Communications

Mechanical Deformation of Dendrites by Fluid Flow

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It is generally accepted that liquid agitation during alloy solidification assists in crystal multiplication, as in dendrite fragmentation and the detachment of side arms in the mushy region of a casting. Even without deliberate stirring by electromagnetic or mechanical means, there is often vigorous interdendritic fluid flow promoted by natural thermosolutal convection. Interdendritic fluid flow rates in metals might be as high as 10 mm s\(^{-1}\).[1] It is the purpose of this article to examine whether such fluid flow can cause mechanical deformation of dendrites, sufficient to cause side arms to bend or break. Metals are so ductile at their melting points that applied forces could only be expected to cause bending, as opposed to fracture, although there are no reports of which we are aware of dendritic arms being mechanically bent in this way. The following estimates demonstrate why even bending is not to be expected.

Figure 1 is an example of an ammonium chloride dendrite growing into an aqueous solution between two glass slides, under steady state conditions, with no fluid flow. In this 'alloy,' there is a very small solid fraction and wide primary spacing—this is a fairly extreme example and in a majority of 'real' cases the dendrite side arms would be shorter and thicker. As is usual, there is considerable ripening of side arms and most of them develop narrow necks at the roots where they attach to the primary dendrite stem. There is no significant side arm detachment unless the growth rate falls and/or the temperature is raised.[2,3]

In this analysis, we shall estimate the stress at the root of a secondary dendrite arm of aluminum arising from the action of a fluid flow of molten metal past the dendrite arm. The schematic geometry of the dendrite is shown in Figure 2. Both the root and main sections of the dendrite are assumed to be cylindrical with diameters \(d\), and \(d\) and lengths \(L\), respectively. In typical castings, the main diameter would vary from 10 to 25 \(\mu m\), while the root diameters might be between 5 and 10 \(\mu m\). With flow velocities up to 10\(^{-2}\) m s\(^{-1}\) and viscosity of 3.12 \(\times\) 10\(^{-3}\) kg m\(^{-1}\) s\(^{-1}\),[4] the upper bound for the Reynolds number would be

\[
\text{Re} = \frac{v \cdot d}{\eta} = \frac{10^{-2} \cdot 2.7 \times 10^{3} \cdot 25 \times 10^{-6}}{3.2 \times 10^{-3}} = 0.22
\]

so that it is reasonable to assume streamline flow and that there would be negligible turbulence on the downstream side of the dendrite arm. The shear stress acting along the tangential direction on the surface of the cylinder, \(\tau_{ij}\) due to drag and the hydrostatic pressure \(P_{st}\) would be[5]

\[
\tau_{ij} = \frac{3 \eta v}{2r} \sin (\theta) \\
P_{st} = \frac{3 \eta v}{2r} \cos (\theta)
\]

The force acting in the \(y\) direction (parallel to the flow) would be

\[
F_y = \tau_{ij} \sin (\theta) + P_{st} \cos (\theta)
\]

The total force, \(F_{xy}\), acting on the dendrite arm in the direction of fluid flow can be obtained by integrating \(F_{y}\), over the surface area, \(A\), of the dendrite, i.e.,

\[
F = 2 \int_{0}^{\pi} \int_{0}^{L} F_y \sin (\theta) \, d \theta \, dx
\]

since

\[
A = 2 \int_{0}^{\pi} \int_{0}^{L} r \, d \theta \, dx = 2 \pi r L
\]

Thus, approximately, the force per unit length, \(F\), would be

\[
F = 3 \pi \eta v
\]

which is independent of the radius and would be \(\sim 3 \times 10^{-4}\) N m\(^{-1}\) in the current example.

The skin stress in the dendrite arm, as a function of position along the dendrite arm, can be estimated from

\[
\sigma(x) = -\frac{M(x) \cdot r(x)}{I(\tau(x))}
\]

where \(M\) is the bending moment, \(I\) the moment of inertia of the section, and \(r\) the radius, all of which vary with distance from the end of the dendrite arm.

\[
M(x) = -\frac{F_x r^2}{2}
\]

\[
I(r(x)) = \frac{\pi (r(x))^4}{4}
\]

An analysis of Eq. [7] shows that the tensile stress increases parabolically with distance from the far end of the dendrite arm until the root is reached, with the maximum tensile stress being \(-0.01\) of the estimated yield strength of the material at the melting point (Appendix). At the root, the tensile stress jumps abruptly as the cross section decreases. The maximum tensile stress at the root of the dendrite arm is plotted in Figure 3, normalized with respect to the estimated yield strength of the metal for several root radii as a function of dendrite arm length.

It is apparent from Figure 3 that even with extreme dimensions (i.e., large ratio \(L/r\)), the stress in a dendrite arm will lie below that for plastic flow and, in general, that response to interdendritic fluid flow will only be elastic. Estimates of the bending deflection using the second moment area theorem give dendrite tip deflections, \(\delta\), of 0.01 \(\mu m < \delta < 0.5 \mu m\) for a root radius of 5 \(\mu m\) and 200 \(\mu m\) < \(L < 500 \mu m\); i.e., any elastic deflection of the dendrite...
Fig. 1—NH₄Cl dendrites growing from aqueous solution under steady state conditions on a gradient stage: growth rate 28 μm s⁻¹ and temperature gradient 6.5 K mm⁻¹. Minimum secondary arm necks are of diameters 5 to 10 μm.

Fig. 2—Geometry of dendrite arm, length L with root length L₁, acted upon by a fluid flowing with a velocity v.

arm will not, at least visually, be apparent. Thus, dendrite fragmentation by mechanical deformation is improbable and other factors cause detachment of side arms.

We note also that these calculations were made with a lower estimate for the elastic modulus and yield stress at the melting point and that an interdendritic flow rate of 10⁻¹ m s⁻¹ is probably an upper limit, so that the input has been weighted toward the possibility of plastic deformation.

Some brief comments on related matters are in order.

(1) Secondary Arm Necking: During cooling in the dendritic array, dendrites are ripening and coarsening, but they are also growing, i.e., the average solid fraction is increasing. Consequently, especially during the earlier stages of ripening, solute rejection occurs simultaneously, radially, from primary and secondary arms, with reinforcement of solute at the junctions or nodes. This locally high solute accumulation causes the initial necks to develop at the roots of side arms, where two curvatures develop with radii r₁ and r₂ of opposite sign (Figures 1 and 4). Therefore, the depression of the freezing point from curvature is actually very small:

\[
\Delta T_r = \frac{\sigma_{sl}}{\Delta S} \left( \frac{1}{r_1} - \frac{1}{r_2} \right) \rightarrow 0 \tag{10}
\]

where \(\sigma_{sl}\) is the solid liquid surface energy and \(\Delta S\) is the...
pared with values for the broader primary and secondary cylinders, $r_3$, $r_4$, etc., which are an order of magnitude smaller. If $r$ decreases further, the balance between them and the rest of the assembly obviously becomes very delicate and any slight perturbation causes almost instantaneous detachment. Mechanical deformation during the brief interval of separation would not be a significant factor affecting the rate at which this occurred.

(2) Melting—Side Arm Detachment: Entrainment of bulk liquid behind a dendritic growth front, whether promoted by forced stirring or convective recirculation, introduces warmer liquid into the mushy array, and, at first impression, this might be thought to accelerate side arm detachment by melting. However, it must be remembered that this liquid is always less concentrated (i.e., is solvent rich), so that as it is drawn or driven into the mushy region and cooled, solvent is deposited and growth accelerated, rather than the reverse. Inasmuch as this entrainment of the bulk liquid must involve growth, it does not seem to be a very probable cause of side arm detachment. What most clearly does promote the melting off of side arms, more effectively than anything else, is deceleration of the dendritic growth front. In the columnar region of a casting, the growth front is always decelerating, approximately parabolically, and the temperature gradient is falling. Under steady state conditions, a preferred stable primary spacing and tip curvature prevail (e.g., Reference 7), but with deceleration, although the tip curvature changes immediately with velocity ($r^2V \approx$ constant), the primary spacings adjust more slowly (e.g., References 2 and 8) and are always smaller at a given rate than the steady state, stable solution. Consequently, the conditions at the actual growth front are always incompatible with those behind the front, where growth took place more rapidly. This transient hysteresis effect continuously raises the local solute concentration (and temperature) within the array and thus promotes melting and detachment which are not evident under steady state conditions.

It may be concluded that interdendritic fluid flow does not contribute directly to crystal multiplication by causing dendrite fragmentation, but instead aids in the transport of those dendrite fragments which have already formed by another mechanism. This may seem to be a minor point from a practical standpoint, but it is surely important in understanding what is actually happening.

### APPENDIX

The yield strength at the melting point can be estimated following the method of Frost and Ashby:

$$\sigma_0(T) = 10^{-3} \cdot E(T)$$

$$E(T) = E_{100} \left(1 + B \cdot \frac{T - 300}{T_m}\right)$$

Where $E(T)$ is the elastic modulus at an absolute temperature $T$, $E_{100}$ is the modulus at 300 K, and $T_m$ is the absolute melting temperature. Taking $E_{100}$ as 67 GPA and $B$ as


\[ \sigma(T_w) = 6.5 \text{ MPa}. \]

Previous experiments to determine the creep/superplastic behavior of aluminum base alloys at temperatures up to 540 °C have shown that these stresses would correspond to a strain rate of \( \sim 10^{-3} \text{ s}^{-1} \). Consequently, we have reduced the anticipated yield stress by an order of magnitude to 0.6 MPa, where strain rates of \( \sim 5 \times 10^{-3} \text{ s}^{-1} \) would be expected and hence more in keeping with a concept of (normal) elastic behavior within the time scales experienced during solidification.

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### References


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Several articles have been published recently in which the high-temperature forming of metals has been analyzed in terms of the **dissipator content** \( G \) and the **dissipator co-content** \( J \). The interest elicited by this approach, which involves predicting the temperature and strain rate ranges over which optimum workability is expected to be attained, it seems opportune to re-examine the foundations of this analysis and to consider how it can be given a physical interpretation.

We begin by recalling the conventional definitions\(^9\) of \( G \) and \( J \):

\[ G(\dot{\varepsilon}) = \sum_{ij} \int_0^{\varepsilon_{ij}} \sigma_{ij} \; d\varepsilon_{ij} \quad \text{or} \quad G(\dot{\varepsilon}) = \int_0^\varepsilon \dot{\sigma} \cdot d\dot{\varepsilon} \quad [1] \]

\[ J(\sigma) = \sum_{ij} \int_0^{\varepsilon_{ij}} \dot{\varepsilon}_{ij} \; d\sigma_{ij} \quad \text{or} \quad J(\sigma) = \int_0^\sigma \dot{\varepsilon} \cdot d\sigma \quad [2] \]

In these equations, \( \dot{\varepsilon} \) and \( \sigma \) are the components of the strain rate and stress tensors, respectively, and \( \dot{\varepsilon} \) and \( \sigma \) denote the von Mises equivalent strain rate and stress.

These definitions were proposed (in a different notation) by Hill\(^9\) in 1956 as applicable to viscoplastic materials by analogy with the equivalent functions \( U(\varepsilon) \) and \( V(\sigma) \) employed for elastic materials.\(^8\) In the latter case, \( U(\varepsilon) \) represents the strain energy density, as given by

\[ U(\varepsilon) = \sum_{ij} \int_0^{\varepsilon_{ij}} \sigma_{ij} \; d\varepsilon \quad [3] \]

from which it follows that

\[ \sigma_{ij} = \frac{\partial U}{\partial \varepsilon_{ij}} \quad [4] \]

It is of particular interest that \( U(\varepsilon) \) coincides with the internal energy per unit volume stored in the material under load; this energy component is recoverable on unloading.

The so-called **complementary energy density** \( V(\sigma) \) does not have such a straightforward physical interpretation. It is derivable from \( U(\varepsilon) \) by means of the following Legendre dual transformation:

\[ V(\sigma) = \sum_{ij} \sigma_{ij} \varepsilon_{ij} - U \quad [5] \]

As

\[ V(\sigma) = \sum_{ij} \int_0^{\varepsilon_{ij}} d\sigma_{ij} \quad [6] \]

it is evident that

\[ \varepsilon_{ij} = \frac{\partial V}{\partial \sigma_{ij}} \quad [7] \]

Furthermore, in the case of linear elastic materials, \( U = V = \sum_{ij} \sigma_{ij} \varepsilon_{ij}/2 \).

Figures 1(a) and (b) illustrate elastic and viscoplastic cases, where the stress, strain, and strain rate tensors can be reduced to single components (e.g., as in uniaxial tension). In Figure 1(a), \( U \) and \( V \) are represented by the areas below and above the stress-strain curve, respectively. In a