Methods are provided for minimizing damage to blood in a blood pump wherein the blood pump comprises a plurality of pump components that may affect blood damage such as clearance between pump blades and housing, number of impeller blades, rounded or flat blade edges, variations in entrance angles of blades, impeller length, and the like. The process comprises selecting a plurality of pump components believed to affect blood damage such as those listed hereinbefore. Construction variations for each of the plurality of pump components are then selected. The pump components and variations are preferably listed in a matrix for easy visual comparison of test results. Blood is circulated through a pump configuration to test each variation of each pump component. After each test, total blood damage is determined for the blood pump. Preferably each pump component variation is tested at least three times to provide statistical results and check consistency of results. The least hemolytic variation for each pump component is preferably selected as an optimized component. If no statistical difference as to blood damage is produced for a variation of a pump component, then the variation that provides preferred hydrodynamic performance is selected. To compare the variation of pump components such as impeller and stator blade geometries, the preferred embodiment of the invention uses a stereolithography technique for realizing complex shapes within a short time period.

1 Claim, 9 Drawing Sheets
<table>
<thead>
<tr>
<th>Patent Number</th>
<th>Date</th>
<th>Inventor(s)</th>
<th>Classification</th>
<th>Number</th>
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<tr>
<td>5,205,721</td>
<td>4/1993</td>
<td>Isaacson</td>
<td>417/356</td>
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<td>5,209,650</td>
<td>5/1993</td>
<td>Lemieux</td>
<td>417/423.5</td>
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<td>5,211,546</td>
<td>5/1993</td>
<td>Isaacson et al.</td>
<td>417/356</td>
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<td>5,258,696</td>
<td>11/1993</td>
<td>Le</td>
<td>318/254</td>
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<td>5,290,227</td>
<td>3/1994</td>
<td>Pasque</td>
<td>600/16</td>
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<tr>
<td>5,321,342</td>
<td>6/1994</td>
<td>Kruse</td>
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<td>5,360,317</td>
<td>11/1994</td>
<td>Clausen et al.</td>
<td>415/900</td>
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<td>5,368,438</td>
<td>11/1994</td>
<td>Raible</td>
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<tr>
<td>5,370,509</td>
<td>12/1994</td>
<td>Golding et al.</td>
<td>415/900</td>
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<td>Parameter</td>
<td>Flow Straightener</td>
<td>Impeller</td>
<td>Diffuser</td>
<td></td>
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<tr>
<td>Flow (gpm)</td>
<td>90-90</td>
<td>90-90</td>
<td>90-90</td>
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<td>Pressure (psi)</td>
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<td>0.025</td>
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<td>Midpoint Range (inch)</td>
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<td>0.005</td>
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<td>Preferred Range (inch)</td>
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**FIG. 7**

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<tr>
<th>Parameter</th>
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<tr>
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<td>Midpoint Range (degrees)</td>
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<td>Preferred Range (degrees)</td>
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<table>
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<th>Diffuser</th>
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</thead>
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<tr>
<td>Preferred Range (degrees)</td>
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### AXIAL FLOW PUMP TEST MATRIX

<table>
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<tr>
<th>TEST VARIABLES</th>
<th>TEST NO.</th>
<th>RADIAL CLEARANCE (IMPELLER)</th>
<th>AXIAL CLEARANCE (IMPELLER)</th>
<th>IMPELLER LENGTH</th>
<th>NO. OF BLADES (IMPELLER)</th>
<th>NO. OF BLADES (STATOR)</th>
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<tr>
<td>NO. 1 BLADE TIPS (IMPELLER)</td>
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<tr>
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<tr>
<td>5</td>
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<td>0.075&quot;</td>
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<tr>
<td>6</td>
<td>SEE NOTE D</td>
<td>0.025&quot;</td>
<td>0.075&quot;</td>
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<td>6</td>
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</table>

**NOTES:**
- A: USE OPTIMUM BLADE TIP GEOMETRY FROM TESTS 1 AND 2
- B: USE OPTIMUM RADIAL CLEARANCE FROM TESTS 1 - 4
- C: USE OPTIMUM NUMBER OF IMPELLER BLADES FROM TESTS 1 - 8
- D: USE OPTIMUM NUMBER OF STATOR BLADES FROM TESTS 1 - 8
- E: USE OPTIMUM BLADE TIP GEOMETRY AGAINST 100 mmHg

**TEST CONDITIONS:**
- 5 LITERS/MINUTE DURATION
- 500 ml BOVINE BLOOD

**FIG. 8**
METHOD FOR REDUCING PUMPING DAMAGE TO BLOOD

This is a division of application Ser. No. 08/153,595, filed Nov. 10, 1993, now U.S. Pat. No. 5,527,159.

FIELD OF THE INVENTION

The invention described herein was made in the performance of work under a NASA contract and is subject to the provisions of Section 305 of the National Aeronautics and Space Act of 1958, Public Law 85-568 (72 Stat. 435; 42 U.S.C. 2457).

1. Technical Field

The present invention relates generally to rotary blood pumps. More specifically, the present invention relates to an axial flow ventricle assist blood pump.

2. Background of the Invention

Ventricular assist devices are frequently used to boost blood circulation to assist a heart which still functions but is not pumping sufficient blood for adequate circulation. Rotary pumps are often the preferred type of pump for use as a ventricle assist device compared to other types of pumps which may use pistons, rollers, diaphragms, or compliance chambers. This is partially because rotary pumps may be manufactured at a relatively low cost and are typically less complex than other types of pumps. Other types of blood pumps may cost up to $50,000 per unit and, due to financial limitations, are therefore not available for use upon the large population which could benefit from such pumps. Rotary blood pumps are increasingly used not only for ventricular assist applications, but also for cardiopulmonary bypass procedures and percutaneous cardiopulmonary support applications in emergency cases.

Clinical uses of rotary pumps are conventionally limited to a few days due to shortcomings of these pumps. A non-comprehensive list of such problems or shortcomings would include the following: (1) blood damage which may occur when blood comes into contact with rotor bearings, (2) the need for bearing purge systems which may require percutaneous (through the skin) saline solution pump systems, (3) bearing seizure resulting from the considerable thrust and torque loads, or from dried blood sticking on the bearing surfaces, (4) problems of blood damage (hemolysis) and blood clotting (thrombosis) caused by relative rotational movement of the components of the pump, (5) pump and component and shape limitations necessary for implantation or convenient mobility, (6) weight limitations for implantation to avoid tearing of implant grafts due to inertia of sudden movement, (7) difficulty in coordinating and optimizing the many pump design parameters which may affect hemolysis, (8) high power consumption that requires a larger power supply, (9) motor inefficiency caused by a large air gap between motor windings and drive magnets, (10) heat flow from the device to the body, (11) complex Hall Effect sensors/electronics for rotary control, (12) the substantial desire for minimizing percutaneous (through the skin) insertions, including support lines and tubes, and (13) large pump and related hose internal volume which may cause an initial shock when filled with saline solution while starting the pump.

Although a significant amount of effort has been applied to solving the problems associated with rotary pumps, there is still a great demand for a safe, reliable, and durable blood pump that may be used for longer term applications. The estimated need for a simple and long term ventricular assist device (VAD) is presently projected at between 50,000 and 100,000 patients per year in the United States alone.

The following patents describe attempts made to solve problems associated with rotary blood pumps, including ventricle assist devices.

U.S. Pat. No. 4,625,712 to R. K. Wampler discloses a full-flow cardiac assist device for cardiogenic shock patients which may be inserted into the heart through the femoral artery and driven via a flexible cable from an external power source. A catheter attached to the pump supplies the pump bearings with a blood-compatible purge fluid to prevent thrombus formation and the introduction of blood elements between rotating and stationary elements. Due to the very small diameter of the pump, rotational speeds on the order of 10,000 to 20,000 rpm are used to produce a blood flow of about four liters per minute.

U.S. Pat. No. 4,957,504 to W. M. Charduck discloses an implantable blood pump for providing either continuous or pulsatile blood flow to the heart. The pump includes a stator having a cylindrical opening, an annular array of electromagnets disposed in a circle about the stator concentric with the cylindrical opening, a bearing carried by the stator and extending across the cylindrical opening, and a rotor supported by the bearing. The rotor is in the form of an Archimedes screw and has a permanent magnet in its periphery which lies in the same plane as the circular array of electromagnets for being driven in stepper motor fashion.

U.S. Pat. No. 4,944,722 to J. W. Carriker discloses a percutaneously insertable intravascular axial flow blood pump with a rotor extension and drive cable fitting designed so that the thrust bearing surfaces of the purge seal and cable fitting can be preloaded.

U.S. Pat. No. 4,817,586 to R. K. Wampler discloses an intravascular flow blood pump having blood exit apertures in the cylindrical outside wall of the pump housing between the rotor blades and the rotor journal bearing.

U.S. Pat. No. 4,908,012 to Moise et al. discloses an implantable ventricular assist system having a high-speed axial flow blood pump. The pump includes it blood tube in which the pump rotor and stator are coaxially contained, and a motor stator surrounding the blood duct. A permanent magnet motor rotor is integral with the pump rotor. Purge fluid for the hydrodynamic bearings of the device and power for the motor are preferably percutaneously introduced from extra-corporeal sources worn by the patient.

U.S. Pat. No. 4,779,014 to J. C. Moise discloses an implantable axial flow blood pump which includes a magnetically suspended rotor of a relative small diameter disposed without bearings in a cylindrical blood conduit. Neodymium-boron-iron rotor magnets allow a substantial reduction in the number of components of the pump and a substantial increase in the number of components of the pump and a substantially small gap between the static motor armature and the rotor. Magnetically permeable strips in opposite ends of the pump stator blades transmit to Hall sensors the variations in an annular magnetic field surrounding the rotor and adjacent the ends of the pump stator blades.

U.S. Pat. No. 5,049,134 to Golding et al. discloses a seal free centrifugal impeller supported in a pump housing by fluid bearings through which a blood flow passageway is provided.

U.S. Pat. No. 4,382,199 to M. S. Bescond discloses a hydrodynamic bearing system for use with a left ventricle assist device. The bearings are formed by the fluid in the gap between the rotor and the stator.

U.S. Pat. No. 4,135,253 to Reich et al. discloses a centrifugal blood pump provided with a magnetic drive system which permits a synchronous magnetic coupling with a separate power unit disposed immediately adjacent the pump housing but outside of the skin surface. The pump has a single moving part which includes the combination of an impeller connected to a magnetic drive rotor. The magnetic drive system floats on a fluid surface of saline solution.

U.S. Pat. No. 4,507,048 to J. Belenger discloses a centrifugal blood pump with a bell-shape housing having a suction inlet at the apex and a tangential outlet adjacent the
implanted in each of a plurality of impeller blades to produce a pump with a magnetically suspended and magnetically rotated impeller. The impeller may be configured for axial flow with a hollow, cylindrical-type impeller with impeller vanes on the internal surface thereof. The impeller includes a plurality of internally embedded, permanent magnets that cooperate with electromagnets for drive and position control of the impeller.

U.S. Pat. No. 4,763,032 to Bramm et al. discloses a magnetic rotor bearing for suspending a rotor for an axial or radial-centrifugal blood pump in a contact-free manner, and comprising a permanent and electromagnetic arrangement.

U.S. Pat. No. 4,846,152 to Wampler et al. discloses a miniature high-speed intravascular blood pump with two rows of rotor blades and a single row of stator blades within a tubular housing. The first row of blades has no provision for a variable pitch, but produces a mixed centrifugal and axial flow by increasing hub diameter. The second row of blades is axially spaced from the first row, and produces a purely axial flow. The stator blades are reverse twisted to straighten and slow the blood flow.

U.S. Pat. No. 4,944,748 to Bramm et al. discloses an impeller in a blood pump supported by permanent magnets on the impeller and pump housing, which are stabilized by an electromagnet on the pump housing. The impeller is rotated magnetically, and stator coils in the housing are supplied with electric currents having a frequency and amplitude adjusted in relation to blood pressure at the pump inlet.

U.S. Pat. No. 4,994,078 to R. K. Jarvik discloses an electrically powered rotary hydrodynamic pump having motor windings and laminations disposed radially about an annular blood channel, and having a motor rotor disposed therein such that an annular blood channel passes through the gap between the motor rotor and the windings.

U.S. Pat. No. 5,055,005 to Kletschka discloses a fluid pump with an electromagnetically driven rotary impeller levitated by localized opposed fluid forces.

In spite of the effort evidenced by the above patents, there remains the need for an improved rotary pump for use as a ventricle assist device that is reliably, compact, requires limited percutaneous insertions, and produces fewer blood damage problems. Those skilled in the art will appreciate the features of the present invention which addresses these and other problems.

STATEMENT OF THE INVENTION

The present invention provides a rotary blood pump which includes a pump housing defining a blood flow path therethrough. A first stator having a first stator field winding is used to produce a first stator magnetic field. A first rotor is mounted within the pump housing for rotation in response to the first stator magnetic field. The first rotor carries a blade therewith to propel blood through the pump housing along the blood flow path. At least one magnet is secured to the first rotor and produces a first rotor magnetic field that passes through the first stator field winding during rotation of the first rotor to thereby induce a back emf within the first stator field winding. Back emf sensor circuitry connected to the first stator field winding senses back emf produced during the rotation of the first rotor within the pump housing. In one embodiment of the present invention, at least one magnet is implanted in each of a plurality of impeller blades to produce rotational movement of the rotor.

The rotary pump also includes, in a preferred embodiment, an inducer portion of the rotor having a plurality of inducer blades equidistantly disposed about a circumference of the rotor with each inducer blade having a variable pitch along its axial length. An interconnection blade portion connects at least one of the inducer blades to at least one impeller blade to form a continuous blade extending through said inducer and impeller portions of the rotor.

The rotary pump preferably includes a first rotor bearing for rotatably supporting the rotor within the pump housing. The rotor bearing includes a curved bearing surface fixed with respect to the pump housing. The fixed bearing surface receives a rotating member having a rotating curved bearing surface. The fixed bearing surface and the rotating curved bearing surface define a bearing chamber which is filled with bio-compatible material. An object of the present invention is to provide an improved rotary blood pump.

A further object is to provide an improved control circuit for controlling a rotor within the pump in response to a back emf produced in stator windings.

Another object is to provide an improved rotor bearing for which cross-linked blood forms a bearing surface and blood seal.

Yet another object of the present invention is to provide a method for optimizing pump parameters to reduce blood hemolysis.

A feature of the present invention is a reduced air gap between permanent magnets on the rotor and the stator winding.

Another feature of the present invention is an impeller having a variable pitch blade.

Another feature of the present invention is a back emf integrated circuit for controlling rotor operation.

An advantage of the present invention is improved rotor control.

Another advantage of the present invention is quantifiably reduced damage to blood.

Yet another advantage of the present invention is an elimination of the need for a bearing purge system requiring saline carrying tubes penetrating through the skin.

Other objects, features and intended advantages of the present invention will be readily apparent by the references to the following detailed description in connection with the accompanying drawings and claims.

BRIEF DESCRIPTION OF DRAWINGS

FIG. 1 is an elevational view, partially in section, of a rotary blood pump in accord with the present invention;

FIG. 2 is an end view of a stator showing stator laminations stacked to form a skewed stator;

FIG. 2A is an elevational view indicating the skewed path of stator field windings through the stator;

FIG. 3 is an elevational view, partially in section, of an alternative embodiment rotary blood pump having distinct impeller and inducer blades;

FIG. 4 is a cross-sectional view of a portion of an impeller showing non-radiused blade tips;

FIG. 5 is a block diagram of a control system including a back emf integrated circuit and a microprocessor;

FIG. 6A is an elevational view, partially in section, of a ball-socket rotor bearing having a bearing chamber filled with bio-compatible material;

FIG. 6B is an elevational view, partially in section, of a shaft journal rotor bearing having a bearing chamber filled with bio-compatible material;

FIG. 6C is an elevational view, partially in section, having a rotor bearing washed from increased blood flow caused by a bend in the pump housing;
parameters to rotor bearing for supporting the rotor in cantilevered fashion; minimizes hemolysis and thrombus (hemolysis is defined
watts of power to pump
maximizing pump efficiency;
preferred embodiment, a straight-sided cylinder. Pump
invention, further reductions are possible. For reference, a
Volume 17. number 7, page 609-613; (2) "Development of
forty-five relative to the pump housing.
The pump requires less than 10
length of the inducer; and
is a shown a rotary blood pump
housing
secured flow straightener
FIG.
1, and more particularly to
FIG. 1, there is shown a rotary blood pump 10 in accord
with the present invention. Blood pump 10 includes a
preferably metallic tubular pump housing 12 which is, in a
preferred embodiment, a straight-sided cylinder. Pump
housing 12 has a smooth inner bore wall 15 to minimize
thrombus formation. Pump housing 12 defines a blood flow
path 13 therethrough in the direction indicated by blood flow
arrows shown in FIG. 1.

Front and rear clamps 14 and 16, respectively, are used to
secure flow straightener 18 and diffuser 20 within pump
housing 12. Pump housing 12 is sufficiently thin-walled so
that the tightening of clamps 14 and 16 with clamp screws
22 locally deforms pump housing 12 about flow straightener
18 and diffuser 20 to affix these components in position. The
clamps provide a very convenient means of securing the
rotor assembly. Alternatively, other means for securing these
components could be used, such as spot welding, fasteners,
and interference fit.

Flow straightener 18 serves two basic functions: (1) it
straightens blood flow to reduce hemolysis while improving
pump efficiency, and (2) it provides a support structure for
front ball-socket bearing assembly 24, as discussed herein-
affter. By straightening the flow of blood as it initially flows
into the entrance 36 of pump 10, hydraulic efficiency is
increased. Straightening the blood flow also reduces turbu-
lence to increase the pump pressure. FIG. 7 lists optimal
values and permissible pump parameter ranges for the flow
straightener 18 and the other pump components.

Flow straightener 18 preferably has four fixed blades 26,
but could have only two blades. Too many blades impede
blood flow, while too few blades reduces pump efficiency.
For purposes of lowering thrombosis, the front edge 28 of
each blade 26 is sloped from inner housing wall 15 to flow
straightener hub 32 so that blood trauma by contact with
blades 26 is minimized. Also to reduce blood trauma, flow
straightener hub 32 is cylindrical with a round leading
surface 34. Surface 34 may also be hyperbolical or generally
bullet-shaped for this purpose. An alternative embodiment
flow straightener 18A is shown in FIG. 3, and does not have
the sloping front edge blades.

The preferred angle of attack of blades 26 is 90°, i.e., the
blades would intersect a plane transverse to cylindrical
housing 12 at an angle of 90°. This reference for the angle
of attack or pitch of the blades will be used throughout this
specification.

Flow straightener 18 is preferably metallic, but may also
be formed of plastic. If formed of plastic and secured in
place by clamp 14, it is necessary to reinforce flow straight-
ener 18 with, for instance, metallic supports to prevent
plastic creep deformation. The plastic creep deformation
phenomena might otherwise eventually cause flow straight-
ener 18 to come loose from clamp 14. Reinforcement is also
necessary with respect to other clamped plastic pump com-
ponents.

Diffuser 20 also has two basic purposes: (1) it
de-accelerates and redirects the outflow at blood flow path
exit 40 axially to boost pump performance, and (2) it serves
as a support structure for the rear rotor bearing 42. Diffuser
20 preferably has from 5 to 8 fixed blades 38, with 6 blades
being presently preferred. Blades 38 are fixably engaged
with pump housing 12 after rear clamp 16 is tightened by
screw 22.

To perform the function of de-acceleration and axial
redirection of blood flow, each diffuser blade 38 has an
entrance angle of from about 10° to 25° for slowing the
blood down, and an outlet angle of from about 80° to 90° for
redirecting blood flow in an axial direction. Presently pre-
ferred blade geometry is listed in FIG. 7 and includes an
entrance angle of 15° and an outlet angle of 90°. Tail cone
44 of diffuser 28 is hyperbolical or generally bullet-shaped
to reduce turbulence or wake of blood flow from pump 10
so as to minimize blood damage from such turbulence.
Somewhat surprisingly, it was found that increasing the
number of fixed blades tends to decrease hemolysis.

Rotor 46 is supported for rotary movement with pump
housing 12 by front and rear bearings 24 and 42, respec-
tively. Rotor 46 is divided into two portions along its axis
based on the type and function of the blades disposed thereon. Inducer portion 48 is disposed in the front part of
rotor 46, i.e., nearer to the pump inlet 36. Impeller portion
50 is disposed in the rear part of rotor 46 closer to pump
outlet 40. It has been found that including an inducer portion
in an axial flow pump, along with an impeller portion,
significantly reduces hemolysis.

FIG. 3 shows an alternative embodiment pump of the
present invention which provides for two distinct sets of
axially spaced blades which more clearly distinguish the
inducer portion of the rotor from the impeller portion of
the rotor. Corresponding components of pump 10 and 10a
are...
Given the same number, with the difference of an "a" suffix to distinguish the components for comparison purposes as necessary. A reference to one number is therefore a reference to its corresponding number in this specification, unless otherwise stated. Where components are substantially different between the two pump versions, completely new numbers are assigned. In pump 1, impeller 48a is separated from impeller portion 56 of rotor 48a by gap 49a, which is 0.10 inches. Inducer blade angle may be tapered (not shown) at forward end 56a so that blade 52a has a smaller radial length at forward end 56a, perhaps even blending into hub 73. However, using the method of the present invention, it has been found that a continuous blade pump has even more reduced levels of hemolysis than the non-continuous blade pump 10a. Thus, pump 10 shown in FIG. 3 is the presently preferred embodiment.

Inducer blades 52 on inducer 53 have a variable pitch along their axial length. FIG. 9 shows an inducer blade angle profile that plots angle of attack in degrees versus axial position on inducer 53 in inches. It was found that the inducer portion 48 reduced hemolysis by approximately 45% from a pump design without the inducer. Hydraulic efficiency was also increased as the rotation speed required to pump 51.5 litres of blood at 10,000 rpm was 12,600 rpm to 10,800 rpm. The inducer blades 52 pre-rotate the blood before it enters the main pumping or impeller 54 to reduce hemolysis.

Inducer blades 52 also achieve a pumping action that effectively produces a two-stage, increased efficiency pump. Optimum inducer blade geometry for minimal hemolysis and maximum pump efficiency is listed for specific parts of inducer blade 52 in the chart of FIG. 7. Thus, the entrance angle of leading end 56 of inducer blade 52 is preferably 10°, but has a preferred range from about 10° to 20°. The shallow entrance angle effectively engages the blood for movement pump operation. If the hub is smaller than permitted by the non-continuous blade pump, it has been discovered that by interconnecting inducer blades to reduce hemolysis.

Damage is more likely to occur when impeller blades overlap. The chart of FIG. 6 shows overlap blades is 120° for a preferred range of about 10° to 20°. The shallow overlap of inducer blade 52 continues to change along its axial length, and preferably is about 30° at midpoint 58 of the blade. The tailing end of inducer blade 52 preferably has an outer angle of 20°. This variable pitch is described in FIG. 9, which shows how pitch varies with the axial length of inducer 53. As well, it is desired that inducer blades have a wrap of preferably 240° around rotor 46. The presently preferred overlap of each blade over other blades is 120° or a 50% overlap. The chart of FIG. 7 provides a complete listing of relevant pumping parameter values, including preferred ranges of operation. Outside of these ranges, pump efficiency drops and/or blood damage is more likely to occur.

Pump 10 includes an interconnecting blade portion 62, which is not included in pump 10a. Although the two-stage pump 10a produces significantly reduced hemolysis and efficient pump operation compared to a single stage pump, it has been discovered that by interconnecting inducer blades 52 with impeller blades 54 with interconnecting blade portion 62, hemolysis may be reduced to even lower levels while maintaining efficient pump operation.

Impeller blades 54 on impeller 55 have an entrance angle in leading end region 64 of preferably 20°. This may be seen more clearly in FIG. 3, which has no interconnecting blade portion 64. The entrance angle extends to an optimum preferred outer angle of 90° at blade tailing end region 66. The optimum ranges of operation for the entrance and outlet angles is given in FIG. 7.

Impeller blades 54 include axially longer impeller blades, such as longer blade 68, and axially shorter impeller blades, such as shorter blade 70. The alternate long and short blade arrangement accommodates multiple rare earth magnetic poles for electric motor operation as discussed hereinafter, and still maintains adequate flow area through impeller 55. Presently, the preferred number of impeller blades is six, but a range from two to six blades provides permissible pump efficiency. If impeller 55 included six axially longer blades, such as longer blade 68, axial flow through impeller portion 50 is restricted to such an extent that the blades actually begin to block the flow they are intended to produce.

Using the method of this invention, it was unexpectedly discovered that hemolysis does not necessarily increase with the number of blades, as anticipated. The alternating long- and short-blade arrangement of the six bladed impeller of the present invention does not cause hemolysis any more significantly than a two-bladed impeller. In some cases, an impeller with four long blades may cause more hemolysis than either a two or six bladed impeller. It is possible that the degree of hemolysis depends more on the number of long blades rather than the total number of blades.

FIG. 4 shows a portion of impeller 55 in cross-section to illustrate the substantially flat, non-radiused blade tips 72. It has been unexpectedly found, using the method of this invention, that flat or substantially flat, non-radiused blade tips have substantially the same pump response, but do not produce significantly different hemolysis results from rounded or radiused blade tips. It was anticipated that flat blade tips would produce higher hemolysis. Because flat blade tips are less expensive to manufacture in conjunction with magnets to be used in the blades as discussed hereinafter, flat blade tips comprise the presently preferred embodiment. Further test results are discussed in greater detail in the previously noted articles incorporated herein by reference.

FIG. 4 also illustrates a preferred rotor hub 73 with outside diameter 74 compared to the overall outside diameter 76 of inducer 53 and/or impeller 55. The preferred ratio is 0.48, although a range of 0.45 to 0.55 permits excellent pump operation. If the hub is smaller than permitted by this range, blood becomes excessively swirled and may tend to recirculate within pump 10 in the wrong flow direction to possibly damage the blood as well as reduce pump efficiency. If the hub is too large so as to be outside of this range, the hub tends to block flow through the pump 10.

The radial clearance 78 between inducer 53 and/or impeller 55 with respect to the pump housing inner wall 15 is preferably in the range of about 0.003 inches to 0.015 inches. Using a test matrix as per the method of this invention, it was unexpectedly discovered that smaller radial clearances lowered hemolysis. It was expected that reduced clearances would produce greater blood damage due to higher shear stresses on the blood. The presently preferred radial clearance 78 is about 0.005 inches. Axial clearances between components, such as flow straightener 18, rotor 46, and diffuser 20, are shown in FIG. 7. Axial clearances should be within the ranges shown to improve pump efficiency and to reduce hemolysis.

In order to reduce the air gap between stator 80 and magnets 82, the magnets are preferably sealingly mounted within impeller blades 54. Reducing the air gap between the stator 80 and the magnets 82 increases motor efficiency, because magnetic flux is not as diffused as in motor designs with large air gaps. The preferred radial spacing or air gap between magnets 82 and stator 80 is from 0.01 inches to 0.025 inches. Magnets 82 are preferably rare earth magnets because of the higher magnetic flux produced by such magnets. Each magnet 82 is encapsulated in an individual pocket 84 to eliminate corrosion. Because magnets are individualized, motor torque and rotor weight can be easily adjusted in the manufacturing stage to provide motors that are tailored to the type of pump configuration necessary without producing excessive pump weight.

Field winding 88 generates a magnetic field to rotate rotor 46. Stator 80 is comprised of individual stator laminations...
to eliminate eddy currents that generate heat and reduce efficiency. Heat flow from pump 10 is directed both into
the blood flow and into the tissues surrounding pump 10. In this way, the blood is not heated in a manner that may
damage the blood and, as well, the surrounding tissues do not have to absorb all the heat. Heat conductive flow
paths using thermally conductive material, such as the metal of the stator or a thermally conductive gel, may be used to provide
approximately the desired ratio of heat flow to the tissues comprising lamination 86. For convenience, reference to corresponding com-
ponents will be made to one number, with the corresponding

As illustrated in FIG. 2, stator 80, comprised of individual laminations 86, is stacked in a presently preferred skewed
manner such that pathways 90 provide a motor winding pathway that is offset from the rotor axis 92. The skew
of laminations 86 may or may not correspond in some manner
with the offset angle or changing offset angle of the row of
magnets 82, and is not limited to the position shown in FIG.
2. A skewed stator 80 is also indicated in FIG. 2A, which
shows an offset path from axis 92 for the field windings 88
which travel through stator 80. The skewing angle or offset
from the rotor axis is used to optimize performance. The
skewing angle of stator 80 may be variable rather than fixed
along its length. While skewed stator 80 is the presently
preferred embodiment, other factors or combinations of
factors, e.g. small air gap, magnet orientation, etc., may be
used.

An axial force is produced on rotor 46 during rotation,
which can be varied by moving stator 80 axially along pump
housing 12. Stator 80 is axially adjustable for this purpose,
and could be fixed in position during manufacturing for
optimal performance given the number of magnets to be
used and given other factors discussed hereinafter. The
axial force thus produced can be used to offset the thrust created
during pumping to reduce the load on the front or rear
bearing assemblies 24 and 42, respectively. The axial position-
ing of stator 80 may also be used to optimize electrical
motor efficiency.

Referring to FIG. 5, a block diagram of the control system
100 of the present invention is shown. Note that control
system 100 may operate two motors 1 and 2, as shown
in FIG. 10. For some applications, either for implantation
or for external use, it may be desirable to have two pumps
connected either in parallel or in series. Thus, control system
100 can be easily configured for this purpose if desired. In
addition to being shown in the above block diagram 100,
which is the presently preferred embodiment, a Hall effect
sensor 88, or a brushless motor with a zero back-emf, may be
used. The six stator windings 88 have power applied to them
at any one time. By sequencing the voltage on and off to the
respective stator windings 88, a rotating magnetic field is
produced.

A preferred embodiment three-phase motor requires a
repetitive sequence of six states to generate a rotating
magnetic field. For instance, in an axially spaced pump configuration
of the present invention is shown. Note that
components may have an "a" suffix. Control system 100 operates
either manually or by micro-control as discussed
subsequently, and may be used for test purposes if desired.

Control system 100 applies current to stator windings 88.
Preferably stator 80 includes three stator windings 88. Stator
80 generates a rotating magnetic field which magnets 82 and
thus rotor 46 follow to produce motion. The motor stator
may be three phase "Y" or "Delta" wound. The operation of the
brushless D.C. motor of the present invention requires a
proper sequence of power to be applied to stator windings
88. Two stator windings 88 have power applied to them
at any one time. By sequencing the voltage on and off to the
respective stator windings 88, a rotating magnetic field is
produced.

Control system 100 detects back electromotive force or
back emf to control motor operation. Whenever a conductor,
such as field winding 88, is cut by moving magnetic lines of
force, such as generated by magnets 82, a voltage is
induced. The voltage will increase with rotor speed. It is
possible to sense this voltage in one of the three stator
windings 88 because only two of the motor's windings are
activated at any one time, to determine the rotor 46 position,
and to activate commutator switches 104. The circuitry is
much simpler and more reliable than Hall Effect sensors
which have been used in the past. Although a back emf
control is the presently preferred embodiment, a Hall effect
driven commutation scheme could also be used.

Back emf integrated circuit 106 provides sensors for
detecting the back emf from lines indicated at 107, and
operates commutation switches 104 accordingly. A presently
preferred back emf integrated circuit includes a ML4411
motor controller integrated circuit. Each commutation
switch F.E.T. is preferably turned all the way on or off for
maximum power efficiency.

Back emf integrated circuit 106 also provides a start up
mode operation of the motor, stopping the flow of back emf is not necessary or large
enough for detection. From zero to approximately 200
rpm's, motor 1 operates in stepper motor fashion as
described hereinbefore. Motor speed is controlled with a
difference amplifier 108, which may take its speed signal
from either micro-controller 102 or speed adjust pot 110 as
selected by switch 112. A speed detection signal is available
from the back emf integrated circuit 106 for this purpose.

Restart circuit 110 and micro-controller 102 monitor
voltage developed across sense resistor 111 (present prefer-
ence is about 0.1 ohms) and the frequency signal from back
emf integrated circuit 106 to determine whether motor 1
should be restarted, i.e., due to a sudden increase or decrease
in current or frequency. Switch 113 may be used to select
between use of restart circuit 110, micro-controller 102, or
a manual restart switch 114. Controller 102 may be pro-
grammed to produce an alarm signal if there are sudden
changes in power consumption or frequency, as may occur
if heart strength weakens or improves. To protect the elec-
tronics from electromagnetic interference (EMI), ferrite
beads 116 are used with wires to an external power supply.

The electronics are preferably packaged in a housing
118, which is formed of a high mu material to limit EMI.

Referring now to preferred bearing configuration
embodiments, FIG. 6A discloses a presently preferred ball-
socket bearing configuration. The bearings are comprised of bio-compatible material and, in the presently preferred embodiment, are comprised of ceramic material. The ball socket bearing 121 may be configured as shown in FIG. 1, or may be otherwise configured. Ball 120 is preferably secured by some securing means, such as glue or welding, along edge 128. Ball 120 could be molded into one component, or split and secured as is known in the art. Ball 120 has a spherical surface 122 that engages a mating seat spherical surface 124. A void or bearing chamber 126 is filled with a bio-compatible material to prevent blood from coming into this area and stagnating. In a preferred embodiment, bearing chamber 126 is left empty and allowed to fill with blood. The blood cross-links due to bearing heat and takes on a soft, pliable, plastic texture. The cross-linked blood may perform, to some extent, a bearing surface function. The cross-linked blood then prevents other blood from entering the bearing and stagnating.

While the ball-socket bearing is the preferred configuration, another configurations may be used. Shaft-journal bearing 130 provides shaft 132 secured to component 134, which may be rotor 46, for rotation with a second component 136, which may be diffuser 20. Journal sleeve 138 and bearing chamber 139 are engaging the cylindrical shaft bearing surface 142. A bio-compatible material in bearing chamber 144 is preferably cross-linked blood, as discussed hereinbefore, which has leaked into this chamber, been heated, and solidified. Another preferred embodiment of a shaft-journal bearing would include a shaft (not shown) extending through rotor 46 and engaging bearing sleeves on a flow straightener 18 and diffuser 20. As well, the flow straightener and/or diffuser, or relevant portions thereof, may be made from a material such as zirconia, with the bearing surfaces being formed or machined directly into that material. In a similar manner, the bearing surfaces of bearing 121,130, or other bearings could be machined into a bearing mount component.

To avoid the problem of blood stagnation in the region of the bearing, several bearing washing configurations, using directed blood flow or pump differential pressures, may also be used as part of the present invention. Referring to FIG. 3, there is shown a means for using a pump pressure differential for washing bearing 150 which includes shaft 152 and journal sleeve 154 engaging bearing sleeve 156 and diffuser 20. As well, the flow straightener and/or diffuser, or relevant portions thereof, may be made from a material such as zirconia, with the bearing surfaces being formed or machined directly into that material. In a similar manner, the bearing surfaces of bearing 121,130, or other bearings could be machined into a bearing mount component.

Another bearing embodiment (not shown) would include a pressure fed journal bearing to form a hydrodynamic film that supports the shaft and loads so that the bearing surfaces do not touch. Shaft 152 would be slightly undersize with respect to a journal sleeve for this purpose. A pressure fed flow passage may be directed through such a hydrodynamic journal bearing from a high pressure region 154 to a low pressure region 158.

FIG. 6C illustrates another bearing washing method which includes a producing bend 166 in pump housing 12. This bend places bearing 162 in a high velocity flow area. Blood flow through bend 166 washes the bearing members, which include a male portion 164 and mating member 166. Other configurations for this type arrangement may be used, but the general principle is as described. The flow straightener is removed in this embodiment, but may be included in another embodiment where it does not necessarily need to act as a bearing pass.

FIG. 6D and 6E illustrate yet another bearing arrangement embodiment. In this embodiment, a bearing shaft 170 extends through journal sleeve 172. Bearing shaft 170 has an oblong or substantially oval transverse section, as shown in FIG. 6E. This configuration produces flow paths 174 through journal sleeve 172 so as to flush the bearing and prevent blood stagnation. Shaft 170 could also be fluted so as to have spiraled slots, or other flow paths 174 formed along its periphery within sleeve 172.

FIG. 6F shows a bearing configuration wherein rotor 46 is cantilevered with respect to diffuser 20 using two bearings 180 and 182. Shaft 184 extends between the two bearings. Each bearing includes a rotating ball surface, 186 and 188, mating with a socket surface 190 and 192, respectively. Socket surfaces 190 and 192 are formed in ceramic material sleeves 194 and 196, respectively. The bearings are “zero tolerance” bearings. Thus, either the shaft 184 which runs through diffuser 20 or the diffuser 20 itself must provide a makeup mechanism that keeps each ball surface 186 and 188 slightly engaged with a respective socket surfaces 190 and 192. For this purpose, diffuser 20 could be made of compressible material. Alternatively, diffuser 20 could receive injection molding around sleeves 194 or 196.

As another alternative, either a passive, active, or a combination passive-active magnetic bearing suspension system (not shown) could be used to rotateably mount rotor 46. For this embodiment, the rotor 46 would be axially positioned and/or bearing surfaces would be suspended with respect to each other using magnetic force.

The method of the present invention is illustrated in FIG. 8 which shows a test matrix for optimizing the pump parameters which are believed to affect hemolysis. This method enables optimization of pump parameters as discussed hereinafter with a minimum number of tests. Although this matrix is designed for a bio-pump with an inducer or flow straightener, it is believed that the method of the present invention is clearly illustrated with this example, and may be used to improve most other blood pump designs with respect to hemolysis, thrombus, pump efficiency, cost, and other important factors. Using this approach, it is possible to thoroughly investigate many parameters in an organized, methodical approach to achieve highly desired goals, such as optimum pump performance and minimum hemolysis.

To apply this method, it was first necessary to identify the pump parameters that were believed to affect hemolysis. The variables are listed at the headings of the test matrix, and include blade tip shape, radial clearance, axial clearance, number of blades, and impeller length. To judge the impact of each variable on hemolysis, the method of the present invention requires that all pump dimensions be held constant while only one variable is changed. As shown in the matrix of FIG. 8, 16 different tests are used. Preferably, each test is made at least three different times to provide statistical results and check consistency.

The information learned in initial tests is used later in the matrix. For example, tests 1 and 2 compare the effects of flat and round blade tip geometries. The least hemolytic of the two is then used in all remaining tests. If there is no statistically significant difference, then the result that provides superior hydrodynamic performance is used. If there is no hydrodynamic difference, then the least expensive parameter to manufacture is used. Test conditions are constant for each parameter. All tests were made using bovine blood at a flow of 5 liters/min at 100 mm Hg. The duration of each test was 20 minutes. The pump circulates blood through a test loop having a 500 cc blood reservoir which was conveniently a 500 cc blood bag. The 500 cc blood bag is preferably changed after each version of each parameter is tested.

In order to compare various impeller and stator blade geometries within a reasonable time period, a stereolithography technique was used to quickly realize the complex shapes. This technique relies on a laser beam that scans the
surface of a liquid acrylic polymer. The polymer hardens under the influence of the laser, and layer by layer a solid shaped is formed from the liquid surface.

To compare hemolysis results, an index of hemolysis (IH) is used. This is defined as the amount of hemoglobin liberated in grams per 100 liters of blood pumped against 100 mm Hg. In equation form:

\[ IH = \Delta HB \times V \times (1 - Ht) \times \frac{100}{(\text{Flow} \times \text{Time})}, \]

where:
- \( IH \) equals amount of hemoglobin liberated in grams per 100 liters of blood pumped against 100 mm Hg;
- \( Ht \) is the hematocrit in decimal percent;
- \( V \) is the blood volume in liters;
- \( \Delta HB \) is the mount of hemoglobin liberated in a fixed time period in grams/liter;
- Flow is the flow rate in liters per minute; and
- Time is the total time in minutes at that flow rate.

The final results are seen in the matrix of FIG. 8. Other more detailed factors of testing in accord with the method of this invention are discussed more thoroughly in the articles which have been named previously and incorporated herein by reference.

As to manufacturing and usage considerations, pump 10 is preferably manufactured using materials designed to be buoyant inside the body to make the completed pump neutrally buoyant or approximately neutrally buoyant. This minimizes stress on stitches or other means used to position the pump within the body. Thus, the rotor, rotor blades, and/or other components may be made with a lightweight material having sufficient thickness to produce a buoyant effect.

Pump 10 has numerous uses as a blood pump, including use as a portable blood pumping unit for field service. Pump 10 may also be used for other clinical applications involving other fluids. It could, for instance, be used in a compact heart-lung machine. Due to the small volume and size of pump 10, it can be placed close to a patient to minimize shock caused when initiating blood pump operation using a saline solution. Larger pumps, with larger volume, may be awkward to move close by a patient to eliminate this shock.

Thus, the blood pump of the present invention, particularly when optimized using the method of the present invention, has many advantages over the prior art. For instance, there are no blood seals which require bearing purge systems. No Hall Effect sensors are required which may tend to limit motor control reliability due to their complexity. As well, pump 10 provides low power consumption and very low levels of hemolysis.

The foregoing disclosure and description of the invention is illustrative and explanatory thereof. It will be appreciated by those skilled in the art that various changes in the size, shape, materials, as well as in the details of the illustrated construction, may be made without departing from the spirit of the invention.

What is claimed is:

1. A method for optimizing each of a plurality of blood pump configuration parameters, comprising the following steps:
   - selecting a plurality of blood pump configuration parameters for determining a corresponding effect of each parameter on the damage level to blood;
   - determining at least one variation of each of said blood pump configuration parameters to be optimized;
   - selecting a first blood pump configuration parameter to be optimized;
   - circulating blood through a blood pump;
   - determining blood damage to said blood;
   - changing said first blood pump configuration parameter to said at least one variation of said blood pump configuration parameters while retaining all remaining blood pump configuration parameters treechanged;
   - circulating blood through said blood pump after said change to said first blood pump configuration parameter;
   - determining blood damage to said blood after said change;
   - selecting an optimal variation of said first blood pump configuration parameter which reduces said blood damage level to a minimum;
   - wherein said step of determining blood damage further comprises:
     - determining an index of hemolysis such that
       \[ IH = \Delta HB \times V \times (1 - Ht) \times \frac{100}{(\text{Flow} \times \text{Time})}, \]
     where:
     - \( IH \) equals amount of hemoglobin liberated in grams per 100 liters of blood pumped against 100 mm Hg;
     - \( Ht \) is the hematocrit in decimal percent;
     - \( V \) is the blood volume in liters;
     - \( \Delta HB \) is the amount of hemoglobin liberated in a fixed time period in grams/liter.
   - Flow is the flow rate in liters per minute; and
   - Time is the total time in minutes at that flow rate.