivalent to defining a new set of units for the control inputs. Breaking the loop at the point shown in the figure, the following scaled robustness test may be derived. If,

$$\bar{\sigma}(S_uE S_u^{-1}) < \sigma(I + (S_u KGS_u^{-1})^{-1}) \text{ for all } \omega$$

then the system is guaranteed to be stable under the same assumptions stated for Eqn. (B.1).

The conservatism of the robustness test of Eqn. (B.1) can therefore be reduced by finding diagonal scaling matrices, equivalent to finding a new set of units for the control inputs, such that the left hand side of the above inequality is made smaller, and the right hand side is made larger. The robustness test is made independent of the units of the system by finding a diagonal scaling matrix at each frequency that maximizes the distance between the left and right hand sides of the inequality. In the absence of any models of the uncertainty, it may be desirable to find scaling matrices at each frequency that just make the right hand side as large as possible. This technique is referred to as the "scaled multivariable singular value robustness test," shown in Figs. 8.5, 8.6, 11.1, and 11.2.

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References


Abstract

Potential sources of airframe/engine interactions are explored for aircraft subject to the study of integrated flight/propulsion control. A quasi-linear framework for the analysis of these dynamical interactions between the airframe and engine systems is presented. This analysis can be used to quantify, in a meaningful way, the magnitude of the interactions between the airframe and engine systems, determine if these interactions are significant to warrant further consideration in the control law synthesis, and if so, what are the critical frequency ranges where problems may occur due to these interactions. Justification for the use of this method, along with the assumptions, conditions and restrictions that apply are discussed. Sample results of this analysis are used to illustrate issues brought forth in its development. Also, a comparison is made between another framework for analysis in integrated flight and propulsion control, reported elsewhere, and the framework presented in this paper.

1. Introduction

In the design of highly maneuverable fighter aircraft, such as those capable of short take off and vertical landing, the propulsion system is frequently being considered for augmenting the lift and the maneuvering capabilities of the vehicle. Some designs include vectoring of the engine's aft nozzle to control the attitude of the airframe.1 Thrust from a reaction control system (RCS) may also be used for attitude control of the aircraft.2 The engine may be equipped with a ventral nozzle to enhance pitch control and augment lift.3 Left and right ejectors, drawing primary thrust from the engine and secondary thrust from the tail, may also be used.4 Upper surface blowing or blown flaps can be used to alter the boundary layer, thus the lifting characteristics of the wing.5 In the design of the control systems for such aircraft and their propulsion systems, the significance of the interactions between the airframe and engine must be assessed. This is a fundamental issue in the so-called Integrated Flight and Propulsion Control (IFPC) problem.6

The main objective of the paper is to present a quasi-linear system analysis framework for assessing the significance of the cross-coupling dynamics between the airframe and engine, to justify that this analysis produces meaningful results, and to state the conditions and restrictions that apply to this methodology. The other objectives of the paper are to contrast this approach to another in the literature, and to describe potential sources of airframe/engine interactions.

The discussion on airframe/engine interactions is given next, in Section 2. In Section 3 the justification for why a quasi-linear analysis is valid, given that the airframe/engine system dynamics are nonlinear, is presented. The quasi-linear analysis is described in Section 4. Sample results of this analysis are then presented in Section 5. Section 6 is devoted to presenting a different analysis framework used in several studies3,6 and how it is related to the framework presented in Section 4.

2. Potential Sources of Airframe/Engine Interactions

The purpose of this section is to detail dynamical interactions between the airframe and propulsion systems. In particular, these new designs used to improve the maneuvering abilities of the aircraft may impart significant coupling between the systems. Discussed is both how engine dynamics can influence the airframe, and how airflow disturbances can influence the engine. Refs. 2, 3, 4, and 6 through 9 also elaborate on these interactions.

In conventional aircraft, changes in aft thrust cannot be delivered instantaneously by the engine, introducing time delay in the airframe's forward speed response. Thrust reversing may be used to improve the speed of response, but disturbances in engine thrust may then be more significant in the forward speed dynamics.

Thrust vectoring of the aft nozzle can produce moments to control the attitude of the aircraft. Thrust vectoring from a dorsal nozzle can produce pitching moment as well as lift. The primary thrust for left and right ejectors may come from the mixed flow (core and by-pass flow) of the engine and is used to produce not only lift, but rolling moments as well. Effects from disturbances in the mixed flow that produce the engine thrust will therefore be seen in the lift and attitude responses of the airframe. On the other hand, commands in thrust reversing, thrust vectoring, ventral and ejector thrust may cause pressure disturbances in the augmentor or mixing plane. If the nozzle is operating in an unchoked condition, these pressure disturbances may propagate through the fan by-pass duct and cause a reduction in fan surge margin (margin between normal operating fan pressure ratio and stall pressure ratio) or possibly a fan stall itself. This, in turn, effects thrust disturbances by disturbing engine flow. Therefore, commands to control the airframe responses may influence the engine dynamics.

The secondary flow of the ejectors is produced when air is drawn through the ejector intakes by the primary flow from the engine. Secondary flow effects may significantly influence the airframe aerodynamics. The thrust from both RCS jets, used to control the pitch, roll and yaw of the aircraft, as well as upper wing surface blowing, used to augment lift, is usually bled air from the engine's compressor. Thus, the dynamics of the core flow can affect the lift and the attitude responses of the airframe. However, commands in RCS thrust will cause reduced core pressure due to compressor bleed, affecting engine flow disturbances. Also, airflow aerodynamic parameters such as dynamic pressure, angle of attack and sideslip angle can influence the effectiveness of the RCS control jets, possibly calling for increased control power, thus, increased compressor bleed flow.

Pressure disturbances at the inlet to the engine can alter the drag characteristics of the airframe. Sudden reduction in airflow caused by fan or compressor surge can cause the inlet shock to move or pop out of the inlet which can produce rolling or yawing moments. Variable inlet geometry used to control the position of the inlet shock can affect the drag and produce pitching and yawing moments. On the other hand, the attitude dynamics may significantly influence the airflow at the inlet causing flow disturbances throughout the engine. The coupling between the airframe and engine may be viewed as in the Fig. 2.1. This figure indicates the engine can influence the airframe, which, in turn, influences the engine.

3. Justification for Quasi-Linear Analysis of Nonlinear Airframe/Engine Systems

Airframe and engine systems are highly nonlinear10-13 in light of this, the validity of quasi-linear analysis procedures, along with the applicable conditions and restrictions for such procedures are explored in this section.

Many points of operation for the airframe/engine system occur at some steady state trim or equilibrium condition where accelerations are small or zero, and rates or velocities are constant.10,14 Large numbers of these reference or operating points can be defined throughout the flight envelopes of the airframe and engine. Usual practice involves feedback control design and stability and performance analysis at each operating point via quasi-linear or linear methodologies. Why linear methodology at certain operating points is a viable approach, and how nonlinearities are accounted for in transitioning between operating points is discussed first. Then, quasi-linear methods are investigated for use at operating conditions and during transitional phases of operation where linear assumptions are not strictly valid.

Given that feedback gains are synthesized by quasi-linear or linear methods, they can be scheduled on parameters that define the
accurately predict the stability of the equilibrium point of the nonlinear system given that transient motions from the steady state consist only of small perturbations. Lyapunov stability theory states that as long as the small perturbations remain within a certain domain of validity the stability of the linear system implies stability of the nonlinear system. At operating points where linear analysis is performed, the system responses, $Y$, control inputs, $U$, and commanded inputs, $Y_e$, in Fig. 3.1 consist of the sum of the reference values and small perturbations. Sain, Peczkowski, and others give a similar description for nonlinear engine systems.

Fig. 3.2 considers only the linear time-invariant small perturbation system model and control laws, $G(s)$ and $K(s)$, at a particular operating point. The assumptions implied here are that the feedback portion of the system behaves in a linear time-invariant fashion, and that the system responses, $Y$, control inputs, $U$, and commanded inputs, $Y_e$, are all small perturbation quantities. Note that the objective of the feedback loop is to regulate the error signal, $e$, or to keep it small. Linear control synthesis and analysis is frequently justifiable given that: (1) the error signal is kept small so that the small perturbation assumption is not violated, (2) the gain scheduling leads to slowly time-varying gains so that the system can be considered time-invariant at each operating point, and (3) observing from the figure, that nonlinearities of the system are outside the feedback loop, thus cannot affect its stability.

An important use of linear control synthesis is that stability robustness will be provided to the actual nonlinear system as control laws are designed to provide more robustness for the linear system approximation.

Much experience exists using this approach in airframe control synthesis and analysis. Linear airframe models are considered in design specifications given in Ref. 18. This document gives, for example, natural frequencies, damping ratios and time constants of various modes that should be given at different phases of operation, so that the airframe dynamics reflect good classical flying qualities. Linear airframe control objectives typically require stabilizing or augmenting the stability of these various modes.

This linear approach has also often been considered for control synthesis and analysis of the nonlinear engine system, as discussed in, for example, Refs. 12, 14, Sain, Peczkowski, and others offer a systematic control law synthesis procedure for the total nonlinear engine system by utilizing a linear controller synthesis at each operating point. Here, nonlinear plant and plant inverse models generate scheduled control inputs and response commands into the linear feedback loop.

Often, however, the small perturbation assumption may be too restrictive. For example, as stated in Refs. 3 and 19, the engine system is usually nonlinear during transient operation. Ref. 2 investigates a configuration involving RCS jets which lead to an absolute value nonlinearity due to the fact that an increase in compressor bleed flow is required for both positive and negative pitch, roll and yaw moments. The dynamics that couple the airframe and engine, discussed in the last section, may also include nonlinearities. Quasi-linear analysis, using the describing function technique, may be especially useful when nonlinearities in the system cannot be ignored, yet are "small," or can be isolated, such as in saturated actuators, or components with thresholds or hysteresis.

In this case, the input/output relationship of the nonlinearities is modeled as linear describing functions plus a remnant. Unlike linear models, which are independent of the type of input to the system, quasi-linear models of nonlinear systems may differ for each input into the system. Step, sinusoidal, and statistical inputs are often used in describing function analysis. Thus, sinusoidal input
dynamics be described as \( GE(S) \), where. Likewise, let \( F(S) \) the vector of aircraft control inputs, (flap, elevator, etc.) Likewise, let \( y(s) \) the vector of aircraft responses ( angle of attack, pitch angle, etc.). Likewise, let \( \Delta y(s) \) the vector of engine responses ( turbine temperature, etc.). The state of the system given by Eqn. 4.2, is equal to the complex ratio of the fundamental frequency component of the output to the input. The remnant models the effects of all higher harmonics. Higher order quasi-linear approximations must be performed until the remnant is small enough to be considered negligible. First or second order quasi-linear approximations are usually acceptable due to the attenuated characteristics of physical systems.

An important advantage of quasi-linear analysis is the ability to obtain describing function models by experiment. If accurate math models of the dynamics of the system being analyzed are not available, describing function models of the system can be experimentally derived by measuring and tabulating the outputs of the system for given inputs. For example, sinusoidal describing function of the system can be generated by varying the frequency of the input sinusoid and measuring the response of the system. The results may then be analyzed to obtain, for example, "transfer function" like models or "Bode plots." It must be recognized, however, that, unlike linear systems, the resulting models obtained here are dependent on the amplitude of the input sinusoid.

As discussed in Refs. 15 and 20, equivalence can be drawn between robustness analysis involving limit cycles in quasi-linear approximations to nonlinear systems and stability robustness analysis using linear tools based on Nyquist stability theory. That is, margins to limit cycles for quasi-linear systems can be measured in the same way as gain and phase margins in, for example, Bode or Nyquist plots for linear systems.

Because of this, it is believed that the linear analysis to study the airframe/engine interactions presented in Ref. 21 can be directly extended to a quasi-linear analysis of nonlinear systems. That is, it is believed that the analysis of Ref. 21 is not restricted to those operating points where the airframe/engine system's dynamics are linear. The next section will present the quasi-linear viewpoint of this analysis. Thus, from now on, the coupled airframe/engine system and control laws, \( G(s) \) and \( K(s) \), shown in the block diagram of Fig. 3.2, are considered to be quasi-linear systems.

In summary, implicit in this representation is that only one operating point is considered, and is not intended to embody the system's characteristics throughout the entire flight envelope. That is, each operating point manifests a particular control architecture and system model. Note also that, although quasi-linear analysis is not restricted to the small perturbation assumptions of linear analysis, for each class of inputs to be analyzed, a different quasi-linear representation of the system must be obtained. For limit cycle analysis, sinusoidal input describing functions are used to define the quasi-linear system.

One final note is that the analysis to be presented is not intended to replace the high order complex nonlinear integration techniques involved in any final analysis and design iterations of the airframe/engine control laws. These complex techniques must be used for certain flight phases where the nonlinearity is extremely large, such as encountered in violent combat maneuvering. However, linear and quasi-linear control synthesis and analysis techniques are invaluable tools in obtaining control laws for a large portion of the flight envelope, as well as in acquiring more physical understanding of the complex nonlinear system.

4. The Quasi-Linear Analysis Framework

The following analysis closely follows that presented in Ref. 21. The analysis is conceptually extended to include quasi-linear approximations to nonlinear systems. Let the quasi-linear aircraft model, defined at a particular flight condition be described in terms of the matrix of sinusoidal input describing functions, \( G_A(s) \), where,

\[
y_A(s) = G_A(s)u_A(s)\tag{4.1}
\]

with \( y_A(s) \) the vector of aircraft responses ( angle of attack, \( \alpha \), pitch rate, \( q \), etc.), and \( u_A(s) \) the vector of aircraft control inputs, (flap deflection, \( \delta_p \), thrust vector nozzle deflection, \( \delta_{TV} \), etc.) Likewise, let the matrix of sinusoidal input describing functions defining the engine dynamics be described as \( G_E(s) \), where,

\[
y_E(s) = G_E(s)u_E(s)\tag{4.2}
\]

with \( y_E(s) \) the vector of engine responses ( fuel flow rate, \( W \), fan speed, \( N \), etc.), and \( u_E(s) \) the vector of engine control inputs. (fuel flow rate, \( W \), nozzle area, \( A_V \), etc.) Each of these subsystems will be acted upon by feedback systems with control compensation matrix \( K_A(s) \), for the aircraft flight control system, and \( K_E(s) \), for the engine control system, which is shown below, for example.

Here \( y_E \) is the vector of desired or commanded responses, and \( d(s) \) represents any exogenous disturbances acting on the system. If the above system were linear, the responses would be given by

\[
y_E(s) = \left[1 + G_EK_E \right]^{-1}G_Ey_E(s) + \left[1 + G_EK_E \right]^{-1}d(s)\tag{4.3}
\]

Note that often the compensation \( K_A(s) \) and \( K_E(s) \) are synthesized and implemented while essentially treating the subsystems as decoupled. Such control laws are defined here as decentralized controllers.

More generally, however, the aircraft/engine system dynamics may be defined at a particular flight condition as shown in the following matrix of sinusoidal input describing functions:

\[
\begin{bmatrix}
y_A(s) \\
y_E(s)
\end{bmatrix} = \begin{bmatrix} G_A(s) & G_{AE}(s) \\
G_{EA}(s) & G_E(s)
\end{bmatrix} \begin{bmatrix}
u_A(s) \\
u_E(s)
\end{bmatrix} = \begin{bmatrix} G(s) \\
G_E(s)
\end{bmatrix} \begin{bmatrix}
u_A(s) \\
u_E(s)
\end{bmatrix}\tag{4.4}
\]

where \( G_A^*(s) \) and \( G_E^*(s) \) are different from \( G_A(s) \) and \( G_E(s) \) above by the amounts \( \Delta_A(s) \) and \( \Delta_E(s) \), respectively, due to dynamic cross-coupling between the engine and airframe subsystems. That is,

\[
G_A^* = G_A + \Delta_A
\]

\[
G_E^* = G_E + \Delta_E\tag{4.5}
\]

Further, \( G_{AE}(s) \) and \( G_{EA}(s) \) represent input coupling between the airframe and engine. This situation describes two-directional coupling. That is, the airframe control inputs affect the engine responses, and, likewise, the engine control inputs affect the airframe responses.

Note that this representation of the fully coupled system may not be strictly valid depending on the particular configuration under study. It can be seen in Fig. 2.1 that the coupling, in general, is manifested due to airframe responses entering as inputs to the engine system, and engine responses entering as inputs to the airframe system. The analysis should have analogous derivations for the different frameworks of the coupled airframe/engine systems. This topic is discussed further in Section 6.

A centralized synthesis/decentralized implementation approach is defined here as one in which control laws are synthesized with some knowledge of the coupling that exists between the airframe and engine subsystems, yet contain independent control compensation for each subsystem. That is, this approach is defined as one in which \( K_A(s) \) and \( K_E(s) \), discussed previously, are designed with knowledge of the system given by Eqn. 4.4.

Finally, control laws both designed with knowledge of airframe/engine interactions and implemented using cross-feedback paths between the airframe and engine loops are defined here as centralized controllers. The following control law is one such centralized approach:

\[
\begin{bmatrix}
u_A(s) \\
u_E(s)
\end{bmatrix} = \begin{bmatrix} K_A(s) & K_{AE}(s) \\
K_{EA}(s) & K_E(s)
\end{bmatrix} \begin{bmatrix}
y_A(s) - y_{AE}(s) \\
y_E(s) - y_{AE}(s)
\end{bmatrix}\tag{4.6}
\]

The off-diagonal terms, \( K_{AE}(s) \) and \( K_{EA}(s) \), represent control cross-feeds between the airframe and engine subsystems. It is argued in Ref. 3 that it may be desirable to implement the airframe and engine
control laws separately because a fully centralized control law implementation may be quite difficult to perform. However, the question of the best approach to take in the IFFC problem is still under debate.

For simplicity, the analysis will assume the control cross-feeds are absent (i.e. $K_{AE}(s) = K_{EA}(s) = 0$). This situation may be represented as shown in Fig. 4.2. For the linear analysis, the case with control cross-feeds, although more complex algebraically, may be addressed in a manner similar to that presented here, and it is believed that extensions to quasi-linear analysis may also be derived.

![Figure 4.2 - Block Diagram of the Coupled Airframe/Engine System](image)

Each of the terms arising from the effects of the airframe/engine coupling are apparent. This figure suggests that the coupling dynamics, $G_{AE}(s)$ and $G_{EA}(s)$, and the airframe dynamics, $G_a(s)$, augmented with the airframe compensator, $K_p(s)$, can all be grouped together to form the describing function matrices $E_a(s)$ and the $D_a(s)$. In other words, since $\Delta_a(s)$ is zero, the engine loop is not apparent. However, in this representation, the system is valid for linear systems. The validity of this representation is still under investigation for analysis of nonlinear systems. However, at this point, it is assumed that describing functions, $E_a(s)$ and $D_a(s)$, can be found by some manner so that the input/output relationships of the systems shown in Figs. 4.2 and 4.3 are equivalent.

![Figure 4.3 - Block Diagram of the Engine Loop for the Coupled Airframe/Engine System](image)

Ref. 21 points out that, for a linear analysis, $E_a(s)$ can affect the stability of the engine's closed loop system. This can be seen by comparing the engine's nominal response, given by Eqn. (4.3), and the true system's response of Eqn. (4.7). It can be shown from Nyquist stability theory22-23 that, for a linear analysis, the closed loop system in Fig. 4.3 is assured to remain stable if the loop is stable for $E_a(s)=0$, and if

$$\det(I + (G_e + E_aK_e)K_c) = 0 \quad [0 < \epsilon < 1] \quad (4.8)$$

for all frequency, which is assured if

$$\sigma_{\text{max}}(E_aK_e) < \sigma_{\text{max}}(I + G_eK_c) \quad (4.9)$$

for all frequency, where $\sigma$ denotes the singular value of a matrix. Thus, it is evident from this inequality that there will be loss of stability robustness for "large" $E_a(s)$, i.e. if its maximum singular value is large. As stated in the previous section, an equivalence can be drawn between limit cycle analysis for quasi-linear systems and stability analysis for linear systems. It is the contention here that as the "size" of the describing function $E_a(s)$ grows larger, the closed loop engine system will approach a limit cycle. Rigorous justification of this assertion is currently being addressed.

The utility this analysis is that the results can be used to determine if significant cross-coupling between the airframe and engine systems exists, at the reference point under study, and if it needs to be addressed when synthesizing control laws. Another benefit from this analysis should be to determine the amount of coupling introduced into the system by the addition of devices, such as RCS jets, that use the propulsion system to enhance the airframe attitude control power. Also, more physical insight into the system's coupling dynamics may be obtained by observing the critical frequency ranges where $E_a(s)$ grows "large." Ref. 21 also discusses how the effects of control can degrade the engine system's performance. Similar performance analysis for quasi-linear systems is currently under investigation.

Note too, that the focus of this analysis has been the effect of the airframe dynamics on the engine loop. A dual analysis is present in that the engine also affects the airframe loop.

5. Sample Results of the Quasi-Linear Analysis Procedure

Using the techniques just presented, attention will be directed to the analysis of an airframe/engine system that has been the subject of several studies of integrated flight and engine control.1,3,4 The vehicle considered is representative of a high performance fighter aircraft with 2-D thrust vectoring, thrust reversing and RCS jets at the approach to landing flight condition. A more complete description of the vehicle and the control laws used can be found in Ref. 21. Although obtained from a linear analysis, the results presented in this section will be considered quasi-linear input/output relationships to underscore the aspects of the quasi-linear analysis of the last section.

The airframe/engine plant is defined as the matrix of sinusoidal input describing functions given by Eqn. 4.4. The airframe response is a linear combination of attack and pitch rate, and the engine response is fan speed. The control inputs are thrust vectoring angle and fuel flow rate. The control law considered here is decentralized. That is, no control cross-feeds are present and the engine and airframe control laws, $K_A(s)$ and $K_E(s)$ are designed only with the knowledge of $G_a(s)$ and $G_e(s)$. Note that lower case g is used to signify that these are scalar describing functions.

Fig. 5.1 shows the magnitudes of the four describing functions of the plant. This figure shows that the cross-coupling dynamics are considerably smaller than the diagonal describing functions by approximately 40 dB for frequencies above one rad/sec. Therefore, since $E_a(s)$ is a function of the cross-coupling dynamics, the size of $e_aK_e$ will be quite small compared to the nominal engine loop describing function, $g_kE_k$, and airframe/engine interactions, as modeled here, will not instigate a limit cycle in the engine loop.

Fig. 5.2 compares the size of $e_aK_e$ to the nominal engine loop describing function, $g_kE_k$, when RCS jets are added to the system to aid in pitch control. Although not shown, this produces an increased magnitude in the $g_kE_k$ describing function. In Section 2 it was discussed that RCS jets draw bleed flow from the engine's compressor, hence, control of the pitch attitude of the airframe directly influences the quality of the airflow through the engine. Although the system would not experience a limit cycle due to the addition of pitch...
RCS control, it can be seen that the critical frequency in which a limit cycle could first occur from additional changes in the system dynamics would be at approximately 0.2 rad/sec. Note also that the phase angle of the true system begins to differ from the nominal engine system in this region.

These results show that this system, as modeled, will not be significantly affected by airframe/engine interactions and decentralized control synthesis may be adequate. However, note that the question of performance degradation due to these interactions has not yet been addressed for quasi-linear systems. The linear analysis for this configuration showed that the disturbance rejection performance of the engine was seriously degraded due to the additional disturbances from aircraft commanded inputs through $D_A(s)$. Analogies to quasi-linear performance analysis are under study.

6. A Related Analysis Framework

This section relates the framework of the analysis developed by Rock Emami-Naeini, Shaw and others in Refs. 3 and 6 with the framework for the quasi-linear analysis of Section 4. In Section 4, it is modeled that the airframe control inputs affect the engine responses and the engine control inputs affect the airframe responses. This viewpoint seems natural if considering such interactions as RCS thrust commands (airframe control inputs for attitude control) drawing engine compressor bleed air, thus affecting engine flow (engine responses).

However, in Refs. 3 and 6 the example vehicle under study for their analysis used varying magnitudes of aft and ventral thrust (engine responses) to effect pitching moments. Thus, a natural viewpoint for their model of how the airframe and engine interact is to consider that the engine responses are control inputs to the airframe system. That is, that the engine act as an attitude actuator to the airframe, (as well, of course, as a forward speed actuator.)

Fig. 6.1 displays the airframe/engine system framework as viewed by Refs. 3 and 6. Here, $R(s)$ represents generalized actuators, that is, both airframe actuators and the engine system. $K_g$ represents the airframe actuators and engine compensation, or the "subsystem" control laws. $u_s$, then, is the "subsystem" control inputs, and $u_{me}$ is the commanded inputs into the closed loop actuator/engine subsystems. $P(s)$ models the "mission level" airframe system, and the "mission level" control laws are denoted as $K_m$. As defined in Section 4, $y_A$ represents the airframe responses, and $y_{Ac}$ represents the airframe commands to follow.

These equations can be used to draw the block diagram in Fig. 6.3, which shows more clearly the relationships between the two frameworks.

The path from the engine responses to the aircraft responses through $G_{AE}G_{E}^{-1}$ is equivalent to the path from engine control inputs to airframe responses through $G_{AE}$ alone, as given in Fig. 4.2, for $G_{EA} = 0$. Notice that for this framework, the commands into the closed loop engine system are no longer independent commands, as modeled in Section 4, but rather a function of the airframe responses and commanded inputs due to $K_{me}$. Also note that differences between the nominal dynamics and the dynamics that include coupling effects of the airframe and engine, $\Delta_A$ and $\Delta_E$, are assumed zero here.
as this issue was not addressed in Refs. 3 and 6. More significantly, however, is that the input coupling dynamics from the airframe to the engine, $G_{EA}$, is assumed to be zero. Because of this, this framework only considers one-directional coupling. Fig. 6.3 shows that the airframe dynamics cannot affect the stability (if linear) or susceptibility to limit cycles (if quasi-linear) of the engine loop. As discussed in Ref. 3, two-directional coupling was not considered. From the viewpoint of their framework, two-directional coupling would be modeled as engine responses-to-airframe inputs/airframe responses-to-engine inputs.

For quasi-linear analysis of nonlinear systems, it is important to realize that block diagram manipulation of systems may not keep the input/output relationships of the actual system. Therefore, it is imperative to model the coupled airframe/engine system properly when deriving critical coupling terms, such as $E(s)$. The frameworks presented in Section 4 and Refs. 3 and 6, are two possible models of how the engine and airframe couple. Which framework should be used may depend on the configuration under study.

7. Conclusions

The linear analysis of Ref. 21 was conceptually expanded here to embody quasi-linear approximations of nonlinear systems. A sinusoidal input describing function matrix was derived that quantifies, in a meaningful way, the significance of airframe/engine interactions on the engine control loop. The size of this matrix quantifies the effect of airframe/engine coupling on the susceptibility of the closed loop system to encounter a limit cycle. It was shown that the off-diagonally describing functions in the system's describing function matrix play a significant role in determining any critical cross-coupling between the airframe and engine. When the critical coupling terms are small compared to the magnitude of the nominal engine system's describing function, for which cross-coupling is ignored, effects of airframe/engine interactions are minimal. A dual analysis exists for determining the coupling effects of the engine dynamics in the nominal airframe loop.

Sample results of this analysis from a case study of an airframe/engine system used in earlier studies of integrated control techniques was then presented. This study revealed that the vehicle, as modeled at that particular operating point, exhibited very little critical interactions as far as encountering limit cycles. A classical decentralized control system synthesized assuming the airframe and engine subsystems are totally non-interacting was quite suitable in this case. However, the analysis shows how the inclusion of pitch RCS control jets in the model does increase the amount of cross-coupling. Not examined, at this time, is the effect cross-coupling has on the closed loop performance for nonlinear systems. Previous studies involving linear analysis show that coupling can have a significant detrimental effect on the performance, and it is believed that this will be the case with a quasi-linear analysis approach to study nonlinear system performance, if possible.

The derivation of the framework for the analysis presented in this paper with the framework developed in Refs. 3 and 6 showed that their framework does not consider two-directional coupling between the airframe and engine. In their analysis, the airframe dynamics cannot affect the engine loop. This assumption may lead to erroneous conclusions if the system in question has significant two-directional coupling between the airframe and engine. From the discussion on potential sources of airframe/engine interactions it can be observed that two-directional coupling may be present in the configurations under study for the IFPC problem.

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Analysis of Airframe/Engine Interactions in Integrated Flight and Propulsion Control†

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Abstract

An analysis framework for the assessment of dynamic cross-coupling between airframe and engine systems from the perspective of integrated flight/propulsion control is presented. This analysis involves determining the significance of the interactions with respect to deterioration in stability robustness and performance, as well as critical frequency ranges where problems may occur due to these interactions. The analysis illustrated here investigates both the airframe's effects on the engine control loops and the engine's effects on the airframe control loops in two case studies. The second case study involves a multi-input/multi-output analysis of the airframe. Sensitivity studies are performed on critical interactions to examine the degradations in the system's stability robustness and performance. Magnitudes of the interactions required to cause instabilities, as well as the frequencies at which the instabilities occur are recorded. Finally, the analysis framework is expanded to include control laws which contain cross-feeds between the airframe and engine systems.

1. Introduction

The Integrated Flight and Propulsion Control (IFPC) problem addresses interactions between airframe and engine systems in control law synthesis and analysis for configurations that use the propulsion system to augment the lift and improve maneuvering capabilities of the vehicle.1-7 These configurations may give rise to significant coupling between the systems. Formulation of methods for assessing the significance of interactions between the systems, from the perspective of control design is to be addressed.

Ref. 8 initially presented an analysis framework to assess if cross-coupling dynamics between the airframe and engine are of sufficient "magnitude" to cause significant loss in stability robustness and/or performance, and thus warrant special consideration in the control law design.

The purpose of this paper is fourfold:

(1) Present case studies that not only analyze the airframe's effects on the engine, but also consider the dual analysis of the engine's effects on the airframe.

(2) Perform a multivariable analysis of the airframe control loops.

(3) Investigate the system stability and performance sensitivity to increases in critical coupling terms identified by the analysis.

(4) Expand the analysis framework to include control cross-feeds.

First, the basic analysis framework is reviewed in Section 2. Then, two case studies of a vehicle with different control configurations are presented in Sections 3 and 4. This airframe and engine was considered in several earlier studies of the integrated airframe and engine control problem.1,2,4,5 In both control configurations the airframe's influence on the engine is shown to be significant, but it is also shown to constitute coupling in only one direction. Then a sensitivity analysis of the system's stability and performance is performed on critical interaction effects identified by the analysis. Finally, Section 5 extends the analysis methodology to control laws with cross-feeds between the airframe and engine systems.

2. Review Of Analysis Framework

A framework to analyze airframe/engine interactions was introduced in Ref. 8. Although the key features of the framework are reviewed here, more emphasis is placed on some aspects of the analysis that are pertinent to the case studies presented in the next sections. This analysis framework focuses on the feedback portion of the nonlinear airframe/engine system. Each operating point of the system elicits a particular quasi-linear system model and control architecture, G(s) and K(s). Ref. 8 presented one viewpoint of how the airframe and engine systems at one operating point interact. The treatment of nonlinear effects, such as engine limits, is presented in Ref. 9. The airframe/engine feedback system is considered as shown in Fig. 2.1.

Figure 2.1 - Block Diagram of the Coupled Airframe/Engine System

In this figure, yA is the vector of desired or commanded airframe responses, perhaps from pilot inputs, and yE is the vector of commanded (or limited) engine responses. u is the vector of aircraft control inputs and uE is the vector of engine control inputs. Finally, yA is the vector of aircraft responses and yE is the vector of engine responses compatible with yE.

Under the assumption that no coupling exists between the two systems, the airframe and engine input/output characteristics are defined in terms of the matrices G_A(s) and G_E(s).
This reveals how airframe/engine interactions can affect the stability and performance of the system. Airframe commanded forces, \( G_A(s) \), and engine responses, \( y_{AE}(s) \), are transmitted to the engine responses through \( D_A(s) \), and act as additional disturbances to the engine. Thus, a key result of this analysis is that if \( D_A(s) \) is large, the closed loop performance will suffer.

Note that large \( E_A(s) \) can degrade the performance as well. However, since \( E_A(s) \) is present in the return difference matrix, it also affects the system’s closed loop stability. It can be shown,\(^{10,11}\) for example, that the closed loop system in Fig. 2.2 is assured to remain stable if the loop is stable for \( E_A(s)=0 \), and if

\[
\sigma_{\text{max}}(E_AK_E) < \sigma_{\text{min}}(I + G_AK_A) \quad \forall \omega \quad (2.5)
\]

where \( \omega \) = frequency, and \( \sigma \) denotes the singular value of a matrix. It is evident from this inequality that there will be loss of stability robustness for "large" \( E_A(s) \).

Note that the focus of this analysis so far has been the effect of airframe dynamics on the engine loop. A dual is present and the engine loops clearly also affect the airframe responses, as shown in Fig. 2.3.

The dual of Eq. (2.4) gives the airframe responses as

\[
y_A(s) = \left[ I + (G_A+E_E)K_A \right]^{-1}(G_A+E_E)K_A y_{AE}(s) + \left[ I + (G_A+E_E)K_A \right]^{-1}(D_E y_E(s)+d(s)) \quad (2.6)
\]

where

\[
E_E(s) = \Delta_A - G_AE(\Delta_E + K_E) \quad (2.7)
\]

\[
D_E(s) = G_AE[I + K_E(G_E + \Delta_E)]^{-1}K_E \quad (2.8)
\]

Large \( D_E(s) \) and/or \( E_E(s) \) can degrade the flying qualities of the airframe control system. Further, the closed loop system in Fig. 2.3 is assured to remain stable if the loop is stable for \( E_E(s)=0 \), and if

\[
\sigma_{\text{max}}(E_EK_A) < \sigma_{\text{min}}(I + G_AK_A) \quad \forall \omega \quad (2.9)
\]

which is the dual of the key result of Eq. (2.5).

Two airframe/engine system configurations will now be considered in the next sections to assess the effects of cross-coupling between the airframe and engine systems.

3. First Case Study - Scalar Airframe and Engine Systems

The airframe/engine system used for this analysis has been the subject of several studies of integrated flight and propulsion control.\(^{12,4,5}\) The vehicle to be considered is representative of a high performance Short Take Off and Landing (STOL) fighter aircraft equipped with a thrust vectoring/thrust reversing nozzle and a reaction control system (RCS). The operating point under consideration is the approach-to-landing flight condition. At this operating point the airframe dynamics are aerodynamically unstable. The vehicle model was...
obtained from Ref. 1, and this particular system plant and control architecture was first presented in Ref. 9. The following table defines the controls and measurements used for this configuration.

**Table 3.1 - Controls and Measurements For The Case Study Vehicular System**

- **Aircraft Controls:**
  - $\delta_{TV}$ = nozzle thrust vectoring angle (deg)
  - $A_{q}$ = pitch RCS control jet nozzle area (in$^2$)
  - $\delta_{nle} = $ trailing edge - leading edge flap deflection angle (in$^2$)

- **Engine Control:**
  - $w_r = $ main burner fuel flow rate (/hr)

- **Aircraft Measurements:**
  - $\alpha$ = angle of attack (deg)
  - $q$ = pitch rate (rad/sec)

- **Engine Measurement:**
  - $N_2$ = engine fan speed (rpm's)

The vehicle's leading and trailing edge flaps are direct lift devices which are used to control the flight-path-to-attitude response. A combination of thrust vectoring and pitch RCS jet nozzle area is used to control the pitch attitude dynamics. This control "blend" is defined as $q^*$. Only the fuel flow rate is used to regulate engine fan speed.

Classical feedback control laws were synthesized. The flight control design objective is to stabilize the airframe dynamics and obtain classical pitch rate and angle-of-attack responses from pilot stick input, $\delta_p$, that meet flying qualities requirements. The objective of the engine control law is to hold the operating point by regulating the fan speed. The control design is detailed in Refs. 8, 9, 12 and 13.

With this decentralized design, attention will now be directed towards evaluating the coupled system. The effects on system stability of the low gain flap loop are minimal. Therefore, a two-by-two system can be obtained by closing the flap loop and combining the two aircraft measurements to form one blended aircraft pitch response. This open loop system is

$$
\begin{bmatrix}
K_{6o} & K_{6q} \\
N_2 & 1
\end{bmatrix}
\begin{bmatrix}
\alpha + q \\
q_c
\end{bmatrix}
= \begin{bmatrix}
g_A(s) & g_{AE}(s) \\
g_{EA}(s) & g_E(s)
\end{bmatrix}
\begin{bmatrix}
w_r \\
q_c
\end{bmatrix}
\tag{3.1}
$$

where $K_{6o}$ and $K_{6q}$ are feedback gains on angle-of-attack and pitch rate, respectively.

In order to properly evaluate the relative sizes of the input/output relationships of the airframe and engine, the system must be normalized by, for example, estimates of the maximum values of the (small perturbation) controls and responses. The following estimates of these maximum values were used to normalize the plant.

**Table 3.2 - Maximum Values of Controls and Responses**

- $q_{\text{max}} = 0.06$ rad/sec
- $\delta_{TV, \text{max}} = 10$ deg
- $\alpha_{\text{max}} = 3$ deg
- $N_{2, \text{max}} = 570$ RPM's
- $w_{r, \text{max}} = 5,000$ lbs/hr

Fig. 3.1 shows the magnitudes of the four normalized input/output mappings in Eq. 3.1, as well as the nominal airframe and engine models, $g_A(s)$ and $g_{AE}(s)$. (Lower case letters indicate scalar transfer functions.)

This figure shows that since there are little visible differences in the plots of $g_A(s)$ and $g_{AE}(s)$, and $g_E(s)$ and $g_{AE}(s)$, $\Delta_A(s)$ and $\Delta_E(s)$ are quite small. However, although $g_{AE}(s)$ is smaller than the diagonal terms in Eq. 3.1 throughout the frequency range plotted, $g_{EA}(s)$ is larger than both diagonal terms below 2 rad/sec. This is due to the RCS pitch attitude control. Recall that $g_{EA}(s)$ reflects how the engine responses are affected by airframe control inputs. The pitch RCS jets draw bleed air from the engine's compressor to enhance pitch attitude control power. $g_{EA}(s)$ will be even smaller than $g_{AE}(s)$ shown above when RCS jet control is not used.

Analysis of the airframe/engine interactions requires some knowledge of candidate control laws since the feedback compensation ($K_A(s)$ or $K_E(s)$) appears explicitly in the interaction matrices (for example, $E_A(s)$). However, even without knowledge of the control laws, investigation of the open loop plant can still reveal the nature of the airframe/engine interactions. Large $g_{EA}(s)$ in critical frequency ranges where cross-over is anticipated indicates the potential for significant airframe/engine interactions. From Eq. (2.3), $d_A(s)$ may therefore be large. Fig. 3.2 presents the engine's fan speed sensitivity function along with the magnitude of $d_A(s)$ for this system, and $d_A(s)$ is indeed large due to large $g_{EA}(s)$. This figure shows that the fan speed loop will not effectively reject disturbances from pilot pitch stick inputs. Fig. 3.3 shows the significant fan speed disturbance due to pilot stick input. Thus, cross-feed compensation between the airframe and engine may be required to reduce this effect.

**Figure 3.1 - Open Loop Normalized Input/Output Mappings**

**Figure 3.2 - Fan Speed Sensitivity Function and Engine Loop Disturbance From Airframe Commanded Responses**
For this vehicle and control system configuration, the trim point occurs at a small thrust vectoring angle, \( \delta_{tv} \), thus engine thrust transients will not generate large pitching moments, and this is the reason \( \varepsilon_{AE}(s) \) is small. If the trim thrust vectoring angle is larger, thus increasing the component of the thrust vector perpendicular to the airframe’s longitudinal axis, engine thrust transients would create larger pitching moments. In such a case, \( \varepsilon_{AE}(s) \) will be increased. The plant input/output mappings in Fig. 3.1 indicate that \( \varepsilon_{AE}(s) \) is below 10 rad/sec. Thus, small increases in \( \varepsilon_{AE}(s) \) in this frequency range can increase the size of \( \varepsilon_{AE}(s)\varepsilon_{AE}(s) \). From Eqs. (2.2) and (2.7), \( \varepsilon_{AE}(s) \) and \( \varepsilon_{AE}(s) \) may therefore be large, thereby degrading stability robustness and performance. For these reasons a sensitivity study will be performed on \( \varepsilon_{AE}(s) \).

Fig. 3.4 shows how the closed loop eigenvalues of the system vary as the magnitude of \( \varepsilon_{AE}(s) \) is increased. Higher frequency engine poles are not shown and do not vary to any great extent. It can be seen, however, that the short period eigenvalues do vary significantly. Although not shown, critical zeros also vary as \( \varepsilon_{AE}(s) \) is increased. This reflects a degradation in the flight control system’s closed loop performance. Fig. 3.4 also shows the locus of phugoid roots, from which it can be seen that increasing \( \varepsilon_{AE}(s) \) will cause a low frequency instability.

Fig. 3.5 shows plots of both sides of the key inequality in the engine loop analysis, Eq. (2.5). This figure shows that \( \varepsilon_{AE}(s) \) decreases much less than \( 1 + \varepsilon_{AE}(s) \) throughout the frequency range for the original value of \( \varepsilon_{AE}(s) \), and stability of the system is not in jeopardy. A stability margin for this analysis is defined here as the minimum distance between \( \varepsilon_{AE}(s) \) and \( 1 + \varepsilon_{AE}(s) \). For the original value of \( \varepsilon_{AE}(s) \) this margin is approximately 20 dB, and the minimum distance occurs at 0.2 rad/sec, the frequency at which the phugoid mode goes unstable when \( \varepsilon_{AE}(s) \) is increased, (see Fig. 3.4.)

Fig. 3.5 also shows \( \varepsilon_{AE}(s) \) as the magnitude of \( \varepsilon_{AE}(s) \) is increased. First, the original value of \( \varepsilon_{AE}(s) \) was multiplied by 20 dB, and \( \varepsilon_{AE}(s) \) and \( 1 + \varepsilon_{AE}(s) \) touch at 0.2 rad/sec causing the stability margin to reduce to zero. At this point the stability test of Eq. (2.5) can no longer guarantee the closed loop system is stable. Instability actually occurs when \( \varepsilon_{AE}(s) \) is increased by a factor of approximately 40 dB. From Fig. 3.1, note that \( \varepsilon_{AE}(s) \), thus increased, takes on a magnitude comparable to the other transfer functions in the system.

Fig. 3.6 displays the Bode plots for both the nominal (i.e. decoupled) engine loop transfer, \( \varepsilon_{AE}(s) \), and the engine loop transfer for the coupled system, \( \varepsilon_{AE}(s) \). For the original value of \( \varepsilon_{AE}(s) \) there is almost no difference in these plots. This loop has an infinite gain margin and a 60° phase margin occurring at a cross-over frequency of approximately 3 rad/sec. As \( \varepsilon_{AE}(s) \) is increased, it can be seen that at 0.2 rad/sec the magnitude of the loop transfer \( \varepsilon_{AE}(s) \) approaches 0 dB as its phase approaches -180°. A similar result is indicated in Fig. 3.8 (the dual of Fig. 3.6) which shows the Bode plot for the airframe loop. It is considered significant that the critical frequency of instability (0.2 rad/sec) is not near the nominal loop cross-over frequency (3 rad/sec) and that Eq. (2.5) correctly indicated that the minimum stability margin occurs at this frequency.

Unfortunately, however, this stability test was conservative in that a stability margin of 20 dB was indicated, whereas the actual margin was approximately 40 dB. However, shown in Fig. 3.7 is the dual of this stability test for the airframe loop, namely Eq. (2.9). Note that the various plots of \( \varepsilon_{AE}(s) \) correspond to the same values of \( \varepsilon_{AE}(s) \) as in Fig. 3.5. Again, the minimum stability margin distance occurs at approximately.
0.2 rad/sec, and when \( |g_k| \) is increased by 40 dB it just touches \( |1+g_k| \). That is, the stability test for the airframe loop gives a more accurate indication of the stability margin of approximately 40 dB. Thus, the stability test must be performed for both the airframe and engine loops, and the system’s actual stability is more accurately predicted by the larger of the two stability margins as indicated by Eq. (2.5) or Eq. (2.9).

Finally, closed loop "flight control" performance is evaluated in Fig. 3.9. This figure shows the magnitude of the closed loop pitch rate frequency response from pilot stick input. For the original value of \( g(s) \) the response of the coupled airframe/engine system closely resembles that of the nominal system which is considered to possess good flying qualities. As \( g(s) \) increases, the response significantly deviates from the nominal near 0.2 rad/sec, as the damping in the phugoid mode approaches zero. Note that performance requirements, not closed loop stability, may be much more limiting.

In summary, the analysis revealed:

1) Disturbances to the engine loop from airframe commanded responses are large - due to large \( g_E(s) \).
2) Sensitivity to \( g(s) \) in terms of stability robustness.
3) The frequency at which instability occurs due to increased \( g(s) \).
4) Closed loop airframe performance degradation due to increased \( g(s) \).
4. Second Case Study - Multivariable Airframe System

The airframe/engine system considered in this case is the same as in the last section. However, RCS jets are no longer included and only thrust vectoring is used to control pitch attitude. Flying qualities requirements are better met by feeding back forward speed, \( u \) (ft/sec), to thrust reverser port area, \( A_{78} \) (in\(^2\)), as first discussed in Ref. 12. The control law design for this configuration is presented in Refs. 8, 9, 12 and 13. Again, closing the flap loop and combining angle-of-attack and pitch rate measurements to form one blended aircraft pitch response, gives the following three-by-three system:

\[
\begin{bmatrix}
    u \\
    (K_{55}/K_{54}) \alpha + q \\
    N_2
\end{bmatrix} =
\begin{bmatrix}
    g_{11} & g_{12} & g_{13} \\
    g_{21} & g_{22} & g_{23} \\
    g_{31} & g_{32} & g_{33}
\end{bmatrix}
\begin{bmatrix}
    \Delta A_{78} \\
    \Delta \alpha \\
    \Delta w_f
\end{bmatrix}
\]

(4.1)

With the exception that pitch control no longer includes RCS jets, the following physical "equivalence" in notation can be drawn between this system and that of the last section.

\[
\begin{bmatrix}
    g_{22}(s) & g_{23}(s) \\
    g_{32}(s) & g_{33}(s)
\end{bmatrix} \leftrightarrow \begin{bmatrix}
    g_{EA}(s) & \delta_{EA}(s) \\
    E_{EA}(s) & g_{E}(s)
\end{bmatrix}
\]

(4.2)

Now, however, the airframe has two control inputs and two responses. Thus, the plant input/output descriptions are now expanded to

\[
G_{AE}(s) = \begin{bmatrix}
    g_{11} & g_{12} \\
    g_{21} & g_{22} \\
    g_{31} & g_{32}
\end{bmatrix}
\quad G_{AE}(s) = \begin{bmatrix}
    g_{13} \\
    g_{23}
\end{bmatrix}
\]

(4.3)

Fig. 4.1 displays the magnitudes of the plant input/output mappings. Again, the control inputs and system responses are normalized to their maximum values. The system maximum perturbation forward speed and thrust reverser port area are taken as

\[u_{\text{max}} = 20 \text{ ft/sec} \quad A_{78,\text{max}} = 50 \text{ in}^2\]

(4.4)

The other values were given in Table 3.2.

Fig. 4.1 shows that since RCS jets are no longer used, \( g_{22}(s) \) is quite small, as expected. Also, both \( g_{12}(s) \) and \( g_{23}(s) \) are small, hence, \( G_{AE}(s) \) is "small". However, \( g_{31}(s) \) is quite large and of the same order of magnitude as \( G_{A}(s) \) and \( g_{33}(s) \). Thus, \( G_{AE}(s) \) is not "small" for this configuration either. \( g_{31}(s) \) is large due to the fact that changes in thrust reverser port area can influence the back pressure on the engine fan through the by-pass duct. Thus, closing the loop on forward speed to thrust reversing leads to large \( G_{AE}(s) \) and perhaps significant airframe/engine interactions.

Again, from Eq. (2.3), \( d_A(s) \) can be expected to be large since \( G_{EA}(s) \) is "large." Fig. 4.2 presents the engine's fan speed sensitivity function along with the magnitude of \( d_A(s) \) for this case. This figure shows, however, that \( d_A(s) \) is not as large as in the previous case due in part to different airframe feedback compensation, \( K_{AE}(s) \).

Attention is now directed towards a sensitivity analysis similar to that presented in the first case study. An investigation of Eq. (2.2) would show that \( g_{31}(s) \) multiplies both \( g_{13}(s) \) and \( g_{23}(s) \), while investigation of Eq. (2.7) also indicates that \( g_{31}(s) \) multiplies \( g_{33}(s) \) in the (1,1) element of \( E_{E}(s) \), and multiplies \( g_{23}(s) \) in the (2,1) element of \( E_{E}(s) \). Hence, the system is potentially sensitive to deviations in \( g_{31}(s) \) and/or \( g_{23}(s) \). Thus, the following results present the sensitivity analysis increasing both elements of \( G_{AE}(s) \) at the same time. Physically, \( g_{23}(s) \) is equivalent to \( g_{AE}(s) \) (pitch response-to-fuel flow rate) of the previous case study. \( g_{13}(s) \) models the effects of fuel flow rate on the forward speed. Consequently, this term is sensitive to the vehicle's thrust-to-weight ratio.

Fig. 4.3 shows plots of both sides of the key inequality for the engine loop analysis, Eq. (2.5). This figure shows that the stability margin with the original value of \( G_{AE}(s) \) is approximately 20 dB measured at 0.2 rad/sec.

Fig. 4.3 also shows \( \delta_A K_{AE} \) for "larger" \( G_{AE}(s) \). Instability actually occurs for the increase in \( G_{AE}(s) \) leading to the largest \( \delta_A K_{AE} \) shown in the figure. In this case, both \( g_{13}(s) \) and \( g_{23}(s) \) were increased by 46 dB. Although this gain margin may seem large, at the frequency in which the system goes unstable, this is equal to an additive (rather than multiplicative) perturbation of only 3.6 (ft/sec)/(lbs/hr).

Fig. 4.4 displays the frequency responses of both the nominal and coupled system's engine loop transfers. As in the previous case study, this loop has infinite gain margin and 60° of phase margin occurring at a cross-over frequency of approximately 3 rad/sec. As the magnitude of \( G_{AE}(s) \) is increased, the phase margin is reduced to zero and system instability occurs. Note here that instability in this case occurs near 3 rad/sec.
Figure 4.3 - Plot of Eq. (2.5)

Figure 4.4 - Nominal and Coupled System's Engine Loop Transfer Frequency Responses

The dual stability test for the airframe loop is shown in Fig. 4.5. Note here that singular values are plotted since this is a multivariable system analysis. The stability margin indicated in this figure is approximately 30 dB measured at a frequency of 0.2 rad/sec. Thus, it can be seen that this test is less conservative in that a larger stability margin is guaranteed.

Figure 4.5 - Plot of Eq. (2.9)

5. Analysis Framework With Control Cross-Feeds

The control laws $K_A(s)$ and $K_E(s)$ in the case studies just presented are defined here as decentralized controllers in that they involve no cross-feeds between airframe responses and engine control inputs, or between engine responses and airframe control inputs. The method of analysis presented in Ref. 8 considered only systems with decentralized control laws. Centralized control laws may arise, for example, from application of multivariable synthesis approaches, and may well include control cross-feeds between the two systems. The purpose of this last section is to extend the analysis framework to allow for these cross-feeds.

Fig. 5.1 displays the system analogous to that in Fig. 2.1, but with the control cross-feeds $K_{AE}(s)$ and $K_{EA}(s)$ present.

Figure 5.1 - Airframe/Engine System With Control Cross-Feeds
This system may also be represented as shown in Fig. 2.2 and 2.3. However, the complexity of the coupling expressions increases significantly, as shown below. Note that the indication of functional dependence on s is not carried through on the right hand sides of some of these expressions for simplicity of notation. It can be shown that, for the system in Fig. 5.1, the expressions for $E_A(s)$ and $D_A(s)$ in Fig. 2.2 become

$$E_A(s) = \Delta_E + E_{A1}(s) + E_{A2}(s) + E_{A3}(s) + E_{A4}(s)$$  \hspace{1cm} (5.1)

$$D_A(s) = D_{A1}(s) + D_{A2}(s)$$

$$E_{A1}(s) = -G_{EA} \phi_A K_A G_{AE}, \quad E_{A2}(s) = G_{EA} \phi_A K_{AE}$$

$$E_{A3}(s) = -T_A \phi_A G_{AE}, \quad E_{A4}(s) = -T_A G_A^* \phi_A K_{AE}$$  \hspace{1cm} (5.2)

$$D_{A1}(s) = G_{EA} \phi_A K_A, \quad D_{A2}(s) = T_A \phi_A$$  \hspace{1cm} (5.3)

where,

$$\phi_A(s) = (1 + K_A G_A^*)^{-1}, \quad \phi_A(s) = (1 + G_A^* K_A)^{-1}$$

$$T_A(s) = (G_E^* + E_{A1}) \phi_A K_{EA} K_A$$

$$\phi_A(s) = (1 + K_{EA} \phi_A G_{AE})^{-1}$$  \hspace{1cm} (5.4)

$\Delta_E + E_{A1}(s)$ is identical to the original $E_A(s)$ given in Eq. (2.2). That is, $E_A(s)$ in Eq. (5.1) reduces to this when the cross-feeds $K_{AE}(s)$ and $K_{EA}(s)$ are zero. $E_{A2}(s)$ arises from the "$K_{AE} G_{EA}$" path in the block diagram in Fig. 5.1. That is, $E_A(s)$ reduces to $E_{A3}(s)$ when $K_{EA}(s)$ and $G_{AE}(s)$ are zero. $E_{A3}(s)$ arises from the "$K_{AE} G_{EA}$" path, or $E_{A3}(s)$ reduces to $E_{A3}(s)$ when $K_{AE}(s)$ and $G_{AE}(s)$ are zero. Finally, $E_{A4}(s)$ arises from the "$K_{AE} G_{EA}$" path, or $E_{A4}(s)$ reduces to $E_{A4}(s)$ when $G_{EA}(s)$ and $K_{EA}(s)$ are zero. Dual results arise when considering the effects on the airframe loop. In this case, the results are the same as those in Eq. (5.1) - (5.4), but with all subscripts (A and E) interchanged. Thus, the dual expressions are

$$E_E(s) = \Delta_A + E_{E1}(s) + E_{E2}(s) + E_{E3}(s) + E_{E4}(s)$$

$$D_E(s) = D_{E1}(s) + D_{E2}(s)$$

$$E_{E1}(s) = -G_{AE} \phi_E K_E G_{EA}, \quad E_{E2}(s) = G_{AE} \phi_E K_{EA}$$

$$E_{E3}(s) = -T_E \phi_E G_{EA}, \quad E_{E4}(s) = -T_E G_E^* \phi_E K_{EA}$$  \hspace{1cm} (5.6)

$$D_{E1}(s) = G_{AE} \phi_E K_E, \quad D_{E2}(s) = T_E \phi_E$$  \hspace{1cm} (5.7)

where,

$$\phi_E(s) = (1 + K_E G_E^*)^{-1}, \quad \phi_E(s) = (1 + G_E^* K_E)^{-1}$$

$$T_E(s) = (G_A^* + E_{E1}) \phi_E K_{AE} K_E$$

$$\phi_E(s) = (1 + K_{AE} \phi_E G_{EA})^{-1}$$  \hspace{1cm} (5.8)

Note that solving for the control cross-feed that will force $D_A(s)=0$ gives:

$$K_{AE} = -(G_E^*)^{-1} G_{EA}$$  \hspace{1cm} (5.9)

Hence, this cross-feed minimizes the disturbance from the airframe to the engine loop. By duality arguments, $D_E(s)=0$ when:

$$K_{AE} = -(G_A^*)^{-1} G_{AE}$$  \hspace{1cm} (5.10)

Note that this solution requires inversion of the airframe and engine plants, which is not advisable if right half plane transmission zeros are present. Also, the above solutions unfortunately do not lead to $E_A(s)=0$ and $E_E(s)=0$.

6. Summary and Conclusions

Two case studies were presented in this paper that addressed the analysis of airframe/engine interactions. For both open loop airframe/engine configurations considered, the airframe's influence on the engine loop was significant. Commands to the flight control system resulted in significant undesirable fan speed disturbances. The engine's effect on the airframe loop, however, was "small" in both case studies, and thus the interactions between the airframe and engine were one-directional. Consequently, analysis revealed good stability robustness and closed loop flight control performance.

However, the analysis also indicated the system's potential sensitivity in engine-to-airframe interactions. The stability test used in the analysis of the airframe loop (Eq. (2.9)) more accurately predicted the actual coupling "stability margin" for both cases considered. This underscores the need for analyzing both the airframe and engine systems to accurately evaluate the significance of their interactions. For the second case study, which involved a multivariable airframe system, worst-case combinations of plant variations is more accurately predicted than stability test used in the analysis of the airframe loop (Eq. (2.9)).

Finally, extension of the analysis method to allow for cross-feeds between the airframe and engine systems was presented.

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References


Analysis Of Airframe/Engine Interactions For A STOVL Aircraft With Integrated Flight/Propulsion Control†

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Abstract
This paper presents new results from a multivariable analysis technique applied to an advanced STOVL configuration with highly interactive airframe and propulsion subsystems and uncertainty in the interactions between the subsystems. This analysis method is used to assess the effects of the dynamic cross-coupling between the airframe and engine subsystems. The analysis framework addresses two-directional dynamic cross-coupling, and also allows for cross-feeds between the subsystem controllers. The issue of stability and performance robustness is addressed, and the utility of singular value stability robustness criteria is presented. The configuration analyzed includes a thrust vectoring/thrust reversing aft nozzle, powered lift through the use of a ventral nozzle and ejectors, and Reaction Control System jets. Investigation of the open-loop dynamics indicates that significant interactions between the airframe and engine are generated as a consequence of the propulsive augmentation. A critical frequency range where instability would first occur due to small variations in the coupling dynamics is also indicated by the analysis. A stability sensitivity analysis reveals that the interactions between the engine and the airframe's flight path response are critical with regard to stability and performance robustness.

Introduction
The main objective of this paper is to present new results of an analysis method that examines the effects of interactions between airframe and engine subsystems. This analysis technique was first introduced in [1], and further developed in [2] and [3]. The procedure is applied for analysis of a particular vehicle configuration that has been the subject of several studies involved in the Integrated Flight and Propulsion Control (IFPC) problem [4]-[6]. The central issues of the airframe/engine interaction analysis methodology presented herein are to reveal how the interactions between the airframe and engine are manifested, and to assess their significance. The "size" of the interactions are quantified in a meaningful way to indicate their effect on reductions in stability robustness, and degradations in closed loop performance. The analysis method presently developed has proven useful in identifying critical frequencies where the system is lacking in stability robustness. The analysis also quantifies disturbances encountered in each loop due to the interactions between the airframe and engine. Analyzing these interactions should help to further understand how they should be addressed in the context of integrated control of the flight and propulsion subsystems.

The main focus in the IFPC problem is control synthesis and analysis of advanced concepts of highly maneuverable aircraft which utilize the propulsion subsystem for enhancing the lifting and maneuvering capabilities of the airframe [1]-[8]. Fig. 1 illustrates some of these new design concepts such as aft and ventral nozzle vectoring, Reaction Control System (RCS) jets, and left and right ejectors. Vectoring of the engine's nozzles generates moments that enhance the attitude control of the airframe. A ventral nozzle is located underneath the fuselage and redirects the engine's thrust for both pitch attitude control and lift augmentation. Thrust from RCS jets is drawn from engine compressor bleed flow and is also used to enhance attitude control. Primary ejector flow is due to the mixed flow of the engine (core and bypass flow) and secondary flow is generated by ejector intake doors over the top of the fuselage. If the ejectors act in unison, they provide propulsive lift at low speeds and hover. However, differential use of the left and right ejectors can enhance roll control of the aircraft.

Figure 1 - IFPC Vehicle Configuration

Traditional aircraft only utilize engine thrust to affect forward velocity, and there is little need to address dynamic interactions between the airframe and engine subsystems. Conversely, for these new aircraft design concepts, the potential two-directional interactions between the airframe and engine subsystems are of major concern. Engine thrust will not only affect the forward velocity of the airframe, but will also influence the lift and attitude motion of the airframe as well. However, the inlet flow to the engine, which affects the thrust produced by the engine is, in turn, affected by the the dynamic motion of the airframe. Although the airframe and engine subsystem dynamics are usually reasonably well modeled, the dynamic interactions between these subsystems are frequently difficult to accurately predict and model early in the design cycle, and
are often a significant source of uncertainty in the model of the system's dynamics. Therefore, a key focus of the analysis presented in this paper is system stability and performance robustness to uncertainties in the airframe/engine interactions. The stability and performance robustness of the system is most sensitive to certain critical interactions, and the analysis seeks to identify these critical interactions.

System Description And Control Law Architecture

The overall system's input-output characteristics are defined at one operating point by the matrix of transfer functions

\[
\begin{bmatrix}
    y_A \\
    y_E
\end{bmatrix} =
\begin{bmatrix}
    G_A & G_{AE} \\
    G_{EA} & G_E
\end{bmatrix}
\begin{bmatrix}
    u_A \\
    u_E
\end{bmatrix}, \text{ or } y(s) = G(s)u(s)
\]

(1)

where \(G_A(s)\) represents the airframe dynamics, and \(G_E(s)\) represents the engine dynamics. Two-directional dynamic interactions between the airframe and engine are modeled by the off-diagonal transfer function matrices, \(G_{AE}(s)\) and \(G_{EA}(s)\). \(G_{AE}(s)\) will be referred to as the engine-to-airframe coupling or interaction matrix, and \(G_{EA}(s)\) will be referred to as the airframe-to-engine coupling or interaction matrix. The responses of each subsystem are affected by the control inputs of the other due to the presence of these interactions. \(y_A(s)\) is the vector of airframe responses, and \(y_E(s)\) is the vector of engine responses. Likewise, \(u_A(s)\) is the vector of airframe control inputs, and \(u_E(s)\) the vector of operative engine control inputs.

It is considered that the system is acted upon by either centralized or decentralized controllers. Centralized controllers are synthesized to address the design objectives of the overall system, and employ two-directional cross-feeds between the interacting subsystems to aid in this effort. Decentralized controllers are designed, built and tested separately for each subsystem. Therefore, utilization of control cross-feeds is limited.

The centralized control law architecture is defined here as

\[
\begin{bmatrix}
    u_A \\
    u_E
\end{bmatrix} =
\begin{bmatrix}
    K_A & K_{AE} \\
    K_{EA} & K_E
\end{bmatrix}
\begin{bmatrix}
    y_A - y_A \\
    y_E - y_E
\end{bmatrix}
\]

(2)

\(K_A(s)\) and \(K_E(s)\) are the feedback control compensation matrices associated with the airframe and the engine control subsystems, respectively. Note the presence of the two-directional control cross-feeds indicated by \(K_{AE}(s)\) and \(K_{EA}(s)\). \(y_A(s)\) is the vector of desired or commanded airframe responses, perhaps from pilot inputs, and \(y_E(s)\) is the vector of commanded (or limited) engine responses, from either pilot inputs or commands from an outer-loop system. Hierarchical decentralized control law architectures were all proposed in [5]-[8]. The objective of the work presented in these references was to develop a centralized control law synthesis technique with a decentralized implementation methodology. The centralized control laws are obtained by various multivariable control law synthesis methods. Then, decentralized control laws are developed that will “approximate” the centralized control in some manner to yield approximately the same closed-loop performance.

The hierarchical decentralized control law architecture is defined here as

\[
\begin{bmatrix}
    u_A \\
    u_E
\end{bmatrix} =
\begin{bmatrix}
    K_A & 0 \\
    K_{EA} & K_E
\end{bmatrix}
\begin{bmatrix}
    y_A - y_A \\
    y_E - y_E
\end{bmatrix}
\]

(3)

One-directional control cross-feed is utilized in the hierarchical decentralized controller, brought about by the presence of \(K_{EA}(s)\). The term “hierarchical” conveys that the airframe is viewed as the “higher level” subsystem, and the “lower level” engine subsystem is a “thrust actuator” generating forces and moments on the airframe. Fig. 2 displays the airframe/engine system framework viewed in this manner. It can be seen that the airframe controller is responsible for not only generating aerodynamic control surface inputs, \(u_A(s)\), but also for generating engine thrust commands, \(y_T(s)\), to the engine subsystem. This invokes the one-directional control cross-feed. However, the decentralized propulsion system controller is designed and built separately. \(y_T(s)\) is the vector of engine thrust responses, such as RCS, ejector, ventral, and aft thrusts. These responses act as control inputs to the airframe. \(y_E(s)\) is the vector of internal regulated engine responses, such as fan and compressor speeds, and pressures and temperatures at various stages of the engine. The objective of the closed-loop propulsion system is to deliver the required thrust responses to the flight control loops for attitude and lift augmentation.

\[
\begin{align*}
    & y_A \\
    & \text{Airframe Controller} \\
    & \text{Airframe} \\
    & \text{Interactions} \\
    & \text{Engine Controller} \\
    & \text{Engine} \\
    & \text{y_E} \\
    & \text{(Closed-loop误会)} \\
    & \text{y_E} \\
\end{align*}
\]

Figure 2 - Hierarchical Decentralized Control Law Architecture

Finally, another class of decentralized controllers that employ no cross-feeds between the subsystems can also be addressed by the analysis technique. In this case, both \(K_{AE}(s)\) and \(K_{EA}(s)\) are zero, and the matrix \(K(s)\) is block diagonal. In summary, the analysis methodology may address systems with two-directional dynamic interactions between
the airframe and engine, and which employ either centralized or decentralized control laws.

Description Of The Vehicle Dynamics And Control Law

The vehicle configuration to be considered is representative of an E7-D delta wing supersonic aircraft, powered by a high bypass turbofan engine, with STOVL capabilities. The linear dynamic model and control law were provided by the NASA Lewis Research Center, and further details of the vehicle configuration are presented in [5] and [6]. The control law to be investigated in this analysis is documented in [5], which provides a detailed account of the design methodology and the system requirements. The focus of this study is on the longitudinal dynamics of the vehicle. The reference point about which the nonlinear model is linearized is the steady-state wings-level decelerating transition while approaching the hover landing flight phase. The forward flight speed is 80 knots. At this slow speed the forces and moments controlling the aircraft are transitioning from those generated by the aerodynamic control surfaces to those generated by the propulsion system. Table 1 presents the open-loop eigenvalues of the engine dynamics and longitudinal airframe dynamics. Note that the airframe's short period mode is unstable for this configuration and flight condition.

<table>
<thead>
<tr>
<th>Eigenvalues (rad/sec)</th>
<th>Modes</th>
</tr>
</thead>
<tbody>
<tr>
<td>-200</td>
<td>Pressure Mode</td>
</tr>
<tr>
<td>-38</td>
<td>Temperature Modes</td>
</tr>
<tr>
<td>-29</td>
<td></td>
</tr>
<tr>
<td>-7.1</td>
<td>Rotor Speed Modes</td>
</tr>
<tr>
<td>-4.1</td>
<td></td>
</tr>
<tr>
<td>1.3</td>
<td>Unstable Short Period</td>
</tr>
<tr>
<td>-2.1</td>
<td>Stable Short Period</td>
</tr>
<tr>
<td>-0.1 + 0.3i</td>
<td></td>
</tr>
<tr>
<td>-0.1 - 0.3i</td>
<td>Phugoid Mode</td>
</tr>
</tbody>
</table>

Aerodynamic pitch control is provided by collective elevator deflection. Pitching moments are also provided by aft and ventral nozzle vectoring, and Reaction Control System (RCS) jets. The vehicle is also equipped with left and right ejectors which act in unison, and along with ventral nozzle thrust, provide propulsive lift at low speeds and hover. An ejector butterfly valve controls the amount of engine flow to the ejectors, thus the amount of ejector thrust.

The state space descriptions of the linear dynamic model and control law are given in Appendix A. The responses and control inputs are defined in Table 2. The first seven responses are airframe responses, while the fan speed, $N_2$, is a critical engine response. Therefore, the airframe and engine response vectors are (see Eq. (1))

$$y_A(s) = [Q_V, q, \theta, V, \dot{V}, V]^T$$

$$y_E(s) = N_2$$

In [5] it is noted that the blended responses $V$ and $Q_V$ are utilized by the controller to provide good handling qualities in transition flight.

The plant and controller transfer function matrices were normalized by estimates of the maximum allowable perturbations of the responses and controls from their reference values. The maximum allowable perturbations in these responses and controls were provided by NASA Lewis, and are also presented in Table 2. The units of all inputs and outputs are normalized so that the magnitudes of the transfer functions could be meaningfully compared. Unless otherwise stated, all results are presented in these normalized units.

Table 2(a) - Airframe/Engine System Responses And Estimates Of Their Maximum Values

<table>
<thead>
<tr>
<th>System Responses</th>
<th>Estimate Of Maximum Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>$Q_V = q + 0.3\theta$</td>
<td>6.3 deg/sec</td>
</tr>
<tr>
<td>$q$ - pitch rate (deg/sec)</td>
<td>6.3 deg/sec</td>
</tr>
<tr>
<td>$\theta$ - pitch attitude (deg)</td>
<td>21 deg</td>
</tr>
<tr>
<td>$\gamma$ - long. flight path angle (deg)</td>
<td>4.0 deg</td>
</tr>
<tr>
<td>$V = \dot{V} + 0.1V$</td>
<td>7.6 ft/sec²</td>
</tr>
<tr>
<td>$V$ - total acceleration (ft/sec²)</td>
<td>7.6 ft/sec²</td>
</tr>
<tr>
<td>$V$ - true airspeed (ft/sec)</td>
<td>76 ft/sec</td>
</tr>
<tr>
<td>$N_2$ - fan speed (rpm's)</td>
<td>120 rpm's</td>
</tr>
</tbody>
</table>

Table 2(b) - Airframe/Engine Control Inputs And Estimates Of Their Maximum Values

<table>
<thead>
<tr>
<th>System Control Inputs</th>
<th>Estimate Of Maximum Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\delta_E$ - elevon deflection (deg)</td>
<td>5.0 deg</td>
</tr>
<tr>
<td>$A_\theta$ - pitch RCS area (in²)</td>
<td>0.7 in²</td>
</tr>
<tr>
<td>$\zeta_4$ - aft nozzle vectoring angle (deg)</td>
<td>10 deg</td>
</tr>
<tr>
<td>$\eta_7$ - ejector butterfly valve angle (deg)</td>
<td>8.0 deg</td>
</tr>
<tr>
<td>$\zeta_9$ - ventral nozzle vectoring angle (deg)</td>
<td>10 deg</td>
</tr>
<tr>
<td>$A_9$ - ventral nozzle area (in²)</td>
<td>45 in²</td>
</tr>
<tr>
<td>$A_8$ - aft nozzle throat area (in²)</td>
<td>20 in²</td>
</tr>
<tr>
<td>$w_f$ - fuel flow rate (lbm/hr)</td>
<td>1000 lbm/hr</td>
</tr>
</tbody>
</table>

The engine's fan speed responses are shown in Fig. 3, and the magnitude of the airframe's pitch attitude, flight path angle, and forward velocity frequency responses are
shown in Figs. 4 through 6. (The airframe responses $Q_V$, $q$, $V_V$, and $V$ are not shown but are directly related to the pitch attitude and forward velocity responses (Table 2(a)).)

It is clear that the airframe/engine system is quite multivariable in nature in that each response is significantly influenced by several controls. With the response vector defined in Eq. (4), it is desirable to select control input vectors such that the plant transfer function matrix in Eq. (1) be approximately diagonally dominant. However, due to the significant multivariable nature of the system, this could not be fully achieved. Fig. 3 shows that the engine's fan speed response from fuel flow rate is approximately 2 dB larger in magnitude than its response from ventral nozzle area, $A_{78}$, below 7 rad/sec. However, both $w_f$ and $A_{78}$ may be considered primary fan speed controls. Figs. 4 and 6 show that the airframe's pitch attitude and forward velocity responses from $A_{78}$ are generally larger in magnitude than their responses from fuel flow rate. Therefore, for this initial study, the fuel flow rate will be considered the single engine control, and the airframe control vector will be ordered as listed in Table 2(b). Hence,

$$u_A(s) = [\delta_E, A_q, \zeta, \eta, \zeta_{79}, A_{78}, A_q]^T$$

$$u_E(s) = w_f \quad \text{(5)}$$

With this selection, referring to Eq. (1), the airframe transfer matrix, $G_A(s)$, is 7x7, and the engine transfer function, $G_E(s)$, is a scalar. Thus, the engine-to-airframe coupling transfer matrix, $G_{AE}(s)$, is 7x1, and the airframe-to-engine coupling transfer matrix, $G_{EA}(s)$, is 1x7. (Note that the results of the analysis to follow are dependent on the selection of airframe and engine controls, and different selections have not been fully explored for this vehicle.) The partitioning of the control law matrix follows from the response vector (Eq. (4)) and the selection of airframe and engine controls (Eq. (5)). $K_A(s)$ is therefore 7x7, and $K_E(s)$ is a scalar. The control cross-feed matrices, $K_{AE}(s)$ and $K_{EA}(s)$ are 7x1 and 1x7, respectively.

With the choice of fuel flow rate as the engine control, the engine-to-airframe and airframe-to-engine coupling transfer functions in $G_{AE}(s)$ and $G_{EA}(s)$ are comparatively large in magnitude. Figs. 4 and 5 show that the fuel flow rate may significantly affect the airframe's pitch attitude and flight path angle responses. In turn, due to the two-directional coupling, Fig. 3 shows that the engine's fan speed may be significantly affected by the vehicle's utilization of the propulsion system to enhance attitude control and augment lift.

**Stability Robustness Analysis**

It is assumed here that the airframe and engine plants are reasonably well modeled, and hence any uncertainties in $G_A(s)$ and $G_E(s)$ at the design point are negligible. Recall, however, that the dynamic interactions between the airframe and engine are difficult to accurately model, and may contribute a considerable source of uncertainty in the model of the system's dynamics. Because the plant uncertainty is
structured in this manner, the structured singular value stability robustness criterion \[ 9,10 \] may be utilized.

![Figure 6 - Forward Velocity Frequency Response Magnitudes](image)

**Figure 6 - Forward Velocity Frequency Response Magnitudes**

Additive uncertainty in the coupling dynamics is defined here as

\[
G_{AE} = G_{AE}^* + \Delta_{AE} \quad \text{and} \quad G_{EA} = G_{EA}^* + \Delta_{EA}
\]

where \( G_{AE}^* \) and \( G_{EA}^* \) represent nominal models of the interactions. Therefore, with these uncertainties, the "true" plant description is

\[
G(s) = G^*(s) + \Delta(s), \quad \text{where}
\]

\[
G^*(s) = \begin{bmatrix} G_A & G_{AE}^* \\ G_{EA}^* & G_E \end{bmatrix}, \quad \text{and} \quad \Delta(s) = \begin{bmatrix} 0 & \Delta_{AE} \\ \Delta_{EA} & 0 \end{bmatrix}
\]

The feedback loop for the overall interacting system may now be represented as shown in Fig. 7, with the uncertainties in the coupling dynamics expressed in the following block-diagonal form:

\[
\Delta_D(s) = \begin{bmatrix} \Delta_{AE} & 0 \\ 0 & \Delta_{EA} \end{bmatrix}
\]

**Figure 7 - System Description With Block-Diagonal Uncertainty**

From \[ 9 \] and \[ 10 \], the system of Fig. 7 remains stable if and only if

\[
\|\Delta_D\|_{\infty} < \frac{1}{\|Q_{22}\|_{\mu}} \quad (11)
\]

where,

\[
\|Q_{22}\|_{\mu} = \sup_{s} \left[ \mu(Q_{22}(j\omega)) \right], \quad \|\Delta_D\|_{\infty} = \sup_{s} \left[ \sigma_{\text{max}}(\Delta_D(j\omega)) \right]
\]

Here \( \mu(Q_{22}(j\omega)) \) is the structured singular value of \( Q_{22}(j\omega) \), and \( \sigma_{\text{max}}(\Delta_D(j\omega)) \) is the maximum singular value of \( \Delta_D(j\omega) \).

Fig. 8 presents the inverse of \( \mu(Q_{22}(j\omega)) \) for the vehicle and control laws described in the previous section. This figure indicates that

\[
\frac{1}{\|Q_{22}\|_{\mu}} = -19 \text{ dB} \quad (13)
\]

Hence, closed-loop stability is assured if and only if \( \|\Delta_D\|_{\infty} \) is less than -19 dB. Due to the structure of \( \Delta_D(j\omega) \), this also implies that stability is assured if both \( \|A_{AE}\|_{\infty} \) and \( \|\Delta_{EA}\|_{\infty} \) are less than -19 dB \[ 10 \]. But without a model or estimate of the uncertainty matrix, \( \Delta_D(j\omega) \), \( \sigma_{\text{max}}(\Delta_D(j\omega)) \) cannot be calculated, and hence particularly critical frequency ranges cannot be identified more precisely.

Another stability robustness criterion was developed and presented in \[ 1 \]-\[ 3 \] and will also be utilized here. As presented in \[ 1 \]-\[ 3 \], the airframe/engine system with the control law architecture of Eq. (2) may be described as shown in Fig. 9. Note that with reference to Eq. (2) and this figure,

\[
K_{AE}(s) = \mathcal{K}_{AE}(s)K_E(s), \quad K_{EA}(s) = \mathcal{K}_{EA}(s)K_A(s)
\]

Manipulating the block diagram of Fig. 9 into that shown in Fig. 10 gives rise to what \[ 1 \]-\[ 3 \] define as multiplicative
and disturbance interaction matrices, \( M_A(s) \) and \( D_A(s) \). These interaction terms capture the effects of the airframe's influence on the engine's control loops.

Specifically, it can be shown that

\[
M_A(s) = G_E^{-1}(G_{AE} \xi_{AE} - (G_{EA} + G_E \xi_{EA})K_A) \frac{1}{[1+(G_A+G_{AE} \xi_{EA})K_A]^{-1} (G_{AE} + G_E \xi_{EA})}
\]

\[
D_A(s) = (G_{EA} + G_E \xi_{EA})K_A[I+(G_A+G_{AE} \xi_{EA})K_A]^{-1}
\]

(15)

(Note that the engine affects the airframe in a dual manner, and the dual expressions for these interaction terms can be found by interchanging all subscripts A and E in the above expressions.)

Now the determinant of the return difference matrix for the system may be expanded as

\[
\det[I+GK] = \det[I+(G_A+G_{AE} \xi_{EA})K_A] \det[I+G_E (I+M_A)K_E]
\]

(16)

Given that \( K(s) \) stabilizes the system, the \( \det[I+G(j\omega)K(j\omega)] \) is nonzero for all frequency, \( \omega \).

Therefore a necessary condition for stability is that the \( \det[I+G_E(I+M_A)K_E] \) is nonzero for all frequency, \( \omega \). This is assured if the engine control law \( K_E(s) \) stabilizes the (non-interacting) engine loop (in which case the \( \det[I+G_EK_E] \neq 0 \)), and if \[1],[12]

\[
\sigma_{\text{max}}(M_A(j\omega)) < \sigma_{\text{min}}((I+(K_E(j\omega)G_E(j\omega)))^{-1})
\]

for all \( \omega > 0 \), \hspace{1cm} (17)

Therefore, if \( \sigma_{\text{max}}(M_A(j\omega)) \) is equal to or greater than \( \sigma_{\text{min}}((I+(K_E(j\omega)G_E(j\omega)))^{-1}) \), the \( \det[I+G_E(I+M_A)K_E] \) can no longer be assured to be nonzero. If this determinant is in fact zero, then the closed-loop system is unstable.

For the airframe/engine system in question, the fuel flow rate is the single engine control, and the engine dynamic model, \( G_E(s) \), is simply the fan speed-to-fuel flow rate transfer function, \( N_2(s)/w_f(s) \). \( K_E(s) \) is then, fuel flow rate-to-measured fan speed, or, \( w_f(s)/N_2(s) \). Also, the non-interacting engine system \( (I+G_EK_E) \) is stable here. Fig. 11 shows the plot of the stability robustness criterion of Eq. (17) for this system.

Although Fig. 11 shows that the criterion of Eq. (17) is satisfied for all frequencies, it can be seen that the magnitude of \( M_A(j\omega) \) is only approximately 2 dB below the magnitude of \( (I+(K_EG_E))^{-1} \) in a critical frequency range between 0.4 and 1.0 rad/sec. Note further from Eq. (15) that \( M_A(s) \) is a strong function of the coupling matrices \( G_{AE}(s) \) and \( G_{EA}(s) \), which are considered uncertain here. Hence, a significant amount of uncertainty arises in the multiplicative interaction matrix, and Fig. 11 indicates that frequencies between 0.4 and 1.0 rad/sec appear to be critical.
δφ, which ranged from -60 degrees to +60 degrees. The “worst case” phase variation was defined as the δφ which caused the largest difference (in dB) in |M(jω)|.

All 14 coupling transfer functions (recall that GAE(s) is 7x1 and GEA(s) is 1x7) were varied, and the respective |M(jω)| for each case is shown in Fig. 12. In this figure, the nominal magnitude of |M(jω)| is plotted in the solid line. Each dashed line is a plot of |M(jω)| for a magnitude variation δm = 3 (=10 dB) and the “worst case” phase variation at each frequency in one particular coupling transfer function. It can be seen from this figure that the magnitude of the multiplicative interaction matrix is most sensitive to magnitude and phase perturbations in four coupling transfer functions. These coupling transfer functions are fan speed-to-ventral nozzle area, N2/A7g, fan speed-to-aft nozzle area, N2/A8, fan speed-to-ejector butterfly valve angle, γ/Wf, and flight path angle-to-fuel flow rate, γ/Wf. Perturbations in the other ten coupling transfer functions caused negligible variations in |M(jω)|.

It can be seen from Fig. 12 that, although perturbations in N2/A7g, N2/A8 and N2/γ caused variations in |M(jω)| at all frequencies, the perturbation in flight path angle-to-fuel flow rate caused the largest variation in |M(jω)|, and further, this occurred in the critical frequency range indicated in Fig. 11.

The magnitudes and phases of all 14 coupling transfer functions were also varied until instability occurred. Listed in Table 3 are those transfer functions for which the smallest magnitude variation and phase variations, δm and δφ, would lead to instability. For each transfer function, the table lists the magnitude and phase variations required to cause instability, and the frequency at which the instability occurs. Note that the combination of magnitude and phase variation required to cause instability is not unique. That is, more magnitude variation and less phase variation (or vice-versa) can also cause instability, and Table 3 simply lists example combinations. The first four transfer functions listed are engine-to-airframe (GAE(s)) interactions, and the last three listed are airframe-to-engine (GEA(s)) interactions. All coupling transfer functions not listed in this table required over 20 dB of magnitude variation and/or over 180 degrees of phase variation before instability would occur.

Table 3 - Variations In Coupling Transfer Functions Required To Cause Instability

<table>
<thead>
<tr>
<th>Coupling Transfer Function</th>
<th>Magnitude Variation (dB)</th>
<th>Phase Variation (degrees)</th>
<th>Frequency At Instability (rad/sec)</th>
</tr>
</thead>
<tbody>
<tr>
<td>q/Wf</td>
<td>16</td>
<td>-115</td>
<td>1.3</td>
</tr>
<tr>
<td>θ/Wf</td>
<td>18</td>
<td>-85</td>
<td>0.8</td>
</tr>
<tr>
<td>γ/Wf</td>
<td>10</td>
<td>-37</td>
<td>0.52</td>
</tr>
<tr>
<td>v/Wf</td>
<td>20</td>
<td>-120</td>
<td>0.38</td>
</tr>
<tr>
<td>N2/A7g</td>
<td>20</td>
<td>-125</td>
<td>10</td>
</tr>
<tr>
<td>N2/A8</td>
<td>19</td>
<td>-138</td>
<td>1.3</td>
</tr>
<tr>
<td>N2/γ</td>
<td>20</td>
<td>-132</td>
<td>0.3</td>
</tr>
</tbody>
</table>

The flight path angle-to-fuel flow rate transfer function, γ/Wf, is the most critical interaction, with both the smallest magnitude variation (10 dB) and the smallest phase variation (-37 degrees) required to cause instability. For this perturbation, instability occurs at 0.52 rad/sec. Note that |M(jω)| is greater than 2 + (K_EK_E^-1) for this perturbation at 0.52 rad/sec, indicating the conservativeness of the stability robustness criterion of Eq. (17). However, for this perturbation, c_m=D(M(jω))=10 dB for all ω, indicating that the structured singular value criterion shown in Fig. 8 is conservative as well.

Instability was determined by plotting the Nyquist plot of the determinant of I+G(jω)K(jω) with perturbations in the coupling transfer functions. Fig. 13 presents the plot of the det(I+G(jω)K(jω)) for both the nominal system, and the system with the variations in the flight path angle-to-fuel flow rate transfer function that caused instability. Although Fig. 13 only shows the Nyquist plot near the origin, it can be shown that there are two ensuing clockwise encirclements of the origin for the perturbed system. This implies that a pair of closed-loop eigenvalues lies on the jω-axis at ± 0.52j.

![Figure 12 - Sensitivity Of Multiplicative Interaction Matrix To Perturbations In Coupling Transfer Functions](image)

![Figure 13 - Plot Of Airframe/Engine System's Det[I+G(jω)K(jω)] With Variations In Flight Path Angle-To-Fuel Flow Rate Transfer Function](image)
The significance of the uncertainty leading to the instability is depicted in Fig. 14. In the figure, the nominal flight path angle-to-fuel flow rate transfer function is shown along with magnitude and phase variations of 10 dB and -37 degrees. It can be seen that the magnitude of uncertainty that causes instability is actually quite "small" in the physical units of deg/(lbm/hr). At the frequency of instability (0.52 rad/sec) the nominal magnitude is -56 dB (0.0016 deg/(lbm/hr)) and the perturbed magnitude is -46 dB (0.0034 deg/(lbm/hr)).

Finally, Fig. 15 presents the Bode plot of the engine fan speed loop (with all other loops closed). It can be seen that this loop nominally has infinite gain margin and 80 degrees of phase margin at a cross-over frequency of 5.5 rad/sec. However, the instability that occurs at 0.52 rad/sec due to the critical variations in the flight path angle-to-fuel flow rate coupling transfer function is also shown. It is clear that the classical phase margin defined at the gain cross-over frequency does not indicate this critical frequency. The structured singular value of the closed-loop matrix $Q_{22}$ (Eq. (9)), shown in Fig. 8, also failed to indicate this critical frequency. However, as indicated by Fig. 11, the robustness criterion of Eq. (17) correctly indicated the frequency range in which instability would occur for the smallest variations in magnitude and phase of one transfer function in $G_{AE}(s)$ or $G_{EA}(s)$. Furthermore, this criterion is most sensitive to variations in $y/w_f$ within the critical frequency range, which is consistent with the results in Fig. 12.

Performance Analysis

Referring back to Fig. 10, the decoupled or non-interacting engine system's closed-loop responses are

$$y_E(s) = [I + G_E K_E]^{-1} G_E K_E y_{E_0}(s)$$

However, with airframe/engine interactions, the engine system's responses are

$$y_{E_0}(s) = [I + G_E (I + M_A) K_E]^{-1} G_E (I + M_A) K_E y_{E_0}(s)$$

$$+ [I + G_E (I + M_A) K_E]^{-1} D_A y_{A_0}(s)$$

It be can seen that disturbances to the engine responses from airframe commands, $y_{A_0}(s)$ (for example, pilot stick inputs), arise unless the disturbance interaction matrix, $D_A(s)$, is zero. For this case study, $D_A(s)$ is a 1x7 matrix and $y_{A_0}(s)$ in Fig. 10 is

$$y_{A_0}(s) = [Q_{Vc}, q_e, \theta_e, \gamma_c, V_{Vc}, V_c, V_d]^T$$

However, in [5] and [6] the actual airframe command vector consisted only of the commanded blended responses, $Q_{Vc}$ and $V_{Vc}$, and the commanded flight path angle, $\gamma_c$. The other responses are regulated, or their commands are zero. Define here the 1x3 matrix $D_A(j\omega)$ as the "subset" of $D_A(j\omega)$ consisting of those elements corresponding to $Q_{Vc}$, $V_{Vc}$ and $\gamma_c$. Fig. 16 shows the magnitudes of the elements of $D_A(j\omega)$. These terms are seen to be approximately -10 dB in magnitude below 1.0 rad/sec.

\[\text{Figure 14 - Frequency Response Of Flight Path Angle-To-Fuel Flow Rate Transfer Function With Variations That Cause Instability}\]

\[\text{Figure 15 - Engine Fan Speed Loop Bode Plot With All Other Loops Closed}\]

\[\text{Figure 16 - Frequency Response Magnitudes Of } D_A(j\omega)\]
The closed-loop engine response (Eq. (19)) is the sum of two quantities: the complementary sensitivity function operating on the engine commands, and the product of the sensitivity function and $D_A(j\omega)$ operating on the airframe commands. Fig. 17 presents the magnitude of the fan speed response from commanded fan speed, $N_2c$ (the complementary sensitivity function), and the maximum singular value of the fan speed response from the nonzero airframe commands (the product of the sensitivity function and $D_A(j\omega)$). It can be seen from Fig. 17 that at all frequencies the magnitude of the fan speed response from the commanded fan speed is at least approximately 17 dB greater than the maximum singular value of the fan speed response from the airframe commands. In other words, the maximum singular value of the fan speed response from the airframe commands is at most only approximately 14% of the magnitude of the fan speed response from commanded fan speed. Unless more disturbance rejection performance is required, it would seem that the fan speed loop should be able to adequately reject disturbances from airframe commands.

![Figure 17 - Fan Speed Complementary Sensitivity Function And Disturbance Response From Airframe Interactions](image)

Fig. 18 presents the fan speed time response from a step flight path angle command, $\gamma_c$. The flight path angle was commanded to 4 degrees, which is its maximum allowable value, as given in Table 2(a). This constitutes a "worst case" fan speed disturbance response from commanded flight path angle. It can be seen from this figure that the fan speed response has a peak magnitude of approximately 5% of the maximum allowable fan speed response of 120 rpms, also given in Table 2(a). Although not presented, the peak magnitudes of the fan speed response from other airframe commands were even less than that shown in Fig. 18. Therefore, the fan speed loop seems to adequately reject disturbances from airframe commands, consistent with the results in Fig. 17.

Finally, recall that the system stability was sensitive to magnitude and phase variations in the flight path angle-to-fuel flow rate coupling transfer function, $\gamma/w_f$, and that a magnitude variation, $\Delta m$, of 10 dB, and a phase variation, $\Delta \phi$, of -37 degrees in this coupling transfer function caused instability. Therefore, performance robustness to uncertainties in the interactions should also be addressed. Although the focus of the analysis so far has been the airframe's effects on the engine, the engine will also affect the airframe. Fig. 19 shows the magnitude of the airframe's closed-loop frequency response of flight path angle-to-commanded flight path angle, $\gamma_{yc}$. This response is presented because it was found to be the most sensitive to variations in the flight path angle-to-fuel flow rate coupling transfer function, $\gamma/w_f$. It can be seen that for the nominal response, good command following performance is obtained out to a bandwidth of approximately 0.5 rad/sec. However, responses are also shown that correspond to perturbations in the flight path angle-to-fuel flow rate coupling transfer function. With a perturbation of 6 dB and -22 degree (60% of 10 dB and 60% of -37 degrees), a peak magnitude of over 6 dB is seen in the flight path angle's closed loop response occurring at approximately 0.4 rad/sec. This will clearly lead to unacceptable handling. Hence, although the perturbations in this interaction are not "large" enough to cause instability, their effect on the closed-loop response is quite significant.

![Figure 18 - Fan Speed Response From A Maximum Allowable 4 Degree Step Flight Path Angle Command](image)

![Figure 19 - Closed Loop Flight Path Angle Response From Flight Path Angle Command](image)

**Conclusions**

For a particular airframe/engine system and integrated control law, a critical frequency range was identified along with potentially poor stability robustness due to the interactions between the airframe and engine. It was found that, within this critical frequency range, stability and performance were sensitive to variations in the coupling between the airframe’s flight path angle and the engine’s fuel flow rate. A stability sensitivity study indicated that the interactions between flight path angle and fuel flow rate
were, in fact, potentially the most critical. Instability occurred in the critical frequency range indicated by a
stability robustness criterion, while the gain cross-over
frequency for a classical single-loop analysis did not
 correspond to this critical frequency. Although the engine's
fan speed loop seemed to adequately reject disturbances from
airframe commands, it was shown that uncertainties in the
coupling between flight path angle and fuel flow rate may
lead to unacceptable flight path angle command following
performance.

Appendix A. State Space Description of
System Dynamics And Control Law

Airframe/Engine System:  Control Law:
\[ \dot{x} = Ax + Bu \]
\[ y = Cx + Du \]

State Vector:
\[ x = [u, w, q, \theta, N_2, N_{25}, T_41, T_3, P_6]^T \]

Definition Of States:
- \( u \) = axial velocity (ft/sec)
- \( w \) = vertical velocity (ft/sec)
- \( q \) = pitch rate (rad/sec)
- \( \theta \) = pitch attitude (rad)
- \( N_2 \) = fan speed (rps)
- \( N_{25} \) = compressor speed (rps)
- \( T_41 \) = compressor turbine inlet temp. (degrees, R)
- \( T_3 \) = combustor inlet temp. (degrees, R)
- \( P_6 \) = tailpipe entrance total pressure (psi)

Response And Control Vectors: (see Table 2)
\[ y = [qv, q, \theta, V_v, V, V, N_2]^T \]
\[ u = [\delta_E, A_q, \zeta_x, \eta, \zeta_T, A_{THR}, A_8, \omega_f]^T \]

### Table 2: Control Vectors

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<td>Diagonal: (6,6) Through (10,10):</td>
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References


Acknowledgements

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References


A Comparative Study of Multivariable Robustness Analysis Methods as Applied to Integrated Flight and Propulsion Control

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Arizona State University

Abstract

Three multivariable robustness analysis methods will be compared and contrasted. The focus of the analysis will be on system stability and performance robustness to uncertainty in the coupling dynamics between two interacting subsystems. Of particular interest is interacting airframe and engine subsystems and an example airframe/engine vehicle configuration is utilized in the demonstration of these approaches. The Singular Value (SV) and Structured Singular Value (SSV) Analysis methods will be compared to a method especially well suited for analysis of robustness to uncertainties in subsystem interactions. This approach is referred to here as the Interacting Subsystem (IS) Analysis method. This method has been used previously to analyze airframe/engine systems, emphasizing the study of stability robustness. However, performance robustness is also investigated here, and a new measure of allowable uncertainty for acceptable performance robustness is introduced. The IS methodology does not require plant uncertainty models to measure the robustness of the system, and will be shown to yield valuable information regarding the effects of subsystem interactions. In contrast, the SV and SSV methods allow for the evaluation of the robustness of the system to particular models of uncertainty, and do not directly indicate how the airframe (engine) subsystem interacts with the engine (airframe) subsystem.

Introduction

The objective of this paper will be to compare and contrast aspects of three multivariable robustness analysis methods when applied to interacting airframe/engine subsystems. These three approaches are denoted here as:

1. Singular Value (SV) Analysis
2. Structured Singular Value (SSV) Analysis
3. Interacting Subsystem (IS) Analysis

This paper will focus on the analysis of both stability and performance robustness with all three methods.

The SV and SSV methods have been used for analysis of multivariable systems in general. The development of the IS analysis method was motivated by the integrated flight/propulsion control problem. A measure of the allowable magnitude of airframe-to-engine interactions to assure acceptable performance was recently developed and is presented as part of the IS methodology. The focus of the IS methodology is analysis of system stability and performance robustness to uncertainties in the dynamic cross-coupling between airframe and engine subsystems. However, although this approach was developed for analysis of interactions between the airframe and engine, its application is not limited to these types of systems alone.

The STOVL configuration analyzed in Ref. [7] is considered representative of an advanced highly maneuverable aircraft with integrated flight/propulsion control. This vehicle has the capabilities of re-directing engine thrust to generate forces and moments on the airframe, enhancing the lifting and maneuvering capabilities. For this and similar configurations, the potential two-directional interactions between the airframe and engine subsystems are of major concern. Engine thrust can now directly influence the lift and attitude motion of the airframe, and in turn, the dynamic motion of the airframe can affect the engine dynamics. Hypersonic single-stage-to-orbit vehicles, such as the X-30 aircraft design concept, are also considered to possess significant airframe/propulsion subsystem interactions, and will require integrated airframe/engine control. The dynamic interactions between airframe and engine subsystems are frequently difficult to model, and the uncertainties in these interactions can be potentially significant. Analysis methods are sought which can characterize effects of the interactions, such as critical frequencies where robustness problems are most likely to occur.

The airframe/engine plant and control law used to demonstrate the three analysis techniques is presented in the next section. The three sections following this will present the SV, SSV and IS analyses of this vehicle configuration, respectively. Each section presents first the stability robustness analysis, then the performance robustness analysis. A brief review of the analysis theory is given in each section before presenting numerical results. Finally, findings from this study are summarized and conclusions are drawn.

System Description and Nomenclature

The vehicular system’s input-output characteristics will be defined at one operating point by the matrix of transfer functions

\[
\begin{bmatrix}
y_A \\
y_E
\end{bmatrix} =
\begin{bmatrix}
G_A & G_{AE} \\
G_{EA} & G_E
\end{bmatrix}
\begin{bmatrix}
u_A \\
u_E
\end{bmatrix}
\]

or \( y(s) = G(s)u(s) \)
The airframe and engine response (y) and control (u) vectors are denoted respectively by the subscripts "A" and "E." Likewise, \(G_A(s)\) and \(G_E(s)\) represent the airframe and engine dynamics, respectively. Dynamic interactions between the airframe and engine are reflected in the off-diagonal transfer function matrices, \(G_{AE}(s)\) and \(G_{EA}(s)\), referred to as the engine-to-airframe and the airframe-to-engine coupling or interaction matrices, respectively.

The control law is defined here as

\[
\begin{bmatrix}
u_A \\ v_E
\end{bmatrix} = \begin{bmatrix} K_A & K_{AE} \\ K_{EA} & K_E \end{bmatrix} \begin{bmatrix} y_A - y_A^c \\ y_E - y_E^c \end{bmatrix}
\]

or \(u(s) = K(s)(y(s) - y(s)^c)\)

\(y_A^c(s)\) and \(y_E^c(s)\) are the vectors of commanded airframe and engine responses. \(K_A(s)\) and \(K_E(s)\) are the feedback control compensation matrices associated with the airframe and the engine control subsystems, respectively. The control cross-feeds are indicated by \(K_{AE}(s)\) and \(K_{EA}(s)\).

The airframe/engine vehicle model analyzed in Ref. [7] will also be considered here. It is a delta wing supersonic aircraft with STOVL capabilities. The reference point about which the nonlinear system is linearized is the steady-state wings-level decelerating transition, approaching hover. Note that the airframe's short period mode is unstable for this configuration and flight condition. At this reference point, the forces and moments controlling the aircraft are transitioning from those generated by the aerodynamic control surfaces to those generated by the propulsion system.

In this paper four responses and four controls (yielding a 4x4 compensation matrix) will be considered, and they are listed in Table 1. The first three responses listed in this table are airframe responses, while the fan speed, \(N_2\), is a critical engine response. Therefore, the airframe and engine response vectors are (see Eq. (1)):

\[
y_A(s) = [\theta, \gamma, V]^T \quad \text{and} \quad y_E(s) = N_2
\]

The airframe and engine control vectors were selected as (see Eq. (1)):

\[
u_A(s) = [A_q, \eta, A_8]^T \quad \text{and} \quad v_E(s) = w_f
\]

The Reaction Control System (RCS) draws bleed air from the engine's compressor, and the Pitch RCS area controls the magnitude of RCS thrust. The ejector butterfly valve angle controls the amount of engine flow to the ejectors, thus the amount of ejector thrust. The magnitude of aft thrust is largely determined by the aft nozzle throat area. With this selection, referring to Eq. (1), the airframe transfer matrix, \(G_A(s)\), is 3x3, and the engine transfer function, \(G_E(s)\), is a scalar. Thus, the engine-to-airframe coupling transfer matrix, \(G_{AE}(s)\), is 3x1, and the airframe-to-engine coupling transfer matrix, \(G_{EA}(s)\), is 1x3.

Note that the responses and controls were normalized by estimates of their respective maximum allowable perturbations from reference values, presented in Table 1. With this normalization, magnitudes of transfer functions can be more meaningfully compared. The normalized frequency response magnitudes of the airframe's pitch attitude (\(\theta\)) to all control inputs listed in Table 1 are shown in Fig. 1. Likewise, the engine's fan speed (\(N_2\)) responses are shown in Fig. 2. Although not shown here, the flight path angle (\(\gamma\)) and forward velocity (\(V\)) frequency responses are presented in Ref. [7]. It is evident from these figures that the airframe/engine system is quite multivariable in nature in that each response is significantly influenced by several controls. The engine-to-airframe and airframe-to-engine coupling transfer functions in \(G_{AE}(\omega)\) and \(G_{EA}(\omega)\) are comparatively large in magnitude. Fig. 1 shows that the fuel flow rate may significantly affect the airframe's pitch attitude response. Although not shown here, the fuel flow rate has an even more significant effect on the flight path angle response. In turn, Fig. 2 shows that the magnitudes of the engine's fan speed responses from the airframe controls are not insignificant.

### Table 1 - System Responses and Controls and Their Maximum Values

<table>
<thead>
<tr>
<th>System Responses</th>
<th>Maximum Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>(\theta) - pitch attitude (deg)</td>
<td>21 deg</td>
</tr>
<tr>
<td>(\gamma) - long. flight path angle (deg)</td>
<td>4.0 deg</td>
</tr>
<tr>
<td>(V) - true airspeed (ft/sec)</td>
<td>76 ft/sec</td>
</tr>
<tr>
<td>(N_2) - fan speed (rpm's)</td>
<td>120 rpm's</td>
</tr>
<tr>
<td>(A_q) - pitch RCS area (in²)</td>
<td>0.7 in²</td>
</tr>
<tr>
<td>(\eta) - ejector butterfly valve angle (deg)</td>
<td>8.0 deg</td>
</tr>
<tr>
<td>(A_8) - aft nozzle throat area (in²)</td>
<td>20 in²</td>
</tr>
<tr>
<td>(w_f) - fuel flow rate (lbn/hr)</td>
<td>1000 lbn/hr</td>
</tr>
</tbody>
</table>

![Figure 1 - Pitch Attitude Frequency Response Magnitudes](image-url)

The feedback compensation for this system was designed using a standard \(H_\infty\) control law synthesis formulation. In this particular formulation, Fig. 3 shows the sensitivity transfer function matrix weighted by \(S_d^{-1}(s)\) (where \(S_d(s)\) is the "desired" sensitivity matrix), along with the control effort weighted by \(W_c(s)\). \(S_d(s)\) was chosen to equal the sensitivity matrix obtained by the system presented in Ref. [7] (in which eight responses and...
controls were utilized). $W_c(s)$ was chosen to weight the control effort greatest beyond specified actuation bandwidths. Note that the purpose of this paper is neither to promote nor refute the $H_\infty$ control law synthesis methodology. The elementary formulation shown in Fig. 3 was used simply to obtain a compensator in order to demonstrate the analysis methodologies presented in the next sections.

The compensator synthesized by this procedure delivered tracking and disturbance rejection performance that approximately matched the performance obtained by the system presented in Ref. [7], and the closed-loop frequency response magnitudes did not exceed specified maximum allowable upper bounds. Further, the individual loop transfers (with all other loops closed) exhibited acceptable loop shapes and typically good classical gain and phase margins. The pitch attitude, flight path, forward speed and engine fan speed loops have cross-over frequencies of 1.8, 1.5, 0.19 and 3.5 rad/sec, respectively. The pitch attitude and flight path angle loops both have approximately 60 degrees of phase margin, and 16 and -10 dB of gain margin, respectively. The forward speed loop has gain and phase margins of 55 dB and 75 degrees, while the fan speed loop has infinite gain margin and a phase margin of 90 degrees. Finally, the frequency response magnitudes of the elements within $K(s)$ were approximately the same order of magnitude as the corresponding elements of the compensator matrix presented in Ref. [7] (in which the control actuation was not considered excessive).

The compensator obtained by this synthesis procedure was of 28th order, and was subsequently reduced to 14th order by a frequency-weighted internally-balanced order reduction method presented in Ref. [12]. The partitioning of the control law matrix follows from the response vector (Eq. (3)) and the selection of airframe and engine controls (Eq. (4)). $K_A(s)$ is therefore 3x3, and $K_E(s)$ is a scalar. The control cross-feed matrices, $K_{AE}(s)$ and $K_{EA}(s)$ are 3x1 and 1x3, respectively. The eigenvalues of the open-loop airframe/engine plant, compensator and closed-loop system are presented in Table 2.

The closed-loop responses from commands ($y_c(s)$) and disturbances ($d(s)$) for the systems are

$$y(s) = T(s)y_c(s) + S(s)d(s)$$

where $T(s)$ and $S(s)$ are the complementary sensitivity and sensitivity transfer function matrices, respectively. Fig. 4 presents the closed-loop pitch attitude ($\theta$) frequency response magnitude from a pitch attitude command, $\theta_c$. This figure also presents the "desired" performance (that which was obtained by the feedback system presented in Ref. [7]) and the specified maximum allowable upper bound. From the definition of the response vector given in Eq. (3), the frequency response of $\theta_A(s)$ corresponds to the (1,1) element in $T(s)$. Fig. 5 presents the closed-loop engine fan speed ($N_2$) frequency response magnitude from a fan speed command, $N_2c$, along with its respective "desired" performance and upper bound. This response corresponds to the (4,4) element of $T(s)$. Although not shown, similar disturbance rejection performances were seen for these loops. Further, both the tracking and disturbance rejection performances for the flight path angle ($\gamma$) and forward velocity ($V$) responses were likewise acceptable.
Note, however, that the closed-loop system is not decoupled, and each command can elicit responses in the other channels. Fig. 6 presents the pitch attitude response from flight path, velocity, and engine fan speed commands. These responses correspond to the (1,2), (1,3) and (1,4) elements in \( T(j\omega) \) (as well as in \( S(j\omega) \)). It can be seen that a flight path angle command can produce a pitch attitude response greater than -20 dB between approximately 0.05 and 10 rad/sec. Although not shown, a pitch attitude command can, in turn, produce a significant flight path angle response within this frequency range. Both pitch RCS jets and ejector thrust produce airframe pitching moments, and it would be difficult to decouple pitch attitude and flight path angle responses. (Note that even larger magnitudes were seen in the corresponding off-diagonal elements of \( T(j\omega) \) and \( S(j\omega) \) for the system presented in Ref. [7].) In general, it was found that \( T(j\omega) \) was not decoupled above approximately 0.05 rad/sec, and \( S(j\omega) \) was not decoupled below approximately 10 rad/sec. However, the responses did not exceed their respective allowable upper bounds, and therefore the over-all closed-loop performance for this system was deemed acceptable.

![Figure 4 - Pitch Attitude-From-Pitch Attitude Command](image)

![Figure 5 - Fan Speed From Fan Speed Command](image)

Singular Value (SV) Analysis

The integrated airframe/engine system with unstructured output multiplicative uncertainty is shown in Fig. 7. In this figure, the response, control and command vectors, \( y(s) \), \( u(s) \) and \( y_c(s) \), and the plant and control law transfer function matrices, \( G^*(s) \) and \( K(s) \), are defined as in Eqs. (1) and (2). Note that \( G^*(s) \) denotes the "nominal" plant with no uncertainty (\( M(s)=0 \)). Again, \( d(s) \) in Fig. 7 is a vector of exogenous disturbances corrupting the responses of the system.

![Figure 7 - Feedback System With Uncertainty, M(s)](image)

Stability Robustness Analysis

It is shown in Refs. [1] and [2] that for a system with unstructured output multiplicative plant uncertainty, \( M(s) \), system stability is assured if \( K(s) \) stabilizes the nominal system (\( M(s)=0 \)), if \( M(j\omega) \) does not alter the encirclement requirement (for stability) of the Nyquist plot (plot of \( \text{det}[I-K+M]GK \)), and if

\[
\sigma_{\max}(M(j\omega)) < \sigma_{\min}[1+[G(j\omega)K(j\omega)]^{-1}] \quad \text{for all} \quad \omega>0 \quad (6)
\]

where \( \sigma_{\max}(\cdot) \) and \( \sigma_{\min}(\cdot) \) are the maximum and minimum singular values, respectively. This inequality may be used as a stability robustness criterion. If this criterion is not met, stability can no longer be assured. Although the analysis presented in this paper will focus on uncertainty at the plant output, a complete analysis should also address robustness to multiplicative uncertainty at the plant input, which may be analyzed by a similar criterion.

The uncertainty matrix, \( M(j\omega) \), in Eq. (6) can be of any general structure. However, since the focus of this study is robustness to uncertainties in the airframe/engine interactions (\( G_{AE}(s) \) and \( G_{EA}(s) \)), consider that the airframe and engine-plants, \( G_A(s) \) and \( G_E(s) \), are reasonably well modeled, but the interactions contribute the most significant sources of uncertainty in the model of the system's dynamics. The airframe/engine plant description with additive uncertainty in the coupling dynamics is

\[
G(s) = G^*(s) + \Delta(s), \quad \text{where}
\]
Consider, as an example, the following constant uncertainty matrix for the airframe/engine system under study (recall, $\Delta_{AE}$ is 3x1 and $\Delta_{EA}$ is 1x3):

$$
\Delta = \delta_{o} \Delta_{1}, \quad \Delta_{1} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}
$$

where $\delta_{o}$ is a scalar. Using the following relationship between additive and output multiplicative uncertainty,

$$G(s) = G^*(s) + \Delta(s) = (I + M(s))G^*(s)$$

the equivalent multiplicative uncertainty for this example is

$$M(s) = \Delta(G^*(s))^{-1} = \delta_{o}M_{1}(s)$$

Often, aspects of aircraft flying or handling qualities are considered acceptable if frequency response magnitudes (such as pitch rate-to-pilot stick input) lie within defined upper and/or lower allowable bounds. Allowable bounds may also be utilized to determine acceptable tracking and disturbance rejection performance of the engine loops. Recall that upper bounds on the elements of $T(j\omega)$ and $S(j\omega)$ were presented in the last section, and the matrices of these allowable upper bounds were denoted $T_{u}(j\omega)$ and $S_{u}(j\omega)$. It is stated in Ref. [3] that multivariable tracking and disturbance rejection performance may be defined acceptable if

$$
\sigma_{\max}(T_{u}^{-1}(j\omega) T(j\omega)) \leq 1 \text{ for all } \omega \\
\sigma_{\max}(S_{u}^{-1}(j\omega) S(j\omega)) \leq 1 \text{ for all } \omega
$$

These inequalities constitute multivariable performance robustness criteria. Acceptable performance is assured if these criteria are met for all frequencies.

Fig. 9 presents the complementary sensitivity performance robustness criterion of Eq. (14) for the feedback system under study. It can be seen that this criterion is not met for the nominal system ($M=0$), even though the magnitudes of all closed-loop frequency responses lie below their upper bounds (see Figs. 4-6). Thus, the criterion of Eq. (14) is conservative in this case. Recall that $T(j\omega)$ is not decoupled (not diagonally dominant) beyond approximately 0.05 rad/sec, and $\sigma_{\max}(T_{u}^{-1}(j\omega) T(j\omega))$ begins to grow larger than 0 dB around this frequency. Recall as well that $S(j\omega)$ is not decoupled below approximately 10 rad/sec, and, although not shown, $\sigma_{\max}(S_{u}^{-1}(j\omega) S(j\omega))$ is greater than 0 dB until approximately this frequency. The maximum singular value of a matrix is only an accurate measure of the magnitude of the element with largest magnitude when the matrix is diagonally dominant. Holding the diagonal elements constant, as the off-diagonal elements increase in magnitude, the maximum singular value will also increase in size. This property adds to the conservatism of the criterion of Eq. (14).

Fig. 10 presents the pitch performance robustness analysis.

The closed-loop responses of the system shown in Fig. 7 are

$$y(s) = (I + (I+M)GK)^{-1}(I+M)GK y_{c}(s) + (I + (I+M)GK)^{-1}d(s)$$

or,

$$y(s) = T(s) y_{c}(s) + S(s) d(s)$$

where again $T(s)$ and $S(s)$ are defined as the complementary sensitivity and sensitivity transfer function matrices, respectively. The nominal ($M=0$) complementary sensitivity and sensitivity transfer function matrices shall be denoted as $T^*(s)$ and $S^*(s)$, respectively.
attitude (θ) response from fan speed command (N_{2c}) for the system with this value of uncertainty. It can be seen that this response increased beyond its maximum allowable upper bound for frequencies above 0.1 rad/sec. Although not shown, the increases in magnitudes of the flight path angle and velocity responses from fan speed command were just as large. Although an increase in \( \sigma_{\text{max}}(T_u^{-1}(j\omega) T(j\omega)) \) from the nominal value \( \sigma_{\text{max}}(T_u^{-1}(j\omega) T(j\omega)) \) is noted in Fig. 9, the performance degradations in the airframe responses from engine commands were discovered only after investigating all closed-loop responses from all commands.

\[
\begin{align*}
\left[ \begin{array}{c}
y \\
u'
\end{array} \right] &= \left[ \begin{array}{cc} Q_{11} & Q_{12} \\ Q_{21} & Q_{22} \end{array} \right] \left[ \begin{array}{c}
y_c \\
y'\end{array} \right]
\end{align*}
\]

where,
\[
\begin{align*}
Q_{11} &= G^*K(I+G^*K)^{-1} \\
Q_{12} &= (I+G^*K)^{-1} \\
Q_{21} &= P(I+K^*G)^{-1}K \\
Q_{22} &= -P(I+K^*G)^{-1}K
\end{align*}
\]

Note that \( P \) relates the off-diagonal uncertainty matrix, \( \Delta(s) \), to the block-diagonal uncertainty matrix, \( \Delta_D(s) \). That is,
\[
\Delta(s) = \Delta_D(s) P, \quad P = \left[ \begin{array}{cc} 0 & 1 \\ 1 & 0 \end{array} \right]
\]

**Figure 11 - System With Block-Diagonal Uncertainty**

**Stability Robustness Analysis**

From Refs. [3] and [4], the system shown in Fig. 11 remains stable if and only if
\[
\|\Delta_D\|_\infty < \frac{1}{\|Q_{22}\|_\mu}, \quad \text{where}
\]

\[
\|Q_{22}\|_\mu = \sup \left[ \mu(Q_{22}(j\omega)) \right], \quad \|\Delta_D\|_\infty = \sup \left[ \sigma_{\text{max}}(\Delta_D(j\omega)) \right]
\]

Here \( \mu(Q_{22}(j\omega)) \) is the structured singular value of \( Q_{22}(j\omega) \).

Fig. 12 presents the inverse of \( \mu(Q_{22}(j\omega)) \) for the feedback system under consideration. It can be seen that the minimum value of \( 1/\mu(Q_{22}(j\omega)) \) is -31 dB at a frequency of approximately 0.36 rad/sec. The structured singular value theory states that at each frequency an uncertainty matrix \( \Delta_{D_{\text{crit}}}(j\omega) \) exists that causes the system to become unstable and \( \sigma_{\text{max}}(\Delta_{D_{\text{crit}}}(j\omega)) = 1/\mu(Q_{22}(j\omega)) \). Therefore, at 0.36 rad/sec an uncertainty matrix \( \Delta_{D_{\text{crit}}}(j\omega) \) exists that causes instability and has a corresponding block-diagonal matrix, \( \Delta_{D_{\text{crit}}}(j\omega) \), with a maximum singular value equal to -31 dB. Recall that for the uncertainty matrix \( \Delta = -0.0665 \Delta_1 \), where \( \Delta_1 \) is defined in Eq. (8), instability occurred at 0.36 rad/sec. Unlike the SV analysis method, here the frequency of instability is consistent with the critical frequency indicated in Fig. 12.

However, as also shown in Fig. 12, for the specific structure of uncertainty defined in Eq. (8), \( \sigma_{\text{max}}(\Delta_D) = -31 \) dB when \( \delta_0 = 0.016 \), hence, the criterion of Eq.(18) is no longer satisfied. Yet, recall that this is only approximately 25% of the value of uncertainty that causes instability. Again, the additive uncertainty defined in Eq. (8) is just an example, and is certainly not the critical uncertainty matrix, \( \Delta_{\text{crit}}(j\omega) \). \( \Delta_{\text{crit}}(j\omega) \), may have different magnitudes and phases for each element.
Finally, although the structured singular value stability criterion in Fig. 12 has only just failed for $\Delta = -0.016\Delta_1$, recall from Fig. 10 that for this value of uncertainty the pitch attitude response from engine fan speed command violates its maximum allowable upper bound. As expected, uncertainty in the system will cause performance requirements to fail before stability robustness requirements.

**Performance Robustness Analysis**

The structured singular value performance robustness criterion, introduced in Ref. [13], is also presented in Ref. [3]. Note that in Fig. 11,

$$y(s) = \Delta_D(s) u'(s)$$

Substituting Eq. (19) into Eq. (16), the closed-loop responses of the system shown in Fig. 11, with the uncertainty matrix $\Delta_D(s)$, are

$$y(s) = (Q_{11} + Q_{12}(I - \Delta_D Q_{22})^{-1} \Delta_D Q_{21}) y_c(s)$$

(20)

In Ref. [3], the system outputs are then redefined to be

$$y(s) = \begin{bmatrix} S_u(s) y(s) - y(s) \\ T_u(s) y(s) \end{bmatrix}$$

(21)

Again, $T_u(s)$ and $S_u(s)$ are the matrices of maximum allowable upper bounds on the closed-loop frequency response magnitudes. With this selection of outputs,

$$Q_{11} = \begin{bmatrix} S_u^{-1}(s) S^*(s) \\ T_u^{-1}(s) T^*(s) \end{bmatrix}, \quad Q_{12} = \begin{bmatrix} -S_u^{-1}(s) S^*(s) \\ T_u^{-1}(s) T^*(s) \end{bmatrix}$$

(22)

Note that $Q_{21}$ and $Q_{22}$ remain the same as in Eq. (16). Using Eq. (20) with these new definitions for $Q_{11}$ and $Q_{12}$, performance robustness of the system may be considered acceptable if

$$\sigma_{\text{max}}(Q_{11} + Q_{12}(I - \Delta_D Q_{22})^{-1} \Delta_D Q_{21}) \leq 1 \text{ for all } \omega$$

(23)

Note that the nominal ($\Delta_D(\omega) = 0$) performance robustness criterion is $\sigma_{\text{max}}(Q_{11}) \leq 1$ for all $\omega$. From Eq. (22), it can be seen that the performance robustness criterion of Eq. (23) simply "combines" the singular value tracking and disturbance rejection performance criteria of the last section (see Eq. (14)).

Fig. 13 presents the criterion of Eq. (23) for the feedback system under study. Just as with the SV analysis, it can be seen that the criterion is not met even for the nominal system since $\sigma_{\text{max}}(Q_{11}) > 1$ throughout the frequency range shown. Again, this is due to the fact that the closed-loop system is not diagonally dominant.

Fig. 13 also shows the criterion of Eq. (23) for the system with $\Delta = -0.016A_1$ (25% of uncertainty that causes instability). Although an increase from the nominal value ($\sigma_{\text{max}}(Q_{11})$) is noted as with the SV analysis, this criterion does not directly indicate which elements of $T(\omega)$ are increasing in magnitude.

![Figure 13 - Performance Robustness Criterion of Eq. (23)](image)

Finally, as discussed in Ref. [3], note that both robust stability and performance can be assured by one structured singular value criterion. That is, the stability criterion of Eq. (18) and the performance criterion of Eq. (23) are assured to be met if and only if

$$1 < \frac{1}{\|Q \| \|u\|}$$

(24)

Although further manipulations on the system and block-diagonal uncertainty matrix are required in the development of this criterion, the matrix $Q$ in this inequality is essentially that defined by Eqs. (16) and (22). The criterion of Eq. (24) can also be used as an objective in the control law synthesis. If it is met, robust stability and performance are assured for uncertainty in the interactions between the airframe and engine. Although not shown, this criterion is not met for the feedback system analyzed here, since the criterion of Eq. (23) is not met even for the nominal system (see Fig. 13).

**Interacting Subsystem (IS) Analysis**

The main objective of this analysis methodology is to reveal how the interactions between the airframe and engine are manifested, and to assess their significance. This method is presented in Refs. [5]-[7]. It is shown in these references that through block-diagram manipulation, the airframe/engine plant (Eq. (1)) and the control law of Eq. (2) may be described as shown in Fig. 14. The effects of the airframe on the engine loop due to the dynamic coupling between these subsystems is represented by the
multiplicative and disturbance interaction matrices, \( M_A(s) \) and \( D_A(s) \). It can be shown that
\[
M_A(s) = (G_{EA} \varphi_{AE} - (G_{EA} + G_E \varphi_{EA}) \varphi) G_E^{-1}
\]
where,
\[
\varphi = K_A[I+(G_A + G_{AE} \varphi_{EA})K_A]^{-1}(G_{AE} + G_A \varphi_{AE})
\]
\[
D_A(s) = (G_{EA} + G_E \varphi_{EA})K_A[I+(G_A + G_{AE} \varphi_{EA})K_A]^{-1}
\]
where, with reference to Eq. (2), note that
\[
K_{AE}(s) = \varphi_{AE}(s)K_E(s), \quad K_{EA}(s) = \varphi_{EA}(s)K_A(s) \quad (26)
\]
Also, note that the engine affects the airframe in a dual manner, and the dual expressions for these interaction terms can be found by interchanging all subscripts A and E in the above expressions.

**Figure 14 - Engine Loop With Effects From Airframe**

**Stability Robustness Analysis**

The determinant of the return difference matrix for the airframe/engine system (Eqs. (1),(2)) may be expressed as
\[
\det[I+GK] = \det[I+(G_A + G_{AE} \varphi_{EA})K_A] \det[I+(I+M_A)G_E K_E] \quad (27)
\]

Therefore a necessary condition guaranteeing \( \det[I+(I+M_A)G_E K_E] \neq 0 \) is that the \( \det[I+(I+M_A)G_E K_E] \) is nonzero for all frequency, and this is assured if the engine control law \( K_E(s) \) stabilizes the (non-interacting) engine loop (in which case the \( \det[I+G_E K_E] = 0 \)), and if
\[
\sigma_{\text{max}}(M_A(j\omega)) < \sigma_{\text{min}}(I+(G_E(j\omega)K_E(j\omega))^{-1}) \quad \text{for all } \omega > 0 \quad (28)
\]
This inequality may be considered a stability robustness criterion. In order to assure that the \( \det[I+(I+M_A)G_E K_E] \) is nonzero at each frequency, \( \sigma_{\text{min}}(I+(G_E(j\omega)K_E(j\omega))^{-1}) \) is the maximum allowable size of \( \sigma_{\text{max}}(M_A(j\omega)) \). The smallest difference between \( \sigma_{\text{max}}(M_A(j\omega)) \) and \( \sigma_{\text{min}}(I+(G_E(j\omega)K_E(j\omega))^{-1}) \) may therefore be considered a "robustness margin," which indicates the "size" of allowable uncertainty in \( M_A(j\omega) \). Note that Eq. (25) shows that \( M_A(s) \) is an explicit function of the coupling matrices \( G_{AE}(s) \) and \( G_{EA}(s) \), and uncertainty associated with the coupling dynamics is therefore reflected in the uncertainty in \( M_A(j\omega) \).

Fig. 15 shows the plot of the stability robustness criterion of Eq. (28) for the airframe/engine system under study. This figure indicates that the smallest difference between \( |M_A(j\omega)| \) and \( |1+(G_E(j\omega)K_E(j\omega))^{-1}| \) occurs between the frequencies of 0.2 and 0.5 rad/sec, and that the "robustness margin" is seen to be approximately 6 dB.

**Figure 15 - IS Stability Robustness Criterion**

Fig. 15 presents the criterion of Eq. (28) with the uncertainty matrix \( \Delta = -0.058 \Delta_1 \), where \( \Delta_1 \) is defined in Eq. (8). Recall from the previous section that instability occurs when \( \Delta = -0.0665 \Delta_1 \). Also recall that the structured singular value stability robustness criterion failed for \( \Delta = -0.016 \Delta_1 \). Hence, for this particular structure of uncertainty, this analysis method gives the least conservative measure of stability robustness. Note too, as indicated in Fig. 16, the criterion first fails at 0.36 rad/sec, which is precisely the frequency at which instability occurs for this structure of uncertainty. Finally, the dual of the criterion of Eq. (28) (for analysis of the engine's effects on the airframe loops) was also seen to indicate the frequency of 0.36 rad/sec as most critical.

**Figure 16 - IS Robustness Criterion With Uncertainty**

Fig. 16 presents the criterion of Eq. (28) with the uncertainty matrix \( \Delta = -0.058 \Delta_1 \), where \( \Delta_1 \) is defined in Eq. (8). Recall from the previous section that instability occurs when \( \Delta = -0.0665 \Delta_1 \). Also recall that the structured singular value stability robustness criterion failed for \( \Delta = -0.016 \Delta_1 \). Hence, for this particular structure of uncertainty, this analysis method gives the least conservative measure of stability robustness. Note too, as indicated in Fig. 16, the criterion first fails at 0.36 rad/sec, which is precisely the frequency at which instability occurs for this structure of uncertainty. Finally, the dual of the criterion of Eq. (28) (for analysis of the engine's effects on the airframe loops) was also seen to indicate the frequency of 0.36 rad/sec as most critical.
Engine Performance Robustness Analysis

From Fig. 14, the engine response from engine command for the integrated system is

\[ y_E(s) = (1 + (1 + M_A)G_EK_E)^{-1}(1 + M_A)G_EK_E y_{Ec}(s), \]

or

\[ y_E(s) = T_E(s) y_{Ec}(s) \] (29)

When \( M_A(s) = 0 \), the "non-interacting" closed-loop engine response is defined as

\[ y_E(s) = (1 + G_EK_E)^{-1}G_EK_E y_{Ec}(s) \]

or

\[ y_E(s) = T_E'(s) y_{Ec}(s) \] (30)

The tracking performance may be considered acceptable if the magnitude of the engine response for the interacting system \( M_A(j\omega)\neq 0 \) lies below the magnitude of the upper bound defined as \( \Gamma_T(u,j\omega) \). Fig. 18 shows the magnitude of \( T_E(j\omega) \) along with the magnitude of the specified upper bound, \( \Gamma_T(u,j\omega) \), as already shown in Fig. 5.

The augmented loss function was therefore defined as

\[ J = |M_A(j\omega)| \]

with the constraint

\[ \Gamma_T(u,j\omega) = (1+(1+M_A)G_EK_E)^{-1}(1+M_A)G_EK_E \] (31)

or,

\[ \Gamma_T(u,j\omega) = T_E(j\omega) \] (32)

The augmented loss function was therefore defined as

\[ J = |M_A(j\omega)| \]

+ \( \lambda |\Gamma_T(u,j\omega)|^2 - (1+(1+M_A)G_EK_E)^{-1}(1+M_A)G_EK_E^2 \) (33)

where \( \lambda \) is the Lagrange multiplier. (Note that the square of the magnitudes was utilized in order to simplify the problem.) The following are the necessary conditions for finding the minimum magnitude of \( M_A(j\omega) \):

\[ \frac{\partial J}{\partial M_A} = 0, \quad \frac{\partial J}{\partial \angle(M_A)} = 0, \quad \frac{\partial J}{\partial \lambda} = 0 \] (34)

Expanding these necessary conditions and solving for \( \angle(M_A) \) gives the phase angle for \( M_A(j\omega) \) as

\[ \angle(M_A) = \tan^{-1}\left\{ \frac{-T_T u^2 \sin(\phi)}{\Gamma_T u^2 (m + \cos(\phi) - m)} \right\} \] (35)

where \( m \) and \( \phi \) are defined as the magnitude and phase of the "non-interacting" engine loop transfer function. That is,

\[ G_EK_E = m e^{j\phi} \] (36)

Once the phase of \( M_A(j\omega) \) is determined, the magnitude of \( M_A(j\omega) \) is the root of the following quadratic with minimum magnitude:

\[ C_1 |M_A|^2 + [C_2 \cos(\angle(M_A)) + C_3 \sin(\angle(M_A))] |M_A| + C_4 = 0 \] (37)

\[ C_1 = m^2 (|T_T|^2 - 1), \quad C_2 = 2(C_1 + m |T_T|^2 \cos(\phi)), \quad C_3 = -2m |T_T|^2 \sin(\phi), \quad C_4 = C_1 + 2m |T_T|^2 \cos(\phi) + |T_T|^2 \]

For the airframe/engine system considered here, Fig. 19 shows \( |M_A(j\omega)|, \) the actual \( |M_A(j\omega)|, \) and the allowable \( |M_A(j\omega)| \) that assures that the \( 1+(1+M_A)G_EK_E \neq 0 \) (the stability robustness metric - see Fig. 15). It can be seen that the magnitude of \( M_A(j\omega) \) is lower than that which indicates stability robustness (as expected). A "performance robustness margin" may be defined in a manner analogous to the "stability robustness margin," as the minimum difference between \( |M_A(j\omega)| \) and \( |M_A(j\omega)| \). In Fig. 19, it can be seen that this "robustness margin" is approximately only 1 dB less than the "stability robustness margin." In fact, as shown in the figure, the engine's closed-loop fan speed response will not exceed its upper bounds until \( \Delta = -0.055 \Delta_1 \). This is a comparatively large
uncertainty and indicates that the engine system’s tracking performance is robust to uncertainties in the airframe/engine interactions. Although not shown, this result is consistent with closed-loop fan speed frequency responses with uncertainty in the system.

Finally, note that the maximum allowable magnitude of $M_A(j\omega)$ such that the engine’s sensitivity function lies below its upper bound can be solved in the manner just described.

The allowable size of $|M_A(j\omega)|$ to assure acceptable performances $|M_A(j\omega)|$

$\text{Figure 19 - } M_A(j\omega) = \text{Allowable } |M_A|$

Airframe Performance Robustness Analysis

It can be shown that the integrated system’s airframe responses are

$$y_A(s) = \left[1 + (I+M_E)G_A K_A\right]^{-1} \left[1 + (I+M_E)G_A K_A\right] y_{Ac}(s) + [1 + (I+M_E)G_A K_A]^{-1} D E y_{Ec}(s)$$

or,

$$y_A(s) = T_A(s) y_{Ac}(s) + S_A(s) D E y_{Ec}(s)$$

(38)

where $M_E(s)$ is the dual of $M_A(s)$. When $M_E(s)=0$, the "non-interacting" closed-loop airframe responses from airframe commands is defined as

$$y_A(s) = \left[1 + G_A K_A\right]^{-1} G_A K_A y_{Ac}(s)$$

or,

$$y_A(s) = T_A(s) y_{Ac}(s)$$

(40)

Now define the maximum singular value of the "ratio" of $T_A'(j\omega)$ and $T_A(j\omega)$ as

$$\Pi(j\omega) = \sigma_{\max}[(T_A'(j\omega))^{-1} T_A(j\omega))$$

(41)

If no interactions are present ($M_E(j\omega)=0$) then $\Pi(j\omega) = 1$ for all frequency. Therefore, with interactions ($M_E(j\omega)=0$), $\Pi(j\omega)$ can indicate those frequencies where the effects of the uncertainties in the interactions will be most prominent for the closed-loop airframe responses only from airframe commands. Unlike the performance robustness criteria of the SV and SSV analyses (see Figs. 9 and 13), this measure will not be "clouded" by the effects of the engine commands on the airframe responses. Fig. 20 presents the plot of $\Pi(j\omega)$ for the airframe/engine system under study, and indicates frequencies centering around 0.3 and 30 rad/sec as critical. Fig. 21 presents the pitch attitude response from pitch attitude command for an uncertainty $\Delta = -0.058 \Delta_1$ (that just causes the stability robustness criterion of Eq. (28) to fail - see Fig. 16), and shows that the frequencies at which this response deviates greatest from the nominal are consistent with the critical frequency ranges indicated by the plot in Fig. 20.
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**Conclusions**

The Interacting Subsystem (IS) analysis method specifically addresses effects of the interactions between the airframe and engine. The Singular Value (SV) and Structured Singular Value (SSV) methods provide criteria that, if met, assure robust stability and performance. However, if these criteria are not met, the causes of the problems are not apparent.

It was seen that the stability robustness criterion of the SV analysis method can be a conservative measure. Further, for the case study, the critical frequency range indicated by the stability robustness criterion of the SV analysis method did not correspond to the frequency of instability. Uncertainty was considered to be significant only in the interactions between the airframe and engine. Utilizing this structured uncertainty, the stability robustness criterion of the SSV analysis method indicated a critical frequency range that was consistent with the frequency of instability. This critical frequency of instability was also accurately indicated by the IS analysis method. Further, for this case study, the IS method gave the least conservative measure of stability robustness.

Although the performance of the nominal airframe/engine system was considered acceptable, the performance robustness criteria of both the SV and SSV analysis methods were not met for the nominal system. These criteria were conservative because the closed-loop system was not diagonally dominant. Further, little insight into the effects of uncertainty on the closed-loop performance was gained by these criteria. However, the IS analysis method was able to indicate an accurate "performance robustness margin" that measured the allowable magnitude of the interactions from the airframe such that acceptable tracking performance in the engine was still assured. The IS analysis method also indicated critical frequency ranges where the airframe tracking performance would be most affected by uncertainty in the interactions. Finally, this analysis method also correctly indicated that disturbances from the engine's fan speed command could be significant on the closed-loop airframe responses.

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**References**


Performance Limitations of Decentralized Control Laws for Integrated Flight and Propulsion Control

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Abstract

This paper presents potential limitations of decentralized control laws. A necessary and sufficient condition for the existence of a stabilizing decentralized control law has already been developed and is reviewed here. It is seen that it may be difficult for a decentralized control law to achieve desired closed-loop responses unless a pre-filter is utilized. It is also observed that the reduced design freedom in decentralized control laws may be a potential limiting factor in achieving the necessary performance robustness to plant uncertainties. These ideas are illustrated with a numerical case study involving a highly coupled airframe/engine plant.

1. Introduction

The objective of this paper will be to investigate some potential limitations of decentralized control laws for Integrated Flight and Propulsion Control (IFPC) systems. The main purpose of the research is to ultimately develop necessary conditions on the plant and performance specifications for the existence of a decentralized control law that will deliver the required feedback system properties. Developing limitations of decentralized control laws is a first step towards this effort.

Motivation for the Research

Several advanced design concepts of high performance fighter aircraft which redirect engine thrust to enhance the lifting and maneuvering capabilities of the airframe are under current consideration [1]-[3]. For such configurations the potential two-directional interactions between the airframe and engine subsystems may be more significant than previously encountered. Traditional aircraft utilize engine thrust strictly to affect the forward velocity of the vehicle. Conversely, for these new aircraft design concepts, engine thrust can also directly influence the lift and attitude motion of the airframe. Therefore, the inlet flow to the engine, which affects the thrust produced by the engine, in turn, affects the dynamic motion of the airframe. Further, the dynamic interactions between airframe and engine subsystems are frequently difficult to model, and the uncertainties in these interactions can be potentially significant in the over-all system's dynamic model [1]-[3].

Traditionally, the airframe and propulsion subsystems' feedback control laws are designed, built and analyzed separately. For these new aircraft configurations, however, separate airframe and engine control law designs may no longer be feasible because of the increased airframe/engine interactions. However, implementation of integrated or centralized control laws can be potentially difficult and costly due to the presence of the control cross-feeds between the airframe and engine subsystems [4]. Decentralized control laws, in which such cross-feeds are absent, would be a more favorable design approach. However, depending on the significance of the airframe/engine interactions, as well as the uncertainties in these interactions, the absence of control cross-feeds may potentially lead to unacceptable degradations in flying qualities. It would therefore be desired to know if certain characteristics of the airframe/engine plant exclude decentralized control as a viable design approach prior to the synthesis of any particular control law. This is the major motivating factor in developing necessary conditions that must be met in order for the existence of decentralized control laws that can achieve all required feedback system properties. If these conditions are not met, no decentralized control law design can achieve all required properties of the feedback system, and centralized control law architectures must be pursued.

Required Feedback System Properties

Typically, it is at least required that the closed-loop system be stable and exhibit acceptable performance. Other requirements may include that the system possess adequate stability and performance robustness to uncertainties in the dynamics. Further, the compensator must be an internally stabilizing control law (no right-half-plane pole-zero cancellations in the loop transfer). Additionally, bandwidth limitations may be specified due to unmodeled high frequency dynamics, actuation rate and deflection limits, and other nonlinearities in the system. Also, implementation issues may dictate that the compensator be of low dynamic order. However, this paper will strictly address the following specific requirements:

(1) The closed-loop system must be stable,
(2) The nominal closed-loop performance must be acceptable, and
(3) The closed-loop performance robustness to plant uncertainties must be adequate.

Requirements (2) and (3) will be specified later in terms of closed-loop frequency responses.

Each of the above requirements, as well as others, may all generate necessary conditions for the existence of an acceptable decentralized control law. However, even if these necessary conditions are all met, this may still not be sufficient for the existence of such a decentralized control
law. Final proof of existence may only come from actually synthesizing an acceptable decentralized control law.

Review of Previous Research

Several papers have been published in the study of stabilizing feedback systems with decentralized control [5]. A necessary and sufficient condition for the existence of a stabilizing decentralized dynamic compensator was presented by Wang and Davison in Ref. [6], and a special case of this condition is presented in this paper. In order to utilize this condition the determination of "decentralized fixed modes" is required. Methods for determining decentralized fixed modes were presented in Refs. [7] and [8], and one method is briefly reviewed in this paper. Given that this stability condition is met, several parameterizations of all stabilizing decentralized control laws have been developed [9]. Further, much work has been published with regard to decentralized control law synthesis approaches, as in, for example, Refs. [4], [10].

Although much has been written in the area of stabilizing decentralized control laws, literature on the achievable performance of decentralized control laws is lacking, and many performance issues seem to be left unanswered.

The remainder of this paper is organized as follows. Section 2 presents the notation to be used regarding the airframe/engine plant and the centralized and decentralized control law architectures. Section 3 briefly reviews the concept of decentralized fixed modes and presents the existence condition for stabilizing decentralized control laws. Section 4 presents some potential performance limitations of decentralized control laws, and constitutes the key focus of this paper. Section 5 presents a numerical case study involving a model of a particular vehicle configuration that has been the subject of several studies in integrated flight/propulsion control. This case study is used to illustrate some of the concepts introduced in Sections 3 and 4. Finally, Section 6 presents some conclusions drawn from the work.

2. System Description and Nomenclature

The vehicular system's input-output characteristics will be defined at one operating point by the matrix of transfer functions

\[
\begin{bmatrix}
  y_A \\
  y_E
\end{bmatrix} = \begin{bmatrix}
  G_A & G_{AE} \\
  G_{EA} & G_E
\end{bmatrix} \begin{bmatrix}
  u_A \\
  u_E
\end{bmatrix}
\] (1)

or \( y(s) = G(s)u(s) \)

The airframe and engine response \( (y) \) and control \( (u) \) vectors are denoted respectively by the subscripts "A" and "E." Likewise, \( G_A(s) \) and \( G_E(s) \) represent the airframe and engine dynamics, respectively. Dynamic interactions between the airframe and engine are reflected in the off-diagonal transfer function matrices, \( G_{AE}(s) \) and \( G_{EA}(s) \), referred to as the engine-to-airframe and the airframe-to-engine coupling or interaction matrices, respectively.

The notation for the plant \( G(s) \) in Eq. (1) will be typically used to represent the nominal plant. However, when plant uncertainty is considered, \( G(s) \) will be defined as

\[
\begin{bmatrix}
  y_A \\
  y_E
\end{bmatrix} = \begin{bmatrix}
  G^*(s) + \Delta_A & G_{AE}(s) + \Delta_{AE} \\
  G_{EA}(s) + \Delta_{EA} & G_E(s) + \Delta_E
\end{bmatrix} \begin{bmatrix}
  u_A \\
  u_E
\end{bmatrix}
\]

or \( y(s) = [G^*(s) + \Delta(s)]u(s) \)

where \( G^*(s) \) represents the nominal plant, and \( \Delta(s) \) models the uncertainty.

The centralized control law is defined here as

\[
\begin{bmatrix}
  u_A \\
  u_E
\end{bmatrix} = \begin{bmatrix}
  K_A & K_{AE} \\
  K_{EA} & K_E
\end{bmatrix} \begin{bmatrix}
  y_{Ac} - y_A \\
  y_{Ec} - y_E
\end{bmatrix}
\]

or \( u(s) = K(s)[y(s) - y(s)] \)

\( y_{Ac}(s) \) and \( y_{Ec}(s) \) are the vectors of commanded airframe and engine responses. \( K_A(s) \) and \( K_E(s) \) are the feedback control compensation matrices associated with the airframe and the engine control subsystems, respectively. The control cross-feeds are indicated by \( K_{AE}(s) \) and \( K_{EA}(s) \).

The decentralized control law is defined here as

\[
\begin{bmatrix}
  u_A \\
  u_E
\end{bmatrix} = \begin{bmatrix}
  K_A & 0 \\
  0 & K_E
\end{bmatrix} \begin{bmatrix}
  y_{Ac} - y_A \\
  y_{Ec} - y_E
\end{bmatrix}
\]

Here, the control cross-feeds \( K_{AE}(s) \) and \( K_{EA}(s) \) are absent.

3. Existence of a Stabilizing Decentralized Control Law

It is well known that some systems cannot be stabilized by a decentralized control law. This section summarizes some of the main technical results which address the existence of a stabilizing decentralized control law. It is assumed that the system of Eq. (1) may be described by a linear time-invariant finite dimensional state-space description as

\[
\begin{align*}
  \dot{x} &= Ax + Bu = Ax + [B_A B_E] \begin{bmatrix}
  u_A \\
  u_E
\end{bmatrix} \\
  y &= \begin{bmatrix}
  y_A \\
  y_E
\end{bmatrix} = Cx = \begin{bmatrix}
  C_A \\
  C_E
\end{bmatrix} x
\end{align*}
\] (5)

The number of states of the system will be denoted as \( n \), and it is assumed that the system is completely controllable and observable.

Decentralized Fixed Modes

In Ref. [6] the concept of a decentralized fixed mode is shown to be critical in determining when a stabilizing decentralized control law exists. The concept of a decentralized fixed mode is defined as follows.

Definition: (Decentralized Fixed Modes)

Let \( \lambda(M) \) denote the set of all eigenvalues of the matrix \( M \). Then, \( \lambda \) is a decentralized fixed mode of \( (A,B,C) \) as defined in Eq. (5) if:
(1) $\lambda \in \lambda(A)$, and

(2) $\lambda \in \lambda(A + BK)C$ for all decentralized $K$ of the block-diagonal structure of Eq. (4).

In other words, a decentralized fixed mode is an eigenvalue of $A$ that cannot be moved under the decentralized control law $u = Ky$, $y = Cx$. Although not proven here, it is shown in Ref. [6] that decentralized fixed modes are invariant whether $K$ is a decentralized constant gain feedback matrix or $K$ is a decentralized dynamic compensator matrix.

In essence, decentralized fixed modes may be thought of as "uncontrollable and/or unobservable modes" of a system under decentralized feedback control. Although proven formally in Ref. [6], it should be evident that uncontrollable and/or unobservable modes are decentralized fixed modes, since if these modes cannot be moved via centralized control, they certainly cannot be moved via decentralized control. Uncontrollable/unobservable modes may be thought of as "centralized fixed modes." Of interest here are those modes that are controllable and observable yet decentralized fixed modes. If an eigenvalue in this category is unstable, then a decentralized control law will be unable to stabilize the system, whereas a stabilizing centralized control law will exist. The main theorem presented in Ref. [6] can now be stated.

**Theorem:** (Necessary and sufficient condition for the existence of a stabilizing decentralized control law)

A stabilizing decentralized dynamic compensator exists if and only if all decentralized fixed modes of the system lie in the open left-half complex plane.

Essentially, this theorem states that the system can be stabilized with a decentralized control law if the system is "decentralized stabilizable/detectable." This theorem is proven in Ref. [6]. Given that the necessary condition is satisfied, note that although a constant decentralized control law may exist that will stabilize the system in some cases, the existence of a stabilizing decentralized control law can only be guaranteed for dynamic compensators.

Obviously, it is of critical importance to be able to determine if any decentralized fixed modes exist in the system, and if they do, their values. A simple rank test answers this question. This test was presented in Refs. [5] and [20] for general systems. It is restated as the theorem below specifically for systems restricted to two interacting subsystems - the airframe and engine.

**Theorem:** (Determination of decentralized fixed modes)

For the system of Eq. (5), $\lambda$ is a decentralized fixed mode if and only if either

$$\text{rank}(H_{AE}) < n, \text{ or } \text{rank}(H_{EA}) < n$$  \hspace{1cm} (6)

where recall that $n$ is the number of states of the system, and

$$H_{AE} = \begin{bmatrix} \lambda I - A & B_A \\ C_E & 0 \end{bmatrix}, H_{EA} = \begin{bmatrix} \lambda I - A & B_E \\ C_A & 0 \end{bmatrix} \hspace{1cm} (7)$$

The proof is given in Refs. [5] and [20].

4. Performance Limitations of Decentralized Control Laws

This section presents an initial analysis of potential closed-loop performance limitations of decentralized control laws. The classical feedback loop is shown in Fig. 1 in which the closed-loop responses from commanded inputs are defined as

$$y(s) = T(s)y_c(s) \hspace{1cm} (8)$$

where $T(s)$ is the complementary sensitivity transfer function matrix. It can be shown that

$$T(s) = (I + G(s)K(s))^{-1} G(s)K(s) \hspace{1cm} (9)$$

In terms of the airframe/engine partitioning of Eq. (1),

$$T(s) = \begin{bmatrix} T_A & T_{AE} \\ T_{EA} & T_E \end{bmatrix} \hspace{1cm} (10)$$

Note that the following analysis will focus strictly on the complementary sensitivity. However, an analogous development can be made for the sensitivity transfer function matrix $S(s)$ (responses from disturbances), where $T(s)+S(s)=I$.

![Figure 1 - The Feedback System](image)

**Acceptable Nominal Performance**

Nominal closed-loop performance will be defined here as acceptable if the elements of $T(j\omega)$ all lie within specified upper and/or lower allowable bounds for all frequency $\omega$. These bounds are considered to be specified on at least the magnitudes of the frequency responses, but may also be specified on the phase of each response as well. Fig. 2 illustrates example upper and lower allowable bounds on the magnitudes of some $(i,j)$, $(i,j)$, $(j,i)$ and $(j,j)$ elements within the matrix $T(j\omega)$. These bounds may simply be derived from engineering "experience" and knowledge of the dynamical system in question, or possibly from some preliminary control law designs.

It is also assumed here that some desired nominal performance can be defined. The desired nominal complementary sensitivity transfer function matrix will be denoted here as $T(s)$. By definition then, the magnitudes (and phases) of the elements of $T(j\omega)$ all lie within the specified allowable bounds for all frequency. In terms of the airframe/engine partitioning of Eq. (1),

$$T(s) = \begin{bmatrix} T_A & T_{AE} \\ T_{EA} & T_E \end{bmatrix} \hspace{1cm} (11)$$
Nominal Performance Limitations Without a Pre-Filter

The limitations of achievable performance of decentralized control laws without the utilization of a pre-filter will first be investigated. From Eq. (9) it can be shown that

\[
T(s) = (I - T(s))G(s)K(s) \tag{12}
\]

from which,

\[
\begin{bmatrix}
T_A & T_{AE} \\
T_{EA} & T_E
\end{bmatrix} = \begin{bmatrix}
[(I-T_A)G_A-T_{AE}G_{EA}]K_A & [(I-T_A)G_{AE}-T_{AE}G_E]K_E \\
[(I-T_E)G_{EA}-T_{EA}G_A]K_A & [(I-T_E)G_E-T_{EA}G_{AE}]K_E
\end{bmatrix} \tag{13}
\]

If it is required that the desired performance \(T(s)\) be achieved, then from the above equation it can be seen that the following four equations must be satisfied for all frequency,

\[(i)\] \(T_A = [(I - T_A)G_A - T_{AE}G_{EA}]K_A\)

\[(ii)\] \(T_{AE} = [(I - T_E)G_{EA} - T_{EA}G_E]K_A\)

\[(iii)\] \(T_{AE} = [(I - T_A)G_{AE} - T_{AE}G_E]K_E\)

\[(iv)\] \(T_E = [(I - T_E)G_E - T_{EA}G_{AE}]K_E\)  

(14)

However, there are only two parameters, \(K_A\) and \(K_E\) that can be used to satisfy these four equations. Therefore, the desired performance can be exactly achieved only if

\[
T_E = [(I - T_E)G_{EA} - T_{EA}G_E][((I - T_A)G_A - T_{AE}G_{EA})]^{T}T_A \tag{15}
\]

\[
T_{AE} = [(I - T_A)G_{AE} - T_{AE}G_E][((I - T_E)G_E - T_{EA}G_{AE})]^{T}T_E
\]

for all frequency. Here, it is assumed that \(G_A\) and \(G_E\) are square and the above inverses are assumed to exist and are well defined for all frequency. Case studies have shown that Eq. (15) is typically never satisfied exactly for any frequency. Therefore, the objective is to seek the "best" matrices \(K_A\) and \(K_E\) that satisfy Eq. (14) "as close as possible." However, if the elements of \(T(j\omega)\) resulting from the "best" \(K_A\) and \(K_E\) do not all lie within the specified upper/lower allowable bounds, then no decentralized control law will be able to achieve acceptable performance. How to find the "best" \(K_A\) and \(K_E\) is left unanswered here. However, from Eq. (14) the following weighted averages for \(K_A\) and \(K_E\) was the approach taken for the case study presented in the next section,

\[
K_A = W_1(\omega)[(I - T_A)G_A - T_{AE}G_{EA}]^{T}T_A + W_2(\omega)[(I - T_E)G_{EA} - T_{AE}G_E]^{T}T_E
\]

\[
K_E = W_3(\omega)[(I - T_E)G_{EA} - T_{EA}G_E]^{T}T_E + W_4(\omega)[(I - T_A)G_A - T_{AE}G_A]^{T}T_A \tag{16}
\]

where the weighting matrices \(W_1\) through \(W_4\) can be functions of frequency, and \(M^+\) denotes the pseudo inverse of \(M\).

For the decentralized control law of Eq. (4), it can be shown that \(T(s)\) in Eq. (9) is
\[ T(s) = \begin{bmatrix} (I+GKA)^{-1}GKA & (I+GKA)^{-1}GAEK_E \Phi_E \\ (I+GKE)^{-1}GAEK_A \Phi_A & (I+GKE)^{-1}GKE \end{bmatrix} \]

where,

\[ \Phi_A = (I+GAKA)^{-1}, \quad \Phi_E = (I+GKE)^{-1} \]

\[ GKA = GKA - GKE(G + (G*+A)K)^{-1}GAEKA \]

\[ GKE = GKE - GKEA(KA(I+GKA)^{-1}GAEK_E) \]

From this equation it can be observed that if the coupling matrices \( G_{AE} \) and \( G_{EA} \) are comparatively "large" to \( G_{KE} \) and \( G_{AE} \), yet \( T_{AE} \) and \( T_{EA} \) are desired to be "small," then \( K_A \) and \( K_E \) should be "small." However, this may be in direct conflict with the requirement that \( K_A \) and \( K_E \) be "large" so that \( T_A \) and \( T_E \) are approximately \( I \) at lower frequencies (see Fig. 2). Hence, for highly coupled systems, there may not exist matrices \( K_A \) and \( K_E \) such that all elements of \( T(j\omega) \) lie within their allowable upper/lower bounds, and there is clearly a potential algebraic limitation to decentralized control.

Finally, note that even if matrices \( K_A \) and \( K_E \) exist such that the elements of \( T(j\omega) \) lie within their allowable bounds, this is certainly not sufficient to assure the existence of a viable decentralized control law that will achieve acceptable performance. For example, the issue of internal stability has not been addressed in the preceding discussion. Given any \( T(s) \), \( K(s) \) can be solved for algebraically as

\[ K(s) = G^{-1}(s)T(s)(I - T(s))^{-1} \]  \hspace{1cm} (18)

However, the loop transfer, \( L(s) = G(s)K(s) \), is then,

\[ L(s) = G(s)G^{-1}(s)T(s)(I - T(s))^{-1} \]  \hspace{1cm} (19)

Hence, for internal stability all right-half-plane poles of \( T(s)(I - T(s))^{-1} \) must include the right-half-plane poles of \( G(s) \). This additional constraint was not considered above.

**Performance Robustness Limitations With a Pre-Filter**

From the above discussion, therefore, it may be unreasonable to expect a decentralized control law to deliver acceptable closed-loop responses for the feedback system shown in Fig. 1. Because of this, utilization of a pre-filter may be required, as shown in Fig. 3. This is the approach taken in, for example, Quantitative Feedback Theory (QFT) [11]. Here, the pre-filter \( P(s) \) is assumed partitioned as

\[ \begin{bmatrix} y_{Ac} \\ y_{Ec} \end{bmatrix} = \begin{bmatrix} P_A & P_{AE} \\ P_{EA} & P_E \end{bmatrix} \begin{bmatrix} y'_{Ac} \\ y'_{Ec} \end{bmatrix} \]  \hspace{1cm} (20)

or \( y_c(s) = P(s)y'(s) \)

The closed-loop transfer function matrix from \( y'(s) \) to \( y(s) \) is denoted as

\[ y(s) = T_p(s)y'_c(s) = T(s)P(s)y'_c(s) \]  \hspace{1cm} (21)

**Figure 3 - The Feedback System With Pre-Filter P(s)**

With a pre-filter, it is no longer required that \( T(j\omega) = T(j\omega) \) for all frequency, since if

\[ P(j\omega) = T(j\omega)^{-1}T(j\omega) \text{ for all } \omega \]  \hspace{1cm} (22)

(it is assumed that the above inverse exists for all \( \omega \), then

\[ y(j\omega) = T(j\omega)y'_c(j\omega) \text{ for all } \omega \]  \hspace{1cm} (23)

and the desired nominal performance is obtained. Hence, utilization of a pre-filter seems to at least eliminate the need to approximately satisfy the algebraic conditions of Eq. (14). What then, are the limitations of decentralized control laws with a pre-filter? Well, it should be recognized that the pre-filter is open-loop compensation. Consider therefore the plant with uncertainty, as defined in Eq. (2). Then,

\[ T = T^* + \Delta_T = (I + (G^*+\Delta)K)^{-1}G^*+\Delta K \]  \hspace{1cm} (24)

and if the pre-filter is as defined in Eq. (22), then

\[ y(j\omega) = T_p(j\omega)y'_c(j\omega) = (T^*+\Delta_T)(T^*)^{-1}T'y'_c(j\omega) \]  \hspace{1cm} (25)

Hence, if the feedback system shown in Fig. 3 is not robust to uncertainties in the plant, then \( \Delta_T \) may be "large," \( (T^*+\Delta_T)(T^*)^{-1} \neq I \), and the closed-loop performance may be degraded. The performance robustness is defined here as acceptable if the elements of the closed-loop transfer function matrix \( T_p(j\omega) \) all lie within the specified upper/lower allowable bounds for all admissible plant uncertainty \( \Delta(j\omega) \).

From the above observation, it would seem that the purpose of \( K_A \) and \( K_E \) in the decentralized control law should be to increase the robustness of the feedback system to plant uncertainties. What then are the limitations of decentralized control laws in achieving this goal? First observe from Eq. (24) that if the "size" of \( (G^*+\Delta)K \gg I \) such that \( I + (G^*+\Delta)K = (G^*+\Delta)K \) for all admissible \( \Delta \), then \( T^*+\Delta_T = I \), and the system is robust to \( \Delta \). For decentralized control,

\[ I+(G^*+\Delta)K = \begin{bmatrix} I+(G_A^*+\Delta_A)K_A & (G_A^*+\Delta_A)K_E \\ (G_{AE}+\Delta_A)K_A & I+(G_E^*+\Delta_E)K_E \end{bmatrix} \]  \hspace{1cm} (26)

Hence, in order that \( I + (G^*+\Delta)K = (G^*+\Delta)K \) for all admissible \( \Delta \), the "sizes" of \( I+(G_A^*+\Delta_A)K_A \gg I \), and \( I+(G_E^*+\Delta_E)K_E \gg I \). This may be achieved only if \( K_A \) and \( K_E \) are "large." However, conditions such as stability robustness and actuation bandwidth constraints may limit the allowable "sizes" of \( K_A \) and \( K_E \), hence potentially...
limiting the performance robustness achieved by decentralized control laws.

Attention is now turned to centralized control laws. It is sought to understand the possible purposes/advantages of the control cross-feeds $K_{AE}$ and $K_{EA}$. This may then give some indications as to the limitations of decentralized control laws in which these advantages are "taken away." First define,

$$\begin{bmatrix}
K_d^1 & 0 \\
0 & K_e^1
\end{bmatrix}, \quad K_{cf} = \begin{bmatrix}
0 & K_{AE} \\
K_{EA} & 0
\end{bmatrix} \quad (27)$$

Hence, for the centralized control law, $K(s) = K_d(s) + K_{cf}(s)$, whereas for the decentralized control law, $K(s) = K_d(s)$. Now observe that with plant uncertainty,

$$G(s)K(s) = (G^* + \Delta)(K_d + K_{cf}) = (G^* + \Delta_G)K_d \quad (28)$$

where,

$$\Delta_G = G^*K_{cf}K_d^{-1} + \Delta(I + K_{cf}K_d^{-1}) \quad (29)$$

For decentralized control, $\Delta_G = \Delta$. However, for centralized control, the cross-feeds can be seen to "change" the plant characteristics via $\Delta_G$, where $\Delta(I + K_{cf}K_d^{-1})$ is now the "effective" plant uncertainty. Then, the "decentralized part" of the control law, namely $K_d$, is used for feedback. From Eq. (29), one possible purpose of the cross-feeds may be to reduce the effects of plant uncertainty by designing $K_{cf}$ such that $I + K_{cf}K_d^{-1}$ is "small."

The control cross-feeds may also be used to "shape" the individual loop transfers - with all other loops closed. Consider, for example, that the engine is a scalar loop. Then, it can be shown that the engine loop - with all other loops closed - is as presented in Fig. 4. Here,

$$E_{Ad} = -G_{EA}K_{A}^{1} \Phi_{A} G_{AE}, \quad \Phi_{A} = (I+G_{A}K_{A})^{-1} \quad (30)$$

$$E_{Acf} = G_{EA}K_{AE}^{1} \Phi_{A} G_{E}^{1}$$

$$- (G_{E} + E_{Ad})K_{EA} (I+G_{A}K_{A} + G_{AE}K_{EA})^{-1} (G_{AE} + G_{A}K_{AE}K_{E}^{1})$$

**Figure 4 - Engine Loop With All Other Loops Closed**

$E_{Ad}$ is that part of the other loops closed due to the presence of the dynamic cross-coupling, $G_{AE}$ and $G_{EA}$. $E_{Acf}$ is that part of the other loops closed due to the presence of the control cross-feeds. If the control cross-feeds are absent, as in decentralized control, $E_{Acf} = 0$, and this additional design freedom to shape the individual loop transfers is lost. From Fig. 4 it can be seen that $E_{Acf}$ will certainly affect the stability robustness of the system. One possible design objective of the cross-feeds may be to alter the plant characteristics such that $K_E$ may be increased for performance robustness without loss of stability robustness.

Finally, consider that a desired loop transfer matrix may be defined, denoted here as $L(j\omega)$. Then, for centralized control,

$$\begin{bmatrix}
L_A & L_{AE} \\
L_{EA} & L_E
\end{bmatrix} = \begin{bmatrix}
G_{A}K_{A} + G_{AE}K_{EA} & G_{A}K_{AE} + G_{AE}K_{E} \\
G_{E}K_{EA} + G_{EA}K_{A} & G_{E}K_{E} + G_{EA}K_{AE}
\end{bmatrix} \quad (31)$$

and at least algebraic solutions for $K_A$, $K_{AE}$, $K_{EA}$ and $K_E$ exist such that this equation is exactly satisfied for all frequency. However, for a decentralized control law it is desired that

$$\begin{bmatrix}
L_A & L_{AE} \\
L_{EA} & L_E
\end{bmatrix} = \begin{bmatrix}
G_{A}K_{A} & G_{AE}K_{E} \\
G_{E}K_{EA} & G_{E}K_{E}
\end{bmatrix} \quad (32)$$

Yet, as observed before with the desired closed-loop performance, $T(j\omega)$, the above equation typically will not be satisfied exactly, and matrices $K_A$ and $K_E$ must be found such that the loop transfer matrix is "as close as possible" to the desired loop transfer matrix. Eq. (32) is satisfied exactly only if the following conditions hold,

$$L_{EA} = G_{EA}G_{AE}^{-1}L_A \quad \text{and} \quad L_{AE} = G_{AE}G_{AE}^{-1}L_E \quad (33)$$

for all frequency. Hence, it can be seen that there is clearly a potential algebraic limitation of decentralized control laws in achieving the desired loop transfer matrix for all frequency.

The potential limitations of decentralized control laws observed in this section will now be illustrated in the following numerical case study.

### 5. A Case Study

#### Description of Vehicle Model

The airframe/engine vehicle model presented and analyzed in Ref. [1] will also be considered here. It is a delta wing supersonic aircraft with STOVL capabilities. The reference point about which the nonlinear system is linearized is the steady-state wings-level decelerating transition, approaching hover. Note that the airframe's short period mode is unstable at 1.3 rad/sec for this configuration and flight condition. At this reference point, the forces and moments controlling the aircraft are transitioning from those generated by the aerodynamic control surfaces to those generated by the propulsion system.

The four responses and four controls considered are listed in Table 1. The first three responses listed in this table are airframe responses, while the fan speed, $N_2$, is a critical engine response. Therefore, the airframe and engine response vectors are (see Eq. (1)):

$$y_A(s) = [\theta, \gamma, V]^T \quad \text{and} \quad y_E(s) = N_2 \quad (34)$$

The airframe and engine control vectors were selected as (see Eq. (1)):
The Reaction Control System (RCS) draws bleed air from the engine's compressor, and the Pitch RCS area controls the magnitude of RCS thrust. The ejector butterfly valve angle controls the amount of engine flow to the ejectors, thus the amount of ejector thrust. The magnitude of aft thrust is largely determined by the aft nozzle throat area. With this selection, referring to Eq. (1), the airframe transfer matrix, $G_A(s)$, is $3 \times 3$, and the engine transfer function, $G_E(s)$, is a scalar. Thus, the engine-to-airframe coupling transfer matrix, $G_{AE}(s)$, is $3 \times 1$, and the airframe-to-engine coupling transfer matrix, $G_{EA}(s)$, is $1 \times 3$.

Note that the responses and controls were normalized by estimates of their respective maximum allowable perturbations from reference values. These estimates are presented in Ref. [1]. With this normalization, magnitudes of transfer functions can be more meaningfully compared. The normalized frequency response magnitudes of the elements in the airframe/engine plant transfer function are presented in Ref. [2]. It was noted in this reference that the engine-to-airframe and airframe-to-engine coupling transfer functions in $G_{AE}(j\omega)$ and $G_{EA}(j\omega)$ are comparatively large in magnitude, and thus the airframe and engine are highly coupled.

### Table 1 - System Responses and Controls

<table>
<thead>
<tr>
<th>System Responses</th>
<th>System Control Inputs</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\theta$ - pitch attitude (deg)</td>
<td>$A_\theta$ - pitch RCS area (in$^2$)</td>
</tr>
<tr>
<td>$\gamma$ - long. flight path angle (deg)</td>
<td>$\eta$ - ejector butterfly valve angle (deg)</td>
</tr>
<tr>
<td>$V$ - true airspeed (ft/sec)</td>
<td>$A_v$ - aft nozzle throat area (in$^2$)</td>
</tr>
<tr>
<td>$N_2$ - fan speed (rpm's)</td>
<td>$w_f$ - fuel flow rate (lbm/hr)</td>
</tr>
</tbody>
</table>

In Ref. [3] a centralized control law design was presented for a more complicated model of this vehicle which utilized several more responses and controls. This control law was deemed to give good performance without excessive control actuation. A centralized control law, designed using a standard H$_\infty$ control law synthesis formulation, was presented in Ref. [1] for the more simplified model used here. This control law was found to deliver approximately the same closed-loop performance as seen in Ref. [3], and was shown to possess good stability robustness, all without excessive control actuation. Hence, this control law will be used here to define the desired closed-loop performance, $T(s)$. The partitioning of the control law matrix follows from the response vector (Eq. (34)) and the selection of airframe and engine controls (Eq. (35)). $K_A(s)$ is therefore $3 \times 3$, and $K_E(s)$ is a scalar. The control cross-feed matrices, $K_{AE}(s)$ and $K_{EA}(s)$ are $3 \times 1$ and $1 \times 3$, respectively.

### Existence of a Stabilizing Decentralized Control Law

Using the rank conditions of Eq. (6) it can be shown that this system has no decentralized fixed modes. It is investigated in Ref. [5] whether "small," physically realistic plant parameter variations can bring about the existence of a decentralized fixed mode for this vehicle. Theorem presented in Section 2, a stabilizing decentralized control law exists.

### Nominal Performance Limitations Without a Pre-Filter

Fig. 5 presents the upper and lower allowable bounds on the closed-loop pitch attitude ($\theta$) and fan speed ($N_2$) frequency response magnitudes from the pitch attitude ($\theta_c$) and fan speed ($N_{2c}$) commands. The frequency responses of $\theta/\theta_c$, $N_2/N_{2c}$ and $N_2/N_{2c}$ correspond to the $(1,1)$, $(1,4)$, $(4,1)$ and $(4,4)$ elements in $T(j\omega)$, respectively. $T(1,1)$ is an element in $T_A(j\omega)$, $T(1,4)$ is an element in $T_{AE}(j\omega)$, $T(4,1)$ is an element in $T_{EA}(j\omega)$, and $T(4,4)$ is equal to $T_E(j\omega)$. This figure also presents the desired responses (that which was obtained by the feedback system presented in Ref. [1]) for these elements. Finally, this figure shows responses for one algebraic solution to $K_A$ and $K_E$ given by Eq. (16). Although the details of how the weighting matrices $W_A(j\omega)$ through $W_A(j\omega)$ were chosen are omitted, several numerical iterations were performed in an attempt to find matrices $K_A$ and $K_E$ such that the elements of $T(j\omega)$ all lie within their respective allowable bounds. It can be seen in Fig. 5 that the magnitudes of $T(1,1)$, $T(1,4)$ and $T(4,4)$ all lie within their bounds. However, the magnitude of $T(1,4)$ exceeds its upper bound below 8 rad/sec and is greater than its upper bound by approximately 30 dB at 0.3 rad/sec.

Although the responses for the other elements in $T(j\omega)$ are not shown, the results seen in these elements are representative of the other elements in $T_A(j\omega)$, $T_{AE}(j\omega)$ and $T_{EA}(j\omega)$. That is, all other elements in $T_A(j\omega)$ and $T_{EA}(j\omega)$ also lie within their allowable bounds, whereas the magnitudes in the other two elements in $T_{AE}(j\omega)$ exceed the upper bounds. Further, although the phase plots are not shown, these results are all similar to the results seen in the magnitude plots. For example, if the magnitude of some response exceeds its bounds, typically so will its phase.

Fig. 6 presents the frequency response magnitudes of the same elements of $T(j\omega)$ as in Fig. 5, however, for a different choice in the weighting matrices $W_A(j\omega)$ through $W_A(j\omega)$ of Eq. (16). This figure illustrates the difficulty in attempting to use decentralized control to deliver closed-loop responses that are all within their allowable bounds. This figure indicates that although the magnitudes of the responses in $T_{AE}(j\omega)$ were approximately reduced to below their upper bounds, the responses in $T_A(j\omega)$ and $T_{EA}(j\omega)$ exceed their bounds. The magnitude of $T(1,1)$ falls below its lower bound below 2 rad/sec, whereas the magnitude of $T(4,1)$ exceeds its upper bound by almost as much as 40 dB at 4 rad/sec. This "push down - pop up" characteristic was seen for several choices of the weightings.

The results in Figs. 5 and 6 do not conclusively prove that no algebraic solutions exist for $K_A$ and $K_E$ that will deliver closed-loop responses within specified bounds.
However, in the attempt to find such solutions the numerical studies performed indicate that it is highly unlikely that such solutions exist. Further, recall that it was observed from Eq. (17) that if the airframe/engine coupling matrices $G_{AE}$ and $G_{EA}$ are "large," then this may limit how close a decentralized control law can come to achieving the desired performance. This is exactly the case for this vehicle model. Due to the nature of this vehicle...
design, the airframe and engine are highly coupled, and Ref. [2] shows that the elements in \( G_{AE}(j\omega) \) and \( G_{EA}(j\omega) \) are comparatively large in magnitude.

**Performance Robustness Limitations With a Pre-Filter**

For lack of a decentralized control law design, the decentralized control law for the following analysis was simply chosen to be the desired centralized control law design with the control cross-feeds set to zero. This control law still provides a stable closed-loop system, and with the pre-filter \( P(j\omega) \) defined as in Eq. (22), the nominal closed-loop performance from \( y'_c \) to \( y \) therefore exactly matched the desired performance.

As a first approach, the model of the plant uncertainty was simply to increase the magnitude of each element in \( G(j\omega) \) up to a maximum of 15 percent, and increase the phase of each element up to a maximum of 15 degrees. Figs. 7 and 8 present the effective plant uncertainty, as defined by Eq. (29) for the maximum amount of uncertainty. Fig. 7 shows the amount of uncertainty present in the pitch attitude to fuel flow rate transfer function \( G(1.4) \) for both the centralized and decentralized control laws. Likewise, Fig. 8 presents the uncertainty present in the fan speed to pitch RCS area transfer function \( G(4.1) \). Both figures indicate that the presence of the cross-feeds reduces the effective plant uncertainty, which should help to increase the performance robustness. However, take note that this result is dependent on the model of plant uncertainty.

Fig. 9 presents the frequency response magnitudes for the same elements as shown in Fig. 5 and 6. The nominal responses as well as responses with plant uncertainty are shown for both the desired system and the decentralized control law (now with the pre-filter). The magnitude and phase uncertainties for each element in \( G(j\omega) \) shown in this figure are (5%, 5 deg), (10%, 10 deg) and (15%, 15 deg), respectively. It can be seen in this figure that the desired system delivered acceptable performance robustness, whereas the robustness was unacceptable for the decentralized control law.

Fig. 10 presents the engine's fan speed loop transfer Bode plot with all other loops closed, as described by the block diagram in Fig. 4 for both the centralized control law \( (E_{Acf} \neq 0) \) and the decentralized control law \( (E_{Acf} = 0 - \text{see Eq. (30)}) \). Here, it can be seen that the cross-over frequency for the decentralized control law is reduced.

It was sought to try to improve the robustness of the decentralized control law. From Eq. (26) it was observed that increasing the “sizes” of \( K_A \) and \( K_E \) may help to improve performance robustness. Therefore, \( K_E \) and the diagonal elements of \( K_A \) were increased in magnitude. However, as feared, there was a limitation as to how large these elements could be increased. Increasing the magnitudes of all diagonal elements by 23 percent causes the phugoid mode to become unstable at 0.25 rad/sec, as shown by the engine loop transfer Bode plot for the decentralized control law in Fig. 11. Yet, increases in the magnitudes of these elements well below that which will cause instability produces no significant improvement in the robustness of the system.

It is not proven here that no decentralized control law exists that will provide acceptable performance robustness. Yet, none has so far been found, and the “best” way to design decentralized control laws is, for now, left unanswered.

![Figure 7 - Effective Plant Uncertainty in Pitch Attitude from Fuel Flow Rate Transfer Function](image1)

![Figure 8 - Effective Plant Uncertainty in Fan Speed from Pitch RCS Area Transfer Function](image2)
Figure 9 - Closed-Loop Frequency Response Magnitudes with Plant Uncertainty

Figure 10 - Engine Loop Transfer Bode Plot With All Other Loops Closed - Centralized and Decentralized

Figure 11 - Engine Loop Transfer - Increased Gains on Decentralized Control Cause Instability
6. Conclusions

Potential limitations of decentralized control laws were investigated. It is possible that a system possess certain characteristics such that no decentralized control law exists that can stabilize the system. This characteristic is analogous to an unstable, uncontrollable and/or unobservable mode under decentralized control. It was found that this was not a characteristic of the airframe/engine vehicle model studied, and a stabilizing decentralized control law exists for this particular example. However, for other airframe/engine plants this stability issue may be of much more concern.

An algebraic condition indicated that the achievable performance of a system with decentralized control may be limited if no pre-filter is utilized. This limitation simply comes from the fact that some freedom is lost in choosing the value of the closed-loop transfer function matrix when control cross-feeds are not used. No satisfactory decentralized control law could be found for the airframe/engine system under study, and it is believed that this may be due in part to the significant coupling between the airframe and engine subsystems.

There was seen to be no algebraic limitation of decentralized control laws if a pre-filter is used. However, the performance can soon be degraded if the feedback loops are not robust to plant uncertainty. It was seen that the control cross-feeds in the centralized control law architecture may provide additional design freedom to "shape" the loops and provide for more robustness. For the decentralized control laws, it was seen that increasing the "size" of the compensation would help improve robustness. However, the "size" of the compensation is typically limited by other constraints. For example, as shown in the case study, an increase in the magnitude of some elements of the decentralized control law quickly led to an instability.

Although the focus of this paper was on the limitations of decentralized control laws, there may be overriding advantages in utilizing them, and a more clear understanding of their limitations may help provide better methods for synthesizing decentralized control laws.

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References


Performance Limitations of Decentralized Control Laws for Integrated Flight and Propulsion Control†

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Abstract

This paper presents necessary conditions for the existence of a decentralized control law that will achieve required feedback system properties. A necessary and sufficient condition for the existence of a stabilizing decentralized control law has already been developed and is reviewed here. A necessary condition for the existence of a decentralized control law that will deliver acceptable closed-loop performance is also presented. It is seen that it may be difficult for a decentralized control law to achieve desired closed-loop responses unless a pre-filter is utilized. It is also observed that the reduced design freedom in decentralized control laws may be a potential limiting factor in achieving the necessary performance robustness to plant uncertainties. These ideas are illustrated with a numerical case study involving a highly coupled airframe/engine plant.

1. Introduction

The main objective of this paper will be to present some necessary conditions on the plant and performance specifications for the existence of a decentralized control law that will deliver required feedback system properties for Integrated Flight and Propulsion Control (IFPC) systems. Potential limitations of decentralized control laws in achieving performance robustness is also discussed.

Motivation for the Research

Several advanced design concepts of high performance fighter aircraft which redirect engine thrust to enhance the lifting and maneuvering capabilities of the airframe are under current consideration [1]-[3]. For such configurations the potential two-directional interactions between the airframe and engine subsystems may be more significant than previously encountered. Traditional aircraft utilize engine thrust strictly to affect the forward velocity of the vehicle. Conversely, for these new aircraft design concepts, engine thrust can also directly influence the lift and attitude motion of the airframe. However, the inlet flow to the engine, which affects the thrust produced by the engine is, in turn, affected by the dynamic motion of the airframe. Further, the dynamic interactions between airframe and engine subsystems are frequently difficult to model, and the uncertainties in these interactions can be potentially significant in the over-all system's dynamic model [1]-[3].

Traditionally, the airframe and propulsion subsystems' feedback control laws are designed, built and analyzed separately. For these new aircraft configurations, however, separate airframe and engine control law designs may no longer be feasible because of the increased airframe/engine interactions. However, implementation of integrated or decentralized control laws can be potentially difficult and costly due to the presence of the control cross-feeds between the airframe and engine subsystems [4]. Decentralized control laws, in which such cross-feeds are absent, would be a more favorable design approach. However, depending on the significance of the airframe/engine interactions, as well as the uncertainties in these interactions, the absence of control cross-feeds may potentially lead to unacceptable degradations in flying qualities. It would therefore be desired to know if certain characteristics of the airframe/engine plant exclude decentralized control as a viable design approach prior to the synthesis of any particular control law. This is the major motivating factor in developing necessary conditions that must be met in order for the existence of decentralized control laws that can achieve all required feedback system properties. If these conditions are not met, no decentralized control law design can achieve all required properties of the feedback system, and centralized control law architectures must be pursued.

Required Feedback System Properties

Typically, it is at least required that the closed-loop system be stable and exhibit acceptable performance. Other requirements may include that the system possess adequate stability and performance robustness to uncertainties in the dynamics. Further, the compensator must be an internally stabilizing control law (no right-halfplane pole-zero cancellations in the loop transfer). Additionally, bandwidth limitations may be specified due to unmodeled high frequency dynamics, actuation rate and deflection limits, and other nonlinearities in the system. Also, implementation issues may dictate that the compensator be of low dynamic order. However, this paper will strictly address the following specific requirements:

(1) The closed-loop system must be stable,
(2) The nominal closed-loop performance must be acceptable, and
(3) The closed-loop performance robustness to plant uncertainties must be adequate.
Requirements (2) and (3) will be specified later in terms of closed-loop frequency responses.

Each of the above requirements, as well as others, may all generate necessary conditions for the existence of an acceptable decentralized control law. However, even if these necessary conditions are all met, this may still not be sufficient for the existence of such a decentralized control law. Final proof of existence may only come from actually synthesizing an acceptable decentralized control law.

Review of Previous Research

Several papers have been published in the study of stabilizing feedback systems with decentralized control [5]. A necessary and sufficient condition for the existence of a stabilizing decentralized dynamic compensator was presented by Wang and Davison in Ref. [6], and a special case of this condition is presented in this paper. In order to utilize this condition the determination of "decentralized fixed modes" is required. Methods for determining decentralized fixed modes were presented in Refs. [7] and [8], and one method is briefly reviewed in this paper. Given that this stability condition is met, several parameterizations of all stabilizing decentralized control laws have been developed [9]. Further, much work has been published with regard to decentralized control law synthesis approaches, as in, for example, Refs. [4], [10]-[12]. Refs. [11] and [12] present the basic or elementary formulation of the Quantitative Feedback Theory (QFT) synthesis approach which results in a strictly diagonal (thus decentralized) control law. QFT will be reviewed and discussed later in the paper.

Although much has been written in the area of stabilizing decentralized control laws, literature on the achievable performance of decentralized control laws is lacking, and many performance issues seem to be left unanswered.

The remainder of this paper is organized as follows. Section 2 presents the notation to be used regarding the airframe/engine plant and the centralized and decentralized control law architectures. Section 3 briefly reviews the concept of decentralized fixed modes and presents the existence condition for stabilizing decentralized control laws. Section 4 constitutes the key focus of this paper and presents necessary conditions for the existence of a decentralized control law that will achieve acceptable closed-loop performance. The difficulties in meeting these conditions are discussed. Potential limitations of decentralized control laws in providing performance robustness are also discussed. Finally, QFT is reviewed as one method which may be used to design decentralized control laws. Section 5 presents a numerical case study involving a model of a particular vehicle configuration that has been the subject of several studies in integrated flight/propulsion control. This case study is used to illustrate some of the concepts introduced in Sections 3 and 4. Finally, Section 6 presents some conclusions drawn from the work.

2. System Description and Nomenclature

The vehicular system's input-output characteristics will be defined at one operating point by the matrix of transfer functions

\[
\begin{bmatrix}
y_A \\
y_E
\end{bmatrix} =
\begin{bmatrix}
G_A & G_{AE} \\
G_{EA} & G_E
\end{bmatrix}
\begin{bmatrix}
u_A \\
u_E
\end{bmatrix}
\]

or \( y(s) = G(s)u(s) \)

The airframe and engine response (y) and control (u) vectors are denoted respectively by the subscripts "A" and "E." Likewise, \( G_A(s) \) and \( G_E(s) \) represent the airframe and engine dynamics, respectively. Dynamic interactions between the airframe and engine are reflected in the off-diagonal transfer function matrices, \( G_{AE}(s) \) and \( G_{EA}(s) \), referred to as the engine-to-airframe and the airframe-to-engine coupling or interaction matrices, respectively.

The notation for the plant \( G(s) \) in Eq. (1) will be typically used to represent the nominal plant. However, when plant uncertainty is considered, \( G(s) \) will be defined as

\[
\begin{bmatrix}
y_A \\
y_E
\end{bmatrix} =
\begin{bmatrix}
G_A + \Delta_A & G_{AE} + \Delta_{AE} \\
G_{EA} + \Delta_{EA} & G_E + \Delta_E
\end{bmatrix}
\begin{bmatrix}
u_A \\
u_E
\end{bmatrix}
\]

or \( y(s) = [G^*(s) + \Delta(s)]u(s) \)

where \( G^*(s) \) represents the nominal plant, and \( \Delta(s) \) models the uncertainty.

The centralized control law is defined here as

\[
\begin{bmatrix}
u_A \\
u_E
\end{bmatrix} =
\begin{bmatrix}
K_A & K_{AE} \\
K_{EA} & K_E
\end{bmatrix}
\begin{bmatrix}
y_{Ac} - y_A \\
y_{Ec} - y_E
\end{bmatrix}
\]

or \( u(s) = K(s)(y_c(s) - y(s)) \)

\( y_{Ac}(s) \) and \( y_{Ec}(s) \) are the vectors of commanded airframe and engine responses. \( K_A(s) \) and \( K_E(s) \) are the feedback control compensation matrices associated with the airframe and the engine control subsystems, respectively. The control cross-feeds are indicated by \( K_{AE}(s) \) and \( K_{EA}(s) \).

The decentralized control law is defined here as

\[
\begin{bmatrix}
u_A \\
u_E
\end{bmatrix} =
\begin{bmatrix}
K_A & 0 \\
0 & K_E
\end{bmatrix}
\begin{bmatrix}
y_{Ac} - y_A \\
y_{Ec} - y_E
\end{bmatrix}
\]

Here, the control cross-feeds \( K_{AE}(s) \) and \( K_{EA}(s) \) are absent.

3. Existence of a Stabilizing Decentralized Control Law

It is well known that some systems cannot be stabilized by a decentralized control law: This section summarizes some of the main technical results which address the existence of a stabilizing decentralized control law. It is assumed that the system of Eq. (1) may be described by a linear time-invariant finite dimensional state-space description as
\[
\dot{x} = Ax + Bu = Ax + [B_A \ B_E] \begin{bmatrix} u_A \\ u_E \end{bmatrix} \\
y = \begin{bmatrix} y_A \\ y_E \end{bmatrix} = Cx = \begin{bmatrix} C_A \\ C_E \end{bmatrix} x
\]  

(5)

The number of states of the system will be denoted as \( n \), and it is assumed that the system is completely controllable and observable.

### Decentralized Fixed Modes

In Ref. [6] the concept of a decentralized fixed mode is shown to be critical in determining when a stabilizing decentralized control law exists. The concept of a decentralized fixed mode is defined as follows.

**Definition: (Decentralized Fixed Modes)**

Let \( \lambda(M) \) denote the set of all eigenvalues of the matrix \( M \). Then, \( \lambda \) is a decentralized fixed mode of \((A,B,C)\) as defined in Eq. (5) if:

1. \( \lambda \in \lambda(A) \), and
2. \( \lambda \in \lambda(A + BKC) \) for all decentralized \( K \) of the block-diagonal structure of Eq. (4).

In other words, a decentralized fixed mode is an eigenvalue of \( A \) that cannot be moved under the decentralized control law \( u = Ky, \ y = Cx \). Although not proven here, it is shown in Ref. [6] that decentralized fixed modes are invariant whether \( K \) is a decentralized constant gain feedback matrix or \( K \) is a decentralized dynamic compensator matrix.

In essence, decentralized fixed modes may be thought of as "uncontrollable and/or unobservable modes" of a system under decentralized control. Although proven formally in Ref. [6], it should be evident that uncontrollable and/or unobservable modes are decentralized fixed modes, since if these modes cannot be moved via centralized control, they certainly cannot be moved via decentralized control. Uncontrollable/unobservable modes may be thought of as "centralized fixed modes." Of interest here are those modes that are controllable and observable yet decentralized fixed modes. If an eigenvalue in this category is unstable, then a decentralized control law will be unable to stabilize the system, whereas a stabilizing centralized control law will exist. The main theorem presented in Ref. [6] can now be stated.

**Theorem: (Necessary and sufficient condition for the existence of a stabilizing decentralized control law)**

A stabilizing decentralized dynamic compensator exists if and only if all decentralized fixed modes of the system lie in the open left-half complex plane.

Essentially, this theorem states that the system can be stabilized with a decentralized control law if the system is "decentralized stabilizable/detectable." This theorem is proven in Ref. [6]. Given that the necessary condition is satisfied, note that although a constant decentralized control law may exist that will stabilize the system in some cases, the existence of a stabilizing decentralized control law can only be guaranteed for dynamic compensators.

Obviously, it is of critical importance to be able to determine if any decentralized fixed modes exist in the system, and if they do, their values. A simple rank test answers this question. This test was presented in Refs. [5] and [20] for general systems. It is restated as the theorem below specifically for systems restricted to two interacting subsystems - the airframe and engine.

**Theorem: (Determination of decentralized fixed modes)**

For the system of Eq. (5), \( \lambda \) is a decentralized fixed mode if and only if either

\[
\text{rank}(H_{AE}) < n, \text{ or rank}(H_{EA}) < n
\]  

(6)

where recall that \( n \) is the number of states of the system, and

\[
H_{AE} = \begin{bmatrix} \lambda I - A & B_A \\ C_E & 0 \end{bmatrix}, \quad H_{EA} = \begin{bmatrix} \lambda I - A & B_E \\ C_A & 0 \end{bmatrix}
\]  

(7)

The proof is given in Ref. [5].

### 4. Performance Limitations of Decentralized Control Laws

This section presents necessary conditions for the existence of a decentralized control law that will deliver acceptable closed-loop performance. The classical feedback loop is shown in Fig. 1 in which the closed-loop responses from commanded inputs are defined as

\[ y(s) = T(s) y_c(s) \]  

(8)

where \( T(s) \) is the complementary sensitivity transfer function matrix. It can be shown that

\[ T(s) = (I + G(s)K(s))^{-1} G(s)K(s) \]  

(9)

In terms of the airframe/engine partitioning of Eq. (1),

\[
T(s) = \begin{bmatrix} T_A & T_{AE} \\ T_{EA} & T_E \end{bmatrix}
\]  

(10)

Note that the following analysis will focus strictly on the complementary sensitivity. However, an analogous development can be made for the sensitivity transfer function matrix \( S(s) \) (responses from disturbances), where \( T(s) + S(s) = I \).
Acceptable Nominal Performance

Nominal closed-loop performance will be defined here as acceptable if the elements of \( T(j\omega) \) all lie within specified upper and/or lower allowable bounds for all frequency \( \omega \). These bounds are considered to be specified on at least the magnitudes of the frequency responses, but may also be specified on the phase of each response as well. Fig. 2 illustrates example upper and lower allowable bounds on the magnitudes of some \((i,i)\), \((i,j)\), \((j,i)\) and \((j,j)\) elements within the matrix \( T(j\omega) \). These bounds may simply be derived from engineering "experience" and knowledge of the dynamical system in question, or possibly from some preliminary control law designs. The matrices of upper and lower allowable bounds will be denoted as \( T_U(j\omega) \) and \( T_L(j\omega) \), respectively. The \((i,j)\) element in each of these matrices will be denoted as \( t_{Uij}(j\omega) \) and \( t_{Lij}(j\omega) \), respectively.

It is also assumed here that some desired nominal performance can be defined. The desired nominal complementary sensitivity transfer function matrix will be denoted here as \( T(s) \). By definition then, the magnitudes (and phases) of the elements of \( T(j\omega) \) all lie within the specified allowable bounds for all frequency. In terms of the airframe/engine partitioning of Eq. (1),

\[
T(s) = \begin{bmatrix}
T_A & T_{AE} \\
T_{EA} & T_E
\end{bmatrix}
\]  

(11)

Necessary Conditions for Achieving Nominal Performance Without a Pre-Filter

Some necessary conditions for achieving acceptable nominal performance with decentralized control laws, yet without the utilization of a pre-filter will be stated in this section. For the decentralized control law of Eq. (4), it can be shown that \( T(s) \) in Eq. (9) is

\[
\begin{bmatrix}
T_A & T_{AE} \\
T_{EA} & T_E
\end{bmatrix} = \begin{bmatrix}
(I+G_A K_A)^{-1} G_A K_A & (I+G_A K_A)^{-1} D_E \\
(I+G_E K_E)^{-1} D_A & (I+G_E K_E)^{-1} G_E K_E
\end{bmatrix}
\]

where,

\[
\begin{align*}
G_A &= G_A + E_E, & G_E &= G_E + E_A \\
E_E &= -G_A E_K F_E G_A, & E_A &= -G_E A_K F_A G_E \\
D_E &= G_A E_K F_E, & D_A &= G_E K_A F_A \\
\Phi_A &= (I+G_A K_A)^{-1}, & \Phi_E &= (I+G_E K_E)^{-1}
\end{align*}
\]  

(12)

The notation in Eq. (12) is taken from Refs. [1] and [2]. \( E_E(s) \) and \( E_A(s) \) are denoted as "additive interaction" matrices since they act as additive dynamics to the airframe and engine plants, \( G_A(s) \) and \( G_E(s) \). These matrices arise due to the interactions between the airframe and engine - \( G_A(s) \) and \( G_E(s) \). \( D_E(s) \) and \( D_A(s) \) are denoted as "disturbance interaction" matrices. Because these matrices are nonzero, engine (airframe) commands act as disturbances to the airframe (engine) responses. The following necessary condition can now be stated.

Necessary condition for acceptable nominal performance:

A necessary condition for the existence of a decentralized control law that will deliver acceptable nominal closed-loop performance is that matrices \( K_A(j\omega) \) and \( K_E(j\omega) \) exist such that the following inequalities hold for all frequency \( \omega > 0 \):

\[
\begin{align*}
&|t_{Lii}(j\omega)| < |t_{Uij}(j\omega)|, i = 1,...,m \\
&|t_{ij}(j\omega)| < |t_{Uij}(j\omega)|, i,j = 1,...,m, i \neq j
\end{align*}
\]  

(13)

where \( t_{ij}(j\omega) \) and \( t_{ij}(j\omega) \) are the \((i,i)\) and \((i,j)\) elements in the \( m \times m \) matrix \( T(j\omega) \) as defined in Eq. (12). Recall that \( |t_{Uij}(j\omega)|, |t_{Lii}(j\omega)| \) and \( |t_{ij}(j\omega)| \) denote the upper and lower allowable bounds on the frequency response magnitudes of the elements in \( T(j\omega) \), as illustrated in Fig. 2. Analogous inequalities can be stated for the phase of each element in \( T(j\omega) \).

From Eq. (12) it can be observed that it may be difficult to find matrices \( K_A(j\omega) \) and \( K_E(j\omega) \) that satisfy the above necessary condition. For example, consider that \( K_E(j\omega) \) is specified. Then a \( K_A(j\omega) \) must be found such that the "sensitivity" matrix \((I+G_A K_A)^{-1}\) be "small enough" to sufficiently reject the "disturbances" \( D_E(j\omega) \). In other words, \( K_A(j\omega) \) must be made "large enough" so that the magnitude of each element in \( T_{AE}(j\omega) \) lies below its allowable upper bound. Now, if \( K_E(j\omega) \) is "large enough" such that the diagonal elements of \( G_E K_E \) are much greater than one, then \( D_E(j\omega) = G_A K_E G_E^{-1} \). If the coupling matrix \( G_E(j\omega) \) is comparatively "large" to \( G_E(j\omega) \), then \( D_E(j\omega) \) will be "large" and \( K_A(j\omega) \) must therefore be made "large" so that \( T_{AE}(j\omega) \) is "small." Since \( T_A = (I+G_A K_A)^{-1} G_A K_A \) "large" \( K_A(j\omega) \) will cause \( T_A(j\omega) \) to approximate the identity matrix. Therefore, if \( D_E(j\omega) \) is "large" at higher frequencies, \( K_A(j\omega) \) must be made "large" at higher frequencies, in which case the diagonal elements in \( T_A(j\omega) \) may violate their upper bounds at higher frequencies - see Fig. 2. Analogously, if \( D_A(j\omega) \) is "large" at higher frequencies, \( K_E(j\omega) \) must be made "large" and the diagonal elements in \( T_E(j\omega) \) may also violate their upper bounds at higher frequencies. Hence, for highly coupled systems \((G_A(j\omega), G_E(j\omega) "large") there may not exist matrices \( K_A(j\omega) \) and \( K_E(j\omega) \) such that all elements of \( T(j\omega) \) lie within their allowable upper/lower bounds, and there is clearly a potential algebraic limitation to decentralized control.

Note that in Eq. (12) each partition of \( T(s) \) involves both \( K_A(s) \) and \( K_E(s) \). Because of this, it can be difficult to determine if matrices \( K_A(j\omega) \) and \( K_E(j\omega) \) exist such that the above necessary condition is met. The QFT control law synthesis method will be investigated later as one possible technique for determining \( K_A(j\omega) \) and \( K_E(j\omega) \).

Finally, note that even if matrices \( K_A(j\omega) \) and \( K_E(j\omega) \) exist (determined algebraically) such that the elements of \( T(j\omega) \) lie within their allowable bounds, this is certainly
not sufficient to assure the existence of a viable decentralized control law that will achieve all required feedback system properties. For example, given an acceptable $T(j\omega)$ for all frequency, the algebraic solution to $K(s)$ for the equivalent $T(s)$ is

$$K(s) = G^{-1}(s)T(s)(I - T(s))^{-1} \quad (14)$$

However, the loop transfer, $L(s) = G(s)K(s)$, is then,

$$L(s) = G(s) \{G^{-1}(s)T(s)(I - T(s))^{-1}\} \quad (15)$$

Here it can be seen that right-half-plane pole-zero cancellations can potentially occur in the loop transfer matrix. If so, this control law would be unacceptable.

Attention is now turned to the loop transfer matrix, $L(s) = G(s)K(s)$. Consider that a desired loop transfer matrix may be defined, denoted here as $L(j\omega)$. For the decentralized control law of Eq. (4), $L(s)$ may be partitioned as follows,

$$\begin{bmatrix} L_A & L_{AE} \\ L_{EA} & L_E \end{bmatrix} = \begin{bmatrix} G_A K_A & G_A K_E \\ G_E A K_A & G_E K_E \end{bmatrix} \quad (16)$$

As with the closed-loop responses, assume here that allowable upper and lower bounds on the magnitudes and phases of each element in $L(j\omega)$ can be defined to assure an acceptable loop transfer function matrix, which in turn assures acceptable closed-loop performance. For example, the upper and lower allowable bounds on the $(i,j)$ element in $L_A(j\omega)$ will be denoted as $l_{Aij}(j\omega)$ and $l_{Aij}(j\omega)$, respectively. The following necessary condition can now be stated.

**Necessary condition for an acceptable loop transfer function matrix:**

A necessary condition for the existence of a decentralized control law that will deliver an acceptable nominal loop transfer function matrix is that matrices $K_A(j\omega)$ and $K_E(j\omega)$ exist such that the following inequalities hold for all frequency $\omega > 0$:

$$|l_{Aij}(j\omega)| \leq \left| \sum_{m=1}^{n_A} g_{Am} k_{Am} \right| \leq |l_{Aij}(j\omega)|, \quad i,j = 1,...,n_A$$

$$|l_{Eij}(j\omega)| \leq \left| \sum_{m=1}^{n_E} g_{Em} k_{Em} \right| \leq |l_{Eij}(j\omega)|, \quad i,j = 1,...,n_E$$

$$|l_{Eij}(j\omega)| \leq \left| \sum_{m=1}^{n_E} g_{Em} k_{Em} \right| \leq |l_{Eij}(j\omega)|, \quad i,j = 1,...,n_E$$

where, for example, $g_{Am}$ is the $(i,m)$ element in $G_A(j\omega)$, and $k_{Am}$ is the $(m,j)$ element in $K_A(j\omega)$. Here, the dimensions of the partitioned matrices are denoted as: $G_A$, $K_A$ are $n_A \times n_A$, $G_E$, $K_E$ are $n_E \times n_E$, $G_{AE}$ is $n_A \times n_E$, and $G_{EA}$...
is $n \times n_A$. Analogous inequalities can be stated for the phase of each element in $L(j\omega)$.

It can be seen from the above necessary condition that the matrix $K_A(j\omega)$ must satisfy the first two sets of inequalities in Eq. (17), whereas the matrix $K_E(j\omega)$ must satisfy the last two sets of inequalities in Eq. (17). In other words, there are only two "parameters," $K_A(j\omega)$ and $K_E(j\omega)$, that can be used to satisfy four sets of inequalities. Hence, there is clearly a potential algebraic limitation of decentralized control laws in achieving the desired loop transfer function matrix for all frequency.

Attention is now turned to centralized control laws. It is sought to understand some possible purposes/advantages of the control cross-feeds $K_{AE}$ and $K_{EA}$. This may then give some indications as to the limitations of decentralized control laws in which these advantages are "taken away." First, note that for centralized control, the loop transfer matrix is

$$
L = \begin{bmatrix}
L_A & L_{AE} \\
L_{EA} & L_E
\end{bmatrix}
$$

(18)

from which it can be seen that $K_{AE}$ and $K_{EA}$ can be used to reduce the "sizes" of $L_{AE}$ and $L_{EA}$. This, in turn, will help to decouple the closed-loop airframe and engine responses. Further, if a desired loop transfer matrix $L(j\omega)$ is defined, then from Eq. (18) it can be seen that since there are now four "parameters," $K_A$, $K_{AE}$, $K_{EA}$ and $K_E$, at least an algebraic solutions for a centralized control law $K(j\omega)$ exist such that the loop transfer matrix can be made equivalent to the desired loop transfer matrix for all frequency.

Finally, note that the control cross-feeds may be used to "shape" the individual loop transfers - with all other loops closed. Consider, for example, that the engine is a scalar loop. Then, it can be shown that the engine loop - with all other loops closed - is as presented in Fig. 3. Here,

$$
E_{Acf} = G_{EA}K_{AE}\Phi_AK_E^{-1}
$$

(19)

Figure 3 - Engine Loop With All Other Loops Closed

$E_{A}$ is as defined in Eq. (12). $E_{Acf}$ is that part of the other loops closed due to the presence of the control cross-feeds. If the control cross-feeds are absent, as in decentralized control, $E_{Acf} = 0$, and this additional design freedom to shape the individual loop transfer is lost.

Performance Robustness Limitations With a Pre-Filter

Performance robustness limitations with a pre-filter are now considered. From the above discussion, it may be unreasonable to expect a decentralized control law to deliver acceptable closed-loop responses for the feedback system shown in Fig. 1. Because of this, utilization of a pre-filter may be required, as shown in Fig. 4. Here, the pre-filter $P(s)$ is assumed partitioned as

$$
\begin{bmatrix}
y_{Ac} \\
y_{Ec}
\end{bmatrix} = \begin{bmatrix}
P_A & P_{AE} \\
P_{EA} & P_E
\end{bmatrix} \begin{bmatrix}
y_{Ac}' \\
y_{Ec}'
\end{bmatrix}
$$

(20)

or $y_c(s) = P(s)y_c'(s)$

The closed-loop transfer function matrix from $y_c'(s)$ to $y(s)$ is denoted as

$$
y(s) = T_p(s)y_c'(s) = T(s)P(s)y_c'(s)
$$

(21)

or

$$
\begin{bmatrix}
T_{Ap} & T_{AEp} \\
T_{EAp} & T_{Ep}
\end{bmatrix} = \begin{bmatrix}
T_Ap + T_{AEp} & T_{AEp} \\
T_{EAp} + T_{Ep}
\end{bmatrix}
$$

(22)

The closed-loop responses from low frequency disturbances as well as from high frequency measurement noise are unaffected by the pre-filter. Hence, even with the utilization of a pre-filter, the sensitivity matrix must still be "small enough" at low frequencies to reject disturbances, while the complementary sensitivity matrix must be "small enough" at high frequencies for sufficient measurement noise attenuation (see Fig. 2). However, the pre-filter can aid in "shaping" the closed-loop responses from commands.

Under the assumption that a pre-filter can be found such that the closed-loop responses all lie within acceptable bounds, what then are the limitations of decentralized control laws with a pre-filter? Well, it should be recognized that the pre-filter is open-loop compensation. Consider therefore the plant with uncertainty, as defined in Eq. (2). Then,

$$
T = T^* + \Delta_T = (I + (G^*+\Delta)K)^{-1}(G^*+\Delta)K
$$

(23)

and the closed-loop responses are then,

$$
y(j\omega) = T_p(j\omega)y_c'(j\omega) = (T^*+\Delta_T)P(j\omega)y_c'(j\omega)
$$

(24)

Hence, if the feedback system shown in Fig. 4 is not robust to uncertainties in the plant, then $\Delta_T$ may be "large," $\Delta_TP(j\omega)$ may be "large," and the closed-loop performance may be degraded. The performance robustness is defined here as acceptable if the elements of the closed-
loop transfer function matrix $T_p(j\omega)$ all lie within the specified upper/lower allowable bounds for all admissible plant uncertainty $\Delta(j\omega)$.

From the above observation, it would seem that the purpose of $K_A$ and $K_E$ in the decentralized control law should be to increase the robustness of the feedback system to plant uncertainties. What then are the limitations of decentralized control laws in achieving this goal? First observe from Eq. (23) that if the "size" of $(G^*+A)K >> 1$ such that $1 + (G^*+A)K = (G^*+A)K$ for all admissible $\Delta$, then $T^*+\Delta_T = I$, and the system is robust to $\Delta$. For decentralized control,

$$I + (G^*+\Delta)K = \begin{bmatrix} 1+(G_A+\Delta_A)K_A & (G_A+\Delta_A)K_E \\ (G_E+\Delta_E)K_A & 1+(G_E+\Delta_E)K_E \end{bmatrix}$$  \hspace{1cm} (25)$$

Hence, in order that $I + (G^*+\Delta)K = (G^*+\Delta)K$ for all admissible $\Delta$, the "sizes" of $(G_A+\Delta_A)K_A >> I$, and $(G_E+\Delta_E)K_E >> I$. This may be achieved only if $K_A$ and $K_E$ are "large." However, conditions such as actuation bandwidth constraints may limit the allowable "sizes" of $K_A$ and $K_E$, hence potentially limiting the performance robustness achieved by decentralized control laws. Recall from the last section, it was seen that $K_A$ and $K_E$ may be required to be "large" in order to obtain nominal decoupled response characteristics without a pre-filter. Now, with a pre-filter, performance robustness may as well require "large" $K_A$ and $K_E$.

One decentralized control law synthesis methodology which utilizes a pre-filter and addresses robustness to plant uncertainty is QFT, and this will be reviewed next.

**Quantitative Feedback Theory (QFT)**

The standard QFT control law synthesis approach results in a strictly diagonal control law $K(s)$. First note that the complementary sensitivity matrix $T_p(s)$ in Eq. (22) can also be expressed as

$$T_{Ap} = (I+H_AK_A)^{-1}H_AK_AP + (I+H_AK_A)^{-1}G_{AE}G_E^{-1}T_{Ep}$$ \hspace{1cm} (26a)$$

$$T_{AEp} = (I+H_AK_A)^{-1}H_AK_{PAP} + (I+H_AK_A)^{-1}G_{AE}G_E^{-1}T_{Ep}$$ \hspace{1cm} (26b)$$

$$T_{Ep} = (I+H_EK_E)^{-1}H_EK_{PEP} + (I+H_EK_E)^{-1}G_{EA}G_A^{-1}T_{AP}$$ \hspace{1cm} (26c)$$

$$T_{Ep} = (I+H_EK_E)^{-1}H_EK_{PEP} + (I+H_EK_E)^{-1}G_{EA}G_A^{-1}T_{AEp}$$ \hspace{1cm} (26d)$$

where,

$$H_A = G_A - G_{AE}G_E^{-1}G_{EA}, \quad H_E = G_E - G_{EA}G_A^{-1}G_{AE}$$  \hspace{1cm} (27)$$

In QFT, the above equations are assumed to be scalar ($G(s)$ is 2x2). In this unique formulation, the design of $K_A$ and $K_E$ are separated. First, it is assumed that models of plant uncertainty are given, such that at each frequency the magnitudes and phases of the elements in $G(j\omega)$ can vary over a certain range. It is also assumed that upper and lower allowable bounds on $T_{Ap}(j\omega)$, $T_{AEp}(j\omega)$, etc. are defined. Then, from Eq. (26a) and (26b), at each frequency design $K_A(j\omega)$ to be large enough such that:

(i) $(I+H_AK_A)^{-1}H_AK_A$ does not vary greater than the range between the upper and lower allowable bounds on $T_{Ap}(j\omega)$ for all admissible variations in the plant,

(ii) $(I+H_AK_A)^{-1}$ is sufficiently small enough so that $(I+H_AK_A)^{-1}G_{AE}G_E^{-1}T_{Ep} < 1$ and $(I+H_AK_A)^{-1}G_{AE}G_E^{-1}T_{Ep}$ are small in magnitude compared to $(I+H_AK_A)^{-1}H_AK_A$. Here, $G_{AE}G_E^{-1}T_{Ep}$ and $G_{AE}G_E^{-1}T_{Ep}$ are considered as "disturbances" due to the interactions from the other loop. The largest magnitude of $G_{AE}G_E^{-1}$ for all plant variations is used, as well as the values of the allowable upper bounds on $T_{Ep}(j\omega)$ and $T_{Ep}(j\omega)$. This constitutes a "worst case" disturbance rejection criterion.

(iii) With $K_A(j\omega)$ large enough so that the closed-loop system is robust to uncertainties, design $P_A(j\omega)$ and $P_{AE}(j\omega)$ such that $(I+H_AK_A)^{-1}H_AK_AP$ and $(I+H_AK_A)^{-1}H_AK_AP$ approximate the desired values of $T_{Ap}(j\omega)$ and $T_{AEp}(j\omega)$, respectively.

From Eqs. (26c) and (26d), $K_E(j\omega)$ is designed in the same manner as in (i) and (ii) above. However, if the $T_{Ap}(j\omega)$ and $T_{AEp}(j\omega)$ "achieved" from the design of $K_A(j\omega)$ have lower upper bounds than the original defined allowable upper bounds, then these lower upper bounds may be used in the design of $K_E(j\omega)$. This sequential loop closure technique helps to reduce the conservatism in the design.

The above design procedure has also been developed for 3x3 plants, and, in theory, can be extended for general nxn $G(s)$. However, for the case study of the next section, the basic approach developed for the 2x2 plant is extended to the multivariable case. That is, Eqs. (26a) through (26d) are considered matrix equations, and instead of strictly diagonal control laws, block-diagonal decentralized control laws, as in Eq. (4), are considered.

Finally, recall from the discussion of Eq. (12), that the "disturbance" to "reject" in order that $T_{AEp}(j\omega)$ be "small" could be approximated by $G_{AE}G_E^{-1}$. Here, from (ii) above, the "disturbance" to "reject" is $G_{AE}G_E^{-1}T_{Ep}$. If $T_{Ep}$ can be approximated by the identity matrix (at least out to a certain frequency range), then again the "disturbance" is approximately $G_{AE}G_E^{-1}$. Thus, if this is "large," $K_A(j\omega)$ may be required to be unreasonably "large." The potential limitations of decentralized control laws observed in this section will now be illustrated in the following numerical case study.

5. A Case Study

**Description of Vehicle Model**

The airframe/engine vehicle model presented and analyzed in Ref. [1] will also be considered here. It is a delta wing supersonic aircraft with STOVL capabilities. The reference point about which the nonlinear system is
linearized is the steady-state wings-level decelerating transition, approaching hover. Note that the airframe’s short period mode is unstable at 1.3 rad/sec for this configuration and flight condition. At this reference point, the forces and moments controlling the aircraft are transitioning from those generated by the aerodynamic control surfaces to those generated by the propulsion system.

The four responses and four controls considered are listed in Table 1. The first three responses listed in this table are airframe responses, while the fan speed, \( N_2 \), is a critical engine response. Therefore, the airframe and engine response vectors are (see Eq. (1)):

\[
y_A(s) = [\theta, \gamma, V]^T \quad \text{and} \quad y_E(s) = N_2
\]

The airframe and engine control vectors were selected as (see Eq. (1)):

\[
u_A(s) = [A_q, \eta, A_b]^T \quad \text{and} \quad u_E(s) = w_f
\]

The Reaction Control System (RCS) draws bleed air from the engine’s compressor, and the Pitch RCS area controls the magnitude of RCS thrust. The ejector butterfly valve angle controls the amount of engine flow to the ejectors, thus the amount of ejector thrust. The magnitude of aft thrust is largely determined by the aft nozzle throat area. With this selection, referring to Eq. (1), the airframe transfer matrix, \( G_A(s) \), is 3x3, and the engine transfer function, \( G_E(s) \), is a scalar. Thus, the engine-to-airframe coupling transfer matrix, \( G_{AE}(s) \), is 3x1, and the airframe-to-engine coupling transfer matrix, \( G_{EA}(s) \), is 1x3.

Note that the responses and controls were normalized by estimates of their respective maximum allowable perturbations from reference values. These estimates are presented in Ref. [1]. With this normalization, magnitudes of transfer functions can be more meaningfully compared. The normalized frequency response magnitudes of the elements in the airframe/engine plant transfer function are presented in Ref. [2]. It was noted in this reference that the engine-to-airframe and airframe-to-engine coupling transfer functions in \( G_{AE}(j\omega) \) and \( G_{EA}(j\omega) \) are comparatively large in magnitude, and thus the airframe and engine are highly coupled.

### Table 1 - System Responses and Controls

<table>
<thead>
<tr>
<th>System Responses</th>
<th>System Control Inputs</th>
</tr>
</thead>
<tbody>
<tr>
<td>( \theta ) - pitch attitude (deg)</td>
<td>( A_q ) - pitch RCS area (in²)</td>
</tr>
<tr>
<td>( \gamma ) - long. flight path angle (deg)</td>
<td>( \eta ) - ejector butterfly valve angle (deg)</td>
</tr>
<tr>
<td>( V ) - true airspeed (ft/sec)</td>
<td>( A_b ) - aft nozzle throat area (in²)</td>
</tr>
<tr>
<td>( N_2 ) - fan speed (rpm's)</td>
<td>( w_f ) - fuel flow rate (lbm/hr)</td>
</tr>
</tbody>
</table>

In Ref. [3] a centralized control law design was presented for a more complicated model of this vehicle which utilized several more responses and controls. This control law was deemed to give good performance without excessive control actuation. A centralized control law, designed using a standard \( H_{\infty} \) control law synthesis formulation, was presented in Ref. [1] for the more simplified model used here. This control law was found to deliver approximately the same closed-loop performance as seen in Ref. [3], and was shown to possess good stability robustness, all without excessive control actuation. Hence, this control law will be used here to define the desired closed-loop performance, \( T(s) \). The partitioning of the control law matrix follows from the response vector (Eq. (28)) and the selection of airframe and engine controls (Eq. (29)). \( K_A(s) \) is therefore 3x3, and \( K_E(s) \) is a scalar. The control cross-feed matrices, \( K_{AE}(s) \) and \( K_{EA}(s) \) are 3x1 and 1x3, respectively.

### Existence of a Stabilizing Decentralized Control Law

Using the rank conditions of Eq. (6) it can be shown that this system has no decentralized fixed modes. It is investigated in Ref. [5] whether “small,” physically realistic plant parameter variations can bring about the existence of a decentralized fixed mode for this vehicle system. It is indicated in this reference that the variations required to construct a decentralized fixed mode are not physically realistic. Hence, at least for this vehicle model at this flight condition, no mode of the system is “close” to becoming a decentralized fixed mode. Therefore, by the theorem presented in Section 2, a stabilizing decentralized control law exists.

### Nominal Performance Limitations Without a Pre-Filter

Fig. 5 presents the upper and lower allowable bounds on the closed-loop pitch attitude (\( \theta \)) and fan speed (\( N_2 \)) frequency response magnitudes from the pitch attitude (\( \theta_c \)) and fan speed (\( N_2c \)) commands. The frequency responses of \( \theta/\theta_c, N_2/N_2c \) and \( N_2/N_2c \) correspond to the (1,1), (1,4), (4,1) and (4,4) elements in \( T(j\omega) \), respectively. \( T(1,1) \) is an element in \( T_A(j\omega) \), \( T(1,4) \) is an element in \( T_{AE}(j\omega) \), \( T(4,1) \) is an element in \( T_{EA}(j\omega) \), and \( T(4,4) \) is equal to \( T_E(j\omega) \). This figure also presents the desired responses (that which was obtained by the feedback system presented in Ref. [1]) for these elements. Finally, this figure shows responses for one algebraic solution to \( K_A \) and \( K_E \). This solution was derived by considering the QFT formulation in Eqs. (26a) - (26d), however, without utilization of a pre-filter (set \( P(s) = 1 \) in Eqs. (26a) - (26d)).

\( K_A(j\omega) \) was “designed” so that the magnitudes of all elements in \( (1+H_A K_A)^{-1}G_{AE}G_{EA}^T T_{E}(j\omega) \) were less than the allowable upper bounds in \( T_{AE}(j\omega) \). With this, the “achieved” value of \( T_A(j\omega) = (1+H_A K_A)^{-1}H_A K_A + (1+H_E K_E)^{-1}G_{AE}G_{EA}^{-1} T_{E} \). Using this value for \( T_A(j\omega) \), \( K_E(j\omega) \) was “designed” so that the magnitudes of all elements in \( (1+H_E K_E)^{-1}G_{EA}G_{EA}^T T_A \) were lower than the allowable upper bounds in \( T_{EA}(j\omega) \).

For these values of \( K_A(j\omega) \) and \( K_E(j\omega) \) Fig. 5 shows that the magnitudes of \( T(1,4) \) and \( T(4,1) \) lie below their allowable upper bounds. In fact, all elements of \( T_{AE}(j\omega) \) and \( T_{EA}(j\omega) \) lie below their upper bounds.
magnitudes of the off-diagonal elements in $T_A(j\omega)$ lie below their upper bounds. However, the "sizes" of $K_A(j\omega)$ and $K_E(j\omega)$ required to achieve "small" $T_{AE}(j\omega)$ and $T_{EA}(j\omega)$ were so "large" at higher frequencies that the diagonal elements of $T_A(j\omega)$ and $T_E(j\omega)$ violate their upper bounds at higher frequencies. Fig. 5 shows that the magnitude of $T(1,1)$ exceeds its upper bound at approximately 7 rad/sec and the magnitude of $T(4,4)$ exceeds its upper bound at approximately 5 rad/sec. Finally, the magnitudes of the elements in $K_A(j\omega)$ and $K_E(j\omega)$ for this "design" were seen to be unreasonably large throughout the frequency range shown in Fig. 5 when compared to the magnitudes of the corresponding elements in the actual centralized control law design for the desired closed-loop responses, $T(j\omega)$.

Fig. 6 presents the frequency response magnitudes of the same elements of $T(j\omega)$ as in Fig. 5, however for a different choice of $K_A(j\omega)$ and $K_E(j\omega)$. Here, an actual control law design was performed simply using loop shaping techniques. $K_A(j\omega)$ and $K_E(j\omega)$ were designed to stabilize the system and have $(I+H_A K_A)^{-1} H_A K_A$ and $(I+H_E K_E)^{-1} H_E K_E$ approximately match the desired closed-loop responses of $T_A(j\omega)$ and $T_E(j\omega)$, respectively. The magnitudes of each element in $K_A(j\omega)$ and $K_E(j\omega)$ were seen to be of reasonable size throughout the frequency range. Fig. 6 shows that the magnitude of $T(1,1)$ lies within its upper and lower bounds. In fact all elements of $T_A(j\omega)$ lie within their upper and lower bounds. Fig. 6 also shows that the magnitude of $T(4,4)$ ($=T_E(j\omega)$) also lies within its upper and lower bounds. However, as seen in the figure, the magnitude of $T(1,4)$ violates its upper bound below approximately 6 rad/sec, whereas the magnitude of $T(4,1)$ violates its upper bound below approximately 40 rad/sec. In fact, the magnitudes of all elements in both $T_{AE}(j\omega)$ and $T_{EA}(j\omega)$ violate their upper bounds. Because of the reduced "sizes" of $K_A(j\omega)$ and $K_E(j\omega)$, both $(I+H_A K_A)^{-1}$ and $(I+H_E K_E)^{-1}$ were not sufficiently "small" enough to "reject" the "disturbances" $G_A G_E^{-1} T_E$ and $G_E G_A^{-1} T_A$, respectively (see Eqs. (26b) and (26c)).

Figs. 5 and 6 illustrate the difficulty in attempting to use decentralized control to deliver closed-loop responses that are all within their allowable bounds without the use of a pre-filter. Although these results do not conclusively prove that no algebraic solutions exist for $K_A$ and $K_E$ that will deliver closed-loop responses within specified bounds, none could be found.

Performance Robustness Limitations With a Pre-Filter

The decentralized control law design just discussed (the actual design with "smaller" $K_A$ and $K_E$) was then used in conjunction with a pre-filter. Again using loop-shaping techniques, a stable pre-filter was found that would reduce the magnitudes of the elements in $T_{AE}(j\omega)$ and $T_{EA}(j\omega)$. The performance robustness to plant uncertainty for this design was then investigated. As a first approach, the model of the plant uncertainty was simply to increase the magnitude of each element in $G(j\omega)$ up to a maximum of 15 percent, and increase the phase of each element up to a maximum of 15 degrees.

Fig. 7 presents the frequency response magnitudes for the same elements as shown in Fig. 5 and 6. The nominal responses as well as responses with plant uncertainty are shown for both the desired (centralized) control system and the decentralized control law (now with the pre-filter). For the responses with plant uncertainty (plotted by a dashed line), the magnitude of each element in $G(j\omega)$ was increased by 15%, and the phase of each element of $G(j\omega)$ was increased by 15 degrees. Again, this was the maximum magnitude and phase variations considered here.

First, note from Fig. 7 that the nominal closed-loop responses for the decentralized control system all lie within their upper/lower allowable bounds, illustrating the benefit of using a pre-filter. It can also be seen in this figure that the desired system (centralized control law) delivered acceptable performance robustness, whereas the robustness was unacceptable for the decentralized control law. The uncertainty in the plant caused the magnitudes of all the elements in $T_{AE}(j\omega)$ and $T_{EA}(j\omega)$ to violate their upper bounds. As discussed in the previous section, one way to increase the performance robustness of the system is to have "large" $K_A(j\omega)$ and $K_E(j\omega)$. Yet, as discussed above, their are limitations to the "sizes" of these matrices, and performance robustness degradations may have to be accepted for decentralized control laws. Although it is not proven here that no decentralized control law exists that will provide acceptable performance robustness, none has so far been found.
Figure 5 - Closed-Loop Frequency Response Magnitudes - Diagonal Elements of $T(j\omega)$
Unacceptably Large At Higher Frequencies For Decentralized Control

Figure 6 - Closed-Loop Frequency Response Magnitudes - All Elements of $T_{AE}(j\omega), T_{EA}(j\omega)$
Unacceptably Large For Decentralized Control
6. Conclusions

Necessary conditions for the existence of decentralized control laws that deliver required feedback system properties were presented. First, a necessary and sufficient condition for the existence of a stabilizing decentralized control law was reviewed. This condition stated that no unstable decentralized fixed mode be present in the system. A decentralized fixed mode is an uncontrollable and/or unobservable mode for not only centralized control laws, but for decentralized control laws as well. It was found that no decentralized fixed mode was present for the airframe/engine vehicle model studied, and a stabilizing decentralized control law exists for this particular example. However, for other airframe/engine plants this stability issue may be of much more concern.

A necessary condition for the existence of a decentralized control law that will deliver acceptable closed-loop performance was also presented. This condition was expressed as a set of inequalities, and indicated that at least an algebraic solution for a decentralized control law must exist such that all closed-loop frequency responses lie within specified upper and lower allowable bounds. It was discussed that this condition can be difficult to meet if no pre-filter is utilized. An analogous necessary condition for the existence of a decentralized control law that will achieve an acceptable loop transfer matrix was also presented. This condition clearly indicated the design freedom lost in choosing the value of the loop transfer function matrix when control cross-feeds are not used. Without the use of a pre-filter, no satisfactory decentralized control law could be found for the nominal airframe/engine system under study.

Analogous to the basic design goals of QFT, one decentralized control law was designed, along with a pre-filter, that seemed to give satisfactory closed-loop performance for the nominal system. However, it was noted that the performance was not as robust to plant uncertainties as compared to a centralized control law design. It was noted that one way in which to increase the robustness is to increase the size of the feedback gains in the decentralized control law. However, the sizes of the gains are typically limited due to actuation bandwidth and deflection limits, as well as other constraints.

Although this paper highlighted some limitations of decentralized control laws, there may be overriding advantages in utilizing them. A more clear understanding of their limitations and the necessary conditions for the existence of decentralized control laws that can achieve the required feedback system properties may help provide better methods for synthesizing decentralized control laws.
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References


Feasibility of Decentralized Control Architectures for Highly Coupled Flight/Propulsion Systems

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Abstract

The existence of a stabilizing decentralized control law is addressed particularly for highly coupled airframe/engine systems. The main purpose of this paper is to determine if realistic conditions exist on airframe/engine dynamics such that a stabilizing decentralized control law does not exist, and a centralized control law is necessary in order to stabilize the system. A nominal vehicle model representative of a highly coupled airframe/engine system is presented. A stabilizing decentralized control law exists for the nominal model. Variations in the model required such that no stabilizing decentralized control law exists are found to be large and physically unrealistic. However, for other airframe/engine models, the existence of a stabilizing decentralized control law may be a much more critical issue. This paper gives relationships that must be satisfied in order that a centralized control law be required to stabilize the system.

1. Introduction

Several advanced design concepts of high performance fighter aircraft which redirect engine thrust to enhance the lifting and maneuvering capabilities of the airframe are under current consideration [1],[2]. For such configurations the potential two-directional interactions between the airframe and engine subsystems may be more significant than previously encountered, and Centralized Control Laws (CCLs) may be required. However, implementation of CCLs can be potentially difficult and costly due to the presence of control cross-feeds between the airframe and engine subsystems [3]. Decentralized Control Laws (DCLs), in which such cross-feeds are absent, is the traditional and more favorable design approach. It would therefore be desired to know if certain characteristics of the airframe/engine plant exclude DCLs as a viable design approach prior to the synthesis of any particular control law. This is the major motivating factor in developing necessary conditions that must be met in order for the existence of DCLs that can achieve all required feedback system properties. If these conditions are not met, no DCL design can achieve all required properties of the feedback system, and CCL architectures must be pursued.

Typically, it is at least required that the closed-loop system be stable, exhibit acceptable nominal performance, and possess adequate stability and performance robustness to uncertainties in the dynamics. Further required feedback system properties may also be specified. However, this paper will focus strictly on the closed-loop stability requirement.

A necessary and sufficient condition for the existence of a stabilizing DCL is presented in a landmark paper by Wang and Davison [4], in which the concept of "Decentralized Fixed Modes" (DFMs) is introduced. Several other papers that also address DFMs can be found in the literature as well. Given that the necessary and sufficient condition for the existence of a stabilizing DCL is met, several parameterizations of all stabilizing DCLs have been developed, as in, for example, [5]. Further, much work has been published with regard to DCL synthesis approaches, as in, for example, [6].

In order to utilize the condition presented in [4], the determination of DFMs is required. A method for determining DFMs is presented in [7]. The work presented in this reference can be applied to systems comprised of any number of subsystems, often denoted as "large scale systems." However, for the present paper, determination of DFMs will be addressed strictly for systems comprised of two interacting subsystems, namely the airframe and propulsion subsystems. For such systems, some new conditions are presented which must be met in order for the system to possess a DFM.

From the preceding discussion, the following problems will be addressed in this paper:

Problem 1: When does a stabilizing decentralized control law exist?
This question has been answered by Wang and Davison in [4], and this will be reviewed.

Problem 2: Are there realistic conditions on the airframe/engine dynamics such that a stabilizing decentralized control law does not exist, and a centralized control law is necessary?
This will be addressed with a model of a highly coupled airframe/engine system.

The remainder of this paper is organized as follows. Section 2 presents the mathematical notation to be used and presents the CCL and DCL architectures. Section 3 presents the mathematical background regarding DFMs and the existence condition for stabilizing DCLs. Section 4 presents an academic numerical example on constructing a DFM. Section 5 presents a nominal model of a particular vehicle configuration that has been the subject of several studies in integrated flight/propulsion control. Section 6 investigates the feasibility of DCLs for variations of the model presented in Section 5. Finally, Section 7 summarizes the paper and presents directions for future research.

2. Mathematical Notation: System Description, Control Law Architectures

In this section the notation used throughout the remainder of the paper is presented. The input/output relationship of the airframe/engine dynamics, and the CCL and DCL architectures are described.
Airframe/Engine Dynamics

The overall system's input-output characteristics are defined at one operating point by the matrix of transfer functions

\[
y(s) = G(s)u(s), \quad \begin{bmatrix} y_A \\ y_E \end{bmatrix} = \begin{bmatrix} G_A & G_{AE} \\ G_{EA} & G_E \end{bmatrix} \begin{bmatrix} u_A \\ u_E \end{bmatrix} \quad (1)
\]

where \( G_A(s) \) and \( G_E(s) \) represent the airframe and engine dynamics, respectively. Two-directional dynamic interactions between the airframe and engine are modeled by the off-diagonal transfer function matrices, \( G_{AE}(s) \) and \( G_{EA}(s) \), referred to as the engine-to-airframe and airframe-to-engine coupling or interaction matrices, respectively. \( y_A(s) \) and \( y_E(s) \) are the airframe and engine response vectors. Likewise, \( u_A(s) \) and \( u_E(s) \) are the vectors of airframe and engine control inputs. It is assumed that the system of Eq. (1) may be described by a linear time-invariant finite dimensional state-space description as

\[
x = Ax + Bu, \quad y = Cx
\]

where \( u \) and \( y \) are defined as in Eq. (1). The dimensions of the system are defined as

\[
x \in \mathbb{R}^{nx1}, \quad u \in \mathbb{R}^{mx1}, \quad y \in \mathbb{R}^{px1}
\]

\[
u_A \in \mathbb{R}^{mAxA}, \quad u_E \in \mathbb{R}^{mEpx1}, \quad m = m_A + m_E
\]

\[
y_A \in \mathbb{R}^{PAxA}, \quad y_E \in \mathbb{R}^{PExA}, \quad p = p_A + p_E
\]

where real matrices \( M \) of dimension \( mxn \) are denoted as \( M \in \mathbb{R}^{mxn} \). Likewise, complex matrices \( M \) of dimension \( mxn \) are denoted as \( M \in \mathbb{C}^{mxn} \).

It is considered that the system is acted upon by either CCLs or DCLs, and these are described below.

Centralized Control Law (CCL) Architecture

The CCL architecture is defined here as

\[
u(s) = K(s)(y_c(s) - y(s)), \quad \begin{bmatrix} u_A \\ u_E \end{bmatrix} = \begin{bmatrix} K_A & K_{AE} \\ K_{EA} & K_E \end{bmatrix} \begin{bmatrix} y_A - y_A \\ y_E - y_E \end{bmatrix} \quad (4)
\]

where \( x_c \in \mathbb{R}^{nx1} \) and all other matrices are of appropriate dimensions, determined by Eq. (3).

Decentralized Control Law (DCL) Architecture

The DCL architecture is defined here as

\[
\begin{bmatrix} u_A \\ u_E \end{bmatrix} = \begin{bmatrix} K_A & 0 \\ 0 & K_E \end{bmatrix} \begin{bmatrix} y_A - y_A \\ y_E - y_E \end{bmatrix} \quad (6)
\]

in which case the cross-feeds \( K_{AE}(s) \) and \( K_{EA}(s) \) are zero. The state space description for this control law can be defined as in Eq. (5), however the state space matrices are restricted to block-diagonal structure, and the partitioned blocks are denoted as

\[
A_c, B_c, C_c, D_c = [A_{CA} \ 0] [B_{CA} \ 0] [C_{CA} \ 0] [D_{CA} \ 0] [0 \ A_{CE} \ 0] [B_{CE} \ 0] [C_{CE} \ 0] [D_{CE} \ 0] \quad (7)
\]

where \( A_c \in \mathbb{R}^{nCAx} \), \( A_c \in \mathbb{R}^{nEx} \), and all other matrices are of appropriate dimensions, determined by Eq. (3). The set of matrices with the specific block-diagonal structures above are now formally defined.

Definition 1. (KD, KD_c)

Let \( KD \) denote the set of all finite-dimensional proper \( mxp \) transfer function matrices having the block-diagonal structure shown in Eq. (6).

Let \( KD_c \) denote the subset of \( KD \) consisting of all real constant \( mxp \) matrices having the block-diagonal structure shown in Eq. (6). Note therefore that in Eq. (7), \( D_c \in KD_c \). Stated more precisely, if \( K \in KD \) if \( K \in KD_c \) and

\[
K = \begin{bmatrix} K_A & 0 \\ 0 & K_E \end{bmatrix}, \quad K_A \in \mathbb{R}^{nCAxnCA}, \quad K_E \in \mathbb{R}^{nExnEx} \quad (8)
\]

3. Mathematical Background: Feasibility of Decentralized Control

It is well known that some systems cannot be stabilized by a DCL. This section summarizes some of the main technical results which address the existence of a stabilizing DCL. These results will be used throughout the remainder of the paper.

3.1 Decentralized Fixed Modes (DFMs)

In [4] the concept of a DFM is presented and shown to be critical in answering Problem 1: When does a stabilizing decentralized control law exist? The concept of a DFM is defined as follows.

Definition 2. (Decentralized Fixed Modes)

Let \( \lambda(M) \) denote the set of all eigenvalues of the matrix \( M \).

Then, \( \lambda \in \mathbb{C} \) is a DFM of \( (A,B,C) \) as defined in Eq. (2) if:
(1) $\lambda \in \lambda(A)$, and

(2) $\lambda \in \lambda(A + BKC)$ for all $K \in K_{DC}$.

Note that for constant gain output feedback, $A + BKC$ is the closed-loop "A" matrix. Thus, a DFM is an eigenvalue of $A$ that cannot be moved under the DCL: $u = Ky$, $y = Cx$, $K \in K_{DC}$. Although not proven here, it is shown in [4] that DFMs are invariant whether $K \in K_{DC}$ (that is, $K$ is a decentralized constant gain feedback matrix) or $K = K(s) \in K_P$ (that is, $K(s)$ is a decentralized dynamic compensator matrix). In essence, DFMs may be thought of as "uncontrollable and/or unobservable modes" of a system with strictly decentralized feedback control.

**Definition 3. (Modal Decomposition)**

Let $S_D$ denote the set of all DFMs of $(A, B, C)$.

It is proven formally in [4] that all uncontrollable and/or unobservable modes are a subset of all DFMs of a system. Yet, it should be evident that uncontrollable and/or unobservable modes are DFMs, since if these modes cannot be moved via centralized control, they cannot be moved via decentralized control. Uncontrollable and unobservable modes may be thought of as "centralized fixed modes." Yet, other DFMs of the system may exist that are, however, both controllable and observable. It is these modes that are of interest in this paper. If an eigenvalue in this category is unstable, then a DCL will be unable to stabilize the system, whereas a stabilizing CCL will exist. Therefore, for the remainder of the paper it is assumed that the triple $(A, B, C)$ in Eq. (2) is completely controllable and observable.

From the preceding discussion, if a DCL architecture is to be considered, then it is of critical importance to be able to determine if any DFMs exist in the system, and if they do, their values. A simple rank test answers this question. This test was presented in [7] for general systems. It is restated as Theorem 1 below specifically for systems restricted to two interacting subsystems - the airframe and engine.

**Theorem 1. (Determination of DFMs)**

For the system of Eq. (2), with $\lambda \in \lambda(A)$, then $\lambda \in S_D$ if and only if either

$$\text{rank}(H_{AE}) < n, \text{ or } \text{rank}(H_{EA}) < n$$

where recall that $n$ is the number of the states of the system $(x \in \mathbb{R}^{nx1})$, and

$$H_{AE} = \begin{bmatrix} \lambda I - A & B_A \\ C_E & 0 \end{bmatrix}, \quad H_{EA} = \begin{bmatrix} \lambda I - A & B_E \\ C_A & 0 \end{bmatrix} \quad (10)$$

The proof is given in [8], and is different from that presented in [7]. Systems with more than one DFM or with DFMs of multiplicity greater than one are addressed in [8]. However, in this paper attention will be focused on systems with only one DFM of multiplicity one. For this case, in Eq. (9) above, $\text{rank}(H_{AE}) = n - 1$, or $\text{rank}(H_{EA}) = n - 1$.

The new proof of Theorem 1 in [8] leads to the following important conditions. It can be shown that if $\text{rank}(H_{AE}) = n - 1$ then

$$B_A = (\lambda I - A)M_B, \quad C_E = M_C(\lambda I - A), \quad M_BA = 0 \quad \text{and} \quad C_E M_B = 0. \quad (11)$$

where $M_B$ and $M_C$ are some matrices. For the dual, if $\text{rank}(H_{EA}) = n - 1$, then

$$B_E = (\lambda I - A)M_B, \quad C_A = M_C(\lambda I - A), \quad M_CE = 0 \quad \text{and} \quad C_A M_B = 0. \quad (12)$$

These conditions are of critical importance in this paper, and are utilized in the construction of DFMs. Consider a nominal airframe/engine plant in which no DFMs exist. However, there are always modeling uncertainties, and it is of interest to determine if any modes of the system are "close" to being DFMs. That is, if little variations in the model are required to "create" a system with a DFM, then this would be cause for concern. Hence, for example, it is desired to find the "smallest" variations from the nominal $A$, $B_A$ and $C_E$ such that the conditions in Eqs. (11) above are satisfied, thus resulting in a system which possesses a DFM. The conditions or relationships in Eqs. (11) and (12) will be utilized in numerical examples given in Sections 4 and 6.

**3.2 Existence of a Stabilizing DCL**

The main theorem presented in [4] can now be stated.

**Theorem 2. (Necessary and sufficient condition for the existence of a stabilizing DCL)**

A stabilizing decentralized dynamic compensator, as defined in Eq. (7), exists if and only if all elements of $S_D$ lie in the open left-half complex plane.

A formal proof of this theorem is given in [4]. Essentially, this theorem states that all DFMs of the system must be stable in order that the system be stabilized with a DCL. Note, however, that even if all DFMs are stable, a stabilizing decentralized constant gain feedback matrix may not necessarily exist, and stability can only be assured in general with decentralized dynamic compensators.

**4. Academic Example and Observations**

In this section an academic numerical example is given to illustrate the methodology in constructing variations from a nominal system model that results in the existence of a DFM in the perturbed model. Consider the mass-dashpot system shown in Fig. 1. Here, three masses $m_1$, $m_2$, and $m_3$ are connected by dashpots with damping coefficients $c_1$, $c_2$, and $c_3$. The inertial positions of each mass are denoted by $z_1$, $z_2$, and $z_3$, respectively. Here, masses $m_1$ and $m_2$ are considered as the first subsystem, and mass $m_3$ is considered as the second subsystem. An
input control force \( f_1 \) acts simultaneously on \( m_1 \) and \( m_2 \), and an input control force \( f_2 \) acts on \( m_3 \). Consider that the measured response for feedback control for the first subsystem is the sum of the velocities of \( m_1 \) and \( m_2 \), whereas the response for the second subsystem is the velocity of \( m_3 \).

Figure 1 - Academic Example Dynamical System

With the state vector defined as \( x = [ z_1 \ z_2 \ z_3 ]^T \), the state-space matrices for this system can be shown to be

\[
A = \begin{bmatrix}
-(c_1+c_2)/m_1 & c_2/m_1 & 0 \\
c_2/m_2 & -(c_2+c_3)/m_2 & c_3/m_2 \\
0 & c_3/m_3 & -c_3/m_3
\end{bmatrix}
\]

(13)

\[
[B_A, B_E] = \begin{bmatrix}
1 & 0 \\
1 & 0 \\
0 & 1
\end{bmatrix}
\begin{bmatrix}
C_A \\
C_E
\end{bmatrix} = \begin{bmatrix}
1 & 1 & 0 \\
0 & 0 & 1
\end{bmatrix}
\]

Note that although this model does not represent airframe/engine dynamics, for consistency of notation the partitioning of the \( B \) and \( C \) matrices are given in terms of the airframe/engine notation of Eq. (2). Now, let

\[
m_1 = 1, m_2 = 2, m_3 = 3, c_1 = 1, c_2 = -2, c_3 = 3
\]

(14)

With these values, it can be shown that this system is completely controllable/observable and possesses no DFMs. From Theorem 2, it is of most interest to construct DFMs at locations of unstable eigenvalues. The parameter \( c_2 \) was artificially assigned a negative value to create an unstable eigenvalue at 2 rad/sec. The nominal plant transfer functions for this system are

\[
G(s) = \frac{2(s+1.25)(s-2)}{(s+0.22)(s-2)(s+2.3)} \frac{1.5(s-3)}{(s+1)(s-2)(s+2.3)}
\]

(15)

To be consistent with the notation of Eq. (1), the (1,1), (1,2), (2,1) and (2,2) elements of \( G(s) \) in this equation will be denoted later as \( G_A(s) \), \( G_{AE}(s) \), \( G_{EA}(s) \) and \( G_E(s) \), respectively.

A DFM can be constructed by utilizing the relationships shown in Eqs. (11) and (12). Again, a DFM will be constructed at the location of the unstable eigenvalue. Hence, let \( \lambda = 2 \) rad/sec. First note that since \( \lambda \) is an eigenvalue of \( A \), \( \text{rank}(\lambda I - A) = 2 \). Because of this, the relationships \( C_E = M_C(\lambda I - A) \) and \( B_E = (\lambda I - A)M_B \) are not possible for the nominal system. For the values of \( C_E \) and \( B_E \) in Eq. (13), \( M_C \) (a row vector) must lie in the left null space of the first two columns of (\( \lambda I - A \)), and \( M_B \) (a column vector) must lie in the right null space of the first two rows of (\( \lambda I - A \)). However, since \( \text{rank}(\lambda I - A) = 2 \), \( M_C \) and \( M_B \) would also lie in the null spaces of the last column and row of (\( \lambda I - A \)). Hence, in order for the relationships \( C_E = M_C(\lambda I - A) \) and \( B_E = (\lambda I - A)M_B \) to hold, all elements in \( C_E \) and \( B_E \) would have to be identically zero. It is for this reason that the nominal system possesses no DFMs.

Now, note that an \( M_B \) exists such that the relationship \( B_A = (\lambda I - A)M_B \) in Eq. (11) holds for the nominal value of \( B_A \). Hence, only a variation in \( C_E \) is required to construct a DFM. It can be shown that an \( M_E \) exists such that \( C_E = M_C(\lambda I - A) \) and \( B_E = (\lambda I - A)M_B \) to hold, all elements in \( C_E \) and \( B_E \) would have to be identically zero. For this reason that the nominal system possesses no DFMs.

Hence, for this value of \( C_E \) a DFM exists at \( \lambda = 2 \) rad/sec. The transfer functions for this perturbed system are

\[
G(s) = \frac{2(s+1.25)(s-2)}{(s+0.22)(s-2)(s+2.3)} \frac{1.5(s-3)}{(s+1)(s-2)(s+2.3)}
\]

(17)

In comparing these transfer functions with those of the nominal system in Eq. (15), it can be seen that \( G_A(s) \) and \( G_{AE}(s) \) are unchanged, as expected since \( A, C_A, B_A \) and \( B_E \) were not varied from the nominal model (note for example that \( G_{AE}(s) = C_A(sI - A)^{-1}B_A \)). However, since \( C_E \) was varied, \( G_{EA}(s) \) and \( G_E(s) \) are different from their nominal values.

For the system with a DFM, note that one zero at \(+2 \) rad/sec is present in both \( G_A(s) \) and \( G_E(s) \), and two zeros at this location are present in \( G_{EA}(s) \). This result is consistent with Theorem 1. If \( \lambda \) is a DFM and \( \text{rank}(H_{AE}) < n \), then this implies that

\[
\text{rank} \begin{bmatrix} \lambda I - A & B_A \\ \lambda I - A & C_E \end{bmatrix} < n \quad \text{and} \quad \text{rank} \begin{bmatrix} \lambda I - A & B_A \\ \lambda I - A & C_E \end{bmatrix} < n
\]

(18)

Hence, by the PBH rank tests (see [9]), this implies \( \lambda \) is uncontrollable in \( G_A(s) \) and unobservable in \( G_E(s) \). Also, since \( G_{EA}(s) = C_E(sI - A)^{-1}B_A \), Eq. (18) above implies that there must be two zeros in \( G_{EA}(s) \), since each rank condition gives rise to one zero at \( \lambda \). For the nominal system, although the unstable pole is uncontrollable in the first subsystem \( (G_A(s)) \), it is observable in the second subsystem \( (G_E(s)) \), and a DCL can move this pole.
location. By changing the response of the second subsystem from \( z_2 \) to \(-1/3z_2 + z_3\), the unstable pole is not only uncontrollable in \( G_A(s) \), but is now unobservable in \( G_E(s) \), and a DCL cannot now move this pole location.

The closed-loop characteristic polynomial is (see [10])

\[
\phi_{CL}(s) = \phi_{OL}(s) \text{det}(I + G(s)K(s)) \tag{21}
\]

where \( \phi_{OL}(s) \) is the open-loop characteristic polynomial. Expanding this expression for the 2x2 system of the above numerical example shows that \( s+2 \) is a factor of \( \phi_{CL}(s) \) if \( K(s) \in \mathbb{D} \), as in Eq. (6). However, expanding Eq. (19) for a CCL, as in Eq. (4), indicates that \( s+2 \) need not be a factor of \( \phi_{CL}(s) \). This is due to the fact that there is no zero at 2 rad/sec in \( G_A(s) \).

The above example is illustrative of how Eqs. (11) and (12) can be used to construct a DFM. These relationships will be addressed for an airframe/engine dynamic model. The nominal airframe/engine model is presented in the next section.

5. Description Of Nominal Airframe/Engine Vehicle Dynamics

The airframe/engine vehicle model presented and analyzed in [1] and [2] will be considered here. It is a delta winged supersonic aircraft, and has the capabilities of redirecting the engine thrust for STOVL operation. The reference point about which the nonlinear system is linearized is the steady-state wings-level decelerating transition, approaching hover. The order of the original model was reduced from 9th order to 5th order. Higher frequency engine modes were eliminated via residualization. Note that the airframe’s short period mode is unstable at 1.3 rad/sec for this configuration and flight condition.

The state vector for this model is

\[
x = [u \ w \ q \ \theta \ N_Z]^T \tag{20}
\]

where \( u \) and \( w \) are the airframe’s forward and vertical velocities in ft/sec. The pitch rate is \( q \) in rad/sec and the pitch attitude is \( \theta \) in rad. \( N_Z \) is the engine fan speed in rpm’s. The airframe and engine response and control vectors are (see Eq. (1)):

\[
\begin{align*}
&y_A(s) = [\theta \ \gamma \ V]^T \quad \text{and} \quad y_E(s) = N_Z \\
u_A(s) = [A_{q} \ \eta \ A_g]^T \quad \text{and} \quad u_E(s) = w_f \tag{21}
\end{align*}
\]

where \( \gamma \) is the flight path angle. Both \( \theta \) and \( \gamma \) are measured in degrees. \( V \) is the forward flight speed in ft/sec. The vehicle is equipped with a Reaction Control System (RCS) which draws bleed air from the engine’s compressor. The thrust for each RCS jet is controlled by its nozzle area, and \( A_g \) is the nozzle area (in \(^2\)) for the pitch RCS jet. The vehicle is also equipped with ejectors which redirect the engine thrust downward to provide for propulsive lift, and \( \eta \) is a valve angle (deg) which controls the amount of ejector thrust. The aft thrust is largely controlled by the aft nozzle throat area, \( A_g \) (in \(^2\)). Finally, the engine’s fan speed is largely regulated by the fuel flow rate, \( w_f \) (lbm/hr). With the state, control and response vectors defined, the state-space matrices for this model are approximately

\[
A = \begin{bmatrix}
-0.06 & 0.07 & -23 & -32 & 0.002 \\
-0.1 & -0.4 & 130 & -3.9 & -0.006 \\
-0.01 & 0.02 & -0.5 & -6e-8 & -2e-4 \\
0 & 0 & 1 & 0 & 0 \\
0.6 & 1 & 0 & 1e-3 & -4.7
\end{bmatrix}
\]

\[
B_A = \begin{bmatrix}
[1.3 & 1.2] \\
-549 & 39 & 21 & 1.2
\end{bmatrix}
\]

\[
C_A = \begin{bmatrix}
0 & 0 & 0 & 57.3 & 0 \\
0.07 & -0.4 & 0 & 57.3 & 0 \\
0.98 & 0.17 & 0 & 0 & 0
\end{bmatrix}
\]

\[
C_E = \begin{bmatrix}
0 & 0 & 0 & 0 & 1
\end{bmatrix}
\]

It was noted in [1] and [2] that the transfer functions in \( G_{AE}(s) \) and \( G_{BE}(s) \) are comparatively large in magnitude, and thus the airframe and engine are highly coupled. It can be shown that this system is nominally completely controllable and observable and does not possess any DFMs.

6. Feasibility of Decentralized Control for the Airframe/Engine Vehicle Model

In this section, the feasibility of decentralized control is investigated for the airframe/engine model presented in the last section. It is sought to determine if the relationships of either Eq. (11) or Eq. (12) hold for small, physically realistic variations in the A, B, \( \mathbb{A} \), and \( \mathbb{C} \) state-space matrices, thus producing a system with a DFM. Again, it is of most interest to construct a DFM at the location of the unstable eigenvalue. Hence, in the following discussion, \( \lambda = 1.3 \text{ rad/sec} \).

Variations in the state-space matrices cannot be physically unrealistic. Certain elements of the A and B matrices represent dimensional stability and control derivatives that can be complex functions of, for example, aerodynamic parameters. Small variations in these elements may be attributed to uncertainties in the physical parameters. However, some care must be taken in the variations of other elements in these matrices. For example, the fourth rows of the A and B matrices in Eq. (22) simply represent the relationship \( \theta = \dot{\theta} \) (\( \dot{q} \)). Hence, these rows cannot be varied. Also, \( A(1,4) = -g \cos(\theta_0) \), and \( A(2,4) = -g \sin(\theta_0) \), where g is the acceleration of gravity \( (=32.2 \text{ ft/sec}^2) \) and \( \theta_0 \) is the reference value of the pitch angle \( (=7^\circ) \). Thus, if \( A(1,4) \) is to be varied, \( A(2,4) \) must be varied accordingly. Further, note that any variations in the A-matrix alter the location of the eigenvalues, hence \( \lambda \) in \( (\lambda I - A) \) will change. Attention is now turned to the elements in the C matrix. Note that

\[
C_A(2,1) = ( Wo_f(U_0^2)(180/n)) \quad \text{and} \quad C_A(2,2) = -1(U_0)(180/n),
\]

\[
C_A(3,1) = U_0^2 + W_0^2 \quad \text{and} \quad C_A(3,2) = W_0^2 + W_0^2.
\]
where \( U_0 (=133 \text{ ft/sec}) \) and \( W_0 (=23 \text{ ft/sec}) \) are the reference values of \( u \) and \( w \). Therefore, variations in these elements are not independent and represent variations in \( U_0 \) and \( W_0 \).

Now, from Eq. (11) consider variations in \( C_E \) such that \( C_E = M_C(\lambda I - A) \). From Eq. (22), in order that the fan speed measurement not be a function of the airframe states \((u, w, q, \theta)\), \( M_C \) must lie in the left null-space of the first four columns of \((\lambda I - A)\). However, as argued in Section 4, since \( \lambda \) is an eigenvalue of \( A \), this choice of \( M_C \) must also lie in the null-space of the fifth column of \((\lambda I - A)\). Thus, in order that \( C_E = M_C(\lambda I - A) \), and that the first four elements of \( C_E \) be zero, then the fifth element of \( C_E \) must also be zero. Otherwise, if \( C_E = M_C(\lambda I - A) \), then the fan speed measurement must be a function of some or all of the airframe states, and it may be argued that this is an unrealistic variation.

From Eq. (12), small, physically realistic variations in the elements of the \( A \) matrix were considered in order that a matrix \( M_C \) exist such that \( M_C(\lambda I - A) \) approximate the nominal \( C_A \) "as close as possible." Details of these variations are given in [8], [11]. However, note from Eq. (22), that four of the elements in the first row of \( C_A \) are zero (the \( \theta \) measurement). Hence, from the above discussion, in order that a DFM exist, the measurement of pitch angle must be a function of some or all of the other states. For all variations considered, at least two or more elements of the first row of \( M_C(\lambda I - A) \) were always significant. Hence, the first airframe response for the perturbed models could no longer be considered a pitch angle measurement, and this may therefore be considered an unrealistic variation.

In summary, it would seem that stabilization of this airframe/engine model at this particular flight condition via a DCL is feasible. Other airframe/engine models may possess modes that are much closer to being DFMs. If so, the conditions of Eqs. (11) and (12) can be utilized to investigate variations from the nominal model that can bring about the existence of a DFM.

7. Summary and Directions for Future Research

It is necessary that all decentralized fixed modes of a system be stable in order for a stabilizing decentralized control law to exist. Conditions were presented for the existence of a decentralized fixed mode. It was determined that no decentralized fixed modes exist for the nominal airframe/engine system investigated. Using these conditions, variations from the nominal model can be constructed to bring about the existence of a decentralized fixed mode. The validity of such variations was assessed for the airframe/engine model. It was seen that physically realistic uncertainties in the model are unlikely to bring about the existence of a decentralized fixed mode. This was due to the fact that states of the system were individually measured as responses for feedback control. Decentralized fixed modes can only arise if the measured responses are functions of more than one state of the system. Therefore, decentralized control seems to be feasible (in terms of stabilizing the system) for the airframe/engine model studied. However, unstable decentralized fixed modes may exist for other airframe/engine systems, and means by which to investigate this issue were presented.

Finally, only the question of stabilizing the system was addressed here. Decentralized control laws may be limited in achieving acceptable closed-loop performance or performance robustness. This issue has been initially addressed in [3], and further research in this area is suggested.

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