

IMPLICATIONS OF ULTRAHIGH ENERGY AIR SHOWERS FOR PHYSICS AND ASTROPHYSICS

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ABSTRACT

The primary ultrahigh energy particles which produce giant extensive air showers in the Earth's atmosphere present an intriguing mystery from two points of view: (1) How are these particles produced with such astounding energies, eight orders of magnitude higher than those produced by the best man-made terrestrial accelerators? (2) Since they are most likely extragalactic in origin, how do they reach us from extragalactic distances without suffering the severe losses expected from interactions with the 2.7 K thermal cosmic background photons – the so-called GZK effect?

The answers to these questions may involve new physics: violations of special relativity, grand unification theories, and quantum gravity theories involving large extra dimensions. They may involve new astrophysical sources, "zevatrons". Or some heretofore totally unknown physics or astrophysics may hold the answer. I will discuss here the mysteries involving the production and extragalactic propagation of ultrahigh energy cosmic rays and some suggested possible solutions.

Subject headings: ultrahigh energy cosmic rays, active galactic nuclei, gamma-ray bursts, topological defects, grand unification

1. Introduction

About once per century per km^2 of the Earth's surface, a giant shower of charged particles produced by a primary particle with an energy greater than or equal to 16 joules ($100 \text{ EeV} = 10^{20} \text{ eV}$) plows through the Earth's atmosphere. The showers which they produce can be detected by arrays of scintillators on the ground; they also announce their presence by producing a trail of ultraviolet fluorescent light, exciting the nitrogen atoms in the atmosphere. The existence of such showers has been known for almost four decades

(Linsley 1963). The number of giant air showers detected from primaries of energy greater than 100 EeV has grown into the double digits and may grow into the hundreds as new detectors such as the “Auger” array and the “EUSO” (Extreme Universe Space Observatory) and “OWL” (Orbiting Wide-Angle Light Collectors) satellite detectors come on line. These phenomena present an intriguing mystery from two points of view: (1) How are particles produced with such astounding energies, eight orders of magnitude higher than are produced by the best man-made terrestrial accelerators? (2) Since they are most likely extragalactic in origin, how do they reach us from extragalactic distances without exhibiting the predicted cutoff from interactions with the 2.7K cosmic background radiation? In these lectures, I will consider possible solutions to this double mystery.

2. The Data

Figure 1 shows the published data (as of this writing) on the ultrahigh energy cosmic ray spectrum from the Fly’s Eye and AGASA detectors.¹ Other data from Haveria Park and Yakutsk may be found in the review by Nagano and Watson (2000) are consistent with Figure 1. Additional data are now being obtained by the HiRes detector array and should be available in the near future (T. Abu-Zayyad, *et al.*, in preparation).

For air showers produced by primaries of energies in the 1 to 3 EeV range, Hayashida, *et al.* (1999) have found a marked directional anisotropy with a 4.5σ excess from the galactic center region, a 3.9σ excess from the Cygnus region of the galaxy, and a 4.0σ deficit from the galactic anticenter region. This is strong evidence that EeV cosmic rays are of galactic origin. A galactic plane enhancement in EeV events was also reported by the Fly’s Eye group (Dai, *et al.* 1999).

As shown in Figure 2, at EeV energies, the primary particles appear to have a mixed or heavy composition, trending toward a light composition in the higher energy range around 30 EeV (Bird, *et al.* 1993; Abu-Zayyad, *et al.* 2000). This trend, together with evidence of a flattening in the cosmic ray spectrum on the 3 to 10 EeV energy range (Bird, *et al.* 1994; Takeda *et al.* 1998) is evidence for a new component of cosmic rays dominating above 10 EeV energy.

The apparent isotropy (no galactic-plane enhancement) of cosmic rays above 10 EeV (*e.g.* Takeda, *et al.* 1999), together with the difficulty of confining protons in the galaxy at

¹The AGASA data have been reanalysed and the number of events determined to be above 100 EeV has been lowered to eight. (Teshima, private communication.)

10 to 30 EeV energies, provide significant reasons to believe that the cosmic-ray component above 10 EeV is extragalactic in origin. As can be seen from Figure 1, this extragalactic component appears to extend to an energy of 300 EeV. Extension of this spectrum to higher energies is conceivable because such cosmic rays, if they exist, would be too rare to have been seen with present detectors. We will see in the next section that the existence of 300 EeV cosmic rays gives us a new mystery to solve.

3. The GZK Effect

Thirty seven years ago, Penzias and Wilson (1965) reported the discovery of the cosmic 3K thermal blackbody radiation which was produced very early on in the history of the universe and which led to the undisputed acceptance of the “big bang” theory of the origin of the universe. Much more recently, the Cosmic Background Explorer (COBE) satellite confirmed this discovery, showing that the cosmic background radiation (CBR) has the spectrum of the most perfect thermal blackbody known to man. COBE data also showed that this radiation (on angular scales $> 7^\circ$) was isotropic to a part in 10^5 (Mather *et al.* 1994). The perfect thermal character and smoothness of the CBR proved conclusively that this radiation is indeed cosmological and that, at the present time, it fills the entire universe with a 2.7K spectrum of radio to far-infrared photons with a density of $\sim 400 \text{ cm}^{-3}$.

Shortly after the discovery of the CBR, Greisen (1966) and Zatsepin and Kuz'min (1966) predicted that pion-producing interactions of ultrahigh energy cosmic ray protons with CBR photons of target density $\sim 400 \text{ cm}^{-3}$ should produce a cutoff in their spectrum at energies greater than $\sim 50 \text{ EeV}$. This predicted effect has since become known as the GZK (Greisen-Zatsepin-Kuz'min) effect. Following the GZK papers, Stecker (1968) utilized data on the energy dependence of the photomeson production cross sections and inelasticities to calculate the mean energy loss time for protons propagating through the CBR in intergalactic space as a function of energy. Based on his results, Stecker (1968) then suggested that the particles of energy above the GZK cutoff energy (hereafter referred to as trans-GZK particles) must be coming from within the “Local Supercluster” of which we are a part and which is centered on the Virgo Cluster of galaxies. Thus, the “GZK cutoff” is not a true cutoff, but a suppression of the ultrahigh energy cosmic ray flux owing to a limitation of the propagation distance to a few tens of Mpc.

The actual position of the GZK cutoff can differ from the 50 EeV predicted by Greisen. In fact, there could actually be an *enhancement* at or near this energy owing to a “pileup” of cosmic rays starting out at higher energies and crowding up in energy space at or below the predicted cutoff energy (Puget, Stecker and Bredekamp 1976; Hill and Schramm 1985;

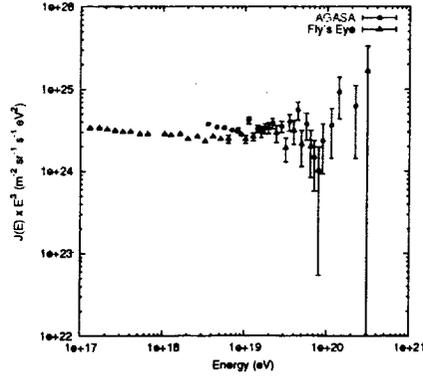


Fig. 1.— The ultrahigh energy cosmic ray spectrum data from Fly's Eye and AGASA.

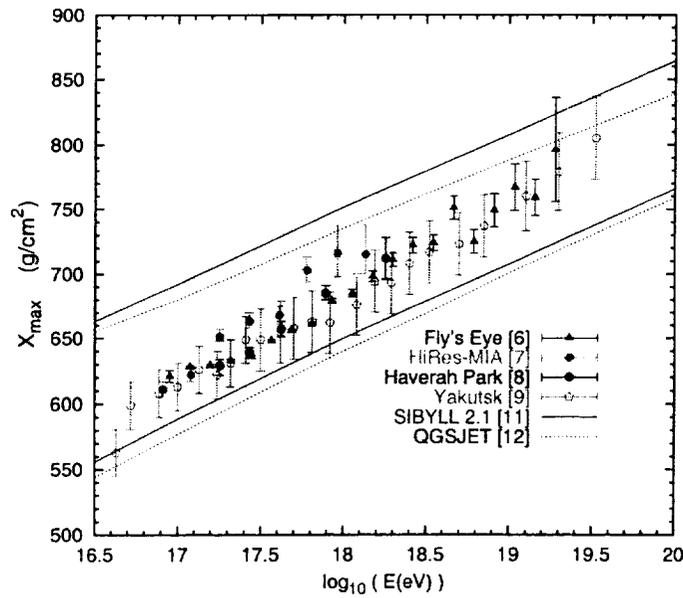


Fig. 2.— Average depth of shower maximum (X_{max}) vs. energy compared to the calculated values for protons (upper curves) and Fe primaries (lower curves) (from Gaisser 2000; see references therein).

Berezinsky and Grigor’eva 1988; Stecker 1989; Stecker and Salamon 1999). The existence and intensity of this predicted pileup depends critically on the flatness and extent of the source spectrum, (*i.e.*, the number of cosmic rays starting out at higher energies), but if its existence is confirmed in the future by more sensitive detectors, it would be evidence for the GZK effect.

Scully and Stecker (2002) have determined the GZK energy, defined as the energy for a flux decrease of $1/e$, as a function of redshift. At high redshifts, the target photon density increases by $(1+z)^3$ and both the photon and initial cosmic ray energies increase by $(1+z)$. The results obtained by Scully and Stecker are shown in Figure 3.

4. Acceleration and Zevatrons: The “Bottom Up” Scenario

The apparent lack of a GZK cutoff has led theorists to go on a hunt for nearby “zevatrons”, *i.e.*, astrophysical sources which can accelerate particles to energies $\mathcal{O}(1 \text{ ZeV} = 10^{21} \text{ eV})$.

In most theoretical work in cosmic ray astrophysics, it is generally assumed that the diffusive shock acceleration process is the most likely mechanism for accelerating particles to high energy. (See, *e.g.*, Jones (2000) and references therein.) In this case, the maximum obtainable energy is given by $E_{max} = keZ(u/c)BL$, where $u \leq c$ is the shock speed, eZ is the charge of the particle being accelerated, B is the magnetic field strength, L is the size of the accelerating region and the numerical parameter $k = \mathcal{O}(1)$ (Drury 1994). Taking $k = 1$ and $u = c$, one finds

$$E_{max} = 0.9Z(BL)$$

with E in EeV, B in μG and R in kpc. This assumes that particles can be accelerated efficiently up until the moment when they can no longer be contained by the source, *i.e.* until their gyroradius becomes larger than the size of the source. Hillas (1984) used this relation to construct a plot of B vs. L for various candidate astrophysical objects. A “Hillas plot” of this kind, recently constructed by Olinto (2000), is shown in Figure 4.

Given the relationship between E_{max} and BL as shown in Figure 4, there are not too many astrophysical candidates for zevatrons. Of these, galactic sources such as white dwarfs, neutron stars, pulsars, and magnetars can be ruled out because their galactic distribution would lead to anisotropies above 10 EeV which would be similar to those observed at lower energies by Hayashida *et al* (1999), and this is not the case. Perhaps the most promising

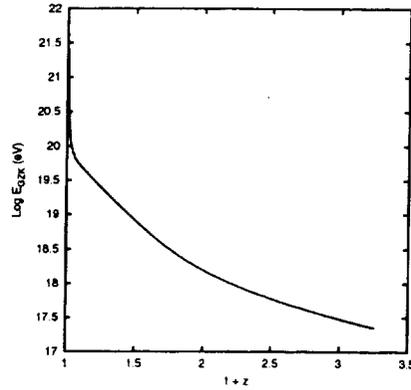


Fig. 3.— The GZK cutoff energy versus redshift (Scully and Stecker 2002).

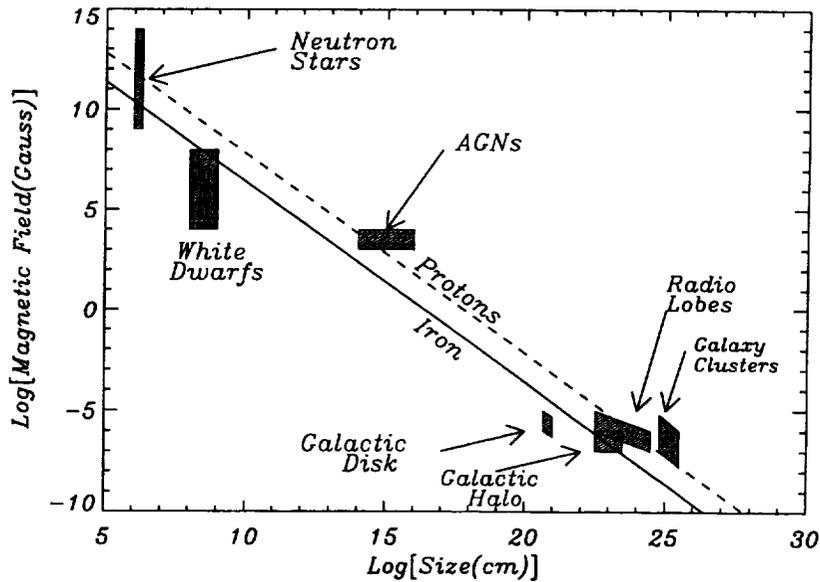


Fig. 4.— A “Hillas Plot” showing potential astrophysical zevatrons (from Olinto 2000). The lines are for B vs. L for $E_{max} = 0.1$ ZeV for protons and iron nuclei as indicated.

potential zevatrons are radio lobes of strong radio galaxies (Biermann and Strittmatter (1987) . The trick is that such sources need to be found close enough to avoid the GZK cutoff (*e.g.*, Elbert and Sommers 1995). Biermann has further suggested that the nearby radio galaxy M87 may be the source of the observed trans-GZK cosmic rays (see also Stecker 1968; Farrar and Piran 2000). Such an explanation would require one to invoke magnetic field configurations capable of producing a quasi-isotropic distribution of $> 10^{20}$ eV protons, making this hypothesis questionable. However, if the primary particles are nuclei, it is easier to explain a radio galaxy origin for the two highest energy events (Stecker and Salamon 1999; see section 6).

It has also been suggested that since all large galaxies are suspected to harbor super-massive black holes in their centers which may have once been quasars, fed by accretion disks which are now used up, that nearby quasar remnants may be the searched-for zevatrons (Boldt and Ghosh 1999; Boldt and Lowenstein 2000) . This scenario also has potential theoretical problems and needs to be explored further. In particular, it has been shown that black holes which are not accreting plasma cannot possess a large scale magnetic field with which to accelerate particles to relativistic energies (Ginzburg and Ozernoi 1964; Krolik 1999; Jones 2000). Observational evidence also indicates that the cores of weakly active galaxies have low magnetic fields (Falcke 2001 and references therein). Another proposed zevatron, the γ -ray burst, is discussed in the next section.

5. Gamma-Ray Burst Zevatrons and the GZK Problem

In 1995, it was suggested that cosmological γ -ray bursts (GRBs) were the source of the highest energy cosmic rays (Waxman 1995; Vietri 1995). It was suggested that if these objects emitted the same amount of energy in ultrahigh energy ($\sim 10^{14}$ MeV) cosmic rays as in \sim MeV photons, there would be enough energy input of these particles into intergalactic space to account for the observed flux. At that time, it was assumed that the GRBs were distributed uniformly, independent of redshift.

In recent years, X-ray, optical, and radio afterglows of about a dozen GRBs have been detected leading to the subsequent identification of the host galaxies of these objects and consequently, their redshifts. The host galaxies of GRBs appear to be sites of active star formation. The colors and morphological types of the host galaxies are indicative of ongoing star formation, as is the detection of Ly α and [OII] in several of these galaxies. Further evidence suggests that bursts themselves are directly associated with star forming regions within their host galaxies their positions correspond to regions having significant hydrogen column densities with evidence of dust extinction. It now seems more reasonable to assume

that a more appropriate redshift distribution to take for GRBs is that of the average star formation rate.

To date, some 14 GRBs afterglows have been detected with a subsequent identification of their host galaxies. As of this writing, 13 of the 14 are at moderate to high redshifts with the highest one (GRB000131) lying at a redshift of 4.50 (Andersen, *et al.* 2000).

A good argument in favor of strong redshift evolution for the frequency of occurrence of the higher luminosity GRBs has been made by Mao and Mo (1998), based on the nature of the host galaxies. Other recent analyses have also favored a GRB redshift distribution which follows the strong redshift evolution of the star formation rate (Schmidt 1999; Fenimore and Ramirez-Ruiz 2000). If we thus assume a redshift distribution for the GRBs which follows the star formation rate, being significantly higher at higher redshifts, GRBs fail by at least an order of magnitude to account for the observed cosmic rays above 100 EeV (Stecker 2000). If one wishes to account for the GRBs above 10 EeV, this hypothesis fails by two to three orders of magnitude (Scully and Stecker 2002). Even these numbers are most likely too optimistic, since they are based on the questionable assumption of the same amount of GRB energy being put into ultrahigh energy cosmic rays as in \sim MeV photons.

Figure 5, from Scully and Stecker, (2002) shows the *form* of the cosmic ray spectrum to be expected from sources with a uniform redshift distribution and sources which follow the star formation rate. The required normalization and spectral index determine the energy requirements of any cosmological sources which are invoked to explain the observations. Pileup effects and GZK cutoffs are evident in the theoretical curves in this figure. As can be seen in Figure 5, the present data appear to be statistically consistent with either the presence or absence of a pileup effect. Future data with much better statistics are required to determine such a spectral structure.

An unusual nearby Type Ic supernova, SN 1998bw, has been identified as the nearby source of a low luminosity burst, GRB980425, with an energy release which is orders of magnitude smaller than that for a typical cosmological GRB. Norris (2002) has given an analysis of the luminosities and space densities of such nearby low luminosity long-lag GRB sources which are identified with Type I supernovae. For these sources, he finds a rate per unit volume of $7.8 \times 10^{-7} \text{ Mpc}^{-3}\text{yr}^{-1}$ and an average (isotropic) energy release per burst of 1.3×10^{49} erg over the energy range from 10 to 1000 keV. The energy release per unit volume is then $\sim 10^{43}$ erg $\text{Mpc}^{-3}\text{yr}^{-1}$. This rate is more than an order of magnitude below the rate needed to account for the cosmic rays with energies above 10 EeV.

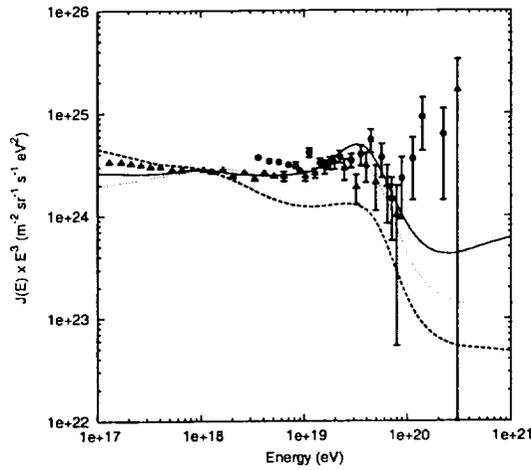


Fig. 5.— Predicted spectra for cosmic ray protons as compared with the data. The middle curve and lowest curve assume an $E^{-2.75}$ source spectrum with a uniform source distribution and one that follows the distribution of the star formation rate respectively. The upper curve is for an $E^{-2.35}$ source spectrum which requires an order of magnitude more energy input and exhibits a “pileup effect” (Scully and Stecker 2002).

6. The Heavy Nuclei Origin Scenario

A more conservative hypothesis for explaining the trans-GZK events is that they were produced by heavy nuclei. Stecker and Salamon (1999) have shown that the energy loss time for nuclei starting out as Fe is longer than that for protons for energies up to a total energy of ~ 300 EeV (see Figure 6).

Stanev, *et al.* (1995) and Biermann (1998)1 have examined the arrival directions of the highest energy events. They point out that the ~ 200 EeV event is within 10° of the direction of the strong radio galaxy NGC 315. This galaxy lies at a distance of only ~ 60 Mpc from us. For that distance, the results of Stecker and Salamon (1999) indicate that heavy nuclei would have a cutoff energy of ~ 130 EeV, which may be within the uncertainty in the energy determination for this event. The ~ 300 EeV event is within 12° of the direction of the strong radio galaxy 3C134. The distance to 3C134 is unfortunately unknown because its location behind a dense molecular cloud in our own galaxy obscures the spectral lines required for a measurement of its redshift. It may be possible that *either* cosmic ray protons *or* heavy nuclei originated in these sources and produced the highest energy air shower events.

An interesting new clue that we may indeed be seeing heavier nuclei above the proton-GZK cutoff comes from a very recent analysis of inclined air showers above 10 EeV energy (Ave, *et al.* 2000). These new results favor proton primaries below the p-GZK cutoff energy but they *appear to favor a heavier composition above the p-GZK cutoff energy*. It will be interesting to see what future data from much more sensitive detectors will tell us.

7. Top-Down Scenarios: “Fraggers”

A way to avoid the problems with finding plausible astrophysical zevatrons is to start at the top, *i.e.*, the energy scale associated with grand unification, supersymmetric grand unification or its string theory equivalent.

The modern scenario for the early history of the big bang takes account of the work of particle theorists to unify the forces of nature in the framework of Grand Unified Theories (GUTs) (*e.g.*, Georgi and Glashow 1974). This concept extends the very successful work of Nobel Laureates Glashow, Weinberg, and Salam in unifying the electromagnetic and weak nuclear forces of nature (Glashow 1960; Weinberg 1967; Salam 1968). As a consequence of this theory, the electromagnetic and weak forces would have been unified at a higher temperature phase in the early history of the universe and then would have been broken into separate forces through the mechanism of spontaneous symmetry breaking caused by vacuum fields which are known as Higgs fields.

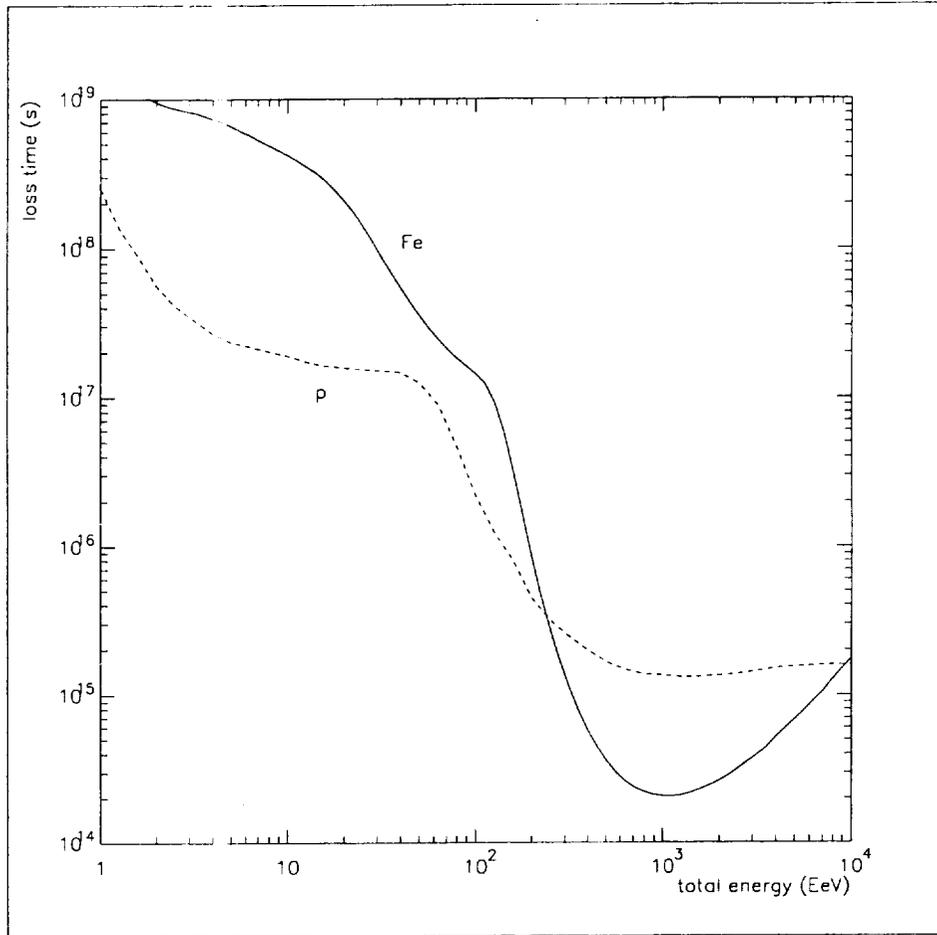


Fig. 6.— Mean energy loss times for protons (Stecker 1968; Puget, Stecker and Bredekamp 1976) and nuclei originating as Fe (Stecker and Salamon 1999).

In GUTs, this same paradigm is used to infer that the electroweak force becomes unified with the strong nuclear force at very high energies of $\sim 10^{24}$ eV which occurred only $\sim 10^{-35}$ seconds after the big bang. The forces then became separated owing to interactions with the much heavier mass scale Higgs fields whose symmetry was broken spontaneously. The supersymmetric GUTs (or SUSY GUTs) provide an explanation for the vast difference between the two unification scales (known as the “Hierarchy Problem”) and predict that the running coupling constants which describe the strength of the various forces become equal at the SUSY GUT scale of $\sim 10^{24}$ eV (Dimopoulos, Raby and Wilczek 1982).

7.1. Topological Defects

The fossil remnants of this unification are predicted to be very heavy topological defects in the vacuum of space caused by misalignments of the heavy Higgs fields in regions which were causally disconnected in the early history of the universe. These are localized regions where extremely high densities of mass-energy are trapped. Such defects go by designations such as cosmic strings, monopoles, walls, necklaces (strings bounded by monopoles), and textures, depending on their geometrical and topological properties. Inside a topological defect, the vestiges of the early universe may be preserved to the present day. The general scenario for creating topological defects in the early universe was suggested by Kibble (1976).

Superheavy particles or topological structures arising at the GUT energy scale $M \geq 10^{23}$ eV can decay or annihilate to produce “X-particles” (GUT scale Higgs particles, superheavy fermions, or leptoquark bosons of mass M .) In the case of strings this could involve mechanisms such as intersecting and intercommuting string segments and cusp evaporation. These X-particles will decay to produce QCD fragmentation jets at ultrahigh energies, so I will refer to them as “fraggers”. QCD fraggers produce mainly pions, with a 3 to 10 per cent admixture of baryons, so that generally one can expect them to produce at least an order of magnitude more ultrahigh energy γ -rays and neutrinos than protons. The same general scenario would hold for the decay of long-lived superheavy dark matter particles (see section 7.3), which would also be fraggers. It has also been suggested that the decay of ultraheavy particles from topological defects produced in SUSY-GUT models which can have an additional soft symmetry breaking scale at TeV energies (“flat SUSY theories”) may help explain the observed γ -ray background flux at energies ~ 0.1 TeV (Bhattacharjee, Shafi and Stecker 1998).

The number of variations and models for explaining the ultrahigh energy cosmic rays based on the GUT or SUSY GUT scheme (which have come to be called “top-down” models) has grown to be enormous and I will not attempt to list all of the numerous citations involved.

Fortunately, Bhattacharjee and Sigl (2000) have recently published an extensive review with over 500 citations and I refer the reader to this review for further details of “top-down” models and references. The important thing to note here is that, if the implications of such models are borne out by future cosmic ray data, they may provide our first real evidence for GUTs.

7.2. “Z-bursts”

It has been suggested that ultra-ultrahigh energy $\mathcal{O}(10 \text{ ZeV})$ neutrinos can produce ultrahigh energy Z^0 fraggers by interactions with 1.9K thermal CBR neutrinos (Weiler 1982; Fargion, *et al.* 1999; Weiler 1999), resulting in “Z-burst” fragmentation jets, again producing mostly pions. This will occur at the resonance energy $E_{res} = 4[m_\nu(\text{eV})]^{-1} \text{ ZeV}$. A typical Z boson will decay to produce ~ 2 nucleons, ~ 20 γ -rays and ~ 50 neutrinos, 2/3 of which are ν_μ 's.

If the nucleons which are produced from Z-bursts originate within a few tens of Mpc of us they can reach us, even though the original $\sim 10 \text{ ZeV}$ neutrinos could have come from a much further distance. It has been suggested that this effect can be amplified if our galaxy has a halo of neutrinos with a mass of tens of eV (Fargion, Mele and Salis 1999; Weiler 1999). However, a neutrino mass large enough to be confined to a galaxy size neutrino halo (Tremaine and Gunn 1979) would imply a hot dark matter cosmology which is inconsistent with simulations of galaxy formation and clustering (*e.g.*, Ma and Bertschinger 1994) and with angular fluctuations in the CBR. (Another problem with halo fraggers is discussed below in section 7.4) A mixed dark matter model with a lighter neutrino mass (Shafi and Stecker 1984) produces predicted CBR angular fluctuations (Schaefer, Shafi and Stecker 1989) which are consistent with the *Cosmic Background Explorer* data (Wright 1992). In such a model, neutrinos would have density fluctuations on the scale of superclusters, which would still allow for some amplification (Weiler 1999). The tritium decay spectral endpoint limits on the mass of the electron neutrino (Weinheimer, *et al.* 1999), together with the very small neutrino flavor mass differences indicated by the atmospheric and solar neutrino oscillation results (Ahmad, *et al.* 2002) constrains all neutrino flavors to have masses in the range $\mathcal{O}(\text{eV})$ or less. This is much too small a mass for neutrinos to be confined to halos of individual galaxies.

The basic general problem with the Z-burst explanation for the trans-GZK events is that one needs to produce 10 ZeV neutrinos. If these are secondaries from pion production, this implies that the primary protons which produce them must have energies of hundreds of ZeV! Since we know of no astrophysical source which would have the potential of accelerating particles to energies even an order of magnitude lower (see section 4), a much more likely

scenario for producing 10 ZeV neutrinos would be by a top-down process. The production rate of neutrinos from such processes is constrained by the fact that the related energy release into electromagnetic cascades which produce GeV range γ -rays is limited by the satellite observations (see the review by Bhattacharjee and Sigl 2000). This constraint, together with the low probability for Z-burst production, relegates the Z-burst phenomenon to a minor secondary role at best.

7.3. Ultraheavy Dark Matter Particles: “Wimpzillas”

The idea has been suggested that the dark matter which makes up most of the gravitating mass in the universe could consist of ultraheavy particles produced by non-thermal processes in the early big-bang (Berezinsky *et al.* 1997; Kuz'min and Rubakov 1998; Blasi *et al.* 2002). (See also the paper of Rocky Kolb in these proceedings.) The annihilation or decay of such particles in a dark matter halo of our galaxy would then produce ultra-high energy nucleons which would not be attenuated at trans-GZK energies owing to their proximity.

7.4. Halo Fraggers and the Missing Photon Problem

Halo fragger models such as Z-burst and ultraheavy halo dark matter (“wimpzilla”) decay or annihilation, as we have seen, will produce many more photons than protons. These ultrahigh energy photons can reach the Earth from anywhere in a dark matter galactic halo, because, as shown in Figure 7, there is a “mini-window” for the transmission of ultrahigh energy cosmic rays between ~ 0.1 and $\sim 10^6$ EeV.

Photon-induced giant air showers have an evolution profile which is significantly different from nucleon-induced showers because of the Landau-Pomeranchuk-Migdal (LPM) effect (Landau and Pomeranchuk 1953; Migdal 1956) and because of cascading in the Earth's magnetic field (Cillis, *et al.* 1999) (see Figure 7). By taking this into account, Shinozaki, *et al.* (2002) have used the AGASA data to place upper limits on the photon composition of their UHECR showers. They find a photon content upper limit of 28% for events above 10 EeV and 67% for events above 30 EeV at a 95% confidence level with no indication of photonic showers above 100 EeV. A recent reanalysis of the ultrahigh energy events observed at Haverah Park by Ave, *et al.* (2002) indicates that less than half of the events (at 95% confidence level) observed above 10 and 40 EeV are γ -ray initiated. An analysis of the highest energy Fly's Eye event (E=300 EeV) (Halzen and Hooper 2002) shows it not to be

of photonic origin, as indicated in Figure 8. In addition, Shinozaki, *et al.* (2002) have found no indication of departures from isotropy as would be expected from halo fragger photonic showers, this admittedly with only 10 events in their sample.

8. Other New Physics Possibilities

The GZK cutoff problem has stimulated theorists to look for possible solutions involving new physics. Some of these involve (A) a large increase in the neutrino-nucleon cross section at ultrahigh energies, (B) new particles, and (C) a small violation of Lorentz Invariance (LI).

8.1. Increasing the Neutrino-Nucleon Cross Section at Ultrahigh Energies

Since neutrinos can travel through the universe without interacting with the 2.7K CBR, it has been suggested that if the neutrino-nucleon cross section were to increase to hadronic values at ultrahigh energies, they could produce the giant air showers and account for the observations of showers above the proton-GZK cutoff. Several suggestions have been made for processes that can enhance the neutrino-nucleon cross section at ultrahigh energies. These suggestions include composite models of neutrinos (Domokos and Nussinov 1987; Domokos and Kovesi-Domokos 1988), scalar leptoquark resonance channels (Robinett 1988) and the exchange of dual gluons (Bordes, *et al.* 1998). Burdman, Halzen and Ghandi (1998) have ruled out a fairly general class of these types of models, including those listed above, by pointing out that in order to increase the neutrino-nucleon cross section to hadronic values at $\sim 10^{20}$ eV without violating unitarity bounds, the relevant scale of compositeness or particle exchange would have to be of the order of a GeV, and that such a scale is ruled out by accelerator experiments.

More recently, the prospect of enhanced neutrino cross sections has been explored in the context of extra dimension models. Such models have been suggested by theorists to unify the forces of physics since the days of Kaluza (1921) and Klein (1926). In recent years, they have been invoked by string theorists and by other theorists as a possible way for accounting for the extraordinary weakness of the gravitational force, or, in other words, the extreme size of the Planck mass (Arkani-Hamed, Dimopoulos and Dvali 1999; Randall and Sundrum 1999). These models allow the virtual exchange of gravitons propagating in the bulk (*i.e.* in the space of full extra dimensions) while restricting the propagation of other particles to the familiar four dimensional space-time manifold. It has been suggested that in such models, $\sigma(\nu N) \simeq [E_\nu/(10^{20}\text{eV})]$ mb (Nussinov and Schrock 1999; Jain, *et al.*

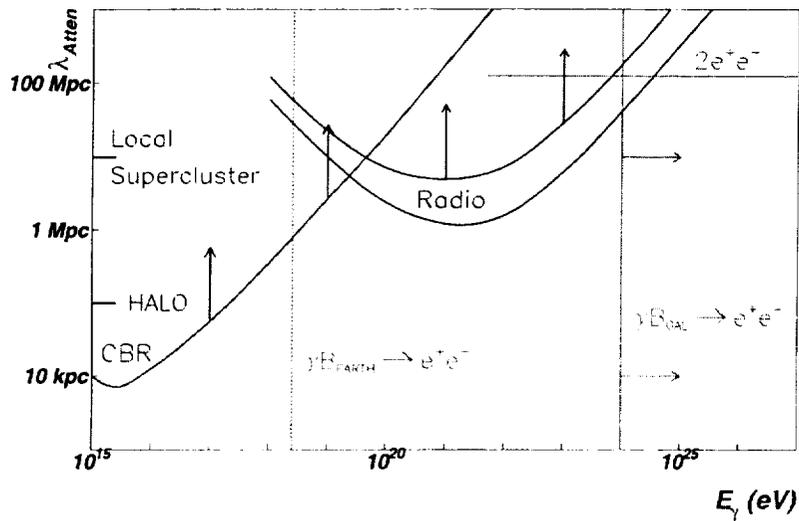


Fig. 7.— The mean free path for ultrahigh energy γ -ray attenuation *vs.* energy. The curve for electron-positron pair production off the cosmic background radiation (CBR) is based on Gould and Schreder (1966). The two estimates for pair production off the extragalactic radio background are from Protheroe and Biermann (1996). The curve for double pair production is based on Brown, *et al.* (1973). The physics of pair production by single photons in magnetic fields is discussed by Erber (1966). This process eliminates all photons above $\sim 10^{24}$ eV and produces a terrestrial anisotropy in the distribution of photon arrival directions above $\sim 10^{19}$ eV.

2000); see also Domokos and Kovesi-Domokos 1999). It should be noted that a cross section of ~ 100 mb would be necessary to approach obtaining consistency with the air shower profile data. Other scenarios involve the neutrino-initiated atmospheric production of black holes (Anchordoqui, *et al.* 2002) and even higher dimensional extended objects, p-dimensional branes called “p-branes” (Ahn, Cavaglia and Olinto 2002; Anchordoqui, Feng and Goldberg 2002). Such interactions, in principle, can increase the neutrino total atmospheric interaction cross section by orders of magnitude above the standard model value. However, as discussed by Anchordoqui, Feng and Goldberg 2002, , sub-mm gravity experiments and astrophysical constraints rule out total neutrino interaction cross sections as large as 100 mb as would be needed to fit the trans-GZK energy air shower profile data. Nonetheless, extra dimension models still may lead to significant increases in the neutrino cross section, resulting in moderately penetrating air showers. Such neutrino-induced showers should also be present at somewhat lower energies and provide an observational test for extra dimension TeV scale gravity models (Anchordoqui, *et al.* 2001; Tyler, Olinto and Sigl 2001). As of this writing, no such showers have been observed, putting an indirect constraint on fragger scenarios with TeV gravity models.

8.2. New Particles

The suggestion has also been made that new neutral particles containing a light gluino could be producing the trans-GZK events (Farrar 1996; Cheung, Farrar and Kolb 1998). While the invocation of such new particles is an intriguing idea, it seems unlikely that such particles of a few proton masses would be produced in copious enough quantities in astrophysical objects without being detected in terrestrial accelerators. Also there are now strong constraints on gluinos (Alavi-Harati, *et al.* 1999). One should note that while it is true that the GZK threshold for such particles would be higher than that for protons, such is also the case for the more prosaic heavy nuclei (see section 7). In addition, such neutral particles cannot be accelerated directly, but must be produced as secondary particles, making the energetics requirements more difficult.

8.3. Breaking Lorentz Invariance

With the idea of spontaneous symmetry breaking in particle physics came the suggestion that Lorentz invariance (LI) might be weakly broken at high energies (Sato and Tati 1972). Although no real quantum theory of gravity exists, it was suggested that LI might be broken as a consequence of such a theory (Amelino-Camilia *et al.* 1998). A simpler formulation

for breaking LI by a small first order perturbation in the electromagnetic Lagrangian which leads to a renormalizable treatment has been given by Coleman and Glashow (1999). Using this formalism, these authors have shown that only a very tiny amount of LI symmetry breaking is required to avoid the GZK effect by suppressing photomeson interactions between ultrahigh energy protons and the CBR. This LI breaking amounts to a difference of $O(10^{-23})$ between the maximum proton pion velocities. By comparison, Stecker and Glashow (2001) have placed an upper limit of $O(10^{-13})$ on the difference between the velocities of the electron and photon, ten orders of magnitude higher than required to eliminate the GZK effect.

9. Is the GZK Effect All There Is?

There is a remaining “dull” possibility. Perhaps the GZK effect is consistent with the data and is all there is at ultrahigh energies. The strongest case for trans-GZK physics comes from the AGASA results. The AGASA group, which reported up to 17 events with energy greater than or equal to ~ 100 EeV (Sasaki, *et al.* 2001), has now lowered this number to 8 (see footnote 1). However, the HiRes Group have not confirmed the AGASA results, implying lower fluxes of cosmic rays above ~ 100 EeV (T. Abu-Zayyad, *et al.*, in preparation; P. Sokolsky and E.C. Loh, private communication). Even if the GZK effect is seen, top-down scenarios predict the reemergence of a new component at even higher energies (Aharonian, Bhattacharjee and Schramm 1992; Bhattacharjee and Sigl 2000).

The AGASA data indicate a significant deviation from pure GZK even if the source number is weighted like the local galaxy distribution (Blanton, *et al.* 2001). In addition to this discrepancy, the fact that a fluorescence detector, Fly’s Eye, reported the highest energy event yet seen, *viz.*, $E \simeq 300$ EeV, makes the experimental situation interesting enough to justify both more sensitive future detectors and the exploration of new physics and astrophysics.

10. Signatures

Future data which will be obtained with new detector arrays and satellites (see next section) will give us more clues relating to the origin of the trans-GZK events by distinguishing between the various hypotheses which have been proposed.

A zevatron origin (“bottom-up” scenario) will produce air-showers primarily from primaries which are protons or heavier nuclei, with a much smaller number of neutrino-induced showers. The neutrinos will be secondaries from the photomeson interactions which produce

the GZK effect (Stecker 1973; 1979; Engel, Seckel and Stanev 2001 and references therein). In addition, zevatron events may cluster near the direction of the sources.

A “top-down” (GUT) origin mechanism will not produce any heavier nuclei and will produce at least an order of magnitude more ultrahigh energy neutrinos than protons.² Thus, it will be important to look for the neutrino-induced air showers which are expected to originate much more deeply in the atmosphere than proton-induced air showers and are therefore expected to be mostly horizontal showers. Looking for these events can most easily be done with a satellite array which scans the atmosphere from above (see next section). As we discussed, the “top down” model also produces a large ratio of ultrahigh energy photons to protons. This was suggested as a signature of top-down models by Aharonian, Bhattacharjee and Schramm (1992). However, the mean free path of these photons against pair-production interactions with extragalactic low frequency radio photons from radio galaxies is only a few Mpc at most (Protheroe and Biermann 1996). The subsequent electromagnetic cascade and synchrotron emission of the high energy electrons produced in the cascade dumps the energy of these particles into much lower energy photons (Wdowczyk, Tkaczyk and Wolfendale 1972; Stecker 1973). The photon-proton ratio, however, is an effective tool for testing halo fragger models (see section 7.4).

Another characteristic which can be used to distinguish between the bottom-up and top-down models is that the latter will produce much harder spectra. If differential cosmic ray spectra are parametrized to be of the form $F \propto E^{-\Gamma}$, then for top-down models $\Gamma < 2$, whereas for bottom-up models $\Gamma \geq 2$. Also, because of the hard source spectrum in the “top-down” models, they should exhibit both a GZK suppression and a pileup just before the GZK energy.

If Lorentz invariance breaking is the explanation for the missing GZK effect, the actual absence of photomeson interactions should result the absence of a pileup effect as well.

11. Present and Future Detectors

Of the ground-based ultra-high energy arrays, the AGASA array of particle detectors in Japan is continuing to obtain data on ultrahigh energy cosmic ray-induced air showers. Its aperture is 200 km²sr.

The HiRes array is operating and will soon be publishing data. This array is an extension of the Fly’s Eye which pioneered the technique of measuring the atmospheric fluorescence

²For a discussion of ultrahigh energy neutrino astrophysics, see Cline and Stecker (2000).

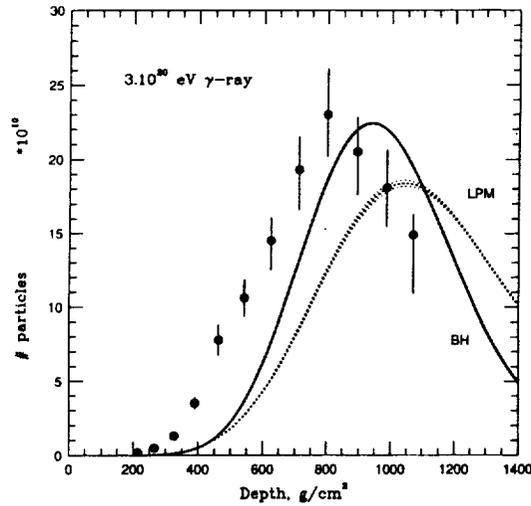


Fig. 8.— The composite atmospheric shower profile of a 300 EeV photon-induced shower calculated with the Bethe-Heitler (solid) electromagnetic cross section and with the LPM effect taken into account (dashed line, see text). The measured Fly’s Eye profile, which fits the profile of a nucleonic primary, is shown by the data points (Halzen and Hooper 2002).



Fig. 9.— Two OWL satellites in low-Earth orbit observing the fluorescent track of a giant air shower. The shaded cones illustrate the field-of-view for each satellite.

light in the near UV (300 - 400 nm range) that is isotropically emitted by nitrogen molecules that are excited by the charged shower secondaries at the rate of ~ 4 photons per meter per particle. Its estimated aperture is $1000 \text{ km}^2\text{sr}$ at 100 EeV after inclusion of a 10% duty cycle (Sokolsky 1998) .

The southern hemisphere Auger array is expected to be on line in the near future. This will be a hybrid array which will consist of 1600 particle detector elements similar to those at Haver Park and three or four fluorescence detectors. Its expected aperture will be $7000 \text{ km}^2\text{sr}$ for the ground array above 10 EeV and $\sim 10\%$ of this number for the hybrid array.

The Telescope Array will consist of eight separate fluorescence detecting telescope stations separated by 30 km. Its expected aperture will be $8000 \text{ km}^2\text{sr}$ with an assumed 10% duty cycle.

The next big step will be to orbit a system of space-based detectors which will look down on the Earth's atmosphere to detect the trails of nitrogen fluorescence light made by giant extensive air showers. The Orbiting Wide-angle Light collectors (OWL) mission is being proposed to study such showers from satellite-based platforms in low Earth orbit (600 - 1200 km). OWL would observe extended air showers from space via the air fluorescence technique, thus determining the composition, energy, and arrival angle distributions of the primary particles in order to deduce their origin. Operating from space with a wide field-of-view instrument dramatically increases the observed target volume, and consequently the detected air shower event rate, in comparison to ground based experiments. The OWL baseline configuration will yield event rates that are more than two orders of magnitude larger than currently operating ground-based experiments. The estimated aperture for a two-satellite system is $2.5 \times 10^5 \text{ km}^2\text{sr}$ above a few tens of EeV after assuming a 10% duty cycle.

Figure 9 illustrates two OWL satellites obtaining stereoscopic views of an air shower produced by an ultra-high energy cosmic ray. With an approximate 10% duty factor, OWL will be capable of making accurate measurements of giant air shower events with high statistics. It is expected to be able to detect more than 1000 showers per year with $E \geq 100 \text{ EeV}$ (assuming an extrapolation of the cosmic ray spectrum based upon the AGASA data).

The European Space Agency is now studying the feasibility of placing such a light collecting detector on the International Space Station in order to develop the required technology to observe the fluorescent trails of giant extensive air showers, to make such observations, and to serve as a pathfinder mission for a later free flyer. This experiment has been dubbed the Extreme Universe Space Observatory (EUSO) (see paper of Livio Scarsi, these proceedings, for more details). Owing to the orbit parameters and constraints of the International Space

Station, the effective aperture for EUSO will not be as large as that of a free flyer mission.

A recent compendium of papers on observing giant air showers from space may be found in Krizmanic, Ormes and Streitmatter (1998).

12. A Cloudy Present – A Bright Future

As of this writing, there is a disagreement in the trans-GZK event rate between the AGASA and HiRes experimental groups. Thus, we are uncertain about the observational situation. The prospect of new physics and new astrophysics at ultrahigh energies has produced a plethora of theoretical ideas and papers. Indeed, if there are significant numbers of ultrahigh energy events above 100 EeV, and especially above 300 EeV (which would rule out the heavy nucleus scenario) many of the theoretical models presently proposed could be ruled out. This situation might then call for radically new physics such as would involve violation of Lorentz invariance.

New and more powerful observational techniques are called for to obtain significantly large numbers of giant air shower events to analyse in order to accurately determine the flux and energy spectrum of trans-GZK cosmic rays. The Auger ground array is starting operation. New space experiments, EUSO and OWL, have been proposed. This author hopes that they be built and flown and will provide the needed information. Such experiments have the potential of breaking through to new insights about the basic nature of the universe.

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