OPTICAL AND ATOMIC FORCE MICROSCOPY CHARACTERIZATION OF PbI₂ QUANTUM DOTS

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ABSTRACT
Lead iodide (PbI₂) clusters were synthesized from the chemical reaction of NaI (or KI) with Pb(NO₃)₂ in H₂O, D₂O, CH₃OH, and C₂H₅OH media. The observation of the absorption features above 350 nm with the help of integrating sphere accessory strongly suggests the quantum dot formation of PbI₂ in solution. Spectral comparison between the synthesized PbI₂ clusters in solution and PbI₂ nanoparticles by impregnation of PbI₂ in four different pore-sized porous silica indicates that the PbI₂ cluster size in solution is less than 2.5 nm in lateral dimension. Atomic force microscopy (AFM) measurements show that the PbI₂ clusters deposited onto three different molecularly flat surfaces are single-layered. The measured height is 1.0 ± 0.1 nm. The swollen layer thickness can be attributed to the intralayer contraction from the strong lateral interaction among PbI₂ molecules, which is supported by ab initio calculation. Raman scattering measurement of LO and TO modes of PbI₂ in bulk and in the confined state were also conducted in 50-150 cm⁻¹ region. The observed three bands at 74, 106, 156 cm⁻¹ are assigned to TO, LO, and TO mode, respectively. The relatively small red-shift in LO modes may be caused by the surface phonon polaritons of PbI₂ nanoparticles in the porous silica.

INTRODUCTION
The study of nanophase materials physically confined in various hosts has attracted much attention in recent years. Depending upon the physical properties of the confining hosts and the nature of the confined materials, many unique mechanical, thermal, and optical properties have been observed and are related to the different types of confinement effects. The physical confinement, interfacial energy at the guest-host boundaries, and the reduction of the confined particle size can lead to, for example, modification of materials' hardness and depression of the melting and freezing transition temperatures. The quantum confinement of the free electrons in metals and the excitons in semiconductors can result in the observation of the color surface plasma resonance and the shifts of the band gap. Dielectric confinement due to the confining hosts provides the means to tune the surface plasma resonance frequencies and to enhance the nonlinear optical effects at interface by changing the dielectric constant of the confining hosts. In addition, the observation of the surface phonon polaritons is also due to the dielectric confinement effects. However, it is unfortunate that these fore-mentioned confinement effects are in effect at same time so that a clear fundamental understanding of what exact role of each confinement play is hard to be realized. Further, the often asked question of how these confinement effects modify the physical and chemical properties of the confined materials is not at all conclusive. A clear demonstration of the cross-over effects from bulk state to cluster form has not yet been illustrated.

Heavy metal halides, such as PbI₂, form a unique series of layered semiconductor compounds. Besides having potential applications for y-ray detection, the strong intralayer chemical bonding and the weak interlayer van de Waals interaction have made these materials to be good candidates for understanding the cluster formation and growth in confining media and for the study of different types of confinement effects along different crystallographic axes.

Sandroff et al. first investigated layered semiconductor clusters in various solvents. Based upon the optical absorption of a solution containing PbI₂ and BiI₃, clusters and the cluster size distribution from TEM measurements, a single layer platelet-like cluster model was proposed. The disrupt blue-shifted absorption bands below 350 nm for PbI₂ in different solvents were explained by charge carrier confinement in different-sized crystallite with a single layer thickness. Other research groups have re-examined similar systems. Disagreements have been raised in terms of the bands assignments in UV-Vis spectra which may result from the possible 1 or 2⁺ presence in solution. However, no efforts have been made to understand how the band gap is truly modified when PbI₂ semiconductor changes its physical dimension from small clusters to its bulk. No solid explanation has been put forward to account for the discontinuous blue-shift of the PbI₂ clusters in solution. In addition, there has been no direct experimental evidence reported in literature to support the proposed disk-like single-layered semiconductor clusters being formed in the solution phase.

Therefore, the motivation of the present research is: i) to study cluster formation and growth mechanisms in the solution phase, which can provide information on crystal growth on earth and in microgravity; ii) to understand how the confining geometry modifies the physical and chemical properties of the restricted clusters in terms of shape, lattice parameters, as well as the thermal and optical properties; iii) to provide direct experimental evidence of the size and morphology of these layered semiconductor clusters formed in solutions; iv) to study quantum confinement effect when PbI₂ is physically confined in four different pore-sized porous glass, which serves as the feed-back to estimate the PbI₂ clusters formed in solution.
EXPERIMENTAL

I. Synthesis of PbI₂ Clusters in Solutions

As reported by others, the starting materials are Pb(NO₃)₂ and NaI or KI which were purchased from Aldrich with purity of 99%. No effort was made to further purify the materials. Two reasons why we chose NaI instead of KI in most of our experiments were the following: 1) synthesized PbI₂ clusters are insoluble in pure alcohol but NaNO₃ is. Therefore, the dilution of the colloidal suspended solution will not result in much of the alteration of the formed cluster size; 2) in order to unambiguously identify PbI₂ cluster formation and cluster sizes with AFM, the complete removal of NaNO₃ from the surfaces and maintaining the minimal perturbation to the PbI₂ clusters are critical so that SPM images can directly reflect the structural and frictional information on PbI₂ clusters themselves. Both Pb(NO₃)₂ and NaI (or KI) were prepared in same solvent with known amount of molar concentration. Lead iodide clusters were synthesized by simply mixing the two solutions in the optical cell before subject to various measurements.

II. Impregnation of PbI₂ in Well-Defined porous Glasses

In order to understand quantum confinement effect on PbI₂ particles, an alternative experiment was planned. That is, to impregnated PbI₂ in well-characterized porous glass. The detailed impregnation procedure has been reported elsewhere. Only a brief outline is given in this paper. Geisel porous substrates with 2.5, 5, 10, and 20 mm pore diameters were used for PbI₂ impregnation. The PbI₂ was purchased from Aldrich with 99% purity. The porous glass was first cleaned and then was placed into 10 mm inner diameter and one-end sealed quartz tube. The tube was placed into a vertical furnace. The glass was slowly heated to 450 C in 6 hrs and then was cooled down to 110 C. A sufficient amount of PbI₂ powder was loaded into a smaller glass tube with open ends. Then the tube containing PbI₂ was transported into the bigger tube where the porous glass was dried. The system was heated again very slowly to 450 C. The melted PbI₂ flew out the inner tube and emerged the porous substrates. Upon the 1 hr soaking in the molten state of the PbI₂, the impregnated porous substrate was slowly cooled back to room temperature. The sample tube was properly sealed for characterization.

III. Optical Characterization

In order to understand the solution structure and cluster formation kinetics, time resolved and static UV-Vis spectrophotometric measurements were carried out on a Rapid Scan OLIS RSM Spectrophotometer and a Hitachi 3501 spectrophotometer. With OLIS RSM, a series of spectra with time interval of 1-10 ms was collected in the spectral range of 300 - 550 nm. The spectral intensity and frequencies were analyzed as the function of time. The static electronic absorption and extinction spectra were made possible with or without an integrating sphere accessory on a Hitachi 3501 spectrophotometer. All the spectra were measured in 1000-185 nm region with 1 nm resolution.

IV. Atomic Force Microscopy (AFM)

AFM images in TappingMode, constant force, and lateral force modes were obtained with a Nanoscope III atomic force microscope from Digital Instruments. All measurements were performed under ambient conditions with typical relative humidities ranging from 50 - 60%. Well-calibrated E- and A-scanners were used in the present experiments. With A scanner, atomic scale topography images of the hexagonal sheet of SiO₂ in cleavage basal plane of Muscovite mica can be readily obtained on a freshly cleaved mica surface. By comparing the measured value of the nearest neighbor distance of the SiO₂ tetrahedral with the literature value, the lateral resolution of the A-scanner on the atomically flat surface is less than 0.02 nm. Vertical resolution of both A and E scanners in both tapping and constant force modes is less than 0.1 nm. In order to further ensure the consistency in vertical resolution, as we reported elsewhere, a freshly cleaved PbI₂ single crystal surface was imaged which resulted in the observation of half the unit cell distance on c-axis, i.e. a single molecular layer step of PbI₂ along c-axis. The step height was used as an internal height calibration. The measured single layer step height was 0.7 ± 0.2 nm which is in good agreement with 0.698 nm in literature.

V. Raman Measurement

Raman scattering measurements of PbI₂ in its bulk and confined phase in porous silica were conducted with a Spex Raman spectrometer, which is equipped with a double-grating monochromator and Ti:Sapphire laser pumped with Ar laser. The scattered light was collected at 90° to the excitation laser beam. Each spectrum was obtained with 2 cm⁻¹ resolution and 1 second integration time. A water cooled photomultiplier tube was used as the detector. The typical excitation energy of the laser was -100 mW at 770 nm.

RESULTS AND DISCUSSION
1. Optical Characterization of Solution Structure

In order to understand solution structure and to identify of possible species present in solution, efforts were made to systematically study i) solvent effect on reactants, i.e., NaI and Pb(NO$_3$)$_2$; ii) solvent effects on the reaction products, i.e., Pb$_x$I$_y$ clusters and NaI$_2$NO$_3$; iii) Pb:I molar ratio effect on reaction products; and iv) concentration effect on the cluster size formation. In the following section, each individual effect will be discussed sequentially.

Fig. 1 illustrates optical absorption spectra of reactants NaI and Pb(NO$_3$)$_2$ in methanol solution. Both solvent and Pb(NO$_3$)$_2$ did not show any absorption band above 250 nm. However, KI solution did show two absorption bands at 270 and 320 nm, respectively. As pointed out by Wang and Herron$^1$, the observed two peaks at 325 and 270 nm are primarily due to the absorption of I$^-$ species in methanol solution. Similar spectra were also observed for NaI or KI dissolved in H$_2$O, D$_2$O, propanol methanol solution under ordinary light irradiation. Fig. 2 demonstrates the optical spectra of reaction products by mixing of NaI and Pb(NO$_3$)$_2$ with different molar concentration ratio. Three different Pb:I ratios were plotted out in this figure. They were Pb:I = 1:2, 1:3, and 1:4, respectively. As the number of iodine ions increases, the peaks at 270 nm and 320 nm increase accordingly, while a weak peak at 420 nm remained stationary. This observation further suggests that the bands at 270 nm and 320 nm were due to I$_x$ complex formed in solution rather than what was believed to be the signature of PbI$_x$ cluster formation in solution.

In order to unambiguously identify PbI$_x$ cluster formation and to clarify true electronic absorption band(s) due to PbI$_x$ clusters in solution, we have collected both extinction and absorption spectra of PbI$_x$ colloidal solution at four different concentrations. The extinction spectra were obtained via simple transmission spectra, while the true absorption spectra were collected with the help of integrating sphere accessory. The extinction spectra, consistent with the work reported in the past,$^{13}$ showed two bands at 270 nm and 320 nm. However, the absorption spectra clearly showed additional bands above 350 nm, which were not observable in extinction spectra, as shown in fig. 3. In addition, the band position shifts to the red and absorption intensity is enhanced as the PbI$_x$ concentration increases. When the concentration changed from 5.0 to 1.0 mM/l, the electronic absorption band due to PbI$_x$ clusters blueshifted from 505 nm to 420 nm. At 0.5 mM concentration, the electronic absorption due to the PbI$_x$ clusters was expected to overlap with the band at 320 nm.

It is known that when the physical size or dimension of semiconductors is comparable to or smaller than its exciton Bohr radius (for PbI$_x$, $a_B = 1.9$ nm), quantum confinement of the electron and hole will result in an appreciable blue-shift from its bulk band gap. Based on the effective-mass approximation (EMA) theory proposed by Brus,$^7$ the absorption energy $E(R)$ for a nanosized semiconductor particle (or quantum dot) can be estimated by the following equation:
\[ E(R) = E_g + \frac{\hbar^2 \pi^2}{2 R^2} \left( \frac{1}{m_e^*} + \frac{1}{m_h^*} \right) \cdot \frac{1.8 e^2}{\varepsilon R} + \text{small term} \]  

where \( E(R) \) is the absorption band gap, \( R \) is the size of the quantum dot, \( m_e^* \) and \( m_h^* \) are the effective masses of electrons and holes, \( \varepsilon \) is the dielectric function of the semiconductor. The first term in the equation (1) is the band gap of bulk semiconductor. The second term is due to the quantum confinement of the electron and hole pair. And the third term is Coulomb interaction between the electron and hole. Clearly, the band gap of the confined semiconductor is modified by the second \((=1/R^2)\) and the third \((=1/R)\) terms. Therefore, as the particle size changes, the band gap is changed accordingly. As illustrated in fig. 3, the absorption edge of \( \text{PbI}_2 \) clusters synthesized in methanol solutions does blueshift gradually as \( \text{PbI}_2 \) concentration decreases. If it is assumed that the lowest solution concentration has the smallest clusters, then one would expect to observe the largest blueshift in the band gap. Further, as the solution concentration increases, larger clusters are formed that result in an increasing redshift that would ultimately approach the band gap of the bulk material. This trend is consistent with what is predicted by equation (1) and therefore, the absorptions between 550-420 nm for different concentrations are attributed to quantum confined \( \text{PbI}_2 \) clusters.

**I. Confirmation of the Existence of Single-layered \( \text{PbI}_2 \) Clusters Formed in Solution**

Fig. 4 shows one of the typical TappingMode AFM images of the \( \text{PbI}_2 \) colloidal solution deposited on mica surface. It is clear that all \( \text{PbI}_2 \) clusters have the same height of \( 1.0 \pm 0.1 \text{ nm} \). In order to eliminate any possible structure alteration due to the mica surface, which can lead to false height information, the same \( \text{PbI}_2 \) colloidal solution was used to deposits on graphite and SAM derivatized mica surfaces. The same height information was also obtained. Therefore, the \( 1.0 \text{ nm} \) height of the image is considered to be the true height of the \( \text{PbI}_2 \) clusters. It is also conceivable that the \( \text{PbI}_2 \) clusters must be disk-like as discussed in previous section and proposed by others.\(^{27}\) Then, the \( \text{PbI}_2 \) clusters on mica surface are orientated with their c-axis perpendicular to the mica surface. Therefore, the measured image height should reflect the layer thickness of the \( \text{PbI}_2 \) clusters. Due to the tip convolution problem, no attempt has been made to resolve the lateral dimension of the \( \text{PbI}_2 \) clusters. As pointed out earlier, the measured single layer thickness of the bulk lead iodide is \( 0.7 \text{ nm} \), while the height of the \( \text{PbI}_2 \) clusters was \( 1.0 \text{ nm} \). The 1.4 times layer thickness expansion seems to be surprising. Ab initio calculation of \( \text{PbI}_2 \) and \( \text{BiI}_3 \) clusters, on the other hand, has shown that energy-optimized interlayer and intralayer distances of \( \text{PbI}_2 \) were 11% expanded and 1% contracted, respectively, with respect that of the bulk. A geometry optimization calculation suggests that the equilibrium interlayer thickness expanded 40% \( \% \) while the intralayer distance was contracted by 3%. Therefore, the observed 1.4 times of the swollen interlayer is expected. As it was argued by Marino et al.: in the case of \( \text{PbI}_2 \) clusters with \( \text{D}_{3h} \) point group symmetry, the electron-rich I atoms of the top layer are arranged in head-on configuration with respect to I atoms at the bottom layer. For the bulk \( \text{PbI}_2 \), however, each I atom in the top layer is located directly above the midpoint between two iodine atoms positioned in the bottom layer. Therefore, it was expected that \( \text{PbI}_2 \) clusters tend to laterally contract and to vertically expand.

Optical and AFM characterizations have provided sufficient evidence that the \( \text{PbI}_2 \) clusters formed in methanol solution is single-layered. The lateral size of the disk-like clusters, based on the quantum confinement of the excitons, is comparable or smaller than that of the exciton Bohr radius \( a_B = 1.9 \text{ nm} \).

**II. Optical Characterization of \( \text{PbI}_2 \) Impregnated in Porous Glass**

Fig. 5 showed the electronic absorption spectra of bulk \( \text{PbI}_2 \) and the \( \text{PbI}_2 \) physically confined in 2.5, 5, 10, and 20 nm pores of silica substrates. As expected, the \( \text{PbI}_2 \) confined in large pores, the absorption spectrum showed a little blueshift in band gap. However, a noticeable blueshift was observed at - 505 nm when the \( \text{PbI}_2 \) was confined in 2.5 nm pore, which is consistent with the quantum confinement theory proposed by Brus.\(^\circ\) By comparing the optical spectrum
of PbI$_2$ impregnated in 2.5 nm in fig. 5 with the spectrum of PbI$_2$ clusters synthesized in methanol solution at 5 mM concentration in fig. 3, a same absorption band edge was observed. This observation provided strong indication that the PbI clusters synthesized in the methanol solution was less than 2.5 nm in size. As the concentration decreases, the cluster size gets smaller so that the absorption band of the PbI$_2$ clusters was further blueshifted.

Fig. 6 illustrated Raman spectra of PbI$_2$ bulk and confined in four different pore-sized silica host. There were three bands observed in 60 - 150 cm$^{-1}$ region. They are at 75, 96, and 106 cm$^{-1}$, respectively, which had been assigned to TO$_1$, LO$_1$, and LO$_2$ optical phonon modes of PbI$_2$ crystals. As the pore size decreases, LO modes are broadened and the center frequencies seem to be redshifted. The red-shift of the band at 106 cm$^{-1}$ is more pronounced. It is speculated that the red-shifts in LO modes may be due to the enhancement of the surface phonon modes resulting from the local electric field at guest-host interface for small particles. However, the experimental results are further from conclusive to make a claim of surface phonon observation in this system. More experiments are current underway to study surface phonon polaritons in layered semiconductor quantum dots.

CONCLUSION

Optical characterization of PbI$_2$ clusters synthesized in methanol solution with integrating sphere has unambiguously confirmed the PbI$_2$ cluster formation. A spectral comparison of the electronic transitions between the PbI$_2$ clusters synthesized in solution and physically confined in porous glass suggests that the cluster size in solution is less than 2.5 nm in lateral dimension. This observation is consistent with the concept of strong quantum confinement sets in when the particle size is comparable or smaller than the exciton radius ($a_0 = 1.9$ nm).

AFM measurements of PbI$_2$ clusters on mica, graphite, and CH$_3$ surfaces suggest that the clusters formed from solution synthesis are disk-like. A thickness of $1.0 \pm 0.1$ nm observed at 0.5 mM concentration confirms, for the first time, that these clusters are single-layered. The 40% expansion of the interlayer distance can be attributed to the finite size effect of the clusters. At small sizes, a strong intralayer chemical bonding can result in the lateral contraction with respect to the bulk value and can lead to the expansion in layer thickness.

LO and TO modes of bulk and the confined PbI$_2$ were also characterized via Raman scattering measurements. The observed red-shifts and spectral band broadening of LO modes (LO$_1$ and LO$_2$) may be attributed to surface phonon polaritons of PbI$_2$ nanophase in the porous host. However, the experimental results are not yet conclusive.

ACKNOWLEDGEMENT

This work was supported in part by NASA under grant number NAG8-1066 and in part by NASA funded Center for Photonic materials and Devices

REFERENCES


