High-Altitude Aircraft and Balloon-Borne Observations
of OH, HO₂, ClO, BrO, NO₂, ClONO₂, ClOOCI, H₂O, and O₃
in Earth’s Stratosphere

Summary of Research
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Following is a discussion of developments in our understanding that have emerged from research done under the above-referenced funding.

Development #1: Determination of the Dominant Catalytic Cycles that Destroy Ozone in the Lower Stratosphere.

The addition of the newly developed Laser Induced Fluorescence (LIF) instrument to the ER-2 instrument array for the detection of OH and HO$_2$ at the sub-pptv level provided direct determination of each of the proposed rate limiting radicals in the catalytic destruction of ozone in conjunction with the existing observations of CIO, BrO, NO [Fahey et al., 1993] and NO$_2$ [Fahey et al., 1989, and Webster et al., 1994a,b]. These observations demonstrated in a series of some fifty flights extending from the arctic to the antarctic vortex that, rather than a catalytic destruction of ozone controlled by nitrogen radicals, the loss of ozone was dominated by hydrogen and halogen loss in the lower stratosphere. Only about 20% of the catalytic destruction rate of ozone results from NO$_2$ in the lower stratosphere. This is summarized in Figure 1 from Wennberg et al. [1994].

The implications of this inversion in the hierarchy of catalytic cycles is critical for the interpretation of the response of the stratosphere to a wide variety of changes, ranging from the injection of volcanic debris, to increasing chorine and bromine loading, to the use of subsonic and supersonic aircraft. Most notably, in a lower stratosphere in which the dominant loss of ozone is dictated by the rate limiting step:

\[
\text{NO}_2 + \text{O}^3\text{P} \rightarrow \text{NO} + \text{O}_2
\]

the addition of halogens would be of minor quantitative importance to the ozone distribution whereas the addition of combustion products, specifically NO$_x$, from a fleet of aircraft flying in the stratosphere (subsonic or supersonic) would lead to a decrease in
the concentration of lower stratospheric ozone. With the proper partitioning of loss rates
among the catalytic cycles defined by direct observation of HOx, ClOx, BrOx, and NOx,
two critical facts emerge.

First, increases in the concentration of any one of the rate limiting radicals would
contribute to the total removal rate of ozone by a factor diluted by an amount
commensurate with the fractional contribution of the corresponding catalytic cycle to the
total. Second, and more important, the coupling reactions that link the rate limiting
radicals chemically, serve to invert the sign of the response of ozone loss rates to the
ensemble of chemical reactions. Both of these features are represented in Figure 1.
Specifically, for a fixed NOx loading, the fractional removal of ozone by each of the
families is represented by the vertical segment subsumed by each—the halogens, HOx and
NOx. The response of ozone loss rates to changes in NOx loading is given by the slope
of the sum of the cycles at a given NOx loading. Since the dominant rate limiting radicals
react with members of other catalytically active chemical families (for example, ClO +
NO2 → ClONO2, OH + NO2 → HONO2, and HO2 + NO → OH + NO2) the sign of the
ozone loss rate resulting from the aggregate of the catalytic cycles inverts with respect to
increasing NOx. This is a development of central importance to the design of the next
generation of commercial aircraft and to the public policy debate associated therewith.

Development #2: The Partial Derivatives of the Rate Limiting Steps are Observables in
the Lower Stratosphere.

Clarification of the fact that the response of the ozone concentration in the lower
stratosphere to a specific change in concentration (whether it be NOx loading, aerosol
surface area, chlorine, bromine, water vapor, etc.) depends directly on these partial
derivatives, not only showed how specific hypotheses could be directly tested by in situ
observations, it also suggested a research strategy. This is shown explicitly in Figure 2
from Stimpfle et al. [1994], which displays the partial derivative of the chlorine rate
limiting radical ClO as a function of the NO2 concentration, all other variables held
constant. The relationship of these direct observations to Figure 1 is also indicated.
Figure 2. Schematic illustration of the ozone loss rate as a function of the NOx concentration. Because of the coupling that exists between the radical families, the response of the total ozone loss rate to changes in NOx is nonlinear. For example, at the low NOx concentrations, the ozone loss rate was found to be inversely correlated with NOx. Also shown are actual data obtained by the NASA ER-2 in the stratosphere, which demonstrates that the specific slope of ozone loss is directly observable.

Development #3: Recognition that the "Low NOx" Condition is the Regime That Holds the Greatest Potential for Misjudgment of Ozone Loss Rates.

Inspection of Figure 2 reveals the extremely nonlinear nature of the dependence of ClO concentration on NOx loading. This is most acute at low NOx, where ClO increases extremely rapidly for very small incremental decreases in NO2. This titration behavior is of course reminiscent of the conditions leading to the dramatic loss of ozone over the Antarctic, a condition triggered by low temperatures. However, the observations in Figure 2 were obtained at mid latitudes where the large dynamic range in NO2 was driven by changes in aerosol reactive surface area as the Mt. Pinatubo debris faded from the lower stratosphere through the course of the last four years. This underscores the highly coupled nature of the system and the many mechanisms that can trigger the removal of NO and NO2 from the lower stratosphere—increased water vapor, increased aerosol surface area, decreased temperature, etc. This is a particularly important point for assessment models that use mean field temperatures to deduce column ozone loss. The sensitivity of ozone loss to low NOx conditions means that any averaging of temperatures will strongly suppress those conditions for which the maximum opportunity for misjudgment resides. It is perhaps a demonic character of the heterogeneous processes in the lower stratosphere that invariably the products of those highly temperature dependent processes leave (1) the
nitrogen compounds entombed in the solid or liquid phase and (2) the easily photolyzed halogen compounds free in the gas phase.

**Development #4: Mapping of the Bromine Radical Contribution to the Ozone Destruction Rate in the Lower Stratosphere.**

Observations of the BrO concentration from the ER-2 during 1994–96 extended these measurements from the edge of the arctic vortex to the edge of the antarctic vortex with simultaneous observations of ClO and HO2. This provided the key data to map the halogen rate limiting steps in the lower stratosphere as a function of latitude. Those results are shown in Figure 3.

The outstanding feature of those data is that they demonstrate that bromine is involved in approximately 60% of the total halogen catalytic loss rate of ozone in the lower stratosphere. This conclusion carries important implications. It implies, in combination with the “low NOx” result, that the predicted recovery of ozone in the next century is not simply related to secular trends in chlorine but rather to the sum of chlorine and bromine, the reactive surface area of aerosols, the partial pressure of water vapor, the nitrate loading, etc. These observations, in conjunction with knowledge of the organic and inorganic bromine reservoirs, defines the relative per-atom efficiency for ozone destruction by bromine with respect to chlorine. Specifically, we show below that bromine is 60–80 times as effective for catalytic destruction per atom.

**ASHOE/MAESA**

![Graph showing the meridional cross section of the individual rate limiting steps in the halogen system determined from direct observation in the lower stratosphere by ER-2 flights during 1994–96.](image)

**Figure 3.** The meridional cross section of the individual rate limiting steps in the halogen system determined from direct observation in the lower stratosphere by ER-2 flights during 1994–96.

**Development #5: Observation of OH, HO2 and ClO in the Plume of the Concorde SST in the Stratosphere.**

The planned intersection of the ER-2 aircraft with the Air France Concorde over Christchurch, New Zealand, provided high resolution observations of the hydrogen radicals OH and HO2 under operating conditions for that aircraft in the stratosphere. The observations showed that the oxidation of sulfur in these plumes cannot result from the
gas phase reaction of SO$_2$ with OH; the observed levels of OH can only be responsible for oxidizing a small fraction (2%) of the SO$_2$. This is important because the observation of a large number of particles in the aircraft wake [Fahey et al., 1995a,b] require an understanding of the mechanism for particle formation. The existence of such a large number of particles is critical because these aircraft may serve as a means for increasing the aerosol reactive surface area, thereby decreasing the NO$_x$ loading of the stratosphere.

Figure 4 [Hanisco et al., 1997] traces the OH and HO$_2$ concentrations in three sequential plume crossings and a crossing of the ER-2 wake for comparison.

![Figure 4](image)

**Figure 4.** OH (solid line) and HO$_2$ (broken line) mixing ratios measured during three crossings of the Concorde exhaust plume (a–c) and the single encounter with the ER-2 plume (d). The gaps in the data occur during background calibration of the OH fluorescence signal and (in panel c) during a calibration of the chemical titration of HO$_2$ [Wennberg et al., 1994b]. The plume ages are (a) 16, (b) 60, and (c) 66 minutes for the Concorde exhaust and (d) 10 minutes for the ER-2 exhaust. Meteorological conditions are: altitude 16.2 km, temperature 222 K, and pressure 100 mb. The Concorde plumes correspond to encounters 3, 9, and 11 observed by the NO$_y$ instrument [Fahey et al., 1995a].

These time-resolved observations were used in a photochemical model that included HONO as the short term reservoir for HO$_x$ and a net loss rate for HO$_x$ driven by HONO$_2$ formation. The reaction mechanism is summarized in Table 1 and the comparison between observed and calculated OH is shown in Figure 5. Based on the ratio of [HONO]$_0$/[NO$_y$]$_0$, we estimate the emission index of HO$_x$ to be 0.35±0.17 g OH/kg fuel for the ER-2 encounter with the Concorde operating in the stratosphere at Mach 2. Measurements of a number of volatile particles, thought to be composed of largely of sulfuric acid, implying that at least 12–45% of fuel sulfur is oxidized to H$_2$SO$_4$ [Fahey et al., 1995a]. These measurements of HO$_x$ suggest that only about 2% of SO$_2$ is oxidized by OH via gas phase reactions in the plume.
Table 1. Calculated production and loss rates of OH for encounter #3 (Fig. 4a). Only the most important rates are shown. Rates are in $10^3$ molecules/cm$^3$s. Values in parentheses are the percent contribution to the total rate.

<table>
<thead>
<tr>
<th></th>
<th>Background Rate</th>
<th>Plume Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>OH Production</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>HNO$_3$ + $h\nu$</td>
<td>3.5</td>
<td>(26) 4.6</td>
</tr>
<tr>
<td>H$_2$CO + $h\nu$</td>
<td>3.5</td>
<td>(26) 9.9</td>
</tr>
<tr>
<td>O($^1D$) + H$_2$O</td>
<td>2.9</td>
<td>(22) 3.3</td>
</tr>
<tr>
<td>HONO + $h\nu$</td>
<td>1.3</td>
<td>(10)</td>
</tr>
<tr>
<td>J$_{HONO}[$HONO$]$</td>
<td></td>
<td>(95)</td>
</tr>
<tr>
<td>HNO$_4$ + $h\nu$</td>
<td>1.1</td>
<td>(8) 9.6</td>
</tr>
<tr>
<td>total</td>
<td>14.0</td>
<td>1500</td>
</tr>
<tr>
<td><strong>OH Loss</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>OH + HO$_2$</td>
<td>3.2</td>
<td>(21) 5</td>
</tr>
<tr>
<td>OH + NO$_2$</td>
<td>2.9</td>
<td>(19) 852</td>
</tr>
<tr>
<td>OH + HNO$_3$</td>
<td>2.8</td>
<td>(19) 14</td>
</tr>
<tr>
<td>HO$_2$ + NO$_2$</td>
<td>2.2</td>
<td>(14) 39</td>
</tr>
<tr>
<td>OH + NO</td>
<td>1.3</td>
<td>(9) 512</td>
</tr>
<tr>
<td>OH + HNO$_4$</td>
<td>1.2</td>
<td>(8) 32</td>
</tr>
<tr>
<td>total</td>
<td>15.0</td>
<td>1500</td>
</tr>
</tbody>
</table>

[H$_2$O], [OH], and [NO] are measured. [NO$_2$] is the mean of the measured and calculated steady-state concentrations. [HNO$_3$] is inferred from the NO$_y$ measurement. [H$_2$CO], [HNO$_4$], [O($^1D$)], and background [HONO] are calculated from steady-state relations. [HONO] in the plume is calculated as described in Hanisco et al. [1997].
Figure 5. (a) Time evolution of OH produced from HONO photolysis in the Concorde plume. The five measurements (circles) are scaled to [NO$_3$] in each encounter. The error bars are the 2-$\sigma$ uncertainties of the measurements. The open circle represents encounter #3. The solid line is the result of the integrating model and the dashed line is the result of Eq. 4, each using [HONO]$_o$/[HO$_y$]$_o$ = 0.045. The dotted line corresponds to the 0.3 ppt background level of OH. Oxidation of (b) NO$_2$ → HNO$_3$ and (c) SO$_2$ → SO$_3$ in the plume is shown versus plume age. The oxidation ratio is the ratio of emitted NO$_x$ or SO$_2$ that is oxidized by emitted OH to the total NO$_x$ or SO$_2$ emitted from the engine. The shaded region includes the 1-$\sigma$ uncertainty of the calculation. The dashed line in (c) represents the oxidation ratio of SO$_2$ assuming all HO$_x$ is emitted as OH, the solid line represents the ratio if OH is converted to HONO prior to emission.

These plume crossings represent clear progress in the following areas:

- The practical nature of successfully vectoring the ER-2 into confluence with the wake of an aircraft operating at much higher velocity in the stratosphere has been established. So has the ability to cross the wake multiple times.
- The ability to cross the wake at defined time intervals provides the wherewithal to carry out accurate kinetics within the aircraft wake.
- Normalization to CO$_2$ concentrations and H$_2$O concentrations within the wake allows accurate determination of the emission indexes of specific species.
- The high sensitivity and high time response of the HO$_x$ instrument provides unequivocal analysis of the hydrogen radical chemistry in the plume of a supersonic aircraft operating in the stratosphere.
- The observed concentrations of HO$_x$, NO$_x$, NO$_y$, etc., in large measure mimic the results obtained from ground level tests of those engines.

The very large number of particles emitted by the engines, in conjunction with our understanding of the link between aerosol reactive surface and radical partitioning, profoundly changes our view of the relative roles played by the effluents of high altitude aircraft. Specifically, since NO$_x$ is the primary *buffering* agent in the lower stratosphere rather than the primary *catalytic* agent and the lower stratosphere is extremely sensitive to
aerosol loading, the direct impact of NO\textsubscript{x} emission is moderated but the concern over changes induced by heterogeneous processes is amplified.

**Development #6: Determination of the Diurnal Behavior of OH in the Lower Stratosphere.**

A remarkable and largely unexpected result that emerged from the extensive ASHOE flights was the simplicity of the relationship between the OH concentration and the solar zenith angle. Results of flights extending from 60°N to 60°S are captured in Figure 6.

![Figure 6](image)

Figure 6. Correlation of [OH] concentration and solar zenith angle from 60°N to 60°S in the lower stratosphere.

What is remarkable is the tight correlation shown in Figure 6 for flights encompassing a large dynamic range in the concentrations of O\textsubscript{3}, NO, temperature, and tropopause height. Consideration of these results revealed that the tight correlation results from the fact that HO\textsubscript{x} is both produced and destroyed through the OH radical and that the intrinsic correlation between O\textsubscript{3} and NO\textsubscript{y} in the lower stratosphere links changes in the source and sink terms of OH such that as the rate of production increases so does the rate of destruction. This stability in the relationship between OH and solar zenith angle provides an important simplification in the diagnosis of the mechanisms that govern catalytic loss in the lower stratosphere. From Development #1 we have established the fact that over 50% of the catalytic destruction rate of ozone is dictated by the single rate limiting step:

\[
\text{HO}_2 + \text{O}_3 \rightarrow \text{OH} + \text{O}_2 + \text{O}_2 \tag{1}
\]

Thus we can write the HO\textsubscript{x} contribution to the catalytic loss of ozone as

\[
-d[\text{O}_3]/dt = 2k_1[\text{O}_3][\text{HO}_2]
\]

But the exchange of OH and HO\textsubscript{2} in this region of the atmosphere is hypothesized to be controlled by three reactions:
Since the OH concentration is invariant (to first order) we can rewrite the rate limiting step for ozone destruction as

$$-d[O_3]/dt = 2k_3[O_3][OH]\left(\frac{k_1[O_3]}{k_2[NO]+k_1[O_3]}\right).$$

But since the reaction between HO$_2$ and NO dominates the path through O$_3$, this relationship predicts that HO$_2$ concentrations will (to first order) exhibit a simple inverse relationship to the NO concentration.

Direct observations from ASHOE/MAESA confirm this, as shown in Figure 7. Holding all variables constant, except [NO], the HO$_2$ concentration drops linearly with increasing [NO]. The product of the reaction rate constant and the concentrations of NO and HO$_2$ as a function of [NO] demonstrate the functional dependence.

Figure 7. The partial derivative of [HO$_2$] with respect to [NO] demonstrates the inverse relationship between the rate limiting hydrogen radical and NO$_x$ loading in the lower stratosphere.
Development #7: Observations of OH and HO$_2$ in the Troposphere.

One of the key issues linking atmospheric chemistry, radiation, dynamics and climate is the question of (1) secular trends in upper stratospheric ozone concentrations and (2) the mechanisms that are responsible for the production and removal of ozone in the troposphere and lower stratosphere. The focus on ozone stems both from the fact that ozone is an important greenhouse gas in the upper troposphere (where it is believed to be increasing) and because it is a key agent in the temperature control of the tropopause and lower stratosphere (where it is believed to be decreasing). From a mechanistic point of view, the downward transition from the stratosphere to the troposphere represents, it is hypothesized, the transition from a region characterized by the HO$_x$ catalyzed destruction of ozone to a region characterized by the HO$_x$ catalyzed production of ozone. As the transition occurs between the stratosphere and the troposphere, the dramatic decrease in ozone concentration and the increase in carbon monoxide concentration leads to a condition in which the exchange between OH and HO$_2$ is controlled by CO and NO:

\[
\begin{align*}
CO + OH & \rightarrow H + CO_2 \\
H + O_2 + M & \rightarrow HO_2 + M \\
HO_2 + NO & \rightarrow OH + NO_2 \\
NO_2 + h\nu & \rightarrow NO + O \\
O + O_2 + M & \rightarrow O_3 + M \\
CO + 2O_2 & \rightarrow CO_2 + O_3
\end{align*}
\]

such that the production rate of ozone is given by

\[\frac{d[O_3]}{dt} = k[CO][OH] = k[NO][HO_2]\]

This underscores the central role that hydrogen radicals play in the photochemical source strength of ozone.

Following the development and test of the LIF ER-2 instrument for detection of OH and HO$_2$ concentrations, tests were carried out to determine the threshold for HO$_x$ detection in the troposphere and the absence of photochemical interference from species formed in the laser pulse (e.g., the formation of O(1D) from ozone photolysis followed by reaction with H$_2$O). "Stairstep" flights and nighttime flights deep into the troposphere were performed. It was demonstrated that the detection threshold for the HO$_x$ instrument in the mid-troposphere is 10 parts per quadrillion (10 parts in $10^{15}$), well below expected daytime concentrations. Nighttime flights revealed that the contribution from laser induced formation of OH was well below the detection threshold of 5 parts per quadrillion.

Tropospheric observations of OH and HO$_2$ were acquired during both the ASHOE/MAESA series and the STRAT flights. An example of 4 Hz data obtained during STRAT in the troposphere at 200 mb is shown in Figure 8. The square-wave modulated signal is displayed in its raw, unfiltered form showing the computer controlled "chop" mode in which the laser is stepped on and off the $Q_1(2)$ rovibronic transition of OH at 282 nm.
Figure 8. Data obtained during the STRAT mission of OH in the troposphere. The square-wave modulated signal results from the instrument "chop" mode in which the laser is tuned on and off the rovibronic transition at 282 nm.

The concentration of HO$_2$ is determined by the ER-2 HO$_x$ experiment using the rapid bimolecular reaction

$$\text{HO}_2 + \text{NO} \rightarrow \text{OH} + \text{NO}_2$$

to convert HO$_2$ to OH. The OH is then detected by LIF. This not only yields HO$_2$ detection limits comparable to those for OH, it also provides extremely accurate measurements of the ratio of HO$_2$ to OH in the troposphere and lower stratosphere. This ability to determine the OH to HO$_2$ ratio is a powerful diagnostic tool, as we have demonstrated in the stratosphere (see, for example, Cohen et al., [1994]). When applied to the tropopause and troposphere, it is equally effective. For example, Figure 9 displays the sharp gradient in the HO$_2$/OH ratio at the tropopause observed by the ER-2 during the ASHOE/MAESA mission. This very large dynamic range over a very limited altitude interval is a powerful technique for testing hypotheses—the establishment of cause and effect within the reaction network as was done in the Antarctic using the gradient across the vortex edge.
The ability to detect OH and HO$_2$ into the lower troposphere provides the opportunity to test the hypothesis that the primary source for the hydroxyl radical in the troposphere is the reaction of O($^1D$) plus water. Figure 10 displays the vertical distribution of OH from an altitude of 20 km to an altitude of 6 km. The data points are shown as circles and are compared with the solid line that is the OH concentration calculated using simultaneous observations of O$_3$, H$_2$O and UV flux, assuming that OH is produced by the reaction:

$$\text{H}_2\text{O} + \text{O}(^1D) \rightarrow \text{OH} + \text{OH}$$

It can be seen that between the altitudes of 6–9 km and between 16–20 km, the O($^1D$) source adequately explains the observed hydroxyl radical distribution. However, between 9–16 km there is clearly a major discrepancy. The singlet $D$ source fails to explain the OH (and HO$_2$) concentrations by nearly a factor of three, and in some profiles the discrepancy is even larger.
Figure 10. The vertical profile of observed OH in the troposphere superposed on the hydroxyl concentration calculated using O\((^1D) + \text{H}_2\text{O}\) as the source for HO\(_x\).

The observed departure of OH from the O\((^1D)\) "baseline" is highly variable but is tied to the presence of organic tracers. This has led to the analysis of potential source molecules. Singh et al. [1995, 1996] have reported tropospheric observations of acetone in the range 200–400 pptv that are correlated with CO. The photolysis of acetone is a HO\(_x\) source [Jaeglé et al., 1997] that will, using the CO-acetone regression of Singh et al. in combination with the CO observations of Herman et al. [1999] obtained simultaneously with the OH observations, yield a HO\(_x\) production rate approaching \(10^4\) molecules/cc-sec through the mid to upper troposphere. The acetone photolytic source is shown as a function of altitude in Figure 11; the comparison with the singlet D source is also shown. These results demonstrate that the source of hydrogen radicals in the troposphere is not as simple as has been assumed prior to the direct observation of OH and HO\(_2\) in the troposphere. There is strong evidence that the source is linked to the presence of organic molecules. There is less direct but plausible evidence that the identity of the source molecule is acetone.
Figure 11. Comparison of the altitude dependence of the HO$_x$ source strength from singlet D and from the photodecomposition of acetone.

The demonstration of amplified but variable source terms for HO$_x$ in the troposphere carries important implications for ozone in the troposphere. The enhanced concentrations of OH defined in Figure 11 elevate the photochemical source strength for ozone by an amount approaching 1 ppb/day. This is a critical result, both for the analysis of the mechanism for ozone production in the troposphere and for the analysis of transport processes in this region of the atmosphere.

**Development #8: Analysis of the Catalytic Production of Ozone; Reactions that Couple OH and HO$_2$ in the Troposphere.**

Stairstep flights executed by the ER-2 in the troposphere and lower stratosphere provide the opportunity, in conjunction with simultaneous observations of OH, HO$_2$, CO, O$_3$, and NO, of testing proposed reactions linking the dominant hydrogen radicals and in so doing determining the rate of catalytic ozone production. In the upper troposphere neither the production nor the removal of HO$_x$ is controlled by reactive nitrogen species and the loss rate is determined by both the OH and HO$_2$ concentrations because the major removal process for HO$_x$ is the bimolecular reaction

$$\text{OH} + \text{HO}_2 \rightarrow \text{H}_2\text{O} + \text{O}_2$$

and by

$$\text{HO}_2 + \text{HO}_2 \rightarrow \text{H}_2\text{O}_2 + \text{O}_2.$$ 

Given the simultaneous observation of the species listed above, we can track the transition from ozone-nitric oxide control of the OH to HO$_2$ ratio to carbon monoxide-nitric oxide control in the downward transition from the stratosphere to the troposphere. In Figure 12 we present observations of the fractional contribution of the HO$_2$ + NO path to OH and of the fractional contribution of the OH + CO path from OH to HO$_2$ for a stairstep flight from Hawaii during the STRAT mission.
Figure 12. Determination of the dominant exchange reactions linking the OH and HO$_2$ radicals in the troposphere and lower stratosphere.

Analysis of the dependence of the OH concentration on NO concentration in the troposphere is displayed in Figure 13. This is again a partial derivative in that all variables are held constant within a tolerance that balances precision with data volume. The contrast with the stratosphere is striking. Whereas, in the lower stratosphere, the hydroxyl concentration is independent of the nitric oxide concentration, in the troposphere, the OH concentration increases with [NO] reflecting increasing conversion of HO$_2$ to hydroxyl. The conversion of HO$_2$ to OH is reflected in the dependence of Perhydroxyl radical concentration on the NO concentration in Figure 14.
Figure 14. The observed dependence of the HO$_2$ concentration on [NO] in the troposphere at a pressure altitude of 120 mb.

As noted in the opening of this section, in addition to diagnosing the chemical reaction mechanisms that constitute the reaction network, a key priority of this research is to define the rate of ozone photochemical production in the troposphere. Figure 15 displays the rate of catalytic ozone production from the dominant HO$_x$ cycle—the rate limiting product $k_x$ [HO$_2$][NO].

![Figure 14: HO$_2$ vs NO concentration](image)

Figure 15. The rate of ozone production in the troposphere based on directly observed concentrations of the rate limiting radicals HO$_2$ and NO.

Development #9: Tropopause Temperatures, Water Vapor Mixing Ratios, and Vertical Advection and the Mixing In of Mid-Latitude Air—A developing picture.

It is generally accepted [Holton et al., 1995, and Newell and Gould-Stewart, 1981] that average tropical tropopause temperatures are too high to explain measured values of $q_s$, the water vapor mixing ratio in the stratosphere. One can postulate that the reasons for this are either that measurements of mixing ratios are too low, or measurements of tropopause temperatures are too high, or a mechanism for strat-trop exchange occurs preferentially in regions of low tropopause temperatures, or some combination of these. The in situ measurements of Kley et al. [1979] identifying a water vapor minimum (the hygropause) about 3 km above the local tropopause, and the series of experimental and theoretical papers that presented and analyzed the results of the STEP campaign [1993] observed, identified, and appeared to explain such a mechanism. Accordingly, a period of time existed where “deep convection” into the lower tropical
stratosphere was accepted as the dominant mechanism for air entering the lower tropical
stratosphere.

Analysis of in situ [Weinstock et al., 1995] and remote [Mote et al., 1995; Mote
et al., 1996; Abbas et al., 1996] tropical stratospheric water vapor data as well as
correlations of midlatitude carbon dioxide, nitrous oxide, and water vapor measurements
[Boering et al., 1997] have shown that the hygropause observed during the summer is not
an overshoot but rather is consistent with the seasonal cycle of the tropical tropopause
temperature. However, attempts to link the profiles to a vertical transport mechanism
through the tropical tropopause has met with limited success. Mote et al. [1996] used a
transformed Eulerian mean (TEM) 2D trajectory calculation to select latitudes in the
tropics where rising air first crosses the 100 hPa-surface. He then uses as a water vapor
entry mixing ratio, \( q_E \), determined by the minimum temperature on that surface within
each latitude band. These temperature minima are chosen to attempt to compensate for
the warm bias found in the United Kingdom Meteorological Office (UKMO) gridded
temperatures. Nevertheless, the TEM calculation still yields a maximum \( q_E \) value in the
summer of 7.5 ppmv, which is inexplicably high.

Carbon dioxide, like water vapor, exhibits a seasonal cycle, albeit slightly out of
phase. Because its entry level mixing ratio is independent of entry location and local
(thermal) conditions, a model that can relate the measured carbon dioxide mixing ratio
profiles in the lower tropical stratosphere to its entry mixing ratios can be used to evaluate
the seasonally dependent entry value of water vapor derived from the average thermal
characteristics of the “cold trap”.

A detailed description of the fast response photofragment hygrometer used in this
analysis has been given previously [Weinstock et al., 1994]. Based on detailed laboratory
calibrations and in-flight comparison with absorption measurements during ascent and
descent its accuracy has been established to be ±10%.

The fast response carbon dioxide instrument is a non dispersive infrared analyzer
(Li-Cor Inc. Model 6251) adapted for the ER-2 [Boering et al., 1994], has an accuracy of
0.1 ppmv and a precision of 0.04 ppmv.

CO and CH₄ are measured by the JPL ALIAS diode laser spectrometer [Webster
et al., 1994a]. The accuracy of the CH₄ and CO measurements is estimated to be 5%. The
OH used in the model calculations is derived from an empirically derived relationship
between the OH concentration and the solar zenith angle [Paul Wennberg, Private
communication].

All the data presented here were taken on the NASA ER-2 during the
Stratospheric Tracers of Atmospheric Transport (STRAT) campaign with missions
seasonally timed from the fall of 1995 to the winter of 1996. Tropical profile data were
obtained during excursions from cruise altitudes of about 68000 feet to the tropopause
and back (hereafter referred to as dives) taken near the southernmost point of southbound
survey flights from Barbers Point, HI (22°N). Five of these flights took place during
STRAT, on 951105 (yydddmm), 960213, 960801, 060808, and 961211. Water vapor data
are available for all these flights, with carbon dioxide data available for all but the 960801
flight. The data presented here are restricted to the dive regions which occur in a latitude
region between 2°S and 10°N. The points for all the data sets have been merged into 10-
second averages. Figure 16 and 17 present the water vapor and carbon dioxide data,
respectively, for the tropical dives, plotted as a function of potential temperature. In each
case, the apparent randomness in the shape of the plots is a result of the seasonal cycles of
water vapor [Weinstock et al., 1995; Mote et al., 1995] and carbon dioxide [Boering et
al., 1995], determined by their respective values as the air rises through the tropical
tropopause (the boundary condition) followed by whatever dynamical and photochemical
processes perturb or affect the boundary condition value. For carbon dioxide this boundary condition value, \((\text{CO}_2)_{bc}\), can be represented by the average of monthly mean surface \(\text{CO}_2\) mixing ratios for Mauna Loa (19°N) and Samoa (14°S) from the NOAA CMDL Flask Network data and delayed by 2 months to match the ER-2 mean \(\text{CO}_2\) data for air with \(\text{N}_2\text{O} \approx 310\) ppbv, \(\text{CO} \approx 30-40\) ppbv, and \(K > 380\). [Boering et al., 1997]. The determination of the water vapor boundary condition is one of the goals of this discussion.

It can be shown that the concentration of \(\text{CO}\) is determined by a balance between its loss from the reaction with \(\text{OH}\)

\[
\text{CO} + \text{OH} \rightarrow \text{CO}_2 + \text{H}_2\text{O} \quad (1)
\]

and production from the reaction of \(\text{OH}\) with \(\text{CH}_4\)

\[
\text{OH} + \text{CH}_4 \rightarrow \text{CO} + \text{Products} \quad (2)
\]

where the series of reactions that lead to \(\text{CO}\) production as summarized by reaction 2 have been presented by Le Texier et al. [1988]. For each flight, the transit time for the air represented by each data point is determined by comparing the \(\text{CO}\) profile measured versus potential temperature to one calculated as a function of vertical transit time from the tropical tropopause determined by the rates of reactions 1 and 2. We use an iterative process where for each data set an initial \(\text{CO}\) mixing ratio of 45 ppbv is used at a tropopause set at 17 kilometers (about 390 K), along with an average \(\text{CH}_4\) mixing ratio of 1.7 ppmv valid in the lower tropical stratosphere, and \(\text{OH}\) concentrations derived from measured solar zenith angles and calculated from a fit of all the STRAT tropical \(\text{OH}\) data to solar zenith angle. [Paul Wennberg, private communication].

![Water vapor data for the tropical dives plotted as a function of potential temperature. Data obtained during the STRAT mission from Barbers Point, HI, on 11/5/95, 11/5/96, 8/8/96, and 12/13/96. The apparent randomness in the profiles is a result of the seasonal cycles of water vapor.](image)
Figure 17. Carbon dioxide data for the tropical dives plotted as a function of potential temperature. Data obtained during the STRAT mission from Barbers Point, HI, on 11/5/95, 12/13/96, 8/8/96, and 12/13/96. The apparent randomness in the profiles is a result of the seasonal cycles of carbon dioxide.

Figures 18 and 19 plot water vapor and carbon dioxide data against the date the air mass crossed the tropopause referenced to January 1, 1996, for all four flights. The boundary condition values for CO₂ and H₂O are included as well. For each flight, data points sampling air encountered closest to the tropopause, which is air that has spent the shortest amount of time in the stratosphere (youngest air), are at the right. The leftmost data points for each flight are the “oldest” and have had the most opportunity for mid-latitude character. Based on this comparison, we demonstrate that water vapor saturation mixing ratios derived from monthly average of radiosonde temperatures between 10°S and 10°N at the tropopause provide an acceptable representation of the boundary condition for water vapor at the tropical tropopause and no special dehydration need be invoked. The 951105 flight provides an excellent and possibly unique example of remarkable agreement between the measured CO₂ and H₂O profiles and the respective tropopause values. The profiles correspond to almost half a seasonal cycle of water vapor and carbon dioxide. Additionally, it specifically demonstrates the success of using the photochemical age of CO as a tracer of vertical ascent in the lower tropical stratosphere. This approach can similarly be useful in studies of strat-trop exchange processes in the tropics where carbon monoxide can provide a tool for determining advection rates near the tropical tropopause.
Figure 18. Water vapor plotted against the date the air mass crossed the tropopause, referenced to January 1, 1996, for the flights displayed in Figure 16. The boundary conditions are included as well.

Figure 19. Carbon dioxide plotted against the date the air mass crossed the tropopause, referenced to January 1, 1996, for the flights displayed in Figure 16. The boundary conditions are included as well.

The CO₂ and H₂O profiles from the remaining three flights can be characterized in Figures 18 and 19 as having varying degrees of difference with the reference values. These differences most probably result from the mixing in of midlatitude air. The seasonal and altitude dependence of these mixing processes can uniquely be investigated using these tropical profiles as well as midlatitude water and carbon dioxide profiles measured during STRAT. The fraction of midlatitude air mixed in as well as the altitude dependence of the mixing will impact not only the water and carbon dioxide profiles it
will also affect the calculated photochemical age of the air or ascent time. Accordingly, there is the potential to change the horizontal position as well as the shapes of the profiles in Figures 18 and 19.

Development #10: Multiple Tracer Analyses as a Diagnostic of Water Vapor Intrusion into the "Middle World".

During the May 1995 STRAT deployment, the ER-2 flew two stairstep flights with half-hour flight legs in the lowermost stratosphere or middle world. The water vapor measured in the stratosphere near 340–360 K and within a few kilometers of the tropopause exhibited correlations with other tracers very different from what is normally observed in the stratosphere. Observing evidence of transport events in these regions can help us understand the potential impact of aircraft exhaust. Previously, the ER-2 had only sampled these air masses during ascent and descent.

Figure 20 shows a plot of water vapor versus N$_2$O during the 950517 flight, during which a wet air mass extends into the stratosphere, for which the measured N$_2$O ranges from 290 to 300 ppbv. Figure 21 shows a similar correlation plot for water vapor versus CO$_2$, for which the corresponding CO$_2$ values extend from 358–360.5 ppmv. In order to help understand the composition of the wet air mass, a simple model is assumed, in which the air mass is taken to be a binary mixture of stratospheric and tropospheric air. The boundary conditions were assumed to be 5 ppmv for stratospheric water vapor, 315 ppbv for stratospheric N$_2$O and 50–100 ppbv for tropospheric ozone. The CO$_2$ and H$_2$O content of the tropospheric air were treated as unknowns. Figure 22 focuses on a linear portion of the water versus N$_2$O plot, which when extrapolated back to 315 ppbv of N$_2$O, its tropospheric value suggests that the corresponding water content was 25 ppmv. Figure 23 shows the corresponding plot of water vapor versus CO$_2$ with an extrapolated value of 362.5 ppmv for the tropospheric CO$_2$ content. Figures 24 and 25 provide the similar plots for the 950515 event with the analysis suggesting the tropospheric component contained 360 ppmv of CO$_2$ and 12 ppmv of H$_2$O. Based on these CO$_2$ values, the data are consistent with tropical air entering the stratosphere on 950515 and mid latitude air on 950517.

In both cases, the air could have resulted from mid latitude entry because trajectory analysis shows that tropospheric air near the flight path on 950515 recently came from the tropics. For both flights the mechanism could be isentropic transport across the tropopause because the tropopause was not coincident with theta surfaces. In neither case is there evidence that air with tropospheric character will remain in the stratosphere for more than a month and transport events, as observed here, should not impact the water vapor budget of the stratosphere above 440 K. However, if air with tropospheric character reaches 370–380 K potential temperature surfaces, there is a significant chance for transport into the lower tropical stratosphere, where it can be advected upward and eventually transported poleward into the midlatitude stratosphere.
Figure 20. Water vapor versus N$_2$O for the 950517 flight, during which a wet air mass extends into the stratosphere, for which the measured N$_2$O ranges from 290 to 300 ppbv.

Figure 21. Water vapor versus CO$_2$ from the 950517 flight, for which the corresponding CO$_2$ values extend from 358 to 360.5 ppmv.
Figure 22. Linear portion of the water vapor versus N₂O from the 950517 flight, which provides the data needed to extrapolate back to tropopause values for water vapor of 25 ppmv.

Figure 23. Water vapor versus CO₂ from the 950517 flight, with an extrapolated value of 362.5 ppmv for tropospheric CO₂ content.
Figure 24. Linear portion of the water vapor versus N₂O from the 950517 flight, which provides the data needed to extrapolate back to tropopause values for water vapor of 25 ppmv.

Figure 25. Water vapor versus CO₂ from the 950515 flight, with an extrapolated value of 362.6 ppmv for tropospheric CO₂ content.

Development #11: Flight Testing of a New Instrument for the In Situ Detection of ClONO₂ from the ER-2.

A subset of the most pressing scientific questions in ozone research centers on the chlorine nitrate molecule. For example, does the sum of the HCl and ClONO₂
concentrations equal the inorganic chlorine reservoir inferred from observations of the organic and inorganic chlorine species? What is the [ClO]/[Cl\textsubscript{2}] ratio under widely varying conditions in the lower stratosphere? How does the ClONO\textsubscript{2} concentration depend on the aerosol reactive surface area and on the temperature? What are the initial chlorine nitrate concentrations during the set up of the polar vortex? What is the impact of “reprocessing” on the partitioning of inorganic chlorine in the arctic vortex? What is the temporal evolution of the chlorine nitrate concentration during the recovery phase of the arctic vortex?

In order to answer these questions, we pursued the development of a new flight instrument for the ER-2 that would detect ClONO\textsubscript{2}, ClO and BrO with the intention of replacing the original ClO-BrO instrument that originally flew on the ER-2 over the Antarctic in 1987. The technique was to employ the thermal decomposition of ClONO\textsubscript{2} into products ClO and NO\textsubscript{2} and to detect both of these fragments. We focus on the detection of the ClO fragment in this section, treating the NO\textsubscript{2} product in the section that follows.

The ClO/ClONO\textsubscript{2} instrument design must satisfy the following criteria:

• The instrument detection threshold for ClO must be 1 pptv in a 30-second sample; for ClONO\textsubscript{2}, 10 pptv in a 30-second sample.

• The instrument must detect both ClO and ClONO\textsubscript{2} simultaneously.

• The instrument must obtain the measurement of the absolute concentration of both molecules so that, in the course of observation, no destruction of either molecule can take place.

• The instrument must provide scientific demonstration of the observation of absolute ClO and ClONO\textsubscript{2} concentrations without loss within the instrument and it must demonstrate this in flight.

• The absolute calibration must be checked in flight.

• All aspects of the flight instrument performance envelope must be directly investigated in laboratory studies prior to and following flight.

• The instrument must be fully computer controlled; it must perform its complete flight protocol with only an “on” command from the cockpit.

The technique selected for the detection of ClO was to use the rapid bimolecular reaction

\[
\text{ClO + NO} \rightarrow \text{Cl + NO}_2
\]

to convert ClO to Cl. The Cl product is then detected by atomic resonance scattering (see, Anderson et al. [1977], Brune and Anderson [1986], and Brune et al. [1989]).

Detection of ClONO\textsubscript{2} is executed by the thermal decomposition of the parent molecule by precipitously elevating the temperature of the flowing sample to thermally decompose chlorine nitrate into ClO and NO\textsubscript{2}:

\[
\text{ClONO}_2 \rightarrow^{\Delta} \text{ClO + NO}_2
\]

The design of the instrument is shown in an exploded schematic in Figure 26.
Figure 26. Schematic of the ClONO₂, NO₂, ClO, BrO instrument showing the architecture of the duct arrangement, both primary and dual secondary, the location of the detection axes, dissociation heaters, the optical plate that contains the NO₂ laser-induced fluorescence system, gas addition deck computer subsystems, power handling system, throttle valve positions, exhaust plenum, and NO addition systems.

The "primary flow" is extracted from the aircraft free stream by the primary duct from the leading edge of the ER-2 super pod. The duct design minimizes the boundary layer depth, maintains laminar flow in the core of the primary flow, and maintains a symmetric boundary layer within the primary duct. Two secondary ducts extract the laminar core of the primary flow, each maintaining a symmetric boundary layer of minimum depth such that the laminar core of each duct reaches the optical detection axes unperturbed. The first set of optical axes in each duct detect ClO or BrO, following the addition of NO. Flight data demonstrating the Cl yield from ClO as a function of added NO is shown in Figure 27.
Figure 27. Flight data showing the Cl atom yield from ClONO$_2$ dissociation. The shape of the modulated signal results from the concentration scan of NO added to demonstrate the kinetics of the formation of Cl from ClO formed in the dissociation process. The leading edge of the square wave corresponds to the highest flow rate of NO, the trailing edge corresponds to the lowest flow rate.

Immediately following the first optical axes in each duct is an array of doped Si heating elements that allow the flow temperature to be scanned from ambient to 550 K with high resolution. Considerable effort was invested in the laboratory investigation of this method. The first issue that was investigated was the quantitative yield of ClO from ClONO$_2$ thermal decomposition under conditions of pressure, flow velocity, etc., encountered during flight. Those data are shown in Figure 28. In those experiments, the flight instrument was an integral part of the laboratory flow system, to which a calibrated amount of chlorine nitrate was added. Flow velocity and pressure were controlled such that conditions that were encountered during flight were well within the regime covered in the laboratory experiments.
The temperature dependence of Cl yield from ClONO$_2$ matches that calculated for simple unimolecular decomposition of chlorine nitrate, demonstrating that the process is executed by simple gas phase collisions. Absolute calibration of the instrument was carried out as a function of temperature. It was discovered that at elevated temperatures of the instrument wall, the instrument sensitivity became a function of the instrument lamp-module/flow-tube-wall temperature caused by the release of gas phase species by the aluminum wall. For this reason, the final flight design included the addition of a cooling manifold that directs forced cold air from the free stream onto the O$_2$ cell in front of the resonance lamp, onto the O$_2$ cell in front of the photomultiplier, and onto the region in front of the UV monitor. This drives the operating temperature of the optics to less than 0°C during flight and thereby eliminates any temperature dependence resulting from the instrument itself from the calibration. We determined that the mechanism responsible for the drop in sensitivity above temperatures of 40°C is the vapor pressure of water that absorbs at 119 nm, emitted by the aluminum cell through which O$_2$ flows. The O$_2$ cell in front of the lamp exists for the purpose of eliminating all resonance lines in the multiplet of atomic chlorine, except the $^2D_{5/2}$-$^2P_{3/2}$ transition at 118.8 nm.

The instrument flew in its first science mission in the first Photochemistry of Ozone Loss in the Arctic Region In Summer (POLARIS) deployment in April-May 1997. Instrument performance was excellent. All subsystems of the instrument operated within design limits: the computer control and data acquisition; the duct throttle valves and gate valves; the array of gas addition systems; the RF oscillator-amplifiers; the detectors; the ClONO$_2$ dissociation heater and heater control systems; and the boundary layer analysis systems. Instrument design characteristics were demonstrated: Optical sensitivity was significantly improved over the ClO instrument that originally flew into the Antarctic in 1987; thermal tailoring proved to be highly effective; optical components...
operated in the 0°C range in flight, boundary layer growth was symmetric and minimal; and the extracted ClO and ClONO\textsubscript{2} concentrations exhibited no velocity dependence in the range from 5–30 m/sec.

The detection threshold for ClO and ClONO\textsubscript{2} proved to be in the 1 pptv range for a 30 second sample, the thermal yield of ClO from ClONO\textsubscript{2} matched the laboratory data. Figure 29 shows the temperature scans of the Cl yield from ClONO\textsubscript{2}, demonstrating the same sigmoidal shape as the laboratory data and the same plateau at elevated temperature, demonstrating the absence of loss processes with increasing temperature above the point of complete dissociation of the parent molecule.

![Figure 29](image)

**Figure 29.** Flight data showing the temperature dependence of the Cl yield from ClONO\textsubscript{2} thermal dissociation. The sigmoidal shape of the flight data mimics that of the laboratory data shown in Figure 28.

The yield of Cl (as a function of added NO) from ClONO\textsubscript{2} thermal decomposition is also textbook in its behavior. Flight data from the first POLARIS deployment is shown in Figure 30, in combination with the yield curve for Cl atoms plotted versus the product of NO concentration and reaction time. The instrument is designed to scan the NO concentration as a function of time, beginning with high NO flow rates (corresponding to [NO] \( \sim 5 \times 10^{13}/\text{cm}^3 \)) and sequentially dropping in concentration such that the Cl atom yield increases with decreasing [NO] until the peak yield is reached and then decreases with decreasing [NO] conditions, corresponding to conditions of incomplete conversion of ClO to Cl.
Figure 30. Flight data showing the yield of Cl atoms as a function of the product of [NO] and reaction time, \( t \). The variability in the data at a fixed value of [NO] results from the changing concentration of ClONO\(_2\) in the atmosphere.

Results from the POLARIS mission are shown in Figure 31, in which the observed chlorine nitrate concentrations are shown for an ER-2 flight north from Fairbanks, Alaska, on June 26, 1997. The structural detail shown in the ClONO\(_2\) data is correlated precisely with the ClO data and is anticorrelated with N\(_2\)O tracer data. While there is not space here for any in-depth analysis of the flight data and its relation to laboratory data, that analysis has been done and it is clear that the ClONO\(_2\) instrument has matched or exceeded its design goals.

Figure 31. Results from the first POLARIS mission flown from Fairbanks, AK, showing the observed chlorine nitrate concentration as a function of aircraft flight time.
Development #12: Laser Induced Fluorescence Detection of NO₂.

Nitrogen dioxide is a critical radical in the current research agenda for several important reasons. First, it occupies the rate-limiting step in the dominant nitrogen catalytic cycle in the Earth's stratosphere. Observation of its concentration is critical for a quantitative appraisal of the rate of ozone destruction resulting from the entire family of reactive nitrogen compounds. Second, it is the primary radical linking the nitrogen species with both the hydrogen radicals and the halogen radicals through the reactions

\[
\begin{align*}
\text{OH} + \text{NO}_2 + M & \rightarrow \text{HONO}_2 + M \\
\text{ClO} + \text{NO}_2 + M & \rightarrow \text{ClONO}_2 + M \\
\text{BrO} + \text{NO}_2 + M & \rightarrow \text{BrONO}_2 + M
\end{align*}
\]

It is also the radical responsible for the extraction of the very large ClO concentration responsible for rapid ozone destruction in the winter vortices. Third, it is the recipient of the oxidation of NO by ozone at night and thus is a unique measure of NOₓ in the stratosphere and upper troposphere.

From the analytical perspective of in situ chlorine nitrate detection in the stratosphere, it is also the companion fragment formed in the thermal decomposition of ClONO₂ in the new ER-2 flight instrument:

\[
\text{ClONO}_2 \xrightarrow{\Delta} \text{ClO} + \text{NO}_2
\]

The central importance of NO₂ to stratosphere/troposphere chemistry, its importance to the detection of ClONO₂, and the difficulty of directly detecting the species led to the development of a component of the ER-2 chlorine nitrate instrument dedicated to the LIF detection of this species.

As described under Development #1, we have successfully applied LIF to the detection of OH, and we have done the preliminary spectroscopy and optical development of LIF for NO₂ [Larabee, 1984]. We thus pursued the development of the ER-2 flight instrument using the new fiber-optic coupled (FC) laser developed recently by Spectra Physics (Model Z30532Q) as the pump laser in a dye laser system modeled after the HOₓ instrument. The 532 nm doubled output from the YAG laser operates at a pulse repetition rate equal to or greater than 5 kHz with an average output of 4 watts, a beam divergence of 3 mrad in TEM 00 mode, with a pulse length of ~30 nsec. The leading edge of the pulse is used to establish oscillation and the remainder of the pulse for amplification such that the tunable output at 585 nm has an average power of 300 mwatts and a repetition rate of >5 kHz. The laser optical system is combined on a single optical plate that is inserted vertically into the ClONO₂ flight instrument (see Figure 26). One side of the composite plate contains the solid state laser system, fiber-optic coupling system, dye laser and coupling optics; the other side of the optical plate contains the NO₂ detection, NO₂ flow control and measurement sub-systems, laser flux diodes, etc.

The absolute calibration of the NO₂ LIF system is approached from three perspectives. First, laboratory calibrations are performed with known NO₂ concentrations (taken from a standard compared against the known infrared cross section of NO₂) normalized to the laser flux passing through the optical amplification cell (a multipass White cell). Thus, the NO₂ fluorescence emitted between 700–850 nm is tied to the NO₂ absolute concentration for a given photon flux through the cell. Second, using a computer controlled actuator, the interference filter that selects the NO₂ fluorescence is replaced by a narrow band pass filter that passes only the Raman line of N₂. Thus, in the laboratory
calibration phase, a known NO\textsubscript{2} concentration is introduced into the instrument in flight configuration and the detector count rate resulting from NO\textsubscript{2} fluorescence is determined for a given Raman scattered count rate. The advantage of this technique is that the detection geometry and photomultiplier are identical for both the fluorescence measurement and the Raman normalization. Third, the gas addition deck for the ER-2 flight instrument is equipped with an NO\textsubscript{2} calibration system that can add a known amount of NO\textsubscript{2} to the intake port of the instrument during flight. This provides an on-board source of NO\textsubscript{2} at known concentration that is calibrated against the Raman signal in flight, and against the laboratory standard when the aircraft returns from a flight.

Results from the first POLARIS deployment are shown in Figure 32. The laser is designed to execute a resonance line "seek and look" algorithm to find the line at 585 nm, and then a "chop mode" algorithm that steps on resonance-off resonance to establish the square wave modulation shown in Figure 32 for the calibration cycle. An on-board cell containing NO\textsubscript{2} is used to compare with the in situ data.

![Figure 32. Flight data showing the raw NO\textsubscript{2} data obtained during the computer controlled "chop mode" that steps on and off the 585 nm resonance line of NO\textsubscript{2}. Calibration pulses are shown interspersed with the ambient NO\textsubscript{2} signal.](image)

Results of the in situ NO\textsubscript{2} observations from the first POLARIS deployment are shown in Figure 33 and are compared against the ozone "tracer" data from the same flight. At the concentration observed during POLARIS, the signal-to-noise of the NO\textsubscript{2} observation is \(-100/1\) for a 30-second sample.
Figure 33. The concentration of NO$_2$ obtained during the first deployment of the NO$_2$ LIF system during POLARIS. The NO$_2$ observations are shown with the ozone concentration simultaneously obtained in the flight.

Thus, a new approach to NO$_2$ detection has been developed, calibrated, and flight tested. There are currently several advances in detector technology and optical design that will improve the detection threshold such that NO$_2$ concentrations at the 10 pptv level will be realized in a 30-second sample with excellent signal-to-noise ratios.
REFERENCES


OTHER PUBLICATIONS RELEVANT TO THIS RESEARCH:


